

Open problems in Neutrino physics

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*EPS Conference, Lido
Venice, July 6, 2017*



Neutrino Roulette

Casino room

IO	NO	10
20	M	D
S	A	45
40	π	$-\pi/2$

Outline

Problems and goals

Summary: where we are

- 1. Establishing Nature of neutrino mass**
- 2. Reconstruction of mass and flavor spectrum**
3. Searches for new physics beyond 3 nu paradigm
4. Elaboration of remaining phenomenology
- 5. Uncovering physics behind neutrino mass and mixing**
- 6. Finding neutrino connections**

Summary: Where we are?



3 ν - paradigm

All well established/confirmed results fit well a framework

- three neutrinos
 - with interactions described by the standard model
 - with masses and mixing
- negligible feedback of neutrino mass generation on the standard model

Old physics - new physics

Generation of neutrino implies new interactions, new physics

Neutrino masses



OLD PHYSICS:

ν_R RH components exist
usual Yukawa interactions:

$$h \bar{L} H \nu_R + h.c.$$

$$h = 10^{-11}$$

Consistent, stable,
no feedback

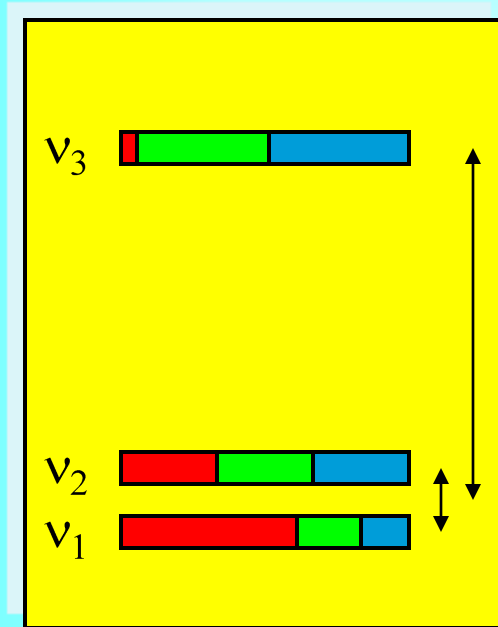
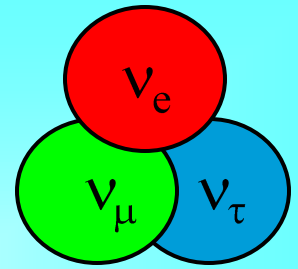
"Proof of principle"

NEW PHYSICS

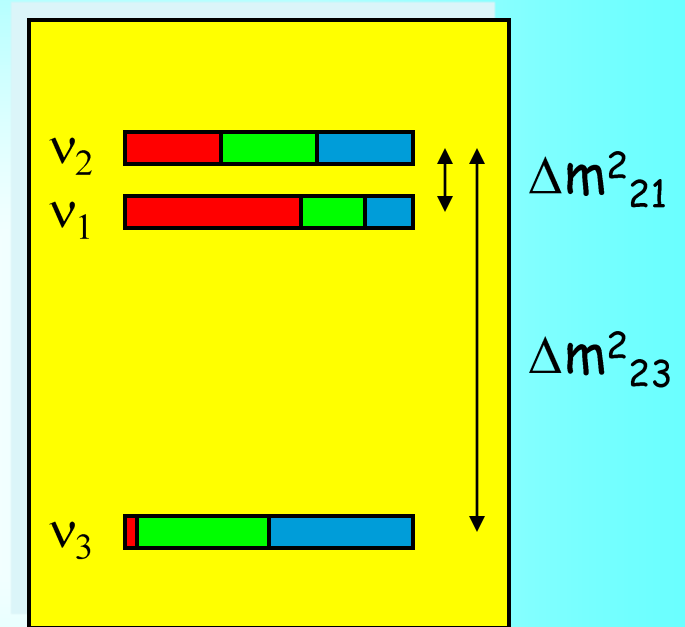
See-saw,
radiative, etc

Feedback may be
substantial,
verifiable

Mass and flavor spectrum



FLAVOR
Normal mass ordering



FLAVOR
Inverted mass ordering

Still missing:

- mass ordering
- CP-violation
- absolute mass scale
- 2-3 mixing octant
- nature

That's all?

The biggest question and the biggest problem

Still we need to

Exclude the eV-mass sterile neutrino, measure the remaining parameters of spectrum (mass ordering, CP phase, absolute masses, establish nature of neutrinos ...) this may take 20 - 30 years

This may not be enough even with all other data from LHC, etc. to establish origins of neutrino mass (identify mechanism of mass generation, uncover related physics). Similar situation is in the quark sector now.

We will continue to test 3nu paradigm and perform blind unmotivated searches for new physics.

Elaborate remaining phenomenology (physics at the next level precision), especially in neutrino astrophysics (SN neutrinos, cosmic neutrinos of high energy, relic neutrinos)

Applied neutrino physics will be developed

Neutrinos as the tool, probe of different objects from quarks and hadrons nuclei to stars, Galaxies, large scale structures of the Universe

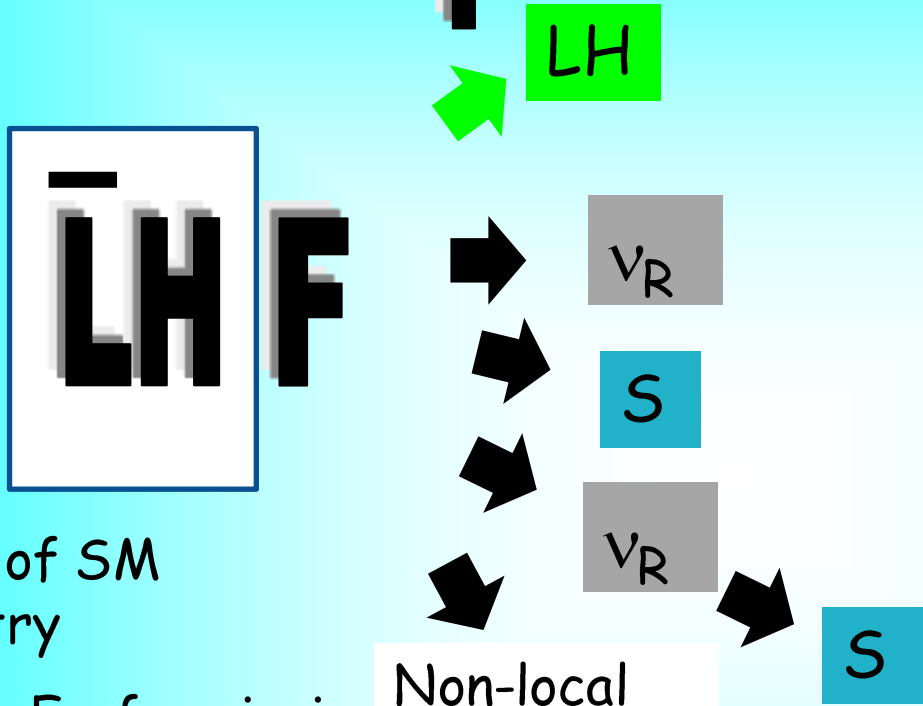
Neutrino technologies, control of reactors, neutrino geophysics, neutrino oscillation tomography

Still the hope is that neutrinos

will uncover New physics beyond the standard model, and shed some light on other problems of particle physics

Neutrino portal

Neutrino are special



via the portal:

- Neutrino mass - seesaw
- Large lepton mixing
- Non Standard Interactions

SM is well protected

Singlet of SM symmetry group

F - fermionic operator

Non-local interactions

Interactions which violated fundamental symmetries

Singlet of symmetry group of hidden sector

$$\frac{1}{\Lambda^{n(F) - 3/2}} L H F$$

Connection to the Higgs portal: $H^+ H$

Nature of neutrino mass



Two aspects

Similar to cosmological constant

Smallness:

is the neutrino mass of the same origins as masses of other particles?

Notice, oscillations test immediately dispersion relations and not masses

Suppression wrt. the EW scale

see-saws type-I does both simultaneously incomplete suppression

Finite value

Mechanisms unrelated to suppression

No RH component Dirac mass can not be formed

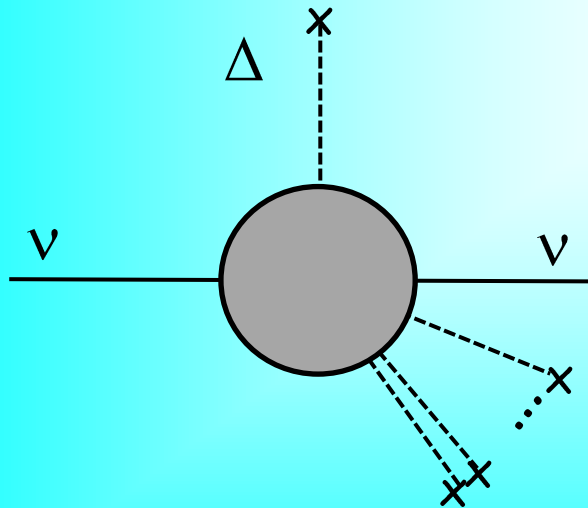
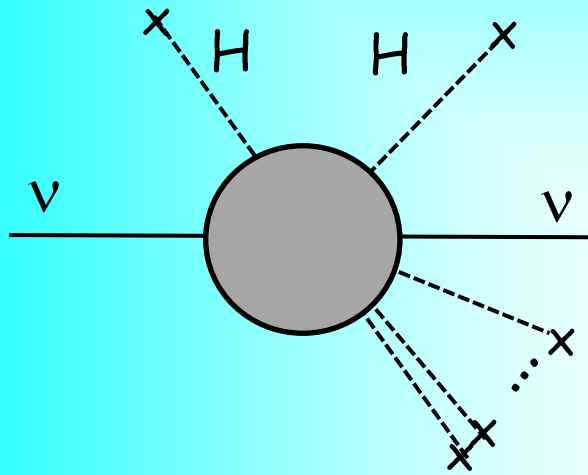
symmetry

See-saw or multi-singlet mechanisms - suppression only finite contribution negligible

Seesaw type II

Radiative mechanisms

Origins of (finite) mass



Hard mass related to the EW scale

small effective coupling

small induced VEV formed by large VEV's (seesaw II)

Soft mass

VEV created at small scales

melting at $T \sim \text{VEV}$

MAVAN

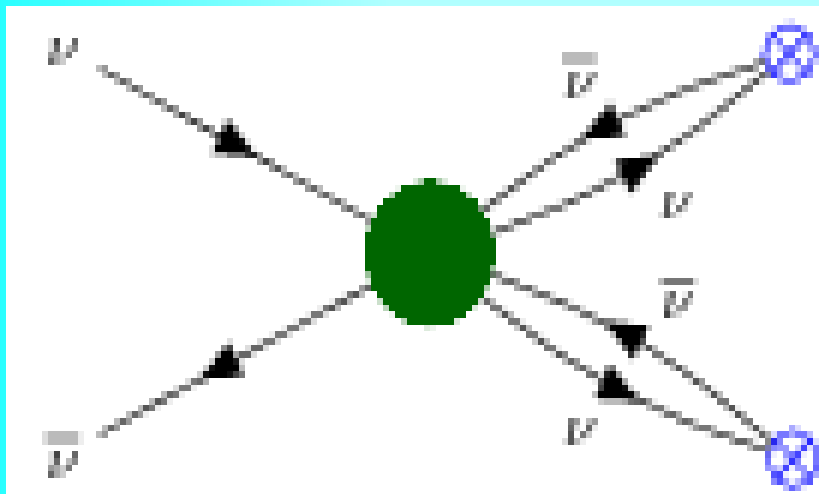
Environment dependent masses relic neutrinos

Gravitationally induced mass

Melting couplings

Similarly for Dirac neutrinos

Soft couplings and small VEV's



Small neutrino masses
from gravitational θ -term

*G. Dvali and L. Funcke,
Phys.Rev. D93 (2016) no.11, 113002
arXiv:1602.03191 [hep-ph]*

Neutrino mass generation
through the condensate
(crossed blue circles) via
Non-perturbative interaction
(green circle).

Certain generic features independent on specific
scenario can be considered on phenomenological level

Tests of Nature of neutrinos

Extraction of masses, mass squared differences from processes at different conditions

Searches for dependence of mass on external variables

Vacuum - media with different densities, fields

Solar neutrinos-
KamLAND

Energies (in medium, or if Lorentz is violated)

Epochs (red shifts)

MAVAN

Momentum transfer

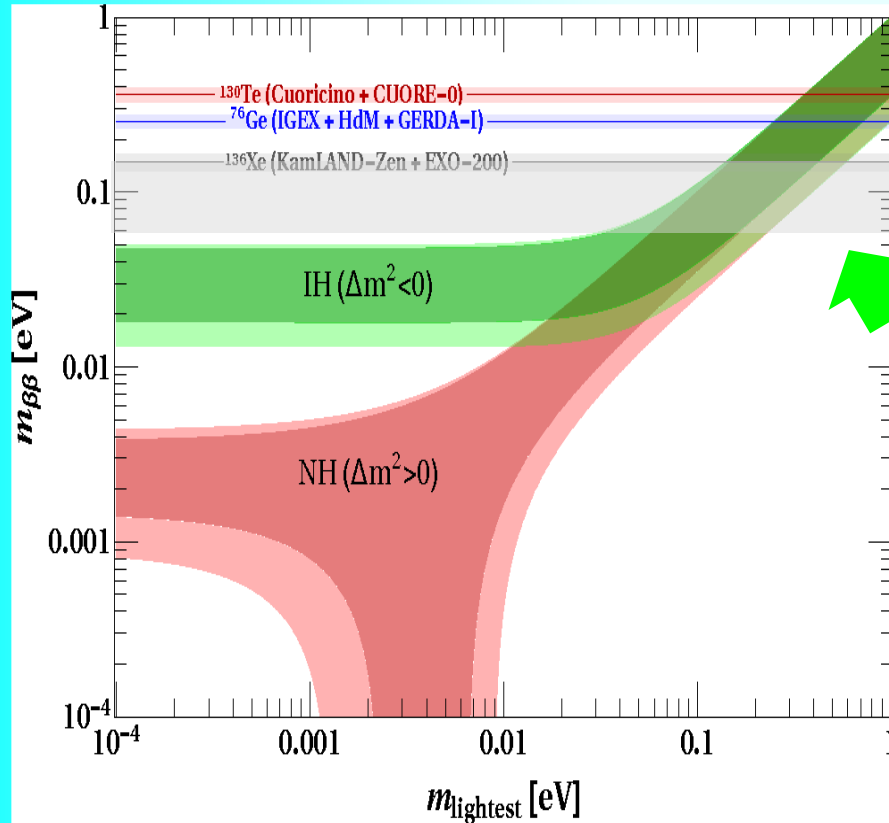
On shell - off shell

Neutrinoless Double beta decay - unique?

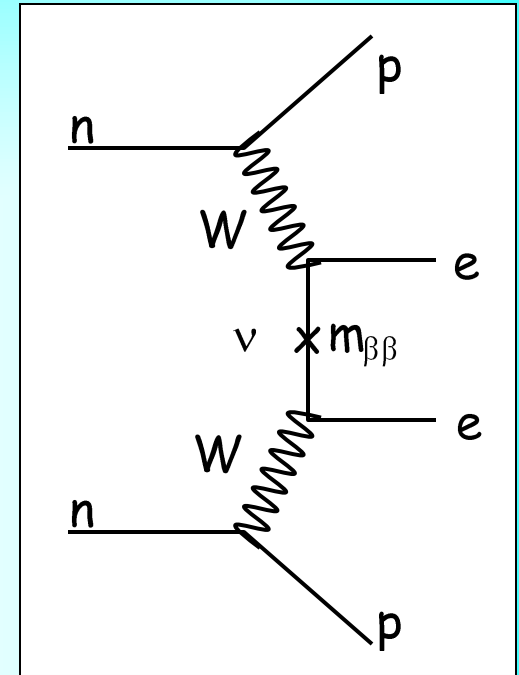
Double beta decay

NH: $m_{\beta\beta} = (2 - 4) \text{ meV}$
 10 tons for NH

$$m_{\beta\beta} = U_{e1}^2 m_1 + U_{e2}^2 m_2 e^{i\alpha} + U_{e3}^2 m_3 e^{i\phi}$$



Approaching IH band



KamLAND-Zen

$m_{\beta\beta} < (60 - 161) \text{ meV}, 90\% \text{ CL}$
 Depending on NME

A. Gando, et al,
1605.02889 [hep-ex]

Reconstruction of mass and flavor spectrum



Neutrino mass ordering

First glimpses

sensitivity: from atmospheric data, NOvA, also Cosmology

Phenomenology:

well elaborated (atm., accelerator, SN, $\beta\beta_{0\nu}$ -decay, ...)

Theory

some qualitative statements (IH - degeneracy), often - model and parameter dependent

Experiment

ORCA, PINGU, JUNO...

SN neutrino shells in Galaxy approach us!

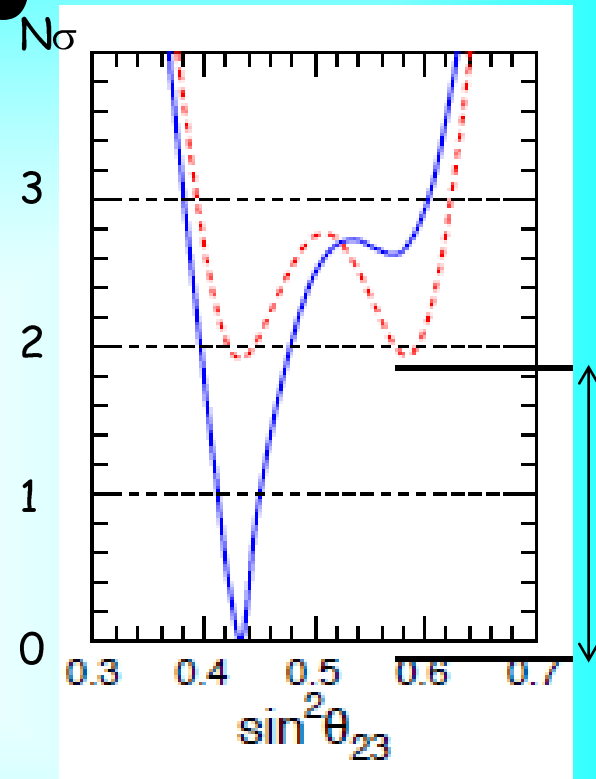
PROBLEM

One or another hierarchy exists - Fundamental discovery, no loss experiment, in contrast to.....

CHIPS PACIFIC

Stronger support of ORCA

Global fit



F. Capozzi et al, NOW 2016

but $\Delta\chi^2 = 1$ in NuFIT 2016 without atm. nu

CP-violation phase

First glimpses

- LBL + solar + reactors + atm.
- CPV: 2.4σ
- hint for maximal CPV

$$\delta \sim -\pi/2$$

Stat. Fluctuation, systematics?

See how things will develop with new NOvA T2K data

J-PARC upgrade, key result in 2026
CPV discovery? Further strategy

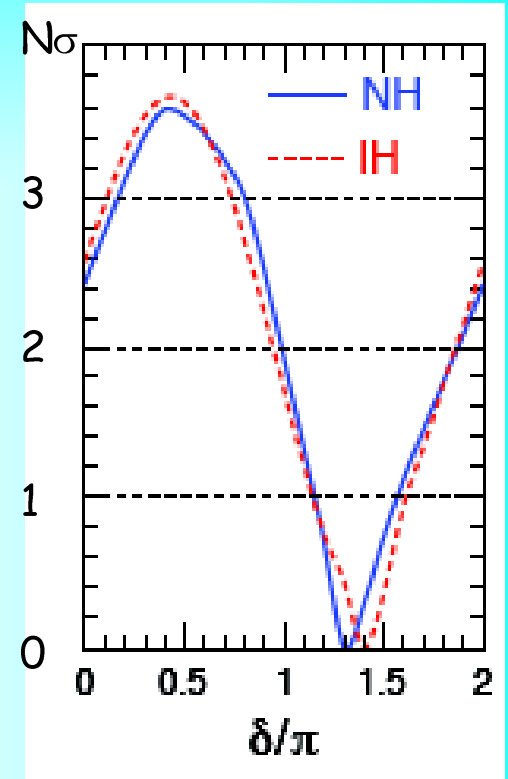
HK, DUNE precision measurements?
Low energy atm. neutrinos

PACIFIC

Theory

probe of the underlying physics,
enters various test equalities

Special value of δ - symmetry?



two minima
F. Capozzi et al,
NOW 2016

2-3 mixing, octant

Maximal or not?

T2K vs. NOvA / MINOS, **2.5 σ tension**

non-standard matter effect?

But IceCube ...

J. Liao, D Marfatia, K. Whisnant, 1609.01786

S. Fukasawa, M. Ghosh, O. Yasuda 1609.04204

Fragile Atm. Neutrinos, SK, Ice Cube

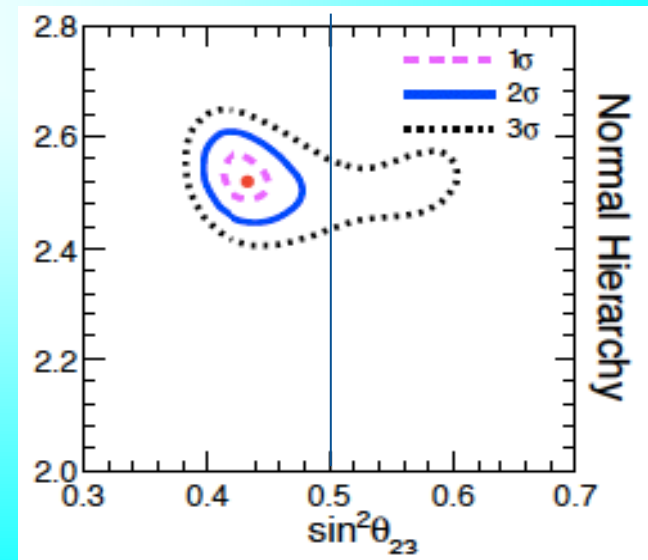
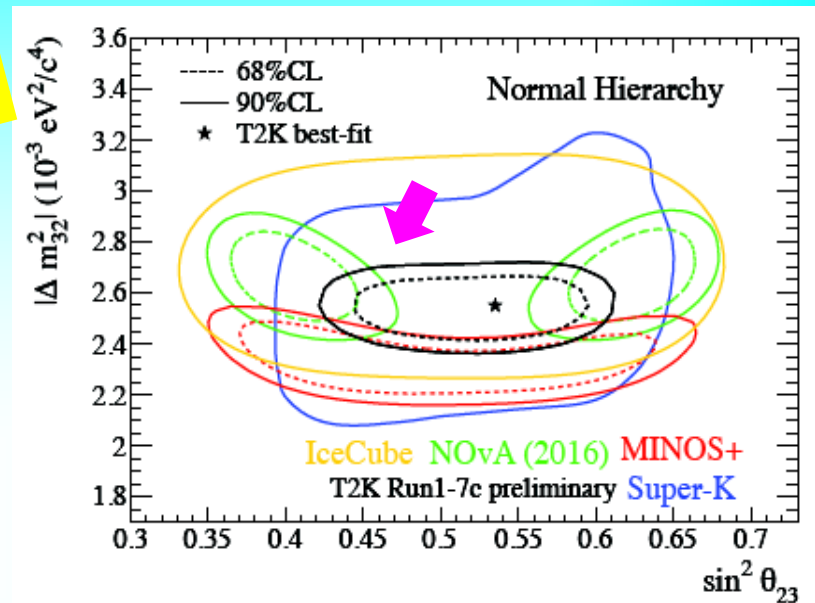
Affect sensitivity to mass hierarchy:
higher in 2nd octant

Crucial for existence of symmetry
behind the mixing pattern

the 2-3 deviation and 1-3 mixing related
→ from violation of the 2-3 symmetry

*F. Capozzi et al,
NOW 2016*

Deviation from maximal



Absolute mass scale

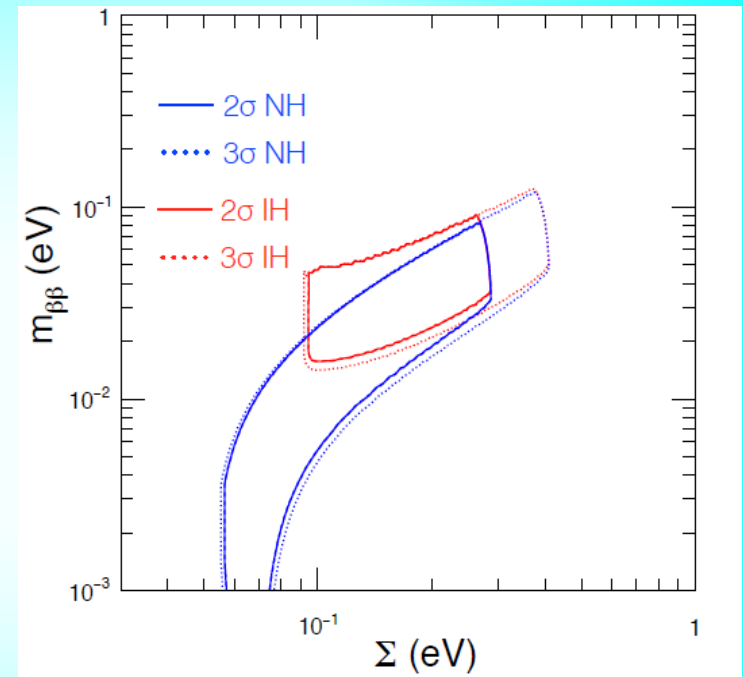
COSMOLOGY: restrictions on degenerate spectrum and even IH

KATRIN 2018

Reconciling Cosmology bound and KATRIN sensitivity
Late formation of the neutrino mass

G. Dvali

Neutrinoless double beta decay
Does not exist



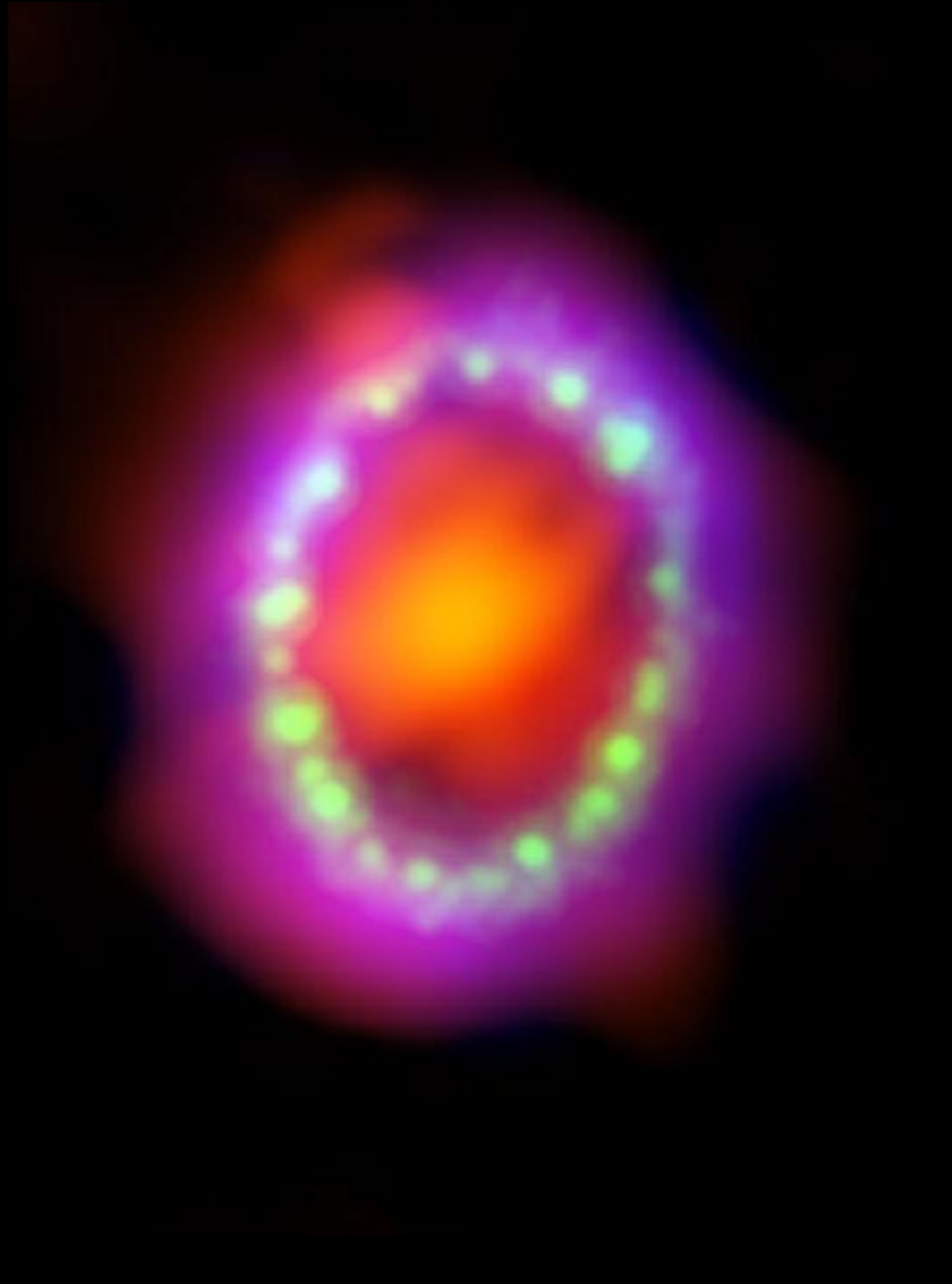
F. Capozzi, et al

weak

Remaining Phenomenology

Accomplish...

New neutrino sources



Natural neutrino sources and fluxes

- Astrophysics: origins, sources
- Propagation, properties of space-time, Universe content
- particle physics, new interactions

HE Cosmic neutrinos

Galactic contribution?
Anisotropy?
Energy spectrum

Solar atmospheric neutrinos

New computations
physics
observation

Relic neutrinos

- properties
- Local density (depends on mass hierarchy)
- PTOLOMY

Neutrinos from Dark Matter

Decay, annihilation

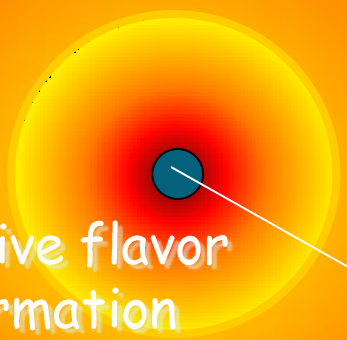
Supernova neutrinos: collective oscillations

Still far from understanding possible effects in realistic conditions

Are effects artefacts of simplification, approximations, symmetry?

Linear analysis of instability enough?

Fast flavor conversions ...
Fast pairwise conversion
Fast neutrino flavor conversions near the supernova core with ...



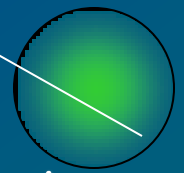
Collective flavor transformation

Shock wave effect on conversion

MSW flavor conversion

Self-induced flavor conversion on small scales

Propagation in vacuum



Oscillations inside the Earth

With known 1-3 mixing all MSW transitions are adiabatic

Solar neutrinos

persisting tension:

Absence of upturn of the spectrum

at about 3σ - level

Large D-N asymmetry

1.6 times difference of values of Δm^2_{21} extracted from solar and KamLAND data

1.6 times larger value of matter potential extracted from global fit

another reactor anomaly or new physics?

Fluxes:

precise measurements of the pp-neutrino flux
also other fluxes % - sub % accuracy

CNO neutrinos

BOREXINO?

chemical abundance - helioseismology - SSM

D-N asymmetry, Earth matter effect
(zenith angle - energy dependence)

Neutrino oscillation
tomography, attenuation

Beyond

3v - paradigm



Indications and Anomalies

Experimental:

LSND
MiniBooNE

Gallium REACTORS

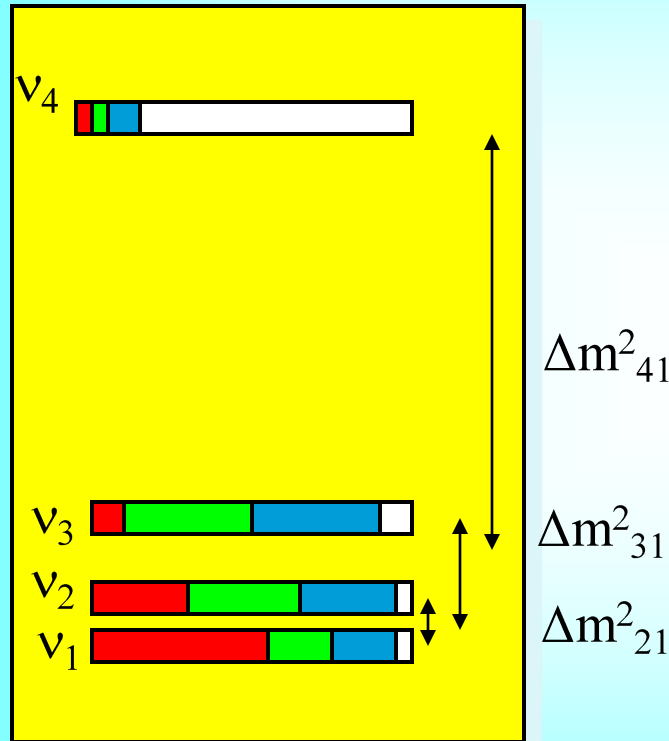
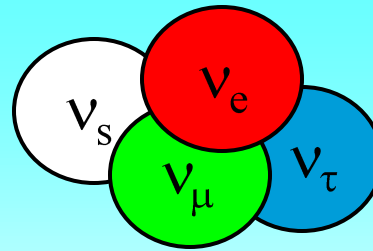
Solar-KamLAND
 Δm^2

Connection to
other anomalies,
Problems (common
solutions)

Theoretical
motivations:
Understanding
Mass and mixing,
Unification etc

(3 + 1) scheme

Interpretation



After the dust settle down

Strong perturbation of 3 ν pattern:

$$\delta m_{\alpha\beta} \sim m_4 U_{\alpha 4} U_{\beta 4} \sim \sqrt{\Delta m_{32}^2}$$

Effect of possible sterile neutrinos can be neglected if

$$\delta m_{\alpha\beta} \ll \frac{1}{2} \sqrt{\Delta m_{21}^2} \sim 3 \cdot 10^{-3} \text{ eV}$$

$$|U_{\alpha 4}|^2 < 10^{-3} (1 \text{ eV}/m_4)$$

Related:

Non-unitarity

Non-universality

- additional radiation in the Universe
- bound from LSS

Race for Nothing?

Sterile revolution 2012. After discovery of 1-3 mixing

- Rich phenomenology
- Relatively cheap, and fast realization
- Little chance to discover compensated by strong impact of positive result

Redundant negative results

Daya-Bay

IceCube, DC

MINOS, MINOS+

SOX

PROSPECT

NEOS

ICARUS

MicroBooNE

SBND

SoLid

DANSS

Stereo

JSNS (J-PARC E-56)

Neutrino-4

Non-standard interactions

Motivation, indications

Solar neutrinos - KamLAND

$$\text{Effects} \sim \Delta m_{21}^2 / V$$

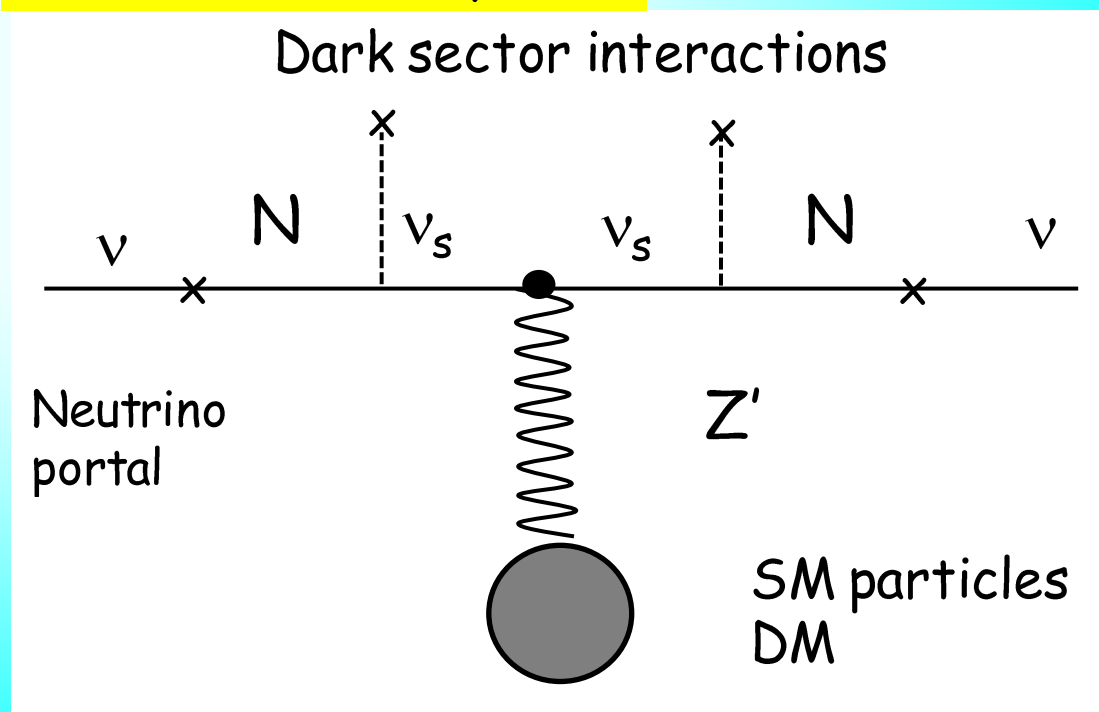
J-PARC - NOvA/MINOS

New light sector

NSI via neutrino portal

Affects potential
of sterile neutrinos

F. Capozzi, et al
1702.08464 [hep-ph]



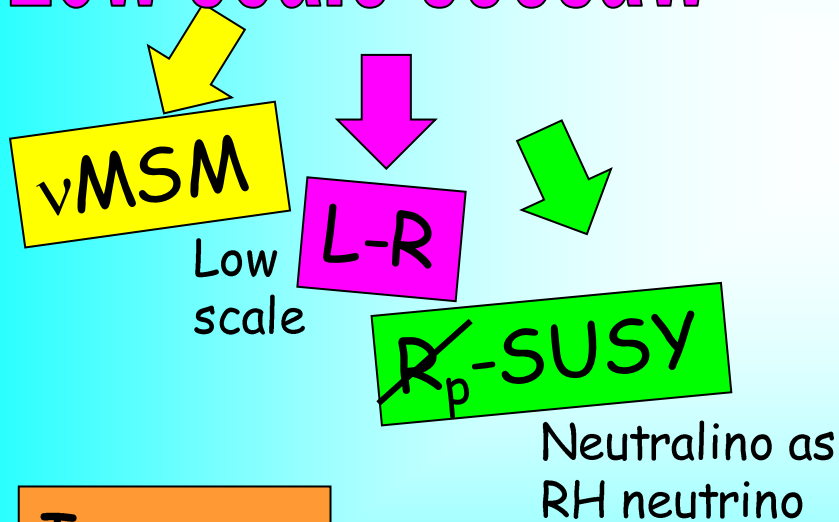
Behind neutrino mass and mixing



High or low scale

- No hierarchy problem (even without SUSY)
- testable at LHC, new particles at 0.1 - few TeV scale
- LNV decays

Low scale seesaw



Radiative

One loop

Two loops

Three loops

Four loops

High dimensional operators

Radiative seesaw

Small VEV

Higgs Triplet

New Higgs doublets

Inverse seesaw

Connection to Dark Matter

Mixing and symmetry

Real or accidental?

*P. F. Harrison, D. H. Perkins, W. G. Scott
L. Wolfenstein*

Tri-bimaximal mixing

$$U_{\text{tbm}} = \begin{pmatrix} \sqrt{2/3} & \sqrt{1/3} & 0 \\ -\sqrt{1/6} & \sqrt{1/3} & -\sqrt{1/2} \\ -\sqrt{1/6} & \sqrt{1/3} & \sqrt{1/2} \end{pmatrix}$$

0.15
0.62
0.78

$$U_{\text{tbm}} = U_{23}(\pi/4) U_{12}$$

$$\sin^2 \theta_{12} = 1/3 \quad 0.30 - 0.31$$

Accidental, numerology, useful for bookkeeping

Accidental symmetry (still useful)

There is no relation of mixing with masses (mass ratios)

Not accidental

Lowest order approximation which corresponds to weakly broken (flavor) symmetry of the Lagrangian

with some other physics and structures associated
flavons other new particles

Tests:

Sum rules... But in most of situations - just accidental, rather than follow from symmetries

Neutrinos and Unification

Do small neutrino masses indicate existence of high scale?

Leptons and quarks: similarity - unification

The simplest connection:

See-saw type-I

$$M_R \sim \frac{V_{EW}^2}{m_\nu} = 10^8 - 10^{14} \text{ GeV}$$

$$M_{3R} \sim M_{GUT} = 10^{16} \text{ GeV}$$

still possible

$$M_R \sim \frac{M_{GUT}^2}{M_{PL}}$$

Seesaw type II - more complex connection

Corrections to Higgs mass if no SUSY?

Double seesaw connection to the Planck scale

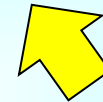
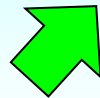
Unification and difference of quark and lepton mixing patterns?

especially if common flavor symmetry is introduced

The difference is due to mechanism of neutrino mass generation but in the simplest seesaw (strong mass hierarchy, small mixing)

Quark and lepton mixing

$$U_{PMNS} = U_{CKM}^\dagger U_X$$



From the Dirac matrices
of charged leptons and
neutrinos

Related to mechanism
that explains smallness of
neutrino mass

New neutrino
structure

Two types of new physics ?

CKM type new physics

Neutrino new physics

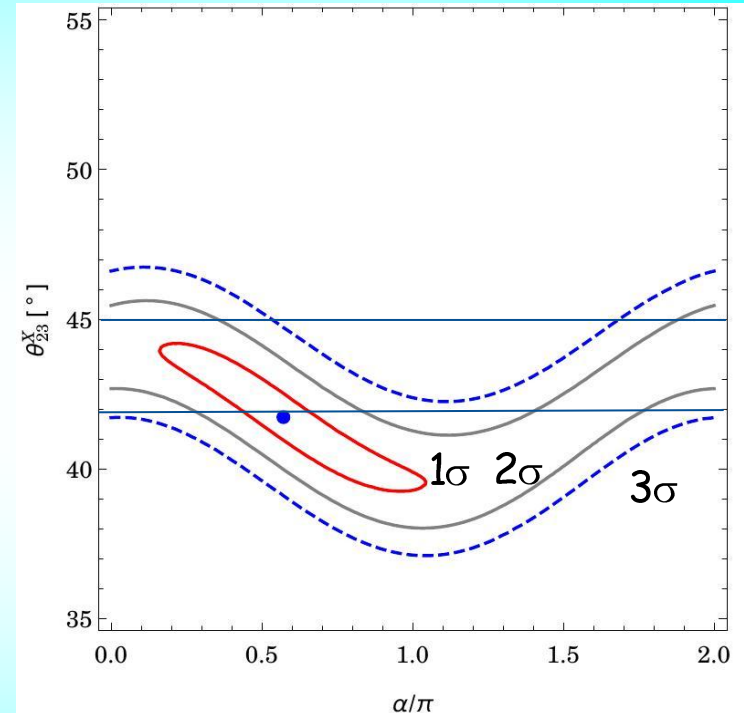
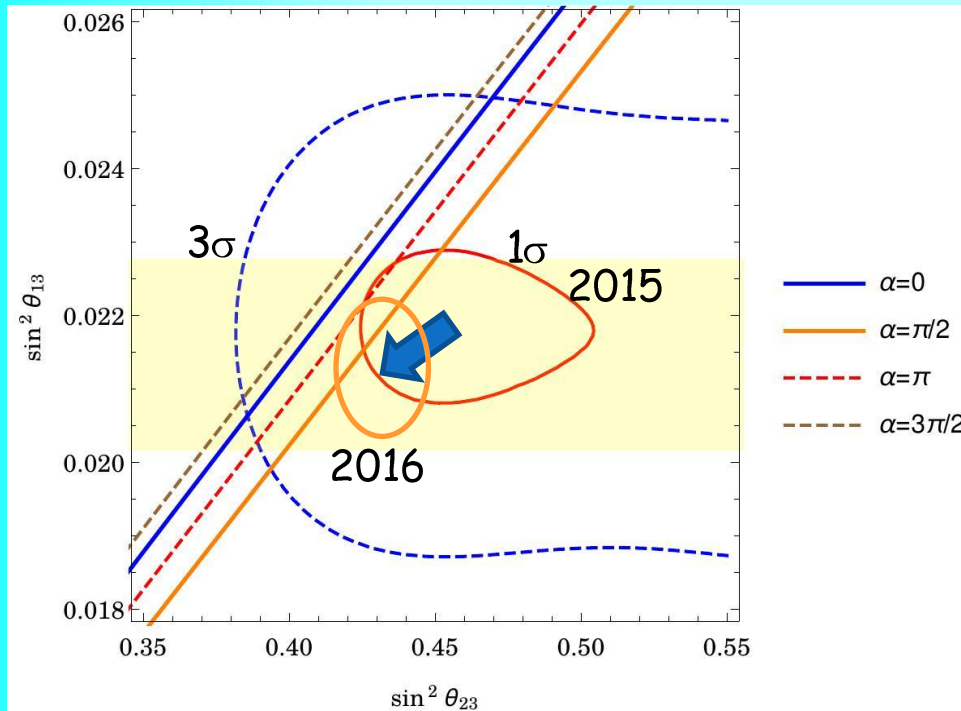
Can be naturally realized in the seesaw type I which after all is the most appealing mechanism of explanation of smallness of neutrino mass

General relation

Normal mass ordering

$$\sin^2 \theta_{13} = \sin^2 \theta_{23} \sin^2 \theta_c (1 + O(\lambda^2))$$

$$\lambda = \sin \theta_c$$



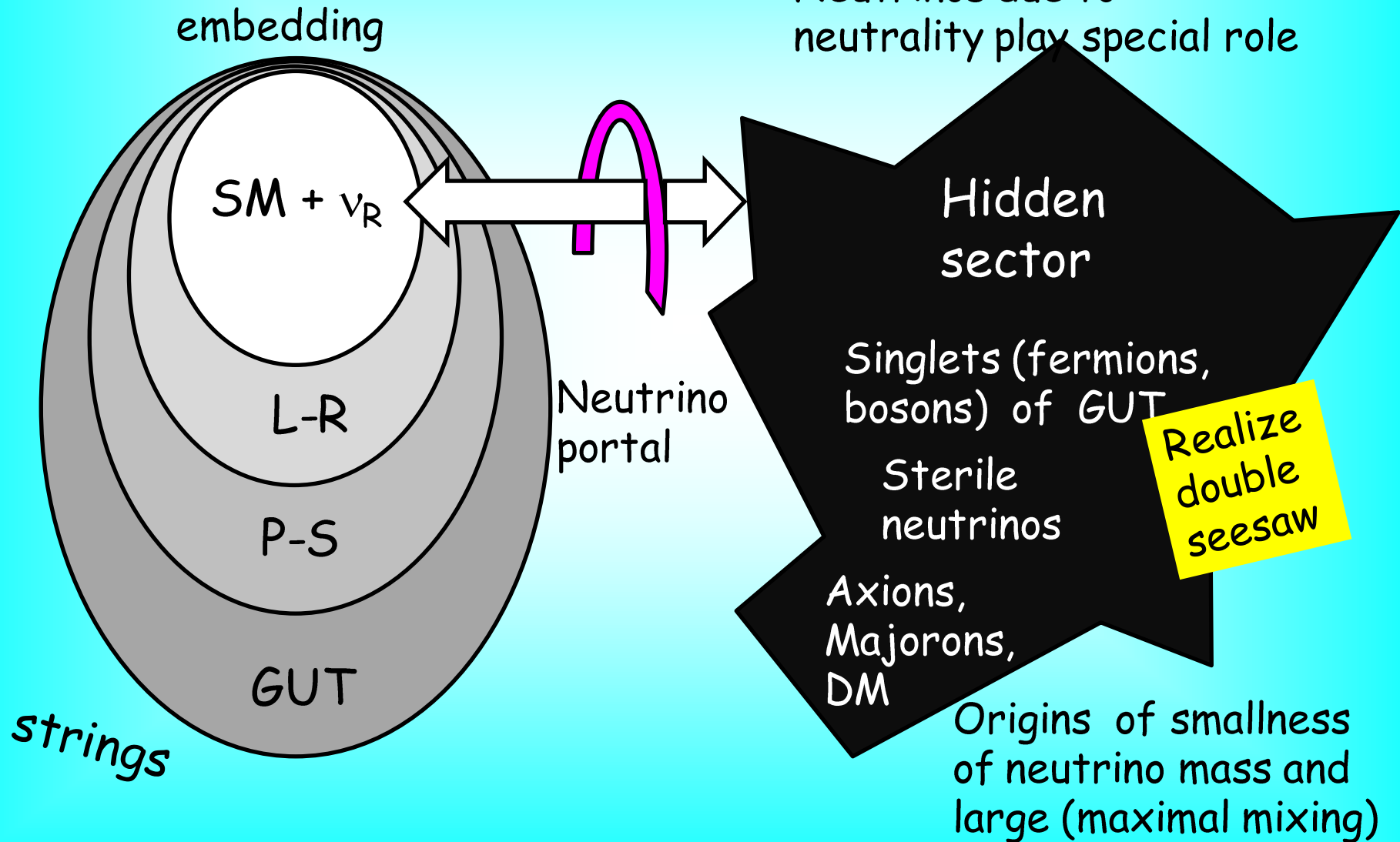
Dependence of 1-3 mixing on 2-3 mixing for different values of the phase α . Allowed regions from the global fit NuFIT 2015

Allowed values of parameters of U_x
Best fit value: $\theta_{23}^x = 42^\circ$

RGE effect from maximal mixing value at high scale

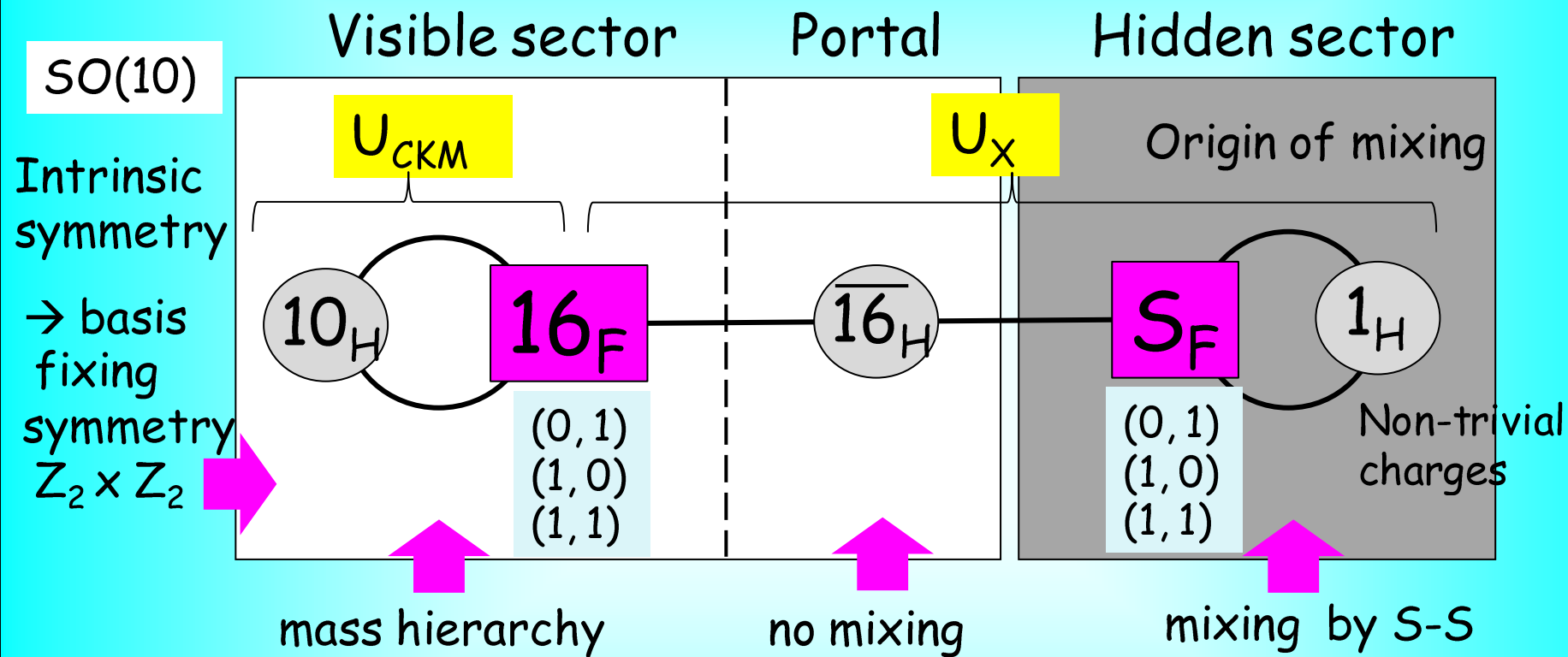
Mass and mixing from Hidden world

Neutrinos due to neutrality play special role



Realization scheme

Patrick Ludl, A.S
arXiv:1507.03494 [hep-ph]



CKM mixing -
additional
structures

$$m_D \sim M_D = \text{diag}$$

$$M_X = d^T M_S d$$

Double seesaw

due to non-trivial
 $Z_2 \times Z_2$ charges of 1_H

$M_S \sim$ non-diagonal, can be
further structured by
Non-abelian G_{hidden}

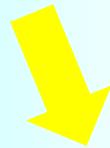
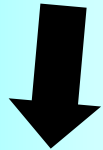
Neutrino Connections



Connections

Any discovery in these fields can have impact on neutrinos
→ Solutions in parallel sessions

Neutrinos



LHC observables

Higgs physics

Dark matter

Lepton
Flavor
Violation

Axions

Dark energy

$(g-2)_\mu$

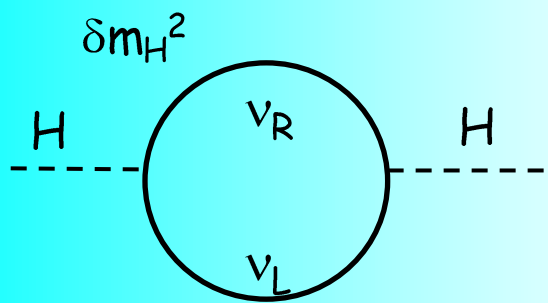
Anomalies
In B-decays Lepton
universality

Model dependent,
not unique

ν - mass and Higgs physics

bottom -up

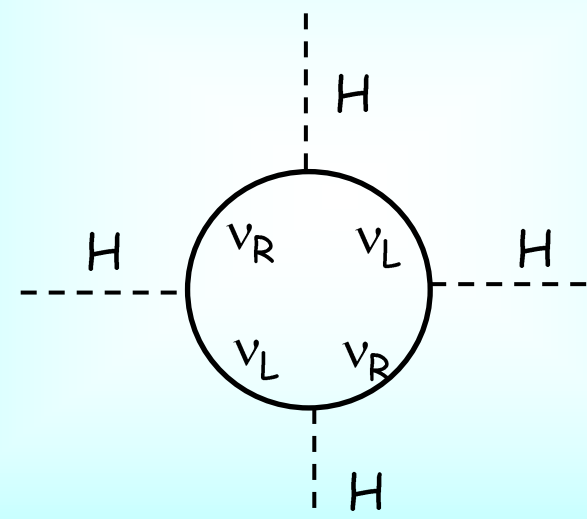
Correction to Higgs mass



Upper bound on mass
 $M_R < 10^7 \text{ GeV}$
 → leptogenesis?
 → cancellation (a kind of SUSY)

F. Vissani ...
J Elias-Miro et al,
R Volkas, et al,
M. Fabbrichesi ...

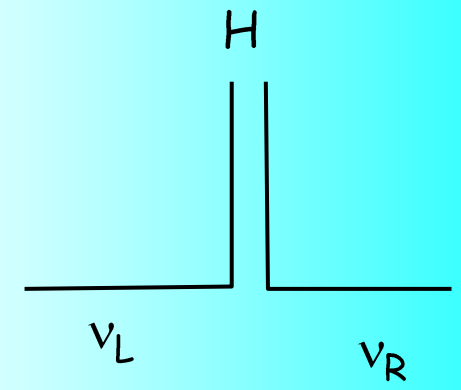
Correction to λ - 4 point coupling - vacuum stability



Other contributions from particles associated to neutrino mass generation, e.g. Higgs triplets

C. Bonila et al, 1506.04031

Higgs as composite state of neutrinos



New strong int.
 Generate 4 fermionic coupling

Recent:
J. Krog, C. T. Hill
1506.02843

Neutrino option?

Brivio, M. Trott,
1703.10924 [hep-ph]

Whole Higgs potential is generated
by the neutrino corrections

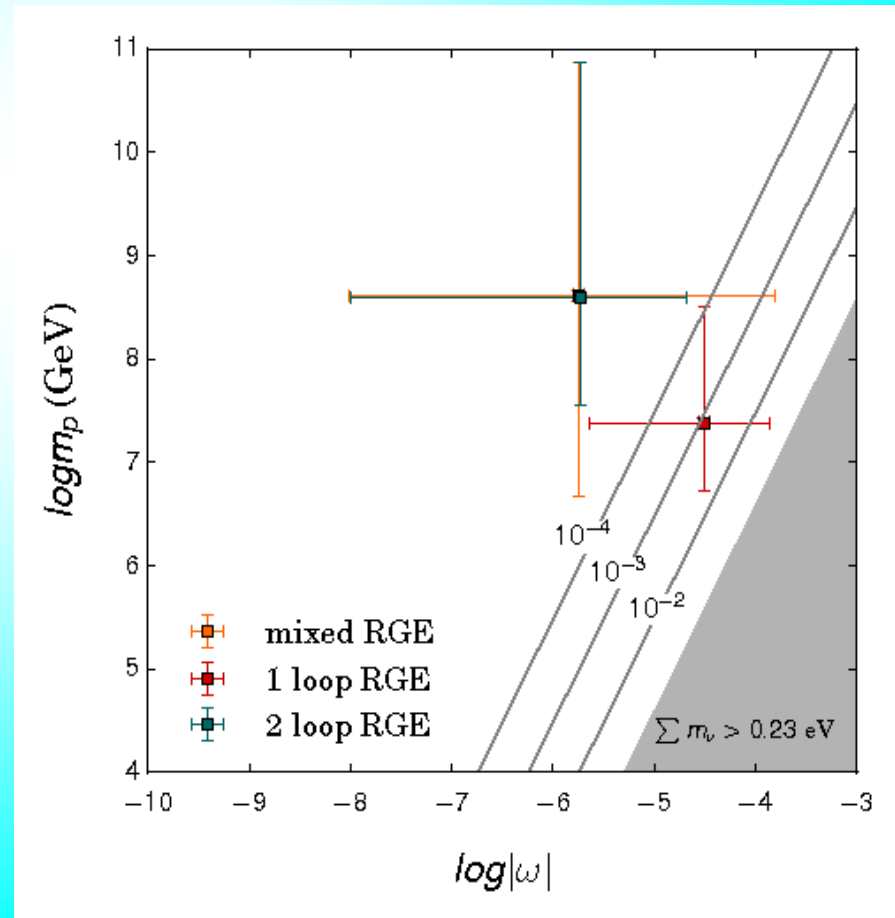
Both Higgs mass term and quartic
coupling (absent at tree level)
are generated by neutrino loops

RH neutrino masses is the origin
of the EW scale

$$M_R = 10^7 - 10^9 \text{ GeV}$$

$$h = 10^{-6} - 10^{-4.5}$$

Dirac Yukawa coupling



Neutrinos - Dark matter

Is the (hot) component of the DM

Mechanism of generation of small neutrino masses is related to DM



RH neutrinos as DM particles

Neutrino portal connects DM and neutrinos

DM particles participate (appear in loops) in generation of neutrino mass

The same symmetry is responsible for smallness of neutrino mass and stability of the DM

In conclusion



We measure neutrino parameters and neutrino interactions to establish the underlying physics and to use neutrinos with well known properties as tools for exploration of other objects

Neutrinos are unique in many senses and the hope is that their studies will uncover for us something fundamental which will shed light on existing problems in particle physics, astrophysics and Cosmology

At the same time, minimal scenario with Normal Ordering, no sterile and no NSI looks plausible

Back-up

Trends and implications

No new physics is found

No new physics at LHC in particular new physics which could be associated to low scale mechanisms of neutrino mass generation

- right Handed neutrinos, new heavy leptons
- right handed gauge bosons of the L-R symmetric models
- double charged scalars (of seesaw type II), etc
- new fermions and scalars which can participate in the radiative mechanism of neutrino mass generation

→ Bounds on masses / couplings of these new particles

No Lepton number violation, MEG, ...

Nothing yet at well motivated TeV-scale.

The next motivated scales are intermediate and then GUT

Neutrinos and Dark Universe

Active area of
research

Connection: Neutrinos - Dark matter

will be further explored

Also possible connections to Dark radiation, Dark energy

Neutrinos as probe of Dark Universe:

High energy cosmic neutrinos,
Relic supernova neutrinos

Very light sector
which may include

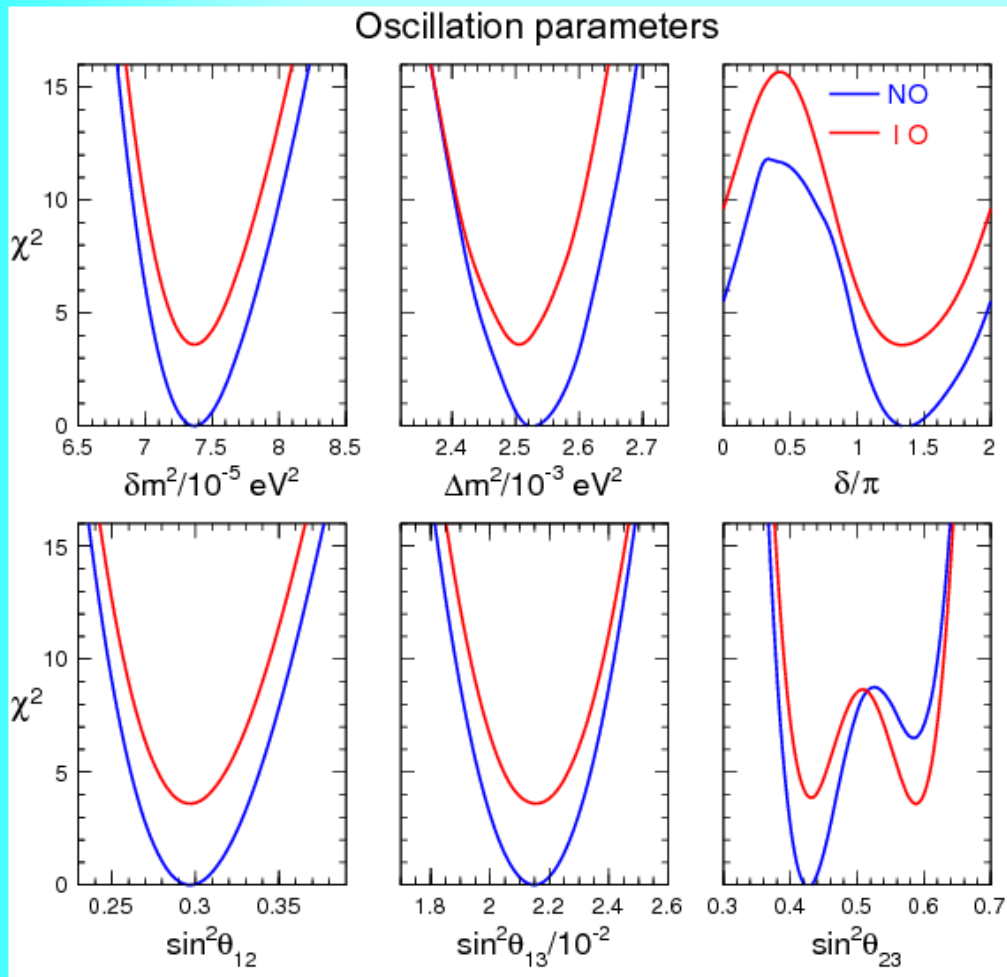
- new scalar bosons, majoron, axions,
- new fermions (sterile neutrinos, partially sterile),
- new gauge bosons (e.g. Dark photons)
- gravitinos

Neutrinos and Hidden/Dark Sector

Interaction via neutrino portal

New experimental techniques for low energy physics

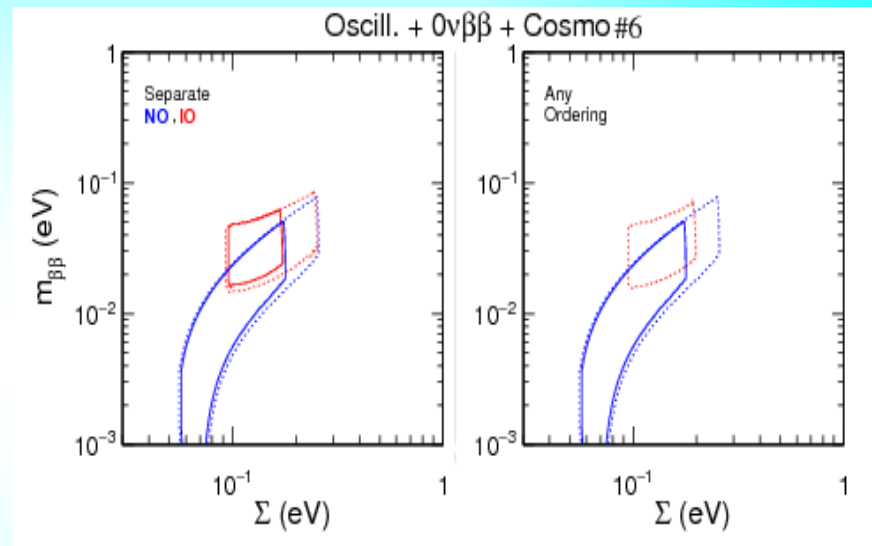
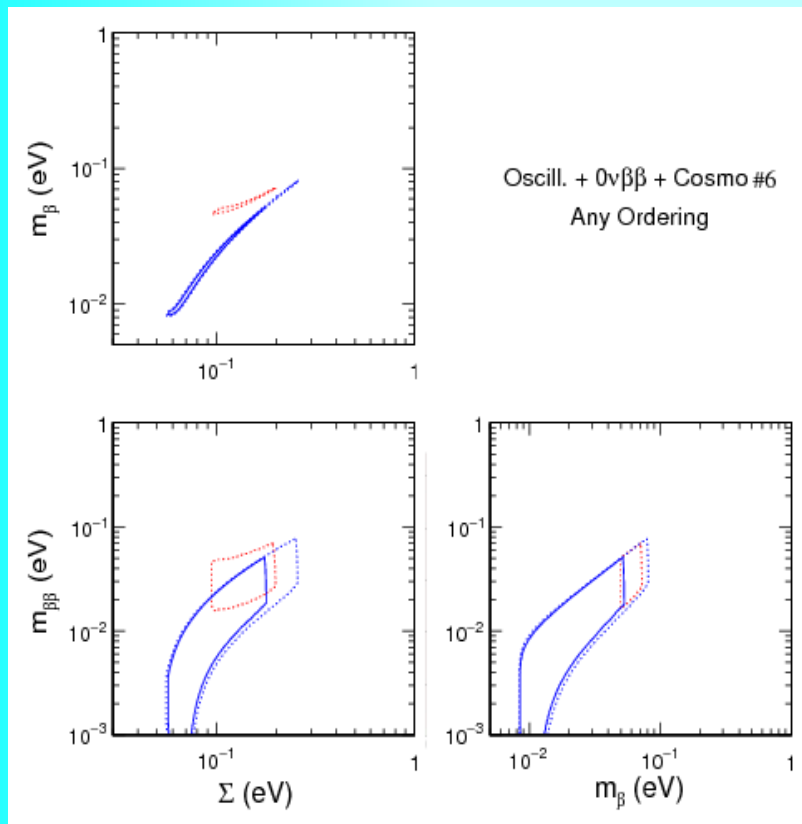
Mass ordering



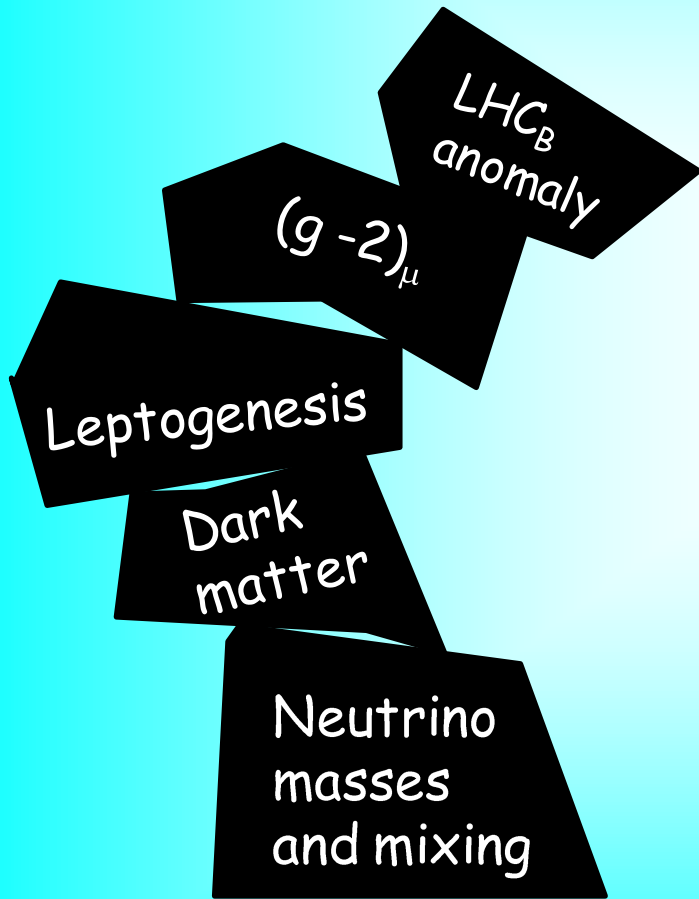
$$\Delta\chi^2_{\text{IO-NO}} = 3.6$$

Mass ordering

*F. Capozzi, et al. Phys.Rev. D95 (2017) no.9, 096014
arXiv:1703.04471 [hep-ph]*



Model building



Most of the possible signals are model dependent and scale dependent

If at very high scales -- hopeless?
indirect evidences?

predictions which testify for FS ?

Generic consequences?

Minimal model of flavor?

criteria

Models and BSM

Beyond
Sensible and
Motivated

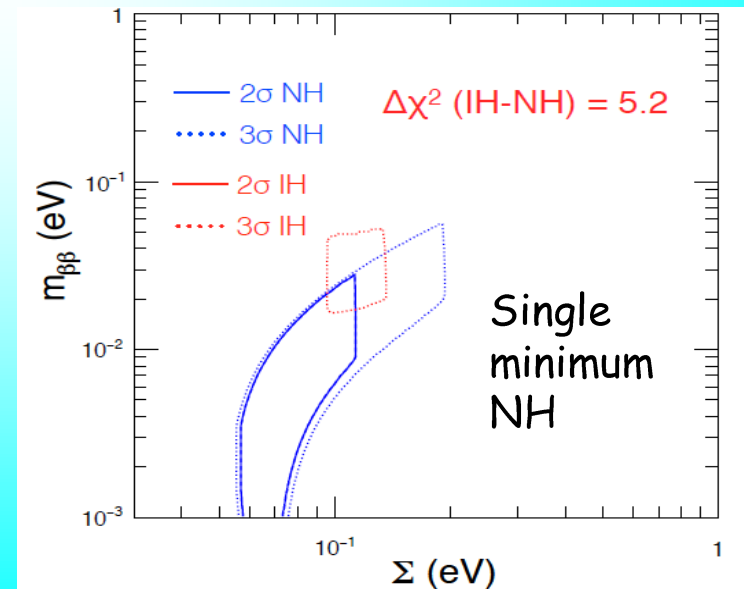
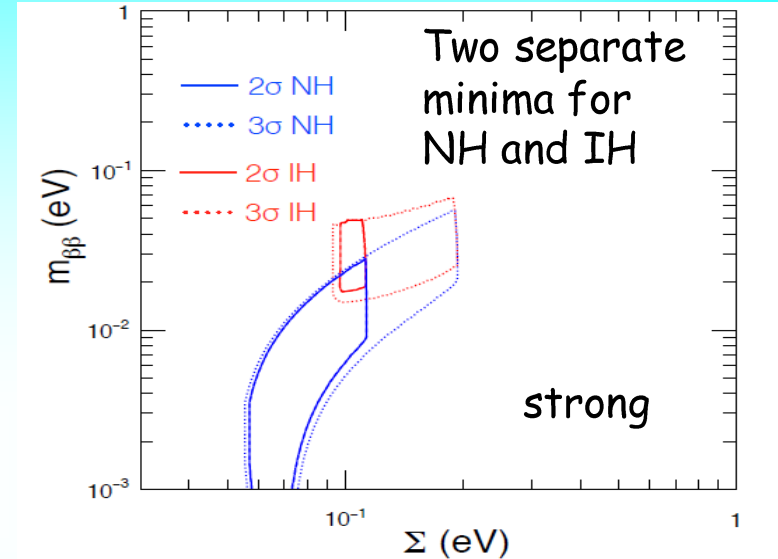
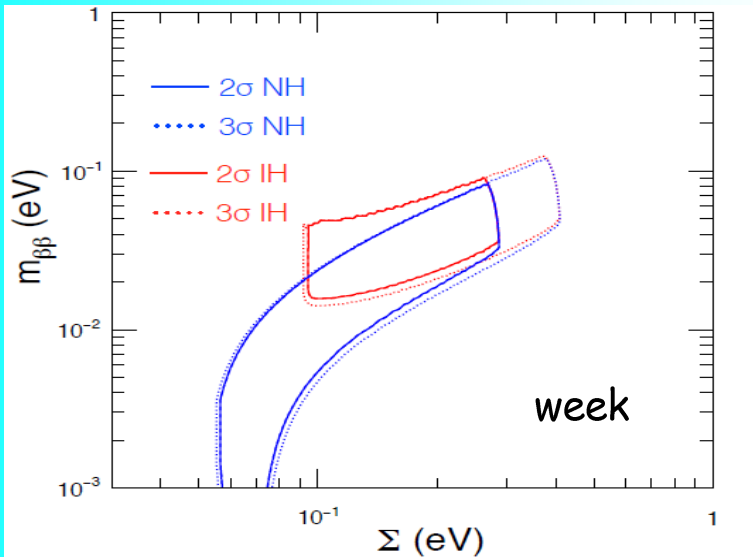
Absolute mass scale

*F. Capozzi et al,
NOW 2016*

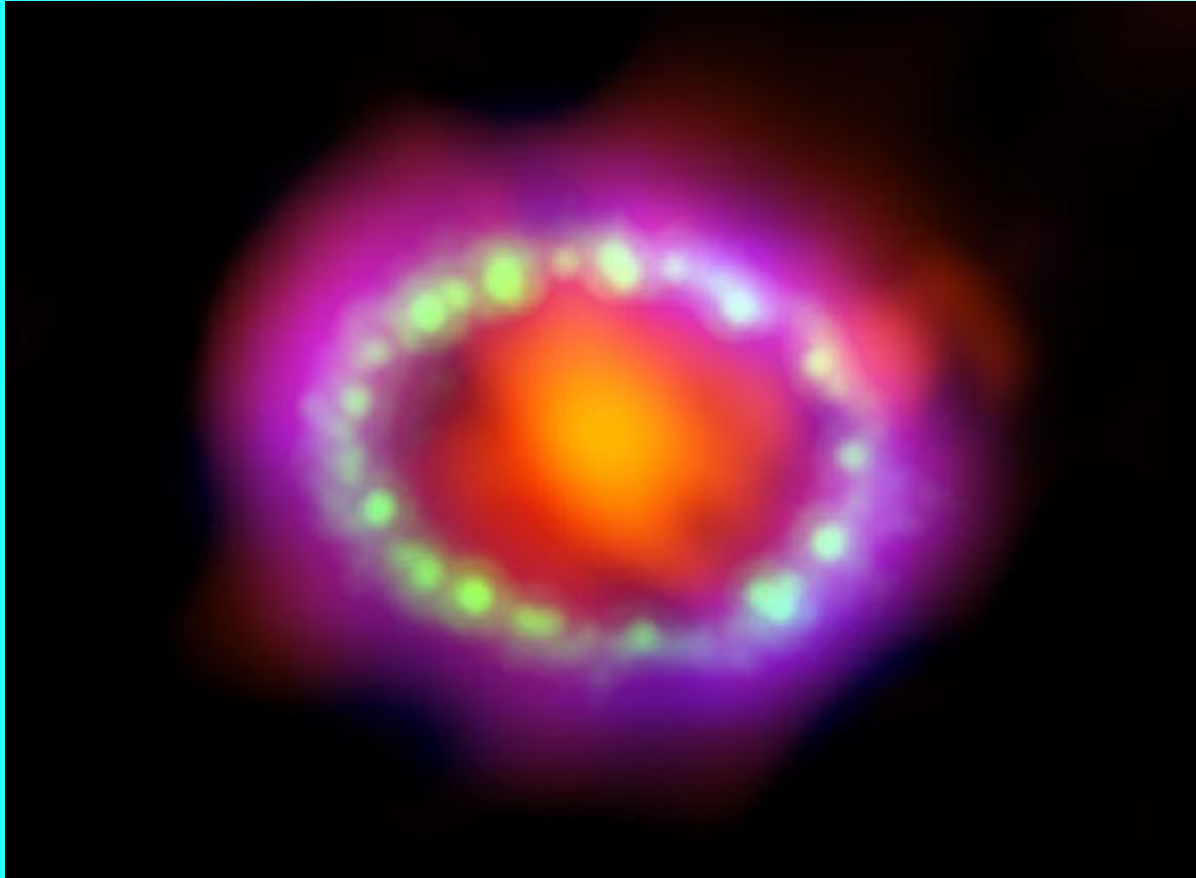
Bounds from oscillations
and cosmology

Cosmology start to restrict IH

$$m_{\beta\beta} = U_{e1}^2 m_1 + U_{e2}^2 m_2 e^{i\alpha} + U_{e3}^2 m_3 e^{i\beta}$$



SN 1987A



Composite image of the SN 1987A inner ring shows the fuzzy glow of X-rays seen by ALMA in orange. The green ring is visible light detected by the Hubble Space Telescope and the violet ring is the X-ray signal seen by Chandra.

(Courtesy: NASA / ESA / A Angelich (NRAO / AUI / NSF) / R Kirshner

(Harvard-Smithsonian CfA / Gordon and Betty Moore Foundation) / ALMA (ESO / NAOJ / NRAO) /

SN neutrinos

Fast flavor conversions of supernova neutrinos: Classifying instabilities via dispersion relations Francesco Capozzi , et al arXiv:1706.03360 [hep-ph] |

Flavor-dependent neutrino angular distribution in core-collapse supernovae Irene Tamborra, et al Astrophys.J. 839 (2017) 132, arXiv:1702.00060 [astro-ph.HE]

Prospects for Neutrino Spin Coherence in Supernovae.
James Y. Tian, et al .,Phys.Rev. D95 (2017) no.6, 063004
arXiv:1610.08586 [astro-ph.HE]

Fast Pairwise Conversion of Supernova Neutrinos: A Dispersion-Relation Approach, Ignacio Izaguirre, et al., Phys.Rev.Lett. 118 (2017) no.2, 021101, arXiv:1610.01612 [hep-ph] |

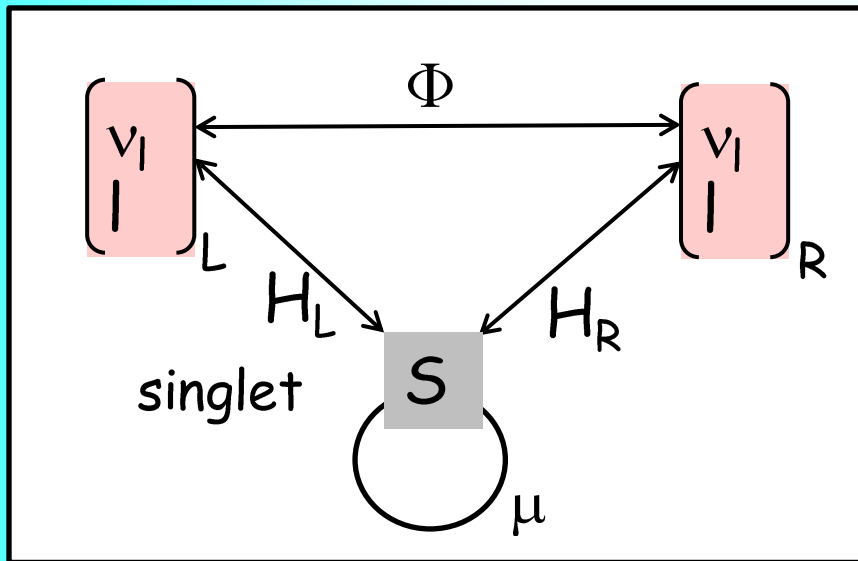
Fast neutrino flavor conversions near the supernova core with realistic flavor-dependent angular distributions Basudeb Dasgupta , et al., JCAP 1702 (2017) no.02, 019, arXiv:1609.00528 [hep-ph]

Left-right models

Natural realization of seesaw

If low scale - small Dirac Yukawa couplings

Usual coupling - linear or inverse seesaw



$$m_D = h \langle \Phi \rangle$$

$$M_L = h_L V_L$$

$$M_R = h_R V_R$$

$$m_\nu^{LS} = m_D^T M_R^{-1T} M_L + M_L^T M_R^{-1} m_D$$

$$m_\nu^{IS} = m_D^T M_R^{-1T} \mu M_R^{-1} m_D$$

but then $V_L \ll V_R$ is required

Embedding in SO_{10}

B. Dev