

# Measurement of direct photons at forward rapidities in p-A collisions at LHC with ALICE

## a probe for nuclear PDFs and saturation

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for the ALICE-FoCal Collaboration

1. Low-x physics and gluon saturation in p/d+A
  - results from RHIC and LHC
2. Direct Photons
3. FoCal - an ALICE Upgrade Proposal
  - performance studies
  - detector R&D



ALICE

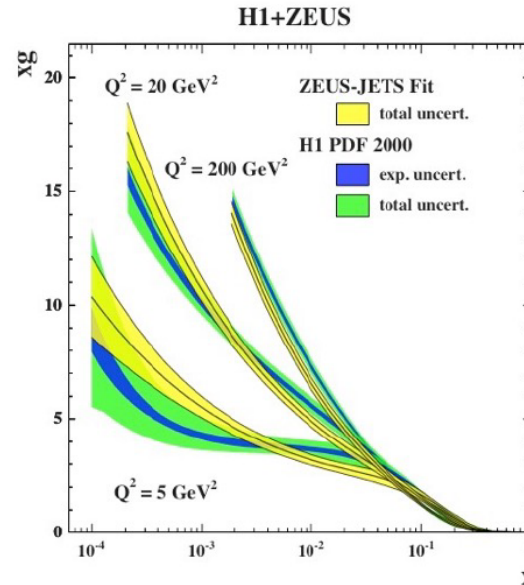
# Experimental results from RHIC and LHC

- Gluon saturation**

From DIS, HERA:

gluon density increases rapidly at low  $x$

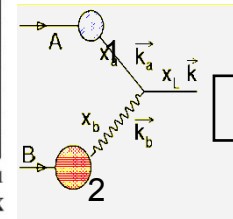
→ problems with unitarity (finite cross sections)



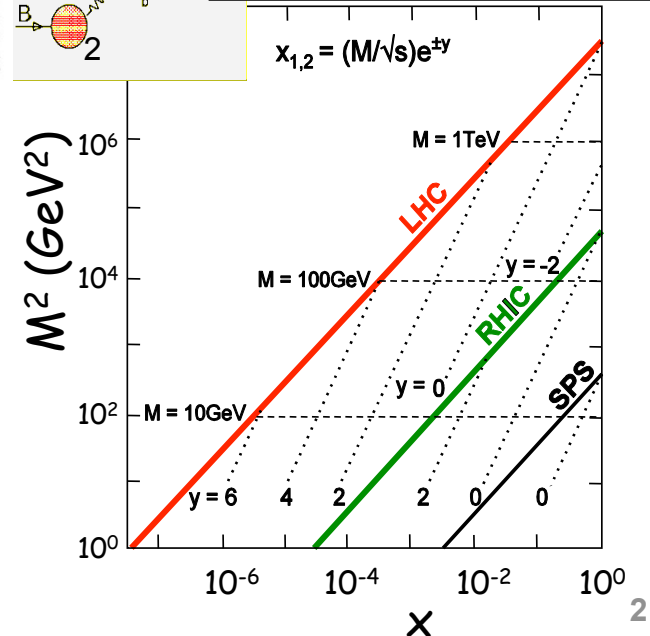
## Kinematics

2→1 process

$y = \text{rapidity of } (x_L, k) \text{ system}$



$$x_{1,2} = (M/\sqrt{s})e^{\pm y}$$



- Particle production in nuclear collision at forward rapidities**

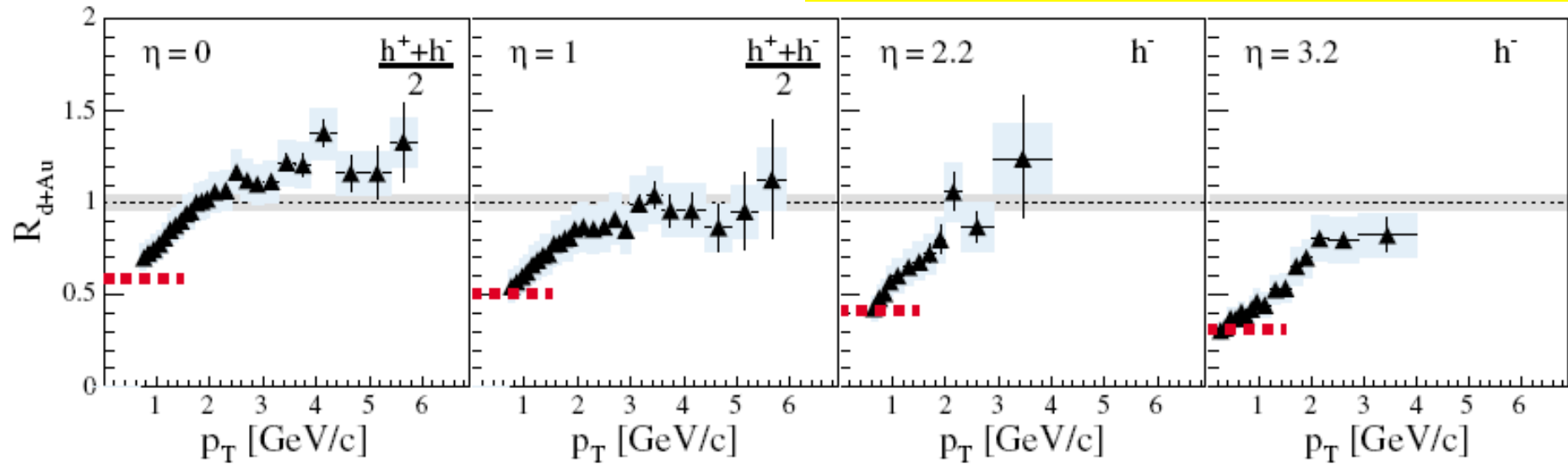
Observation:

suppression of hadron production in d+Au / p+Pb as compared to p+p

→ nuclear modification factor

# Charged hadrons – $R_{dAu}$ at different pseudorapidities (RHIC)

BRAHMS: PRL **93**, 242303 (2004)



$$R_{dAu} = \frac{1}{\langle N_{coll} \rangle} \frac{d^2N^{d+Au}/dp_T d\eta}{d^2N^{pp}_{inel}/dp_T d\eta}$$

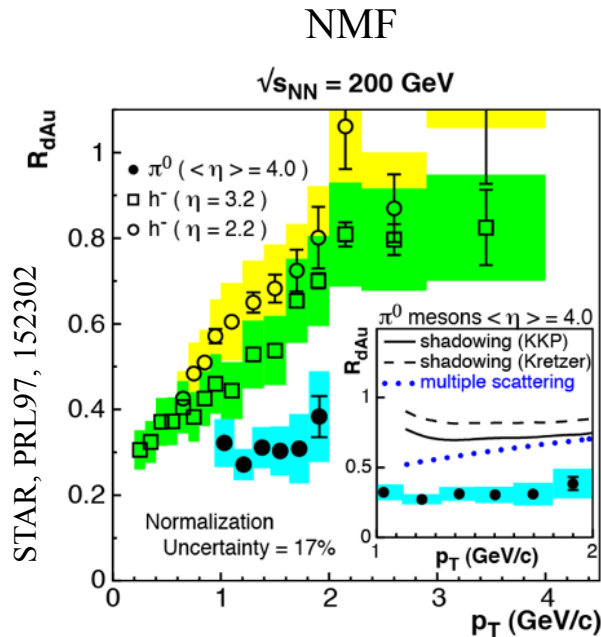
where  $\langle N_{coll} \rangle = 7.2 \pm 0.3$

- Clear suppression as  $\eta$  changes from 0 to 3.2
- CGC model describes  $R_{dAu}$  and  $R_{CP}$

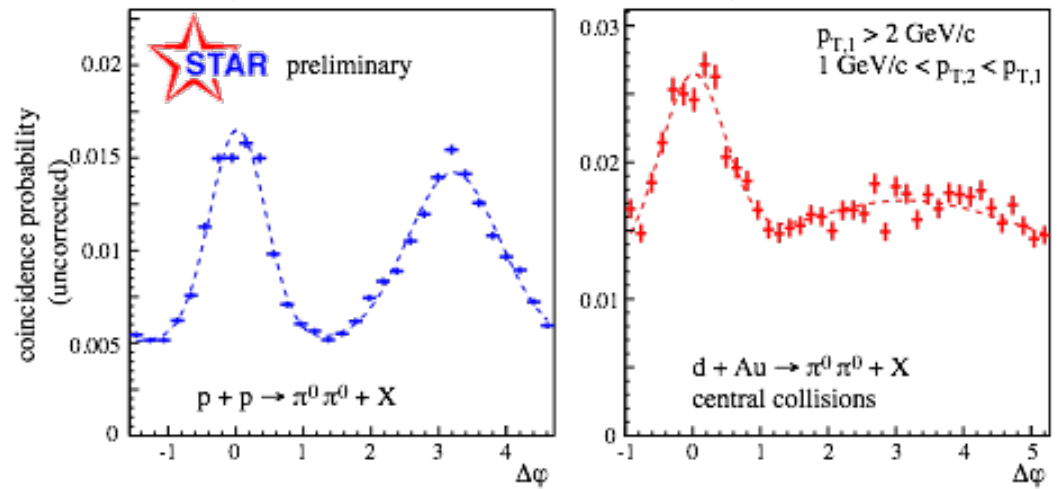
D. Kharzeev, Y.V. Kovchegov,  
K. Tuchin, hep-ph/0405045 (2004);  
Phys.Lett. B599 (2004) 23-31

# Experimental results from RHIC

## Results from STAR



## di-hadron correlations (forward-forward correlations)

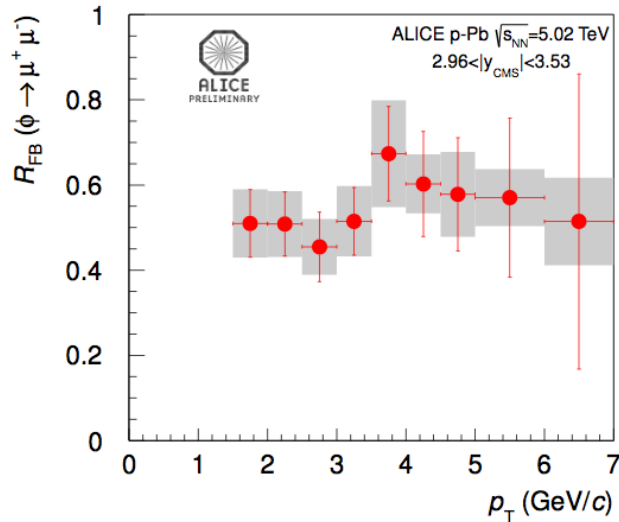


broadening/suppression of away-side peak in dAu

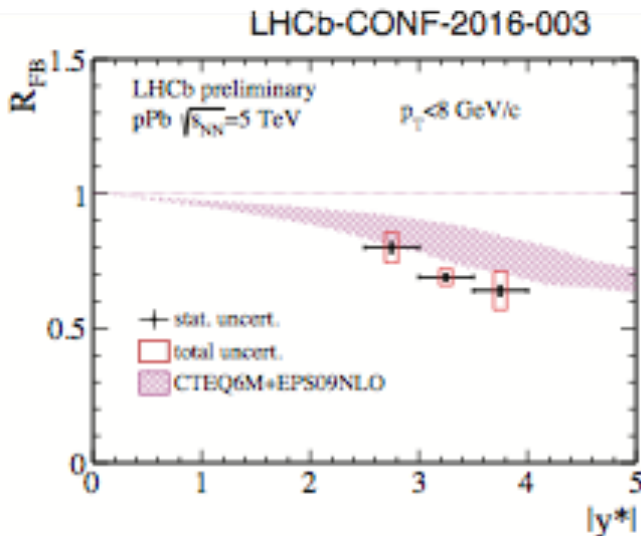
strong suppression of hadron yield

- qualitatively consistent with CGC expectations
- however, many open questions/alternative interpretations:  
e.g. effects of multiple interactions, beam energy loss, kinematic limits...

# Results from p-Pb at LHC (1)



ALI-PREL-61845



**forward/backward ratio  $R_{FB}$**

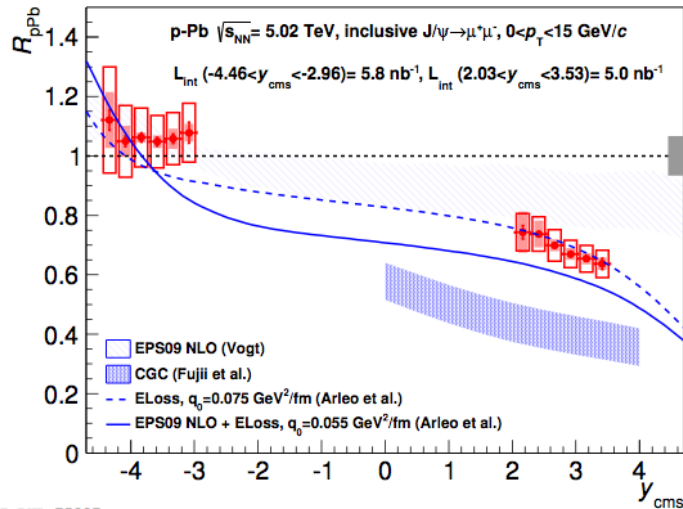
$$R_{FB} = \frac{dN/dp_T(\text{p - going})}{dN/dp_T(\text{Pb - going})}$$

for  $\Phi$ -mesons in ALICE (dimuons)  
 and for open charm in LHCb

- **$\Phi$  strongly suppressed at forward rapidity**
  - interpretation unclear
- **prompt  $D^0$  suppressed**
  - comparison with shadowing (EPS09):  
 consistent, but data slightly more suppressed

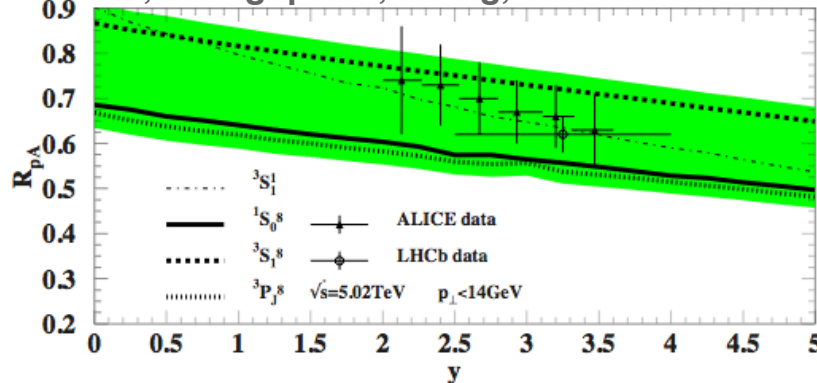
# Results from p-Pb at LHC (2)

ALICE, JHEP02 (2014) 073



ALI-PUB-75287

Ma, Venugopalan, Zhang, arXiv:1503.07772



nuclear modification factor  $R_{pPb}$  for charmonium

- $J/\psi$  suppressed at forward rapidity
  - consistent with shadowing (EPS09)
  - not described by a specific CGC calculation

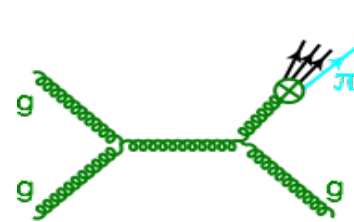
- More recent CGC calculation compatible with observed  $J/\Psi$

→ inconclusive

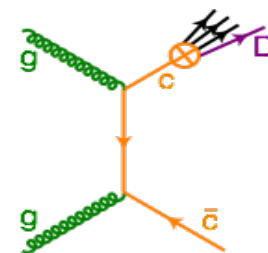
$$R_{pA} = \frac{dN/dp_T(pA)}{\langle N_{coll}(pA) \rangle dN/dp_T(pp)}$$

# A probe for gluon density – direct photons

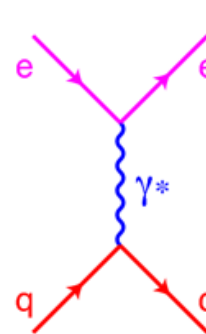
- **Hadronic observables**
  - interpretation inconclusive
- **Electromagnetic probes**
  - Deep-Inelastic Scattering (DIS)
    - » classical PDF method
    - » not sensitive to gluons at LO
    - » gluons from NLO
  - Photon production in hadronic collisions
    - » sensitive to gluons at LO



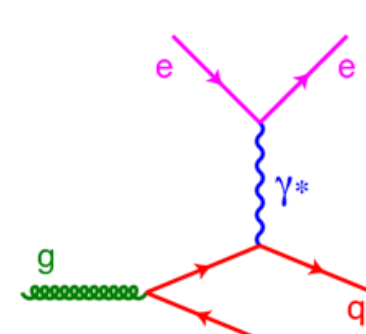
light hadron



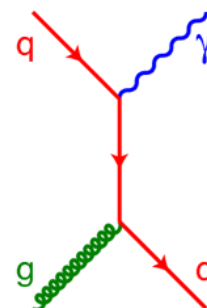
heavy hadron



DIS (LO)



DIS (NLO)



direct- $\gamma$ , Compton (LO)

# Direct photons - nPDF vs CGC

- **Direct photons**
  - large cross section
  - sensitive to small  $x$  at forward rapidity:  
 $x \approx 10^{-5}$  at  $\eta=4-5$   
(narrow peak, however with a sizeable tail)

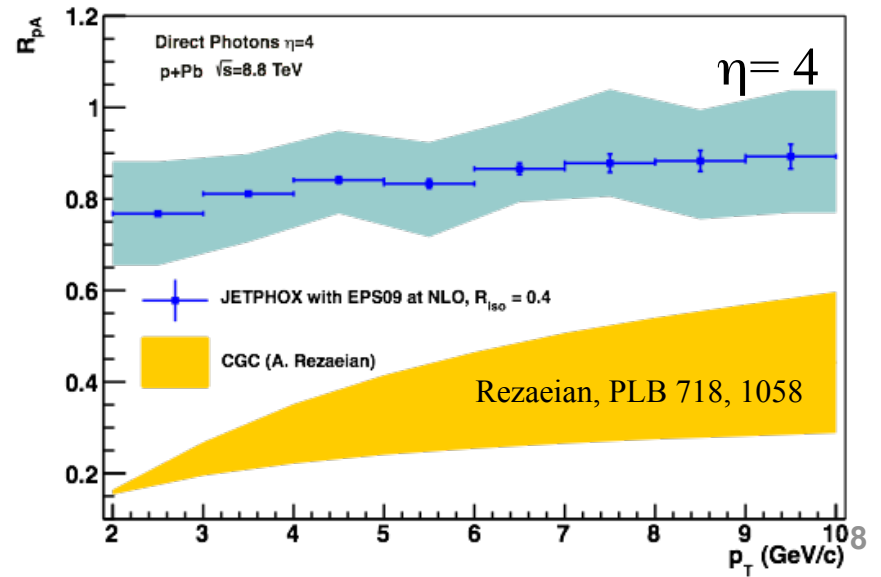
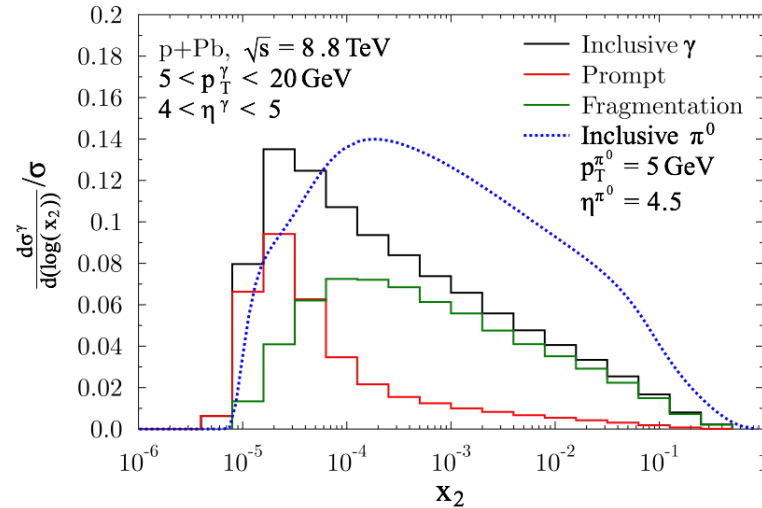
- **Two scenarios for forward  $\gamma$  production in p+A at LHC**

- normal nuclear effects  
NLO and LO nPDFs
- saturation/CGC

→ **strong suppression in direct  $\gamma$   $R_{pA}$**

- clean signal for isolated photons
- signal expected at forward  $\eta$ , low-intermediate  $p_T$

NLO pQCD calculations with shadowing (EPS09)  
Helenius, Eskola, Paukkunen, [arXiv:1406.1689](https://arxiv.org/abs/1406.1689)

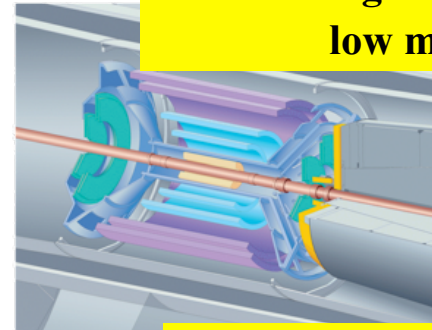




# ALICE detector & upgrades

**TPC: new GEM readout chambers,  
continuous readout**

**new ITS: high resolution,  
low material budget**



**FoCal  
project**

**TRD, TOF, PHOS, EMCal,  
Muon spectrometer:  
new readout electronics**

**new beam pipe: smaller diameter**

**MFT: secondary  
vertexing for muons**

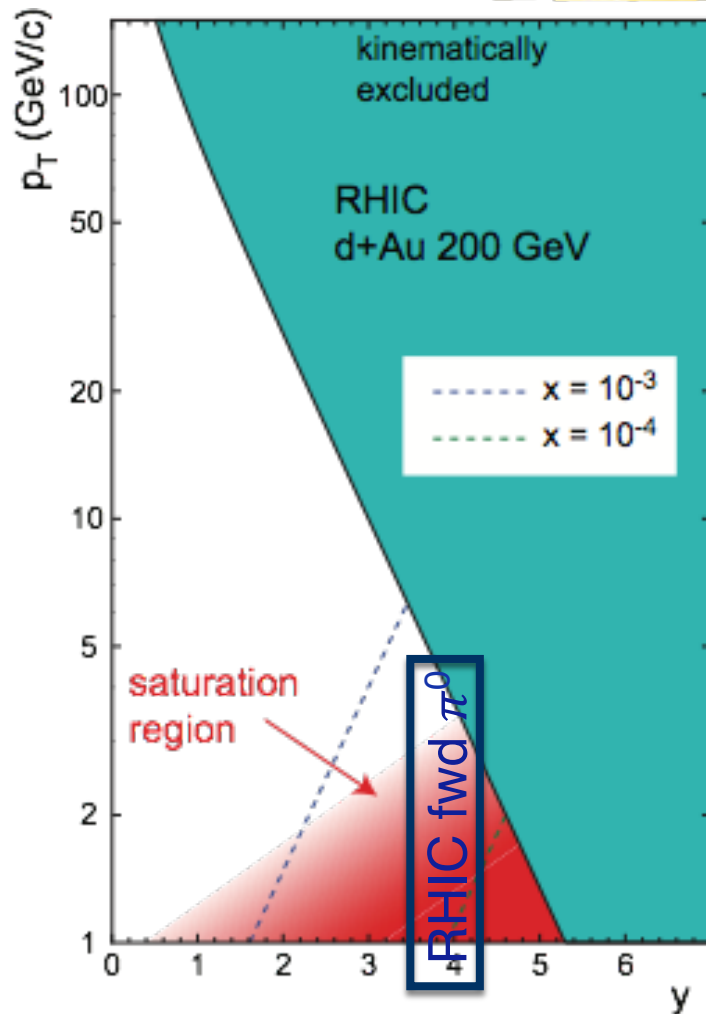
**planned for installation in LS2 (2019),  
Letter of Intent: CERN-LHCC-2012-012**

**Proposed for LS3 upgrade  
(under internal discussion)**

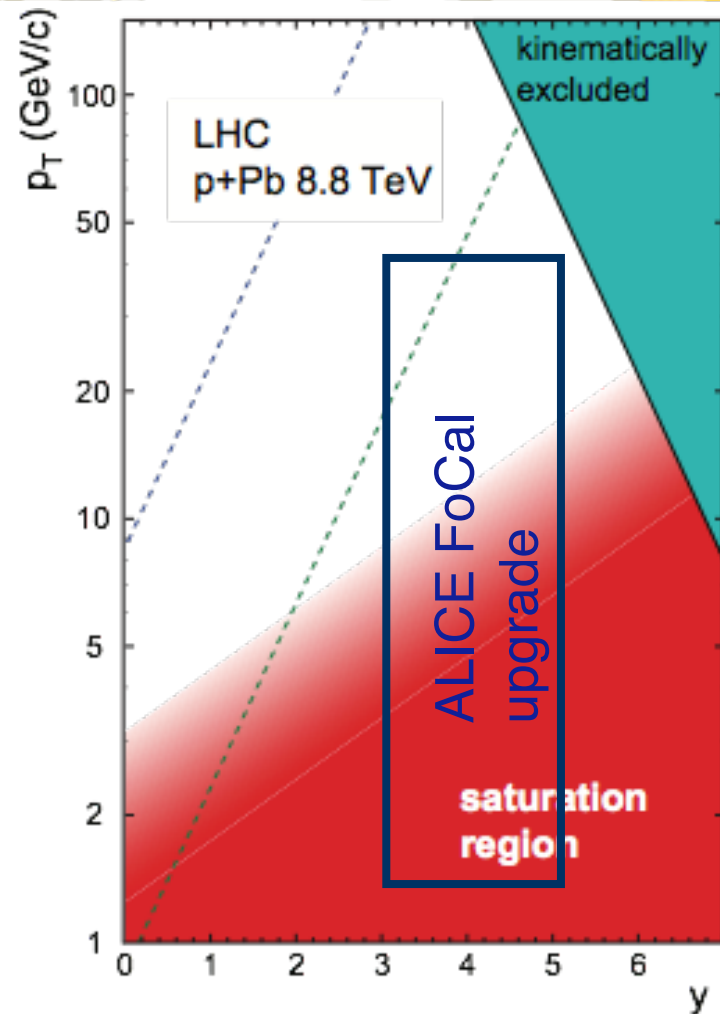
**Upgrade of  
forward/trigger  
detectors  
(ZDC, VZERO, T0)**



# Forward measurements: RHIC vs LHC



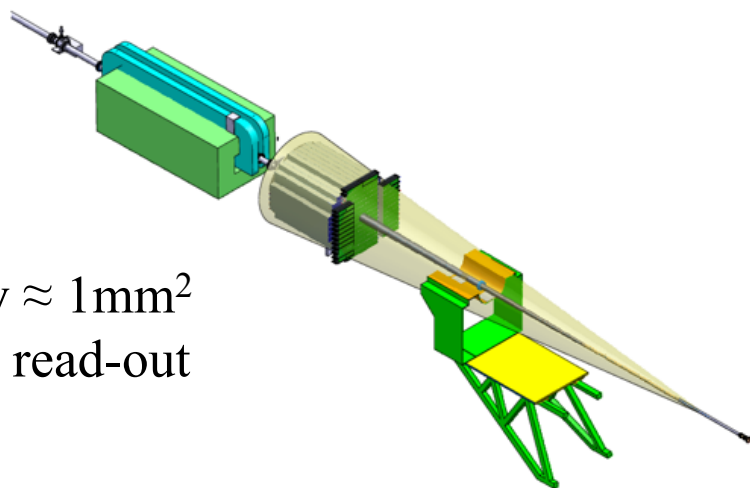
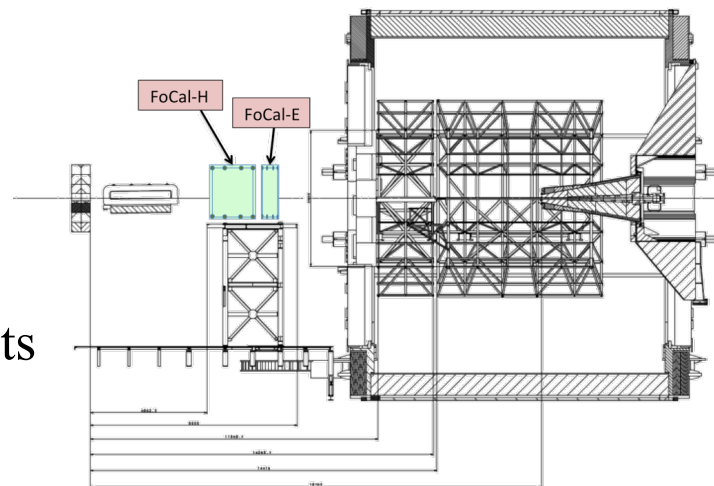
RHIC: low  $p_T$ ,  
kinematic limit at  $p_T \approx 5$  GeV



LHC:  $x = 10^{-4}$ - $10^{-5}$  accessible  
with  $p_T \approx Q \approx 3$ -5 GeV

# FoCal in ALICE

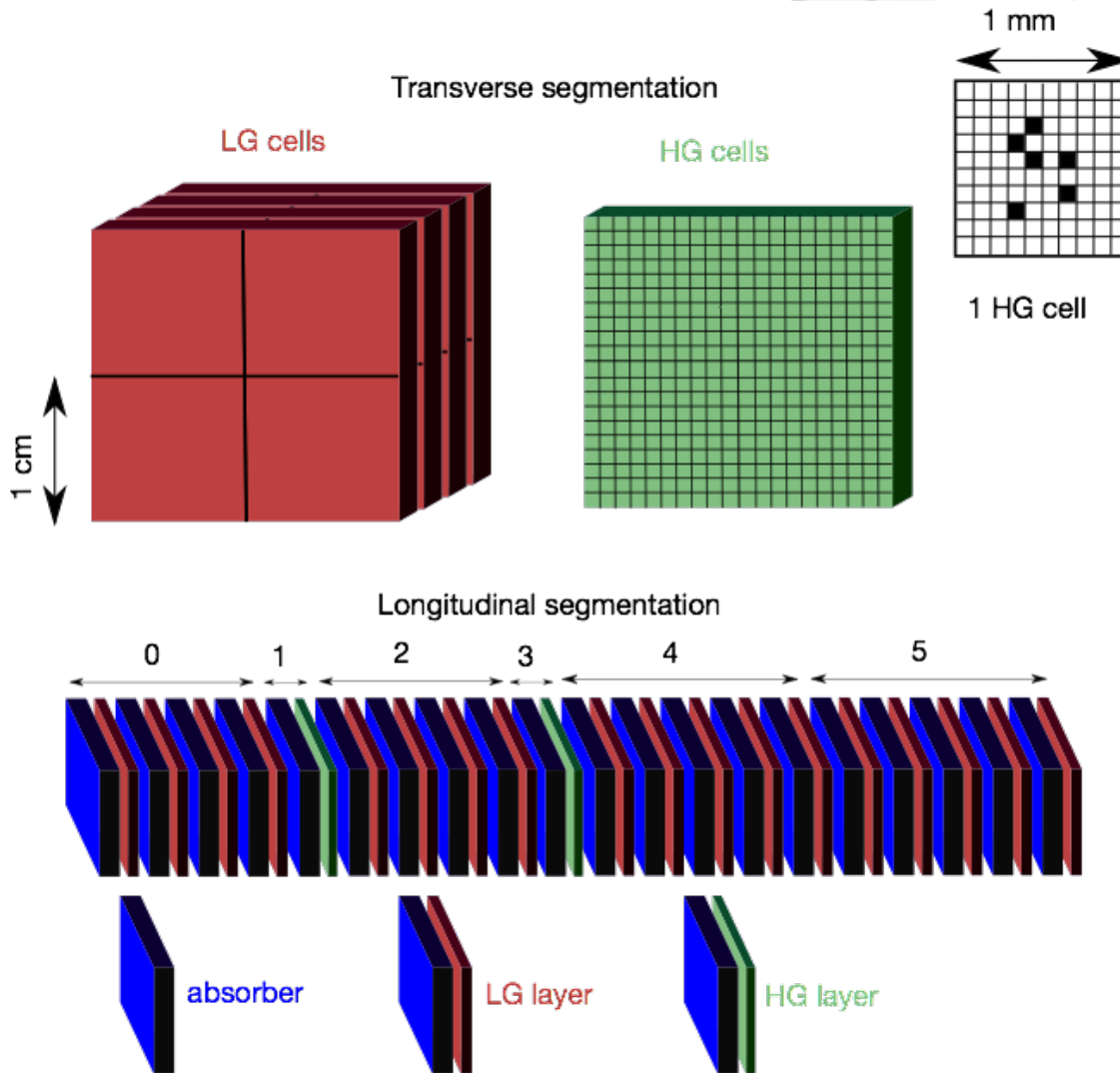
- **FoCal-E**  
high-granularity Si-W calorimeter for direct (isolated)  $\gamma$ ,  $e^+e^-$  ( $J/\psi$ ) and  $\pi^0$
- **FoCal-H**  
hadronic calorimeter for photon isolation and jets
- **location**  
 $z \approx 7\text{m}$  (outside magnet)  $\rightarrow 3.3 < \eta < 5.3$
- **main challenge**  
separate  $\gamma/\pi^0$  at high energy
- **technology**
  - Si-W calorimeter, effective granularity  $\approx 1\text{mm}^2$
  - small Molière radius, high-granularity read-out



SOLID EDGE ACADEMIC COPY



# FoCal strawman design



studied in performance simulations:

24 layers: W ( $3.5\text{mm} \approx 1 X_0$ ) + Si-sensors (2 types)

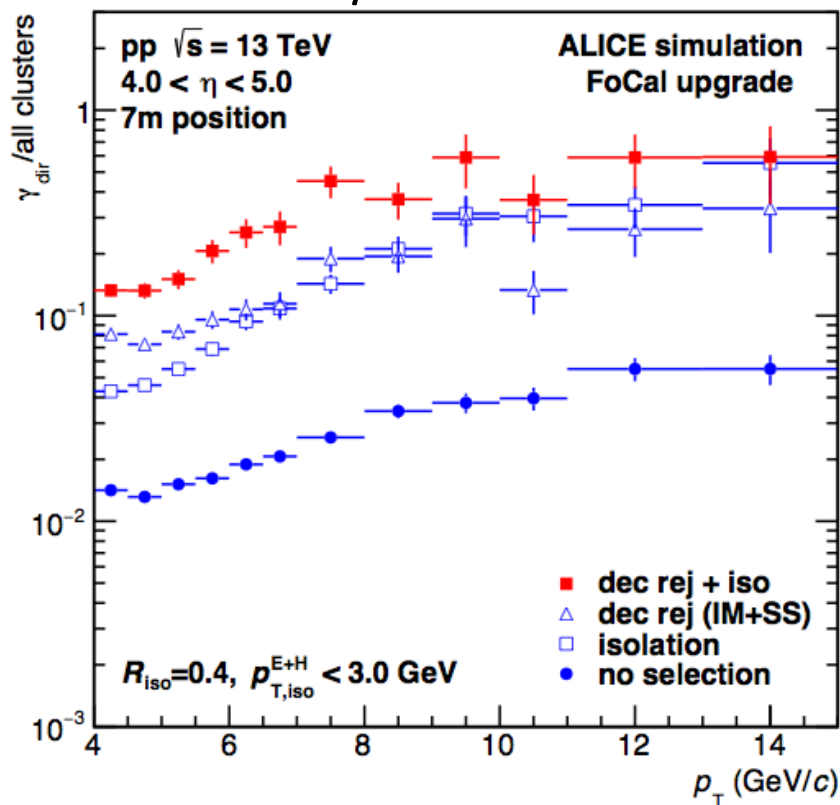
- low granularity (LG), Si-pads
- high granularity (HG), pixels (e.g. CMOS-MAPS)

	LG	HG
pixel/pad size	$\approx 1 \text{ cm}^2$	$\approx 30 \times 30 \mu\text{m}^2$
total # pixels/pads	$\approx 2.5 \times 10^5$	$\approx 2.5 \times 10^9$
readout channels	$\approx 5 \times 10^4$	$\approx 2 \times 10^6$

assuming  $\approx 1\text{m}^2$  detector surface

# Direct- $\gamma$ / decay- $\gamma$ separation in pp

Direct  $\gamma$ /all cluster ratio

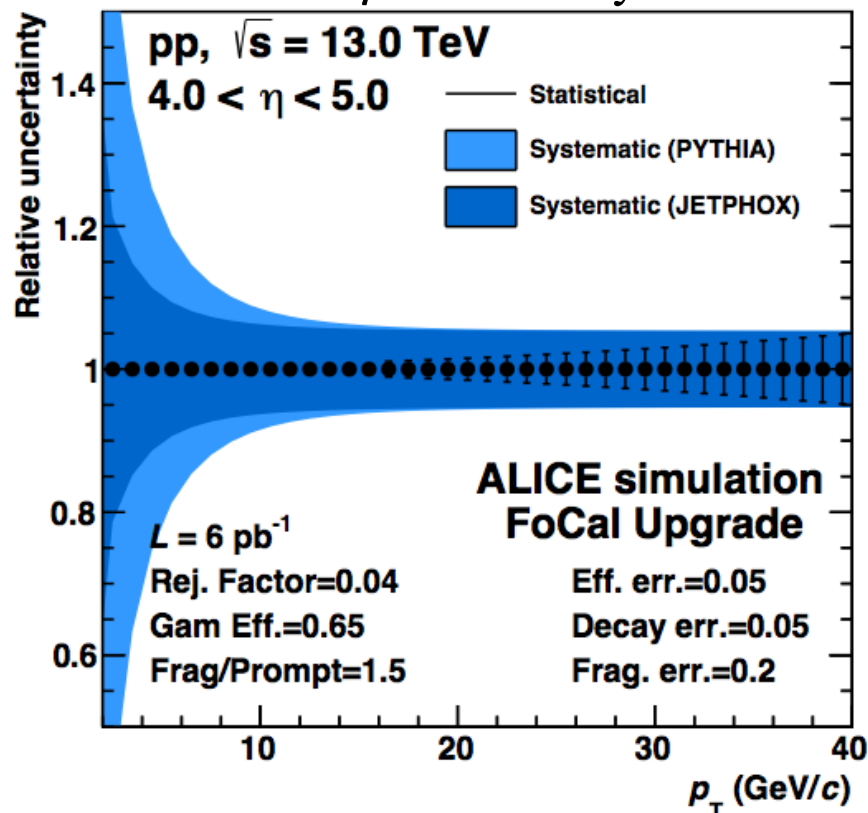


direct photon/all  $> 0.1$  for  $p_T > 4$  GeV/c



ALICE

Direct  $\gamma$  uncertainty

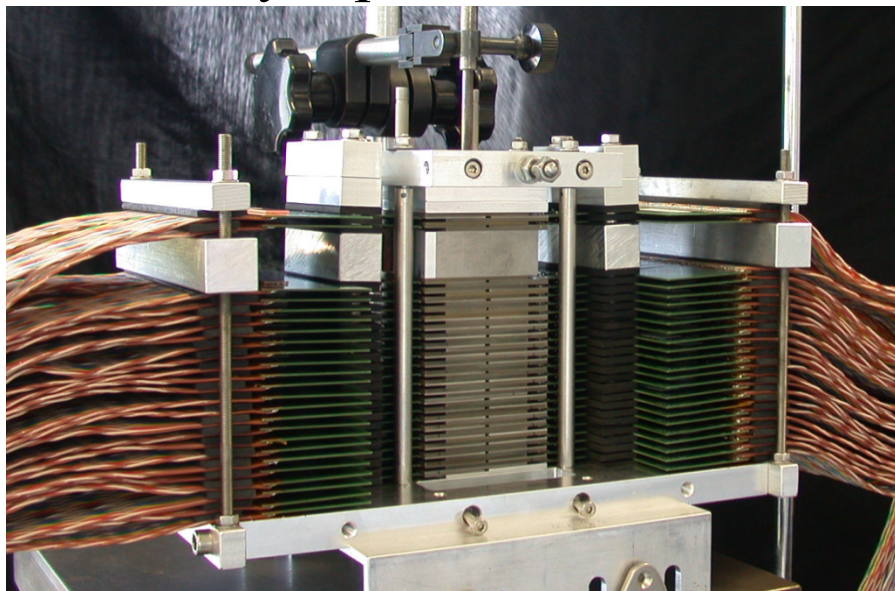


20-40% uncertainty at  $p_T = 4$  GeV/c,  
 decreases with increasing  $p_T$



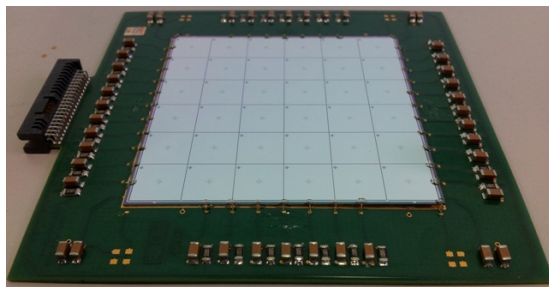
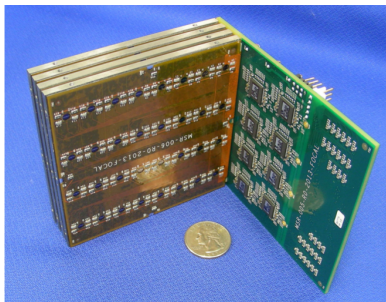
# FoCal R&D: Si-W pixel and pad readout

## 20 layer pixel detector



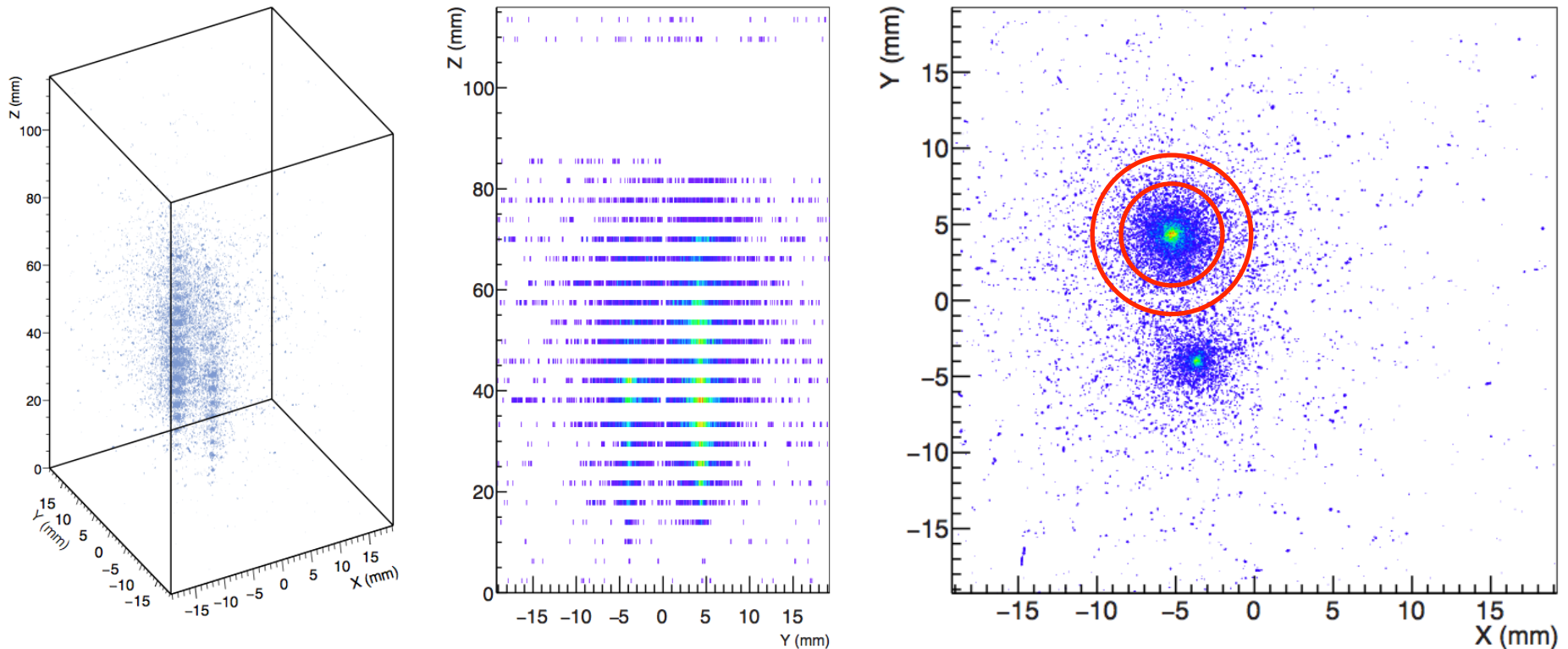
- **Several groups involved**
  - full prototype with pixel detectors CMOS (MIMOSA) 39M pixels, 30 $\mu$ m pitch
  - use synergy with R&D for ALICE ITS upgrade (ALPIDE)
  - full prototype with pad readout
- **Performed systematic tests**
  - test beam data from 2 to 250 GeV/c (DESY, CERN PS & SPS)
  - cosmic muons

## Pad layer integration



# R&D results - two shower separation

display of single event (with pile-up) from 244 GeV/c SPS mixed beam



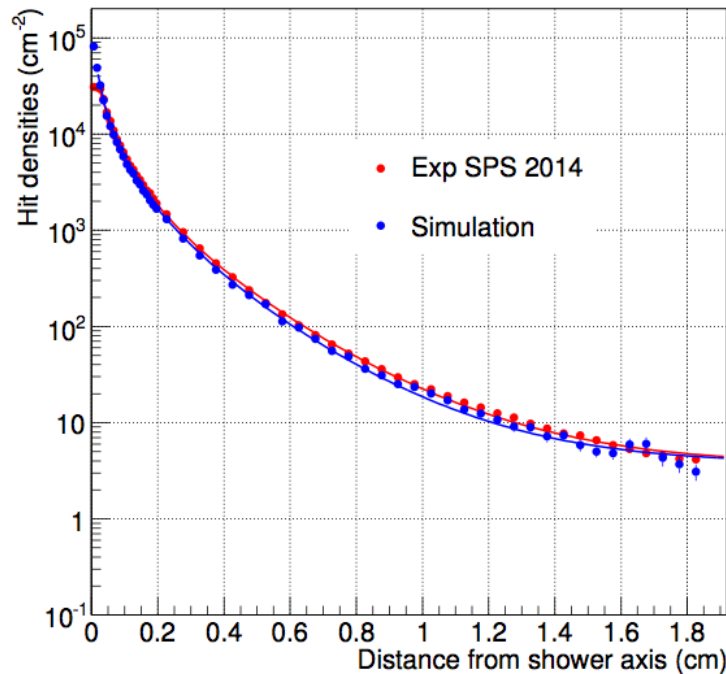
evaluate separation capability: core energy

- calculate shower energy in cylinder of finite radius
- study as function of radius

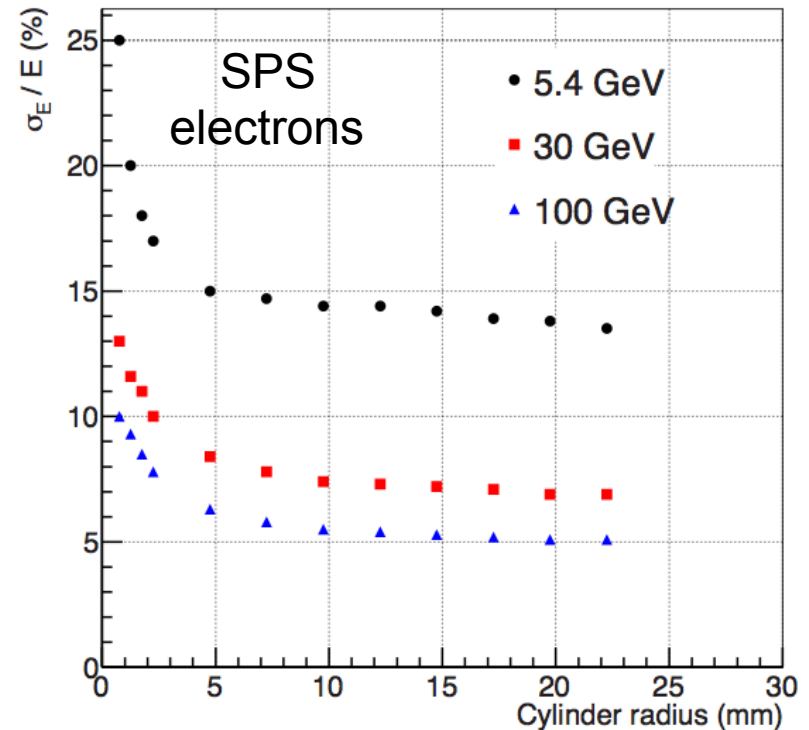


# R&D results: lateral profile and core energy

lateral profiles for 50 GeV/c  
electrons - comparison to GEANT4



energy resolution



- extremely good spatial resolution  
 $R_{\text{Moliere}} \approx 11\text{mm}$  (estimated from cumulative distributions)
- good agreement with simulations using GEANT4 + charge diffusion
- reasonable energy resolution of pixel calorimeter
- response and resolution for core energy hardly affected down to  $r = 5\text{mm}$



# Summary

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- LHC forward measurements provide unique opportunity for low-x physics
- ALICE experiment has open space to instrument forward rapidities
- FoCal detector in ALICE – upgrade proposal for LS3
  - opportunity for forward direct photon measurement
  - particle density/kinematics require extremely high granularity:  
feasible with SiW pixel calorimeter
  - rich physics program
    - » other observables:  $\pi^0$ ,  $e^+e^-$  ( $J/\psi$ ), jets
    - » p+Pb: gluon density, ridge
    - » Pb+Pb: medium effects