# QCD in Collisions with Polarized Beams

Jianwei Qiu Brookhaven National Laboratory Stony Brook University

Annual Hua-Da School on QCD: EIC physics
China Central Normal University (CCNU), Wuhan, China, May 23 – June 3, 2016

## **Summary of lecture five**

Key for a good proton spin decomposition – sum rule:



$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + (L_q + L_g)$$

♦ Every term can be related to a physical observable with controllable approximation – "independently measurable"

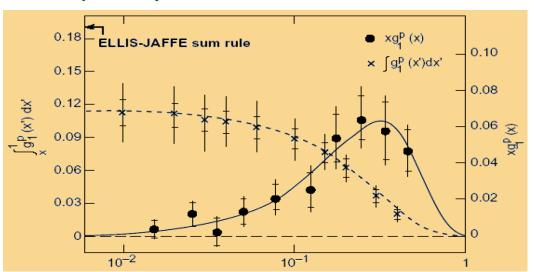
DIS scheme is ok for F2, but, less effective for other observables Additional symmetry constraints, leading to "better" decomposition?

- Natural physical interpretation for each term "hadron structure"
- ♦ Hopefully, calculable in lattice QCD "numbers w/o distributions"
- ☐ Since the "spin crisis" in the 80<sup>th</sup>, we have learned a lot about proton spin - likely, we will need for orbital contribution

## Thank you!

# Proton "spin crisis" - excited the field

#### ☐ EMC (European Muon Collaboration '87) – "the Plot":



$$g_1(x) = \frac{1}{2} \sum_{q} e_q^2 \left[ \Delta q(x) + \Delta \bar{q}(x) \right] + \mathcal{O}(\alpha_s) + \mathcal{O}(1/Q)$$

 $\int_{0}^{1} g_{1}^{p}(x)dx = 0.126 \pm 0.018$ 

- ♦ Combined with earlier SLAC data:
- $\Rightarrow$  Combined with:  $g_A^3 = \Delta u \Delta d$  and  $g_A^8 = \Delta u + \Delta d 2\Delta s$  from low energy neutron & hyperon eta decay

$$\Delta \Sigma = \sum_{q} \left[ \Delta q + \Delta \bar{q} \right] = 0.12 \pm 0.17$$

- ☐ "Spin crisis" or puzzle:
  - ♦ Strange sea polarization is sizable & negative
  - Very little of the proton spin is carried by quarks



# **Proton spin decomposition**

☐ The "big" question:

If there are infinite possibilities, why bother and what do we learn?

☐ The "origin" of the difficulty/confusion:

QCD is a gauge theory: a pure quark field in one gauge is a superposition of quarks and gluons in another gauge

☐ The fact:

None of the items in all spin decompositions are direct physical observables, unlike cross sections, asymmetries, ...

- □ Ambiguity in interpretation two old examples:
  - ♦ Factorization scheme:

$$F_2(x,Q^2) = \sum_{\tilde{a} \in \tilde{z}} C_q^{\mathrm{DIS}}(x,Q^2/\mu^2) \otimes q^{\mathrm{DIS}}(x,\mu^2)$$
 No glue contribution to  $F_2$ ?

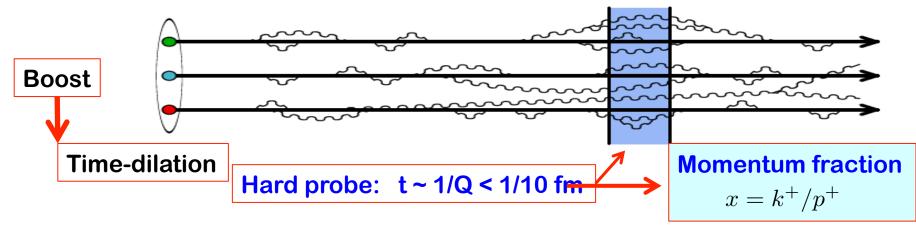
♦ Anomaly contribution to longitudinal polarization:

$$g_1(x,Q^2) = \sum_{q,\bar{q}} \widetilde{C}_q^{\text{ANO}} \otimes \Delta q^{\text{ANO}} + \widetilde{C}_g^{\text{ANO}} \otimes \Delta G^{\text{ANO}}$$

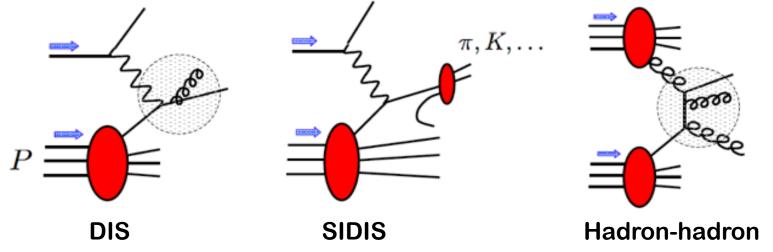
$$\Delta\Sigma \longrightarrow \Delta\Sigma^{
m ANO} - rac{n_f lpha_s}{2\pi} \Delta G^{
m ANO}$$
 Larger quark helicity?

#### **Probes and facilities**

☐ High energy scattering – to see quarks and gluons:



**☐** Spin Probes:



HEMES, COMPASS, JLab, Future EIC, ...

RHIC, FermiLab, JPAC, ...

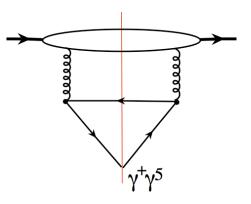
#### Earlier "solution" to the "crisis"

 $\Box$  Large  $\triangle$ G to cancel the "true"  $\triangle$ q:

$$\Delta q = \int_0^1 dx \Delta q(x) = \langle P, s_{\parallel} | \overline{\psi}_q(0) \gamma^+ \gamma_5 \psi_q(0) | P, s_{\parallel} \rangle$$



$$\Delta\Sigma \to \Delta\Sigma - \frac{n_f \alpha_s(Q^2)}{2\pi} \, \Delta G(Q^2)$$



■ What value of ∆G is needed?

$$\Delta G(Q^2) \sim 2$$
 at Q ~ 1 GeV

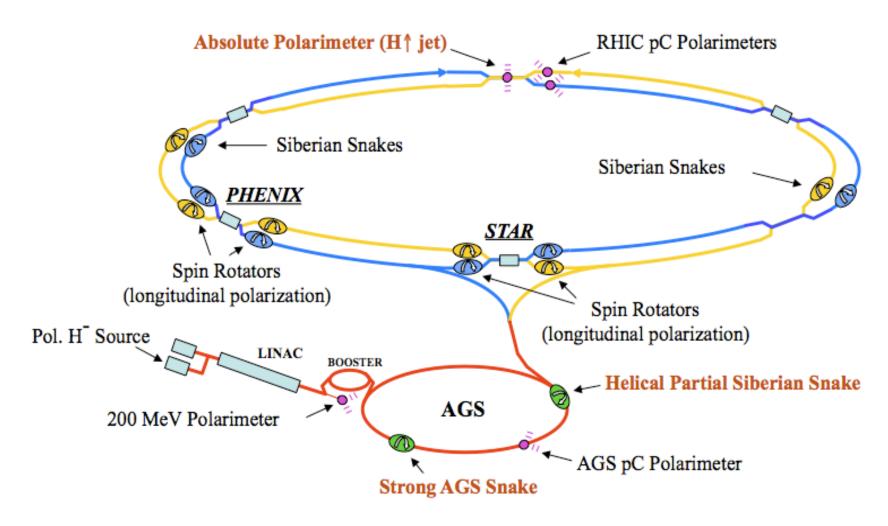
- Question: How to measure △G independently?
  - ♦ Precision inclusive DIS

  - ♦ Hadronic collisions RHIC spin, ...

Lead to the first goal of RHIC spin program

#### RHIC spin program

#### ☐ The machine:



Collider of two 100 GeV (250 GeV) polarized proton beams

## RHIC spin program

Resear

Stati

Plans for t

In this report we presen science of the RHIC sp ments for the RHIC spi evolution of the spin pr

The following is meant to tion of the 2007 Nuclear presentation in the 2005 l

http://spi

Christine Aidala a, Mei Abhay Deshpande c,e, I Matthias Grosse Perde Kisteney<sup>b</sup> Stefan Kret Vladimir Rykov<sup>h</sup>, Nac Surrow<sup>n</sup>, Atsushi Tak Fleming Videbaek<sup>b</sup>, Ste

(see next page for instit pande, Wolfram Fischer, Bernd Surrow

In addition to the materi celeration of polarized pr developing area of the tra both proton helicity and t

Gerry Bunce and Werner (see also: authors of Rese

This report gives a RHIC : nificantly advance our unde expected toward an underst violating measurements wor up and anti-down quark pol a precision stage studying transversity.

The entire plan rests on ru tained running is necessary to build the level of polariza ity recorded by the experim Support for development of program envisioned for beyo

> Write and th

Gerry Bunce (chair), Alexan Gagliardi, Yuii Goto, Mattl Roser, Ralf Seidl, Jim Sowii

January 2015 Da ΔG The RHIC SPIN Fregram
Achievements and Future Opportunities Elke-Care Carl Gagliardi  $D\Delta$ Itaru Nakagav pβ ΔG

# Goals of RHIC spin program

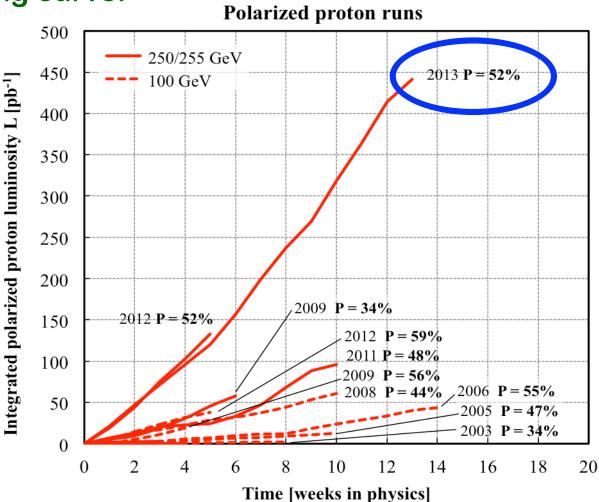
 $\Box$  Determination of polarized gluon distribution ( $\Delta$ G) over a large range of momentum fraction x, using multiple probes

□ Determination of flavor identified quark and anti-quark polarization using parity violating production of W<sup>±</sup>

□ Transverse spin phenomena in QCD: transversity ( $\delta q$ ), parton orbital angular momentum ( $L_q$ ), and etc.

#### What has RHIC delivered?

☐ Learning curve:



☐ Maturity and discovery:

What a year of 2013!

#### RHIC Measurements on $\Delta G$

#### $\square$ Physical channels sensitive to $\triangle G$ :

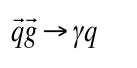
$$\begin{array}{ccc}
\stackrel{\mathbb{I}}{p} + \stackrel{\mathbb{I}}{p} \to \pi + X & \overrightarrow{g}\overrightarrow{g} \to \\
\stackrel{\mathbb{I}^{n}}{p} + \stackrel{\mathbb{I}^{n}}{p} \to \operatorname{jet} + X & \overrightarrow{q}\overrightarrow{g} \to \\
\stackrel{\mathbb{I}^{n}}{p} + \stackrel{\mathbb{I}^{n}}{p} \to \gamma + X & \overrightarrow{q}\overrightarrow{g} \to 
\end{array}$$

$$\begin{array}{ccc}
p + p & \rightarrow \gamma + X \\
p + p & \rightarrow \gamma + \text{jet} + X
\end{array}$$

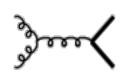
$$\begin{array}{ccc}
\stackrel{\text{\tiny "}}{p} + \stackrel{\text{\tiny "}}{p} \to D + X & & \vec{g}\vec{g} \to c\overline{c} \\
\stackrel{\text{\tiny "}}{p} + \stackrel{\text{\tiny "}}{p} \to B + X & & \vec{g}\vec{g} \to b\overline{b}
\end{array}$$

$$\vec{g}\vec{g} \to gg$$





$$\vec{g}\vec{g} \to c\bar{c}$$
$$\vec{g}\vec{g} \to b\bar{b}$$

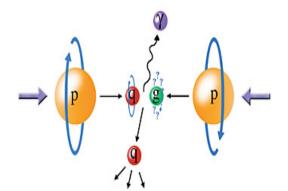


Pion or jet production high rates

**Direct photon production** low rates

**Heavy-flavour production** separated vertex detection required

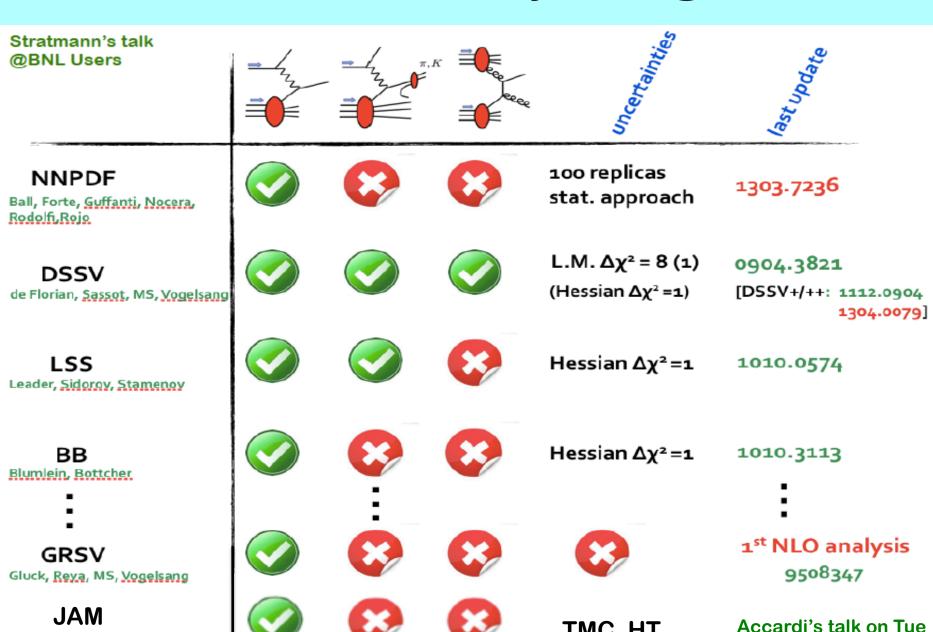
#### ☐ Collinear QCD factorization:



**Experiments measure cross sections,** And asymmetries, not helicity distributions!

QCD global analyses to extract the best helicity districutions at NLO, to calculate helicity contribution to proton spin

## Global fits for helicity PDFs @ NLO

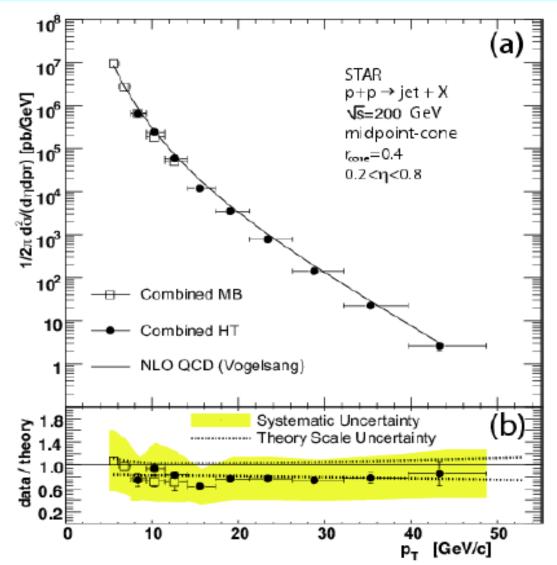


TMC, HT, ...

PoS DIS2013 (2013) 208

# Inclusive jet at 200 GeV



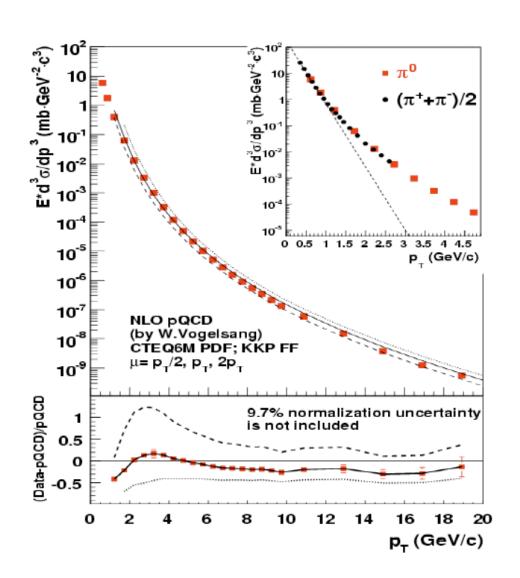


PRL97, 252001 (2006)

QCD factorization/calculation works at RHIC energies!

## Inclusive single hadron at 200 GeV

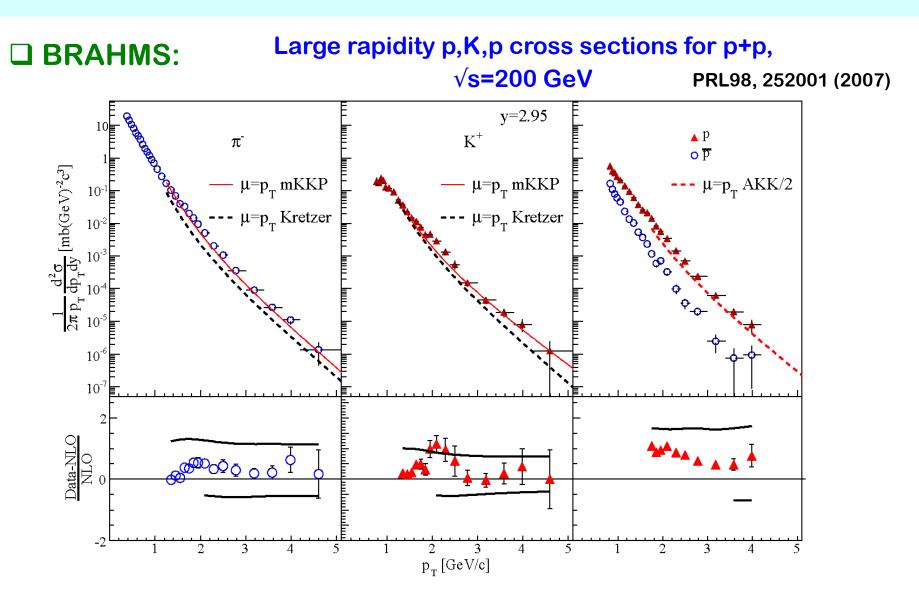
#### □ PHENIX:



PRD76, 051106 (2007)

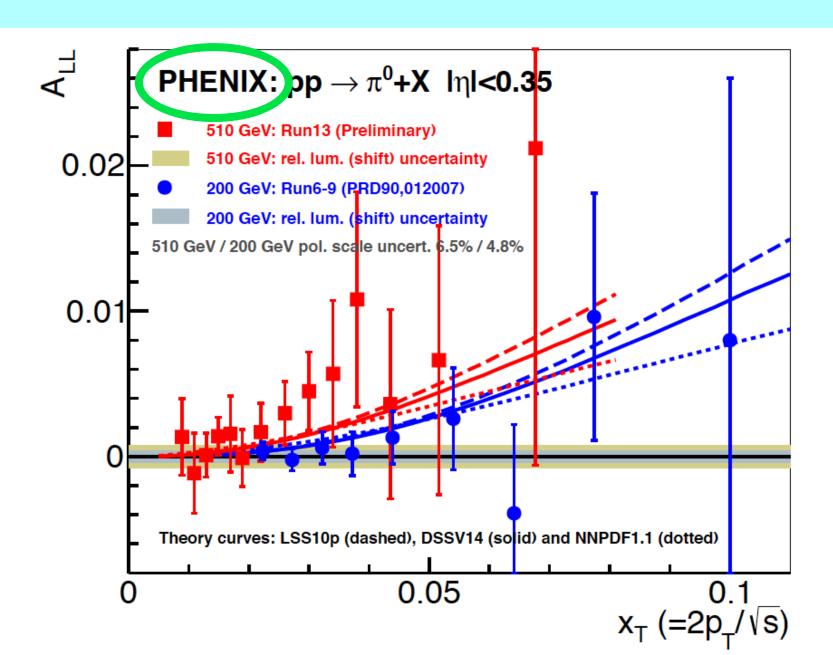
QCD factorization/calculation works at RHIC energies!

## Extend x coverage and particle type

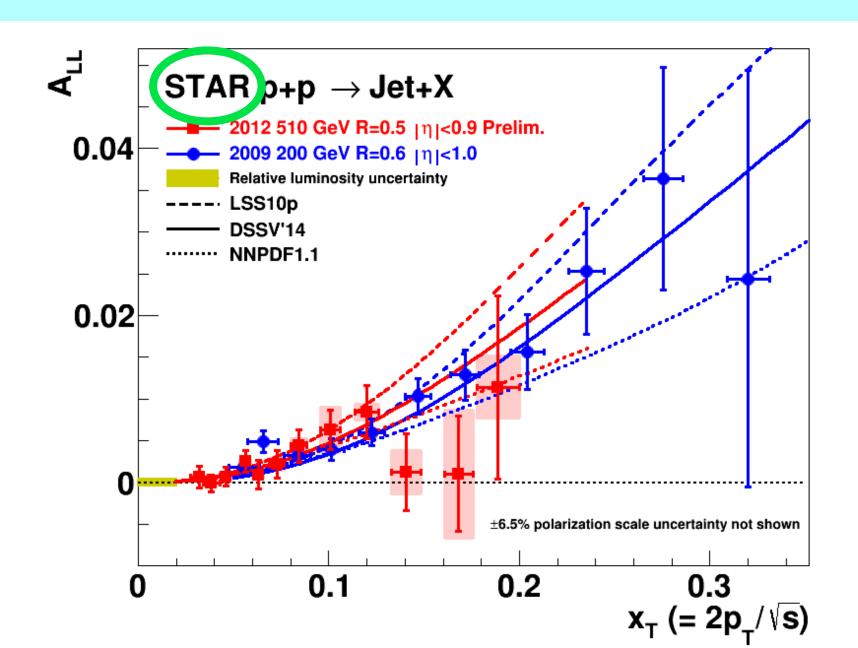


QCD factorization/calculation works at RHIC energies!

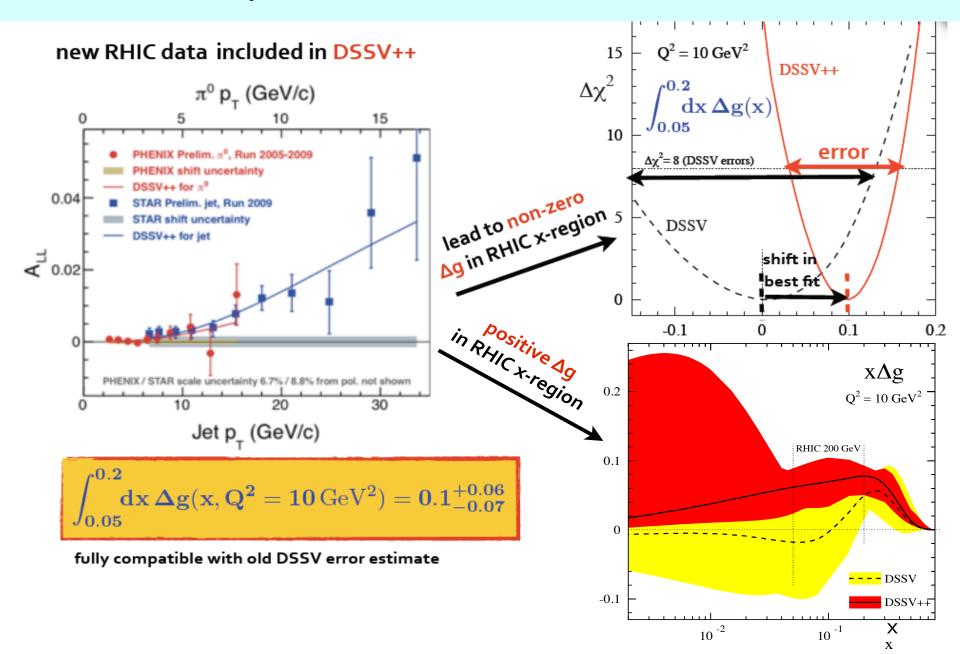
#### RHIC Measurements on $\Delta G$



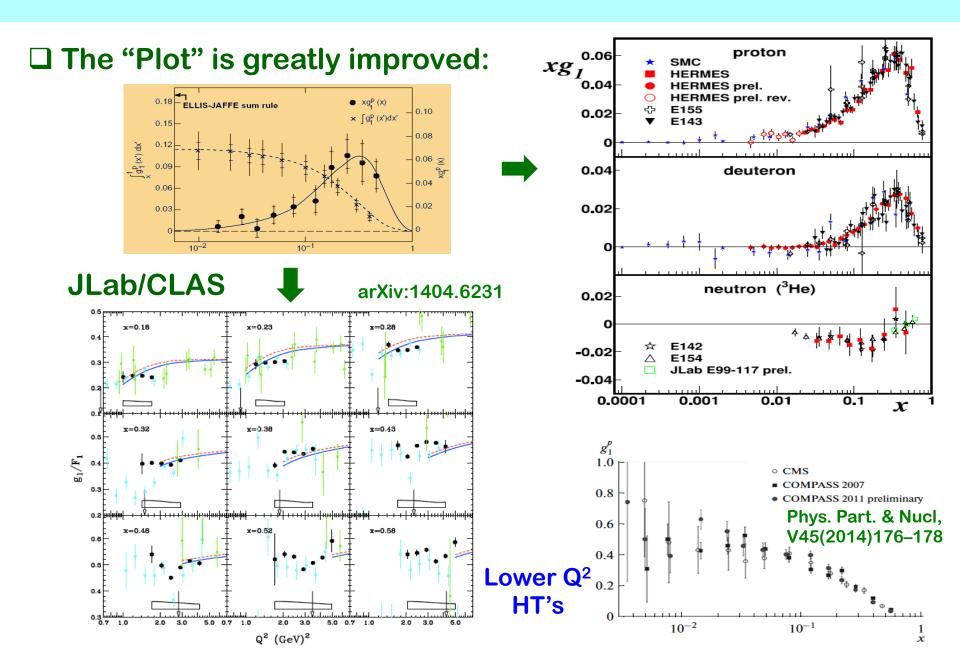
#### RHIC Measurements on $\Delta G$



# Impact of RHIC measurements

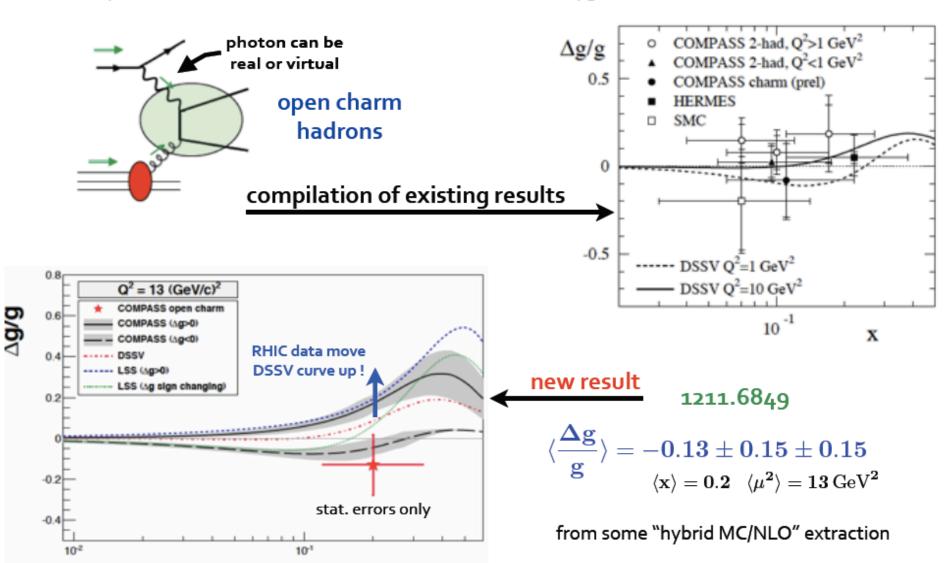


#### **Inclusive DIS data**



#### **Role of DIS measurements**

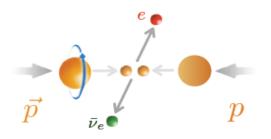
idea: processes with (dominant) contributions from γg-fusion

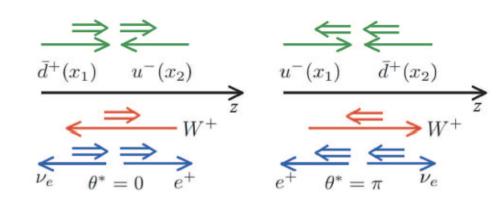


X

# Determination of $\Delta q$ and $\Delta q$

☐ W's are left-handed:





☐ Flavor separation:

**Lowest order:** 

$$A_L^{W^+} = -\frac{\Delta u(x_1)\bar{d}(x_2) - \Delta \bar{d}(x_1)u(x_2)}{u(x_1)\bar{d}(x_2) + \bar{d}(x_1)u(x_2)}$$

$$x_1 = \frac{M_W}{\sqrt{s}} e^{y_W}, \quad x_2 = \frac{M_W}{\sqrt{s}} e^{-y_W}$$

Forward W<sup>+</sup> (backward e<sup>+</sup>):

$$A_L^{W^+} \approx -\frac{\Delta u(x_1)}{u(x_1)} < 0$$

Backward W<sup>+</sup> (forward e<sup>+</sup>):

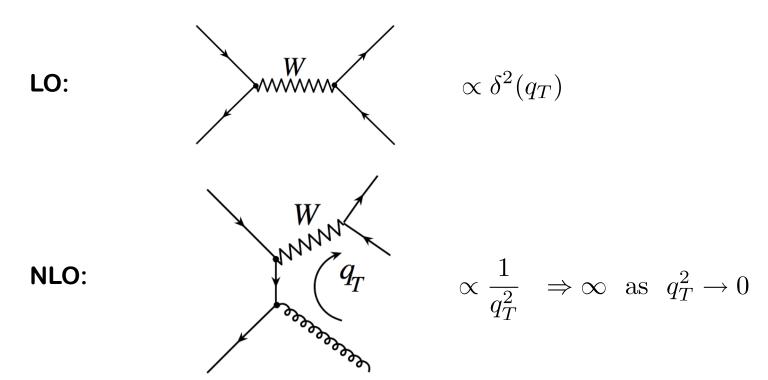
$$A_L^{W^+} \approx -\frac{\Delta \bar{d}(x_2)}{\bar{d}(x_2)} < 0$$

**□** Complications:

High order, W's p<sub>T</sub>-distribution at low p<sub>T</sub>

# **High order effect**

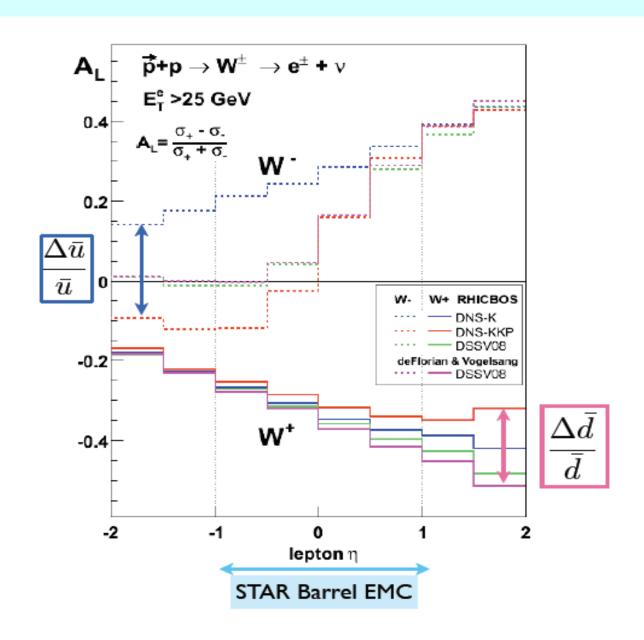
☐ Fixed order pQCD calculation:



 $\square$  All order resummation is needed at low  $p_T$ :

**CSS formalism – implemented in RHICBOS** 

#### **Predicted W asymmetry**

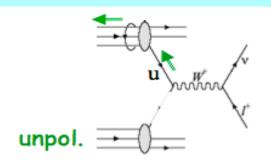


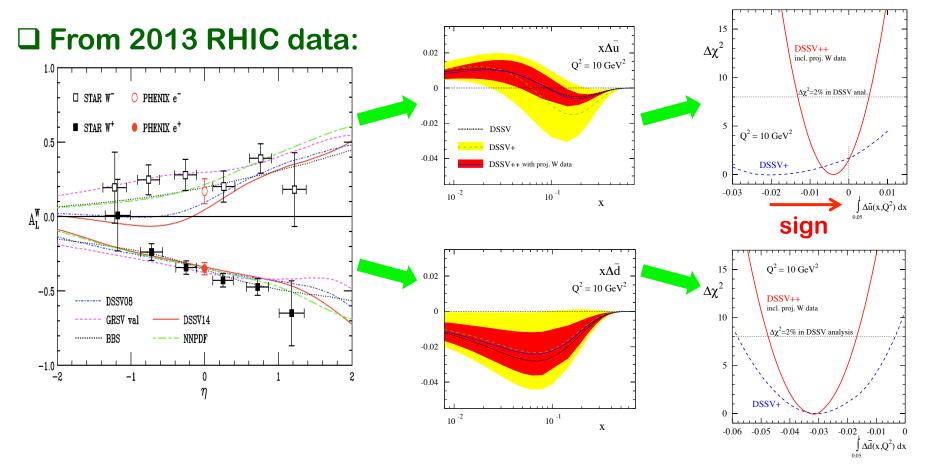
# Sea quark polarization - RHIC W program

#### ☐ Single longitudinal spin asymmetries:

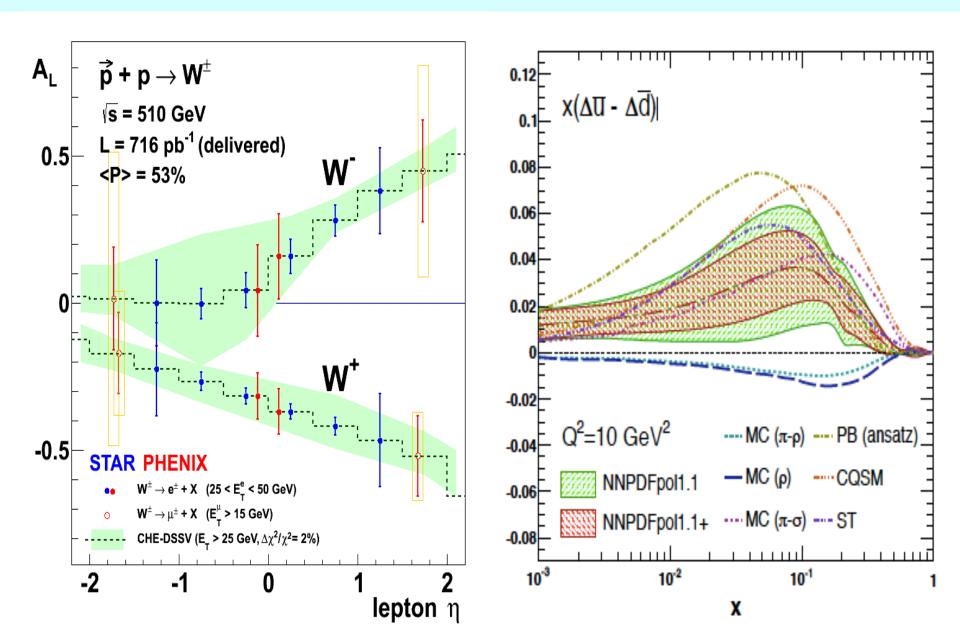
$$A_L = \frac{[\sigma(+) - \sigma(-)]}{[\sigma(+) + \sigma(-)]} \quad \text{for } \sigma(s)$$

Parity violating weak interaction





## Projected future W asymmetries

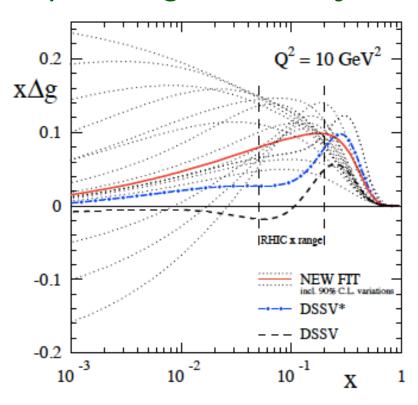


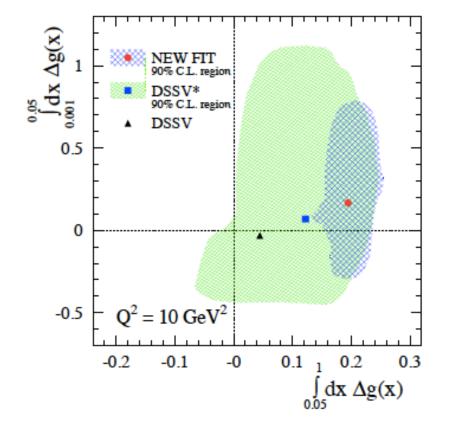
## Global QCD analysis of helicity PDFs

D. de Florian, R. Sassot, M. Stratmann, W. Vogelsang, PRL 113 (2014) 012001

results featured in Sci. Am., Phys. World, ...

#### ☐ Impact on gluon helicity:





- ♦ Red line is the new fit
- ♦ Dotted lines = other fits with 90% C.L.
- ♦ 90% C.L. areas

# Hadron structure at large x

 $\square$  Testing ground for hadron structure at  $\times \rightarrow 1$ :

$$d/u \rightarrow 1/2$$

$$\Rightarrow d/u \rightarrow 0$$

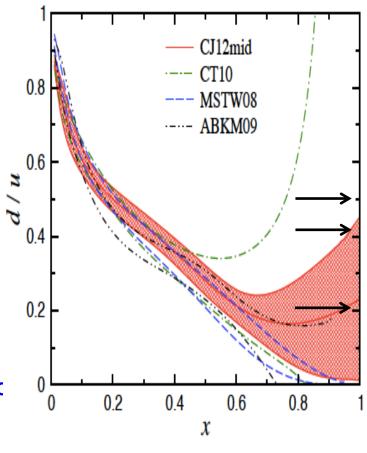
$$\Rightarrow d/u \rightarrow 1/5$$











# Hadron structure at large x

#### $\square$ Testing ground for hadron structure at $\times \rightarrow 1$ :

$$d/u \rightarrow 1/2$$

SU(6) Spin-flavor symmetry

$$\Rightarrow d/u \rightarrow 0$$

Scalar diquark dominance

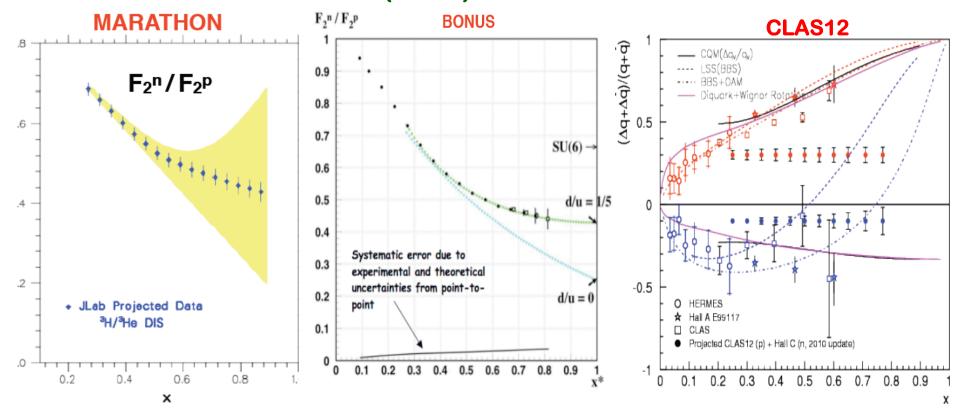
$$\Rightarrow d/u \rightarrow 1/5$$

pQCD power counting

duality

## Future large-x experiments – JLab12

#### **☐** NSAC milestone HP14 (2018):



#### Plus many more JLab experiments:

```
E12-06-110 (Hall C on <sup>3</sup>He), E12-06-122 (Hall A on <sup>3</sup>He), E12-06-109 (CLAS on NH<sub>3</sub>, ND<sub>3</sub>), ... and Fermilab E906, ...
```

# Future improvement to $\Delta G$

■ NNLO? Probably not yet

☐ Key: Extrapolation to low x and high x

♦ Large x: total contribution might be small

due to the steep falling phase space

♦ Small x: larger phase space for shower and smaller Q

for a fixed collision energy  $\Rightarrow \text{Large } \langle k_T^2 \rangle$ ,  $\ln(s/Q^2) \sim \ln(1/x)$ 

lacksquare Collinear factorization does not work when  $Q \sim Q_s(x) \sim \langle k_T \rangle$ 

$$G(x) = G^{+}(x) + G^{-}(x) \propto \frac{1}{x^{1+\alpha}}$$
$$\Delta G(x) = G^{+}(x) - G^{-}(x)$$

at small x

Could be proportional to

Not positive definite!

 $\frac{1}{x^{\alpha}}$ 

 $\Box$  Current understanding of  $\Delta G$ :

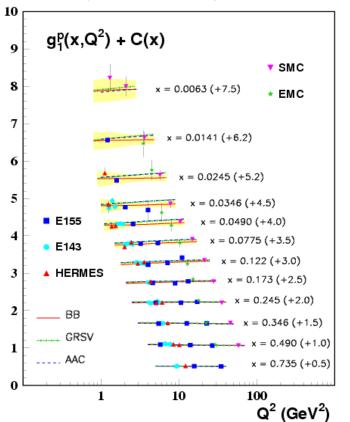
 $\Delta G \sim 2$  is unlikely, but,  $\Delta G \sim \frac{1}{4}$  or  $\frac{1}{2}(\frac{1}{4})$  is still possible

It is the G(x), not  $\int dx G(x)$ , that is more sensitive to QCD dynamics!

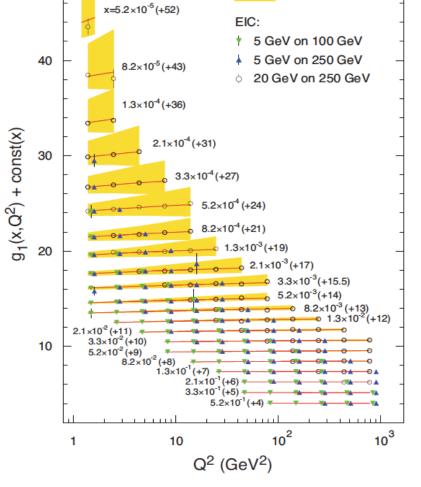
Future EIC - Q<sup>2</sup> evolution

## The Future: Challenges & opportunities

#### ☐ The power & precision of EIC:







DSSV+

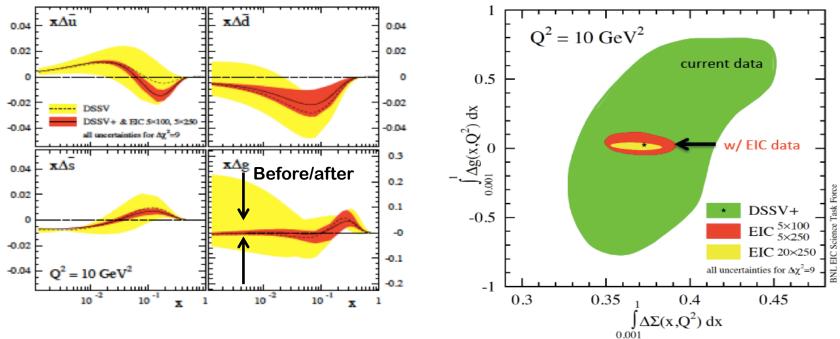
#### ☐ Reach out the glue:

$$\frac{dg_1(x,Q^2)}{d\ln Q^2} = \frac{\alpha_s}{2\pi} P_{qg} \otimes \Delta g(x,Q^2) + \cdots$$

## The Future: Challenges & opportunities

☐ One-year of running at EIC:

Wider Q<sup>2</sup> and x range including low x at EIC!

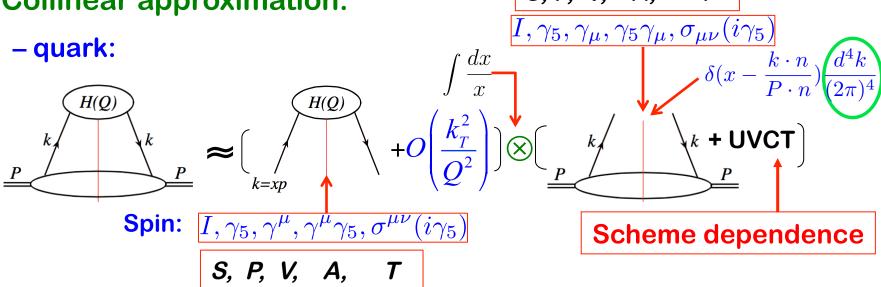


No other machine in the world can achieve this!

- ☐ Ultimate solution to the proton spin puzzle:
  - $\Rightarrow$  Precision measurement of  $\triangle g(x)$  extend to smaller x regime
  - ♦ Orbital angular momentum contribution measurement of GPDs!

## Recall: the other leading power distribution

☐ Collinear approximation:



□ Leading power hard parts in p:

$$\frac{1}{2}\gamma\cdot p(V),\ \frac{1}{2}\gamma_5\gamma\cdot p(A),\ \frac{1}{2}\gamma\cdot p\gamma_\perp^\alpha\gamma_5(T) \\ \longleftarrow \ \ \text{4-spin states of the "quark-pair"} \\ \text{Non-flip, longitudinally flip, transversely flip}$$

☐ Leading power distributions:

$$\frac{\gamma \cdot n}{2p \cdot n}(V), \ \frac{\gamma_5 \gamma \cdot n}{2p \cdot n}(A), \ \frac{\gamma \cdot n \gamma_{\perp}^{\alpha} \gamma_5}{2p \cdot n}(T) \longleftrightarrow q(x, Q), \ \Delta q(x, Q), \ h_1(x, Q)$$

Unpolarized PDF, Helicity/Polarized PDF, Transversity distribution

## **Transversity Distributions**

☐ Transversity:

Jaffe and Ji, 1991

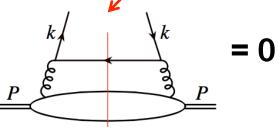
$$h_1(x) = \frac{1}{\sqrt{2p^+}} \int \frac{\mathrm{d}\lambda}{2\pi} \mathrm{e}^{i\lambda x} \langle PS_{\perp} | \psi_{+}^{\dagger}(0) \gamma_{\perp} \gamma_5 \psi_{+}(\lambda n) | PS_{\perp} \rangle + \mathsf{UVCT}$$
with  $\psi_{\pm} = P_{\pm} \psi$  and  $P_{\pm} = \frac{1}{2} \gamma^{\mp} \gamma^{\pm}$ 

☐ Unique for the quarks:

No mixing with gluons!

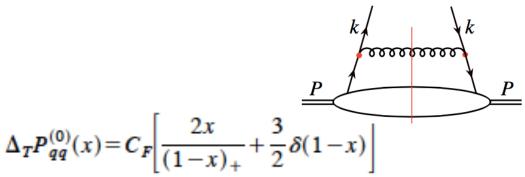
 $\gamma \cdot n \gamma_{\perp} \gamma_{5}$ 

Even # of  $\gamma$  's



No mixing with PDFs, helicity distributions

☐ Perturbatively UV and CO divergent:



+ wave function renormalization

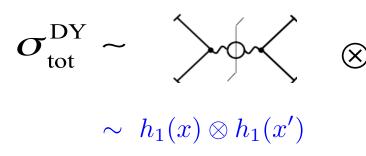
"DGLAP" evolution kernels

NLO - Vogelsang, 1998

## Connection to physical observables

#### ■ Need two-chiral odd distributions – two hadrons:



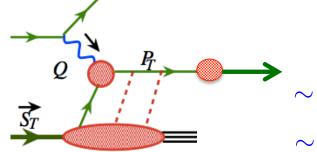


Soper, Ralston, 1978 Jaffe, Ji, 1991, 1992

$$+ O\left(\frac{1}{QR}\right)$$

#### Predictive power: Universal Transversity

- SIDIS:



 $\sim h_1(x) \otimes D_{\text{Collins}}(z)$ 

 $\sim A_T^{\sin(\phi+\phi_s)}$ 

#### ☐ Caution:

Transversity extracted depends on the "scheme" or UVCT Cross section is always positive!

Like PDFs (helicity distributions), transversity does not have to be positive

#### Soffer's inequality

☐ Relation between quark distributions:

$$h_1(x) \le \frac{1}{2} [q(x) + \Delta q(x)] = q^+(x)$$

Derived by using the positivity constraint of quark + nucleon -> quark + nucleon forward scattering helicity amplitudes

#### Cautions:

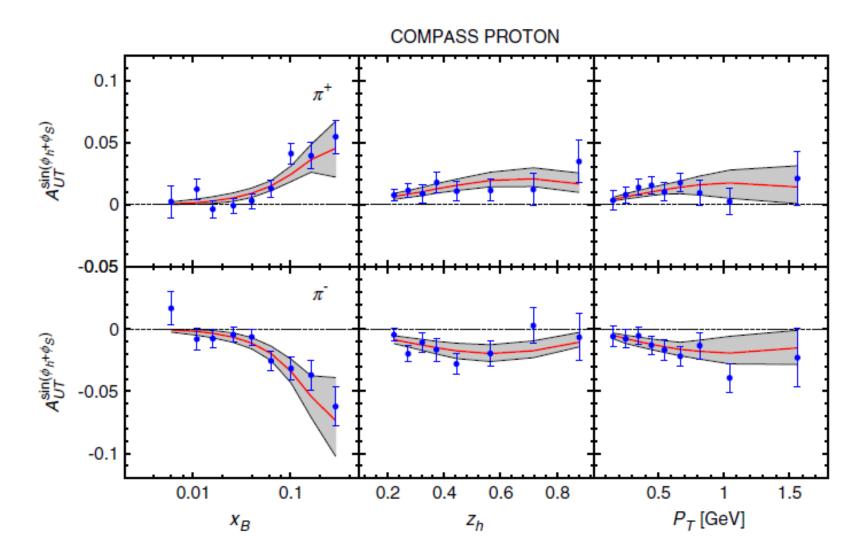
- ♦ Quark field of the Transversity distribution is NOT on-shell
- Quark + nucleon -> quark + nucleon forward scattering amplitude is perturbatively divergent
- ☐ Testing vs using as a constraint:

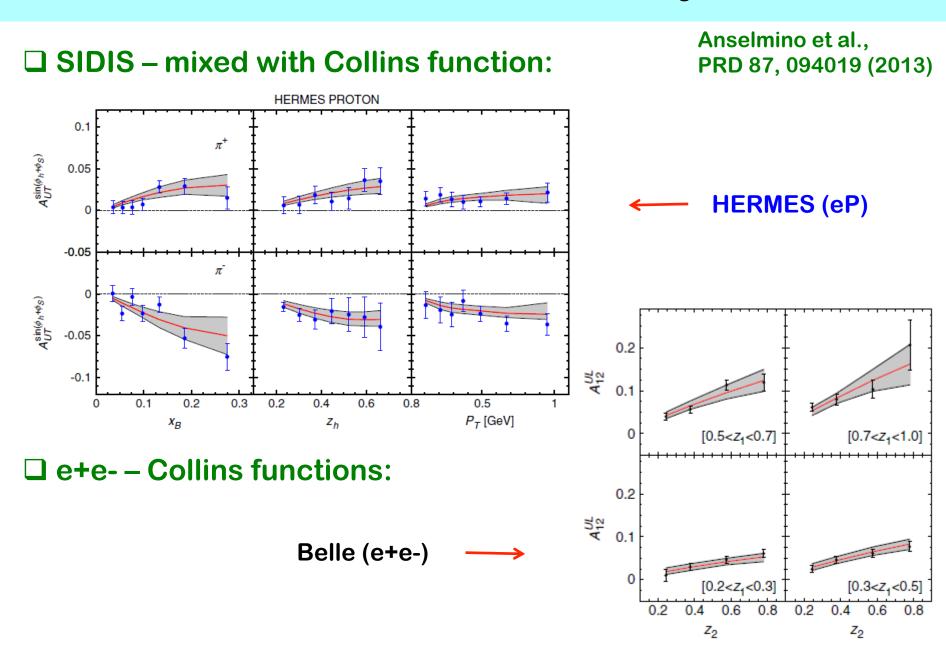
It is important to test this inequality, rather than using it as a constraint for fitting the transversity

Perturbatively calculated evolution kernels seem to be consistent with the inequality – the scale dependence

□ SIDIS – mixed with Collins function:

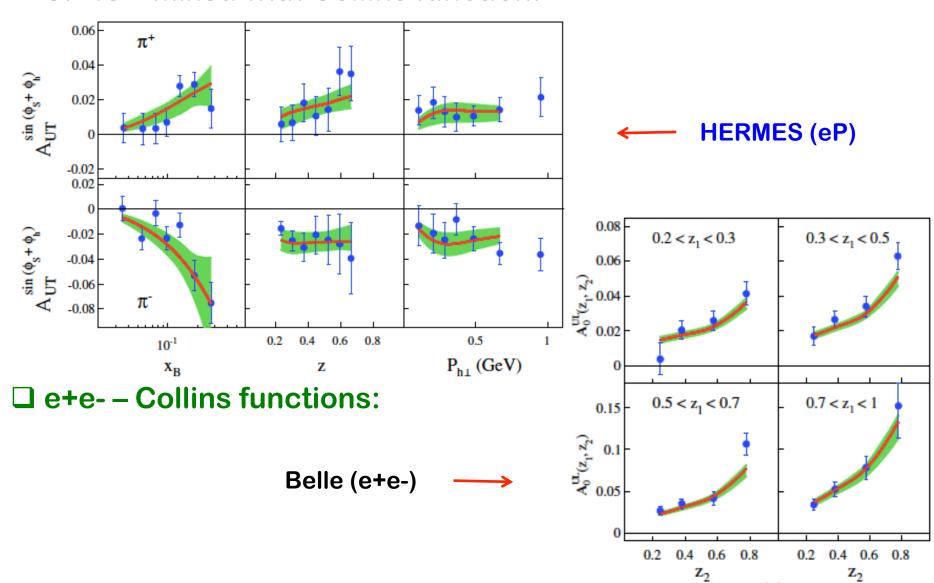
Anselmino et al., PRD 87, 094019 (2013)





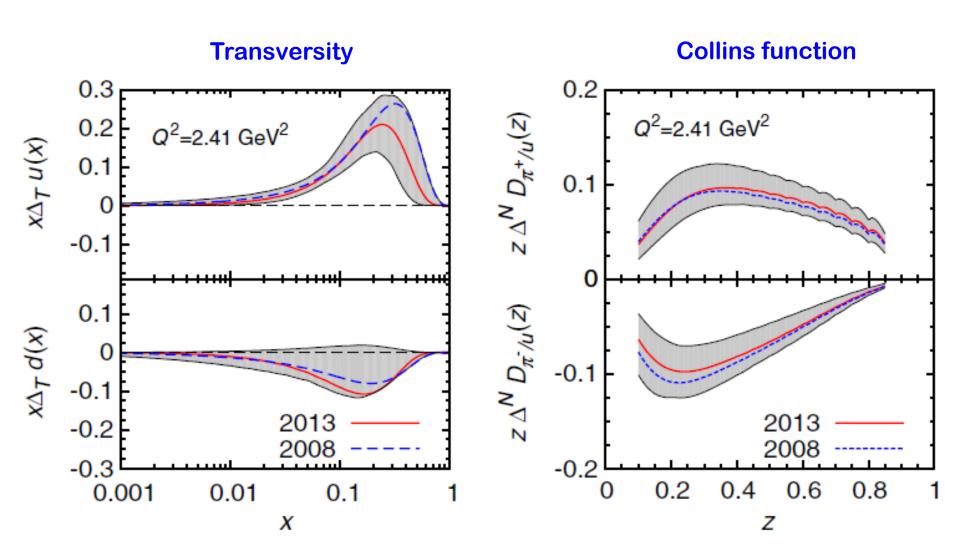
Kang et al, PRD, 2016

□ SIDIS – mixed with Collins function:



☐ Transversity and Collins function:

Anselmino et al., PRD 87, 094019 (2013)

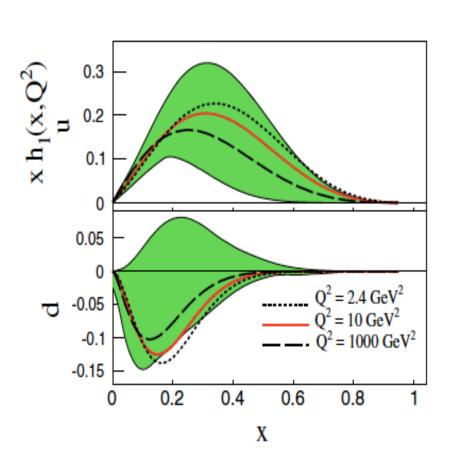


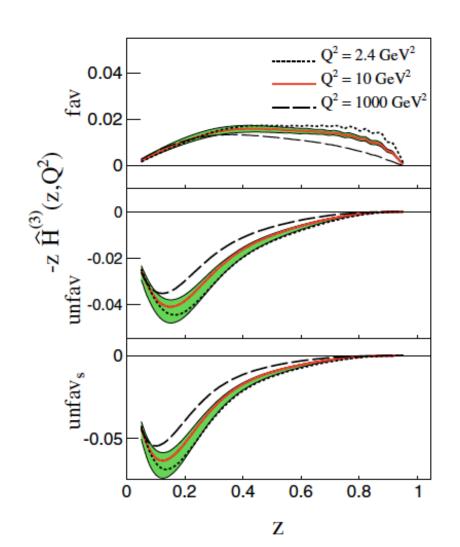
Kang et al, PRD, 2016

#### ☐ Transversity and Collins function:

#### **Collins function**

#### **Transversity**

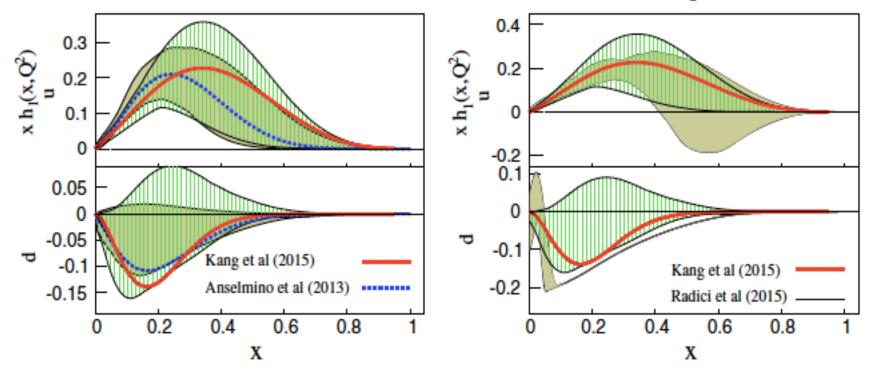




☐ Transversity comparison:

Anselmino et al., PRD 87, 094019 (2013)

Kang et al, PRD, 2016



- ♦ Consistent in overall shape and sign, but, different in details
- ♦ Large uncertainties!

#### ☐ Future:

JLab12, Compass, EIC; Transverse polarized Drell-Yan?

### **Tensor charge**

**□** Definition:

$$\delta q = \int_0^1 [h_1^q(x) - h_1^{\overline{q}}(x)] dx$$

Moment – matrix elements of local operators

- fundamental QCD quantity - calculable on lattice or using models

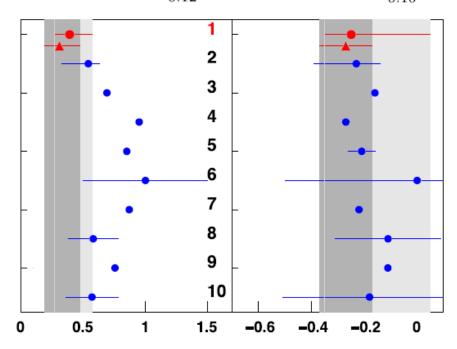
#### ☐ Extraction:

$$\bullet \delta u = 0.39^{+0.18}_{-0.12}$$

$$\delta d = -0.25^{+0.30}_{-0.10}$$

$$\delta u = 0.31^{+0.16}_{-0.12}$$

$$\Delta \delta d = -0.27^{+0.10}_{-0.10}$$



Anselmino et al., PRD 87, 094019 (2013)

**♦ Extracted from global fits** 

by using two different parameterizations for Collins FF)

- Predictions from various models (including LQCD)
- Tensor charges are expected to be smaller than axial charge

$$\Delta u = 0.787$$
  $\Delta d = -0.319$ 

### Tensor charge

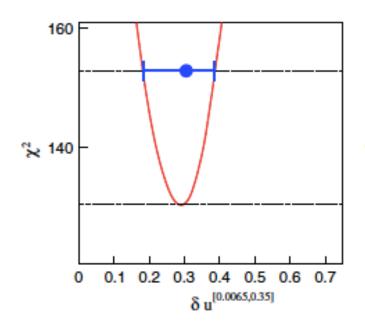
□ Definition:

$$\delta q = \int_0^1 [h_1^q(x) - h_1^{\bar{q}}(x)] dx$$

**Moment – matrix elements of local operators** 

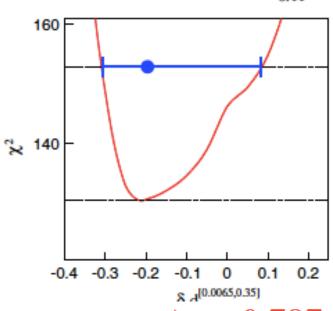
- fundamental QCD quantity calculable on lattice or using models
- ☐ Extraction from global fits :

$$\delta q^{[x_{\rm min},x_{\rm max}]}(Q^2) \equiv \int_{x_{\rm min}}^{x_{\rm max}} dx h_1^q(x,Q^2)$$



$$\delta u^{[0.0065,0.35]} = +0.30^{+0.08}_{-0.12}$$

$$\delta d^{[0.0065,0.35]} = -0.20^{+0.28}_{-0.11}$$



$$\Delta u = 0.787$$

$$Q^2 = 10 \text{ GeV}^2$$
  
90% C.L.

$$\Delta u = 0.787$$
  $\Delta d = -0.319$ 

## **Summary of lecture six**

- ☐ With the existing data from lepton-hadron and hadron-hadron collisions with polarized beams, we have a good idea on the quark/gluon helicity contribution to proton's spin
- ☐ Transversity and tensor charge are fundamental QCD quantities!
- □ But, EIC is a ultimate QCD machine, and absolutely needed:
  - 1) to discover and explore the quark/gluon structure and properties of hadrons and nuclei,
  - 2) to search for hints and clues of color confinement, and
  - 3) to measure the color fluctuation and color neutralization

In particular, EIC can determine the helicity contribution to proton's spin, and to answer the question if there is a need for orbital contribution

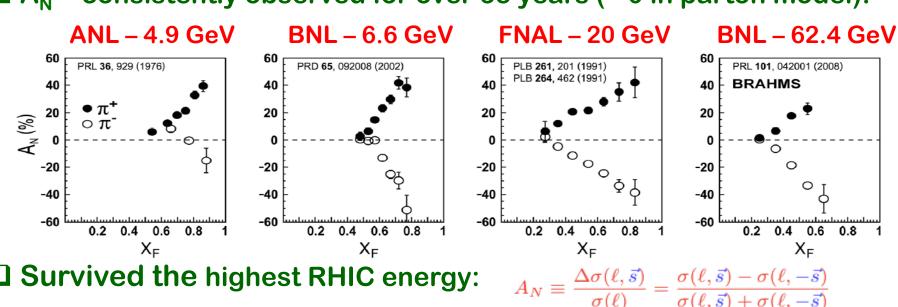
#### Thanks!

# **Backup slides**

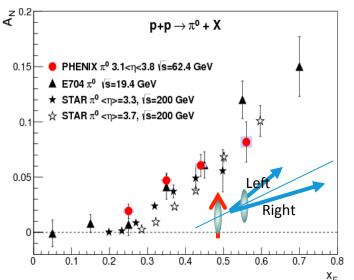
## **QCD** and hadrons

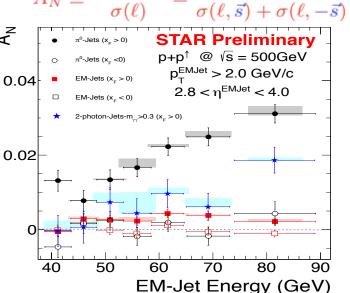
### Single transverse-spin asymmetry

 $\square$  A<sub>N</sub> - consistently observed for over 35 years (~ 0 in parton model)!



☐ Survived the highest RHIC energy:





#### Do we understand it?

Kane, Pumplin, Repko, PRL, 1978

☐ Early attempt:

Asymmetry:  $\sigma_{AB}(p_T, \vec{s}) - \sigma_{AB}(p_T, -\vec{s}) \equiv$ 

Too small to explain available data!

☐ What do we need?

$$A_N \propto i \vec{s}_p \cdot (\vec{p}_h \times \vec{p}_T) \Rightarrow i \epsilon^{\mu\nu\alpha\beta} p_{h\mu} s_{\nu} p_{\alpha} p'_{h\beta}$$

Need a phase, a spin flip, enough vectors

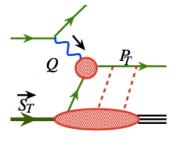
□ Vanish without parton's transverse motion:

A direct probe for parton's transverse motion,

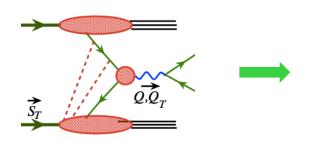
Spin-orbital correlation, QCD quantum interference

### **Current understanding of SSAs**

□ Two scales observables –  $Q_1 >> Q_2 \sim \Lambda_{QCD}$ :



SIDIS: Q>>PT

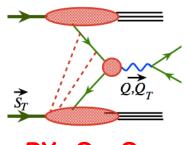


DY:  $Q >> Q_T$ 

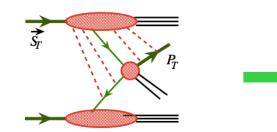
TMD factorization TMD distributions

Direct information on parton  $k_T$ 

□ One scale observables –  $Q >> \Lambda_{QCD}$ :



DY:  $Q \sim Q_T$ 

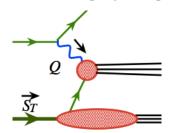


Jet, Particle: P<sub>T</sub>

Collinear factorization
Twist-3 distributions

Information on moments of parton  $k_T$ 

☐ Symmetry plays important role:



Inclusive DIS Single scale Q Parity
Time-reversal

$$\rightarrow$$
 A<sub>N</sub> = 0