

# EFFECTS OF RGE'S ON FERMION OBSERVABLES IN $SO(10)$ MODELS

Based on 1409.3703 and 1612.07973  
in collaboration with Tommy Ohlsson and Stella Riad

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## *Main point*

in GUT theories the Lagrangian is written at the very large scale,  
so are the Yukawas

but masses and mixing are measured at the EW scale



Extrapolation by several order of magnitude must be done

**Question:** what if an energy threshold in non-SUSY GUT is present between EW and  $10^{16}$  GeV?

## What we have to explain

### Masses at ElectroWeak scale

#### leptons

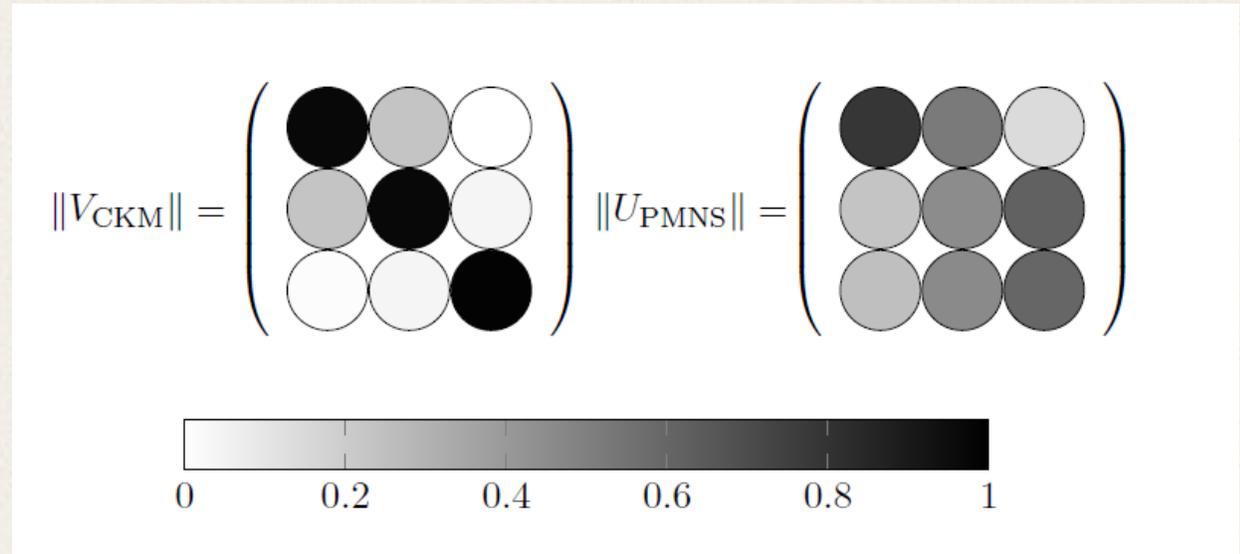
from MeV to GeV

#### quarks

from MeV to 100 GeV

for neutrinos:  $\sum_{i=1}^3 m_i \leq 1(\text{eV})$

### Mixing at ElectroWeak scale



completely different patterns

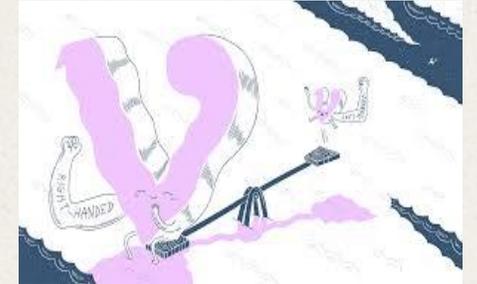
*for neutrinos*

**Type-I see-saw** (both left and right-handed helicity states)

$$\mathcal{L}_m = -Y_{ij} \bar{L}_i (\tilde{H} \nu_{Rj}) + \frac{1}{2} \bar{\nu}_{Ri}^c M_{ij} \nu_{Rj}$$

*m<sub>D</sub>*

$$m_\nu = -m_D^T M^{-1} m_D$$



*order of magnitude estimate:*

$$m_\nu \approx 1\text{eV} \quad m_D \approx 100 \text{ GeV} \quad \Longrightarrow \quad M \approx 10^{13} \text{ GeV}$$

**neutrino masses can shed light on the physics at a much higher scale!**

# $SO(10)$ as an example

16 :

$u_r : \{-+++-\}$	$d_r : \{-++-+\}$	$u_r^c : \{+--++\}$	$d_r^c : \{+---\}$
$u_b : \{+-+ +-\}$	$d_b : \{+-+ -+\}$	$u_b^c : \{-+-++\}$	$d_b^c : \{-+-\}$
$u_g : \{++-+-\}$	$d_g : \{++- -+\}$	$u_g^c : \{- -+ ++\}$	$d_g^c : \{- -+ -\}$
$\nu : \{---+-\}$	$e : \{--- -+\}$	$\nu^c : \{+++ ++\}$	$e^c : \{+++ -\}$

right-handed neutrino

if masses are given by the Higgs mechanism, we need  $SU(2)_L$  Higgs doublets

$SO(10)$

colored states  
not relevant here

$$10 = (1, \boxed{2}, 2) \oplus (6, 1, 1),$$

$$126 = (6, 1, 1) \oplus (\overline{10}, 3, 1) \oplus (10, 1, 3) \oplus (15, \boxed{2}, 2)$$

$SU(4) \times SU(2)_L \times SU(2)_R$

## $SO(10)$ as an example

$u_r: \{-+++-\}$	$d_r: \{-++-+\}$	$u_r^c: \{+--++\}$	$d_r^c: \{+---\}$
$u_b: \{+-+ +-\}$	$d_b: \{+-+ -+\}$	$u_b^c: \{-+-++\}$	$d_b^c: \{-+-\}$
$u_g: \{+++ +-\}$	$d_g: \{+++ -+\}$	$u_g^c: \{- - + ++\}$	$d_g^c: \{- - + -\}$
$\nu: \{--- +-\}$	$e: \{--- -+\}$	$\nu^c: \{+++ ++\}$	$e^c: \{+++ -\}$

$$\mathbf{10} = (1, 2, 2) \oplus (6, 1, 1),$$

$$\mathbf{126} = (6, 1, 1) \oplus (\overline{10}, 3, 1) \oplus (10, 1, 3) \oplus (15, 2, 2)$$

$$\mathcal{L} = \mathbf{16} (h \mathbf{10}_H + f \overline{\mathbf{126}}_H) \mathbf{16}$$

Yukawa couplings: 3x3 symmetric matrices

## Masses in $SO(10)$

as usual, masses in terms of Yukawas and vevs

$$\langle (1, 2, 2) \rangle = \mathbf{k}_{u,d}$$

$$\langle (15, 2, 2) \rangle = \mathbf{v}_{u,d}$$

$$\langle (10, 1, 3) \rangle = \mathbf{v}_R$$

$$M_u = h k_u + f v_u,$$

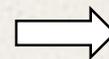
$$M_d = h k_d + f v_d$$

$$M_\nu^D = h k_u - 3 f v_u,$$

$$M_l = h k_d - 3 f v_d,$$

$$M_\nu^M = f v_R$$

$m_u$ (MeV)	$0.495 \pm 0.185$	$ V_{us} $	$0.2254 \pm 0.0006$
$m_d$ (MeV)	$1.155 \pm 0.495$	$ V_{cb} $	$0.04194 \pm 0.0006$
$m_s$ (MeV)	$22.0 \pm 7.0$	$ V_{ub} $	$0.00369 \pm 0.00013$
$m_c$ (GeV)	$0.235 \pm 0.035$	$J$	$(3.16 \pm 0.1) \times 10^{-5}$
$m_b$ (GeV)	$1.00 \pm 0.04$	$\sin^2 \theta_{12}^l$	$0.308 \pm 0.017$
$m_t$ (GeV)	$74.15 \pm 3.85$	$\sin^2 \theta_{23}^l$	$0.3875 \pm 0.0225$
$r$	$0.031 \pm 0.001$	$\sin^2 \theta_{13}^l$	$0.0241 \pm 0.0025$

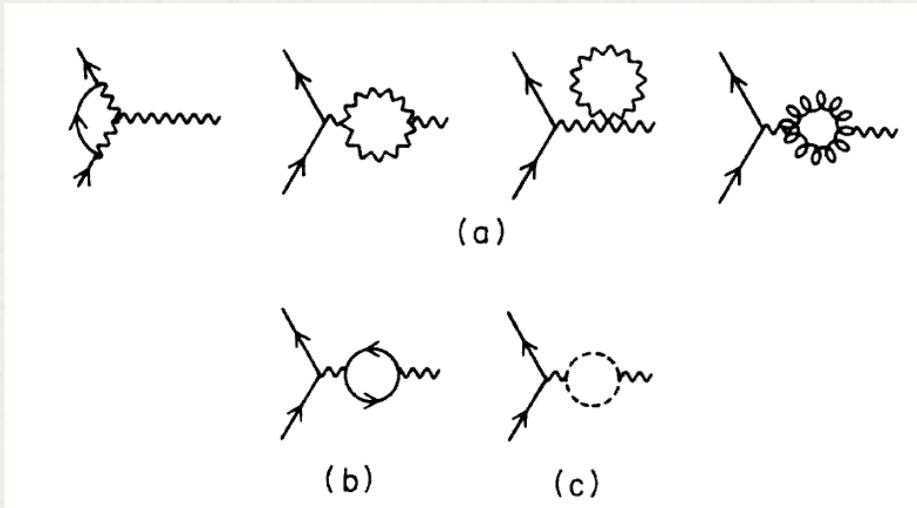


these numbers at the GUT scale have been obtained using SM beta functions

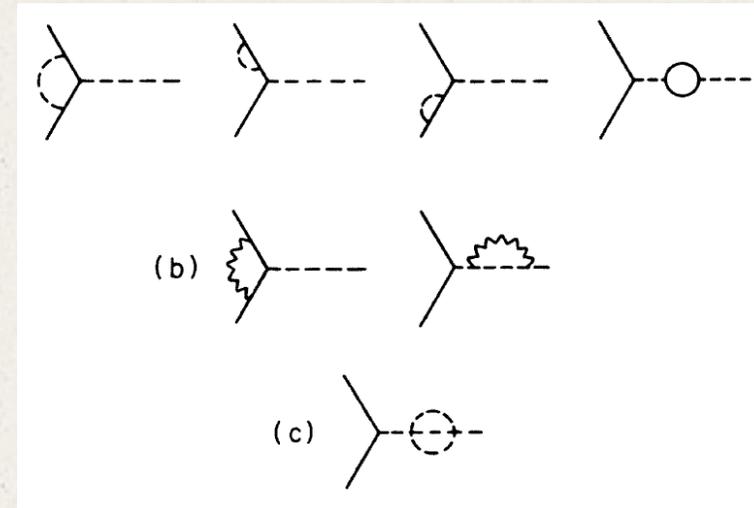
# Diagrams contributing to the RGE's

## Some typical diagrams

Contributions to the gauge coupling renormalization constants



Renormalization of the Yukawa couplings



corrections to the Higgs couplings

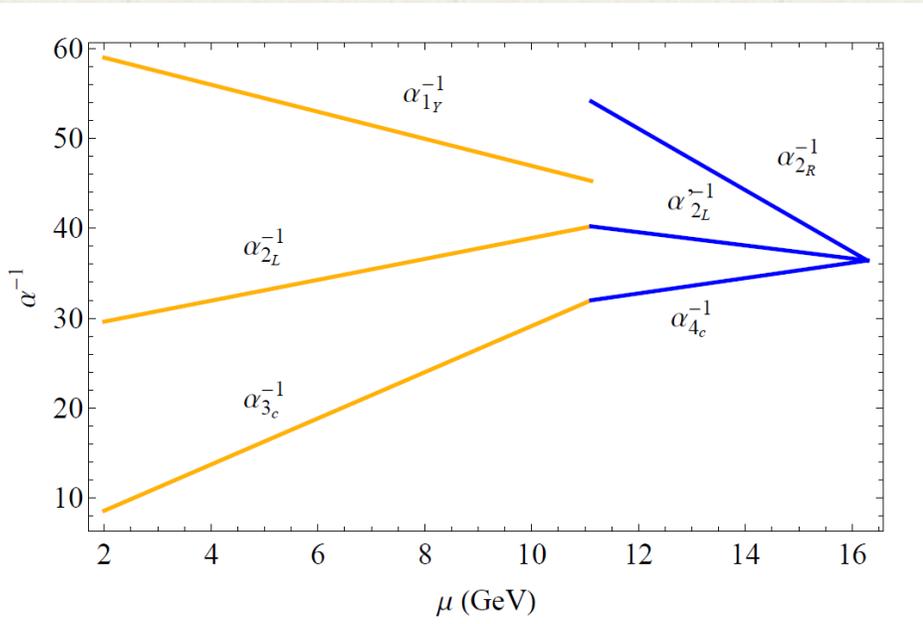
Cheng, Eichten, Li (1974)

## Intermediate energy scales

It is well known that SM itself does not unify

Pati-Salam intermediate group:  
 $SU(4) \times SU(2)_L \times SU(2)_R$

$$SO(10) \xrightarrow{M_{GUT} - 210_H} 4_C 2_L 2_R \xrightarrow{M_I - 126_H} 3_C 2_L 1_Y \xrightarrow{M_Z - 10_H} 3_C 1_Y$$



$$M_I = (1.5 \pm 0.2) \cdot 10^{12} \text{ GeV}, \quad M_{GUT} = (1.7 \pm 0.6) \cdot 10^{15} \text{ GeV}$$

Unification achieved with a large energy threshold



## Numerical strategy

- First, the values of the Yukawas at  $M_{\text{GUT}}$  are randomly generated according to some prior distribution  $\longrightarrow$  log priors in  $[10^{-15}, 10^{-1}]$   
Multinest Feroz&Hobson2008
- Then, they are evolved down to  $M_Z$  after solving the RGEs
- Next, at  $M_Z$ , the observables can then be constructed and compared to experimental data
- Finally, the procedure is repeated with new randomly sampled parameter values from a reduced parameter space and the result is given when convergence on the point with largest likelihood occurs

# Result I

$$\chi^2 \approx 13$$

19 free parameters, 17 observables

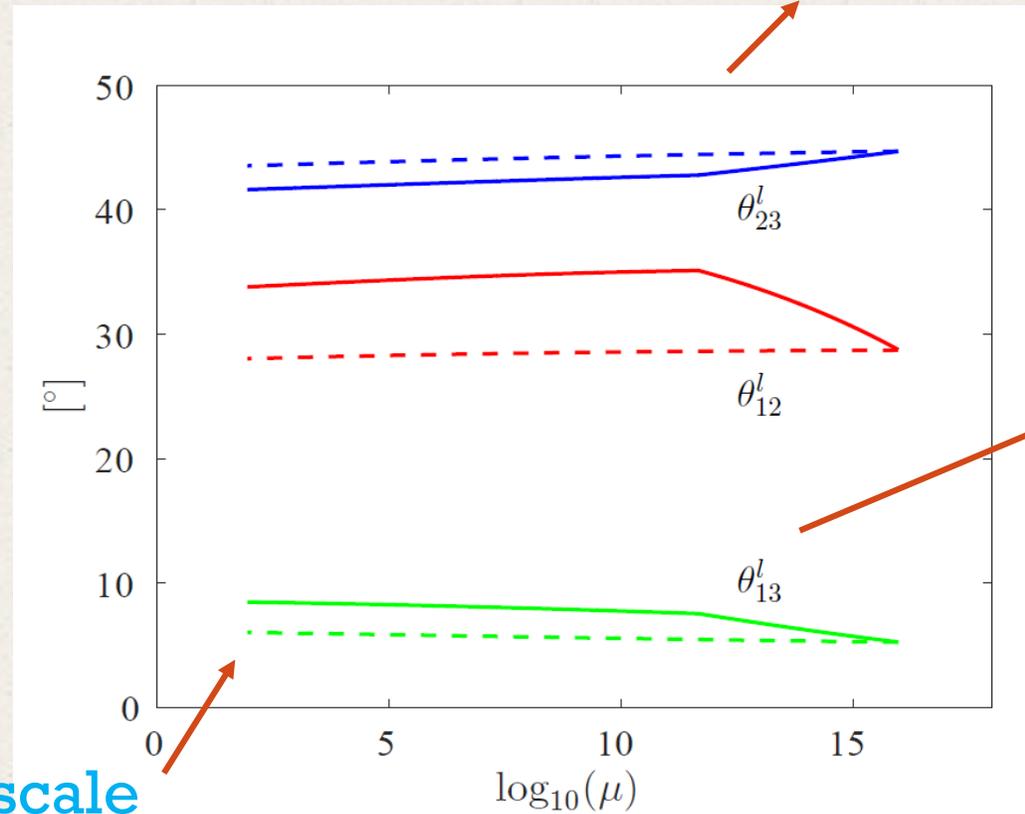
**solid:** intermediate scale taken into account  
**dashed:** SM evolution

**main message:**  
effects of  $M_I$  not negligible  
in the 10-20% range



**see this also in the opposite  
direction:**  
observables at the EW do not  
give the same results at GUT

intermediate scale



kinks at  $M_I$

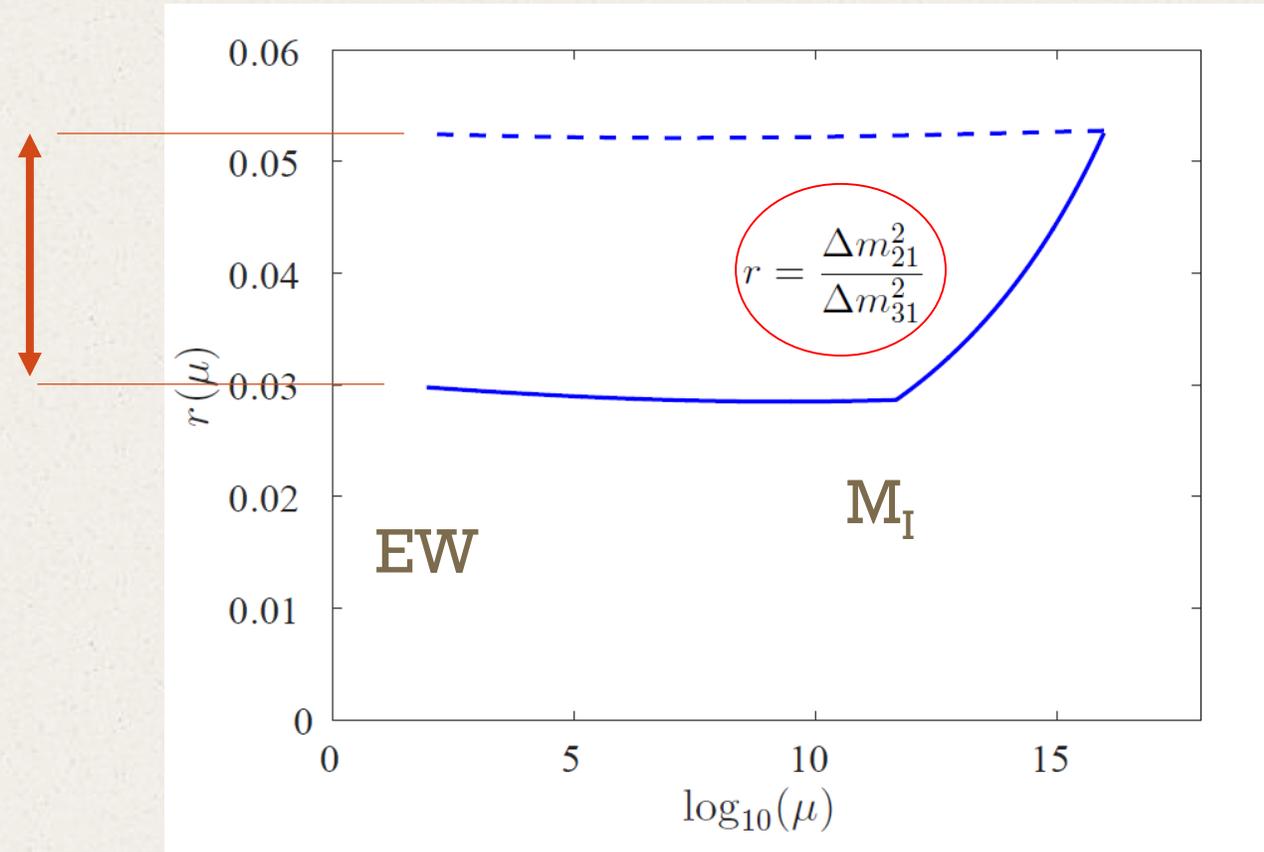
EW scale

## Result II

$$\chi^2 \approx 13$$

19 free parameters, 17 observables

difference in  $r$  is huge  
(see-saw and MI coincide)



## *One conclusion*

- Extrapolation of neutrino (and fermion) observables from the EW to the GUT scale and viceversa are substantially modified by intermediate energy thresholds
- This effect can be as large as 20% for certain observables

## RGE's: dividing the problem in two energy regimes

at the GUT scale

$$\mathcal{L} = \mathbf{16} (h \mathbf{10}_H + f \overline{\mathbf{126}}_H) \mathbf{16}$$

1- region between  $M_{\text{GUT}}$  and  $M_I$

$$\Phi \equiv (1, 2, 2), \quad \Sigma \equiv (15, 2, 2), \quad \overline{\Delta}_R \equiv (10, 1, 3)$$

$$- \mathcal{L}_Y = \sum_{i,j} \left( Y_{F_{ij}}^{(10)} F_L^{iT} \Phi F_R^j + Y_{F_{ij}}^{(126)} F_L^{iT} \Sigma F_R^j + Y_{R_{ij}}^{(126)} F_R^{iT} \overline{\Delta}_R F_R^j + \text{h.c.} \right)$$

matching conditions at  $M_{\text{GUT}}$

$$\frac{1}{\sqrt{2}} Y_F^{(10)}(M_{\text{GUT}}) \equiv h,$$
$$\frac{1}{4\sqrt{2}} Y_F^{(126)}(M_{\text{GUT}}) = \frac{1}{4} Y_R^{(126)}(M_{\text{GUT}}) \equiv f,$$

for neutrinos: see-saw formula

$$m_\nu(\mu) = M_D^T(\mu) M_R^{-1}(\mu) M_D(\mu)$$

## RGE's: dividing the problem in two energy regimes

### 2- region between $M_I$ and $M_Z$

$$\begin{aligned}
 -\mathcal{L}_Y = & \sum_{i,j} \left( Y_{u\,ij}^{(10)} \overline{q_L^i} \tilde{\phi}_1 u_R^j + Y_{u\,ij}^{(126)} \overline{q_L^i} \tilde{\phi}_2 u_R^j + Y_{d\,ij}^{(10)} \overline{q_L^i} \phi_3 d_R^j + Y_{d\,ij}^{(126)} \overline{q_L^i} \phi_4 d_R^j \right. \\
 & \left. + Y_{\nu\,ij}^{(10)} \overline{\ell_L^i} \tilde{\phi}_1 N_R^j + Y_{\nu\,ij}^{(126)} \overline{\ell_L^i} \tilde{\phi}_2 N_R^j + Y_{e\,ij}^{(10)} \overline{\ell_L^i} \phi_3 e_R^j + Y_{e\,ij}^{(126)} \overline{\ell_L^i} \phi_4 e_R^j + \text{h.c.} \right)
 \end{aligned}$$

### matching conditions at $M_I$

$$\begin{aligned}
 Y_u^{(10)}(M_I) = Y_d^{(10)}(M_I) = Y_\nu^{(10)}(M_I) = Y_e^{(10)}(M_I) &\equiv Y_F^{(10)}, \\
 Y_u^{(126)}(M_I) = Y_d^{(126)}(M_I) = -\frac{1}{3} Y_\nu^{(126)}(M_I) = -\frac{1}{3} Y_e^{(126)}(M_I) &\equiv Y_F^{(126)}
 \end{aligned}$$

### for neutrinos: effective operator

$$m_\nu = \frac{1}{2} \sum_{a,b=1,2} \kappa^{(a,b)} v_a^* v_b$$

$v_{a,b}$  are vevs of the light Higgses,  $\kappa^{(a,b)}$  are matrices in flavor space subjected to matching conditions at  $M_I$

**Intermediate  
scale region**

$$\begin{aligned}
 16\pi^2 \frac{dY_F^{(10)}}{dt} &= \left( Y_F^{(10)} Y_F^{(10)\dagger} + \frac{15}{4} Y_F^{(126)} Y_F^{(126)\dagger} \right) Y_F^{(10)} \\
 &\quad + Y_F^{(10)} \left\{ Y_F^{(10)\dagger} Y_F^{(10)} + \frac{15}{4} \left( Y_F^{(126)\dagger} Y_F^{(126)} + 2Y_R^{(126)*} Y_R^{(126)} \right) \right\} \\
 &\quad + 4 \operatorname{tr} \left( Y_F^{(10)} Y_F^{(10)\dagger} \right) Y_F^{(10)} - \frac{9}{4} \left( g_{2L}^2 + g_{2R}^2 + 5g_{4C}^2 \right) Y_F^{(10)}, \\
 16\pi^2 \frac{dY_F^{(126)}}{dt} &= \left( Y_F^{(10)} Y_F^{(10)\dagger} + \frac{15}{4} Y_F^{(126)} Y_F^{(126)\dagger} \right) Y_F^{(126)} \\
 &\quad + Y_F^{(126)} \left\{ Y_F^{(10)\dagger} Y_F^{(10)} + \frac{15}{4} \left( Y_F^{(126)\dagger} Y_F^{(126)} + 2Y_R^{(126)*} Y_R^{(126)} \right) \right\} \\
 &\quad + \operatorname{tr} \left( Y_F^{(126)} Y_F^{(126)\dagger} \right) Y_F^{(126)} - \frac{9}{4} \left( g_{2L}^2 + g_{2R}^2 + 5g_{4C}^2 \right) Y_F^{(126)}, \\
 16\pi^2 \frac{dY_R^{(126)}}{dt} &= \left\{ Y_F^{(10)T} Y_F^{(10)*} + \frac{15}{4} \left( Y_F^{(126)T} Y_F^{(126)*} + 2Y_R^{(126)} Y_R^{(126)*} \right) \right\} Y_R^{(126)} \\
 &\quad + Y_R^{(126)} \left\{ Y_F^{(10)\dagger} Y_F^{(10)} + \frac{15}{4} \left( Y_F^{(126)\dagger} Y_F^{(126)} + 2Y_R^{(126)*} Y_R^{(126)} \right) \right\} \\
 &\quad + 2 \operatorname{tr} \left( Y_R^{(126)} Y_R^{(126)*} \right) Y_R^{(126)} - \frac{9}{4} \left( 2g_{2R}^2 + 5g_{4C}^2 \right) Y_R^{(126)}
 \end{aligned}$$

## Intermediate scale region: masses of neutrinos

$$m_\nu = \frac{k_u^2}{2} Y_F^{(10)T} M_R^{-1} Y_F^{(10)} - \frac{3}{8} k_u v_u \left\{ Y_F^{(126)T} M_R^{-1} Y_F^{(10)} + Y_F^{(10)T} M_R^{-1} Y_F^{(126)} \right\} + \frac{9}{32} v_u^2 Y_F^{(126)T} M_R^{-1} Y_F^{(126)}$$

$$\begin{aligned} \kappa(M_I) &\equiv Y_F^{(10)T}(M_I) M_R^{-1}(M_I) Y_F^{(10)}(M_I) \\ &- \frac{3}{4} \frac{v_u}{k_u} \left\{ Y_F^{(126)T}(M_I) M_R^{-1}(M_I) Y_F^{(10)}(M_I) + Y_F^{(10)T}(M_I) M_R^{-1}(M_I) Y_F^{(126)}(M_I) \right\} \\ &+ \frac{9}{16} \frac{v_u^2}{k_u^2} Y_F^{(126)T}(M_I) M_R^{-1}(M_I) Y_F^{(126)}(M_I) \end{aligned}$$

## $\nu$ masses

$$\begin{aligned}
 -\mathcal{L}_Y &= \sum_{i,j} \left( Y_{u\,ij}^{(10)} \overline{q_L^i} \tilde{\phi}_1 u_R^j + Y_{u\,ij}^{(126)} \overline{q_L^i} \tilde{\phi}_2 u_R^j + Y_{d\,ij}^{(10)} \overline{q_L^i} \phi_3 d_R^j + Y_{d\,ij}^{(126)} \overline{q_L^i} \phi_4 d_R^j \right. \\
 &\quad \left. + Y_{\nu\,ij}^{(10)} \overline{\ell_L^i} \tilde{\phi}_1 N_R^j + Y_{\nu\,ij}^{(126)} \overline{\ell_L^i} \tilde{\phi}_2 N_R^j + Y_{e\,ij}^{(10)} \overline{\ell_L^i} \phi_3 e_R^j + Y_{e\,ij}^{(126)} \overline{\ell_L^i} \phi_4 e_R^j + \text{h.c.} \right)
 \end{aligned}$$

$$v_1 = k_u, \quad 4v_2 = v_u, \quad v_3 = k_d, \quad 4v_4 = v_d$$

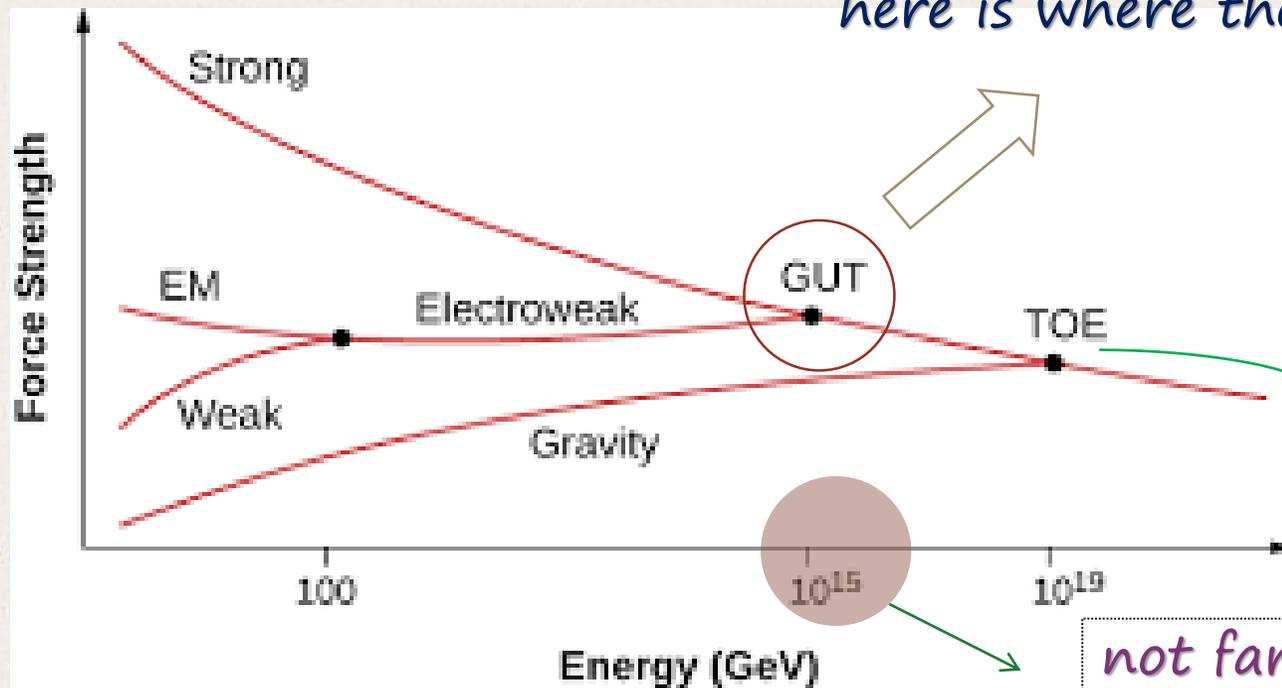
$$M_R = \frac{1}{4} \langle \overline{\Delta_R} \rangle Y_R^{(126)}$$

$$\begin{aligned}
 \kappa^{(1,1)} &= Y_F^{T(10)} M_R^{-1} Y_F^{(10)}, \\
 \kappa^{(1,2)} &= -3Y_F^{T(126)} M_R^{-1} Y_F^{(10)}, \\
 \kappa^{(2,1)} &= -3Y_F^{T(10)} M_R^{-1} Y_F^{(126)}, \\
 \kappa^{(2,2)} &= 9Y_F^{T(126)} M_R^{-1} Y_F^{(126)}.
 \end{aligned}$$

## $\nu$ masses pointing to Grand Unified Theories

basic idea: at some large energy scale, particles feel a single force

idealized situation



here is where the SM is unified

theory of everything  
(forget for the moment,  
we have problems at  
much smaller scales)

not far away from  
the see-saw estimate !

## masses and Grand Unified Theories

difficult to fit everything but not impossible...

Altarelli, Meloni (2013)  
Dueck, Rodejohann (2013)

<i>obs.</i>	<i>fit</i>	<i>pull</i>	<i>obs.</i>	<i>fit</i>	<i>pull</i>
$m_u(\text{MeV})$	0.49	0.03	$ V_{us} $	0.225	0.038
$m_d(\text{MeV})$	0.78	0.75	$ V_{cb} $	0.042	-0.208
$m_s(\text{MeV})$	32.5	-1.50	$ V_{ub} $	0.0038	-0.659
$m_c(\text{GeV})$	0.287	-1.49	$J$	$3.1 \times 10^{-5}$	0.589
$m_b(\text{GeV})$	1.11	-2.77	$\sin^2 \theta_{12}^l$	0.318	0.611
$m_t(\text{GeV})$	71.4	0.70	$\sin^2 \theta_{23}^l$	0.353	-1.548
$r$	0.031	0.10	$\sin^2 \theta_{13}^l$	0.0222	-0.758
$\eta_B$	$5.699 \times 10^{-10}$	-0.001			

and several interesting predictions

<i>light <math>\nu</math> masses (eV)</i>	<i>heavy <math>\nu</math> masses (<math>10^{11}</math> GeV)</i>	<i>phases (<math>^\circ</math>)</i>	<i><math>m_{ee}</math> (eV)</i>
.0046	1.00	$\delta = 88.6$	$5 \times 10^{-4}$
.0098	1.09	$\phi_1 = -33.2$	
.0504	21.4	$\phi_2 = 15.7$	