



Low-x Results from CMS

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(on behalf of the CMS Collaboration)

Outline

pp @ 13 TeV

Measurement of the pseudorapidity dependence of the energy and transverse energy density
[CMS PAS FSQ-15-006 \(April, 2016\)](#)

Measurement of the energy distribution in the very forward direction
[CMS PAS FSQ-16-002 \(April, 2016\)](#)

Measurement of the very forward inclusive jet cross section
[CMS PAS FSQ-16-003 \(April, 2016\)](#)

pp @ 7 TeV

Azimuthal angle decorrelation of jets widely separated in rapidity
[arXiv:1601.06713 \(submitted to JHEP\)](#)

Probing the low-x regions

Low-x dynamics:

parton saturation, BFKL/CCFM dynamics, proton structure, multiparton scattering..

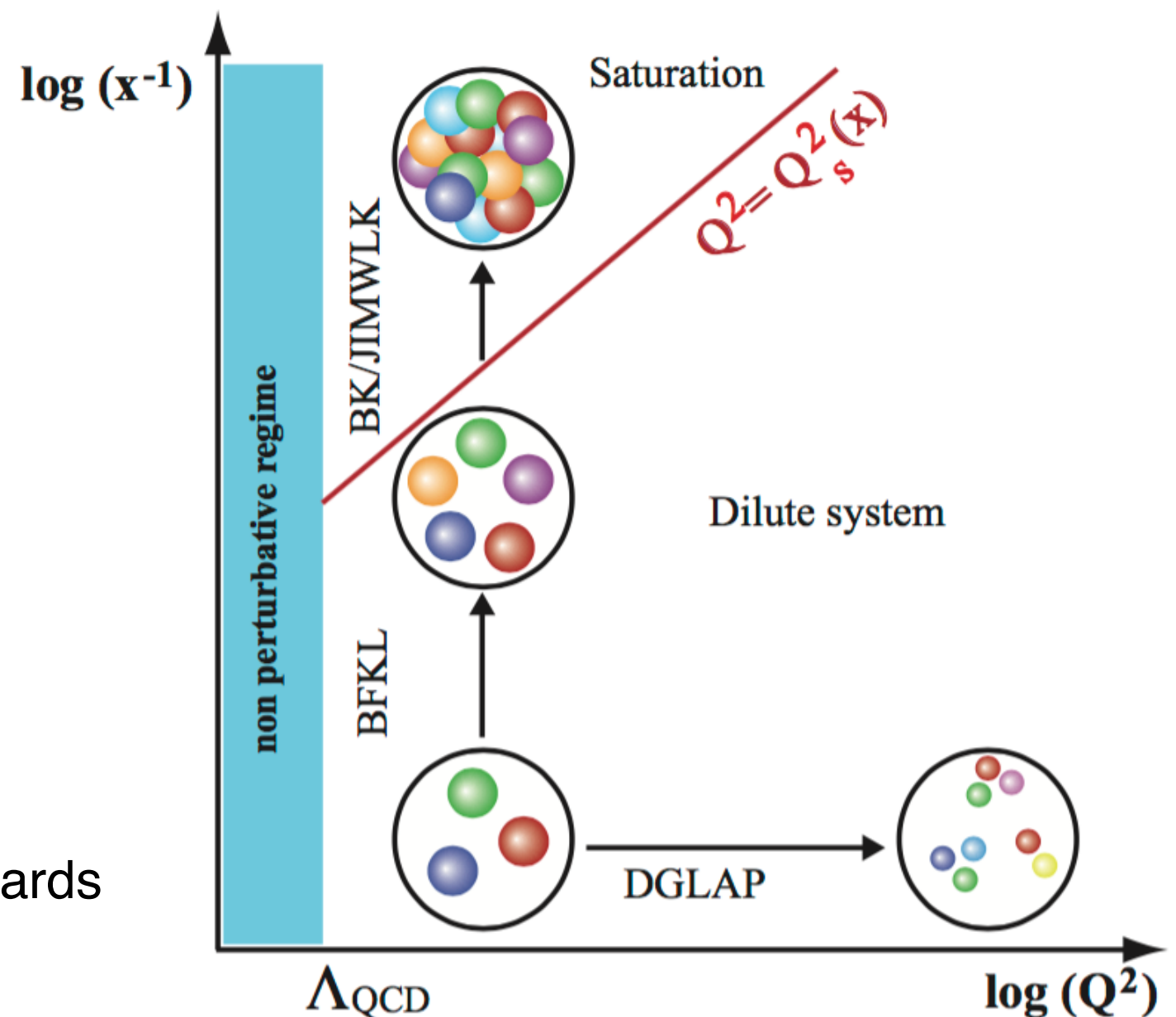
$$x \sim \frac{p_T}{\sqrt{s}} e^{-|y|}$$

low-x = forward rapidity

decreasing x ($Q^2 < Q_s^2$) \rightarrow evolution towards to **high density system**.

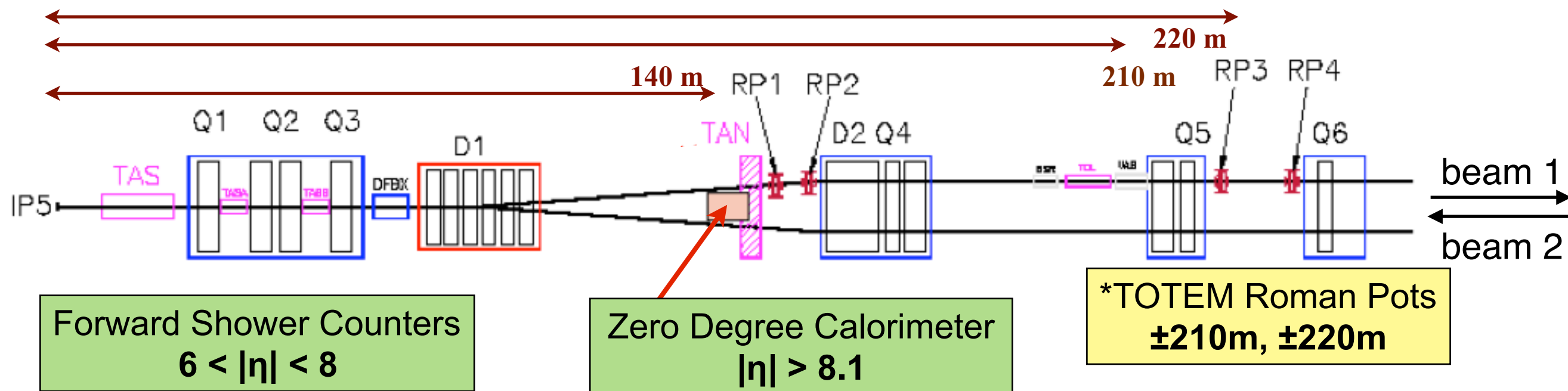
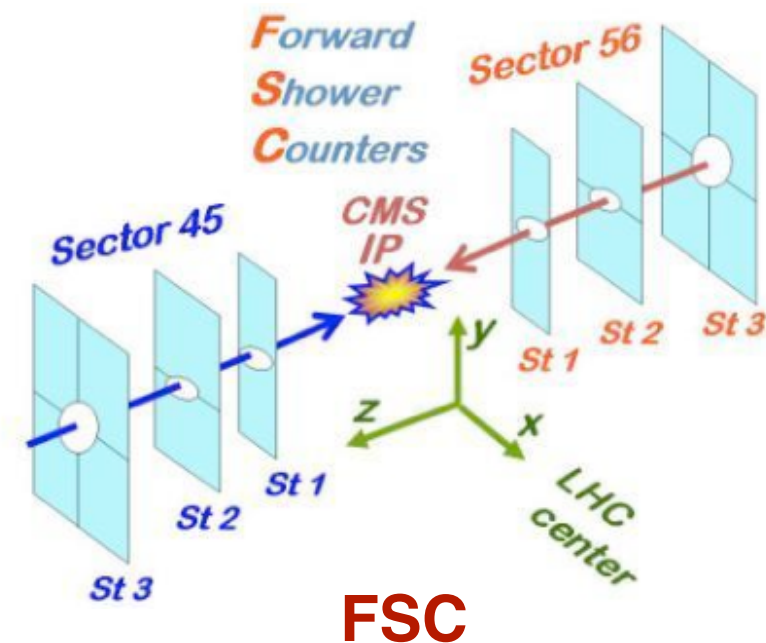
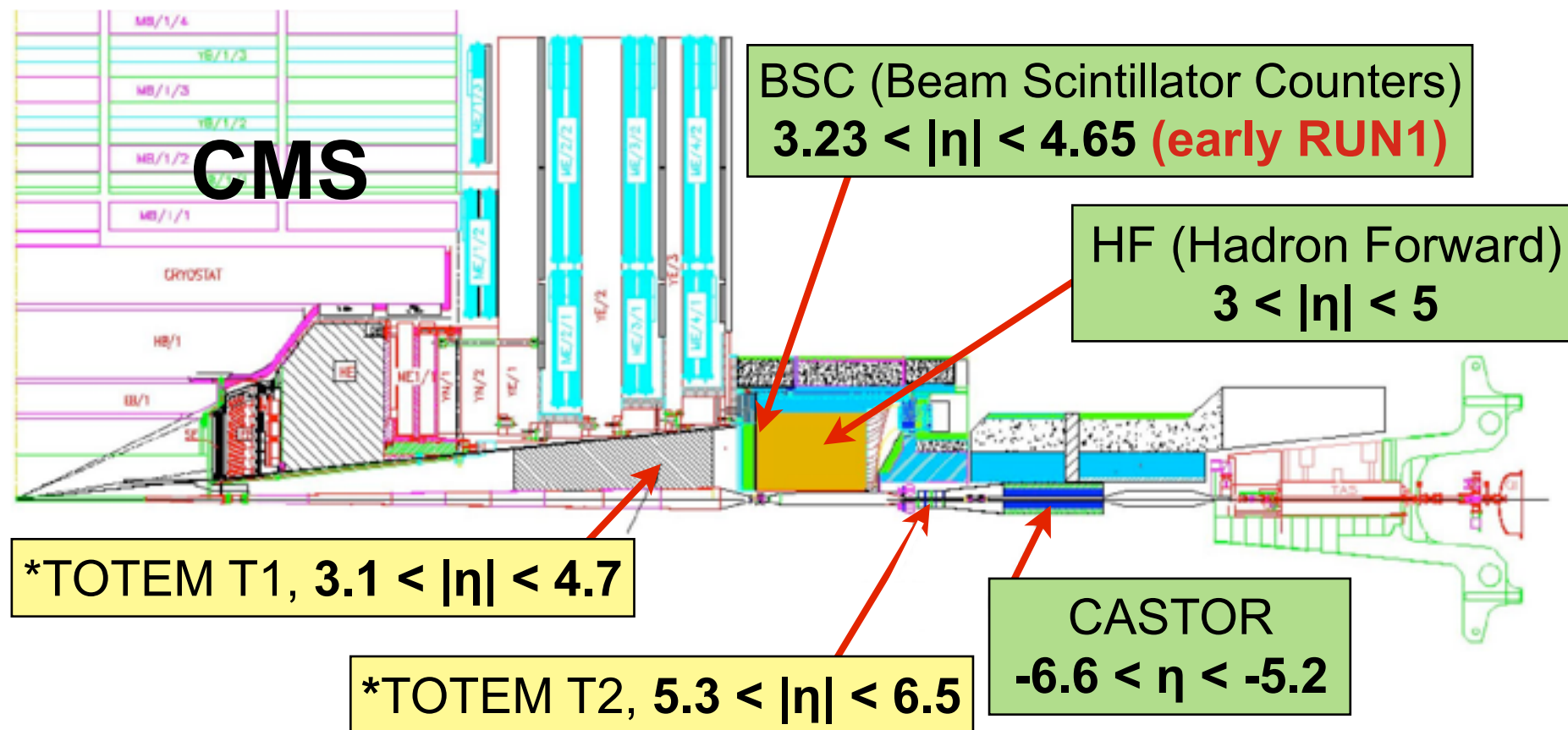
increasing Q^2 ($Q^2 > Q_s^2$): evolution towards the dilute system

saturation scale Q^2



QCD evolution of the structure functions?

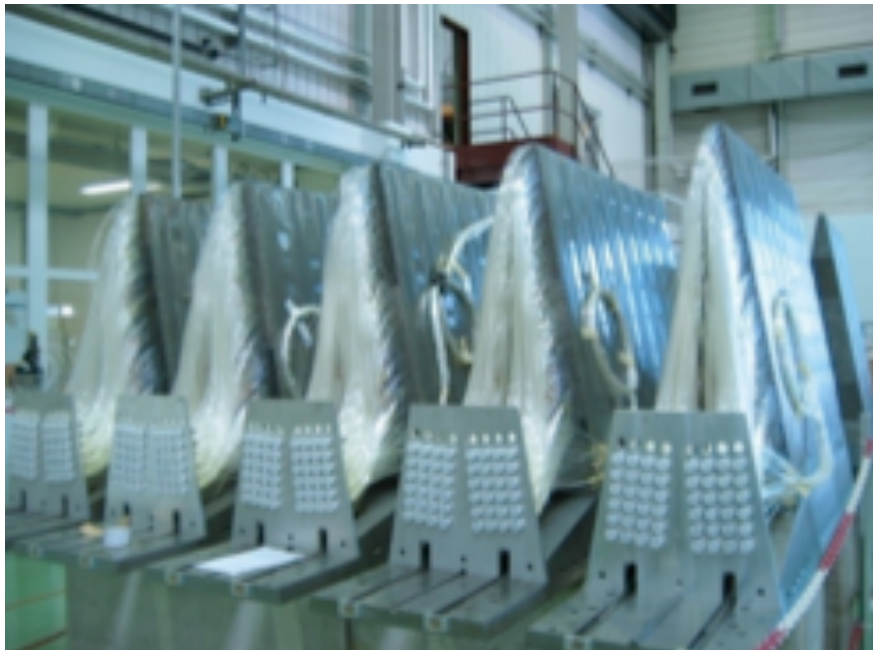
CMS Forward Detectors



*TOTEM is a separate experiment.

CMS Forward Calorimeter (HF)

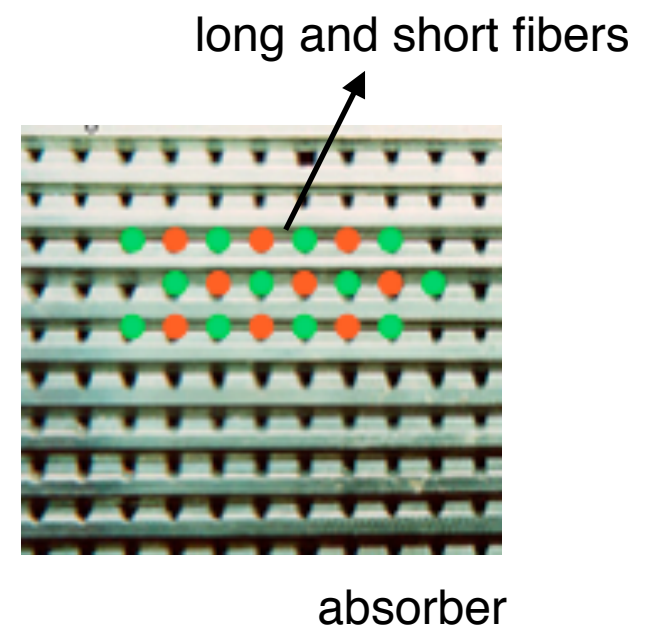
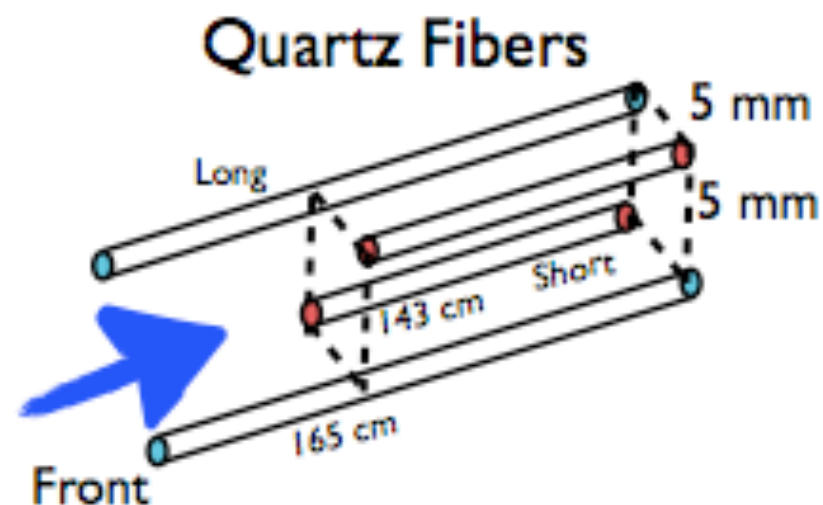
HF: Hadron Forward



20°

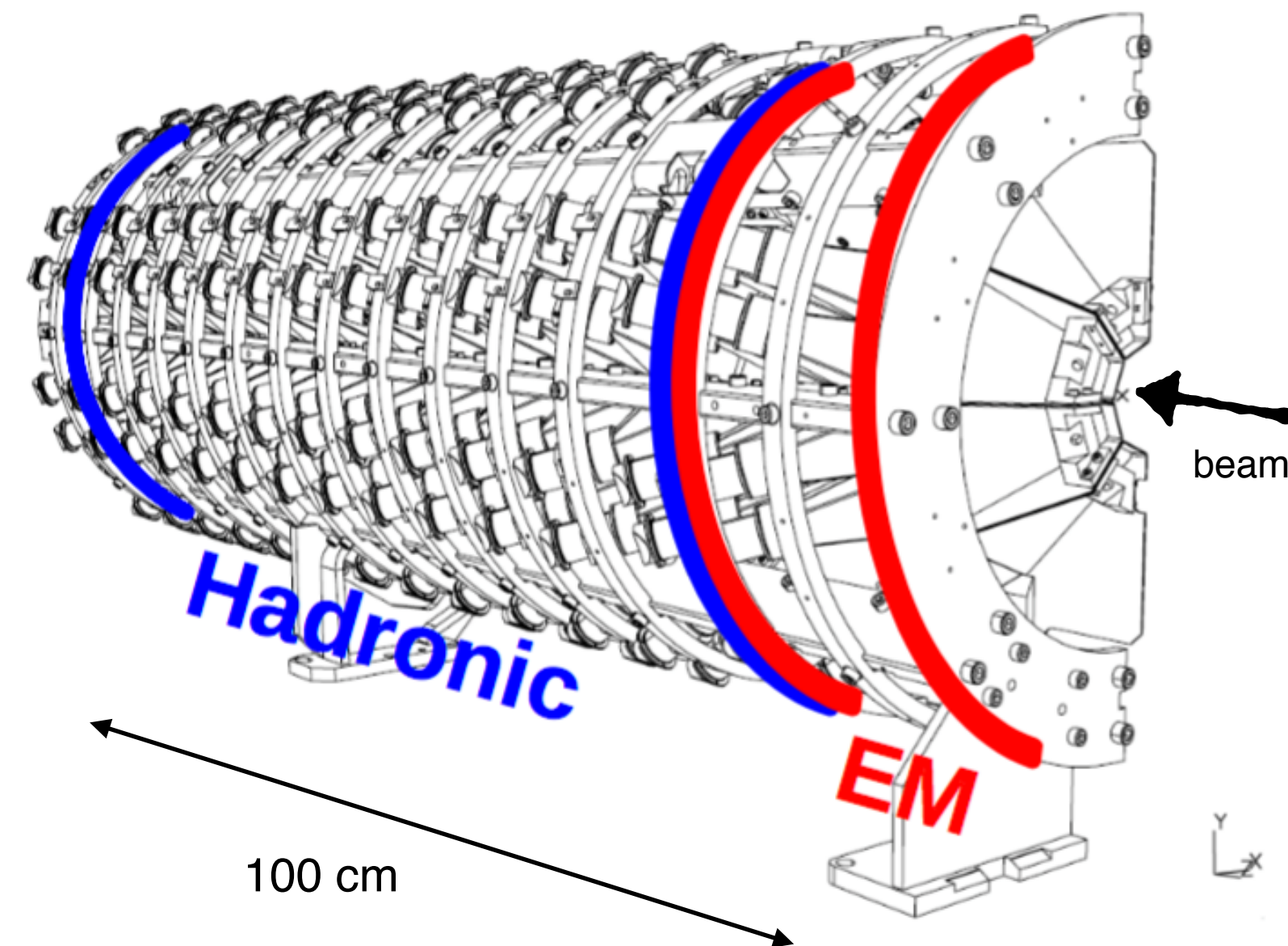


- 11.2 m away from IP5. At both sides of CMS: HF- and HF+
- 18 iron ϕ wedges of 20°.
- Long+short quartz fibres.
(Electromagnetic and Hadronic)
- Rapidity coverage: $3 < |\eta| < 5$
- Energy scale $\pm 10\%$



CMS Very Forward Calorimeter (CASTOR)

CASTOR: Centauro And STRange Objects Research



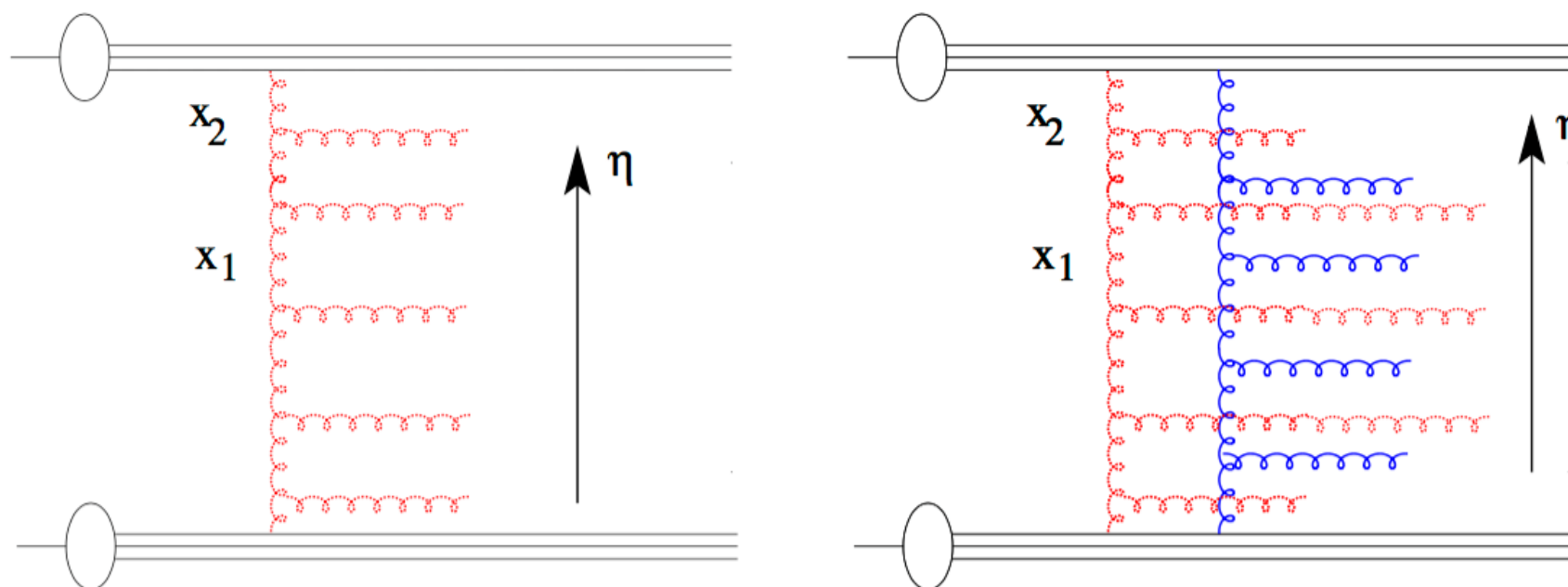
- 14 m away from IP5. Only at minus side.
- Tungsten-Quartz-Cherenkov sampling calorimeter
- No segmentation in η . $-6.6 < \eta < -5.2$.
- 16-fold segmentation in ϕ (0.39 rad/section)
- 14-fold segmentation in z .
- Energy scale $\pm 15\%$.

2 Electromagnetic modules
12 Hadronic modules

Energy and transverse energy density in the forward region

Motivation

- The model predictions differ a lot in the forward region.
- The energy dependence of multiple parton interactions is not well known.
- Study the parton radiation in the forward region.
- Another way to study underlying event. Tune the UE parameters in the forward region.



An earlier measurement from CMS at 0.9 and 7 TeV:
Measurement of energy flow at large pseudorapidities in pp collisions at $\sqrt{s} = 0.9$ and 7 TeV, [JHEP 11 \(2011\) 148](#).

Observables:

- $dE / d\eta$ (sum of particle energies in each η bin)
- $dE_T / d\eta'$ ($\eta' = \eta - y_{beam}$)

The energy flow is measured in $3.15 < |\eta| < 6.6$.

Event classes:

- Soft-inclusive events (single-arm)
- Non-single-diffractive-enhanced (NSD) events (double-arm)

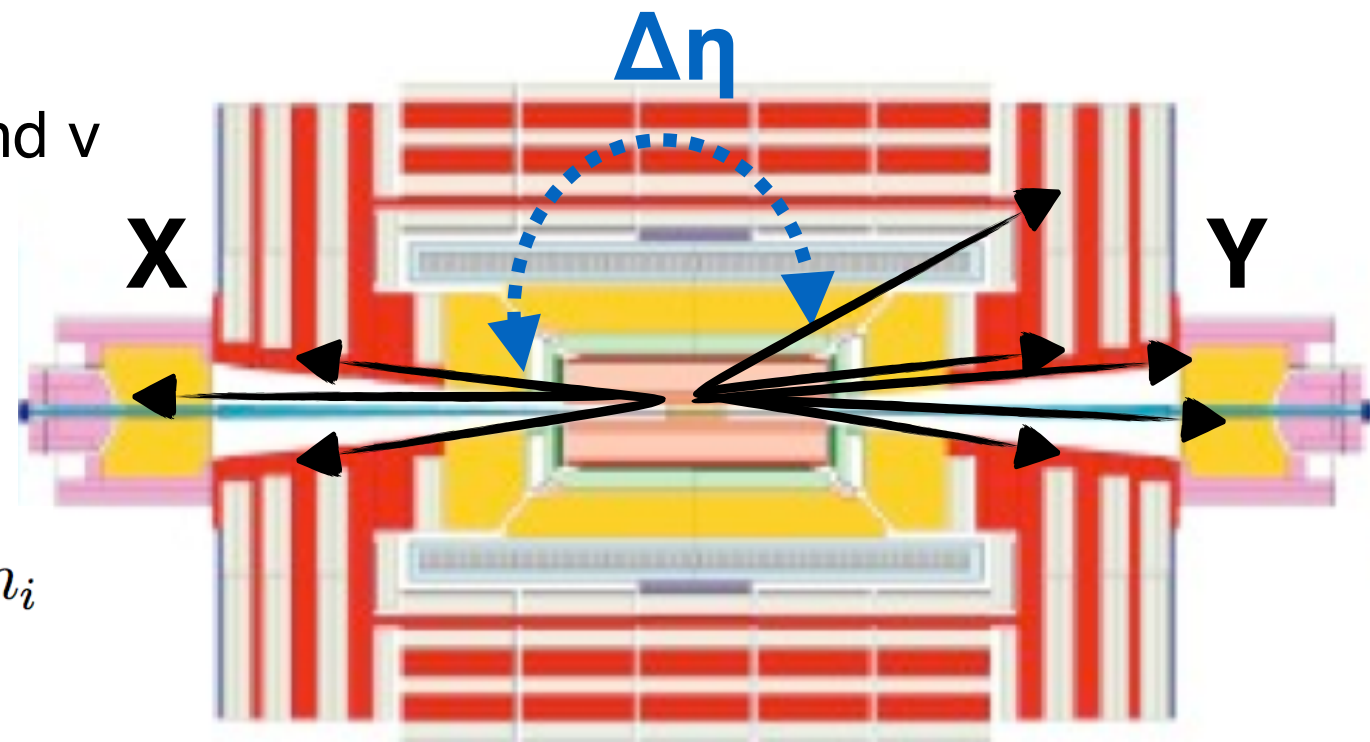
Correction to particle level:

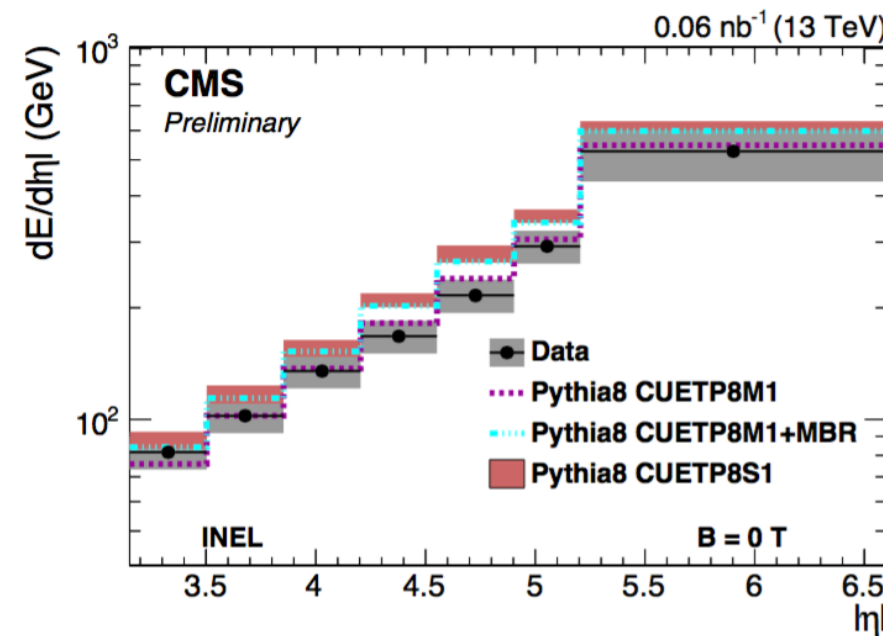
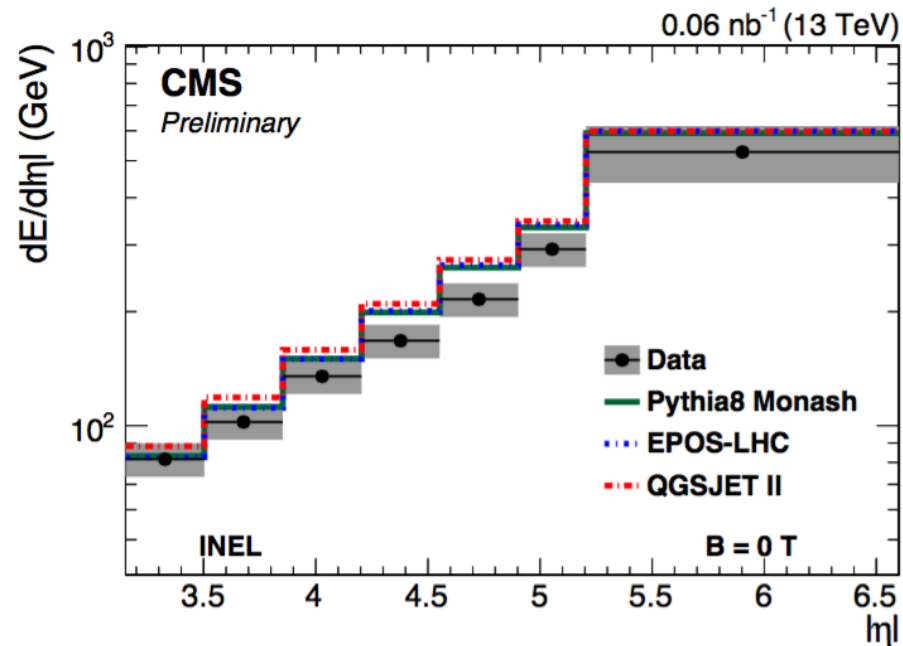
stable particles with $c\tau > 10$ mm, excl. μ and ν

$$\xi_X = \frac{M_X^2}{s}, \quad \xi_Y = \frac{M_Y^2}{s}$$

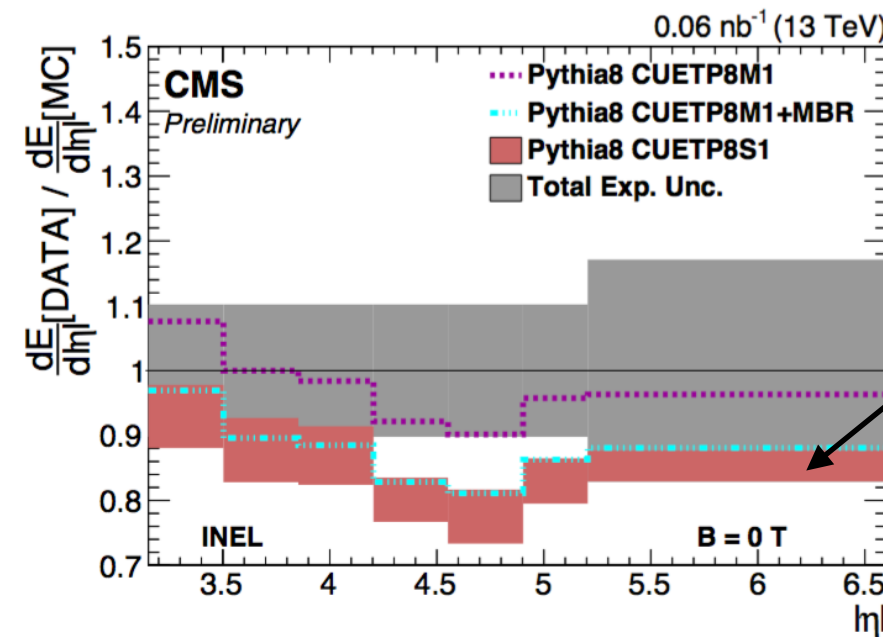
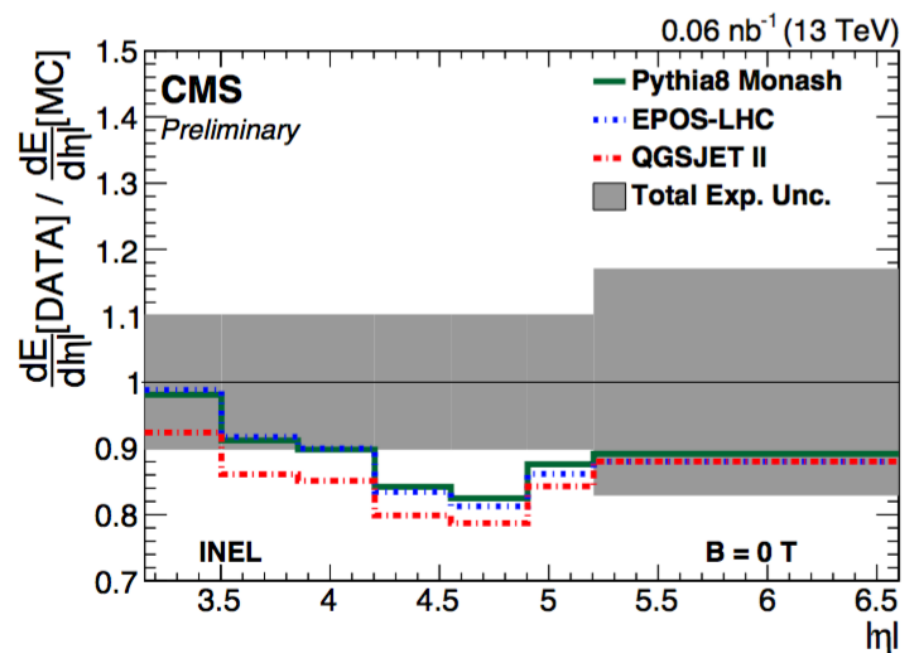
$$\xi_{SD} = \max(\xi_X, \xi_Y)$$

$$M_X = \sum_i m_i$$



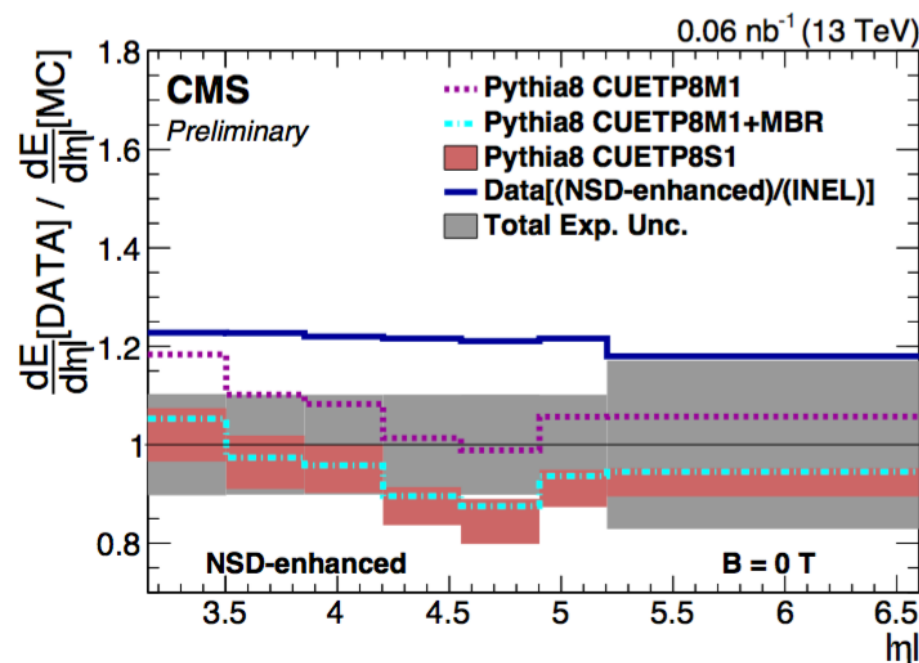
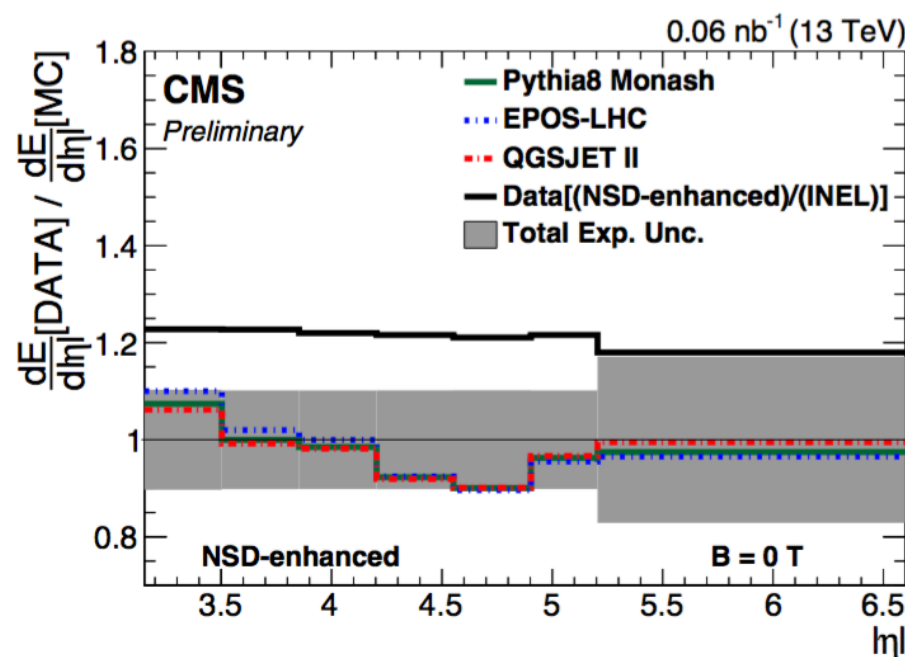
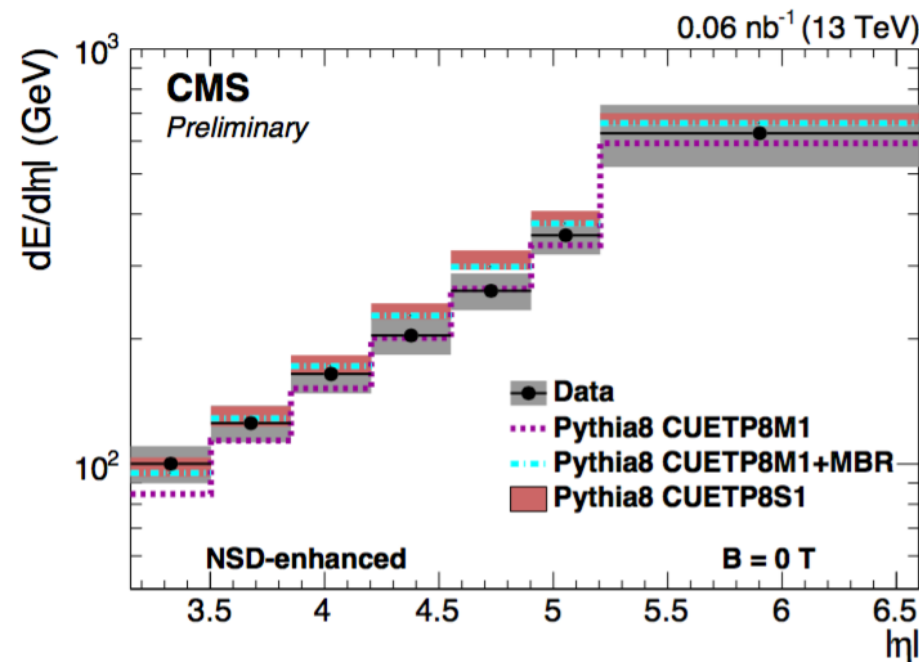
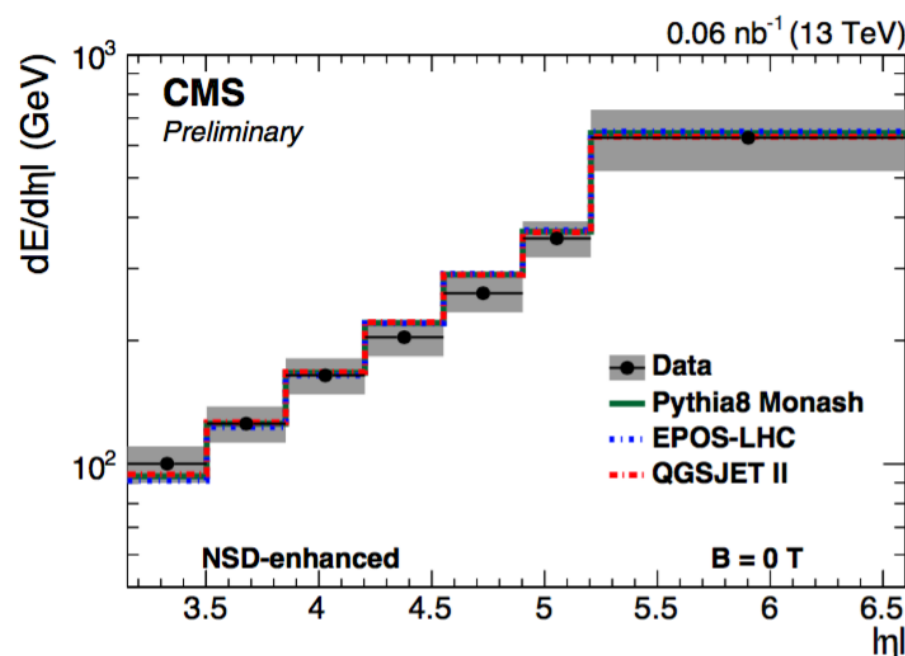


$$\xi_{SD} > 10^{-6}$$



red band: CUETP8S1 tune uncertainties

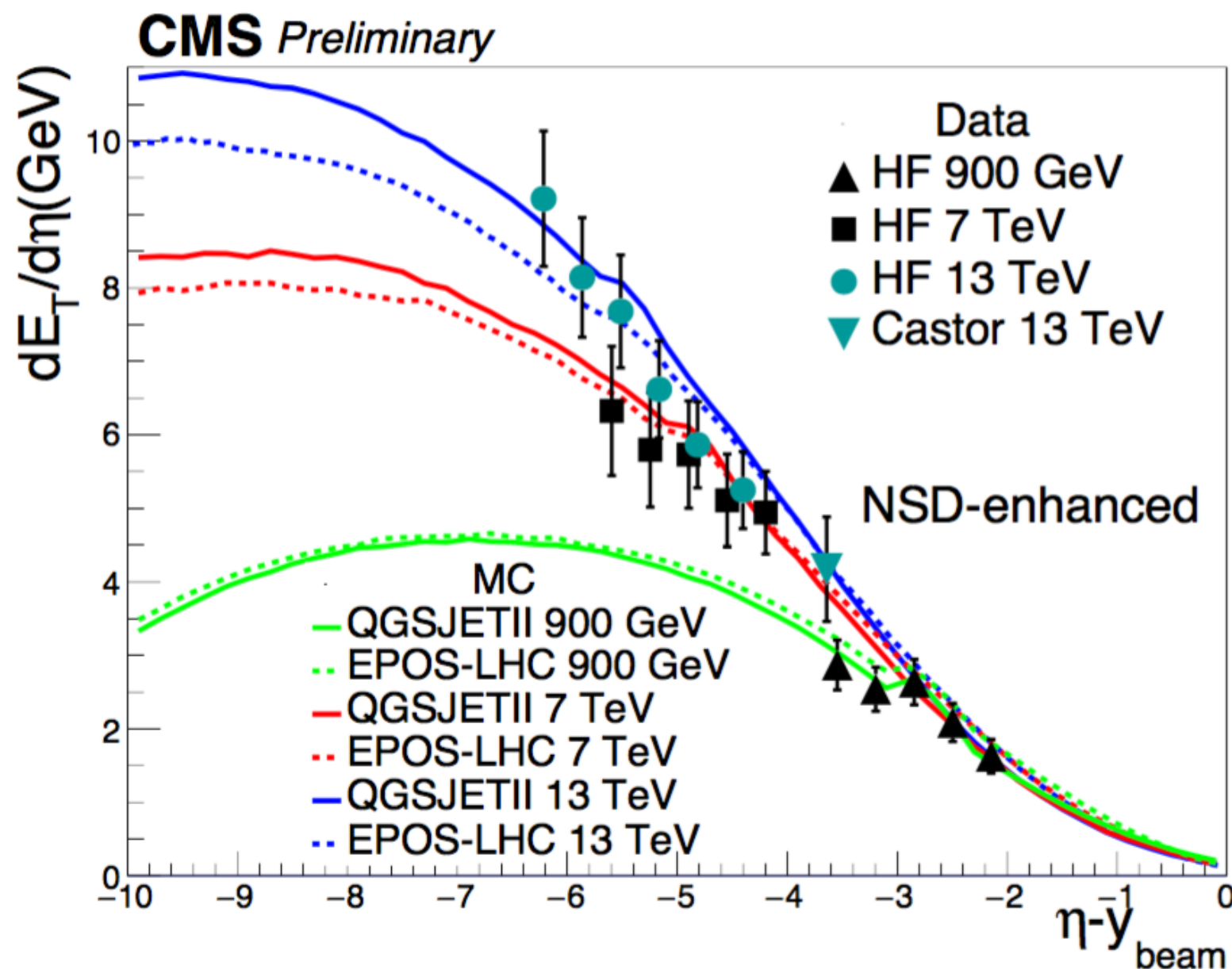
- Large spread of the model predictions in HF region, $3.15 < |\eta| < 5.2$.
- Better description of the data at low η and in the CASTOR region ($5.2 < |\eta| < 6.6$).
- PYTHIA8 CUETM1 has the best description of the data.



at least one particle
(either charged or
neutral) at each side of
HF acceptance
(used $3.15 < |\eta| < 5.2$)

- The spread of model predictions is smaller compared to INEL events.
- EPOS-LHC and QGSJetII describe the data in the whole $|\eta|$.
- PYTHIA8 CUETM1 has the best description except at low $|\eta|$.

Shifted pseudorapidity variable; $\eta' = \eta - y_{\text{beam}}$ (y_{beam} = beam rapidity)



at least two
charged particles in
 $3.9 < |\eta| < 4.4$

- compared to earlier CMS measurement, JHEP 11 (2011) 148.
- The results at different energies (from 900 GeV to 13 TeV) are consistent.

Very forward energy spectra

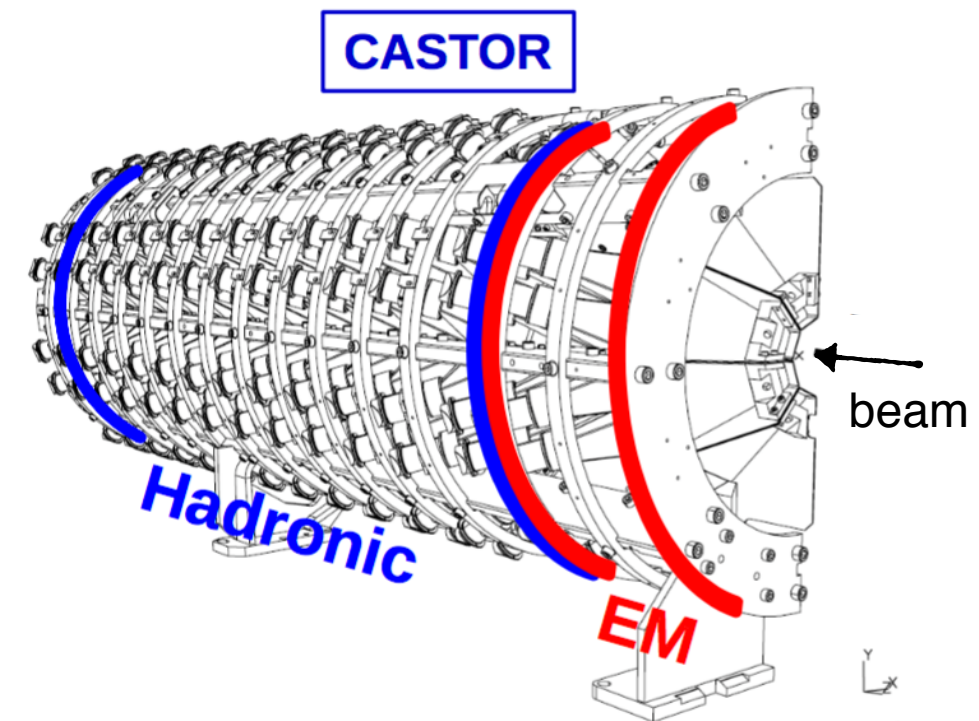
Measurement

Observable:

- dN / dE

Event classes:

- Soft-inclusive events, $\xi_{SD} > 10^{-6}$ (single-arm)



Total energy spectrum:

Detector level → energy from all modules

Stable-particle level → energy from all final state particles except μ and ν .

Electromagnetic energy spectrum:

energy from first 2 modules

energy from e^- and γ (incl. $\pi^0 \rightarrow \gamma\gamma$)

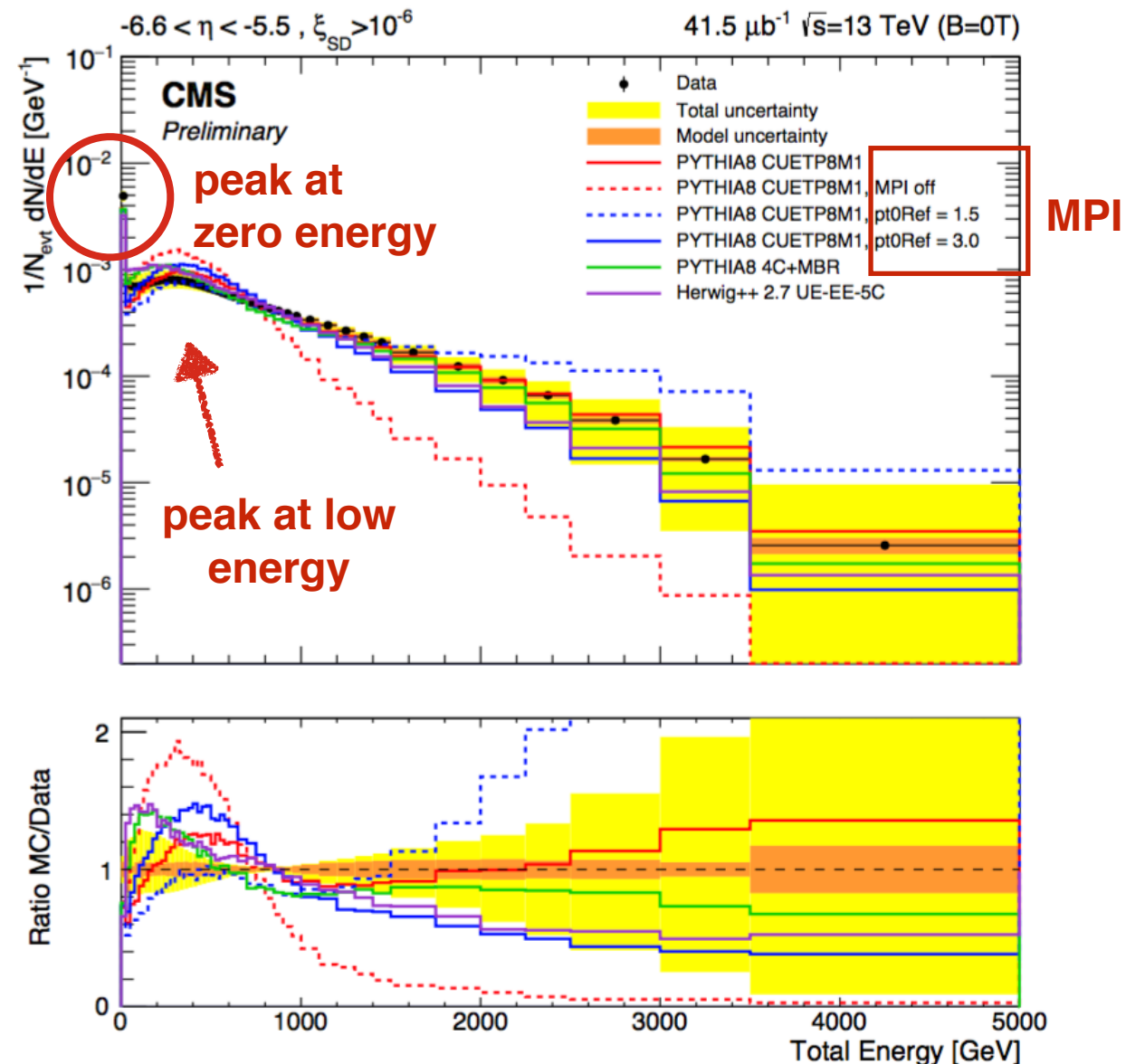
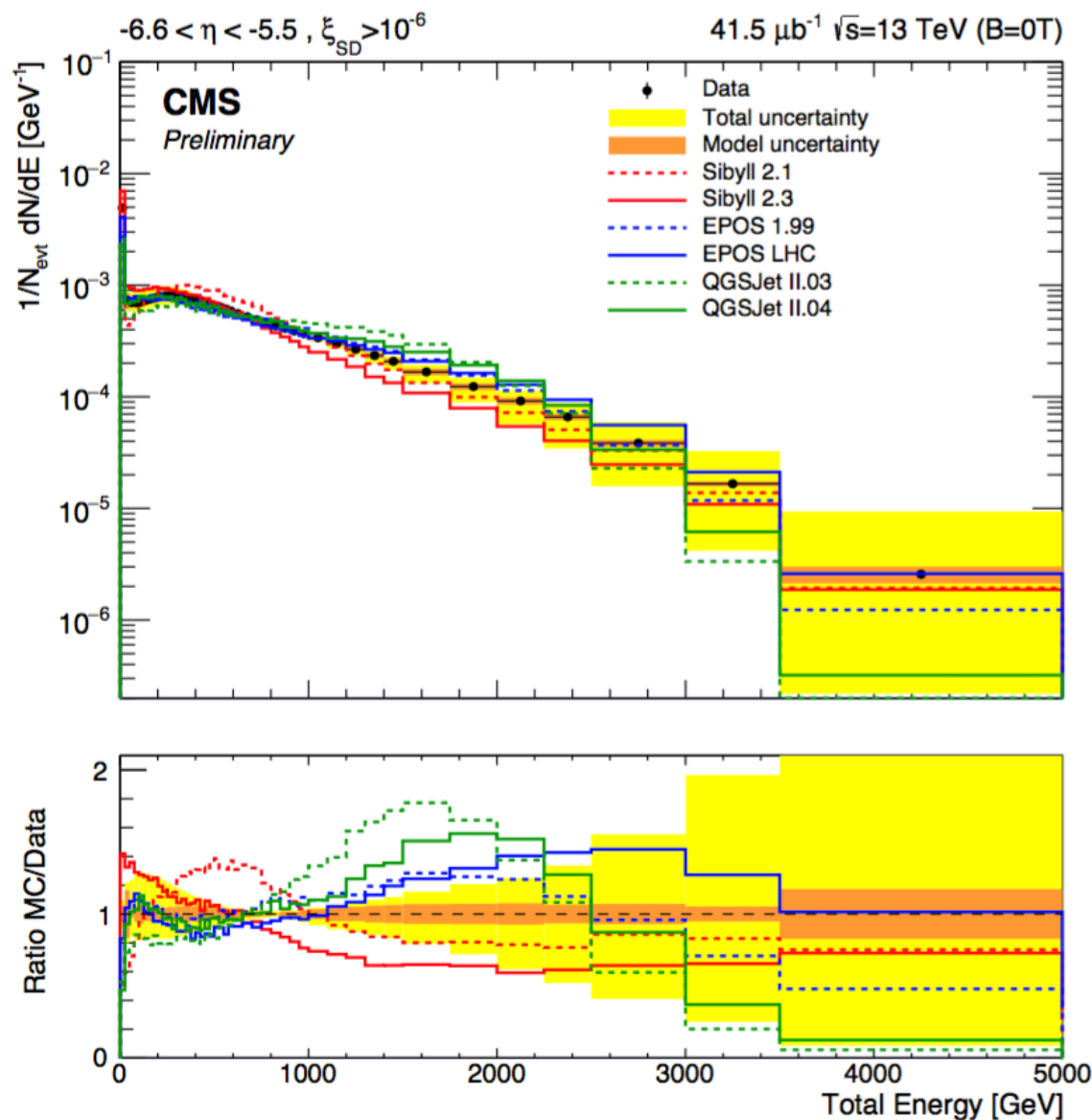
Hadronic energy spectrum:

energy from last 12 modules

energy from all final state particles except e^- , γ , μ , and ν .

Cosmic Ray models

PYTHIA and Herwig++ (Sensitivity to MPI and UE)

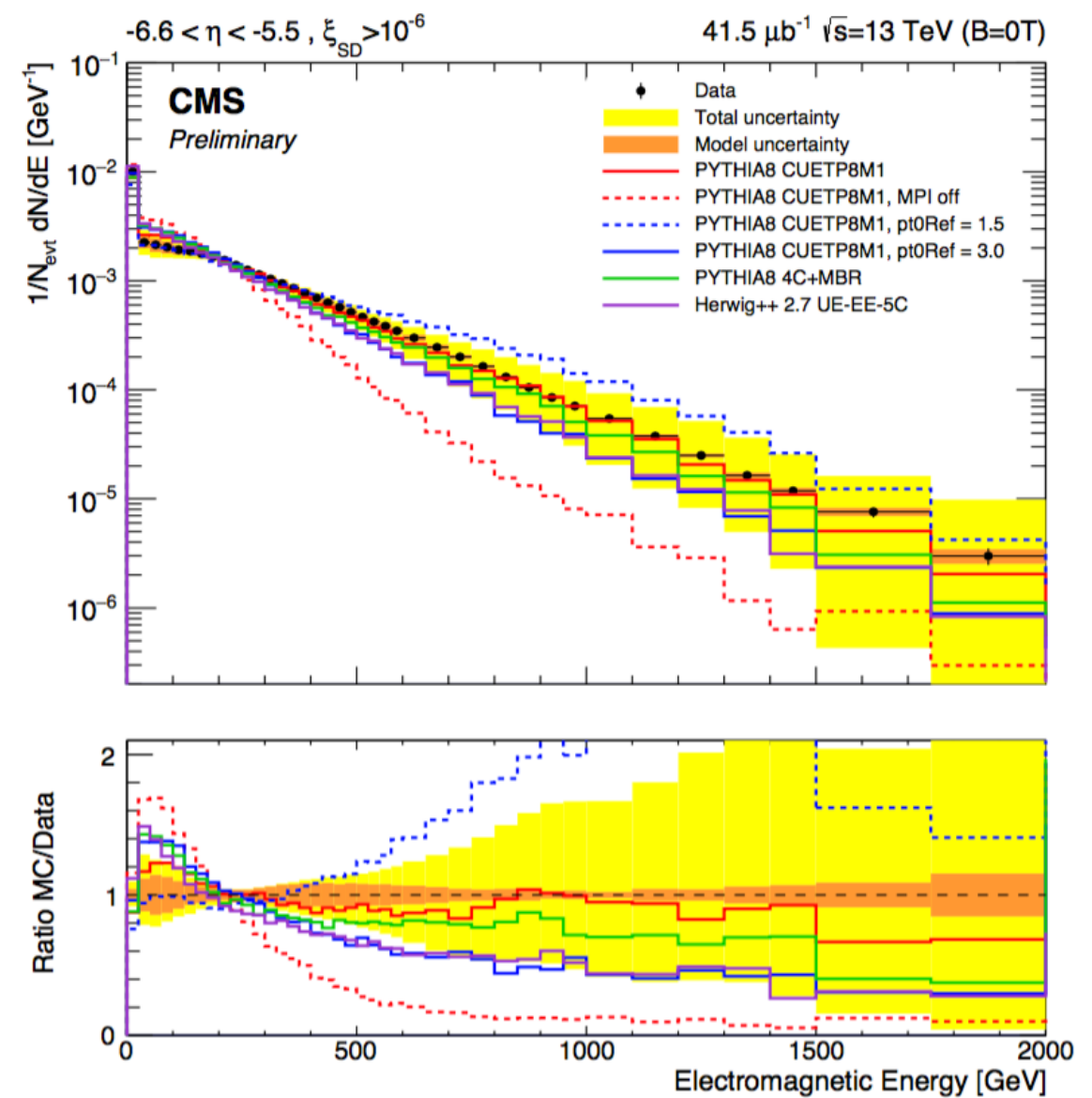
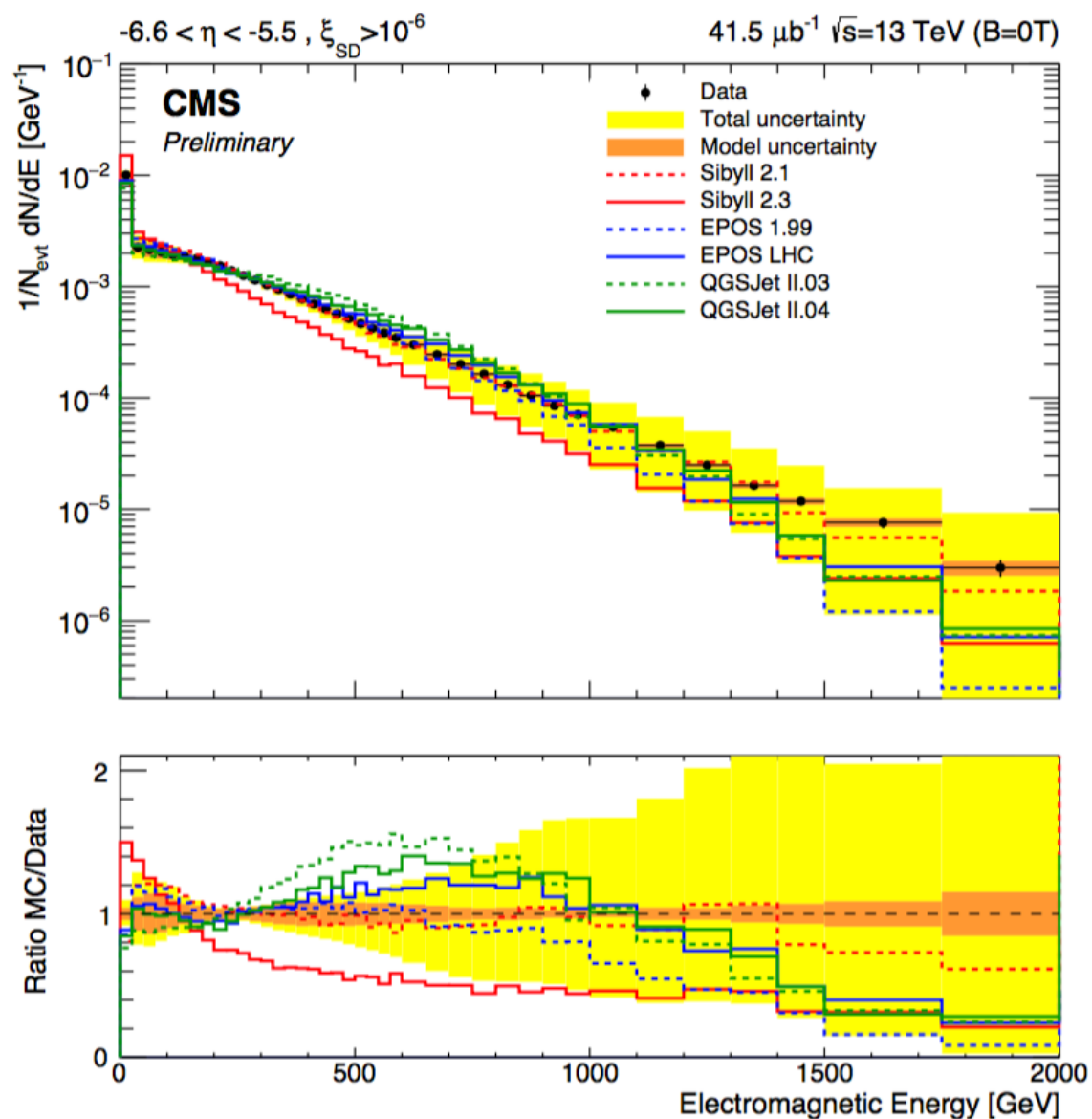


- A sharp peak at zero energy (residual contribution of diffractive events).
- Low energy peak (due to hadronic component) followed by a steep tail towards higher energies.
- None of the model describes the whole spectrum.
- The data is sensitive to MPI and UE.
- CASTOR energy scale is the dominant uncertainty (17%).

pT0Ref ~ MPI p_T cutoff scale.
= 2.4024 for CUETM1.

Cosmic Ray models

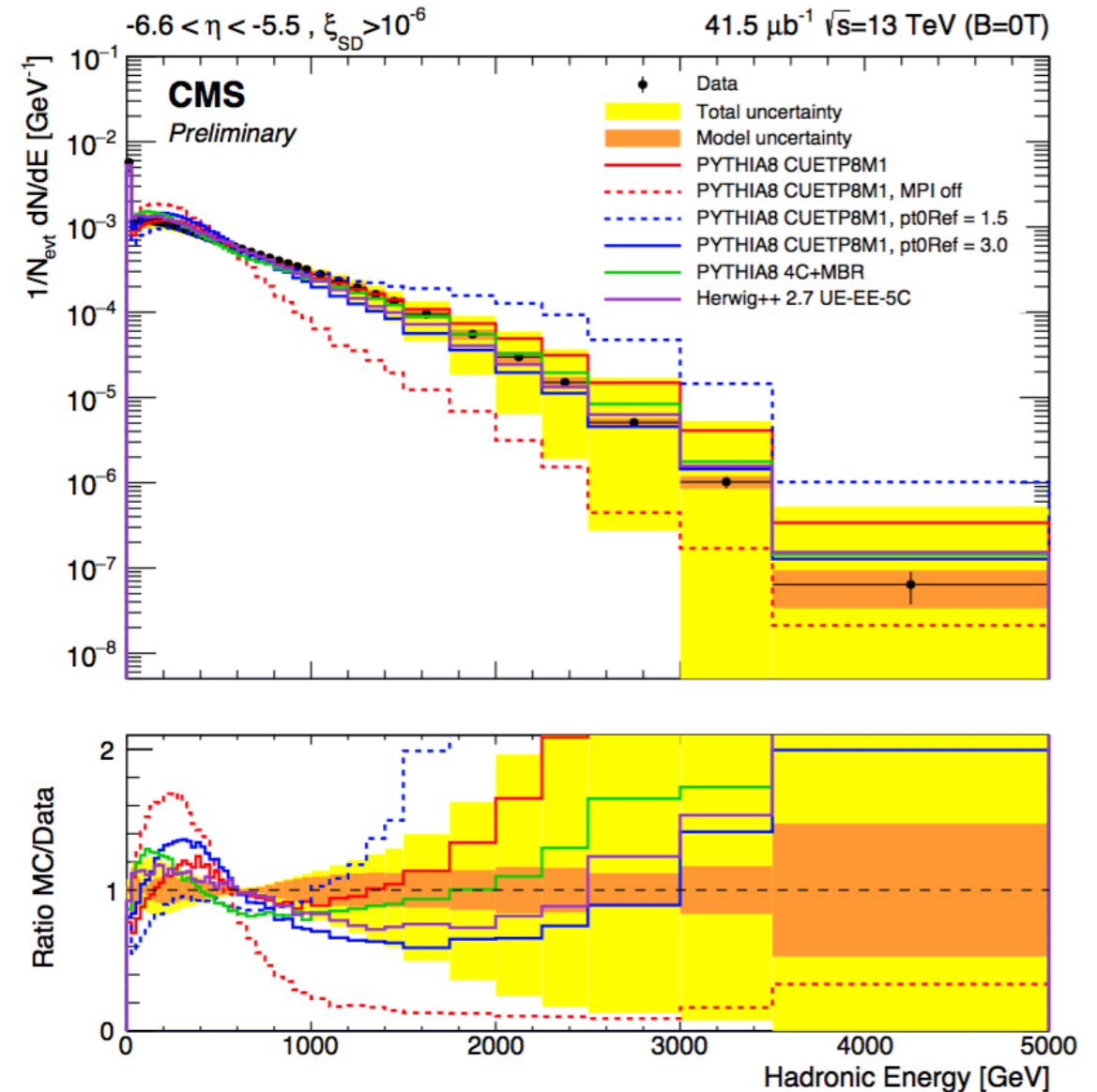
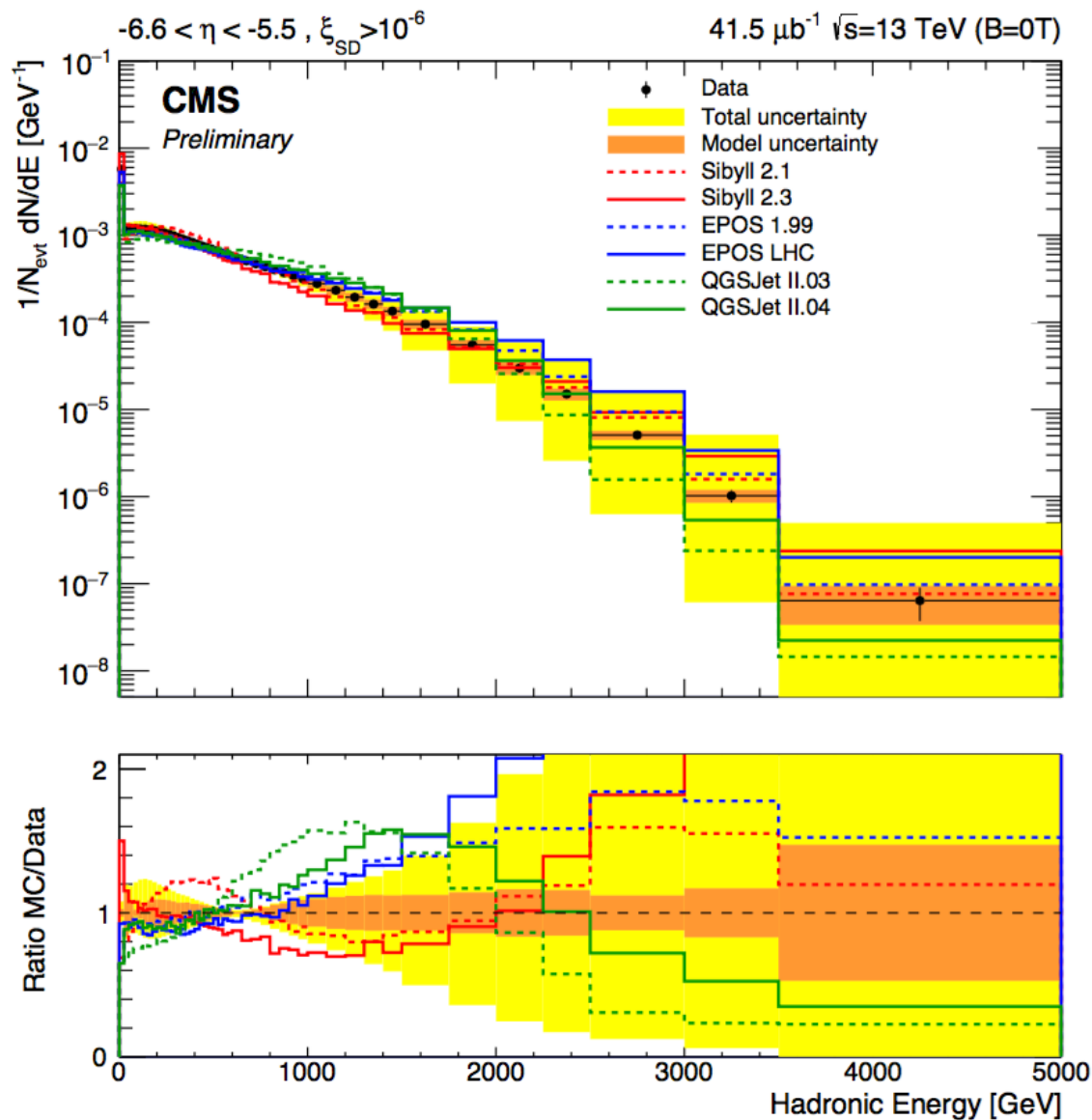
PYTHIA and Herwig++ (Sensitivity to MPI and UE)



- No bump at low energy.
- Better description of the data by all models.
- The slope in the soft part is not well described by SIBYLL 2.3 and MBR.
- EM spectrum is very sensitive to MPI.

Cosmic Ray models

PYTHIA and Herwig++ (Sensitivity to MPI and UE)



- Peak at low energy. Shows that it is due to hadronic component.
- Sensitivity to MPI in hadronic component is also clearly visible.
- Soft part is reasonably well described by CR models. EPOS-LHC and QGSJetII differ a lot in high energy tail.
- CUETM1 overestimates the data in both low- and high-energy parts. The description is better with MBR and Herwig++.

Very forward inclusive jet cross section

Forward jets

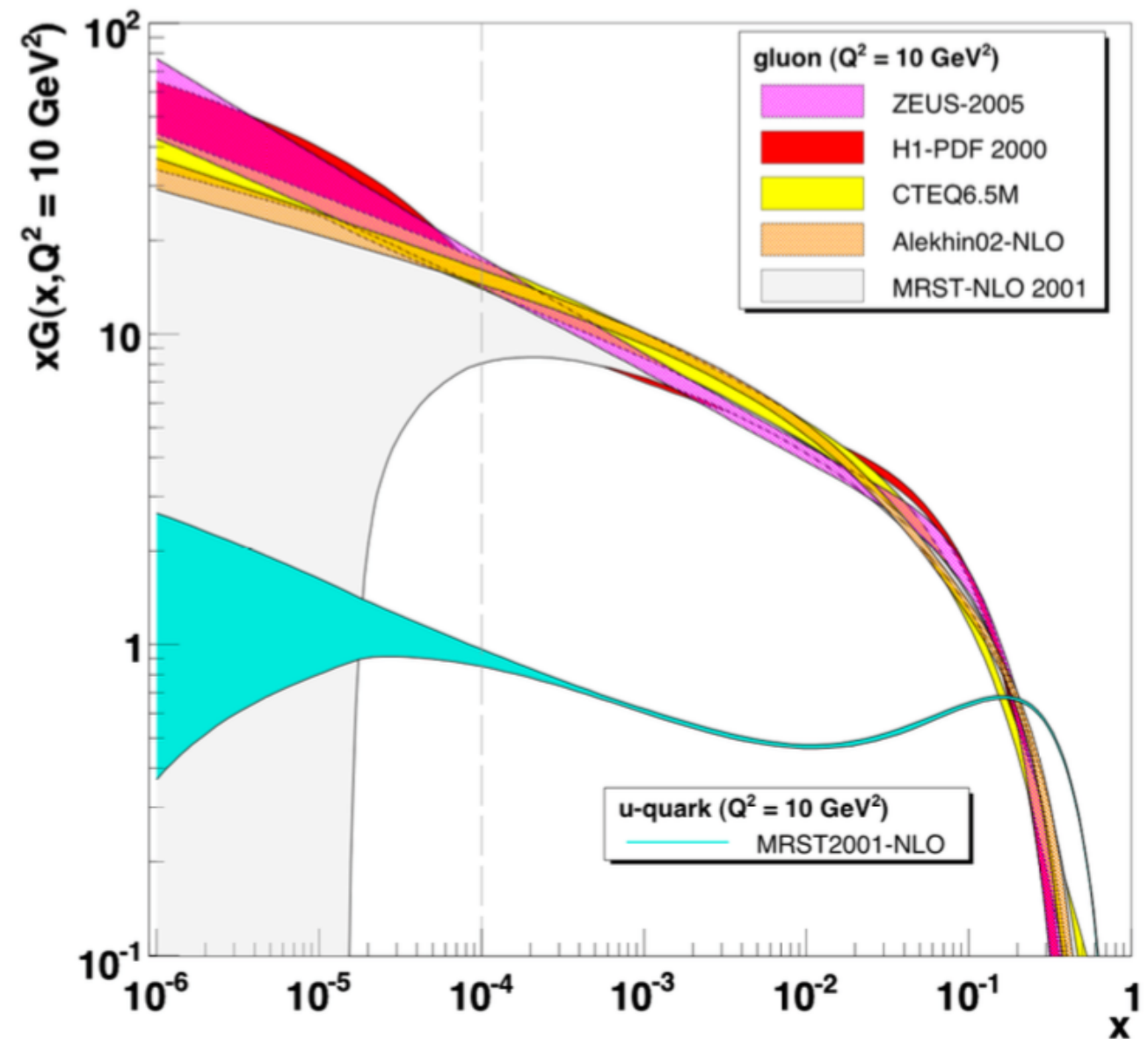
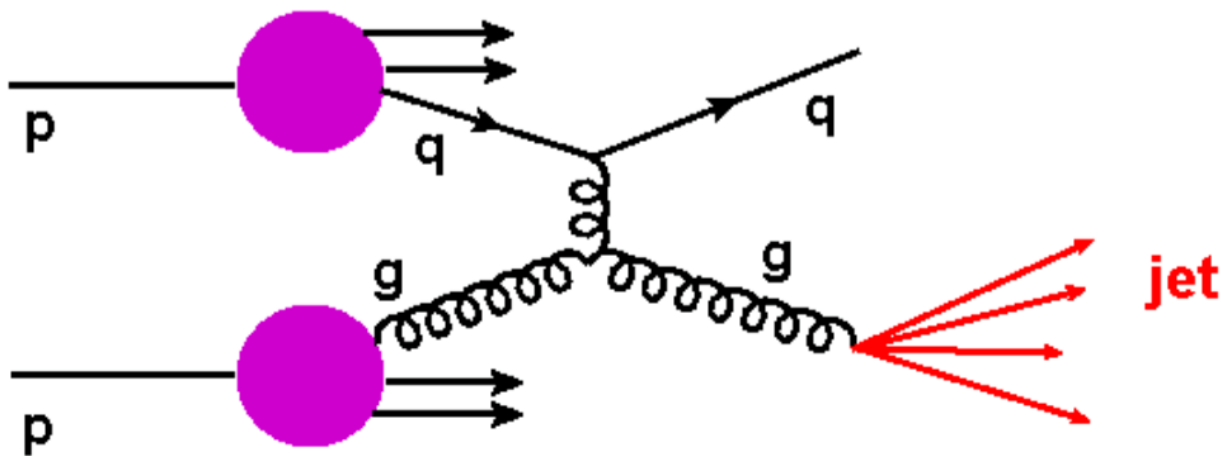
Low- x gluon density in proton is poorly known.

$$x \sim \frac{p_T}{\sqrt{s}} e^{-|y|}$$

high $\sqrt{s} \rightarrow$ low- x

jet production in CASTOR $\rightarrow x \sim 10^{-6}$

Forward jet cross sections constrain low- x gluon PDFs.



$$d\sigma(pp \rightarrow \text{jet}) = \text{PDF}(x_1, Q^2) \otimes \text{PDF}(x_2, Q^2) \otimes d\sigma(qg \rightarrow \text{jet})$$

$E > 150 \text{ GeV}$ or $p_T > 3 \text{ GeV}$ in $-6.6 < \eta < -5.2$

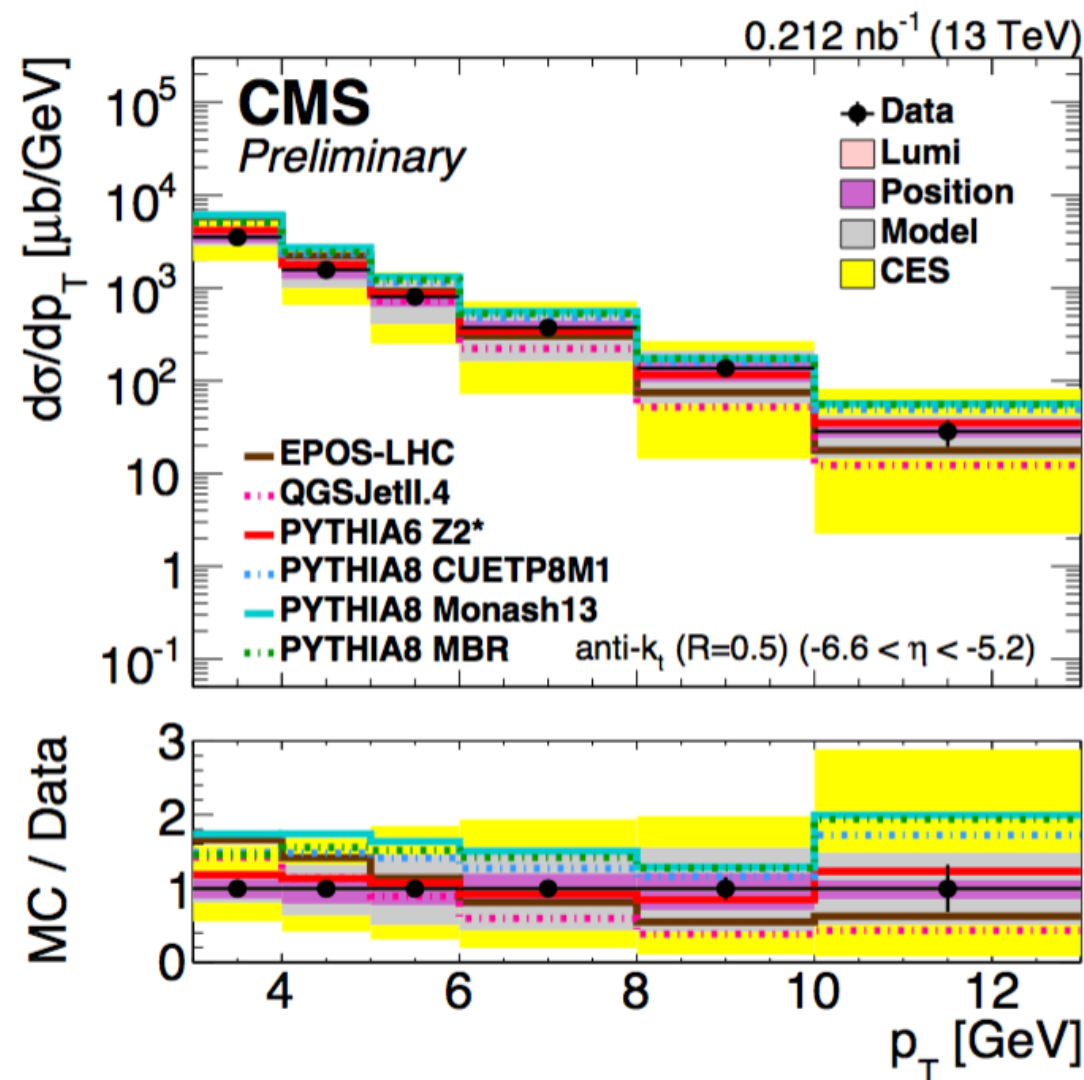
$d\sigma / dp_T$ at 13 TeV:

$p_{T\text{det}} \rightarrow p_{T\text{hadron}}$: Lorentz invariant but suffers from η

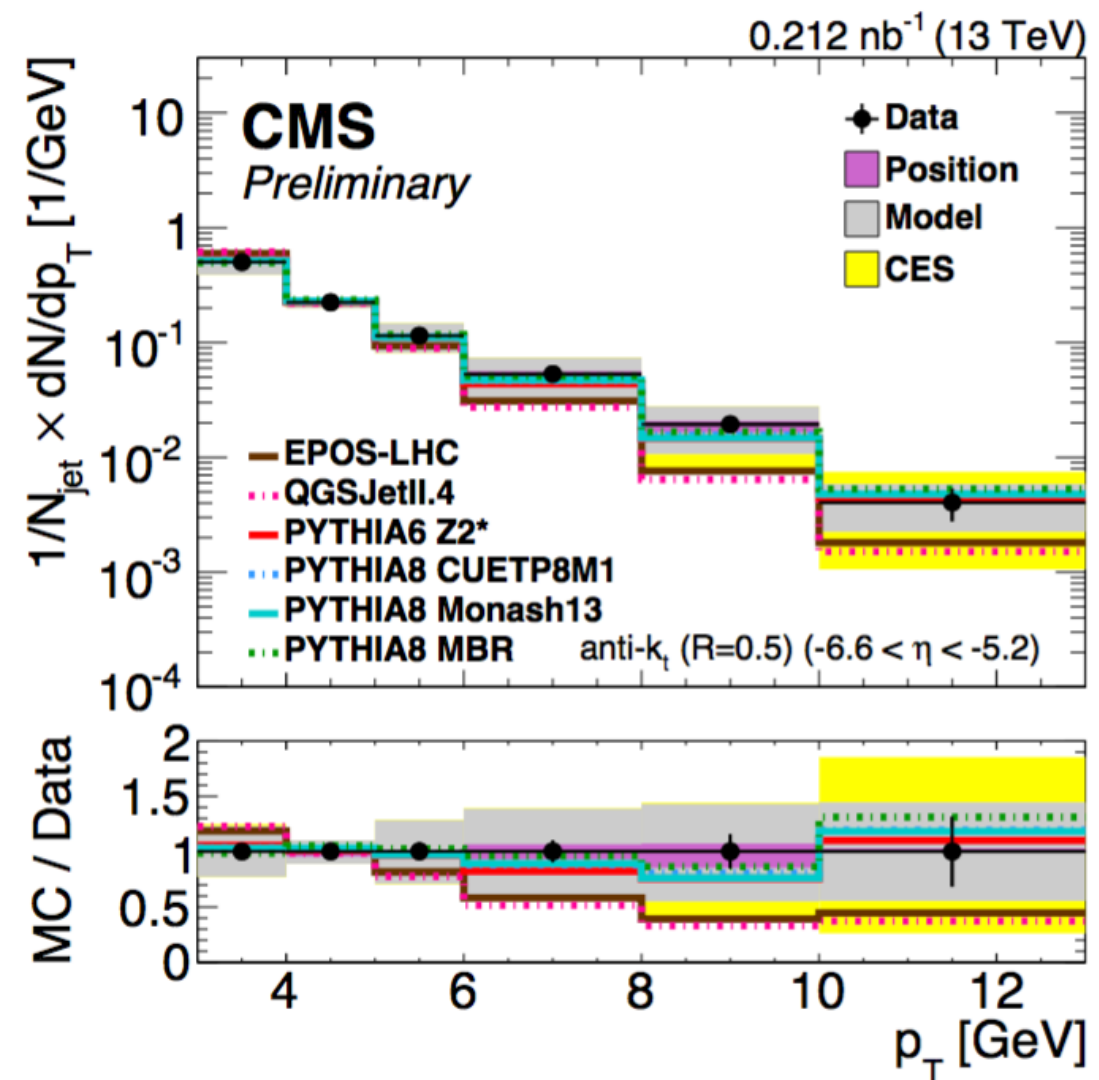
$$\eta = -5.9$$

E_{jet} is converted to p_T by $\cosh(5.9)$

jet pT spectrum:
normalized by \mathcal{L}

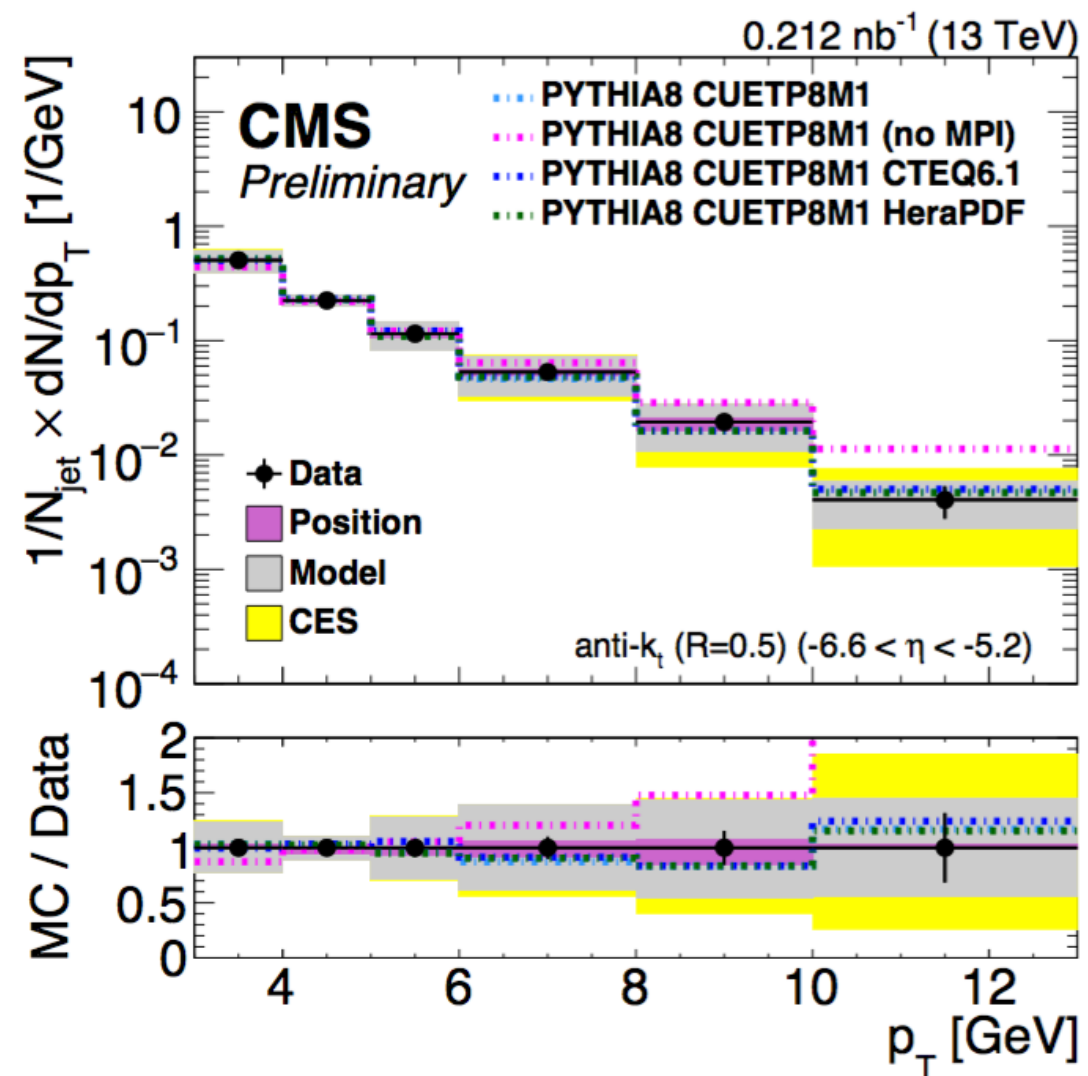
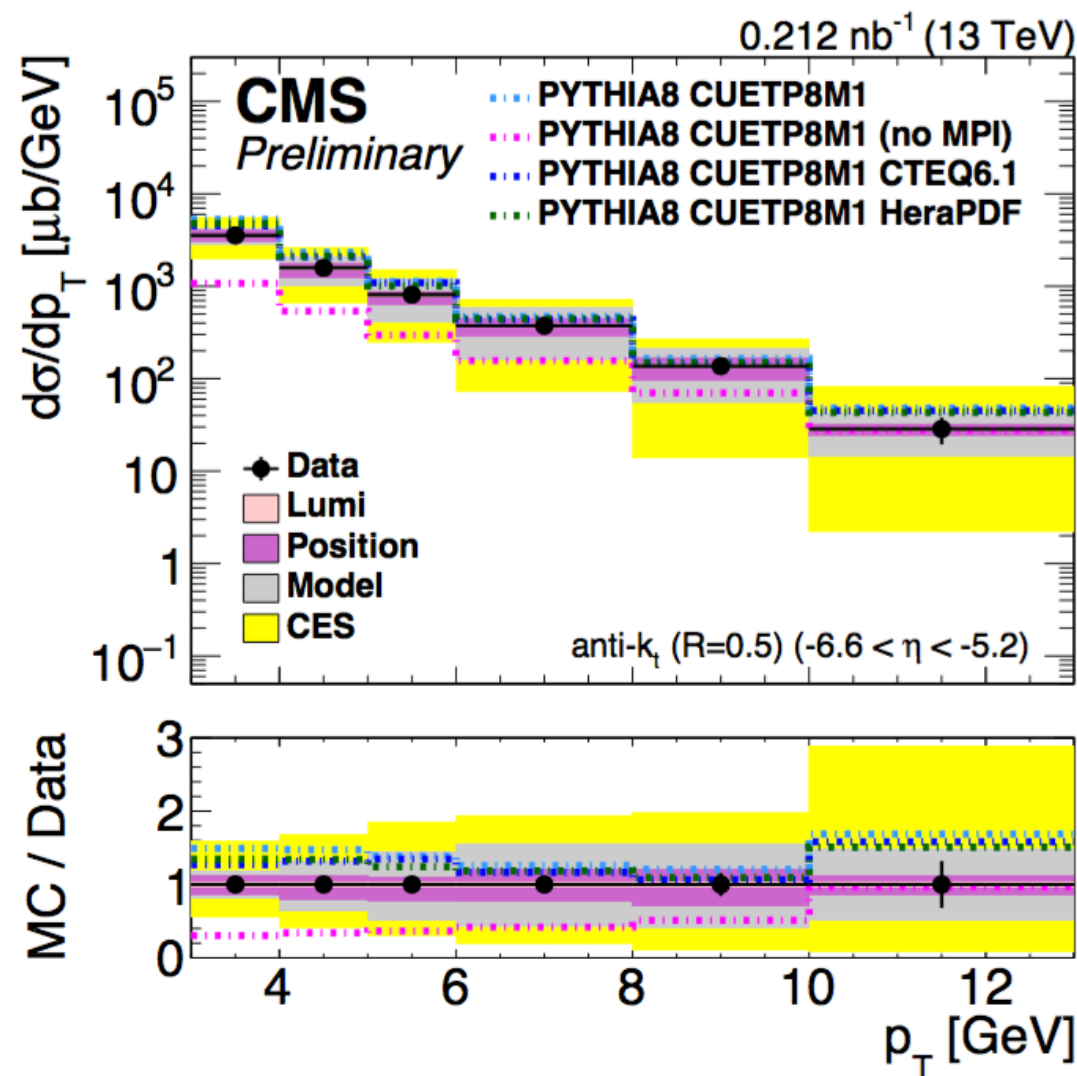


jet yield:
normalized by number of visible jets



- Large uncertainty in particular due to CES. All models agree with the data within the large uncertainty.
- All PYTHIA flavors slightly overestimate the data while EPOS-LHC and QGSJet have a different trend. They tend to decrease with increasing p_T.

Sensitivity to PDF and MPI?



- A moderate sensitivity to PDF set of the model.
- Very sensitive to MPI.
- Smaller experimental uncertainty would make it possible to have further conclusion on PDF sets.

Azimuthal angle decorrelations of jets widely separated in rapidity

Mueller Navelet Jets

Mueller-Navelet (MN) jets:

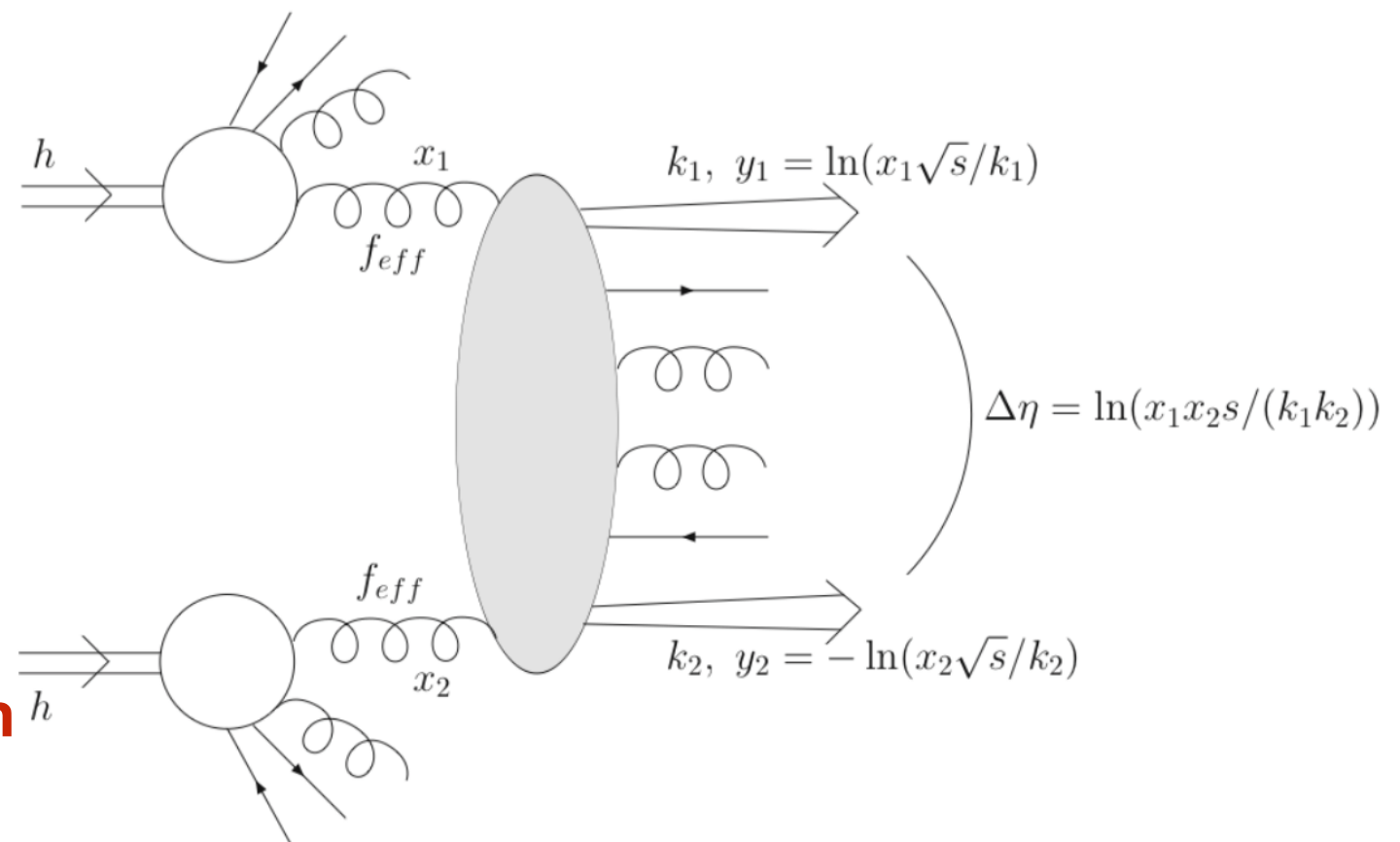
the most forward and the most backward jets in rapidity.

DGLAP (LL): parton emission does not depend on the rapidity separation of MN jets.

BFKL: extra radiation between the 2 jets will smear out back-to-back topology.

Increased azimuthal decorrelation with increasing Δy (w.r.t. DGLAP collinear-factorization)

A decisive test of BFKL dynamics at hadron colliders.



MN jets cross section as a Fourier series:

decorrelation angle

$$\frac{1}{\sigma} \frac{d\sigma}{d(\Delta\phi)}(\Delta y, p_{T\min}) = \frac{1}{2\pi} \left[1 + 2 \sum_{n=1}^{\infty} C_n(\Delta y, p_{T\min}) \cos(n(\pi - \Delta\phi)) \right]$$

CMS measurement

At least two jets; $p_T > 35$ GeV, $|\eta| < 4.7$.
Anti-kT, $R=0.5$, Δy up to 9.4.

Observables:

- $\Delta\Phi$ as a function of Δy
- The average cosines; $C_N = \langle \cos(N(\pi - \Delta\phi)) \rangle$;
 $N = 1, 2, 3$
- Ratios of the average cosines: C_2/C_1 and C_3/C_2

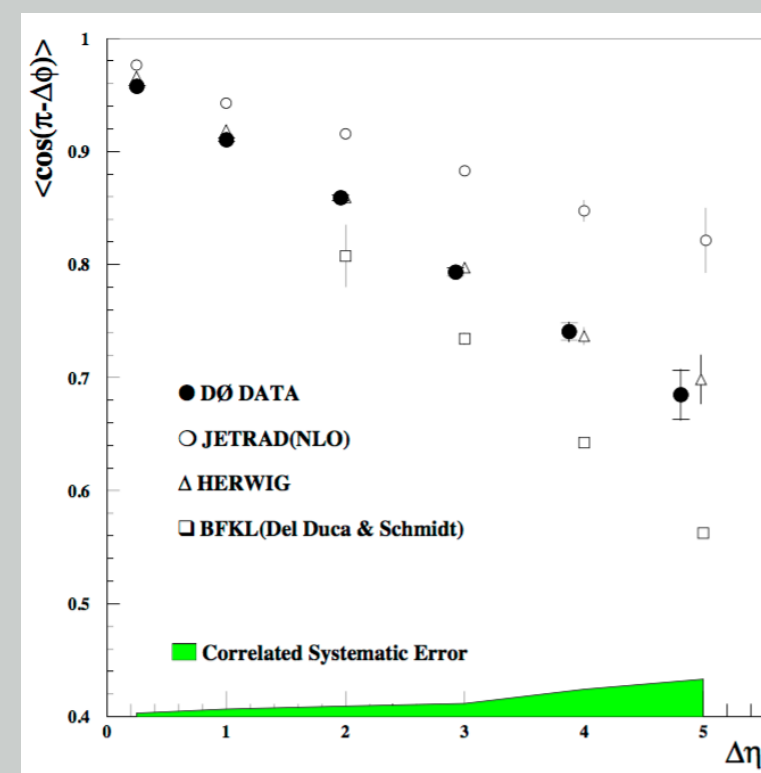
Three bins of rapidity separation between jets

- $\Delta y < 3.0$
- $3.0 < \Delta y < 6.0$
- **$6.0 < \Delta y < 9.4$ (never measured before)**

D0 measurement

$\sqrt{s} = 1.8$ TeV, $\Delta\eta < 6$, $E_T > 50$ GeV

No significant indication of BFKL



HERWIG (LL) describes the data well
BFKL (LL) too much decorrelation
NLO QCD too little decorrelation

[Phys. Rev. Lett. 77, 595-600 \(1996\)](#)

DGLAP based MCs with LL soft and collinear radiation in parton shower modeling: (MPI is tuned to LHC data)

PYTHIA6 Z2, PYTHIA8 4C, HERWIG++

DGLAP with three-level matrix elements + LL parton showers

SHERPA

NLO terms:

POWHEG, interfaced with PYTHIA

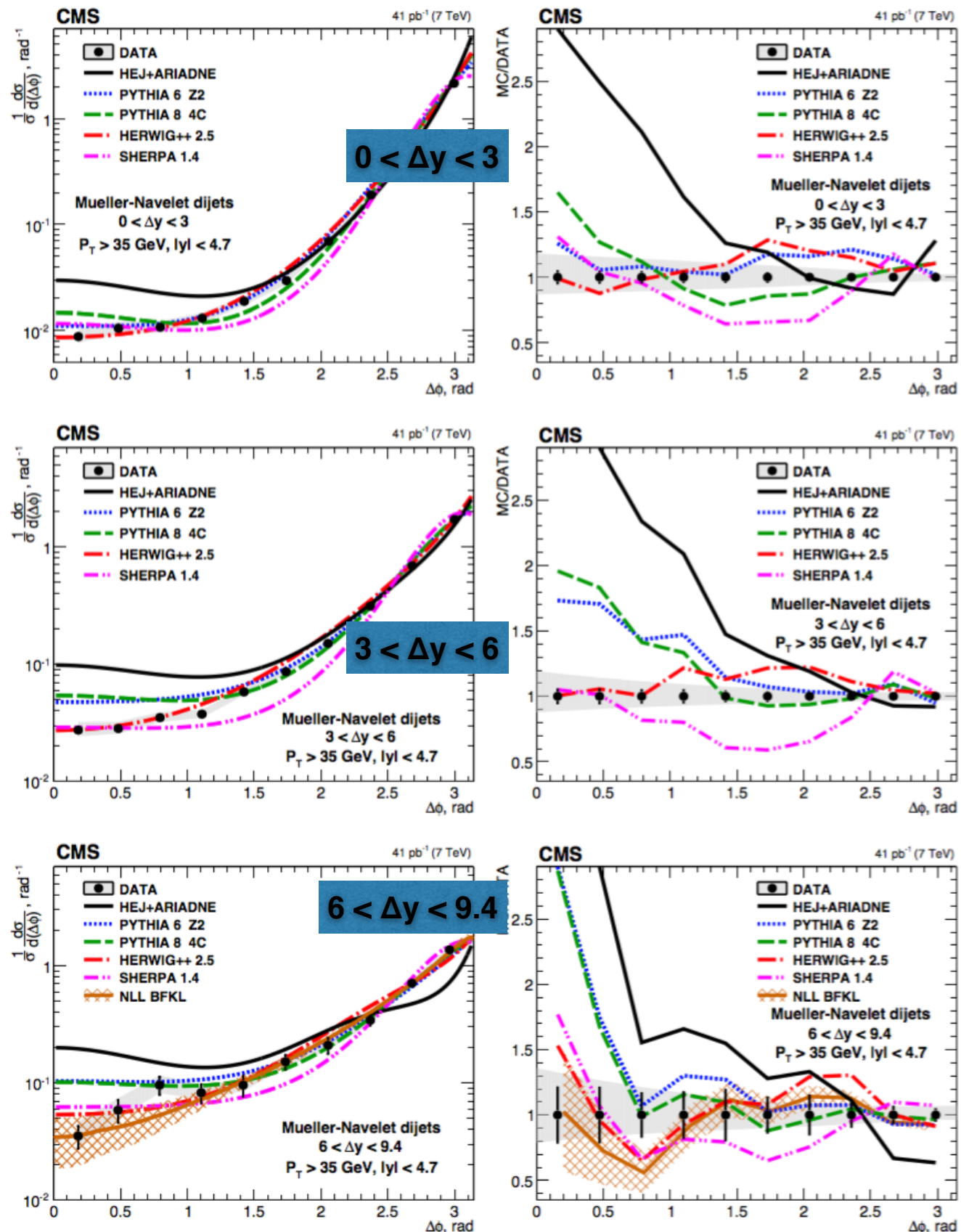
NLL BFKL analytical predictions

Phys. Rev. Lett. 112 (2013) 082003

HEJ (LL BFKL matrix element)+**ARIADNE** (hadronisation and PS)

MN dijets azimuthal decorrelations – $\Delta\Phi$

arXiv:1601.06713



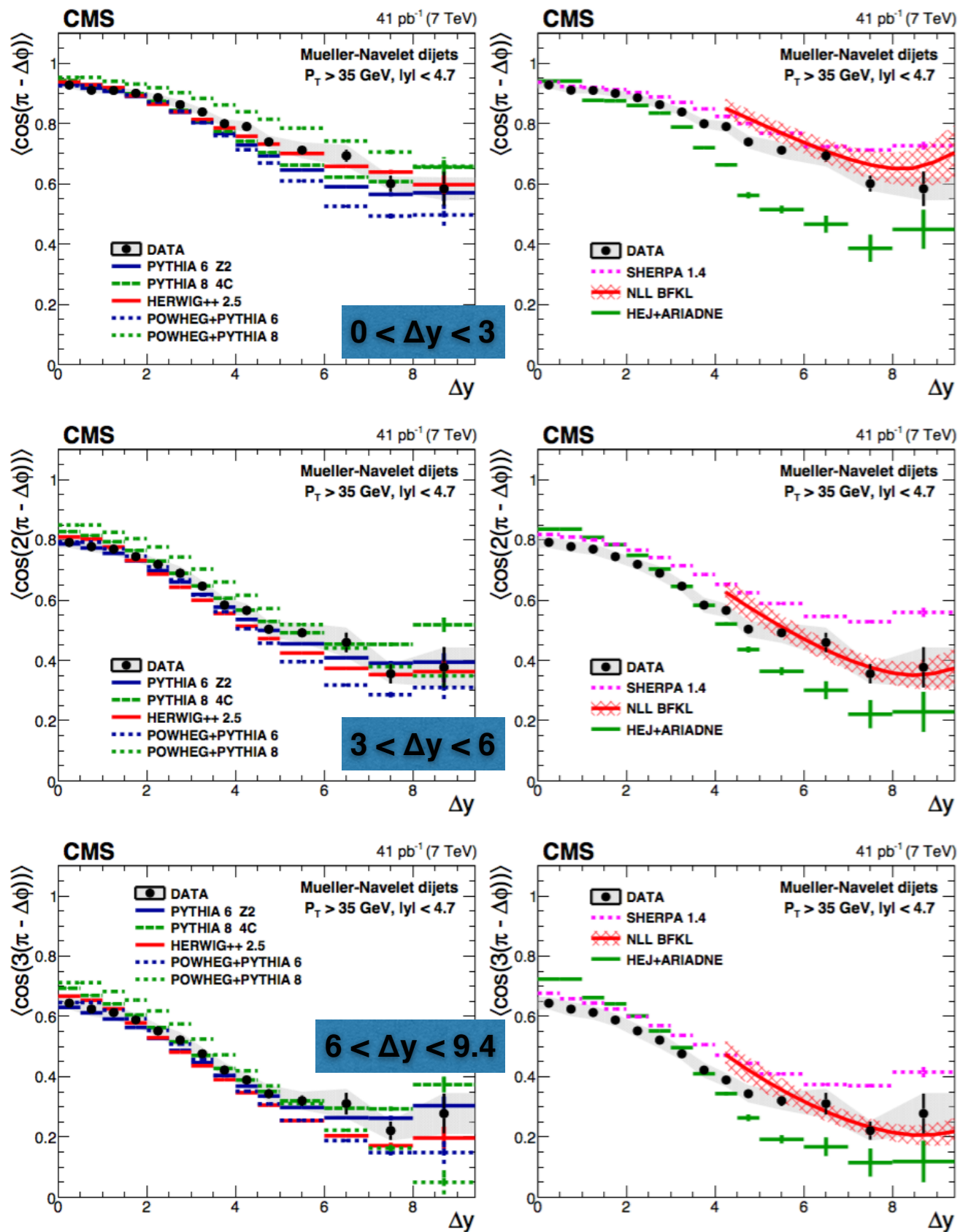
High back-to-back correlation in the central rapidity interval (peak at $\Delta\Phi \approx \pi$).

Larger azimuthal decorrelation with increasing Δy .

PYTHIA and HERWIG describe the data well.

MN dijets average cosines

arXiv:1601.06713



$\langle \cos \rangle$ can be expressed using conformal symmetries in **BFKL**

None of the MC has a good description of the data in all rapidity intervals.

HEJ predicts too much decorrelation.

PYTHIA6 and 8 fair agreement with the data.

MN dijets ratios of average cosines

arXiv:1601.06713

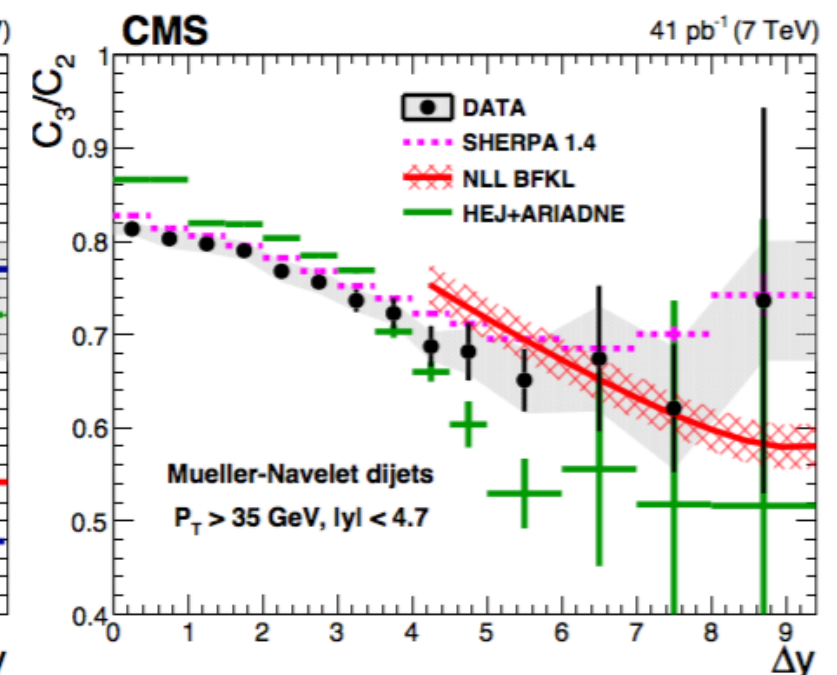
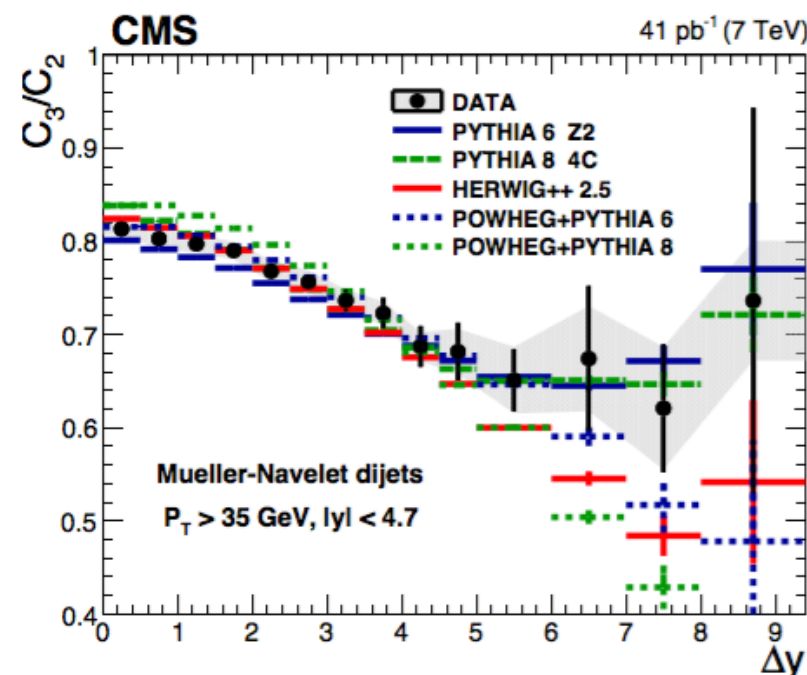
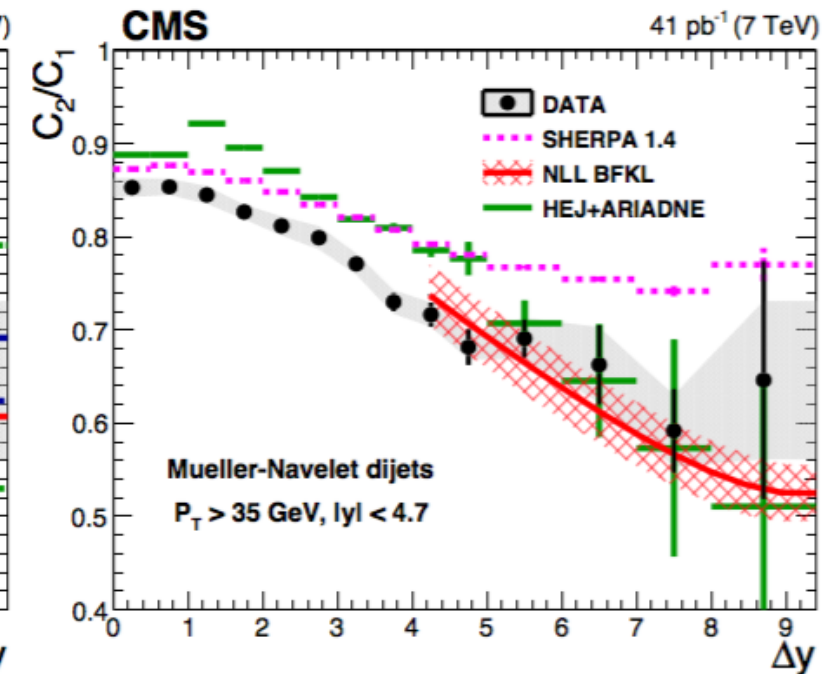
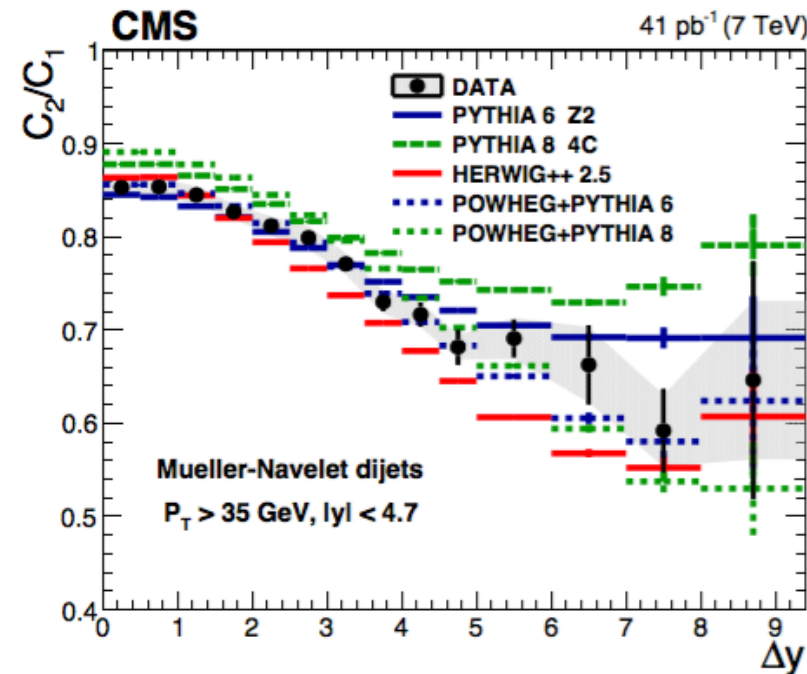
Ratios suppress **DGLAP** contributions and uncertainties of factorization and renormalization scales.

C2/C1 ratios:

PYTHIA, SHERPA underestimates, HERWIG overestimates.

C3/C2 ratios:

PYTHIA and SHERPA consistent with the data. HERWIG overestimates.



NLL **BFKL** agrees with the data both for C2/C1 and C3/C2 ratios.

Summary

- The recent measurements in the forward and very forward rapidities of CMS are presented.
- Some of those measurements are compared to the earlier CMS measurements. The results are found to be consistent.
- All results clearly show the significance of multiple parton interactions and provide a great input for tuning studies in the forward rapidities.
- Data at higher energies might reveal possible BFKL signatures. The kinematical domain of the present study might be in between DGLAP and BFKL

<https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsFSQ>

Backup

Significance of MPI

Jet production with $p_T > 20$ GeV in $|\eta| < 3$ well described by NLO pQCD.

- At low- p_T values, total $2 \rightarrow 2$ cross section becomes larger than total inelastic cross section, σ_{inel}

$$\sigma(p_T^{\min}) = \int_{p_T^{\min}} dp_T^2 d\sigma/dp_T^2$$

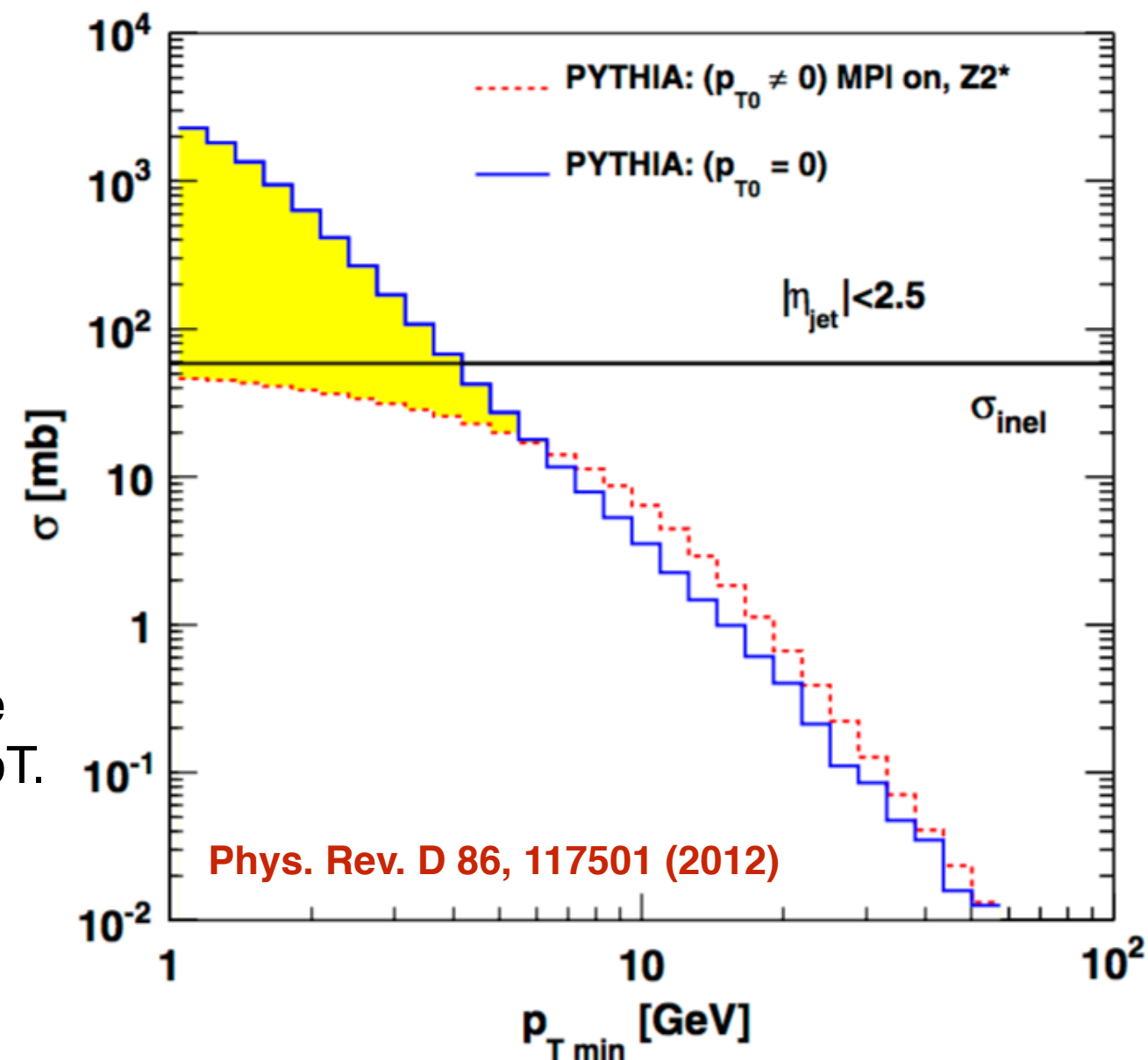
- In PYTHIA, the rise of the $2 \rightarrow 2$ cross section is controlled by the parameters, p_{T0} and $\langle n_{MPI} \rangle$.

$$\sigma(p_{T \min}) \propto \frac{1}{p_{T \min}^2 + p_{T0}^2}$$

$$\langle n_{MPI} \rangle = \sigma(p_{T \min}) / \sigma_{inel}$$

- The per-event yields with a leading charged particle or leading jet are sensitive to the saturation at low- p_T .

$$r(p_T^{\min}) = \frac{1}{N_{\text{evt}}} \int_{p_T^{\min}} dp_T^{\text{lead}} \left(\frac{dN}{dp_T^{\text{lead}}} \right)$$



Event Selection and Observables

Phys. Rev. D 92, 112001

Trigger:

- Minimum bias events triggered by TOTEM T2 (charged track with $p_T > 40$ MeV in $5.3 < |\eta| < 6.5$)

Vertex requirements:

- Primary vertex reconstruction at CMS. Events with multiple vertices are removed.

Tracks selection:

- $|\eta| < 2.4$ with $p_T > 0.4$ GeV/c.

Track-jets selection:

- Anti-kT, 0.5
- Leading track-jet in $|\eta| < 1.9$ with $p_T > 1$ GeV — input tracks $|\eta| < 2.4$ with $p_T > 0.4$ GeV

Observable: The per-event yields, $r(p_{T,\min})$

Normalized integrated charged particle or charged-particle jet event cross sections as a function of $p_{T,\min}$ where $p_{T,\text{lead}} > p_{T,\min}$.

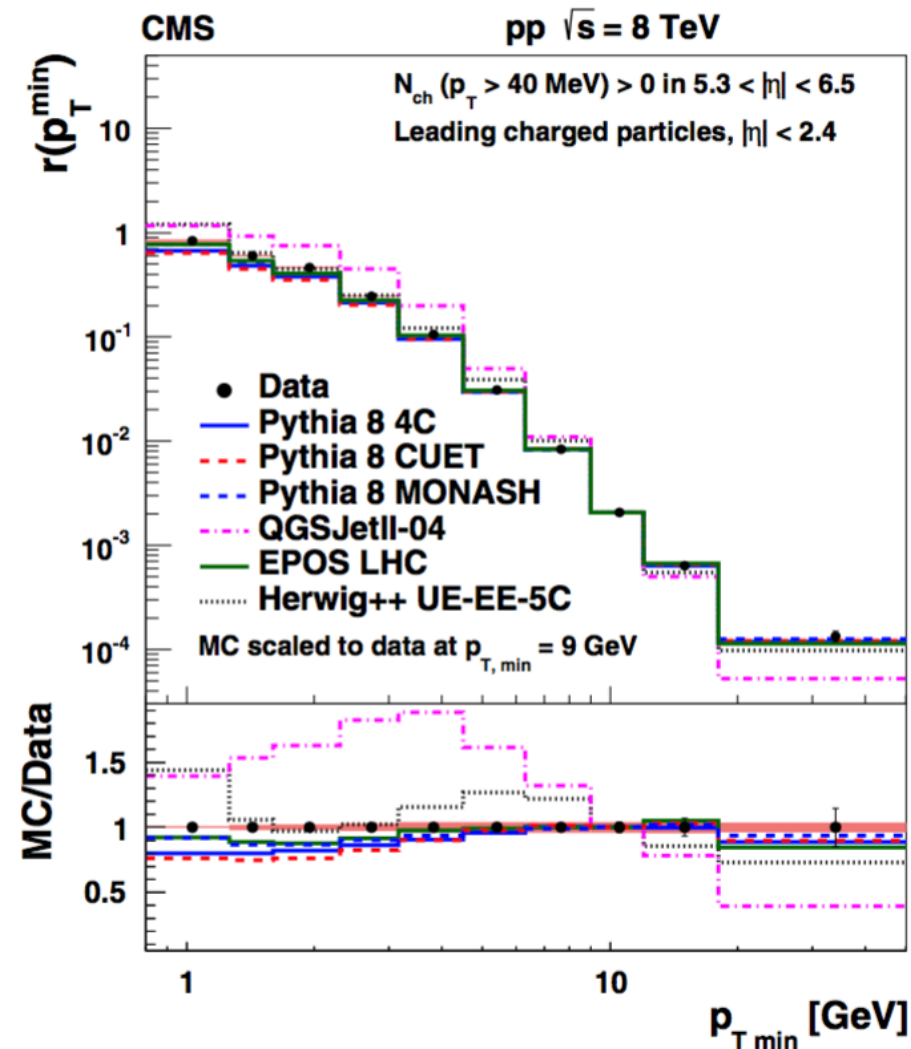
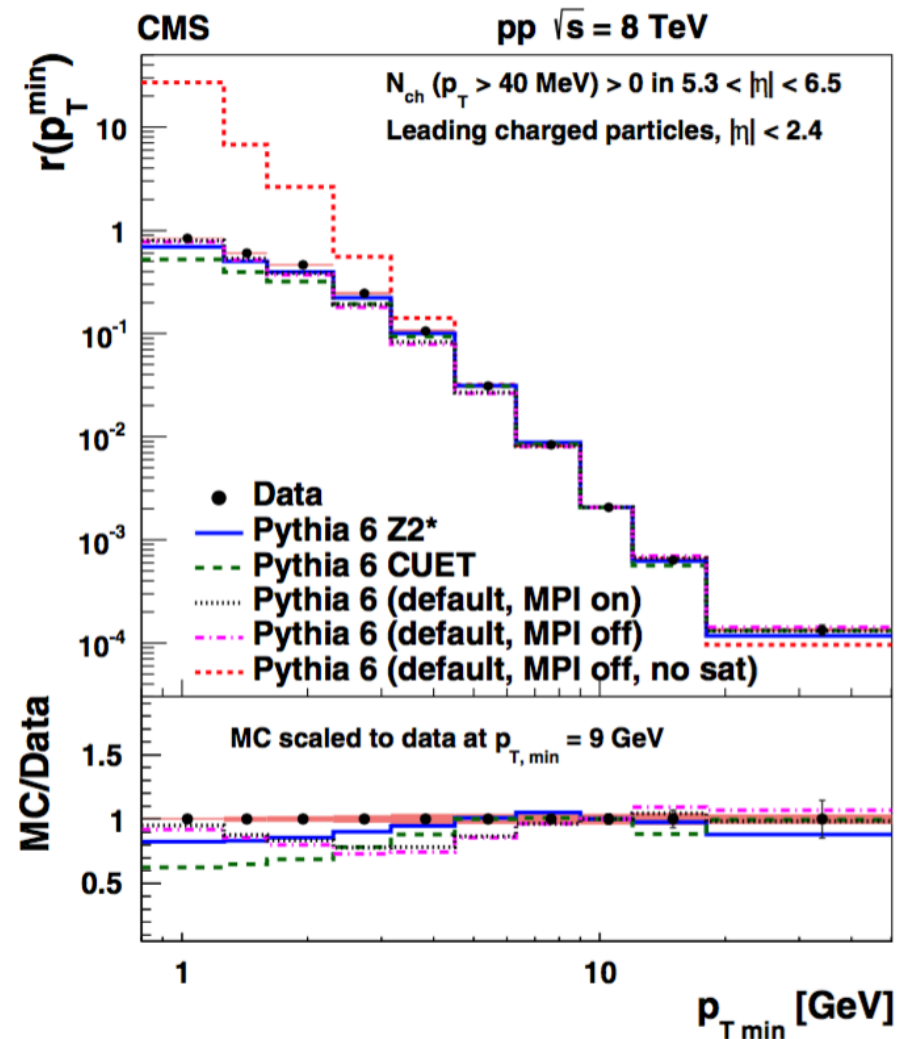
$$r(p_T^{\min}) = \frac{1}{N_{\text{evt}}} \sum_{p_T^{\text{lead}} > p_T^{\min}} \Delta p_T^{\text{lead}} \left(\frac{\Delta N}{\Delta p_T^{\text{lead}}} \right)$$

Both the leading charged particle and leading charged-particle jets measurements are normalized to events (N_{evt}) with a leading track in $|\eta| < 2.4$ with $p_T > 0.4$ GeV.

Leading Charged Particles

Phys. Rev. D 92, 112001

Normalized integrated distributions for leading charged particles for events with $p_{T,min} > 0.8$ GeV

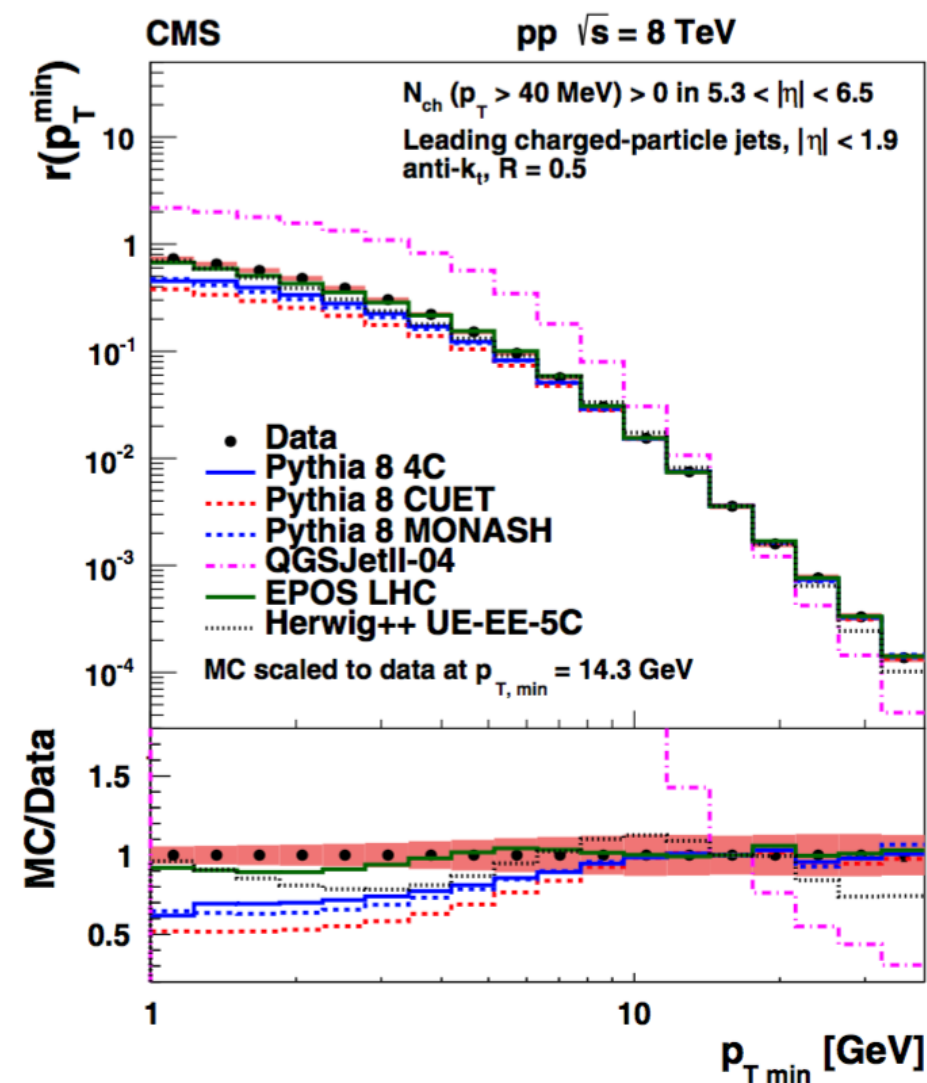
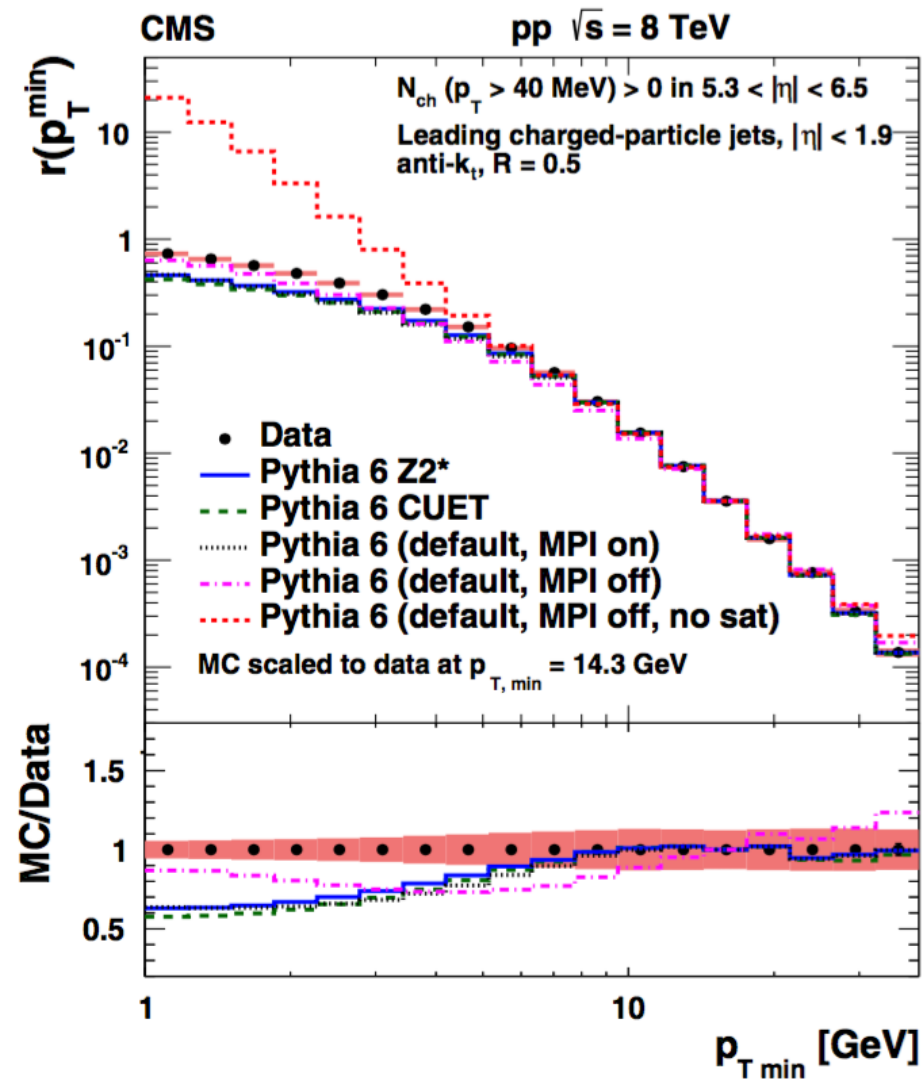


- MC is rescaled to the data at $p_{T,min} = 9$ GeV.
- The distributions fall steeply at high- p_T . Relatively flat between 1-10 GeV.
- MPI has not a big effect. (When clustering particles into jets MPI becomes more important).
- A large deviation from the data at low- p_T if both MPI and saturation turned off.
- Described well by EPOS. QGSJet fails.

Leading Charged Particle Jets

Phys. Rev. D 92, 112001

Normalized integrated distributions for leading charged-particle jets for events with $p_{T,min} > 1$ GeV



- MC is rescaled to the data at $p_{T,min} = 14.3$ GeV.
- The turnover point is different: when clustering the particles into jets more energy is collected in the jet cone.
- The PYTHIA6 has a better description of data at low- p_T when MPI is off.
- EPOS has the best description. Large discrepancies between the models.