

# Progress on the lattice QCD calculation of the rare kaon decays

$$K^+ \rightarrow \pi^+ \nu \bar{\nu}$$

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Hadron Physics@CCNU, 08/09/2016

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Discovery of Higgs boson  $\Rightarrow$  Nobel prize to Englert & Higgs



$\Rightarrow$  It is not a happy ending but a beautiful start!

Three frontiers to search for Physics Beyond Standard Model

- **Cosmic frontier**

$\Rightarrow$  detect dark matter, energy and cosmically-produced new particles

- **High-energy frontier**

$\Rightarrow$  increase collision energy, directly produce new particles

- **High-intensity frontier**

$\Rightarrow$  precisely measure rare processes, look for discrepancies with SM:

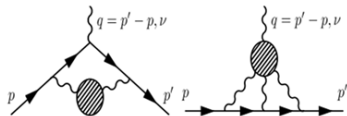
**long baseline neutrino, muon  $g-2$ , rare kaon decay, ...**

**This requires the precise predication from Standard Model**

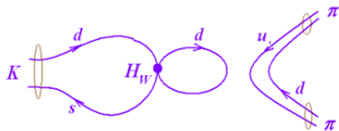
# Look at rare processes in lattice QCD

## Selected projects

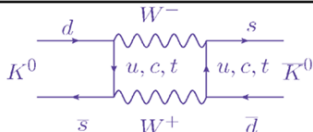
$$g_\mu - 2 = 0.00116592089(63)$$



Direct CP violation  $K \rightarrow \pi\pi$   
 $|\epsilon'| = 3.70(53) \times 10^{-6}$

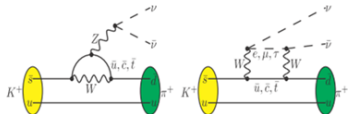


Indirect CP violation  $K \rightarrow \pi\pi$   
 $\epsilon = 0.002228(11)$



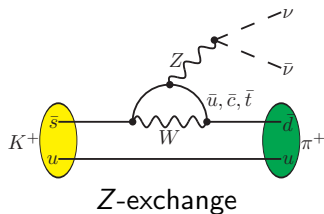
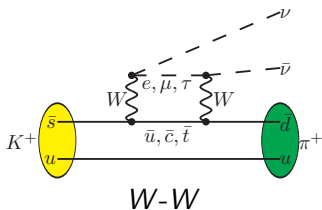
$$m_{K_L} - m_{K_S} = 3.2(1.0) \times 10^{-12} \text{ MeV}$$

$$K^+ \rightarrow \pi^+ \nu \bar{\nu}: \text{BR} = 1.73^{+1.15}_{-1.05} \times 10^{-10}$$



# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ : Experiment vs Standard model

As FCNC process,  $K \rightarrow \pi \nu \bar{\nu}$  decay through second-order weak interaction



SM effects highly suppressed in the second order  $\rightarrow$  ideal probes for NP

Past experimental measurement is 2 times larger than SM prediction

$$\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{exp}} = 1.73_{-1.05}^{+1.15} \times 10^{-10}$$

arXiv:0808.2459

$$\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{SM}} = 9.11 \pm 0.72 \times 10^{-11}$$

arXiv:1503.02693

but still consistent with  $> 60\%$  exp. error

## New generation of experiment: NA62 at CERN aims at

- observation of  $O(100)$  events in 2-3 years
- 10%-precision measurement of  $\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu})$

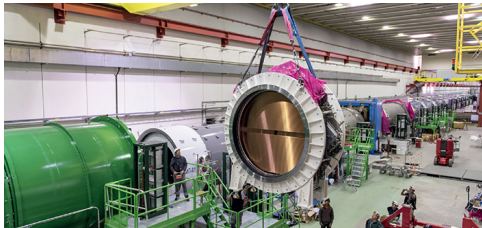
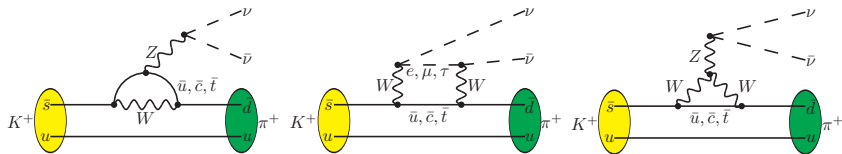


Fig: 09/2014, the final straw-tracker module is lowered into position in NA62

## $K_L \rightarrow \pi^0 \nu \bar{\nu}$

- even more challenging since all the particles involved are neutral
- only upper bound was set by KEK E391a in 2010
- new **KOTO** experiment at J-PARC designed to observe  $K_L$  decays

# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ in the Standard Model



Factors of  $\frac{1}{M_W^4}$  or  $\frac{1}{M_W^2 M_Z^2}$  implies **quadratic GIM mechanism**

Since  $m_t = 173$  GeV,  $m_c = 1.3$  GeV,  $m_u = 2.3$  MeV, rough estimate yields

- top quark contribution dominates  $\sim \lambda_t \frac{m_t^2}{M_W^2}$
- SD charm quark contribution subdominates  $\sim \lambda_c \frac{m_c^2}{M_W^2} \ln \frac{m_c^2}{M_W^2}$ 
  - although suppressed by  $\frac{m_c^2}{m_t^2}$ , but enhanced by  $\frac{\lambda_c}{\lambda_t}$ . Here  $\lambda_q = V_{qs}^* V_{qd}$
- remaining LD contribution  $\sim \lambda \frac{m_c^2}{M_W^2}, \lambda \frac{\Lambda_{\text{QCD}}^2}{M_W^2}$

# Branching ratio

**Branching ratio** for  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  [Buras et.al. JHEP11(2015)033]

$$\text{Br} = \kappa_+ (1 + \Delta_{\text{EM}}) \cdot \left[ \underbrace{\left( \frac{\text{Im } \lambda_t}{\lambda^5} X(x_t) \right)^2}_{0.270 \times 1.481(9)} + \left( \underbrace{\frac{\text{Re } \lambda_c}{\lambda} P_c}_{-0.974 \times 0.405(23)} + \underbrace{\frac{\text{Re } \lambda_t}{\lambda^5} X(x_t)}_{-0.533 \times 1.481(9)} \right)^2 \right]$$

- $X(x_t)$ : top quark contribution;  $P_c$ : charm and LD contribution

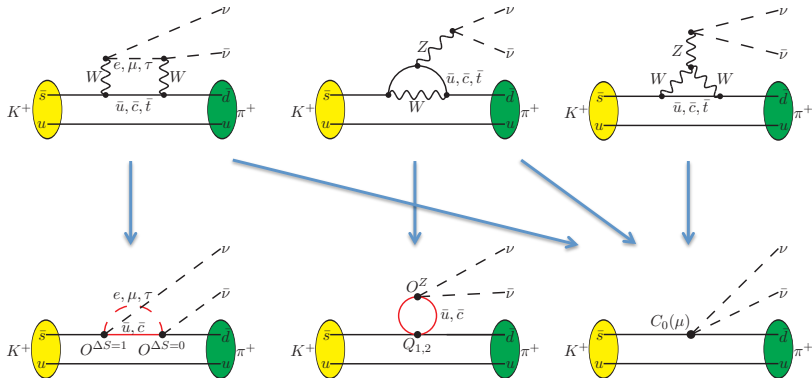
Without  $P_c$ , branching ratio is **50%** smaller

Uncertainty budget

- dominant uncertainty from CKM factor  $\lambda_t$
- once fixing CKM factor, then  $P_c$  dominates the uncertainty
  - $P_c$ 's uncertainty mainly come from LD

Important to determine the LD contribution to  $P_c$  accurately

# OPE: integrate out the heavy fields, $Z$ , $W$ , $t$ , ...



Bilocal LD contribution

Local SD contribution

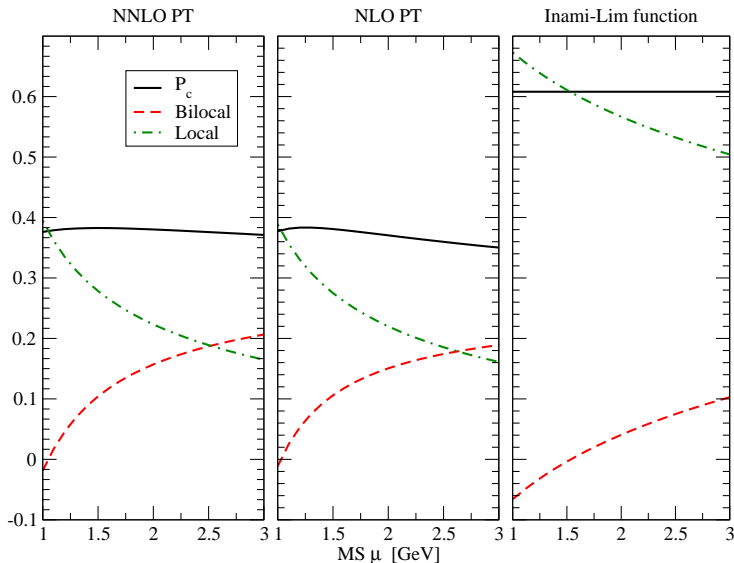
Hadronic part known:  $\langle \pi^+ | V_\mu | K^+ \rangle$

$\langle \pi^+ \nu \bar{\nu} | Q_A(x) Q_B(0) | K^+ \rangle$ : need lattice QCD



# Bilocal contribution vs local contribution

Bilocal  $C_A^{\overline{\text{MS}}}(\mu) C_B^{\overline{\text{MS}}}(\mu) r_{AB}^{\overline{\text{MS}}}(\mu)$  vs Local  $C_0^{\overline{\text{MS}}}(\mu)$ , hep-ph/0603079



At  $\mu = 2.5$  GeV, 50% charm quark contribution from bilocal term

# Lattice methodology

# Exponential contamination at large Euclidean time

Hadronic matrix element for the 2nd weak interaction

$$\int_{-T}^T dt \langle \pi^+ \nu \bar{\nu} | T [Q_A(t) Q_B(0)] | K^+ \rangle$$
$$= \sum_n \left\{ \frac{\langle \pi^+ \nu \bar{\nu} | Q_A | n \rangle \langle n | Q_B | K^+ \rangle}{M_K - E_n} + \frac{\langle \pi^+ \nu \bar{\nu} | Q_B | n \rangle \langle n | Q_A | K^+ \rangle}{M_K - E_n} \right\} \left( 1 - e^{(M_K - E_n)T} \right)$$

- For  $E_n > M_K$ , the exponential terms exponentially vanish at large  $T$
- For  $E_n < M_K$ , the exponentially growing terms must be removed
- $\sum_n$ : principal part of the integral replaced by finite-volume summation
  - possible large finite volume correction when  $E_n \rightarrow M_K$

[N. Christ, XF, G. Martinelli, C. Sachrajda, arXiv:1504.01170]

# New short-distance divergence

## New SD divergence appears in $Q_A(x)Q_B(0)$ when $x \rightarrow 0$

- Introduce a counter term  $X \cdot Q_0$  to remove the SD divergence

$$\langle \{Q_A Q_B\}^{\text{RI}} \rangle \Big|_{p_i^2 = \mu_0^2} = \text{Diagram 1} - X(\mu_0, a) \times \text{Diagram 2} = 0$$

The coefficient  $X$  is determined in the RI/(S)MOM scheme

- The bilocal operator in the  $\overline{\text{MS}}$  scheme can be written as

$$\left\{ \int d^4x T[Q_A^{\overline{\text{MS}}}(x)Q_B^{\overline{\text{MS}}}(0)] \right\}^{\overline{\text{MS}}} \\ = Z_A Z_B \left\{ \int d^4x T[Q_A^{\text{lat}}Q_B^{\text{lat}}] \right\}^{\text{lat}} + (-X^{\text{lat} \rightarrow \text{RI}} + Y^{\text{RI} \rightarrow \overline{\text{MS}}}) Q_0(0)$$

- $X^{\text{lat} \rightarrow \text{RI}}$  is calculated using NPR and  $Y^{\text{RI} \rightarrow \overline{\text{MS}}}$  calculated using PT

# Lattice results

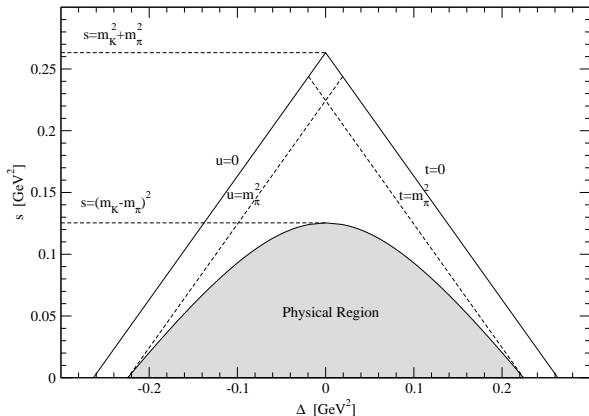
# Scalar amplitude

All results are given as scalar amplitudes

$$\int d^4x \langle \pi^+ \nu \bar{\nu} | T[Q_A(x) Q_B(0)] | K^+ \rangle = F(s, \Delta) \cdot \bar{u}(p_\nu) \not{p}_K (1 - \gamma_5) v(p_{\bar{\nu}})$$

where  $s$  and  $\Delta$  are Lorentz invariant variables

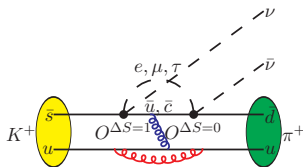
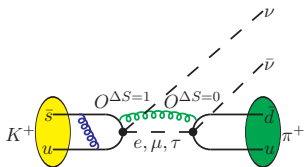
$$s = (p_K - p_\pi)^2, \quad \Delta = (p_K - p_\nu)^2 - (p_K - p_{\bar{\nu}})^2$$



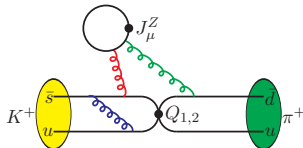
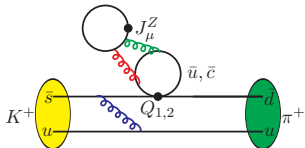
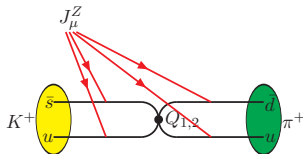
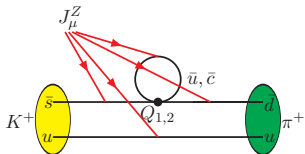
# Summary of diagrams

## All diagrams are calculated

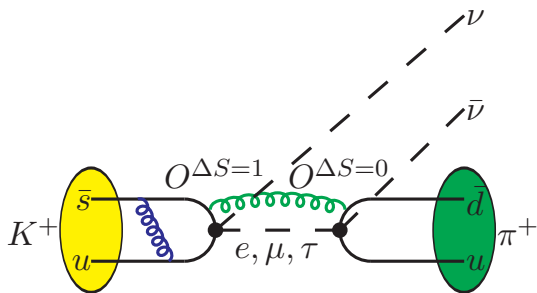
- $W$ - $W$  diagram:



- $Z$ -exchange diagram:

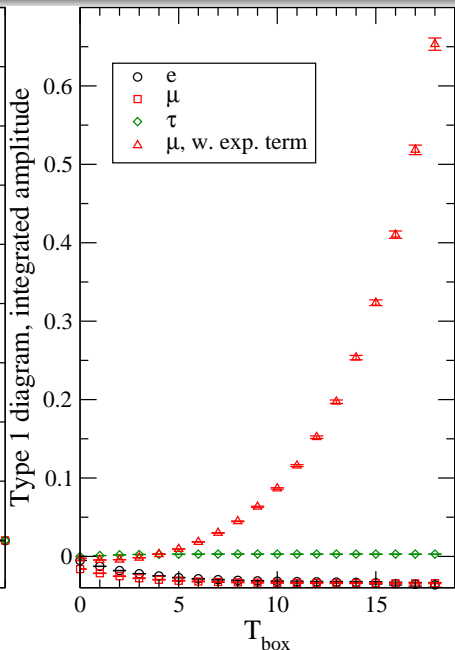
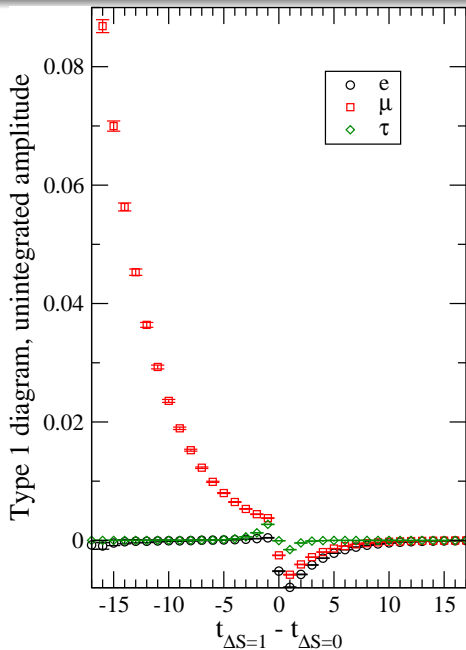


# Type 1 diagram





# Time dependence of the unintegrated scalar amplitude



# $F_{WW}$ for type 1 diagram

| $F_{WW}$ | Type 1                      | model                      |
|----------|-----------------------------|----------------------------|
| $e$      | $-1.685(47) \times 10^{-2}$ | $-1.740(6) \times 10^{-2}$ |
| $\mu$    | $-1.818(40) \times 10^{-2}$ | $-1.822(6) \times 10^{-2}$ |
| $\tau$   | $1.491(36) \times 10^{-3}$  | $1.471(5) \times 10^{-3}$  |

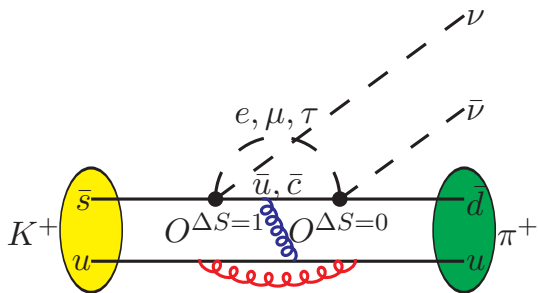
- Vacuum saturation approximation assumes only single-lepton contribution in the intermediate state

$$\begin{aligned} & -f_K \bar{u}(p_\nu) \not{p}_K (1 - \gamma_5) \frac{\not{q}}{q^2 - m_\ell^2} \not{p}_\pi (1 - \gamma_5) v(p_{\bar{\nu}}) f_\pi \\ & = -f_K f_\pi \frac{2q^2}{q^2 - m_\ell^2} \bar{u}(p_\nu) \not{p}_K (1 - \gamma_5) v(p_{\bar{\nu}}) \end{aligned}$$

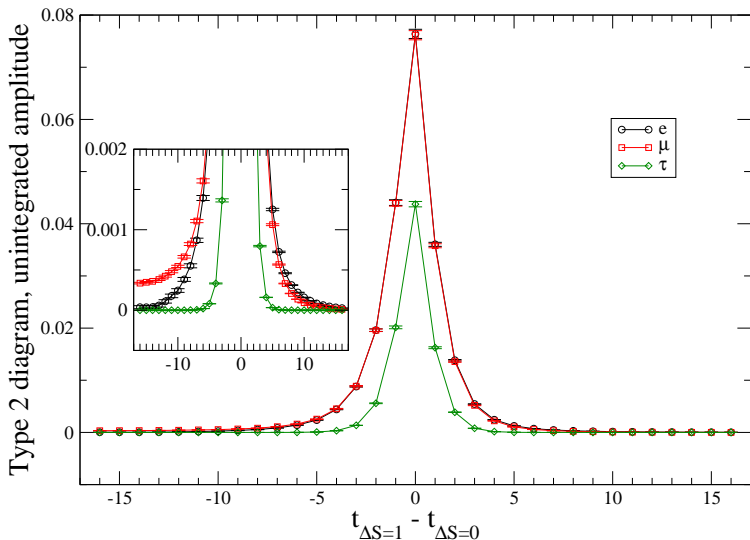
with  $q = p_K - p_\nu = p_\pi + p_{\bar{\nu}}$

- Lattice vs model suggests small contribution from excited states

# Type 2 diagram



# Time dependence of the unintegrated scalar amplitude



Unintegrated transition amplitude for the Type 2 diagram

# Summary results for $W$ - $W$ diagram

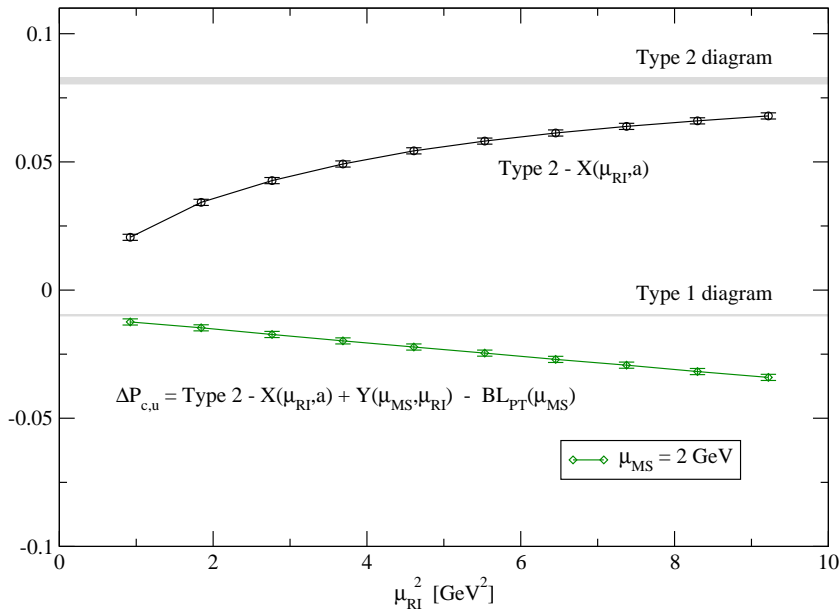
Scalar amplitude for  $W$ - $W$  diagram

| $F_{WW}$ | Type 1                      | model                      | Type 2                     |
|----------|-----------------------------|----------------------------|----------------------------|
| $e$      | $-1.685(47) \times 10^{-2}$ | $-1.740(6) \times 10^{-2}$ | $1.123(17) \times 10^{-1}$ |
| $\mu$    | $-1.818(40) \times 10^{-2}$ | $-1.822(6) \times 10^{-2}$ | $1.194(18) \times 10^{-1}$ |
| $\tau$   | $1.491(36) \times 10^{-3}$  | $1.471(5) \times 10^{-3}$  | $4.690(77) \times 10^{-2}$ |

Type 2 contribution is much larger than type 1, but

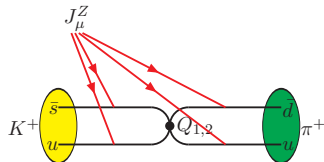
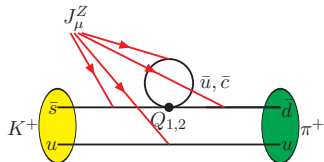
Type 2 diagram contain the large lattice cutoff effects due to SD divergence

# Contribution from $W$ - $W$ diagram

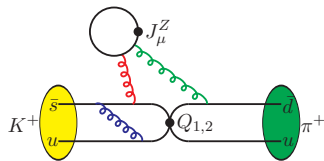
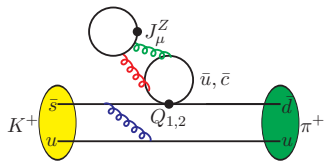


# Summary of $Z$ -exchange diagrams

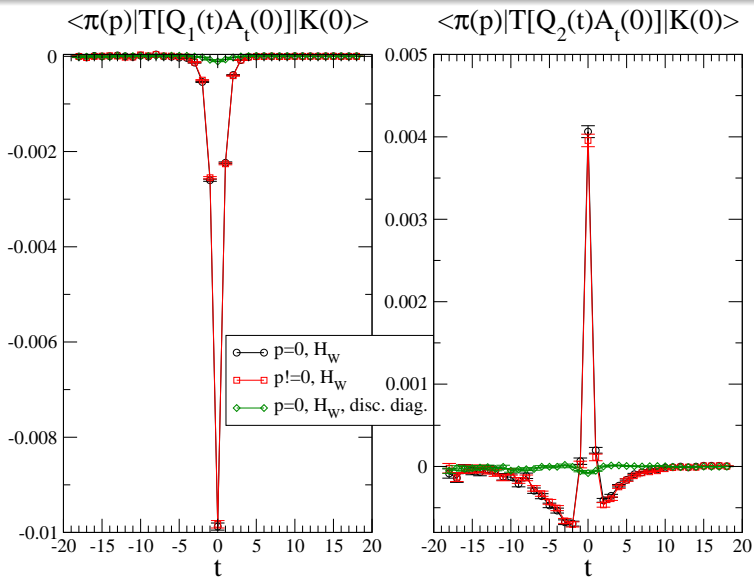
Connected diagrams,  $J_\mu^Z$  can be inserted into all the possible quark line



Disconnected diagrams (difficult since they are noisy)



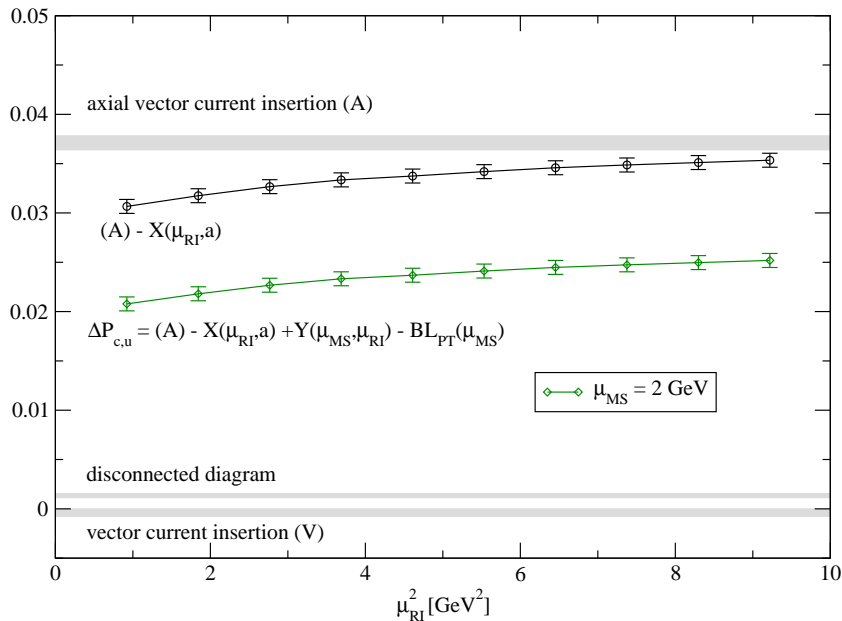
# Z-exchange diagram: unintegrated matrix element



Unintegrated matrix element for Z-exchange diagram



# Contribution from $Z$ -exchange diagram



# Results for charm quark contribution

## Charm quark contribution $P_c$

$$P_c = P_c^{\text{SD}} + \delta P_{c,u}$$

**NNLO PT** [Buras et.al, hep-ph/0603079]:

$$P_c^{\text{SD}} = 0.365(12)$$

**Phenomenological ansatz** [Isidori et.al, hep-ph/0503107]:

$$\delta P_{c,u} = 0.040(20)$$

## Preliminary Lattice results

$$\Delta P_{c,u} = \underbrace{-0.007(2)}_{\text{WW: } -0.032(1), \text{Z: } +0.025(1)} \begin{pmatrix} +7 \\ -11 \end{pmatrix}_{\text{RI}} \begin{pmatrix} +5 \\ -21 \end{pmatrix}_{\text{MS}}$$

$$\Delta P_{c,u} = \text{Lattice} - X(\mu_{\text{RI}}, a) + Y(\mu_{\overline{\text{MS}}}, \mu_{\text{RI}}) - \text{Bilocal}_{\text{PT}}(\mu_{\overline{\text{MS}}})$$

# How far we are from the destination?

## Premature to make a direct comparison:

- current lattice calculation:  $16^3 \times 32$ ,  $m_\pi = 420$  MeV,  $m_c = 860$  MeV
- physical pion and charm quark mass  $\Rightarrow$  large volume
- control both  $\mu_{\overline{\text{MS}}}$  and  $\mu_{\text{RI}}$  dependence

Rare kaon decay: accessible to LQCD  $\Rightarrow$  control systematic effects

## Next steps

- USQCD project: use a larger volume  $32^3 \times 64$  with  $m_\pi = 170$  MeV
  - awarded 27 million BGQ core hours, data collected, now being analyzed
- Move to  $1/a = 2.38$  GeV,  $64^3 \times 128$  and physical  $m_\pi$  and  $m_c$ 
  - currently a USQCD Incite proposal: 100 million BGQ core hours for 3 years

- Calculation of the non-local matrix element is highly non-trivial
- Our exploratory study sheds light on the feasibility of lattice calculation of  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
- Other interesting bilocal system
  - ▶  $K^0 - \bar{K}^0$  and  $D^0 - \bar{D}^0$  mixing
  - ▶ other rare decays:  $K_S \rightarrow \pi^0 \ell^+ \ell^-$ ,  $B \rightarrow K^* \ell^+ \ell^-$ , ...
  - ▶ electromagnetic correction to hadron mass and leptonic decay width
  - ▶ nucleon double beta decay:  $0\nu\beta\beta$
  - ▶ ...

Bilocal system: an exciting and new area for lattice QCD!

# Backup slides

# Dalitz plot

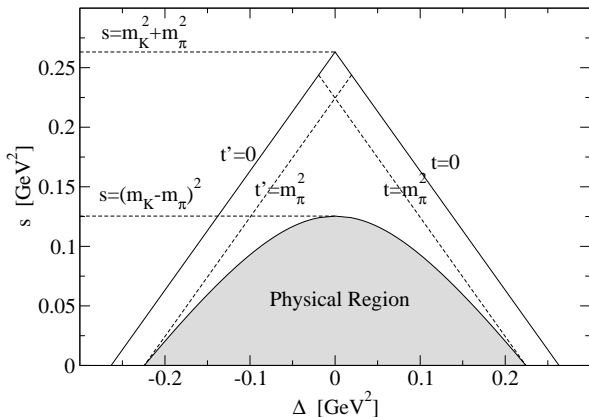
Three Lorentz invariants  $s$ ,  $t$ ,  $t'$

$$s = (p_K - p_\pi)^2 = (p_\nu + p_{\bar{\nu}})^2, \quad t = (p_K - p_\nu)^2 = (p_{\bar{\nu}} + p_\pi)^2$$

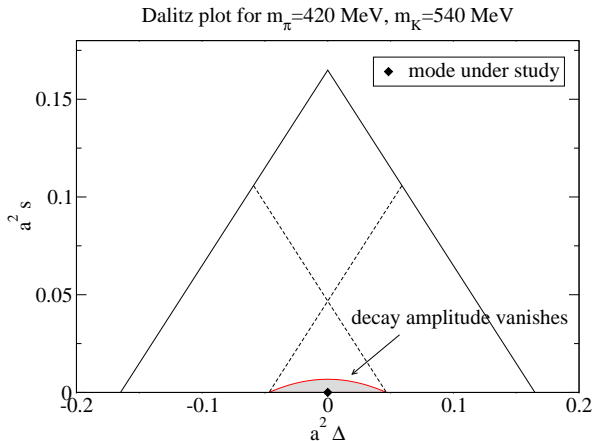
$$t' = (p_K - p_{\bar{\nu}})^2 = (p_\nu + p_\pi)^2, \quad s + t + t' = m_K^2 + m_\pi^2$$

Two independent variables:  $s$  and  $\Delta = t' - t$

Dalitz plot for  $m_\pi = 140$  MeV,  $m_K = 490$  MeV



# Momentum mode under study

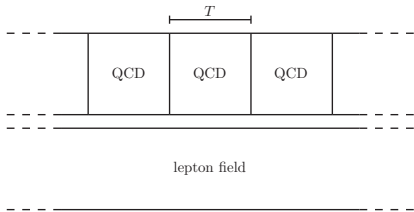


- Allowed momentum region highly suppressed at  $m_\pi = 420$  MeV
- On-shell massless neutrinos  $\rightarrow$  modulus of decay amplitude vanishes at the edge of the Dalitz plot
- Away from edge  $(\Delta, s) = (0, 0) \Rightarrow \vec{p}_\nu = \vec{p}_{\bar{\nu}}, \vec{p}_\pi = -\vec{p}_\nu - \vec{p}_{\bar{\nu}}$

# Evaluation of non-local matrix element

$$\int dt \langle \pi^+ \nu \bar{\nu} | T \{ O^{\Delta S=1}(t) O^{\Delta S=0}(0) \} | K^+ \rangle$$

- Construct 4-point correlator  $\langle \phi_\pi(t_\pi) O^{\Delta S=1}(t_1) O^{\Delta S=0}(t_0) \phi_K^\dagger(t_K) \rangle$
- Perform time translation average  $\rightarrow$  statistical error reduced by  $\sqrt{T}$ 
  - propagators generated on all time slices, quite a lot of cost
  - use low-mode deflation w. 100 low-lying eigenvectors to accelerate CG
  - time required to generate light quark propagators is reduced to 10%
- Use overlap fermion for lepton propagator
  - time extent for lepton is infinite





# Evaluation of non-local matrix element

$$T_{\mu}^Z = \int dt \langle \pi^+ | T \{ Q_{1,2}(t) J_{\mu}^Z(0) \} | K^+ \rangle$$

- Z-exchange diagrams do not require on-shell neutrinos

- ▶ we use  $\vec{p}_K = \vec{p}_{\pi} = 0$ ,  $J_{\mu}^Z$ ,  $\mu = t$

- Hadronic current  $J_{\mu}^Z$  has vector and axial vector component

- ▶ for the vector current, according to Ward identity (WI), we have

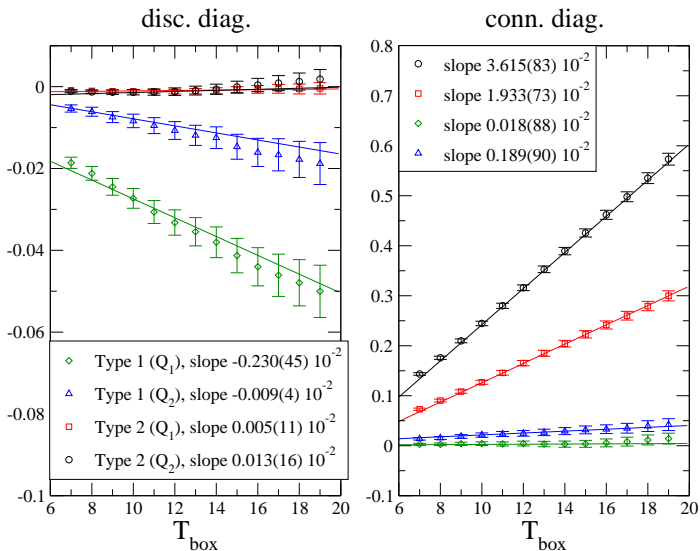
$$T_{\mu}^{Z,V} = F^{Z,V}(q^2) (q^2 (p_K + p_{\pi})_{\mu} - (m_K^2 - m_{\pi}^2) q_{\mu}), \quad q = p_K - p_{\pi}$$

- ▶ with  $\vec{p}_K = \vec{p}_{\pi} = 0 \Rightarrow q^2 (p_K + p_{\pi})_{\mu} - (m_K^2 - m_{\pi}^2) q_{\mu} = 0$

- ▶ WI suggests  $T_{\mu}^{Z,V} = 0$ , this is confirmed by our numerical calculation

- In the following, I will present the results for axial vector current

# Integrated matrix element for Z-exchange



Disc. diag. is relatively noisy, but its contribution is small. Adding the disc. part does not affect the conn. part significantly