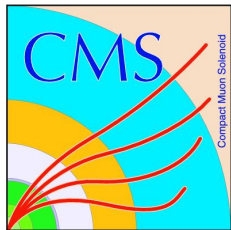


$t\bar{t}V$ $V = W, Z$ measurements from ATLAS and CMS

Kerim Suruliz (University of Sussex)

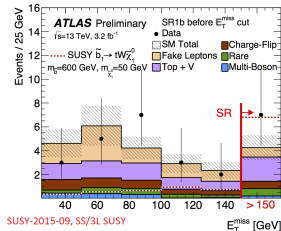
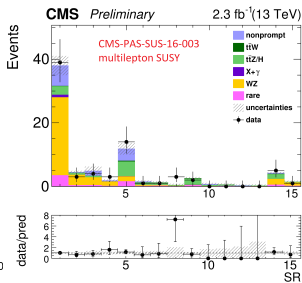
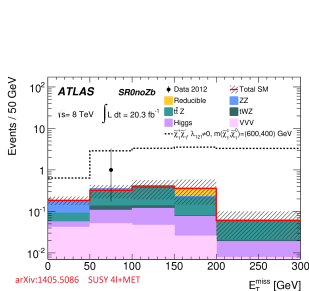
May 18, 2016



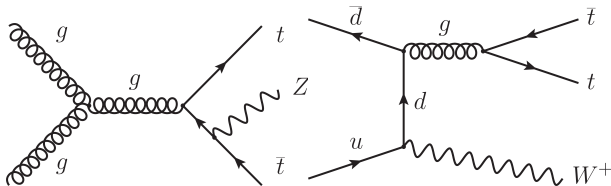
Introduction

Top pair production in association with a Z or W :

- Heaviest final states produced and measured at the LHC thus far
- Rare SM processes - provide an important test of the SM
- $t\bar{t}Z$ measurements provide a window to the coupling of the top to the Z (but also $t\bar{t}W$ through tW scattering - [Dror et al, arXiv:1511.03674](#))
- Important backgrounds to BSM physics searches in various final states: stop pair production ($t\bar{t}$ +MET final state), EWK SUSY production giving 3/4 real leptons in the final state, SS dilepton searches, and others
- Also important backgrounds to $t\bar{t}H$ measurements in multilepton final states



$t\bar{t}V$ production at the LHC



Cross sections computed to NLO in α_s ($=\mathcal{O}(\alpha_s^3\alpha)$).

- $\mathcal{O}(\alpha_s\alpha^2), \mathcal{O}(\alpha_s^2\alpha^2)$ electroweak corrections also computed (Frixione et al, [arXiv:1504.03446](https://arxiv.org/abs/1504.03446))
- few % corrections to inclusive cross-sections, but can be important in tails of observables (e.g. $p_T(Z)$)

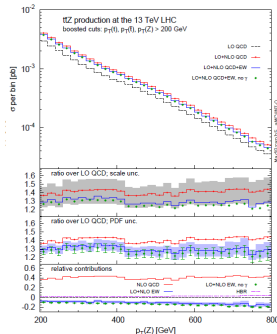
$t\bar{t}V$ production at the LHC: cross sections

Process	Syntax	Cross section (pb)			
		LO 13 TeV		NLO 13 TeV	
e.7 $pp \rightarrow t\bar{t}W^\pm$	$p p > t \bar{t} \sim wpm$	$3.777 \pm 0.003 \cdot 10^{-1}$	+23.9% +2.1% -18.0% -1.6%	$5.662 \pm 0.021 \cdot 10^{-1}$	+11.2% +1.7% -10.6% -1.3%
e.8 $pp \rightarrow t\bar{t}Z$	$p p > t \bar{t} \sim z$	$5.273 \pm 0.004 \cdot 10^{-1}$	+30.5% +1.8% -21.8% -2.1%	$7.598 \pm 0.026 \cdot 10^{-1}$	+9.7% +1.9% -11.1% -2.2%
e.9 $pp \rightarrow t\bar{t}\gamma$	$p p > t \bar{t} \sim a$	$1.204 \pm 0.001 \cdot 10^0$	+29.6% +1.6% -21.3% -1.8%	$1.744 \pm 0.005 \cdot 10^0$	+9.8% +1.7% -11.0% -2.0%

Alwall et al, arXiv:1405.0301

$t\bar{t}Z : \sigma(\text{pb})$	8 TeV	13 TeV
LO QCD	$1.379 \cdot 10^{-1}$	$5.282 \cdot 10^{-1}$ ($1.955 \cdot 10^{-2}$)
NLO QCD	$5.956 \cdot 10^{-2}$	$2.426 \cdot 10^{-1}$ ($7.856 \cdot 10^{-3}$)
LO EW	$6.552 \cdot 10^{-4}$	$-2.172 \cdot 10^{-4}$ ($4.039 \cdot 10^{-4}$)
LO EW no γ	$-1.105 \cdot 10^{-3}$	$-5.771 \cdot 10^{-3}$ ($-6.179 \cdot 10^{-5}$)
NLO EW	$-4.540 \cdot 10^{-3}$	$-2.017 \cdot 10^{-2}$ ($-2.172 \cdot 10^{-3}$)
NLO EW no γ	$-5.069 \cdot 10^{-3}$	$-2.158 \cdot 10^{-2}$ ($-2.252 \cdot 10^{-3}$)
HBR	$1.316 \cdot 10^{-3}$	$5.056 \cdot 10^{-3}$ ($4.162 \cdot 10^{-4}$)

Frixione et al, arXiv:1504.03446



NB: 13 TeV SM value used in CMS $\sigma(t\bar{t}Z) = 839^{+80}_{-92}(\text{scale})^{+25}_{-25}(\text{PDF})^{+25}_{-25}(\alpha_s)$ fb slightly higher than ATLAS value of $\sigma(t\bar{t}Z) = 760$ fb, due to different scale choices.

$t\bar{t}V$ measurements at the LHC

Can be attempted in many different final states.

$t\bar{t}Z$

- **Dilepton:** $(t \rightarrow bj\bar{j})(\bar{t} \rightarrow b\bar{j}\bar{j})(Z \rightarrow l^\pm l^\mp)$, $(t \rightarrow bl^+\nu)(\bar{t} \rightarrow \bar{b}l^-\bar{\nu})(Z \rightarrow jj)$
- **Trilepton:** $(t \rightarrow bl^\pm\nu)(\bar{t} \rightarrow b\bar{j}\bar{j})(Z \rightarrow l^\pm l^\mp)$
- **Four lepton:** $(t \rightarrow bl^+\nu)(\bar{t} \rightarrow \bar{b}l^-\bar{\nu})(Z \rightarrow l^\pm l^\mp)$
- Others (e.g. $Z \rightarrow$ invisible), not explored yet

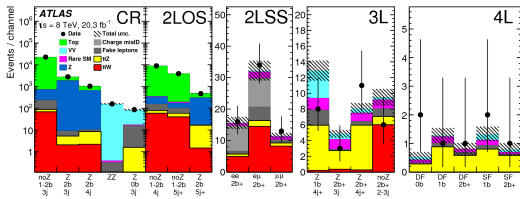
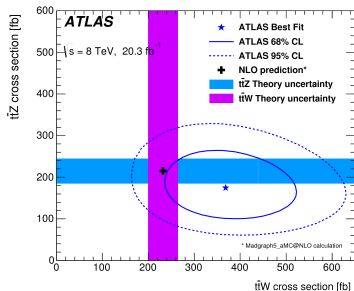
Sample statistics, S/B and dominant backgrounds very different for different final states.

- $t\bar{t}Z$ and $t\bar{t}W$ studied at both ATLAS ([arXiv:1509.05276](#)) and CMS ([arXiv:1510.01131](#)) in Run 1
- **Preliminary Run 2 results** for $t\bar{t}Z$ by CMS and for $t\bar{t}Z$ and $t\bar{t}W$ by ATLAS
- ATLAS and CMS strategies broadly similar

ATLAS 8 TeV results

Simultaneous fit to extract $t\bar{t}Z$ and $t\bar{t}W$ cross sections in 2ℓ (OS and SS), 3ℓ and 4ℓ signal regions.

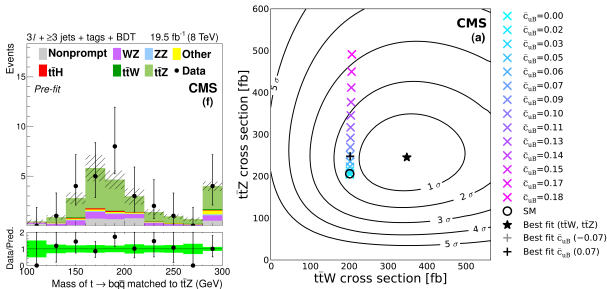
Channel	$t\bar{t}W$ significance		$t\bar{t}Z$ significance	
	Expected	Observed	Expected	Observed
2ℓ OS	0.4	0.1	1.4	1.1
2ℓ SS	2.8	5.0	-	-
3ℓ	1.4	1.0	3.7	3.3
4ℓ	-	-	2.0	2.4
Combined	3.2	5.0	4.5	4.2



CMS 8 TeV results

Like ATLAS, uses $2l$ (OS and SS), $3l$ and $4l$ signal regions.

- Also attempts full event reconstruction, feeding outputs (match scores) into BDTs
- Limits set on vector/axial couplings and dim-6 EFT operators, based on implementation from Fuks et al. JHEP **1404** (2014) 110



Significances 6.4σ for ttZ and 4.8σ for ttW (5.7 and 3.5 expected).

- Mild excesses seen by both ATLAS and CMS in SS $2l$ channels

ATLAS+CMS 8 TeV combination

ATLAS measurement

$$\sigma_{t\bar{t}Z} = 176^{+52}_{-48}(\text{stat.}) \pm 24(\text{syst.}) \text{ fb}$$

$$\sigma_{t\bar{t}W} = 369^{+80}_{-92}(\text{stat.}) \pm 44(\text{syst.}) \text{ fb}$$

CMS measurement

$$\sigma_{t\bar{t}Z} = 245^{+65}_{-55} \text{ fb}$$

$$\sigma_{t\bar{t}W} = 382^{+117}_{-102} \text{ fb}$$

The SM predictions at 8 TeV are $\sigma_{t\bar{t}Z} = 206^{+19}_{-24}$ fb and $\sigma_{t\bar{t}W} = 203^{+20}_{-22}$ fb.

A statistical combination of the results is in progress.

$t\bar{t}Z$ @ CMS with 13 TeV data

Preliminary result shown at Moriond 2016: [CMS-PAS-TOP-16-009](#).

- Considers $3l$ and $4l$ channels.

Monte Carlo

- $t\bar{t}V$ (including $V = H, \gamma$), triboson and tZq from MG5_AMC@NLO+Pythia8
- Powheg used for WZ/ZZ simulation

Dataset and object definitions

- Uses data collected with single lepton triggers with thresholds at 23 (20) GeV for e (μ)
- Integrated lumi 2.7 fb^{-1}
- Isolated leptons with $p_T > 10$ GeV used, $|\eta| < 2.4$ (< 2.5) for μ (e)
- Jets with $p_T > 30$ GeV, $|\eta| < 2.4$; b -tagging efficiency 75%-85% depending on p_T and η

3l signal regions

Separated by jet and b -jet multiplicity.

- one OSSF pair of leptons with $|m_{ll} - M_Z| < 10$ GeV
- lepton p_{TS} at least 30, 20, 10 GeV
- $N_{\text{jets}} = 2$ and $N_{b\text{-jets}} = 0, \geq 1$
- $N_{\text{jets}} = 3$ and $N_{b\text{-jets}} = 0, = 1, \geq 2$
- $N_{\text{jets}} \geq 4$ and $N_{b\text{-jets}} = 0, = 1, \geq 2$

4l signal regions

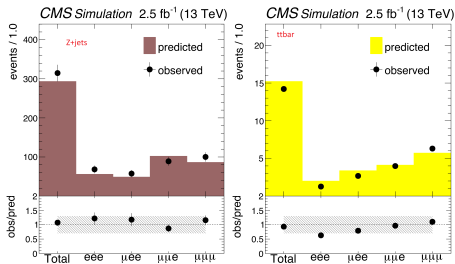
- lepton p_{TS} at least 20, 10, 10, 10 GeV
- one OSSF pair of leptons with $|m_{ll} - M_Z| < 20$ GeV
- Sum of lepton charges = 0
- $m_{ll} > 12$ GeV for all OSSF lepton pairs
- reject 2nd OSSF pair in $eeee, ee\mu\mu, \mu\mu\mu\mu$ events
- $N_{\text{jets}} \geq 2$ and $N_{b\text{-jets}} = 0, \geq 1$

$t\bar{t}Z$ @ CMS @ 13 TeV: estimate of non-prompt lepton background

$3l$ channel dominated by WZ and non-prompt lepton backgrounds.

- Non-prompt leptons arise from heavy flavour hadron decays, photon conversions, mis-ID hadrons
- **Estimated from data** using a sideband containing events which pass a **loose lepton** selection and fail the tight one
- Weights applied to events in sideband based on **tight to loose** ratio measured in dijet events with loose leptons

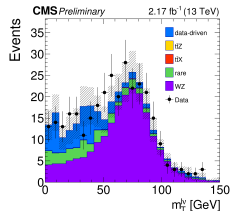
$\sim 30\%$ systematic from closure test in MC: rates from QCD events applied in $t\bar{t}$ and Z +jets.



$t\bar{t}Z$ @ CMS @ 13 TeV: estimate of WZ background

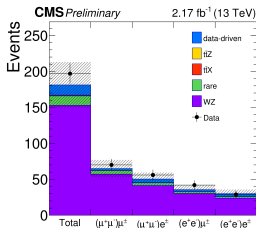
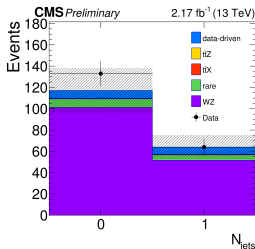
To determine the WZ background in the $3l$ regions, a low jet multiplicity $3l$ region is used.

- OSSF lepton pair; $N_{\text{jets}} = 0, 1$ and $N_{b\text{-jets}} = 0$
- $E_T^{\text{miss}} > 30$ GeV, $M_T^{l\nu} > 50$ GeV to suppress non-prompt background



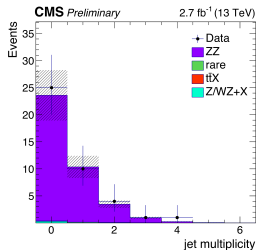
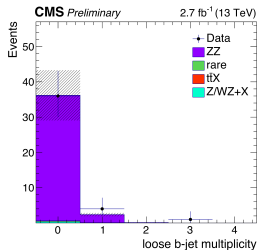
$N_{\text{obs}}/N_{\text{exp}} = 1.1 \pm 0.1 \implies$ used as a systematic uncertainty.

- heavy flavour modelling assessed in Z +jets events; additional 20% systematic from here (also includes extrapolation to higher $N_{\text{jet}}, N_{b\text{-jet}}$)



$t\bar{t}Z$ @ CMS @ 13 TeV: ZZ and other backgrounds

- $4l$ validation region considered for ZZ .
- 2 SFOS pairs required
- orthogonal to $4l$ SR
- 20% resulting uncertainty



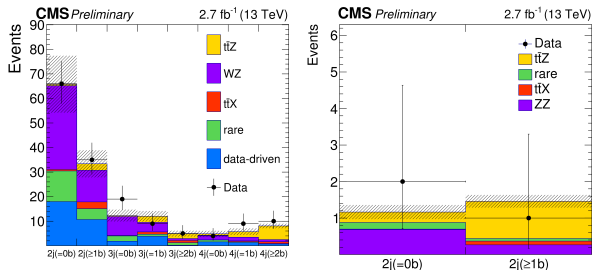
Rare processes all estimated from MC (to NLO accuracy).

- scale + PDF uncertainties on $t\bar{t}X \sim 25\%$
- others (triboson etc) assigned a 50% uncertainty

In $4l$ SR, non-prompt lepton backgrounds from MC \rightarrow found to be negligible.

$t\bar{t}Z$ @ CMS @ 13 TeV: results

Profile likelihood fit used to extract signal cross section.

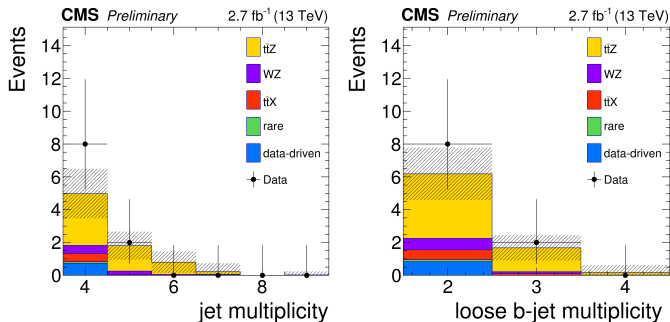


Process	$N_{\text{jets}} \geq 4$		
	$N_{\text{bjets}} = 0$	$N_{\text{bjets}} = 1$	$N_{\text{bjets}} \geq 2$
WZ	$1.88 \pm 0.16 \pm 0.38$	$1.48 \pm 0.15 \pm 0.30$	$0.82 \pm 0.12 \pm 0.16$
$t\bar{t}X$	$0.06 \pm 0.02 \pm 0.01$	$0.40 \pm 0.07 \pm 0.10$	$0.78 \pm 0.08 \pm 0.19$
Non-prompt	$1.56 \pm 0.62 \pm 0.47$	$1.26 \pm 0.85 \pm 0.38$	$0.72 \pm 0.53 \pm 0.22$
Rare	$0.78 \pm 0.24 \pm 0.39$	$0.33 \pm 0.03 \pm 0.16$	$0.16 \pm 0.02 \pm 0.08$
Background	$4.27 \pm 0.69 \pm 0.72$	$3.46 \pm 0.87 \pm 0.52$	$2.48 \pm 0.55 \pm 0.34$
$t\bar{t}Z$	$0.59 \pm 0.10 \pm 0.10$	$2.29 \pm 0.22 \pm 0.23$	$5.58 \pm 0.32 \pm 0.45$
Predicted	$4.87 \pm 0.69 \pm 0.72$	$5.74 \pm 0.89 \pm 0.57$	$8.06 \pm 0.64 \pm 0.57$
Data	4	9	10

Process	$N_{\text{jets}} \geq 2$	
	$N_{\text{bjets}} = 0$	$N_{\text{bjets}} \geq 1$
ZZ	$0.68 \pm 0.03 \pm 0.15$	$0.27 \pm 0.01 \pm 0.06$
$t\bar{t}X$	$0.01 \pm 0.01 \pm 0.01$	$0.10 \pm 0.03 \pm 0.03$
Rare	$0.18 \pm 0.02 \pm 0.09$	$0.07 \pm 0.01 \pm 0.04$
Background	$0.87 \pm 0.04 \pm 0.17$	$0.44 \pm 0.03 \pm 0.08$
$t\bar{t}Z$	$0.29 \pm 0.06 \pm 0.04$	$1.02 \pm 0.13 \pm 0.08$
Predicted	$1.16 \pm 0.07 \pm 0.17$	$1.46 \pm 0.13 \pm 0.13$
Data	2	1

$t\bar{t}Z$ @ CMS @ 13 TeV: results

Measured value $\sigma(pp \rightarrow t\bar{t}Z) = 1065^{+352}_{-313}(\text{stat.})^{+168}_{-142}(\text{syst.})$ fb, consistent with the SM prediction of $839^{+80}_{-92}(\text{scale})^{+25}_{-25}(\text{PDF})^{+25}_{-25}(\alpha_s)$ fb.



Channel	Expected significance	Observed significance
3 ℓ analysis	2.9	3.5
4 ℓ analysis	1.2	0.9
3 ℓ and 4 ℓ combined	3.1	3.6

$t\bar{t}Z$ and $t\bar{t}W$ @ ATLAS @ 13TeV

Preliminary result shown at Moriond 2016: [ATLAS-CONF-2016-003](#).

ATLAS strategy similar to that of CMS, and essentially identical to 8 TeV ATLAS approach.

- $3l$ and $4l$ SRs targeting $t\bar{t}Z$
- Two SRs: $SS2\mu$ and $3l$ with no Z candidate target $t\bar{t}W$

Process	$t\bar{t}$ decay	Boson decay	Channel
$t\bar{t}W^\pm$	$(\mu^\pm\nu b)(q\bar{q}b)$	$\mu^\pm\nu$	SS dimuon
	$(\ell^\pm\nu b)(\ell^\mp\nu b)$	$\ell^\pm\nu$	Trilepton
$t\bar{t}Z$	$(\ell^\pm\nu b)(q\bar{q}b)$	$\ell^+\ell^-$	Trilepton
	$(\ell^\pm\nu b)(\ell^\mp\nu b)$	$\ell^+\ell^-$	Tetralepton

Monte Carlo

Somewhat more diverse than the tools used in CMS:

- $t\bar{t}V$ signal and tZ from MadGraph+Pythia
- tWZ from MG5_AMC@NLO+Pythia8; $t\bar{t}H$ uses MG5_AMC@NLO+Herwig++
- Sherpa 2.1 for WZ/ZZ as well as tribosons
- Other rare processes (3top, 4top, $t\bar{t}WW$) simulated with MadGraph+Pythia

Dataset and object definitions

- Single lepton triggers used; integrated lumi 3.2 fb^{-1}
- Isolated leptons with $p_T > 7 \text{ GeV}$ considered, $|\eta| < 2.4$ (< 2.47) for μ (e)
- Jets with $p_T > 25 \text{ GeV}$, $|\eta| < 2.5$; average b -tagging efficiency 77%

$t\bar{t}V$ @ ATLAS @ 13 TeV: signal regions

2 μ -SS SR

Two SS muons with $p_T > 25$ GeV, $E_T^{\text{miss}} > 40$ GeV, $H_T > 240$ GeV and $N_{b\text{-jets}} \geq 2$.

Variable	3 ℓ -Z-1b4j	3 ℓ -Z-2b3j	3 ℓ -Z-2b4j	3 ℓ -noZ-2b
Leading lepton p_T			> 25 GeV	
Other leptons' p_T			> 20 GeV	
Sum of lepton charges			± 1	
Z-like OSSF pair	$ m_{\ell\ell} - m_Z < 10$ GeV		$ m_{\ell\ell} - m_Z > 10$ GeV	
n_{jets}	≥ 4	3	≥ 4	≥ 2 and ≤ 4
$n_{b\text{-jets}}$	1	≥ 2	≥ 2	≥ 2

Region	Z ₂ leptons	p_{T34}	$ m_{Z_2} - m_Z $	E_T^{miss}	$N_{b\text{-jets}}$
4 ℓ -DF-1b	$e^\pm\mu^\mp$	> 35 GeV	-	-	1
4 ℓ -DF-2b	$e^\pm\mu^\mp$	-	-	-	≥ 2
4 ℓ -SF-1b	$e^\pm e^\mp, \mu^\pm\mu^\mp$	> 25 GeV	$\left\{ \begin{array}{l} > 10 \text{ GeV} \\ < 10 \text{ GeV} \end{array} \right\}$	$\left\{ \begin{array}{l} > 40 \text{ GeV} \\ > 80 \text{ GeV} \end{array} \right\}$	1
4 ℓ -SF-2b	$e^\pm e^\mp, \mu^\pm\mu^\mp$	-	$\left\{ \begin{array}{l} > 10 \text{ GeV} \\ < 10 \text{ GeV} \end{array} \right\}$	$\left\{ \begin{array}{l} - \\ > 40 \text{ GeV} \end{array} \right\}$	≥ 2

Background estimation

Non-prompt lepton background dominates in the 2μ -SS SR and is important in the $3l$ SRs.

- Estimated from data using the **matrix method technique**
- Similar to CMS approach, except that the prompt and non-prompt loose→tight efficiencies are derived from data, in OS/SS dilepton events

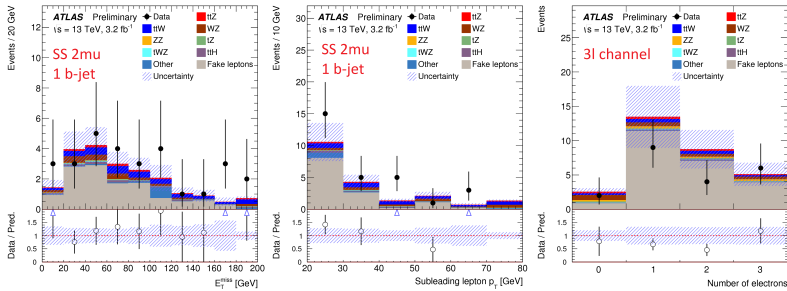
Dedicated control regions used for the **WZ and ZZ backgrounds**.

- Included in the fit

The remaining backgrounds typically small (apart from tWZ/tZ) and taken from Monte Carlo.

$t\bar{t}V$ @ ATLAS @ 13 TeV: non-prompt lepton background

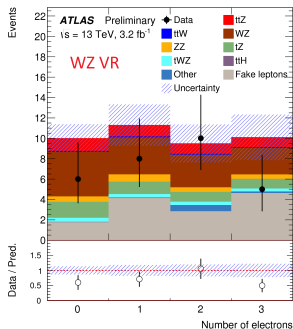
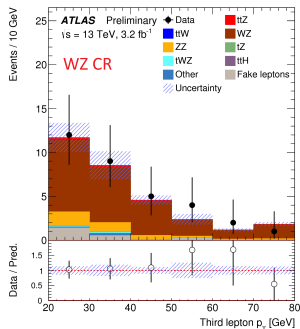
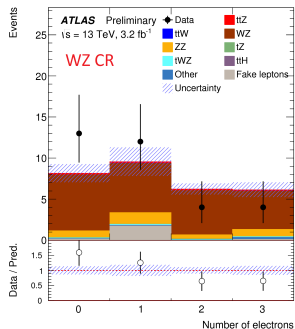
Validated in a SS 2μ region with $N_{b\text{-jets}} = 1$.



- Separate validation for the $3l$ channel in a region with no OSSF pair with $|m_{ll} - m_Z| < 10$ GeV.
- Systematic uncertainty on non-prompt background $\sim 25 - 50\%$, from **limited control region statistics** and **subtraction of charge-flip** in SS $2l$ regions where the loose \rightarrow tight rates are derived

$t\bar{t}V$ @ ATLAS @ 13 TeV: WZ background

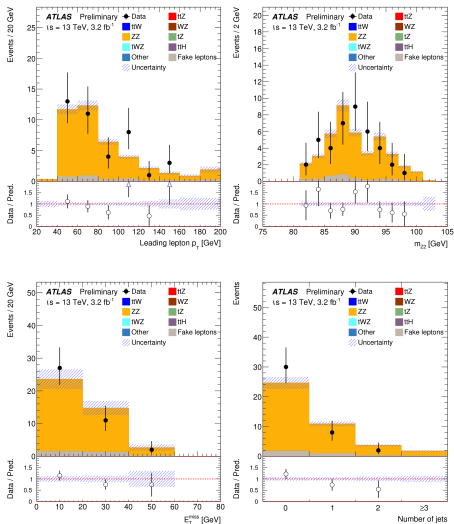
Control region with $3l$, an OSSF pair $|m_{ll} - m_Z| < 10$ GeV, $N_{\text{jets}} = 3$ and $N_{\text{b-jets}} = 0$ used.



Further validated in a region with $1 \leq N_{\text{jets}} \leq 3$, $N_{\text{b-jets}} = 1$ or $N_{\text{b-jets}} = N_{\text{jets}} = 2$.

$t\bar{t}V$ @ ATLAS @ 13 TeV: ZZ background

Control region with two OSSF pairs with $|m_{ll} - m_Z| < 10$ GeV and $E_T^{\text{miss}} < 50$ GeV.



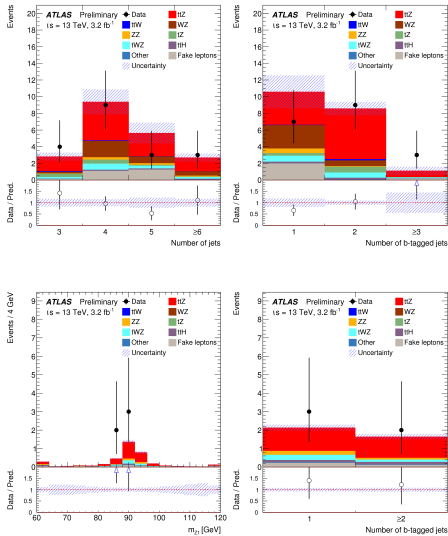
$t\bar{t}V$ @ ATLAS @ 13 TeV: uncertainties and fitting procedure

- Conservative **50-100% uncertainty on WZ background** from limited CR statistics and extrapolation to higher b -jet multiplicity \implies important in $3l$ signal regions.
- Signal systematics from scale variations, Pythia A14 tune variations and comparisons of Madgraph with Sherpa
- **tWZ background**: uncertainty from interference with $t\bar{t}Z$ also considered; +10%-22%
- Typically consider **50% for small backgrounds** such as tribosons or VBS $WWjj$

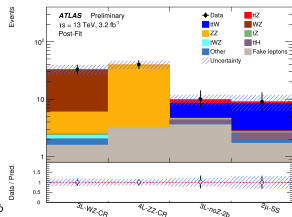
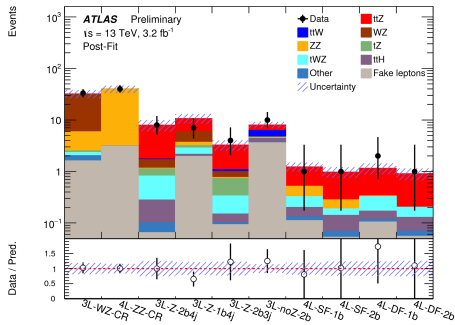
Two fits are performed:

- Fix $t\bar{t}W$, consider normalisation uncertainty of $\sim 11\%$, and fit for $t\bar{t}Z$
- ...or vice versa

$t\bar{t}$ @ ATLAS @ 13 TeV: results



$t\bar{t}$ @ ATLAS @ 13 TeV: results



Uncertainty	$\sigma_{t\bar{t}Z}$	$\sigma_{t\bar{t}W}$
Luminosity	6.4%	7.0%
Reconstructed objects	7.0%	7.3%
Backgrounds from simulation	5.5%	3.7%
Fake leptons and charge misID	3.9%	21%
Total systematic	12%	24%
Statistical	32%	51%
Total	34%	56%

$t\bar{t}V$ @ ATLAS @ 13 TeV: results

Region	$t + X$	Bosons	Fake leptons	Total bkg.	$t\bar{t}W$	$t\bar{t}Z$	Data
3 ℓ -WZ-CR	0.51 ± 0.13	26.9 ± 2.5	1.6 ± 1.7	29.0 ± 3.0	0.017 ± 0.005	0.71 ± 0.08	33
4 ℓ -ZZ-CR	0.007 ± 0.006	37.9 ± 2.5	3.1 ± 0.9	41.0 ± 2.7	< 0.001	0.031 ± 0.006	40
2 μ -SS	1.00 ± 0.19	0.14 ± 0.06	1.7 ± 1.5	2.9 ± 1.5	2.28 ± 0.34	0.65 ± 0.07	9
3 ℓ -Z-2b4j	1.06 ± 0.25	0.5 ± 0.4	0.1 ± 0.6	1.7 ± 0.8	0.061 ± 0.013	5.1 ± 0.5	8
3 ℓ -Z-1b4j	1.23 ± 0.26	3.4 ± 2.2	2.0 ± 1.7	6.6 ± 2.8	0.037 ± 0.010	4.0 ± 0.4	7
3 ℓ -Z-2b3j	0.64 ± 0.23	0.25 ± 0.18	0.1 ± 0.4	1.0 ± 0.5	0.082 ± 0.015	1.75 ± 0.20	4
3 ℓ -noZ-2b	0.95 ± 0.15	0.18 ± 0.09	3.6 ± 2.2	4.7 ± 2.2	1.55 ± 0.24	1.35 ± 0.16	10
4 ℓ -SF-1b	0.198 ± 0.035	0.22 ± 0.08	0.112 ± 0.032	0.53 ± 0.09	< 0.001	0.59 ± 0.05	1
4 ℓ -SF-2b	0.130 ± 0.035	0.11 ± 0.05	0.053 ± 0.016	0.29 ± 0.07	< 0.001	0.57 ± 0.05	1
4 ℓ -DF-1b	0.21 ± 0.04	0.022 ± 0.011	0.105 ± 0.027	0.34 ± 0.05	< 0.001	0.67 ± 0.05	2
4 ℓ -DF-2b	0.15 ± 0.05	< 0.001	0.055 ± 0.017	0.20 ± 0.05	< 0.001	0.58 ± 0.05	1

Fitted cross sections:

$$\begin{aligned}\sigma_{t\bar{t}Z} &= 0.92 \pm 0.30(\text{stat.}) \pm 0.11(\text{syst.}) \text{ pb} \\ \sigma_{t\bar{t}W} &= 1.38 \pm 0.70(\text{stat.}) \pm 0.30(\text{syst.}) \text{ pb}\end{aligned}$$

Consistent with SM predictions of $\sigma_{t\bar{t}Z} = 0.76$ pb and $\sigma_{t\bar{t}W} = 0.57$ pb.

Conclusions

- Exciting new measurements of $t\bar{t}V$ processes by ATLAS and CMS at 13 TeV
- $t\bar{t}Z$ precision already becoming competitive with 8 TeV results, thanks to the large increase of cross section 8→13 TeV
- The two experiments have comparable results and sensitivity for the $t\bar{t}Z$ cross section
- Looking forward to more data
- **The era of systematics-dominated, precision measurements of $t\bar{t}V$ is about to begin!**