

Theoretical Advances in Flavor Physics

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Aspen Winter Conference
“Particle Physics on the Verge of Another Discovery?”
Aspen, January 11 - 16, 2016

The Standard Model as Effective Theory

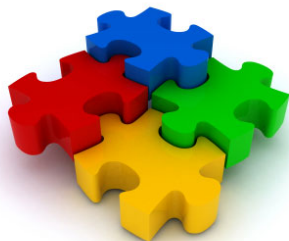
$$\begin{aligned}\mathcal{L}_{\text{SM}} \sim & \Lambda^4 + \Lambda^2 H^2 + \lambda H^4 \\ & + \bar{\Psi} \not{D} \Psi + (D_\mu H)^2 + (F_{\mu\nu})^2 + F_{\mu\nu} \tilde{F}^{\mu\nu} \\ & + Y H \bar{\Psi} \Psi + \frac{1}{\Lambda} (LH)^2 + \frac{1}{\Lambda^2} \sum_i \mathcal{O}_i^{\text{dim6}}\end{aligned}$$

The Standard Model as Effective Theory

The diagram illustrates the Standard Model Lagrangian \mathcal{L}_{SM} as an effective theory, with several callouts highlighting theoretical problems:

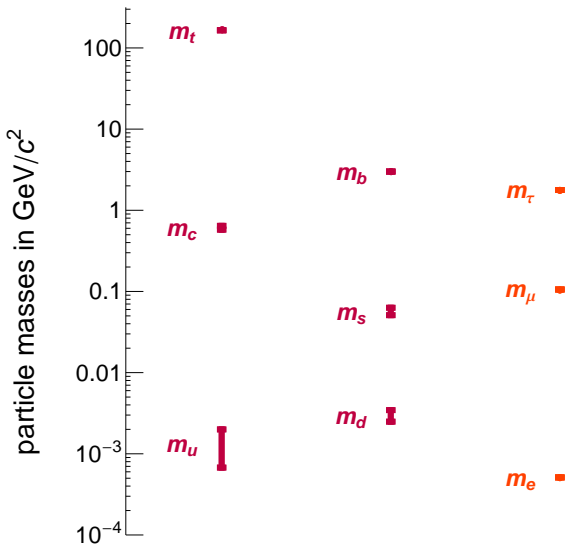
- CC problem**: Callout pointing to the Λ^4 term.
- Hierarchy problem**: Callout pointing to the $\Lambda^2 H^2$ term.
- Vacuum stability?**: Callout pointing to the λH^4 term.
- Strong CP problem**: Callout pointing to the $F_{\mu\nu} \tilde{F}^{\mu\nu}$ term.
- SM flavor puzzle**: Callout pointing to the $Y H \bar{\Psi} \Psi$ term.
- Neutrino masses**: Callout pointing to the $\frac{1}{\Lambda} (LH)^2$ term.
- NP flavor puzzle ...**: Callout pointing to the $\frac{1}{\Lambda^2} \sum_i \mathcal{O}_i^{\text{dim}6}$ term.

$$\begin{aligned} \mathcal{L}_{\text{SM}} \sim & \Lambda^4 + \Lambda^2 H^2 + \lambda H^4 \\ & + \bar{\Psi} \not{D} \Psi + (D_\mu H)^2 + (F_{\mu\nu})^2 + F_{\mu\nu} \tilde{F}^{\mu\nu} \\ & + Y H \bar{\Psi} \Psi + \frac{1}{\Lambda} (LH)^2 + \frac{1}{\Lambda^2} \sum_i \mathcal{O}_i^{\text{dim}6} \end{aligned}$$

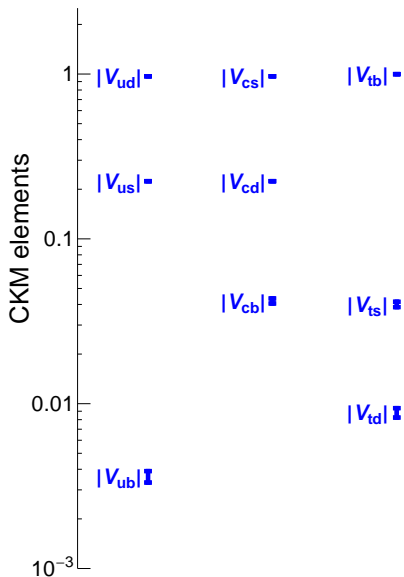


The Standard Model Flavor Puzzle

Flavor Hierarchies



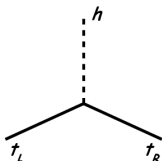
Flavor Hierarchies



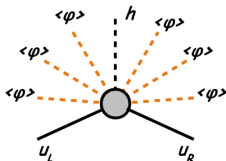
Hierarchy from Symmetry

(Froggatt, Nielsen '79; ...)

fermion masses are forbidden by **flavor symmetries**
and arise only after spontaneous breaking of the symmetry



$$h \bar{t}_R t_L$$



$$\frac{\varphi^6}{M^6} h \bar{u}_R u_L$$

Simple U(1) model:

$$Q(t_L) = Q(t_R) = 0$$

$$Q(u_L) = -Q(u_R) = 3$$

$$Q(h) = 0$$

$$Q(\varphi) = -1$$

mass and mixing hierarchies given by powers of the spurion $\langle \varphi \rangle / M$

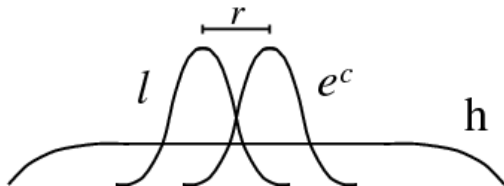
$$\frac{m_u}{m_t} \sim \left(\frac{\langle \varphi \rangle}{M} \right)^n$$

(see recent work by Bauer, Carena, Gemmler '15)

Hierarchy without Symmetry: Geometry

(Arkani-Hamed, Schmaltz '99; Grossman, Neubert '99; ...)

fermions are localized at different positions in an **extra dimension**



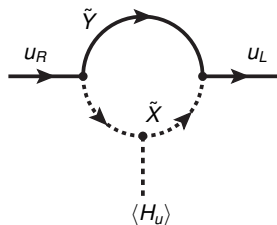
hierarchies from exponentially small **wave-function overlap** between left-handed and right-handed fermions and the Higgs

$$\frac{m_u}{m_t} \sim e^{-\Delta}$$

Hierarchy without Symmetry: Loops

(Weinberg '72; ...)

light fermion masses arise only from **quantum effects**



light fermions do not couple
to the higgs directly

couplings are loop-induced
by flavor violating new particles

mass and mixing hierarchies from **loop factors**

$$\frac{m_u}{m_t} \sim \left(\frac{1}{16\pi^2} \right)^n$$

(various studies in recent years:

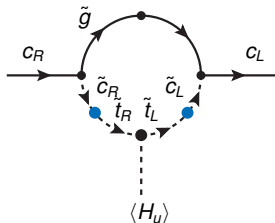
Arkani-Hamed et al. '12; Baumgart, Stolarski, Zorawski '14; WA, Frugiuele, Harnik '14;

Ibarra, Solaguren-Beascoa '14; Joaquim, Penedo '14; ...)

Fermion Hierarchy from Sfermion Anarchy

A simple setup for
loop induced fermion masses:

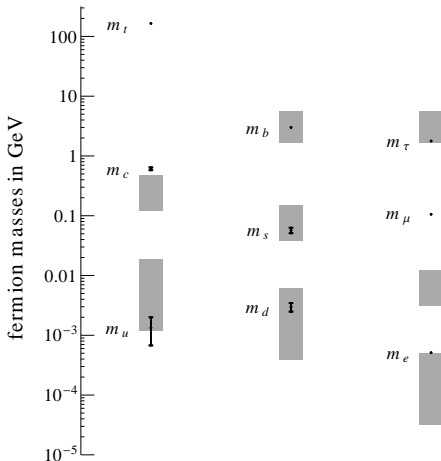
- ▶ MSSM field content
- ▶ rank 1 Yukawa couplings
- ▶ flavor anarchic sfermion masses



Works remarkably well!

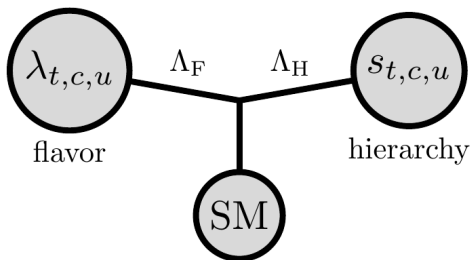
(muon mass can be fixed by
adding new gauge interactions)

WA, Frugiuiele, Harnik '14



Disentangling Mass and Mixing Hierarchies

Knapen, Robinson '15



one sector with **scale Λ_F**
responsible for **flavor mixing**

separate sector with **scale Λ_H**
responsible for **mass hierarchies**

Λ_F is **strongly constrained** by low energy flavor observables (in some cases at the level of 1000s or 100,000s of TeV)

Λ_H could be much lower, close to the electroweak scale and **in reach of the LHC**



A Flavorful Higgs

The Flavor of the Higgs

$$\mathcal{L}_{\text{Yukawa}} = Y_{ij} \bar{\Psi}_i \Psi_j H$$

In the **Standard Model** the Yukawa couplings are the only sources of flavor and CP violation

→ the couplings of the Higgs to fermion mass eigenstates are **flavor diagonal and CP conserving**

$$\frac{1}{v} \begin{pmatrix} m_{u,d,e} & 0 & 0 \\ 0 & m_{c,s,\mu} & 0 \\ 0 & 0 & m_{t,b,\tau} \end{pmatrix}$$

The Flavor of the Higgs

$$\mathcal{L}_{\text{Yukawa}} = Y_{ij} \bar{\Psi}_i \Psi_j H + \frac{\tilde{Y}_{ij}}{\Lambda^2} \bar{\Psi}_i \Psi_j H^3$$

In the **Standard Model** the Yukawa couplings are the only sources of flavor and CP violation

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$$\frac{1}{v} \begin{pmatrix} m_{U,d,e} & 0 & 0 \\ 0 & m_{C,S,\mu} & 0 \\ 0 & 0 & m_{t,b,\tau} \end{pmatrix} + \frac{v^2}{\Lambda^2} \begin{pmatrix} \star & \star & \star \\ \star & \star & \star \\ \star & \star & \star \end{pmatrix}$$

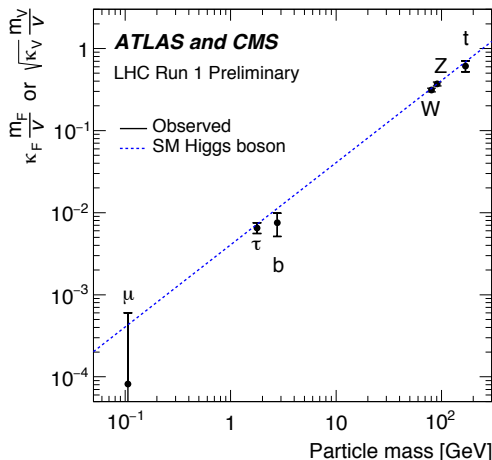
- 1) **New Physics** can modify the **flavor diagonal** Higgs couplings
- 2) **New Physics** can lead to **flavor and CP violating** Higgs couplings

Flavor Diagonal Higgs Couplings

flavor diagonal couplings directly measured at the LHC with current accuracy for 3rd gen. $\sim 30\%$

can be improved to:
 $\sim 5\% - 10\%$ at a HL-LHC
few % at a ILC

we know that the Higgs provides (at least part of) the mass of the 3rd gen.



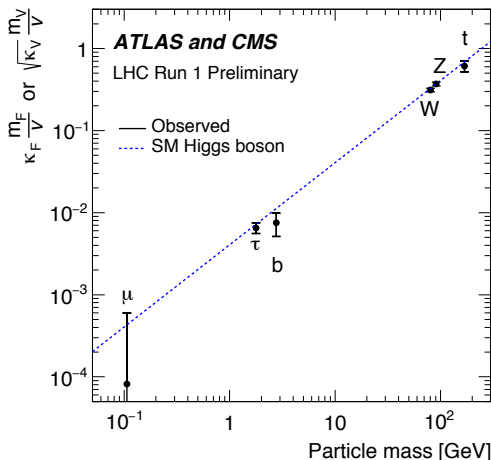
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What about the 1st and 2nd generation?



Higgs Coupling to Electrons

$$\left(\frac{m_e}{v} + \tilde{Y}_{ee} \frac{v^2}{\Lambda^2} \right) h \bar{e} e = \frac{m_e}{v} \kappa_e h \bar{e} e \quad (\kappa_e^{\text{SM}} = 1)$$

$h \rightarrow e^+ e^-$	LHC8 (25/fb)	$ \kappa_e \lesssim 600$	$M \gtrsim 6 \text{ TeV}$
	LHC14 (300/fb)	$ \kappa_e \sim 260$	$M \sim 9 \text{ TeV}$
	LHC14 (3/ab)	$ \kappa_e \sim 150$	$M \sim 12 \text{ TeV}$
	100 TeV (3/ab)	$ \kappa_e \sim 75$	$M \sim 17 \text{ TeV}$
$e^+ e^- \rightarrow h$	LEP II	$ \kappa_e \lesssim 2000$	$M \gtrsim 3 \text{ TeV}$
	TLEP (1/fb)	$ \kappa_e \sim 50$	$M \sim 20 \text{ TeV}$
	TLEP (100/fb)	$ \kappa_e \sim 10$	$M \sim 50 \text{ TeV}$
d_e	current	$\text{Im } \kappa_e \lesssim 0.017$	$M \gtrsim 1000 \text{ TeV}$
	future	$\text{Im } \kappa_e \sim 0.0001$	$M \sim 10^4 \text{ TeV}$
$(g-2)_e$	current	$\text{Re } \kappa_e \lesssim 3000$	$M \gtrsim 2.5 \text{ TeV}$
	future	$\text{Re } \kappa_e \sim 300$	$M \sim 8 \text{ TeV}$

WA, Brod, Schmaltz, 1503.04830

What if the SM Higgs gives mass only to the 3rd generation?

$$\mathcal{M} = \mathcal{M}_0$$

$$\mathcal{M}_0 \sim \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & m_\tau \end{pmatrix},$$

(WA, Gori, Kagan, Silvestrini, Zupan '15; Ghosh, Perez '15)

Sequestered Fermion Mass Generation

What if the SM Higgs gives mass only to the 3rd generation?

$$\mathcal{M} = \mathcal{M}_0 + \Delta\mathcal{M}$$

$$\mathcal{M}_0 \sim \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & m_\tau \end{pmatrix}, \quad \Delta\mathcal{M} \sim \begin{pmatrix} m_e & m_e & m_e \\ m_e & m_\mu & m_\mu \\ m_e & m_\mu & m_\mu \end{pmatrix}$$

$\Delta\mathcal{M}$ could be due to a second source of electroweak symmetry breaking, e.g. a second Higgs, strong dynamics, ...

(WA, Gori, Kagan, Silvestrini, Zupan '15; Ghosh, Perez '15)

Sequestered mass generation for leptons

$$\text{BR}(h \rightarrow \tau\tau) \sim \text{SM-like}$$

$$\text{BR}(h \rightarrow \mu\mu) \sim \text{typically suppressed}$$

$$\text{BR}(h \rightarrow \tau\mu) \sim \frac{m_\mu^2}{m_\tau^2} \times \text{BR}(h \rightarrow \tau\tau) \sim 10^{-3}$$

$$\text{BR}(h \rightarrow \tau e) \sim \frac{m_e^2}{m_\mu^2} \times \text{BR}(h \rightarrow \tau\mu) \sim 10^{-7}$$

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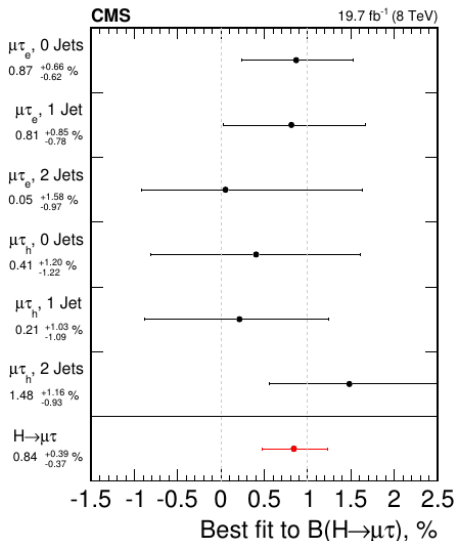
Sequestered mass generation for quarks

$$\text{BR}(t \rightarrow ch) \text{ could be as large as } \sim |V_{cb}|^2 \sim 10^{-3}$$

$$\text{BR}(t \rightarrow uh) \text{ could be as large as } \sim |V_{ub}|^2 \sim 10^{-5}$$

$$\text{BR}(B_s \rightarrow \tau\mu) \text{ could be as large as } \sim 10^{-7}$$

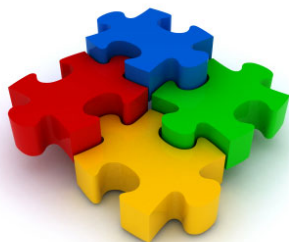
A Hint for Higgs Flavor Violation?



$$B(h \rightarrow \tau\mu) = (0.84^{+0.39}_{-0.37})\%$$

CMS 1502.07400

compatible with the
generic expectation of
“sequestered” fermion masses
(a bit on the high side, though ...)



Flavor Anomalies

(see also talk by Andreas Crivellin)

(Incomplete) List of Anomalies in Flavor Physics

$\sim 3.5\sigma$ $(g - 2)_\mu$ anomaly

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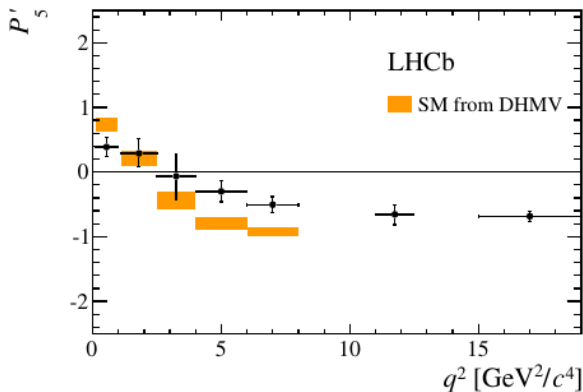
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- $\sim 2.5\sigma$ non-zero $h \rightarrow \tau \mu$

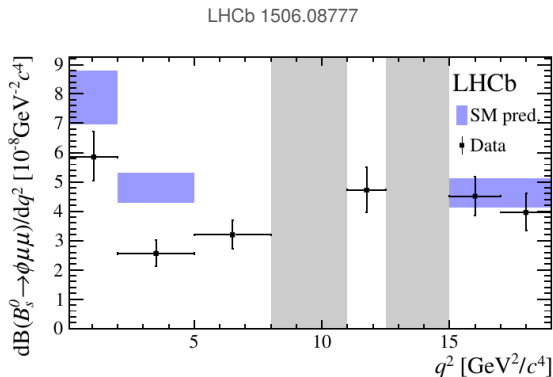
“The $B \rightarrow K^* \mu^+ \mu^-$ Anomaly”

LHCb 1512.04442



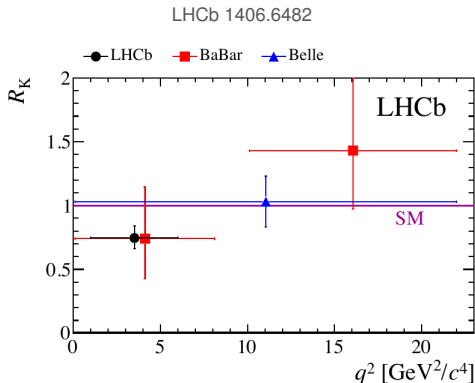
2.8 σ deviation in [4,6] GeV² bin (+3.0 σ in [6,8] GeV² bin)

“The $B_s \rightarrow \phi \mu^+ \mu^-$ Anomaly”



branching ratio is 3.5σ below SM prediction for $1 \text{ GeV}^2 < q^2 < 6 \text{ GeV}^2$

“The R_K Anomaly”



2.6 σ hint for violation of lepton flavor universality (LFU)

$$R_K = \frac{\text{BR}(B \rightarrow K\mu^+\mu^-)_{[1,6]}}{\text{BR}(B \rightarrow Ke^+e^-)_{[1,6]}} = 0.745^{+0.090}_{-0.074} \pm 0.036$$

What Could It Be?

branching
ratios

angular
observables

LFU
ratios

What Could It Be?

	branching ratios	angular observables	LFU ratios
millisecond pulsars?	?	?	?

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statistical fluctuations?	✓	✓	✓

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underestimated hadronic effects?	✓	✓	✗

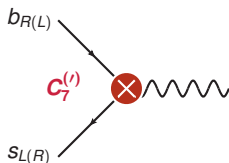
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	branching ratios	angular observables	LFU ratios
millisecond pulsars?	?	?	?
statistical fluctuations?	✓	✓	✓
parametric uncertainties?	✓	✗	✗
underestimated hadronic effects?	✓	✓	✗
New Physics?	✓	✓	✓

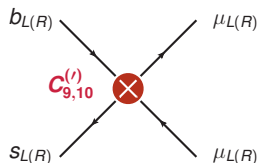
New Physics in $b \rightarrow s$ Decays

$$\mathcal{H}_{\text{eff}}^{b \rightarrow s} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi^2} \sum_i (C_i \mathcal{O}_i + C'_i \mathcal{O}'_i)$$

magnetic dipole operators



semileptonic operators



	C_7, C'_7	C_9, C'_9	C_{10}, C'_{10}
$B \rightarrow (X_S, K^*) \gamma$	★		
$B \rightarrow (X_S, K, K^*) \mu^+ \mu^-$	★	★	★
$B_S \rightarrow \phi \mu^+ \mu^-$	★	★	★
$B_S \rightarrow \mu^+ \mu^-$			★

neglecting tensor operators
(secretly dimension 8)

neglecting scalar operators
(strongly constrained by
 $B_S \rightarrow \mu^+ \mu^-$)

many processes and many observables
are modified simultaneously

⇒ global fits are required

WA, Straub, Paradisi '11; Bobeth, Hiller, van Dyk, Wacker '11; WA, Straub '12 - '15;

Beaujean, Bobeth, van Dyk, Wacker; '12; Descotes-Genon, Matias, Virto '13, '14;

Beaujean, Bobeth, van Dyk '13; Hurth, Mahmoudi '13; Ghosh, Nardecchia, Renner '14;

Hurth, Mahmoudi, Neshatpour '14; Jäger, Martin Camalich '14;

Beaujean, Bobeth, Jahn '15; Descotes-Genon, Hofer, Matias, Virto '15;

Ciuchini, Fedele, Franco, Mishima, Paul, Silvestrini, Valli '15; ...

A Hint for Flavorful New Physics

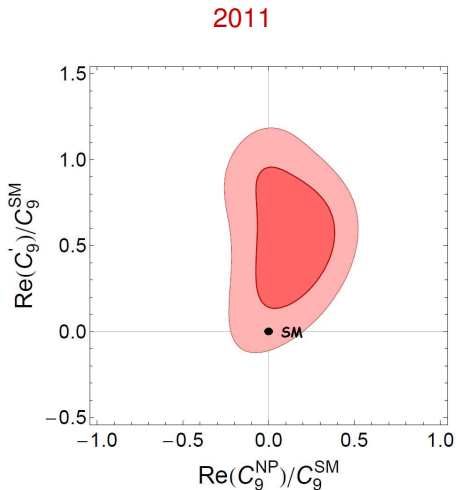
avored new physics
parameter space

(WA, Straub '11 - '15)

$$O_9 \propto (\bar{s}\gamma_\mu P_L b)(\bar{\mu}\gamma^\mu \mu)$$

$$O'_9 \propto (\bar{s}\gamma_\mu P_R b)(\bar{\mu}\gamma^\mu \mu)$$

muonic vector current



A Hint for Flavorful New Physics

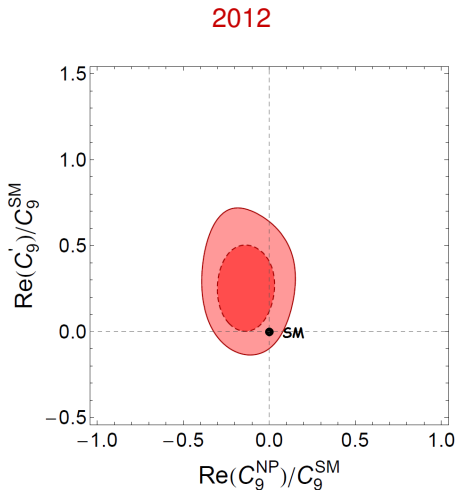
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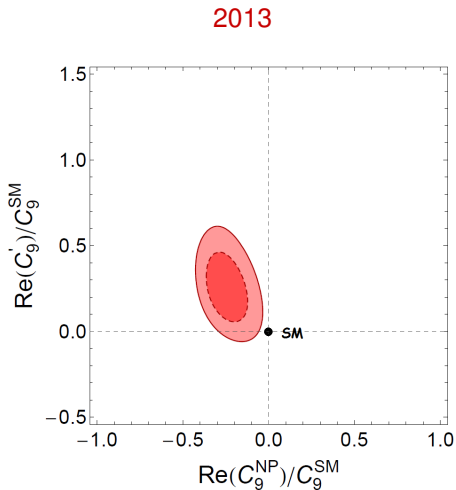
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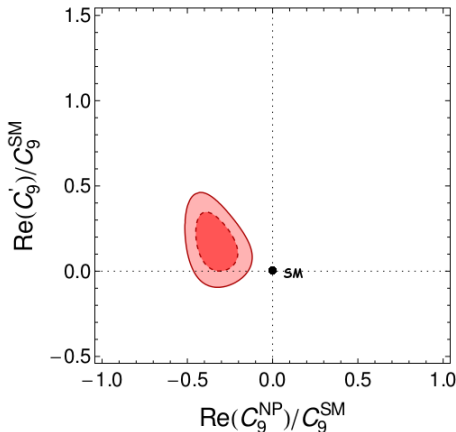
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2014



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parameter space

(WA, Straub '11 - '15)

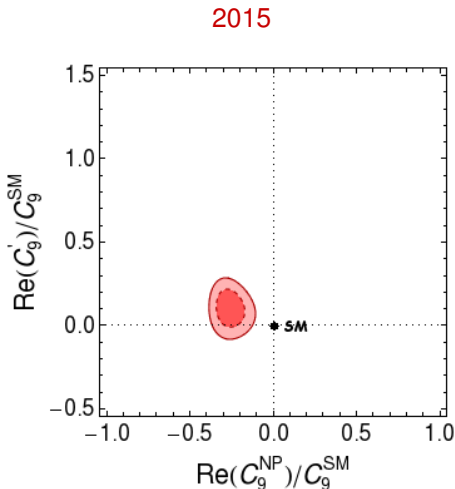
$$O_9 \propto (\bar{s}\gamma_\mu P_L b)(\bar{\mu}\gamma^\mu \mu)$$

$$O'_9 \propto (\bar{s}\gamma_\mu P_R b)(\bar{\mu}\gamma^\mu \mu)$$

muonic vector current

- ▶ $\Delta\chi^2 = 15.2$
- ▶ p-value: 12.4%
(2.1% in the SM)

(only $b \rightarrow s\mu^+\mu^-$ data)



Distinguishing New Physics from Hadronic Effects

	LFU violation
hadronic effects?	✗
New Physics?	✓

Distinguishing New Physics from Hadronic Effects

	LFU violation	RH currents
hadronic effects?	✗	✗
New Physics?	✓	✓

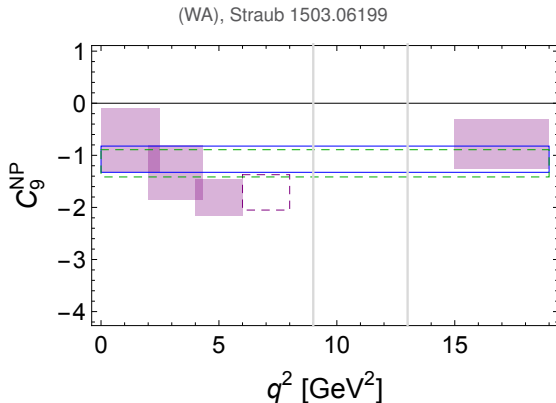
Distinguishing New Physics from Hadronic Effects

	LFU violation	RH currents	CP violation
hadronic effects?	✗	✗	✗
New Physics?	✓	✓	✓

Distinguishing New Physics from Hadronic Effects

	LFU violation	RH currents	CP violation	non-trivial q^2 dependence
hadronic effects?	✗	✗	✗	✓
(heavy) New Physics?	✓	✓	✓	✗

q^2 Dependence of the Effect



compatible with a q^2 independent **short distance contribution** from new physics

preferred value for C_9 seems to increase closer to the J/ψ
→ indication for a **charm loop effect?**

Implications for the New Physics Scale

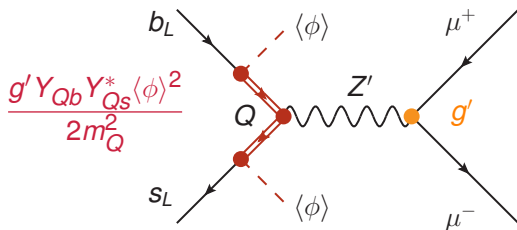
generic tree	$\frac{1}{\Lambda_{\text{NP}}^2} (\bar{s}\gamma_\nu P_L b)(\bar{\mu}\gamma^\nu \mu)$	$\Lambda_{\text{NP}} \simeq 35 \text{ TeV} \times (C_9^{\text{NP}})^{-1/2}$
MFV tree	$\frac{1}{\Lambda_{\text{NP}}^2} V_{tb} V_{ts}^* (\bar{s}\gamma_\nu P_L b)(\bar{\mu}\gamma^\nu \mu)$	$\Lambda_{\text{NP}} \simeq 7 \text{ TeV} \times (C_9^{\text{NP}})^{-1/2}$
generic loop	$\frac{1}{\Lambda_{\text{NP}}^2} \frac{1}{16\pi^2} (\bar{s}\gamma_\nu P_L b)(\bar{\mu}\gamma^\nu \mu)$	$\Lambda_{\text{NP}} \simeq 3 \text{ TeV} \times (C_9^{\text{NP}})^{-1/2}$
MFV loop	$\frac{1}{\Lambda_{\text{NP}}^2} \frac{1}{16\pi^2} V_{tb} V_{ts}^* (\bar{s}\gamma_\nu P_L b)(\bar{\mu}\gamma^\nu \mu)$	$\Lambda_{\text{NP}} \simeq 0.6 \text{ TeV} \times (C_9^{\text{NP}})^{-1/2}$

(assumes New Physics has O(1) coupling to muons)

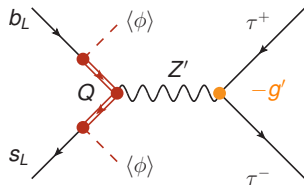
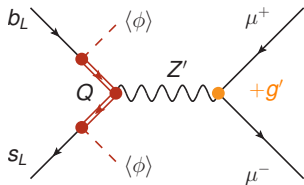
My Favorite Model

Z' based on gauging $L_\mu - L_\tau$
with effective flavor violating couplings to quarks

WA, Gori, Pospelov, Yavin 1403.1269



$L_\mu - L_\tau$ and Lepton Flavor Universality



the Z' model based on gauged $L_\mu - L_\tau$ predicts:

- 1) opposite effects in the $\mu^+ \mu^-$ and $\tau^+ \tau^-$ final state
- 2) no effect in the $e^+ e^-$ final state

ratios of branching ratios

$$R_K = \frac{\text{BR}(B \rightarrow K\mu\mu)}{\text{BR}(B \rightarrow Kee)}$$

$$R_{K^*} = \frac{\text{BR}(B \rightarrow K^*\mu\mu)}{\text{BR}(B \rightarrow K^*ee)}$$

$$R_\phi = \frac{\text{BR}(B_s \rightarrow \phi\mu\mu)}{\text{BR}(B_s \rightarrow \phi ee)}$$

...

$$R_i^{\text{SM}} \simeq 1$$

Predictions for LFU Observables

ratios of branching ratios

$$R_K = \frac{\text{BR}(B \rightarrow K \mu \mu)}{\text{BR}(B \rightarrow K e e)}$$

$$R_{K^*} = \frac{\text{BR}(B \rightarrow K^* \mu \mu)}{\text{BR}(B \rightarrow K^* e e)}$$

$$R_\phi = \frac{\text{BR}(B_s \rightarrow \phi \mu \mu)}{\text{BR}(B_s \rightarrow \phi e e)}$$

...

$$R_i^{\text{SM}} \simeq 1$$

differences of angular observables

$$D_{P'_5} = P'_5(B \rightarrow K^* \mu \mu) - P'_5(B \rightarrow K^* e e)$$

$$D_{A_{\text{FB}}} = A_{\text{FB}}(B \rightarrow K^* \mu \mu) - A_{\text{FB}}(B \rightarrow K^* e e)$$

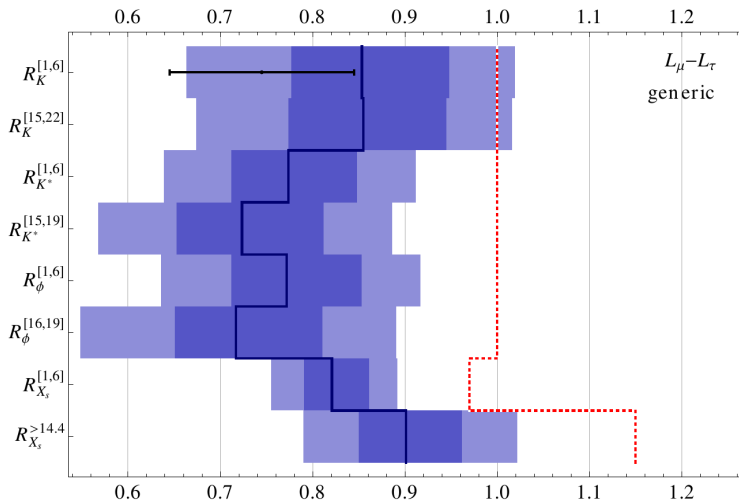
$$D_{F_L} = F_L(B \rightarrow K^* \mu \mu) - F_L(B \rightarrow K^* e e)$$

...

$$D_i^{\text{SM}} \simeq 0$$

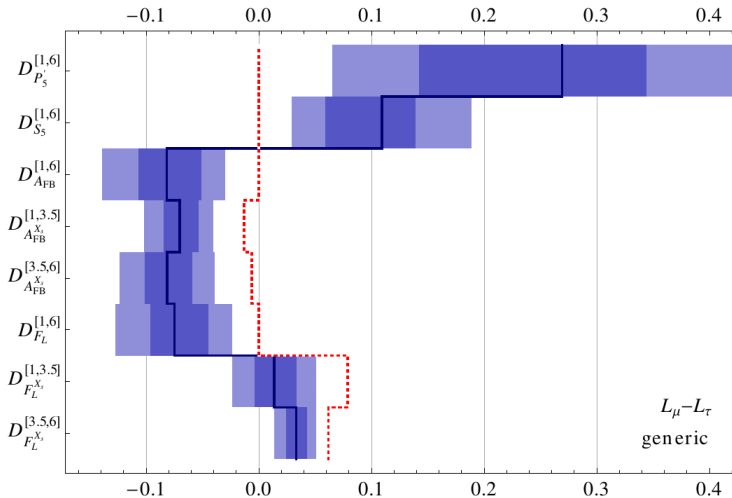
Predictions for LFU Observables

WA, Yavin '15



Predictions for LFU Observables

WA, Yavin '15



- ▶ the origin of the hierarchical flavor structure of the SM fermions remains mysterious
- ▶ it is crucial to verify that the Higgs provides mass to all 3 generations and to investigate alternative setups
- ▶ various experimental results in flavor physics show $\sim 3\sigma$ discrepancies with the Standard Model predictions
- ▶ statistical fluctuations? hadronic effects? New Physics?
- ▶ looking forward to an exciting future with new data from LHC(b) and Belle II

Back Up

Allowed Z' Parameter Space

