

Flavour physics and CP violation

Lecture 2: Phenomenology of K and B meson decays

Monika Blanke



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① Flavour physics in the Standard Model (SM)

- CKM matrix
- flavour changing neutral currents
- effective Hamiltonian

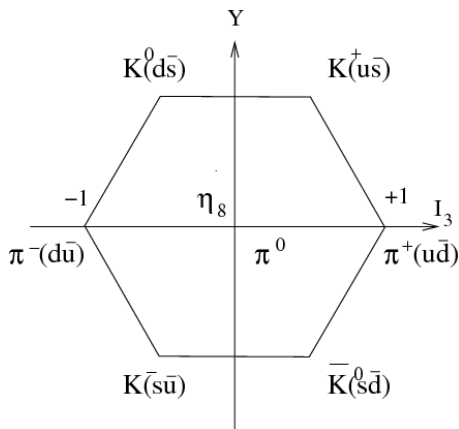
② Phenomenology of K and B meson decays

- neutral meson mixing
- rare K decays
- $b \rightarrow s$ transitions

③ Flavour physics beyond the SM

- constraints on the scale of new physics
- Minimal Flavour Violation
- flavour hierarchies from partial compositeness

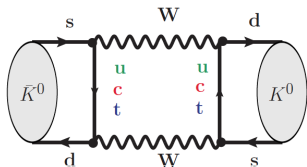
Pseudoscalar meson octet



two neutral K mesons: $|K^0\rangle = |d\bar{s}\rangle$ and $|\bar{K}^0\rangle = |s\bar{d}\rangle$

Neutral kaon mixing

Recall from Monday: K^0 and \bar{K}^0 can mix



➤ **time evolution** of $K^0 - \bar{K}^0$ system described by Schrödinger equation

$$i \frac{d\psi(t)}{dt} = \hat{H} \psi(t) \quad \psi(t) = \begin{pmatrix} K^0(t) \\ \bar{K}^0(t) \end{pmatrix}$$

with the 2×2 Hamiltonian

$$\hat{H} = \hat{M} - i \frac{\hat{\Gamma}}{2} = \begin{pmatrix} M_{11} - i \frac{\Gamma_{11}}{2} & M_{12} - i \frac{\Gamma_{12}}{2} \\ M_{21} - i \frac{\Gamma_{21}}{2} & M_{22} - i \frac{\Gamma_{22}}{2} \end{pmatrix}$$

From flavour to mass eigenstates

Using

- *CPT* invariance: $M_{11} = M_{22} \equiv M$, $\Gamma_{11} = \Gamma_{22} \equiv \Gamma$
- hermiticity: $M_{21} = M_{12}^*$, $\Gamma_{21} = \Gamma_{12}^*$

the Hamiltonian simplifies to

$$\hat{H} = \begin{pmatrix} M - i\frac{\Gamma}{2} & M_{12} - i\frac{\Gamma_{12}}{2} \\ M_{12}^* - i\frac{\Gamma_{12}^*}{2} & M - i\frac{\Gamma}{2} \end{pmatrix}$$

Diagonalisation yields the **mass eigenstates**

$$K_{L,S} = \frac{(1 + \bar{\varepsilon})K^0 \pm (1 - \bar{\varepsilon})\bar{K}^0}{\sqrt{2(1 + |\bar{\varepsilon}|^2)}} \quad \frac{1 - \bar{\varepsilon}}{1 + \varepsilon} = \sqrt{\frac{M_{12}^* - i\frac{\Gamma_{12}^*}{2}}{M_{12} - i\frac{\Gamma_{12}}{2}}} = \frac{\Delta M - \frac{i}{2}\Delta\Gamma}{2M_{12} - i\Gamma_{12}}$$

exp.: $\bar{\varepsilon} = \mathcal{O}(10^{-3}) \gg \Delta M \simeq 2\text{Re}M_{12}$, $\Delta\Gamma \simeq 2\text{Re}\Gamma_{12}$

CP Properties

$$CP|K^0\rangle = -|\bar{K}^0\rangle \quad CP|\bar{K}^0\rangle = -|K^0\rangle$$

➤ CP eigenstates

$$K_1 = \frac{1}{\sqrt{2}}(K^0 - \bar{K}^0) \quad CP \text{ even: } CP|K_1\rangle = +|K_1\rangle$$

$$K_2 = \frac{1}{\sqrt{2}}(K^0 + \bar{K}^0) \quad CP \text{ odd: } CP|K_2\rangle = -|K_2\rangle$$

mass eigenstates $K_{S,L}$ are not CP eigenstates:

$$K_S = \frac{K_1 + \bar{\epsilon}K_2}{\sqrt{1 + |\bar{\epsilon}|^2}} \quad K_L = \frac{K_2 + \bar{\epsilon}K_1}{\sqrt{1 + |\bar{\epsilon}|^2}}$$

➤ CP violation

$K_{L,S}$ lifetimes

if CP symmetry was unbroken:

- $K_S = K_1$ is CP even, hence decays to two pions
- $K_L = K_2$ is CP odd, hence decays to three pions \triangleright suppressed

lifetime difference (hence $K_{\text{Short}}, K_{\text{Long}}$)

$$\tau(K_S) \sim 90 \text{ ps} \quad \tau(K_L) \sim 5 \cdot 10^3 \text{ ps}$$

$\triangleright CP$ is (approximately) conserved

Application: how to get a K_L beam

neutral kaon beam contains superposition of K_S and K_L states

\triangleright wait until K_S have decayed

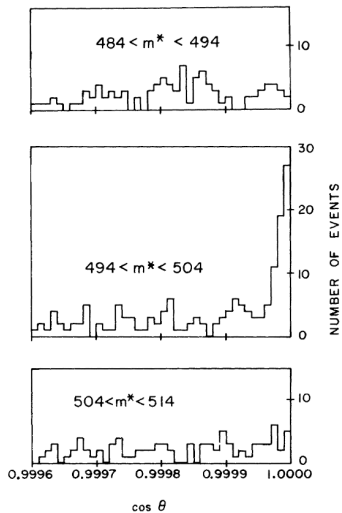
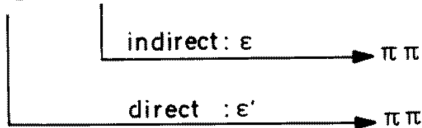
Observation of CP violation

observation of $K_L \rightarrow \pi^+ \pi^-$ in 1964
= first observation of CP violation

➤ Nobel Prize 1980

... but is it direct or indirect?

$$K_L \propto K_2 + \bar{\epsilon} K_1$$



Christenson et al. (1964)

Classification of CP violation

Standard classification

- **indirect CP violation**: mass eigenstates are not CP eigenstates
- **direct CP violation**: transition (decay) of CP -odd to CP -even state (or vice versa)

➤ How to distinguish between the two?

Idea: direct CP violation depends on decay channel, but indirect does not

For $K \rightarrow \pi\pi$ we can study the modes

$$\begin{array}{ll} K_L \rightarrow \pi^0\pi^0 & K_L \rightarrow \pi^+\pi^- \\ K_S \rightarrow \pi^0\pi^0 & K_S \rightarrow \pi^+\pi^- \end{array}$$

ε and ε' from isospin amplitudes

$\pi\pi$ final state can be isospin $I = 0$ or $I = 2$ with amplitudes A_0 and A_2 :

$$A(K^0 \rightarrow \pi^+\pi^-) = \sqrt{\frac{2}{3}}A_0e^{i\delta_0} + \sqrt{\frac{1}{3}}A_2e^{i\delta_2}$$

$$A(K^0 \rightarrow \pi^0\pi^0) = \sqrt{\frac{2}{3}}A_0e^{i\delta_0} - 2\sqrt{\frac{1}{3}}A_2e^{i\delta_2}$$

$\delta_{0,2}$: strong phases

Defining the ratios

$$\eta_{00} = \frac{A(K_L \rightarrow \pi^0\pi^0)}{A(K_S \rightarrow \pi^0\pi^0)} \quad \eta_{+-} = \frac{A(K_L \rightarrow \pi^+\pi^-)}{A(K_S \rightarrow \pi^+\pi^-)}$$

one can show that

$$\varepsilon \simeq \frac{1}{3}(\eta_{00} + 2\eta_{+-}) \quad \text{Re}\left(\frac{\varepsilon'}{\varepsilon}\right) \simeq \frac{1}{6}\left(1 - \left|\frac{\eta_{+-}}{\eta_{00}}\right|^2\right)$$

Status of $|\varepsilon|$ and ε'/ε

experimentally:

$$\begin{aligned} |\varepsilon| &= (2.228 \pm 0.011) \cdot 10^{-3} \\ \text{Re}(\varepsilon'/\varepsilon) &= (16.6 \pm 2.3) \cdot 10^{-4} \end{aligned}$$

in the SM:

- CP violation governed by phase of the CKM matrix
 - three generations necessary
- CP violation in the K sector driven by

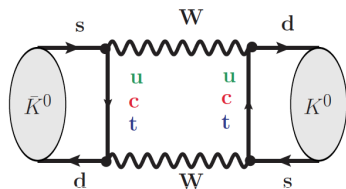
$$\text{Im}(V_{ts}^* V_{td}) \simeq \mathcal{O}(10^{-4})$$

new physics: CKM suppression could be absent

- outstanding new physics sensitivity, even within flavour physics

$|\varepsilon|$ in the SM

$$\varepsilon = \frac{\kappa_\varepsilon e^{i\varphi_\varepsilon}}{\sqrt{2}\Delta M_K} \text{Im} M_{12}^K$$



- $\kappa_\varepsilon = 0.94 \pm 0.02$: estimate of long-distance corrections
- $\varphi_\varepsilon = 43.51^\circ$ from data
- $\Delta M_K = (0.5292 \pm 0.0009) \cdot 10^{-2} \text{ ps}^{-1}$ from data
- M_{12}^K from box diagram, including higher order perturbative corrections and non-perturbative parameter from lattice QCD

➤ $|\varepsilon|_{\text{SM}} = (1.90 \pm 0.26) \cdot 10^{-3}$
a bit lower, but consistent with data

ε'/ε in the SM

simple phenomenological expression:

$$\text{Re}(\varepsilon'/\varepsilon) = \frac{\text{Im}(V_{ts}^* V_{td})}{1.4 \cdot 10^{-4}} \cdot 10^{-4} \cdot \left(\underbrace{-3.6 + 21.4 B_6^{(1/2)}}_{A_0: \text{ QCD penguins}} + \underbrace{1.2 - 10.4 B_8^{(3/2)}}_{A_2: \text{ EW penguins}} \right)$$

- large cancellation between A_0 and A_2 amplitudes
- hadronic matrix elements from the lattice (RBC-UKQCD):

$$B_6^{(1/2)} = 0.57 \pm 0.19 \quad B_8^{(3/2)} = 0.76 \pm 0.05$$

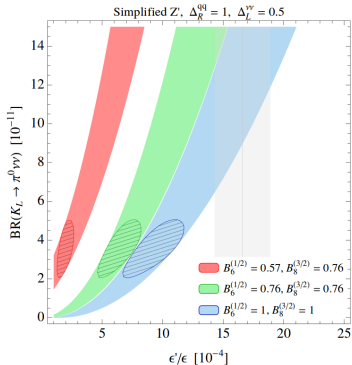
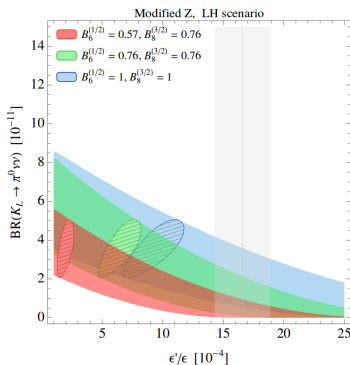
➤ $\text{Re}(\varepsilon'/\varepsilon)_{\text{SM}} = (1.4 \pm 4.6) \cdot 10^{-4}$

$\sim 3\sigma$ tension with the data!

ϵ'/ϵ in the presence of new physics

example: two simplified models (flavour changing Z or Z' couplings)

BURAS ET AL. (2015)



- tension in $|\epsilon'/\epsilon|$ can be removed
- simultaneous large effects in $K_L \rightarrow \pi^0 \nu \bar{\nu}$

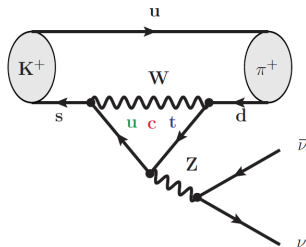
The $K \rightarrow \pi\nu\bar{\nu}$ decays – a unique opportunity

Standard Model:

- governed by Z penguin and box diagrams
- hadronic uncertainties well under control
- main uncertainty from CKM determination

➤ **theoretically extremely clean & very rare!**

$K^+ \rightarrow \pi^+ \nu\bar{\nu}$ and $K_L \rightarrow \pi^0 \nu\bar{\nu}$ are
an ideal place to hunt for new physics!



Experimental prospects:

NA62 (CERN), KOTO (J-PARC), ORKA & Project X (Fermilab)

$K \rightarrow \pi \nu \bar{\nu}$ and new physics

effective Hamiltonian for $K \rightarrow \pi \nu \bar{\nu}$

$$\mathcal{H}_{\text{eff}} \propto \left[\underbrace{V_{cs}^* V_{cd} X_{\text{NNL}}(x_c)}_{\text{charm contribution}} + \underbrace{V_{ts}^* V_{td} |X| e^{i\theta_X}}_{\substack{\text{short distance} \\ \text{new physics!}}} \right] (\bar{s} \gamma^\mu d) (\bar{\nu} \gamma_\mu (1 - \gamma_5) \nu)$$

short-distance physics described model-independently by complex function

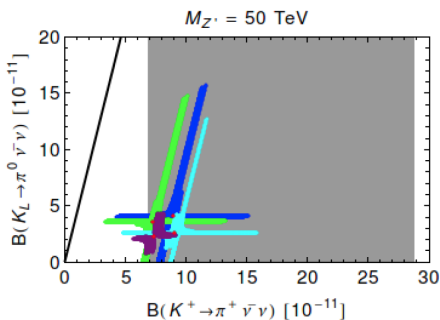
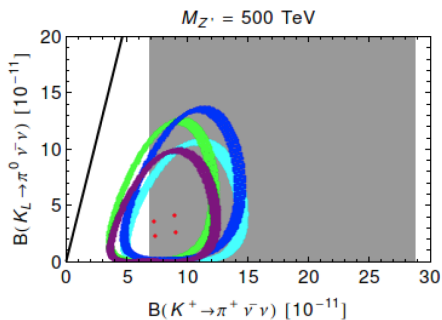
$$X = |X| e^{i\theta_X} \quad \text{where } |X|^{\text{SM}} = X(x_t), \theta_X^{\text{SM}} = 0$$

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ mode is CP-conserving \triangleright sensitive to $|X|^2$
 $K_L \rightarrow \pi^0 \nu \bar{\nu}$ mode is CP-violating \triangleright measures $(\text{Im} X)^2$

\triangleright both measurements needed to determine $|X|$ and θ_X

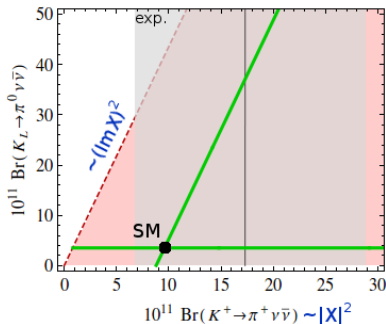
$K \rightarrow \pi \nu \bar{\nu}$ with a flavour changing Z'

BURAS ET AL. (2014)



- NP reach beyond 10^3 TeV in the presence of LH and RH couplings
- **smaller reach** for chiral theory (only LH oder RH couplings)
distinctive correlation (2 branches)
- strong dependence on CKM input (plot colours)

$K \rightarrow \pi \nu \bar{\nu}$ – model-independent considerations

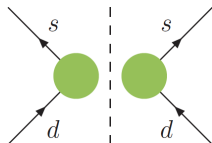


➤ test of new physics structure $K^0 - \bar{K}^0$ mixing

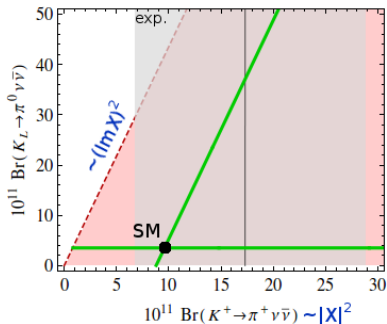
MB (2009)

purely left-handed structure:

- ϵ_K constrains phase of $s \rightarrow d$ transition
 - same phase enters $K \rightarrow \pi \nu \bar{\nu}$
- only two branches are allowed



$K \rightarrow \pi \nu \bar{\nu}$ – model-independent considerations

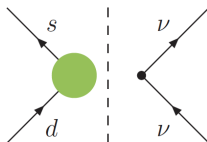


➤ test of new physics structure $K^0 - \bar{K}^0$ mixing

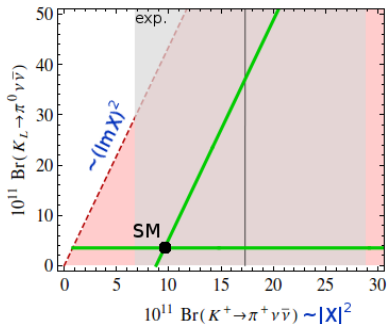
MB (2009)

purely left-handed structure:

- ε_K constrains phase of $s \rightarrow d$ transition
 - same phase enters $K \rightarrow \pi \nu \bar{\nu}$
- only two branches are allowed

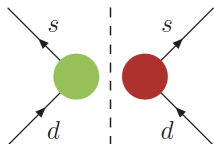


$K \rightarrow \pi \nu \bar{\nu}$ – model-independent considerations



➤ test of new physics structure $K^0 - \bar{K}^0$ mixing

MB (2009)



both left- and right-handed:

- \mathcal{Q}_{LR} dominates in ϵ_K
- connection between $\Delta S = 2$ and $\Delta S = 1$ is spoiled

➤ full $K \rightarrow \pi \nu \bar{\nu}$ plane possible

Summary – What makes kaons so special?

The past

- prediction of charm quark from $K_L \rightarrow \mu^+ \mu^-$ branching ratio
- first observation of CP violation in neutral kaon mixing
 - prediction of three generations

The presence

- ε among the most stringent constraints on flavour beyond the SM
- recent progress on lattice calculations entering ε'/ε
 - tension between data and SM

The future

- measurement of $K \rightarrow \pi \nu \bar{\nu}$ branching ratios will offer an extremely clean probe of new physics
- more precise prediction for ε'/ε should become available soon, possibly sharpening the tension

B physics

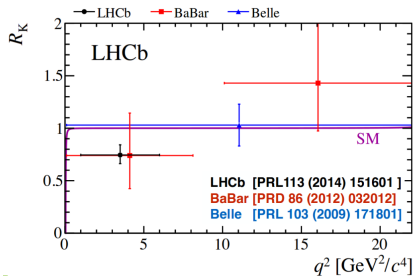
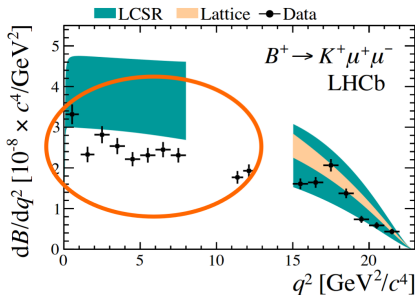
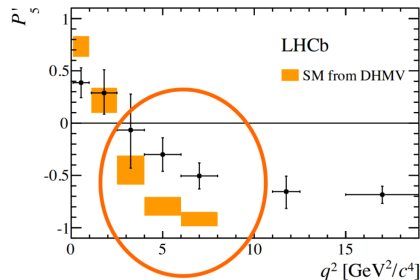
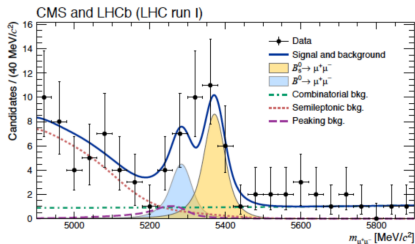
We are in an era of *B* physics experiments!



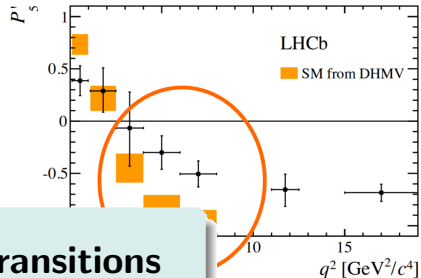
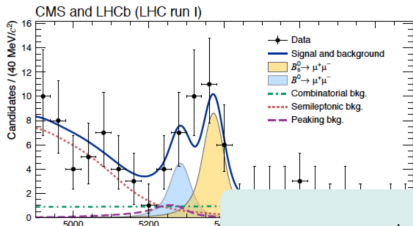
but also BaBar, Belle, CMS, ATLAS...

➤ hundreds of interesting observables, and we've already seen a few anomalies!

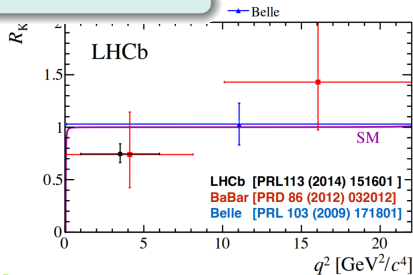
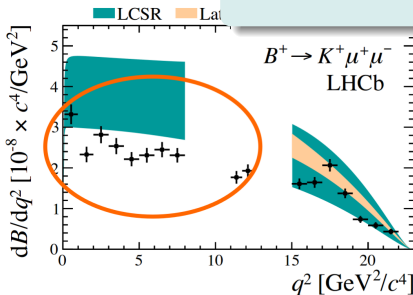
Some recent results



Some recent results



$b \rightarrow sl^+l^-$ transitions

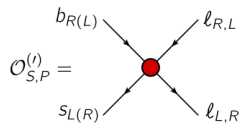
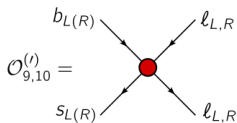
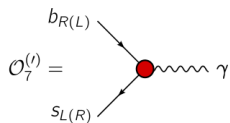


Radiative and semileptonic $b \rightarrow s$ transitions

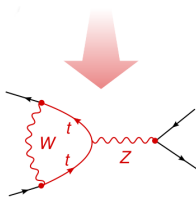
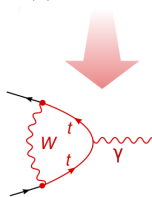
$b \rightarrow sl^+\ell^-$ and $b \rightarrow s\gamma$ transitions described by effective Hamiltonian

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb}^* V_{ts} \frac{e^2}{16\pi^2} \sum_i (C_i \mathcal{O}_i + C'_i \mathcal{O}'_i) + h.c.$$

where the operators most sensitive to new physics are



SM:



Sensitivity to Wilson coefficients

Complementary sensitivity:

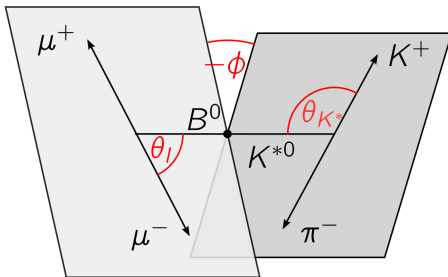
Decay	$C_7^{(f)}$	$C_9^{(f)}$	$C_{10}^{(f)}$	$C_{S,P}^{(f)}$
$B \rightarrow X_s \gamma$	X			
$B \rightarrow K^* \gamma$	X			
$B \rightarrow X_s \ell^+ \ell^-$	X	X	X	
$B \rightarrow K^{(*)} \ell^+ \ell^-$	X	X	X	
$B_s \rightarrow \mu^+ \mu^-$			X	X

- different observables constrain different operators
- global analysis can be used to resolve ambiguities
- apparent deviation from the SM in one observable can be cross-checked in related modes

Angular observables in $B \rightarrow K^* \mu^+ \mu^-$

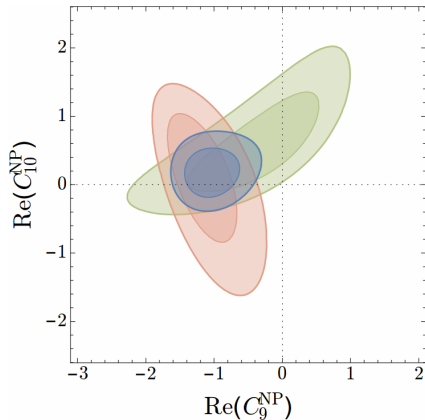
$B \rightarrow K^*(\rightarrow K\pi)\mu^+\mu^-$:

- four body final state \triangleright 3 angles and $\mu^+\mu^-$ invariant mass q^2
- different parametrisations on the theory market

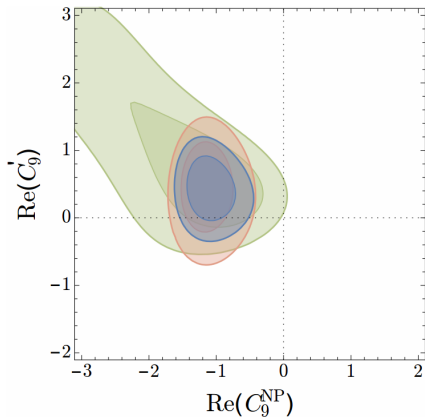


\triangleright one of these observables: P_5'

Global fit to Wilson coefficients



ALTMANNSHOFER, STRAUB (2015) and others



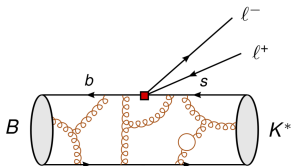
➤ $\sim 4\sigma$ evidence for a new contribution to C_9

What could it be?

New physics!

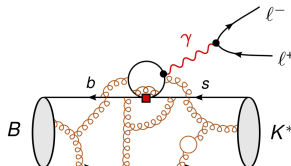
...or some underestimated theory contribution?

$B \rightarrow K^*$ form factor



- from lattice QCD and light-cone sum rules
- systematic improvements possible

non-factorisable corrections

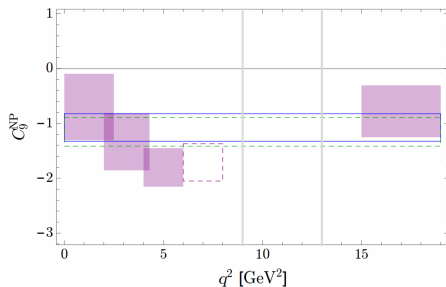


- “charm loops” at low q^2 and broad $c\bar{c}$ resonances
- dominant uncertainty, no systematic theory description

How can we tell?

Hadronic effects

- q^2 dependence expected
- lepton flavour universal



ALTMANNSHOFER, STRAUB (2015)

New physics contribution

- q^2 independent
- can be lepton flavour specific

test of lepton flavour universality:

$$R_K = \frac{\mathcal{B}(B \rightarrow K \mu^+ \mu^-)}{\mathcal{B}(B \rightarrow K e^+ e^-)}$$

and other similar ratios

Summary

- quark flavour physics has a very rich phenomenology
 - only few examples highlighted here
- K physics: highest potential new physics sensitivity
- B physics: currently lots of activity
 - interesting anomalies to be understood