



The LHCb upgrade

Silvia Gambetta, University of Edinburgh
on behalf of the LHCb collaboration

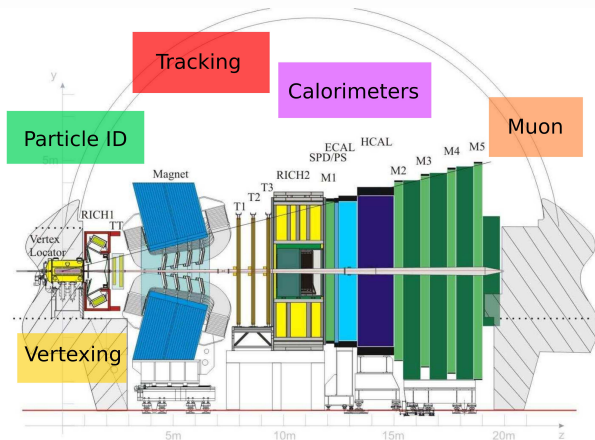


The Fifth Annual Conference on Large Hadron Collider Physics

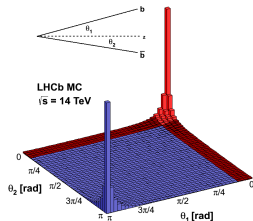


The LHCb experiment

General purpose single arm forward spectrometer
($2 < \eta < 5$, 4% of solid angle)



[J. Instrum. 3 (2008) S08005]



LHCb physics:

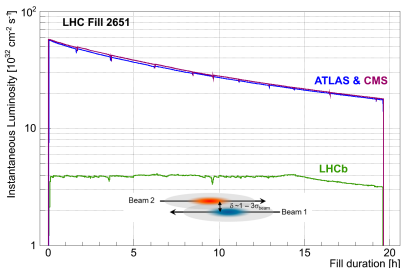
- rare b and c hadron decays
- CP-violation in b sector
- CKM parameters
- indirect search for NP
- spectroscopy
- electroweak physics

Unprecedented collection of **bottom** and **charm** hadrons

Very successful physics programme [See talk by Guy Wilkinson (Monday 15/5)]

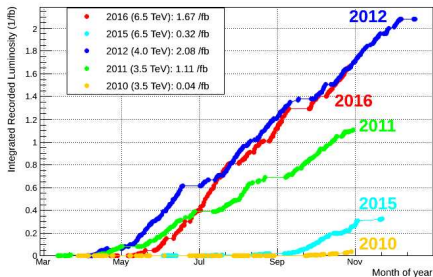
The LHCb performance

- LHCb designed to to run at lower luminosity than ATLAS and CMS
- mean number of interactions per bunch crossing ~ 1
- pp beams displaced to reduce the instantaneous luminosity:
 $\mathcal{L} \sim 4 \times 10^{32} \text{cm}^{-2} \text{s}^{-1}$
- twice the design value



Excellent performance in 2016!

LHCb Integrated Recorded Luminosity in pp, 2010-2016



- $\sim 3 \text{fb}^{-1}$ of pp collisions at 7-8 TeV in Run 1
- $\sim 2 \text{fb}^{-1}$ of pp collisions at 13 TeV in Run 2
- expect to reach 8fb^{-1} at the end of Run 2

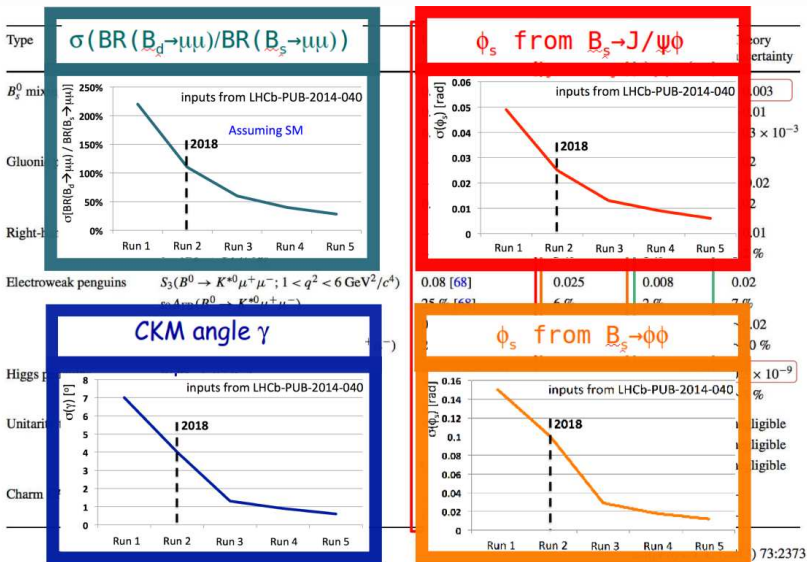
Why upgrade?

Type	Observable	Current precision	LHCb 2018	Upgrade (50 fb ⁻¹)	Theory uncertainty
B_s^0 mixing	$2\beta_s(B_s^0 \rightarrow J/\psi\phi)$	0.10 [139]	0.025	0.008	~0.003
	$2\beta_s(B_s^0 \rightarrow J/\psi f_0(980))$	0.17 [219]	0.045	0.014	~0.01
	a_{sl}^s	6.4×10^{-3} [44]	0.6×10^{-3}	0.2×10^{-3}	0.03×10^{-3}
Gluonic penguins	$2\beta_s^{\text{eff}}(B_s^0 \rightarrow \phi\phi)$	–	0.17	0.03	0.02
	$2\beta_s^{\text{eff}}(B_s^0 \rightarrow K^{*0}\bar{K}^{*0})$	–	0.13	0.02	< 0.02
	$2\beta_s^{\text{eff}}(B^0 \rightarrow \phi K_S^0)$	0.17 [44]	0.30	0.05	0.02
Right-handed currents	$2\beta_s^{\text{eff}}(B_s^0 \rightarrow \phi\gamma)$	–	0.09	0.02	<0.01
	$\tau^{\text{eff}}(B_s^0 \rightarrow \phi\gamma)/\tau_{B_s^0}$	–	5 %	1 %	0.2 %
Electroweak penguins	$S_3(B^0 \rightarrow K^{*0}\mu^+\mu^-; 1 < q^2 < 6 \text{ GeV}^2/c^4)$	0.08 [68]	0.025	0.008	0.02
	$s_0 A_{\text{FB}}(B^0 \rightarrow K^{*0}\mu^+\mu^-)$	25 % [68]	6 %	2 %	7 %
	$A_1(K\mu^+\mu^-; 1 < q^2 < 6 \text{ GeV}^2/c^4)$	0.25 [77]	0.08	0.025	~0.02
	$\mathcal{B}(B^+ \rightarrow \pi^+\mu^+\mu^-)/\mathcal{B}(B^+ \rightarrow K^+\mu^+\mu^-)$	25 % [86]	8 %	2.5 %	~10 %
Higgs penguins	$\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$	1.5×10^{-9} [13]	0.5×10^{-9}	0.15×10^{-9}	0.3×10^{-9}
	$\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$	–	~100 %	~35 %	~5 %
Unitarity triangle angles	$\gamma(B \rightarrow D^{(*)}K^{(*)})$	~10–12° [252, 266]	4°	0.9°	negligible
	$\gamma(B_s^0 \rightarrow D_s K)$	–	11°	2.0°	negligible
	$\beta(B^0 \rightarrow J/\psi K_S^0)$	0.8° [44]	0.6°	0.2°	negligible
Charm CP violation	A_Γ	2.3×10^{-3} [44]	0.40×10^{-3}	0.07×10^{-3}	–
	$\Delta\mathcal{A}_{CP}$	2.1×10^{-3} [18]	0.65×10^{-3}	0.12×10^{-3}	–

Eur. Phys. J. C (2013) 73:2373

Need to increase the precision to reach theoretical uncertainty \Rightarrow search for NP
[LHCb-TDR-12]

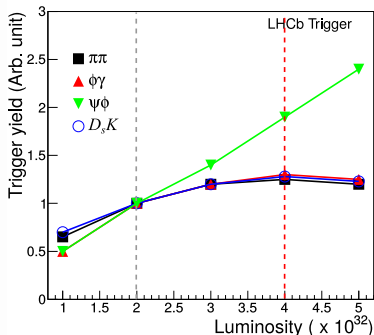
Why upgrade?



Need to increase the precision to reach theoretical uncertainty \Rightarrow search for NP

[LHCb-TDR-12]

Upgrade strategy



- LHC will increase luminosity
- Level-0 hardware trigger very efficient for dimuon events
- for hadronic channels trigger yield saturates with increasing luminosity
- detectors will start to degrade because of radiation
- physics programme limited by the detector

Strategy:

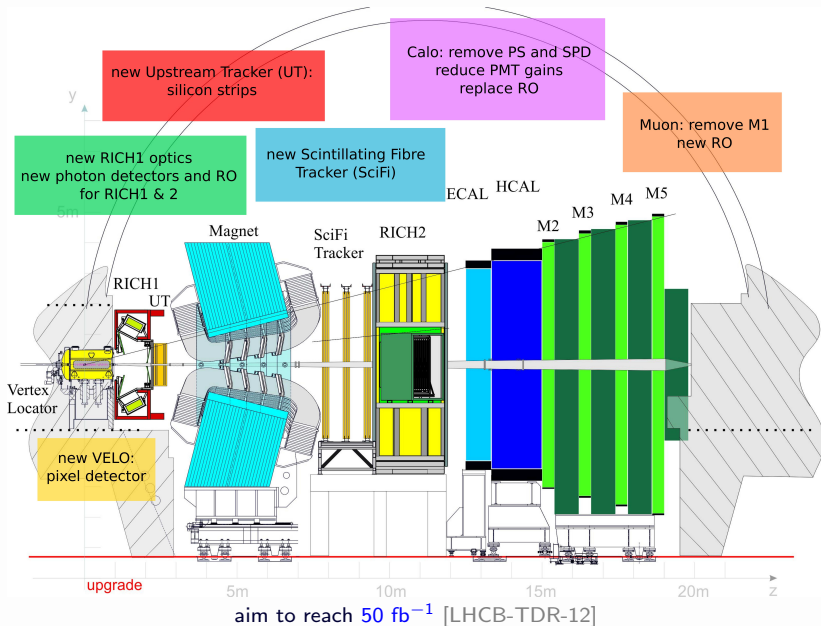
- remove 1MHz L0 bottleneck
- increase readout rate to 40MHz
- fully software trigger
- run at $\mathcal{L} \sim 2 \times 10^{33} \text{cm}^{-2}\text{s}^{-1}$

- need to cope with pile up
- need to cope with high occupancy and higher radiation
- new detector front-end electronics

⇒ upgrade detector during LS2

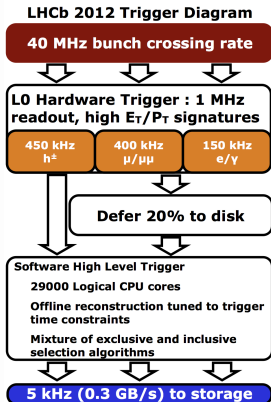
	LHCera		HL-LHCera		
Run # (year)	Run 1 (2010-12)	Run 2 (2015-18)	Run 3 (2021-23)	Run 4 (2025-28)	Run 5+ (2030+)
Integrated luminosity	3 fb ⁻¹	8 fb ⁻¹	23 fb ⁻¹	46 fb ⁻¹	100 fb ⁻¹
	LHCb up to LS2		after LHCb upgrade		

The LHCb Upgrade



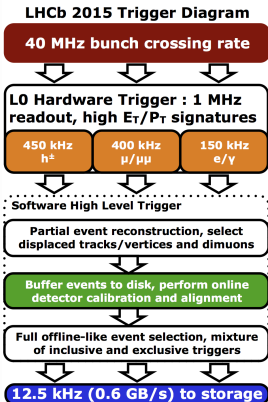
Trigger Upgrade

Run 1



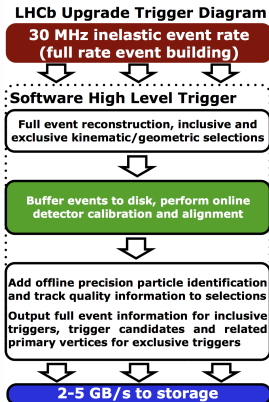
- first-level hardware trigger

Run 2



- first-level hardware trigger
- HLT and real time calibration

Run 3 & 4



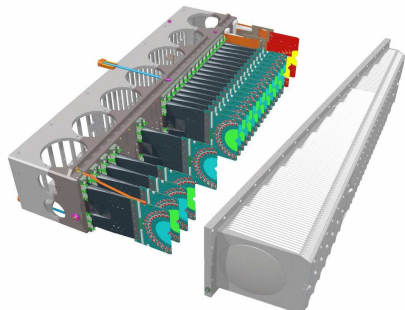
- first-level trigger removed
- fully software flexible trigger

[LHCb-TDR-016]

VELO Upgrade: requirements

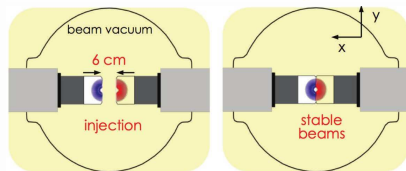
Current detector

- semi-circular modules, silicon strip sensors
- two retractable halves separated from the LHC vacuum by RF foil
- closest active strip at 8.2 mm from beam line
- one interaction per bunch crossing
- $\sigma_{IP} \sim 20\mu\text{m}$ for high p_T tracks

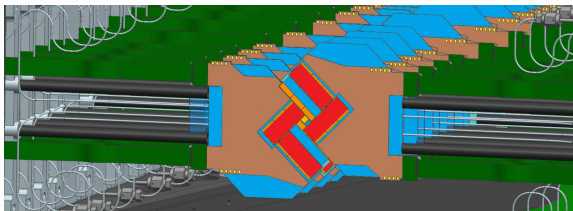


Requirements and challenges:

- ~ 5 interactions per bunch crossing
- measure impact parameter (IP) to high precision
- high tracking efficiency
- tolerance to high dose ($8 \times 10^{15} \text{n}_{eq} \text{cm}^{-2}$): 10 times the current VELO and highly non-uniform



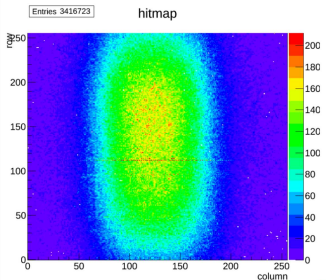
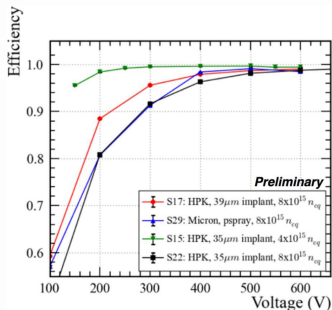
VELO Upgrade



- hybrid pixel sensors, higher granularity ($55\mu\text{m}$ pixel size)
- first sensor closer to the beam: 5.1mm
- reduced thickness for RF foil
- microchannel two-phase CO_2 cooling system (sensors at -20°C against radiation damage)
- improved IP resolution

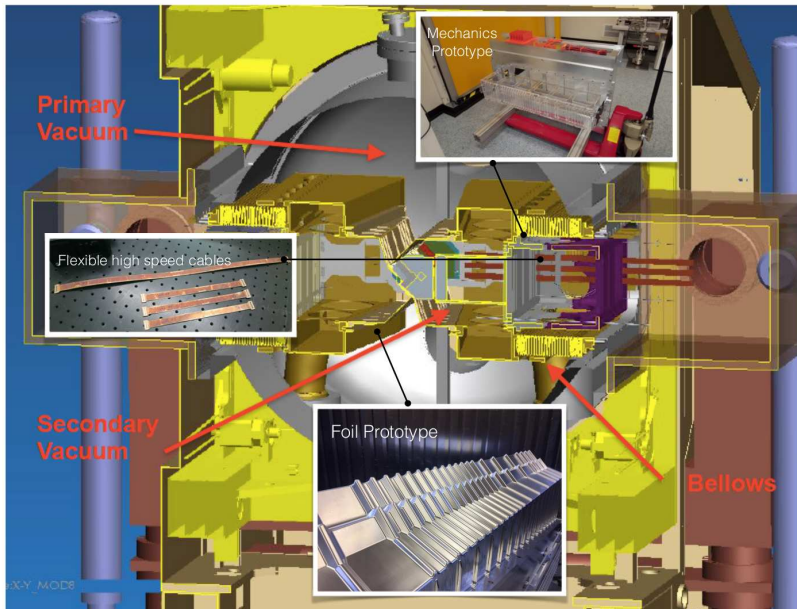
intense testbeam campaign to validate sensors and radiation tolerance

- charge collection
- charge collection efficiency
- spatial resolution



[LHCB-TDR-013]

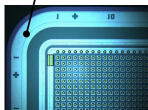
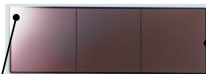
Few highlights



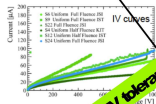
Few highlights

Pixel sensors

prototyped to withstand 1000V

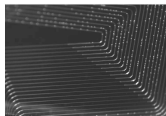


rounded corners

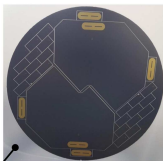


HV tolerance

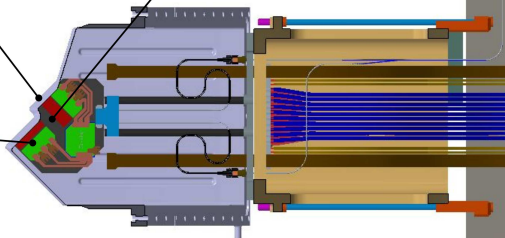
Module Cooling



CO₂ circulating in microchannels



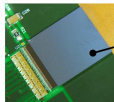
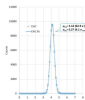
Plasma diced microchannel wafers



zoom onto module region

VeloPix ASIC

excellent performance;
final submission in ~ 1 month



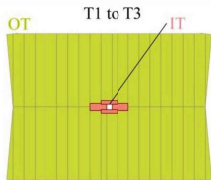
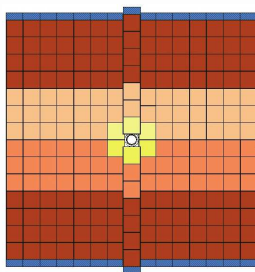
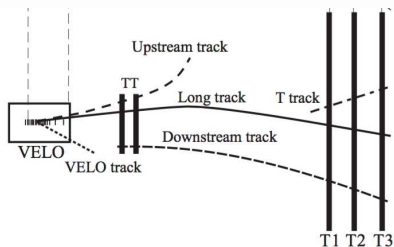
Tracking system

TT

- 4 planes of silicon strips, crucial to detect tracks originated outside the VELO
- Insufficient radiation hardness for upgrade
- Readout of consecutive strips incompatible with high occupancy
- Front-end electronics non easily replaceable

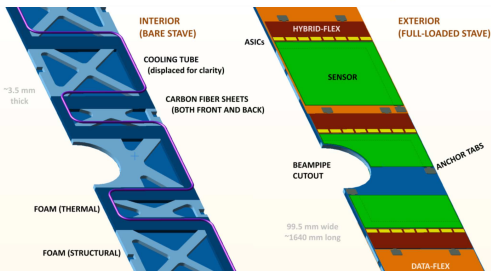
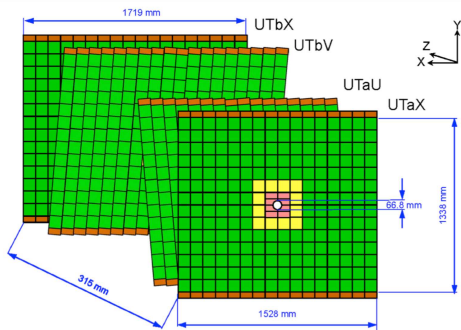
IT and OT

- inner tracker: 4 planes of silicon strips in high η region
- outer tracker: 4 planes of straw tubes in the low η region
- incompatible with high occupancy



- reconstruct long tracks combining signal from VELO
- downstream tracks for the reconstruction of long lived particles

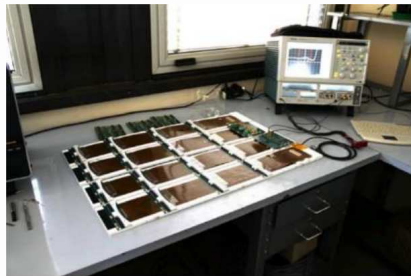
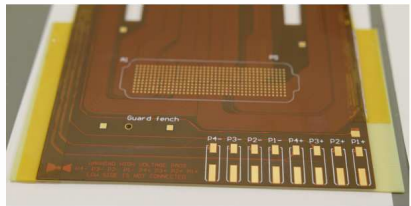
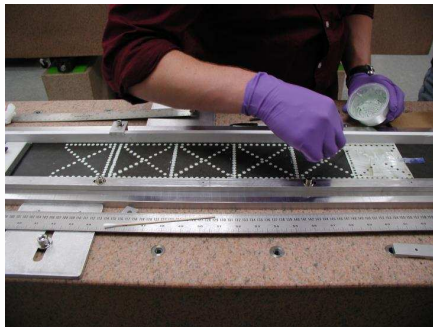
Tracking Upgrade: Upstream Tracker (UT)



[LHCB-TDR-015]

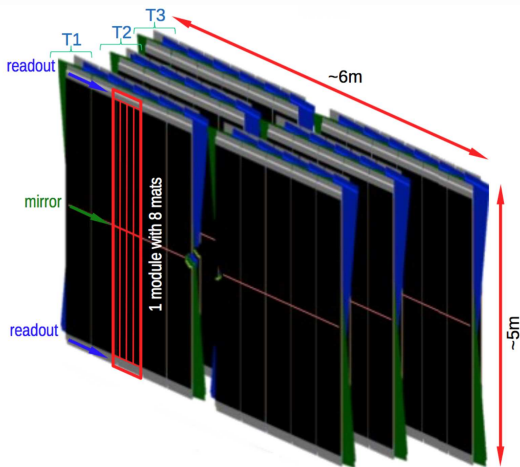
- Reconstruct particles decaying after the VELO
- Reconstruct low-momentum tracks deflected out of the T-acceptance
- 4 planes of silicon strip as for TT
- Finer segmentation: from $183\mu\text{m} \times 10\text{cm}$ to $95\mu\text{m} \times 4.9\text{cm}$, $95\mu\text{m} \times 9.7\text{cm}$, $190\mu\text{m} \times 9.7\text{cm}$
- Better coverage, no gaps
- Lower material budget
- Higher radiation hardness
- Front-end in the active area, close to sensors: better signal to noise ratio
- intense campaign of testbeam
- stave design well advanced

Few highlights

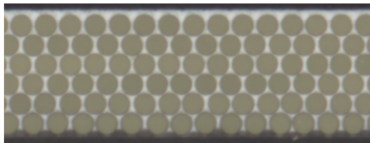


- assembly of staves
- pre-production of flex cables
- QA of flex cables

Tracking Upgrade: Scintillating Fibre Tracking



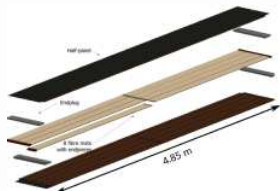
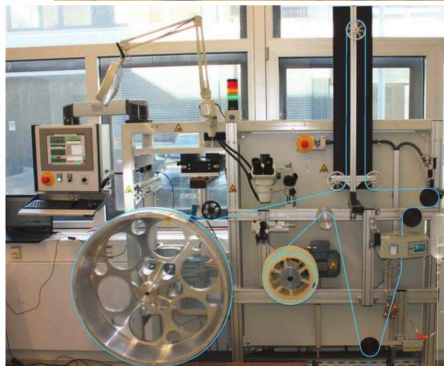
- Scintillating fibres mats transport signal outside the acceptance volume
- 2.5m long fibres with diameter of $250\mu\text{m}$
- Each mat composed by 6 layers of fibres
- Signal readout by SiPMs at -40°C
- Homogeneous coverage with high granularity
- Spatial efficiency better than $70\mu\text{m}$
- Single hit efficiency $> 99\%$



See dedicated talk by P. Hopchev (Friday 19/5)

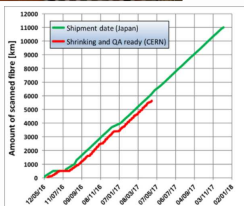
[LHCB-TDR-015]

Few highlights



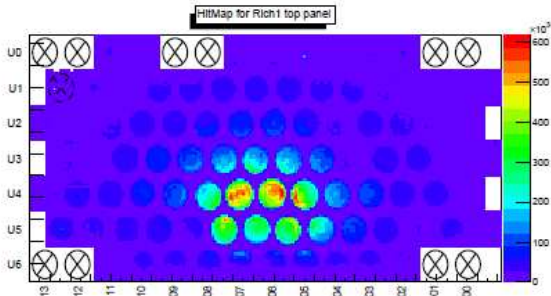
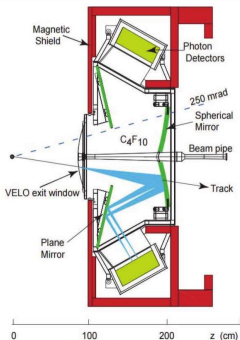
- QA of the fibre
- winding of fibre mats

~ 11000km of fibre
QA half way there!!!



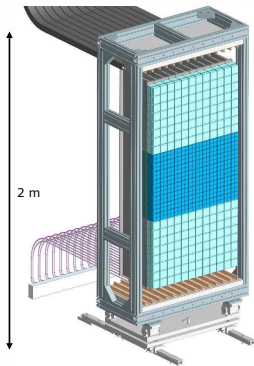
Two RICH detectors

- RICH1: upstream, 2GeV/c - 40GeV/c over 25mrad - 300mrad
 - RICH2: downstream, 30GeV/c - 100GeV/c over 15mrad - 120mrad
- Excellent PID performance See talk by F. Ferrari (Monday 15/5)



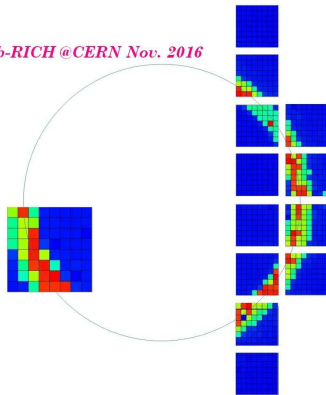
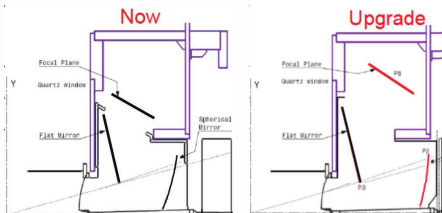
- Charged particles produce Cherenkov radiation focused on Hybrid Photon Detectors (HPD) plane
- HPDs equipped with embedded FE electronics, 1MHz readout
- need to change photon detectors in order to move to 40 MHz readout
- need to modify RICH1 optics in order to cope with high peak occupancy

RICH Upgrade



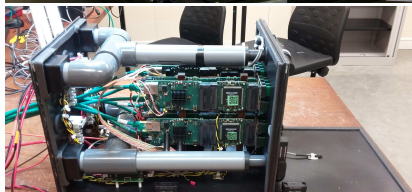
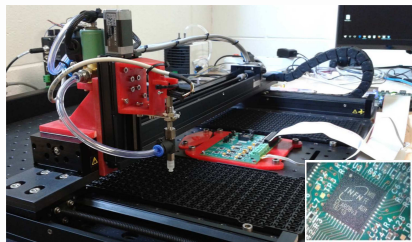
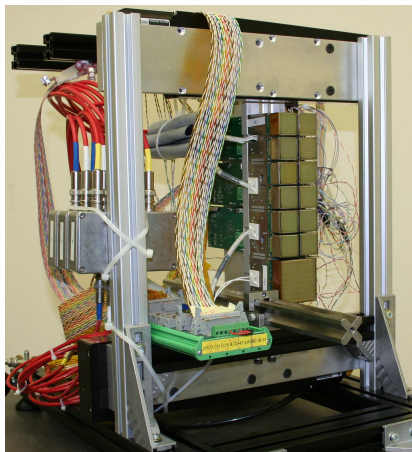
- Replace HPDs with Multi-anode Photomultipliers (~3000 units), finer granularity
- New external readout
- RICH1 focal plane and optics modified to increase size of Cherenkov rings
- intense testbeam campaign to validate new photon detectors and readout

LHCb-RICH @ CERN Nov. 2016

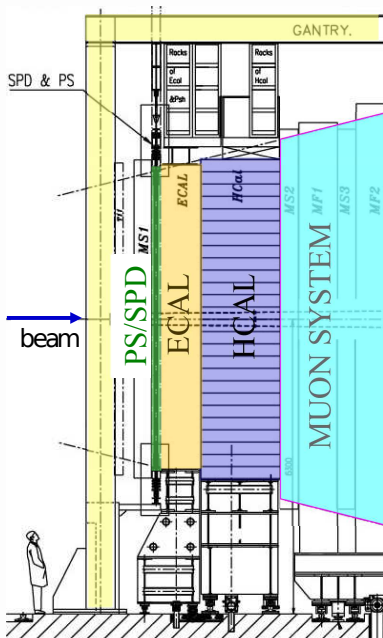


[LHCb-TDR-014]

Few highlights



- QA MaPMTs started (1/3 tested)
- CLARO pre-production qualified
- full readout chain to be tested at testbeam



Calorimeter (four systems):

- Scintillating Pad Detector (SPD): identifies charge particles for e/γ separation
- PreShower (PS): identifies electromagnetic particles
- ECAL: 2mm lead sheets and 4mm scintillator planes
- HCAL: 16mm iron and 4mm scintillator tiles

Muon:

- 5 stations: M1→M5
- Multi-Wire Proportional Chambers
- Triple-GEM detector
- Concentric geometry with decreasing granularity

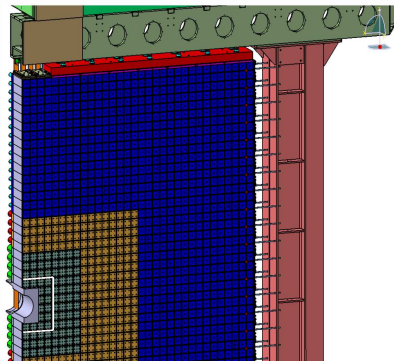
Calo & Muon Upgrade

CALO

- Remove PS and SPD used for L0 trigger
- HCAL modules ok up to $\sim 50\text{fb}^{-1}$
- Inner ECAL modules need to be replaced after $\sim 20\text{fb}^{-1}$
- Reduce PMT gains
- Modify FE electronics

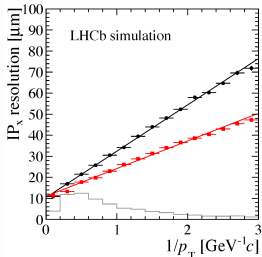
Muon

- Remove first station (M1) used for L0 trigger
- Replace readout electronics
- Additional shielding in front of M2, around the beam pipe to reduce the rate in the central region



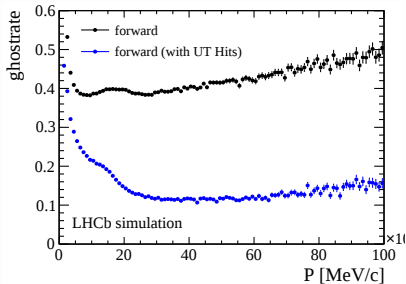
Performance of the upgraded LHCb

IP resolution for **upgraded** and current VELO



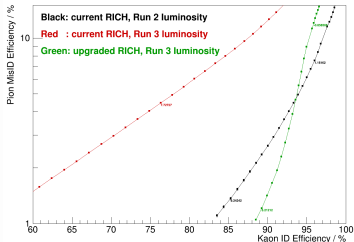
[LHCb-TDR-013]

Tracking performance after upgrade

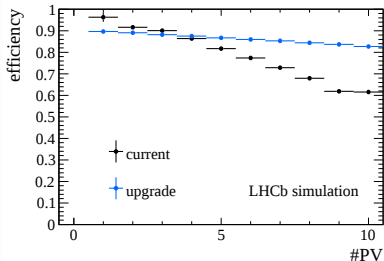


PID performance of the upgraded RICH

RICH Kaon ID



[S. Easo, talk at RICH2016]



[LHCb-TDR-015]

LHCb upgrade: status

- Very challenging program
- Project on schedule
- Many Engineering Design Reviews have already been conducted
- Production Readiness Reviews are already ongoing

What's next?



The poster features a central bright blue starburst on a black background. The text 'LHCb' is in large blue letters, with 'UPGRADE II' below it in blue letters with a yellow shadow. In the top right corner, there is a logo for LHCb and LHCb EoI, along with the text 'CERN/LHCC 2017-003' and '05 February 2017'. At the bottom, it says 'Opportunities in flavour physics, and beyond, in the HL-LHC era' and 'Expression of Interest'.

CERN/LHCC 2017-003
LHCb EoI
05 February 2017

LHCb UPGRADE II

Opportunities in flavour physics,
and beyond, in the HL-LHC era

Expression of Interest

Recently submitted the Expression of Intent to install a second upgrade of the LHCb detector [CERN-LHCC-2017-003]

- install new detector for the beginning of Run 5
- operate at $\mathcal{L} \sim 2 \times 10^{34} \text{cm}^{-2}\text{s}^{-1}$
- mean number of interactions per bunch crossing: $\mu \sim 50$
- collect more than 300fb^{-1}
- improve even more Phase-I LHCb precision (even after first upgrade many measurements still limited by statistics)
- fully exploit HL-LHC

Run # (year)	LHCera		HL-LHCera		
	Run 1 (2010-12)	Run 2 (2015-18)	Run 3 (2021-23)	Run 4 (2025-28)	Run 5+ (2030+)
Integrated luminosity	3 fb ⁻¹	8 fb ⁻¹	23 fb ⁻¹	46 fb ⁻¹	100 fb ⁻¹
	LHCb up to LS2		after LHCb upgrade		

Phase-II

Phase-II Upgrade goals

Table 2.1: Summary of prospects for Phase-II measurements of selected flavour observables.

Topics and observables	Experimental reach	Remarks
EW Penguins		
Global tests in many $b \rightarrow s\mu^+\mu^-$ modes with full set of precision observables; lepton universality tests; $b \rightarrow d +l^-$ studies	e.g. $440k B^0 \rightarrow K^*\mu^+\mu^-$ & $70k \Lambda_b^0 \rightarrow \Lambda\mu^+\mu^-$; Phase-II $b \rightarrow d\mu^+\mu^- \approx \text{Run-1 } b \rightarrow s\mu^+\mu^-$ sensitivity.	Phase-II ECAL required for lepton universality tests.
Photon polarisation		
A^Δ in $B_s^0 \rightarrow \phi\gamma$; $B^0 \rightarrow K^*e^+e^-$; baryonic modes	Uncertainty on $A^\Delta \approx 0.02$; $\sim 10k \Lambda_b^0 \rightarrow \Lambda\gamma$, $\Xi_b \rightarrow \Xi\gamma$, $\Omega_b^- \rightarrow \Omega\gamma$	Strongly dependent on performance of ECAL.
$b \rightarrow d\bar{\nu}_l$ lepton-universality tests		
Polarisation studies with $B \rightarrow D^{(*)}\tau^-\bar{\nu}_\tau$; τ^-/μ^- ratios with B_s^0 , Λ_b^0 and B_c^+ modes	e.g. $8M B \rightarrow D^*\tau^-\bar{\nu}_\tau$, $\tau^- \rightarrow \mu^-\bar{\nu}_\mu\nu_\tau$ & $\sim 100k \tau^- \rightarrow \pi^-\pi^+\pi^-(\pi^0)\nu_\tau$	Additional sensitivity expected from low-p tracking.
$B_s^0, B^0 \rightarrow \mu^+\mu^-$		
$R \equiv B(B^0 \rightarrow \mu^+\mu^-)/B(B_s^0 \rightarrow \mu^+\mu^-)$; $\tau_{B^0 \rightarrow \mu^+\mu^-}$: CP asymmetry	Uncertainty on $R \approx 20\%$ Uncertainty on $\tau_{B^0 \rightarrow \mu^+\mu^-} \approx 0.03\text{ps}$	
LFV τ decays		
$\tau^- \rightarrow \mu^+\mu^-\mu^-$, $\tau^- \rightarrow h^+\mu^-\mu^-$, $\tau^- \rightarrow \phi\mu^-$	Sensitive to $\tau^- \rightarrow \mu^+\mu^-\mu^-$ at 10^{-9}	Phase-II ECAL valuable for background suppression.
CKM tests		
γ with $B^- \rightarrow DK^-$, $B_s^0 \rightarrow D_s^+K^-$ etc.	Uncertainty on $\gamma \approx 0.4^\circ$	Additional sensitivity expected
ϕ_S with $B_s^0 \rightarrow J/\psi K^+K^-$, $J/\psi\pi^+\pi^-$	Uncertainty on $\phi_S \approx 3\text{mrad}$	in CP observables from Phase-II
ϕ_S^{SS} with $B_s^0 \rightarrow \phi\phi$	Uncertainty on $\phi_S^{\text{SS}} \approx 8\text{mrad}$	ECAL and low-p tracking.
$\Delta\Gamma_d/\Gamma_d$	Uncertainty on $\Delta\Gamma_d/\Gamma_d \sim 10^{-3}$	Approach SM value.
Semileptonic asymmetries $a_{\text{sl}}^{\text{d},s}$	Uncertainties on $a_{\text{sl}}^{\text{d},s} \sim 10^{-4}$	Approach SM value for a_{sl}^{d} .
$ V_{ub} / V_{cb} $ with Λ_b^0 , B_s^0 and B_c^+ modes	e.g. $120k B_c^+ \rightarrow D^0\mu^-\bar{\nu}_\mu$	Significant gains achievable from thinning or removing RF-foil.
Charm		
CP-violation studies with $D^0 \rightarrow h^+h^-$, $D^0 \rightarrow K_S^0\pi^+\pi^-$ and $D^0 \rightarrow K^{\mp}\pi^{\pm}\pi^-\pi^-$	e.g. $4 \times 10^9 D^0 \rightarrow K^+K^-$; Uncertainty on $A_F \sim 10^{-5}$	Access CP violation at SM values.
Strange		
Rare decay searches	Sensitive to $K_S^0 \rightarrow \mu^+\mu^-$ at 10^{-12}	Additional sensitivity possible with downstream trigger enhancements.

second upgrade to realise the flavour potential of HL-LHC

Phase-II Upgrade goals

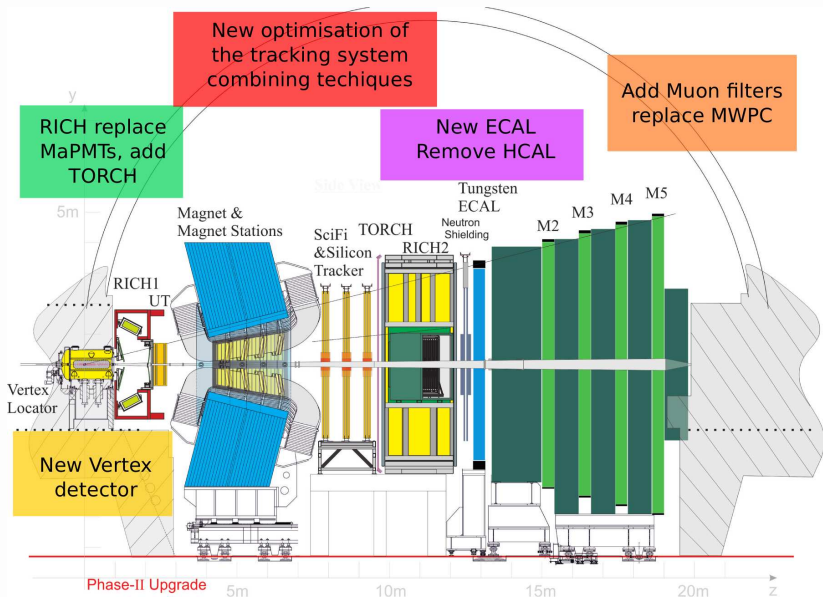
Table 2.1: Summary of prospects for Phase-II measurements of selected flavour observables.

Topics and observables	Experimental reach	Remarks
EW Penguins		
Global tests in many $b \rightarrow s\mu^+\mu^-$ modes with full set of precision observables; lepton universality tests; $b \rightarrow d l^-$ studies	e.g. $440k B^0 \rightarrow K^*\mu^+\mu^-$ & $70k \Lambda_b^0 \rightarrow \Lambda\mu^+\mu^-$; Phase-II $b \rightarrow d\mu^+\mu^- \approx \text{Run-1 } b \rightarrow s\mu^+\mu^-$ sensitivity.	Phase-II ECAL and EFP lepton universality tests
Photon polarisation		
A^Δ in $B_s^0 \rightarrow \phi\gamma$; $B^0 \rightarrow K^*e^+e^-$; baryonic modes	Uncertainty on $A^\Delta \approx 0.02$; $\sim 10k \Lambda_b^0 \rightarrow \Lambda\gamma$, $\Xi_b \rightarrow \Xi\gamma$, $\Omega_b^- \rightarrow \Omega\gamma$	Additional sensitivity expected from ECAL.
$b \rightarrow d\bar{\nu}_l$ lepton-universality tests		
Polarisation studies with $B \rightarrow D^{(*)}\tau^-\bar{\nu}_\tau$; τ^-/μ^- ratios with B_s^0 , Λ_b^0 and B_c^+ modes	e.g. $8M B \rightarrow D^{(*)}\tau^-\bar{\nu}_\tau$ & $\sim 100k \tau^- \rightarrow \pi^-\pi^+$	Additional sensitivity expected from low-p tracking.
$B_s^0, B^0 \rightarrow \mu^+\mu^-$ $R \equiv B(B^0 \rightarrow \mu^+\mu^-)/B(B_s^0 \rightarrow \mu^+\mu^-)$; $\tau_{B^0 \rightarrow \mu^+\mu^-}$; CP asymmetry	Uncertainty on $R \approx 0.03$ Uncertainty on $\tau_{B^0 \rightarrow \mu^+\mu^-} \approx 603\text{ps}$	
LFV τ decays		
$\tau^- \rightarrow \mu^+\mu^-\mu^-$, $\tau^- \rightarrow h^+\mu^-\mu^-$, $\tau^- \rightarrow \phi\mu^-$	Uncertainty on $\tau_{\tau^- \rightarrow \mu^+\mu^-\mu^-} \approx 10^{-9}$	Phase-II ECAL valuable for background suppression.
CKM tests		
γ with $B^+ \rightarrow DK^+$, $B^0 \rightarrow DK^0$	Uncertainty on $\gamma \approx 0.4^\circ$	Additional sensitivity expected
ϕ_S with $B_s^0 \rightarrow J/\psi K_S^0$	Uncertainty on $\phi_S \approx 3\text{mrad}$	in CP observables from Phase-II ECAL and low-p tracking.
ϕ_S^{SS} with B_s^0	Uncertainty on $\phi_S^{\text{SS}} \approx 8\text{mrad}$	Approach SM value.
$\Delta\Gamma_d/\Gamma_d$	Uncertainty on $\Delta\Gamma_d/\Gamma_d \sim 10^{-3}$	Approach SM value for a_1^0 .
Sensitive to B_c^+ and B_c^0 modes	Uncertainties on $a_1^0 \sim 10^{-4}$ e.g. $120k B_c^+ \rightarrow D^0\mu^-\bar{\nu}_\mu$	Significant gains achievable from thinning or removing RF-foil.
CP violation studies with $D^0 \rightarrow h^+h^-$, $D^0 \rightarrow K_S^0\pi^+\pi^-$ and $D^0 \rightarrow K^-\pi^+\pi^+\pi^-$	e.g. $4 \times 10^9 D^0 \rightarrow K^+K^-$; Uncertainty on $A_F \sim 10^{-5}$	Access CP violation at SM values.
Strange		
Rare decay searches	Sensitive to $K_S^0 \rightarrow \mu^+\mu^-$ at 10^{-12}	Additional sensitivity possible with downstream trigger enhancements.

the precision on a host of important, theoretically clean, measurements will still be limited by statistics after the first upgrade

second upgrade to realise the flavour potential of HL-LHC

The LHCb Upgrade Phase-II



Timing is the key!!!

Conclusions

LHCb is currently taking high quality data above the design parameters

The LHCb **Phase-I upgrade** is foreseen to collect 50 fb^{-1}

- very challenging project
- all subdetectors are progressing towards the production of the components
- installation foreseen during LS2
- operations foreseen in Run 3 and 4
- upgrade is mandatory to reach experimental precision of the order of theoretical uncertainties

The EoI for the LHCb **Phase-II upgrade** has been submitted

- project very technologically challenging
- aim to collect $300 \text{ fb}^{-1} \Rightarrow$ upgrade would allow to collect $100\times$ more data and reach higher precision!

Thank you for your attention!