

Theory overview of the tree-level B decays

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EPS Conference on High Energy Physics
Venice, Italy 5-12 July 2017



anomalies in B decays

- In tree-level B decays : R_D, R_{D^*}
$$R_{D^{(*)}} = \frac{B(B \rightarrow D^{(*)} \tau \nu_\tau)}{B(B \rightarrow D^{(*)} \ell \nu_\ell)}$$
- In loop-induced modes : P_5', R_K, R_{K^*}
$$R_{K^{(*)}} = \frac{B(B \rightarrow K^{(*)} \mu^+ \mu^-)}{B(B \rightarrow K^{(*)} e^+ e^-)} \bigg|_{q^2 \in [q_{\min}^2, q_{\max}^2]}$$

long standing puzzles

- $|V_{cb}|$: tension between inclusive and exclusive determinations
- $|V_{ub}|$: tension between inclusive and exclusive determinations

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questions to address

- are these tensions related?
- should we invoke LFU violation?

SM: ~~LFU~~ only in Yukawas

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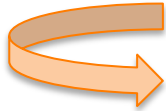
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SM: ~~LFU~~ only in Yukawas

$b \rightarrow c \ell \nu$ decays

tensions:

- ratios $R(D^{(*)})$ deviate from SM predictions ($\approx 3.9\sigma$)
- long standing issue:
discrepancy in $|V_{cb}|$ determinations from inclusive and exclusive B modes ($\approx 3.1\sigma$)



impact on other flavour observables, i.e. ε_K

anomalies in semileptonic transitions:
is NP hiding under tree-level processes?

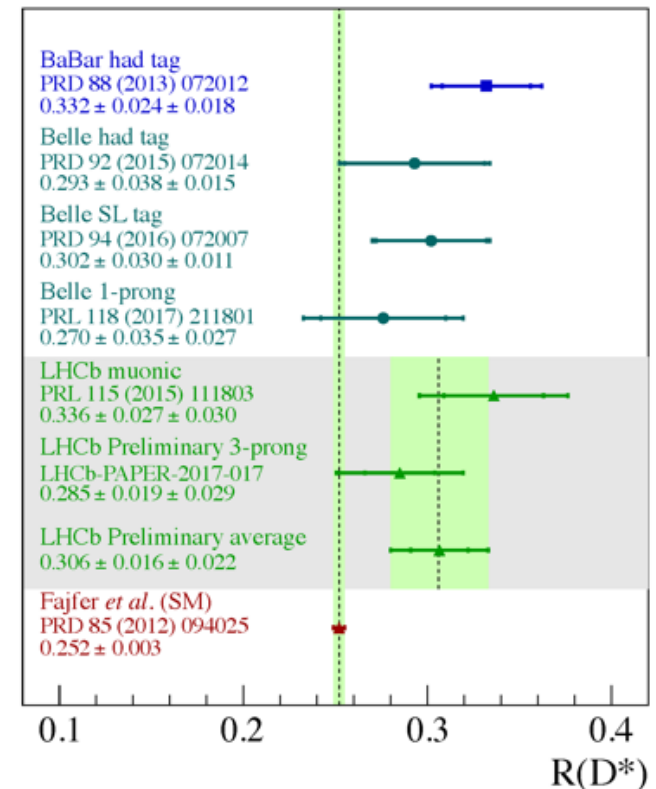
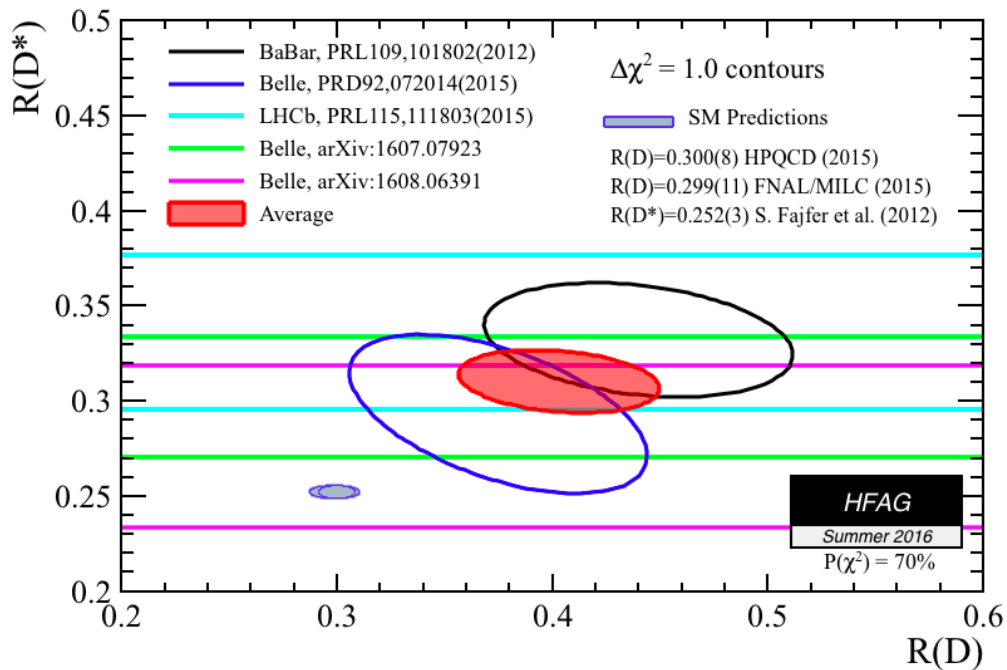
$R(D^{(*)})$

SM

$$\mathcal{R}^0(D)|_{SM} = \frac{\mathcal{B}(\bar{B}^0 \rightarrow D^+ \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B}^0 \rightarrow D^+ \ell^- \bar{\nu}_\ell)}|_{SM} = 0.324 \pm 0.022$$

$$\mathcal{R}^0(D^*)|_{SM} = \frac{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \ell^- \bar{\nu}_\ell)}|_{SM} = 0.250 \pm 0.003$$

present scenario
HFAG quotes 3.9 σ deviation
from SM

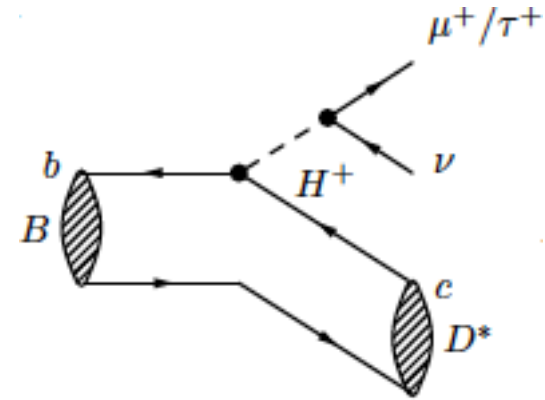


$$R(D^{(*)})$$

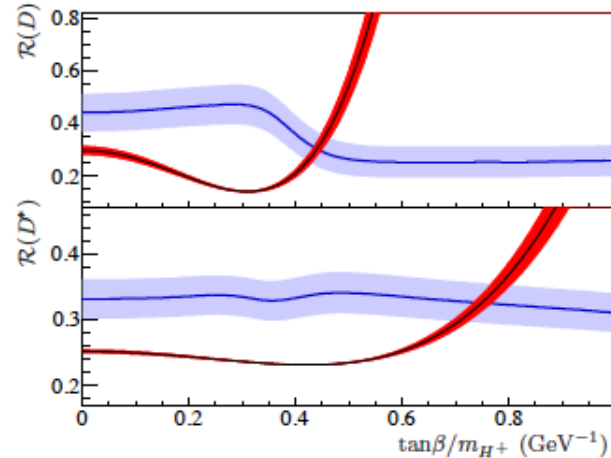
most “natural” explanation:


new scalars with couplings to leptons proportional to their mass

- would explain the enhancement of τ modes
- would enhance **both** semileptonic **and** purely leptonic modes



the simplest model (2HDM) excluded (BABAR):
no possibility to simultaneously reproduce $R(D)$ and $R(D^*)$



 Data
 2HDM

many other explanations put forward....

$$R(D^{(*)})$$

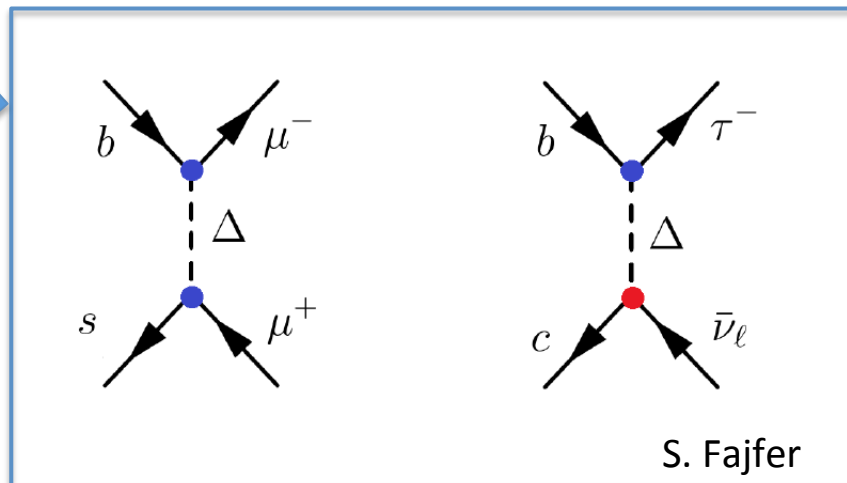
common solution to the $R(K^{(*)})$ tension \rightarrow new left-handed effective interaction

no effect observed in K, π decays \rightarrow NP mainly coupling to 3rd generation of q, ℓ

✧ new gauge bosons

✧ leptoquarks (scalar)

✧ leptoquarks (vector) \rightarrow may be either gauge bosons or vector mesons



Contribution
to charged & neutral currents

- constraints from direct searches of resonances in $\tau^+\tau^-$ inv. mass
Faroughy et al. PLB 2017

- constraints from B-Bbar mixing

not for vector -coloured LQ

Buttazzo et al 1706.07808

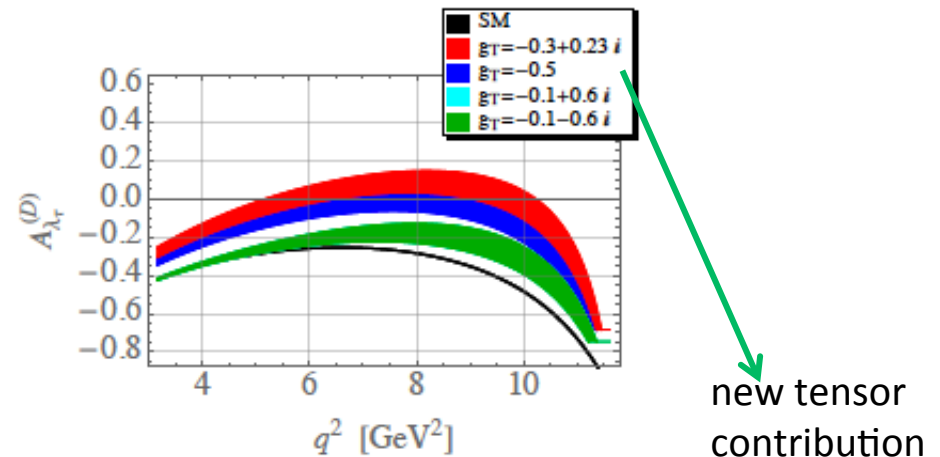
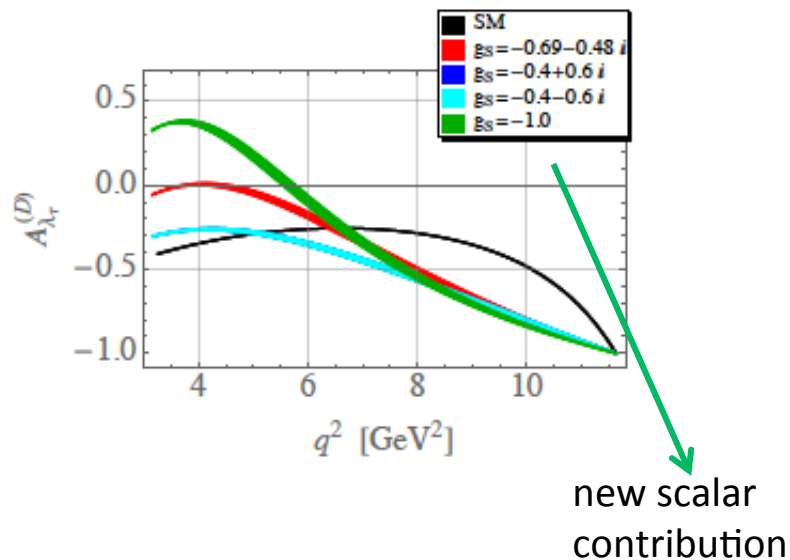
$$R(D^{(*)})$$

- NP does not necessarily imply a unique mediator/a unique new structure
- bottom-up approach: no a priori identification of the model
consider the new possible structures
single out the most sensitive observables

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τ lepton in the final state: allow to access more form factors
sensitive to the lepton mass: lepton polarization asymmetry



Becirevic et al, 2016

- consider a NP scenario that enhances semileptonic modes but not leptonic ones
- predict the effects in other modes

$$H_{eff} = H_{eff}^{SM} + H_{eff}^{NP} = \frac{G_F}{\sqrt{2}} V_{cb} \left[\bar{c} \gamma_\mu (1 - \gamma_5) b \bar{\ell} \gamma^\mu (1 - \gamma_5) \nu_\ell + \epsilon_T^\ell \bar{c} \sigma_{\mu\nu} (1 - \gamma_5) b \bar{\ell} \sigma^{\mu\nu} (1 - \gamma_5) \nu_\ell \right]$$

SM

NP

charmed meson

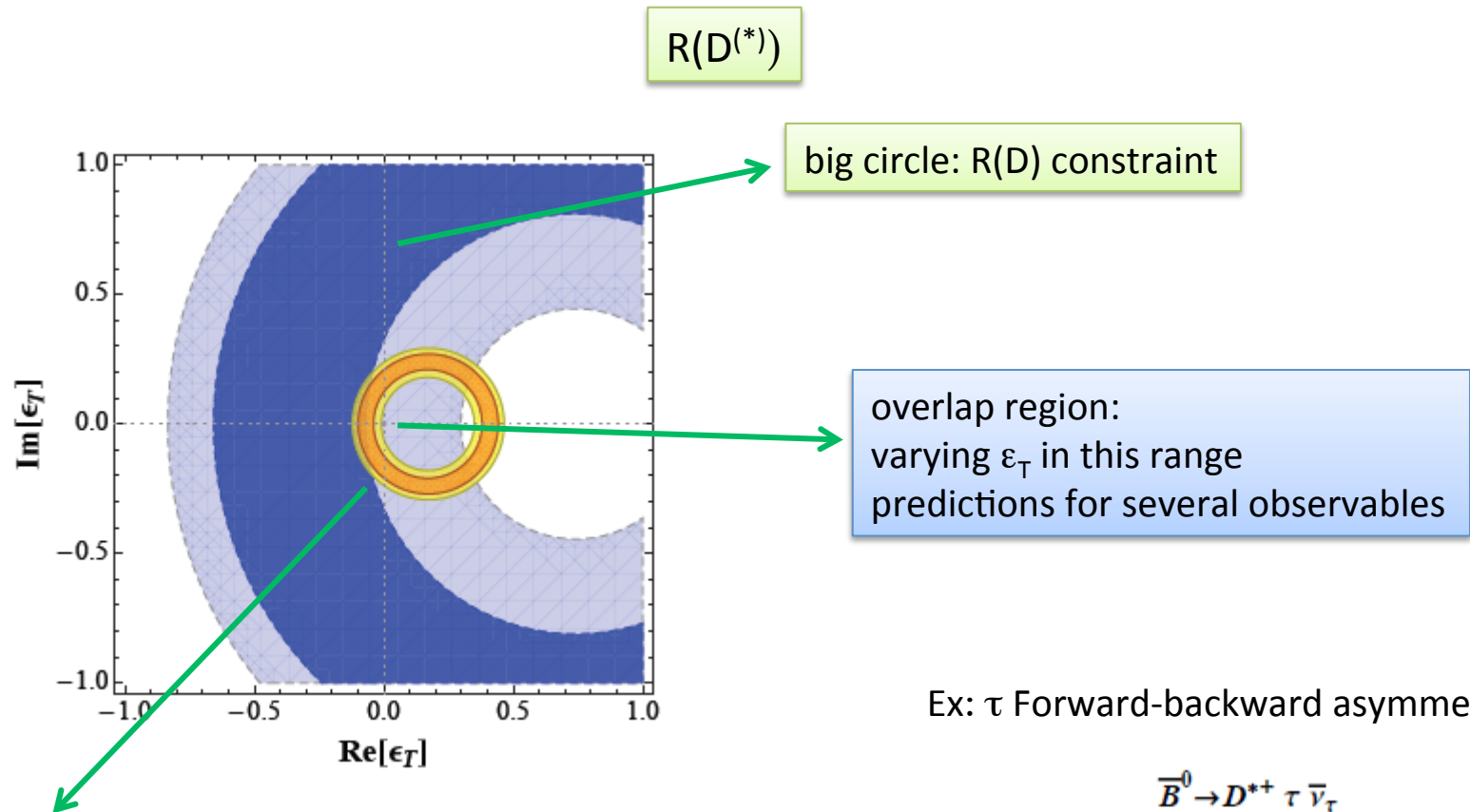
new complex coupling: $\epsilon_T^{\mu,e}=0, \epsilon_T^\tau \neq 0$

$$\frac{d\Gamma}{dq^2}(B \rightarrow M_c \ell \bar{\nu}_\ell) = C(q^2) \left[\frac{d\tilde{\Gamma}}{dq^2}(B \rightarrow M_c \ell \bar{\nu}_\ell) \Big|_{SM} + \frac{d\tilde{\Gamma}}{dq^2}(B \rightarrow M_c \ell \bar{\nu}_\ell) \Big|_{NP} + \frac{d\tilde{\Gamma}}{dq^2}(B \rightarrow M_c \ell \bar{\nu}_\ell) \Big|_{INT} \right]$$

$$C(q^2) = \frac{G_F^2 |V_{cb}|^2 \lambda^{1/2}(m_B^2, m_{M_c}^2, q^2)}{192 \pi^3 m_B^3} \left(1 - \frac{m_\ell^2}{q^2} \right)^2$$

$$\propto |\epsilon_T|^2$$

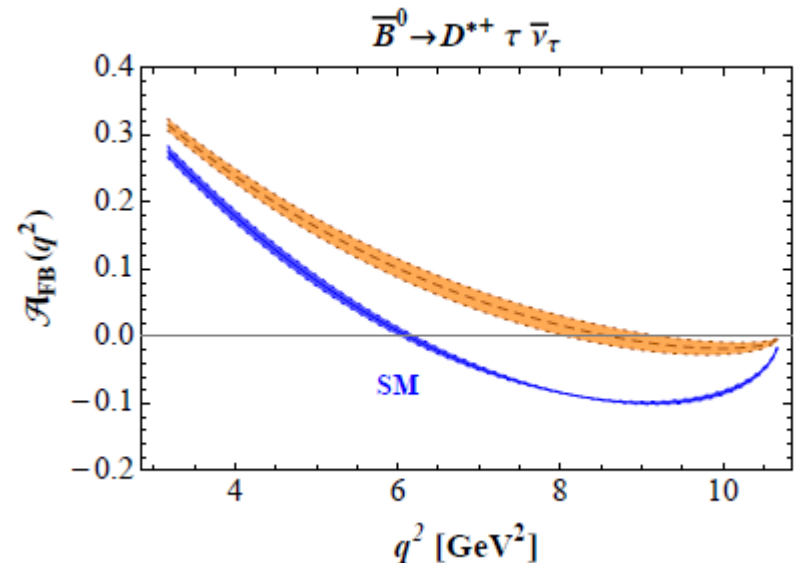
$$\propto \text{Re}(\epsilon_T)$$



small circle: $R(D^*)$ constraint

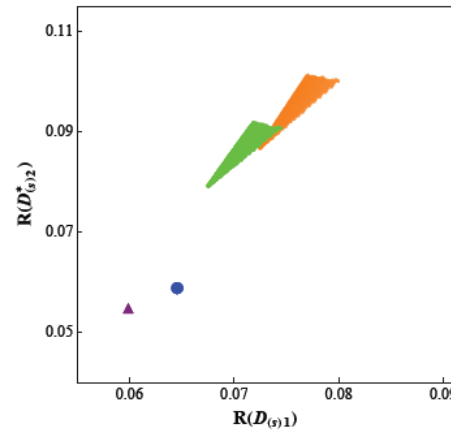
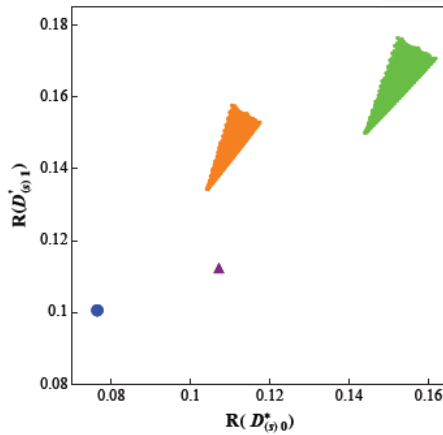
SM predicts a zero at $q^2 \approx 6.15 \text{ GeV}^2$
in NP the zero is shifted to $q^2 \in [8.1, 9.3] \text{ GeV}^2$

Ex: τ Forward-backward asymmetry



$$B \rightarrow D^{**} \tau \bar{\nu}_\tau$$

D^{**} = positive parity excited charmed mesons

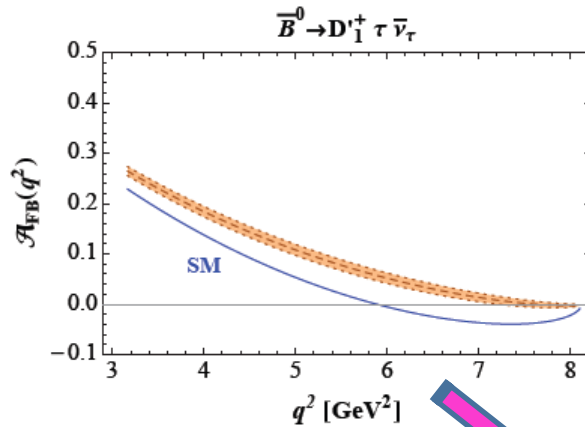


orange = non strange
blue circle = SM
green = strange
triangle = SM

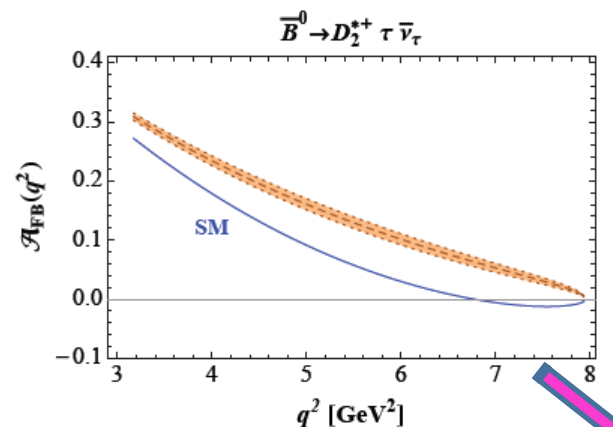


the inclusion of the tensor operator produces an increase in the ratios

forward-backward asymmetries



shift in the position of the zero



the zero disappears

$$|V_{cb}|$$

exclusive determinations from B systematically smaller than inclusive ones

$$|V_{cb}|_{\text{excl}} = (39.78 \pm 0.42) \times 10^{-3}$$

C. DeTar, LeptonPhoton2015

$$|V_{cb}|_{\text{incl}} = (42.21 \pm 0.78) \times 10^{-3}$$

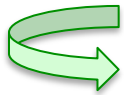
A. Alberti et al., PRL 114 (2015) 061802
P. Gambino et al., PRD 94 (2016) 014031

are the tensions in $|V_{cb}|$ and $R(D^{(*)})$ related?

$|V_{cb}|$: argument against a NP explanation

A. Crivellin and S. Pokorski, PRL 114, 011802 (2015)

model independent parametrization of NP effects: write a generalized H_{eff}



- additional four-fermion operators (S,P,T)

- modified W-couplings

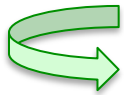


imply modified Z couplings if invariance under the SM gauge group is respected

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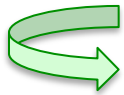


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- additional four-fermion operators (S,P,T)

if massless leptons are considered



- **at zero recoil** no interference between SM and NP contributions
- the NP effect is the same in all modes


- include a new tensor operator in H_{eff}
- relax the assumption that it contributes only for τ lepton
- non vanishing m_ℓ $\ell=e,\mu,\tau$ and $m_e \neq m_\mu$

$$H_{\text{eff}} = \frac{G_F}{\sqrt{2}} V_{cb} [\bar{c} \gamma_\mu (1 - \gamma_5) b \bar{\ell} \gamma^\mu (1 - \gamma_5) \bar{\nu}_\ell + \epsilon_T^\ell \bar{c} \sigma_{\mu\nu} (1 - \gamma_5) b \bar{\ell} \sigma^{\mu\nu} (1 - \gamma_5) \bar{\nu}_\ell]$$

new structure-> new coupling

Inclusive B \rightarrow X_c $\ell \nu_\ell$ decay

Heavy Quark Expansion $\rightarrow \Gamma(H_Q)$ as series in powers of m_Q^{-1}

$$\frac{d\Gamma}{d\hat{q}^2} = C(q^2) \left[\left. \frac{d\tilde{\Gamma}}{d\hat{q}^2} \right|_{\text{SM}} + |\epsilon_T|^2 \left. \frac{d\tilde{\Gamma}}{d\hat{q}^2} \right|_{\text{NP}} + \text{Re}(\epsilon_T) \left. \frac{d\tilde{\Gamma}}{d\hat{q}^2} \right|_{\text{INT}} \right]$$


$$\hat{q}^2 = \frac{q^2}{m_b^2}$$

each of the three terms expanded in m_b^{-1}
 α_s corrections included in the SM term

- prediction depends on $|V_{cb}|$ and on the complex parameter ϵ_T^ℓ :
three-parameter space

$$(\text{Re}(\epsilon_T^\ell), \text{Im}(\epsilon_T^\ell), |V_{cb}|)$$

- non vanishing lepton mass - distinguish between e and μ

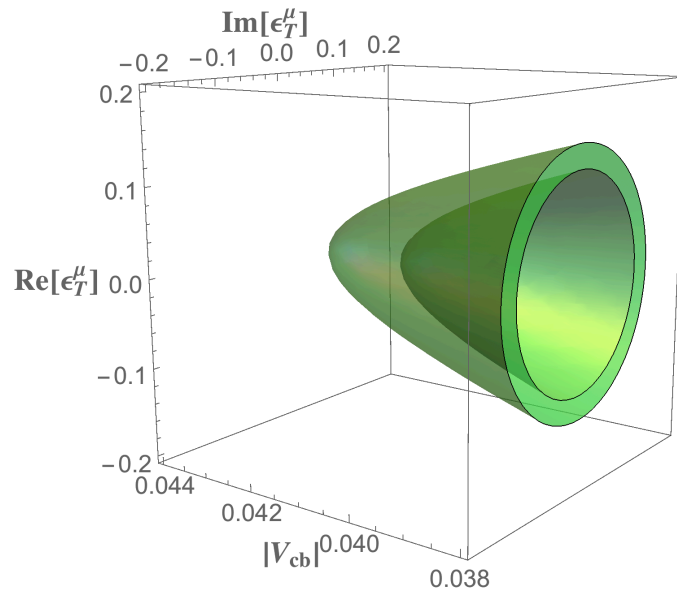
- result to be compared to experiment

$$\mathcal{B}(B^+ \rightarrow X_c e^+ \nu_e) = (10.8 \pm 0.4) \times 10^{-2}$$

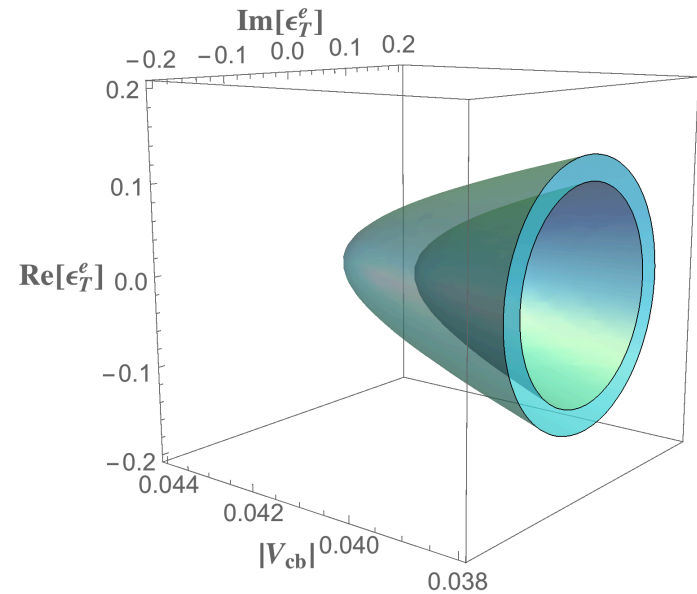
PDG

inclusive $B \rightarrow X_c \ell \nu_\ell$ decay:
allowed regions

comparison with data at 1σ



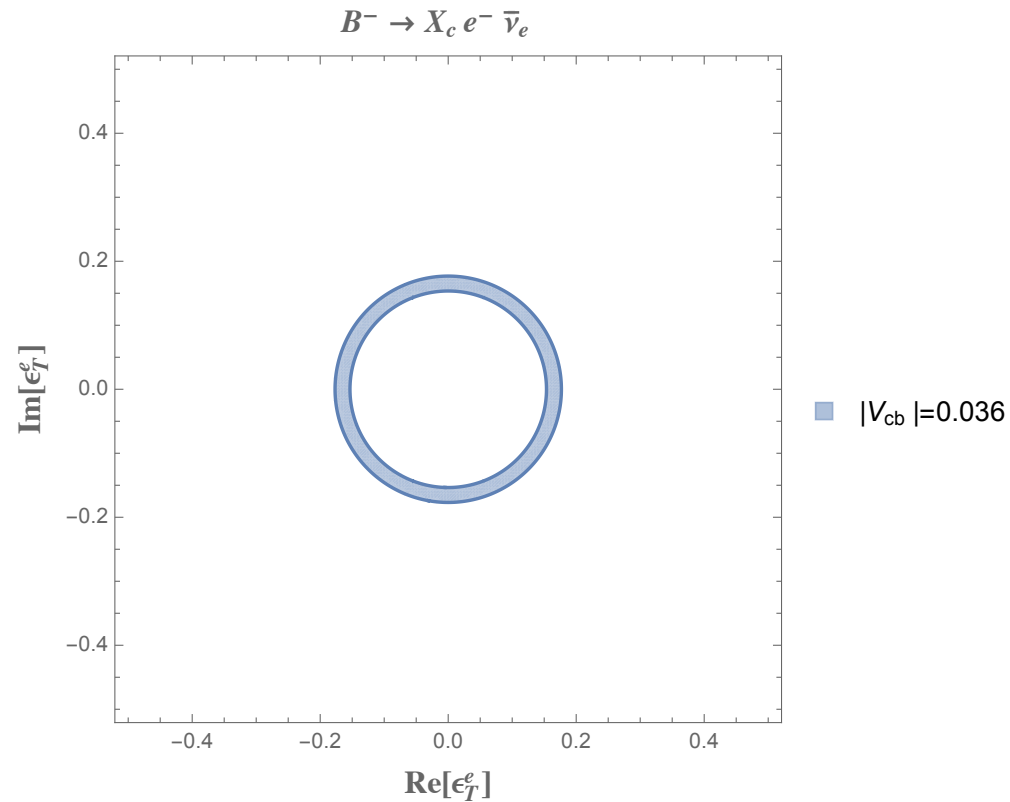
μ channel



e channel

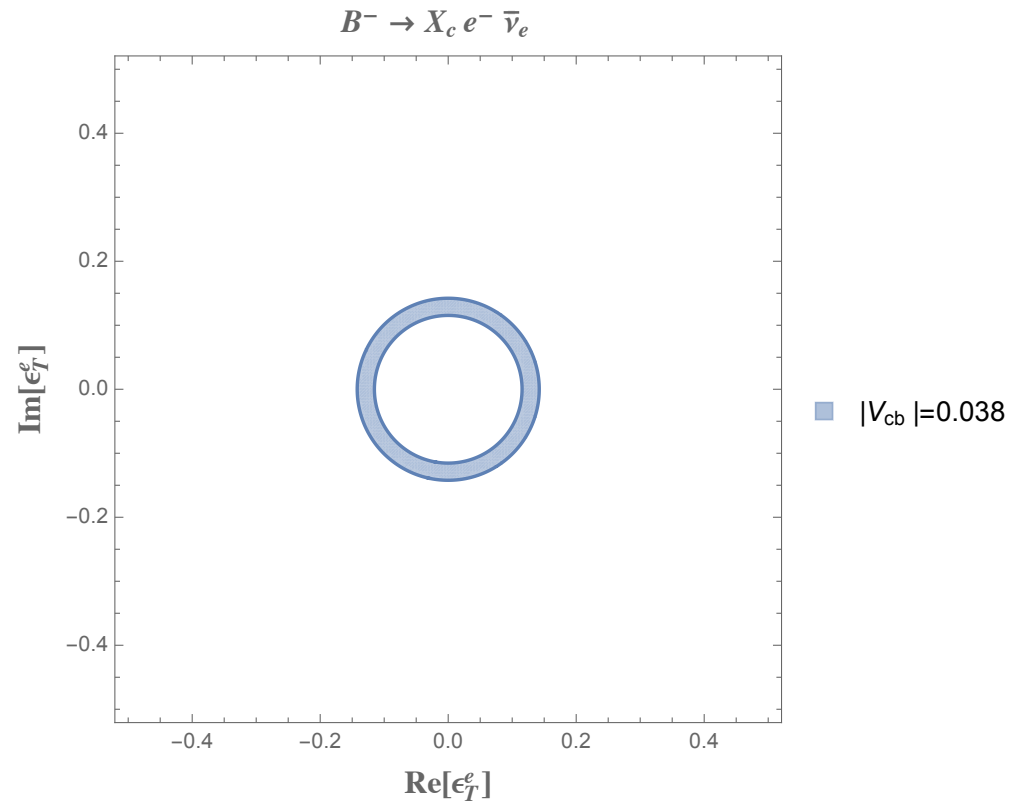
inclusive $B \rightarrow X_c \ell \nu_\ell$ decay:
allowed regions

allowed values of ϵ_T^ℓ correlated to $|V_{cb}|$



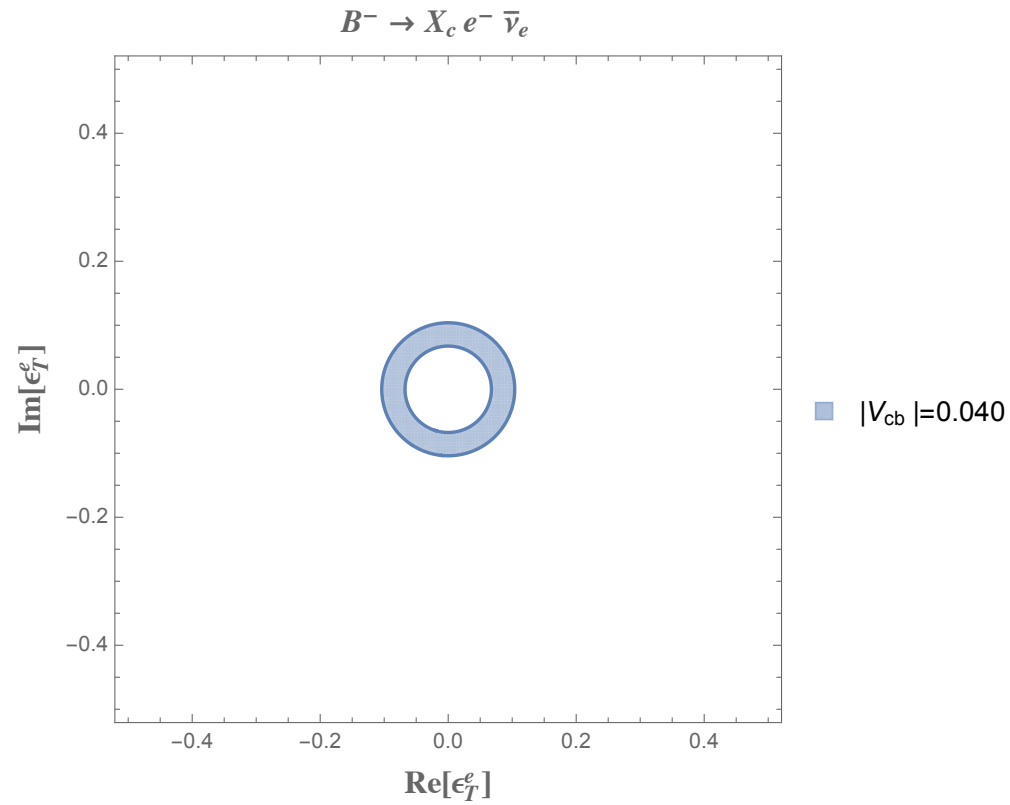
inclusive $B \rightarrow X_c \ell \nu_\ell$ decay:
allowed regions

allowed values of ϵ_T' correlated to $|V_{cb}|$



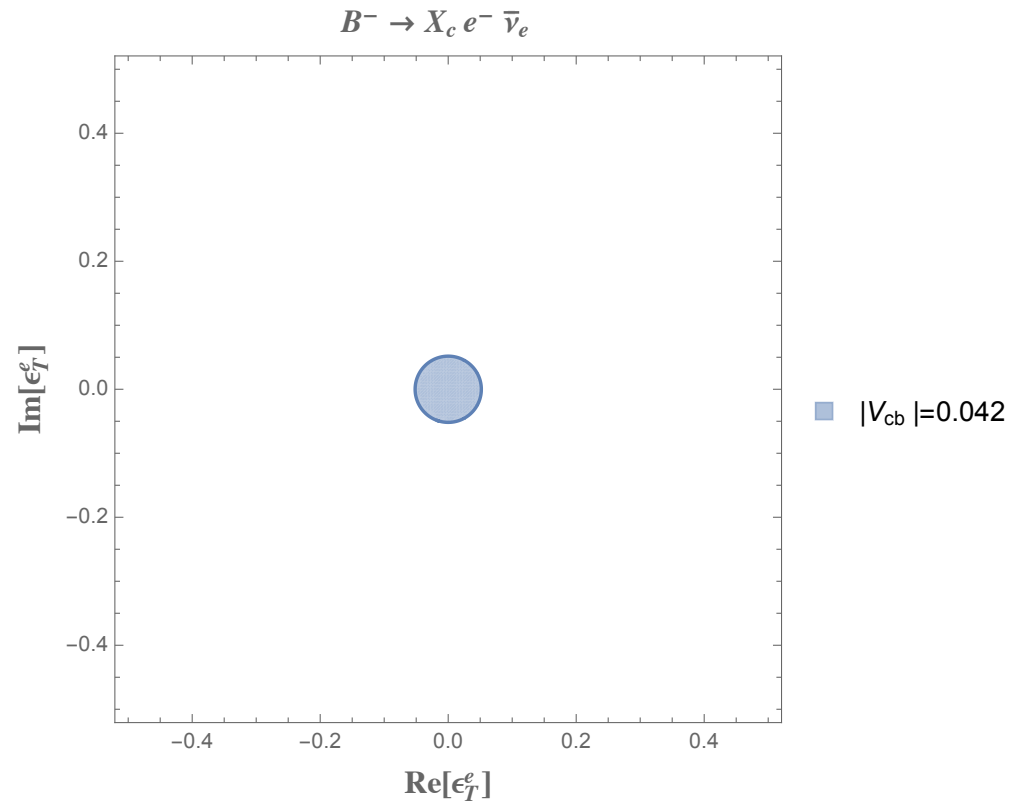
inclusive $B \rightarrow X_c \ell \nu_\ell$ decay:
allowed regions

allowed values of ϵ_T correlated to $|V_{cb}|$



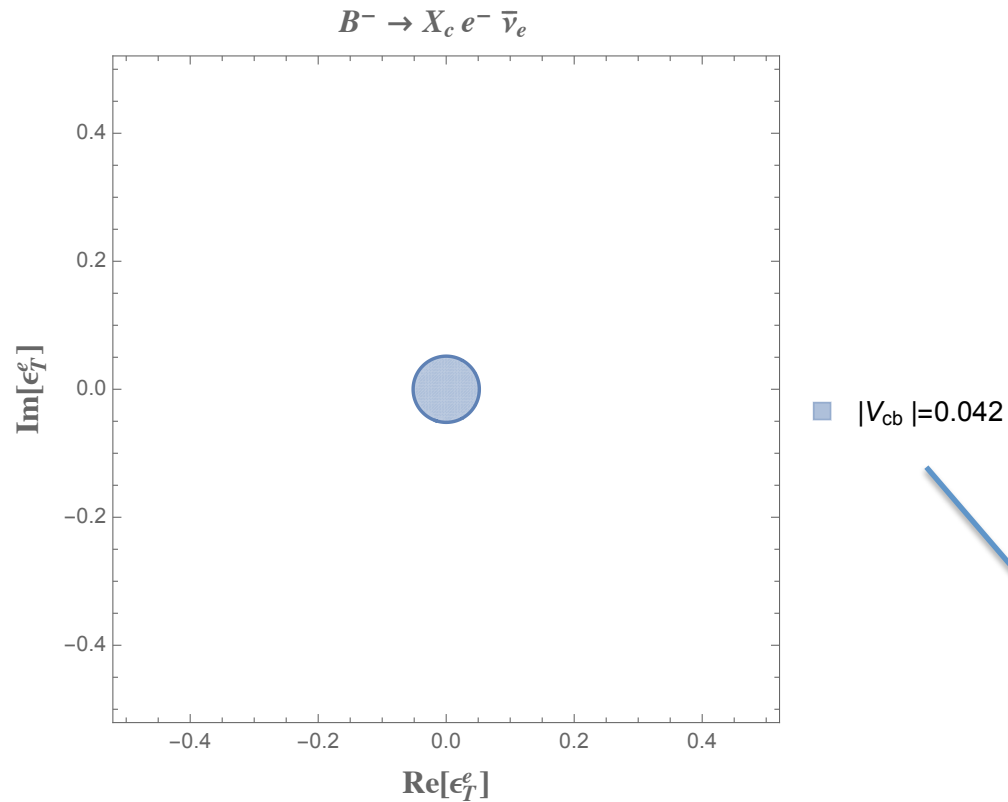
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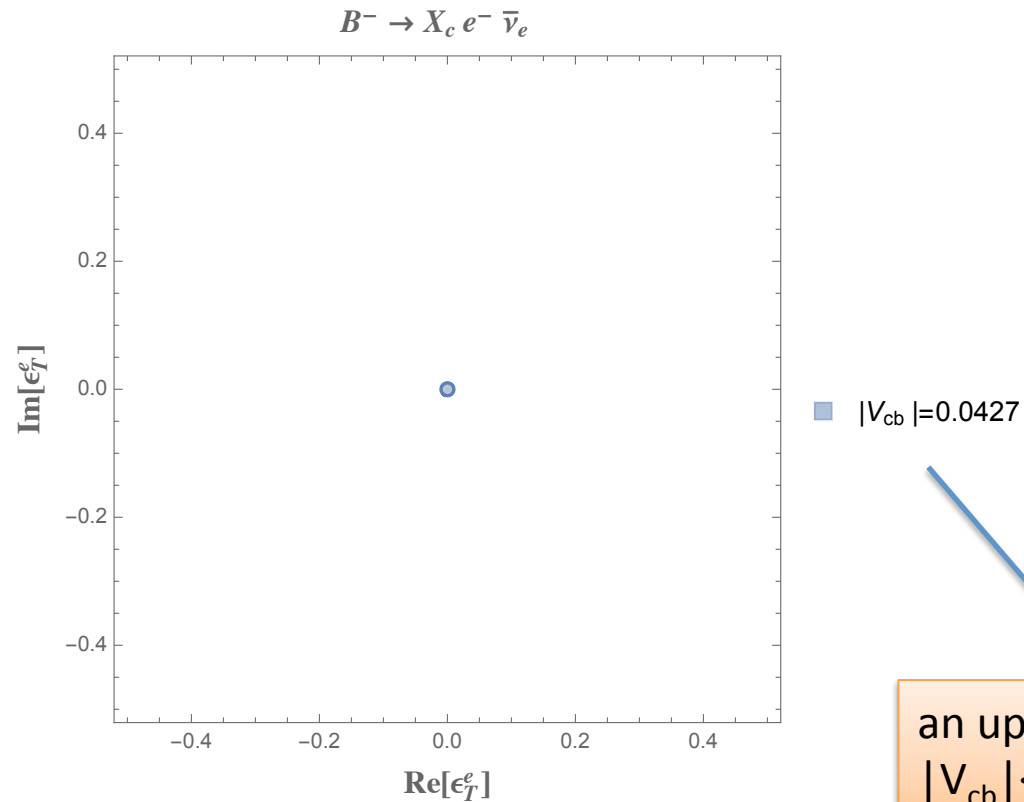
allowed values of ϵ_T correlated to $|V_{cb}|$



quoted value for SM!

inclusive $B \rightarrow X_c \ell \nu_\ell$ decay:
allowed regions

allowed values of ϵ_T' correlated to $|V_{cb}|$



Exclusive B \rightarrow D^(*) $\ell \bar{\nu}_\ell$ decay

$$\frac{d\Gamma}{dq^2}(B \rightarrow M_c \ell \bar{\nu}_\ell) = \frac{d\Gamma}{dq^2}\Big|_{\text{SM}} + \frac{d\Gamma}{dq^2}\Big|_{\text{NP}} + \frac{d\Gamma}{dq^2}\Big|_{\text{INT}}$$

- B \rightarrow D and B \rightarrow D^{*}
- two sets of form factors: one for each structure in H_{eff}
- experimental data specific for e and μ available

$$B \rightarrow D \ell \nu_\ell$$

$$\begin{aligned} \langle D(p') | \bar{c} \gamma_\mu b | B(p) \rangle &= F_1(q^2) (p + p')_\mu + \frac{m_B^2 - m_D^2}{q^2} [F_0(q^2) - F_1(q^2)] q_\mu \\ \langle D(p') | \bar{c} \sigma_{\mu\nu} (1 - \gamma_5) b | B(p) \rangle &= \frac{F_T(q^2)}{m_B + m_D} \epsilon_{\mu\nu\alpha\beta} p'^\alpha p^\beta + i \frac{G_T(q^2)}{m_B + m_D} (p_\mu p'_\nu - p_\nu p'_\mu) \end{aligned}$$

HQ relations: all form factors in terms of the Isgur Wise

- m_b^{-1} and α_s corrections known for F_1 and F_0
- leading order relations for F_T and G_T

M. Neubert,
Phys. Rep. 245 (1994) 259
I. Caprini, L. Lellouch, M. Neubert,
NPB 530 (1998) 153



- F_1 and F_0 from lattice
- HQ relations to derive F_T and G_T from F_1, F_0

J.A. Bailey et al.,
PRD 89 (2014) 114504

Compare to experiment:

BABAR Collab.,
PRD 79 (2009) 012002

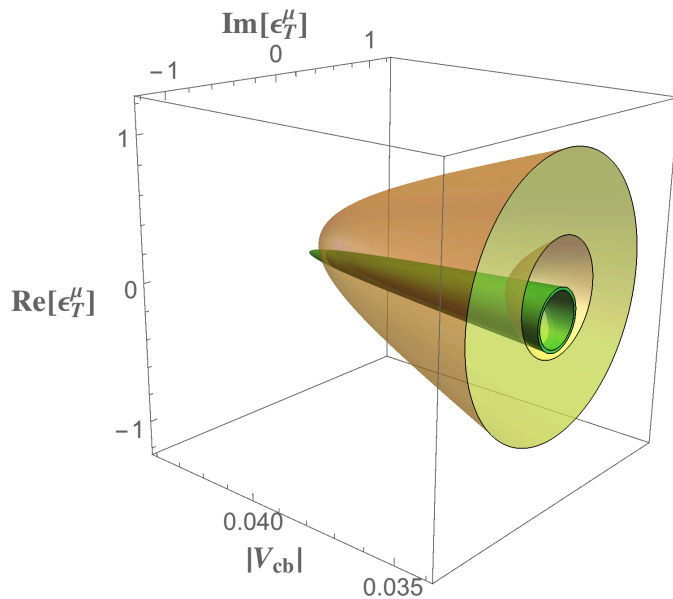
$$\mathcal{B}(B^- \rightarrow D^0 \mu^- \bar{\nu}_\mu) = (2.25 \pm 0.04 \pm 0.17) \times 10^{-2}$$

$$\mathcal{B}(B^- \rightarrow D^0 e^- \bar{\nu}_e) = (2.38 \pm 0.04 \pm 0.15) \times 10^{-2}.$$

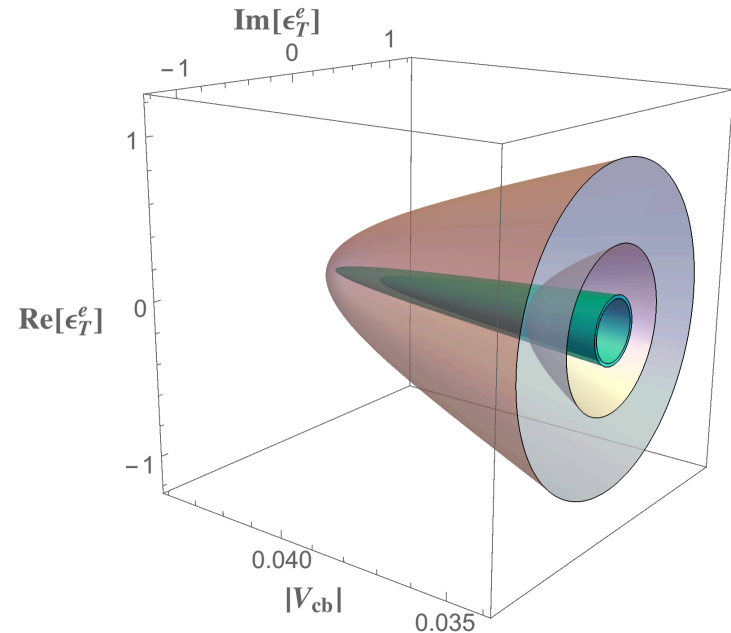
Theory prediction depends on $|V_{cb}|$ and on the complex parameter ϵ_T'

$$(\text{Re}(\epsilon_T'), \text{Im}(\epsilon_T'), |V_{cb}|)$$

$B \rightarrow D \ell \nu_\ell + B \rightarrow X_c \ell \nu_\ell$: allowed regions



μ channel



e channel

inner regions: inclusive
outer regions: exclusive

role of the lepton mass:
the symmetry axes of the two regions do not coincide in the case of μ ,
they are almost coincident for e

$$B \rightarrow D^* \ell \bar{\nu}_\ell$$

procedure adopted by BaBar

$$q^2 = m_B^2 + m_{D^{(*)}}^2 - 2m_B m_{D^{(*)}} w.$$

BABAR, PRD79, 012002 (2009)

$$\frac{d\Gamma}{dw}(B \rightarrow D^* \ell \bar{\nu}_\ell) = \frac{G_F^2 |V_{cb}|^2 m_B^5}{48\pi^3} (1 - r^*)^2 r^{*3} W_{D^*}(w) h_{A_1}^2(w) \sqrt{w^2 - 1} (w + 1)^2 \left\{ \left[1 + (1 - R_2(w)) \frac{w - 1}{1 - r^*} \right]^2 + 2 \left[\frac{1 - 2wr^* + r^{*2}}{(1 - r^*)^2} \right] \left[1 + R_1(w)^2 \frac{w - 1}{w + 1} \right] \right\}$$

$$R_2(w) = R_1(1) + 0.11(w - 1) - 0.06(w - 1)^2, \quad R_1(w) = R_1(1) - 0.12(w - 1) + 0.05(w - 1)^2$$

$$h_{A_1}(w) = h_{A_1}(1) [1 - 8\hat{\rho}^2 z + (53\hat{\rho}^2 - 15)z^2 - (231\hat{\rho}^2 - 91)z^3]$$

Parameters	<i>De</i> sample	<i>Dμ</i> sample	Combined result
ρ_D^2	$1.22 \pm 0.05 \pm 0.10$	$1.10 \pm 0.07 \pm 0.10$	$1.16 \pm 0.04 \pm 0.08$
$\rho_{D^*}^2$	$1.34 \pm 0.05 \pm 0.09$	$1.33 \pm 0.06 \pm 0.09$	$1.33 \pm 0.04 \pm 0.09$
R_1	$1.59 \pm 0.09 \pm 0.15$	$1.53 \pm 0.10 \pm 0.17$	$1.56 \pm 0.07 \pm 0.15$
R_2	$0.67 \pm 0.07 \pm 0.10$	$0.68 \pm 0.08 \pm 0.10$	$0.66 \pm 0.05 \pm 0.09$
$\mathcal{B}(D^0 \ell \bar{\nu})(\%)$	$2.38 \pm 0.04 \pm 0.15$	$2.25 \pm 0.04 \pm 0.17$	$2.32 \pm 0.03 \pm 0.13$
$\mathcal{B}(D^{*0} \ell \bar{\nu})(\%)$	$5.50 \pm 0.05 \pm 0.23$	$5.34 \pm 0.06 \pm 0.37$	$5.48 \pm 0.04 \pm 0.22$
$\chi^2/\text{n.d.f. (probability)}$	416/468 (0.96)	488/464 (0.21)	2.0/6 (0.92)

$$h_{A_1}^e(1) |V_{cb}| = (35.94 \pm 1.65) \times 10^{-3}$$

$$h_{A_1}^\mu(1) |V_{cb}| = (35.63 \pm 1.96) \times 10^{-3}$$

$B \rightarrow D^* \ell \bar{\nu}_\ell$

compare experiment to theory when $w \rightarrow 1$:

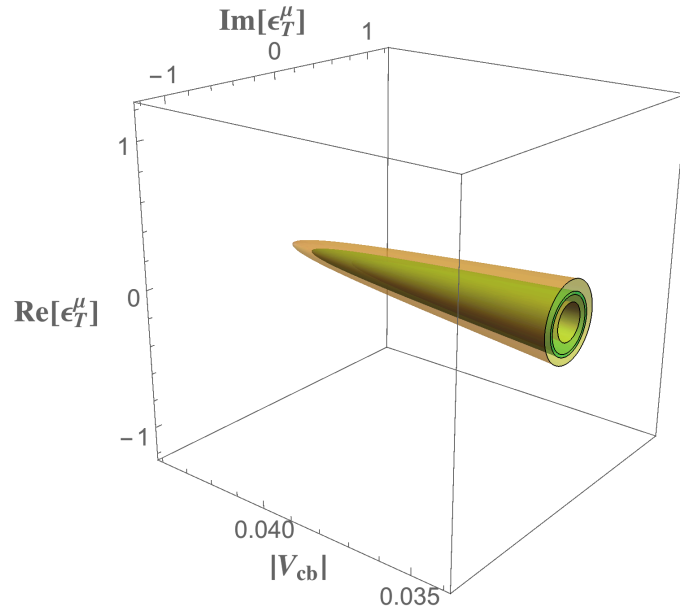
$$\begin{aligned} & \frac{d\Gamma^{\text{th}}}{dw}(B^- \rightarrow D^{*0} \ell^- \bar{\nu}_\ell)|_{w \rightarrow 1} \\ &= \frac{G_F^2 |V_{cb}|^2 m_{D^*}^2}{16\sqrt{2}\pi^3 m_B} \sqrt{w-1} \left[1 - \frac{m_\ell^2}{(m_B - m_{D^*})^2} \right]^2 \\ & \times \{ (m_B + m_{D^*})^2 [2(m_B - m_{D^*})^2 + m_\ell^2] A_1(1)^2 \\ & + |\epsilon_T|'^2 4[(m_B - m_{D^*})^2 + 2m_\ell^2] [m_B \tilde{T}_1(1) + m_{D^*} \tilde{T}_2(1)]^2 \\ & - 12 \text{Re}(\epsilon_T) (m_B^2 - m_{D^*}^2) m_\ell A_1(1) [m_B \tilde{T}_1(1) + m_{D^*} \tilde{T}_2(1)] \} \end{aligned}$$

- $A_1(1)$ known from lattice
- the others from HQ relations

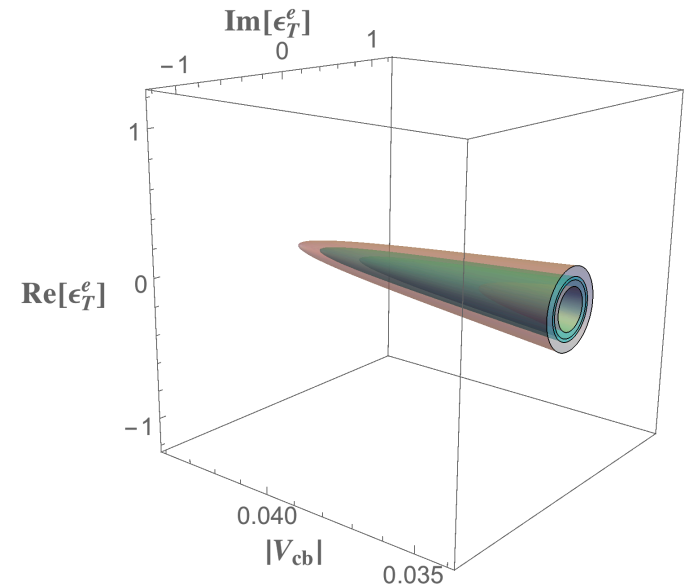
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$$(\text{Re}(\epsilon_T'), \text{Im}(\epsilon_T'), |V_{cb}|)$$

$B \rightarrow D^* \ell \nu_\ell + B \rightarrow X_c \ell \nu_\ell$: allowed regions



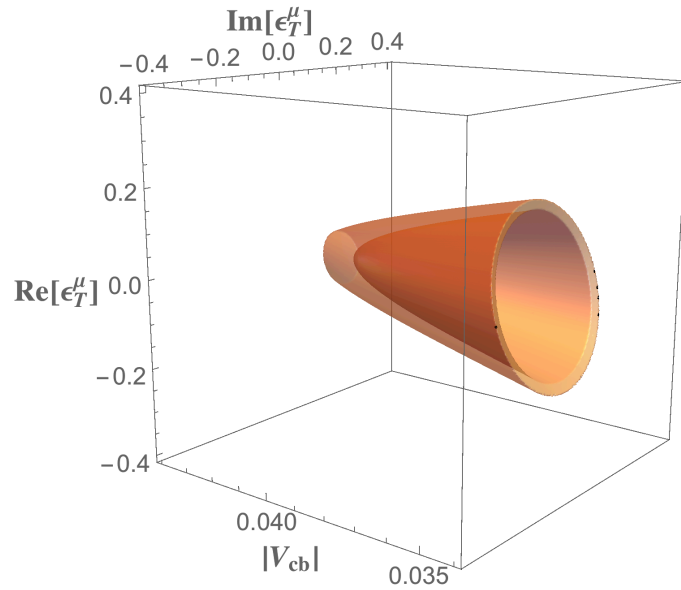
μ channel



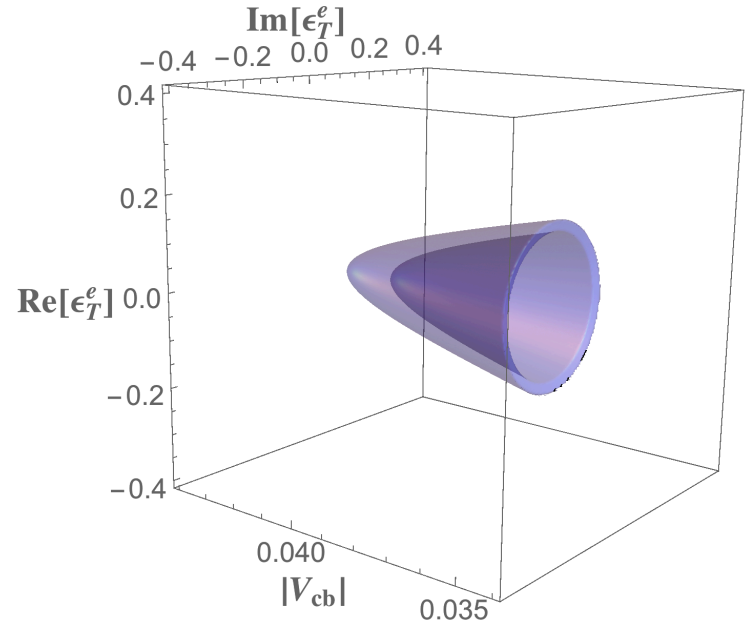
e channel

inner regions: inclusive mode
outer regions: exclusive mode

$B \rightarrow D \ell \nu_\ell + B \rightarrow D^* \ell \nu_\ell + B \rightarrow X_c \ell \nu_\ell$: allowed regions



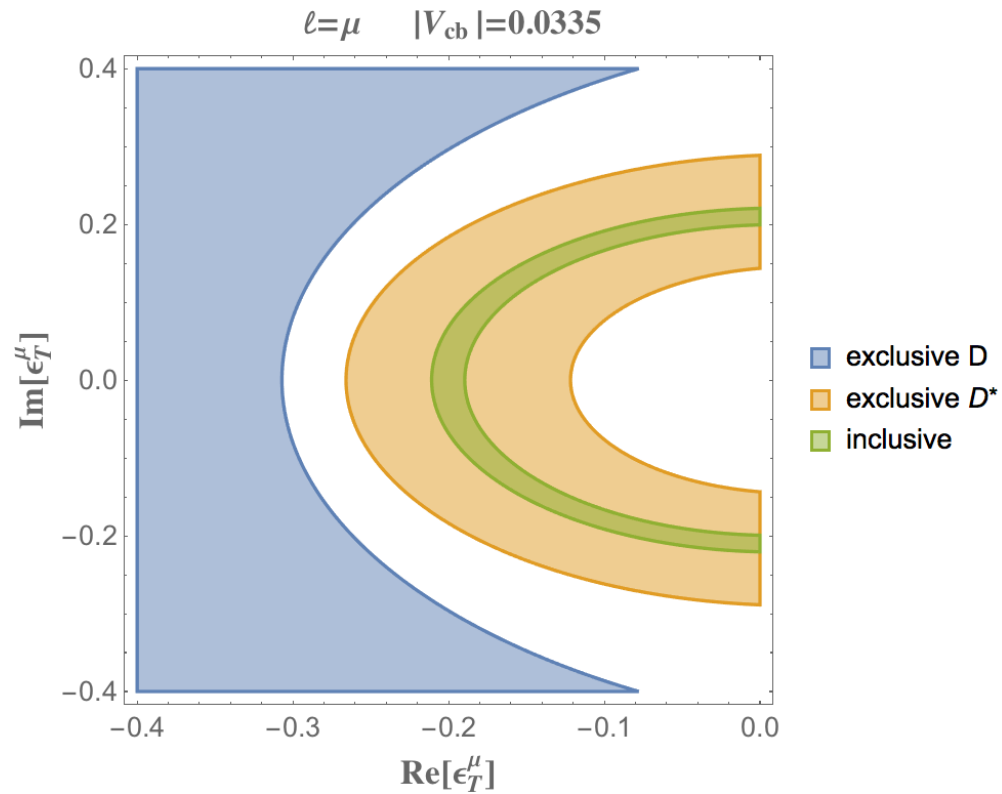
μ channel



e channel

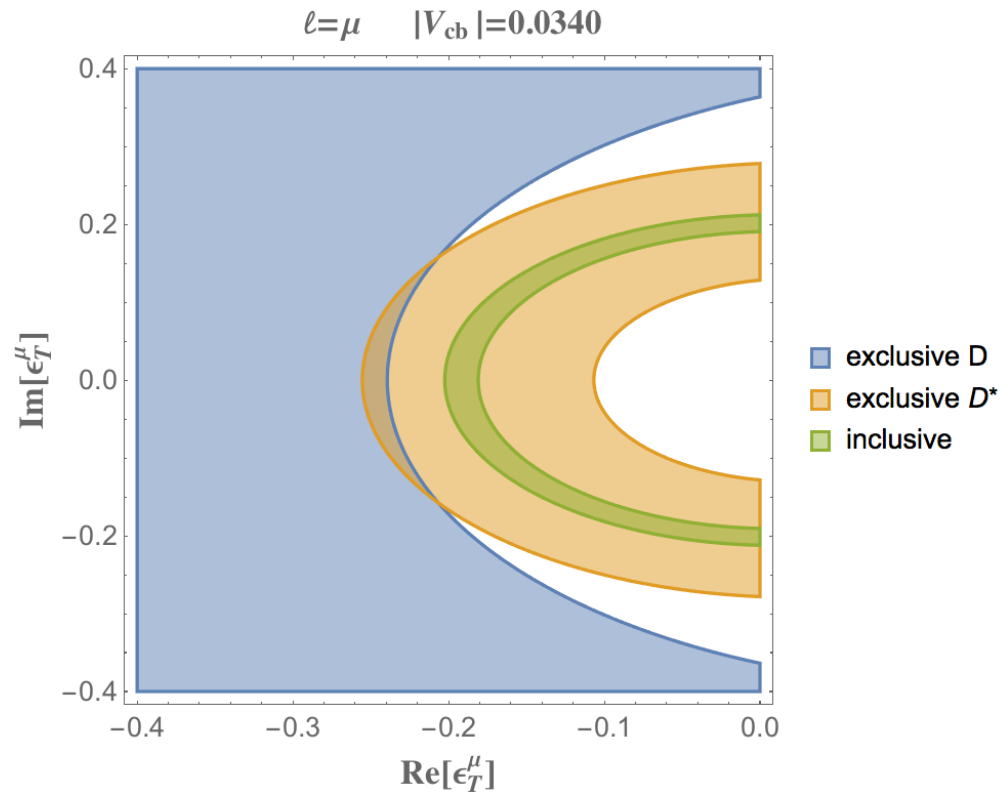
projections in the ($\text{Re } \varepsilon_T$, $\text{Im } \varepsilon_T$) plane

μ channel



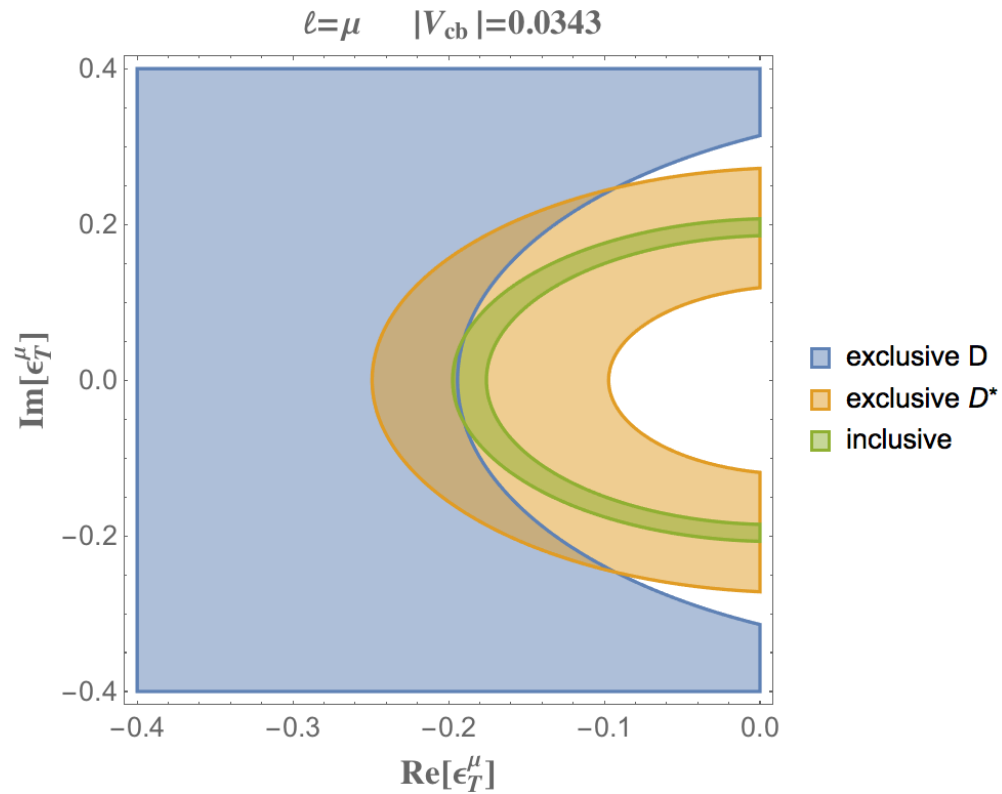
projections in the ($\text{Re } \varepsilon_T$, $\text{Im } \varepsilon_T$) plane

μ channel



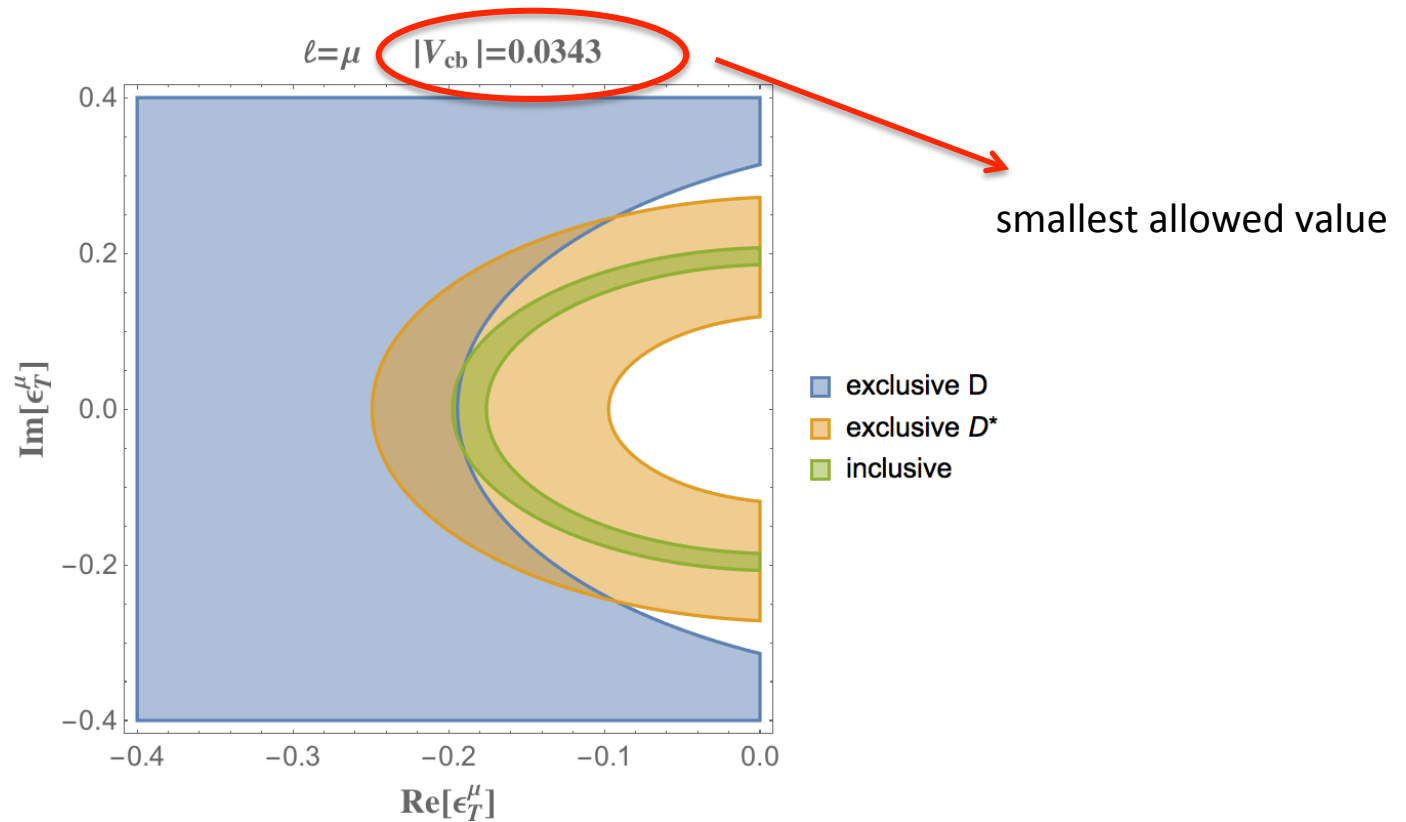
projections in the ($\text{Re } \epsilon_T$, $\text{Im } \epsilon_T$) plane

μ channel



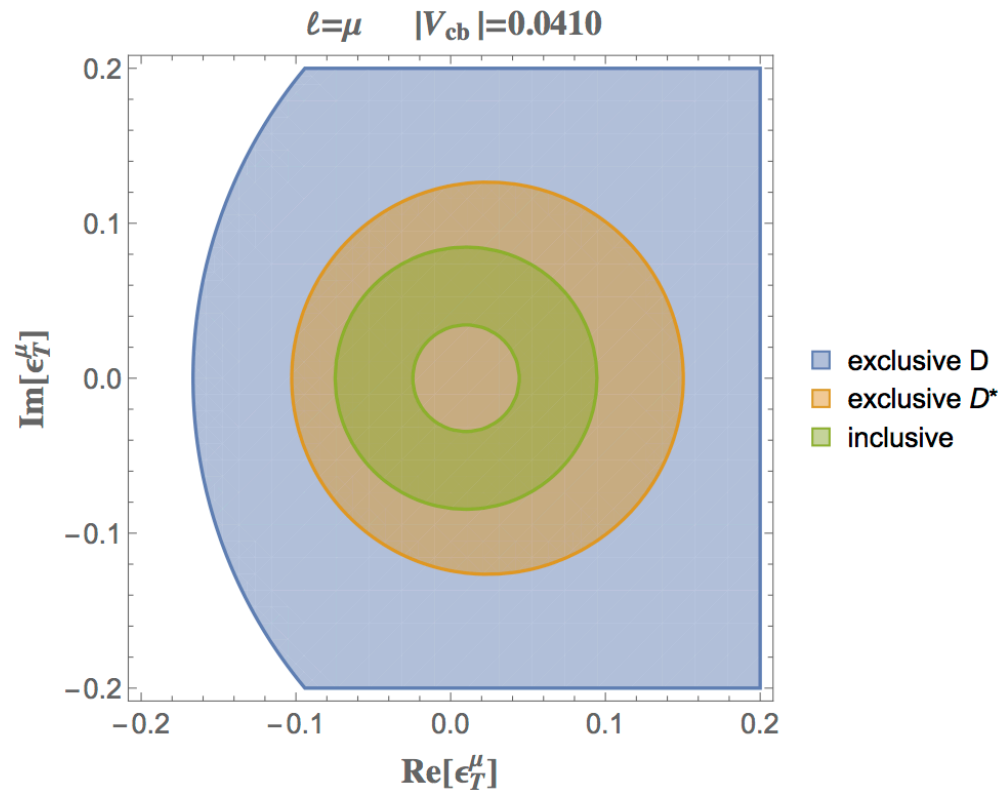
projections in the ($\text{Re } \varepsilon_T$, $\text{Im } \varepsilon_T$) plane

μ channel



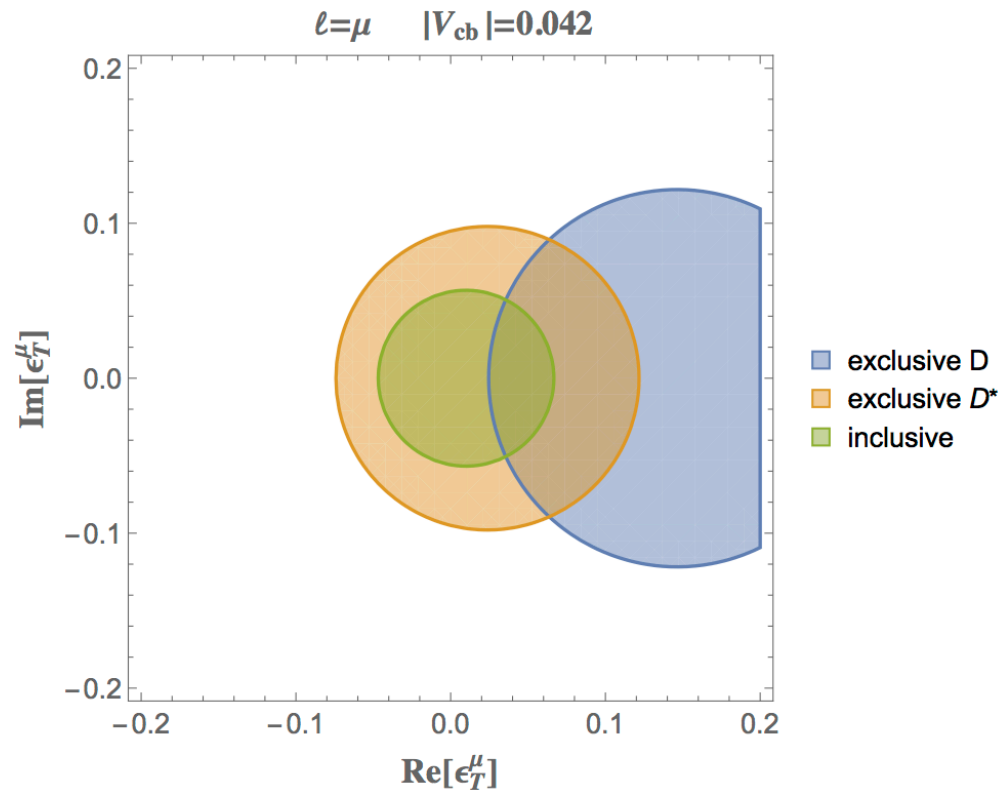
projections in the ($\text{Re } \epsilon_T$, $\text{Im } \epsilon_T$) plane

μ channel



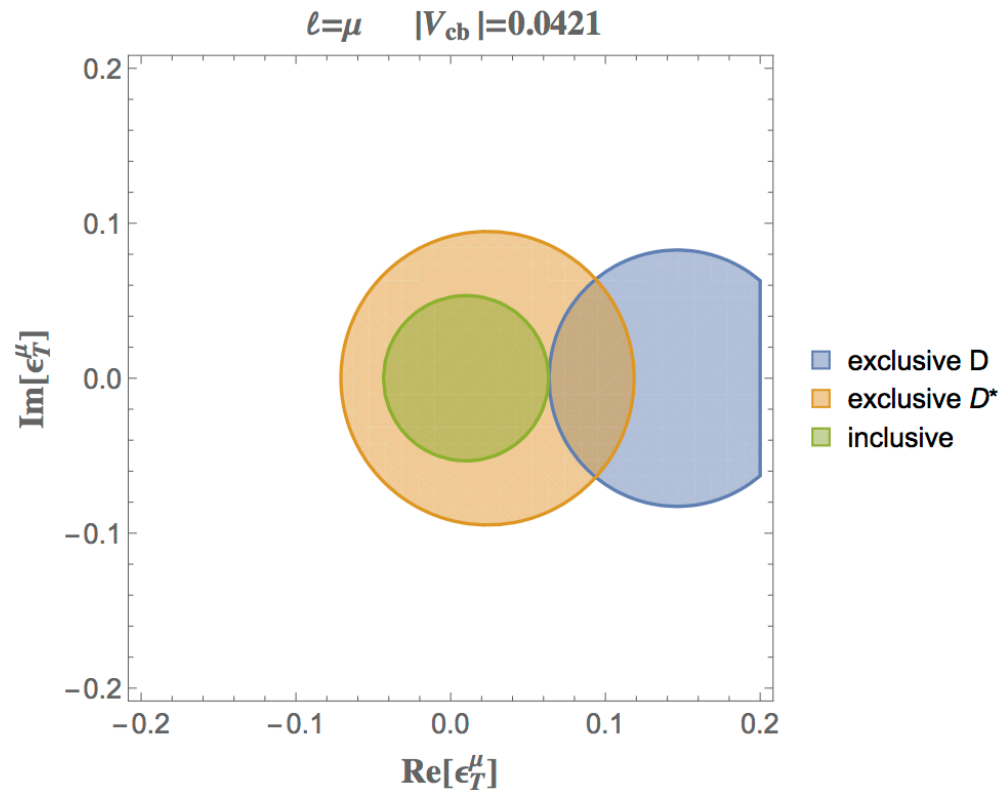
projections in the ($\text{Re } \varepsilon_T$, $\text{Im } \varepsilon_T$) plane

μ channel



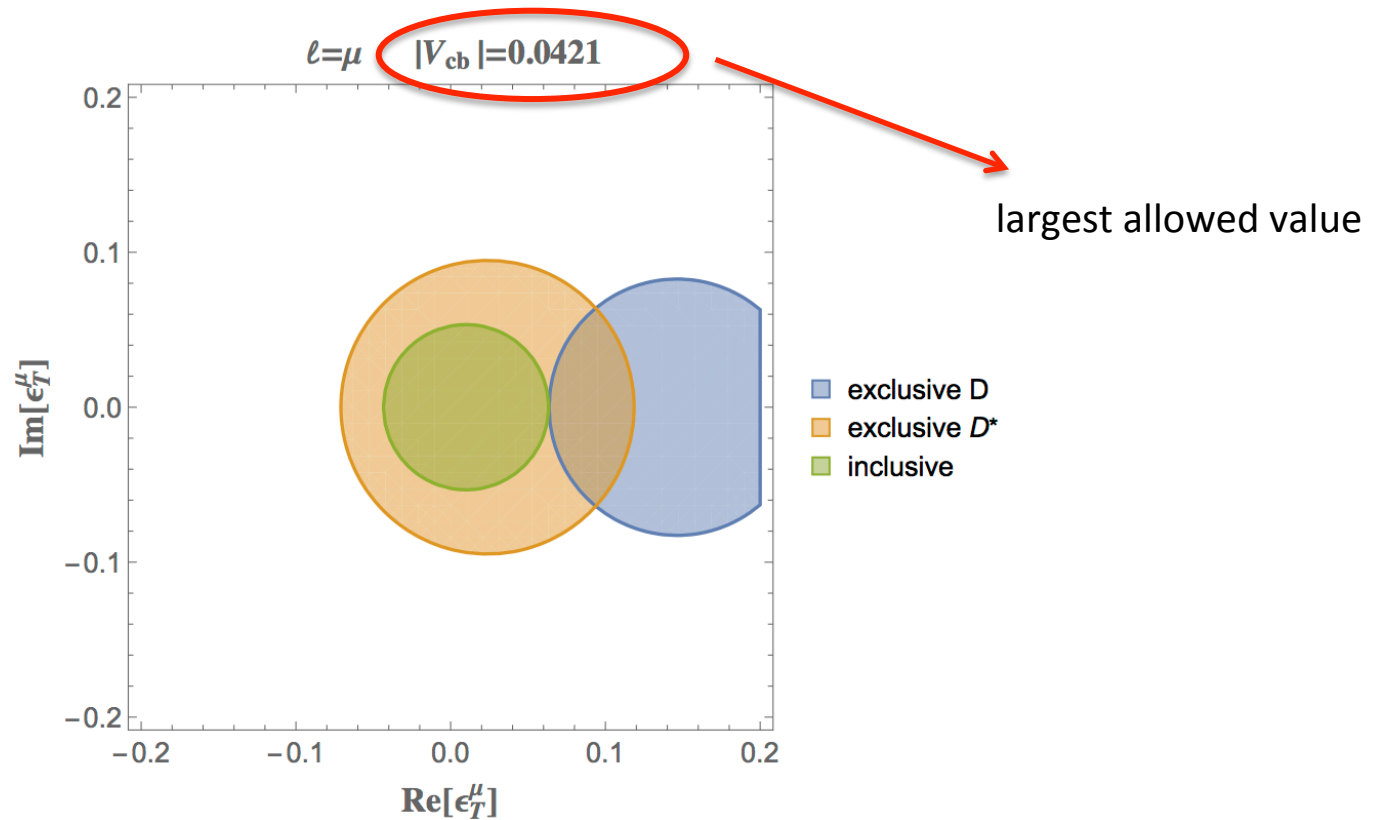
projections in the ($\text{Re } \varepsilon_T$, $\text{Im } \varepsilon_T$) plane

μ channel



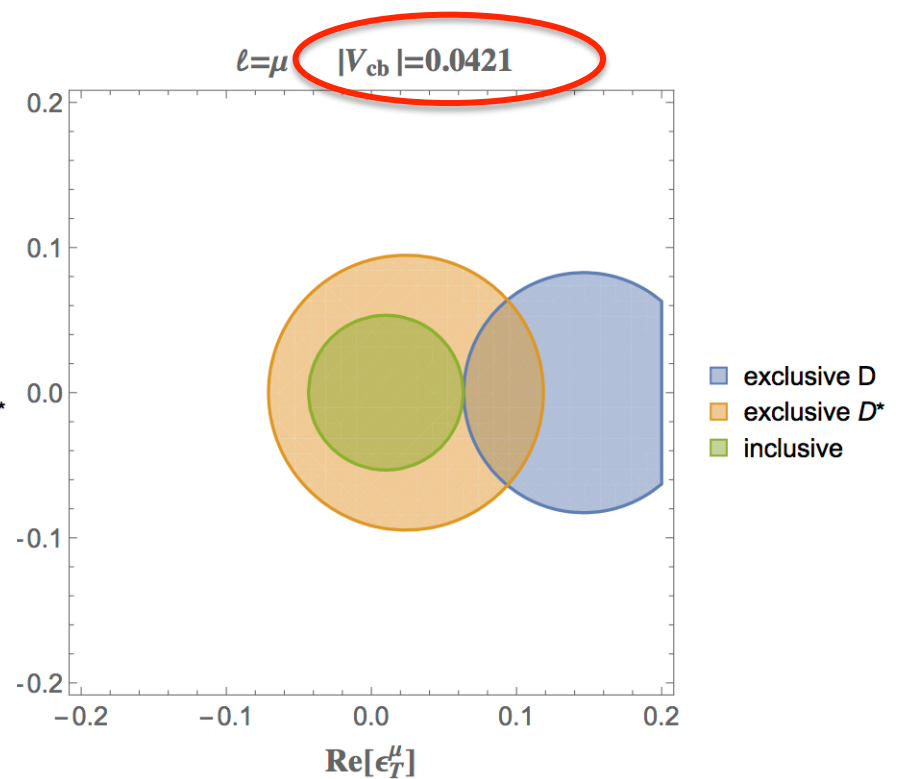
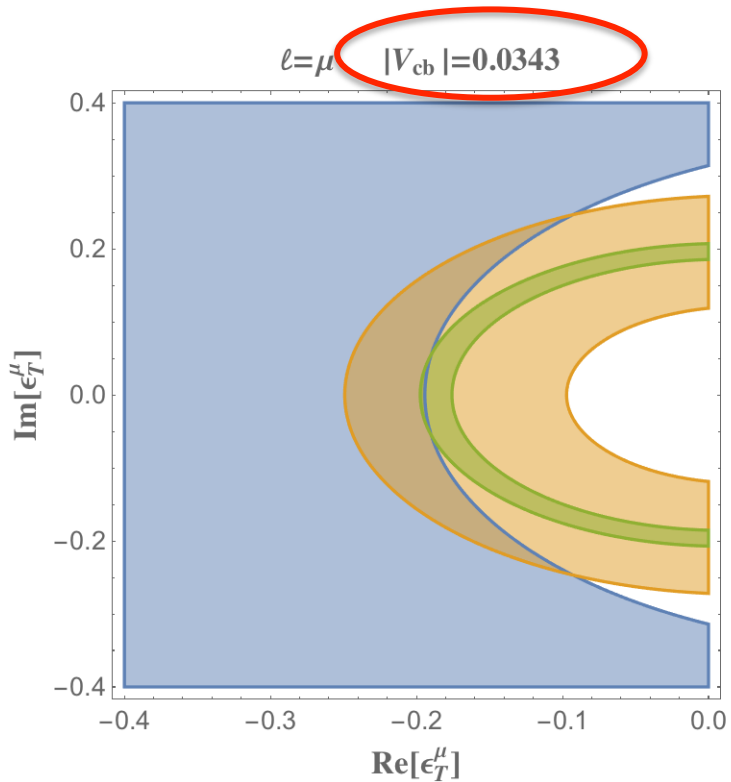
projections in the ($\text{Re } \epsilon_T$, $\text{Im } \epsilon_T$) plane

μ channel



projections in the ($\text{Re } \epsilon_T$, $\text{Im } \epsilon_T$) plane

μ channel

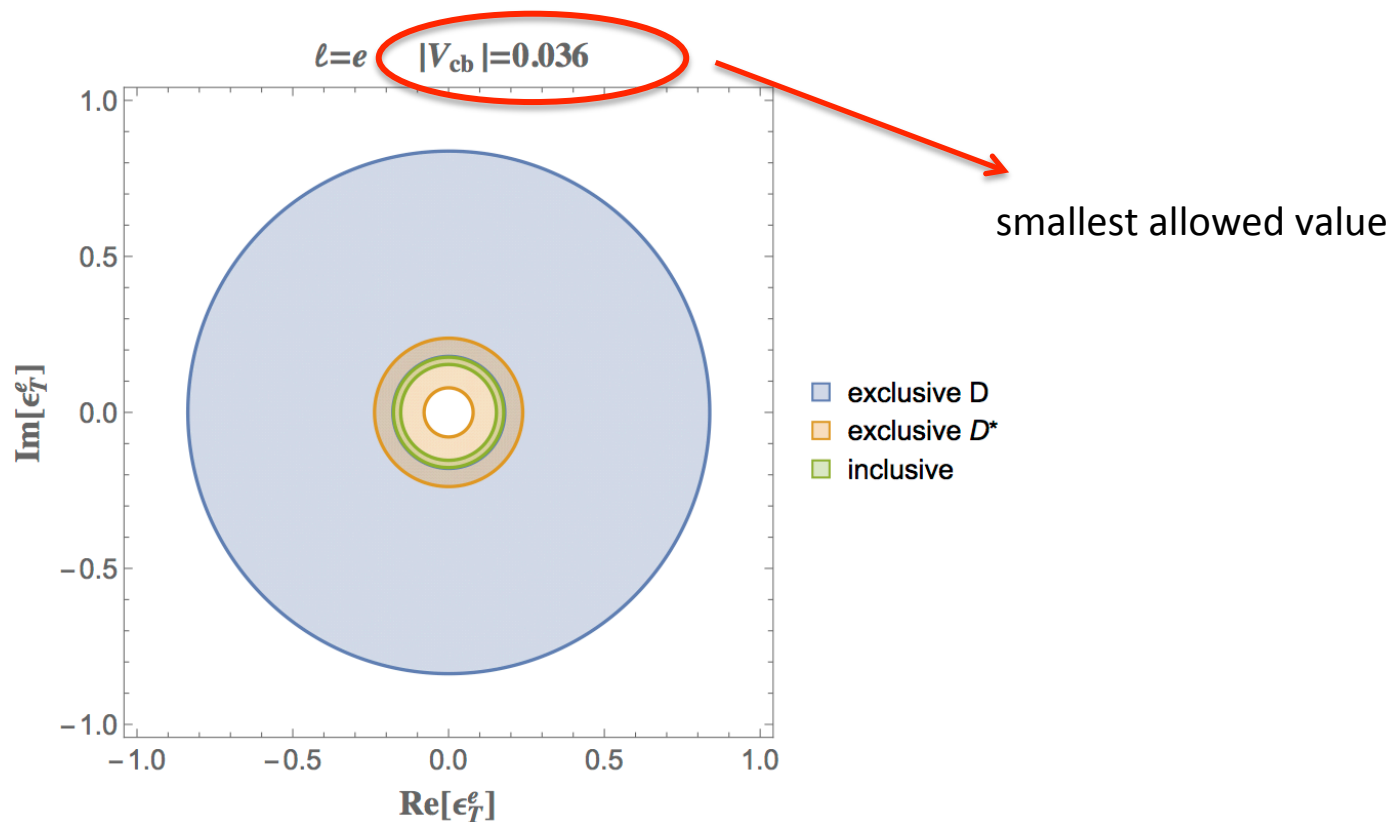


selected range

$$|V_{cb}| \in [0.0343, 0.0421]$$

projections in the ($\text{Re } \epsilon_T$, $\text{Im } \epsilon_T$) plane

e channel



the largest value found from inclusive does not change

selected range

$$|V_{cb}| \in [0.036, 0.0427]$$

V_{cb} range from both modes

μ channel

$$|V_{cb}| \in [0.0343, 0.0421]$$

e channel

$$|V_{cb}| \in [0.036, 0.0427]$$



all constraints can be fulfilled in

$$|V_{cb}| \in [0.036, 0.042]$$

role of the NP contributions

$B \rightarrow X_c \ell \nu_\ell$

$$\frac{d\Gamma}{d\hat{q}^2} = C(q^2) \left[\left. \frac{d\tilde{\Gamma}}{d\hat{q}^2} \right|_{\text{SM}} + |\epsilon_T|^2 \left. \frac{d\tilde{\Gamma}}{d\hat{q}^2} \right|_{\text{NP}} + \text{Re}(\epsilon_T) \left. \frac{d\tilde{\Gamma}}{d\hat{q}^2} \right|_{\text{INT}} \right]$$



\mathcal{B}_{SM}



\mathcal{B}_{NP}



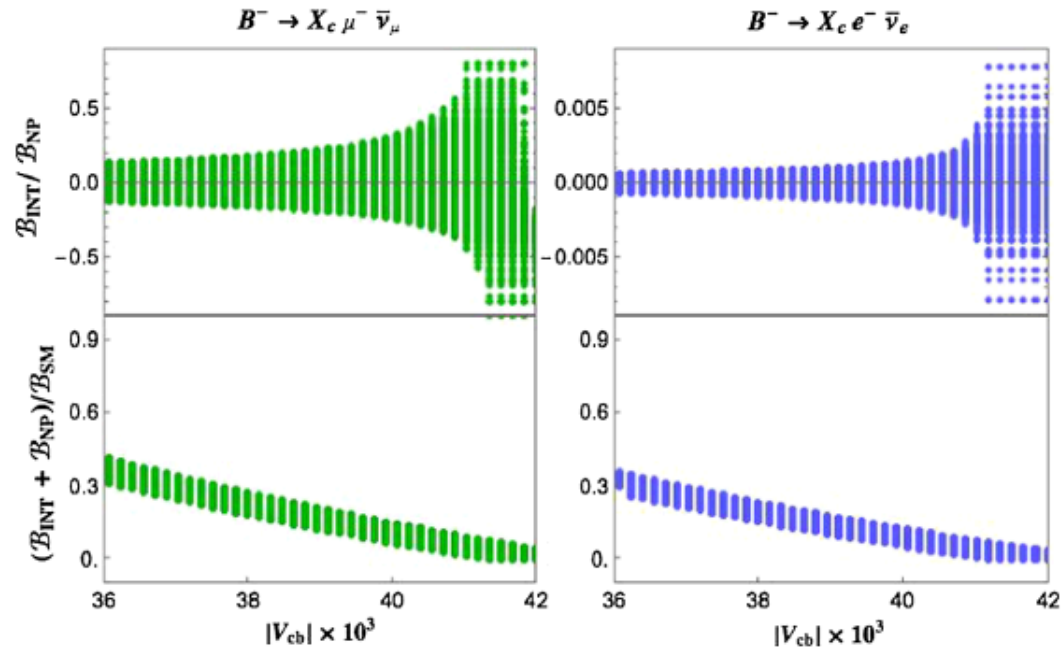
\mathcal{B}_{INT}



compute varying $\text{Re}(\epsilon_T)$, $\text{Im}(\epsilon_T)$ and $|V_{cb}|$ only in the *allowed* region

role of the NP contributions

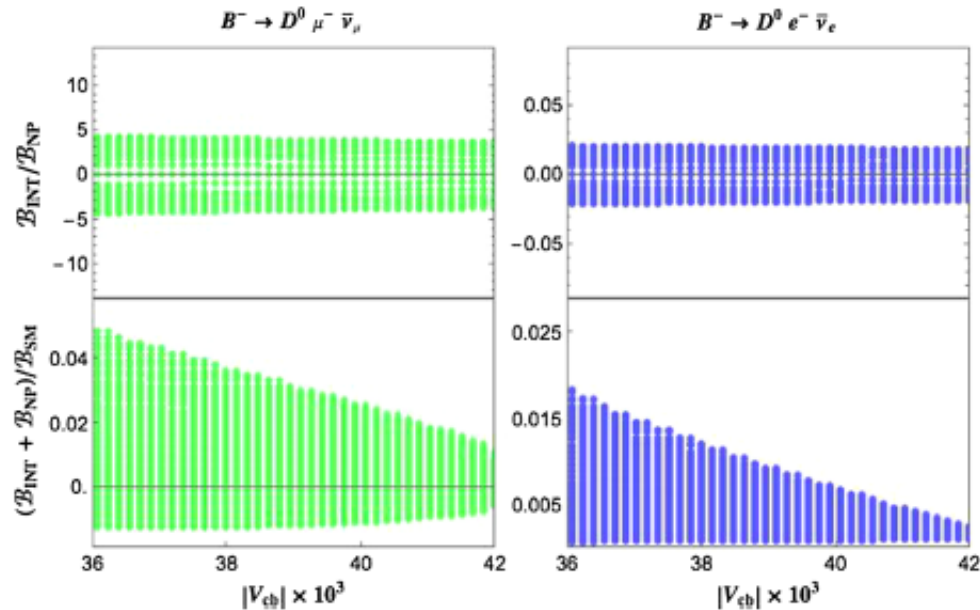
$$B \rightarrow X_c \ell \bar{\nu}_\ell$$



- interference term can be sizable for μ
- the total NP contribution (NP+INT) is negligible for both e and μ when $|V_{cb}|$ is large

role of the NP contributions

$$B \rightarrow D \ell \nu_\ell$$



- interference term can be sizable for μ
- the total NP contribution (NP+INT) has a larger impact in the inclusive mode



the role of NP is different in different channels!
a NP H_{eff} might be at the origin of the $|V_{cb}|$ anomaly

A SM solution to the $|V_{cb}|$ puzzle?

Bigi, Gambino, Schacht, 1703.06124
Grinstein, Kobach, 1703.08170

fully differential decay rate
(Belle 1702.01521)

$$\frac{d\Gamma(\bar{B} \rightarrow D^* l \bar{\nu}_l)}{dw d\cos\theta_v d\cos\theta_l d\chi}$$

Boyd-Grinstein-Lebed (BGL) form factor parametrization instead of Caprini-Lellouch-Neubert (CLN)

differences:

CLN relies on HQET relations (4 parameter fit of the differential rate)

BGL based on unitarity, analyticity (as CLN)

BGL includes single particle (B_c^*) contributions (8 parameter fit)

BGL more conservative, data at low recoil better reproduced

➔ $|V_{cb}|$ from the fit with BGL closer to the inclusive determination
(with a larger uncertainty)

warning: only new Belle data considered

talk by Schacht

A few words about V_{ub}

- Inclusive determination from $B \rightarrow X_u \ell \nu \rightarrow \text{OPE}$: the same parameters! requires shape function (moments related to the OPE parameters)
- Exclusive: from $B \rightarrow \pi \ell \nu$, $\Lambda_b \rightarrow p \ell \nu$: requires FF
- Exclusive leptonic: from $B \rightarrow \tau \nu$: requires f_B

Inclusive vs exclusive:

recent lattice calculation of $B \rightarrow \pi$ FF point to larger values of $|V_{ub}|_{\text{excl}}$
discrepancy still at 3σ level

If LFU violation exists in $b \rightarrow c$ we should probably see it also in $b \rightarrow u$
universal breaking pattern?

Challenging the lepton flavour universality opens new perspectives in NP searches

What is needed

- separate measurements for e and μ inclusive and exclusive B modes
- new modes, e.g. measurements of B_s and Λ_b semileptonic decays
- modes where the tensor operator does not contribute, i.e. $B_c \rightarrow \tau \nu_\tau$
- new observables where effects are expected, e.g. D_i^{**}
(are F-B asymmetries accessible?)
- Same breaking pattern in $b \rightarrow u$ transitions?

V_{cb}

V_{cb}

$R(D^{(*)})$

V_{cb}

$R(D^{(*)})$

V_{cb}

$R(D^{(*)})$

V_{ub}

A lot of surprises from three-level processes

The journey in search of phenomena beyond SM continues....