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# Intensity Frontier-Collider Complementarity (1)

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# Goal of these lectures

Provide an introduction to exciting physics at the Intensity Frontier

- Searches for new phenomena through precision measurements or the study of rare processes
- Requires use of powerful particle accelerators and ultra-sensitive detectors



# Plan of the lectures

- Introduction: energy-intensity complementarity in broad brush
- Mini-Review of flavor and CP in the Standard Model: Intensity Frontier's traditional bread and butter
- Probing new physics at the Intensity Frontier: landscape in the LHC era
- "Zoom in" on selected Intensity Frontier probes
  - Quark Flavor Violation (highlights from K physics)
  - Lepton Flavor Violation (rare muon processes)
  - Lepton Number Violation
  - Electric Dipole Moments and CP violation

# Energy - Intensity complementarity

• The SM is remarkably successful, but can't be the whole story



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 ⇒ new degrees of freedom (Heavy? Light & weakly coupled? Both?)



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 Two approaches to probing BSM dynamics, operating in different regions of the (M,g) plane

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## How does the Intensity Frontier work?



# Complementarity





- EWSB mechanism

- ...

- Mass and couplings of new heavy particles

- Flavor symmetries (quarks, leptons)
- CP violation (w/o flavor)
- L and B violation
- Super heavy particles (via precision tests)
- ...

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Both frontiers needed to reconstruct BSM dynamics: structure, symmetries, and parameters of  $\mathcal{L}_{BSM}$ 

$$\mathcal{L}_{BSM} = \mathcal{L}_{SM} + \delta \mathcal{L}_{BSM}$$

# Complementarity





- EWSB mechanism

- ...

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# Flavor and CP in the Standard Model

#### The Standard Model



$$\psi = \begin{pmatrix} q \\ \ell \\ u \\ d \\ e \end{pmatrix}$$

 $SU(3)_c \times SU(2)_W \times U(1)_Y$  representation: SU(2)w  $(\dim[SU(3)_c], \dim[SU(2)_W], Y)$ transformation  $\left( \begin{array}{c} \nu_L \\ e_L \end{array} \right)$ l =(**|**,**2**,-**|**/2)  $l \rightarrow V_{SU(2)} l$ **(|,|,-|)**  $e = e_R$  $\begin{bmatrix} u_L^i \\ d_L^i \end{bmatrix}$  $q \to V_{SU(2)} q$ (3,2,1/6)  $q^i =$  $u^i = u^i_R$ **(3, 1, 2/3)**  $d^i = d^i_R$ **(3, |**, - |/3)  $\left( \begin{array}{c} \varphi^+ \\ \varphi^0 \end{array} \right)$  $\varphi \to V_{SU(2)} \varphi$  $\varphi =$ **(|,2,|/2)** 

			5	SU(3)c x SU(2)w x U(1)y representation
-	gluons:	$G^{A}_{\mu},$ $G^{A}_{\mu\nu} = \partial$	$A = 1 \cdots 8,$ $G_{a}^{A} - \partial_{a}G_{a}^{A} + g_{a}f_{ABC}G_{a}^{B}G_{c}^{C}$	( <mark>8</mark> , 1,0)
-	W bosons:	$\frac{W^{I}_{\mu\nu}}{W^{I}_{\mu\nu}} = \partial$	$\frac{I = 1 \cdots 3}{\mu W_{\nu}^{I} - \partial_{\nu} W_{\mu}^{I} + g \varepsilon_{IJK} W_{\mu}^{J} W_{\nu}^{K}}$	(1,3,0)
	B boson:	$B_{\mu\nu},$ $B_{\mu\nu} = \partial_{\mu}B_{\nu} - \partial_{\nu}B_{\mu}.$		(1,1,0)
	— Gauge transf	formation:	$ \begin{array}{ccc} & & \\ W^{I}_{\mu\nu} \frac{\sigma^{I}}{2} & \longrightarrow & V(x) & \left[ W^{I}_{\mu\nu} \frac{\sigma^{I}}{2} \right] & V^{\dagger}(x) \\ & & \\ V(x) & = & e^{ig\beta_{a}(x)\frac{\sigma_{a}}{2}} \end{array} \end{array} $	

### The Standard Model

$$\mathcal{L}_{SM} = \mathcal{L}_{Gauge} + \mathcal{L}_{Higgs} + \mathcal{L}_{Yukawa}$$

$$D_{\mu} = I \partial_{\mu} - ig_s \frac{\lambda^A}{2} G^A_{\mu} - ig \frac{\sigma^a}{2} W^a_{\mu} - ig' Y B_{\mu}$$

$$\mathcal{L}_{\text{Gauge}} = -\frac{1}{4} G^A_{\mu\nu} G^{A\mu\nu} - \frac{1}{4} W^I_{\mu\nu} W^{\mu\nu} - \frac{1}{4} B_{\mu\nu} B^{\mu\nu}$$
$$+ \sum_{i=1,2,3} \left( i\bar{\ell}_i \not{D} \ell_i + i\bar{e}_i \not{D} e_i + i\bar{q}_i \not{D} q_i + i\bar{u}_i \not{D} u_i + i\bar{d}_i \not{D} d_i \right)$$

• U(3)<sup>5</sup> symmetry: no notion of "flavor" (three identical copies)

$$\begin{aligned} \mathbf{L}_{SM} &= \mathcal{L}_{\text{Gauge}} + \mathcal{L}_{\text{Higgs}} + \mathcal{L}_{\text{Yukawa}} \\ \\ \mathcal{L}_{SM} &= \mathcal{L}_{\text{Gauge}} + \mathcal{L}_{\text{Higgs}} + \mathcal{L}_{\text{Yukawa}} \\ \\ \mathcal{L}_{\mu} &= 1\partial_{\mu} - ig_{s}\frac{\lambda^{A}}{2}G_{\mu}^{A} - ig\frac{\sigma^{a}}{2}W_{\mu}^{a} - ig'YB_{\mu} \\ \\ \mathcal{L}_{\text{Gauge}} &= -\frac{1}{4}G_{\mu\nu}^{A}G^{A\mu\nu} - \frac{1}{4}W_{\mu\nu}^{I}W^{\mu\nu} - \frac{1}{4}B_{\mu\nu}B^{\mu\nu} \\ \\ &+ \sum_{i=1,2,3} \left(i\bar{\ell}_{i}\not{D}\ell_{i} + i\bar{e}_{i}\not{D}e_{i} + i\bar{q}_{i}\not{D}q_{i} + i\bar{u}_{i}\not{D}u_{i} + i\bar{d}_{i}\not{D}d_{i}\right) \\ \\ \mathcal{L}_{\text{Higgs}} &= (D_{\mu}\varphi)^{\dagger}(D^{\mu}\varphi) - \lambda(\varphi^{\dagger}\varphi - v^{2})^{2} \xrightarrow{\text{EvvSB}} \begin{cases} \varphi \rangle = \begin{pmatrix} 0 \\ v \end{pmatrix} \\ \\ \langle \bar{\varphi} \rangle = \begin{pmatrix} v \\ 0 \end{pmatrix} \\ \\ \tilde{\varphi} = \epsilon \varphi^{*} \end{aligned}$$

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•  $U(3)^5$  symmetry broken by Yukawa couplings  $Y_{e,u,d}$ : flavor physics!

# Flavor physics in the SM

In unitary gauge

$$\mathcal{L}_{\text{Yukawa}} = \bar{e}_L \frac{Y_e}{V_e} e_R \left( v + \frac{h}{\sqrt{2}} \right) + \bar{d}_L \frac{Y_d}{V_d} d_R \left( v + \frac{h}{\sqrt{2}} \right) + \bar{u}_L \frac{Y_u}{V_u} u_R \left( v + \frac{h}{\sqrt{2}} \right) + \text{h.c.}$$

$$\varphi = \begin{pmatrix} 0 \\ v + \frac{h}{\sqrt{2}} \end{pmatrix}$$

• Fermion mass matrices diagonalized by bi-unitary transformation

$$Y_f = V_{f_L}^{\dagger} Y_f^{\text{diag}} V_{f_R} \qquad f = e, d, u \qquad \longrightarrow \qquad m_{f,i} = v \left( Y_f^{\text{diag}} \right)_{ii}$$

Higgs coupling to fermions is flavor-diagonal and proportional to mass

$$\mathcal{L}_{\text{Yukawa}} = \sum_{f=e,d,u} m_f \,\bar{f}f \,\left(1 + \frac{h}{\sqrt{2}v}\right) \qquad f = f_L + f_R$$

- Gauge couplings to fermions:
- I. Leptons: flavor diagonal  $\Rightarrow$  individual lepton flavor  $L_{e,\mu\tau}$  conserved



Unitary transformation of  $e_L$  needed to diagonalize charged lepton mass matrix can be reabsorbed by a redefinition of  $V_L$ (this will change for massive neutrinos)



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- 2. Quark: No tree-level Flavor Changing Neutral Currents (FCNC)



- Gauge couplings to fermions:
- I. Leptons: flavor diagonal  $\Rightarrow$  individual lepton flavor  $L_{e,\mu\tau}$  conserved
- 2. Quark: No tree-level Flavor Changing Neutral Currents (FCNC)
- 3. Quark charged current (CC): family mixing

$$\frac{g}{\sqrt{2}} W_{\mu}^{+} \bar{u}_{L} \gamma^{\mu} d_{L} \longrightarrow \frac{g}{\sqrt{2}} W_{\mu}^{+} \bar{u}_{L} V_{\text{CKM}} \gamma^{\mu} d_{L}$$

$$V_{\text{CKM}} = V_{u_{L}} V_{d_{L}}^{\dagger}$$

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$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

- CKM matrix is unitary:
  - 9 real parameters, but redefinition of quark phases reduces physical parameters to 4: 3 mixing angles and 1 phase

$$V_{ij} \rightarrow V_{ij} e^{i((\phi_d)_j - (\phi_u)_i)}$$

5 independent parameters (phase differences)

• Irreducible phase implies CP violation:

- CKM matrix and  $m_q$  govern the pattern of flavor and CPV in the SM

## Pattern of flavor and CP violation

• <u>Tree-level flavor changing charged-current processes</u> (semi-leptonic decays can be studied to extract all  $|V_{ij}|$ , except for  $V_{td}$  and  $V_{ts}$ )



Data indicates hierarchical structure of mixing matrix

 By connecting flavor-changing charged-current vertices can obtain flavor-changing neutral currents (FCNC) at loop level

#### Loop-level FCNC processes: penguins and boxes



Rare K and B decays

 $K \to \pi \nu \bar{\nu}, \ K \to \pi \ell^+ \ell^-, \dots$  $B \to X_s \gamma, \ B \to X_s \ell^+ \ell^-, \dots$  Neutral meson mixing  $(\Delta m, CPV \text{ in mixing})$ 

$$K^0 - \bar{K}^0 \quad B^0_{d,s} - \bar{B}^0_{d,s}$$

#### Loop-level FCNC processes: penguins and boxes



- Important property of FCNC: GIM mechanism
  - CKM unitarity  $\lambda_u + \lambda_c + \lambda_t = 0,$
  - u-quark degeneracy  $x_u = x_c = x_t$

#### FCNC controlled by CKM factors and non-degeneracy of quarks

→ no loop-FCNC

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Loop-induced + GIM-suppression: non-trivial test of the SM and sensitivity to new physics • Status of the CKM matrix: quark flavor physics (including CPV effects) is well described by 3 mixing angles and a phase!

$$\begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

Make explicit the hierarchical structure revealed by experiment: expand in  $\lambda \approx V_{us} \approx 0.225$ , with  $\rho,\eta,A \sim O(1)$ (Wolfenstein 1983)

$$\bar{\rho} = \rho \left(1 - \frac{\lambda^2}{2}\right)$$
$$\bar{\eta} = \eta \left(1 - \frac{\lambda^2}{2}\right)$$



Discussed in greater detail by J. Zupan and H. Jawahery

# Symmetries of the Standard Model

- The fate of global symmetries in the SM
  - Flavor symmetry:
    - U(3)<sup>5</sup> explicitly broken only by Yukawa couplings: specific pattern of FCNC — falsifiable!
    - U(I) associated with B, L, and  $L_{\alpha=e,\mu,\tau}$  survive
    - Anomaly: only B-L is conserved
  - P, C maximally violated by weak interactions
  - CP (and T) violated by CKM (and QCD theta term\*): specific pattern of CPV in flavor transitions and EDMs

\* 
$$\mathcal{L}_{\theta}^{CPV} = \theta \frac{g_s^2}{64\pi^2} \epsilon^{\mu\nu\alpha\beta} G^a_{\mu\nu} G^a_{\alpha\beta}$$

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Approximate symmetries and symmetries broken in a very specific way offer great opportunity to probe non-standard physics at the Intensity Frontier

# Flavor physics in the "vSM"

- Neutrino mass requires new degrees of freedom
- Simple / natural option: three R-handed neutrinos V<sub>Ri</sub> (gauge singlets)

$$\mathcal{L}_{\nu SM} = \mathcal{L}_{SM} + i\bar{\nu}_R \partial \!\!\!/ \nu_R - \left(\frac{1}{2}\nu_R^T C M_R \nu_R + \bar{\ell} Y_{\nu} \nu_R \tilde{\varphi} + \text{h.c.}\right)$$

Both allowed by gauge symmetry Mass term breaks U(I)<sub>L</sub>

$$\ell \to e^{i\alpha}\ell \qquad e \to e^{i\alpha}e \qquad \nu_R \to e^{i\alpha}\nu_R$$

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• Dirac neutrinos:  $M_R = 0$ . Complete analogy to quark sector (B  $\rightarrow$  L), except for tiny (O(10<sup>-10</sup>)) Yukawa couplings

• Majorana neutrinos:  $M_R \neq 0$ . L not conserved

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- In general 6x6 mass matrix for  $\binom{\nu_L}{\nu_R}$ : six Majorana (V=V<sup>c</sup>) eigenstates
- If  $M_R >> vY_v$ : 3 light  $(v_L \rightarrow v_i)$  and 3 heavy  $(v_R \rightarrow N_i)$  eigenstates

$$\mathcal{L}_{\nu SM} \supset -\frac{1}{2} \nu_L^T C m_\nu \nu_L$$
  
/
We could have written this term

without reference to V<sub>R</sub> and in SU(2) gauge-invariant form (more later)

$$m_{\nu} = v^2 Y_{\nu}^* M_R^{-1} Y_{\nu}^{\dagger}$$



• Majorana neutrinos:  $M_R \neq 0$ . L not conserved

$$\mathcal{L}_{\nu SM} = \mathcal{L}_{SM} + i\bar{\nu}_R \partial \!\!\!/ \nu_R - \left(\frac{1}{2}\nu_R^T C M_R \nu_R + \bar{\ell} Y_{\nu} \nu_R \tilde{\varphi} + \text{h.c.}\right)$$

- In general 6x6 mass matrix for  $\binom{\nu_L}{\nu_R}$ : six Majorana ( $\nu = \nu^c$ ) eigenstates
- If  $M_R >> vY_v$ : 3 light  $(v_L \rightarrow v_i)$  and 3 heavy  $(v_R \rightarrow N_i)$  eigenstates
- Mixing of 3 light Majorana neutrinos:

$$\mathcal{L}_{\nu SM} \supset -\frac{1}{2} \nu_L^T C m_{\nu} \nu_L$$

## Neutrino phenomenology

- $\int_{VSM}$  largely inaccessible at the LHC: domain of the Intensity Frontier (accelerator, reactor) and Cosmic Frontier (solar, atmospheric, astro)
- Oscillation experiments sensitive to mass splittings and mixing angles



$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix},$$
$$P_{\nu_{\alpha} \to \nu_{\beta}} = \sin^{2} 2\theta \times \frac{1}{2} \left( 1 - \cos \frac{\Delta m^{2} L}{2E} \right)$$
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#### Open questions

- Many key aspects of V dynamics remain unknown, and will be explored by experiments in the next decade
- Symmetries / particle content:
  - Is lepton number (L) broken? (Dirac vs Majorana)  $(0\nu\beta\beta)$
  - Are there light sterile v's? (short-baseline anomalies, cosmo)
- Determine parameters of mass matrix (regardless its origin):
  - Absolute mass scale (beta decay, 0νββ\*, cosmology\*)
  - Mass ordering

(oscillation experiments)

Mixing angles (✓), CPV phase

# Symmetry breaking in the $\nu SM$

- CC vertex & mass terms: individual flavors not conserved (ν osc.)
- Loop-level charged lepton FCNC: GIM at work  $\rightarrow$  tiny effects!



- $L_{\alpha=e,\mu,\tau}$  broken: but unobservable effects in charged lepton sector. Extremely clean probe of BvSM dynamics: no background!
- L broken by Majorana mass specific expectations in  $0\nu\beta\beta$

# Probing new physics at the Intensity Frontier: landscape in the LHC era

#### Probing $\mathcal{L}_{BSM}$ at the intensity frontier

- I.F. experiments don't excite new states
- Low-energy footprints of heavy new physics → local operators



Familiar example:



#### Probing $\mathcal{L}_{BSM}$ at the intensity frontier

- I.F. experiments don't excite new states
- Low-energy footprints of heavy new physics → local operators







Effective Field Theory: unified framework to analyze low-energy implications of BSM scenarios and inform model building

# EFT framework

- Assume mass gap
   M<sub>BSM</sub> > G<sub>F</sub>-1/2 ~ v<sub>EW</sub>
- Degrees of freedom:
   SM fields (+ possibly V<sub>R</sub>)
- Symmetries: SM gauge group; but no flavor, B, L, CP



• EFT expansion in E/M<sub>BSM</sub>, M<sub>W</sub>/M<sub>BSM</sub>

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{SM} + \frac{C^{(5)}}{\Lambda} O^{(5)} + \sum_{i} \frac{C_{i}^{(6)}}{\Lambda^2} O_{i}^{(6)} + \dots$$

 $[\Lambda \leftrightarrow M_{BSM}]$ 

#### A guided tour of $\mathcal{L}_{eff}$

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{SM} + \frac{C^{(5)}}{\Lambda} O^{(5)} + \sum_{i} \frac{C_{i}^{(6)}}{\Lambda^{2}} O_{i}^{(6)} + \dots$$

• Dim 5: only one operator

Weinberg 1979

$$\hat{O}_{\text{dim}=5} = \ell^T C \epsilon \varphi \ \varphi^T \epsilon \ell \qquad C = i \gamma_2 \gamma_0$$



#### A guided tour of $\mathcal{L}_{eff}$

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{SM} + \frac{C^{(5)}}{\Lambda} O^{(5)} + \sum_{i} \frac{C_{i}^{(6)}}{\Lambda^{2}} O_{i}^{(6)} + \dots$$

• Dim 5: only one operator

Weinberg 1979

$$\hat{O}_{\text{dim}=5} = \ell^T C \epsilon \varphi \ \varphi^T \epsilon \ell \qquad C = i \gamma_2 \gamma_0$$

- Violates total lepton number  $\ell \to e^{i\alpha} \ell \qquad e \to e^{i\alpha} e$
- Generates Majorana mass for L-handed neutrinos (after EWSB)

$$\frac{1}{\Lambda}\hat{O}_{\text{dim}=5} \qquad \xrightarrow{\langle\varphi\rangle = \begin{pmatrix} 0 \\ v \end{pmatrix}} \qquad \frac{v^2}{\Lambda}\nu_L^T C \nu_L$$

• "See-saw":  $m_{\nu} \sim 1 \,\mathrm{eV} \rightarrow \Lambda \sim 10^{13} \,\mathrm{GeV}$ 

"Unpacking"dim-5 operator at tree-level: see-saw models



#### A guided tour of $\mathcal{L}_{eff}$

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{SM} + \frac{C^{(5)}}{\Lambda} O^{(5)} + \sum_{i} \frac{C_{i}^{(6)}}{\Lambda^{2}} O_{i}^{(6)} + \dots$$

• Dim 6: affect *many* processes (59 structures not including flavor)



#### A guided tour of $\mathcal{L}_{eff}$

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{SM} + \frac{C^{(5)}}{\Lambda} O^{(5)} + \sum_{i} \frac{C_{i}^{(6)}}{\Lambda^{2}} O_{i}^{(6)} + \dots$$

- Dim 6: affect many processes
  - B violation

Weinberg 1979 Wilczek-Zee1979 Buchmuller-Wyler 1986, .... Grzadkowski-Iskrzynksi-Misiak-Rosiek (2010)

- Gauge and Higgs boson couplings
- CPV, LFV, qFCNC, ...
- g-2, Charged Currents, Neutral Currents, ...

# Impact of IF experiments

• Comment #I:  $O_i^{(d)}$  can be roughly divided in two classes



(ii) Those that violate (approximate)
SM symmetries: mediate rare/
forbidden processes (qFCNC, LFV, LNV, BNV, EDMs)



# Impact of IF experiments

• Comment #1:  $O_i^{(d)}$  can be roughly divided in two classes

(i) Those that give corrections to SM "allowed" processes: probe them with precision measurements (muon g-2,  $\beta$ -decays,  $Q_W$ , ...)

(ii) Those that violate (approximate)
SM symmetries: mediate rare/
forbidden processes (qFCNC, LFV, LNV, BNV, EDMs)

 Comment #2: each UV model generates its own pattern of operators / couplings → different signatures in LE experiments

Therefore, LE measurements provide the opportunity to both <u>discover</u> BSM effects & <u>discriminate</u> among BSM scenarios (maximal impact in combination with the LHC)

This equation at work

$$\delta O_{\rm BSM}(\Lambda) \lesssim (O_{\rm exp} - O_{\rm SM})$$

(for any observable O,  $\delta O_{BSM} \sim \Lambda^{-n} n=2,4,...$ )



- Caveat: horizontal axis is  $\Lambda/C^{(5)}$ ,  $\Lambda/[C_i^{(6)}]^{1/2}$ , ....
- So beware of couplings, loop factors, approximate symmetries



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#### Next steps



- Zoom into specific probes, highlighting
- Physics reach: discovery potential
- Model diagnosing power
- Impact on Higgs couplings

# Quark FCNCs (rare K decays)

# Flavor physics beyond the SM

• In the SM, U(3)<sup>5</sup> symmetry broken only by  $Y_{\cup}$  and  $Y_{D}$ 

BSM, new sources of U(3)<sup>5</sup> flavor-symmetry breaking are possible

- A major goal of flavor physics in the LHC era is to explore the flavor structure of BSM scenarios (that hopefully will emerge at the LHC)
- As a matter of fact, we already know that <u>if M<sub>BSM</sub> ~TeV, the flavor structure</u> <u>of new physics cannot be generic</u> ("flavor problem")



Important Example: CP violation in neutral kaon mixing

$$\frac{|\overline{K}^{0}\rangle = |\overline{d}s\rangle}{i\frac{d}{dt}\binom{K^{0}}{\overline{K}^{0}} = \left(M - i\frac{\Gamma}{2}\right)\binom{K^{0}}{\overline{K}^{0}}} \qquad K_{L} = K_{\text{heavy}}}{K_{S}} = K_{\text{light}}$$

 $|K^0\rangle = |d\bar{s}\rangle$ 

• K<sub>L,S</sub> not eigenstates of CP: non-zero asymmetries

$$\delta_L = \frac{\Gamma(K_L \to \pi^- \ell^+ \nu) - \Gamma(K_L \to \pi^+ \ell^- \bar{\nu})}{\Gamma(K_L \to \pi^- \ell^+ \nu) + \Gamma(K_L \to \pi^+ \ell^- \bar{\nu})} \propto 2 \operatorname{Im} \langle K^0 | H_{\Delta S=2} | \bar{K}^0 \rangle$$

$$(3.32 \pm 0.06) \times 10^{-3}$$

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$$C_{\text{eff}} \supset \frac{c_{\Delta S=2}}{\Lambda^2} \bar{s}_L \gamma^\mu d_L \ \bar{s}_L \gamma_\mu d_L \iff \frac{\Lambda}{\sqrt{c_{\Delta S=2}}} > 10^4 \text{ TeV}$$

• For  $M_{BSM} \sim \text{TeV}$ , some effective new flavor symmetry must be at work

#### Minimal Flavor Violation

• MFV hypothesis is the most "conservative" of such symmetry principles:

Even beyond the SM, the only sources of flavor symmetry  $(U(3)^5)$  breaking are proportional to the Yukawa matrices

Georgi-Chivukula '86, Hall-Randall '90, Buras et al '99, D'Ambrosio et al ;'02

- Can be incorporated in explicit models (SUSY, Technicolor, ex. d)

- Can be formulated in EFT language



• Example of MFV operator mediating FCNC:

$$O_{F1} = H^{\dagger} \bar{D}_R \,\sigma^{\mu\nu} \left( \lambda_D \,\lambda_U \lambda_U^{\dagger} \right) Q_L \,F_{\mu\nu} \longrightarrow \,\bar{d}_R^{i\prime} \,\sigma^{\mu\nu} \,m_D^{i} \Delta_{FC}^{ij} \,d_L^{j\prime} \,F_{\mu\nu}$$

$$\begin{split} (\Delta_{FC})_{ij} &= (\lambda_U \lambda_U^\dagger)_{ij} \simeq \left(\frac{m_t}{v}\right)^2 \, V_{3i}^* \, V_{3j} \\ \\ & \text{Normalization} \end{split} \qquad \text{Mixing pattern} \end{split}$$

- I. FCNC suppression follows from Cabibbo hierarchy. Flavor problem essentially "solved":  $\Lambda \sim \text{TeV}$  is now allowed
- 2. Predictive framework, relates  $d_i \rightarrow d_j$  transitions. Can be tested
- 3. Useful benchmark scenario

# Special role of rare K decays

• Rare K decay: deep probe of new flavor-breaking structures



- No SM tree-level contribution
- Strong suppression from  $\lambda^5$  CKM factor (enhanced sensitivity to BSM effect)
- Predicted with high precision ("short-distance" dominated)

$$egin{aligned} A(s 
ightarrow d) &\sim rac{g^2}{(4\pi\,v)^2}\,y_t^2 V_{ts} V_{td}^* \,+\, rac{\delta_{sd}}{\Lambda^2} \ \lambda^5 \ {
m suppression} \ {
m in the SM} \end{aligned}$$

#### • Theory + Experiment status and prospects

Observable	SM Theory	Current Expt.	Future Experiments
$\mathcal{B}(K^+ \to \pi^+ \nu \overline{\nu})$	$7.81(75)(29) \times 10^{-1}$	1 $1.73^{+1.15}_{-1.05} \times 10^{-10}$	${\sim}10\%$ at NA62
		E787/E949	${\sim}5\%$ at ORKA
			${\sim}2\%$ at Project X
$\mathcal{B}(K_L^0 \to \pi^0 \nu \overline{\nu})$	$2.43(39)(6) \times 10^{-11}$	$<2.6\times10^{-8}$ E391a	1 <sup>st</sup> observation at KOTO
			${\sim}5\%$ at Project X

1311.1076 and refs therein: 1st error parametric, 2nd intrinsic







#### CERN NA62

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- "Golden modes" ( $K \rightarrow \pi \nu \nu$ ) predicted quite precisely in the SM
  - Quadratic GIM suppresses lightquark (long-distance) contribution
  - Semi-leptonic matrix elements related by isospin to  $K \rightarrow \pi e v$



#### • Theory + Experiment status and prospects

Observable	SM Theory		Current Expt.	Future Experiments
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1311.1076 and refs therein: 1st error parametric, 2nd intrinsic

• "Golden modes" ( $K \rightarrow \pi \nu \nu$ ) predicted quite precisely in the SM

• O(10%) exp. precision  $\Rightarrow$ (SM BR)  $\wedge \sim 10 \text{ TeV}$  (generic flavor structure) (SM BR)  $\wedge \sim 10 \text{ TeV}$  (MFV structure,  $\lambda^5$  suppression)

#### EFT approach: Kaon matrix

$$\mathcal{L}_{eff} = \sum_{i} C_{i} Q_{i} \qquad \stackrel{a_{A}}{=} \begin{pmatrix} a_{A} \\ b_{A} \\ c_{E} \\ c_{I} \\ c_{I$$

•  $K \rightarrow \pi \nu \nu$ sensitive to 6 operators

Uli Haisch,

S. Jaeger

- 3 essentially unconstrained: can induce large deviations
- 3 "Z penguins": constraints from ε'?

#### EFT approach: Kaon matrix

- In this framework, can study both
  - "Discovery potential" of rare decays: given the constraints from other observables, how large of a deviation from the SM can one expect?
  - "Diagnosing power": correlations among observables

- Focus on Z-penguins
  - Most interesting, since they contribute to ε'/ε
  - Dominant operators in many models

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#### Correlations in K decays

 $\left(V_{ts}^* V_{td} C_{\rm SM} + \frac{C_{\rm NP}}{C_{\rm NP}}\right) \bar{d}_L \gamma_\mu s_L Z^\mu + \frac{\widetilde{C}_{\rm NP}}{\widetilde{d}_R \gamma_\mu s_R Z^\mu}$ 

#### If Z-penguins dominate (MSSM, RS, ...)

$$BR(K_L \to \pi^0 v \bar{v}) \propto (Im X)^2,$$
  

$$BR(K^+ \to \pi^+ v \bar{v}(\gamma)) \propto |X|^2,$$
  

$$X = X_{SM} + \frac{1}{\lambda^5} \left( C_{NP} + \tilde{C}_{NP} \right),$$

$$\frac{\varepsilon'_{K}}{\varepsilon_{K}} \propto -\operatorname{Im} \left[ \lambda_{t} \left( -1.4 + 13.8R_{6} - 6.6R_{8} \right) + \left( 1.5 + 0.1R_{6} - 13.3R_{8} \right) \left( C_{\mathrm{NP}} - \widetilde{C}_{\mathrm{NP}} \right) \right]$$

Uli Haisch,

S. Jaeger

Impact on CP-violation in  $K { \rightarrow } \pi \pi$  decays

$$\eta_{+-} \equiv \frac{A(K_L \to \pi^+ \pi^-)}{A(K_S \to \pi^+ \pi^-)} \simeq \epsilon + \epsilon'$$
$$\eta_{00} \equiv \frac{A(K_L \to \pi^0 \pi^0)}{A(K_S \to \pi^0 \pi^0)} \simeq \epsilon - 2\epsilon'$$
$$\frac{|\eta_{00}|^2}{|\eta_{+-}|^2} = 1 - 6 \operatorname{Re}\left(\frac{\epsilon'}{\epsilon}\right)$$

#### Correlations in K decays

Uli Haisch, S. Jaeger

If Z-penguins dominate (MSSM, RS, ... )



• 50% deviations from SM BR still possible in  $K_{L} \rightarrow \pi^{0}vv$ . Should influence ultimate experimental sensitivity (5% of SM BR)

#### Correlations in K decays

Uli Haisch, S. Jaeger

If Z-penguins dominate (MSSM, RS, ... )



- $K \rightarrow \pi \nu \nu$  modes provide a win-win opportunity
  - Sizable (non  $\lambda^5$  suppressed) BSM effect is possible
  - Even if BSM is small (MFV, Z-penguin, ...), can still detect it due to "clean" SM prediction
- 50% deviations from SM BR still possible in  $K_{L} \rightarrow \pi^{0}vv$ . Should influence ultimate experimental sensitivity (5% of SM BR)

# Backup

# Anomalous symmetry breaking

• Action is invariant, but path-integral measure is not!

$$\int [d\psi] [d\bar{\psi}] \ e^{iS[\psi,\bar{\psi}]}$$

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$$\int [d\psi] [d\bar{\psi}] = \int [d\psi'] [d\bar{\psi}'] \mathcal{J} \qquad \mathcal{J} \neq 1$$

$$\psi \to \psi' \qquad \bar{\psi} \to \bar{\psi}'$$

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- Important examples: trace (scale invariance) and chiral anomalies
- Baryon (B) and Lepton (L) number are anomalous in the SM

• Only B-L is conserved; B+L is violated; negligible at zero temperature

#### Basics of meson-antimeson mixing

#### • Mixed states $a|P^0 angle+b|ar{P}^0 angle$ evolve according to:

$$\begin{array}{c} \displaystyle \underbrace{\frac{d}{dt} \left(\begin{array}{c} a \\ b \end{array}\right) = \left(M - \frac{i}{2}\Gamma\right) \left(\begin{array}{c} a \\ b \end{array}\right)}_{\text{CPT:}} & \underbrace{M_{11} = M_{22}}_{\Gamma_{11} = \Gamma_{22}} & \underbrace{\text{Hermiticity}}_{\text{Hermiticity}} & \underbrace{M_{21} = M_{12}^{*}}_{\Gamma_{21} = \Gamma_{12}^{*}} & \underbrace{\text{CP:}}_{\Gamma_{12} = \Gamma_{12}^{*}} \\ \hline & \Gamma_{12} = \sum \pi \delta(m_P - E_n) \langle P^0 | H_{\Delta F = 1} | n \rangle \langle n | H_{\Delta F = 1} | \bar{P}^0 \rangle \\ M_{12} = \langle P^0 | H_{\Delta F = 2} | \bar{P}^0 \rangle + \mathcal{P} \sum_{n} \frac{\langle P^0 | H_{\Delta F = 1} | n \rangle \langle n | H_{\Delta F = 1} | \bar{P}^0 \rangle}{m_P - E_n} \\ d \\ \hline & \underbrace{W \quad \& W}_{d} & \text{Short distance}_{(\text{dominant in B_{ds}, }, \\ prop. \text{ to } | V_{td} | \text{ and } | V_{ts} | )} & \underset{(\text{important in K, D)}}{\text{Long distance}} \\ K \\ \hline & \pi \end{array}$$
• Mass eigenstates

$$P_{1,2}\rangle = p |P^0\rangle \pm q |\bar{P}^0\rangle \qquad |p|^2 + |q|^2 = 1$$

$$\frac{q}{p} = \sqrt{\frac{M_{12}^* - \frac{i}{2}\Gamma_{12}^*}{M_{12} - \frac{i}{2}\Gamma_{12}}}$$

Mass and lifetime differences

$$\Delta M = M_2 - M_1 = -2 \operatorname{Re} \left[ \frac{q}{p} \left( M_{12} - \frac{i}{2} \Gamma_{12} \right) \right]$$
$$\Delta \Gamma = \Gamma_1 - \Gamma_2 = -4 \operatorname{Im} \left[ \frac{q}{p} \left( M_{12} - \frac{i}{2} \Gamma_{12} \right) \right]$$

• Time evolution of state produced as  $P^0$  or  $\bar{P}^0$ 

$$\begin{cases} |P^{0}(t)\rangle &= g_{+}(t)|P^{0}\rangle + \frac{q}{p}g_{-}(t)|\bar{P}^{0}\rangle \\ |\bar{P}^{0}(t)\rangle &= \frac{p}{q}g_{-}(t)|P^{0}\rangle + g_{+}(t)|\bar{P}^{0}\rangle \end{cases} \\ g_{\pm}(t) &= \frac{1}{2}e^{-iM_{1}t}e^{-\frac{1}{2}\Gamma_{1}t}\left[1\pm e^{-i\Delta M t}e^{\frac{1}{2}\Delta\Gamma t}\right] \end{cases}$$

- Can measure  $\Delta M$  and  $\Delta \Gamma$  (infer CKM couplings)
- CP violation in mixing ( |q/p| ≠ I): mass eigenstates are not CP eigenstates
- In K system, usually define "impurity" parameter  $\overline{\epsilon}$

$$|K_{S/L}\rangle = N_{\bar{\epsilon}} \left( |K_{\pm}^0\rangle + \bar{\epsilon} |K_{\mp}^0\rangle \right)$$

## CP properties of 2 and 3 pion states

**CP-ology** 

 $TP(V) = O_{-}$ 

• 
$$J^{r}(K) = 0^{-}$$
  
•  $J^{p}(\pi) = 0^{-}$   $C(\pi^{0}) = +1$   $[\pi^{0} \to \gamma\gamma \text{ and } C(\gamma) = -1]$   
•  $\pi\pi$ :  
 $P(\pi\pi) = P(\pi)^{2} (-1)^{\ell} = (-1)^{\ell}$   $[\pi^{0}\pi^{0}: \text{ even } \ell]$   
 $C(\pi^{0}\pi^{0}) = +1, C(\pi^{+}\pi^{-}) = P(\pi^{+}\pi^{-}) = (-1)^{\ell}$   
Exchange =  $CP \Rightarrow CP(\pi\pi) = +1$   
•  $K \to \pi\pi$ :  
 $J(\pi\pi) = \ell(\pi\pi) = 0 \Rightarrow P(\pi\pi) = +1, C(\pi\pi) = +1$   
•  $\pi\pi\pi$ :  
 $J(\pi\pi\pi) = P(\pi)^{3} (-1)^{\ell} (-1)^{L} = (-1)^{\ell+L+1} [\pi^{0}\pi^{0}\pi^{0}: \text{ even } \ell, P=(-1)^{L+1}]$   
 $C(\pi^{0}\pi^{0}\pi^{0}) = +1, C(\pi^{+}\pi^{-}\pi^{0}) = (-1)^{\ell}$   
•  $K \to \pi\pi\pi$ :  
 $J(\pi\pi\pi) = 0 \Rightarrow \ell = L \Rightarrow P(\pi\pi\pi) = -1$   
 $CP(\pi^{0}\pi^{0}\pi^{0}) = -1$   $CP(\pi^{+}\pi^{-}\pi^{0}) = (-1)^{\ell+1}$   
 $\ell > 0$  is kinematically suppressed



## Minimal Flavor Violation

• Recall:  $U(3)^5$  invariance of  $\mathcal{L}_{gauge}$  broken only by Yukawas:



I - Observe that Yukawa interactions are formally invariant if

$$\lambda_D \to V_L \ \lambda_D \ V_D^{\dagger} \qquad \qquad \lambda_U \to \ V_L \ \lambda_U \ V_U^{\dagger}$$

2 - Construct higher dim. local operators (BSM physics) that are formally invariant under  $G_f = U(3)^5$ 

## Neutrino phenomenology

- $\int_{VSM}$  largely inaccessible at the LHC: domain of the Intensity Frontier (accelerator, reactor) and Cosmic Frontier (solar, atmospheric, astro)
- Oscillation experiments sensitive to mass splittings and mixing angles

