

# Measurement of transverse Emittance



The emittance characterizes the whole beam quality, assuming linear behavior as described by second order differential equation.

It is defined within the phase space as:  $\varepsilon_x = \frac{1}{\pi} \int_A dx dx'$

The measurement is based on determination of:

*either* profile width  $\sigma_x$  and angular width  $\sigma_x'$  at one location  
*or*  $\sigma_x$  at different locations and linear transformations.

Different devices are used at transfer lines:

- Lower energies  $E_{kin} < 100$  MeV/u: slit-grid device, pepper-pot (suited in case of non-linear forces).
- All beams: Quadrupole variation, 'three grid' method using linear transformations (**not** well suited in the presence of non-linear forces)

**Synchrotron:** lattice functions results in stability criterion

⇒ beam width delivers emittance:  $\varepsilon_x = \frac{1}{\beta_x(s)} \left[ \sigma_x^2 - \left( D(s) \frac{\Delta p}{p} \right) \right]$  and  $\varepsilon_y = \frac{\sigma_y^2}{\beta_y(s)}$

# Definition of transverse Emittance

The emittance characterizes the whole beam quality:  $\epsilon_x = \frac{1}{\pi} \int_A dx dx'$

**Ansatz:**

**Beam matrix** at one location:  $\sigma = \begin{pmatrix} \sigma_{11} & \sigma_{12} \\ \sigma_{12} & \sigma_{22} \end{pmatrix} = \epsilon \cdot \begin{pmatrix} \beta & -\alpha \\ -\alpha & \gamma \end{pmatrix}$  with  $\vec{x} = \begin{pmatrix} x \\ x' \end{pmatrix}$

It describes a 2-dim probability distr.

The value of emittance is:

$$\epsilon_x = \sqrt{\det \sigma} = \sqrt{\sigma_{11}\sigma_{22} - \sigma_{12}^2}$$

For the profile and angular measurement:

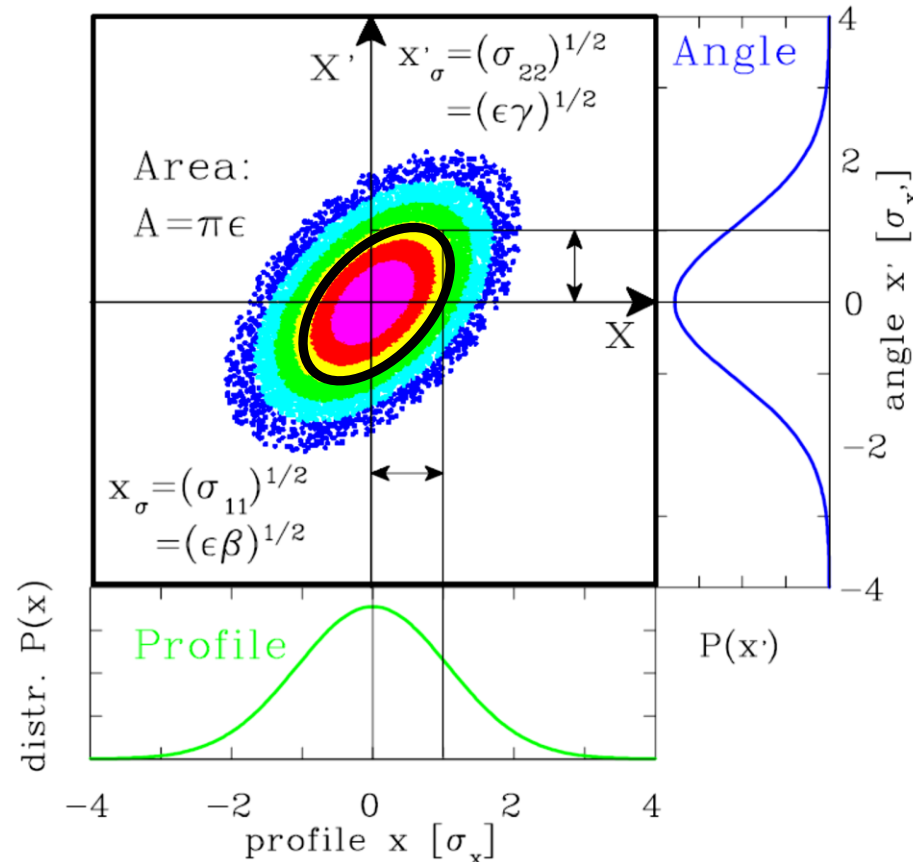
$$x_\sigma = \sqrt{\sigma_{11}} = \sqrt{\epsilon\beta} \quad \text{and}$$

$$x'_\sigma = \sqrt{\sigma_{22}} = \sqrt{\epsilon\gamma}$$

Geometrical interpretation:

All points  $\mathbf{x}$  fulfilling  $\mathbf{x}^t \cdot \sigma^{-1} \cdot \mathbf{x} = 1$  are located on a **ellipse**

$$\sigma_{22}x^2 - 2\sigma_{12}xx' + \sigma_{11}x'^2 = \det \sigma = \epsilon_x^2$$



# The Emittance for Gaussian Beams



The density function for a 2-dim Gaussian distribution is:

$$\rho(x, x') = \frac{1}{2\pi\epsilon} \exp \left[ -\frac{1}{2} \vec{x}^T \sigma^{-1} \vec{x} \right]$$

$$= \frac{1}{2\pi\epsilon} \exp \left[ \frac{-1}{2 \det \sigma} (\sigma_{22}x^2 - 2\sigma_{12}xx' + \sigma_{11}x'^2) \right]$$

It describes an ellipse with the characteristics profile and angle Gaussian distribution of width

$$x_\sigma \equiv \sqrt{\langle x^2 \rangle} = \sqrt{\sigma_{11}} \quad \text{and}$$

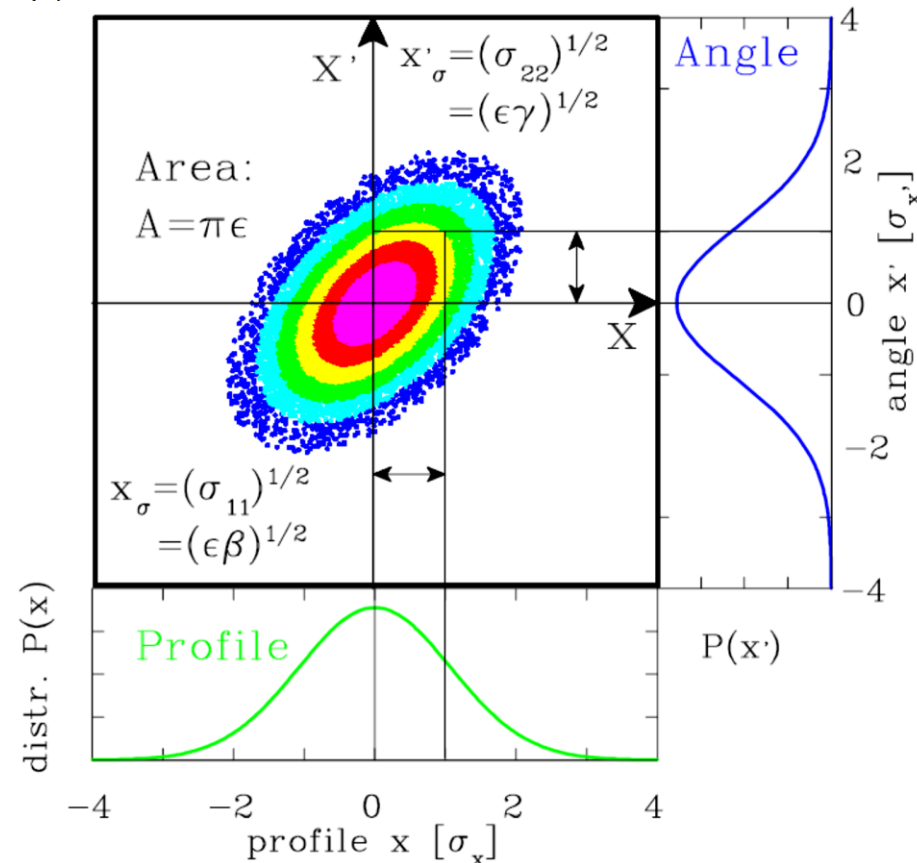
$$x'_\sigma \equiv \sqrt{\langle x'^2 \rangle} = \sqrt{\sigma_{22}}$$

and the correlation or covariance

$$\text{cov} \equiv \sqrt{\langle xx' \rangle} = \sqrt{\sigma_{12}}$$

For  $\mathbf{A} = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  it is  $\mathbf{A}^{-1} = \frac{1}{ad-bc} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$

assuming  $\det(\mathbf{A}) = ad-bc \neq 0 \Leftrightarrow$  matrix invertible



# The Emittance for Gaussian and non-Gaussian Beams



The beam distribution can be non-Gaussian, e.g. at:

- beams behind ion source
- space charged dominated beams at LINAC & synchrotron
- cooled beams in storage rings

General description of emittance

using terms of 2-dim distribution:

It describes the value for 1 stand. derivation

**Covariance**  
i.e. correlation

**Variances**

$$\mathcal{E}_{rms} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}$$

For discrete distribution:

$$\langle x \rangle = \frac{\sum_{i,j} \rho(i,j) \cdot x_i x'_j}{\sum_{i,j} \rho(i,j)}$$

and correspondingly for all other moments

$$\langle x \rangle \equiv \mu = \frac{\int \int x \cdot \rho(x, x') dx dx'}{\int \int \rho(x, x') dx dx'}$$

$$\langle x' \rangle \equiv \mu' = \frac{\int \int x' \cdot \rho(x, x') dx dx'}{\int \int \rho(x, x') dx dx'}$$

$$\langle x^n \rangle = \frac{\int \int (x - \mu)^n \cdot \rho(x, x') dx dx'}{\int \int \rho(x, x') dx dx'}$$

$$\langle x'^n \rangle = \frac{\int \int (x' - \mu')^n \cdot \rho(x, x') dx dx'}{\int \int \rho(x, x') dx dx'}$$

$$\text{covariance : } \langle xx' \rangle = \frac{\int \int (x - \mu)(x' - \mu') \cdot \rho(x, x') dx dx'}{\int \int \rho(x, x') dx dx'}$$

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Variances      Covariance

It describes the value for 1 stand. derivation

**For Gaussian beams only:**

$\mathcal{E}_{rms} \leftrightarrow$  interpreted as area containing a fraction  $f$  of ions:  $\varepsilon(f) = -2\pi\mathcal{E}_{rms} \cdot \ln(1-f)$

factor to $\mathcal{E}_{rms}$	$1 \cdot \mathcal{E}_{rms}$	$\pi \cdot \mathcal{E}_{rms}$	$2\pi \cdot \mathcal{E}_{rms}$	$4\pi \cdot \mathcal{E}_{rms}$	$6\pi \cdot \mathcal{E}_{rms}$	$8\pi \cdot \mathcal{E}_{rms}$
fraction of beam $f$ [%]	15	39	63	86	95	98

**Care:** no common definition of emittance concerning the fraction  $f$



## Outline:

- Definition and some properties of transverse **emittance**
- **Slit-Grid device: scanning method**  
scanning slit → beam position & grid → angular distribution
- **Pepper-pot device: single shot device**
- **Quadrupole strength variation and position measurement**
- **Summary**

# The Slit-Grid Measurement Device

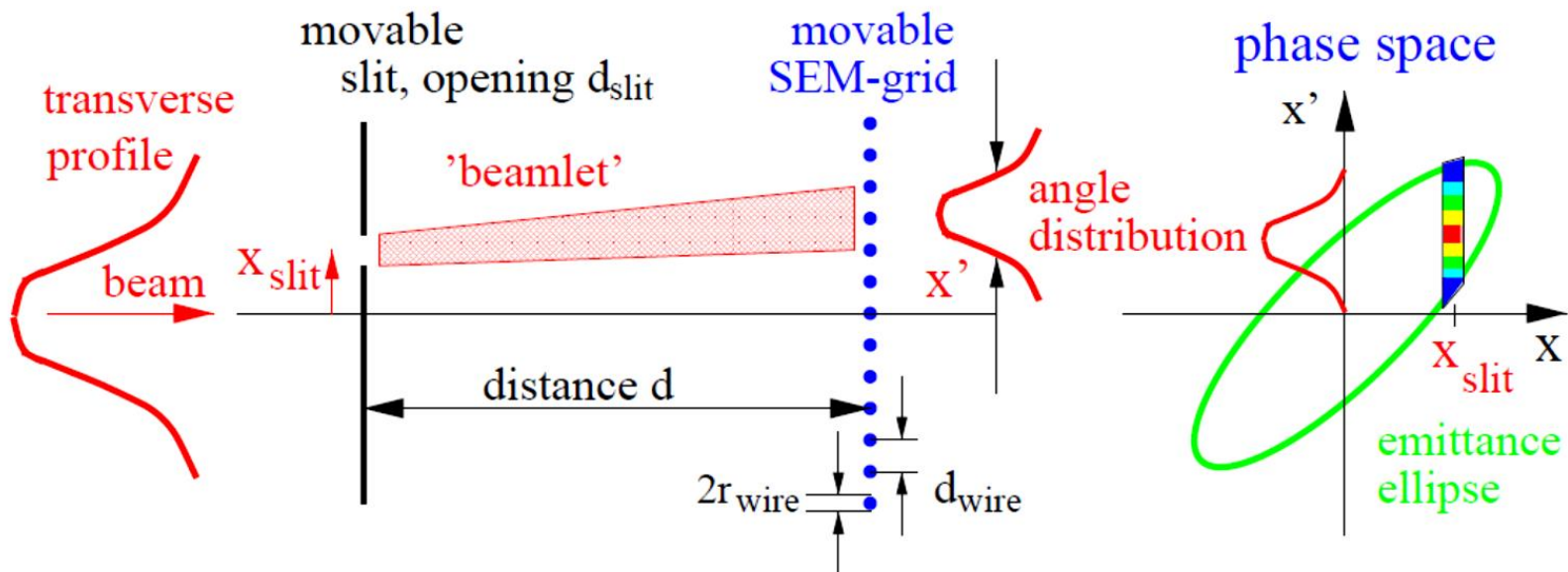


Slit-Grid: Direct determination of position and angle distribution.

Used for protons/heavy ions with  $E_{kin} < 100 \text{ MeV/u} \Rightarrow \text{range } R < 1 \text{ cm}$ .

## Hardware

## Analysis



**Slit:** position  $P(x)$  with typical width: 0.1 to 0.5 mm

**Distance:** 10 cm to 1 m (depending on beam velocity)

**SEM-Grid:** angle distribution  $P(x')$

# Slit & SEM-Grid

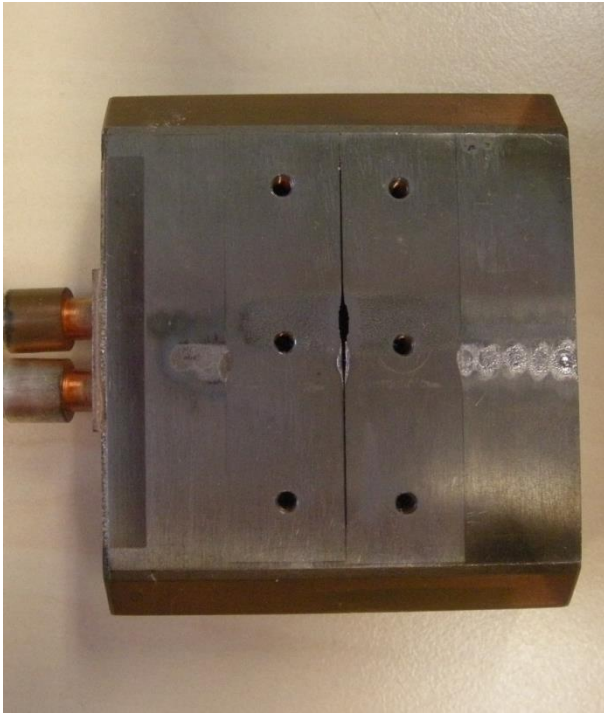


Slit with e.g. 0.1 mm thickness

→ Transmission only from  $\Delta x$ .

*Example: Slit of width 0.1 mm (defect)*

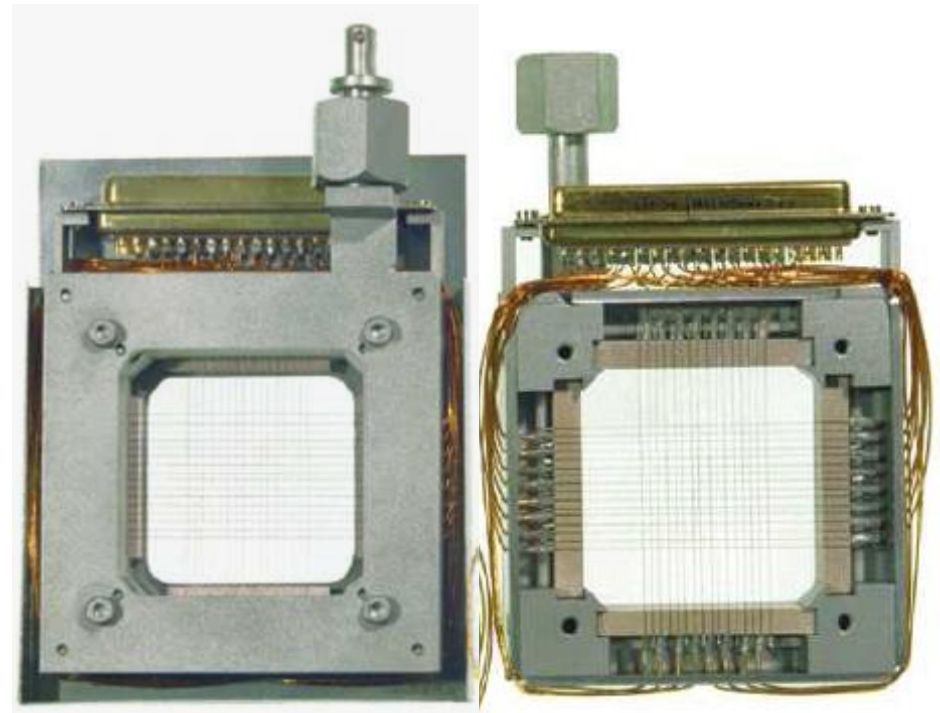
*Moved by stepping motor, 0.1 mm resolution*



Beam surface interaction:  $e^-$  emission

→ measurement of current.

*Example: 15 wire spaced by 1.5 mm:*



Each wire is equipped with one I/U converter  
different ranges settings by  $R_i$

→ very large dynamic range up to  $10^6$ .



# Display of Measurement Results



The distribution of the ions is depicted as a function of

- Position [mm]
- Angle [mrad]

The distribution can be visualized by

- Mountain plot
- Contour plot

Calc. of 2<sup>nd</sup> moments  $\langle x^2 \rangle$ ,  $\langle x'^2 \rangle$  &  $\langle xx' \rangle$

Emittance value  $\epsilon_{rms}$  from

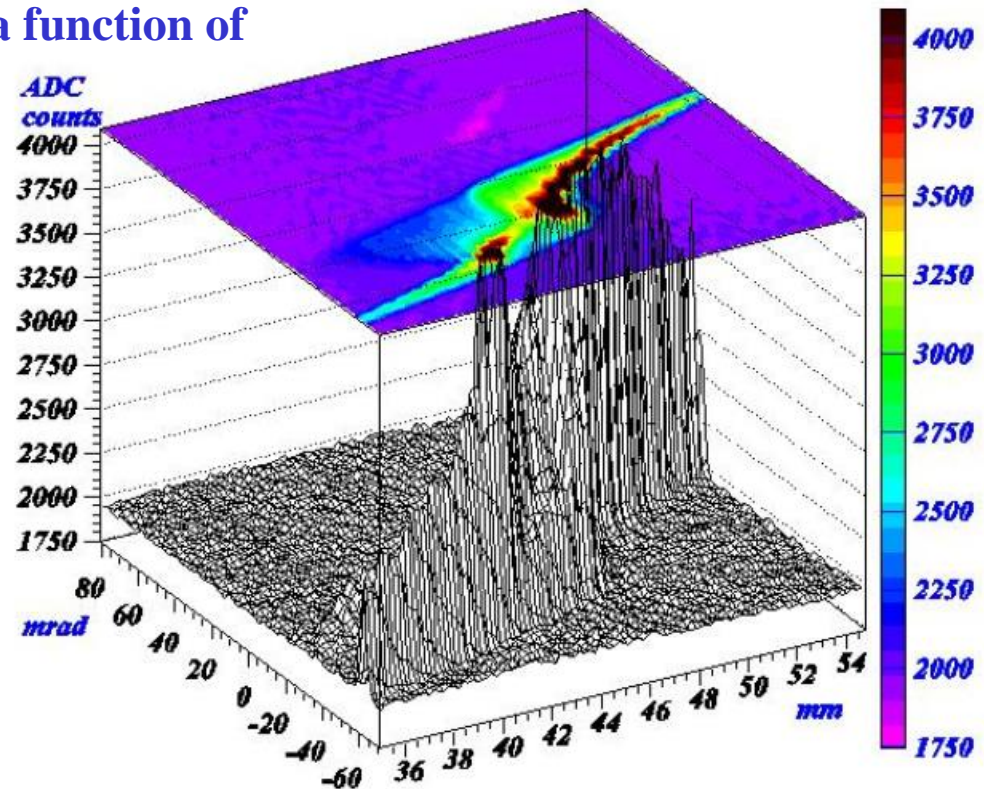
$$\epsilon_{rms} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}$$

⇒ Problems:

- Finite **binning** results in limited resolution
- **Background** → large influence on  $\langle x^2 \rangle$ ,  $\langle x'^2 \rangle$  and  $\langle xx' \rangle$

Or fit of distribution i.e. ellipse to data

⇒ Effective emittance only



Beam: Ar<sup>4+</sup>, 60 KeV, 15  $\mu$ A  
at Spiral2 Phoenix ECR source.  
Plot from P. Ausset, DIPAC 2009

# The Resolution of a Slit-Grid Device



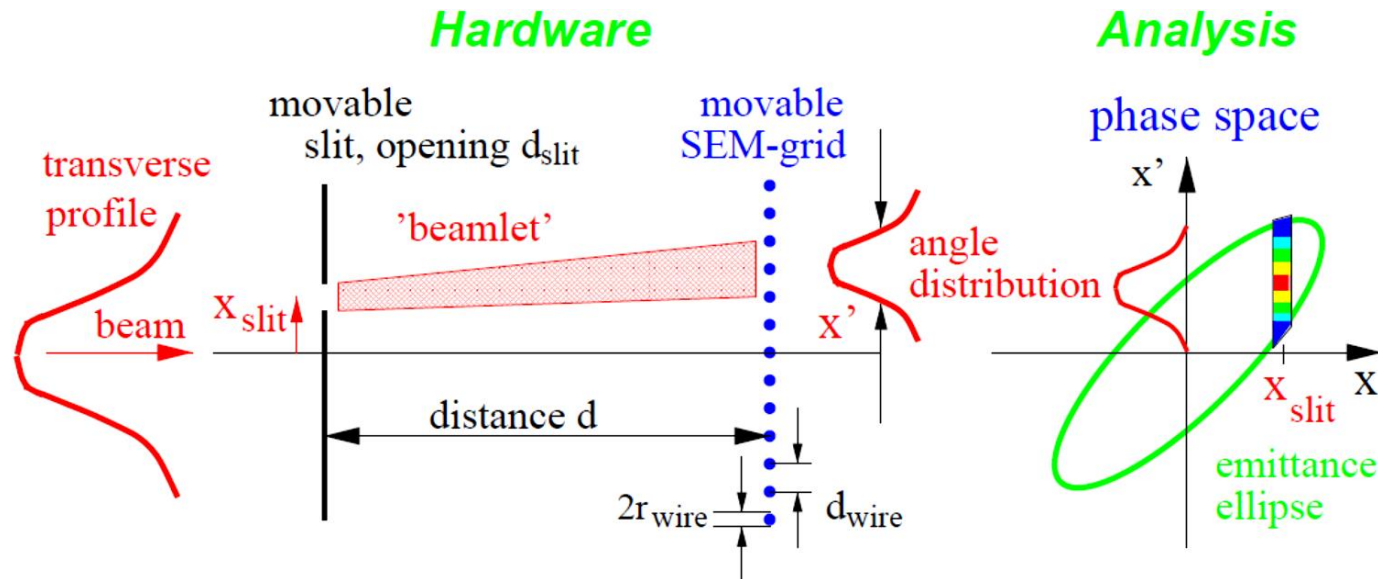
The width of the slit  $d_{slit}$  gives the resolution in space  $\Delta x = d_{slit}$ .

The angle resolution is  $\Delta x' = (d_{wire} + 2r_{wire})/d$

⇒ discretization element  $\Delta x \cdot \Delta x'$ .

By scanning the SEM-grid the angle resolution can be improved.

Problems for small beam sizes or parallel beams.



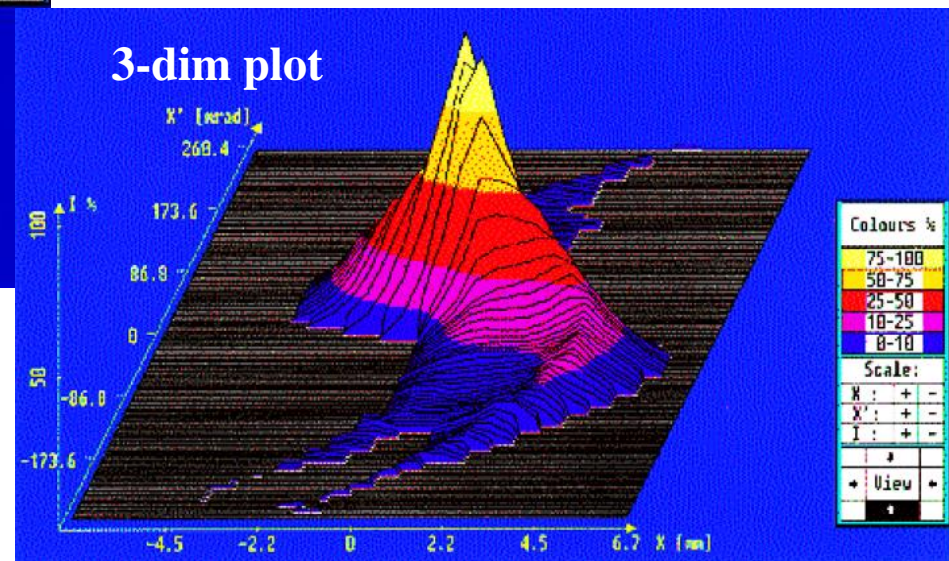
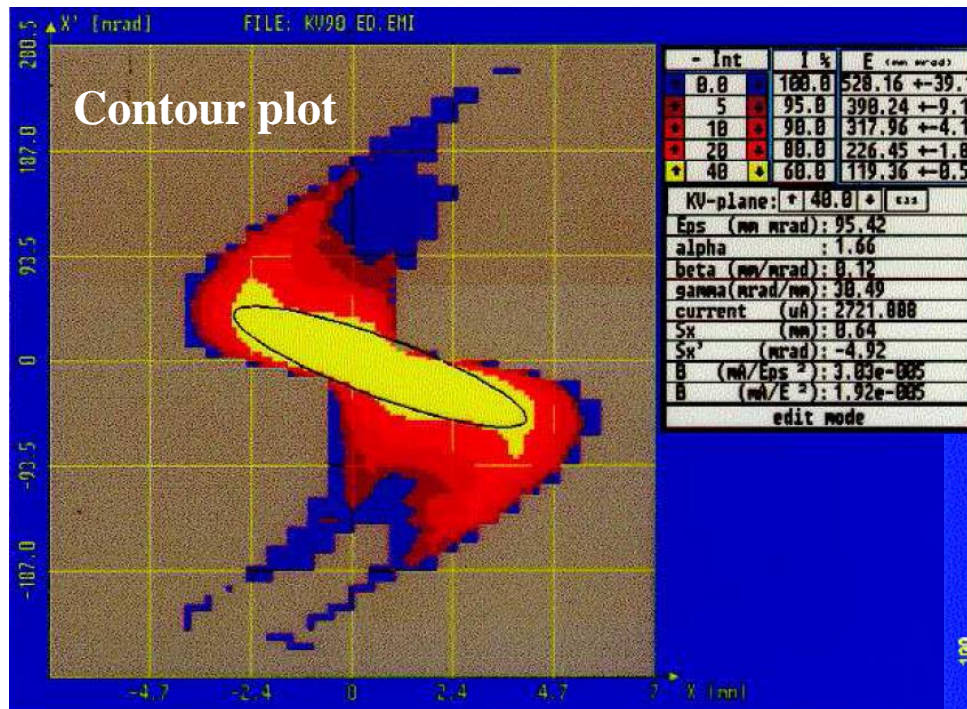
For pulsed LINACs: Only one measurement each pulse → long measuring time required.

# Result of an Slit-Grid Emittance Measurement



**Result for a beam behind ion source:** ➤ here aberration in quadrupoles due to large beam size

- different evaluation and plots possible
- can monitor any distribution



**Low energy ion beam:**

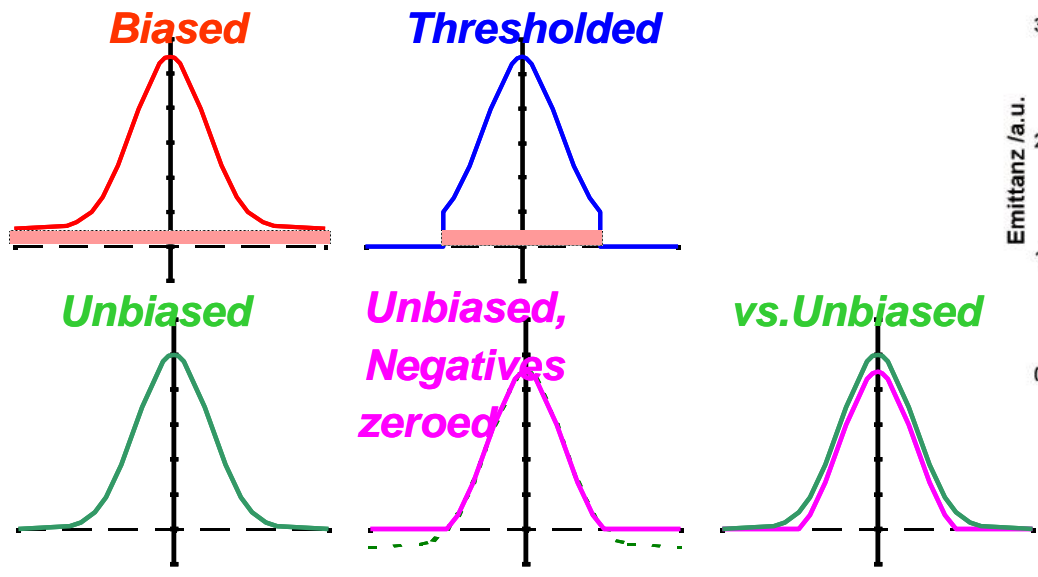
→ well suited for emittance showing space-charge effects or aberrations.

# The Noise Influence for Emittance Determination



A real measurement of beamlets contains:

- Noise i.e. fluctuation of the output
- Bias i.e. electrical offset from amplifier

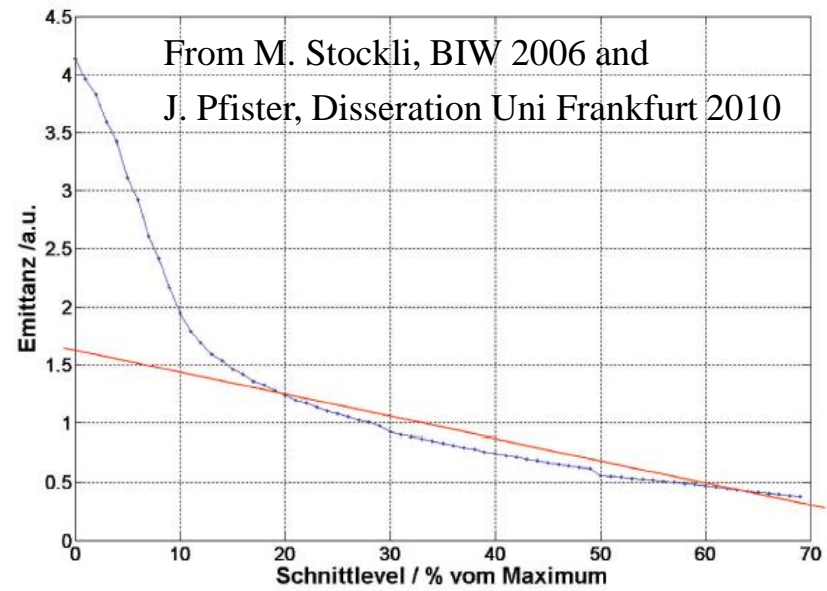


→ Strong influence of noise reduction to numerical values of  $\langle x \rangle$ ,  $\langle x'^2 \rangle$  and  $\langle xx' \rangle$  and on  $\epsilon_{rms}$

⇒ Algorithm & cut-level must be given for evaluation

General: Typical error  $\Delta\epsilon/\epsilon > 10 \%$

Example: Dependence of  $\epsilon_{rms}$  on threshold value



$$\langle x'^2 \rangle = \frac{\int x'^2 \cdot \rho(x, x') dx dx'}{\int \rho(x, x') dx dx'} \quad \text{for continuous values}$$

$$= \frac{\sum_{i,j} x'_{ij}{}^2 \cdot P(x_{ij}, x'_{ij})}{\sum_{i,j} P(x_{ij}, x'_{ij})} \quad \text{for discrete values}$$

$$\epsilon_{rms} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}$$



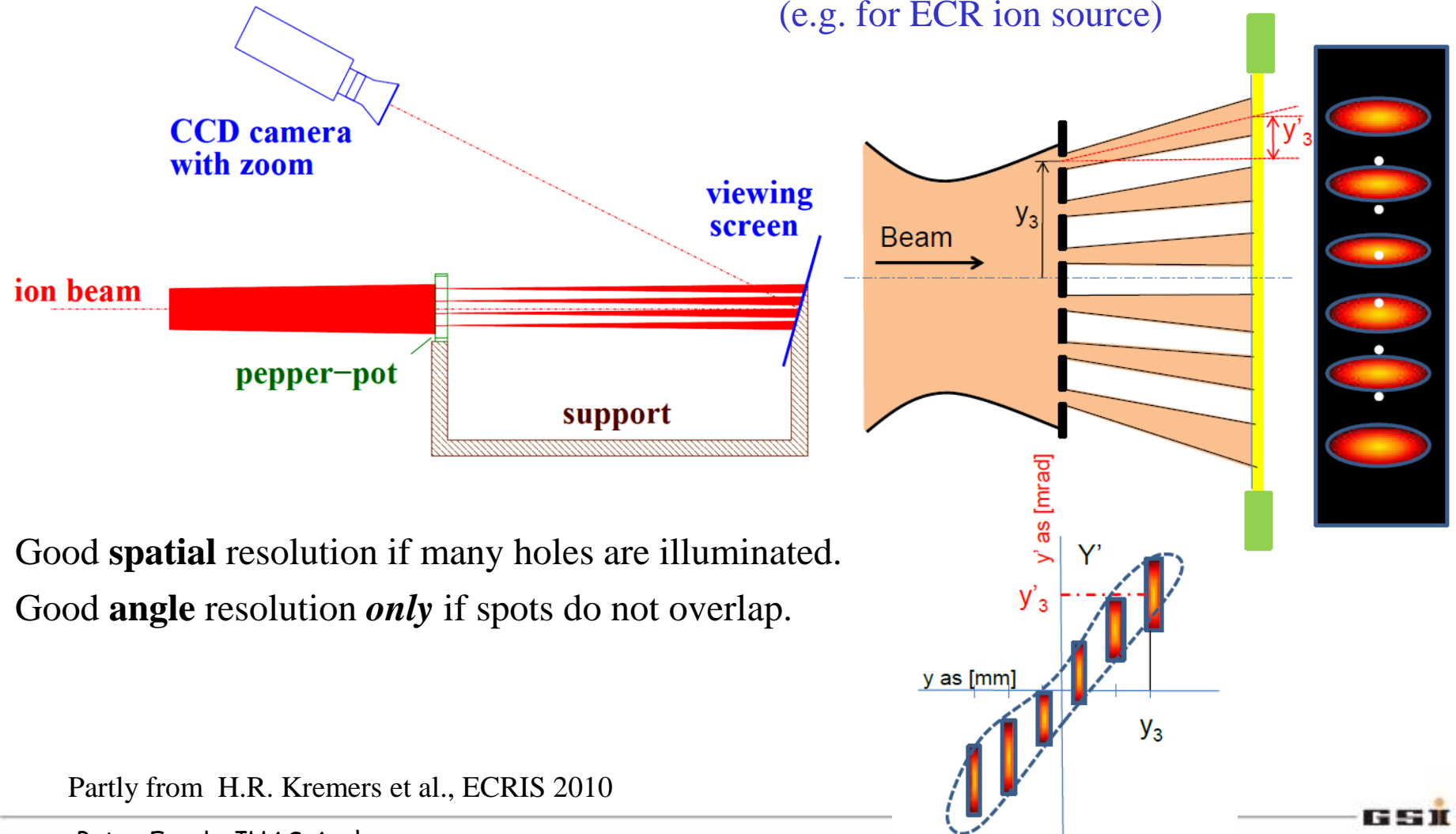
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scanning slit → beam position & grid → angular distribution
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hole-plate → beam position & screen → angular distribution
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# The Pepperpot Emittance Device



- For pulsed LINAC: Measurement within one pulse is an advantage
- If horizontal and vertical direction coupled → 2-dim evaluation **required** (e.g. for ECR ion source)



Good **spatial** resolution if many holes are illuminated.

Good **angle** resolution *only* if spots do not overlap.

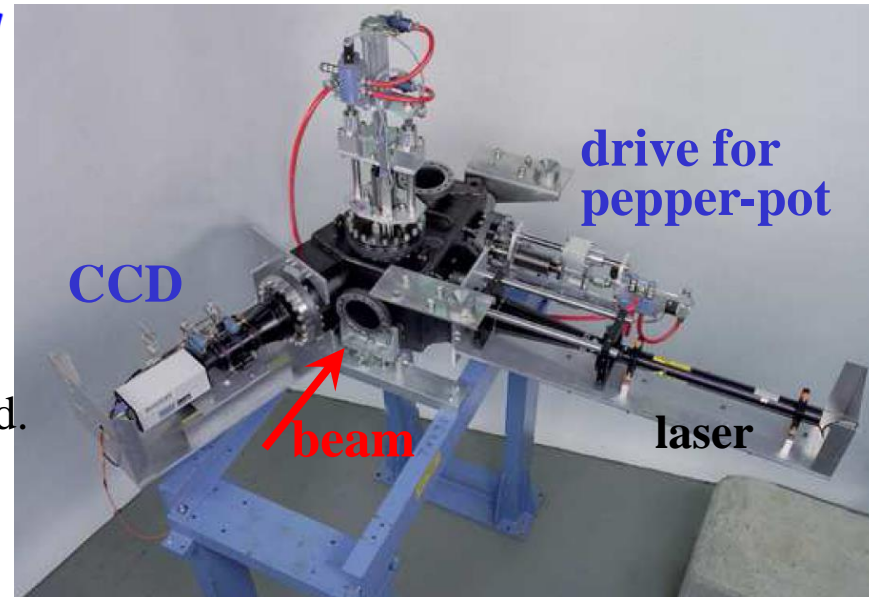
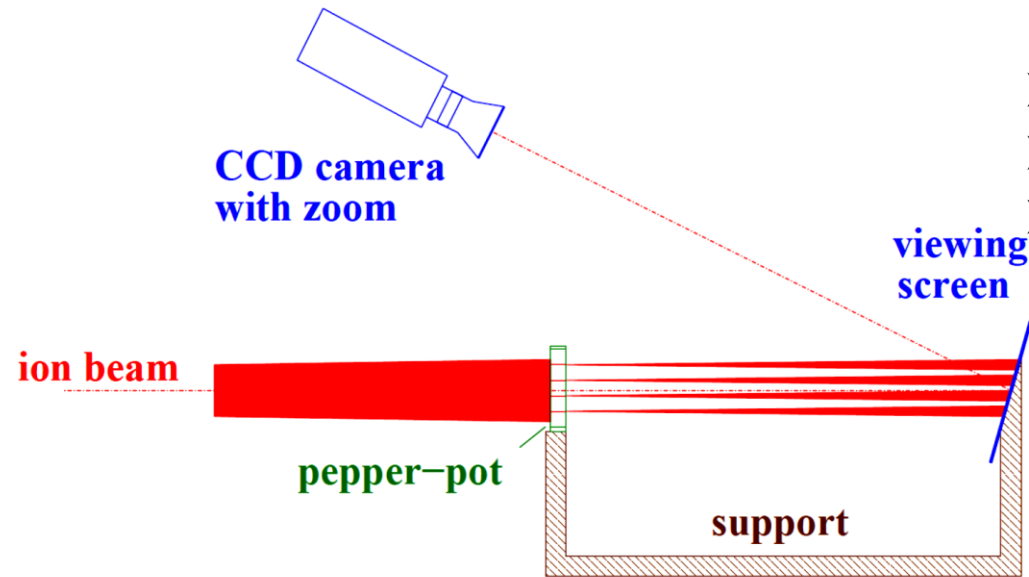
Partly from H.R. Kremers et al., ECRIS 2010

# The Pepperpot Emittance Device at GSI UNILAC



*Example GSI-LINAC 0.12 to 11 MeV/u:*

- *Pepper-pot*:  $15 \times 15$  holes with  $\varnothing 0.1\text{mm}$  on a  $50 \times 50\text{ mm}^2$  copper plate
- *Distance*: pepper-pot-screen: 25 cm
- *Screen*:  $\text{Al}_2\text{O}_3$ ,  $\varnothing 50\text{ mm}$
- *Data acquisition*: high resolution CCD

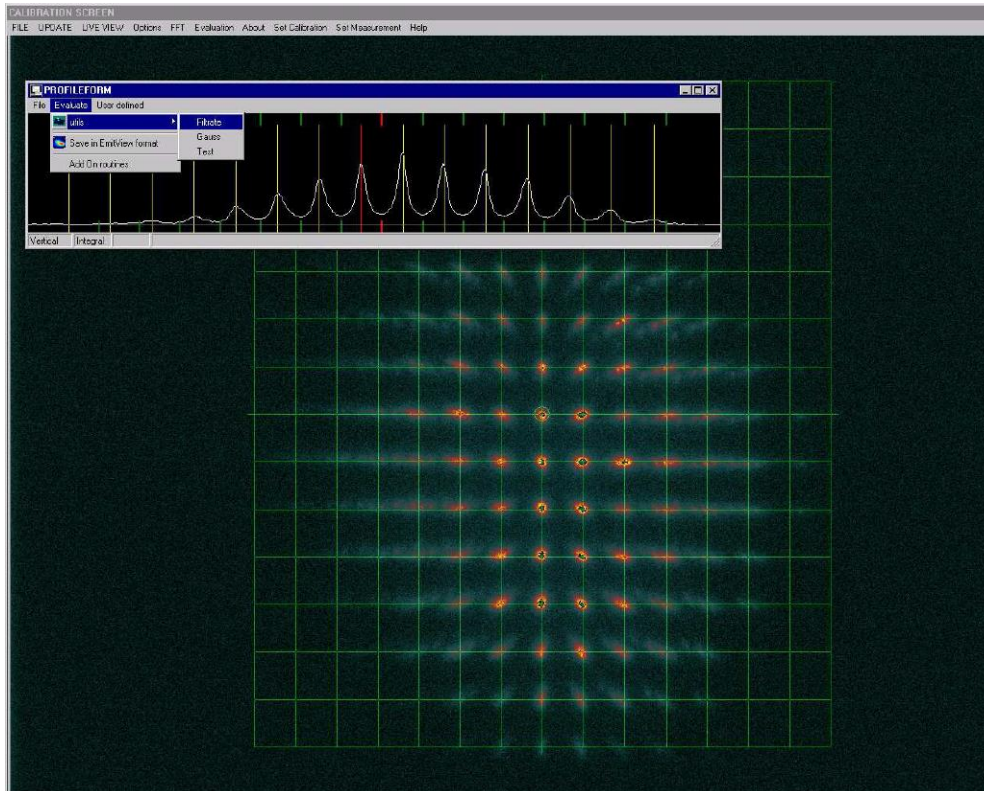


Good **spatial** resolution if many holes are illuminated.  
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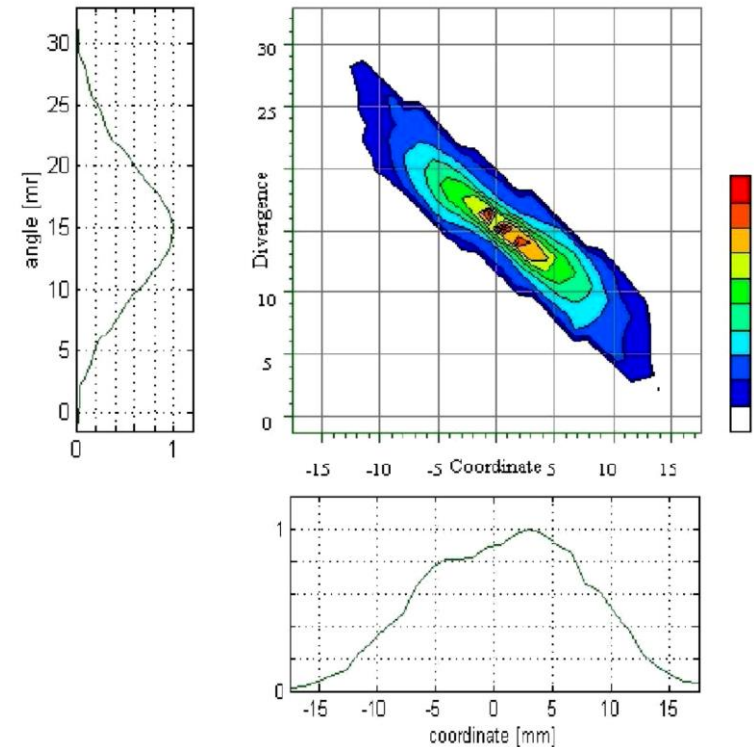
# Result of a Pepperpot Emittance Measurement



**Example:** Ar<sup>1+</sup> ion beam at 1.4 MeV/u,  
screen image from single shot at GSI:



**Data analysis:**  
Projection on  
horizontal and vertical plane  
→ analog to slit-grid.



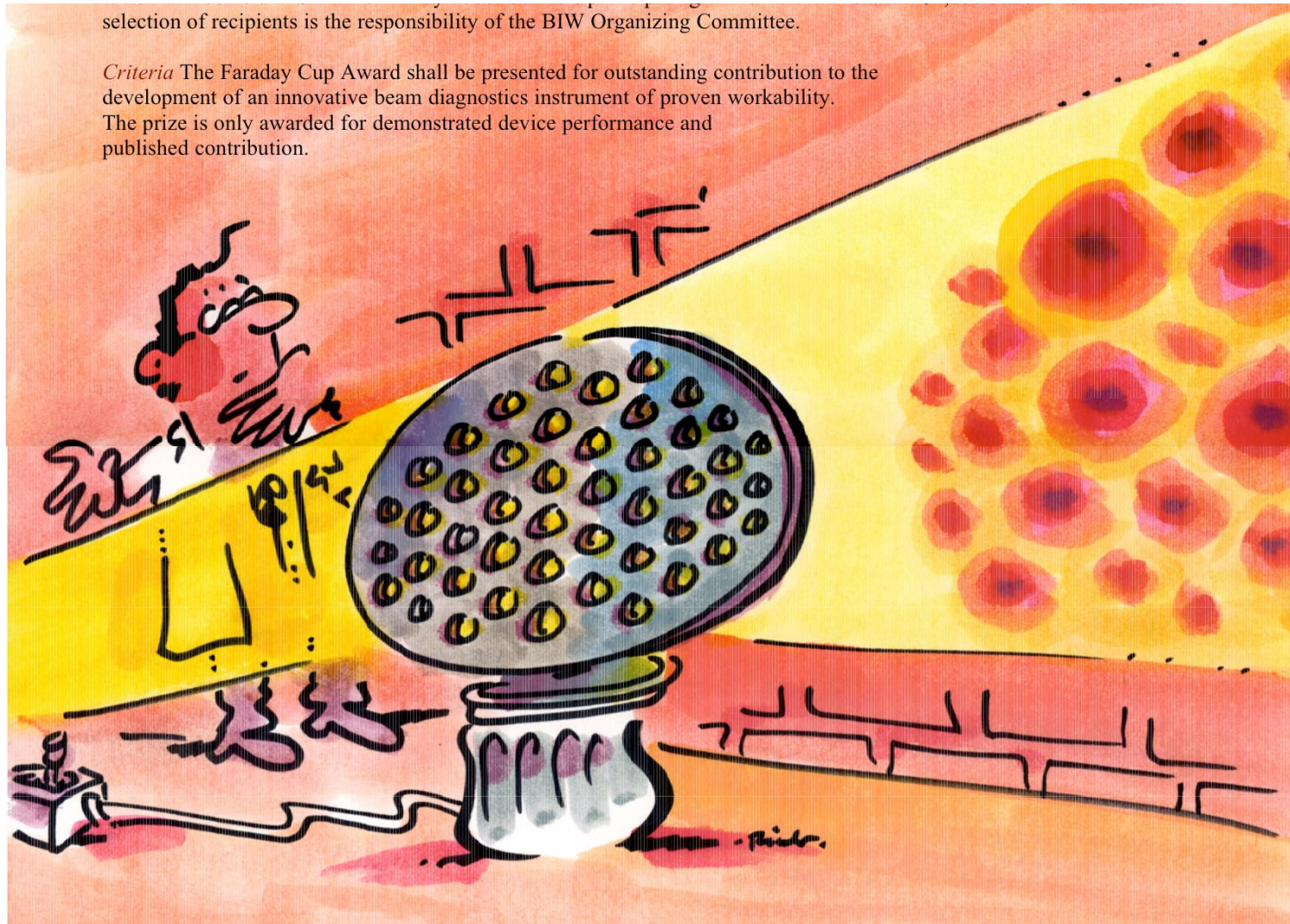


# The Artist View of a Pepperpot Emittance Device



selection of recipients is the responsibility of the BIW Organizing Committee.

*Criteria* The Faraday Cup Award shall be presented for outstanding contribution to the development of an innovative beam diagnostics instrument of proven workability. The prize is only awarded for demonstrated device performance and published contribution.

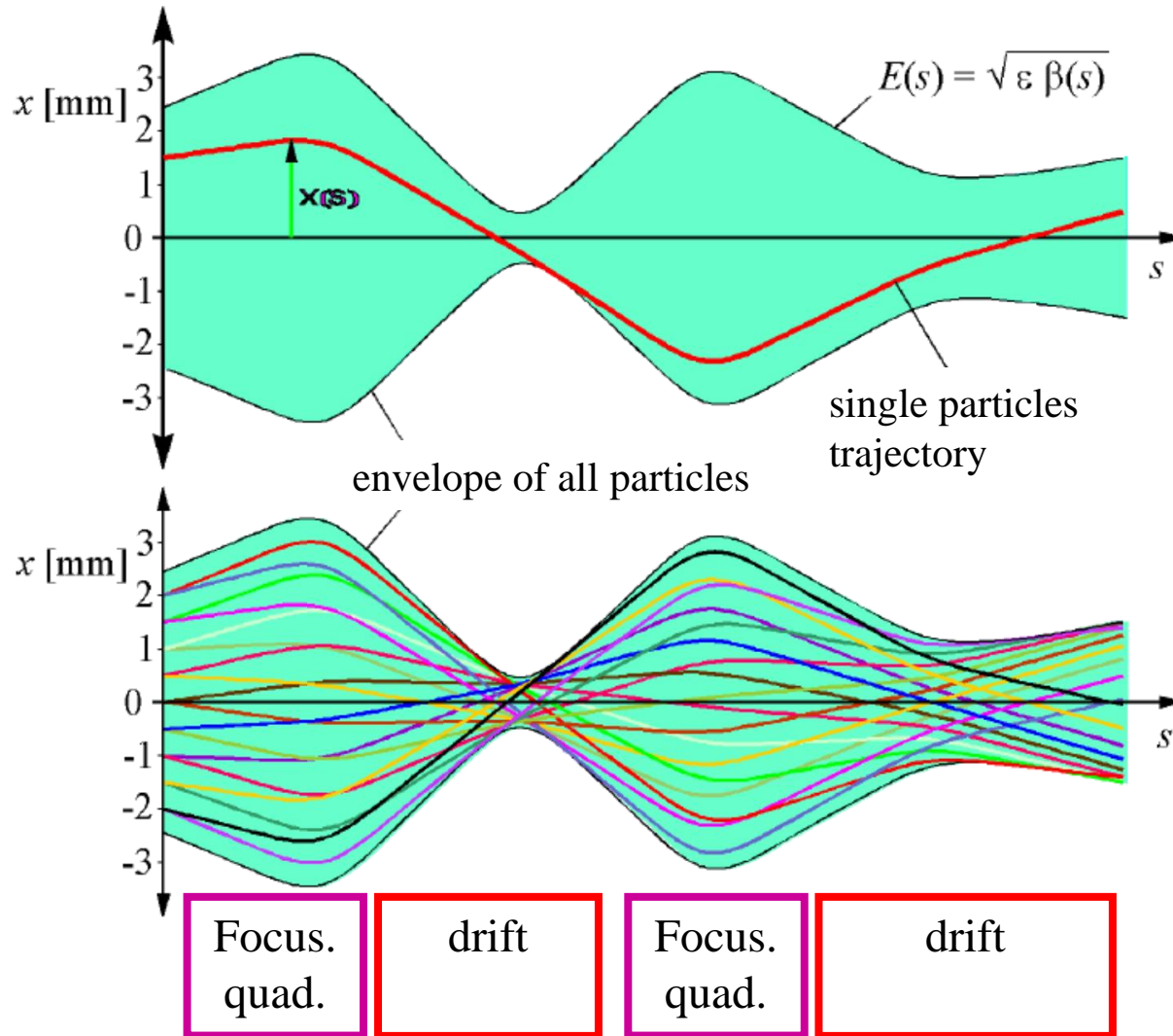




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- Definition and some properties of transverse emittance
- Slit-Grid device: scanning method  
scanning slit → beam position & grid → angular distribution
- Pepper-pot device: single shot device  
hole-plate → beam position & screen → angular distribution
- **Quadrupole strength variation and position measurement**  
**emittance from several profile measurement and beam optical calculation**
- **Summary**

# Excuse: Particle Trajectory and Characterization of many Particles



- Single particle trajectories are forming a beam
- They have a distribution of start positions and angles
- ⇒ Characteristic quantity is the **beam envelope**
- **Goal:** Transformation of envelope  
Behavior of whole ensemble

Plot: Wille

# Excuse: Definition of Offset and Divergence

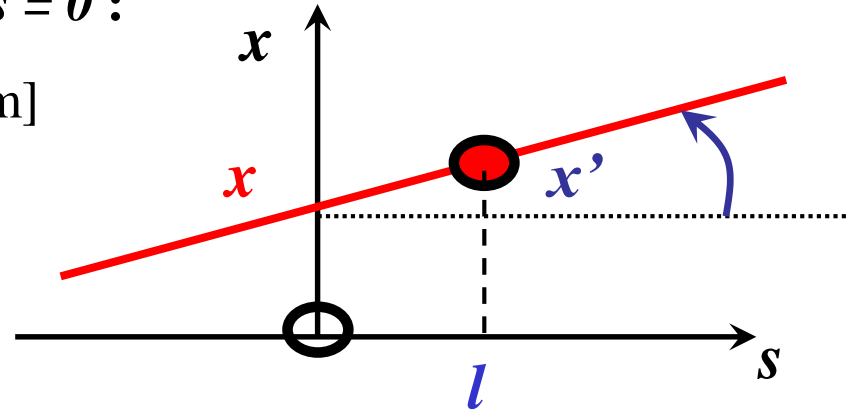


**Horizontal and vertical coordinates at  $s = 0$  :**

➤  $x$  : Offset from reference orbit in [mm]

➤  $x'$ : Angle of trajectory in unit [mrad]

$$x' = dx / ds$$



**Assumption: par-axial beams:**

➤  $x$  is small compared to  $\rho_0$

➤ Small angle with  $p_x / p_s \ll 1$

**Longitudinal coordinate:**

➤ Longitudinal orbit difference:  $l = -v_0 \cdot (t - t_0)$  in unit [mm]

➤ Momentum deviation:  $\delta = (p - p_0) / p_0$  sometimes in unit [mrad] = [‰]

For **continuous** beam:  $l$  has no meaning  $\Rightarrow$  set  $l \equiv 0$  !

**Reference particle:** no horizontal and vertical offset  $x \equiv y \equiv 0$  and  $l \equiv 0$  for all  $s$

# Excuse: Definition of Coordinates



The basic vector is 6 dimensional:

$$\vec{x}(s) = \begin{pmatrix} x \\ x' \\ y \\ y' \\ l \\ \delta \end{pmatrix} = \begin{pmatrix} \text{hori. spatial deviation} \\ \text{horizontal divergence} \\ \text{vert. spatial deviation} \\ \text{vertical divergence} \\ \text{longitudinal deviation} \\ \text{momentum deviation} \end{pmatrix} = \begin{pmatrix} [\text{mm}] \\ [\text{mrad}] \\ [\text{mm}] \\ [\text{mrad}] \\ [\text{mm}] \\ [\%] \end{pmatrix}$$

The transformation from a location  $s_0$  to  $s_1$  is given by the Transfer Matrix  $\mathbf{R}$

$$\vec{x}(s_1) = \mathbf{R}(s) \cdot \vec{x}(s_0) = \begin{pmatrix} R_{11} & R_{12} & R_{13} & R_{14} & R_{15} & R_{16} \\ R_{21} & R_{22} & R_{23} & R_{24} & R_{25} & R_{26} \\ R_{31} & R_{32} & R_{33} & R_{34} & R_{35} & R_{36} \\ R_{41} & R_{42} & R_{43} & R_{44} & R_{45} & R_{46} \\ R_{51} & R_{52} & R_{53} & R_{54} & R_{55} & R_{56} \\ R_{61} & R_{62} & R_{63} & R_{64} & R_{65} & R_{66} \end{pmatrix} \cdot \begin{pmatrix} x_0 \\ x_0' \\ y_0 \\ y_0' \\ l_0 \\ \delta_0 \end{pmatrix}$$

Remark: At ring accelerator a comparable (i.e. a bit different) matrix is called  $\mathbf{M}$

# Excuse: Some Properties of the Transfer Matrix



➤ The transformation can be done successive: with

with  $\mathbf{R}_1 = \mathbf{R}(s_0 \rightarrow s_1), \dots, \mathbf{R}_n = \mathbf{R}(s_{n-1} \rightarrow s_n)$

It is  $\mathbf{R} = \mathbf{R}_n \cdot \mathbf{R}_{n-1} \cdot \dots \cdot \mathbf{R}_1$

➤ The elements describe the coupling between the components

$R_{11} = (x/x), R_{12} = (x|x'), R_{13} = (x/y), R_{14} = (x|y'), R_{15} = (x/l), R_{16} = (x/\delta) \dots$

➤ If all forces are symmetric along the reference orbit

than the horizontal and vertical plane are decoupled:

⇒ sub-matrix is sufficient

$$\mathbf{R} = \begin{pmatrix} (x|x) & (x|x') & 0 & 0 & 0 & (x|\delta) \\ (x'|x) & (x'|x') & 0 & 0 & 0 & (x'|\delta) \\ 0 & 0 & (y|y) & (y|y') & 0 & 0 \\ 0 & 0 & (y'|y) & (y'|y') & 0 & 0 \\ (l|x) & (l|x') & 0 & 0 & 1 & (l|l) \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

➤ It is  $\det(\mathbf{R}) = 1$  (Liouville's Theorem)

i.e.  $\mathbf{R}$  is invertible

➤ Sub-matrix

here shown for drift  $L$ :

$$\mathbf{R}_x = \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix} \quad \mathbf{R}_y = \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix} \quad \mathbf{R}_s = \begin{pmatrix} 1 & L/\gamma^2 \\ 0 & 1 \end{pmatrix}$$

# Excuse: Conservation of Emittance



## Liouville's Theorem:

The phase space density can not change with conservative e.g. linear forces.

The beam distribution at one location  $s_0$  is described by the beam matrix  $\sigma(s_0)$

This beam matrix is transported from location  $s_0$  to  $s_1$  via the transfer matrix

$$\sigma(s_1) = \mathbf{R} \cdot \sigma(s_0) \cdot \mathbf{R}^T$$

6-dim beam matrix with decoupled horizontal and vertical plane:

$$\sigma = \begin{pmatrix} \sigma_{11} & \sigma_{12} & 0 & 0 & \sigma_{15} & \sigma_{16} \\ \sigma_{12} & \sigma_{22} & 0 & 0 & \sigma_{25} & \sigma_{26} \\ 0 & 0 & \sigma_{33} & \sigma_{34} & 0 & 0 \\ 0 & 0 & \sigma_{34} & \sigma_{44} & 0 & 0 \\ \sigma_{15} & \sigma_{25} & 0 & 0 & \sigma_{55} & \sigma_{56} \\ \sigma_{16} & \sigma_{26} & 0 & 0 & \sigma_{56} & \sigma_{66} \end{pmatrix}$$

Horizontal  
beam matrix:

$$\sigma_{11} = \langle x^2 \rangle$$

$$\sigma_{12} = \langle x \cdot x' \rangle$$

$$\sigma_{22} = \langle x'^2 \rangle$$

Beam width for  
the three coordinates:

$$x_{rms} = \sqrt{\langle x^2 \rangle} = \sqrt{\sigma_{11}}$$

$$y_{rms} = \sqrt{\langle y^2 \rangle} = \sqrt{\sigma_{33}}$$

$$l_{rms} = \sqrt{\langle l^2 \rangle} = \sqrt{\sigma_{55}}$$

# Excuse: Some Examples for linear Transformations



The 2-dim sub-space  $(x, x')$  can be used in case there is coupling like dispersion  $R_{16} = (x / \delta) = 0$

**Important examples are:**

➤ Drift with length  $L$ :  $\mathbf{R}_{\text{drift}} = \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix}$

➤ Horizontal **focusing** with quadrupole constant  $k$  and effective length  $l$ :

$$\mathbf{R}_{\text{focus}} = \begin{pmatrix} \cos \sqrt{k} l & \frac{1}{\sqrt{k}} \sin \sqrt{k} l \\ -\sqrt{k} \cdot \sin \sqrt{k} l & \cos \sqrt{k} l \end{pmatrix} \Rightarrow \mathbf{R}_{\text{focus}}^{\text{thin lens}} = \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix}$$

➤ Horizontal **de-focusing** with quadrupole constant  $k$  and effective length  $l$ :

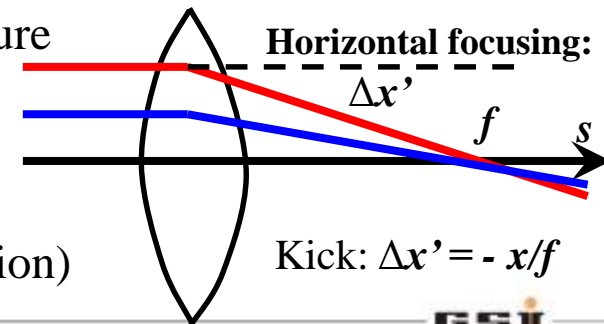
$$\mathbf{R}_{\text{de-focus}} = \begin{pmatrix} \cosh \sqrt{k} l & \frac{1}{\sqrt{k}} \sinh \sqrt{k} l \\ \sqrt{k} \cdot \sinh \sqrt{k} l & \cosh \sqrt{k} l \end{pmatrix} \Rightarrow \mathbf{R}_{\text{de-focus}}^{\text{thin lens}} = \begin{pmatrix} 1 & 0 \\ 1/f & 1 \end{pmatrix}$$

Ideal quad.: field gradient  $g = B_{\text{pole}}/a$ ,  $B_{\text{pole}}$  field at poles,  $a$  aperture

→ quadrupole constant  $k = |g| / (B\rho)_0$

**Thin lens approximation:**  $l \rightarrow 0 \Rightarrow kl \rightarrow \text{const} \Rightarrow kl \equiv 1/f$

⇒ simple transfer matrix (math. proof by 1<sup>st</sup> order Taylor expansion)

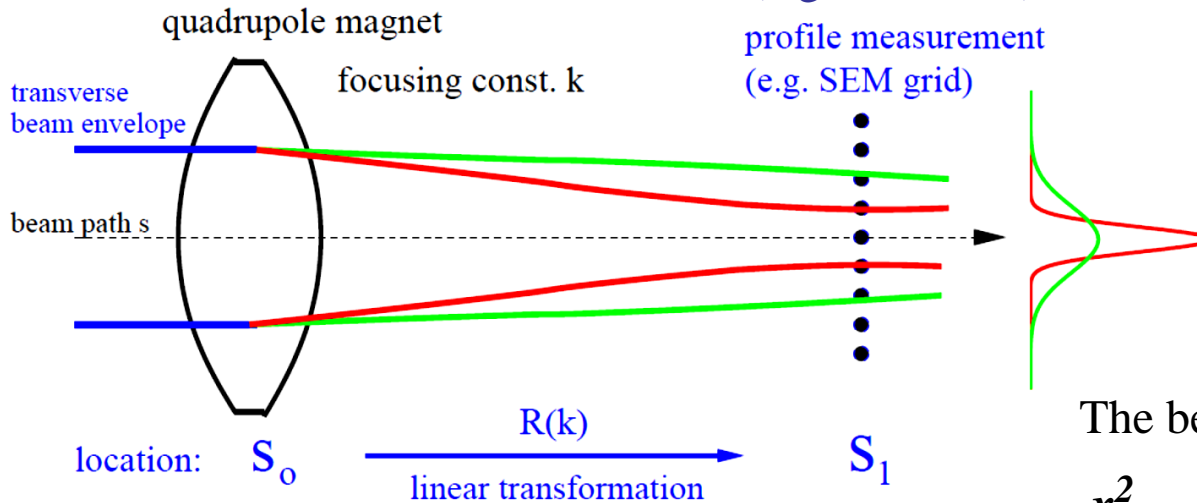




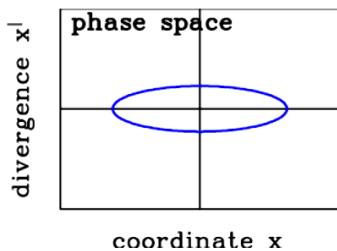
# Emittance Measurement by Quadrupole Variation



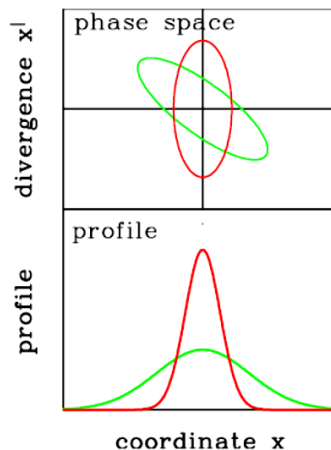
From a profile determination, the emittance can be calculated via linear transformation, if a well known and constant distribution (e.g. Gaussian) is assumed.



The beam width  $x_{max}$  and  $x_{max}^2 = \sigma_{11}(l, k)$  is measured, matrix  $\mathbf{R}(\mathbf{k})$  describes the focusing.



beam matrix:  
(Twiss parameters)  
 $\sigma_{11}(0), \sigma_{12}(0), \sigma_{22}(0)$   
to be determined



measurement:  
 $x^2(\mathbf{k}) = \sigma_{11}(l, \mathbf{k})$

# Measurement of transverse Emittance



- The beam width  $x_{max}$  at  $s_1$  is measured, and therefore  $\sigma_{11}(1, k_i) = x_{max}^2(k_i)$ .
- Different focusing of the quadrupole  $k_1, k_2 \dots k_n$  is used:  $\Rightarrow \mathbf{R}_{\text{focus}}(k_i)$ , including the drift, the transfer matrix is changed  $\mathbf{R}(k_i) = \mathbf{R}_{\text{drift}} \cdot \mathbf{R}_{\text{focus}}(k_i)$ .
- Task: Calculation of *beam* matrix  $\sigma(0)$  at entrance  $s_0$  (size and orientation of ellipse)
- The transformations of the beam matrix are:  $\sigma(1, k) = \mathbf{R}(k) \cdot \sigma(0) \cdot \mathbf{R}^T(k)$ .  
 $\implies$  Resulting in a redundant system of linear equations for  $\sigma_{ij}(0)$ :

$$\begin{aligned} \sigma_{11}(1, k_1) &= R_{11}^2(k_1) \cdot \sigma_{11}(0) + 2R_{11}(k_1)R_{12}(k_1) \cdot \sigma_{12}(0) + R_{12}^2(k_1) \cdot \sigma_{22}(0) \quad \text{focusing } k_1 \\ &\vdots \\ \sigma_{11}(1, k_n) &= R_{11}^2(k_n) \cdot \sigma_{11}(0) + 2R_{11}(k_n)R_{12}(k_n) \cdot \sigma_{12}(0) + R_{12}^2(k_n) \cdot \sigma_{22}(0) \quad \text{focusing } k_n \end{aligned}$$

- To learn something on possible errors,  $n > 3$  settings have to be performed.  
A setting with a focus close to the SEM-grid should be included to do a good fit.
- *Assumptions:*
  - Only elliptical shaped emittance can be obtained.
  - No broadening of the emittance e.g. due to space-charge forces.
  - If *not* valid: A self-consistent algorithm has to be used.

# Measurement of transverse Emittance



Using the 'thin lens approximation' i.e. the quadrupole has a focal length of  $f$ :

$$\mathbf{R}_{focus}(K) = \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ K & 1 \end{pmatrix} \Rightarrow \mathbf{R}(L, K) = \mathbf{R}_{drift}(L) \cdot \mathbf{R}_{focus}(K) = \begin{pmatrix} 1+LK & L \\ K & 1 \end{pmatrix}$$

**Example:** Square of the beam width at ELETTRA 100 MeV  $e^-$  Linac, YAG:Ce:

$$\text{Measurement of } \sigma(1, K) = \mathbf{R}(K) \cdot \sigma(0) \cdot \mathbf{R}^T(K)$$

$$\sigma_{11}(1, K) = L^2 \sigma_{11}(0) \cdot K^2$$

$$+ 2 \cdot (L \sigma_{11}(0) + L^2 \sigma_{12}(0)) \cdot K$$

$$+ L^2 \sigma_{22}(0) + \sigma_{11}(0)$$

$$\equiv a \cdot K^2 - 2ab \cdot K + ab^2 + c$$

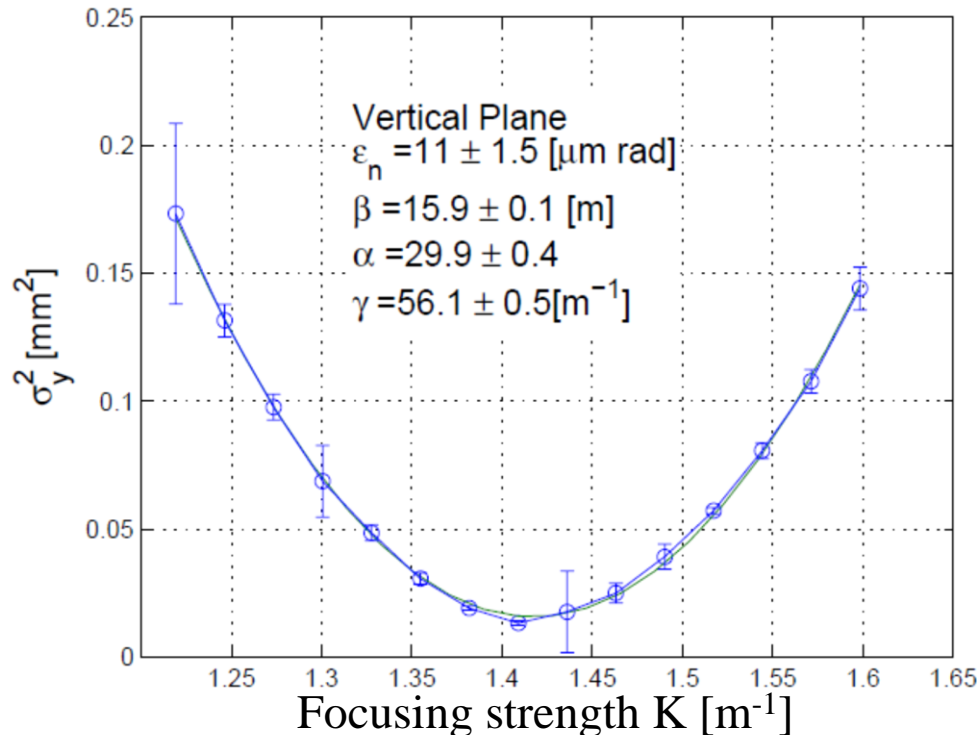
The  $\sigma$ -matrix at quadrupole is:

$$\sigma_{11}(0) = \frac{a}{L^2}$$

$$\sigma_{12}(0) = -\frac{a}{L^2} \left( \frac{1}{L} + b \right)$$

$$\sigma_{22}(0) = \frac{1}{L^2} \left( ab^2 + c + \frac{2ab}{L} + \frac{a}{L^2} \right)$$

$$\epsilon = \sqrt{\det \sigma(0)} = \sqrt{\sigma_{11}(0)\sigma_{22}(0) - \sigma_{12}^2(0)} = \sqrt{ac}/L^2$$



G. Penco et al., EPAC'08

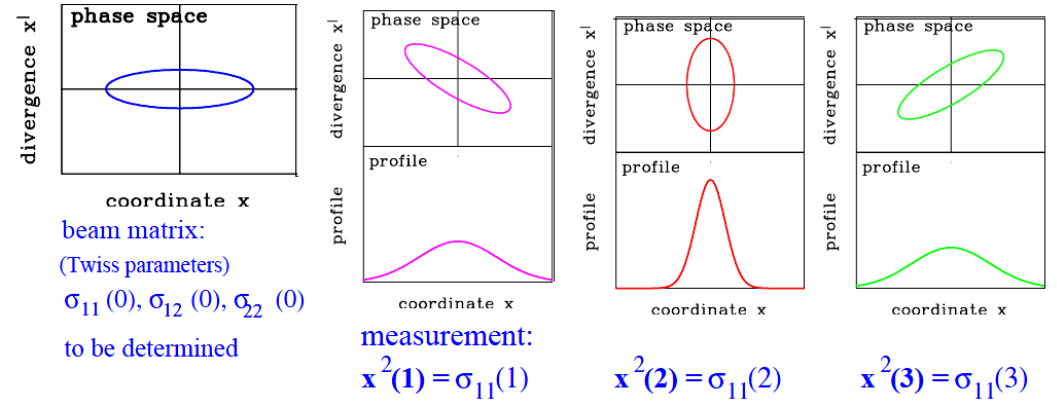
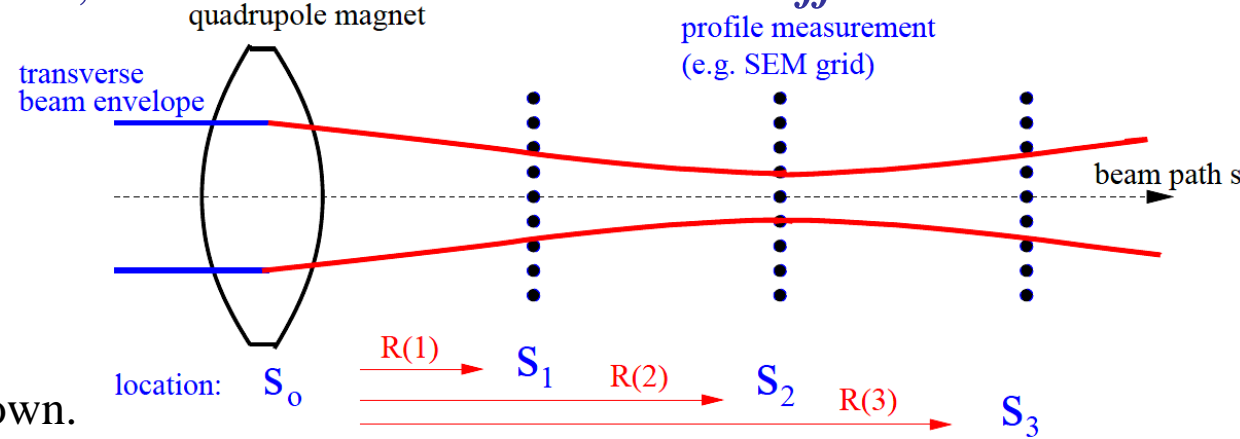
# The 'Three Grid Method' for Emittance Measurement



Instead of quadrupole variation, the beam width is measured at *different* locations:

## The procedure is:

- Beam width  $x(i)$  measured at the locations  $s_i$   
 ⇒ beam matrix element  $x^2(i) = \sigma_{11}(i)$ .
- The transfer matrix  $\mathbf{R}(i)$  is known.  
 (without dipole a  $3 \times 3$  matrix.)
- The transformations are:  
 $\sigma(i) = \mathbf{R}(i)\sigma(0)\mathbf{R}^T(i)$   
 ⇒ redundant equations:



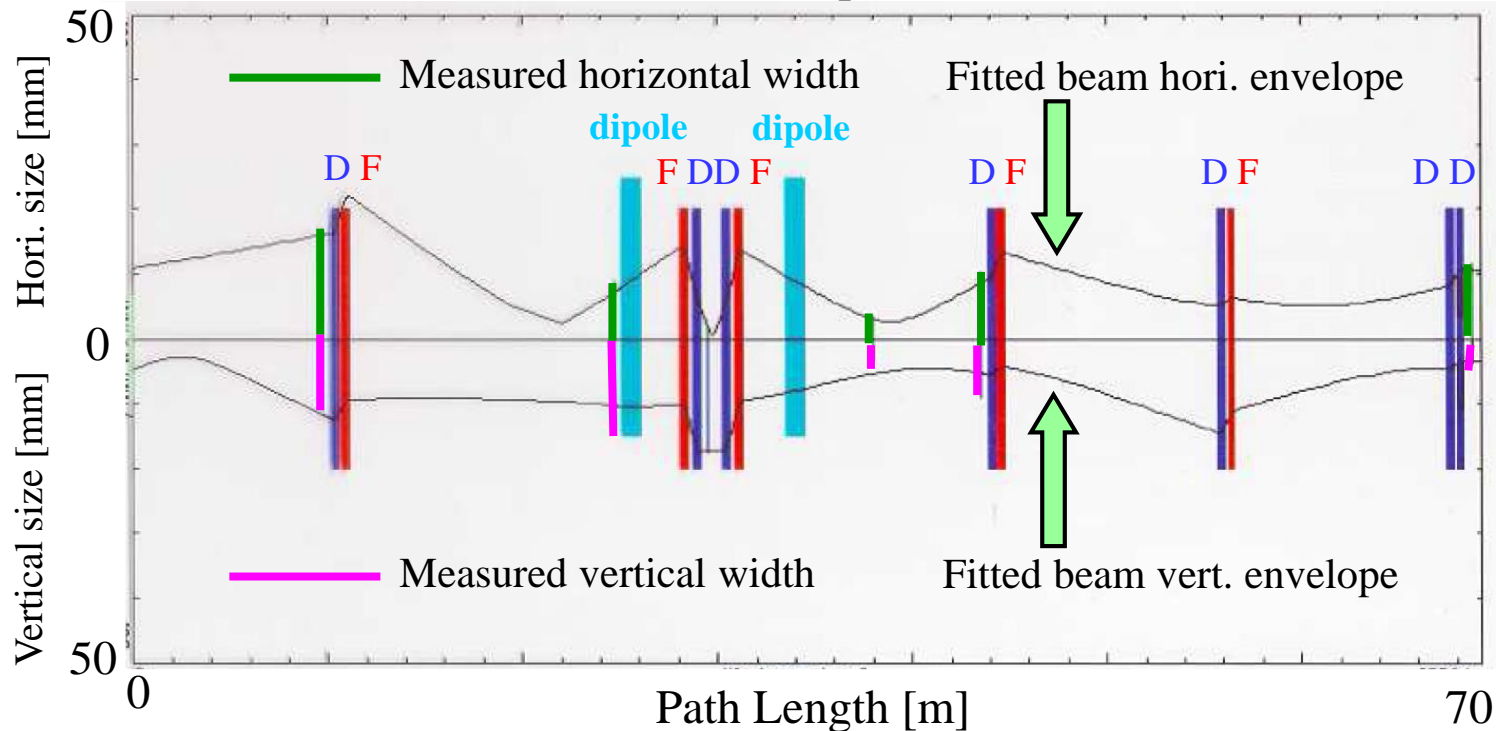
$$\begin{aligned} \sigma_{11}(1) &= R_{11}^2(1) \cdot \sigma_{11}(0) + 2R_{11}(1)R_{12}(1) \cdot \sigma_{12}(0) + R_{12}^2(1) \cdot \sigma_{22}(0) & \mathbf{R}(1) : s_0 \rightarrow s_1 \\ \sigma_{11}(2) &= R_{11}^2(2) \cdot \sigma_{11}(0) + 2R_{11}(2)R_{12}(2) \cdot \sigma_{12}(0) + R_{12}^2(2) \cdot \sigma_{22}(0) & \mathbf{R}(2) : s_0 \rightarrow s_2 \\ &\vdots \\ \sigma_{11}(n) &= R_{11}^2(n) \cdot \sigma_{11}(0) + 2R_{11}(n)R_{12}(n) \cdot \sigma_{12}(0) + R_{12}^2(n) \cdot \sigma_{22}(0) & \mathbf{R}(n) : s_0 \rightarrow s_n \end{aligned}$$

# Results of a 'Three Grid Method' Measurement



**Solution:** Solving the linear equations like for quadrupole variation or fitting the profiles with linear optics code (e.g. TRANSPORT, WinAgile, MadX).

**Example:** The hor. and vert. beam envelope and the beam width at a transfer line:



- Assumptions:**
- constant emittance, in particular no space-charge broadening
  - 100 % transmission i.e. no loss due to vacuum pipe scraping
  - no misalignment, i.e. beam center equals center of the quadrupoles.

# Summary for transverse Emittance Measurement



Emittance measurements are very important for comparison to theory.

It includes size (value of  $\epsilon$ ) and orientation in phase space ( $\sigma_{ij}$  or  $\alpha$ ,  $\beta$  and  $\gamma$ )

(three independent values)

Techniques for transfer lines (synchrotron: width measurement sufficient):

***Low energy beams → direct measurement of  $x$ - and  $x'$ -distribution***

➤ ***Slit-grid***: movable slit →  $x$ -profile, grid →  $x'$ -profile

➤ ***Pepper-pot***: holes →  $x$ -profile, scintillation screen →  $x'$ -profile

***All beams → profile measurement + linear transformation:***

➤ ***Quadrupole variation***: one location, different setting of a quadrupole

➤ ***'Three grid method'***: different locations

➤ ***Assumptions***: ➤ well aligned beam, no steering

➤ no emittance blow-up due to space charge.