

# NA62: New Opportunities In Rare Kaon Decays



CERN-SPSC-2005-013  
SPSC-P-326  
CERN-SPSC-2007-035  
SPSC-M760

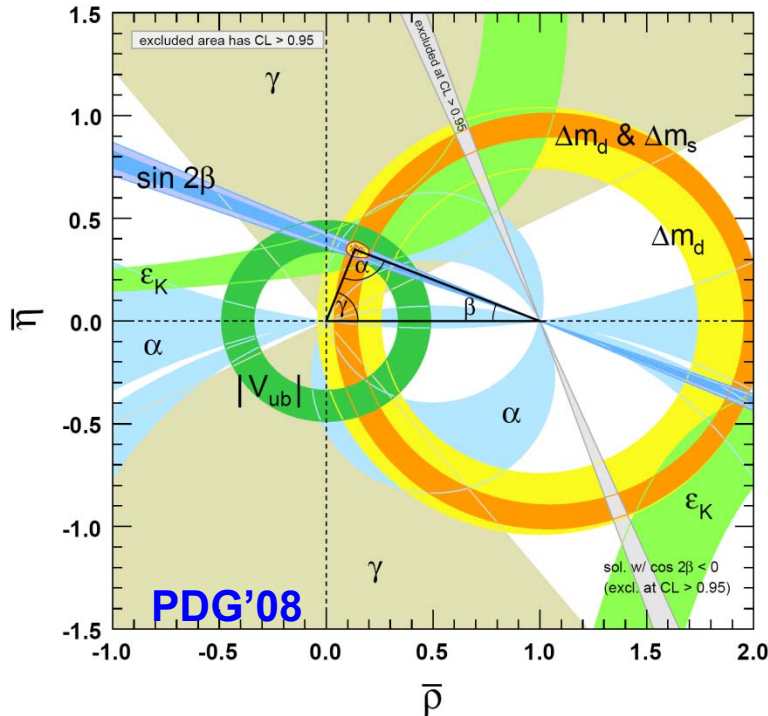
## A. Ceccucci for the NA62 Collaboration:

Bern ITP, Birmingham, Bristol, CERN, Dubna, Ferrara, Fairfax, Florence, Frascati, Glasgow, IHEP, INR, Liverpool, Louvain, Mainz, Merced, Naples, Perugia, Pisa, Rome I, Rome II, San Luis Potosi, SLAC, Sofia, TRIUMF, Turin

# Flavor in the Era of the LHC\*



- The current experimental manifestations of CP-Violation (K and B decays and mixing) are consistent with just one complex phase in the CKM matrix (“Standard Model”)



\*CERN Extended workshop, Nov 2005,  
March 2007, Edited by R. Fleischer, T. Hurth  
and M.L. Mangano  
EPJ C, 57, Vol 1-2, Sept 2008

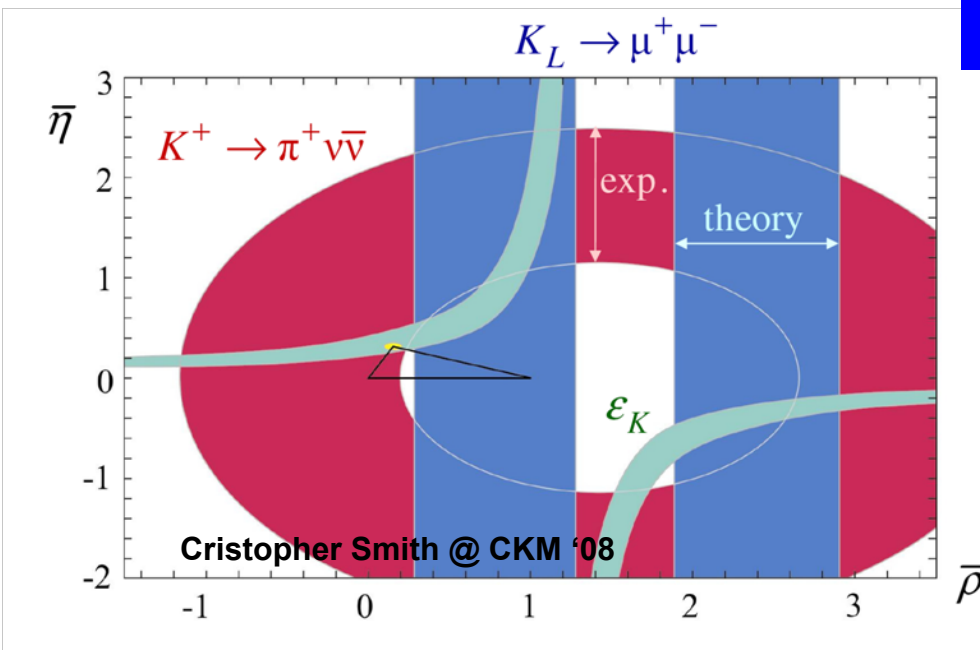
“[These articles] confirm that flavour  
physics is an essential ingredient in the  
future of high-energy physics”

- Paradigm shift: we should determine the “true” CKM parameters from observables not affected by New Physics (e.g. B tree decays) and measure loop-induced, precisely predictable (SM), FCNC to detect patterns of deviation

# $K \rightarrow \pi \nu \bar{\nu}$ : Theoretically Pristine and Almost Unexplored

Decay	Branching Ratio ( $\times 10^{10}$ )	
	Theory (SM)	Experiment
$K^+ \rightarrow \pi^+ \nu \bar{\nu} (\gamma)$	$0.85 \pm 0.07^{[1]}$	$1.73_{-1.05}^{+1.15[2]}$
$K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$	$0.28 \pm 0.04^{[3]}$	$< 670$ (90% CL) <sup>[4]</sup>

[1] J.Brod, M.Gorbahn, PRD78, arXiv:0805.4119  
 [2] AGS-E787/E949 PRL101, arXiv:0808.2459  
 [3] c.f. CKM 08 procs.  
 [4] KEK-E391a PRL 100, arXiv:0712.4164



$K_L \rightarrow \pi^0 \nu \bar{\nu}$ : Proposed: **KOTO (E14) J-PARC**  
 $\bar{\eta} < 17$

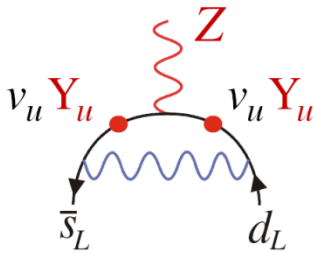
$K_L \rightarrow \pi^0 e^+ e^-$ :  
 $\bar{\eta} < 3.3$

$K_L \rightarrow \pi^0 \mu^+ \mu^-$ :  
 $\bar{\eta} < 5.4$

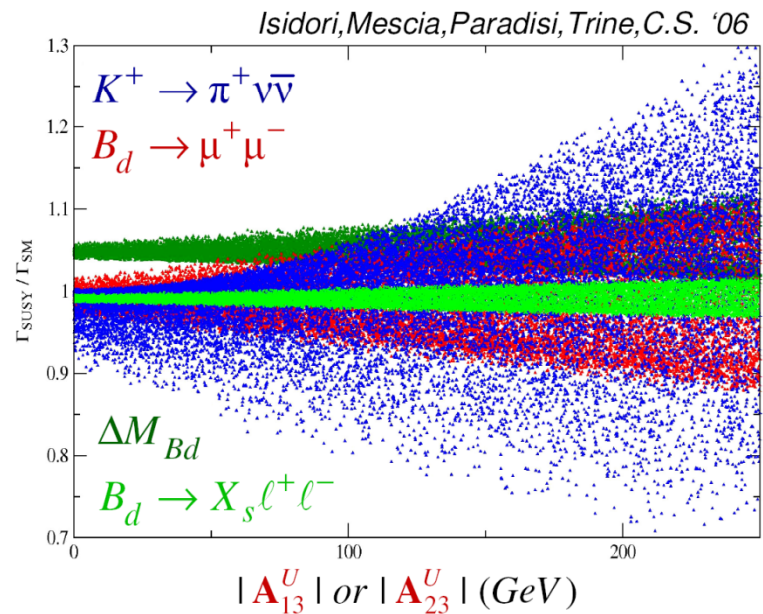
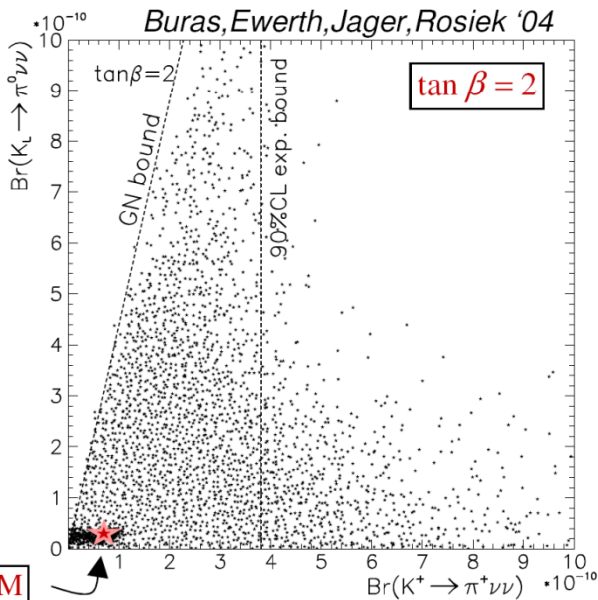
# Kaon Rare Decays and NP

(courtesy by Christopher Smith)

## C. The Z penguin (and its associated W box)

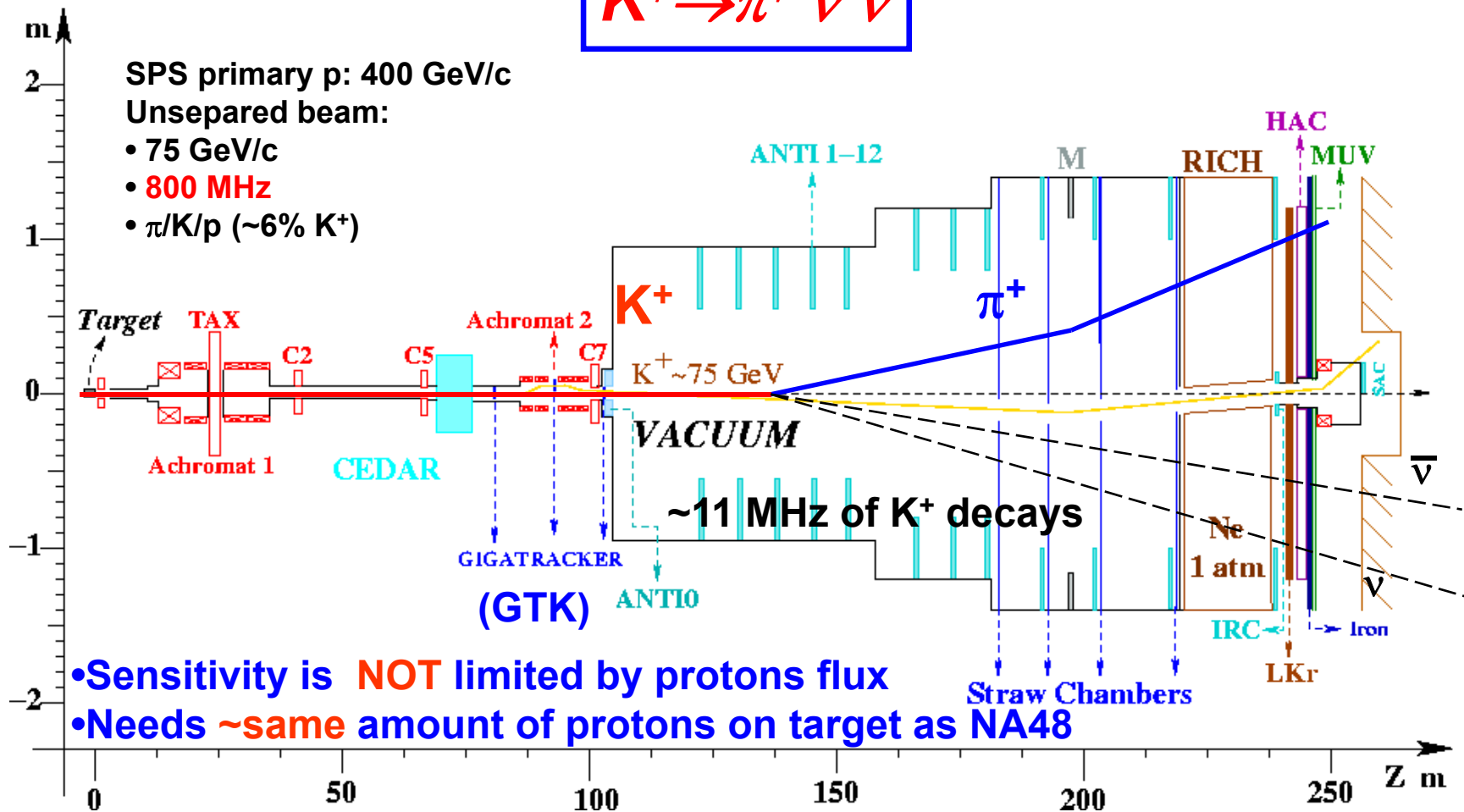


- $SU(2)_L$  breaking:  $SM : v_u^2 Y_u^{*32} Y_u^{31} \sim m_t^2 V_{ts}^* V_{td}$
- $MSSM : v_u^2 A_{\tilde{u}}^{*32} A_{\tilde{u}}^{31} \sim m_t^2 \times O(1)?$
- $MFV : v_u^2 A_{\tilde{u}}^{*32} A_{\tilde{u}}^{31} \sim m_t^2 V_{ts}^* V_{td} |A_0 a_2^* - \cot \beta \mu|^2.$
- Relatively slow decoupling (w.r.t. boxes or tree).



# Proposed Detector Layout

$$K^+ \rightarrow \pi^+ \nu \bar{\nu}$$



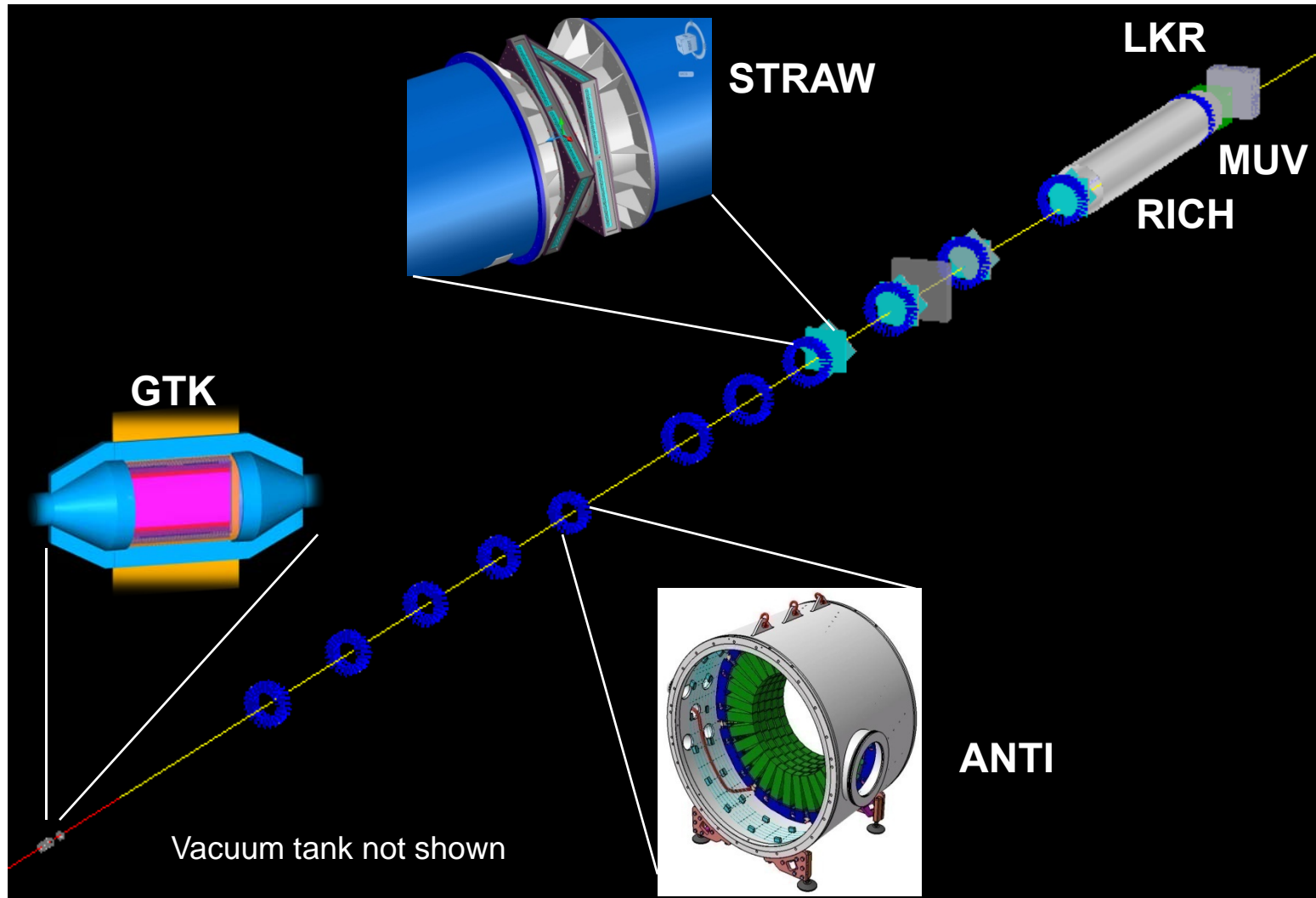
- Sensitivity is **NOT** limited by protons flux
- Needs **~same** amount of protons on target as NA48

# Principles of NA62

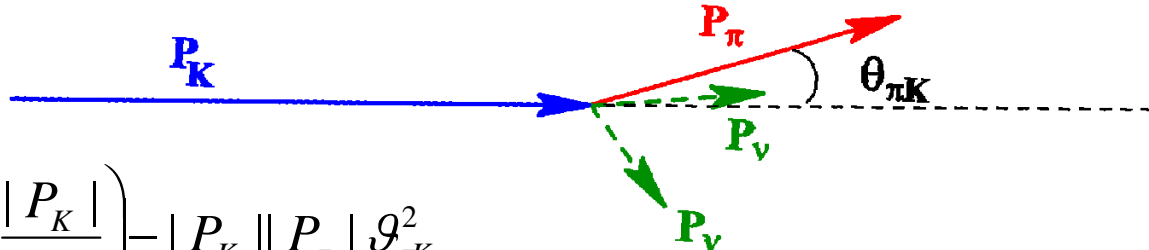


- **K<sup>+</sup> Decay in-flight** to avoid the scattering and the backgrounds introduced by the stopping target  
→ long decay region
  - **High momentum** to improve the background rejection  
→ unseparated hadron beam
1. **Precise timing** to associate the decay to the correct incoming parent particle (K<sup>+</sup>) in a **~800 MHz** beam  
→ Beam tracker with  $\sigma_t \sim 100$  (GTK)
  2. **Kinematical Rejection**  
→ low mass tracking (GTK + STRAW in vacuum tank)
  3. **Veto**s ( $\gamma$  and  $\mu$ )  
→ ANTI ( OPAL lead glass) + NA48 LKR  
→ MUV
  4. **Particle Identification**  
→ K/ $\pi$  (CEDAR)  
→  $\pi/\mu$  (RICH)

# NA62 Event Display

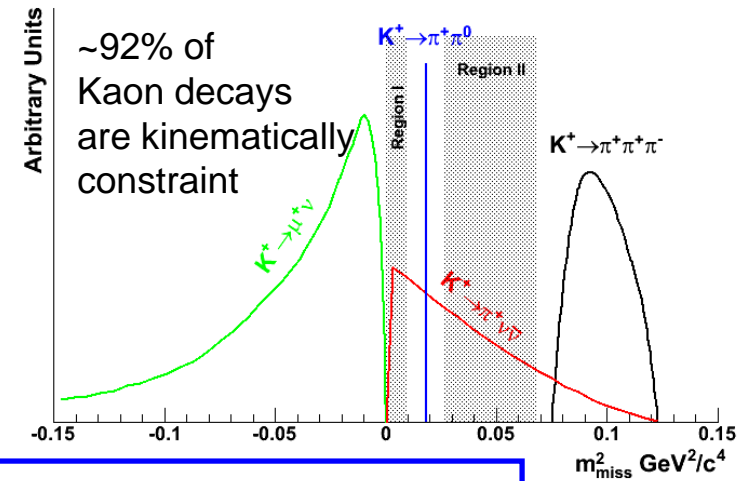


# Background Rejection



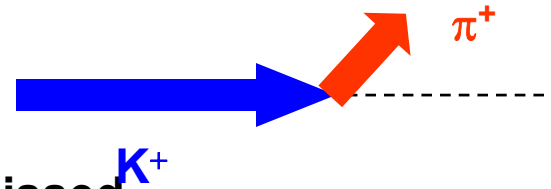
$$m_{miss}^2 \approx m_K^2 \left( 1 - \frac{|P_\pi|}{|P_K|} \right) + m_\pi^2 \left( 1 - \frac{|P_K|}{|P_\pi|} \right) - |P_K \parallel P_\pi| \mathcal{G}_{\pi K}^2$$

Decay	BR
$K^+ \rightarrow \mu^+ \nu$ ( $K_{\mu 2}$ )	0.64
$K^+ \rightarrow \pi^+ \pi^0$ ( $K_{\pi 2}$ )	0.21
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	
$K^+ \rightarrow \pi^+ \pi^0 \pi^0$	0.07



## Signature:

- Incoming **high momentum (75 GeV/c)**  $K^+$
- Outgoing **low momentum (< 35 GeV/c)**  $\pi^+$
- For  $K_{\pi 2}$   $P(\pi^0) > 40$  GeV/c: it can hardly be missed





# NA62 Sensitivity

Decay Mode	Events
<b>Signal: <math>K^+ \rightarrow \pi^+ \nu \nu</math></b> [ <i>flux</i> = $4.8 \times 10^{12}$ decay/year]	<b>55 <i>evt/year</i></b>
$K^+ \rightarrow \pi^+ \pi^0$ [ $\eta_{\pi^0} = 2 \times 10^{-8}$ ( $3.5 \times 10^{-8}$ ) ]	4.3% (7.5%)
$K^+ \rightarrow \mu^+ \nu$	2.2%
$K^+ \rightarrow e^+ \pi^+ \pi^- \nu$	$\leq 3\%$
Other 3 – track decays	$\leq 1.5\%$
$K^+ \rightarrow \pi^+ \pi^0 \gamma$	$\sim 2\%$
$K^+ \rightarrow \mu^+ \nu \gamma$	$\sim 0.7\%$
$K^+ \rightarrow e^+ (\mu^+) \pi^0 \nu$ , others	negligible
<b>Expected background</b>	<b><math>\leq 13.5\%</math> (<math>\leq 17\%</math>)</b>

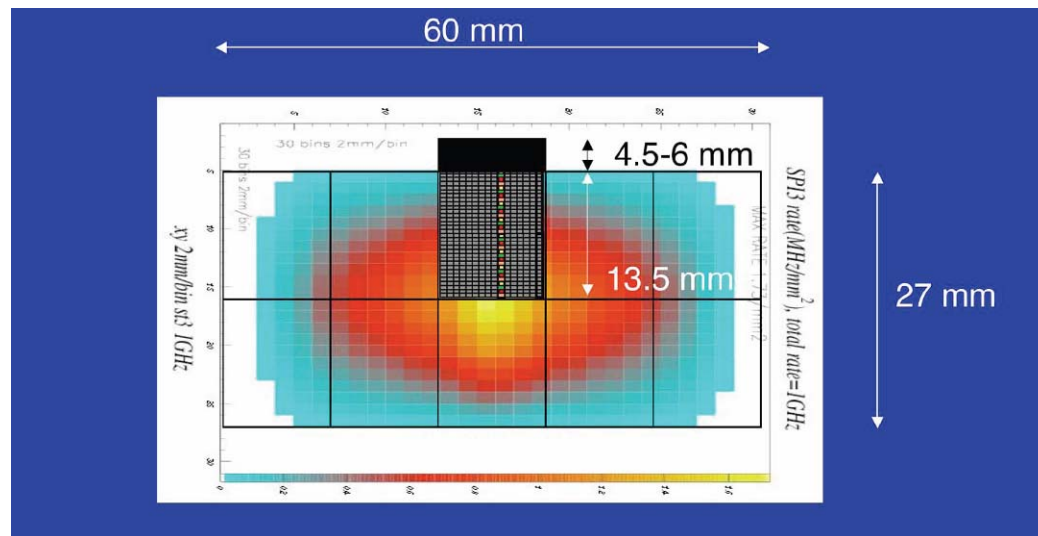
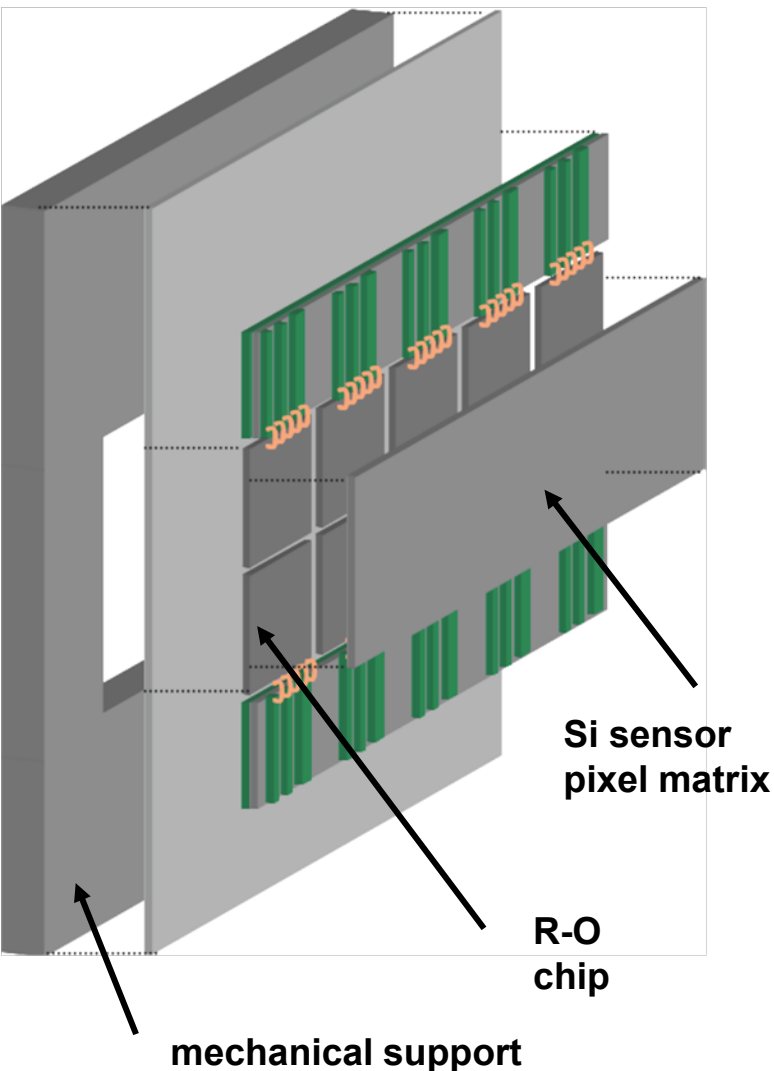
Definition of “year” and running efficiencies based on NA48 experience:  
 ~100 days/year; 60% overall efficiency

# Key Points

- The physics case to study rare kaon decays at the SPS during the LHC era **is very strong**
- The experiment was **approved** by the **CERN Research Board** (December 5, 2008) "subject to the definition of resource sharing within the Collaboration"
- The MoU is under negotiation
- With **~50 times** the **kaon flux of NA48/2**, the physics menu –in addition to the very rare decays- promises to be rich ranging from **the precision-tests of lepton universality** to the study of the **strong interaction at low energy**
- Excellent resolution, hermetic forward coverage and strong particle ID allow also to search for (e.g.):
  - **Sgoldstinos (Gorbunov&Rubakov):**  
 $K^+ \rightarrow \pi^+ \pi^0 P$ ,  $P \rightarrow \gamma\gamma$ ,  $P \rightarrow e^+ e^-$  or  $P$  long-lived
  - **$\nu$ MSM Neutral Leptons (Gorbunov&Shaposhnikov)**

# Status of NA62

# GTK Station



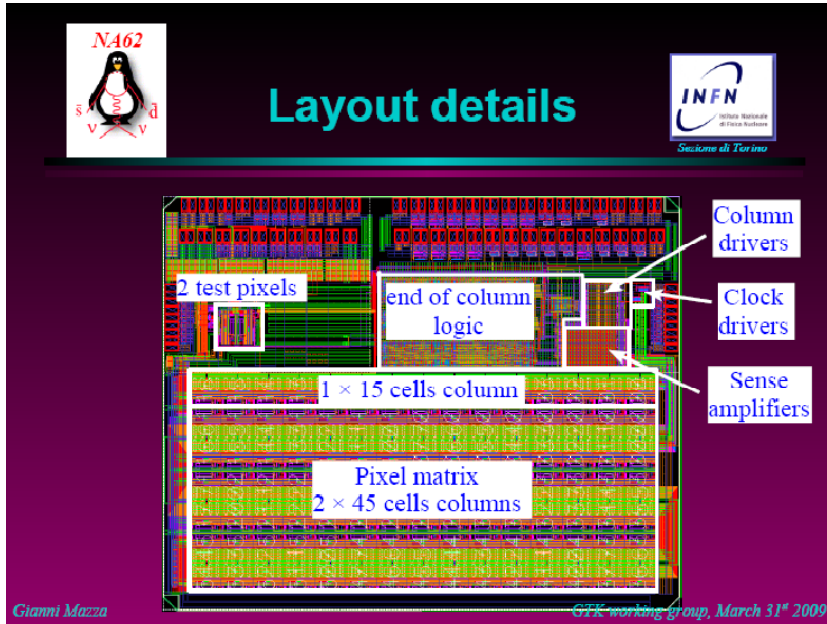
## Requirements:

- Track and time each beam particle
- Time resolution: 200 ps / station
- Material Budget: < 0.5 %  $X_0$  / station
- Pattern: 300 x 300  $\mu\text{m}^2$

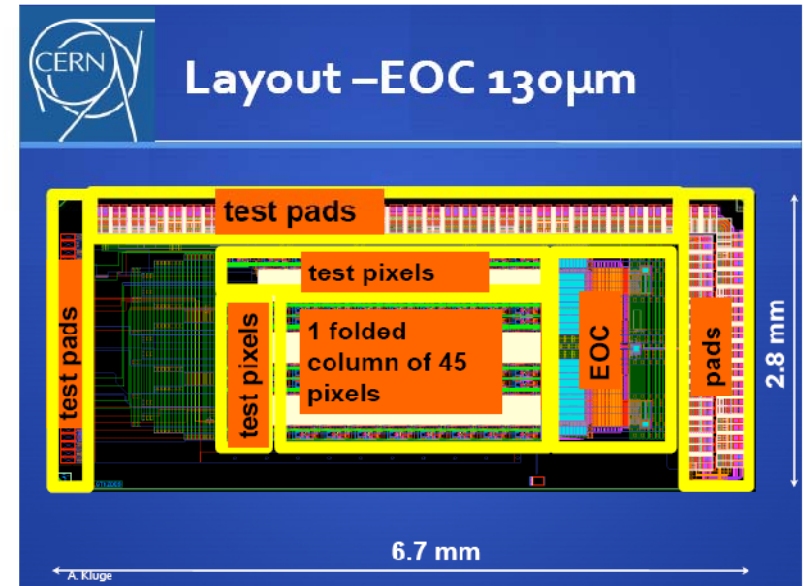
## Two options for the Read-Out:

- On-Pixel TDC
- End-of-Column TDC

# Gigatracker R/O Prototypes



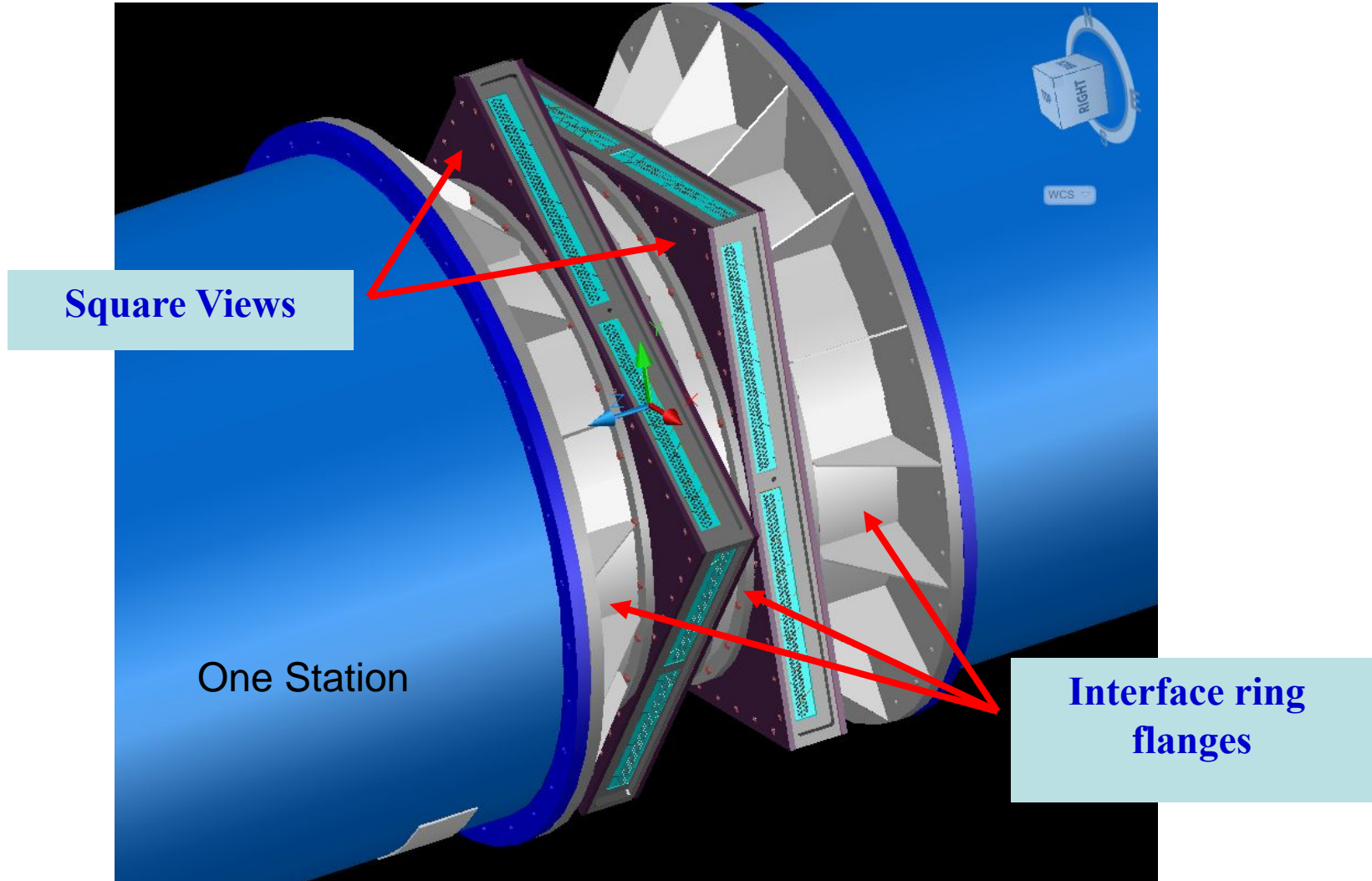
INFN Design: One TDC / pixel



CERN Design: End of Column TDC

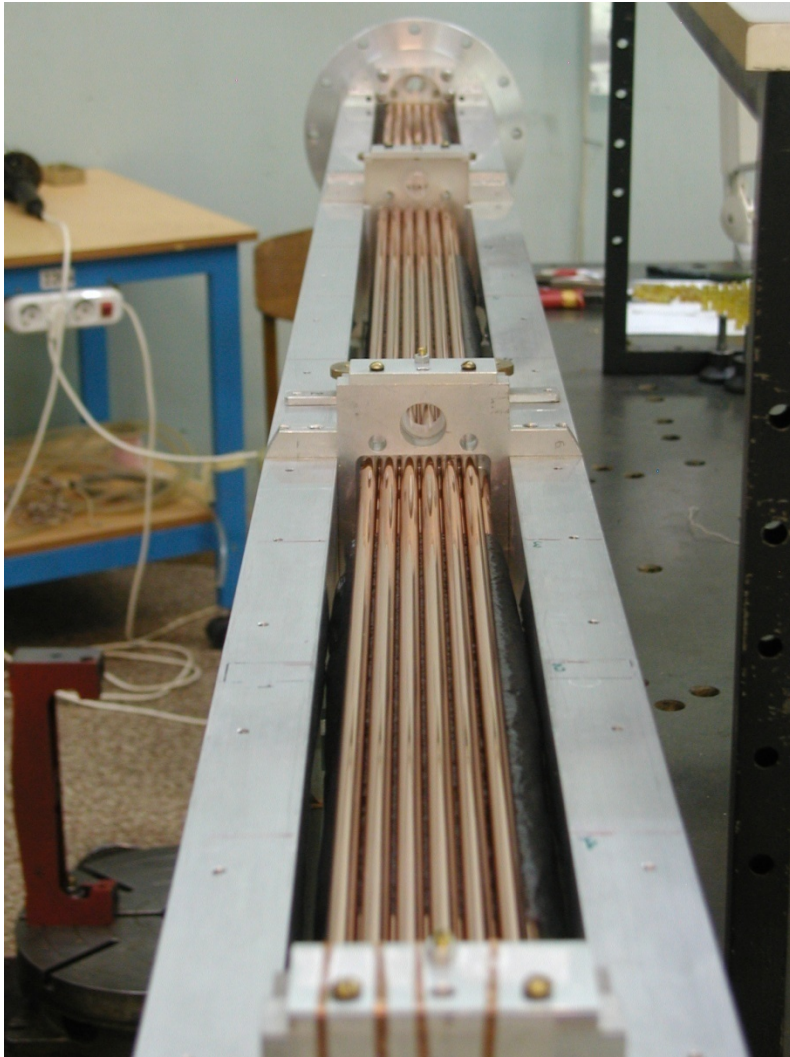
Both Designs in 130 nm IBM CMOS Technology (submitted in March 09)

# STRAW Tracker





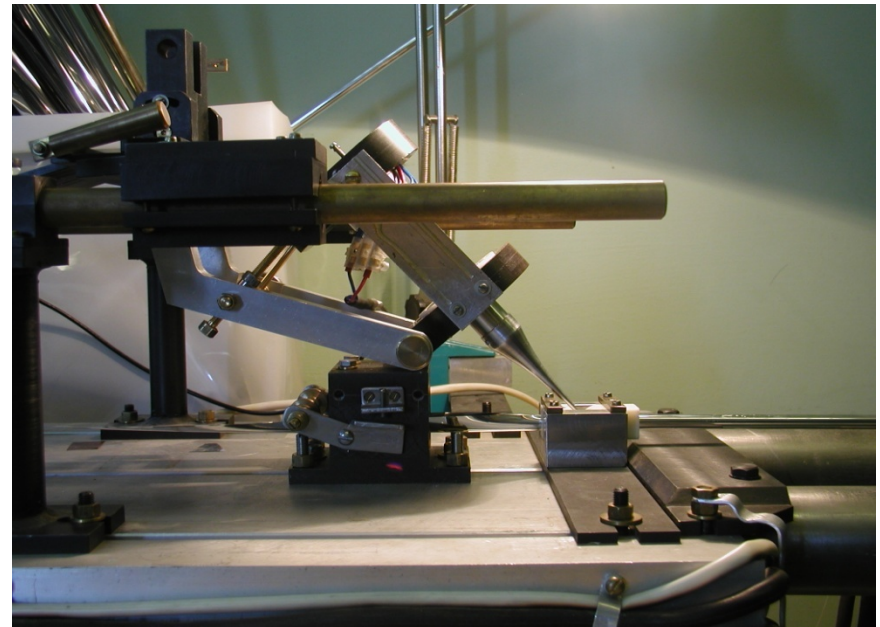
# STRAW Prototype



CERN, 11-5-2009

Ultrasound Welded mylar  
(linear weld, no glue!)

- 36 Al
- 12 (Cu+Au) mylar straws



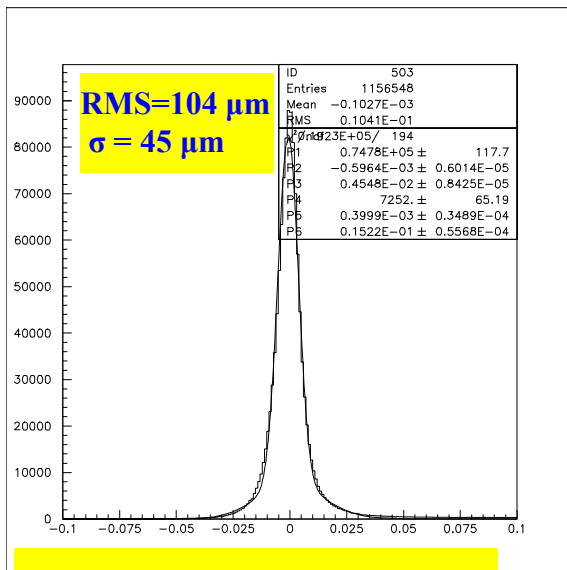
A. Ceccucci

15

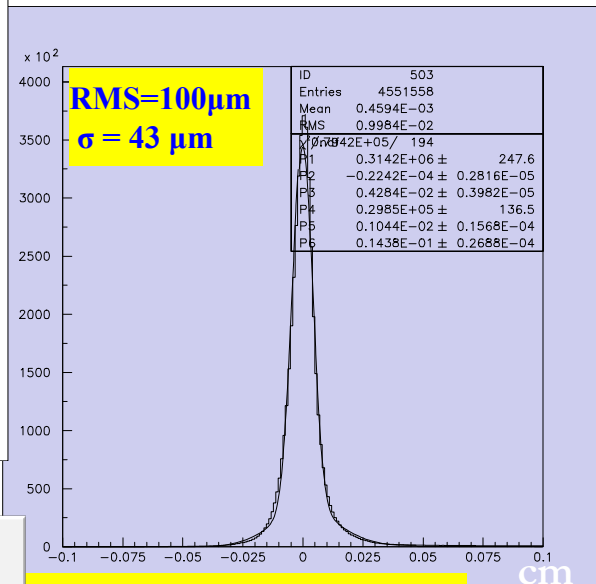
# STRAW Prototype: Beam Test

## Residuals

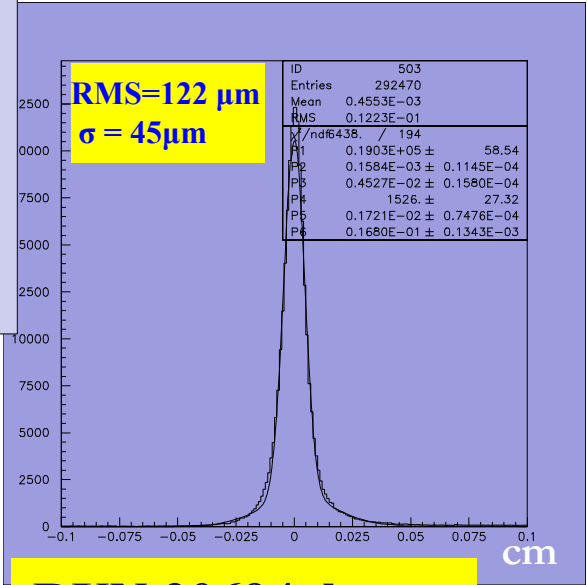
full length Straw  
Prototype: 2.1 m long  
Operated in Vacuum



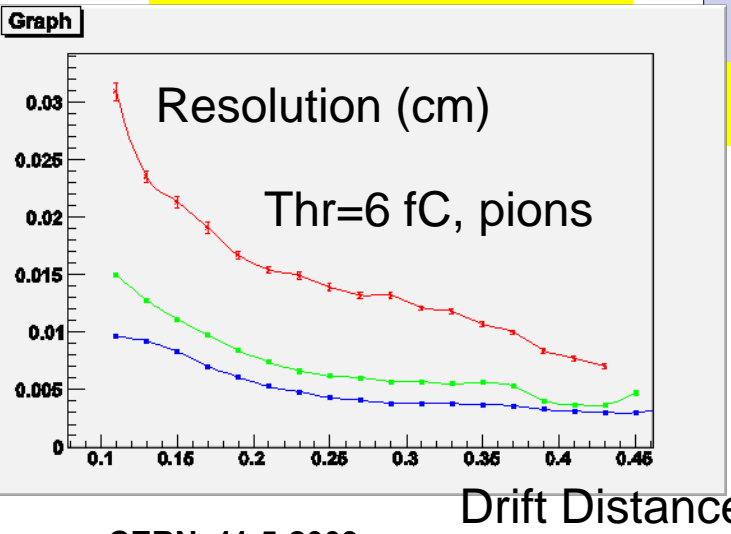
**RUN 20629, muons**



**RUN 20650, pions**



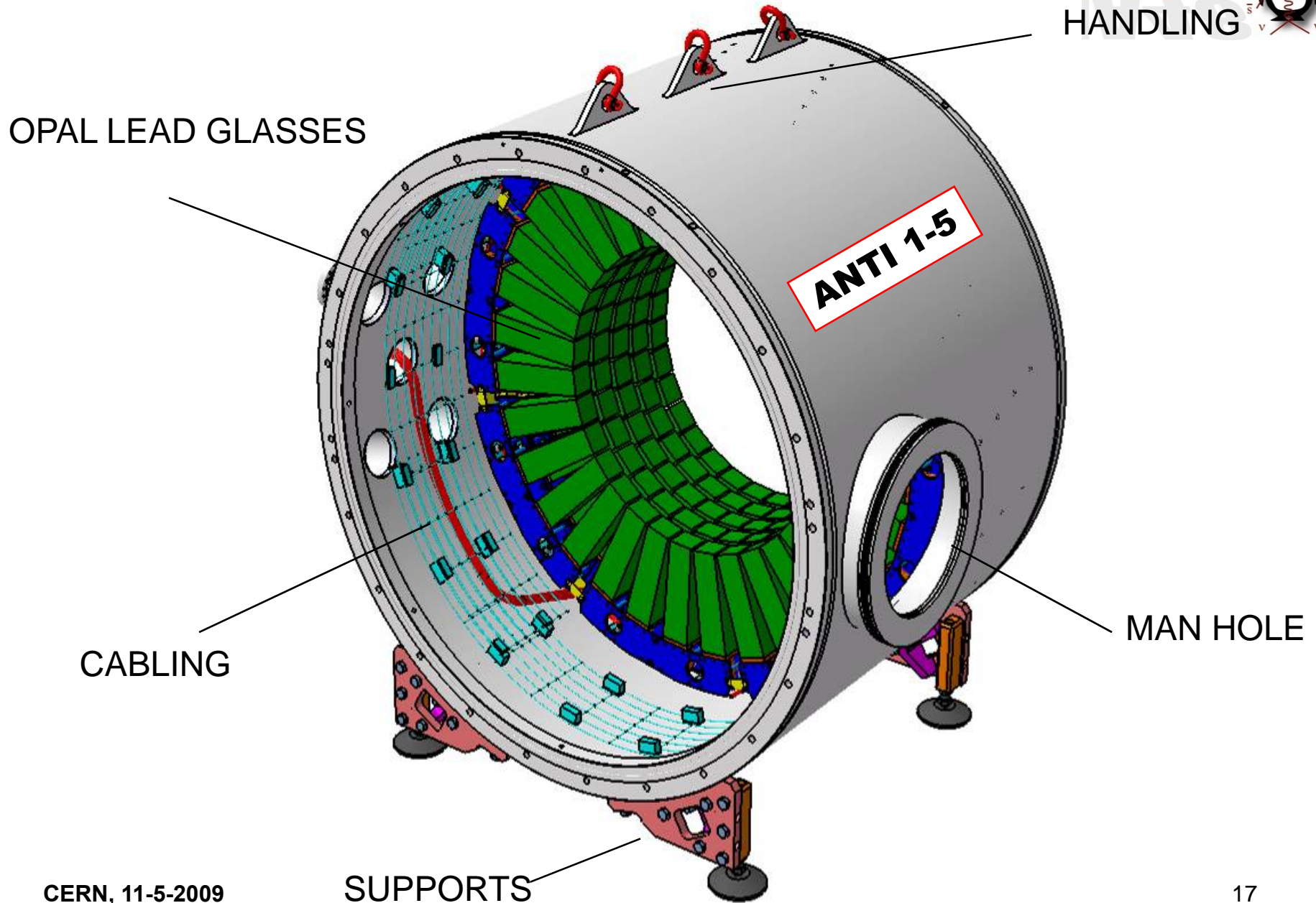
**RUN 20694, kaons**



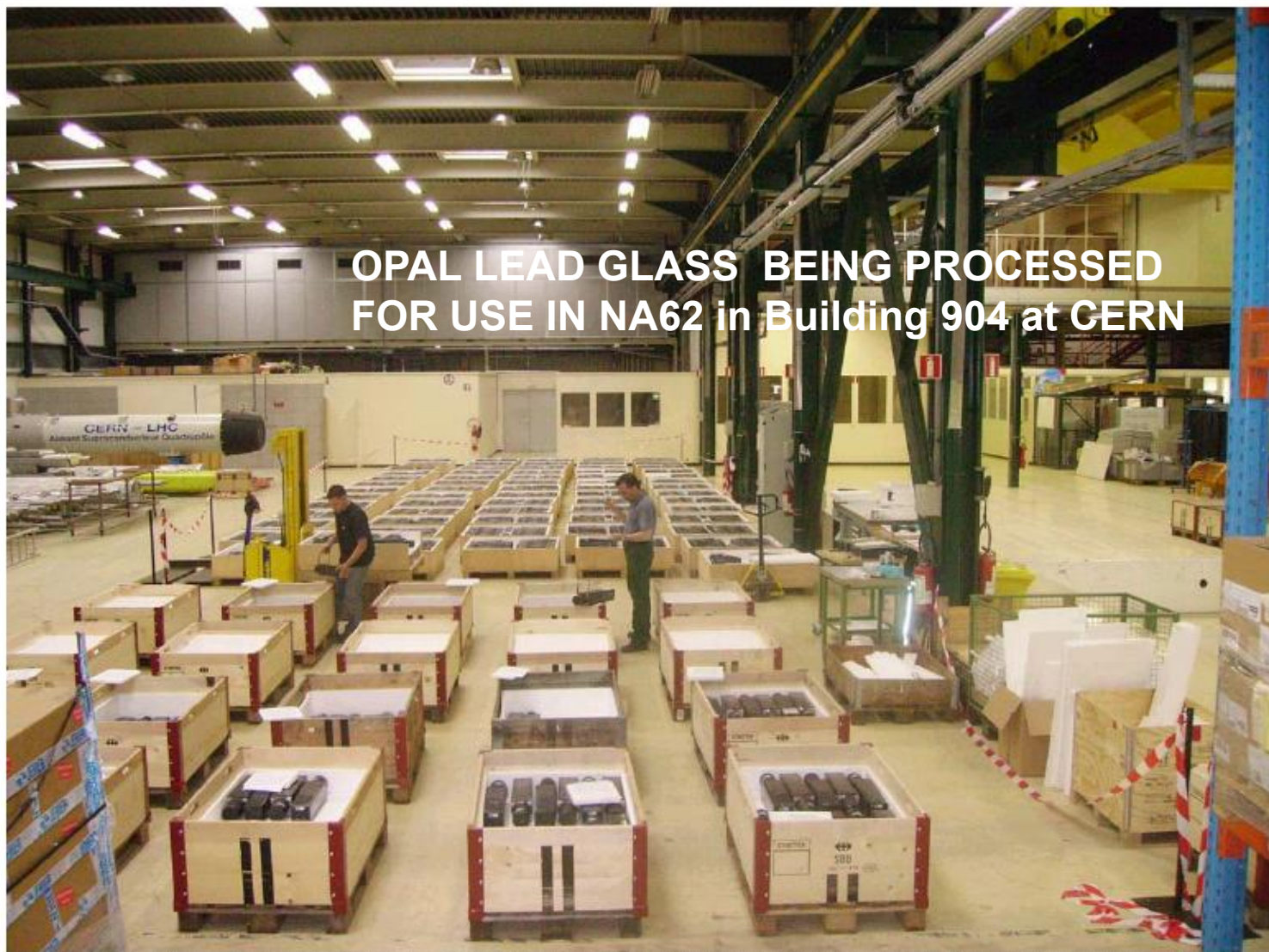
CO<sub>2</sub> (80%) CF<sub>4</sub> (10%) Isob. (10%)



# Photon ANTICounters

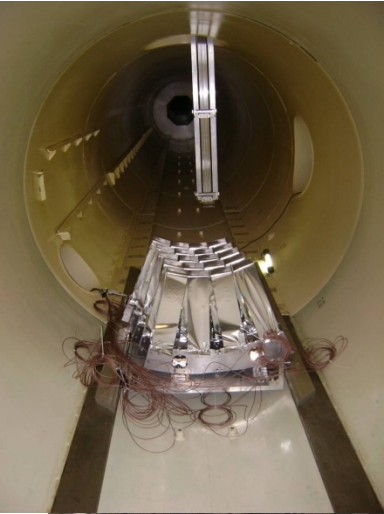


# Photon ANTICounters





# First ANTI Vessel complete



Prototype STRAW and ANTI  
Tested in vacuum

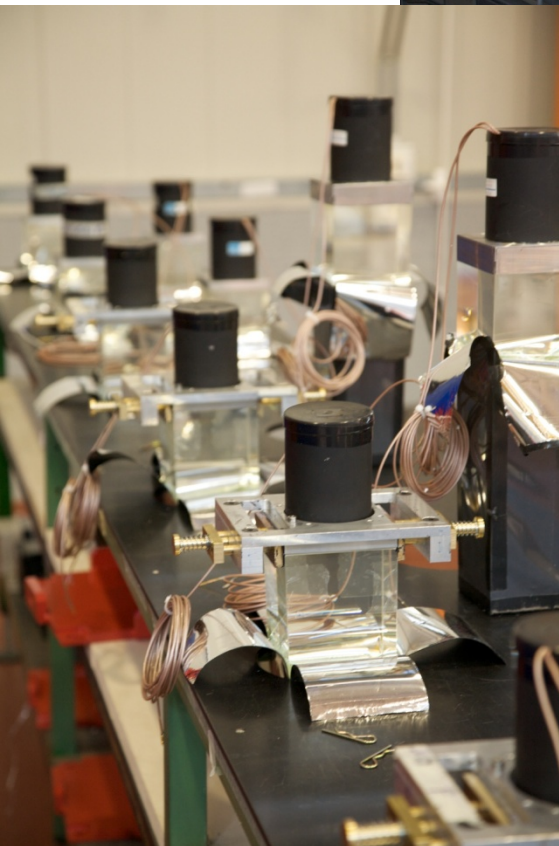
1st Complete vessel being  
Prepared at LNF for installation  
In the decay tank



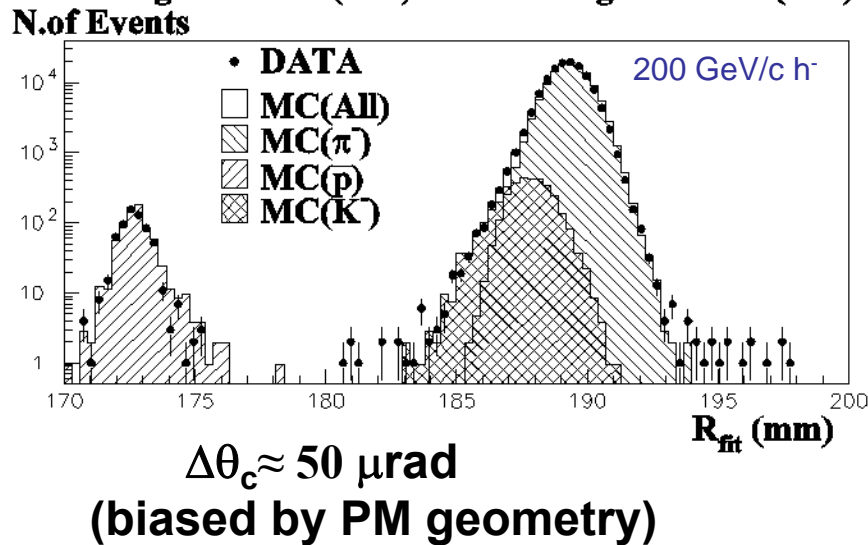
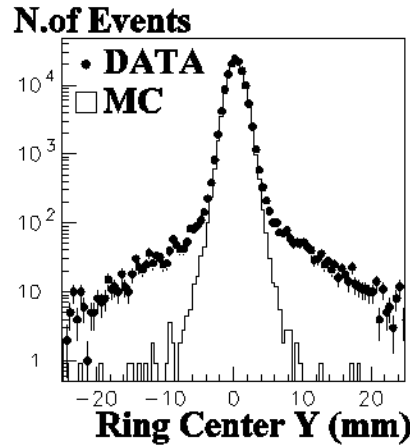
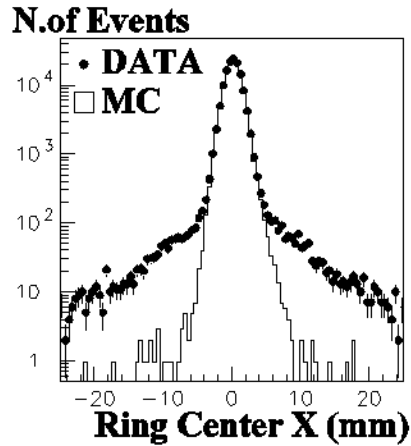
Space for Installation and  
Maintenance comes at  
a premium in ECN3



# ANTI-A1 at LNF for Assembly

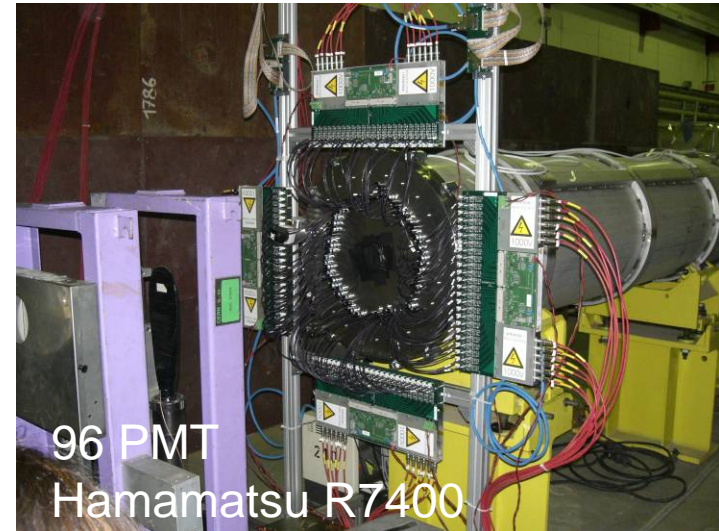


# RICH-100: Test Beam Results



$N_{\text{Hits}} \approx 17$

$\Delta t_{\text{Event}} \approx 70 \text{ ps}$



# Summary

- With 2 (+1) years of data taking at the SPS, NA62 can make a  $\sim 10\%$  test of the SM BR prediction
- This requires a **SPS duty cycle of about 0.3** and  **$1.1 \times 10^{12}$  protons** on T10 / effective second
- A beam survey should be planned early (2011) to begin data taking with the full detector in 2012
- The construction schedule is mostly resource driven
- In the longer term, we look forward to **SPS and Experimental Area upgrades** as these could open the opportunity to study ultra-rare  $K^0_L$  decays

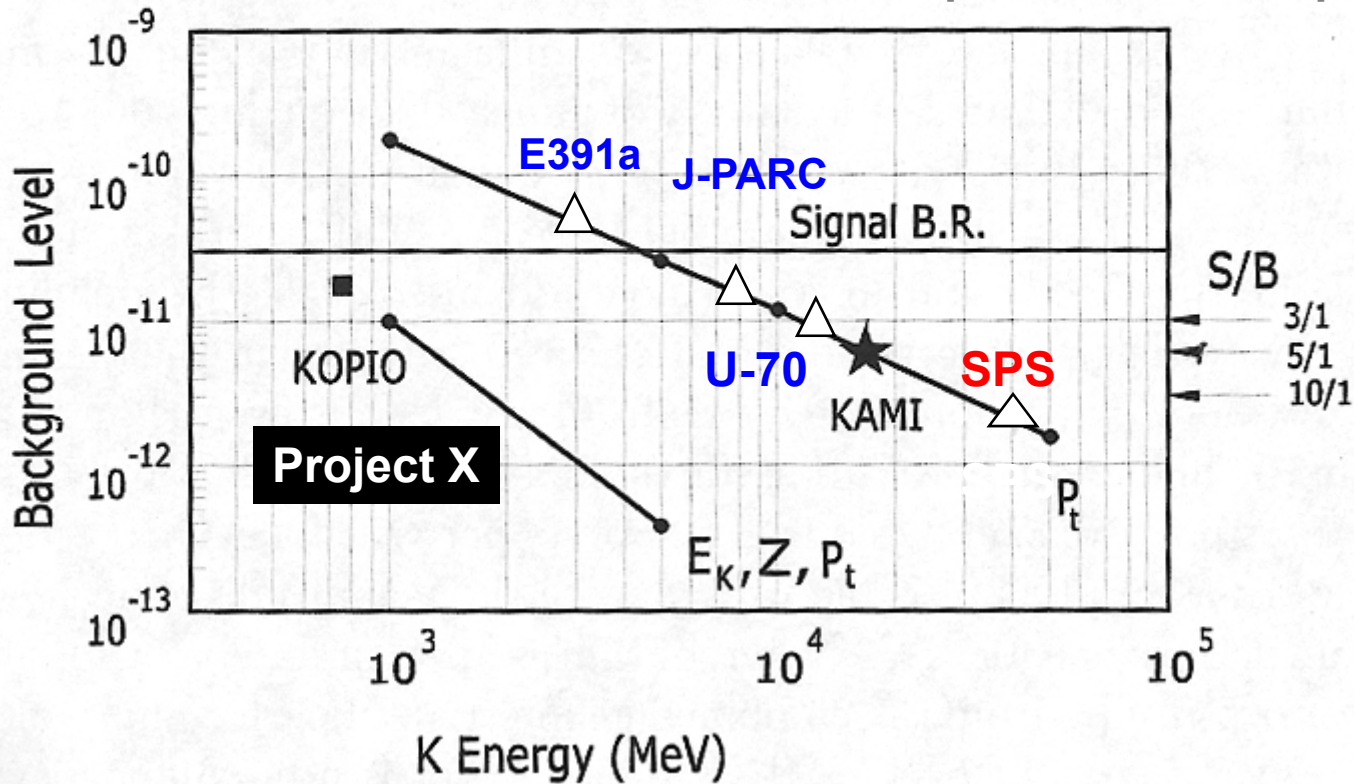
# SPARES



# $K_L \rightarrow \pi^0 \nu \nu$ Long Time Prospects

Background Level (1mmPb/5mmScint)

Picture adapted from KAMI proposal

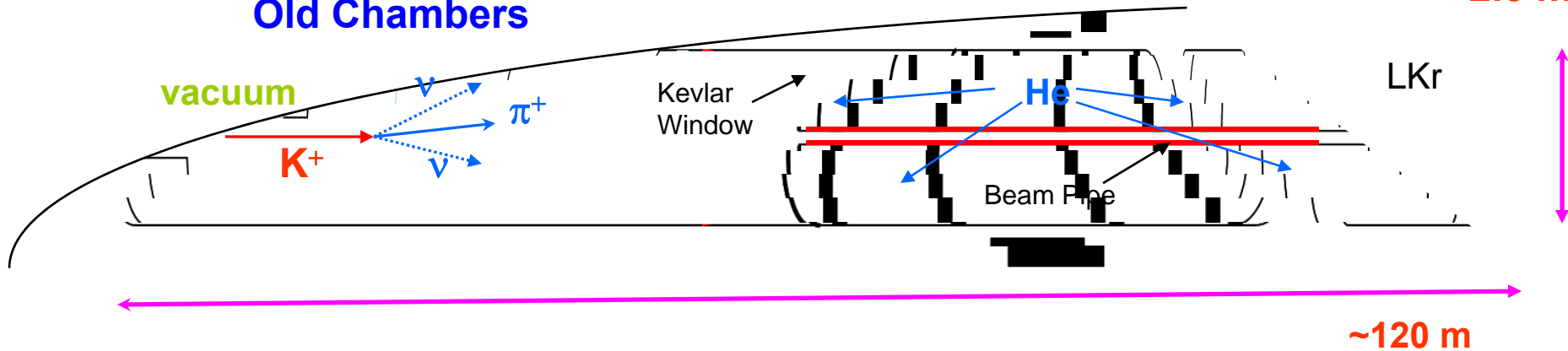


**SPS is competitive if the KAMI/E391a technique is established**



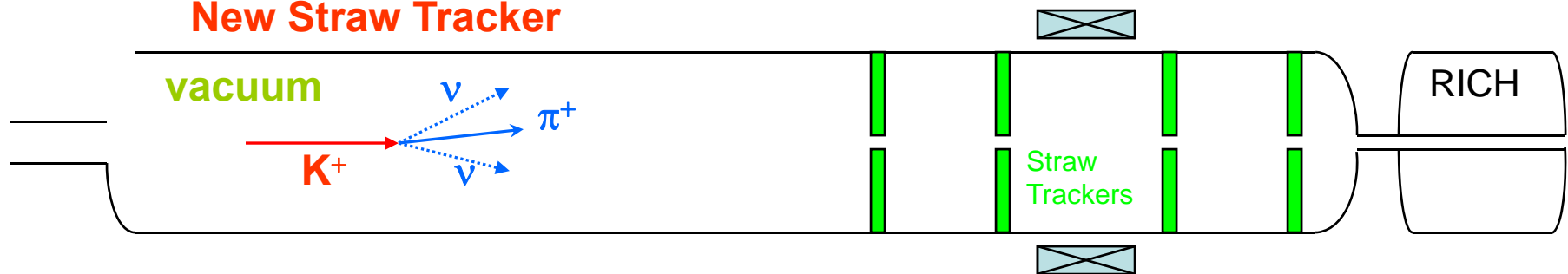
# New Spectrometer

## Old Chambers



- The Straw Trackers operated in vacuum will enable us to:**
- Remove the multiple scattering due to the Kevlar Window
  - Remove the acceptance limitations due to the beam-pipe
  - Remove the helium between the chambers

## New Straw Tracker



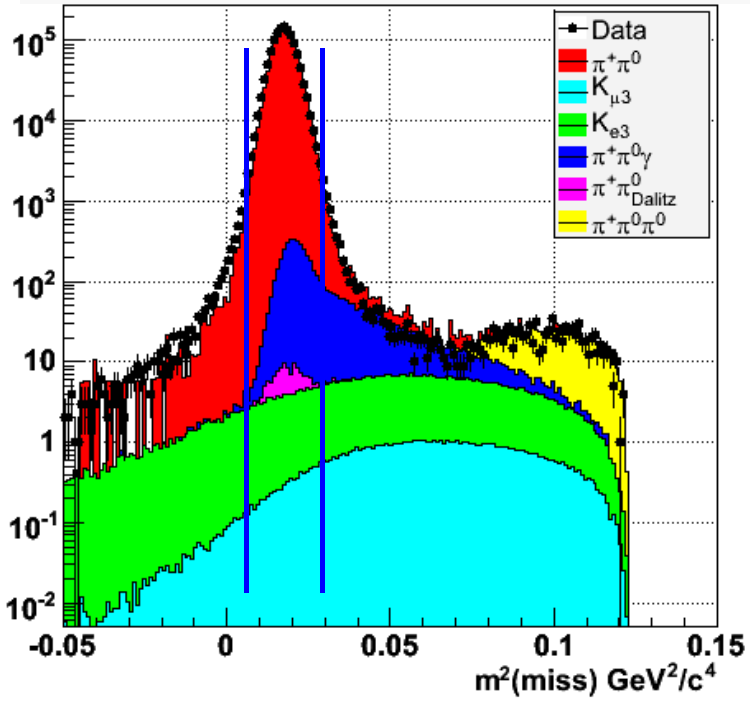
- The Straw Tracker is essential to study ultra-rare-decays in flight

# LKr $\gamma$ Detection Efficiency (Measured from data)

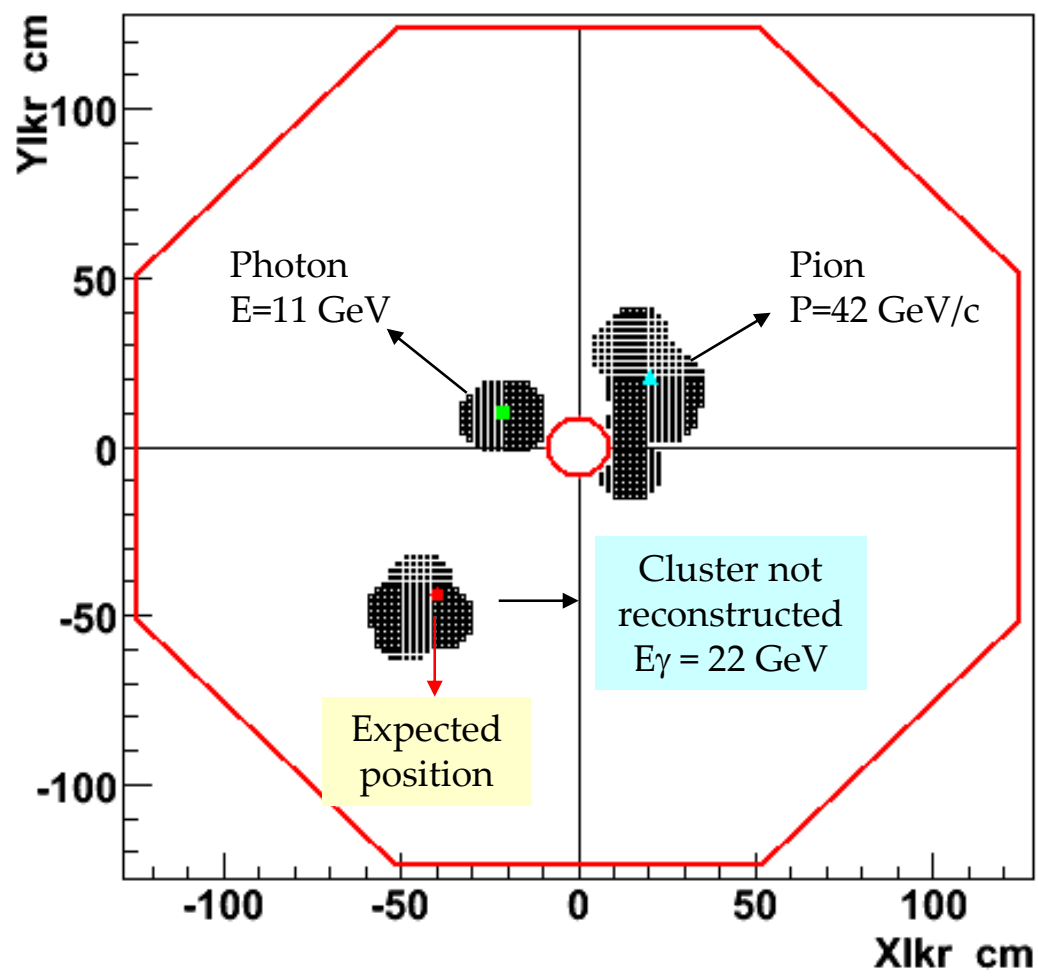


LKr ineff. per  $\gamma$  ( $E_\gamma > 10$  GeV):  
 $\eta \sim 7 \times 10^{-6}$  (preliminary)

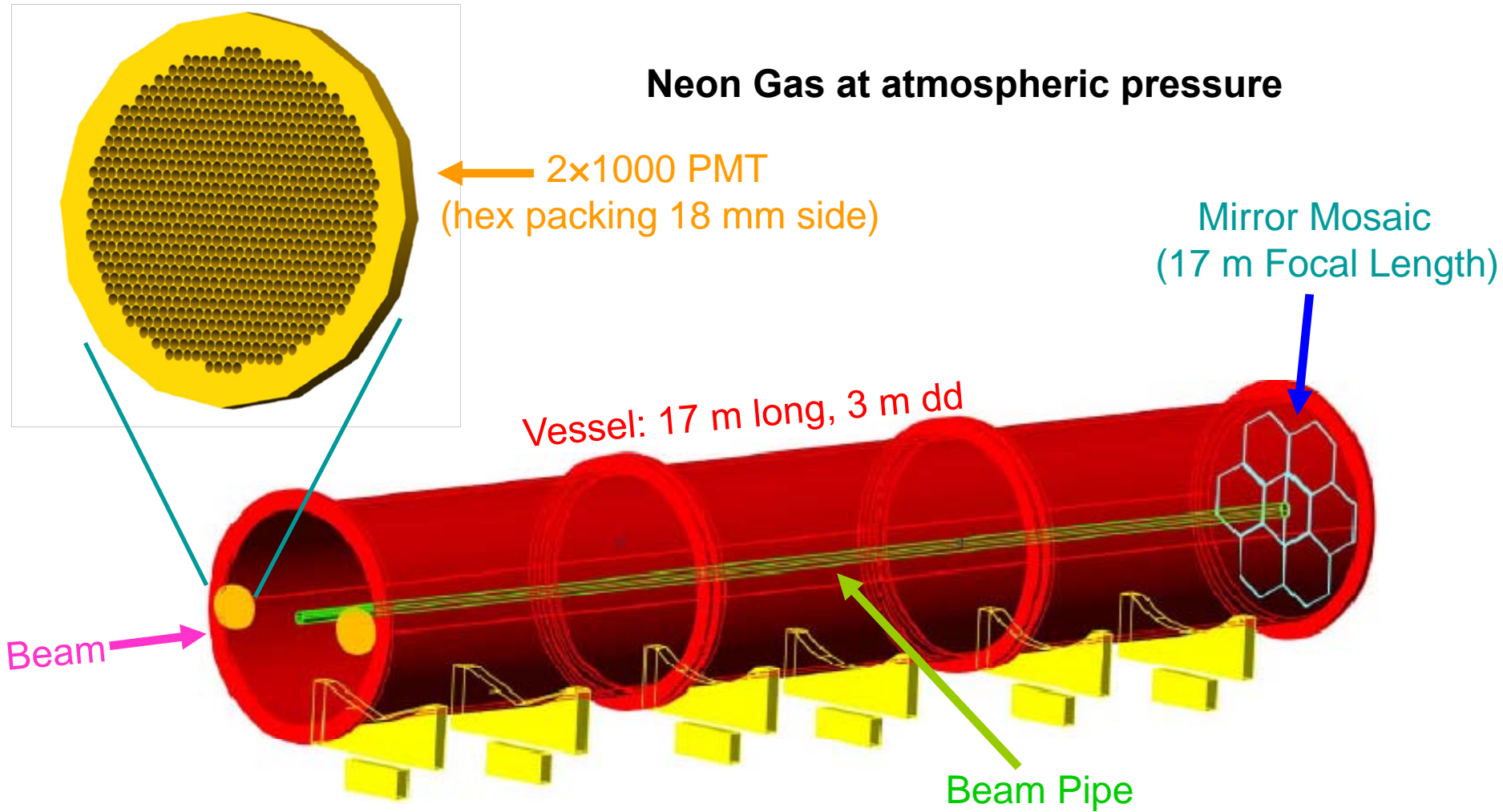
$K^+ \rightarrow \pi^+ \pi^0$  selected kinematically



$\pi^+$  track and lower energy  $\gamma$  are use to predict the position of the other  $\gamma$



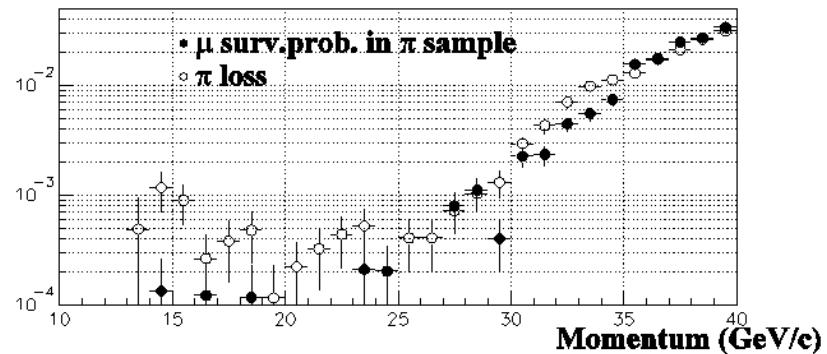
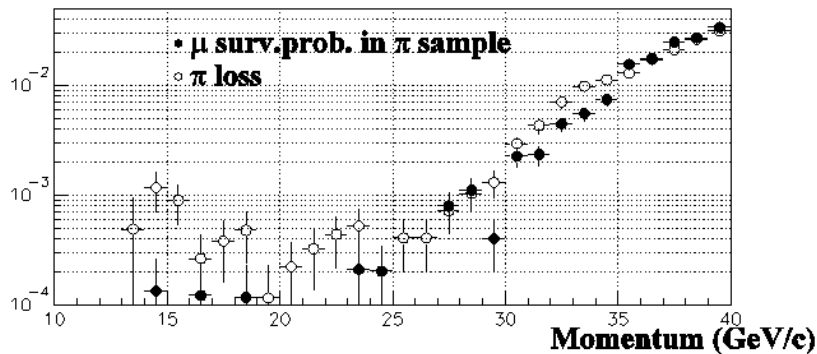
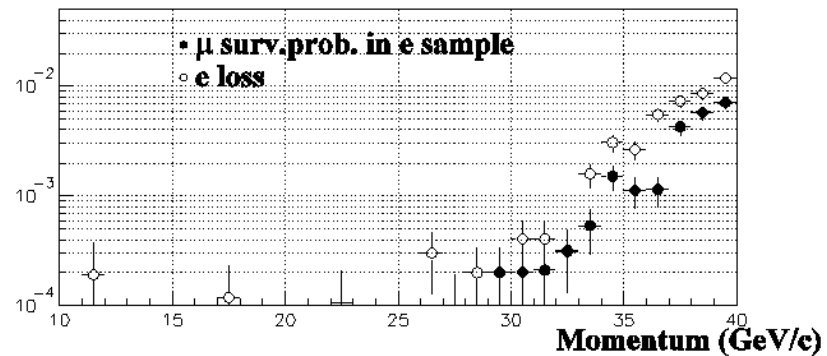
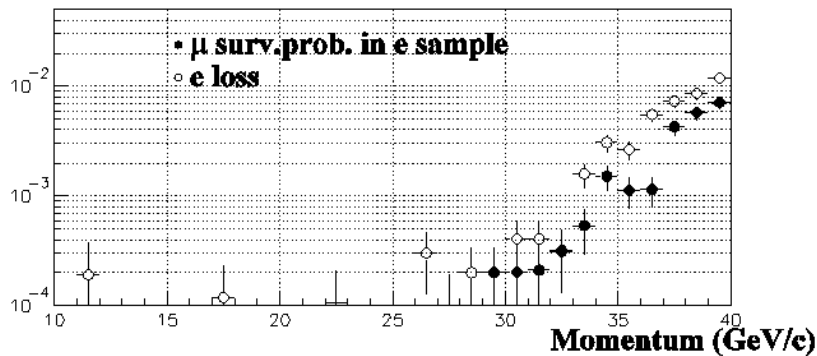
# The RICH Detector



# RICH Simulation: particles separation

$$m_{rec}^2 = p^2 \left( \mathcal{G}_{max}^2 - \mathcal{G}_c^2 \right)$$

Momentum from the magnetic spectrometer



Muon suppression in  $\pi$  sample ( $15 < p < 35$  GeV/c):  $1.3 \times 10^{-3}$

# Kinematical Rejection

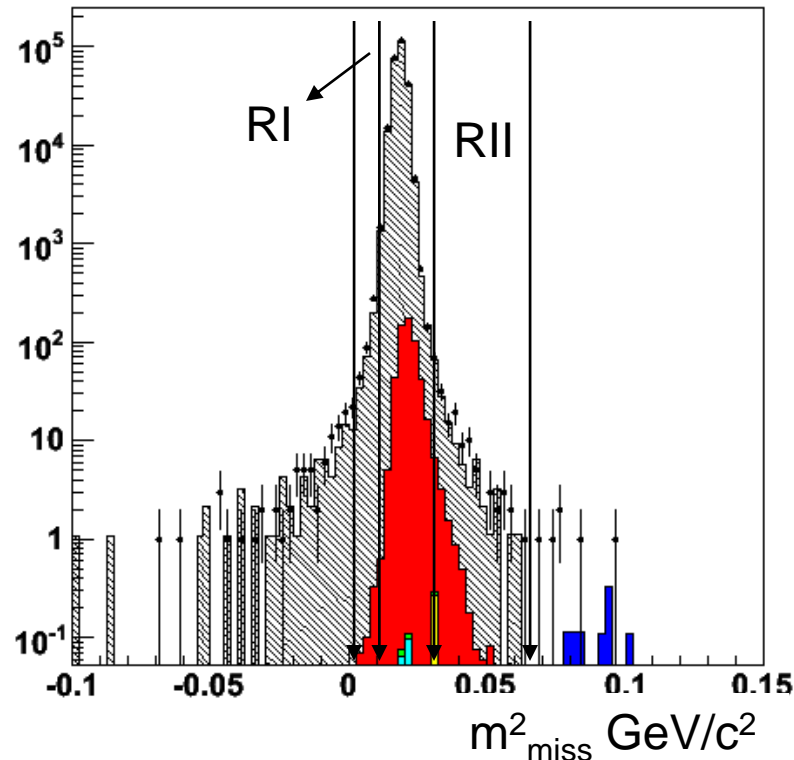
$K^+ \rightarrow \pi^+ \pi^0$  selected on 2007 data using LKr information only

Look at the tails in the  $m^2_{\text{miss}}$  reconstructed with the NA48 DCH

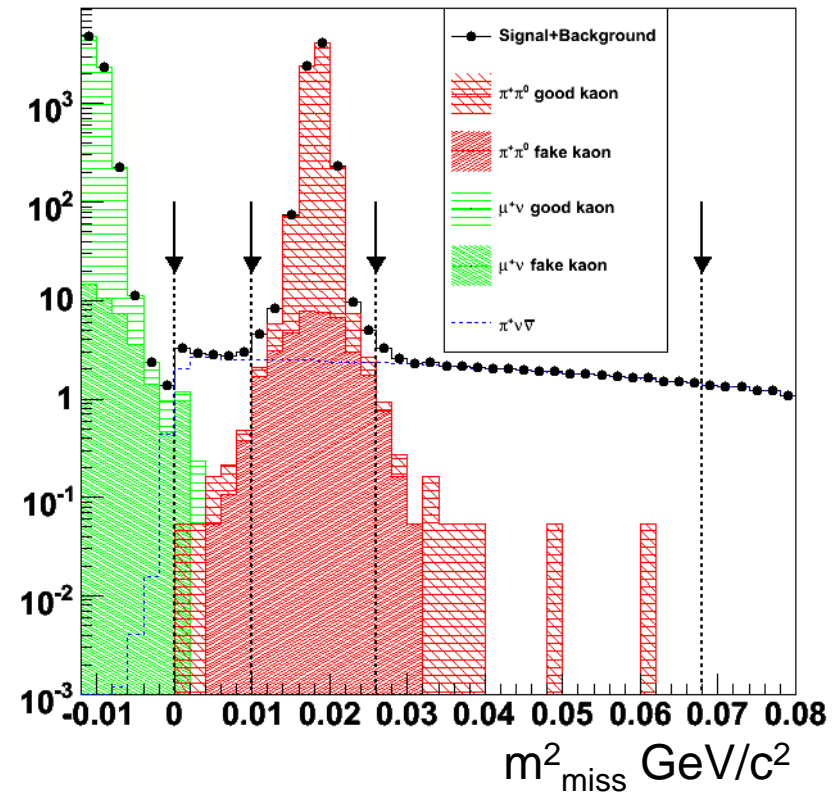
**Data vs. NA48MC:** reproducibility of non-gaussian tails within x2

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$  regions: background  $\sim 2 \times 10^{-3}$

## OLD DCH: Data vs. MC



## New Straw Tracker: MC



# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ : Physics Motivation

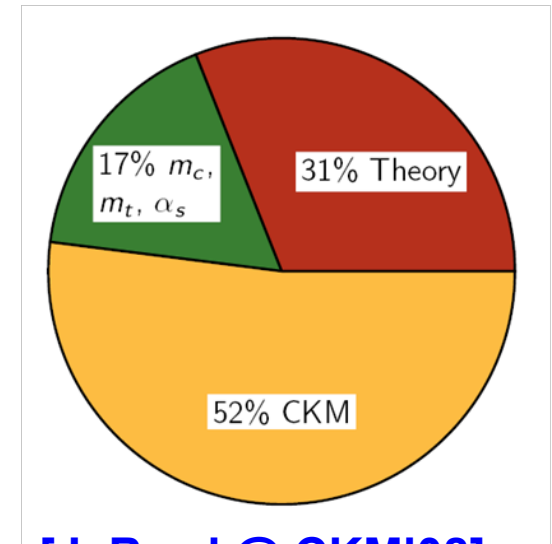
In the Standard Model:

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}(\gamma)) = k_+ (1 + \Delta_{EM}) \times \frac{|V_{ts}^* V_{td} X_t(m_t^2) + \lambda^4 \text{Re} V_{cs}^* V_{cd} (P_c(m_c^2) + \delta P_{c,u})|^2}{\lambda^5}$$

- NLO QCD [Buchalla, Buras '94], [Misiak, Urban '99], [Buchalla, Buras '99]
- Charm
  - NNLO QCD [Buras, Gorbahn, Haisch, Nierste '06]
  - EW Corrections to  $P_c$  [Brod, Gorbahn '08]
- Long Distance
  - $|\Delta E| < 1\%$  [Mescia, Smith '07]
  - $\delta P_{c,u} +6\%$  [Isidori, Mescia, Smith '05]

•The SM Branching Ratio prediction is precise (~8%) and the intrinsic theory error is small

•The parametric error will be further reduced



[J. Brod @ CKM'08]

# SM Prediction vs. Experiment

As reported by J. Brod, CKM '08

$$B^{TH} (K^+ \rightarrow \pi^+ \nu \bar{\nu} (\gamma)) = (0.85 \pm 0.07) \times 10^{-10}$$

For  $m_c = (1286 \pm 13) \text{ MeV}$  [Kühn et al. '07]



$$B^{EXP} (K^+ \rightarrow \pi^+ \nu \bar{\nu} (\gamma)) = (1.73_{-1.05}^{+1.15}) \times 10^{-10}$$

[E787, E949 '08]

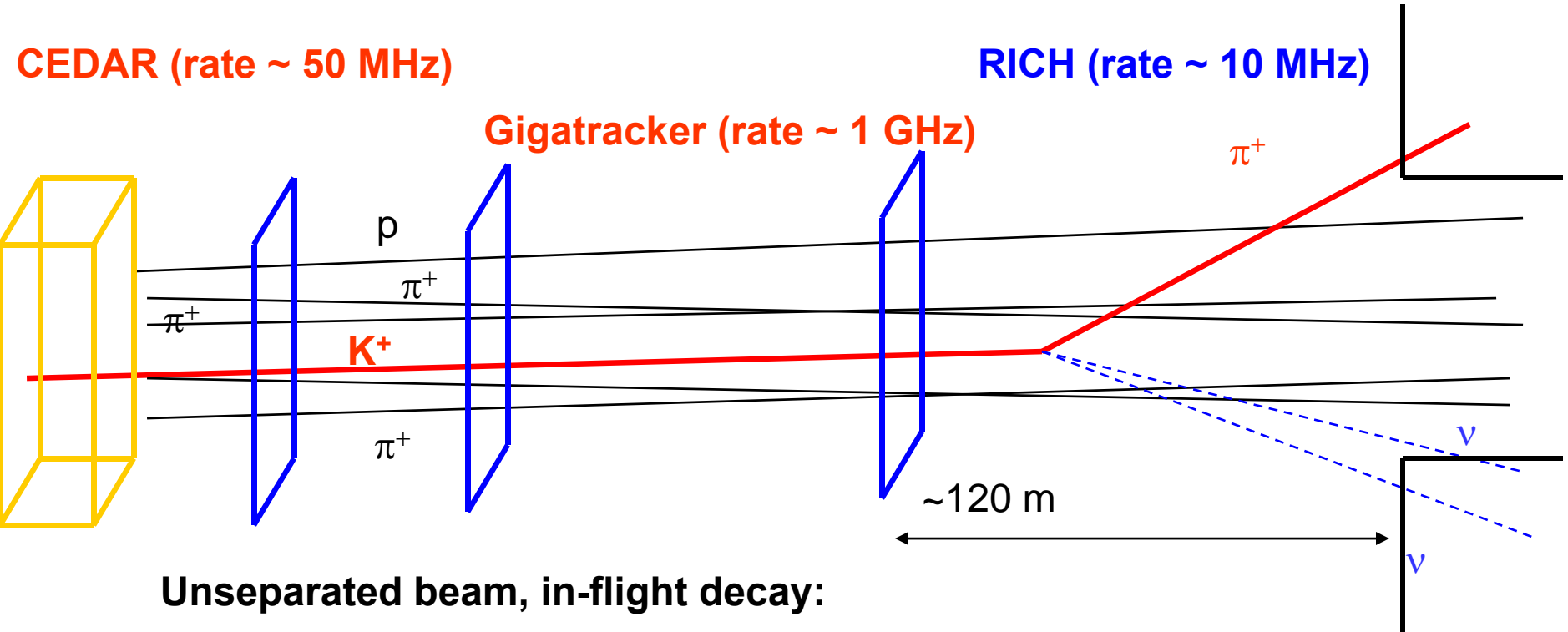
And, for comparison:

$$B^{TH} (K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) = (2.76 \pm 0.40) \times 10^{-11}$$

$$B^{EXP} (K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) \leq 6.8 \times 10^{-8} \quad 90\% \text{ CL} \quad [\text{E391a '08}]$$

**Future: E14 (KOTO) @ J-PARC**

# 1. Precise Timing



**Unseparated beam, in-flight decay:**

How do you associate the parent kaon to the daughter pion in a ~1 GHz beam ?

**K<sup>+</sup> : Gigatracker** (pixel detector) with very good time resolution (**~ 100 ps**)

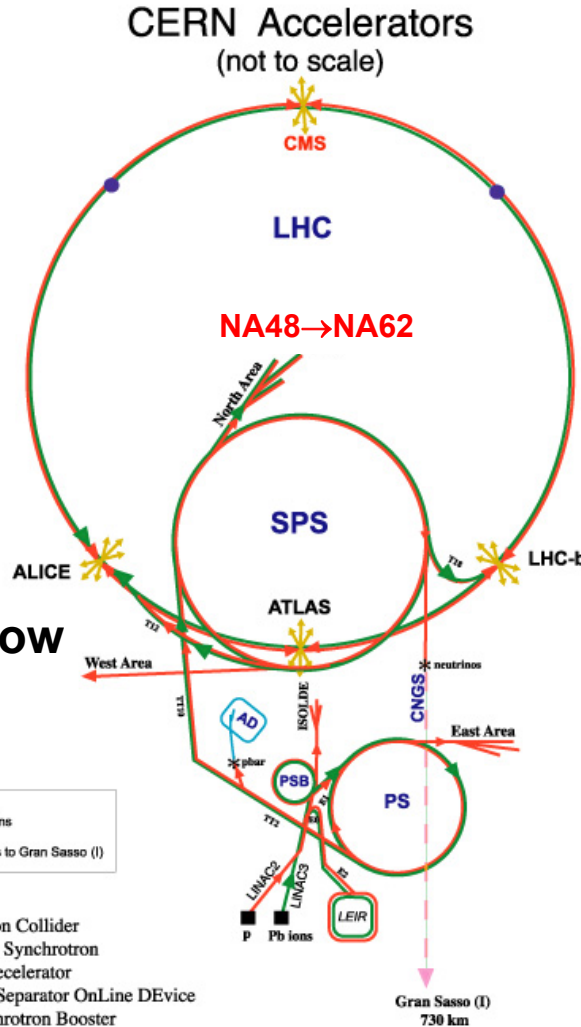
**π<sup>+</sup> : RICH** (Neon, 1 atm) read out by Photomultipliers



The CERN proton Complex is unique

The SPS is needed as LHC proton injector only part-time

For the remainder of the time it can provide 400 GeV/c protons for fast or slow extraction



- protons
- antiprotons
- ions
- neutrinos to Gran Sasso (I)

LHC: Large Hadron Collider  
 SPS: Super Proton Synchrotron  
 AD: Antiproton Decelerator  
 ISOLDE: Isotope Separator OnLine DEvice  
 PSB: Proton Synchrotron Booster  
 PS: Proton Synchrotron  
 LINAC: LINear ACcelerator  
 LEIR: Low Energy Ion Ring  
 CNGS: Cern Neutrinos to Gran Sasso

Rudolf LEY, PS Division, CERN, 02/09/96  
 Revised and adapted by Antonella Del Rosso, ETT Div  
 in collaboration with B. Desforges, SL Div, and  
 D. Manglunki, PS Div, CERN, 23/05/01

**Nota Bene:**  
**N**AYY  $\equiv$  **Y**Yth  
 Experiment  
 Performed at the  
**N**orth **A**rea SPS  
 Extraction site

# Timescale

	2009			2010			2011			2012		
<b>K12</b>												
<b>CEDAR</b>												
<b>GTK</b>	Prototype Test						Eng 1			Eng 2/Prod		
<b>LAV</b>				Production of Mechanics & Assembly								
<b>STRAW</b>												
<b>RICH</b>				PMT Procurement: 100 / month								
<b>LKR</b>												
<b>MUV</b>												
<b>TDAQ</b>	TELL1/TTC Proc.											

# 4. Particle Identification

- **K<sup>+</sup> Positive identification (CEDAR)**
- **π/μ separation (RICH)**
- **π/e separation (E/P)**

Decay	BR
$K^+ \rightarrow \pi^0 e^+ \nu$ ( $K_{e3}$ )	0.051
$K^+ \rightarrow \pi^0 \mu^+ \nu$ ( $K_{\mu3}$ )	0.034
$K^+ \rightarrow \mu^+ \nu \gamma$ ( $K_{\mu2\gamma}$ )	$6.2 \times 10^{-3}$
$K^+ \rightarrow \pi^+ \pi^- e^+ \nu$ ( $K_{e4}$ )	$4.1 \times 10^{-5}$
$K^+ \rightarrow \pi^+ \pi^- \mu^+ \nu$ ( $K_{\mu4}$ )	$1.4 \times 10^{-5}$

