

Instabilities Part III: Transverse wake fields – impact on beam dynamics

Giovanni Rumolo and Kevin Li



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Outline



We will close in into the description and the impact of **transverse wake fields**. We will discuss the **different types** of transverse wake fields, outline how they can be implemented numerically and then investigate **their impact on beam dynamics**. We will see some **examples of transverse instabilities** such as the transverse mode coupling instability (TMCI) or headtail instabilities.

Part 3: Transverse wakefields – their different types and impact on beam dynamics

- Transverse wake function and impedance
- Numerical implementation
- Two particle models
- Transverse "potential well distortion", transverse mode coupling instability and headtail instabilities



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- We have **discussed longitudinal wake fields** and impedances and their impact on both the machine as well as the beam.
- We have learned about **beam induced heating** and how it is related to the beam power spectrum and the machine impedance.
- We have discussed the effects of **potential well distortion** (stable phase and synchrotron tune shifts, bunch lengthening and shortening).
- We have seen some examples of longitudinal instabilities (Microwave, Robinson).

Part 3: Transverse wakefields -

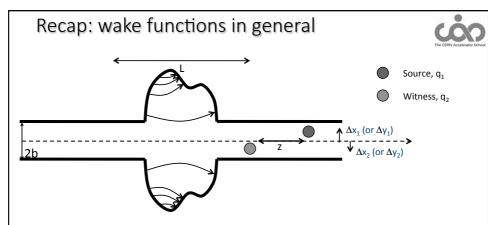
their different types and impact on beam dynamics

- Transverse wake function and impedance
- · Numerical implementation
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Definition as the **integrated force** associated to a change in energy:

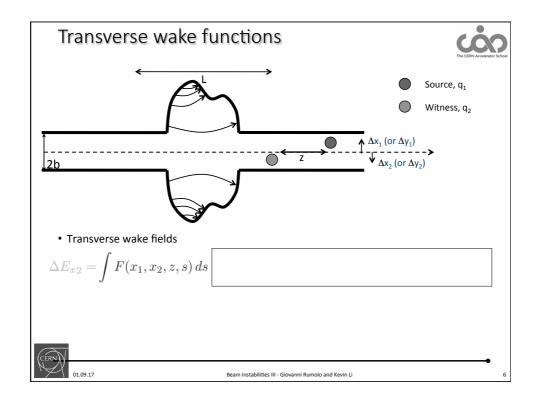
• In general, for two point-like particles, we have

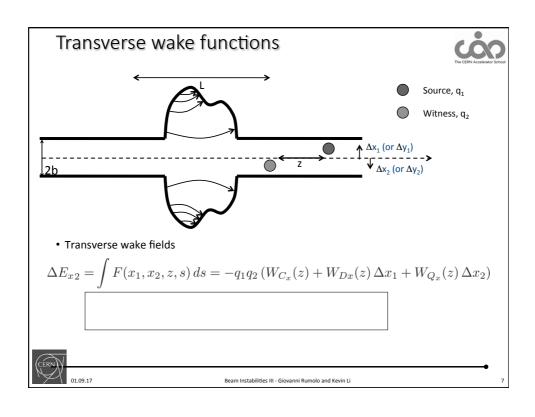
$$\Delta E_2 = \int F(x_1, x_2, z, s) ds = -q_1 q_2 w(x_1, x_2, z)$$

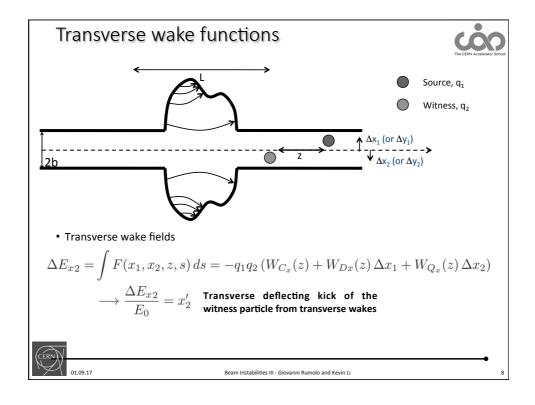
w is typically expanded in the transverse offsets of source and witness particles. This yields the different types of wake fields (dipole, quadrupole, coupling wakes)

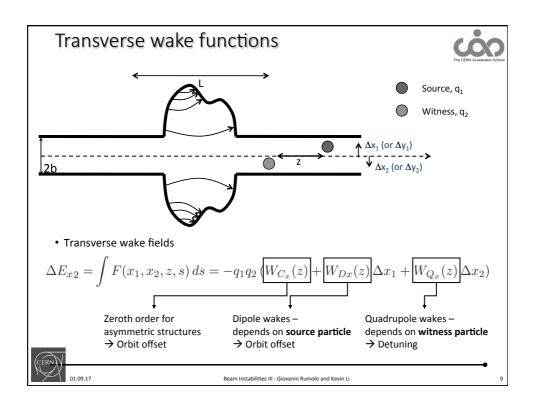


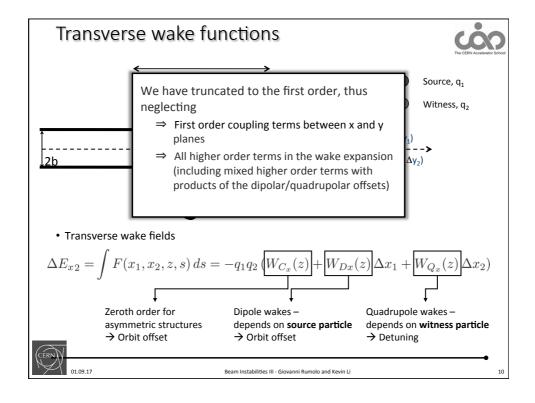
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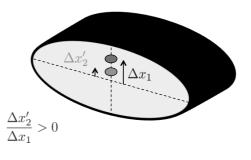


Transverse dipole wake function



$$W_{D_x}(z) = -\frac{E_0}{q_1 q_2} \frac{\Delta x_2'}{\Delta x_1} \qquad \xrightarrow{z \to 0} \qquad W_{D_x = 0}(0) = 0$$

- The value of the transverse dipolar wake function in z=0 vanishes because source and witness particles are traveling parallel and they can only mutually interact through space charge, which is not included in this framework
- $W_{Dx}(0-)<0$ since trailing particles are deflected toward the source particle ($\Delta x1$ and $\Delta x'2$ have the same sign)





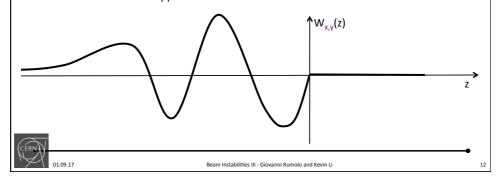
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- $W_{Dx}(z)$ has a discontinuous derivative in z=0 and it vanishes for all z>0 because of the ultra-relativistic approximation

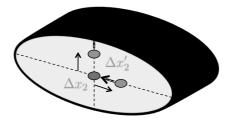


Transverse quadrupole wake function



$$W_{Q_x}(z) = -\frac{E_0}{q_1 q_2} \frac{\Delta x_2'}{\Delta x_2} \qquad \xrightarrow{z \to 0} \qquad W_{Q_x = 0}(0) = 0$$

- The value of the transverse quadrupolar wake function in z=0 vanishes because source and witness particles are traveling parallel and they can only – mutually – interact through space charge, which is not included in this framework
- W_{Qx}(0-)<0 can be of either sign since trailing particles can be either attracted or deflected yet further off axis (depending on geometry and boundary conditions)





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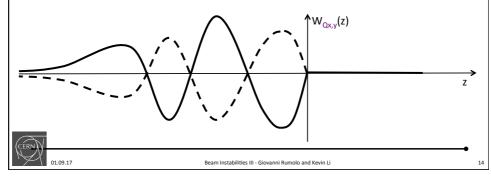
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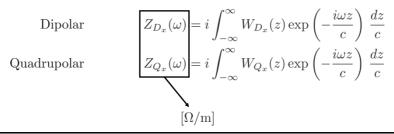


Transverse impedance



$$W_{D_x}(z) = -\frac{E_0}{q_1 q_2} \frac{\Delta x_2'}{\Delta x_1} \qquad W_{Q_x}(z) = -\frac{E_0}{q_1 q_2} \frac{\Delta x_2'}{\Delta x_2}$$

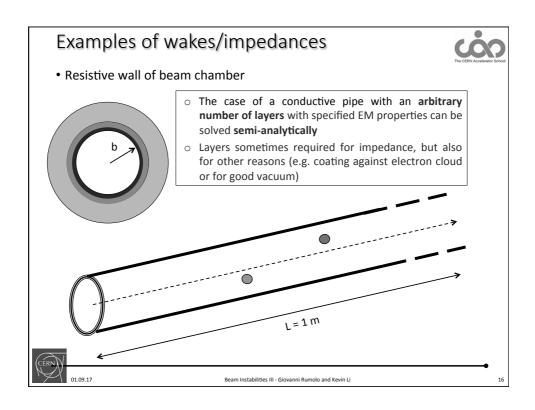
- The wake function of an accelerator component is basically its **Green function in time domain** (i.e., its response to a pulse excitation)
 - → Very useful for macroparticle models and simulations, because it can be used to describe the driving terms in the single particle equations of motion!
- We can also describe it as a transfer function in frequency domain
 - \Rightarrow This is the definition of transverse beam coupling impedance of the element under study

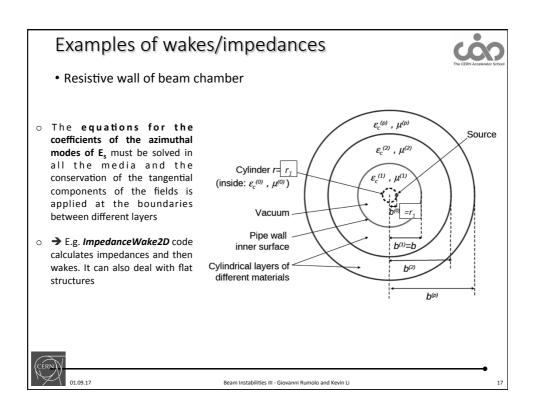


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- We have seen the **definition of transverse wake fields** and how they can be classified into constant, dipolar and quadrupolar wake fields.
- We have discussed the **basic features** of each of the different types of transverse wake fields.
- We will now look into how the impact of wake fields onto charged particle beams can be **modeled numerically** to prepare for investigating the different types of coherent instabilities further along.

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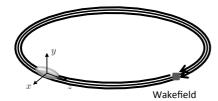
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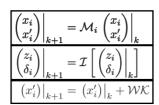
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Quick summary of steps for solving numerically



• Tracking one full turn including the interaction with wake fields:





- 1. Initialise a macroparticle distribution with a given emittance
- Update transverse coordinates and momenta according to the linear periodic transfer map – adjust the individual phase advance according to chromaticity and detuning with amplitude
- 3. Update the longitudinal coordinates and momenta according to the leap-frog integration scheme
- Update momenta only (apply kicks) according to wake field generated kicks



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Numerical implementation of wakefields



- · To be numerically more efficient, the beam is longitudinally sliced into a set of slices
- · Provided the slices are thin enough to sample the wake fields, the wakes can be assumed constant within a single
- The kick on to the set of macroparticles in slice 'i' generated by the set of macroparticles in slice 'j' via the wake fields now becomes:

- In the tracking code, the wake fields at p1 need to update the particle/ macroparticle momenta (i.e. they provide a kick)
- The kick on to a particle/macroparticle 'i' generated by all particles/ macroparticles 'j' via the wake fields is:

$$\Delta x'[i] = -\frac{e^2}{m\gamma\beta^2c^2}$$

$$\times \sum_{j=0}^{\text{n_slices}} \begin{cases} N[j] \cdot W_{Cx}[i-j] \\ N[j]\langle x\rangle[j] \cdot W_{Dx}[i-j] \\ N[j] \cdot W_{Qx}[i-j] \Delta x[i] \end{cases}$$

$$| = -\frac{e^2}{m\gamma\beta^2c^2} \qquad \Delta x_i' = -\frac{e^2}{m\gamma\beta^2c^2}$$

$$\times \sum_{j=0}^{\text{n_slices}} \begin{cases} N[j] \cdot W_{Cx}[i-j] & \text{n_macroparticles} \\ N[j] \langle x \rangle [j] \cdot W_{Dx}[i-j] & \text{x} \\ N[j] \cdot W_{Qx}[i-j] \Delta x[i] \end{cases}$$



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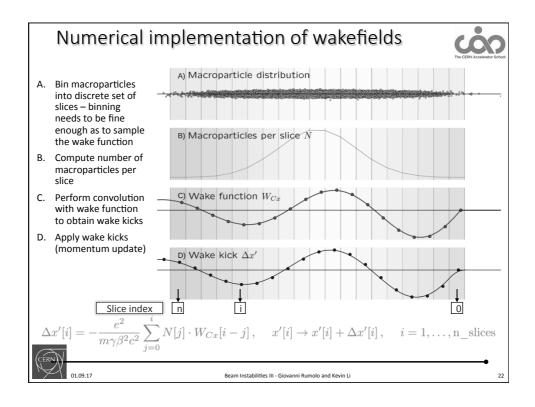
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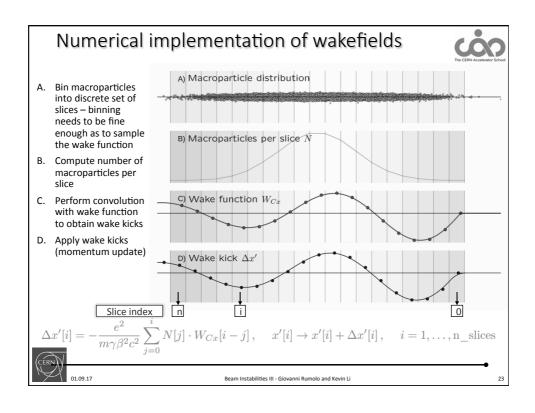
$$\times \sum_{j=0}^{\text{n_slices}} \begin{cases} N[j] \cdot W_{Cx}[i-j] \\ N[j]\langle x\rangle[j] \cdot W_{Dx}[i-j] \\ N[j] \cdot W_{Qx}[i-j] \Delta x[i] \end{cases}$$

- N[i]: number of macroparticles in slice 'i' \rightarrow can be pre-computed and stored in
- W[i]: wake function pre-computed and stored in memory for all differences i-j

	Count	0	1	2	3	4	5	
	N[i]							
	W[i]		:					











- We have seen how the impact of wake fields on charged particle beams can be implemented numerically in an efficient manner via the longitudinal discretization of bunches.
- Before using numerical tools to investigate and visualize some of the different mechanisms, we will first derive some basic effects using analytical models. One very simple but already quite powerful tool are two-particle models.

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The Strong Head Tail Instability



- Aka the Transverse Mode Coupling Instability:
 - o To illustrate TMCI we will need to make use of **some simplifications**:
 - The bunch is represented through two particles carrying half the total bunch charge and placed in opposite phase in the longitudinal phase space
 - They both feel external linear focusing in all three directions (i.e. linear betatron focusing + linear synchrotron focusing).
 - Zero chromaticity (Q'x,y=0)
 - Constant transverse wake left behind by the leading particle
 - Smooth approximation → constant focusing + distributed wake



We will:

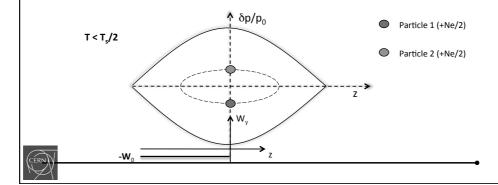
- Calculate a stability condition (threshold) for the transverse motion
- Have a look at the excited oscillation modes of the centroid



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The Strong Head Tail Instability

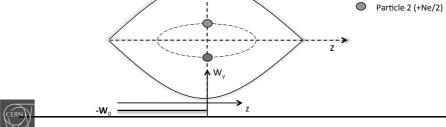


 During the first half of the synchrotron motion, particle 1 is leading and executes free betatron oscillations, while particle 2 is trailing and feels the defocusing wake of particle 1

$$\begin{cases} \frac{d^2y_1}{ds^2} + \left(\frac{\omega_\beta}{c}\right)^2 y_1 = 0 \\ \frac{d^2y_2}{ds^2} + \left(\frac{\omega_\beta}{c}\right)^2 y_2 = \left(\frac{e^2}{m_0c^2}\right) \frac{N W_0}{2\gamma C} y_1(s) \end{cases}$$

$$0 < s < \frac{\pi c}{\omega_s}$$

$$1 < T_s/2$$

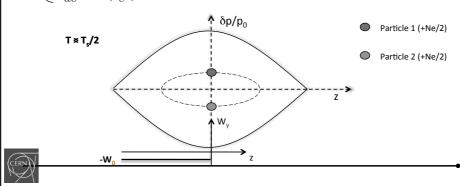


The Strong Head Tail Instability



- During the first half of the synchrotron motion, particle 1 is leading and executes free betatron oscillations, while particle 2 is trailing and feels the defocusing wake of particle 1
- During the second half of the synchrotron period, the situation is reversed:

$$\begin{cases} \frac{d^2y_1}{ds^2} + \left(\frac{\omega_\beta}{c}\right)^2 y_1 = \left(\frac{e^2}{m_0c^2}\right) \frac{N W_0}{2\gamma C} y_2(s) \\ \frac{d^2y_2}{ds^2} + \left(\frac{\omega_\beta}{c}\right)^2 y_2 = 0 \end{cases} \qquad \frac{\pi c}{\omega_s} < s < \frac{2\pi c}{\omega_s}$$



The Strong Head Tail Instability



- We solve with respect to the complex variables defined below during the first half of synchrotron period
- y1(s) is a free betatron oscillation
- y2(s) is the sum of a free betatron oscillation plus a driven oscillation with y1(s) being its driving term

$$\begin{split} \tilde{y}_{1,2}(s) &= y_{1,2}(s) + i\frac{c}{\omega_{\beta}} \, y_{1,2}'(s) \\ \tilde{y}_{1}(s) &= \tilde{y}_{1}(0) \, \exp\left(-\frac{i\omega_{\beta}s}{c}\right) \\ \tilde{y}_{2}(s) &= \tilde{y}_{2}(0) \, \exp\left(-\frac{i\omega_{\beta}s}{c}\right) \\ &= \underbrace{\tilde{y}_{2}(0) \, \exp\left(-\frac{i\omega_{\beta}s}{c}\right)}_{\text{Free oscillation term}} & \text{since we consider } s = \frac{\pi c}{\omega_{s}} \\ &+ \underbrace{i\frac{Ne^{2}W_{0}}{4\,m_{0}\gamma c\,C\omega_{\beta}}\left(\frac{c}{\omega_{\beta}}\,\tilde{y}_{1}^{*}\right) \, \sin\left(\frac{\omega_{\beta}s}{c}\right) + \tilde{y}_{1}(0)\,s\,\exp\left(-\frac{i\omega_{\beta}s}{c}\right)\right)}_{\text{Driven oscillation term}} \end{split}$$

- Second term in RHS equation for y2(s) negligible if $\omega_{_S}$ << $\omega_{_{\beta}}$
- We can now transform these equations into linear mapping across half synchrotron period



The Strong Head Tail Instability



 We can now transform these equations into linear mapping across half synchrotron period

$$\begin{pmatrix} \tilde{y}_1 \\ \tilde{y}_2 \end{pmatrix}_{s=\pi c/\omega_s} = \left[\exp\left(-\frac{i\pi\omega_\beta}{\omega_s} \right) \cdot \begin{pmatrix} 1 & 0 \\ i\Upsilon & 1 \end{pmatrix} \right] \cdot \begin{pmatrix} \tilde{y}_1 \\ \tilde{y}_2 \end{pmatrix}_{s=0} \; , \quad \Upsilon = \frac{\pi N e^2 W_0}{4 \, m_0 \gamma \, C \omega_\beta \omega_s}$$

• In the second half of synchrotron period, particles 1 and 2 exchange their roles – we can therefore find the transfer matrix over the full synchrotron period for both particles. We can analyze the eigenvalues of the two particle system

$$\begin{split} \begin{pmatrix} \tilde{y}_1 \\ \tilde{y}_2 \end{pmatrix}_{s=2\pi c/\omega_s} &= \left[\exp\left(-\frac{i\,2\pi\omega_\beta}{\omega_s} \right) \cdot \begin{pmatrix} 1 & i\Upsilon \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ i\Upsilon & 1 \end{pmatrix} \right] \cdot \begin{pmatrix} \tilde{y}_1 \\ \tilde{y}_2 \end{pmatrix}_{s=0} \\ &= \left[\exp\left(-\frac{i\,2\pi\omega_\beta}{\omega_s} \right) \cdot \begin{pmatrix} 1 - \Upsilon^2 & i\Upsilon \\ i\Upsilon & 1 \end{pmatrix} \right] \cdot \begin{pmatrix} \tilde{y}_1 \\ \tilde{y}_2 \end{pmatrix}_{s=0} \end{split}$$



Strong Head Tail Instability – stability condition



$$\lambda_1 \cdot \lambda_2 = 1 \Rightarrow \lambda_{1,2} = \exp\left(\pm i\varphi\right)$$
$$\lambda_1 + \lambda_2 = 2 - \Upsilon^2 \Rightarrow \sin\left(\frac{\varphi}{2}\right) = \frac{\Upsilon}{2}$$
$$\Rightarrow \Upsilon = \frac{\pi N e^2 W_0}{4 \, m_0 \gamma \, C \omega_\beta \omega_s} \le 2$$

- Since the product of the eigenvalues is 1, the only condition for stability is that they both be purely imaginary exponentials
- From the second equation for the eigenvalues, it is clear that this is true only when $\sin(\phi/2)<1$
- This translates into a stability condition on the beam/wake parameters



Strong Head Tail Instability – stability condition



$$N \le N_{\text{threshold}} = \frac{8}{\pi e^2} \underbrace{\frac{p_0 \omega_s}{\beta_y}} \underbrace{\left(\frac{C}{W_0}\right)}_{N}$$

- Proportional to p₀ → bunches with higher energy tend to be more stable
- Proportional to ω_s \Rightarrow the quicker is the longitudinal motion within the bunch, the more stable is the bunch
- Inversely proportional to $\beta_y \Rightarrow$ the effect of the impedance is enhanced if the kick is given at a location with large beta function
 - Inversely proportional to the wake per unit length along the ring, W₀/C
 → a large integrated wake (impedance) lowers the instability threshold



Strong Head Tail Instability – mode frequencies



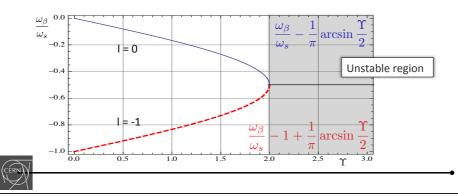
• The evolution of the eigenstates follows:

$$\begin{pmatrix} \tilde{V}_{+n} \\ \tilde{V}_{-n} \end{pmatrix} = \exp\left(-i\frac{2\pi\omega_{\beta}}{\omega_{s}}n\right) \cdot \begin{pmatrix} \exp\left[-2i\arcsin\left(\frac{\Upsilon}{2}\right)\cdot n\right] & 0 \\ 0 & \exp\left[2i\arcsin\left(\frac{\Upsilon}{2}\right)\cdot n\right] \end{pmatrix} \begin{pmatrix} \tilde{V}_{+0} \\ \tilde{V}_{-0} \end{pmatrix}$$

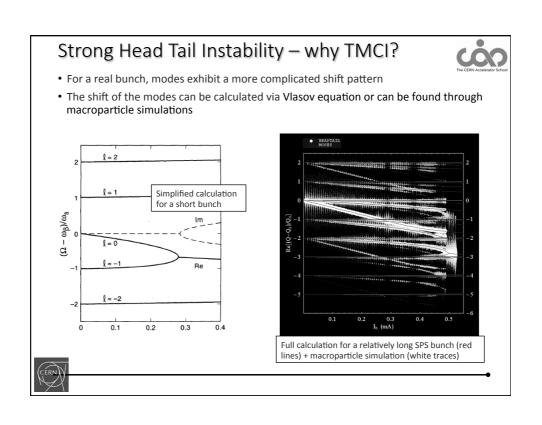
Eigenfrequencies:

$$\omega_{\beta} + l\omega_s \pm \frac{\omega_s}{\pi} \arcsin \frac{\Upsilon}{2}$$

They shift with increasing intensity



Strong Head Tail Instability — mode frequencies • The evolution of the eigenstates follows: $\begin{pmatrix} \tilde{V}_{+n} \\ \tilde{V}_{-n} \end{pmatrix} = \exp\left(-i\frac{2\pi\omega_{\beta}}{\omega_{s}}n\right) \cdot \begin{pmatrix} \exp\left[-2i\arcsin\left(\frac{\Upsilon}{2}\right)\cdot n\right] & 0 \\ 0 & \exp\left[2i\arcsin\left(\frac{\Upsilon}{2}\right)\cdot n\right] \end{pmatrix} \begin{pmatrix} \tilde{V}_{+0} \\ \tilde{V}_{-0} \end{pmatrix}$ Eigenfrequencies: $\omega_{\beta} + l\omega_{s} \pm \frac{\omega_{s}}{\pi} \arcsin\frac{\Upsilon}{2} \quad \text{They shift with increasing intensity}$ That's the reason why this type of instability is called Transverse Mode Coupling Instability is called Tr







- We have introduced the two-particle model.
- We have used the two-particle model to set up an analytically solvable formulation to study the **impact of dipolar wake fields** on beam stability.
- We have discovered an **important instability mechanism** leading to the fast headtail instability via transverse mode coupling. We have seen how this sets a hard limit on the **maximum achievable beam intensity** in a synchrotron.

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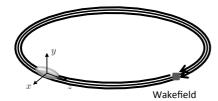
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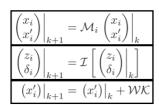
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Quick summary of steps for solving numerically



• Tracking one full turn including the interaction with wake fields:



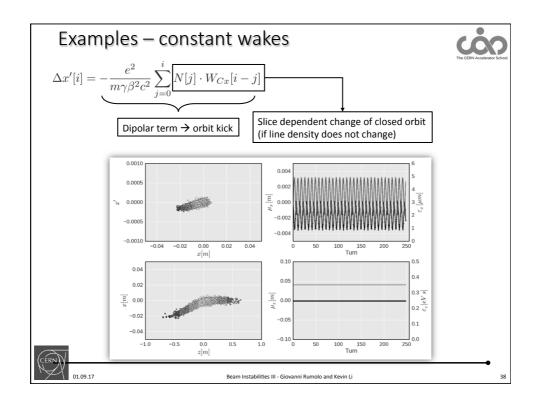


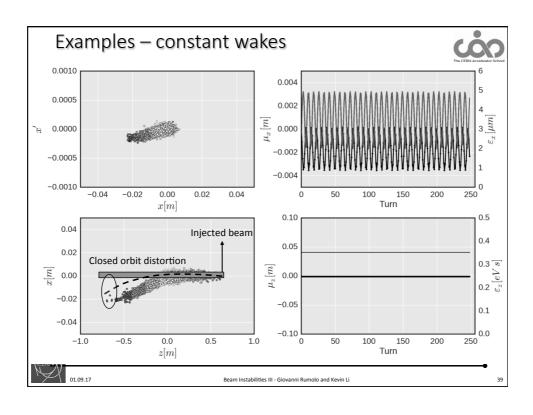
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- Update transverse coordinates and momenta according to the linear periodic transfer map – adjust the individual phase advance according to chromaticity and detuning with amplitude
- 3. Update the longitudinal coordinates and momenta according to the leap-frog integration scheme
- Update momenta only (apply kicks) according to wake field generated kicks
- 5. Repeat turn-by-turn...

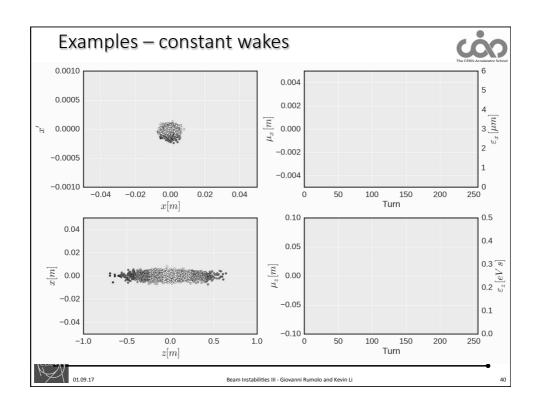


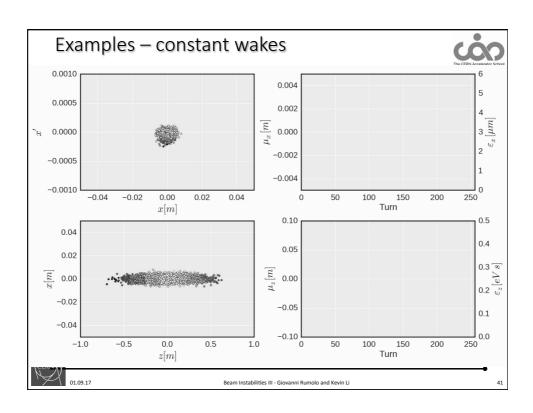
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Examples – dipole wakes



$$\Delta x'[i] = -\frac{e^2}{m\gamma\beta^2c^2\,C}\,\sum_{j=0}^i N[j]\,\langle x\rangle[j]\cdot W_{Dx}[i-j]$$
 Offset dependent orbit kick \rightarrow kicks can accumulate

Without synchrotron motion:
 kicks accumulate turn after turn – the beam is unstable → beam break-up in linacs



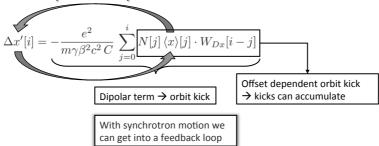
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Examples – dipole wakes





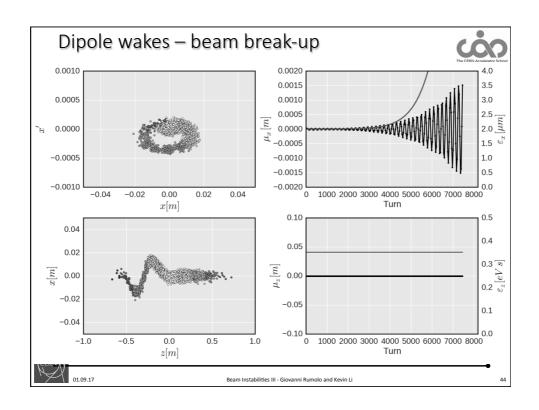
- Without synchrotron motion:
 kicks accumulate turn after turn the beam is unstable → beam break-up in linacs
- With synchrotron motion:
 - Chromaticity = 0
 - Synchrotron sidebands are well separated \rightarrow beam is stable
 - Synchrotron sidebands couple \Rightarrow (transverse) mode coupling instability
 - Chromaticity ≠ 0
 - Headtail modes → beam is unstable (can be very weak and often damped by non-linearities)

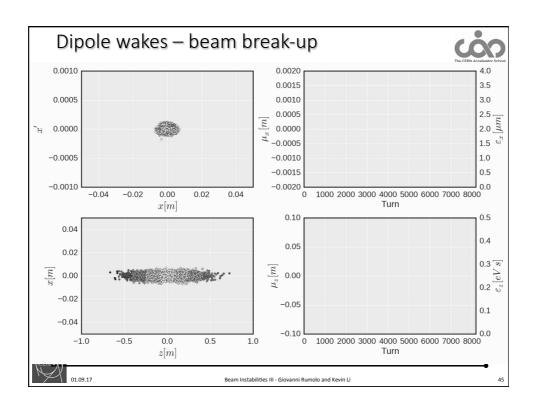


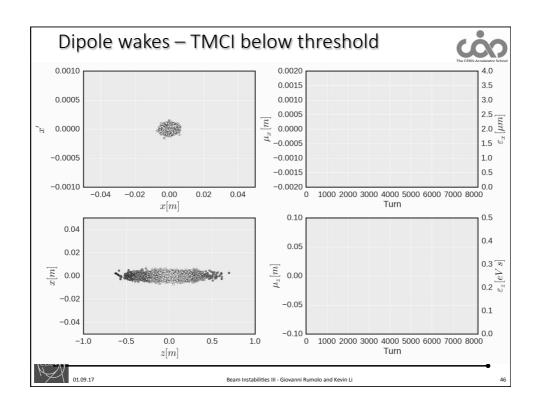
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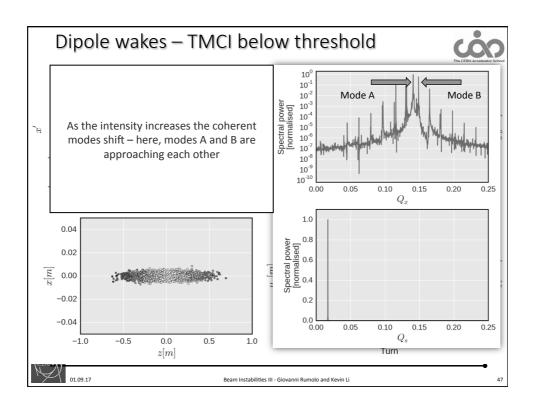
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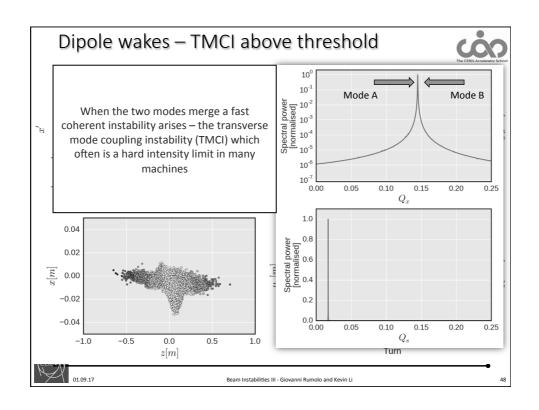
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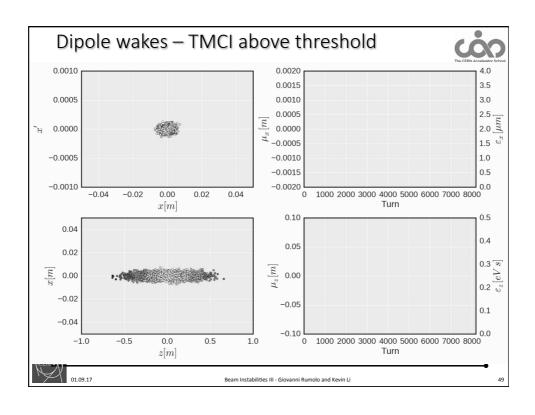








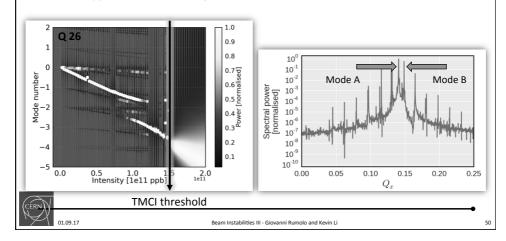




Raising the TMCI threshold – SPS Q20 optics



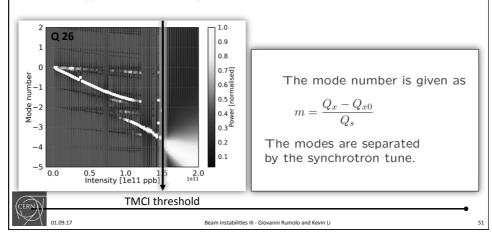
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- We can then perform a spectral analysis of each simulation...
- ... and stack all obtained plot behind one another to obtain...
- ... the typical visualization plots of TMCI



Raising the TMCI threshold – SPS Q20 optics



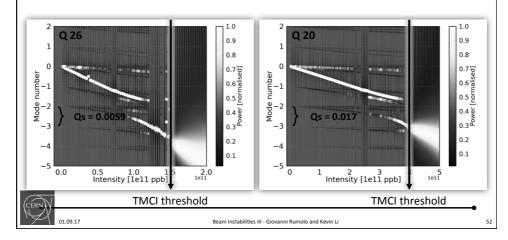
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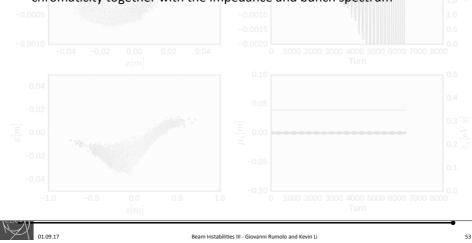
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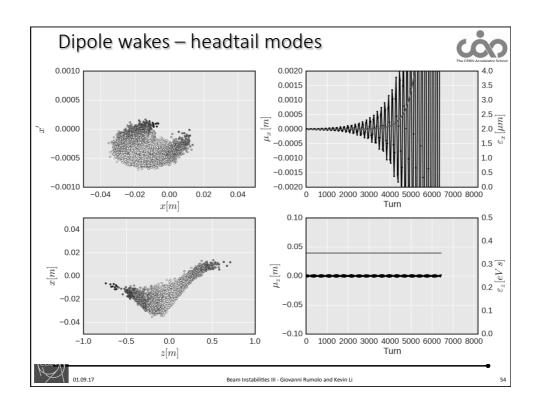


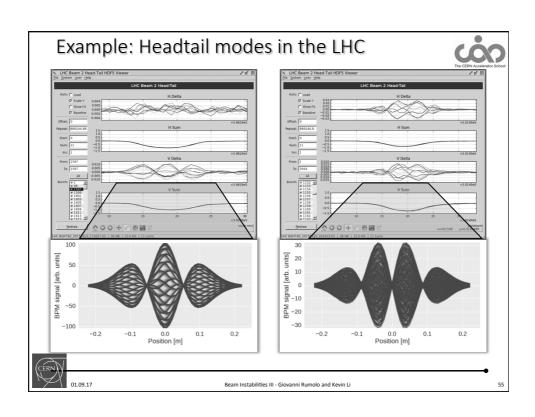
Dipole wakes – headtail modes



- As soon as chromaticity is non-zero, another 'resonant' condition can be met as particles now 'synchronize' their betatron motion with the synchrotron motion
- Headtail modes arise the order of the respective mode depends on the chromaticity together with the impedance and bunch spectrum











- We have **discussed transverse wake fields** and impedances, their classification into different types along with their impact on the beam dynamics.
- We have seen how the wake field interaction with a charged particle beam can be carried out numerically in an efficient manner.
- We have discussed the two-particle model and an analytically solvable problem.
- We have seen some examples of the effects of transverse wake fields on the beam such as **orbit distortion or transverse instabilities** (beam break-up, TMCI, headtail).

Part 3: Transverse wakefields – their different types and impact on beam dynamics

- Transverse wake fields and the transverse wake function
- Numerical implementation
- Two particle models
- Transverse "potential well distortion", transverse mode coupling instability and headtail instabilities



07/09/2017

Ream Instabilities II - Giovanni Rumolo and Kevin I

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End part 3



01.09.17

Beam Instabilities III - Giovanni Rumolo and Kevin Li