The Young Altarelli

or

QCD: the Early Years

Luciano Maiani,

Univ. of Roma, Italy, and IHEP, Beijing.
1. “Octet Enhancement of Nonleptonic Weak Interactions in Asymptotically Free Gauge Theories”
   G. Altarelli and L. Maiani.
   948 citations counted in INSPIRE as of 01 Jun 2016

2. “Asymptotic Freedom in Parton Language”
   G. Altarelli and G. Parisi.
   5734 citations counted in INSPIRE as of 01 Jun 2016

3. “Large Perturbative Corrections to the Drell-Yan Process in QCD”
   846 citations counted in INSPIRE as of 01 Jun 2016

4. “Partons in Quantum Chromodynamics”
   G. Altarelli.
   735 citations counted in INSPIRE as of 01 Jun 2016

5. “Leptonic Decay of Heavy Flavors: A Theoretical Update”
   871 citations counted in INSPIRE as of 01 Jun 2016

6. “Vector Boson Production at Colliders: A Theoretical Reappraisal”
   549 citations counted in INSPIRE as of 01 Jun 2016

   729 citations counted in INSPIRE as of 01 Jun 2016

8. “Vacuum polarization effects of new physics on electroweak processes”
   G. Altarelli and R. Barbieri.
   706 citations counted in INSPIRE as of 01 Jun 2016
1. Guido and the ’59ners

• Italy 1955 to 1965:
  - strong economic growth (the Italian miracle)
  - confidence in science and technology
  - 1957: Mario Tchou, at Olivetti, develops the first Personal Computer, based on a new technology: the transistors (discovered in 1947)
  - 1959: ElectroSynchrotron completed in Frascati by INFN (Giorgio Salvini leader)
  - 1960: Bruno Touschek propose to build the first $e^+e^-$ collider, AdA; AdA is promptly financed by the Atomic Energy Council (CNEN)
  - Raoul Gatto comes back to Frascati from Berkeley and brings the new ideas about Symmetries of Elementary Particles
  - Nicola Cabibbo is hired by Salvini as first theorist in Frascati
  - 1961: Cabibbo and Gatto write *The Bible* of $e^+e^-$ physics

Year 1959 sees the enrollment in Universita’ di Roma Sapienza of a class of talented students, Guido among the first, attracted to physics by the new exciting developments.
The ’59 ners (cont’d)

- Besides Guido, who graduated with the best votes, the class was really remarkable (in parenthesis their final destination):
  - Franco Buccella, Giovanni Gallavotti, Sergio Doplicher, myself (Th. Phys.)
  - Claudio Procesi (Math.),
  - Massimo Cerdonio (Grav. Waves), Piergiorgio Picozza (Pamela satellite)

- Promising young people kept their promises
- Guido went to Frascati with Buccella, to make a thesis in QED under Gatto and they graduated in September 1963;
- (I made an experimental thesis on solid state detectors, graduated in February 1964, then moved to Theory)
- Nicola Cabibbo meanwhile had gone to CERN (making few experiments (!!) and writing the paper with the Cabibbo angle (!!!)) and then to Berkeley
- in 1963 Gatto moved to the University of Florence, followed by Guido, Buccella, Gallavotti and myself
- one year later, Giuliano Preparata joined,
- Gabriele Veneziano graduated with Gatto but left almost immediately to Weizmann

in 1965, the Roman branch of gattini was in place, at work
Gattini at work in Florence

• Gatto was masterly leading the large group of ambitious, young gattini as well as the somewhat older people he had found in Firenze (Marco Ademollo, Claudio Chiuderi, Giorgio Longhi)
• he was putting everybody in front of advanced but accessible problems (radiative corrections, SU(3), SU(6), U(12), quark statistics, CP violation, weak interactions…you name it) he would discuss your results, send you back if not convinced, or write a draft paper
• we learned that we could compete with other groups, in US and Israel
• Sid Meshkov defined us the italian mafia, opposed to the israeli mafia of Harari & co, who were working on the same subjects

• Guido emerged for his authority, clarity and sense of humour, and also for his capacity to work with the Firenze people: he worked with Longhi, became good friend of Ademollo and, in particular, of Chiuderi
Raoul Gatto and his School of gattini
• however exciting, we *gattini* were mostly taking the measure to physics and to life….

• the best work out of Firenze came from the old hands: Gatto Ademollo’s theorem of non renormalisation (of Cabibbo’s vector amplitudes by 1st order SU(3) breaking)

• the Firenze school dissolved in 1967-1968:
  Gatto went to Geneva and then to Padova
  Guido went to New York University (with Rita and new born Claudia) and then to Rockefeller Institute
  Giuliano and I went back to Roma to work with Cabibbo, later Giuliano went to Princeton, then to SLAC, CERN…
Guido Altarelli taking a video at Hyde Park, London. Helping him, Massimo Altarelli, on the left Giorgio Capon, early ’60?

• winter 1970 with Pucci. We visited the Altarelli in NY from Cambridge, Mass.
2. Going Electroweak

- In NY Guido worked on deep inelastic reactions (the beginning of a long story…) with Hector Rubinstein and then with Preparata and Brandt.
- Guido was back in Roma in 1970, as Assistant professor.
- In 1971, Veltman and ’t-Hooft proved that the Weinberg Salam theory is renormalizable.
- Everybody became electroweak.
- Discussions with Nicola how to compute EW corrections to the muon g-2.
- A new territory, at least for us, a lot of calculations and a lot of fun.
- Also many difficulties with inconsistent calculations: we called it the rebellion of the matrices!!
- At about the same time as other distinguished people:

\[
a_{\mu}^{EW}\ [1\text{-loop}] = \frac{G_{\mu} m_{\mu}^{2}}{8\sqrt{2} \pi^{2}} \left[ \frac{5}{3} + \frac{1}{3} \left( 1 - 4 \sin^{2} \theta_{W} \right)^{2} \right]
+ \mathcal{O} \left( \frac{m_{\mu}^{2}}{M_{W}^{2}} \right) + \mathcal{O} \left( \frac{m_{\mu}^{2}}{m_{H}^{2}} \right),
\]

\[
= 194.8 \times 10^{-11},
\]

\[
a_{\mu}^{EW}\ [2\text{-loop}] = -41.2(1.0) \times 10^{-11},
\]

\[
\Delta a_{\mu} = a_{\mu}^{\exp} - a_{\mu}^{SM} = 288(63)(49) \times 10^{-11}
\]

PdG 2013, A. Hoecker, W.J. Marciano

Guido’s Memorial. CERN. June10 2016  The Young Altarelli
..and quarks

• Adler’s anomalies in SU(2)xU(1) were the last obstacle towards a renormalizable electroweak theory
• Anomaly cancellation requires quarks and leptons
• John’s description of this work in a short letter sent to me immediately after: “there must be charm, quarks have color and are fractionally charged.”
• Asymptotic Freedom had just been found by Gross and Wilczek: it was the beginning of the Standard Theory
QCD Renormalization of 4-fermion operators, 1974

• The octet (or ΔI=1/2) enhancement is a prominent feature of the non leptonic decays
  - the product of the Cabibbo currents for d→u (I=1) and s→u (I=1/2) should lead to a balanced mixture of 1/2 and 3/2, while the lifetimes of K_S (ΔI=1/2) is much shorter than the lifetime of K^+ (ΔI=3/2)

• Ken Wilson (1969) had noted that the strong interactions, which respect Isospin conservation, could renormalise differently the two components, however, without a theory of the strong interactions he could not test the idea
• But what about QCD?
• Gluons could be exchanged up to momenta of the order of M_W, and perturbation theory would give predictable renormalization effects of order [α_s γ log(M_W/µ)]^n, which would add up to factors of (M_W/µ)^d, with some anomalous dimension d;
• with the scale of K decays µ << M_W, the enhancement could be sizeable for d>0
QCD Renormalization of 4-fermion operators, 1974

- How can flavor-blind QCD tell isospin 1/2 from isospin 3/2?
- Answer came from an old Feynman observation: if quarks were bosons, the Fermi interaction of non-leptonic would be pure $\Delta I=1/2$
- Proof:
  - Fierz rearrangement exchanges $u \leftrightarrow d$
  - The Fierz of Dirac matrices gives -1
  - Field exchange gives +1 (boson) or -1 (fermion)
  - With bosons we get -1, i.e. the pair $ud$ is in $I=0$, the operator has $I=1/2$

- With coloured quarks we have to exchange also: $\alpha \leftrightarrow \beta$
  - QCD renormalizes differently color symmetric and color antisymmetric
  - Color antisymmetric gets an additional -1 $\Rightarrow$ ud pair has $I=0$
  - We found that the anomalous dimensions in QCD enhance the color antisymmetric and suppress the symmetric combination !!!!
But we found another operator that can mix

- it took some time for us to understand if this was correct or not
- we had discovered the penguin diagrams (name given later by J. Ellis)
- for charged currents, penguins are not relevant: c and u exchange cancel to leading order (GIM!)
- B.W.Lee and M.K.Gaillard got it right, we messed it up (matrix rebellion?)
- but the idea stayed: the possibility to explain the octet enhancement of non-leptonic was one of the first successful applications of QCD after asymptotic freedom.
- later: Shifman, Veinstein, Zakarov noted that GIM cancellation is incomplete and that the new term comes in with $\log \left( \frac{M_W}{\mu} \right) \rightarrow \log \left( \frac{M_c}{\mu} \right)$
- with $M_c > \mu$, penguin could make a large effect at low momenta, where $\alpha_S$ is large
  - numerics requires lattice calculations,
  - that I know, the situation today is still unclear (???)
  - Martinelli may tell us better
Early QCD and Non Leptonics


• Guido’s et al. further contributions


• In Roma, Pucci and I used to see Guido and Nicola even out of work, with wives and small kids. Sometime we would go to Fregene, in the nice seaside house of the Altarelli’s, and to Grottaferrata, in the country house of the Cabibbo’s. We saw also other Roma professors, Salvini, Conversi, Careri and families.
• New younger people joined in: Massimo Testa, Giorgio Parisi (Nicola’s graduate students), Keith Ellis (a young italian-scottish speaking student, attracted to Roma by Preparata and recruited in our group by Guido), Roberto Petronzio, and later, Guido Martinelli (also recruited by Guido). You’ll find all their names appearing at first in the literature in association with Nicola, with Guido and sometimes with me.

• From time to time the Physics Department was occupied by the students, but we could find always a quiet office in Istituto Superiore di Sanità’, across the road, where I worked.
• Roma and Italy were striken by social turmoil and terrorism, but our was a quiet, intellectually stimulating, academic life that I remember with pleasure and that did not come back.
• I moved in the University as full professor in 1976 and Guido took the chair shortly after, in 1980.
Rome-Paris and, later, Utrecht

• With John Iliopoulos in Paris, very close relations were established between Roma and the group of Phil Meyer in Orsay;
• When Meyer’s group moved from Orsay to Ecole Normale Superieure, in 1974, Guido Altarelli and I were living in rue d’Ulm (Keith Ellis was also around).
• The discovery of the J/Psi raised a lot of questions and we (Roma + Paris) offered to go to Utrecht to discuss with Tini and Gerard, a meeting which became the annual Triangular Meeting Paris-Roma-Utrecht, rotating among the three towns;
• Guido took a crucial sabbatical in ENS in 1976-1977
• later, Giorgio Parisi came in and so Nicola Cabibbo, during my sabbatical in ENS, 1977-1978.
• It was remarked, at that time, that Roma people saw CERN only from the airplane, flying to Paris...
• ... and we all lived under the surveillance of Claude Bouchiat and the quiet but firm protection of Phil Meyer.
DGLAP

- Quarks are not free in deep inelastic reactions
- Deviations from exact Bjorken-Feynman scaling must be expected
- Asymptotic freedom in QCD makes them calculable
- Parisi was after scaling violations very early, but all seemed very complicated and unintuitive
- Then came Altarelli-Parisi, in 1977, with a similar contribution from Dokshitzer in the same year, anticipated by Gribov and Lipatov in 1972: DGLAP


- The AP paper had an enormous impact, it made easier to understand the physics and simpler to compare experimental data with theory
- Giorgio Parisi will tell you better....
4. Charm semileptonic decay

• The charm quark mass is quite larger than $\Lambda_{QCD}$, the invariant mass of the hadronic final system is also $\gg \Lambda_{QCD}$, and we can use parton model + perturbative QCD to compute the energy spectrum of the emerging charged lepton and the semileptonic width.

• While in Paris, Cabibbo and myself worked out the semileptonic rate of the charm quark and later (with G. Corbo’) the electron energy spectrum.

• After discovery of the b quark, with even a larger mass, we thought our formulae for the energy lepton spectrum near the end point could be used to determine the Cabibbo-Kobayashi-Maskawa matrix element $V_{ub}$, not determined by the total rate.

• However Paolo Franzini (then still in Cornell with CLEO) observed that the lepton end point in b decay corresponds to small hadron masses and therefore non perturbative corrections come in.
Semileptonic decays of $c$ vs. $b$ quarks

Charged lepton energy end point configurations in $c$ and $b$ decay

charm decay is dominated by inelastic, large mass, hadron configurations: perturbative QCD corrections are adequate.

beauty decay is dominated by small mass, hadron configurations: resummation of perturbative QCD corrections is required.

Guido’s Memorial. CERN. June10 2016        The Young Altarelli
The QCD experts get in

- The two Guidos, Altarelli and Martinelli, came in, with the crucial resummation of the perturbative terms and the result provided a valuable tool in the estimate of $V_{ub}$ from inclusive rates.


- Guido Martinelli may comment also on the other side of the story:
  - an alternative method to obtain $V_{ub}$ from exclusive rates is provided by the lattice computation of the form factor of $B$ meson $\rightarrow$ light flavoured vector meson.

Inclusive average: $|V_{ub}| = (4.41 \pm 0.15 +0.15 -0.19) \times 10^{-3}$

R. Kowalewski and T. Mannel in PdG 2014

Fit to the experimental partial rates and lattice results:

$|V_{ub}| = (3.23 \pm 0.31) \times 10^{-3}$ J.M. Flynn, Y. Nakagawa, J. Nieves, H. Toki, Phys. Lett. B 675 (2009) 326
The scientific reputation of the young Altarelli grew fast, in the 70s and 80s, reasons: originality and depth of thinking, breath of interests, which I could only partially represent today, clarity of presentations.

capacity to interact with different people of different ages, theorists, experimentalists,

teach and mentor younger investigators (many examples in the audience)

Roma became tight for Guido: his decision to accept CERN’s offer, in 1987, came unwanted but not unexpected.

If not as tight as before, our friendship remained. I found Guido again when coming to CERN as DG, and was glad to propose him as TH Division leader, with absolutely general consensus,

… and greatly appreciated his loyalty and sense of institution in difficult times, when he refrained from joining the vocal minorities, even if he did not agree with some decisions of CERN’s top management.
What Standard means in the SM

• I have been able to illustrate only a small part of Guido’s work, essentially limited to the QCD applications to the weak interactions;
• however, this was, in the ’70s and ‘80s, a very significant testing ground for the early QCD;
• the capacity to cope with different phenomena was the very basis of the SU(3)⊗SU(2)⊗U(1) theory
• it provided the new paradigm that could claim to describe all particle interactions, except gravity.
• acceptance of the paradigm from the bulk of the community is the meaning of the word Standard in the Standard Model locution
• it made so that we did all speak the same language and could understand, accept or criticise each other’s work
• Guido was one of those who contributed most to establishing the paradigm
• and one of the first to regret the new confusion of languages that arose later in the search for a Beyond the Standard Theory paradigm.

This is why we have to be extremely grateful to Guido and also why we miss him so much