

# CP violation searches in the charm sector at LHCb

**Evelina Gersabeck**

Ruprecht-Karls-Universität Heidelberg

on behalf of the LHCb collaboration

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UNIVERSITÄT  
HEIDELBERG  
ZUKUNFT  
SEIT 1386



# Outline

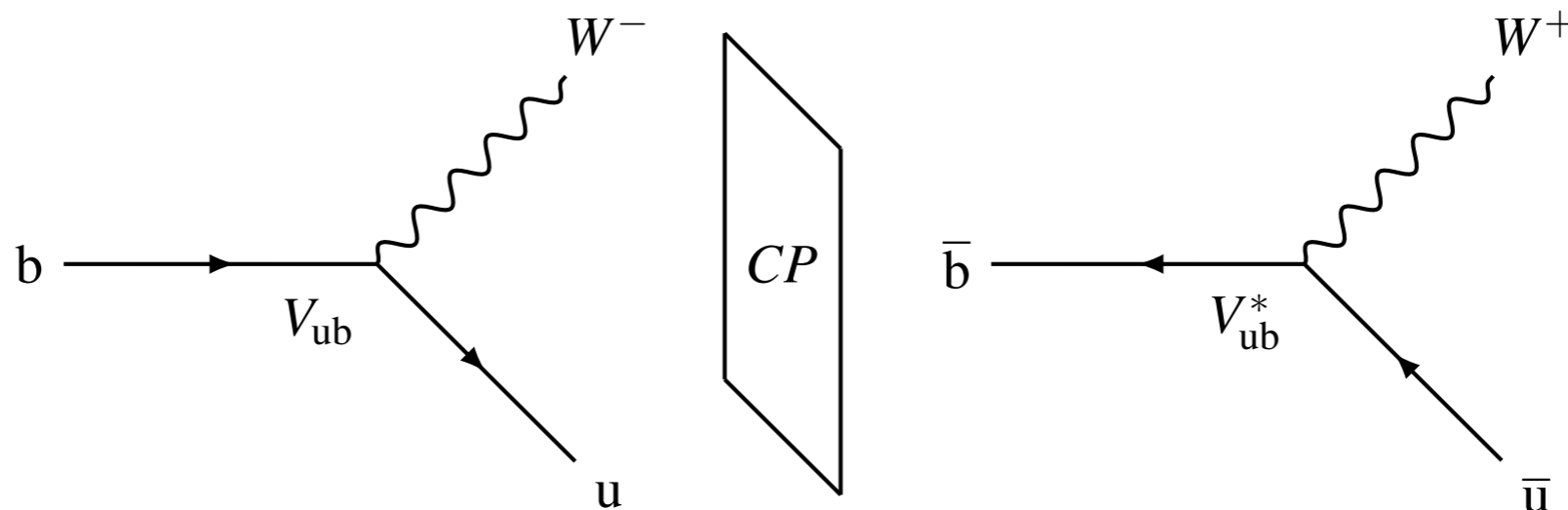
- CP violation
- LHCb & its performance during Run I
- Run I results
  - Indirect CPV searches in  $D^0 \rightarrow h^+ h^-$
  - Direct CPV searches in  $D^0 \rightarrow h^+ h^-$
  - Complementary CPV searches in other two- and multi-body decays
- Prospects for Run II and the LHCb upgrade

# CP violation

# CP violation

CP symmetry applies to processes invariant under the combined transformation of

**charge conjugation (C):** exchange of particle and anti-particle  
**and parity (P):** spatial inversion



CP violation discovered in 1964 in weak interactions of neutral Kaon decays by Cronin and Fitch

CP symmetry conserved in the strong and the EM interaction

The symmetry under CP transformation can be violated in different ways

# Types of CPV: direct CPV

The decay rate of a particle to a final state  $f$ ,  $A_f$ , is different to the rate of the anti-particle decay to the CP conjugate final state  $\bar{f}$ ,  $\bar{A}_{\bar{f}}$ .

$$|\bar{A}_{\bar{f}}/A_f| \neq 1$$

Direct CPV occurs for non-zero  $A_d$

$$A_d \equiv (|A_f|^2 - |\bar{A}_{\bar{f}}|^2) / (|A_f|^2 + |\bar{A}_{\bar{f}}|^2)$$

This type of CPV depends on decay mode.

# Types of CPV: indirect CPV

CPV in mixing (involves neutral particles)

The transition probability of particles to anti-particles compared to the reverse process differs.

$$P(M^0(t) \rightarrow \bar{M}^0) \neq P(\bar{M}^0(t) \rightarrow M^0)$$

Occurs if:  $|q/p| \neq 1$

Where p,q - complex coefficients relating the mass and the flavour eigenstates

$$|M_{1,2}\rangle = p|M^0\rangle \pm q|\bar{M}^0\rangle$$

# Types of CPV: indirect CPV

CPV in interference (mixing and decay amplitudes can interfere)

Present if the imaginary part of  $\lambda_f$  is non-zero

$$\lambda_f \equiv \frac{q\bar{A}_f}{pA_f} = -\eta_{CP} \left| \frac{q}{p} \right| \left| \frac{\bar{A}_f}{A_f} \right| e^{i\phi}$$

$\phi$  is the CP violating relative phase between  $q/p$  and  $\bar{A}_f/A_f$

It involves neutral particles

The indirect CP violation is independent of the decay mode.

# CPV in K,B,D mesons

- Discovered in decays of strange and beauty mesons containing quarks from the down sector
- What about the up-sector?

mass→	2.4 MeV	1.27 GeV	171.2 GeV
charge→	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
spin→	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
name→	up	charm	top
	<b>u</b>	<b>c</b>	<b>t</b>
	up	charm	top
Quarks	4.8 MeV	104 MeV	4.2 GeV
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
	<b>d</b>	<b>s</b>	<b>b</b>
	down	strange	bottom



# Charm

- Charm is unique: only bound up-type quark system where mixing and CP violation can occur

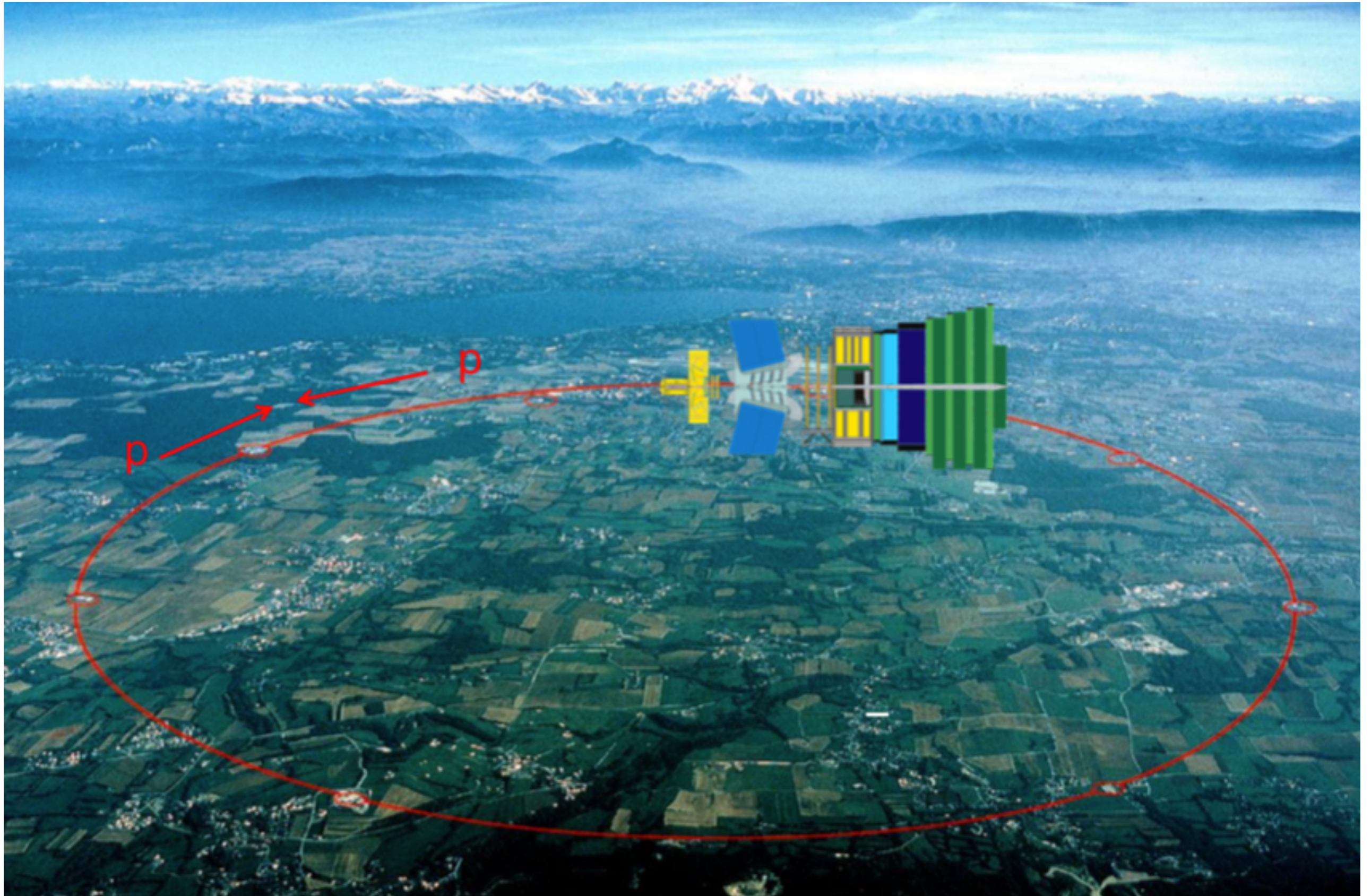
No CP violation at first order: imaginary part of  $V_{cd}$  very small

$$V_{CKM} \approx \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda - iA^2\lambda^5\eta & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \hat{\rho} - i\hat{\eta}) & -A\lambda^2 - iA\lambda^4\eta & 1 \end{pmatrix} \begin{matrix} u \\ c \\ t \end{matrix}$$

d                      s                      b

- Making precise SM predictions in the D-meson sector is difficult
  - Perturbative QCD valid at energies  $\gg 1$  GeV
  - Chiral perturbation theory valid between 0.1 GeV and 1 GeV
  - $D^0$  mass = 1.864 GeV

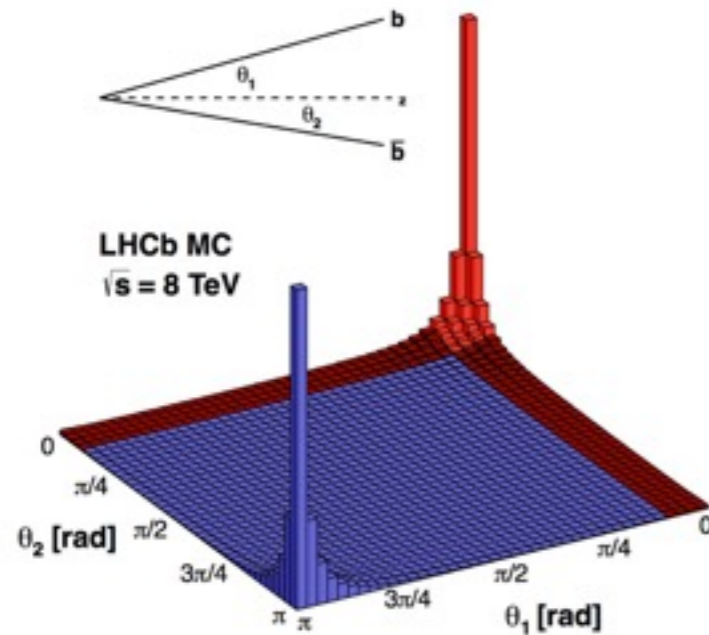
# LHC & LHCb



# Forward spectrometer at LHC

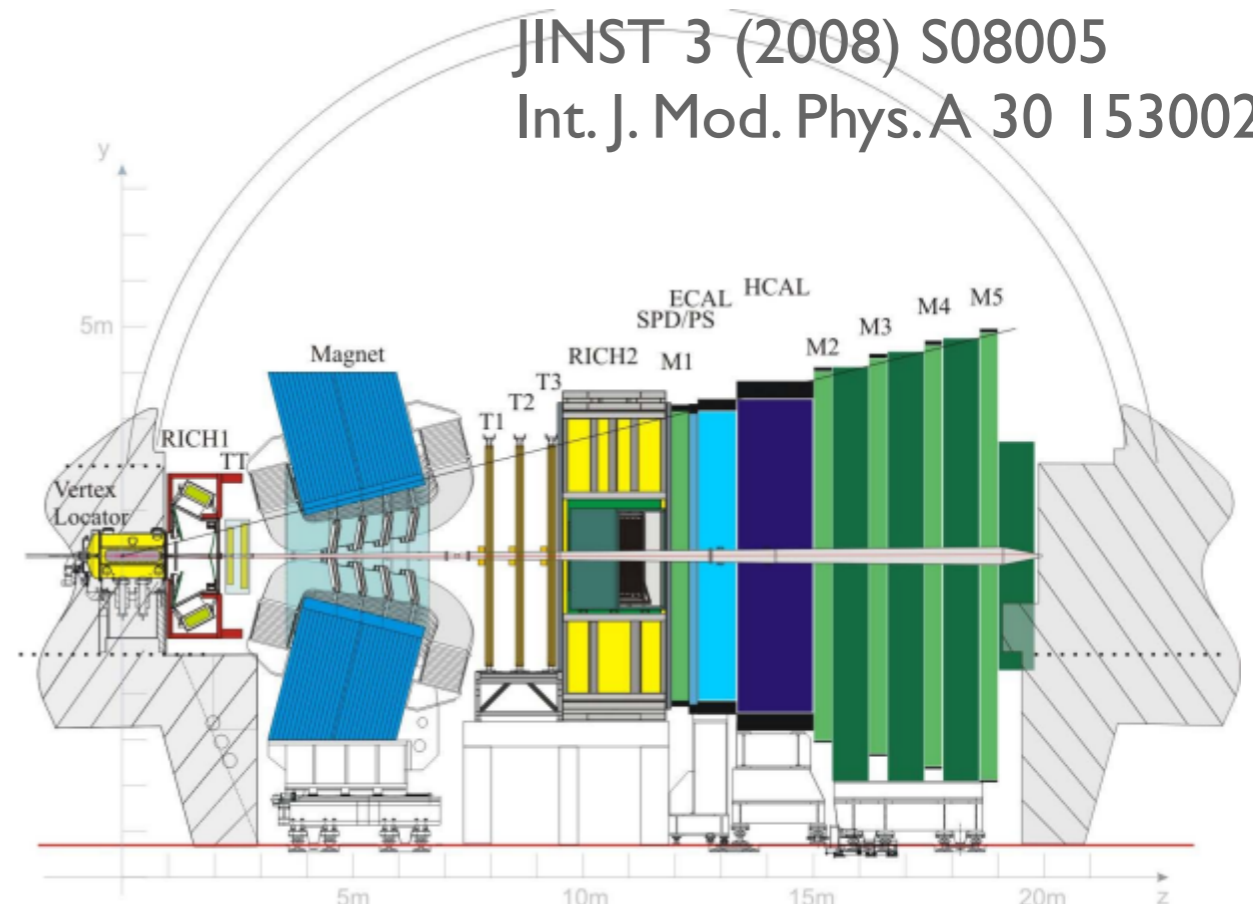
LHCb is optimised for heavy flavour physics

$b\bar{b}$  (and  $c\bar{c}$ ) production angles strongly correlated: heavily boosted in the forward or backward direction

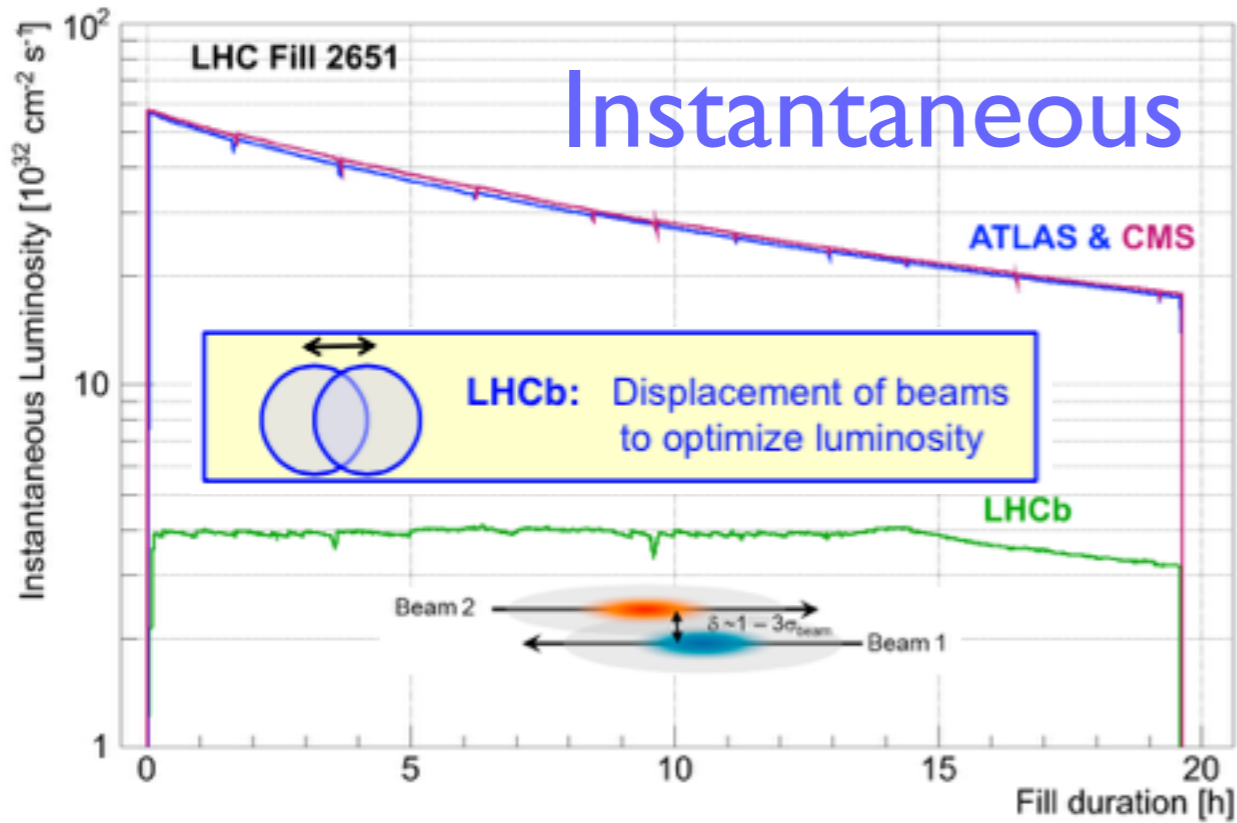


- Forward acceptance  $2 < \eta < 5$
- Precise vertex reconstruction
- Precise & efficient tracking
- Excellent decay time resolution  $\sim 0.1 \text{ TD}$
- Hadron identification: RICHes
- Dipole magnet with reversible polarity

JINST 3 (2008) S08005  
Int. J. Mod. Phys. A 30 I530022

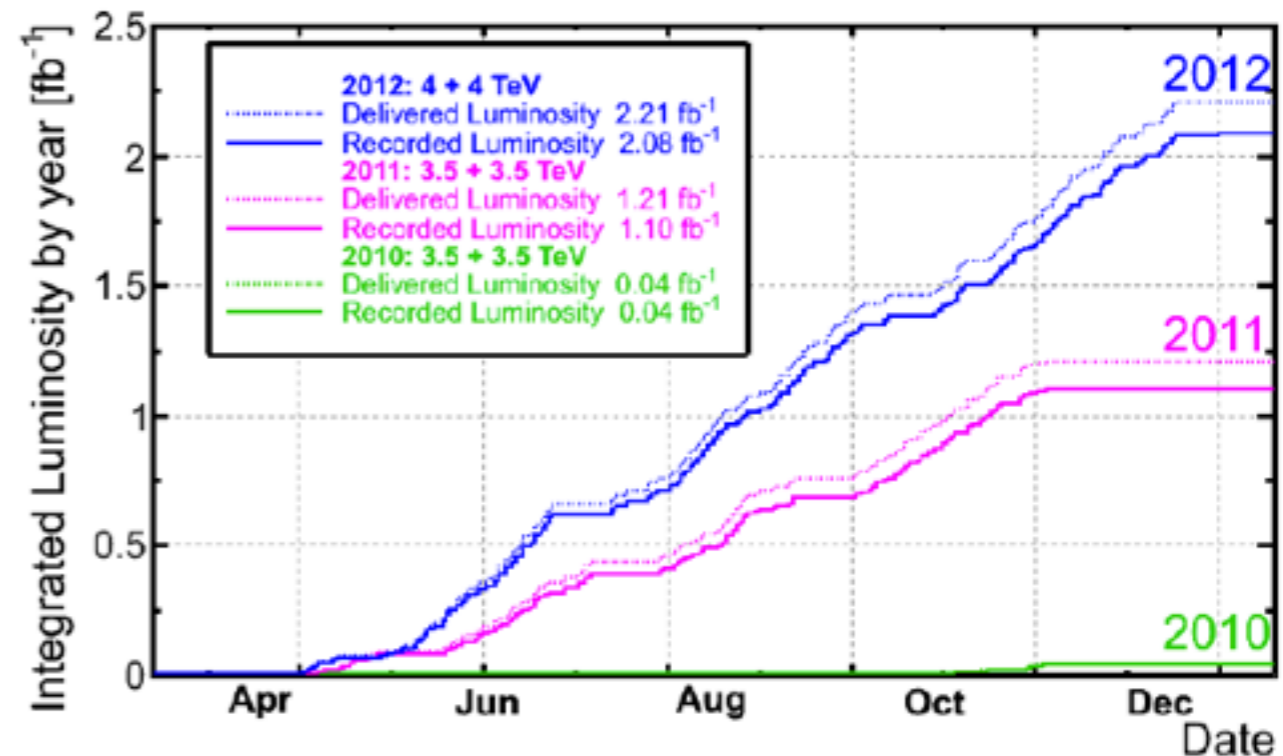


# Run I performance



Luminosity levelling unlike ATLAS and CMS: **uniform operating conditions**

- In total:
  - 2010:  $37 \text{ pb}^{-1}$  @ 7 TeV
  - 2011:  $1 \text{ fb}^{-1}$  @ 7 TeV
  - 2012:  $2 \text{ fb}^{-1}$  @ 8 TeV



# Charm production cross-sections @ 7TeV in LHCb acceptance

All c species produced at LHCb

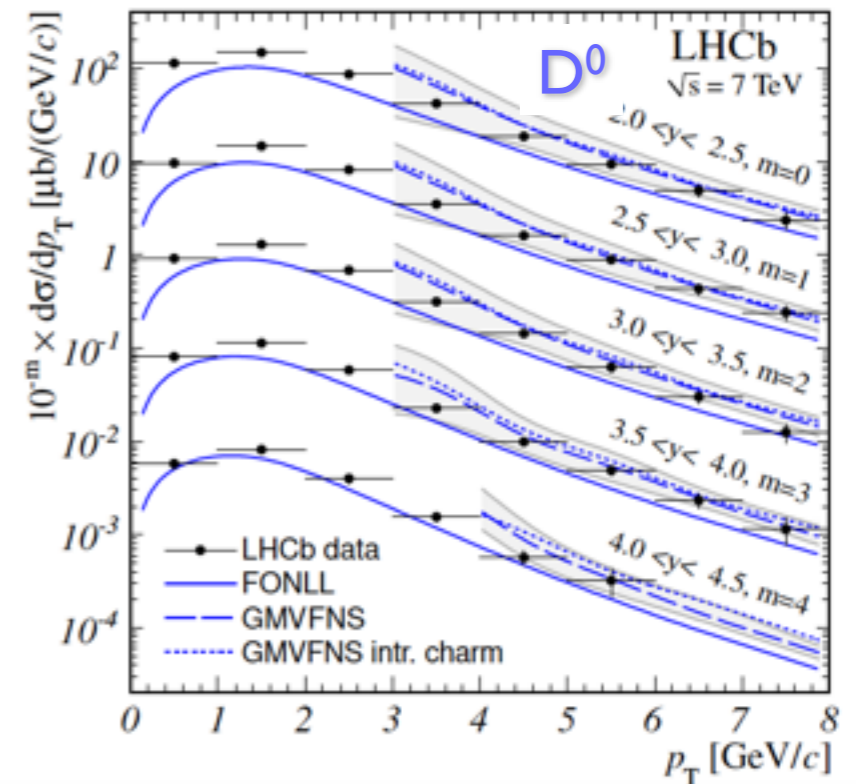
$$\sigma(D^0) = 1661 \pm 129 \mu\text{b}$$

$$\sigma(D^+) = 645 \pm 74 \mu\text{b}$$

$$\sigma(D^{*+}) = 677 \pm 83 \mu\text{b}$$

$$\sigma(D_s^+) = 197 \pm 31 \mu\text{b}$$

$$\sigma(\Lambda_c^+) = 233 \pm 77 \mu\text{b}$$



- Cross section for  $c\bar{c}$  in LHCb acceptance

$$\sigma(c\bar{c})_{p_T < 8 \text{ GeV}/c, 2.0 < y < 4.5} = 1419 \pm 12 \text{ (stat)} \pm 116 \text{ (syst)} \pm 65 \text{ (frag)} \mu\text{b}$$

2010 data

Nucl.Phys. B871 (2013) 1-20

- $\sim 5 \times 10^{12}$   $D^0$  mesons produced in LHCb acceptance in run I
- Huge statistics of prompt and secondary charm: worlds' best sensitivity to very small CP asymmetries

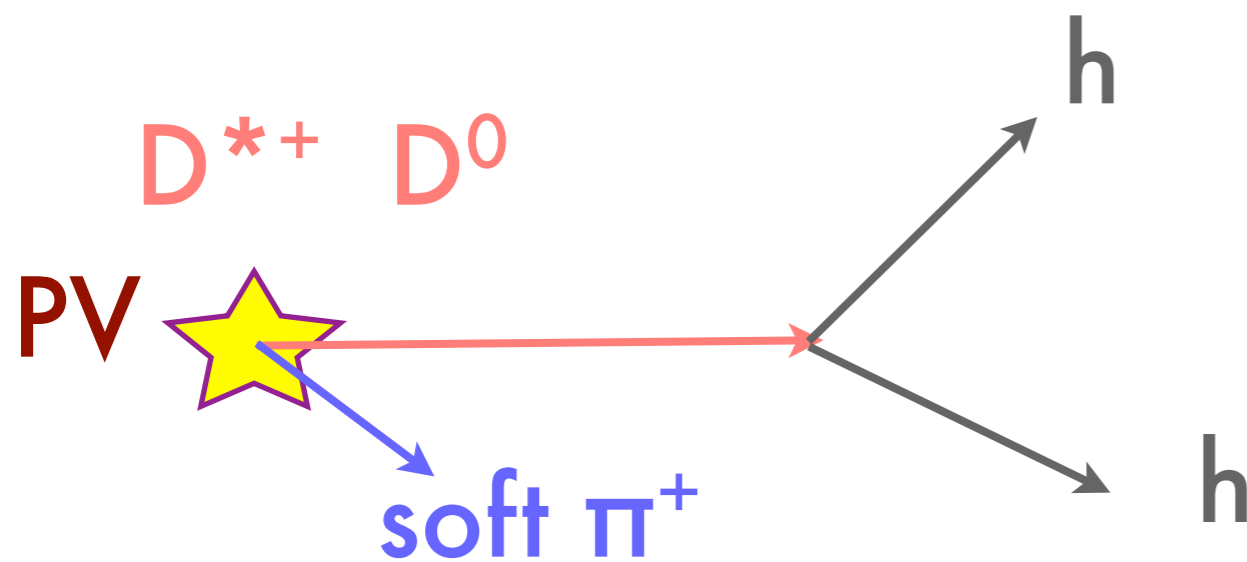
# The CP asymmetries

Measure the asymmetry in the SCS decays  $D^0 \rightarrow hh$   
decays ( $h=K$  or  $\pi$ )

$$A_{CP}(f) = \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow \bar{f})}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow \bar{f})}$$

How do we identify the flavour of the neutral D mesons?

# $D^0$ flavour tagging: prompt and secondary charm

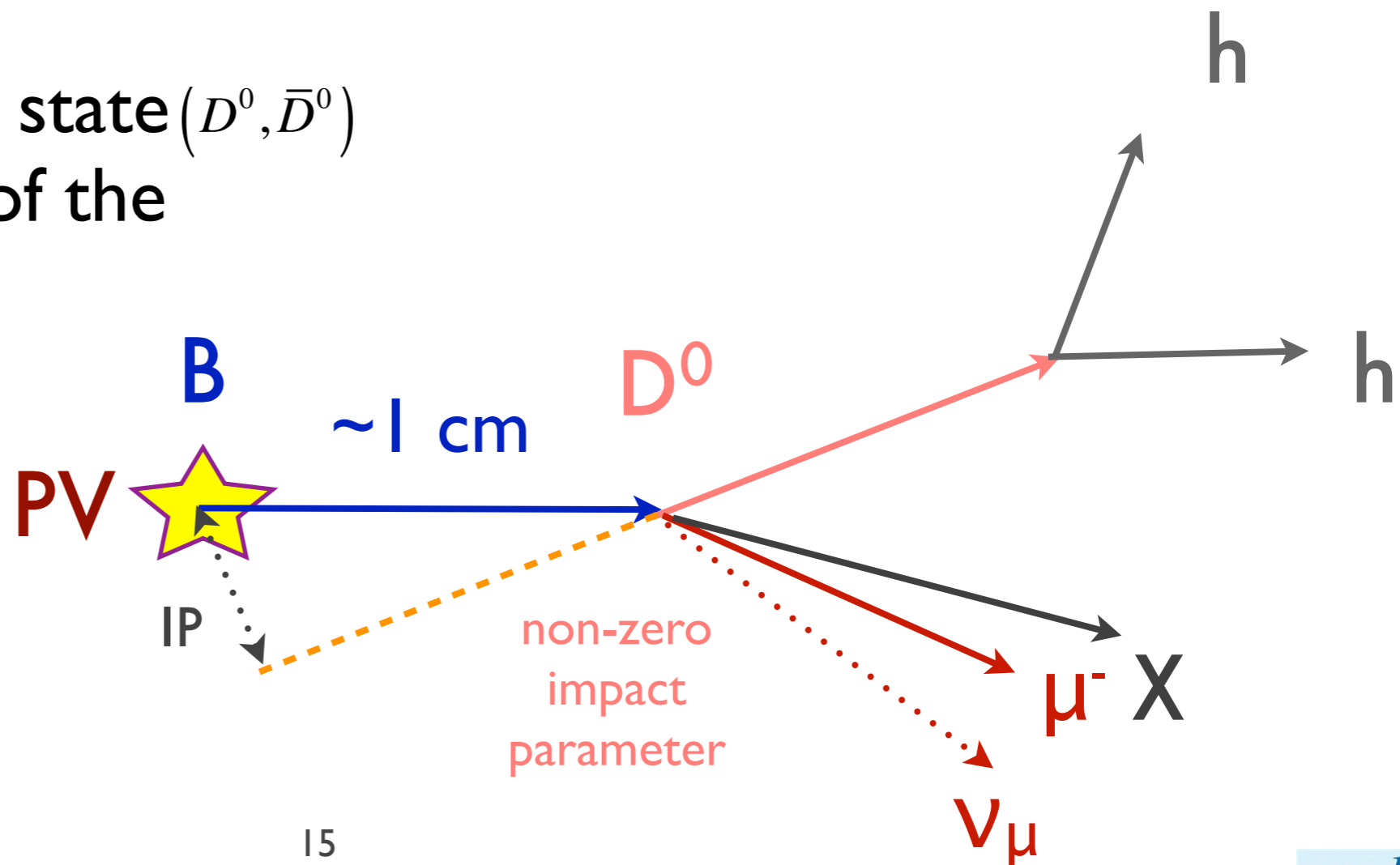


Prompt charm:

D points to primary vertex

Daughters of D don't in general

The flavour of the initial state ( $D^0, \bar{D}^0$ ) is tagged by the charge of the **soft pion** or the **muon**

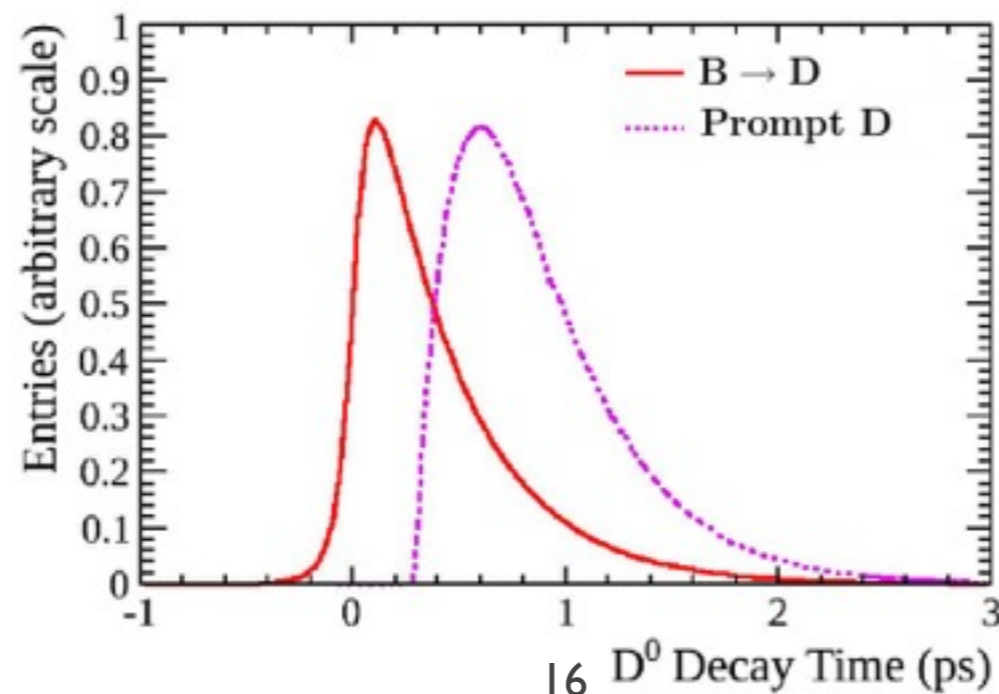


Secondary charm:

D doesn't point to PV

# Prompt vs secondary decays

- Reconstructed prompt  $D^0$  decays  $\approx 3x$  muon - tagged  $D^0$  decays
- Small IP parameter for prompt decays; larger for muon-tagged decays
- Smaller flight distance for prompt decays; larger for the muon-tagged decays
- Different decay-time acceptances



Convolution of (decay time x time resolution) and acceptance



# Indirect CPV in charm

# $A_\Gamma$ : Indirect CPV in $D^0 \rightarrow h^+ h^-$ decays

Comprises CPV in mixing and in the interference

$$A_\Gamma \approx A_M y \cos\phi + x \sin\phi \equiv -a_{CP}^{\text{ind}}$$

(Neglecting direct CPV:  $A_d y \cos\phi$ )

$$A_M = \frac{|q/p|^2 - |p/q|^2}{|q/p|^2 + |p/q|^2}$$

CPV phase  $\phi = \beta_c \approx 0.35^\circ$  (theory) : tiny

mixing parameters  $y \equiv \Delta\Gamma/(2\Gamma)$      $x \equiv \Delta m/\Gamma$

$$\Delta\Gamma \equiv \Gamma_2 - \Gamma_1 \quad \Delta m \equiv m_2 - m_1$$

**Time dependent:** Measure asymmetries of effective lifetimes of decays to CP eigenstates

$$A_\Gamma \equiv [\tau(\bar{D}^0 \rightarrow h^+ h^-) - \tau(D^0 \rightarrow h^+ h^-)] / [\tau(\bar{D}^0 \rightarrow h^+ h^-) + \tau(D^0 \rightarrow h^+ h^-)]$$

**Universal:** does not depend on the decay mode

# $A_\Gamma$ predictions

Phenomenology of SUSY alignment models

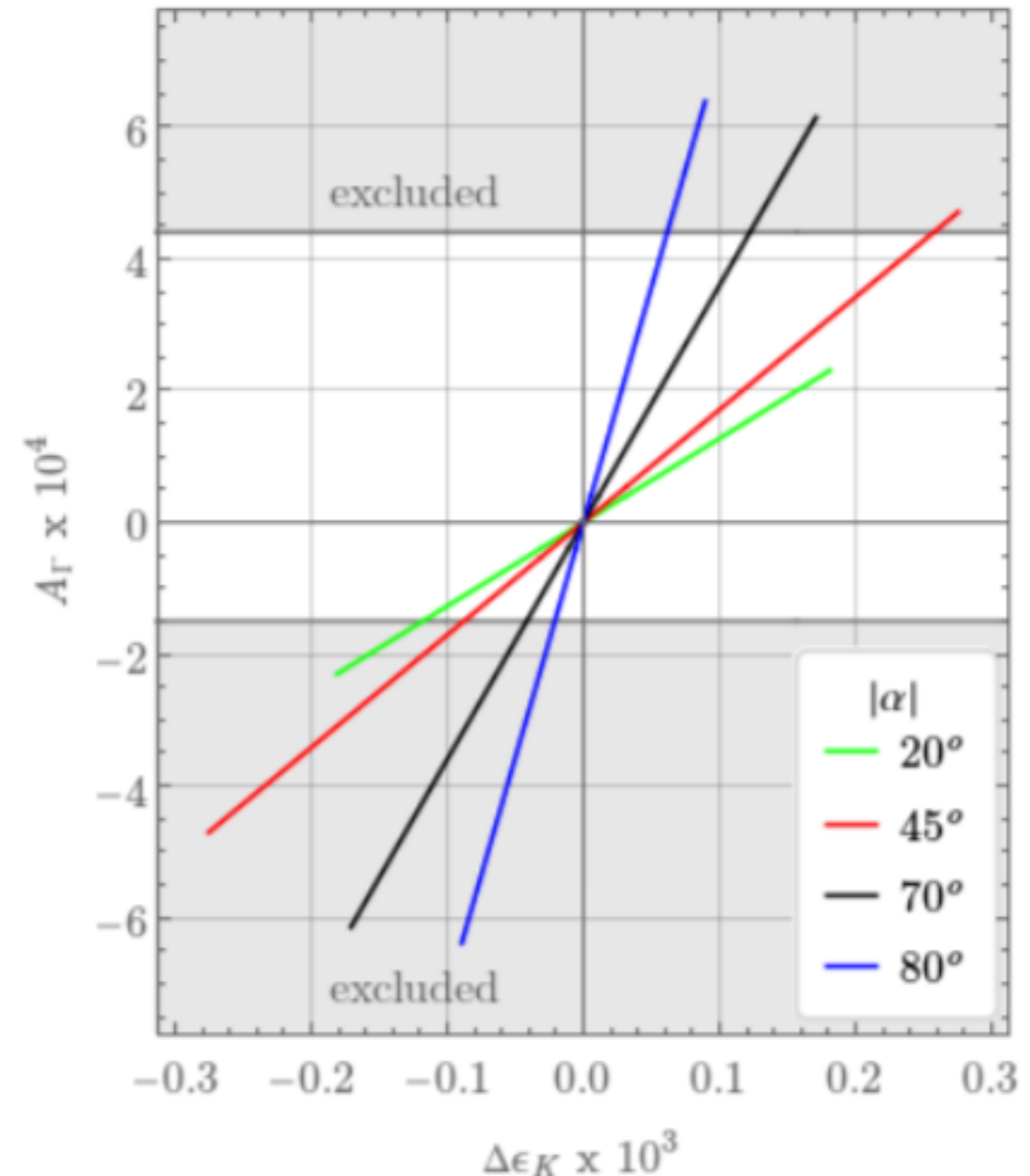
correlations between CP observables in  $K^0$  and  $D^0$  system

SM predicts  $A_\Gamma < 10^{-4}$

*Phys.Rev. D80 (2009) 076008,*  
*JHEP 1106 (2011) 089*

Enhancements up to 1 order of magnitude are possible in BSM models

Large  $A_\Gamma$  or final state dependence will indicate NP

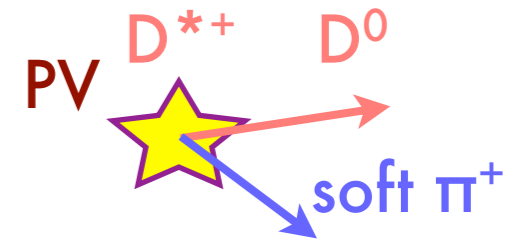


Ghosh, Paradisi, Perez, Spada  
arXiv:1512.03962

# Indirect CP violation in prompt $D^0 \rightarrow h^+ h^-$ ( $1 \text{ fb}^{-1}$ )

Measurements use **prompt**  $D^0 \rightarrow K^- K^+$  and  $D^0 \rightarrow \pi^- \pi^+$  decays ( $1 \text{ fb}^{-1}$ )

Fit the asymmetries between the decay times

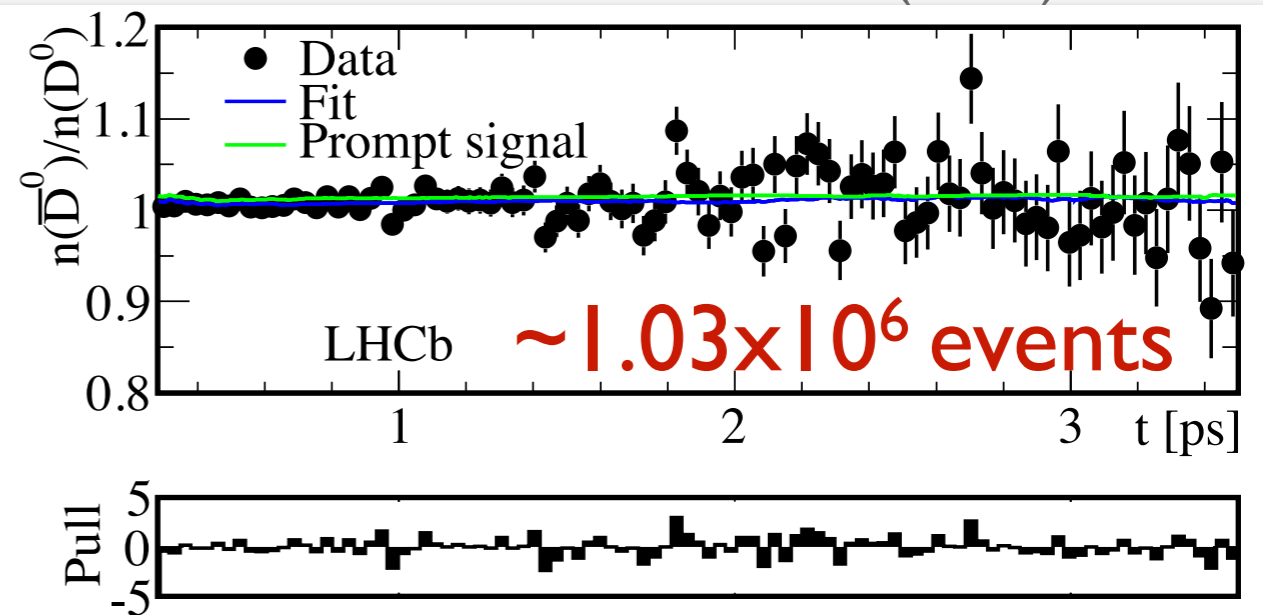
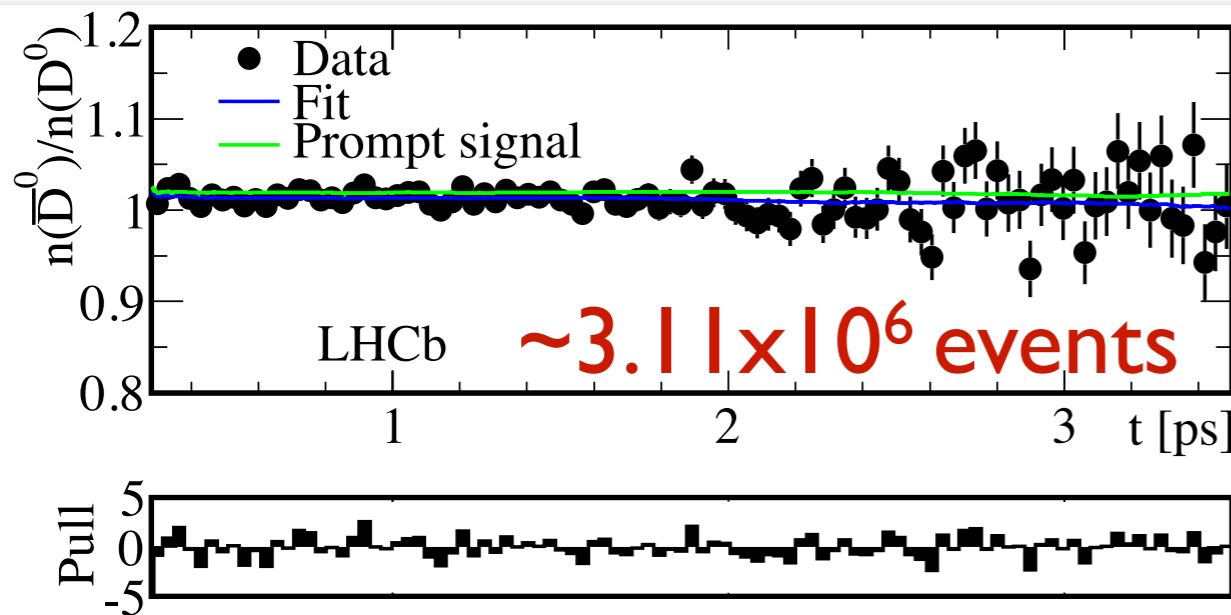


$$A_{\Gamma}(KK) = (-0.35 \pm 0.62 \pm 0.12) \times 10^{-3}$$

$$A_{\Gamma}(\pi\pi) = (0.33 \pm 1.06 \pm 0.14) \times 10^{-3}$$

Most precise measurement of charm CP asymmetries

PRL 112 (2014) 041801



ratio of  $D^0/\bar{D}^0$  data and fit models

# Indirect CPV in muon-tagged $D^0 \rightarrow h^+ h^-$ ( $3\text{fb}^{-1}$ )

Fit the time dependent CP asymmetry to extract  $A_\Gamma$

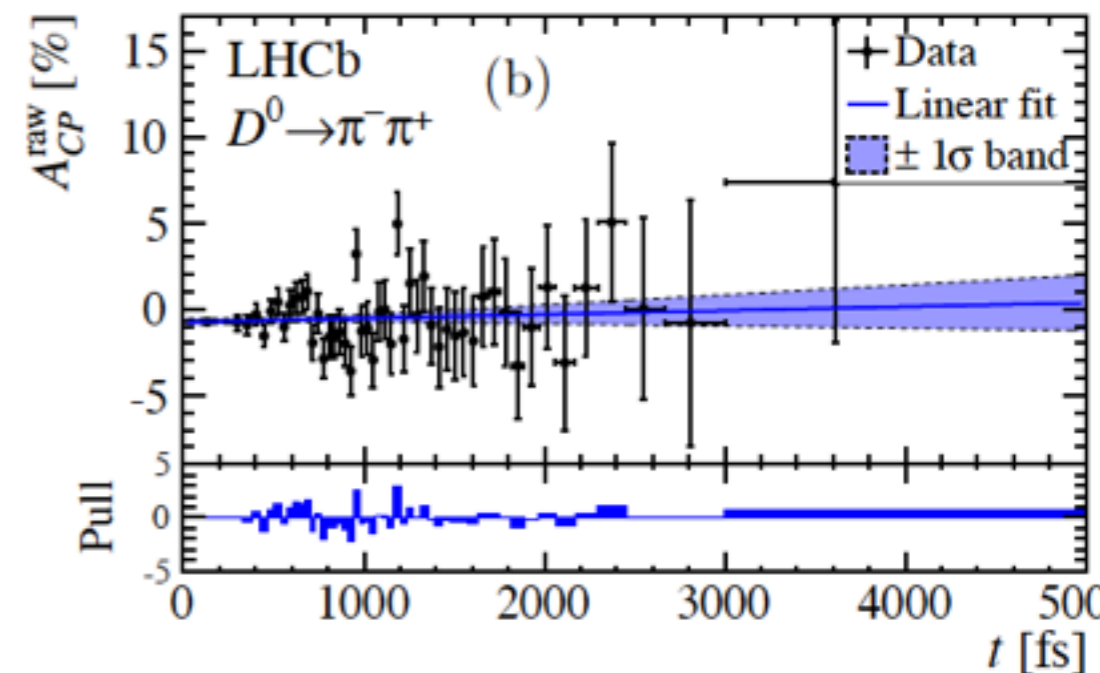
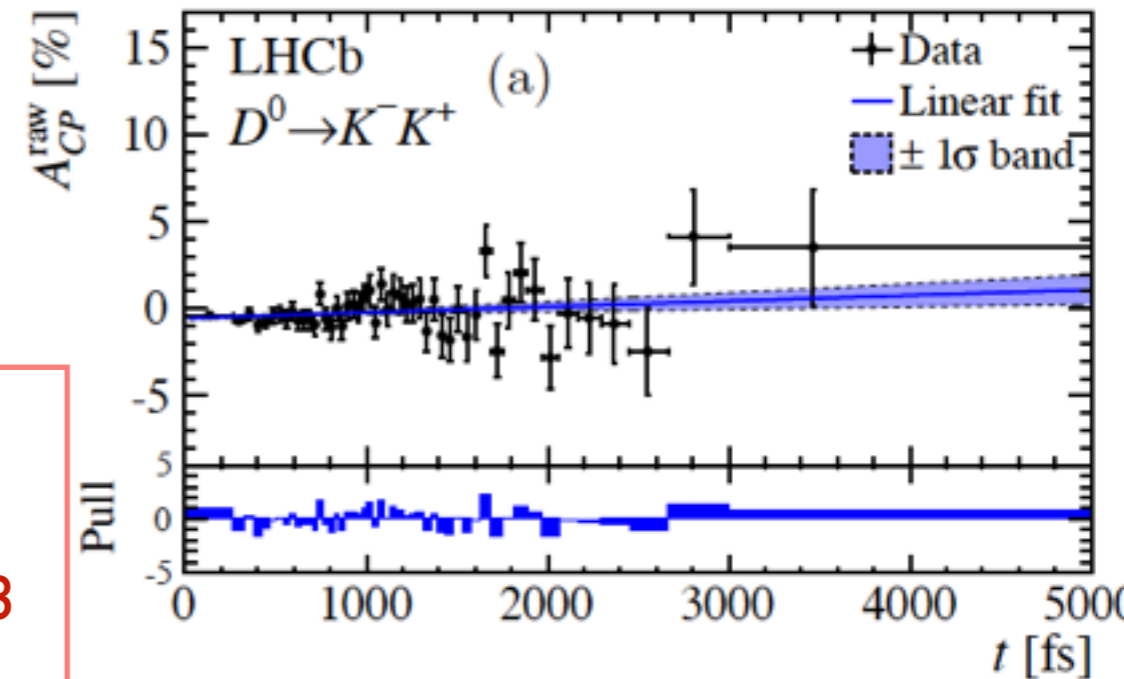
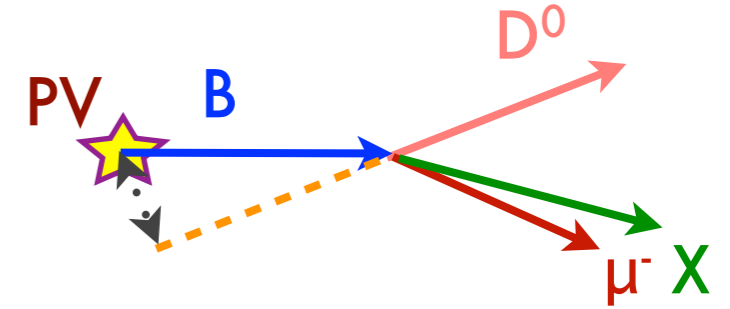
$$A_{\text{raw}}(t) = A_{\text{CP}}^{\text{dir}} - A_\Gamma t/\tau + A_P + A_d$$

$$A_\Gamma(\text{KK}) = (-1.34 \pm 0.77^{+0.26}_{-0.34}) \times 10^{-3}$$

$$A_\Gamma(\pi\pi) = (-0.92 \pm 1.45^{+0.25}_{-0.33}) \times 10^{-3}$$

Prompt and secondary charm results are uncorrelated

Using both tagging techniques improves decay time coverage



# Direct CPV searches in two-body charm decays

# Direct CPV

- Condition for direct CPV:  $|A/\bar{A}| \neq 1$
- Need  $A$  and  $\bar{A}$  to consist of (at least) two parts: with different weak ( $\varphi$ ) and strong ( $\delta$ ) phases
- Divide amplitudes into leading and sub-leading parts:

$$A(D \rightarrow f) = C(1 + re^{i(\delta + \phi)})$$

$$\bar{A}(\bar{D} \rightarrow \bar{f}) = C(1 + re^{i(\delta - \phi)})$$

- $C$  is the leading amplitude
- $r$  is the ratio of sub-leading over leading amplitude

- CP violation requires difference in strong ( $\delta$ ) and weak phase ( $\phi$ ):

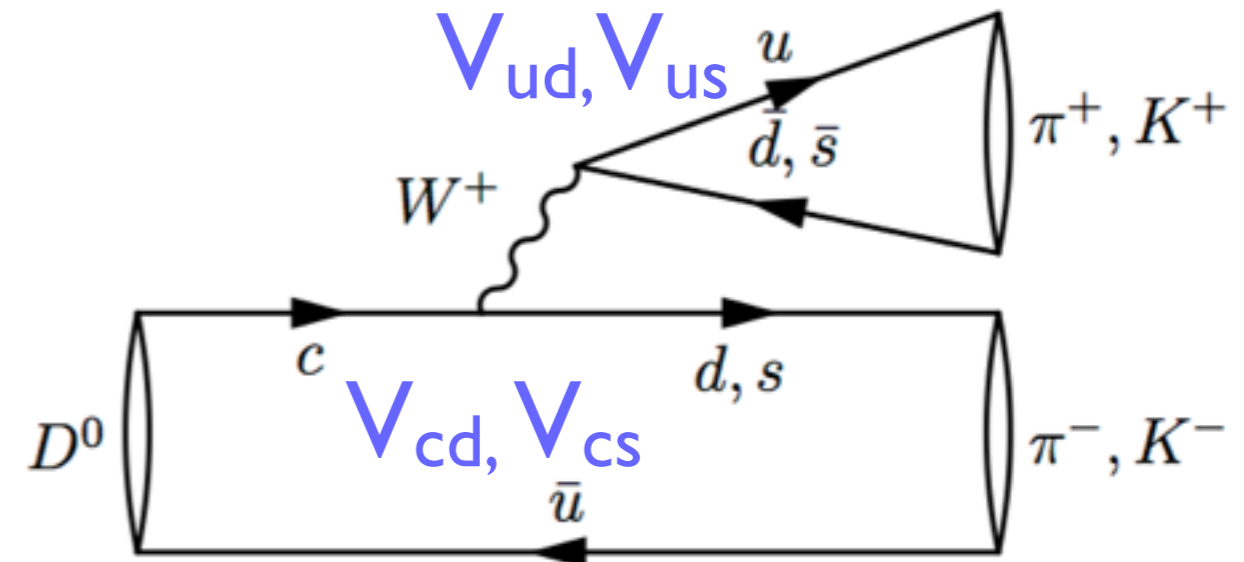
$$a_{CP} \equiv (|A|^2 - |\bar{A}|^2) / (|A|^2 + |\bar{A}|^2) = 2r \sin(\delta) \sin(\phi)$$

# CPV in decay: SCS $D^0 \rightarrow h^+ h^-$ decays

Often realised by “tree” and “penguin” diagrams

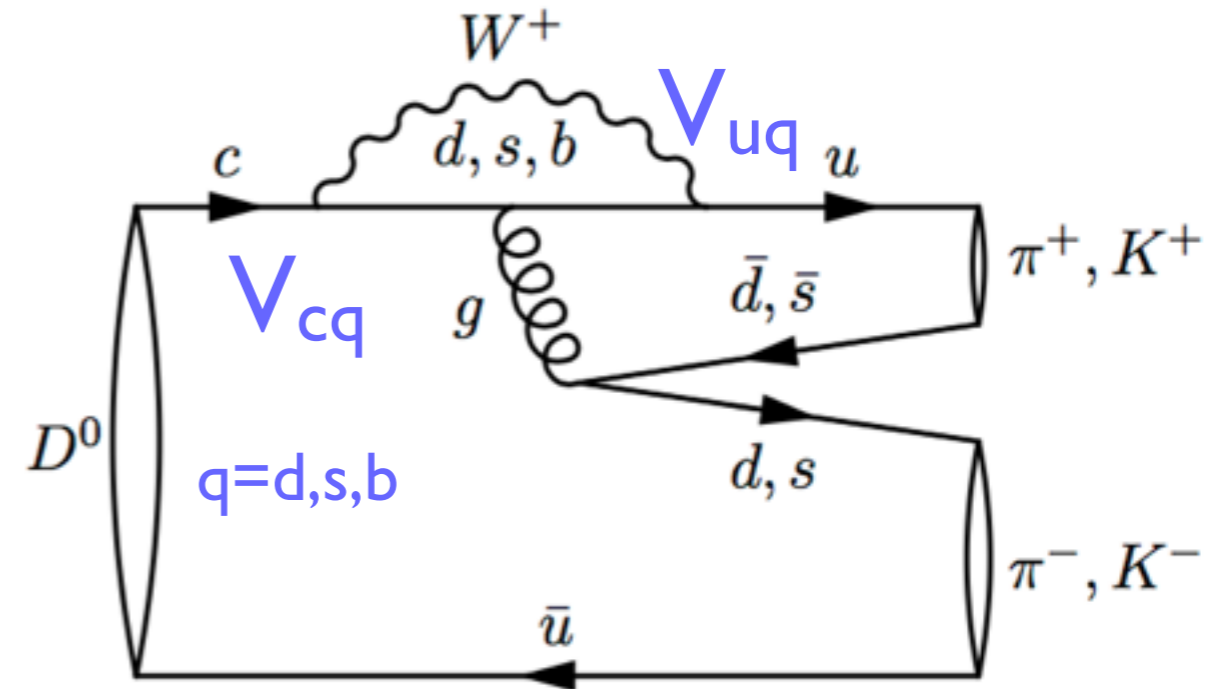
## Tree-level weak decay amplitude.

- involves the CKM matrix elements
  - $V_{us}$  and  $V_{cs}$  for  $D^0 \rightarrow K^+ K^-$
  - $V_{ud}$  and  $V_{cd}$  for  $D^0 \rightarrow \pi^+ \pi^-$



## One-loop amplitude (“penguin”)

- **b-loop** involves  $V_{ub} V_{cb}^*$ : tiny
- **s and d loops**: similar magnitude, opposite sign



$V_{us} \approx -V_{cd} \approx 0.22$  gives the Cabbibo suppression



# What to expect?

Individual asymmetries are expected to have opposite sign due to CKM structure

$$A(\bar{D}^0 \rightarrow \pi^+\pi^-, K^+K^-) = \mp \frac{1}{2} (V_{cs}V_{us}^* - V_{cd}V_{ud}^*) (T \pm \delta S) - V_{cb}V_{ub}^* (P \mp \frac{1}{2}\delta P),$$

Direct CP violation depends on the decay mode: can be different for different final states

Expect non-zero  $\Delta A_{CP} = A_{CP}(KK) - A_{CP}(\pi\pi)$  result in presence of direct CP violation

# $\Delta A_{CP}$

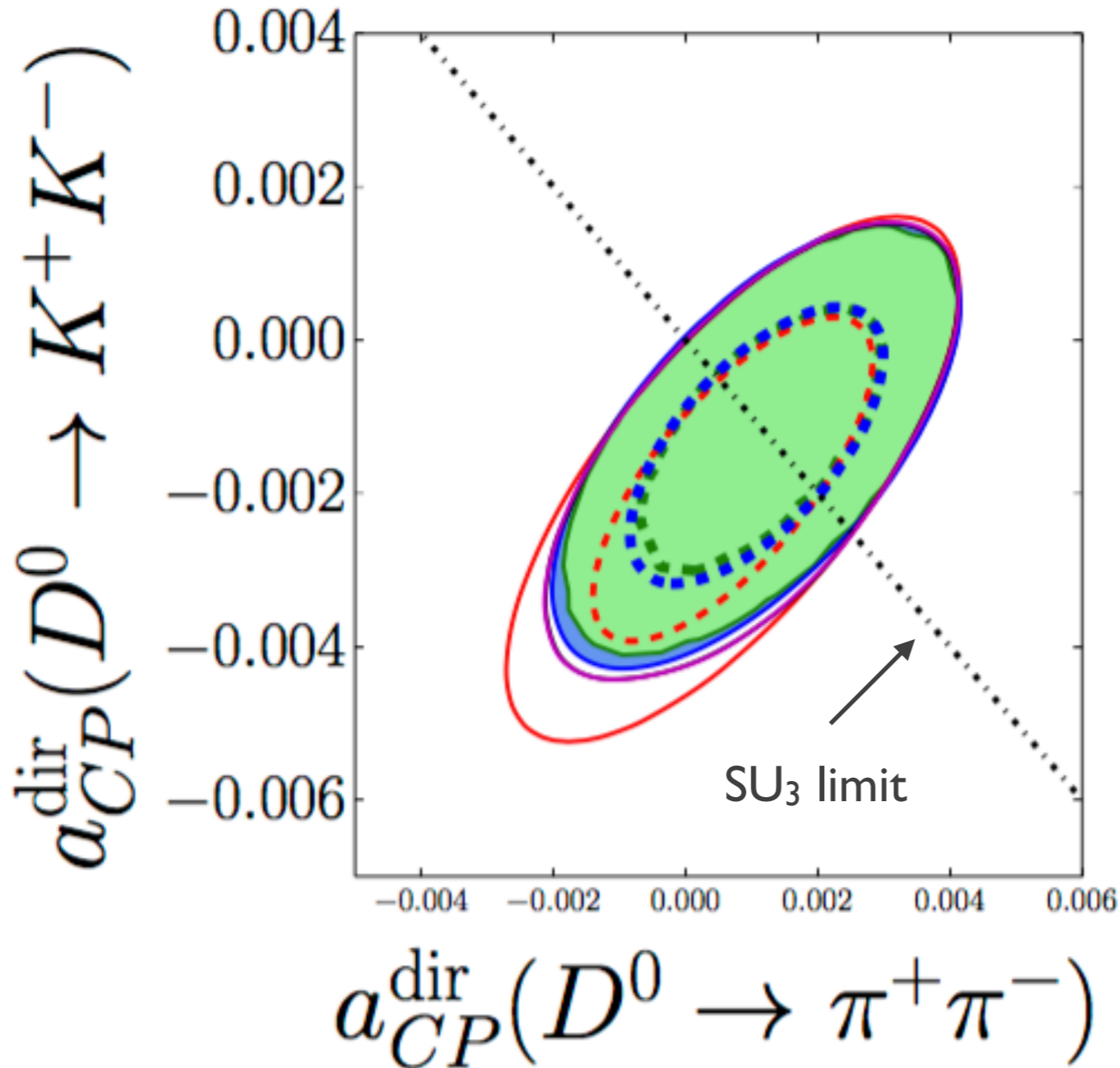
$$A_{CP}(f) \approx a_{CP}^{\text{dir}}(f) \left( 1 + \frac{\langle t(f) \rangle}{\tau} y_{CP} \right) + \frac{\langle t(f) \rangle}{\tau} a_{CP}^{\text{ind}} \quad \text{where } y_{CP} \equiv \frac{\Gamma_{CP\pm}}{\Gamma} - 1$$

$$\begin{aligned} \Delta A_{CP} &\equiv A_{CP}(K^- K^+) - A_{CP}(\pi^- \pi^+) \\ &\approx \Delta a_{CP}^{\text{dir}} \left( 1 + \frac{\overline{\langle t \rangle}}{\tau} y_{CP} \right) + \frac{\Delta \langle t \rangle}{\tau} a_{CP}^{\text{ind}} \end{aligned}$$

Mostly a measure of direct CPV

The indirect CPV is expected to cancel but a small amount could be present due to the different decay time acceptance of the two decays

# Theoretical expectations



$a_{CP}^{\text{dir}} < 10^{-2}$  within the SM

Enhancements up to 1 order of magnitude possible in some BSM models

Global fit of  $D \rightarrow hh$  branching ratios to **topological amplitudes** including linear  **$SU(3)_F$  breaking** and  **$1/N_c$ -counting**

Müller, Nieste, Schacht, Phys. Rev. Lett. 115, 251802 (2015)

# The CP asymmetries

Measure the time integrated asymmetry in the SCS decays  $D^0 \rightarrow hh$  decays ( $h=K$  or  $\pi$ )

$$A_{CP}(f) = \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow \bar{f})}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow \bar{f})}$$

But  $A_{CP}$  this is not what we measure. We measure

$$A_{raw}(f) = \frac{N(D^{*+} \rightarrow D^0(f)\pi_s^+) - N(D^{*-} \rightarrow \bar{D}^0(\bar{f})\pi_s^-)}{N(D^{*+} \rightarrow D^0(f)\pi_s^+) + N(D^{*-} \rightarrow \bar{D}^0(\bar{f})\pi_s^-)}$$

$f = \bar{f} = K^+K^-$   
or  
 $f = \bar{f} = \pi^+\pi^-$

where  $N(X)$  refers to the number of reconstructed events of decay  $X$  after background subtraction

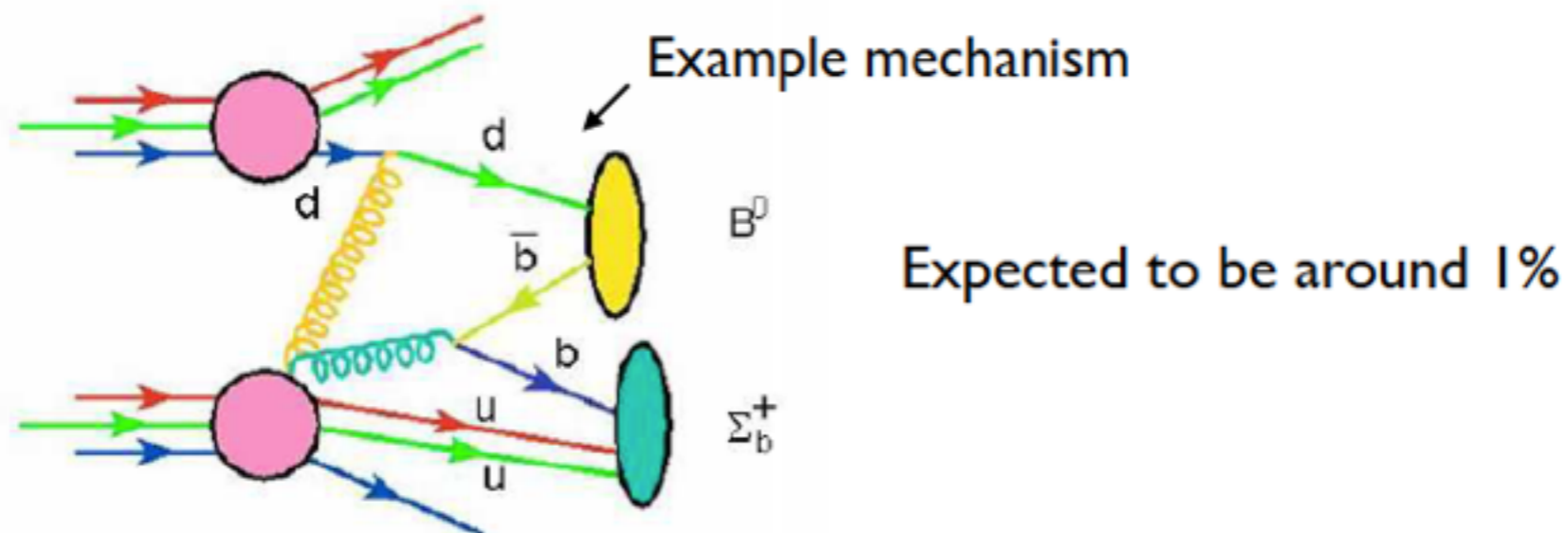
We measure the physical CP asymmetry plus asymmetries due to detection effects and production

$$A_{raw} = A_{CP} + A_{production} + A_{detection}$$

# Production asymmetries

Production rates of  $B^0$  and  $\bar{B}^0$  (or  $D^0$  and  $\bar{D}^0$ ) are not the same

gluon fusion, quarks combine with valence quark from the beam protons, valence quark scattering, etc.

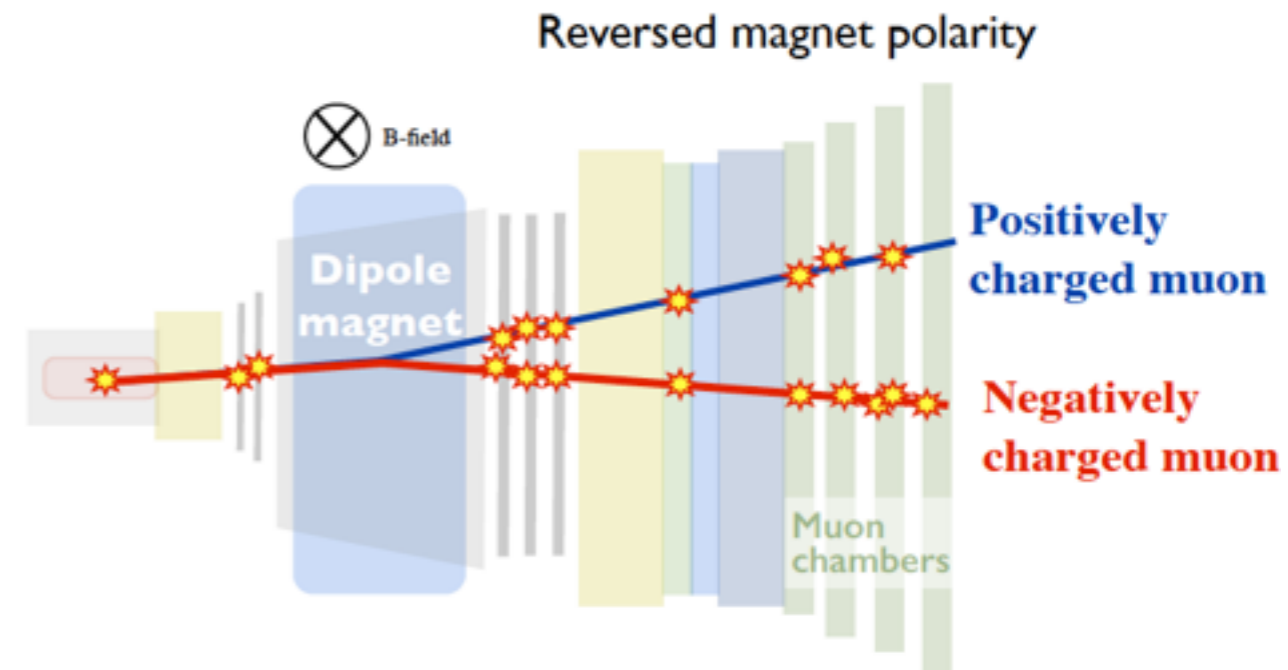
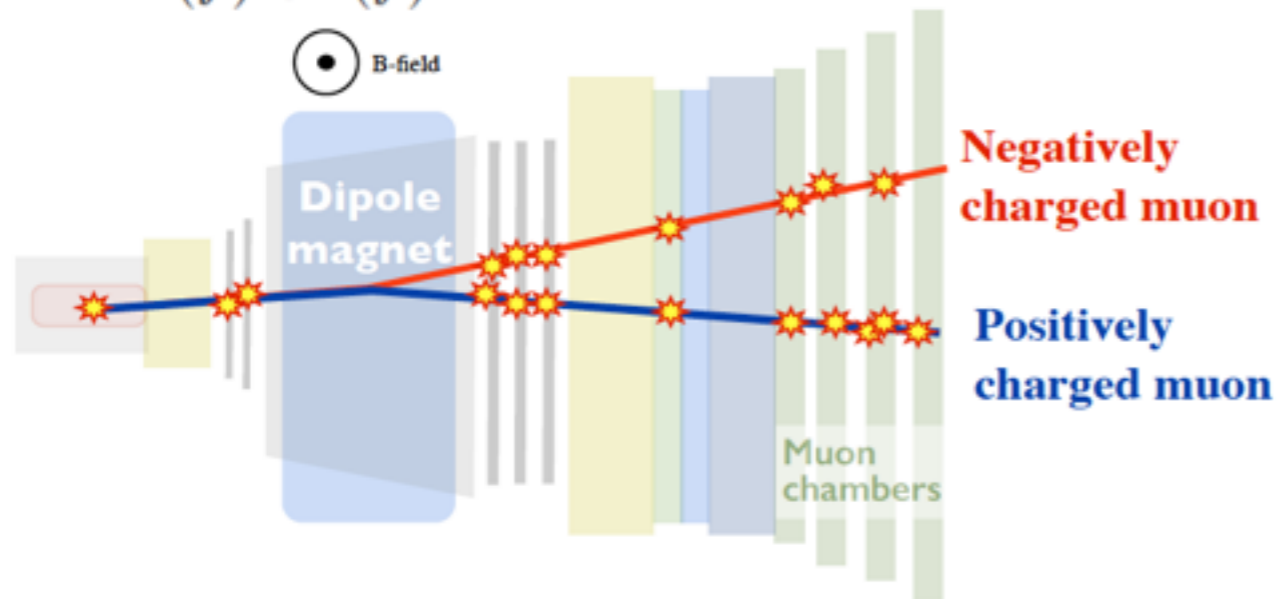


$$a_P = \frac{\sigma(pp \rightarrow \bar{B}) - \sigma(pp \rightarrow B)}{\sigma(pp \rightarrow \bar{B}) + \sigma(pp \rightarrow B)}$$

# Detection asymmetries (I)

- Detector asymmetries

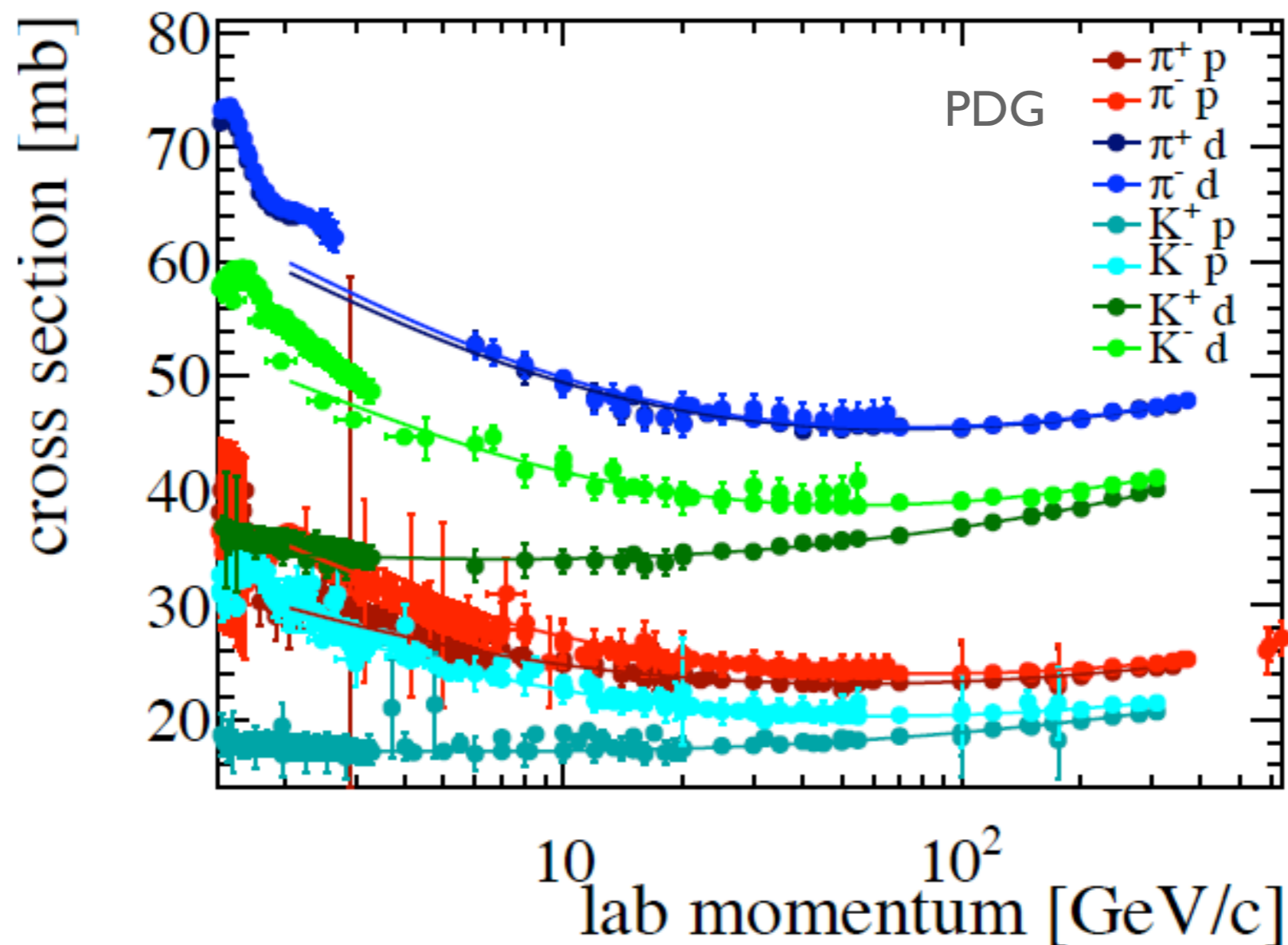
$$A_D = \frac{\varepsilon(f) - \varepsilon(\bar{f})}{\varepsilon(f) + \varepsilon(\bar{f})}$$



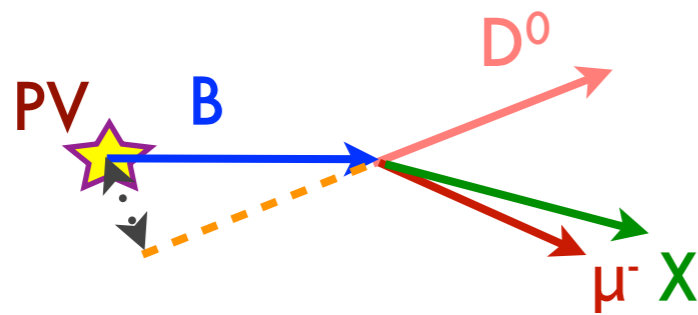
- Cancel left-right asymmetries by swapping dipole field
- But do not rely only on it (detectors move, alignment changes etc.)

# Detection asymmetries (II)

- Interaction asymmetries: e.g.  $K^+$  cross-section for interaction with matter differs from  $K^-$  cross-section



# Muon-tagged $\Delta A_{CP}$



$$A_{\text{raw}} = A_{CP} + A_{\text{production}}(\mathbf{B}) + A_{\text{detection}}(\boldsymbol{\mu})$$



$$\Delta A_{CP}$$

Main experimental challenge: separate the asymmetries

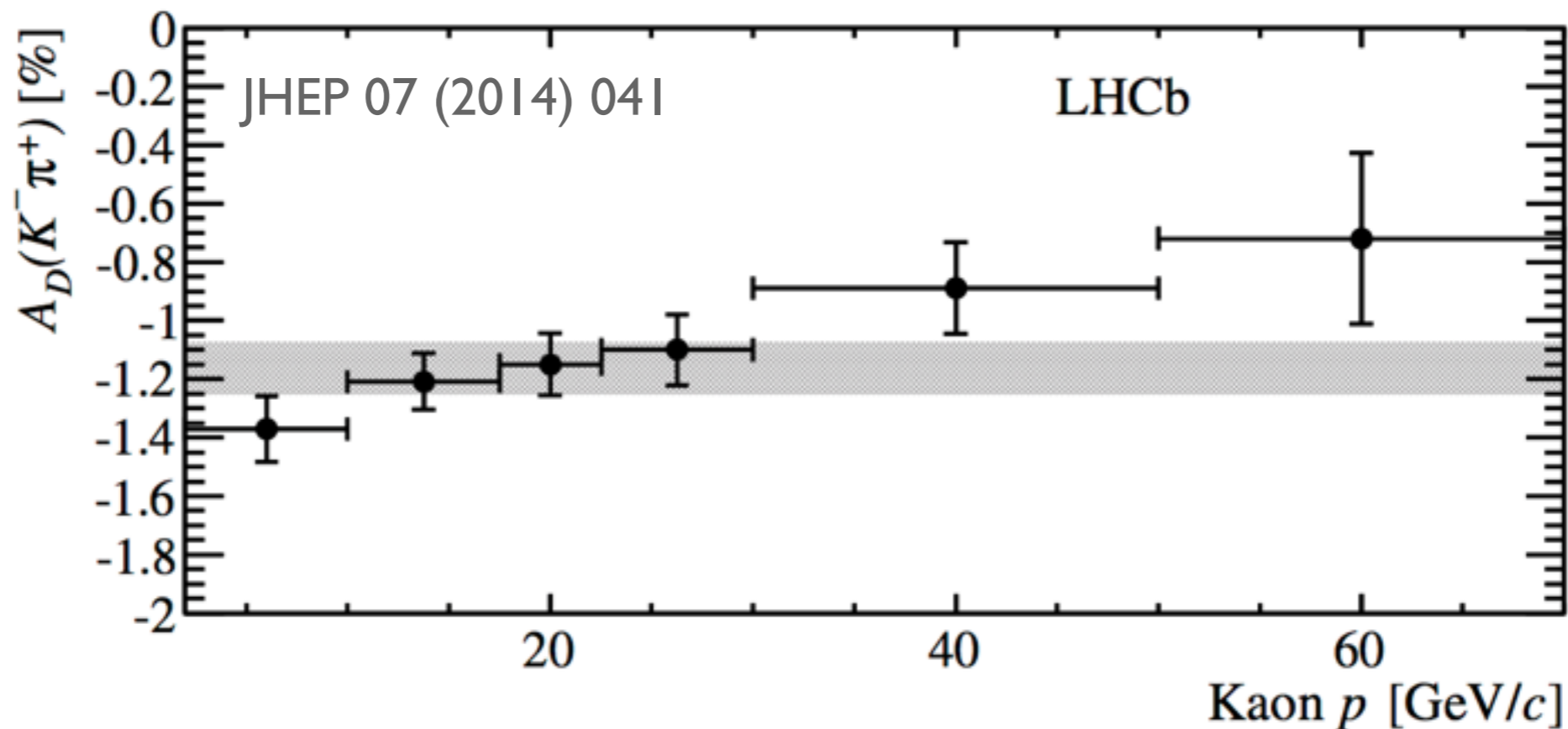
$$A_{raw} = A_{CP} + A_{production} + A_{detection}$$

if we take the raw asymmetry difference:  
**experimentally more robust**

$$\Delta A_{CP} \equiv A_{raw}(KK) - A_{raw}(\pi\pi) \stackrel{\text{1st order}}{=} A_{CP}(KK) - A_{CP}(\pi\pi)$$

# Cancellation of nuisance asymmetries

The detection asymmetries as well as the production asymmetries depend on the kinematics of the decay



$A_D, A_P$  ( $\sim 1\%$ ) cancel to 1st order but if the decays are kinematically very different there would be a residual nuisance asymmetry:  
equalise the  $KK$  and  $\pi\pi$  kinematical distributions by re-weighting

# Challenge: Individual CP asymmetries

$$A_{CP}(KK) = A_{raw}(KK) - \underbrace{A_D(\mu) - A_P(B)}$$

want

measure

Measure the nuisance asymmetries by using control modes with CF final states (= no CPV)

Additional asymmetries arising

$$A_D(K\pi) \longleftarrow B \rightarrow D^0 (\rightarrow K\pi) \mu^- \nu_\mu X$$

$$A_D(\pi^+), A_P(D^+) \longleftarrow \begin{cases} D^+ \rightarrow K^- \pi^+ \pi^+ \\ D^+ \rightarrow K_S^0 \pi^+ \end{cases} \rightarrow A_{CP/mix/int}(K^0)$$

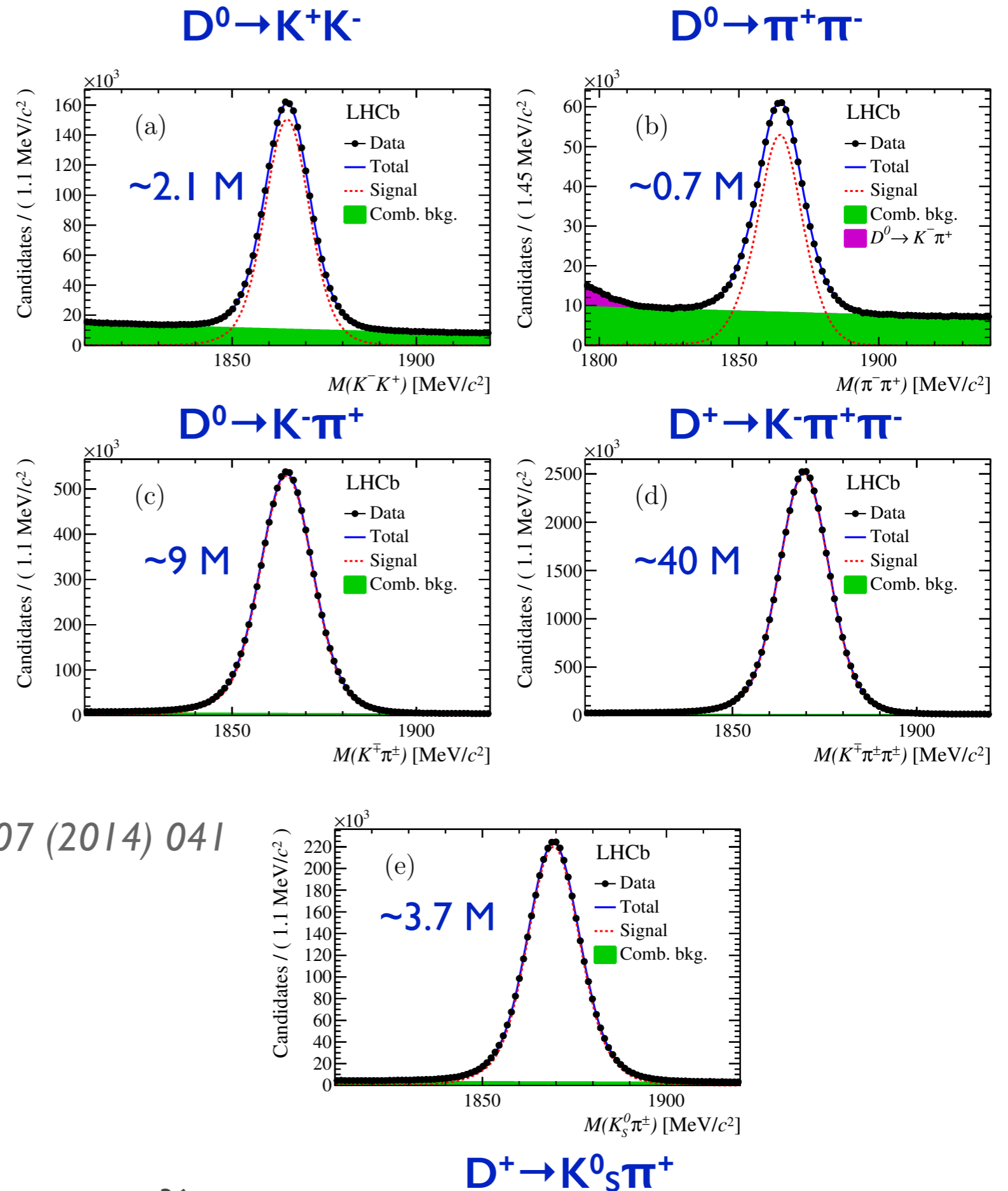
Careful treatment of kaon interactions with matter

$$A_{CP}(\pi\pi) = A_{CP}(KK) - \Delta A_{CP}$$

First measurement by LHCb of the two individual CP asymmetries

# Yields

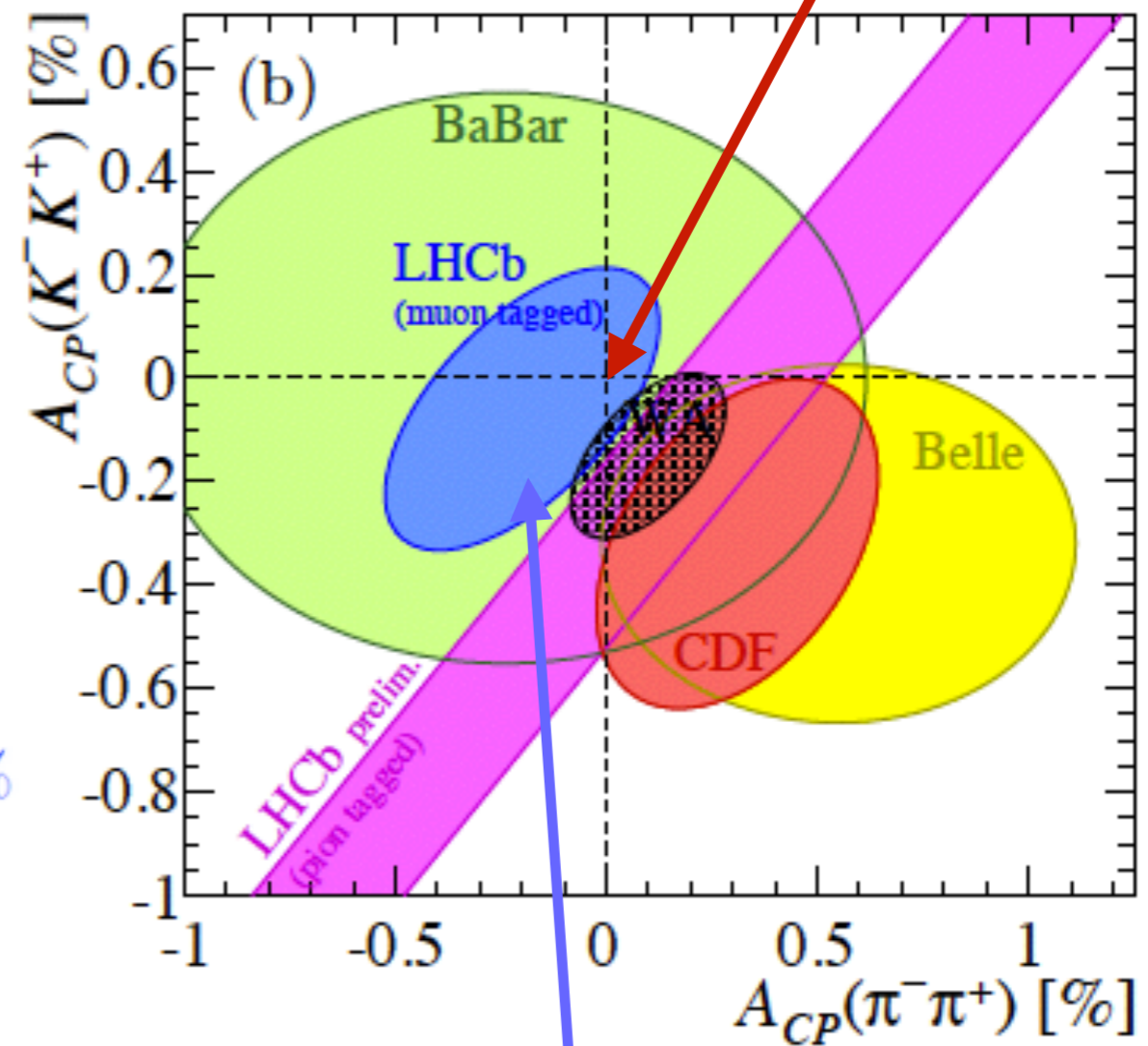
- Using 3 fb<sup>-1</sup> data (2011 and 2012 data)
- 2011 and 2012 data and the up-down magnet polarities independently analysed



JHEP 1407 (2014) 041

# World averages

No CPV point



$$\Delta A_{CP} = (+0.14 \pm 0.16 \text{ (stat)} \pm 0.08 \text{ (syst)})\%$$

$$A_{CP}(K^- K^+) = (-0.06 \pm 0.15 \text{ (stat)} \pm 0.10 \text{ (syst)})\%$$

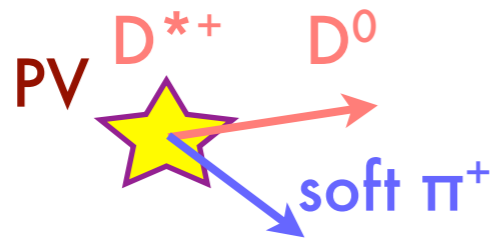
Correlation  $\rho = 0.28$

$$A_{CP}(\pi^- \pi^+) = (-0.20 \pm 0.19 \text{ (stat)} \pm 0.10 \text{ (syst)})\%$$

Most precise measurement of these individual asymmetries

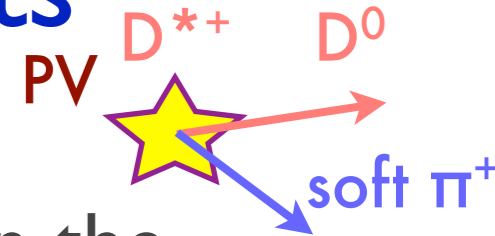
JHEP 1407 (2014) 041

# Prompt $\Delta A_{CP}$

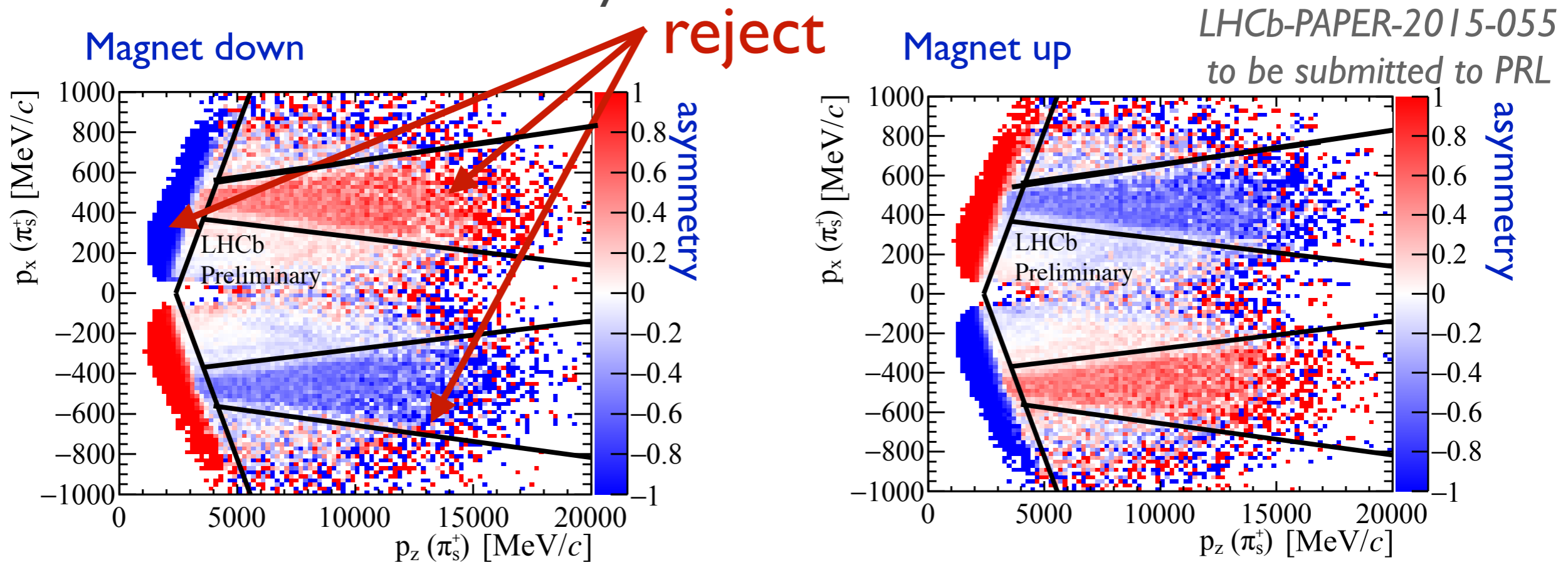


$$A_{\text{raw}} = A_{CP} + A_{\text{production}}(D^{*+}) + A_{\text{detection}}(\pi^+)$$

# Selection of prompt $D^0 \rightarrow h^+ h^-$ events

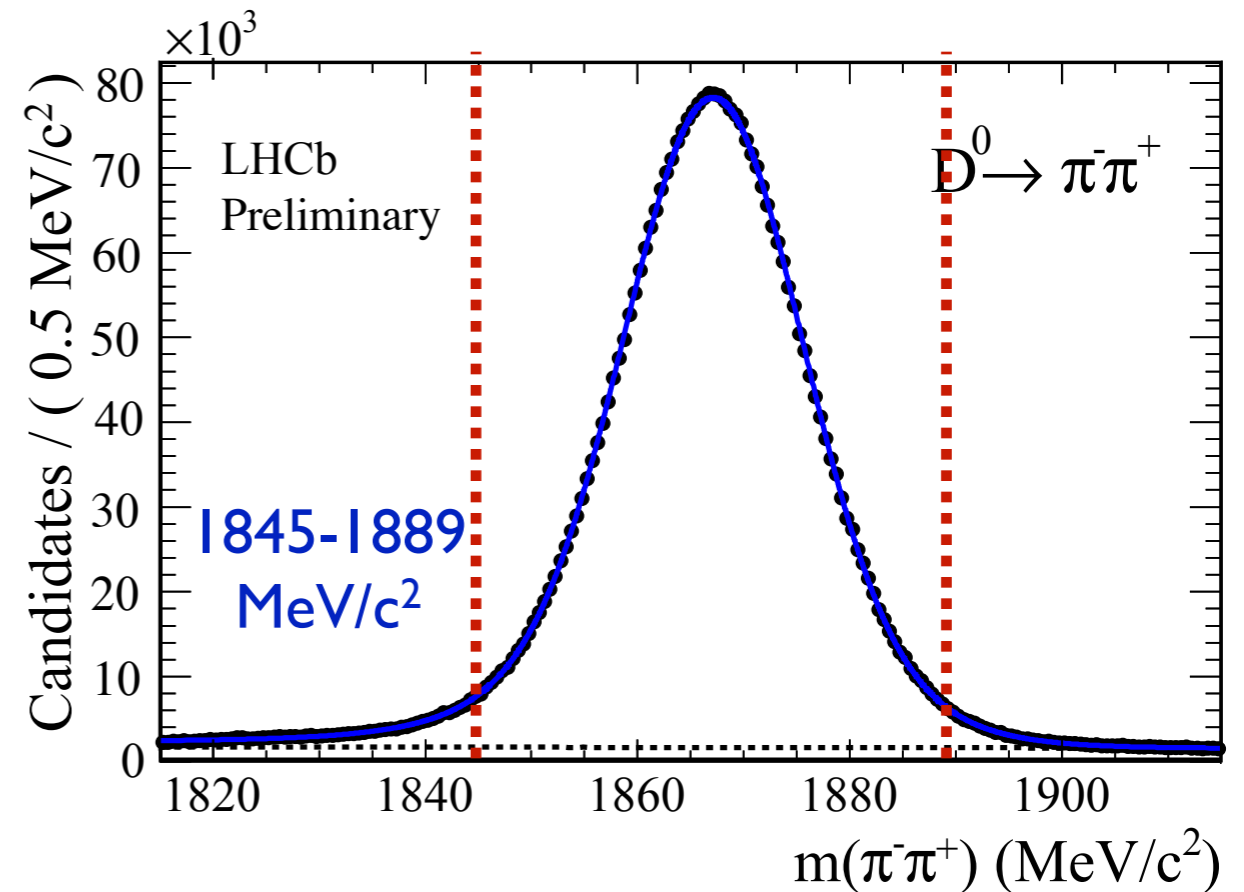
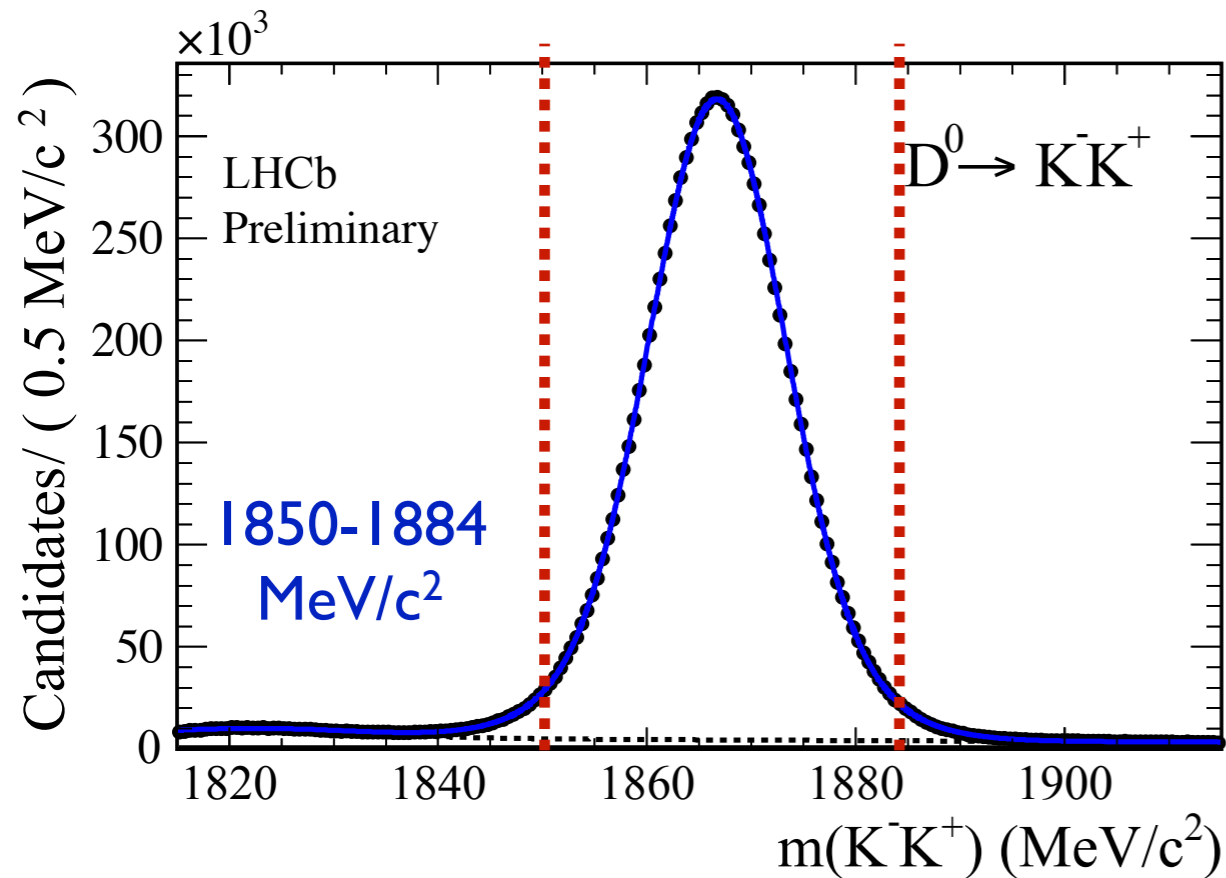


- Soft pions trajectories are bent in different directions in the horizontal bending plane depending on their charge
- Soft pions of one charge may easily escape the acceptance of the detector around the edges or the uninstrumented beam pipe
- Remove regions with large raw asymmetries in the soft pion reconstruction efficiency



# Selection of prompt $D^0 \rightarrow h^+ h^-$ events

- Tight cuts on K and  $\pi$  PID to suppress mis-ID backgrounds
- Cut on Mass  $D^0$  to suppress multi-body decays

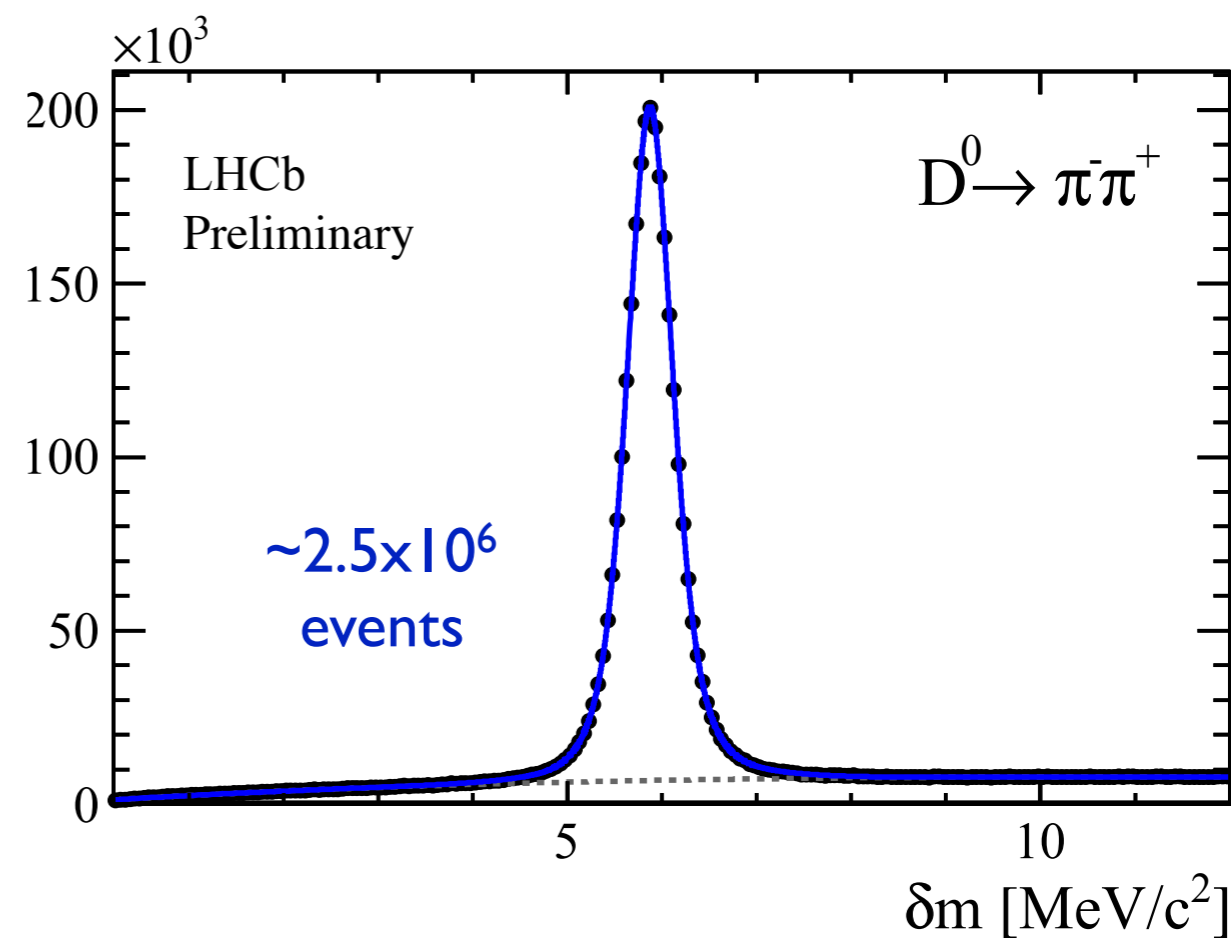
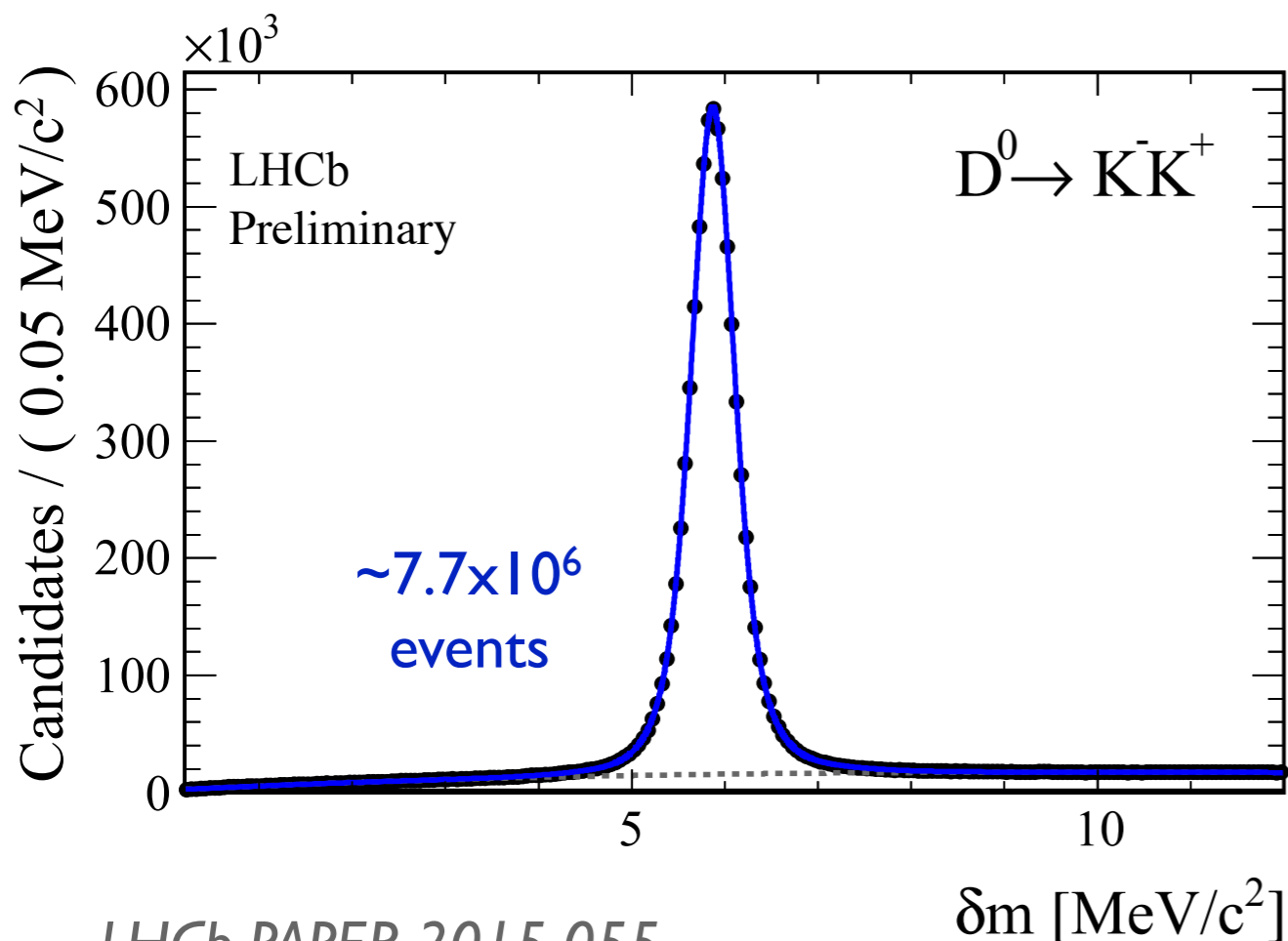


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to be submitted to PRL



# Selection & fit

- Cut on  $D^0$  IP  $\chi^2$  to suppress secondary backgrounds
- Fit  $\delta m = m(D^{*+}) - m(D^0)$



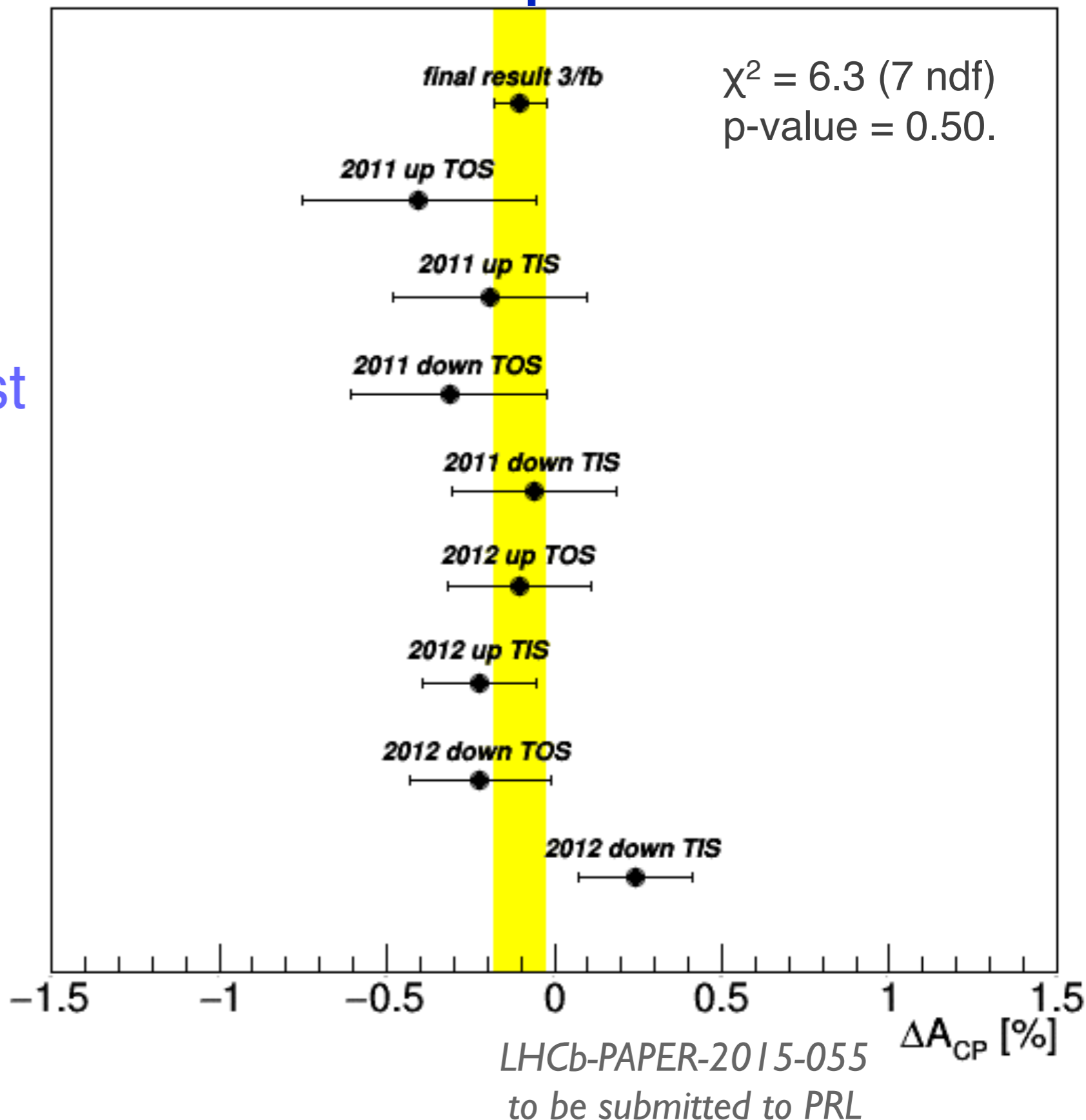
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# Results for the subsamples

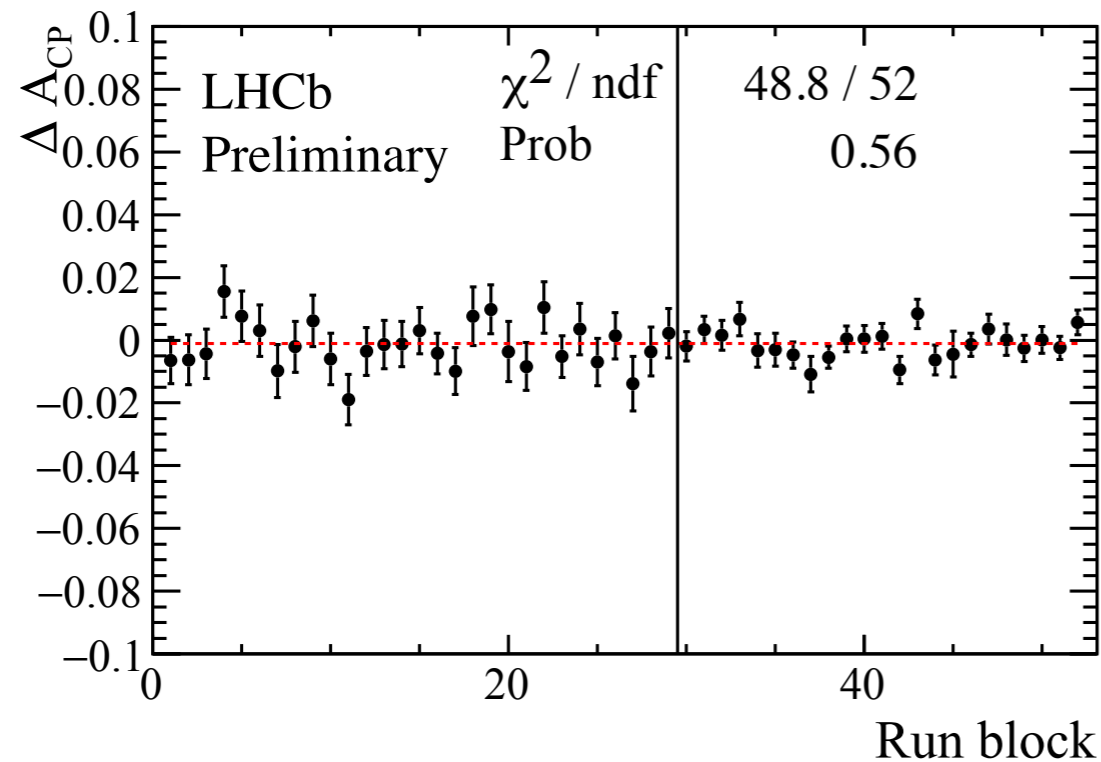
Analysis done in 8 disjoint subsamples

Split by

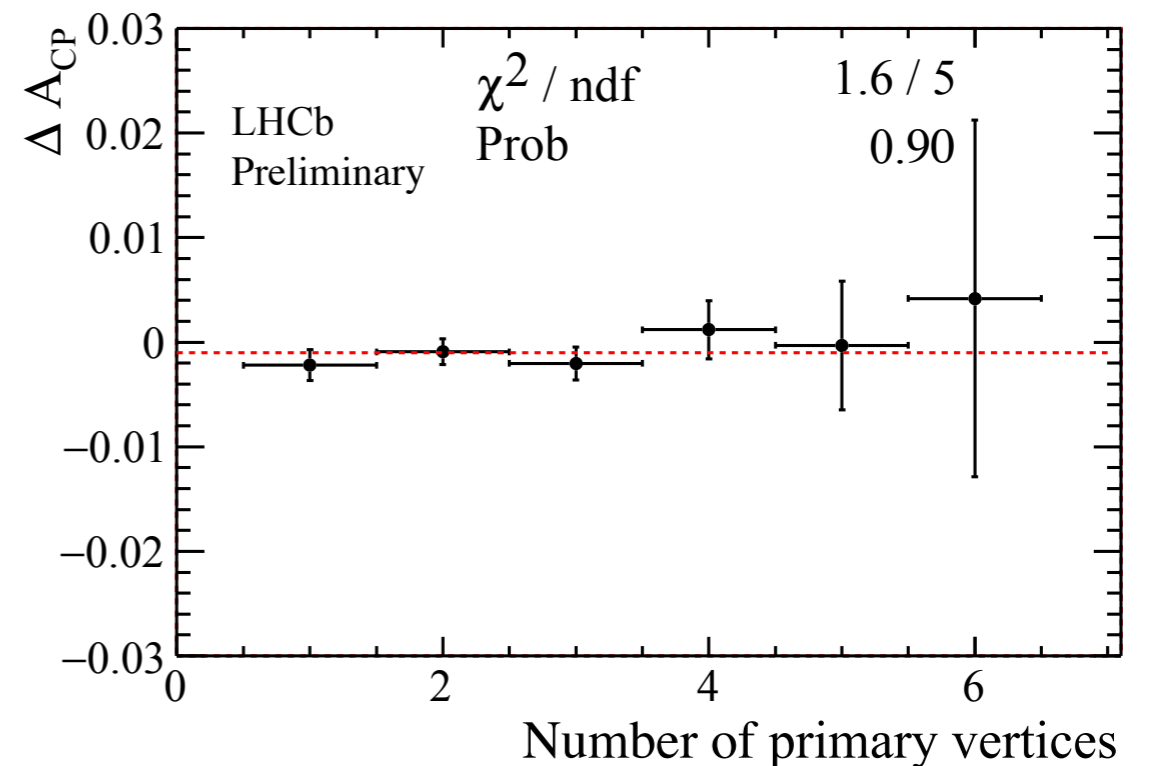
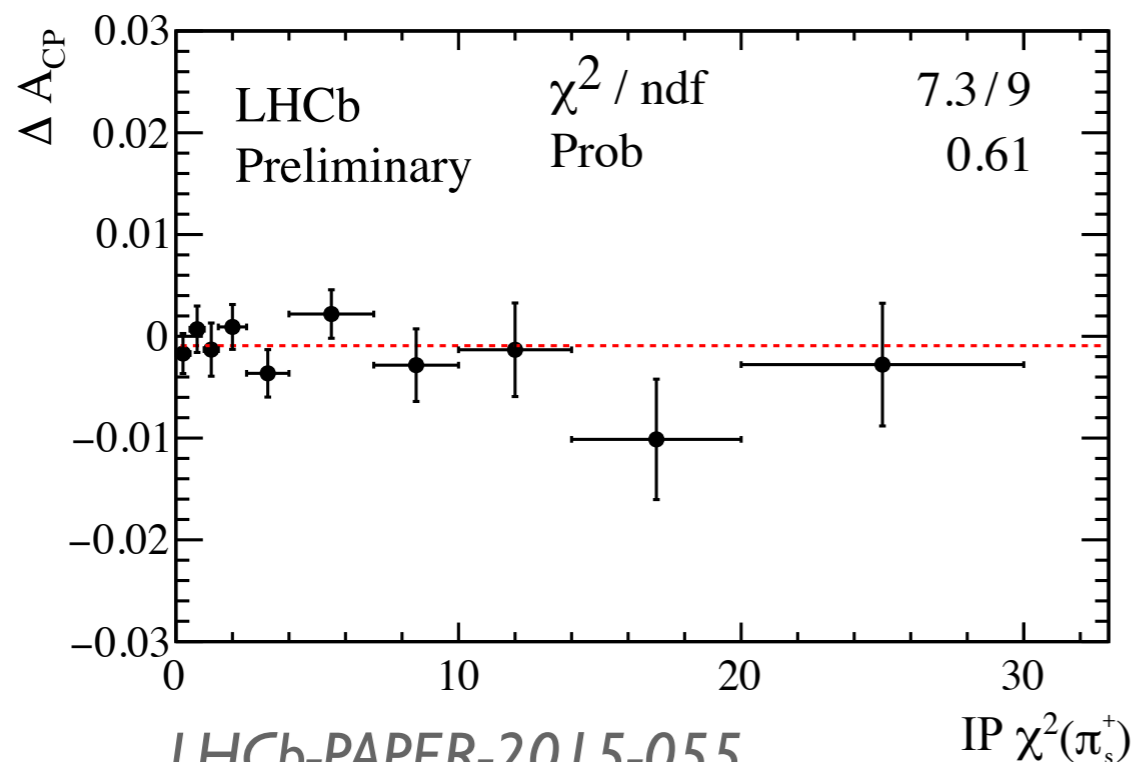
- magnet polarity: test the cancellation of detector related effects
- year: different data taking conditions
- hardware-level trigger: different kinematics of the decays



# Stability checks



- Choice of the binning
- Time (i.e. run number) dependency
- Number of primary vertices
- Quality of the  $D^{*+}$  vertex
- $\pi_s$  kinematics
- $D^0$  kinematics
- separation in phase space of the  $D^0$  and the  $\pi_s$
- $D^0$  mass (inside the signal region)
- PID cuts



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# Systematic uncertainties

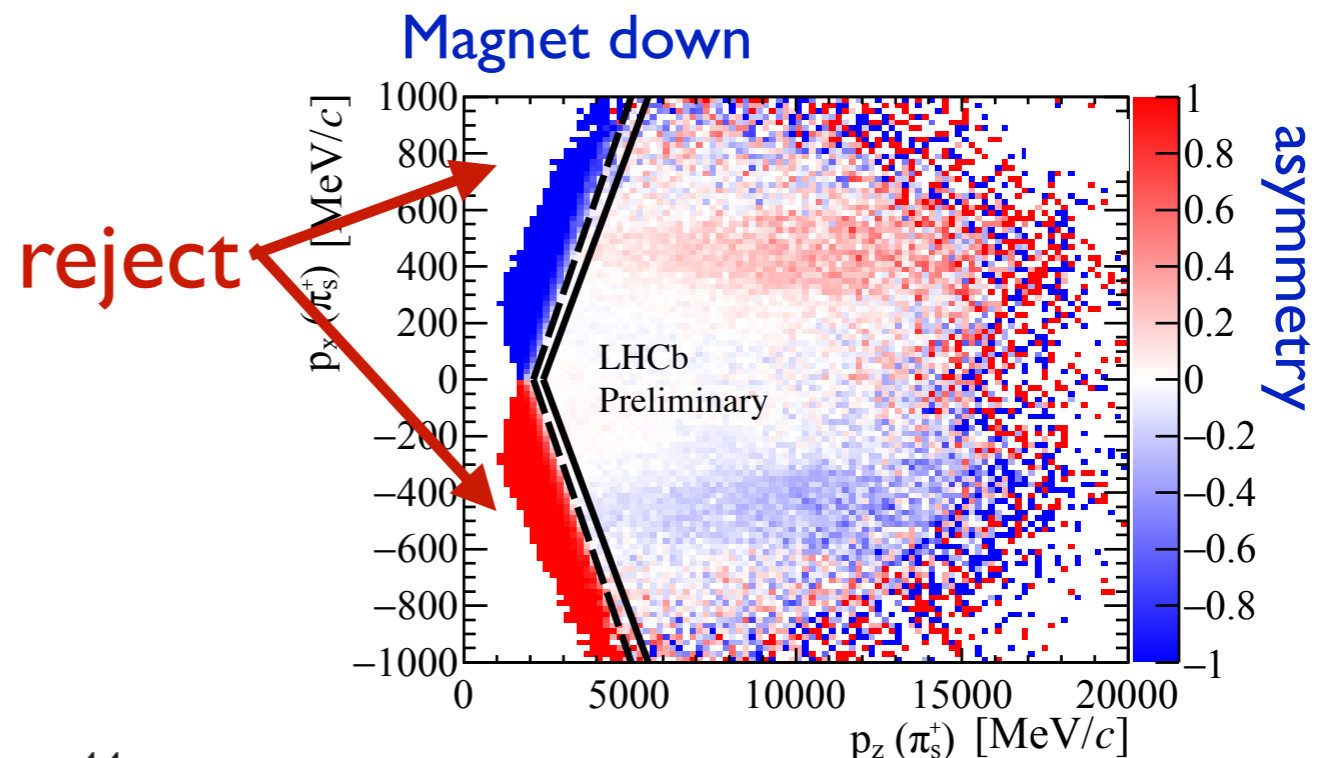
Source	uncertainty [%]
Fit Model	0.016
Multiple candidates	0.015
Peaking background	0.011
Reweighting	0.004
Fiducial cut	0.017
Secondaries	0.004
<b>Total</b>	<b>0.030</b>

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Reject randomly events with multiple candidates - keep only one candidate

Test alternative signal and background models, extend fitting range

Exclude smaller edge & beam pipe regions; select events closer to the high-asymmetry regions



# The final result

The most precise measurement of a time-integrated CP asymmetry in the charm sector

NEW

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to be submitted to PRL

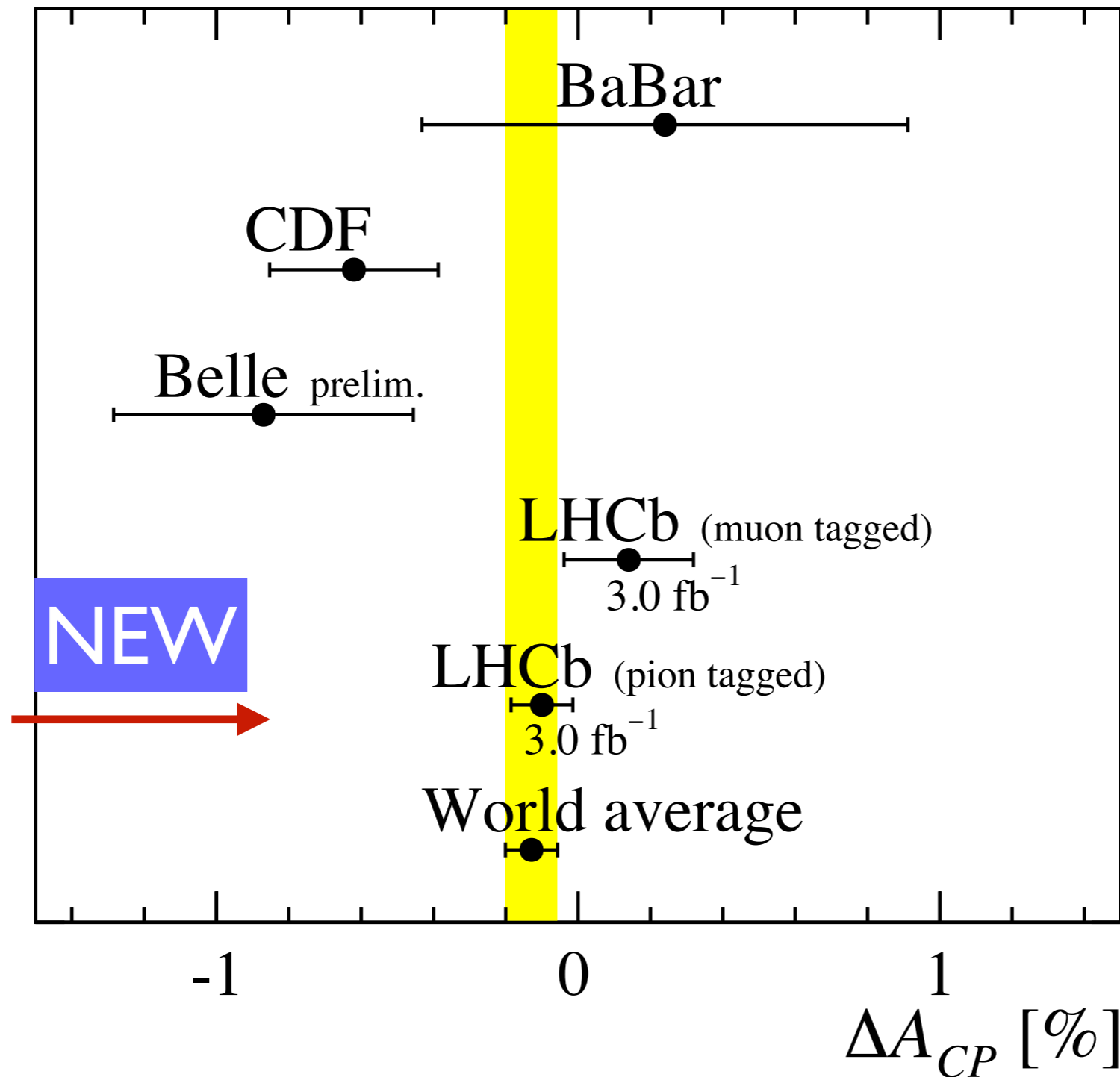
$$\Delta A_{CP}^{\text{prompt}} = (-0.10 \pm 0.08(\text{stat}) \pm 0.03(\text{syst}))\%$$

compatible with the muon-tagged result

$$\Delta A_{CP}^{\text{sec}} = (+0.14 \pm 0.16(\text{stat}) \pm 0.08(\text{syst}))\% \text{ JHEP 07 (2014) 041}$$

Both results are statistically and systematically uncorrelated

# Current experimental status



Phys. Rev. Lett. 100, 061803

Phys. Rev. Lett. 109, 111801

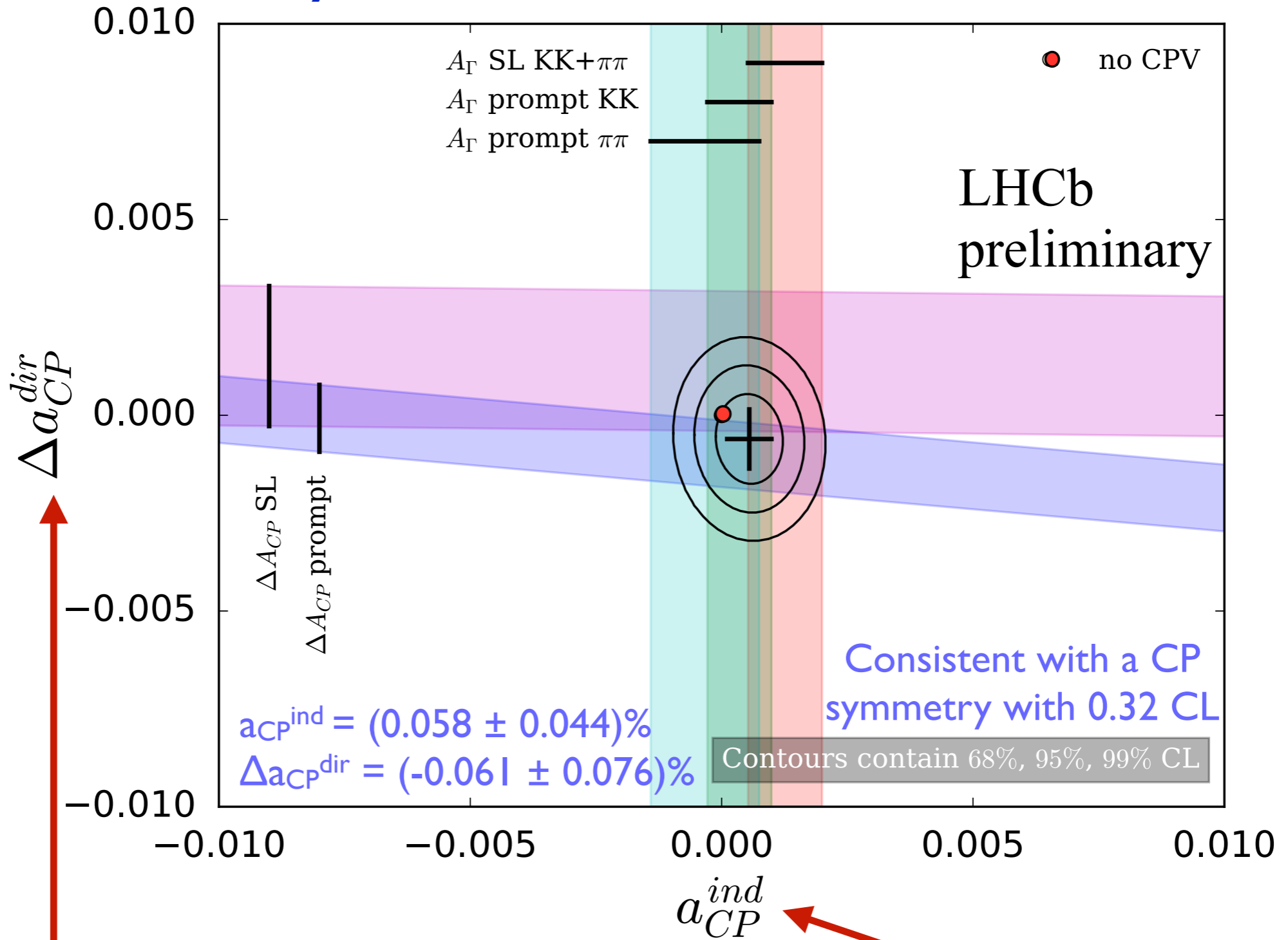
arXiv:1212.5320

JHEP 07 (2014) 041

LHCb-PAPER-2015-055  
to be submitted to PRL

naive WA ignoring the indirect CPV contribution =  $-0.129 \pm 0.072\%$

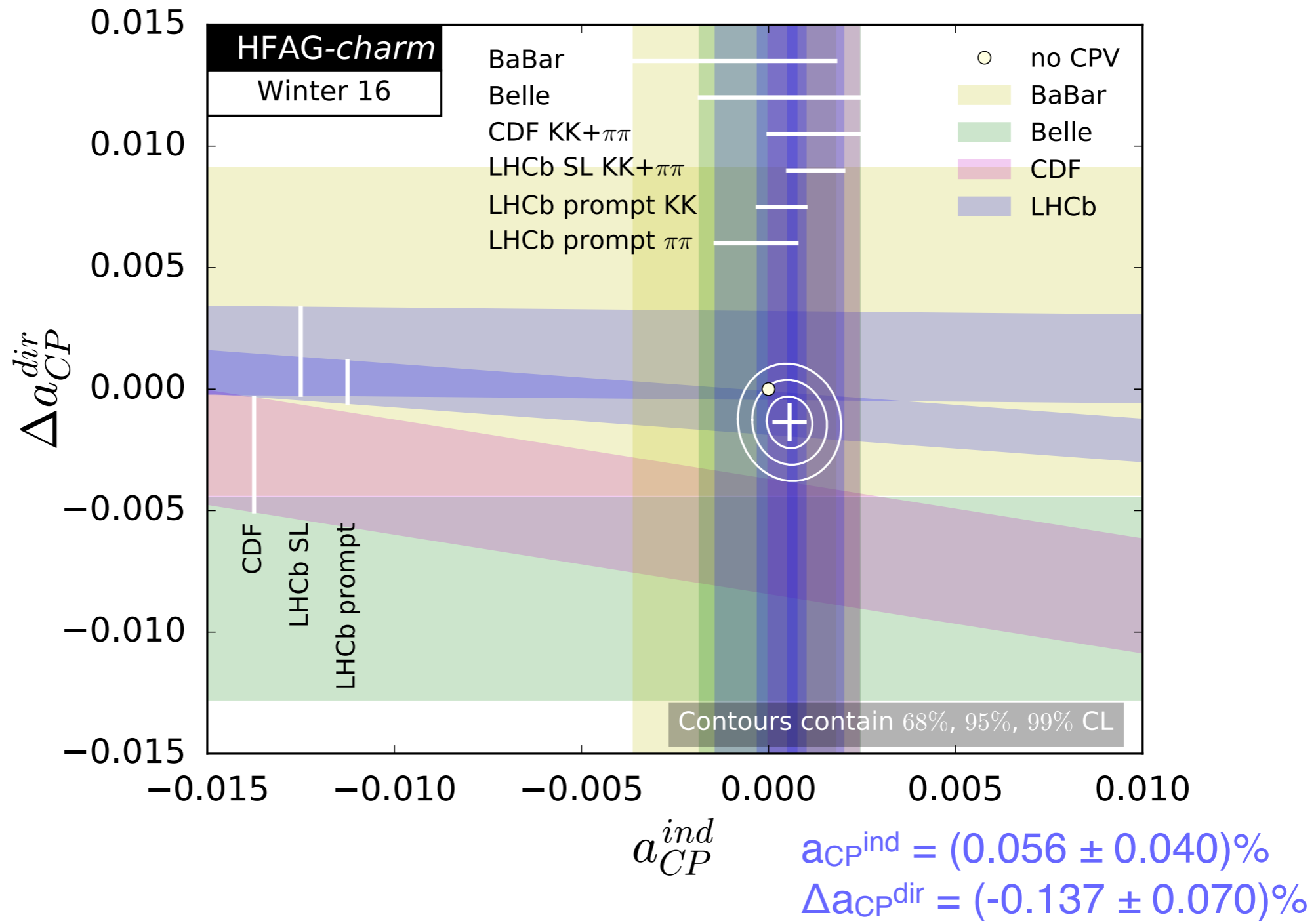
# LHCb summary of the CPV searches in $D^0 \rightarrow h^+ h^-$



$$\begin{aligned}
 \Delta A_{CP} &\equiv A_{CP}(KK) - A_{CP}(\pi\pi) \\
 &\approx \Delta a_{CP}^{dir} (1 + y_{CP} \overline{\langle t \rangle} / \tau) + a_{CP}^{ind} \Delta \langle t \rangle / \tau
 \end{aligned}$$

$$A_\Gamma \approx -a_{CP}^{ind}$$

# HFAG averages including the latest results



Compatible with no-CPV in the charm sector at 6.5% CL



Complementary  
searches in other 2  
body decays

# CP asymmetries in $D^0 \rightarrow h^+h^-$ from theoretical point of view

Feldman, Nandi, Soni  
JHEP 1206 (2012) 007

- Modes like  $D^+ \rightarrow \varphi\pi^+$ ,  $D_s \rightarrow \varphi K^+$ , which are induced by the same operators in the weak effective Hamiltonian as  $D^0 \rightarrow \pi^+\pi^-, K^+K^-$
- Could be expected to yield direct CP asymmetries of similar magnitude.
- One can constrain direct CP violation in tree-level decays such as  $D^+ \rightarrow \bar{K}^0(\bar{K}^{*0})\pi^+$ ,  $D_s \rightarrow \varphi\pi^+$  etc. in order to test against NP contributions in charged flavour transitions.

# CP violation in SCS $D^+_{(s)} \rightarrow K^0 h^+$ decays

$$A_{raw}(f) = A_{CP}(f) + A_{CP/int}(K^0 / \bar{K}^0) + A_D(h^+) + A_P(D^+_{(s)}) \quad h^+ = K^+ \text{ or } \pi^+$$

Cancel production and detection asymmetries: **control channel**  $D^+_{(s)} \rightarrow \Phi h^+$

$A_{CP/int}(K^0)$ : small effect from CPV, only  $K^0$  decays with short times used

*JHEP 1410 (2014) 25*

$$\mathcal{A}_{CP}^{D^\pm \rightarrow K_S^0 K^\pm} = (+0.03 \pm 0.17 \pm 0.14)\%$$

$$\mathcal{A}_{CP}^{D_s^\pm \rightarrow K_S^0 \pi^\pm} = (+0.38 \pm 0.46 \pm 0.17)\%$$

$$\mathcal{A}_{CP}^{D^\pm \rightarrow K_S^0 K^\pm} + \mathcal{A}_{CP}^{D_s^\pm \rightarrow K_S^0 \pi^\pm} = (+0.41 \pm 0.49 \pm 0.26)\%$$

Most precise measurement of these quantities: dominating in the current WA

No indication for CPV

# CP violation in $D^0 \rightarrow K_S K_S$

Hiller, Jung, Schacht,  
*Phys.Rev. D87 (2013) 1, 014024*

$$\frac{a_{CP}^{\text{dir}}(D^0 \rightarrow K^0 \bar{K}^0)}{a_{CP}^{\text{dir}}(D^0 \rightarrow K^+ K^-)} \sim \sqrt{\frac{BR(D^0 \rightarrow K^+ K^-)}{BR(D^0 \rightarrow K^0 \bar{K}^0)}} \sim 3,$$

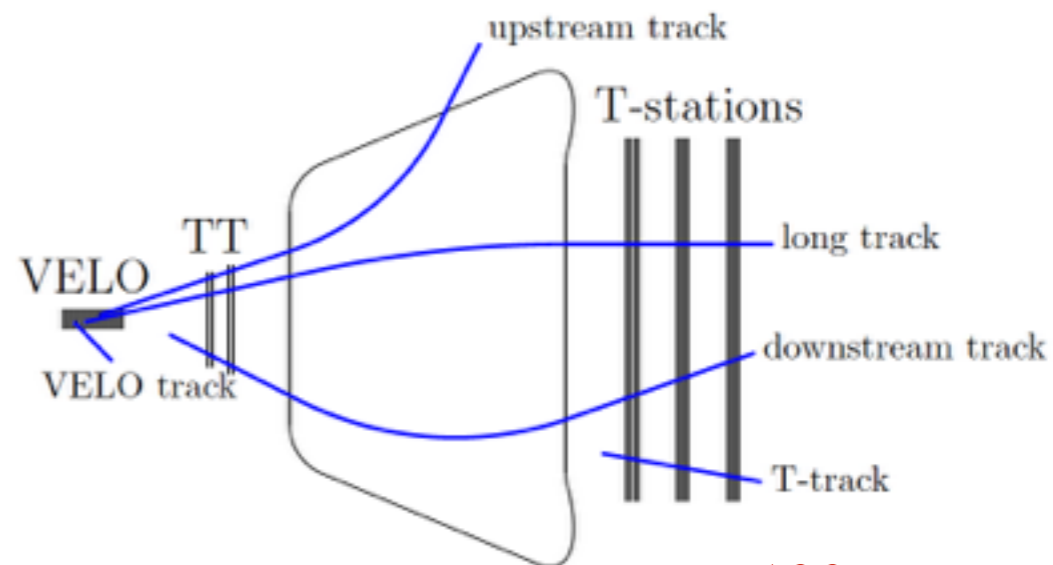
- Using prompt  $D^0$ ,  $3 \text{ fb}^{-1}$
- Experimentally challenging:  
2 long lived particles

$$A_{CP} = (-2.9 \pm 5.2 \pm 2.2)\% \quad \text{no CPV}$$

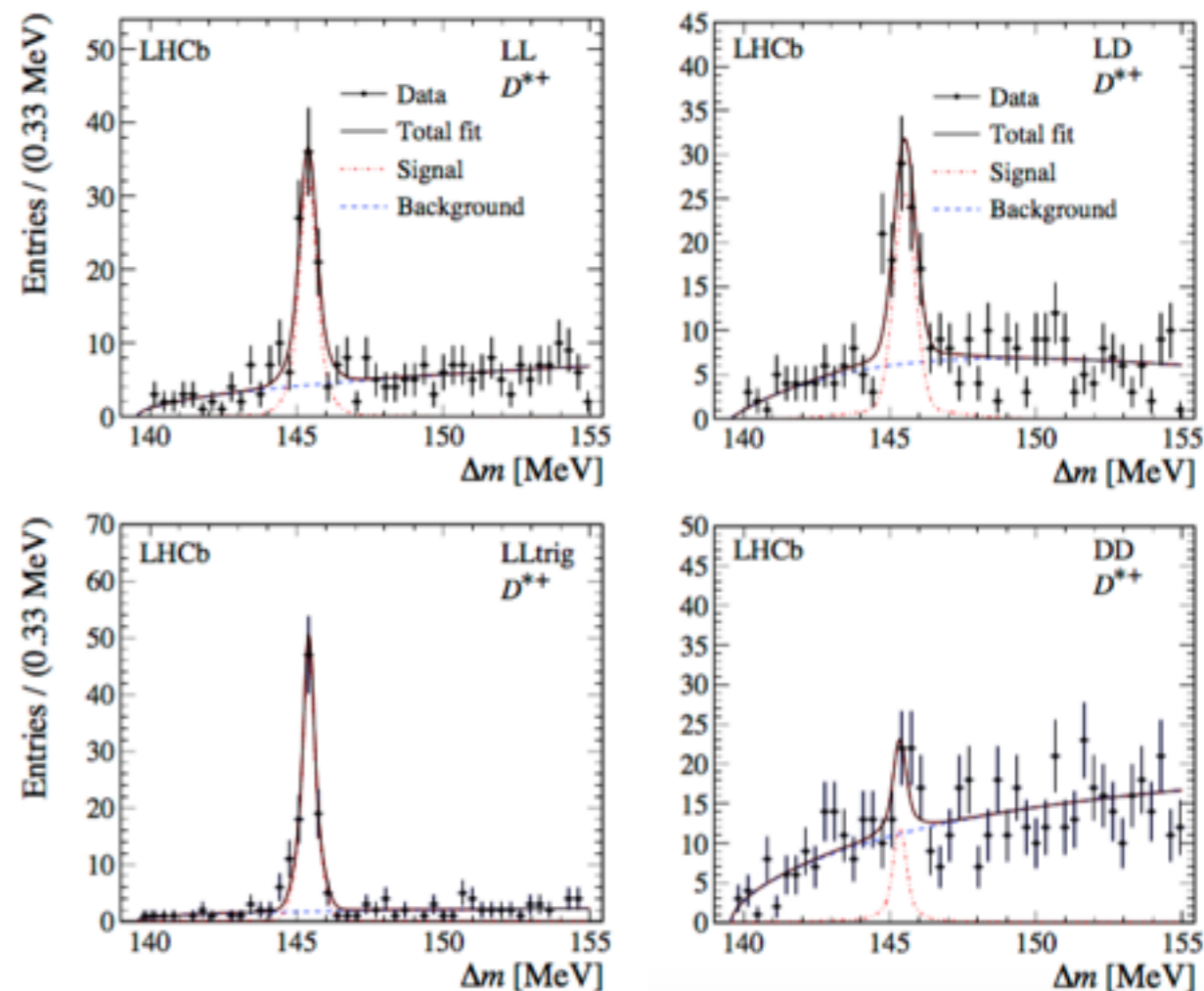
Nierste, Schacht, *Phys. Rev. D 92, 054036 (2015)*

$$|a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K_S)| \leq 1.1\% \quad (95\% \text{ C.L.})$$

Only previous measurement  
 from CLEO:  $A_{CP} = (23 \pm 19)\%$   
 [PRD 63 (2001) 071101]



~ 600 events



arxiv:1508.06087

accepted for publication in JHEP

# Direct CPV searches in multi-body charm decays

# Isospin decompositions of 2- and multi-body decays

Measurements in one mode can help constrain the theory uncertainties in other decays

Grossman, Kagan, Zupan,  
Phys.Rev. D85 (2012) 114036

Decomposition of the amplitudes  
ignoring isospin breaking effects

$$A_{\pi^+\pi^-} = \sqrt{2}\mathcal{A}_3 + \sqrt{2}\mathcal{A}_1,$$

$$A_{\pi^0\pi^0} = 2\mathcal{A}_3 - \mathcal{A}_1,$$

$$A_{\pi^+\pi^0} = 3\mathcal{A}_3,$$

- Decompose the matrix elements in SM and NP contribution
- Test sum rules e.g.

$$\frac{1}{\sqrt{2}}(A_{\pi^+\pi^-} - \bar{A}_{\pi^-\pi^+}) \neq -(A_{\pi^0\pi^0} - \bar{A}_{\pi^0\pi^0})$$

One can build set of isospin sum rules for CP asymmetries in SCS D decays that can be used to discriminate SM and NP scenarios

$$\begin{aligned} A_{\rho^+\pi^-} &= \mathcal{A}_3 + \mathcal{B}_3 + \frac{1}{\sqrt{2}}\mathcal{A}_1 + \mathcal{B}_1, \\ A_{\rho^0\pi^0} &= 2\mathcal{A}_3 - \mathcal{B}_1, \\ A_{\rho^-\pi^+} &= \mathcal{A}_3 - \mathcal{B}_3 - \frac{1}{\sqrt{2}}\mathcal{A}_1 + \mathcal{B}_1, \end{aligned}$$

$$A_{K^+\bar{K}^0\pi^-} = \mathcal{B}_1 - \mathcal{A}_1 + \mathcal{C}_3 + \mathcal{B}_3,$$

$$A_{K^+K^-\pi^0} = \mathcal{B}'_1 + \frac{1}{\sqrt{2}}\mathcal{A}_1 + \sqrt{2}\mathcal{C}_3 + \mathcal{B}'_3,$$

$$A_{K^0\bar{K}^0\pi^0} = -\mathcal{B}'_1 + \frac{1}{\sqrt{2}}\mathcal{A}_1 + \sqrt{2}\mathcal{C}_3 - \mathcal{B}'_3,$$

$$A_{K^0K^-\pi^+} = -\mathcal{B}_1 - \mathcal{A}_1 + \mathcal{C}_3 - \mathcal{B}_3,$$

# Multi-body decays and local asymmetries

- Many ways to reach multi-body final states through intermediate resonances
- Resonances interfere and can carry different strong phases:  
Superb playground for CP violation

## Local asymmetries

- potentially larger than the phase space integrated ones
- may change sign across the phase space
- additional information about the dynamics

# Local asymmetries searches techniques

## Discover CPV

- Model-independent:  
Look for asymmetries in regions of phase space by “counting”

- binned ( $\chi^2$  difference method)

*Phys.Lett. B728 (2014) 585–595,  
Phys.Lett. B726 (2013) 623–633  
PRD 84 (2011) 112008*

- unbinned (Energy test, kNN)

*Stat. Comp. Simul. 75, Issue 2 109-119 (2004),  
Nucl. Instrum. Methods A537, 626-636 (2005),  
Phys.Rev. D84 (2011) 054015.*

## Origin of CPV

- Model-dependent:  
Fit all contributing amplitudes and look for differences in fit parameters



# Model independent searches

*Phys.Lett. B728 (2014) 585–595,*  
*Phys.Lett. B726 (2013) 623–633*

## Binned ( $\chi^2$ difference method)

- $D^+ \rightarrow \pi^- \pi^+ \pi^+$  decays ( $1 \text{ fb}^{-1}$ ): sensitive to  $1^\circ$ - $10^\circ$  differences in phase and 1-10% in magnitude  
 p-values for no-CPV hypothesis  $> 50\%$
- $D^0 \rightarrow 4\pi / KK\pi\pi$  decays ( $1 \text{ fb}^{-1}$ ): sensitive to  $10^\circ$  differences in phase and 10% in magnitude  
 p-values for no-CPV hypothesis are 9.1% for  $KK\pi\pi$  and 41% for  $4\pi$

## Unbinned (Energy test)

*PLB 740 (2015) 158-167*

- $D^0 \rightarrow \pi^- \pi^+ \pi^0$  decays ( $2 \text{ fb}^{-1}$ )

Resonance ( $A, \phi$ )	p-value (fit)	upper limit
$\rho^0 (+3\%, +0^\circ)$	$1.1^{+2.4}_{-1.1} \times 10^{-2}$	$4.0 \times 10^{-2}$
$\rho^0 (+0\%, +3^\circ)$	$1.5^{+1.7}_{-1.4} \times 10^{-3}$	$3.8 \times 10^{-3}$
$\rho^+ (+2\%, +0^\circ)$	$5.0^{+8.8}_{-3.8} \times 10^{-6}$	$1.8 \times 10^{-5}$
$\rho^+ (+0\%, +1^\circ)$	$6.3^{+5.5}_{-3.3} \times 10^{-4}$	$1.4 \times 10^{-3}$
$\rho^- (+2\%, +0^\circ)$	$2.0^{+1.3}_{-0.9} \times 10^{-3}$	$3.9 \times 10^{-3}$
$\rho^- (+0\%, +1.5^\circ)$	$8.9^{+22}_{-6.7} \times 10^{-7}$	$4.2 \times 10^{-6}$

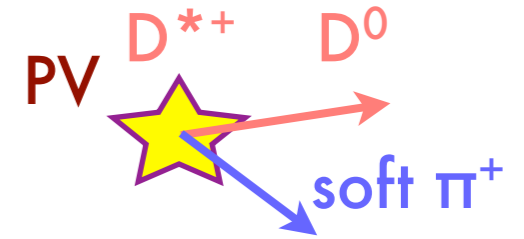
Better sensitivity than BaBar in general, but comparable for  $\rho^0$  amplitude CPV

p-value =  $(2.6 \pm 0.5)\%$

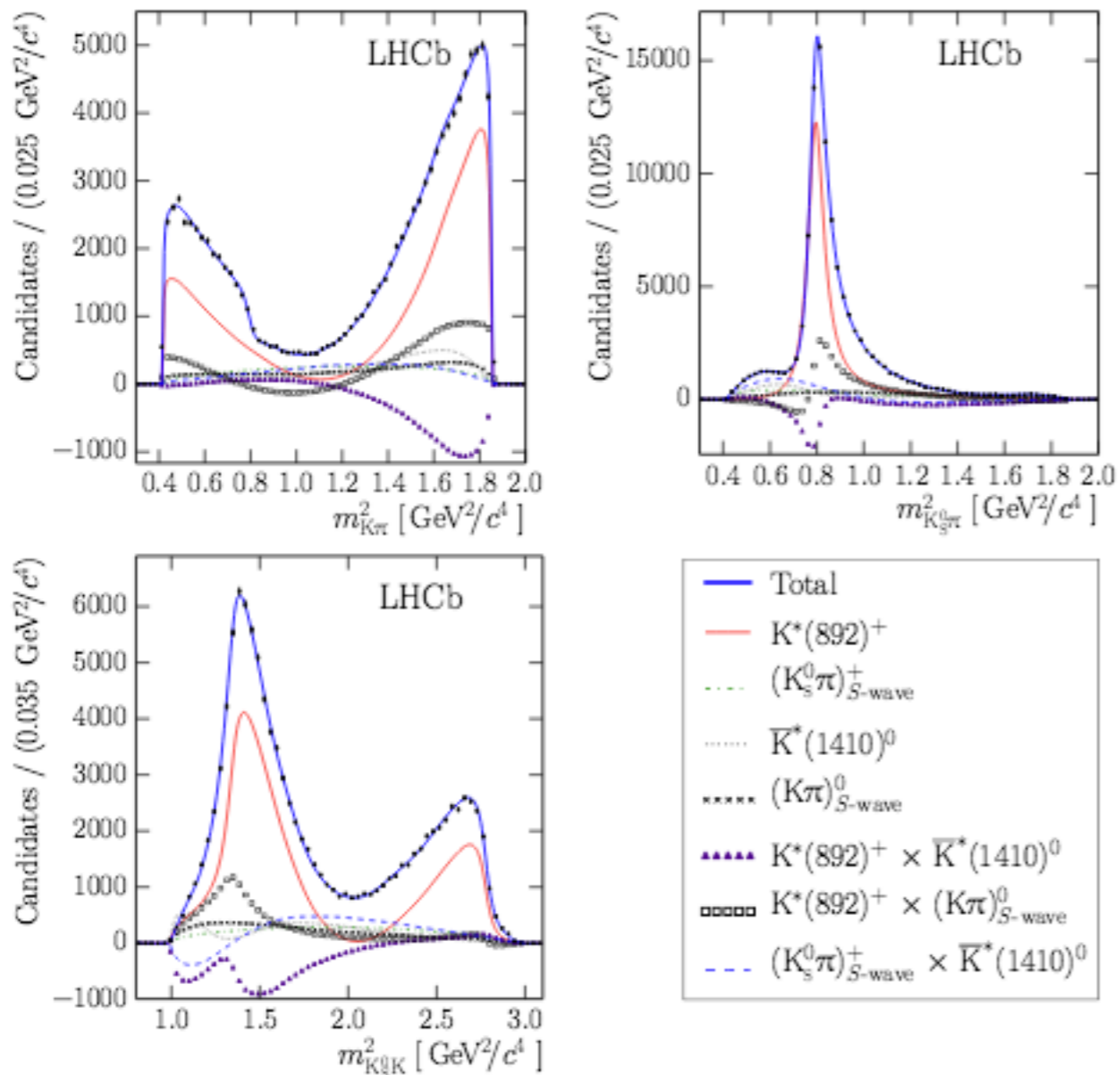
**Results consistent with no CP violation**



# Searches for time-integrated CPV effects in the resonant structure of $D^0 \rightarrow K_S K \pi$



$D^0 \rightarrow K_S K^- \pi^+$  (favoured)



arXiv:1509.06628  
submitted to PRD

previous analysis of CLEO  
based on  $\sim 500$  and  $\sim 300$   
events PRD85, 092016 (2012)

- Using full Run I statistics, prompt  $D^0$
- 116k  $D^0 \rightarrow K_S K^- \pi^+$ ; 76k  $D^0 \rightarrow K_S K^+ \pi^-$
- Full amplitude analysis
- Fit the amplitudes separately for  $D^0$  and  $\bar{D}^0$  events

# Results for CPV searches in the $D^0 \rightarrow K_S K \pi$

- In the CPV searches the resonance amplitude  $a_R \rightarrow a_R(1 \pm \Delta a_R)$ ; the phase  $\Phi_R \rightarrow \Phi_R \pm \Delta \Phi_R$

arXiv:1509.06628  
submitted to PRD

$D^0 \rightarrow K_S K^- \pi^+$

	$\Delta a_R$	$\Delta \Phi_R$
$K^*(892)^+$	0.0 (fixed)	0.0 (fixed)
$K^*(1410)^+$	$0.07 \pm 0.06 \pm 0.04$	$3.9 \pm 3.5 \pm 1.9$
$(K_S^0 \pi)^+_{S\text{-wave}}$	$0.02 \pm 0.08 \pm 0.07$	$2.0 \pm 1.7 \pm 0.0$
$\bar{K}^*(892)^0$	$-0.046 \pm 0.031 \pm 0.005$	$1.2 \pm 1.6 \pm 0.3$
$\bar{K}^*(1410)^0$	$0.006 \pm 0.034 \pm 0.017$	$2 \pm 5 \pm 5$
$(K\pi)^0_{S\text{-wave}}$	$0.05 \pm 0.04 \pm 0.02$	$0.4 \pm 1.6 \pm 0.6$
$a_2(1320)^-$	$-0.25 \pm 0.14 \pm 0.01$	$2 \pm 9 \pm 3$
$a_0(1450)^-$	$-0.01 \pm 0.14 \pm 0.12$	$0 \pm 5 \pm 4$
$\rho(1450)^-$	$0.06 \pm 0.13 \pm 0.11$	$-13 \pm 10 \pm 9$

$D^0 \rightarrow K_S K^+ \pi^-$

$K^*(892)^-$	0.0 (fixed)	0.0 (fixed)
$K^*(1410)^-$	$0.05 \pm 0.12 \pm 0.08$	$-6 \pm 4 \pm 3$
$(K_S^0 \pi)^-_{S\text{-wave}}$	$0.10 \pm 0.25 \pm 0.24$	$-7.7 \pm 3.4 \pm 0.0$
$K^*(892)^0$	$-0.010 \pm 0.024 \pm 0.001$	$-1.4 \pm 2.9 \pm 2.2$
$K^*(1410)^0$	$0.10 \pm 0.10 \pm 0.09$	$-1 \pm 9 \pm 8$
$(K\pi)^0_{S\text{-wave}}$	$-0.07 \pm 0.06 \pm 0.05$	$-2 \pm 4 \pm 4$
$a_0(980)^+$	$0.06 \pm 0.04 \pm 0.01$	$-3 \pm 5 \pm 2$
$a_0(1450)^+$	$-0.11 \pm 0.10 \pm 0.04$	$10 \pm 8 \pm 5$
$\rho(1700)^+$	$-0.03 \pm 0.13 \pm 0.09$	$4 \pm 6 \pm 2$

No CPV

Triple product  
observables in multi-  
body decays

# Triple product observables in theory

Different sensitivity to CPV

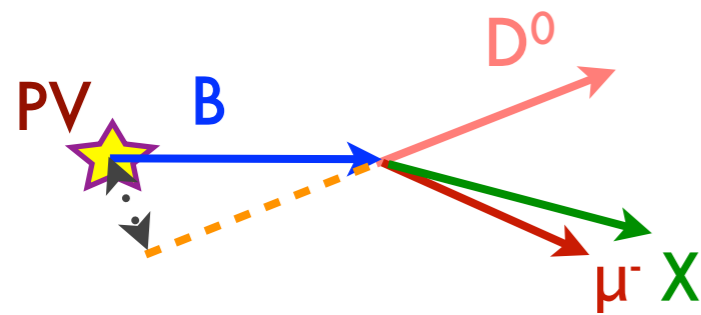
CP asymmetries  $\sim \sin\varphi \sin\delta$

Triple product asymmetries  $\sim \sin\varphi \cos\delta$

More careful consideration given in Durieux, Grossman  
Phys. Rev. D 92, 076013 (2015)

Unlike total rate asymmetries between CP-conjugate processes, their sensitivity to small differences in CP-violating phases is not conditioned by the presence of CP-conserving strong phase differences.

# CP violation in $D^0 \rightarrow KK\pi\pi$



Analysis based on the  
full Run I statistics  
Using secondary charm

Using triple product of final state particle momenta

$$C_T \equiv \vec{p}_{K^+} \cdot (\vec{p}_{\pi^+} \times \vec{p}_{\pi^-}) \quad \bar{C}_T \equiv \vec{p}_{K^-} \cdot (\vec{p}_{\pi^-} \times \vec{p}_{\pi^+})$$

Define tripple product asymmetries

$$A_T \equiv \frac{\Gamma_{D^0}(C_T > 0) - \Gamma_{D^0}(C_T < 0)}{\Gamma_{D^0}(C_T > 0) + \Gamma_{D^0}(C_T < 0)}, \quad \bar{A}_T \equiv \frac{\Gamma_{\bar{D}^0}(-\bar{C}_T > 0) - \Gamma_{\bar{D}^0}(-\bar{C}_T < 0)}{\Gamma_{\bar{D}^0}(-\bar{C}_T > 0) + \Gamma_{\bar{D}^0}(-\bar{C}_T < 0)},$$

$$a_{CP}^{T\text{-odd}} \equiv \frac{1}{2}(A_T - \bar{A}_T)$$

All final states interactions cancel

All production and detection effects cancel

# CP violation in $D^0 \rightarrow KK\pi\pi$

*JHEP 1410 (2014) 005*

Integrated over the phase-space

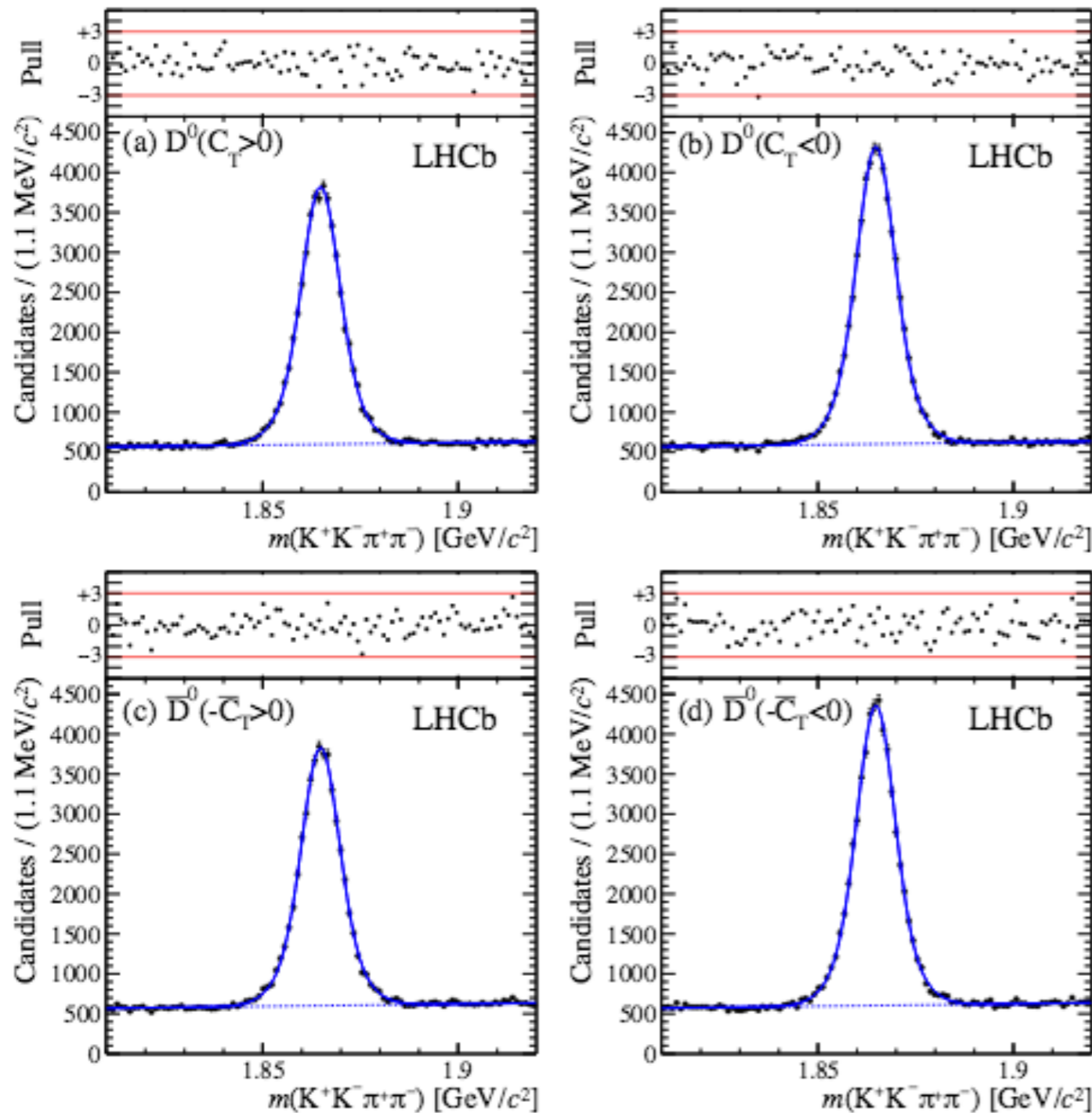
$$a^{\text{T-odd}}_{\text{CP}} = (0.18 \pm 0.29 \pm 0.04)\%$$

additionally: measurements in bins of decay time and phase space regions

**No indication of CPV**

Previous measurements by  
 FOCUS:  $(1.0 \pm 5.7 \pm 3.7)\%$  PLB622 (2005) 239-248  
 BaBar:  $(0.10 \pm 0.51 \pm 0.44)\%$  PRD81 (2010) 111103

~171k decays





**but before that**

**Prospects**

**Run I I and beyond**



# Improved trigger strategies for Run I I

First full calibration and reconstruction of  
a HEP detector in real time

Barbara Storaci

Detector Seminar

- Turbo stream of the trigger:
  - Data are ready for analysis directly after the trigger
  - Smaller size of raw events: reduce pre-scaling
- More efficient exclusive charm triggers
  - Split high level trigger in 2 stages: gain CPU power
  - Events from lower trigger levels can be buffered on disk while performing **real-time alignment and calibration**
  - Improved speed of the algorithms

# Run 2 cross-sections & estimated integrated luminosity

- More data!!! Higher cross-sections in LHCb acceptance x2 @ 13TeV

$$\sigma(pp \rightarrow c\bar{c}X) = 2944 \pm 3 \pm 183 \pm 156 \mu\text{b},$$

*arxiv:1510.01707; submitted to JHEP*

compare to Run 1 @ 7TeV

$$\sigma(c\bar{c})_{p_T < 8 \text{ GeV}/c, 2.0 < y < 4.5} = 1419 \pm 12 \text{ (stat)} \pm 116 \text{ (syst)} \pm 65 \text{ (frag)} \mu\text{b}$$

2010 data

*Nucl.Phys. B871 (2013) 1-20*

Run 1	Run 2	Run 3	Run 4	Run 5
3 fb <sup>-1</sup>	8 fb <sup>-1</sup>	23 fb <sup>-1</sup>	46 fb <sup>-1</sup>	100 fb <sup>-1</sup>

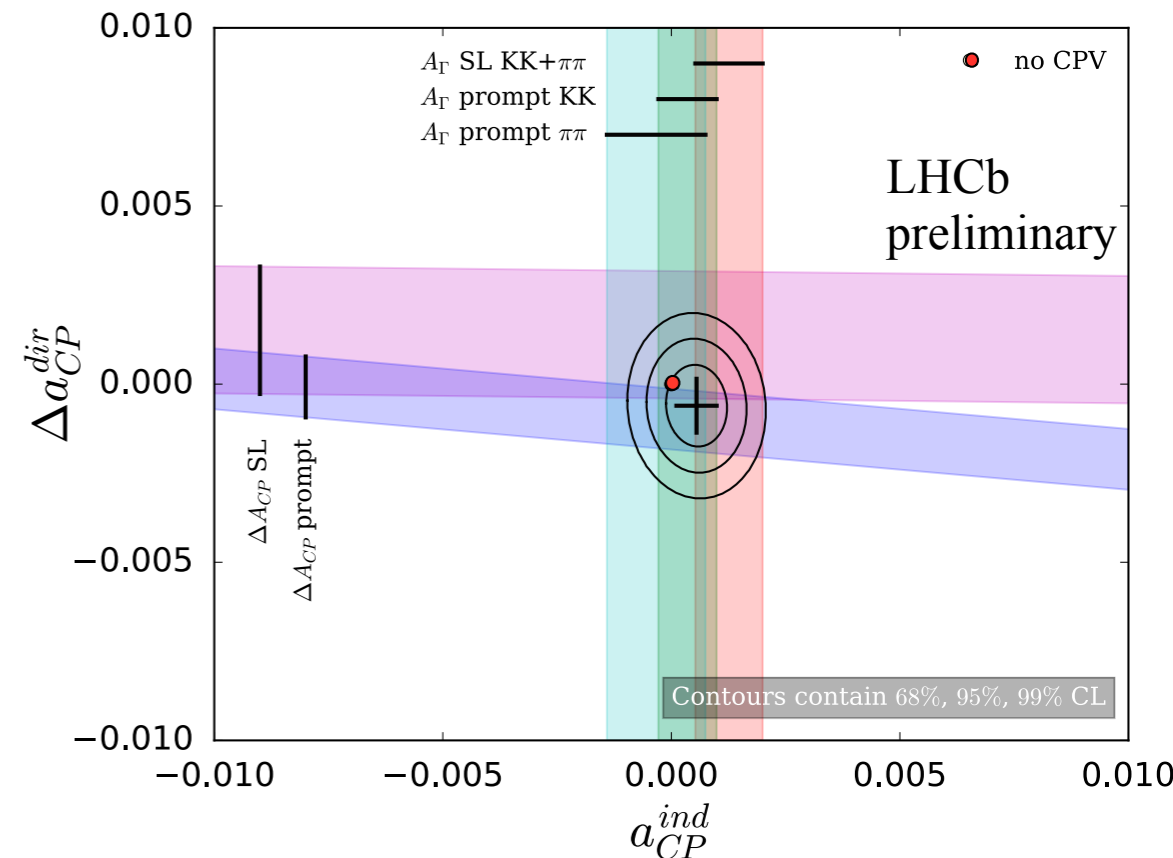
$\Delta a_{CP}$ : uncertainty 10<sup>-4</sup> at 50 fb<sup>-1</sup>

upgrade; gain more data by removing the L0 thresholds

# Conclusions

- Precision measurements in the charm sector at LHCb
  - CPV in charm not yet observed: All searches consistent with **no direct or indirect CPV**
- The key measurements are still statistically limited
- Several key LHCb Run I analyses still ongoing
- Improvements with Run II, and even more with the upgraded LHCb experiment

$$\Delta A_{CP}^{\text{prompt}} = (-0.10 \pm 0.08 \pm 0.03) \%$$



*LHCb-PAPER-2015-055  
to be submitted to PRL*