

# Bounds on Axion Stars from Stability and Decay

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with

Peter Suranyi, Cenalo Vaz, Rohana Wijewardhana

For more information, see arXiv: [1412.3430](#), [1512.01709](#)

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# Outline

- Axions are a well-motivated scalar dark matter candidate, show up in QCD and elsewhere
- Boson Stars are gravitationally bound, macroscopic condensates of scalar fields (e.g. axions)
- Stability of axion stars can be analyzed through [gravity, tunneling, and decay](#)
- Open Questions and Future Work

# Axions Can Solve Two Problems

The nature of Dark Matter (DM) remains an open question



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<sup>0</sup>Image Credit: Symmetry Magazine

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(Model Dependent)

(Requires  $m f_a \approx 6 \times 10^{-3} \text{ GeV}^2$ )

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- Typically noncompact: Wavefunctions extend to infinity
  - Define “size”  $R_{99}$  inside which .99 of the mass is contained
- **Mass is bounded above** generically by gravitational stability

## Historical Interlude

- Ruffini and Bonazzola, “Systems of Self-Gravitating Particles in General Relativity...” (1969)
  - Second-quantized a free real scalar field, put all  $N$  particles in ground state  $|N\rangle$
  - Took expectation value of Einstein+Klein Gordon equations in ground state
  - Maximum mass for bound states:  $M_{max}^{free} = .633M_P^2/m$   
Chandrasekhar limit:  $M_{max} \sim M_P^3/m^2$

## Historical Interlude

- Ruffini and Bonazzola, “Systems of Self-Gravitating Particles in General Relativity...” (1969)
- Barranco and Bernal, “Self-Gravitating System Made of Axions” (2011)
  - Axion potential:  $V(\mathcal{A}) = m^2 f_a^2 \left(1 - \cos(\mathcal{A}/f_a)\right) \approx \frac{m^2}{2} \mathcal{A}^2 + \dots$
  - Used RB method to quantize field, found numerical solutions with sizes  $R \sim 1 - 10$  m and masses  $M \sim 10^{13} - 10^{14}$  kg.

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- Barranco and Bernal, “Self-Gravitating System Made of Axions” (2011)
- Eby, Suranyi, Vaz, and Wijewardhana, “Axion Stars in the Infrared Limit” (2014)
  - A simple variational calculation suggested to us that axion stars could have larger masses, of  $\mathcal{O}(10^{19})$  kg
  - Expanding on the work of RB and BB, we developed an expansion method which simplifies the equations of motion
  - We emphasize that the RB method is based on a Born approximation and is **applicable only in the weak binding limit**, where pair production and loop effects are negligible

# Ruffini-Bonazzola Method

Consider in greater detail the RB method:

1. Begin with a canonically normalized second-quantized scalar field

$$\mathcal{A}(r, \theta, \phi) = \sum_{n,l,m} R_{n,l}(r) \left[ e^{iE_{n,l}t} Y_l^m(\theta, \phi) a_{n,l,m} + h.c. \right]$$

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3. Evaluate expectation value of EKG equations

$$\langle N | G^{\mu\nu} | N \rangle = \frac{1}{M_P^2} \langle N | T^{\mu\nu} | N \rangle$$

$$\langle N - 1 | \left[ \square \mathcal{A} - \frac{1}{2} W'(\mathcal{A}) \right] | N \rangle = 0$$



## Axion Stars in the Weak Binding Limit

The axion field theory can be defined by a low-energy potential, whose expectation value has the form

$$\begin{aligned} V(\mathcal{A}) &= m^2 f_a^2 \langle N | 1 - \cos(\mathcal{A}/f_a) | N \rangle \\ &= m^2 f_a^2 \left[ 1 - J_0(2\sqrt{N}R(r)/f_a) \right] \end{aligned}$$

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We also require **weak gravity** and **weak binding**, parameterized by

$$\delta \equiv \frac{f_a^2}{M_P^2} \ll 1 \quad \Delta \equiv \sqrt{1 - \frac{E_0^2}{m^2}} \ll 1$$

We scale the axion wavefunction, radial coordinate, and metric functions accordingly:

$$\begin{aligned} x &= \Delta m r, \quad Y(x) = \frac{2\sqrt{N}R(r)}{f_a \Delta}, \\ A(r) &= 1 + \delta a(x), \quad B(r) = 1 + \delta b(x) \end{aligned}$$

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## Leading Order Equations

To leading order in  $\delta$  and  $\Delta$  we find simplified set of equations for the axion wavefunction  $Y(x)$  and metric functions  $a(x)$  and  $b(x)$ :

$$\begin{aligned}a'(x) &= \frac{x}{2} Y(x)^2 - \frac{a(x)}{x} \\b'(x) &= \frac{a(x)}{x} \\Y''(x) &= [1 + \kappa b(x)] Y(x) - \frac{2}{x} Y'(x) - \frac{1}{8} Y(x)^3\end{aligned}$$

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Note: Leading corrections are  $\mathcal{O}(\delta)$  and  $\mathcal{O}(\kappa\delta)$ .

Requiring  $\Delta \ll 1$  and  $\kappa\delta \ll 1$  gives the range of validity:

$$\frac{f_a^2}{M_P^2} \ll \kappa \ll \frac{M_P^2}{f_a^2}$$

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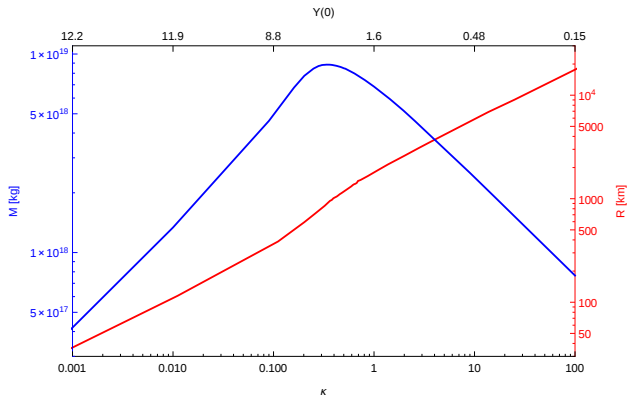
Requiring  $\Delta \ll 1$  and  $\kappa\delta \ll 1$  gives the range of validity:

$$10^{-14} \sim \frac{f_a^2}{M_P^2} \ll \kappa \ll \frac{M_P^2}{f_a^2} \sim 10^{14}$$

for QCD axions with  $m = 10^{-5}$  eV

# Gravitational Stability

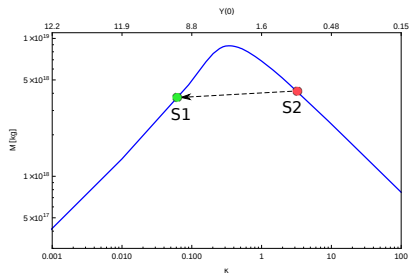
- Maximum mass of weakly bound QCD axion stars with  $m_a = 10^{-5}$  eV is  $\mathcal{O}(10^{19})$  kg with radius  $\mathcal{O}(100 - 1000)$  km
- Heavier stars are gravitationally unstable and must either shed excess axions (“bosonova”), or go into some other state (black hole, strongly bound state, etc.)





# Tunneling Effects

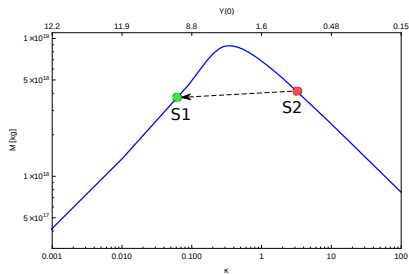
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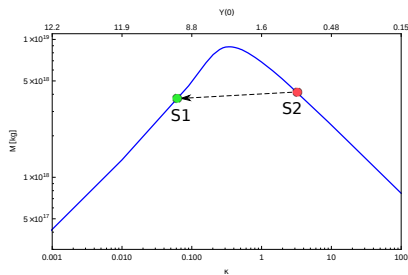
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- The transition rate is suppressed by the very small factor  $e^{-N}$  (using WKB), but could potentially be catalyzed by collisions with e.g. neutron stars
- Using  $M(\Delta) = Nm\sqrt{1 - \Delta^2}$ ,

$$\begin{aligned}\frac{M_2 - M_1}{M_2} &\approx \frac{1}{2} (\Delta_1^2 - \Delta_2^2) \\ &= \frac{\delta}{2} (\kappa_1^{-1} - \kappa_2^{-1})\end{aligned}$$

Even a small mass fraction  $\mathcal{O}(\delta \cdot M) =$  (“many” kg), and could have *significant observational effects!*

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1. Axions are **Hermitian fields**: their number is not conserved
  - In the nonrelativistic limit of real scalar fields, number changing operators are (justifiably) neglected, but they should be present in the full field theory
  - We extend our expansion in ground-state operators to include a free axion term,

$$\mathcal{A}(r) = R(r) e^{i E_0 t} a_0 + \int \frac{d^3 p}{\sqrt{2 E_p}} a_p e^{i p \cdot r - i E_p t} + \text{h.c.}$$

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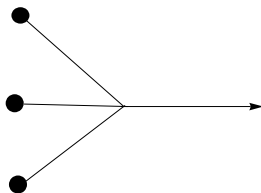
1. Axions are Hermitian fields: their number is not conserved
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$$V_{int} \sim -\frac{m^2}{f_a^2} \mathcal{A}^4 + \mathcal{O}(\mathcal{A}^6)$$

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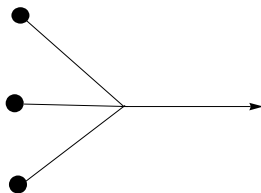
1. Axions are Hermitian fields: their number is not conserved
2. They possess a non-trivial self-interaction potential
3. Condensed axions are **not in momentum eigenstates**
  - Wavefunctions are localized on a radius  $R \sim 1/(m \Delta)$
  - Momentum uncertainty is thus  $\delta p \sim m \Delta$



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The process  $3 a \rightarrow a$  leads to a nonzero matrix element for

$$[N \text{ condensed}] \rightarrow [(N - 3) \text{ condensed} + 1 \text{ emitted}]$$

giving axion stars a finite lifetime.



## Lifetime of Axion Stars

We have calculated the leading-order decay rate (through  $3 a \rightarrow a$ ) for axion stars in the weak binding limit:

$$\Gamma_3 = \frac{f_a^2}{4\sqrt{2}\pi m} |\mathcal{I}_3(\Delta)|^2$$

where  $\mathcal{I}_3$  is a monotonically increasing function of  $\Delta$ .

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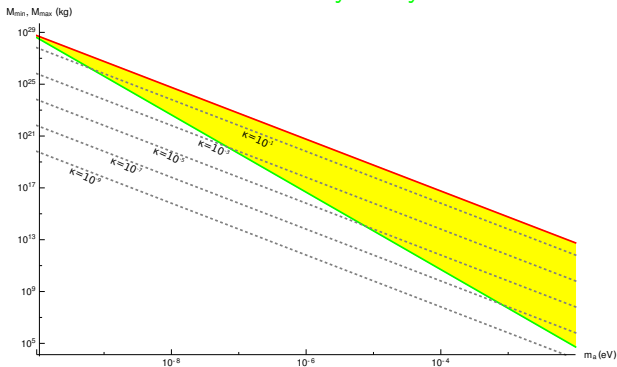
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- **Note:** The other leading-order processes,  $n a \rightarrow \mu a$  with  $n \geq 4$  and/or  $\mu \geq 2$ , are suppressed by either  $\Delta \ll 1$  or the ratio  $m^2/f_a^2 \ll 1$ .

# Combined Bounds

Red line: Upper bound for gravitational stability

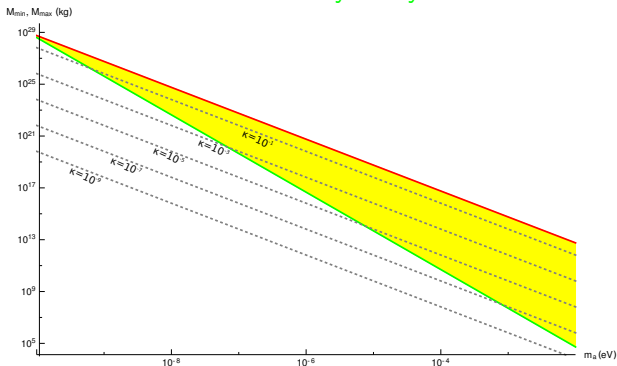
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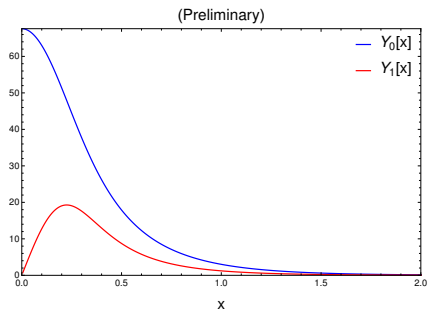
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- Over a large region of the important parameter space,  $\kappa \ll 1$ 
  - In this region, the gravitational interaction completely decouples from axion field
  - Attractive self-interaction balanced by kinetic pressure

## Current and Future Work

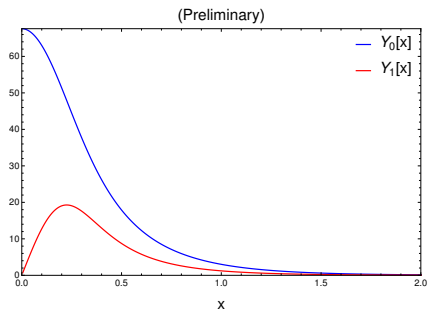
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- ! Leading-order solutions can be systematically extended to include ever higher harmonics
- All of our results so far apply only to weakly bound stars. The case of strong binding requires an entirely new method

# Conclusions

- Our expansion in  $\delta$  and  $\Delta$  simplifies the equations and elucidates important properties of axion stars
- The maximum mass of weakly bound QCD axion stars is  $\mathcal{O}(10^{19})$  kg with  $R_{99} \sim 1000$  km for  $m = 10^{-5}$  eV
- Through stability analysis, we find significant constraints on the masses of weakly bound axion stars surviving from the early universe
- The cases of rotating or strongly bound axion stars are of great interest for the development of a realistic DM model and to obtain a full picture of the parameter space of axion stars
- Thanks!



# Backup Slides

## Backup: Strong CP Problem

The  $\theta$ -term in the QCD Lagrangian violates  $CP$ :

$$\mathcal{L}_{QCD} \ni \theta \frac{g_s^2}{32\pi^2} G^{a\mu\nu} \tilde{G}_{\mu\nu}^a \quad (1)$$

But the lack of detection of a neutron EDM constrains the free parameter  $\theta$  severely:

$$\begin{aligned} d_n \approx 5 \times 10^{-16} \theta \text{ e} \cdot \text{cm} &\lesssim 10^{-25} \text{ e} \cdot \text{cm} \\ \Rightarrow \theta &\lesssim 10^{-10} \end{aligned} \quad (2)$$

In principle,  $\theta \sim \mathcal{O}(1)$ . Why should it be so small?

## Backup: Peccei-Quinn Mechanism

Solving the Strong-CP Problem:

- Promote  $\theta \rightarrow a(x)/f$ , a dynamical field, whose potential is minimized at  $a(x) = 0$ . Naturalness saved!
- The Lagrangian for  $a(x)$  has a symmetry,  $U(1)_{PQ}$ , which is broken at the energy scale  $f$
- The physical axion field is the Goldstone boson of  $U(1)_{PQ}$ -breaking

$\phi$  is initially massless, but acquires a mass during the QCD phase transition due to nonperturbative effects:

$$m_a = \frac{\Lambda_{QCD}^2}{f} \quad (3)$$

## Non-Relativistic Limit of a Scalar Field

- Suppose a scalar field  $\Phi$  is complex and has total energy  $E_0$ . In the non-relativistic limit,  $|E_0 - m| = \delta E \ll m$ .

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- Let  $\Phi = e^{-imt}\phi$ . Then  $|\dot{\phi}| \approx \delta E \phi \ll m\phi$ , so

$$\ddot{\Phi} = m^2 \left( \underbrace{\frac{\ddot{\phi}}{m^2}}_{\mathcal{O}(\Delta^2)} - 2i \underbrace{\frac{\dot{\phi}}{m}}_{\mathcal{O}(\Delta)} + \underbrace{\phi}_{\mathcal{O}(1)} \right) e^{-imt}$$

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Thus

$$0 = \left( \ddot{\Phi} - \nabla^2 \Phi - m^2 \Phi \right) \approx \left( -2im\dot{\phi} - \nabla^2 \phi \right) e^{-imt}$$

or

$$i\dot{\phi} = -\frac{1}{2m}\nabla^2\phi$$



## Non-Relativistic Axions

Note that **axions are real scalar fields**, and the above procedure does not quite apply.

A similar method reduces the Klein-Gordon equation by expanding the axion field  $\phi$  in terms of a complex one:<sup>1</sup>

$$\phi = \frac{1}{\sqrt{2m}} \left[ e^{-imt} \psi + e^{imt} \psi^* \right]$$

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The resulting equation of motion for the new field  $\psi$  at  $\Delta \ll 1$  is known as the Gross-Pitaëvskii equation, coupled to gravity:

$$i\dot{\psi} = -\frac{1}{2m} \nabla^2 \psi + \frac{\lambda}{8m^2} |\psi|^2 \psi - G m^2 \psi \int d^3x' \frac{|\psi(r')|^2}{|r-r'|}$$

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$$\phi = \frac{1}{\sqrt{2m}} \left[ e^{-imt} \psi + e^{imt} \psi^* \right]$$

The resulting equation of motion for the new field  $\psi$  at  $\Delta \ll 1$  is known as the Gross-Pitaëvskii equation, coupled to gravity:

$$i \dot{\psi} = -\frac{1}{2m} \nabla^2 \psi + \frac{\lambda}{8m^2} |\psi|^2 \psi - G m^2 \psi \int d^3x' \frac{|\psi(r')|^2}{|r - r'|}$$

Note: Completely equivalent to our system at leading  $\Delta$ .

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<sup>1</sup>Guth, Hertzberg, and Prescod-Weinstein. Phys. Rev. D **92**, 103513 (2015). arXiv: 1412.5930.

## Gross-Pitäevskii-Poisson System

In the non-relativistic limit, scalar field dynamics can be described by the Gross-Pitäevskii, or nonlinear Schrödinger, equation coupled to Poisson gravity:

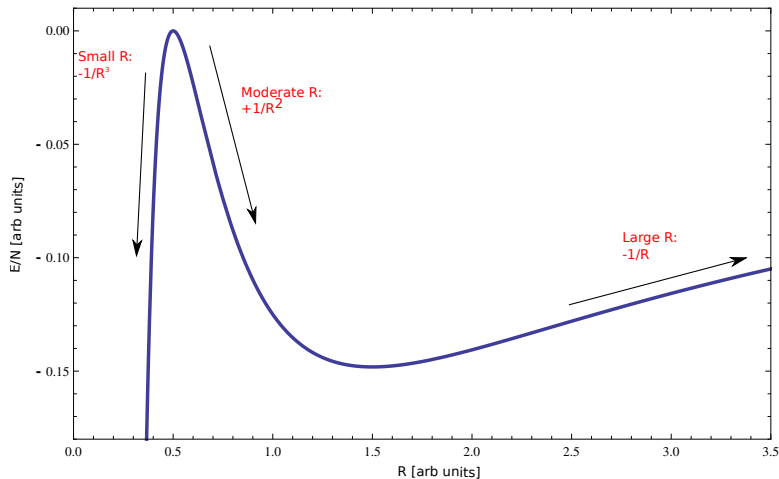
$$i\dot{\psi}(r) = -\frac{1}{2m}\nabla^2\psi(r) + mV(r)\psi(r) + \frac{\lambda}{8m^2}|\psi(r)|^2\psi(r)$$
$$\nabla^2V(r) = 4\pi G m |\psi(r)|^2$$

The GPP energy is

$$E[\psi] = \int d^3r \left[ -\frac{1}{2m}|\nabla\psi|^2 + V\psi + \frac{\lambda}{16m^2}|\psi|^2\psi \right]$$

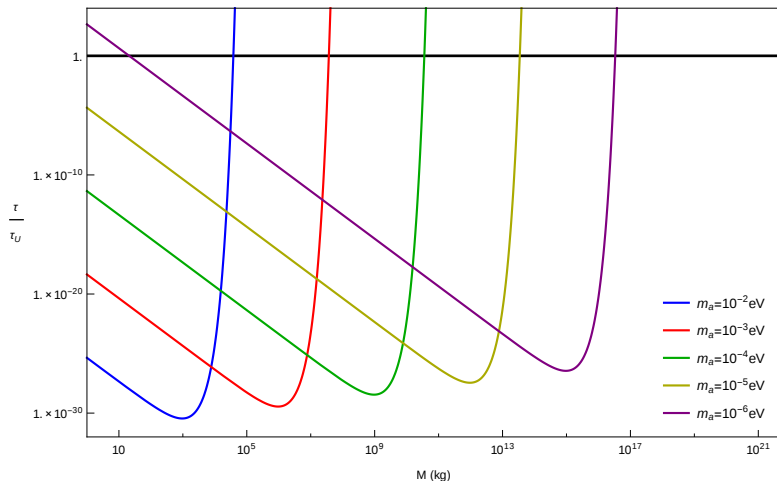
# Variational Method

$$E(R) = \frac{A}{R^2} - \frac{B N}{R} - \frac{C N}{R^3}$$



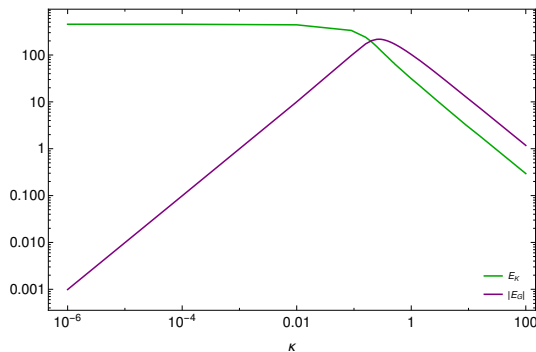
# Lifetime Change with Mass

We required  $\tau > \tau_U \approx 13.6$  billion years, but softening this requirement does not change much the lower bound on axion star masses



# Decoupling of Gravity

When  $\kappa \ll 1$ , the total gravitational energy is significantly smaller than the kinetic or interaction energies



When  $\kappa = \mathcal{O}(1)$ , the terms are of roughly the same order

## Chiral vs Instanton Potential

The instanton potential  $V(\phi) = m^2 f_a^2 \left(1 - J_0(\phi/f_a)\right)$  is really only an approximation. Axions are more correctly described by a chiral potential which takes into account QCD effects (see e.g. 1604.00669).

In fact, updating the potential in this way does not change our results much at all:

