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# The impact of sterile neutrinos on CP measurements at long baselines

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(Work done with Debajyoti Dutta, Boris Kayser, Mehedi Masud and Suprabh Prakash)

(Based on JHEP 1511 (2015) 039 ; arXiv:1508.06275 and 1607.02152 )

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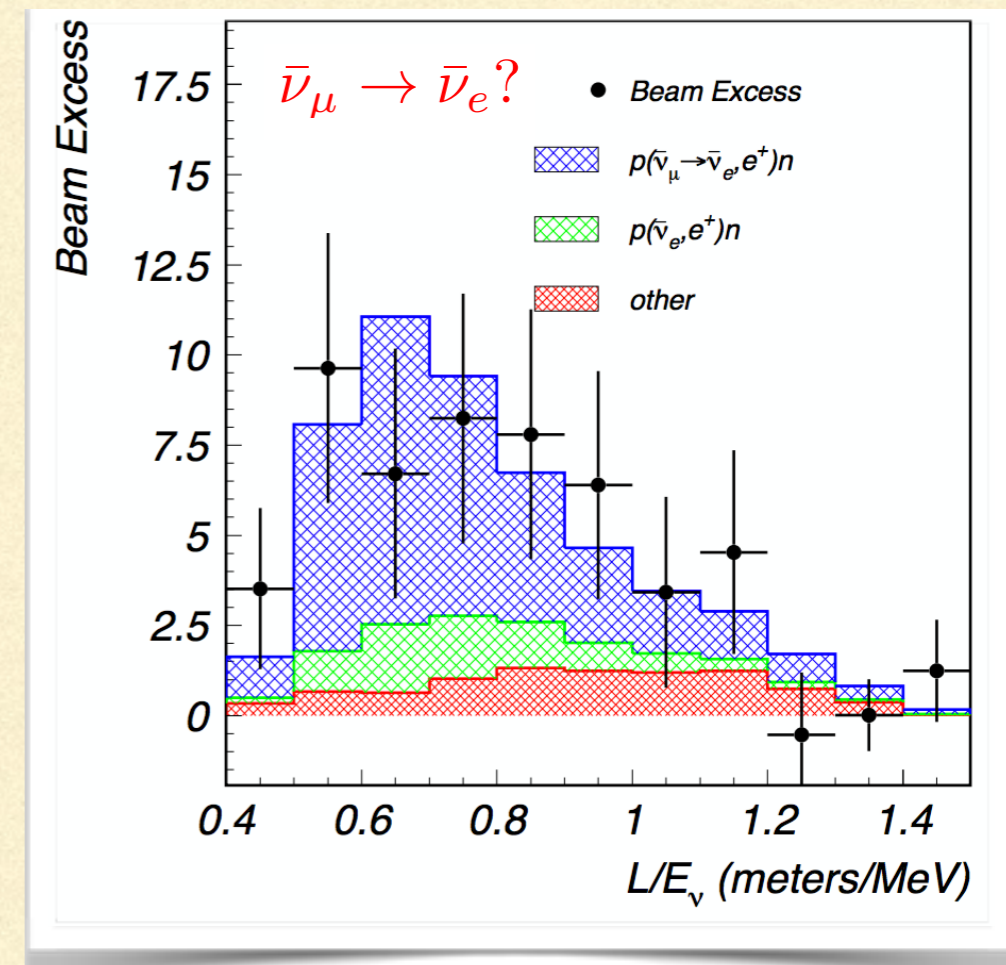
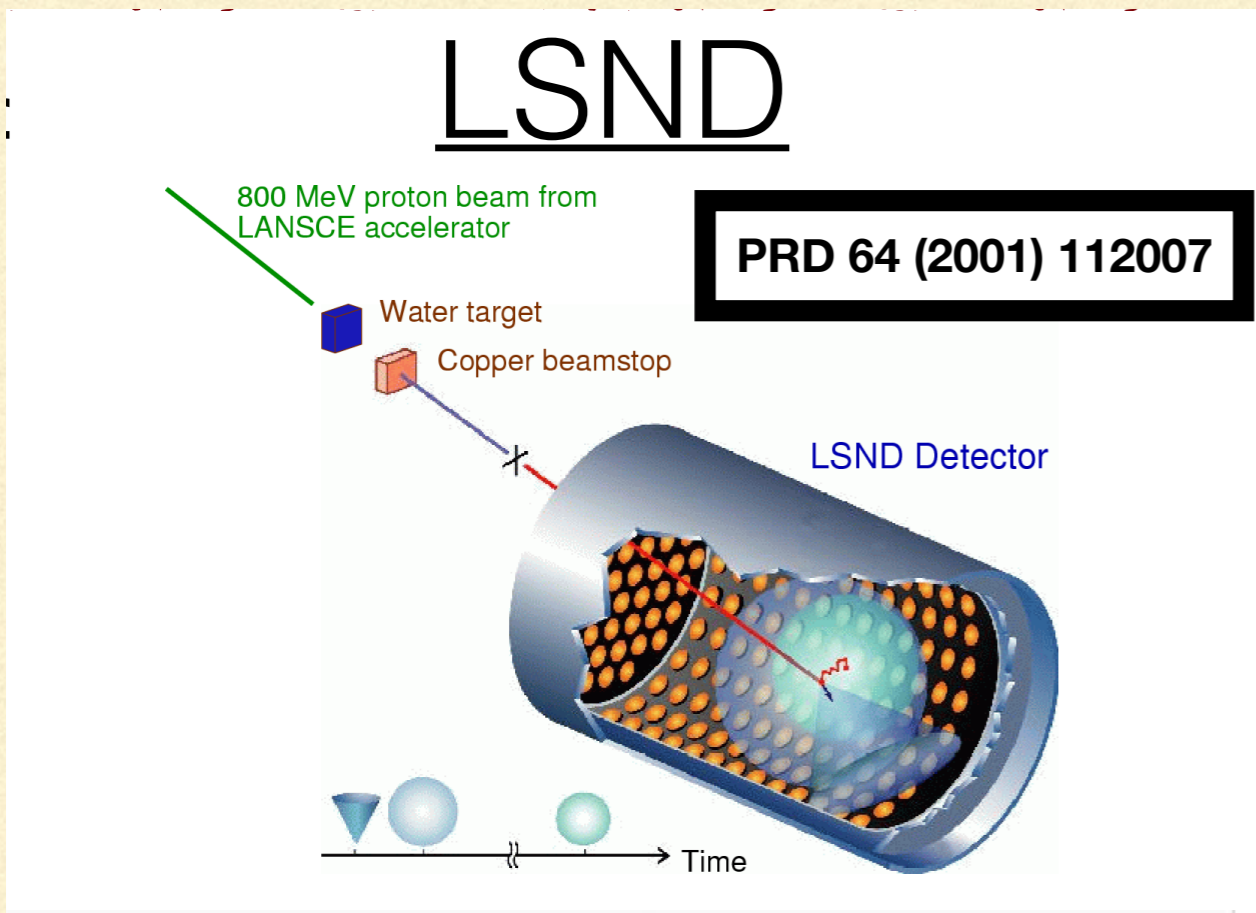




# Short baseline experiments indicating presence of sterile neutrinos .....

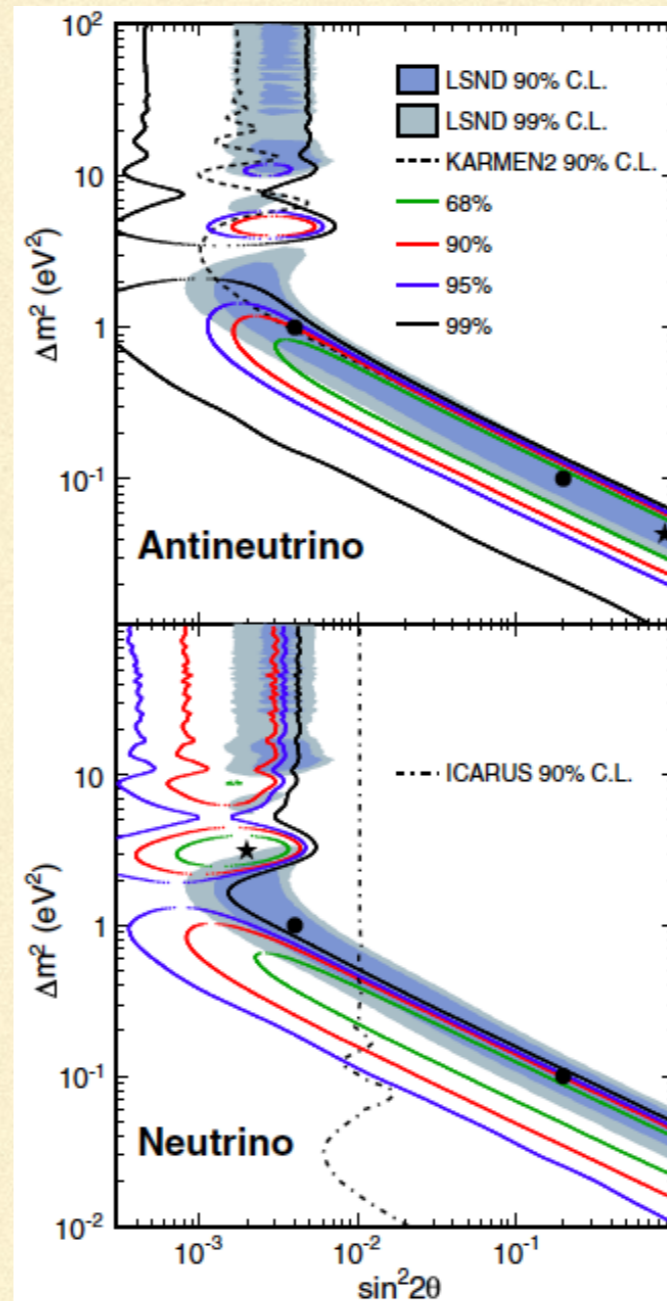
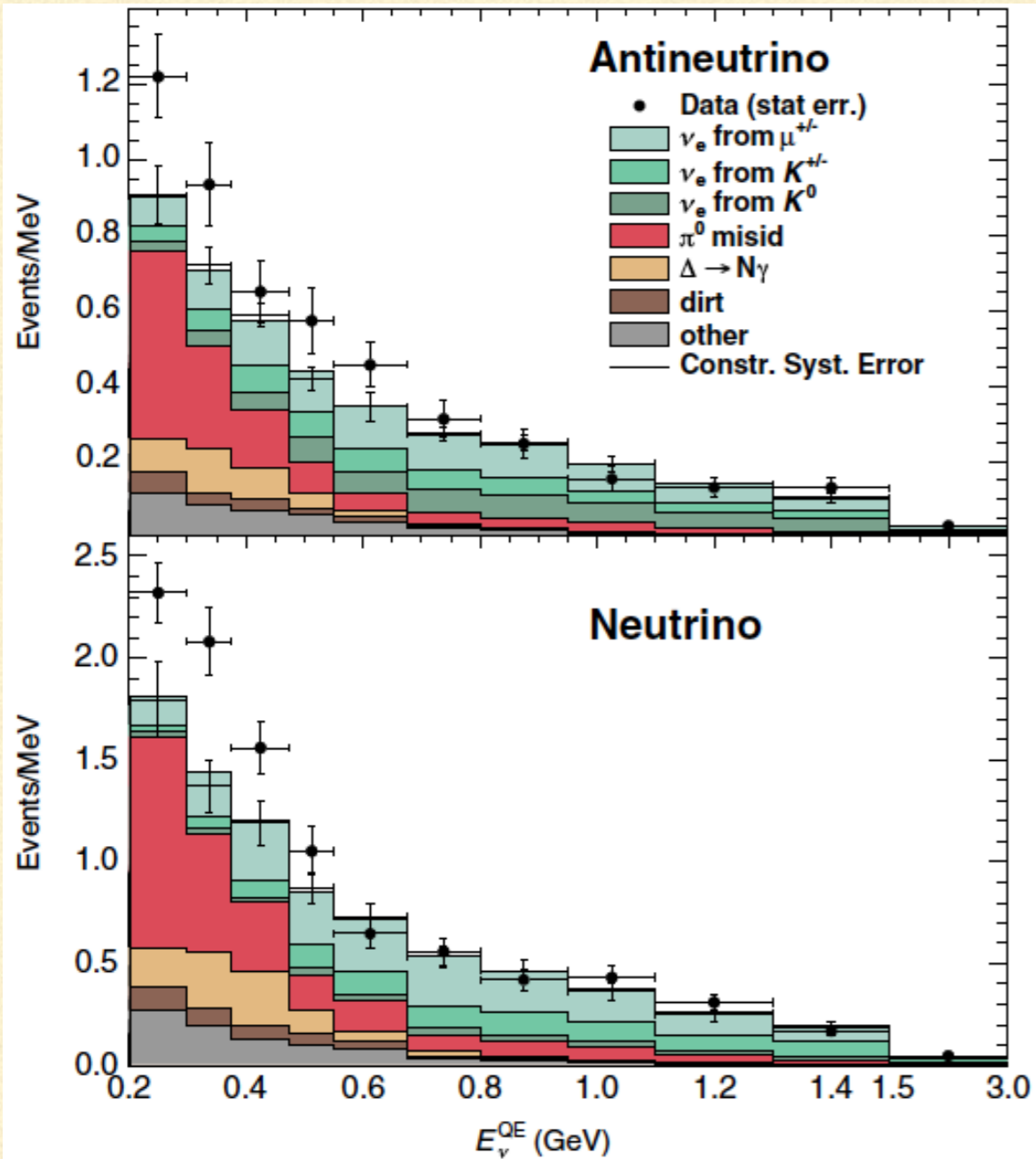
- a) LSND
- b) MiniBooNE
- c) Reactor Anomaly
- d) Ga, Ar source Anomalies

Liquid Scintillator,  $L = 31$   
m,  $E \sim < 50$  MeV





# MiniBooNE, to test LSND.....

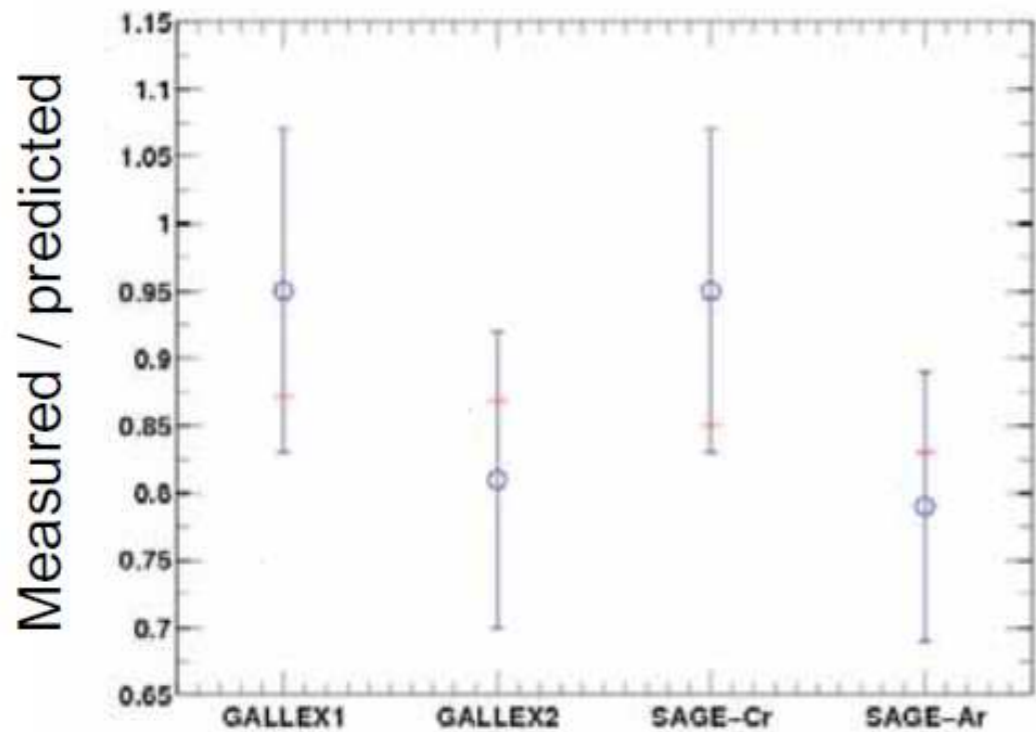


MiniBooNE, mineral oil based Cherenkov detector,  $L=540$  m,  $E > 200$  meV

Anti-nu data more consistent with LSND than Nu data

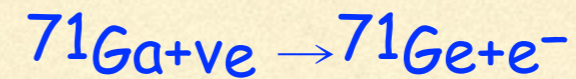
Un-understood excess at low energies in both nu and anti-nu mode, not expected in oscillation hypothesis



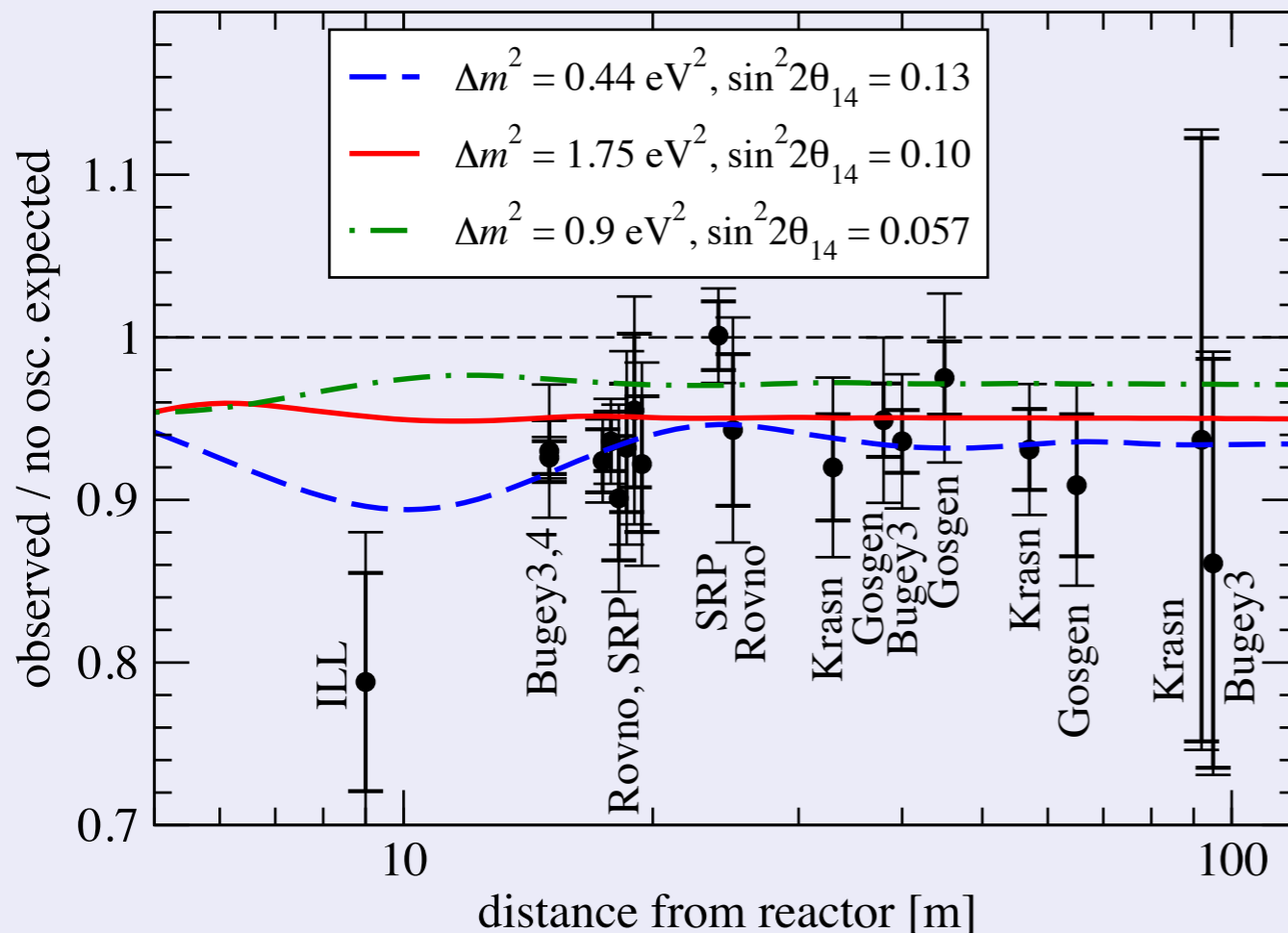


Calibration experiments for solar neutrino detectors using radioactive sources find lower than expected electron neutrino fluxes

Neutrino detection via

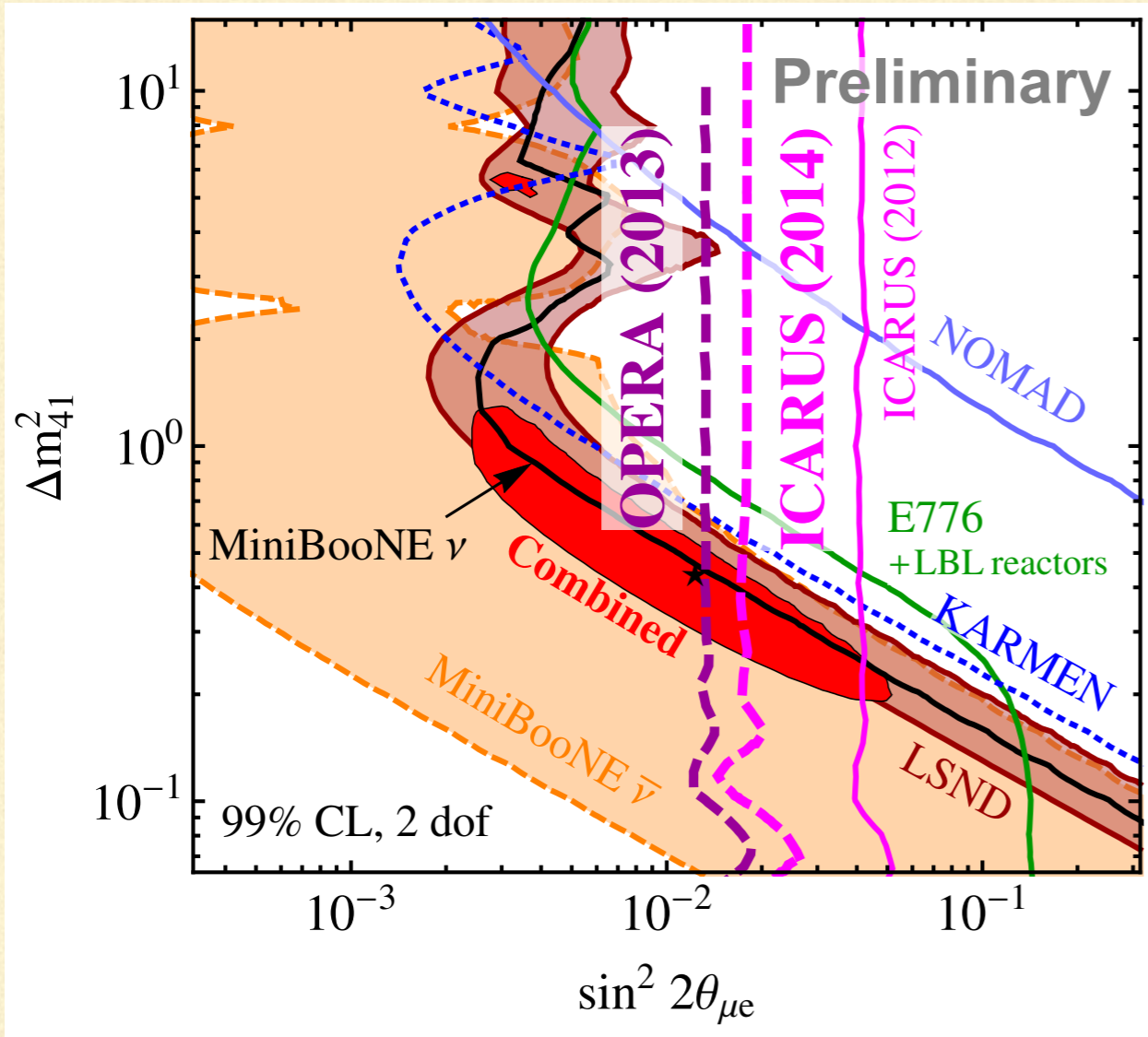


Observation: Neutrino deficit ( $\sim 3\sigma$ )



Recent re-calculations of reactor anti-neutrino fluxes have led to about a 3.5% increase in flux predictions, raising speculations of oscillations





All appearance data when combined identify a region in the  $\sim 1 \text{ eV}^2$  neighbourhood

In tension with disappearance data

Combining everything in a 3+1 scenario gives the following ranges for the new mixings (95% CL)

$$\theta_{24} \in [0, 11^\circ], \theta_{34} \in [0, 31^\circ]$$

$$\theta_{14} \in [0, 20^\circ].$$



# Recent constraints on LSND and MiniBooNE allowed space .....

$$|U_{e4}|^2 = \sin^2 \theta_{14},$$

$$|U_{\mu 4}|^2 = \sin^2 \theta_{24} \cos^2 \theta_{14},$$

$$4|U_{e4}|^2|U_{\mu 4}|^2 = \sin^2 2\theta_{14} \sin^2 \theta_{24}$$

$$\equiv \sin^2 2\theta_{\mu e}.$$

$|U_{e4}|^2$  constrained by electron antineutrino disappearance, (Daya Bay and Bugey-3 )

$|U_{\mu 4}|^2$  constrained by measurements of muon neutrino and antineutrino disappearance, ( MINOS )

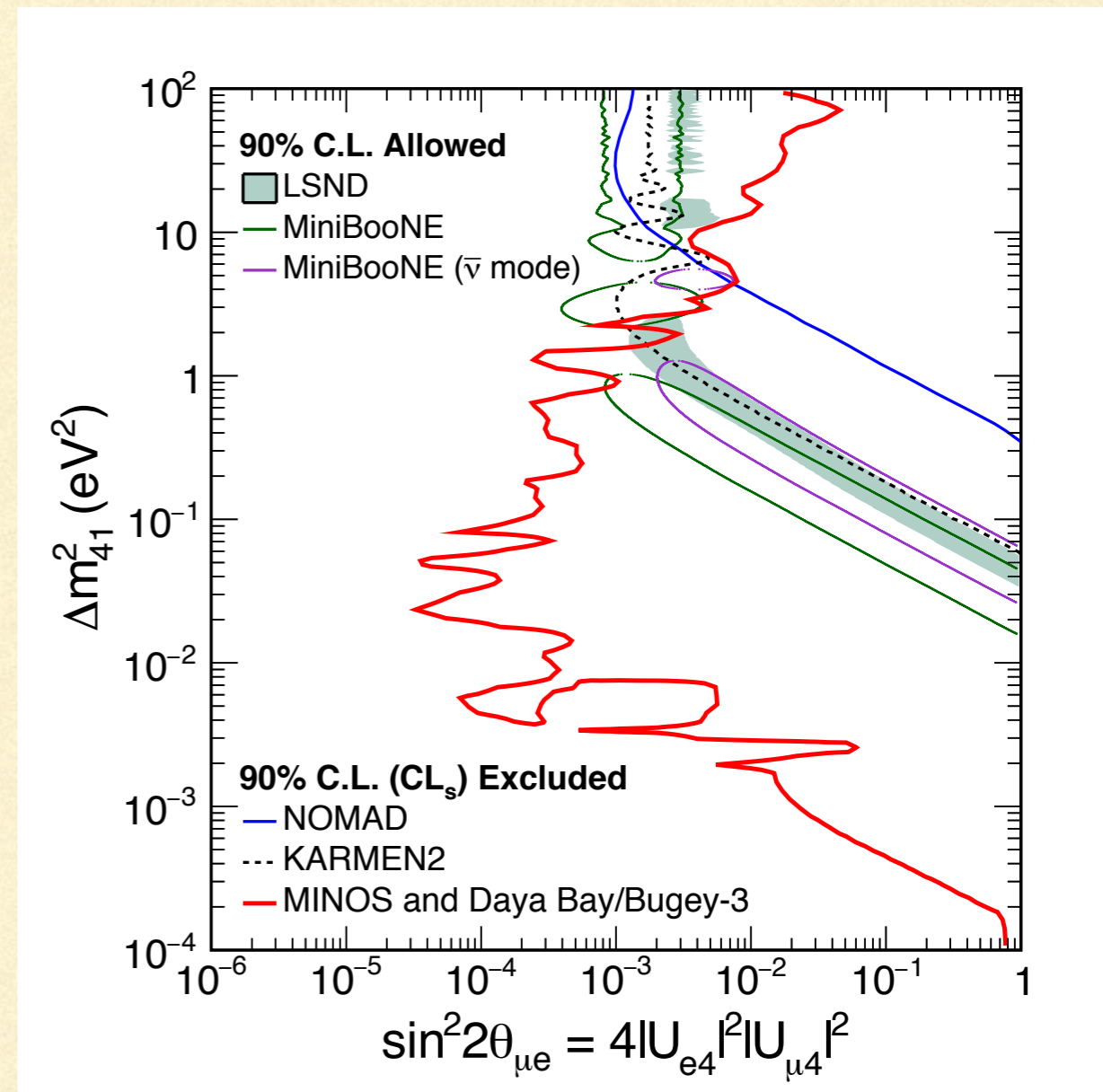
This is used to constrain the appearance

$$4|U_{e4}|^2|U_{\mu 4}|^2 = \sin^2 2\theta_{14} \sin^2 \theta_{24}$$

$$\equiv \sin^2 2\theta_{\mu e}.$$

MINOS, Daya-Bay/  
BUGEY combined

1607.01177





Recent constraints on LSND and MiniBooNE allowed space .....

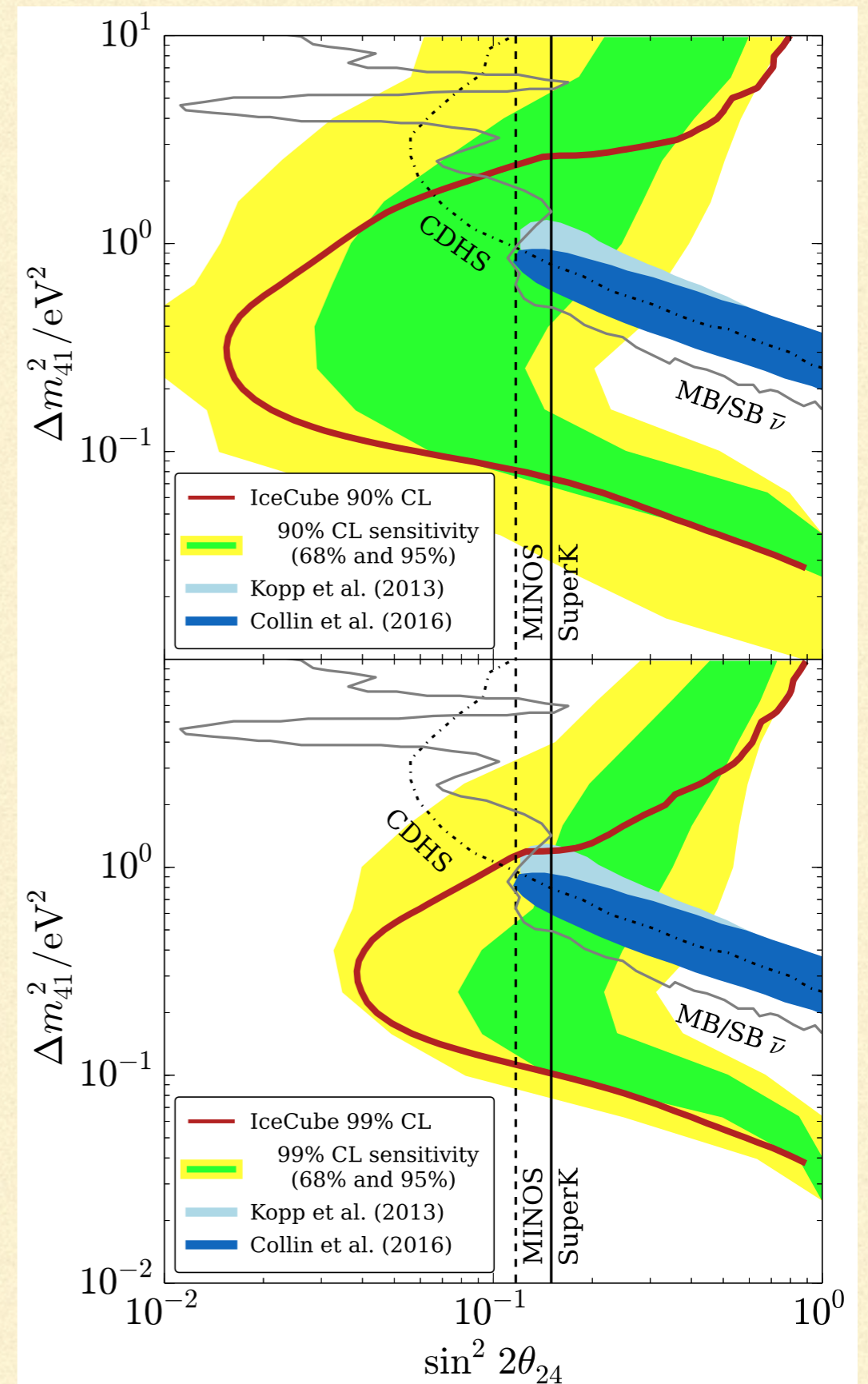
atmospheric muon/antimuon neutrino spectrum as a function of zenith angle and energy in the approximate 320 GeV to 20 TeV range

IceCube, muon survival  
1605.01990

MSW resonance and matter enhancement in muon antineutrinos due to sterile nu expected over the energy range leading to dip in the muon spectrum. (Not seen)

The allowed region from global analysis of appearance experiments, including LSND and MiniBooNE, is excluded at approximately the 99% confidence level for the global best fit value of  $|U_{e4}|^2$ .

$\theta_{34}$  held to zero, since that provides the most conservative bound,  $\theta_{14}$  varied in its range





The recent results from IceCube , Daya Bay, Bugey and MINOS strongly disfavor 3+1 as a solution to the LSND/MiniBoone anomalies.

The work described here uses 3+1 not as a explanation for existing data, but as the simplest possible case that can be used to study the generic effects of sterile neutrinos on long baseline experiments.

All existing constraints are incorporated in the parameter ranges we vary over for the purpose of doing calculations.

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# 3+0 and 3+1.....

$$P(\nu_\mu \rightarrow \nu_e) = P_I(\nu_\mu \rightarrow \nu_e) + P_{II}(\nu_\mu \rightarrow \nu_e) + P_{III}(\nu_\mu \rightarrow \nu_e) + \text{matter} + \text{smaller terms}$$

"atmospheric" term, large

$$P_I(\nu_\mu \rightarrow \nu_e) = \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E_\nu} \right)$$

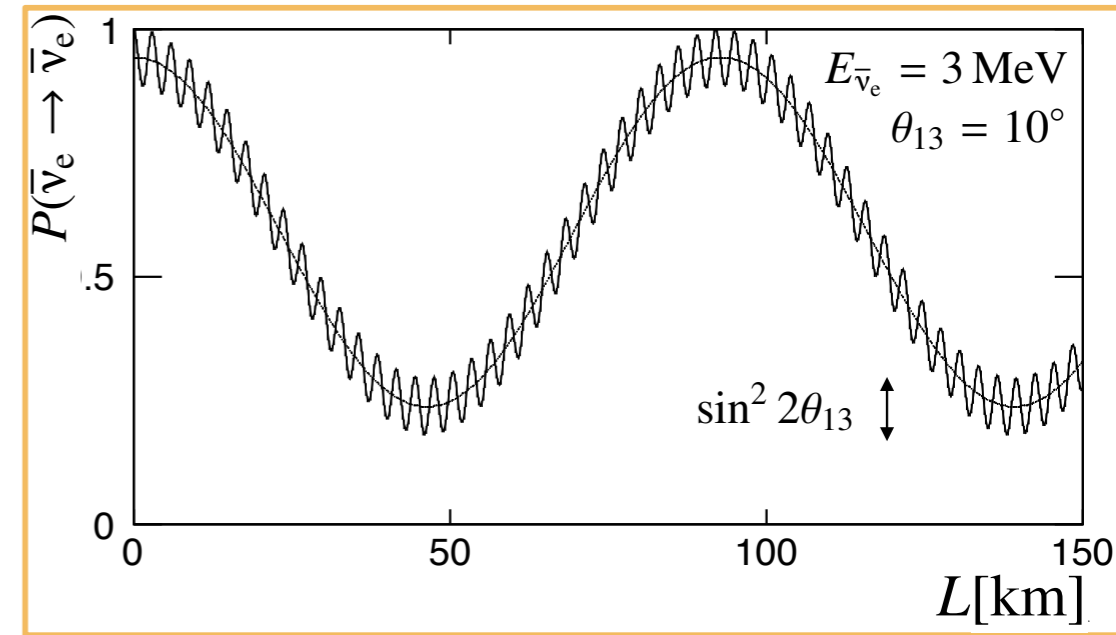
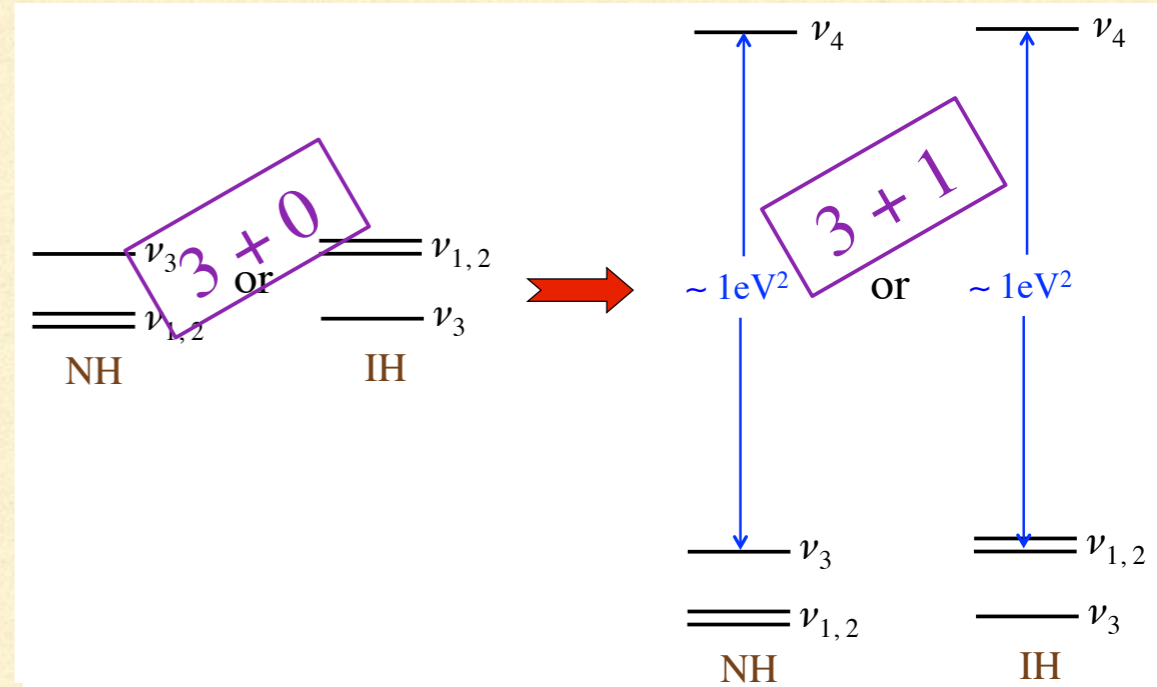
$$P_{II}(\nu_\mu \rightarrow \nu_e) = \frac{1}{2} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \cos \theta_{13}$$

"interference" term, CP dependent

$$\sin \left( \frac{\Delta m_{21}^2 L}{2E_\nu} \right) \times \left[ \sin \delta \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E_\nu} \right) + \cos \delta \sin \left( \frac{\Delta m_{31}^2 L}{4E_\nu} \right) \cos \left( \frac{\Delta m_{31}^2 L}{4E_\nu} \right) \right]$$

$$P_{III}(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta_{12} \cos^2 \theta_{13} \cos^2 \theta_{23} \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E_\nu} \right)$$

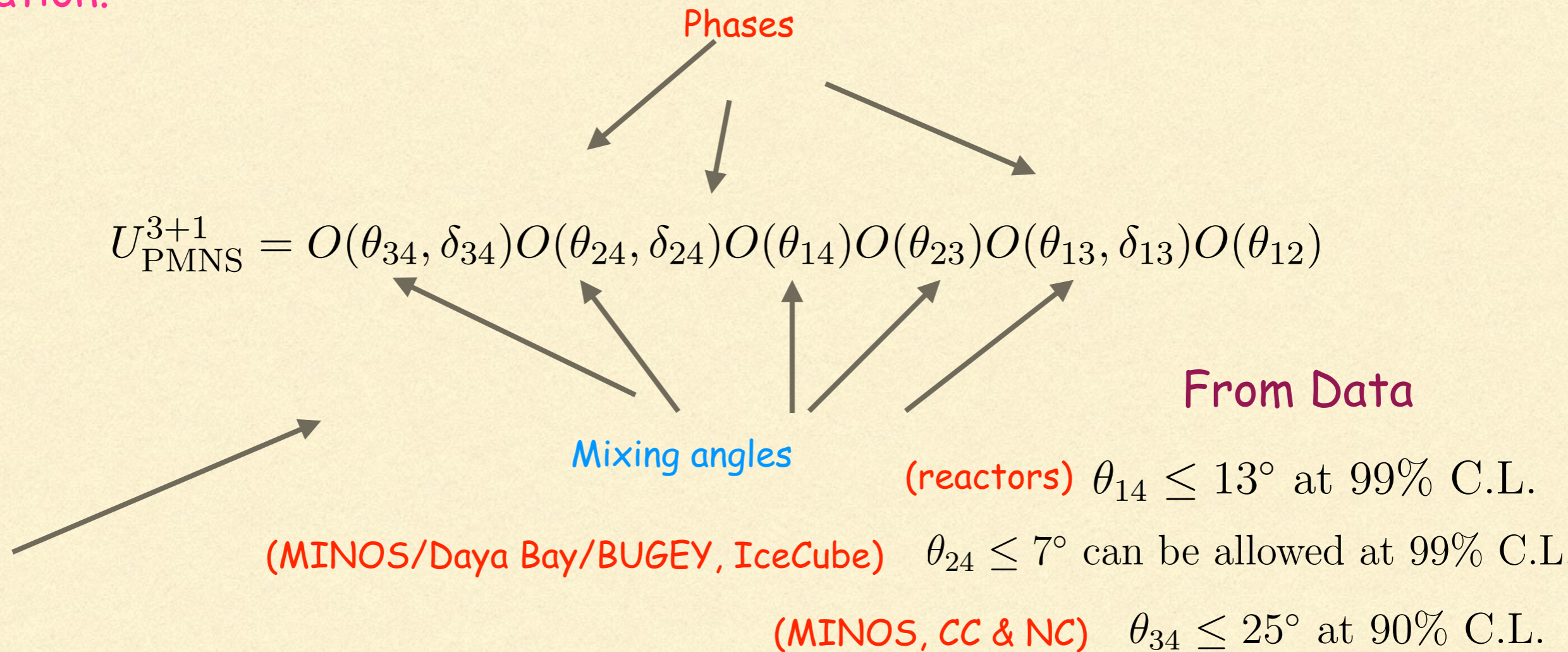
"solar" term, small



Thus, projections/predictions of sensitivities of LBL experiments have tended to ignore the effects of short wavelength oscillations so far, since it is expected that the finite energy resolution of a LBL detector will not probe them.



The introduction of 1 sterile neutrino to the standard 3 family picture leads to 6 mixing angles (instead of 3) and 3 CP phases (instead of 1) which affect oscillation.



**Study the impact of these on CP measurements at long baselines**

Related work: Hollander and Mocioiu, 1408.1749; Klop and Palazzo, 1412.7524; Berryman et al, 1507.03986; Agarwalla et al, 1603.03759



## P<sub>μe</sub> in vacuum for 3+1

$$\begin{aligned}
 P_{\mu e}^{4\nu} &= \frac{1}{2} \sin^2 2\theta_{\mu e}^{4\nu} \\
 &+ (a^2 \sin^2 2\theta_{\mu e}^{3\nu} - \frac{1}{4} \sin^2 2\theta_{13} \sin^2 2\theta_{\mu e}^{4\nu}) [\cos^2 \theta_{12} \sin^2 \Delta_{31} + \sin^2 \theta_{12} \sin^2 \Delta_{32}] \\
 &+ \cos(\delta_{13}) b a^2 \sin 2\theta_{\mu e}^{3\nu} [\cos 2\theta_{12} \sin^2 \Delta_{21} + \sin^2 \Delta_{31} - \sin^2 \Delta_{32}] \\
 &+ \cos(\delta_{24}) b a \sin 2\theta_{\mu e}^{4\nu} [\cos 2\theta_{12} \cos^2 \theta_{13} \sin^2 \Delta_{21} - \sin^2 \theta_{13} (\sin^2 \Delta_{31} - \sin^2 \Delta_{32})] \\
 &+ \cos(\delta_{13} + \delta_{24}) a \sin 2\theta_{\mu e}^{3\nu} \sin 2\theta_{\mu e}^{4\nu} \left[ -\frac{1}{2} \sin^2 2\theta_{12} \cos^2 \theta_{13} \sin^2 \Delta_{21} \right. \\
 &\quad \left. + \cos 2\theta_{13} (\cos^2 \theta_{12} \sin^2 \Delta_{31} + \sin^2 \theta_{12} \sin^2 \Delta_{32}) \right] \\
 &- \frac{1}{2} \sin(\delta_{13}) b a^2 \sin 2\theta_{\mu e}^{3\nu} [\sin 2\Delta_{21} - \sin 2\Delta_{31} + \sin 2\Delta_{32}] \\
 &+ \frac{1}{2} \sin(\delta_{24}) b a \sin 2\theta_{\mu e}^{4\nu} [\cos^2 \theta_{13} \sin 2\Delta_{21} + \sin^2 \theta_{13} (\sin 2\Delta_{31} - \sin 2\Delta_{32})] \\
 &+ \frac{1}{2} \sin(\delta_{13} + \delta_{24}) a \sin 2\theta_{\mu e}^{3\nu} \sin 2\theta_{\mu e}^{4\nu} [\cos^2 \theta_{12} \sin 2\Delta_{31} + \sin^2 \theta_{12} \sin 2\Delta_{32}] \\
 &+ (b^2 a^2 - \frac{1}{4} a^2 \sin^2 2\theta_{12} \sin^2 2\theta_{\mu e}^{3\nu} - \frac{1}{4} \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 2\theta_{\mu e}^{4\nu}) \sin^2 \Delta_{21}
 \end{aligned} \tag{2.3}$$

large  
interference  
terms

Note that  $\delta_{34}$   
and  $\theta_{34}$  do not  
appear, and  
 $\theta_{14}$  and  $\theta_{24}$   
appear in a  
multiplicative  
combination

where

$$\sin 2\theta_{\mu e}^{3\nu} = \sin 2\theta_{13} \sin \theta_{23} \tag{2.4}$$

$$b = \cos \theta_{13} \cos \theta_{23} \sin 2\theta_{12} \tag{2.5}$$

$$\sin 2\theta_{\mu e}^{4\nu} = \sin 2\theta_{14} \sin \theta_{24} \tag{2.6}$$

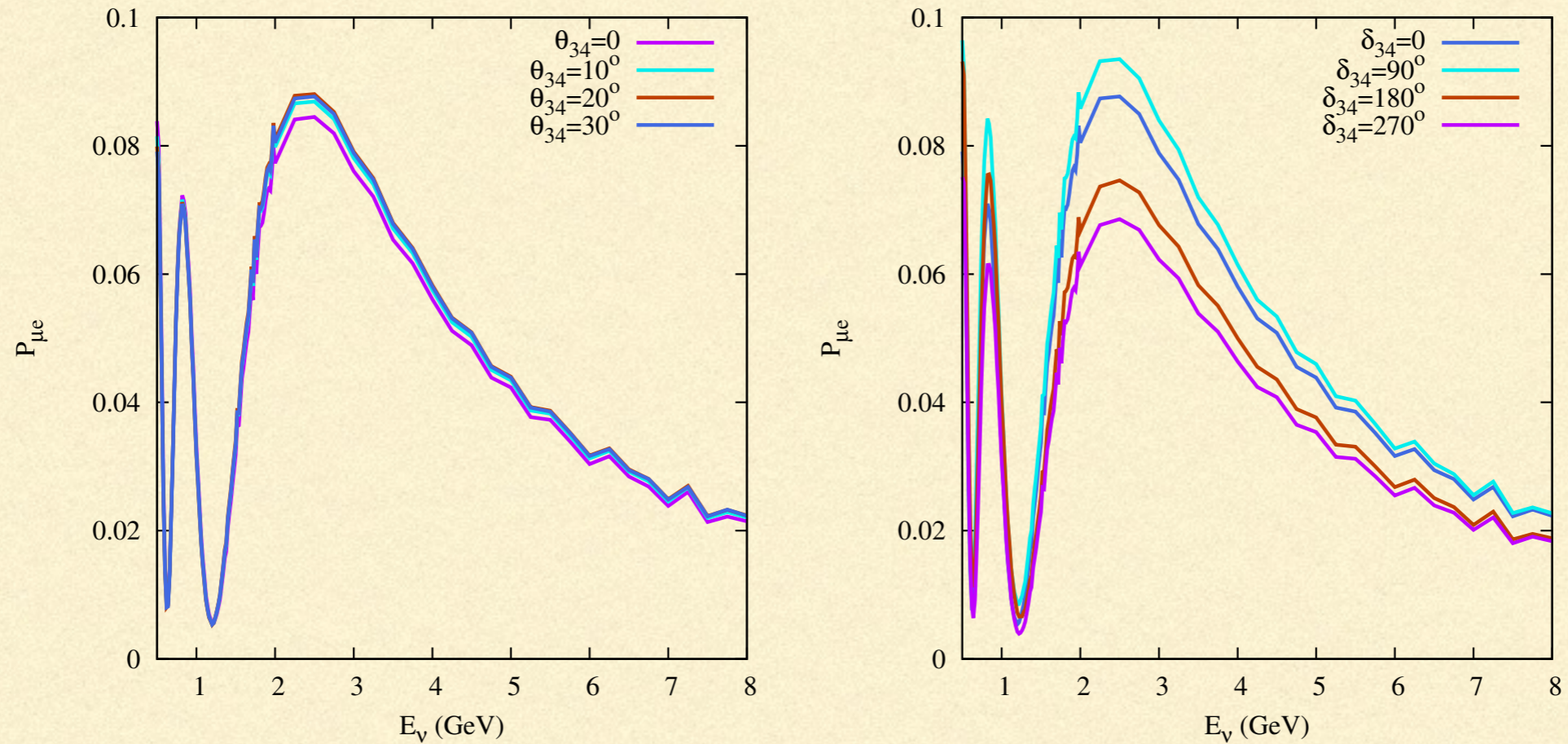
$$a = \cos \theta_{14} \cos \theta_{24} \tag{2.7}$$

$$\tag{2.8}$$

Two reasons why short-wavelength effects may not be as benign as assumed: a) phases, when non-zero, may make interference terms larger and b) matter, which redefines the eigenstates, may introduce large changes to overall probabilities



# 3+1 effects at LBL.... matter brings in new aspects



**Figure 1:**  $P_{\mu e}$  vs  $E_{\nu}$  (GeV) plots in earth matter for 1300 km, generated using GLoBES. Averaging has been done for  $\Delta m_{4i}^2$  induced oscillations. In the left panel, the effect of varying  $\theta_{34}$  within its allowed range has been shown with all the CP phases kept equal to 0. We set  $\theta_{14} = 20^\circ$ ,  $\theta_{24} = 10^\circ$ . In the right panel, we show the effect of varying the CP violating phase  $\delta_{34}$  when  $\theta_{34} = 30^\circ$ . Other phases were set equal to 0.  $\theta_{14}$  and  $\theta_{24}$  set same as the left panel and parameters related to the 3+0 sector have been set at best-fit values specified in Section 3

Parameters which are dormant in vacuum play significant roles once matter effects come in

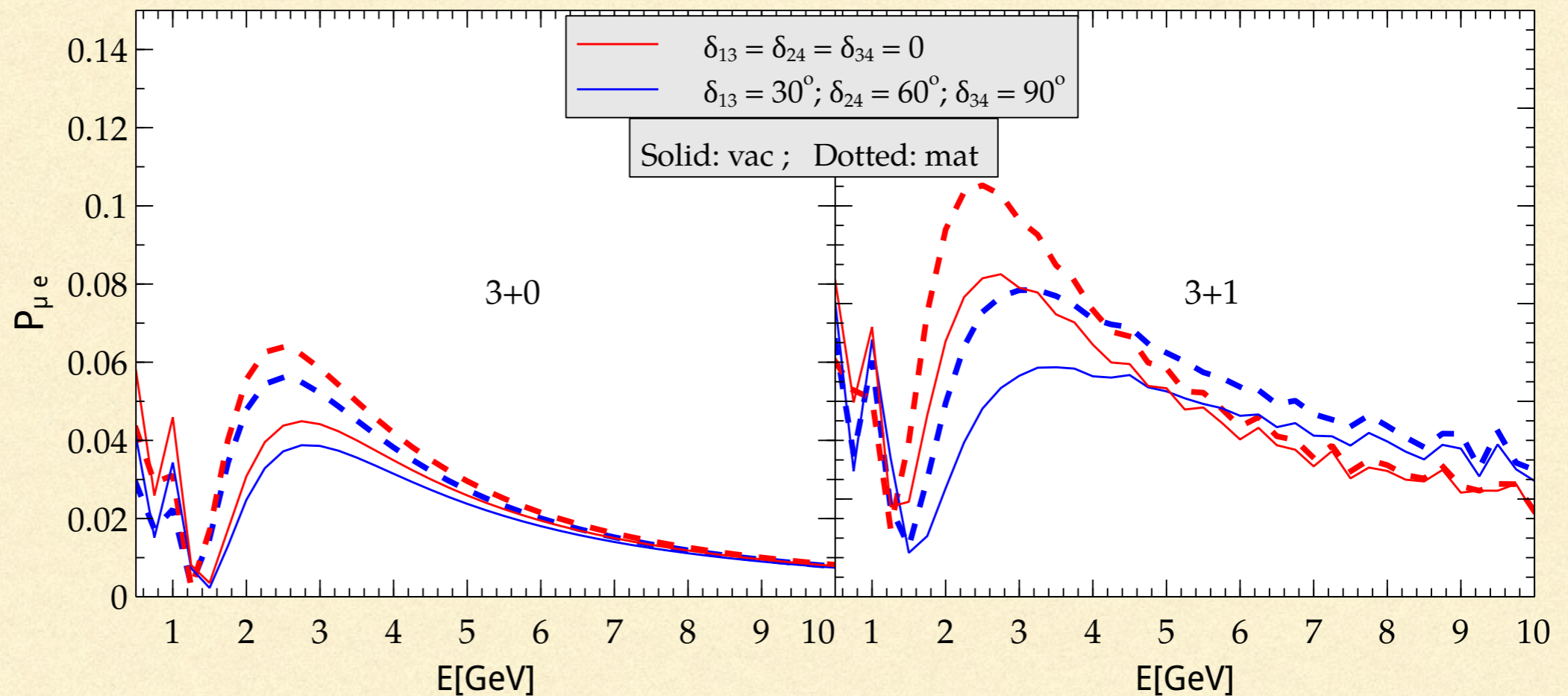


# 3+1 effects at LBL..... phases and matter brings in large effects

Solid: vacuum  
Dashed: matter

Red: no CPV  
Blue: CPV

Left panel: 3+0  
Rt. panel: 3+1



**Figure 2:**  $P_{\mu e}$  (both for vacuum and matter) for 3+0 (left panel) and 3+1 (right panel) scenarios is shown as a function of energy. The red curves represent the CP conserving case, while the blue ones depict the case with phases set to non-zero fixed values (see the plot label). For the blue curve in the left panel, the relevant phase  $\delta_{CP}$  was taken as  $30^\circ$ . Normal hierarchy is taken to be the true hierarchy here, and parameters related to the 3+0 sector have been set at best-fit values specified in Section 3

## Conclusions:

**Left vs Right:** Even at LBL, a sterile  $\nu$  causes large differences in amplitude

**Red vs Blue:** Phases play important role, more so in 3+1

**Solid vs Dashed:** matter plays an important role, more so in 3+1



# Matter-Antimatter asymmetries in 3+0 and 3+1

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$$A_{\nu\bar{\nu}}^{\alpha\beta} = \frac{P(\alpha \rightarrow \beta) - P(\bar{\alpha} \rightarrow \bar{\beta})}{P(\alpha \rightarrow \beta) + P(\bar{\alpha} \rightarrow \bar{\beta})} = \frac{\Delta P_{\alpha\beta}}{P(\alpha \rightarrow \beta) + P(\bar{\alpha} \rightarrow \bar{\beta})}$$

In the 3+0 scenario, there are three independent CP violating differences,  
 $\Delta P_{e\mu}$ ,  $\Delta P_{\mu\tau}$  and  $\Delta P_{\tau e}$

From Conservation of probability,  $\Sigma P_{\alpha\beta} = 1$ ,  $\Sigma P^{\text{bar}}_{\alpha\beta} = 1$ ,

Thus,  $P_{e\mu} + P_{e\tau} + P_{ee} = P^{\text{bar}}_{e\mu} + P^{\text{bar}}_{e\tau} + P^{\text{bar}}_{ee} = 1$  and, by CPT,  $P_{ee} = P^{\text{bar}}_{ee}$

and  $\Delta P_{e\mu} = \Delta P_{\tau e}$

Similarly,  $\Delta P_{\tau e} = \Delta P_{\mu\tau}$ .

Thus, CP violation in each channel is equal in 3+0, and in particular, if CP is conserved in one channel, it must be conserved overall.

However, if there are 4 neutrinos, one gets, via this reasoning, relations like

$$\Delta P_{e\mu} = \Delta P_{\tau e} + \Delta P_{se} \text{ etc}$$

Thus, CP violation in the "active" channels need not be equal in 3+1, it may very well happen that if CP may be conserved in one channel, while having large violations in other difficult to measure channels.

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# Matter-Antimatter asymmetries in 3+0 and 3+1 for DUNE

Red: 3+0

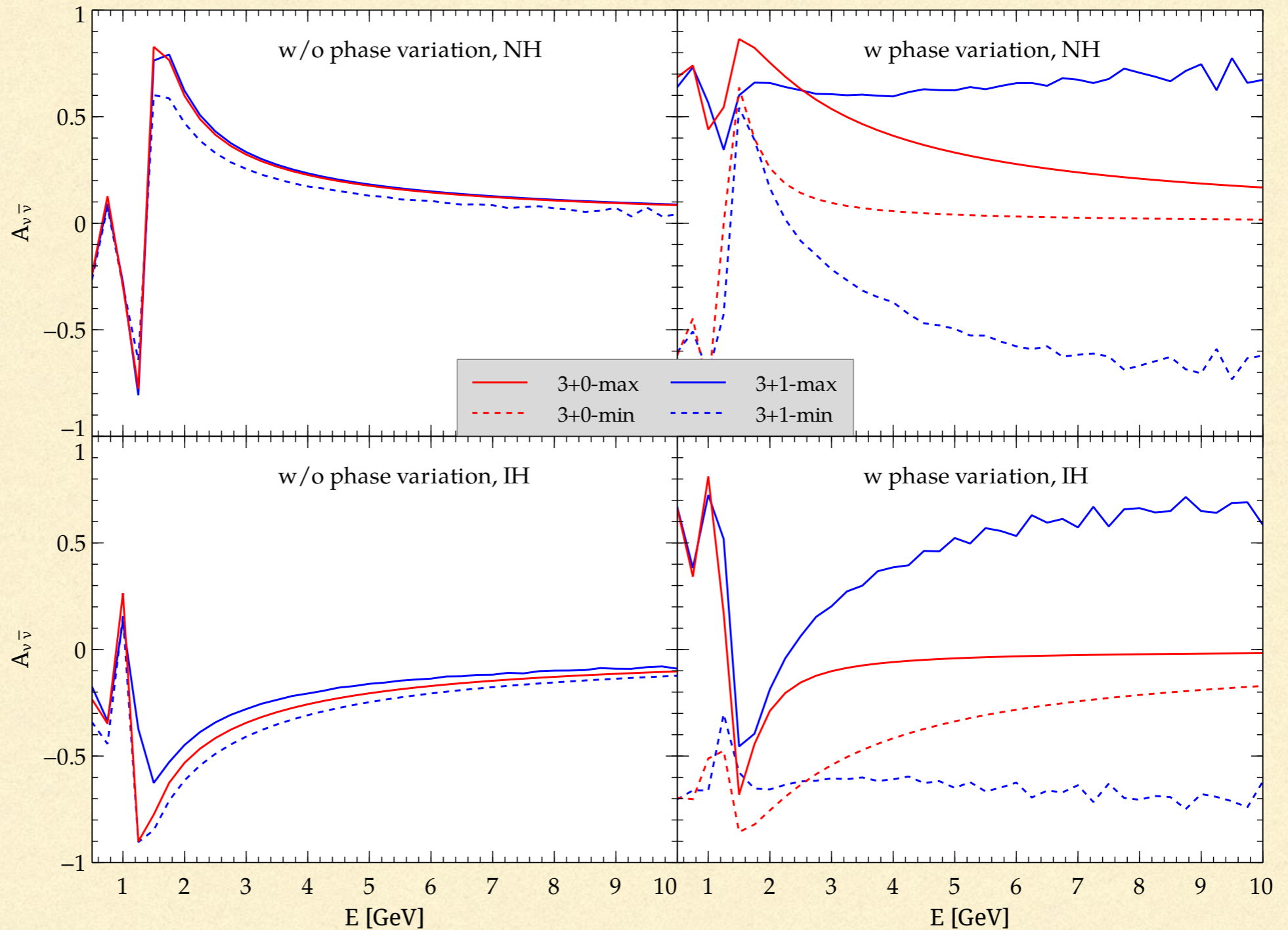
Blue: 3+1

Left panels: all phases zero  
Rt. panels: all phases running

Solid: Max integrated asymm  
Dashed: Min integrated asymm

Top : NH

Bottom: IH



Thus, if an experiment sees CP asymmetries consistently outside of the red/blue left panels, one can conclude that CP must be violated, though its origin may remain uncertain.

However, if one sees asymmetry lying within the bands of the left panels, then it is not obvious that it is conserved.



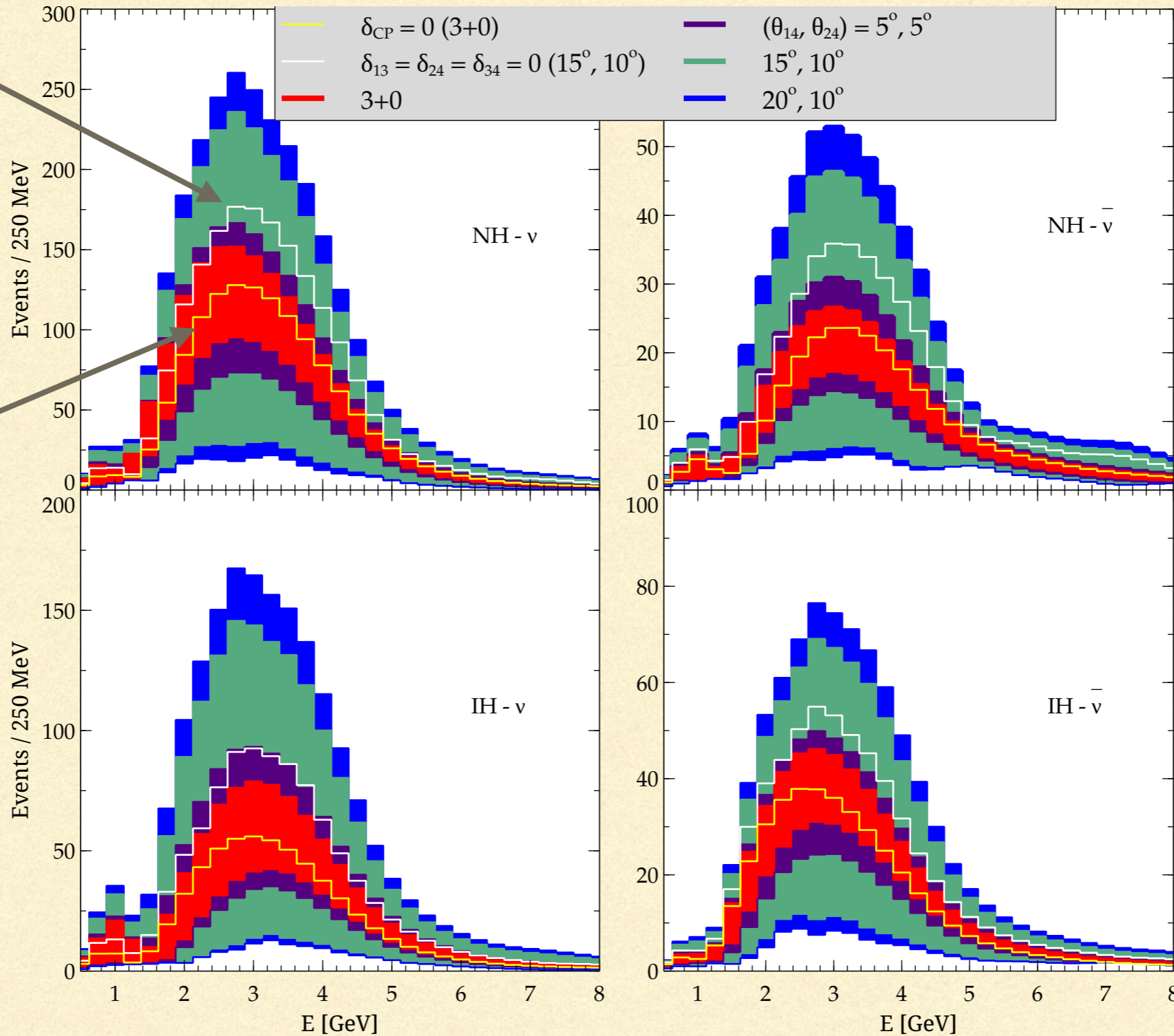
# Event-rate spreads in 3+0 and 3+1 for DUNE

(White) No CPV  
in  $\mu e$  channel :  
3+1

(Yellow) No  
CPV: 3+0

Left panel:  
neutrino events  
Rt. panel: anti-  
neutrino events

Upper  
panels: NH  
Lower  
panels: IH





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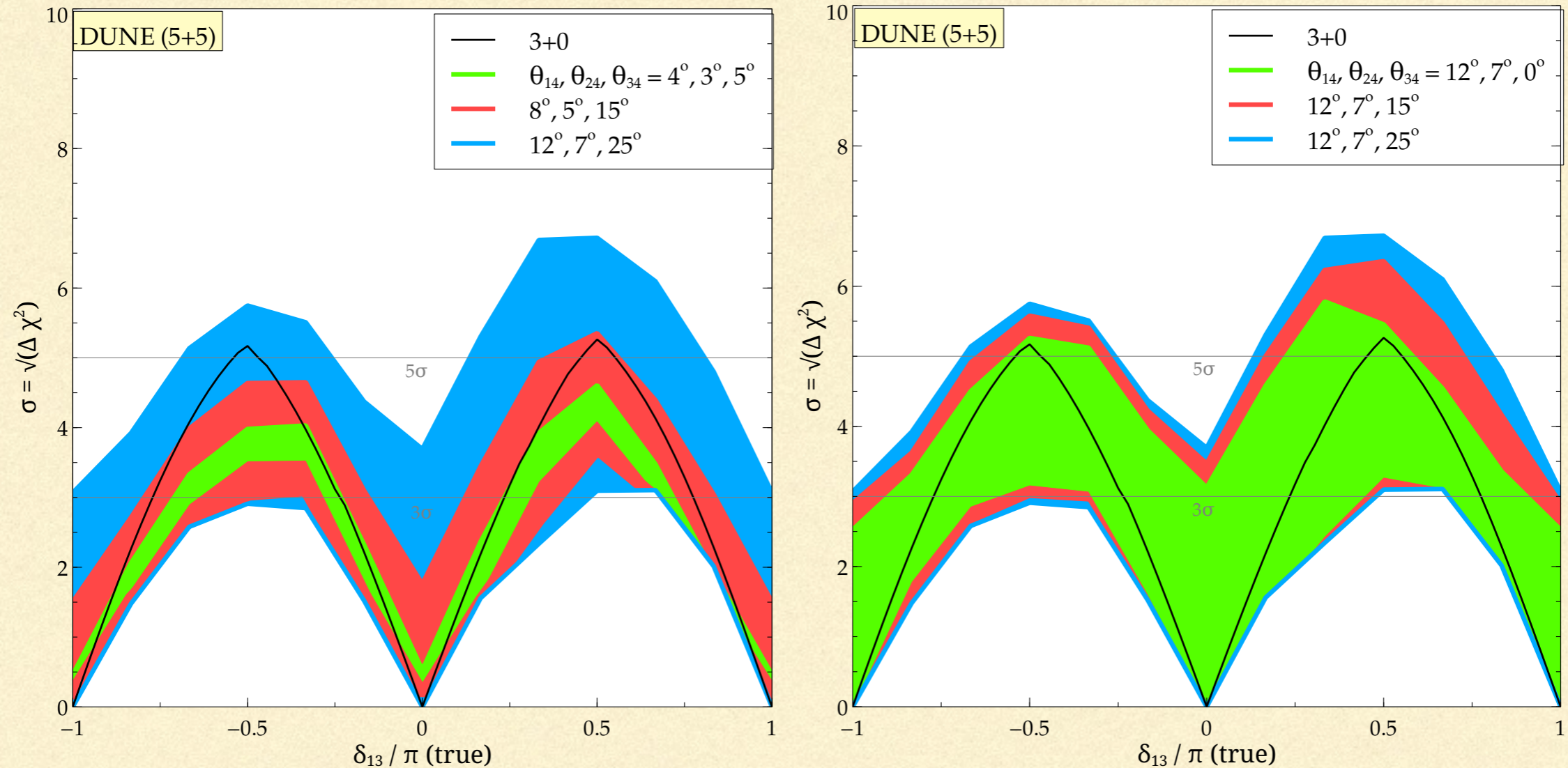
Why is the the effect of a fourth, sterile neutrino on CP violation at long baselines unexpectedly large?

CP-violating phases affect physics through interferences between amplitudes. These effects get amplified by matter.

Around the first maximum of the atmospheric- wavelength oscillation, where the long-baseline experiments work, the (new, short wavelength oscillation) - (atmospheric-wavelength oscillation) interference, and the (atmospheric-wavelength oscillation) - (solar-wavelength oscillation) interference, can easily be of comparable size. Then, if the CP phases are right,  $3+1$  can be quite different from  $3+0$ .

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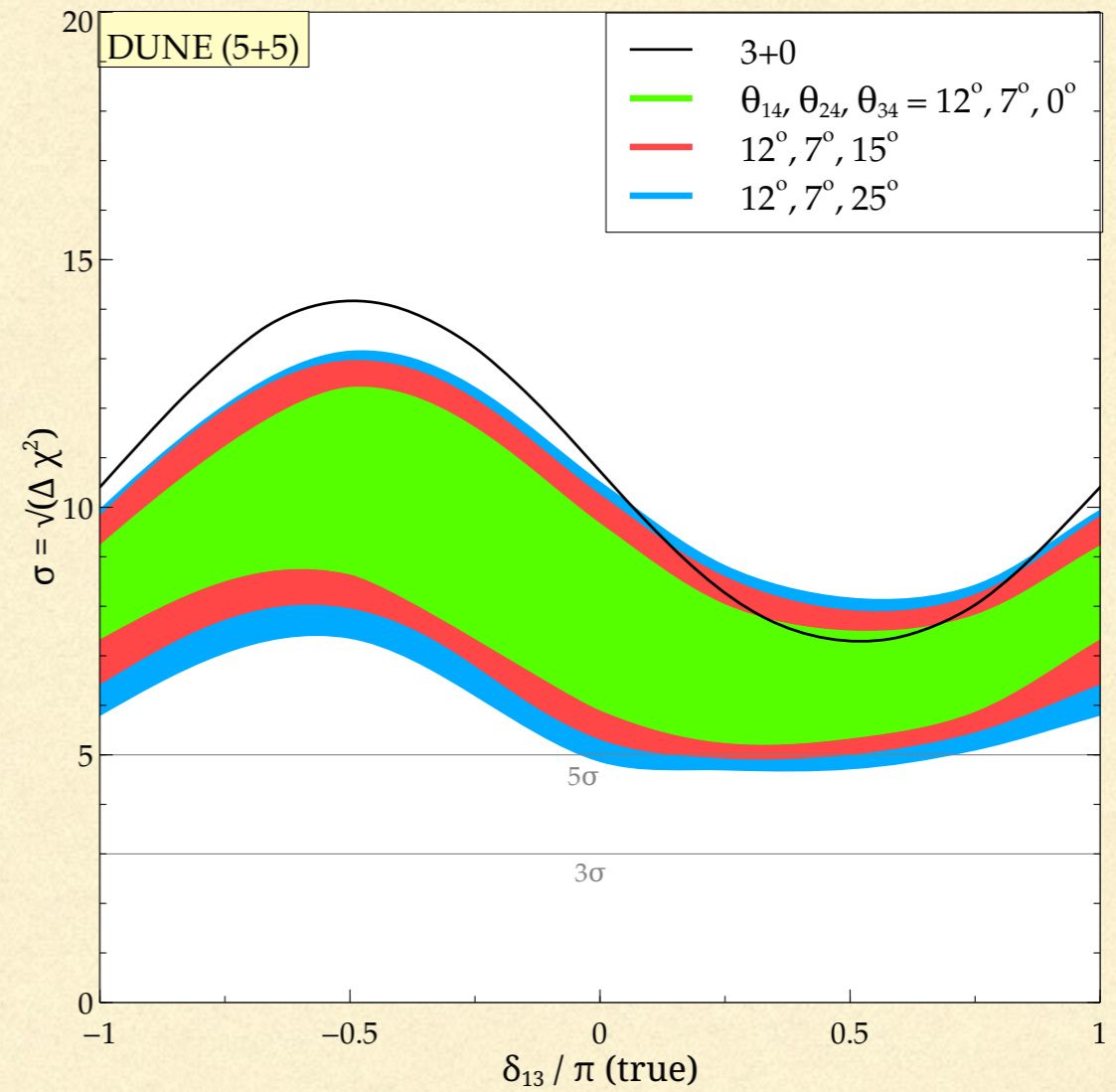
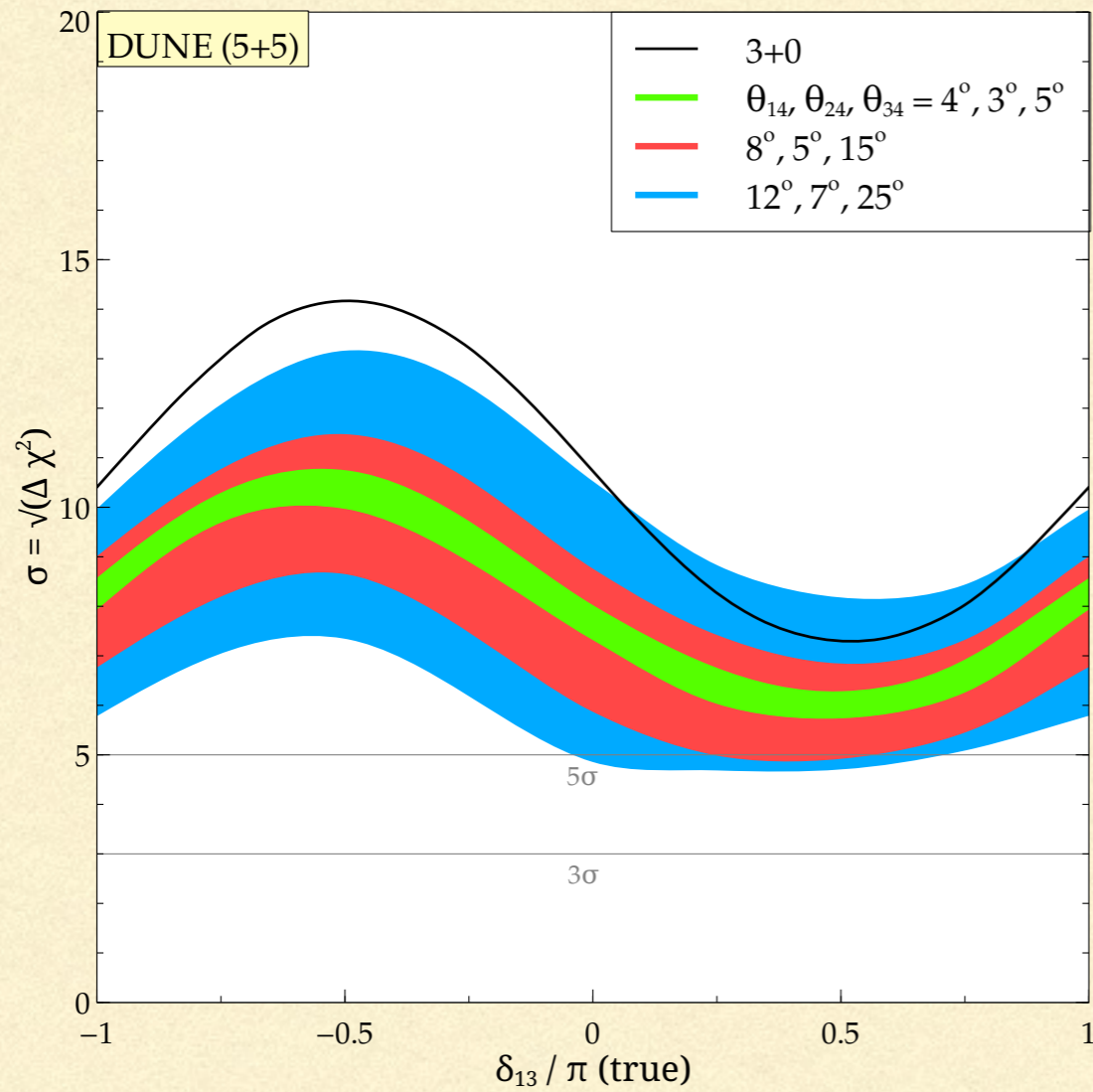




- When the active-sterile mixings are small, in general the sensitivity to CP violation of the experiment will be decreased compared to what we would expect in the 3+0 scenario.

For sufficiently large mixings, the sensitivity spans both sides of the 3+0 curve; and depending on the true value of the other phases -  $\delta_{24}$  and  $\delta_{34}$  the sensitivity to CP violation can be greatly amplified. Also, it can be very high in regions where there is almost no sensitivity in the 3+0 scenario.

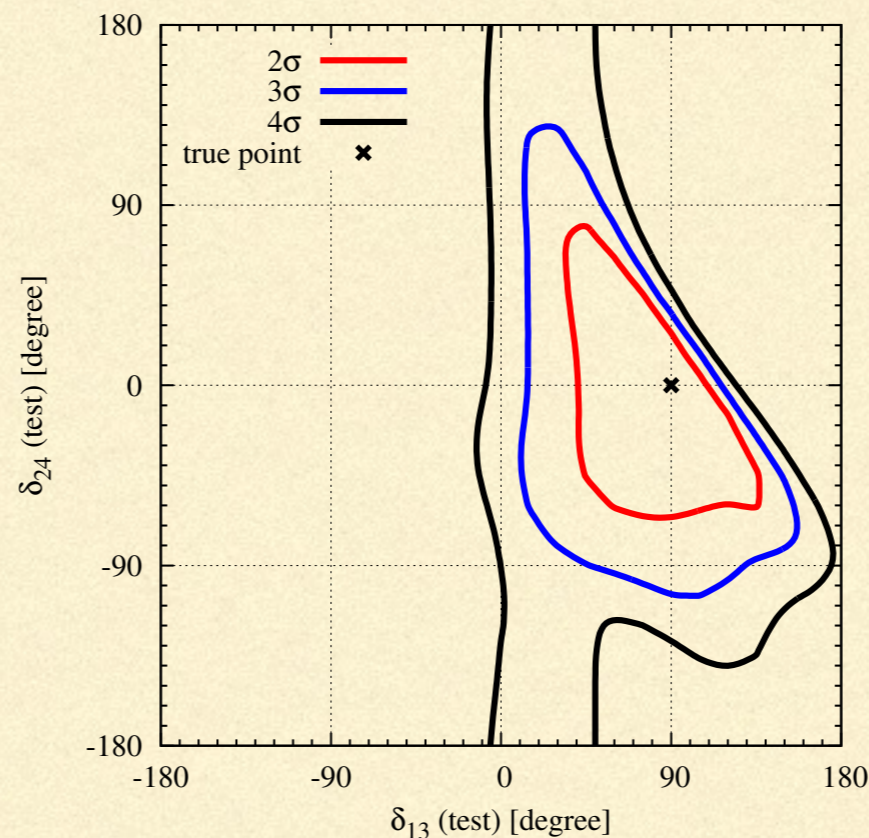
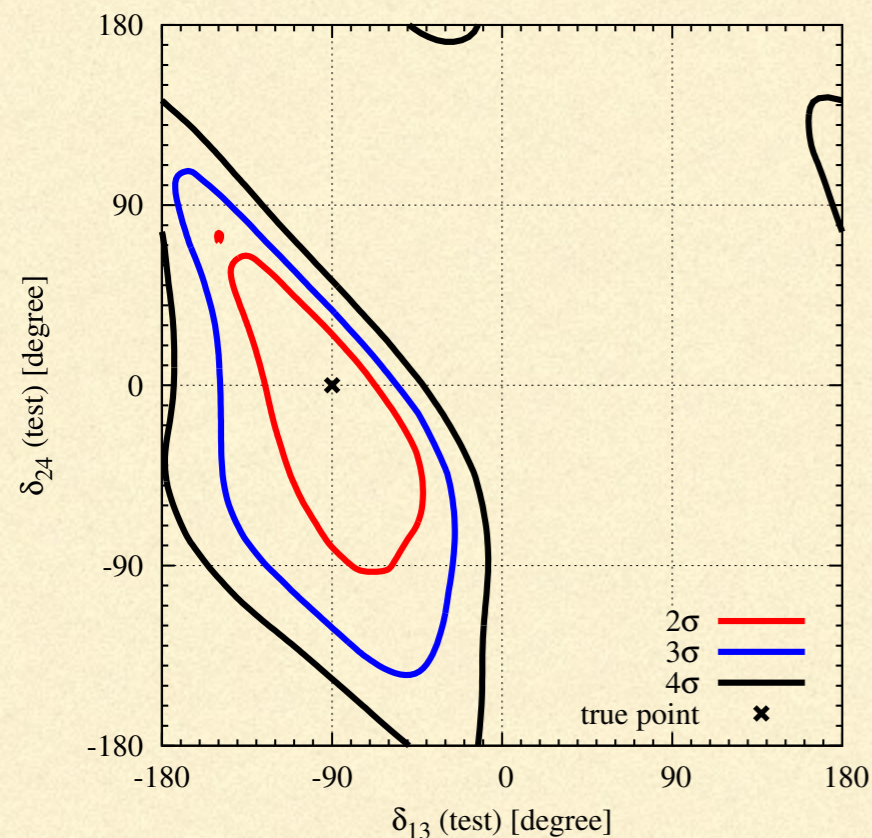
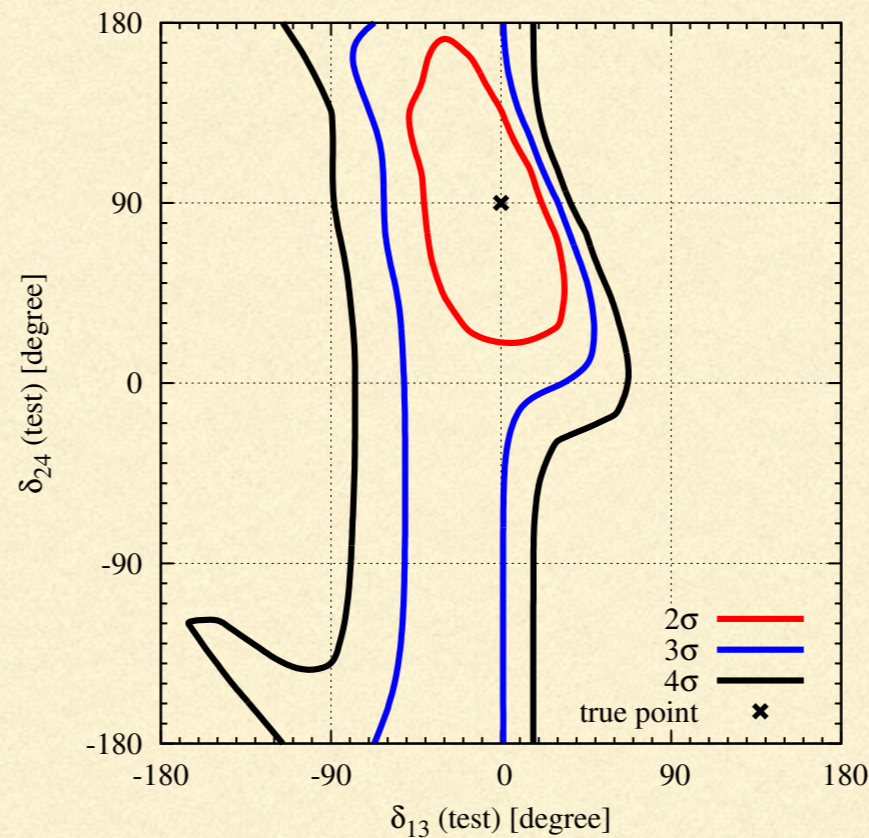
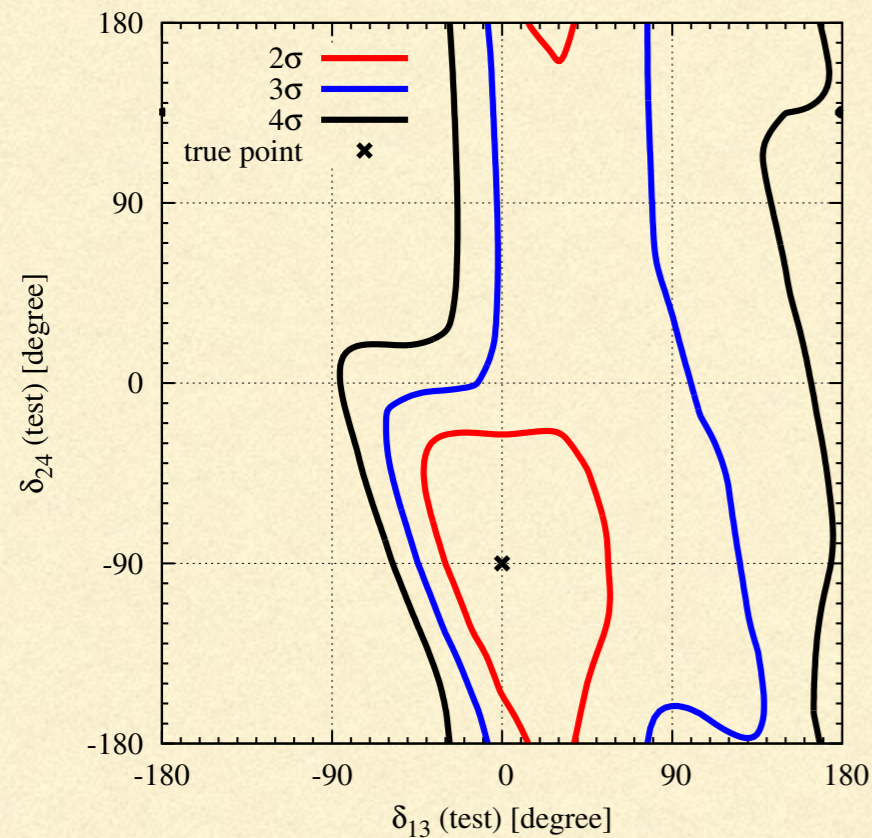




In general, the presence of a sterile state lowers the hierarchy sensitivity. However, the hierarchy should still be determined at DUNE at  $\sim 5$  sigma for the full space of parameters



# Is DUNE sensitive to which 3+1 phase is causing CP violation?



True  $(\theta_{14}, \theta_{24}, \theta_{34})$  were taken to be  $(12^\circ, 7^\circ, 25^\circ)$  and true  $\delta_{34} = 0$ . Results have been shown for true  $(\delta_{13}, \delta_{24}) = (0, \pm 90^\circ)$  and  $(\pm 90^\circ, 0)$ , at 2 $\sigma$ , 3 $\sigma$ , and 4 $\sigma$ .

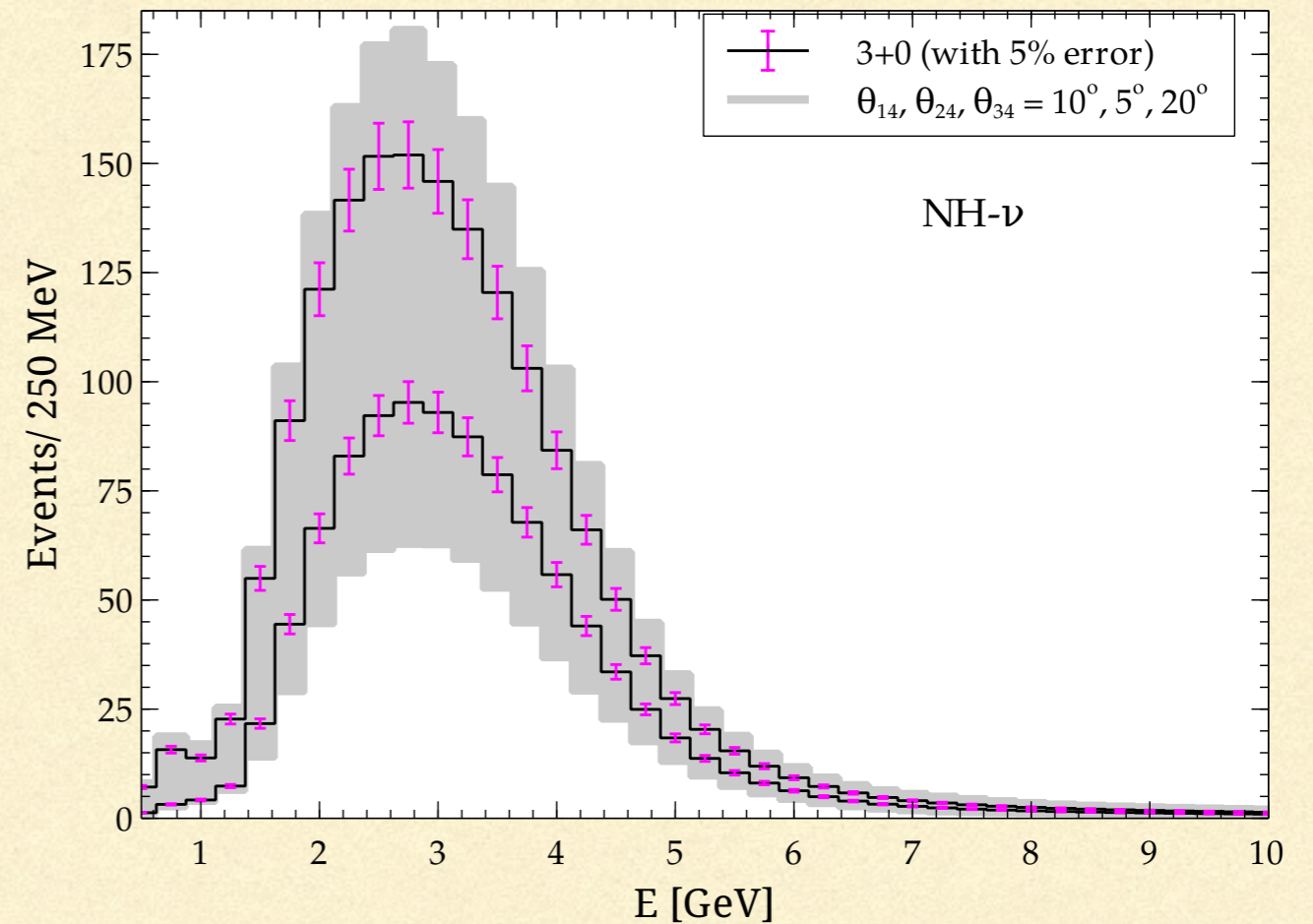
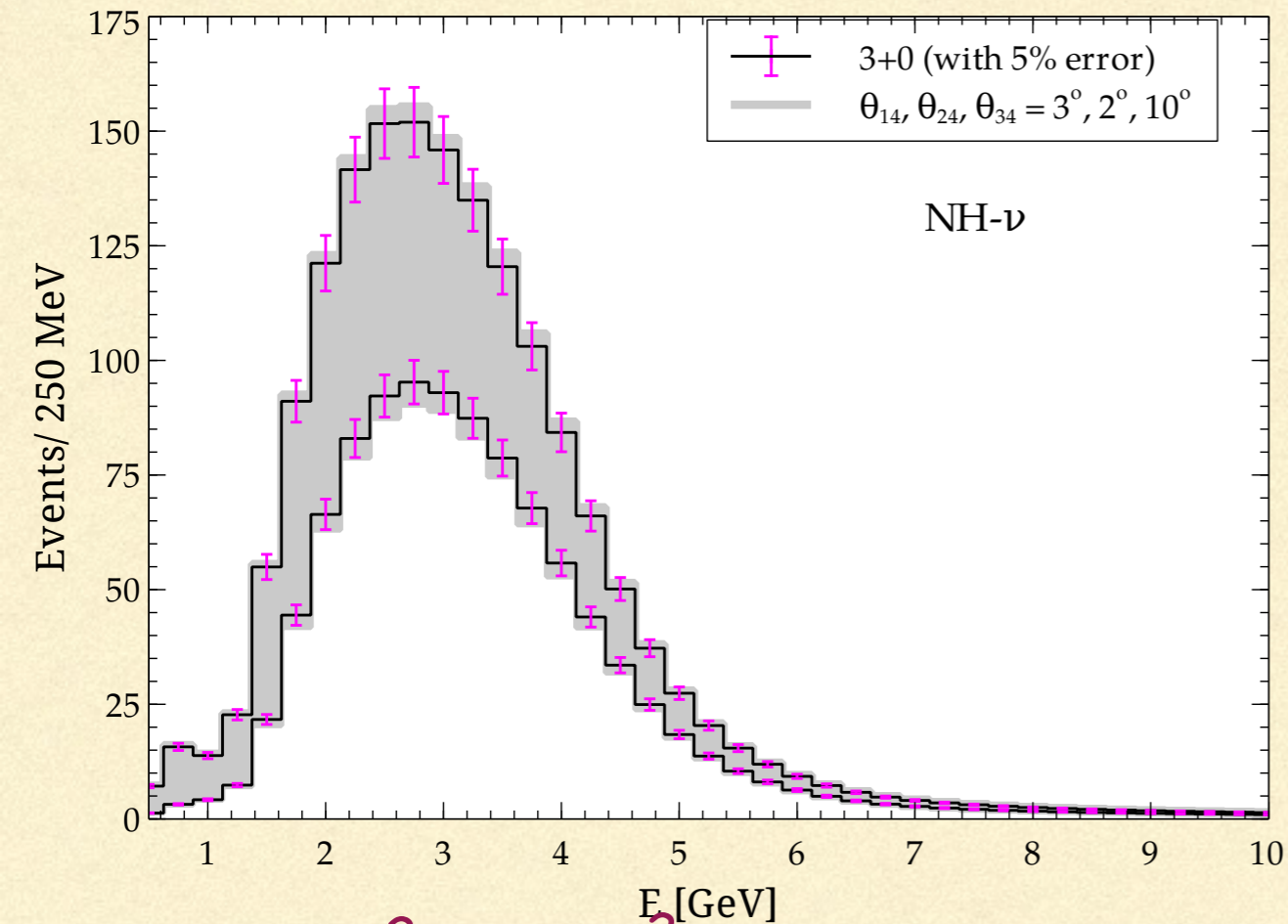
Top panels show that attributing CP violation unambiguously to  $\delta_{24}$  is not possible at 3 $\sigma$ . Also, it is not possible to rule out CP conserving values of  $\delta_{24}$  at this level.

Bottom panel (rt) shows distinguishing maximally CP-violating  $\delta_{13}$  and CP conserving  $\delta_{24}$  from maximally CP-violating  $\delta_{24}$  and CP conserving  $\delta_{13}$  may not be possible at 4 $\sigma$ .

Assume that there is a  $\sim 1 \text{ eV}^2$  sterile neutrino and DUNE finds evidence of CPV



# Is DUNE sensitive to small values of active sterile mixing?



- For  $\Delta m^2_{41} \sim 1 \text{ eV}^2$  induced oscillations, the short baseline experiments can see a  $3\sigma$  effect only if  $\sin^2 2\theta_{\mu e} = \sin^2 2\theta_{14} \sin^2 \theta_{24} \geq 0.001$ .

The left plot compares 3+0 with 3+1 for very small mixing angles -  $\theta_{14}, \theta_{24}, \theta_{34} = 3^\circ, 2^\circ, 10^\circ$  ( $\sin^2 2\theta_{\mu e} \approx 0.00008$ )

The right panel shows the comparison for  $\theta_{14}, \theta_{24}, \theta_{34} = 10^\circ, 5^\circ, 20^\circ$  ( $\sin^2 2\theta_{\mu e} \approx 0.0009$ ).

DUNE may exhibit sensitivity to values of mixing angles below the sensitivity of SBL experiments. However, such signals may be mimicked by other new physics. The presence of a sterile sector requires confirmation via SBL oscillations.



## Implications and Conclusions .....

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In the presence of even a single sterile neutrino, conclusions at DUNE such as, a) Whether  $CP$  is conserved or violated, and b) if the latter, whether the violation is ascribable to the active neutrinos or the additional sterile neutrino, or a combination of the two, are all rendered significantly ambiguous.

Unless the presence of a sterile sector is conclusively ruled out by SBL experiments, measurements which, when interpreted in the context of the standard three family paradigm, indicate  $CP$  conservation at long baselines, may, in fact hide large  $CP$  violation if there is a sterile state.

The presence of sterile states in general causes a reduction in the anticipated sensitivity to hierarchy.

The presence of sterile states can cause either a decrease or an increase in the anticipated sensitivity to  $CP$  violation.

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## Implications and Conclusions .....

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We find that while the discovery potential for the violation could be large, determining its origin (i.e. ascribing it unambiguously to either the 3+0 phase  $\delta_{CP}$  or one of the 3+1 phases,  $\delta_{13}, \delta_{24}, \delta_{34}$ ) is much more challenging.

DUNE may exhibit signals hinting at the presence of a sterile sector even if the relevant mixing angles lie below the sensitivity of the planned short-baseline experiments.

The sensitivity of DUNE to sterile neutrinos is truly complementary to the sensitivity of SBL experiments, because it stems from amplification by matter of the interference terms containing 3+1 CP phases

Definitive confirmation or refutation of the presence of sterile neutrinos must come from the SBL experiments from measurements of short-wavelength oscillations.

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## Implications and Conclusions .....

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The rates expected at the FD depend on fluxes and cross-sections measured, along with their energy dependence, to significantly high accuracy at the ND for all four species of neutrinos,  $\nu_e$ ,  $\bar{\nu}_e$ ,  $\nu_\mu$ ,  $\bar{\nu}_\mu$ .

In the 3 + 0 scenario, these measurements, while very demanding, are assumed to be made under conditions where there are no oscillations between the source and the ND. This task is rendered significantly more complex, however, in the presence of a sterile sector capable of altering the fluxes between the source and the ND over the planned distance of ~ 500 m in DUNE.



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*Thank you for your attention!*

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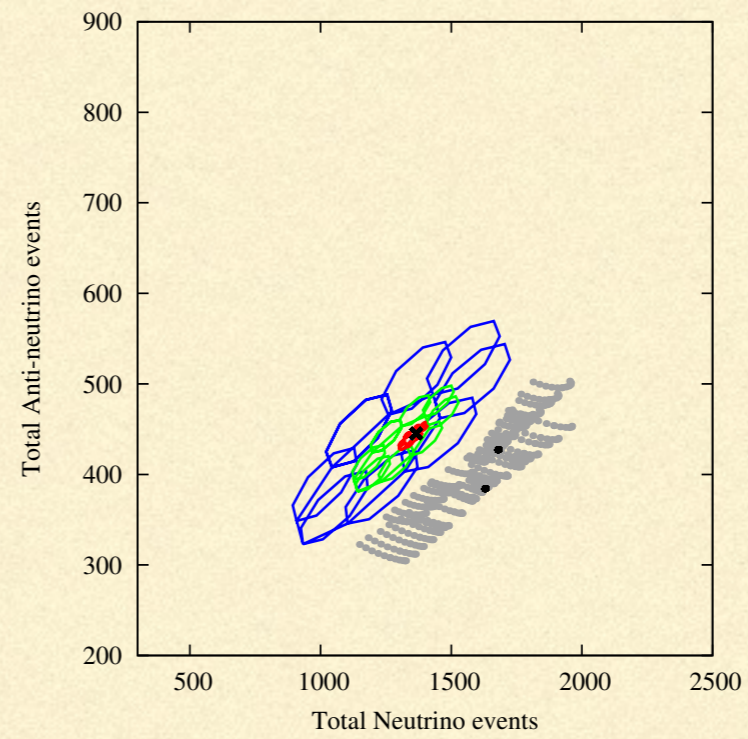
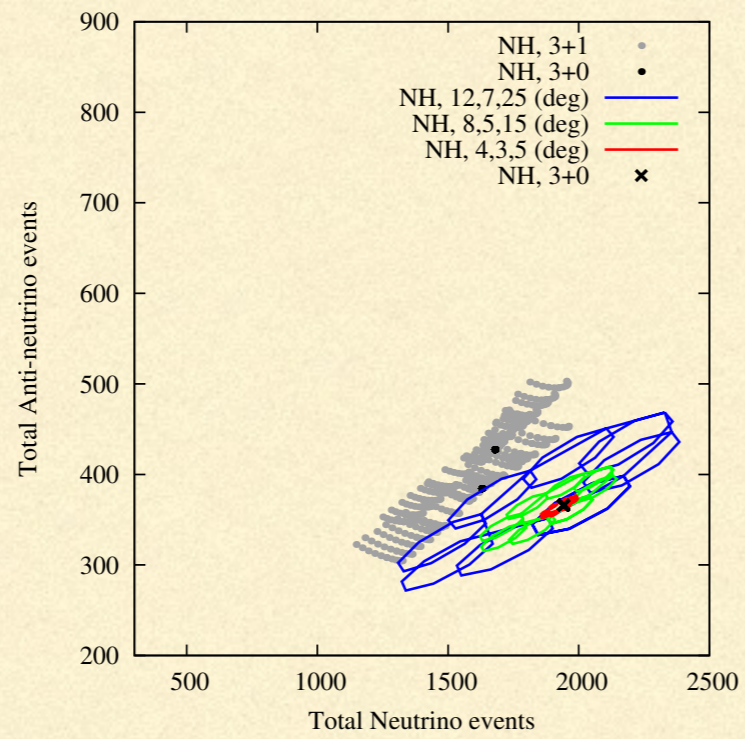
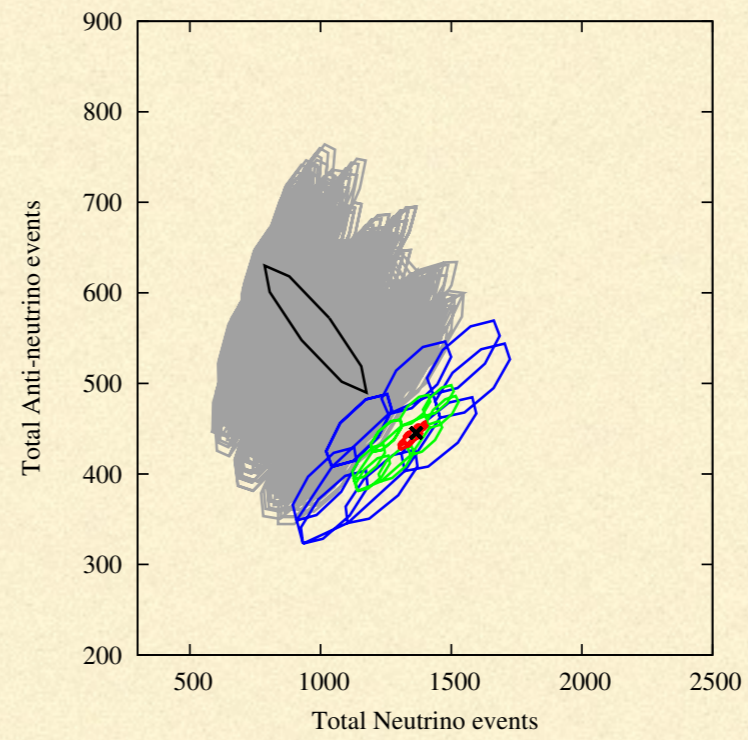
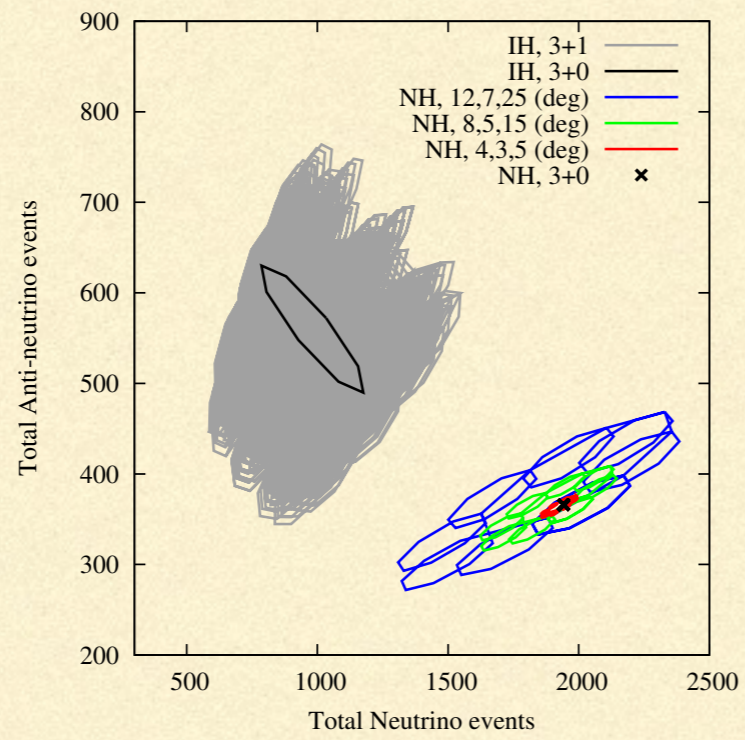


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## Back-up Slides

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# What do we know at present ?.....

The three flavours of neutrinos mix, much like the quarks. The mixing is parametrized by 3 angles and a phase

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{\text{CP}}} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta_{\text{CP}}} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta_{\text{CP}}} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta_{\text{CP}}} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta_{\text{CP}}} & c_{13}c_{23} \end{pmatrix}$$

CP phase

Several decades of various types of neutrino experiments and constraints from various sources have helped us obtain a picture that pins down the mass-squared differences and mixing angles to good accuracy

	bfp $\pm 1\sigma$	$3\sigma$ range
$\sin^2 \theta_{12}$	$0.302^{+0.013}_{-0.012}$	$0.267 \rightarrow 0.344$
$\theta_{12}/^\circ$	$33.36^{+0.81}_{-0.78}$	$31.09 \rightarrow 35.89$
$\sin^2 \theta_{23}$	$0.413^{+0.037}_{-0.025} \oplus 0.594^{+0.021}_{-0.022}$	$0.342 \rightarrow 0.667$
$\theta_{23}/^\circ$	$40.0^{+2.1}_{-1.5} \oplus 50.4^{+1.3}_{-1.3}$	$35.8 \rightarrow 54.8$
$\sin^2 \theta_{13}$	$0.0227^{+0.0023}_{-0.0024}$	$0.0156 \rightarrow 0.0299$
$\theta_{13}/^\circ$	$8.66^{+0.44}_{-0.46}$	$7.19 \rightarrow 9.96$
$\delta_{\text{CP}}/^\circ$	$300^{+66}_{-138}$	$0 \rightarrow 360$
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.50^{+0.18}_{-0.19}$	$7.00 \rightarrow 8.09$
$\frac{\Delta m_{31}^2}{10^{-3} \text{ eV}^2}$ (N)	$+2.473^{+0.070}_{-0.067}$	$+2.276 \rightarrow +2.695$
$\frac{\Delta m_{32}^2}{10^{-3} \text{ eV}^2}$ (I)	$-2.427^{+0.042}_{-0.065}$	$-2.649 \rightarrow -2.242$



# Matter-Antimatter asymmetries in 3+0 and 3+1 for NOvA+T2K

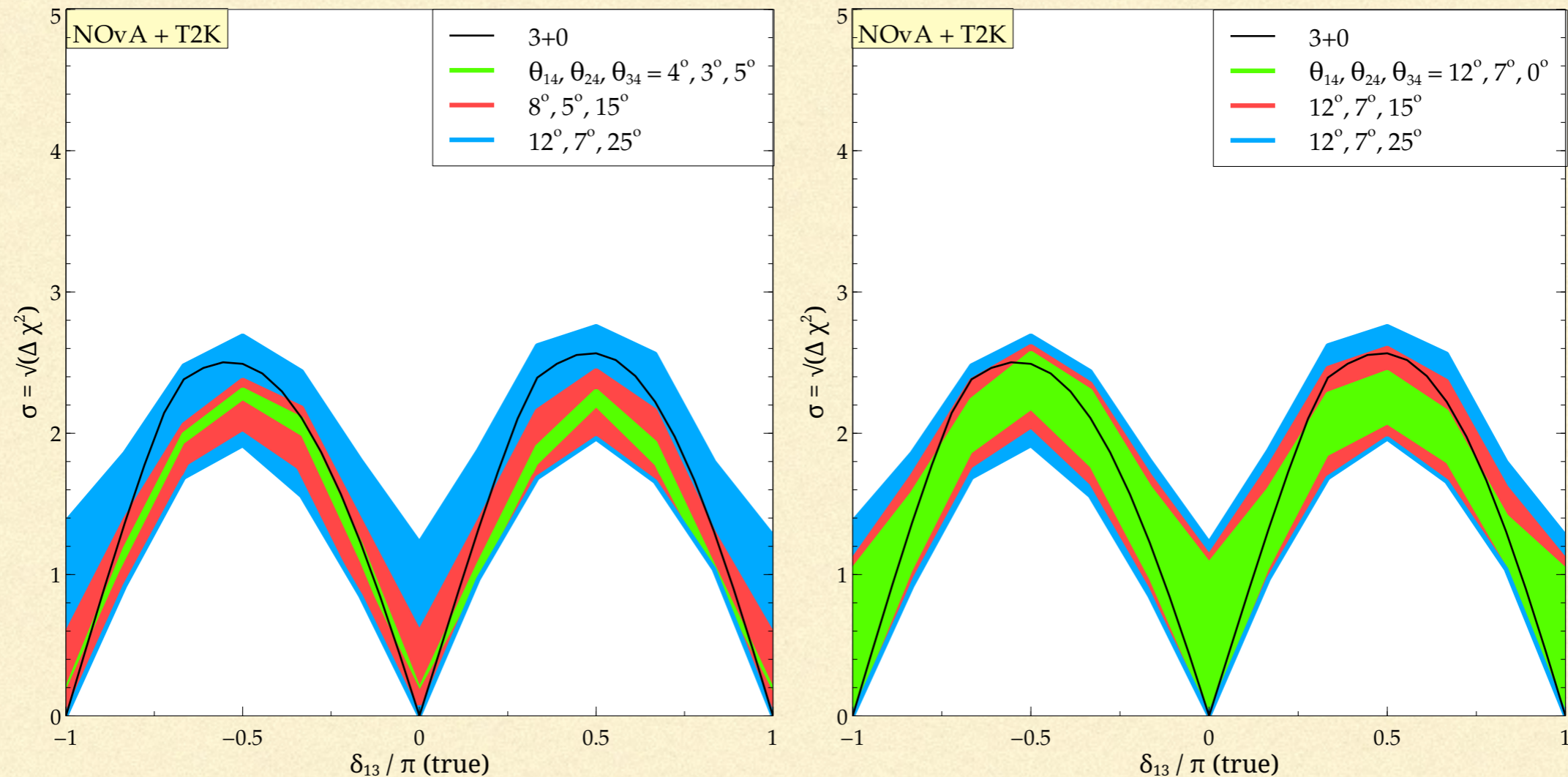


FIG. 1: Sensitivity to CP violation as a function of the true CP violating phase  $\delta_{13}$  for the combined data from T2K and NOvA. Different colors correspond to different choice of true  $\theta_{14}, \theta_{24}, \theta_{34}$  as shown in the key. Variation of true  $\delta_{24}$  and  $\delta_{34}$  results in the colored bands which show the minimum and maximum sensitivity that can be obtained for a particular  $\delta_{13}$ . The black curve corresponds to sensitivity to CP violation in 3+0. Left panel: Shows the effect as all the three active-sterile mixings are increased. Right panel: Shows the effect of the 3-4 mixing when the true  $\theta_{14}$  and  $\theta_{24}$  have been fixed at  $12^\circ$  and  $7^\circ$  respectively for all three bands.



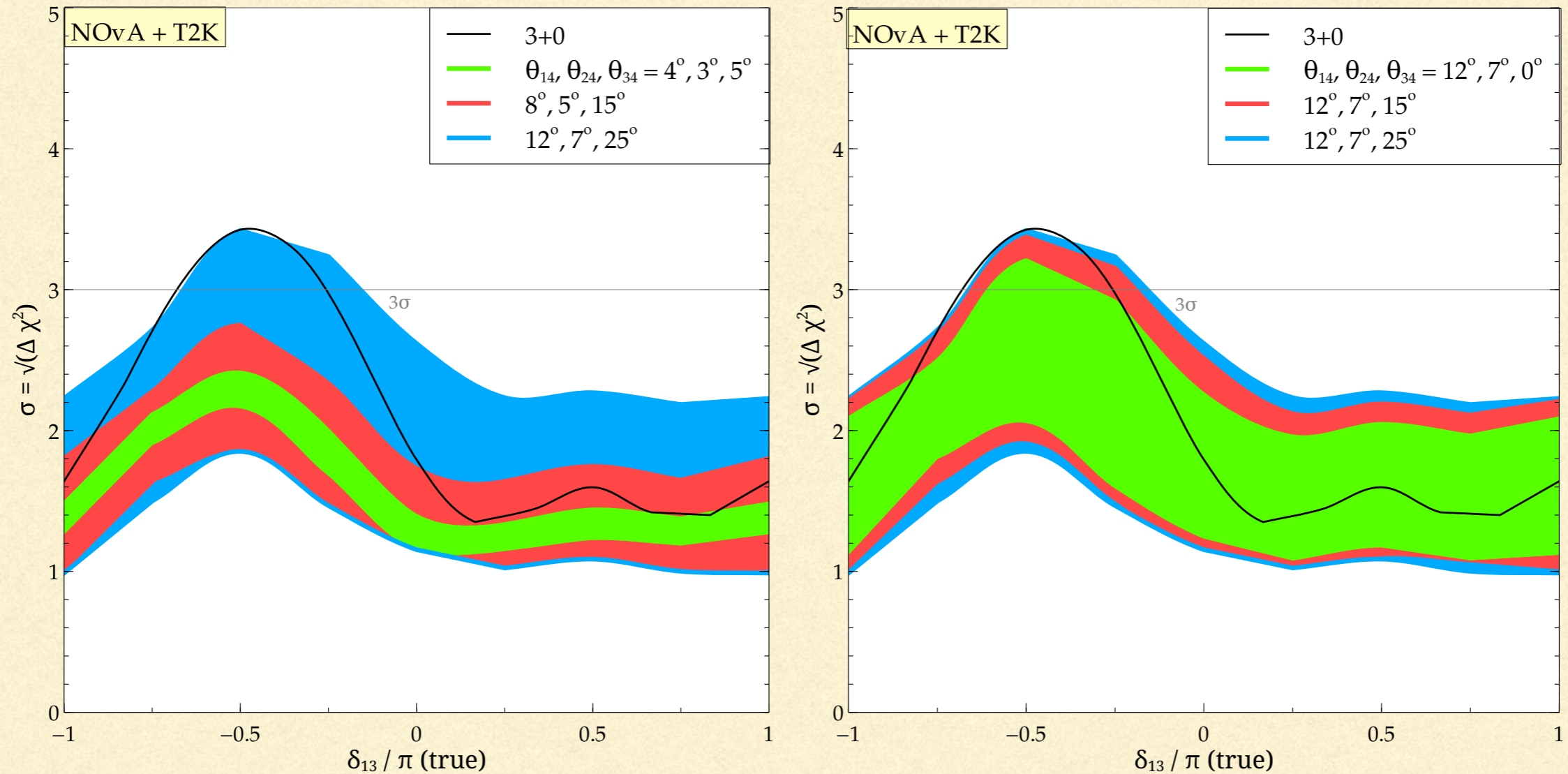


FIG. 4: Sensitivity to Mass hierarchy as a function of the true CP violating phase  $\delta_{13}$  for the combined data from T2K and NOvA. Different colors correspond to different choice of true  $\theta_{14}$ ,  $\theta_{24}$ ,  $\theta_{34}$  as shown in the key. Variation of true  $\delta_{24}$  and  $\delta_{34}$  results in the colored bands which show the minimum and maximum sensitivity that can be obtained for a particular  $\delta_{13}$ . The black curve corresponds to sensitivity to the hierarchy in 3+0. Left panel: Shows the effect as all the three active-sterile mixings are increased. Right panel: Shows the effect of the 3-4 mixing when the true  $\theta_{14}$  and  $\theta_{24}$  have been fixed at  $12^\circ$  and  $7^\circ$  respectively for all three bands.



# What remains, and why do we care to push on?.....

(Are we a community that just likes to know numbers more and more precisely?)

What is the ordering of neutrino masses? (hierarchy)

Is there CP violation in the lepton sector?

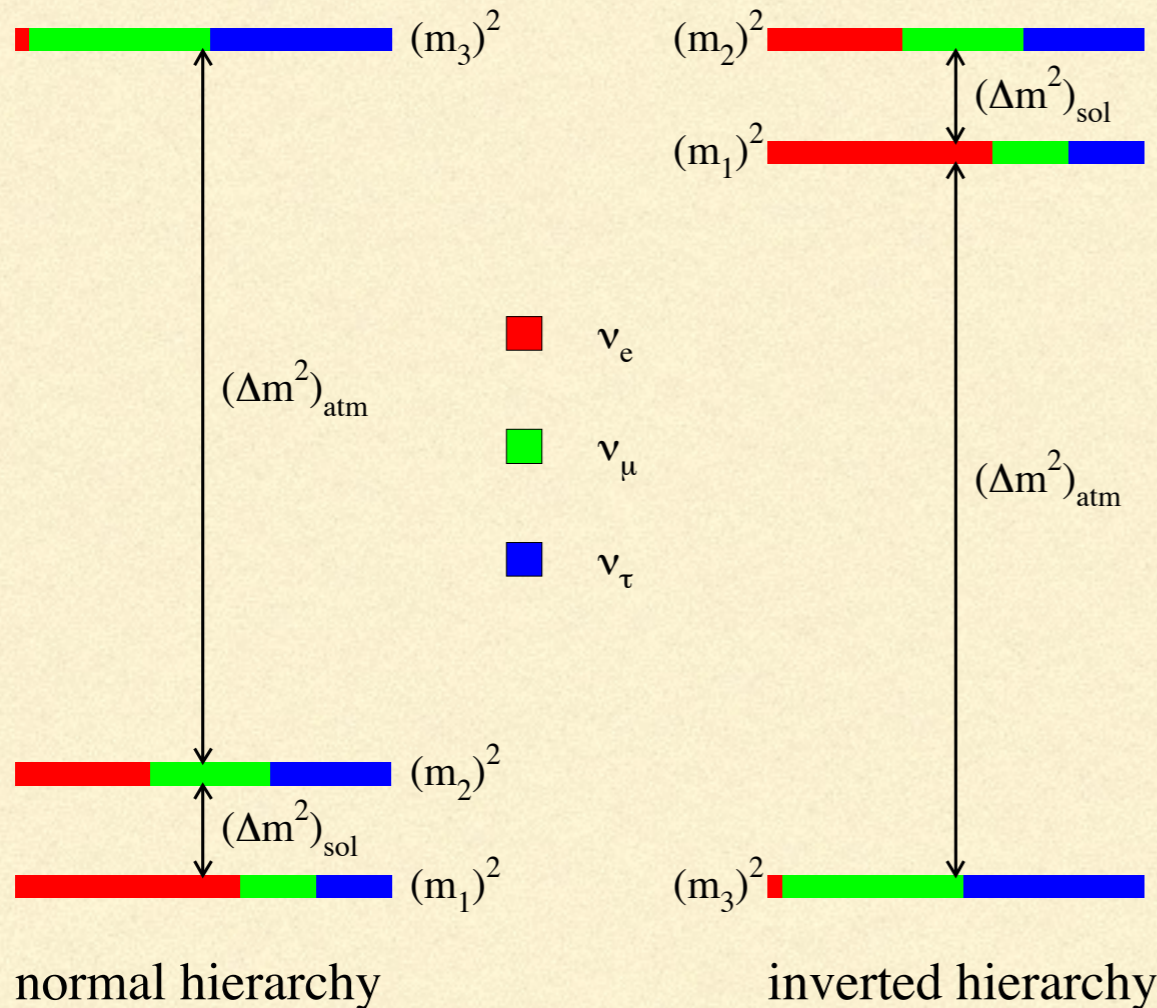
i.e. Is  $\delta_{CP}$  different from 0 or  $\pi$ ?

Possible in next decade or so

More Difficult to answer soon

Are neutrinos their own anti-particles?  
(Dirac or Majorana?)

What are their absolute masses?



$$\begin{aligned}
 m_1 &= m_{\min} \\
 m_2 &= \sqrt{m_{\min}^2 + \Delta m_{\text{sol}}^2} \\
 m_3 &= \sqrt{m_{\min}^2 + \Delta m_{\text{A}}^2}
 \end{aligned}$$

$$\begin{aligned}
 m_3 &= m_{\min} \\
 m_1 &= \sqrt{m_{\min}^2 + \Delta m_{\text{A}}^2 - \Delta m_{\text{sol}}^2} \\
 m_2 &= \sqrt{m_{\min}^2 + \Delta m_{\text{A}}^2}
 \end{aligned}$$



# CP Violation and a long baseline: some general features.....

The determination of CP violation depends on the appearance probability, and certain important and nice conclusions follow from an examination of the basic expression:

Marciano hep-ph 0108181, Marciano and Parsa, hep-ph 0610258

$O(\alpha^2)$

$$P(\nu_\mu \rightarrow \nu_e) = P_I(\nu_\mu \rightarrow \nu_e) + P_{II}(\nu_\mu \rightarrow \nu_e) + P_{III}(\nu_\mu \rightarrow \nu_e) + \text{matter} + \text{smaller terms}$$

"atmospheric" term, large

not necessarily small, depending on L

$$P_I(\nu_\mu \rightarrow \nu_e) = \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E_\nu} \right)$$

$$P_{II}(\nu_\mu \rightarrow \nu_e) = \frac{1}{2} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \cos \theta_{13}$$

$$\sin \left( \frac{\Delta m_{21}^2 L}{2E_\nu} \right) \times \left[ \sin \delta \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E_\nu} \right) + \cos \delta \sin \left( \frac{\Delta m_{31}^2 L}{4E_\nu} \right) \cos \left( \frac{\Delta m_{31}^2 L}{4E_\nu} \right) \right]$$

"interference" term, CP dependent

$$P_{III}(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta_{12} \cos^2 \theta_{13} \cos^2 \theta_{23} \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E_\nu} \right)$$

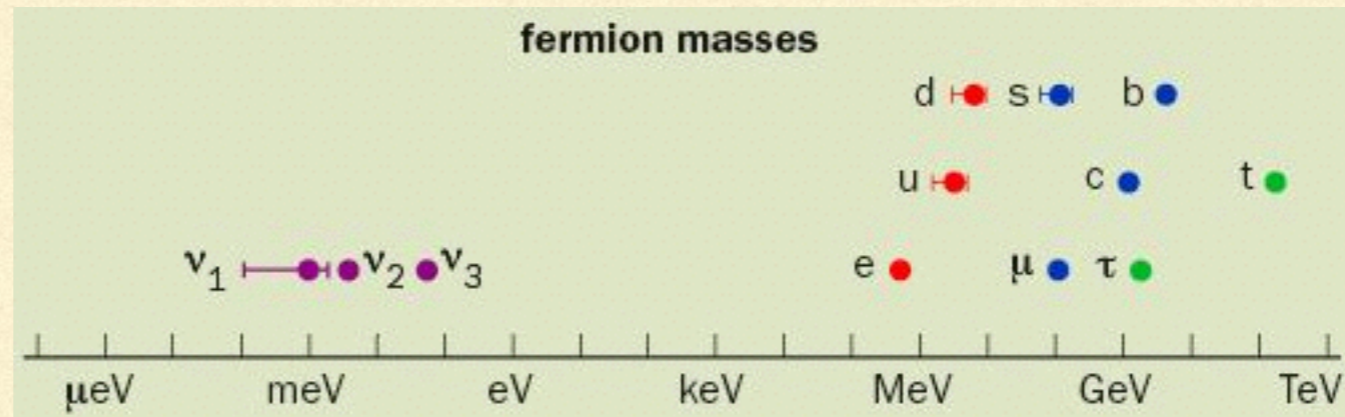
"solar" term, small



# What remains, and why do we care to push on?....

(Are we a community that just like to know numbers more and more precisely?)

→ Neutrino masses appear to be special



$$\begin{bmatrix} |V_{ud}| & |V_{us}| & |V_{ub}| \\ |V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}| & |V_{ts}| & |V_{tb}| \end{bmatrix} = \begin{bmatrix} 0.97427 \pm 0.00015 & 0.22534 \pm 0.00065 & 0.00351^{+0.00015}_{-0.00014} \\ 0.22520 \pm 0.00065 & 0.97344 \pm 0.00016 & 0.0412^{+0.0011}_{-0.0005} \\ 0.00867^{+0.00029}_{-0.00031} & 0.0404^{+0.0011}_{-0.0005} & 0.999146^{+0.000021}_{-0.000046} \end{bmatrix}$$

← quark mixing

→ Neutrino mixings are quite different from quark mixings

$$|U| = \begin{pmatrix} 0.795 \rightarrow 0.846 & 0.513 \rightarrow 0.585 & 0.126 \rightarrow 0.178 \\ 0.205 \rightarrow 0.543 & 0.416 \rightarrow 0.730 & 0.579 \rightarrow 0.808 \\ 0.215 \rightarrow 0.548 & 0.409 \rightarrow 0.725 & 0.567 \rightarrow 0.800 \end{pmatrix}$$

← neutrino mixing

- Neutrino mass may have a different origin from the masses of quarks and charged leptons

The difference between the mixing patterns of quarks and leptons may be a clue to the flavour problem

→ Both of these are signposts of physics beyond the Standard Model

→ Precise measurements put us in a position to properly explore underlying connections and symmetries



## Importance of CP measurements.....

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In the Standard Model, the existence of B+L violating sphaleron interactions allow a dynamically generated lepton number asymmetry to transfer itself to the quark sector, allowing the generation of a baryon asymmetry.

A simple realization of this is possible with the see-saw mechanism, where (at least) one RH neutrino decays out of equilibrium in a manner that breaks L as well as violates CP, satisfying all three of Sakharov's conditions.

**An important question is:** Is the detection of CP violation at low energies in oscillation experiments directly related to leptogenesis?

The most general answer appears to be NO. However, it has been argued that flavour effects, if present during the leptogenesis process, may connect LE CP violation to that at HE.

Irrespective of the answer to the above question, the discovery of CP violation in the lepton sector at low energies would encourage us to believe that it could exist at HE also facilitating leptogenesis. Additionally, the discovery of CPV in both the lepton and quark sectors would aid in our efforts to understand physics BSM.



# Types of Experiments and their scope .....

$$U = \begin{pmatrix} c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{-i\delta} \\ -s_{12} c_{23} - c_{12} s_{23} s_{13} e^{i\delta} & c_{12} c_{23} - s_{12} s_{23} s_{13} e^{i\delta} & s_{23} c_{13} \\ s_{12} s_{23} - c_{12} c_{23} s_{13} e^{i\delta} & -c_{12} s_{23} - s_{12} c_{23} s_{13} e^{i\delta} & c_{23} c_{13} \end{pmatrix} =$$

$$\underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\text{probed by LBL accelerator and atmospheric expts}} \underbrace{\begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix}}_{\text{probed by LBL accelerator and SBL reactor expts}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{probed by solar \& LBL reactor expts}}$$

probed by LBL accelerator  
and atmospheric expts

probed by LBL accelerator  
and SBL reactor expts

probed by solar & LBL  
reactor expts

LBL accelerator experiments thus have the capability of measuring hierarchy, CP violation and the octant. The first and last of these depend strongly on matter effects which accrue with baseline length.



## Present LBL vs SBL situation

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Thus, to a large extent, in the present situation, the urgent and immediate questions that can be answered by oscillation experiments are confined to long baseline efforts.

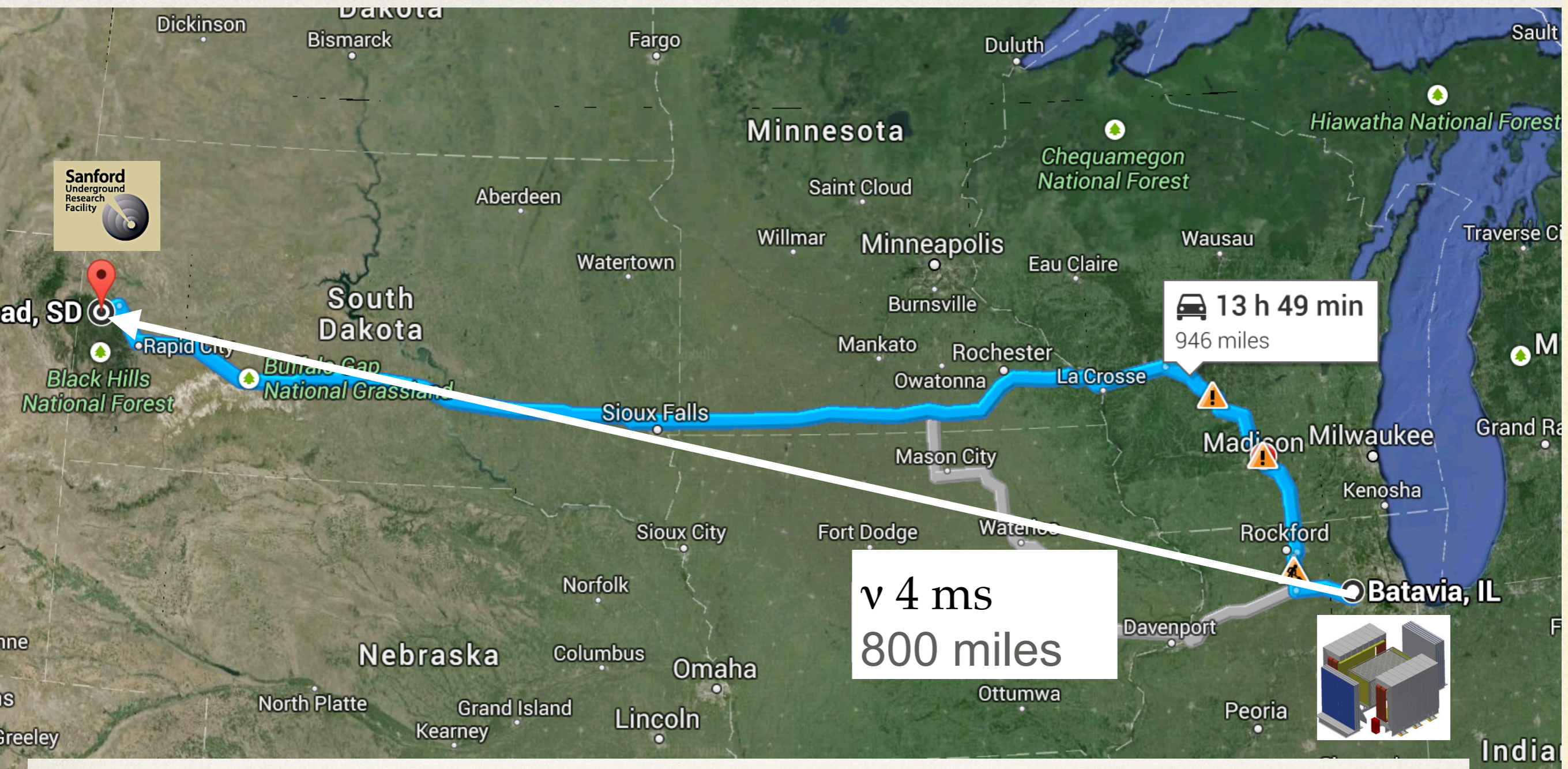
Independently, however, there is a growing body of indicators at short baselines, apparently disconnected from each other as well as from long baseline questions, of something either new, or un-understood.

This could either be new physics, like sterile neutrinos, or perhaps something mundane, like un-understood backgrounds.

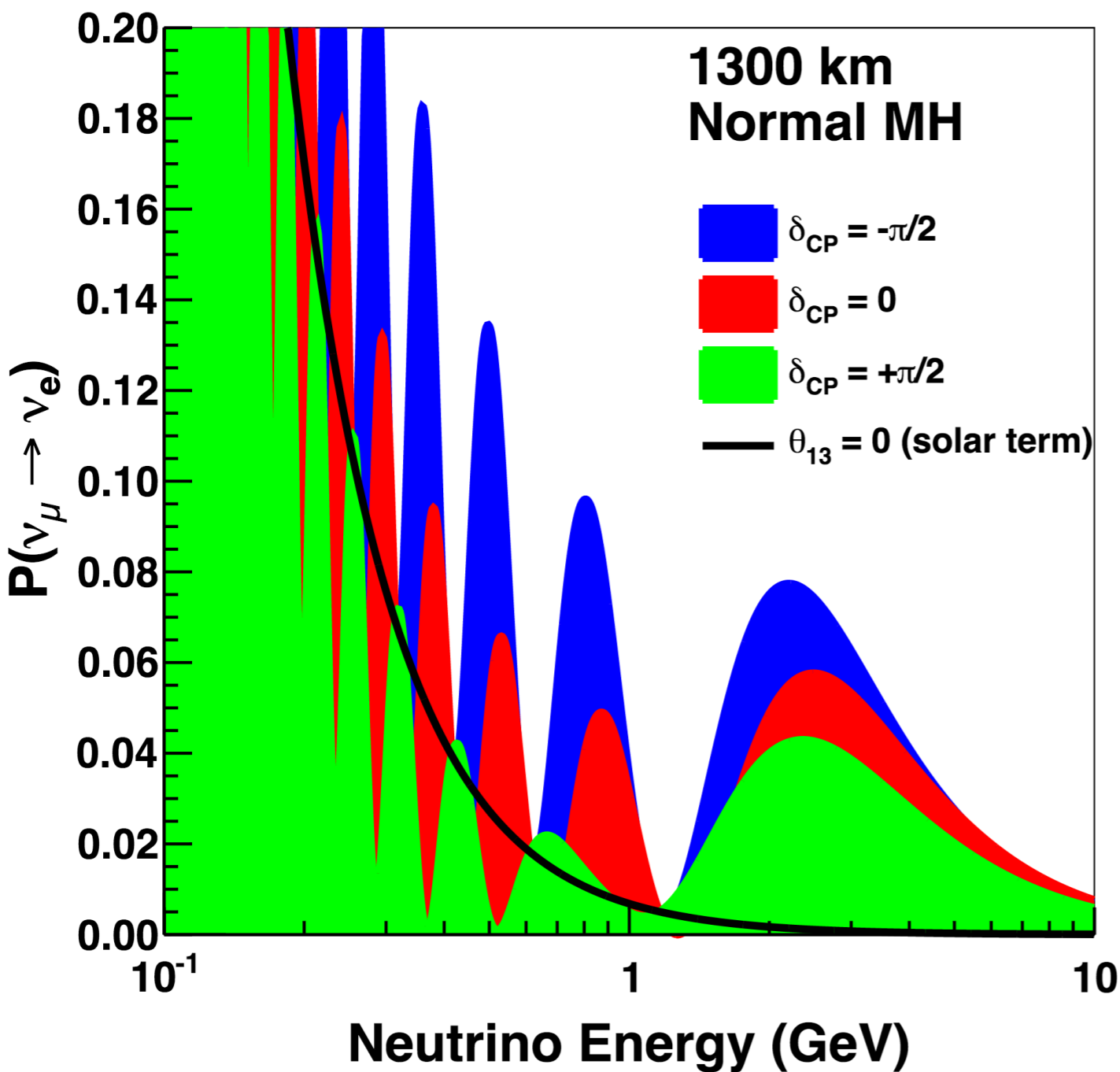
In any case, the indicators, while not conclusive, are strong enough to have created a lot of interest and planning for the future.



# DEEP UNDERGROUND NEUTRINO EXPERIMENT (DUNE)







- ❖ Measurement of CP-violating phase ( $\delta_{\text{CP}}$ )
  - ❖ Non-zero value may be related to matter/antimatter asymmetry of universe
- ❖ Determination of neutrino mass hierarchy
- ❖ Precision tests of three-flavor oscillation hypothesis

Slide from L. Field's talk at DUNE Collab Meeting Apr 2015