Effective field theories vs. oblique parameters in precision electroweak analyses

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based on 1510.08462, 1512.03056 w/ James Wells

Invitation: misconceptions about EFT & oblique parameters

- Oblique parameters S, T etc. can be used to constrain any (calculable) BSM theory. λ
- Oblique parameters S, T etc. can be used to constrain the general dimension-6 EFT parameter space. \mathbb{R}
- Oblique parameters S, T, etc. can be used to constrain "universal theories," where *only bosonic operators* appear in the EFT Lagrangian, *no matter which basis is used*.

Introduction

EFT & oblique parameters

Effective field theory (EFT)

• General, model-independent and consistent approach to precision analyses, in search of deviations from the Standard Model (SM).

$$
\mathcal{L} = \mathcal{L}_{\text{SM}} + \sum_{i} c_i \frac{\mathcal{O}_i}{v^2} + \dots \quad \text{where} \quad c_i \sim \mathcal{O}\left(\frac{v^2}{\Lambda^2}\right) \qquad \Lambda \sim \text{TeV}
$$
?

dimension-6 operators

• Theory prediction for observables

$$
\hat{\mathcal{O}}^{\text{SMEFT}} = \hat{\mathcal{O}}^{\text{SM}} \left(1 + \bar{\delta}^{\text{NP}} \hat{\mathcal{O}} \right) \quad \text{where} \quad \bar{\delta}^{\text{NP}} \hat{\mathcal{O}} = \sum_{i} a_i c_i + \mathcal{O} \left(\frac{v^4}{\Lambda^4} \right) \sim \mathsf{O}(1\%)?
$$

Compare with precision data \rightarrow constrain/determine $c_i \rightarrow$ infer UV theory.

Wells, ZZ, 1406.6070

EFT operator bases

• To use EFT consistently, we need a complete, non-redundant operator basis.

• **Warsaw basis**

- Buchmuller and Wyler (1986)
- **Grzadkowski, Iskrzynski, Misiak, Rosiek (1008.4884)**

• **SILH basis**

- Giudice, Grojean, Pomarol, Rattazzi (hep-ph/0703164)
- Contino, Ghezzi, Grojean, Muhlleitner, Spira (1303.3876)
- **Elias-Miro, Espinosa, Masso, Pomarol (1308.1879)**

• **EGGM basis**

- Elias-Miro, Espinosa, Masso, Pomarol (1302.5661)
- **Elias-Miro, Grojean, Gupta, Marzocca (1312.2928)**
- They are equivalent descriptions of the theory, related by field redefinitions (equivalently, SM equations of motion).

Oblique parameters S, T, etc.

- Parameterization of vector boson self-energy corrections.
	- Initiated by Kennedy and Lynn (1989).
	- S, T, U proposed by Peskin and Takeuchi (1992).
	- Extended by Maksymyk, Burgess, London (hep-ph/9306267), Barbieri, Pomarol, Rattazzi, Strumia (hep-ph/0405040), etc.

$$
\mu \sim \sqrt{\mathcal{N} \cdot \mathcal{N} \cdot \math
$$

• See e.g. PDG for current constraints on S and T from precision EW data.

Oblique parameters: caveats

 $\hat{S} \equiv \frac{\alpha}{4s_{\theta}^2} S \equiv -\frac{c_{\theta}}{s_{\theta}} \Pi'_{3B}(0), \quad \hat{T} \equiv \alpha T \equiv \frac{1}{m_W^2}$ $[\Pi_{WW}(0) - \Pi_{33}(0)],$ etc.

- 1) $\Pi_{VV'}(p^2)$ are not invariant under field redefinitions.
	- They are NOT physical observables.
	- In the EFT, values of $\Pi_{VV'}(p^2)$ are basis-dependent and ambiguous.
		- *See Sanchez-Colon and Wudka (hep-ph/9805366), Grojean, Skiba, Terning (hepph/0602154), Trott (1409.7605) for earlier discussions.*
- 2) Bounds on S, T, etc. are derived assuming they capture all the BSM effects (or at least the dominant ones) on the processes under study [e.g. "no Zff vertex corrections"].
	- This assumption is NOT satisfied for the most *general* BSM deformations.
	- Thus S, T, etc. are meaningful ONLY when restrictions are imposed.
	- Generally speaking, these restrictions define universal theories.

Consistent EFT description of universal theories

based on 1510.08462

EFT definition of universal theories *{Yq, Yl, Yu, Yd, Ye}* = *{* 1 not be confused with the hypercharges 6 *,* 1 2 *,* 2 3 *,* 1 3 *,* 1*}.* (2.2)

 \bullet Universal theories are theories for which, by field redefinitions, it is possible to write the effective Lagrangian as $\mathcal{L}_{\mathrm{universal}} = \mathcal{L}_{\mathrm{SM}} + \sum$ 16 $c_i \frac{\mathcal{O}_i^{\text{bosonic}}}{\sqrt{2}}$ with *D^µ* and the SM boson fields *G^A*

- **16** independent CP-even bosonic operators one can possibly write down. basis originates from the study of the strongly-interacting light Higgs (SILH) scenario [90],
	- The most general dim-6 deformations of the SM in the bosonic sector.

 v^2

 \setminus

i=1

Translating $\left| \mathcal{L}_{\text{universal}} = \mathcal{L}_{\text{SM}} + \sum c_i \frac{\mathcal{O}_i^{\text{DSSolnc}}}{n^2} \right|$ into the Warsaw basis 16 *i*=1 $c_i \frac{\mathcal{O}_i^{\text{bosonic}}}{\sigma^2}$ v^2

- 7 of the 16 bosonic operators do not appear in Warsaw basis.
- Their effects are captured elsewhere.
- Example:

$$
ig'(D^{\mu}H)^{\dagger}(D^{\nu}H)B_{\mu\nu} \xrightarrow{\text{IBP}} -\frac{gg'}{4}H^{\dagger}\sigma^{a}HW_{\mu\nu}^{a}B^{\mu\nu} + \frac{ig'}{2}(H^{\dagger}\overleftrightarrow{D}_{\mu}H)\partial^{\nu}B_{\mu\nu} - \frac{g'^{2}}{4}|H|^{2}B_{\mu\nu}B^{\mu\nu}
$$

$$
\xrightarrow{\text{EoM}} -\frac{gg'}{4}H^{\dagger}\sigma^{a}HW_{\mu\nu}^{a}B^{\mu\nu} - \frac{g'^{2}}{4}(H^{\dagger}\overleftrightarrow{D}_{\mu}H)^{2} - \frac{g'^{2}}{4}|H|^{2}B_{\mu\nu}B^{\mu\nu}
$$

$$
\partial^{\nu}B_{\mu\nu} = \frac{ig'}{2}H^{\dagger}\overleftrightarrow{D}_{\mu}H + g'\sum_{f}Y_{f}\overline{f}\gamma_{\mu}f \qquad \qquad + \frac{ig'^{2}}{2}\sum_{f}Y_{f}(H^{\dagger}\overleftrightarrow{D}_{\mu}H)\overline{f}\gamma^{\mu}f
$$

- LHS: bosonic operator (absent in Warsaw basis).
- RHS: other bosonic operators, plus fermionic operator combination (present in Warsaw basis).
- "No Zff vertex corrections" = "universal Zff vertex corrections".

S-dimensional parameter space (subspace of full SMEF pressions, repeated generation indices *i, j, k, l* are summed over, *^H†^a* !*^D ^µ^H* ⁼ *^H†^a*(*DµH*) *Still 16-dimensional parameter space (subspace of full SMEFT).*

Oblique parameters in universal theories

- To unambiguously define oblique parameters from $\Pi_{VV'}(p^2)$, we require the following 3 oblique parameters defining conditions be satisfied:
	- 1) The Lagrangian is written s.t. only bosonic operators are present.
	- 2) The kinetic terms of W^{\pm} and B are canonically normalized.
	- 3) $\Pi_{WW}(0) = 0$ where W represents W[±].

Barbieri, Pomarol, Rattazzi, Strumia, hep-ph/0405040

- 1) is possible only in universal theories, where field redefinitions can put the Lagrangian into the form $\mathcal{L}_{\text{SM}} + \sum c_i \frac{\mathcal{O}_i^{\text{DSSome}}}{n^2}$, no matter which basis one works with. 16 *i*=1 $c_i \frac{\mathcal{O}_i^{\text{bosonic}}}{\sigma^2}$ *v*2
- 2) and 3) fix SM parameters g, g', v.

Oblique parameters in universal theories 3) ⇧*WW* (0) = 0 [here *W* represents *W±*, see (3.14) below].

• Up to dimension 6, the nonzero oblique parameters are: In particular, the nonzero oblique parameters in the linear SMEFT up to dimension 6 are <u>nensio</u>

$$
\hat{S} \equiv \frac{\alpha}{4s_{\theta}^{2}} S \equiv -\frac{c_{\theta}}{s_{\theta}} \bar{\Pi}_{3B}'(0),
$$

\n
$$
\hat{T} \equiv \alpha T \equiv \frac{1}{m_{W}^{2}} \left[\bar{\Pi}_{WW}(0) - \bar{\Pi}_{33}(0) \right],
$$

\n
$$
W \equiv -\frac{m_{W}^{2}}{2} \bar{\Pi}_{33}''(0),
$$

\n
$$
Y \equiv -\frac{m_{W}^{2}}{2} \bar{\Pi}_{BB}''(0)
$$

\n
$$
Z \equiv -\frac{m_{W}^{2}}{2} \bar{\Pi}_{GG}''(0),
$$

• Their expressions in different bases are related by basis transformations.

 \mathcal{A}

conditions *fix the SM parameters g* r idirinding operator compilations appear. 2*S*2*JW*) Coefficients of fermionic operator combinations appear! See 1510.08462 for details.

 in steps appear^d See 1510 08462 from the them *<i><u>Bidis</u>*.

Conclusions

- Oblique parameters S, T etc. can be used to constrain any (calculable) BSM theory.
- Generally speaking, oblique parameters S, T, etc. can only be used to constrain universal theories.
- Oblique parameters S, T etc. can be used to constrain the general dimension-6 EFT parameter space.
- They cannot be used to constrain the full EFT parameter space. Restrictions must be imposed.

Conclusions

- Oblique parameters S, T, etc. can be used to constrain "universal theories," where *only bosonic operators* appear in the EFT Lagrangian, *no matter which basis is used*.
- Universal theories are defined by a 16-dimensional subspace of the full dimension-6 EFT parameter space. Fermionic operator combinations can appear in some bases (e.g. Warsaw).
- Final comment
	- Universal theories can flow to non-universal theories under RG.
	- At *EW scale*, it is not possible to write $\mathcal{L}_{\text{SM}} + \sum^{16} c_i \frac{\mathcal{O}_i^{\text{bosonic}}}{n^2}$ (unless w/ fine tuning). So *a priori*, oblique parameters are not well-defined. *i*=1 $c_i \frac{\mathcal{O}_i^{\text{bosonic}}}{n^2}$ *v*2
	- See 1512.03056 for detailed discussion of RG effects.

Pheno 2016, Pittsburgh, May 2016 Zhengkang "Kevin" Zhang (U Michigan)

Thank you!

The end

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Backup slides

Example of ambiguity

adapted from Grojean, Skiba, Terning, hep-ph/0602154

• Field redefinition of $\mathcal{O}(\frac{c}{\Lambda^2})$ is equivalent to application of the SM equations of motion (EoM) on dimension-6 operators. $\sqrt{v^2}$ Λ^2 \setminus

$$
\phi \to \phi + \delta\phi \quad \Rightarrow \quad S[\phi]_{\text{EFT}} \to S[\phi]_{\text{EFT}} + \left(\frac{\delta S}{\delta \phi}\right)_{\text{SM}} \delta\phi + \mathcal{O}\left(\frac{v^4}{\Lambda^4}\right)
$$

$$
\text{SM EoM} \qquad \qquad \mathcal{O}\left(\frac{v^2}{\Lambda^2}\right)
$$

anomalous triple-gauge couplings

$$
ig'(D^{\mu}H)^{\dagger}(D^{\nu}H)B_{\mu\nu} \xrightarrow{\text{IBP}} -\frac{gg'}{4}H^{\dagger}\sigma^{a}HW^{a}_{\mu\nu}B^{\mu\nu} + \frac{ig'}{2}(H^{\dagger}\overleftrightarrow{D}_{\mu}H)\partial^{\nu}B_{\mu\nu} - \frac{g'^{2}}{4}|H|^{2}B_{\mu\nu}B^{\mu\nu}
$$
\n
$$
\xrightarrow{\text{EoM}} -\frac{gg'}{4}H^{\dagger}\sigma^{a}HW^{a}_{\mu\nu}B^{\mu\nu} - \frac{g'^{2}}{4}(H^{\dagger}\overleftrightarrow{D}_{\mu}H)^{2} \qquad \text{vector boson}
$$
\n
$$
\frac{\partial^{\nu}B_{\mu\nu} = \frac{ig'}{2}H^{\dagger}\overleftrightarrow{D}_{\mu}H + g'\sum_{f}Y_{f}\overline{f}\gamma_{\mu}f \qquad + \frac{ig'^{2}}{2}\sum_{f}Y_{f}(H^{\dagger}\overleftrightarrow{D}_{\mu}H)\overline{f}\gamma^{\mu}f \qquad \text{anomalous Zff couplings}
$$
\n
$$
-\frac{g'^{2}}{4}|H|^{2}B_{\mu\nu}B^{\mu\nu}.
$$
\n
$$
\xrightarrow{\text{offects Higgs physics only}}
$$

• Physical effects are equivalent (e.g. $e^+e^- \rightarrow W^+W^-$).

18+6+38=62

Table 1. List of CP-even dimension-6 operators (column 1) in the notation of [33]. There are 53 independent operators (for one fermion generation assuming baryon number conservation) among the 24 listed (18 bosonic and 6 fermionic, separated by the horizontal solid line) plus 38 unlisted (fermionic) operators, so 9 of them should be eliminated to form a complete SMEFT basis. The eliminated operators for each of the three recently-proposed bases, Warsaw [79], EGGM [40], and SILH [33], are marked by " \times " (the eliminated fermionic operators refer to the first-generation ones).

• etc.

Some UV completions of universal theories In the SMEFT with cutoff with cutoff who cutoff which the definition of which as the definition of which, via field \mathbf{v}

- New states at the scale Λ only couple to the bosonic sector of the SM; α states at the scale A only couple to the bosonic sector of the UV completions of the orient of the theories include new states where n and
- SM fermions are weakly coupled to new states at Λ via vector and/or scalar currents appearing in the SM; can thus be eliminated in favor of bosonic operators via field redefinitions, or equivalently ar currents appearing in the SWR , *Barbieri, Pomarol, Rattazzi, Strumia, hep-ph/0405040*

$$
J_{G\mu}^{A} \equiv g_{s} \sum_{f \in \{q, u, d\}} \bar{f} \gamma_{\mu} T^{A} f \xrightarrow{\text{EoM}} D^{\nu} G_{\mu\nu}^{A},
$$

\n
$$
J_{W\mu}^{a} \equiv g \sum_{f \in \{q, l\}} \bar{f} \gamma_{\mu} \frac{\sigma^{a}}{2} f \xrightarrow{\text{EoM}} D^{\nu} W_{\mu\nu}^{a} - \frac{ig}{2} H^{\dagger} \sigma^{a} \overleftrightarrow{D}_{\mu} H,
$$

\nSM currents
\n
$$
J_{B\mu} \equiv g' \sum_{f \in \{q, l, u, d, e\}} Y_{f} \overline{f} \gamma_{\mu} f \xrightarrow{\text{EoM}} \partial^{\nu} B_{\mu\nu} - \frac{ig'}{2} H^{\dagger} \overleftrightarrow{D}_{\mu} H,
$$

\nbosonic fields
\n
$$
J_{y}^{\alpha} \equiv \overline{u} y_{u}^{\dagger} q_{\beta} \epsilon^{\beta \alpha} + \overline{q}^{\alpha} V_{\text{CKM}} y_{d} d + \overline{l}^{\alpha} y_{e} e
$$

\n
$$
\xrightarrow{\text{EoM}} -(D^{2} H^{\dagger})^{\alpha} + \lambda v^{2} H^{\dagger \alpha} - 2\lambda |H|^{2} H^{\dagger \alpha},
$$

• Note: EFT definition of universal theories does not rely on UV completions. with the Yukawa matrices *yu, yd, y^e* diagonal and real in generation space. The latter should

Complete LO characterization of universal theories **VOLIPICIC LU VIIALACICLIZATION OF UNIVERSAL TIGONICS**

- Generalizing the oblique parameters framework, we define 16 universal parameters, which completely characterize the 16 dimensional parameter space of the universal theories EFT: $\overline{}$ annoreal parameters, which completely characterize the re
	- 5 oblique parameters \hat{S} , \hat{T} , W , Y , Z ;
	- 4 anomalous TGC parameters $\Delta \bar{g}_1^Z$, $\Delta \bar{\kappa}_{\gamma}$, $\bar{\lambda}_{\gamma}$, $\bar{\lambda}_g$;
	- 3 parameters for the rescaling of the SM h^3 , hff , hVV couplings $\Delta \kappa_3$, $\Delta \bar{\kappa}_F$, $\Delta \bar{\kappa}_V$;
	- 3 parameters for the *hVV* couplings with non-SM Lorentz structures f_{gg} , $f_{z\gamma}$, $f_{\gamma\gamma}$;
	- 1 parameter for the $\mathcal{O}(y_f^2)$ four-fermion coupling c_{2y} .
- Their values are basis-independent for any specific universal theory. Eq. (3.36) can be viewed as the definition of these parameters: they are defined from the \cdot Their values are basis-independent for any specific diffects and equations.
- See 1510.08462 for their expressions in different bases, and example applications. The 16 universal parameters can be expressed as a linear combination of Wilson of Wilson

Universal parameters from the effective Lagrangian <u>JIniversal narameters trom the effective</u> properly-redefined fields and parameters with bars, we have

The 16 independent universal parameters listed in table 2 can be identified with coefficients

$$
\mathcal{L}_{\text{universal}} = \left(\frac{\bar{g}\bar{v}}{2}\right)^2 \bar{W}_{\mu}^+ \bar{W}^{-\mu} + (1 - \underline{\hat{T}}) \frac{1}{2} \left(\frac{\bar{g}\bar{v}}{2\bar{c}_{\theta}}\right)^2 \bar{Z}_{\mu} \bar{Z}^{\mu} \n- \frac{1}{2} \bar{G}_{\mu}^A \hat{K}^{\mu\nu} \bar{G}_{\nu}^A - \bar{W}_{\mu}^+ \hat{K}^{\mu\nu} \bar{W}_{\nu}^- - \frac{1}{2} \bar{W}_{\mu}^3 \hat{K}^{\mu\nu} \bar{W}_{\nu}^3 - \underline{\hat{S}} \frac{\bar{s}_{\theta}}{\bar{c}_{\theta}} \bar{W}_{\mu}^3 \hat{K}^{\mu\nu} \bar{B}_{\nu} - \frac{1}{2} \bar{B}_{\mu} \hat{K}^{\mu\nu} \bar{B}_{\nu} \n- \frac{1}{m_W^2} \left[\underline{Z}_{\mu}^1 \bar{G}_{\mu}^A \hat{K}^{2\mu\nu} \bar{G}_{\nu}^A + \underline{W} \left(\bar{W}_{\mu}^+ \hat{K}^{2\mu\nu} \bar{W}_{\nu}^- + \frac{1}{2} \bar{W}_{\mu}^3 \hat{K}^{2\mu\nu} \bar{W}_{\nu}^3 \right) + \underline{Y}_{\pm}^1 \bar{B}_{\mu} \hat{K}^{2\mu\nu} \bar{B}_{\nu} \right] \n+ i \bar{g} \left\{ (\bar{W}_{\mu}^+ \bar{W}^{-\mu} - \bar{W}_{\mu\nu}^- \bar{W}^{+\mu}) \left[(1 + \underline{\Delta \bar{g}}_{\perp}^2) \bar{c}_{\theta} \bar{Z}^{\mu\nu} + 3 \bar{g} \bar{A}^{\mu} \right] \n+ \frac{\bar{\lambda}_{\chi}}{2} \bar{W}_{\mu}^+ \bar{W}_{\nu}^- \rho (\bar{c}_{\theta} \bar{Z}_{\mu}^{\mu} + \bar{s}_{\theta} \bar{A}_{\mu}^{\mu}) \right\} + \frac{W}{m_W^2} \hat{K} \circ \mathcal{L}_{WWV}^{\rm SM} \n+ \mathcal{L}_{\rm{GS}}^{\rm SM} - \frac{\bar{\lambda}_{
$$

$$
\Delta \bar{\kappa}_Z = \Delta \bar{g}_1^Z - \frac{s_\theta^2}{c_\theta^2} \Delta \bar{\kappa}_\gamma,
$$

\n
$$
f_{ww} = f_{z\gamma} + s_\theta^2 f_{\gamma\gamma} + \frac{2}{g^2} \Delta \bar{\kappa}_\gamma,
$$

\n
$$
f_{zz} = (c_\theta^2 - s_\theta^2) f_{z\gamma} + c_\theta^2 s_\theta^2 f_{\gamma\gamma} + \frac{2}{g^2} \Delta \bar{\kappa}_\gamma,
$$

\n
$$
f_{w\Box} = -\frac{2c_\theta^2}{g^2} \Delta \bar{g}_1^Z,
$$

\n
$$
f_{z\Box} = -\frac{2}{g^2} \Big[(c_\theta^2 - s_\theta^2) \Delta \bar{g}_1^Z + \frac{s_\theta^2}{c_\theta^2} (\Delta \bar{\kappa}_\gamma - \hat{S}) \Big],
$$

\n
$$
f_{\gamma\Box} = -\frac{2}{g^2} (2c_\theta^2 \Delta \bar{g}_1^Z - \Delta \bar{\kappa}_\gamma + \hat{S}).
$$

Universal parameters in different bases

• These expressions are related by basis transformations. SILH, Warsaw, B^E and B^S bases as in (2.11), (2.16), (2.23), (2.6). These parameters generalize the oblique parameters framework, and constitute

• Values of universal parameters are basis-independent.

¯

CHWB

−
−

Universal vs. non-universal: EW sector in [10]. Also, the ²*H*3-class operators are defined differently in [10] than in [55]. *f* 4 1 *^g*² *^CHW* ⁺ ¹ *^g*0² *^CHB* ¹ *gg*⁰ *^CHWB c*2*^y C*2*^y* ¯ 3*^g* ² *C^W* ¯*^g* 3*g*² ²*g^s C^G* ³ ¹ *C^H* + 3*CH*⇤ ³ ⁴*CHD ^g*² ⁴ (*CHJW C*2*JW*) *g*¹ *d*_{*n*} $\frac{1}{2}$ *d*_n $\$ **C**_H
 C_H ⁴ (*CHJW C*2*JW*)

*g*2

CHG

 W are a slightly different notation coefficients. Note that a slightly different notation is adopted in $[10]$

• In the general EFT, **and interactions of the SM fermions** of the SM fermions of the SM fermions of the SM fermions in (2.1). The Marshall SM fermions in (2.1). The Marshall SM fermions in (2.1) and fermions in (2.1). The general EFI, which are the dimension-feed of t *fgg* \overline{a} \bullet In the general EFT, *CHG*

c
<mark>c</mark>

CHWB

$$
\mathcal{L}_{\text{CC}} = \frac{\hat{g}}{\sqrt{2}} \Big\{ \hat{W}_{\mu}^{+} \Big[\big(\delta_{ij} + [\underline{\delta g_L^{Wq}}]_{ij} \big) \bar{u}_{L,i} \gamma^{\mu} d_{L,j} + \big(\delta_{ij} + [\underline{\delta g_L^{Wl}}]_{ij} \big) \bar{\nu}_i \gamma^{\mu} e_{L,j} \Big] + \text{h.c.} \Big\},
$$

$$
\mathcal{L}_{\text{NC}} = \sum_{\ell} \Big[\frac{\hat{e}}{\hat{c}_{\theta} \hat{s}_{\theta}} \hat{Z}_{\mu} \big((T_f^3 - Q_f \hat{s}_{\theta}^2) \delta_{ij} + [\underline{\delta g_{L/R}^{Zf}}]_{ij} \big) + \hat{e} \hat{A}_{\mu} Q_f \delta_{ij} \Big] \bar{f}_i \gamma^{\mu} f_j,
$$

• Hatted fields are mass eigenstates. the special case of universal the special test of the Higgs basis, LHCHXSWG-INT-2018 \int_0^x

Higgs basis, LHCHXSWG-INT-2015-001

- Hatted parameters follow from redefinitions that undo new physics corrections of the input observables m_Z , G_F , α . tod parameters follow from redefinitions that unde new physic *f* the parameters follow from redefinitions that undo new priysic \mathcal{L}^{max} barred fields in (B.1) which satisfy the oblique parameters defining conditions; see \mathcal{L}^{max} in terms of the universal parameters. They are reproduced here in table 3, where the ✏ α parameters follow from redefinitions that undo hew physic ✏¹ ⌘ *^T*^ˆ *^W ^s*² Table 2. Expression of the 16 universal parameters, defined from the effective Lagrangian as \cdot Halled parameters follow from redefinitions that undo new priysic corrections of the input observables $m - G_0$ $t = \frac{1}{\sqrt{2}}$ parameters, including the found in $\frac{1}{\sqrt{2}}$. $T = \frac{1}{2}$ universal parameters, defined from the effective Lagrangian as $\frac{1}{2}$ \cdot Hatted parameters follow from redefinitions that undo \mathcal{L}' including the universal parameters, can be found in \mathcal{L}' .
	- In universal theories, the definition of the definitions of the definitions of the definitions of the Higgs basis couplings basis couplings basis couplings basis couplings basis couplings basis couplings of the Higgs bas $H_{\rm eff}$ basis coupling Universal parameters expressions expressions expressions expressions expressions of $H_{\rm eff}$ *^m*

$$
\begin{array}{|l|l|l|l|}\n\hline \left[\delta g_L^{Wf} \right]_{ij} & (f = q, l) & \delta_{ij} \left(\frac{c_\theta^2}{c_\theta^2 - s_\theta^2} \frac{\Delta \epsilon_1}{2} - \frac{s_\theta^2}{c_\theta^2 - s_\theta^2} \Delta \epsilon_3 \right) \\
& \left[\delta g_L^{Zf} \right]_{ij} & (f = u_L, d_L, e_L, \nu) & \delta_{ij} \left[T_f^3 \frac{\Delta \epsilon_1}{2} + Q_f \frac{s_\theta^2}{c_\theta^2 - s_\theta^2} \left(\frac{\Delta \epsilon_1}{2} - \Delta \epsilon_3 \right) \right] \\
& \left[\delta g_R^{Zf} \right]_{ij} & (f = u_R, d_R, e_R) & \delta_{ij} Q_f \frac{s_\theta^2}{c_\theta^2 - s_\theta^2} \left(\frac{\Delta \epsilon_1}{2} - \Delta \epsilon_3 \right) \\
\hline\n\end{array}
$$
\nwhere $\Delta \epsilon_1 \equiv \hat{T} - W - \frac{s_\theta^2}{c_\theta^2} Y$, $\Delta \epsilon_3 \equiv \hat{S} - W - Y$.

• Universal relations: $\sqrt{\delta a^{Vq}_{\mu} = \delta a^{Wl}_{\mu}}$

• Universal relations:
$$
\delta g_L^{Wq} = \delta g_L^{Wl}, \quad \frac{\delta g_R^{Zu}}{Y_u} = \frac{\delta g_R^{Zd}}{Y_d} = \frac{\delta g_R^{Ze}}{Y_e},
$$

$$
\delta g_L^{Ze} + \delta g_L^{Z\nu} = \delta g_R^{Ze}, \quad \delta g_L^{Zu} + \delta g_L^{Zd} = \delta g_R^{Zu} + \delta g_R^{Zd},
$$

Universal vs. non-universal: Yukawa sector $\frac{1}{2}$ **C** $\frac{1}{2}$ **C** $\frac{1}{2}$ **C** $\frac{1}{2}$ **C** $\frac{1}{2}$

• In the general EFT, T_{tot} gondard, T_{tot} , on the other hand, are given by T_{tot}

$$
\mathcal{L}_{hff} = -\frac{\hat{h}}{v} \sum_{f'=u,d,e} \sum_{i,j} \sqrt{m_{f'_i} m_{f'_j}} \bar{f}'_i \Big(\delta_{ij} + [\delta y_{f'}]_{ij} (\cos \phi^{f'}_{ij} - i \sin \phi^{f'}_{ij} \gamma^5) \Big) f'_j,
$$

- We follow the Higgs basis defining conditions (LHCHXSWG-INT-2015-001) to be rediagonalized to define the mass eigenstates *f*0 *i*. In universal theories (with RG effects (with RG effects) ollow the Higgs basis defining conditions (LHCHXSWG-INT-2015-001)
- **In universal theories,**
	- Yukawa matrices are diagonal; ϕ =0; and the following universal relation
beld: hold: *g*
wonal: *t* = 0; an *Yu* $\overline{}$ *Yd* $\frac{1}{2}$ *Ye ,*

$$
\boxed{\delta y_u = \delta y_d = \delta y_e = \Delta \bar{\kappa}_F.}
$$

RG evolution of the universal theories EFT

based on 1512.03056

Universal theories can flow to non-universal theories

- **Though the full SMEFT parameter space must be closed under RG,**
↓↓↓↓↓↓↓ the 16-dimensional subspace defining universal theories is not. *c* ter space must be close are also *µ*-dependent. The running of the Higgs basis couplings with *normal the equipering formal* to ming aniversal theories is not.
- It is not meaningful to talk about running of oblique parameters without additional prescriptions.
- Use of oblique parameters is not a priori justified at the EW scale (where **Example perembers is not a priori jacinica at the EVV scale (three theory is non-universal).** • Use of oblique parameters is not a priori justified at the EW scale (where luded to in figure 1, and will be demonstrated in detail in the next section. Defined in
- Two example observables: $R_\ell \equiv \Gamma_{\rm had}/\Gamma(Z\to \ell^+\ell^-)$, $R_b \equiv \Gamma(Z\to b\bar b)/\Gamma_{\rm had}$ this way, the Higgs basis couplings renormalized at *^µ*EW directly map onto ¯NP*O*ˆ. Two example observables we will discuss later are *^R*` ⌘ had*/*(*^Z* ! `+`) (assuming lepton
- *Our RG analysis in 1512.03056 makes use of the recently calculated anomalous dimensions of dimension-6 operators:* width. From their LO expressions, h anomalous dimensions of
i $\frac{1}{2}$ *coco essa*
 1000 0007 1010 1000 **se of the recently calculated**
	- *Jenkins, Manohar, Trott, 1308.2627, 1310.4838. i*=1
	- Jenkins, Manohar, Trott, 1306.2027, 1310.4636.
• Alonso, Jenkins, Manohar, Trott, 1312.2014.

• The oblique parameters fit must be extended for consistency beyond LO. (Note: still 16 underlying free parameters.)

Examples of RG-induced non-universal effects

Figure 1. Examples showing how nonuniversal effects can be generated by universal oblique corrections. Left: effective Wqq' and $W\ell\nu$ couplings are renormalized differently, due to the different couplings of quarks and leptons to neutral gauge bosons. Middle: the $Zb_L\bar{b}_L$ coupling is singled out among all the $Zf\bar{f}$ couplings probed by Z-pole measurements for relatively large running effects proportional to y_t^2 , via loop corrections involving the charged Goldstone boson (or the longitudinal W^{\pm} if one uses the unitary gauge). **Right:** the Higgs boson couplings to the upand down-type quarks and leptons are renormalized differently, due to different gauge interactions of the fermions. In each example, the interactions generated for the SM fermions are not in the form of the SM currents, and thus the corresponding operators cannot be eliminated in favor of bosonic operators. These examples, as well as many others, can be more rigorously formulated in terms of $SU(2)_L \times U(1)_Y$ invariant operators, but we prefer to give a more intuitive illustration at this stage. The arguments here will be made concrete in sections 3 and 4.

Challenge: how to define oblique parameters at the EW scale?

- Our strategy:
	- With additional prescriptions, separate RG evolution into universal and non-universal parts.
	- Absorb the universal part into the running of oblique parameters.
- Account for non-universal part separately when calculating observables. a dependence *non* universal part congrately when **n-universal part separately when calculating observables.**
- With our prescriptions in 1512.03056, *^L* (⇤) = *gW l*
- The non-universal part is practically negligible for most EW observables.
- Exceptions are those involving t_L , b_L , t_R (due to y_t ~1). luded to in figure 1, and will be demonstrated in detail in the next section. Defined in these inverting \mathcal{L} , \mathcal{L} , \mathcal{R} (and to \mathcal{Y} ^{\mathcal{L}}).
- Two examples: the Higgs basis couplings renormalized at \mathbf{p}_{E}

Two examples.
\n
$$
R_{\ell} \equiv \Gamma_{\text{had}} / \Gamma(Z \to \ell^+ \ell^-) \qquad R_b \equiv \Gamma(Z \to b\bar{b}) / \Gamma_{\text{had}}
$$

Running of oblique parameters. oblique parameters parameters at the electroweak scale. The operator *Q*2*JG*, on the other hand, is not generated by RG evolution at the new person is a thing of σ

$$
\hat{S}(\mu_{\rm EW}) = \hat{S}(\Lambda) - \frac{1}{16\pi^2} \ln \frac{\Lambda}{\mu_{\rm EW}} \dot{\hat{S}},
$$

$$
\hat{T}(\mu_{\rm EW}) = \hat{T}(\Lambda) - \frac{1}{16\pi^2} \ln \frac{\Lambda}{\mu_{\rm EW}} \dot{\hat{T}},
$$

$$
W(\mu_{\rm EW}) = W(\Lambda) - \frac{1}{16\pi^2} \ln \frac{\Lambda}{\mu_{\rm EW}} \dot{W},
$$

$$
Y(\mu_{\rm EW}) = Y(\Lambda) - \frac{1}{16\pi^2} \ln \frac{\Lambda}{\mu_{\rm EW}} \dot{Y},
$$

ated by the universal new physics at *µ* = ⇤, they will be generated at one-loop level by RG

$$
\dot{\hat{S}} = -\frac{1}{3}(19g^2 - g'^2)\hat{S} - \frac{1}{2}g^2\hat{T} - \frac{1}{3}(27g^2 - g'^2)c_\theta^2\Delta\bar{g}_1^Z + \frac{1}{6}(33g^2 + g'^2 + 24\lambda)\Delta\bar{\kappa}_{\gamma} + 2g^2\bar{\lambda}_{\gamma} \n+ \frac{1}{3}g^2\Delta\bar{\kappa}_{V} + \frac{1}{2}g^2(g^2 - g'^2)f_{z\gamma} + e^2g^2f_{\gamma\gamma} + 6g_t^2\hat{S}
$$
\n(3.11a)

$$
\dot{\hat{T}} = \frac{3}{2} (3g^2 + 8\lambda) \left[\hat{T} - 2\frac{s_\theta^2}{c_\theta^2} (\hat{S} - \Delta \bar{\kappa}_\gamma) \right] - 24\lambda s_\theta^2 \Delta \bar{g}_1^Z - 3g'^2 \Delta \bar{\kappa}_V + 12y_t^2 \hat{T}
$$
\n(3.11b)

$$
\dot{W} = \frac{2}{3}g^2c_\theta^2\Delta\bar{g}_1^Z,\tag{3.11c}
$$

$$
\dot{Y} = -\frac{2}{3}g^{\prime 2}(\hat{S} + c_\theta^2 \Delta \bar{g}_1^Z - \Delta \bar{\kappa}_\gamma). \tag{3.11d}
$$

4

RG effects in the Yukawa sector $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\overline{}$

2 *y*2

y˙*^b* = *y^b*

$$
\delta y_t(\mu_{\rm EW}) - \delta y_b(\mu_{\rm EW}) = -\frac{1}{16\pi^2} \ln \frac{\Lambda}{\mu_{\rm EW}} (\delta y_t - \delta y_b)
$$

\n
$$
= \frac{1}{16\pi^2} \ln \frac{\Lambda}{\mu_{\rm EW}} \Big[-6y_t^2 (2\Delta \bar{\kappa}_F - \Delta \bar{\kappa}_V) + 4g'^2 s_\theta^2 \Delta \bar{g}_1^Z - 2g'^2 \frac{s_\theta^2}{c_\theta^2} \Delta \bar{\kappa}_\gamma
$$

\n
$$
-2(g^2 - 2g'^2) \frac{s_\theta^2}{c_\theta^2} \hat{S} + y_t^2 \hat{T} + (3y_t^2 + 2g'^2) W - \left(\frac{41}{9} y_t^2 - \frac{16}{3} \lambda - 2g^2 + 4g'^2\right) \frac{s_\theta^2}{c_\theta^2} Y
$$

\n
$$
- \frac{128}{3} y_t^2 \frac{g_s^2}{g^2} Z + 2(y_t^2 - \lambda) y_t^2 c_{2y} + g'^2 (e^2 f_{\gamma \gamma} - g'^2 f_{z \gamma}) \Big]
$$

\n
$$
\simeq \frac{\ln(\Lambda/\mu_{\rm EW})}{3} (-0.23 \Delta \bar{\kappa}_F + 0.11 \Delta \bar{\kappa}_V + 0.0022 \Delta \bar{g}_1^Z - 0.0014 \Delta \bar{\kappa}_\gamma - 0.0019 \hat{S} + 0.019 \hat{T} + 0.061 W - 0.020 Y - 2.8Z + 0.032 c_{2y} + 0.00023 f_{\gamma \gamma} - 0.00031 f_{z \gamma}), \qquad (4.6a)
$$

\n
$$
\delta y_b(\mu_{\rm EW}) - \delta y_\tau(\mu_{\rm EW}) = -\frac{1}{16\pi^2} \ln \frac{\Lambda}{\mu_{\rm EW}} (\delta y_b - \delta y_\tau)
$$

\n
$$
= \frac{1}{16\pi^2} \ln \frac{\Lambda}{\mu_{\rm EW}} \Big[3y_t^2 (\Delta \bar{\kappa}_F - \Delta \bar{\kappa}_V) - \frac{40}{3} g'^2 s_\theta^2 \Delta \bar{g}_1^Z + \frac{20}{3}
$$