



Observations and Diagnostics in High Brightness Beams

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Lecture on yellow report

- Observations and Diagnostics in High Brightness Beams
- Proceedings of the CAS-CERN Accelerator School: Intensity Limitations in Particle Beams, Geneva, Switzerland, 2-11 November 2015, edited by W. Herr, CERN Yellow Reports: School Proceedings, Vol. 3/2017, CERN-2017-006-SP (CERN, Geneva, 2017)
- <https://publishing.cern.ch/index.php/CYRSP/article/download/253/272>

- Brightness and its meaning
- Fundamental parameters
- Transverse and longitudinal measurements
- Intercepting and non intercepting diagnostics
- This lecture is about general principles without so many technical details

- C. Lejeune and J. Aubert, "Emittance and Brightness, definitions and measurements", *Adv. Electron. Electron Phys., Suppl. A 13*, 159 (1980).
- A. Wu Chao, M. Tigner "Handbook of Accelerator Physics and Engineering" *World Scientific*, pag 255
- C. A. Brau "What Brightness means" in *The Physics and Applications of High Brightness Electron Beam*, *World Scientific*, pag 20
- M. Reiser, "Theory and design of charged particle beams", *Wiley-VCH*, pag 61
- Shyh-Yuan Lee, "Accelerator Physics", *World Scientific*, pag 419
- J. Clarke "The Science and Technology of Undulators and Wiggles" *Oxford Science Publications*, pag 73

- Several authors give different definitions
- Brilliance is sometimes used, especially in Europe, instead of brightness
- There is also confusion because the same words apply both to particle beams and photon beams
- The best way is to look to units, which should be unambiguous

- In 1939 Von Borries and Ruska, who got the Nobel Prize in 1986 for the invention of the electronic microscope, introduced the concept of beam brightness

$$B_{microscope} = \frac{I}{A\Omega} = \frac{Ne}{\pi r^2 \pi \alpha^2 \Delta t}$$

- This quantity is practically constant in the microscope column
- This quantity is extremely important because it defines the quality of the source and determines the kind of experiments which can be done

Definitions of Brightness

$$B = \frac{dI}{dS d\Omega}$$

For particle distribution whose boundary in 4D trace space is defined by an hyperellipsoid

$$\bar{B} = \frac{2I}{\pi^2 \varepsilon_x \varepsilon_y} \quad [A/(m\text{-rad})^2]$$

$$\bar{B}_n = \frac{2I}{\pi^2 \varepsilon_{nx} \varepsilon_{ny}} \quad \text{Normalized Brightness}$$

From diagnostics point of view what does it mean high brightness?

Sometimes 6D brightness

$$B_{6D} \propto \frac{Ne}{\varepsilon_{nx} \varepsilon_{ny} \sigma_t \sigma_\gamma}$$

- In numbers, for typical electron beams parameters:
 - $N \approx 10^9$
 - $\sigma_\gamma \approx 10^{-3}$
 - $\varepsilon_n \approx 1 \text{ mm mrad}$
 - $\sigma_t < 1 \text{ ps}$
 - 6D brightness is of the order of 10^{15} A/m^2 .

Some example of HB beams

- Linacs driving a radiation source (FEL, Compton, THz...)
- Linacs for ILC
- Plasma accelerated beams

Brilliance

$$B = \frac{d^4 N}{dt d\Omega dS d\lambda / \lambda} \quad \text{Photons/ (s mm}^2 \text{ mrad}^2 \text{ 0.1\% of bandwidth)}$$

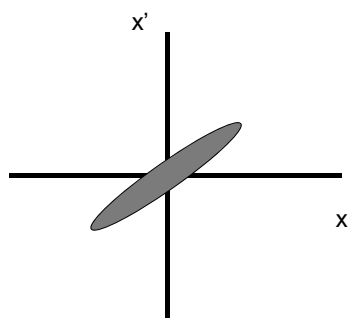
H. Wiedeman uses the name "spectral brightness" for photons

- Report of the Working Group on Synchrotron Radiation Nomenclature - brightness, spectral brightness or brilliance?
- The conclusion reached is that the term spectral brightness best describes this quantity. Brightness maintains the generally accepted concept of intensity per unit source size and divergence, while the adjective spectral conveys the scientific importance of the number of photons in a given bandwidth, particularly for experiments such as inelastic and/or nuclear resonant scattering.
- J. Synchrotron Rad. (2005). 12, 385

Transverse Diagnostics

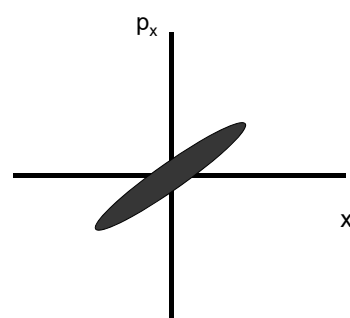
Trace vs Phase space

Trace space



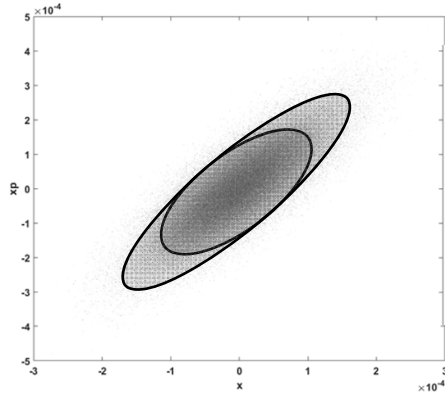
$$x' = \frac{dx}{ds} = \frac{dx}{dt} \cdot \frac{dt}{ds} = \frac{\beta_x}{\beta_s}$$

$$p_x = m_0 c \gamma_{\text{rel}} \beta_x$$



Phase space

RMS emittance



$$\sigma_x^2(z) = \langle x^2 \rangle = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} x^2 f(x, x', z) dx dx'$$

$$\sigma_{x'}^2(z) = \langle x'^2 \rangle = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} x'^2 f(x, x', z) dx dx'$$

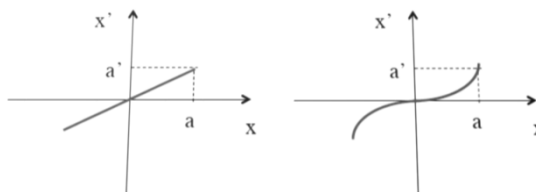
$$\sigma_{xx'}(z) = \langle xx' \rangle = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} xx' f(x, x', z) dx dx'$$

$$\varepsilon_{rms} = \sqrt{\sigma_x^2 \sigma_{x'}^2 - \sigma_{xx'}^2} = \sqrt{(\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2)}$$

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13

Importance of RMS emittance



$$x' = Cx^n$$

$$\varepsilon_{rms} = C \sqrt{\langle x^2 \rangle \langle x^{2n} \rangle - \langle x^{n+1} \rangle^2} \quad \begin{cases} n = 1 \Rightarrow \varepsilon_{rms} = 0 \\ n > 1 \Rightarrow \varepsilon_{rms} \neq 0 \end{cases}$$

Even when the phase-space area is zero, if the distribution lies on a curved line its rms emittance is not zero.

RMS emittance is not an invariant for Hamiltonian with non linear terms.

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14

Geometrical vs Normalized

$$\varepsilon_n^2 = \langle x^2 \rangle \langle \beta^2 \gamma^2 x'^2 \rangle - \langle x \beta \gamma x' \rangle^2$$

$$\sigma_E^2 = \frac{\langle \beta^2 \gamma^2 \rangle - \langle \beta \gamma \rangle^2}{\langle \gamma \rangle^2}$$

$$\varepsilon_n^2 = \langle \gamma \rangle^2 \sigma_\varepsilon^2 \langle x^2 \rangle \langle x'^2 \rangle + \langle \beta \gamma \rangle^2 \left(\langle x^2 \rangle \langle x'^2 \rangle - \langle x x' \rangle^2 \right)$$

M. Migliorati et al, Physical Review Special Topics, Accelerators and Beams 16, 011302 (2013)
K. Floettmann, PRSTAB, 6, 034202 (2003)

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15

Fundamental issue

$$\varepsilon_n^2 = \langle \gamma \rangle^2 (\sigma_\varepsilon^2 \sigma_x^2 \sigma_{x'}^2 + \varepsilon^2) \quad \sigma_x(s) \approx \sigma_{x'} s$$

$$\varepsilon_n^2 = \langle \gamma \rangle^2 (s^2 \sigma_\varepsilon^2 \sigma_{x'}^4 + \varepsilon^2)$$

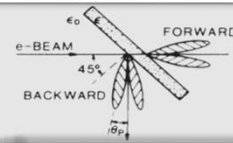
- For the accelerator community the normalized emittance is one of the main parameter because is constant
- For plasma accelerated beams, due to the large energy spread and huge angular divergence, it is not true anymore

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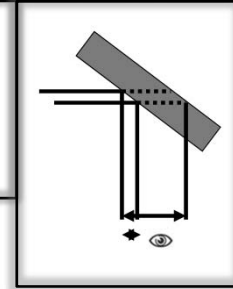
16

Intercepting devices

- OTR monitors
 - High energy (>tens of MeV), high charge (>hundreds of pC)
 - No saturation
 - Resolution limit closed to optical diffraction limit
 - Surface effect



- Scintillator (like YAG:CE)
 - Large number of photons
 - Resolution limited to grain dimension (few microns)
 - Saturation depending of the doping level
 - Bulk effect
 - Thin crystal to prevent blurring effect



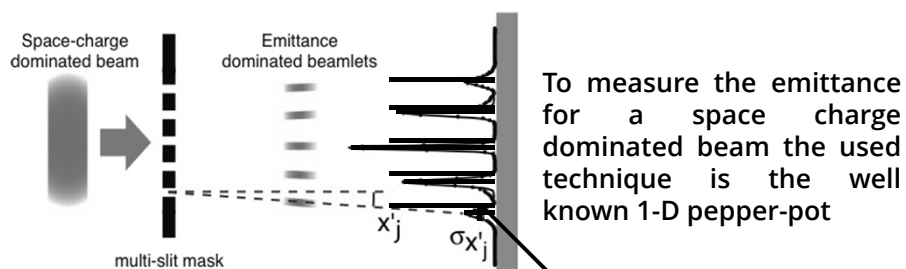
- Wire scanner
 - Multiple scattering reduced
 - Higher beam power
 - Multishot measurement
 - 1 D
 - Complex hardware installation

See R. Jones Lecture

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17

Space charge regime



The emittance can be reconstructed from the second $\varepsilon = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}$ momentum of the distribution

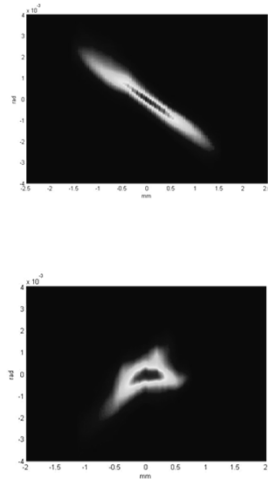
C. Lejeune and J. Aubert, Adv. Electron. Electron Phys. Suppl. A **13**, 159 (1980)

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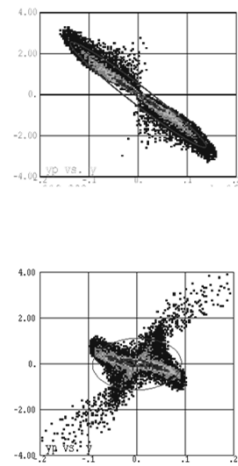
18

Phase space mapping

Measurements



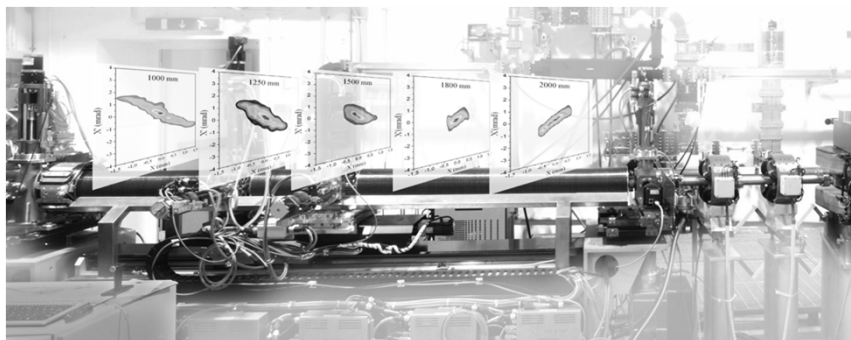
Simulations



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19

Phase space evolution



A. Cianchi et al., "High brightness electron beam emittance evolution measurements in an rf photoinjector", *Physical Review Special Topics Accelerator and Beams* 11, 032801, 2008

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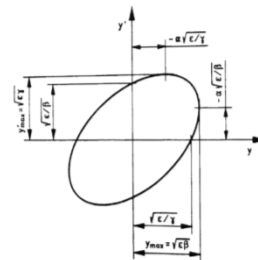
20

- The most used techniques for emittance measurements are quadrupole scan and multiple monitors

$$\gamma x^2 + 2\alpha x x' + \beta x'^2 = \varepsilon = \gamma_0 x_0^2 + 2\alpha_0 x_0 x'_0 + \beta_0 x_0'^2$$

$$M(s_1 s_2) = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix} \quad \begin{pmatrix} \beta \\ \alpha \\ \gamma \end{pmatrix} = \begin{pmatrix} C^2 & -2SC & S^2 \\ -CC' & S'C + SC' & -SS' \\ C'^2 & -2S'C' & S'^2 \end{pmatrix} \begin{pmatrix} \beta_0 \\ \alpha_0 \\ \gamma_0 \end{pmatrix}$$

$$\sigma = \begin{pmatrix} \sigma_{11} & \sigma_{12} \\ \sigma_{12} & \sigma_{22} \end{pmatrix} = \varepsilon \begin{pmatrix} \beta & -\alpha \\ -\alpha & \gamma \end{pmatrix}$$

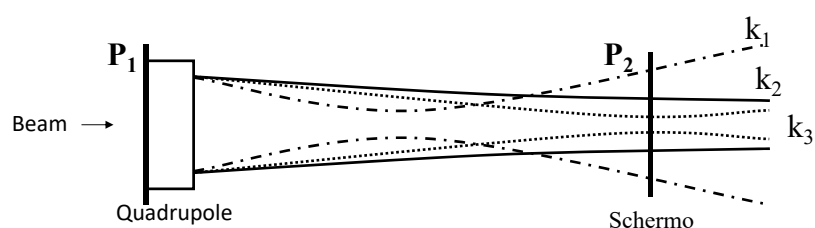


$$\sigma_{11} x^2 + 2\sigma_{12} x x' + \sigma_{22} x'^2 = 1$$

$$\sigma_1 = M \sigma_0 M^T$$

$$\sigma_{i,11} = C_i^2 \sigma_{11} + 2S_i C_i \sigma_{12} + S_i^2 \sigma_{22}$$

- There are 3 unknown quantities
- $\sigma_{i,11}$ is the RMS beam size
- C_i and S_i are the element of the transport matrix
- We need 3 measurements in 3 different positions to evaluate the emittance



$$\sigma_{11} = C^2(k) \sigma_{11} + 2C(k)S(k) \sigma_{12} + S^2(k) \sigma_{22}$$

- It is possible to measure in the same position changing the optical functions
- The main difference respect to the multi screen measurements is in the beam trajectory control and in the number of measurements

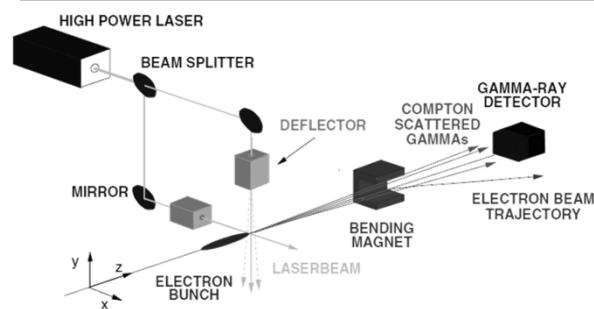
Intercepting diagnostics

- High charge or high repetition rate machines
- Small beam dimension (between 50 μm down to tens of nm)
- All the intercepting devices are damaged or destroyed from these kind of beams
- No wire scanners, no OTR screens, no scintillators
- There are good candidates for longitudinal diagnostic
- It is difficult to replace intercepting devices for transverse dimensions
- There are a lot of ideas in testing

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25

Laser Wire

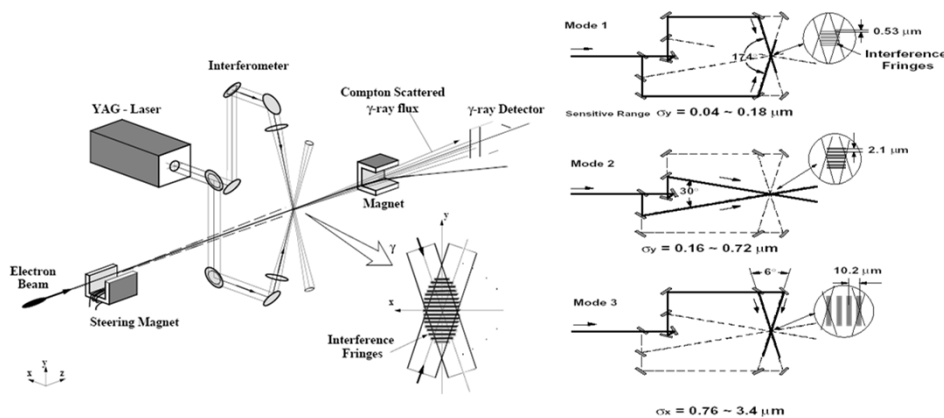


- Not intercepting device
- Multi shot measurement (bunch to bunch position jitter, laser pointing jitter, uncertainty in the laser light distribution at IP)
- Setup non easy
- Resolution limited from the laser wavelength
- Several effects to take into account
- I. Agapov, G. A. Blair, M. Woodley, Physical review special topics-accelerators and beams 10, 112801 (2007)

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26

Laser interferometry



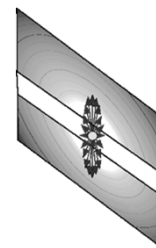
- Tsumoru Shintake, "Proposal of a nanometer beam size monitor for e^+e^- linear collider", Nuclear Instruments and methods in Physics Research A311 (1992) 453

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27

Diffraction Radiation

- The charge goes into the hole without touching the screen
- The electromagnetic field of the moving charge interacts with the metallic screen
- No power is deposited on the screen
- The angular distribution of the emerging radiation is affected by the beam transverse size, the angular spread and the position inside the slit
 - M. Castellano 1997 Nucl. Instrum. Methods Phys. Res., Sect. A 394, 275.
- Rectangular slit



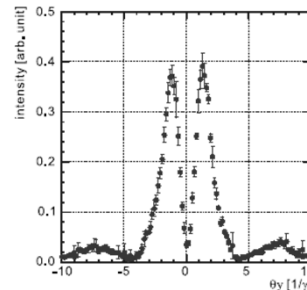
$$I \propto e^{-\frac{2\pi a}{\gamma\lambda}}$$

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28

First experiment @ KEK

P. Karataev et al., "Beam-Size Measurement with Optical Diffraction Radiation at KEK Accelerator Test Facility", Phys. Rev. Lett. 93, 244802 (2004)



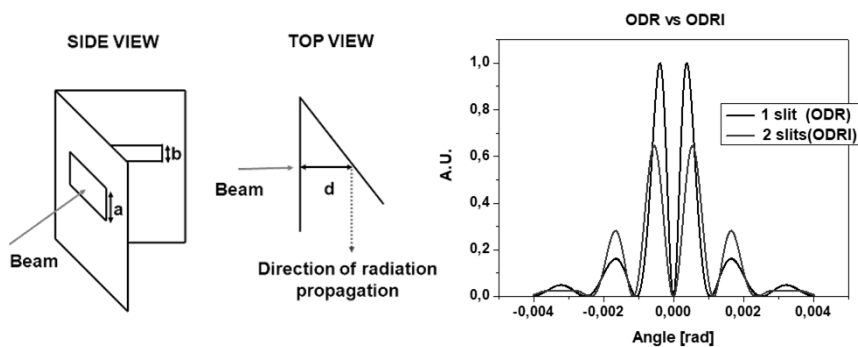
- Weak signal vs strong background, coming mainly from Synchrotron Radiation
- Precise control of the beam position inside the slit needs a complementary diagnostics

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29

Introducing ODRI

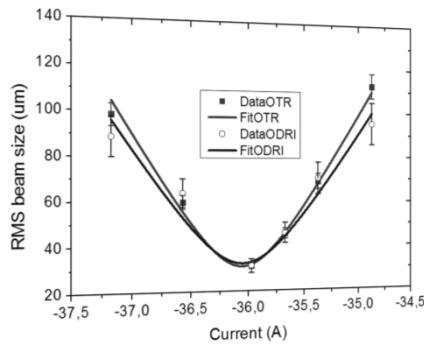
Optical Diffraction Radiation Interference



A. Cianchi et al. "Nonintercepting electron beam size monitor using optical diffraction radiation interference", PRSTAB 14, 102803

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30



$$\epsilon_{y-ODRI} = (2.23 \pm 0.85) \text{ mm-mrad}$$

$$\epsilon_{y-OTR} = (2.37 \pm 0.46) \text{ mm-mrad}$$



Cianchi, A., et al. "First non-intercepting emittance measurement by means of optical diffraction radiation interference." *New Journal of Physics* 16.11 (2014): 113029.

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31

- Space charge dominated beams
 - Pepper pot like
- Non space charge dominated beams
 - Quadrupole scan mainly
- Problems with intercepting diagnostics for high brightness/repetition rate machines
 - Several instruments are still developing

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32



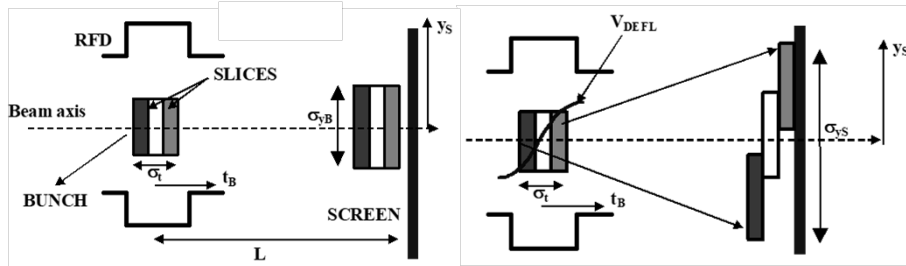
Longitudinal Diagnostics



Longitudinal parameters

- Fundamental parameter for the brightness
- Bunch lengths can be on ps (uncompressed) or sub-ps time scale, down to fs scale!
- Several methods
 - Coherent radiations
 - RFD
 - EOS
 - Others?
- T. Watanabe et al, *"Overall comparison of subpicosecond electron beam diagnostics by the polychromator, the interferometer and the femtosecond streak camera"*, Nuclear Instruments and Methods in Physics Research A 480 (2002) 315–327

RF deflector



Paul Emma, Josef Frisch, Patrick Krejcik, A Transverse RF Deflecting Structure for Bunch Length and Phase Space Diagnostics, LCLS-TN-00-12
 Christopher Behrens, Measurement and Control of the Longitudinal Phase Space at High-Gain Free-Electron Lasers, FEL 2011, Shanghai

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| 35

RFD

$$\Delta y' = \frac{qV_0}{pc} [kz \cos(\varphi) + \sin(\varphi)]$$

Betatron phase advance

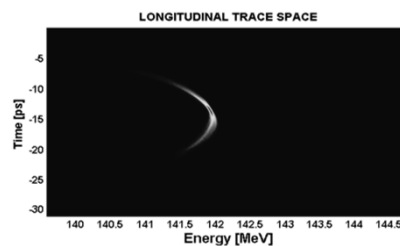
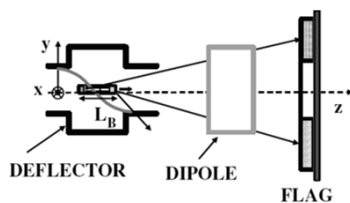
$$y(z) = \left(\sqrt{\beta\beta_0} \sin \Delta \right) y'_0 \pm \frac{qV_0}{pc} kz \left(\sqrt{\beta\beta_0} \sin \Delta \right)$$

$$\begin{aligned} \langle (y - \langle y \rangle)^2 \rangle^{\pm} &= \beta\beta_0 \sin^2 \Delta \langle u'^2 \rangle + \beta\beta_0 \sin^2 \Delta \left(\frac{qV_0}{pc} k \right)^2 \langle z^2 \rangle + \\ &- \beta\beta_0 \sin^2 \Delta \langle y'_0 \rangle^2 - \sigma = \sqrt{\sigma_0^2 + \sigma_z^2} z^2 + \\ &\pm 2\beta\beta_0 \sin^2 \Delta \left(\frac{qV_0}{pc} k \right) \langle y_0 z \rangle + 2\rho\rho_0 \sin^2 \Delta \left(\frac{qV_0}{pc} k \right) \langle y'_0 \rangle \langle z \rangle \end{aligned}$$

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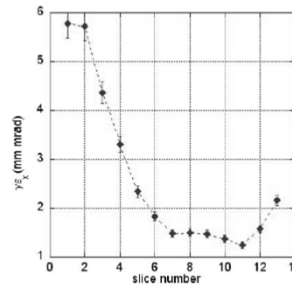
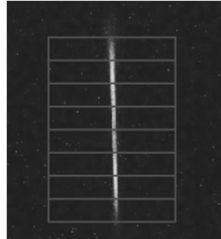
| 36

- Easy to implement
- Single shot
- Resolution down to fs
- Intercepting device
- As energy increases some parameter must be increased:
 - Frequency
 - Voltage or length



- Using together a RFD with a dispersive element such as a dipole
- Fast single shot measurement

Slice parameters

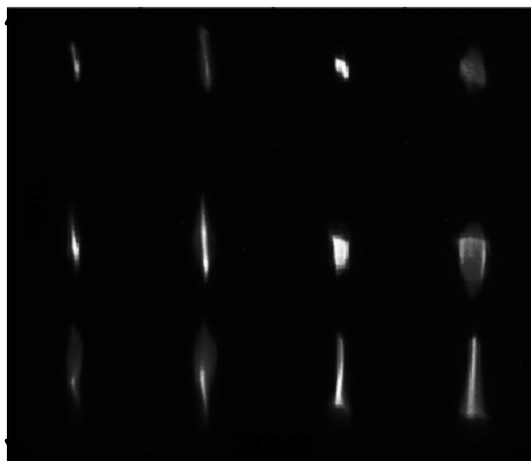


- Slice parameters are important for linac driving FEL machines
- Emittance can be defined for every slice and measured
- Also the slice energy spread can be measured with a dipole and a RFD

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39

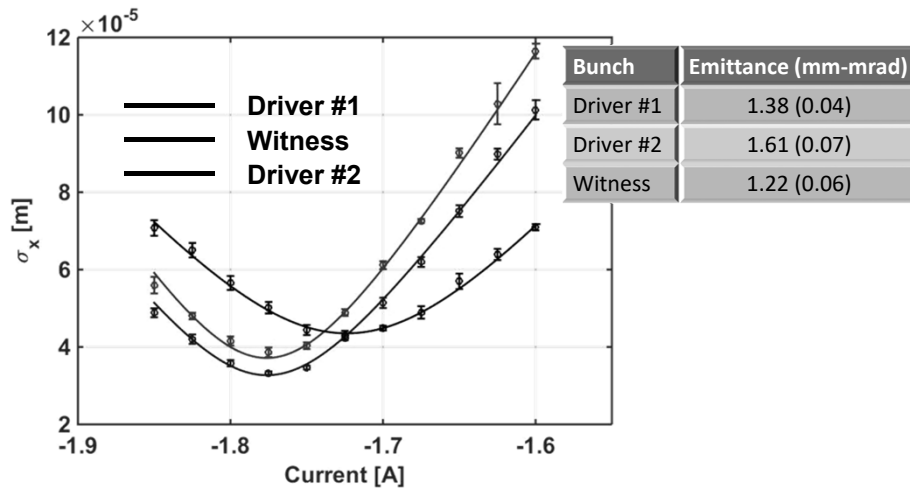
Quad scan comb-like beam



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40

Results



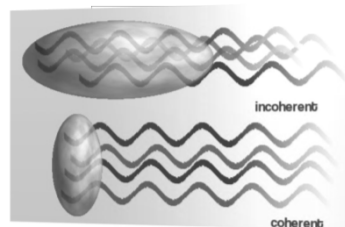
A. Cianchi et al. "Six-dimensional measurements of trains of high brightness electron bunches", Physical Review Special Topics Accelerators and Beams 18, 082804 (2015)

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41

Coherent radiation

- Any kind of radiation can be coherent and usable for beam diagnostics
 - Transition radiation
 - Diffraction radiation
 - Synchrotron radiation
 - Undulator radiation
 - Smith-Purcell radiation
 - Cherenkov radiation



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42

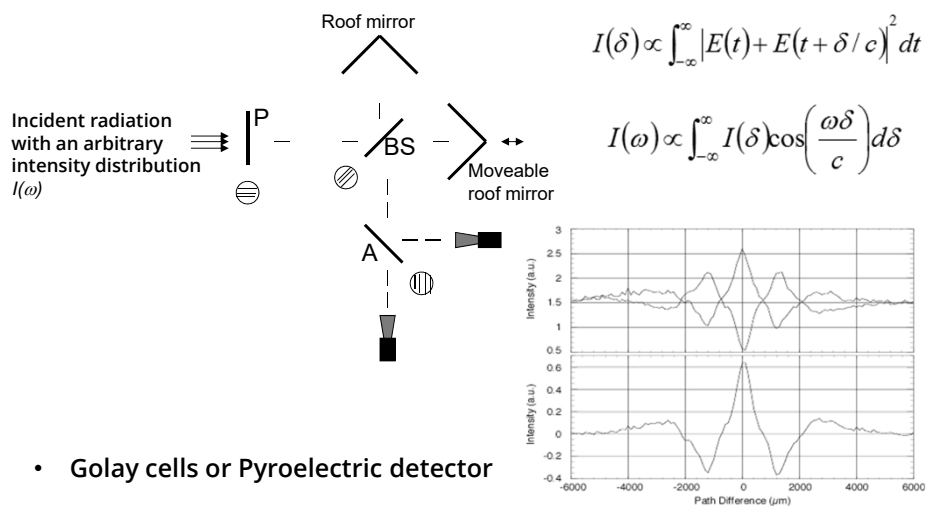
Power Spectrum

$$I_{\text{tot}}(\omega) = I_{\text{sp}}(\omega) [N + N^*(N-1) F(\omega)]$$

$$F(\omega) = \left| \int_{-\infty}^{\infty} dz \rho(z) e^{i(\omega/c)z} \right|^2 \quad \rho(z) = \frac{1}{\pi c} \int_0^{\infty} d\omega \sqrt{F(\omega)} \cos\left(\frac{\omega z}{c}\right)$$

- From the knowledge of the power spectrum is possible to retrieve the form factor
- The charge distribution is obtained from the form factor via Fourier transform
- The phase terms can be reconstructed with Kramers-Kronig analysis (see R. Lai, A.J. Sievers, NIM A 397 (1997) 221-231)

Martin-Puplett Interferometer



- Golay cells or Pyroelectric detector

Experimental considerations

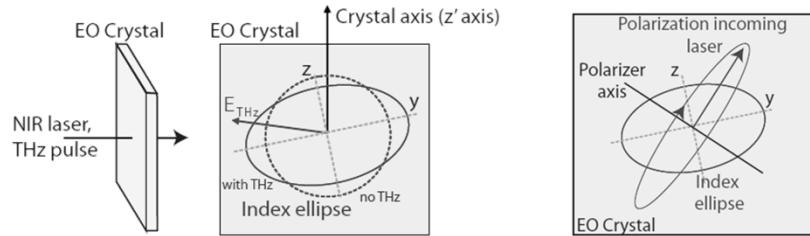
- Spectrum cuts at low and high frequencies can affect the beam reconstruction
 - Detectors
 - Windows
 - Transport line
 - Finite target size
- For this reason the approach is to test the power spectrum with the Fourier transform of a guess distribution
- Coherent synchrotron radiation or diffraction radiation can be generated by totally non intercepting devices and so they are eligible for high brightness beams diagnostic
- Multishots measurements. Single shot devices are still under developing

Electro Optical Sample (EOS)

- Totally non intercepting device and not disturbing device
- It is based on the change of the optical properties of a non linear crystal in the interaction with the Coulomb field of the moving charges
- Several schemes have been proposed and tested

- I. Wilke et al., "single-Shot electron beam bunch length measurements" PRL, v.88, 12(2002)
- G. Berden et al., "Electro-Optic Technique with improved time resolution for real time, non destructive, single shot measurements of femtosecond electron bunch profiles, PRL v93, 11 (2004)
- B. Steffen, "Electro-optic time profile monitors for femtosecond electron bunches at the soft x-ray free-electron laser FLASH", Phys. Rev. ST Accel. Beams 12, 032802 (2009)

A bit of theory



$$E_{M,j}(t) = E_{L,j}(t)e^{i\Gamma_j(t)}$$

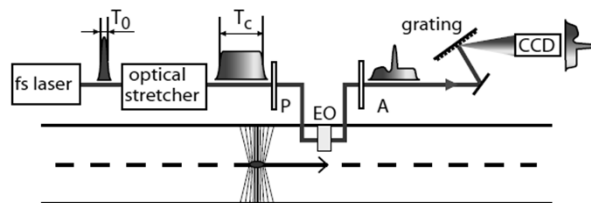
$$\Gamma_j(\omega) = \frac{2\pi}{\lambda_0} L \delta n_j(\omega) T_{\text{crystal}}(\omega), \quad \delta n_z = \frac{n_0^3 r_{41} E_{\text{THz}}}{4} \left(\cos\phi + \sqrt{1 + 3\sin^2\phi} \right)$$

$$T_{\text{crystal}}(\omega) = \frac{2}{1 + n_{\text{THz}}} \cdot \frac{\exp \left[iL(n_{\text{gr}} - n_{\text{THz}}) \frac{\omega}{c} \right] - 1}{i \frac{\omega}{c} (n_{\text{gr}} - n_{\text{THz}})}$$

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47

Spectral decoding

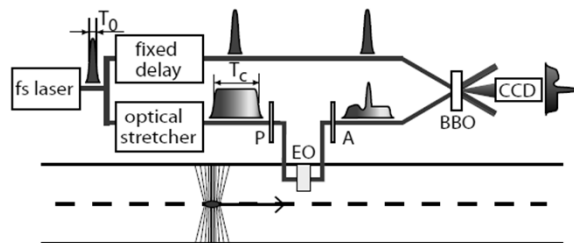


- Artifacts due to frequency mixing
 - Minimum resolution in the order $T_{\text{lim}} \approx 2.6 \sqrt{T_0 T_c}$
- J.R. Fletcher, Opt. Express 10, 1425 (2002)

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48

Temporal decoding

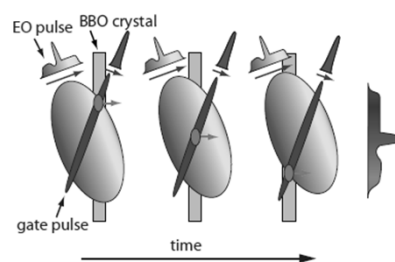


- Resolution : duration of the gate beam, thickness of the SHG crystal
- 50 fs or slightly better
- low efficiency SHG process, approx. 1mJ laser pulse energy necessary

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49

Temporal cont.

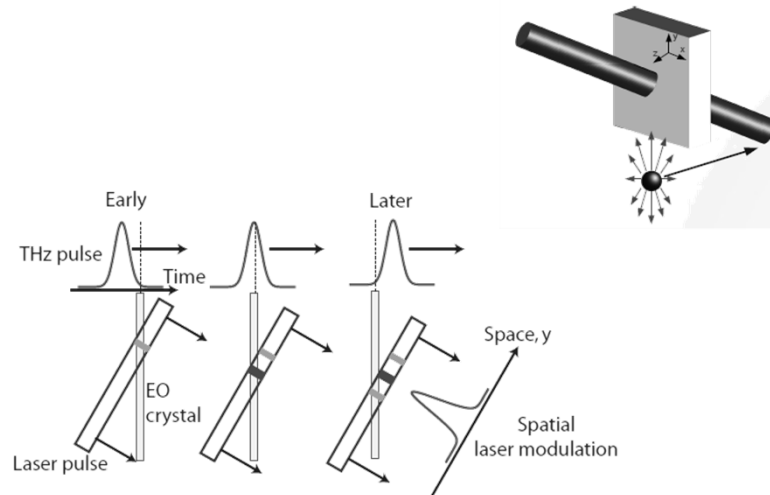


- The short gate pulse overlaps with different temporal slices of the EO pulse at different spatial positions of the BBO crystal. Thus the temporal modulation of the EO pulse is transferred to spatial distribution of the SHG light.

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50

Spatial decoding



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51

Longitudinal diagnostics recap

- **RFD**
 - Single shot
 - Resolution down to few fs
 - Intercepting
- **Coherent radiation**
 - Both intercepting and not intercepting
 - Resolution not limited in principle
 - Single shot device still developing
 - Indirect reconstruction from spectrum
- **EOS**
 - Not intercepting
 - Single shot
 - Resolution limited to about 40 fs
 - Time of arrival monitor

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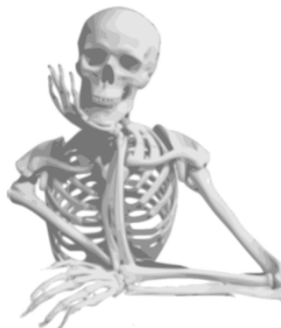
52

Conclusions

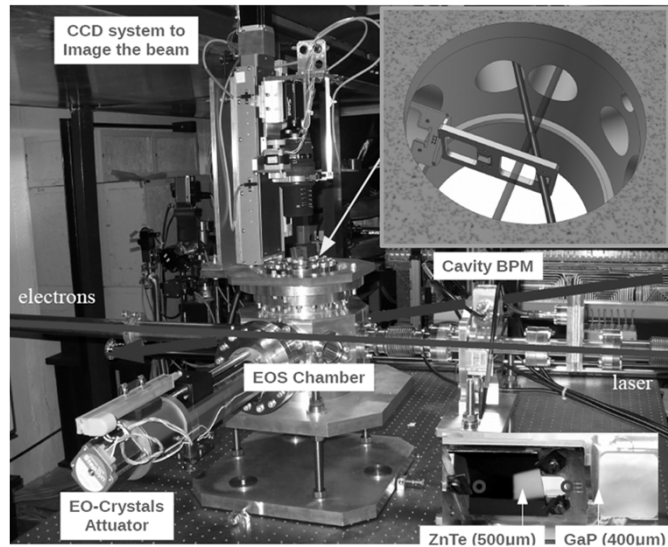
- High brightness beam demands particular diagnostic techniques in order to measure very small transverse emittance (<1 mm-mrad) and very short bunch length (< 1 ps)
- Intercepting or not intercepting diagnostics are recommended in some cases
- Some diagnostics are already state of the art
- Some others are still developing
- New ideas are daily tested, so if you want your part of glory start to think about today!

Finally it's over

- Thank you for your attention, if you are still alive...



EOS setup

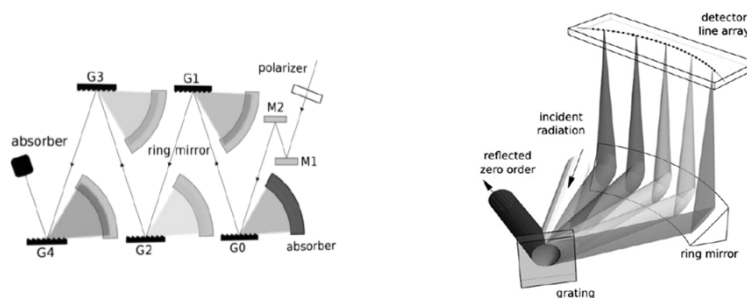


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55

Single shot CTR measurements I

- S. Wesch, B. Schmidt, C. Behrens, H. Delsim-Hashemi, P. Schmuser, A multi-channel THz and infrared spectrometer for femtosecond electron bunch diagnostics by single-shot spectroscopy of coherent radiation Nuclear Instruments and Methods in Physics Research A 665 (2011) 40–47



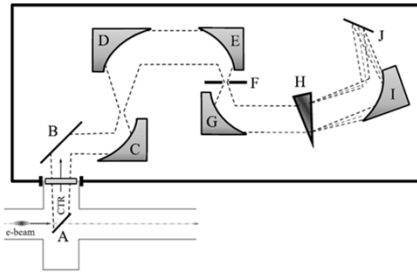
Pyro-electric line detector 30 channels @ room temperature no window, works in vacuum fast read out sensitivity

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56

Single shot CTR measurements II

- T. J. Maxwell et al. "Coherent-radiation spectroscopy of few-femtosecond electron bunches using a middle-infrared prism spectrometer." *Physical review letters* 111.18 (2013)



KRS-5 (thallium bromoiodide) prism based spectrometer developed

Images OTR from foil onto 128 lead zirconate titanate pyroelectric elements with 100 μm spacing line array

Also double prism (ZnSe), S. Wunderlich et al., Proceedings of IBIC2014

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57

Quantum limit

- Due to the Pauli exclusion principle, there is a maximum brightness theoretically achievable by an electron beam, as the 6D phase space density is limited by Heisenberg uncertainty principle

$$B_{\text{quantum}} = \frac{2e}{h^3} (m_0 c^2)^3 = \frac{2e}{\lambda_c^3} \approx 10^{25} \frac{A}{m^2}$$

- We have 10 orders of magnitude less.
- Electron emission mechanisms and Coulomb interactions play a crucial role

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58

Parameters to measure

- High brightness can be achieved with small emittance, high current or both
- Longitudinal and transverse parameters must be measured
- High charge and small emittance -> high power density beam
- Low charge, very short bunch length
- We focus our attention on linac or transfer line where it is possible to use intercepting diagnostic

Design issue

- The beamlets must be emittance dominated

$$\sigma_x'' = \frac{\varepsilon_n^2}{\gamma^2 \sigma_x^3} + \frac{I}{\gamma^3 I_0 (\sigma_x + \sigma_y)}$$

Martin Reiser, Theory and Design of Charged Particle Beams (Wiley, New York, 1994)

- Assuming a round beam

$$R_0 = \frac{I \sigma_0^2}{2 \mathcal{H}_0 \varepsilon_n^2} \quad \sigma_x = \frac{d}{\sqrt{12}}$$

- d must be chosen to obtain $R_0 \ll 1$, in order to have a emittance dominated beam

Design issues 2

- The contribution of the slit width to the size of the beamlet profile should be negligible
- The material thickness (usually tungsten) must be long enough to stop or heavily scatter beam at large angle (critical issue at high energy)
- The angular acceptance of the slit cannot be smaller of the expected angular divergence of the beam

$$\sigma = \sqrt{(L \cdot \sigma')^2 + \left(\frac{d^2}{12}\right)}$$

$$L \gg \frac{d}{\sigma' \cdot \sqrt{12}}$$

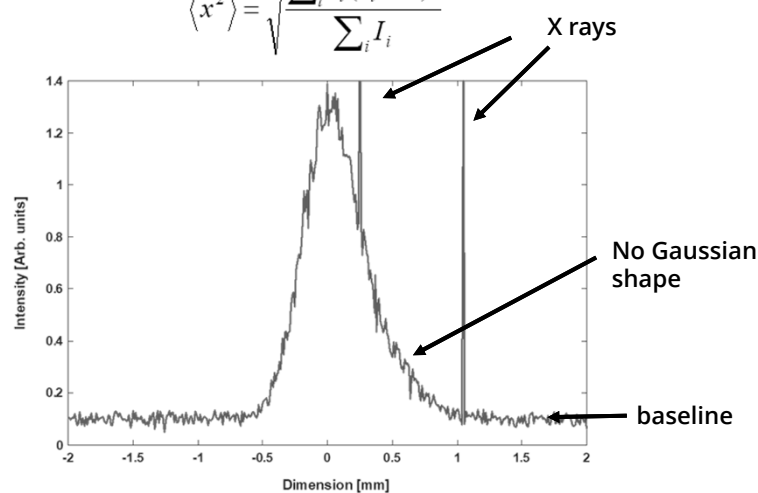
$$l < \frac{d}{2\sigma'}$$

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61

Root mean square

$$\langle x^2 \rangle = \sqrt{\frac{\sum_i I_i (x_i - \bar{x})^2}{\sum_i I_i}}$$

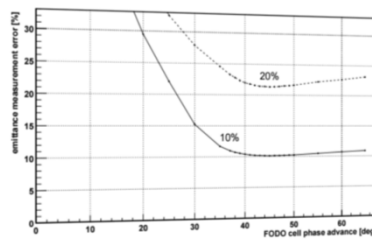
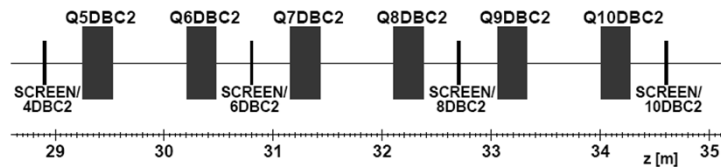


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62

Example: FLASH@DESY

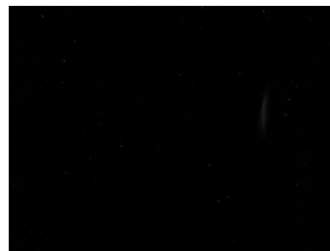
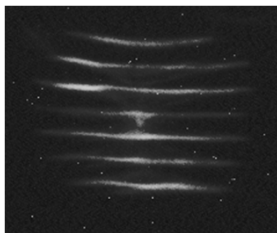
- M. Minty, F. Zimmermann, "Measurement and control of charged particle beams", Springer (2003)
- DESY-Technical Note 03-03, 2003 (21 pages) Monte Carlo simulation of emittance measurements at TTF2 P. Castro



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63

Examples



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64

- The most important parameter is the emittance
- To obtain high brightness beam it is of paramount importance to keep emittance growth under control
- Different methods apply for beams with or without space charge contribution
- Mainly the space charge is relevant at the exit of the RF GUN (few MeV)