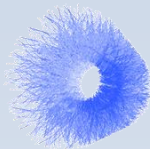


Tracking performance in high multiplicities environment at ALICE

David Rohr *for the ALICE Collaboration*
drohr@cern.ch, CERN

LHCP Conference 2017, Shanghai
18.5.2017



Tracking in ALICE



- **ALICE currently has two tracking implementations for the TPC:**

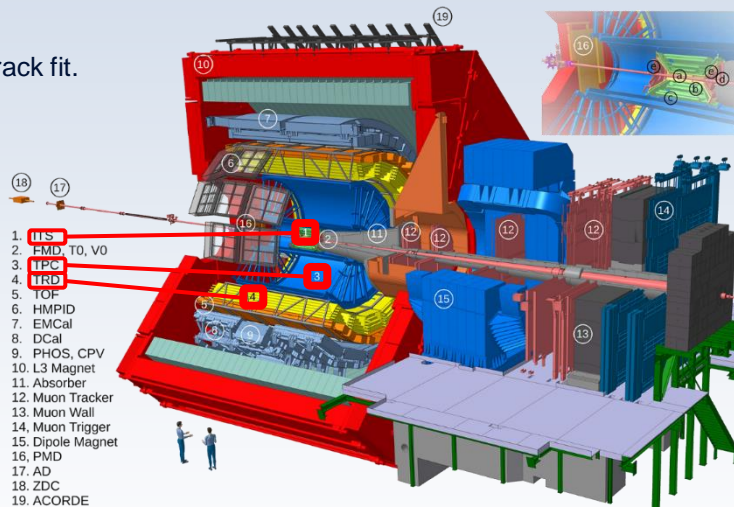
- A fast online tracker in the HLT.
- The offline TPC tracker as reference.
- Both use the Kalman filter for track following and track fit.
- The HLT uses a Cellular Automaton for seeding.

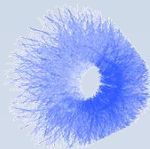
- **Also for ITS (silicon detector), there are distinct HLT and offline trackers.**

- **For the TRD there is only an offline tracker yet, prolonging TPC tracks.**

- **Luminosity will increase significantly in Run 3.**

- We want to adapt the HLT tracking for Run 3.
- Ideally, create one tracker as fast as the HLT version with competitive resolution to the offline version.

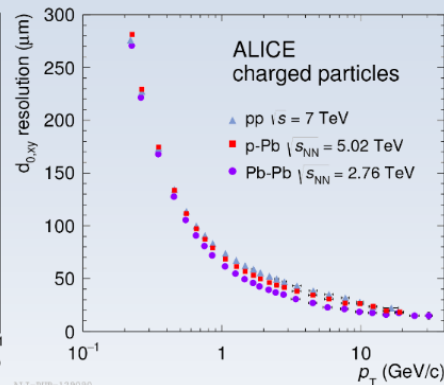
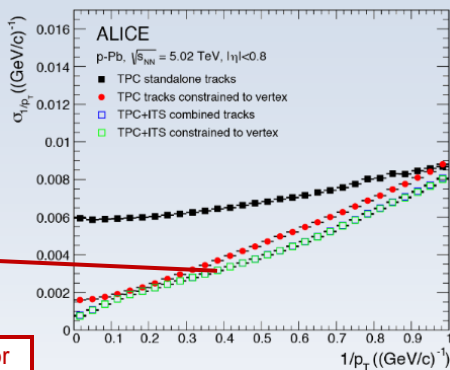




ALICE Offline Tracking Performance



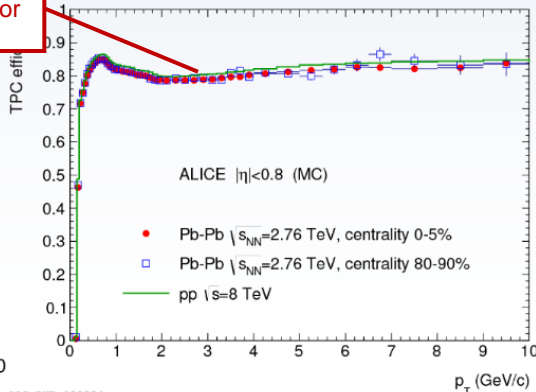
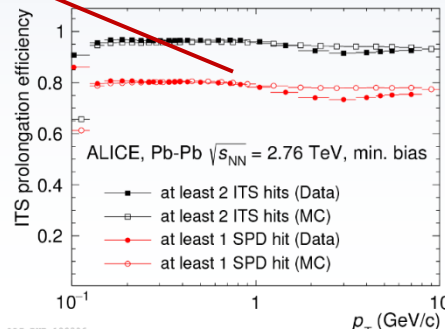
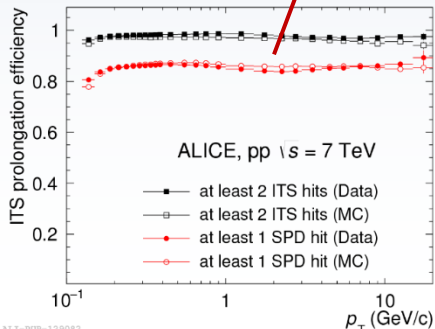
- Overview of offline tracking results from Run 1 / 2.
- We want to maintain the efficiency and resolution for Run 3.

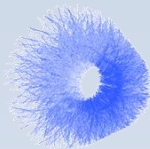


p_T resolution improved by ITS significantly

TPC ITS matching efficiency > 80% for at least 2 ITS hits. Identical for pp and Pb-Pb, reproduced in MC.

Same aggregate tracking efficiency for pp and Pb-Pb

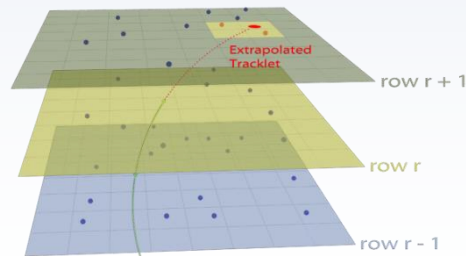
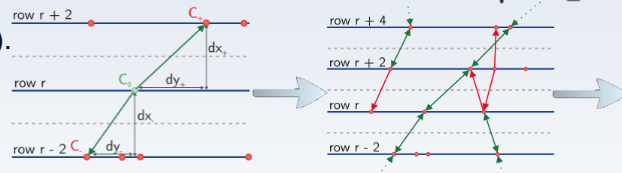
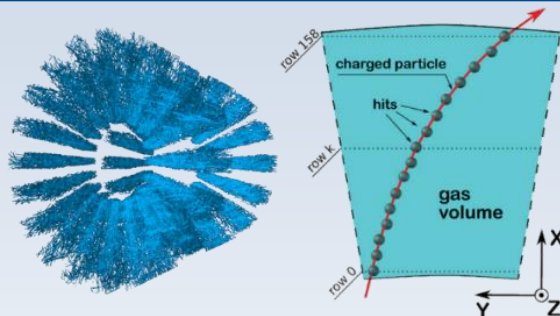


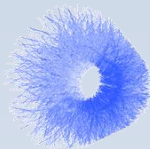


Run 3 Tracking derived from current tracking in ALICE HLT



- **TPC Volume is split in 36 sectors.**
 - The tracker processes each sector individually.
 - Each sector has 159 read out rows in radial direction.
 - Tracking runs in 2 phases:
 - **1. Phase: Sector-Tracking (within a sector)**
 - Heuristic, combinatorial search for track seeds using a **Cellular Automaton**.
 - A) Looks for three hits composing a straight line (**link**).
 - B) Concatenates links.
- Fit of track parameters, extrapolation of track, and search for additional clusters using the **Kalman Filter**.





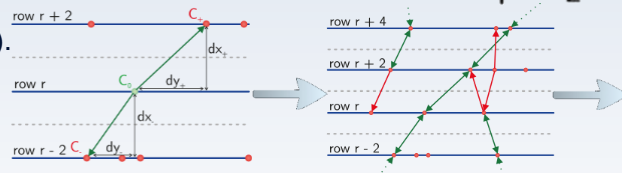
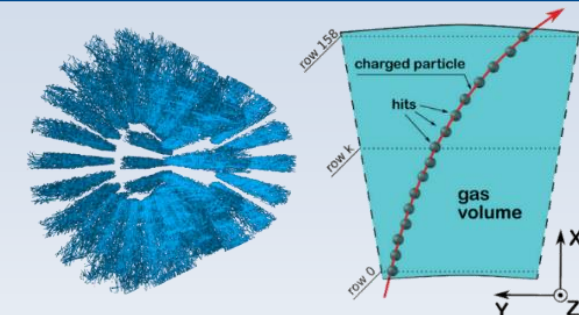
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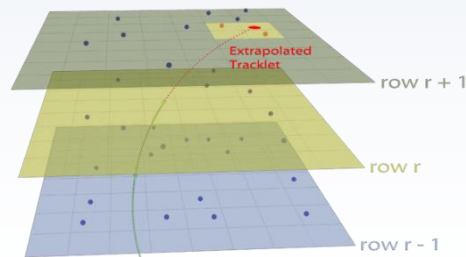
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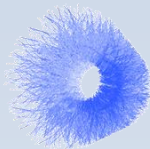


- Fit of track parameters, extrapolation of track, and search for additional clusters using the **Kalman Filter**.



- **2. Phase: Track-Merger**

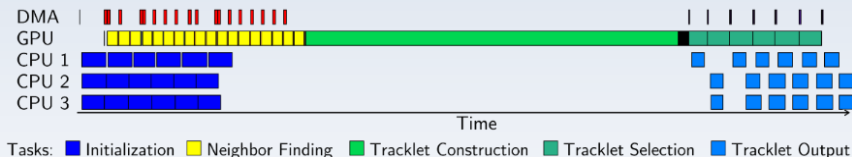
- Combines the track segments found in the individual sectors.
- **Phase 1 track finding implemented in a common generic source code, that runs on CPU and GPU, supporting CUDA, OpenCL, and OpenMP.**

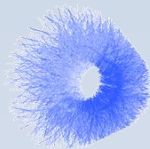


GPU Track Finding Performance Results



- GPU tracking running since 2012 in the ALICE HLT.
- Currently using 180 AMD S9000 GPUs.
- HLT can reconstruct and fit up to 40,000,000 tracks per second.
- Individual TPC sectors are processed in a pipeline, such that pre- and postprocessing, data transfer, and tracking of sectors overlap.
- Still, central Pb-Pb is unable to fully load modern GPU.
 - Process 2 events in parallel: $2 * 145 \text{ ms} \rightarrow 220 \text{ ms}$ (110 ms per event).
- Significant speedup of two orders of magnitude (up to 150x) by using the Cellular Automaton approach and the GPU.

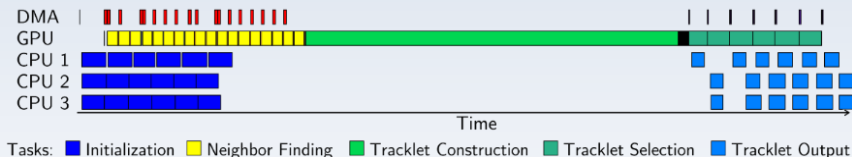




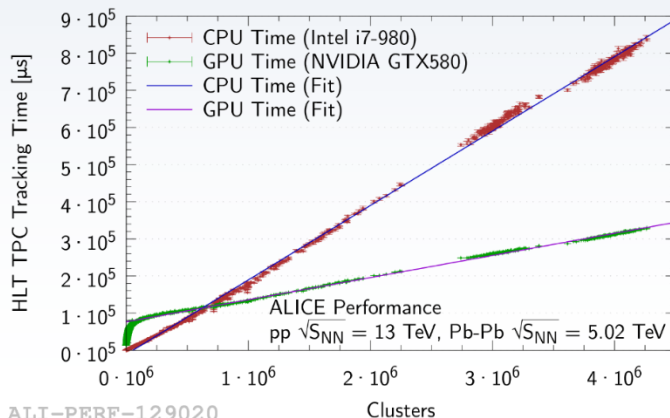
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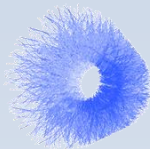
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Tracking times scales linearly with input data size.



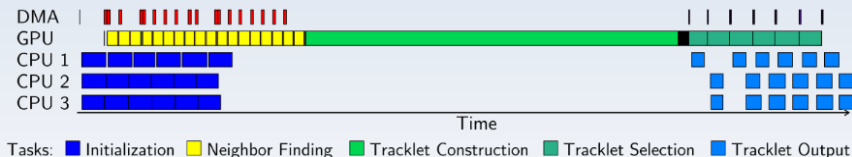
ALI-PERF-129020



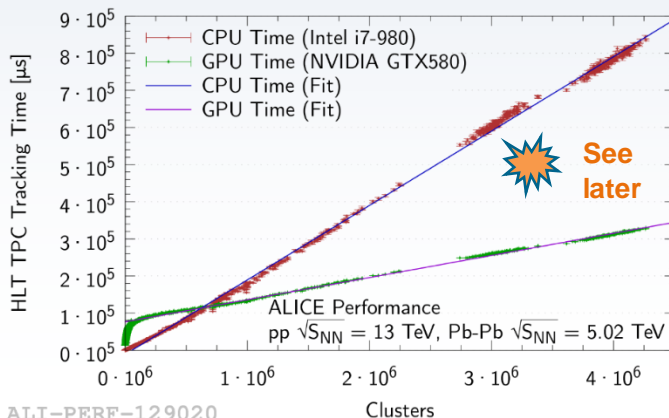
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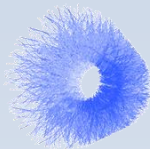
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ALI-PERF-129020



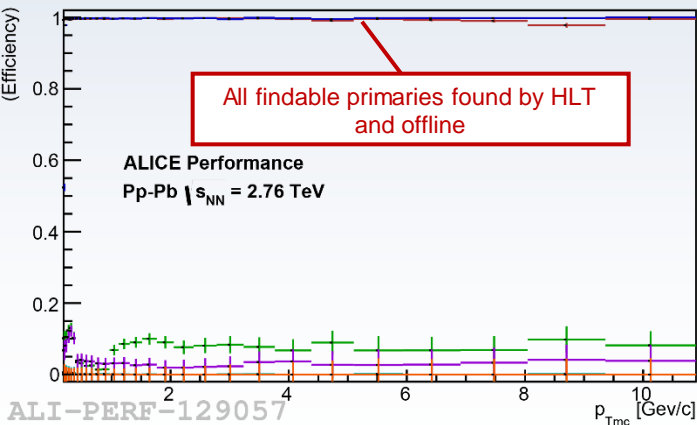
TPC Tracking efficiency



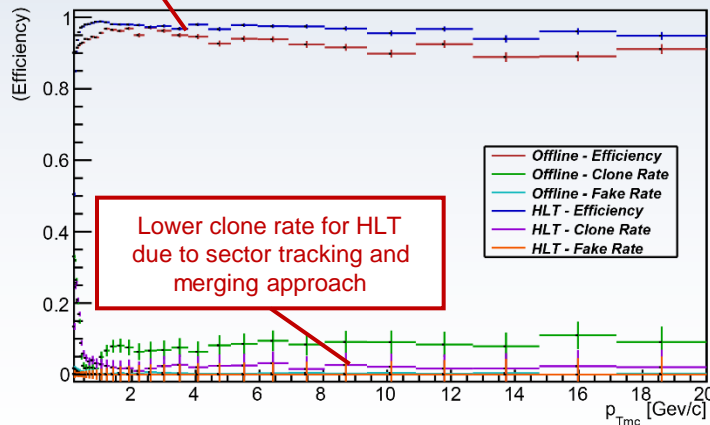
- Simulation based on 2011 Pb-Pb, 2.76 TeV
- Findable tracks: **min 70 TPC hits**

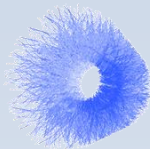
High efficiency for findable secondaries, advantage for HLT due to Cellular Automaton seeding without vertex constraint.

Efficiency (Primary Tracks, Findable)



Efficiency (Secondary Tracks, Findable)



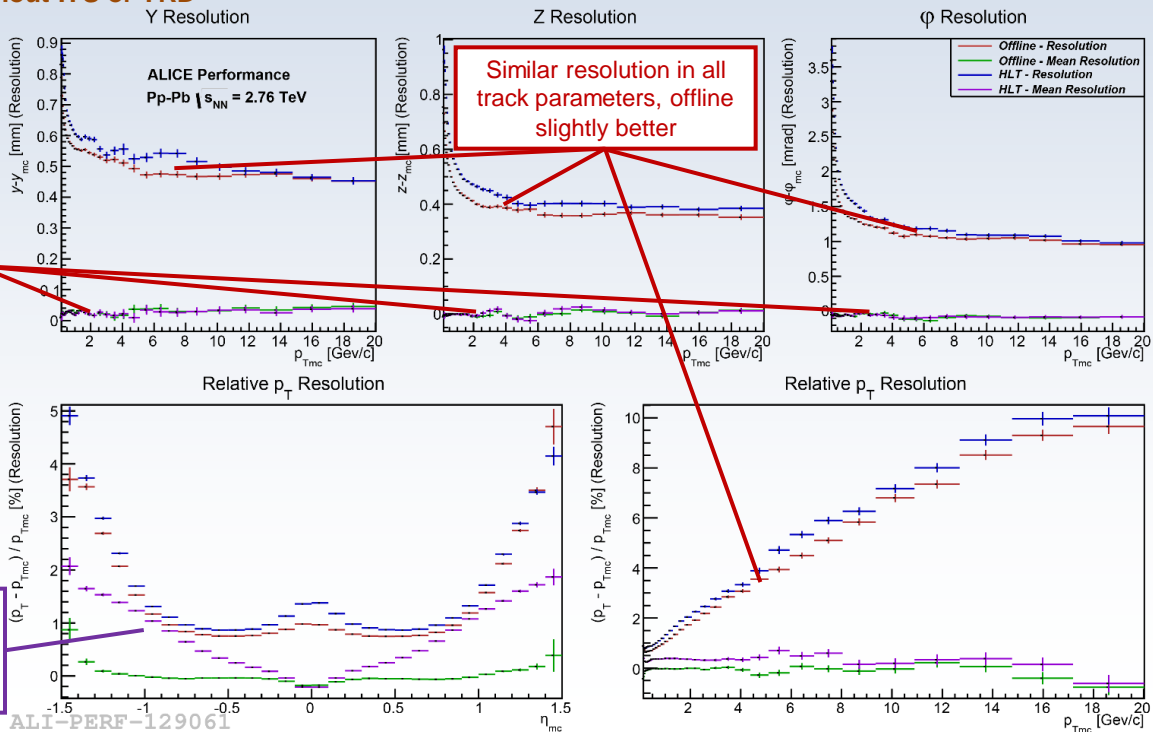


TPC Tracking resolution



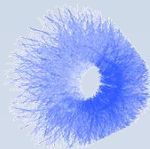
ALICE

- Simulation based on 2011 Pb-Pb, 2.76 TeV
- TPC only fit without ITS or TRD
- p_T bias in HLT tracks due to missing B_X and B_Y components of magnetic field.



No significant bias in Y, Z, and ϕ for offline and HLT

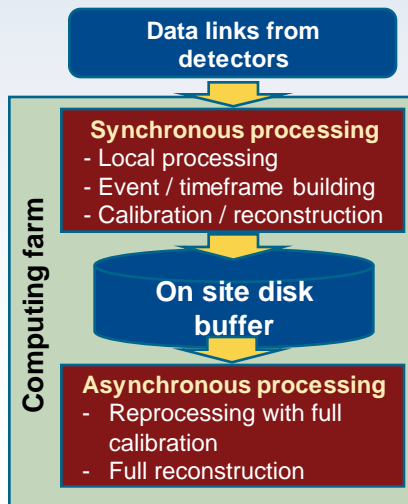
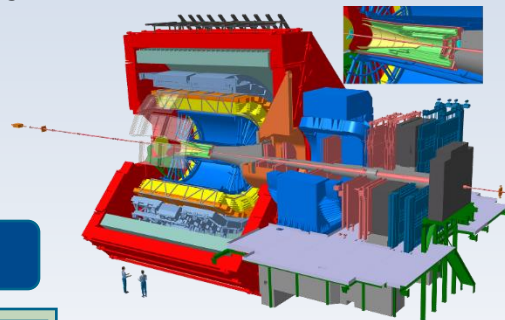
p_T bias in HLT tracks that depends on pseudorapidity

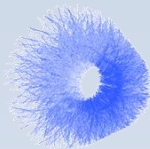


Implications of the ALICE Upgrade for Tracking



- **ALICE will perform a major upgrade for high luminosity data taking.**
 - Continuous read-out instead of triggered read-out using a GEM TPC.
 - Reconstruction based on time frames not events.
 - **50 kHz** Pb-Pb min-bias data taking.
(compared to **8 kHz** IR, **500 Hz** to **1 kHz** trigger)
- Major update / rewrite of tracking software.
 - Relevant detectors for track reconstruction:
ITS (6 *silicon detector*, 7 in Run 3),
TPC,
TRD, TOF (*for refit and calibration*)





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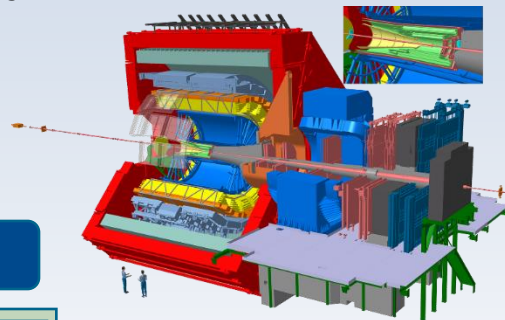
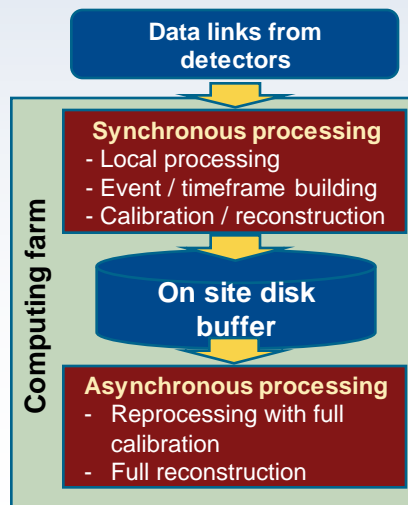


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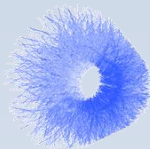
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- **Challenges:**

- Tracking time frames with overlapping events.
- Continuous readout.
- Reconstruct and store 50 times more events.
(same time, similar storage)
 - Faster reconstruction
 - Better data compression based on tracks.
 - Online calibration.

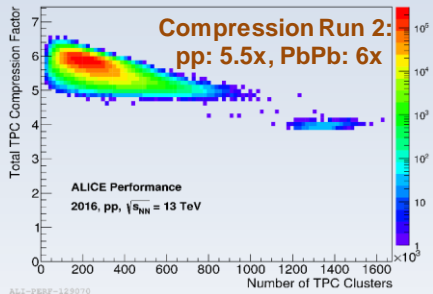


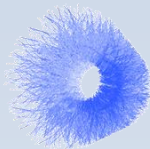
Data reduction



ALICE

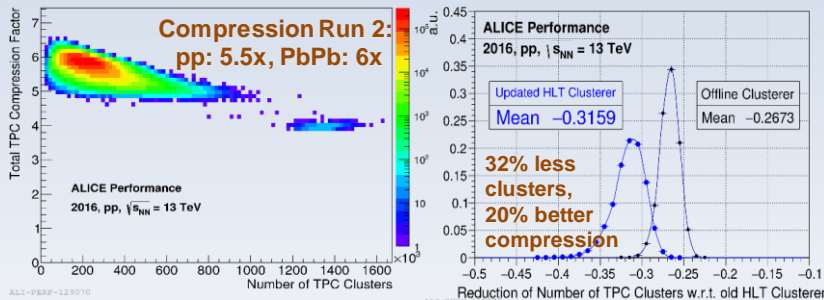
- In the TDR for the ALICE Online Offline (O²) computing upgrade, we foresee a data compression factor of 20 (with respect to Run 1 raw data size).
 - Infeasible with lossless compression.
 - Online TPC clusterization with FPGA in HLT.
 - Only clusters, no raw data stored (2011).
 - Huffman compression in Run 1 (factor 4x).
 - Reduce cluster entropy by storing differences (2016).





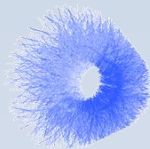
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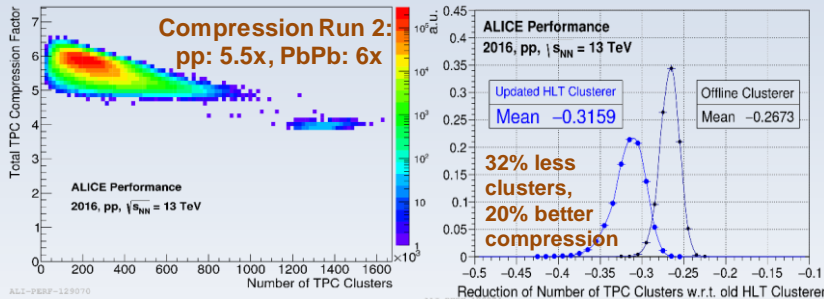
- Additional compression studied for Run 2 / 3.
 - TPC noise suppression \rightarrow reject fake clusters.
 - Arithmetic compression instead of Huffman compression.
 - Reduce number of significant bits for cluster charge and size
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 - Store cluster to track residual (less entropy).

Total compression factor of **9.1x** demonstrated in a proof of concept prototype on data from 2016, commissioning this year.



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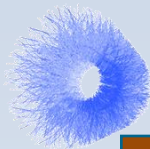
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- Additional compression (use track information).

- Store cluster to track residual (less entropy).
- Positive identification of clusters excluded from storage: (expected 2x reduction, summing to 18.2x, close to the 20x we need)
 - Clusters of looping tracks below 50 MeV (not used for physics), at higher p_T only 1st leg preserved.
 - Clusters of track segments with high inclination angle (bad quality, not used for track fit).

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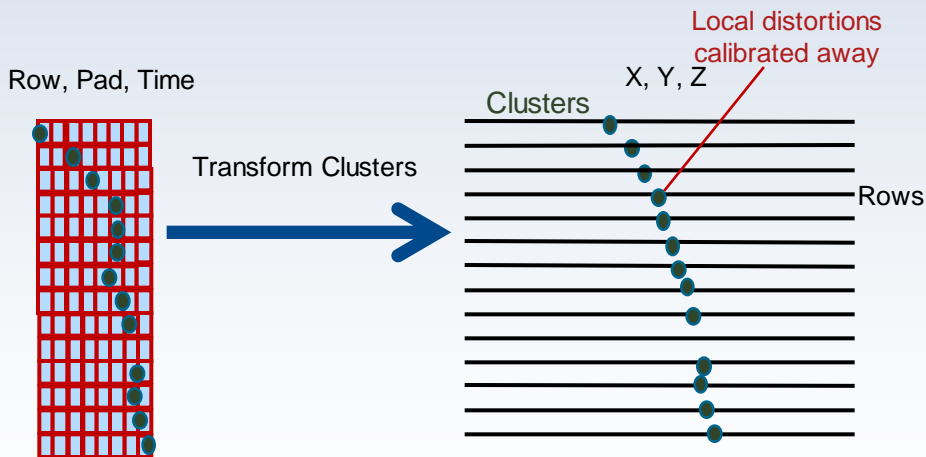
Track model compression

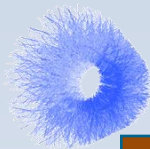


ALICE

Work in Progress

- **Idea:** store only residuals of clusters to extrapolated track.
- **Smaller entropy** than absolute (differential) coordinates → **Better Huffman compression**.
- **Constraint:** Clusters shall be stored in native TPC coordinates (Row, Pad, Time), independent from calibration.





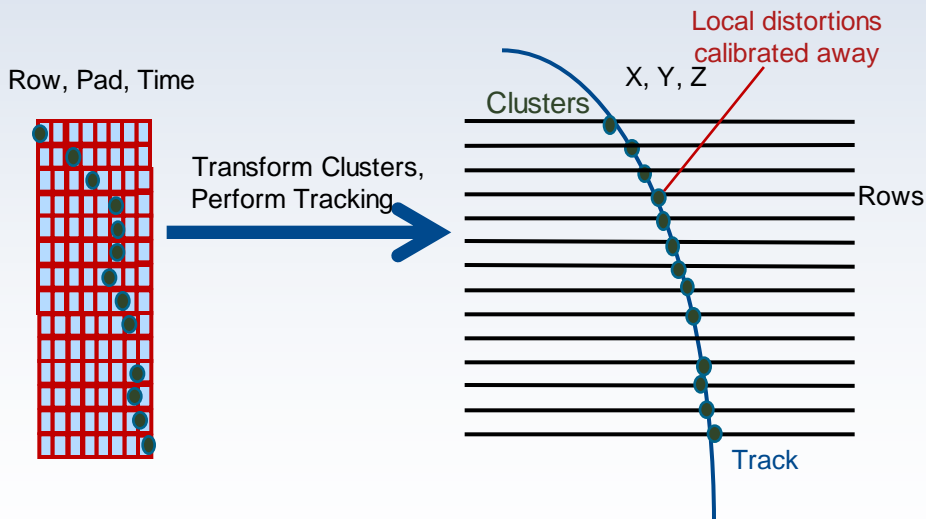
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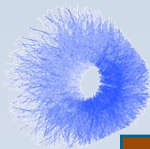


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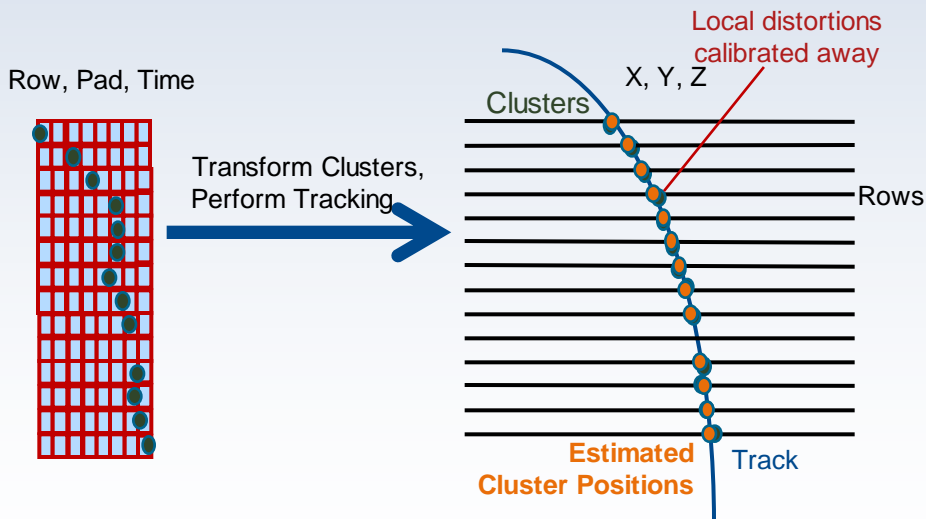
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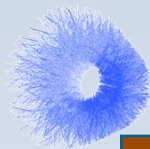


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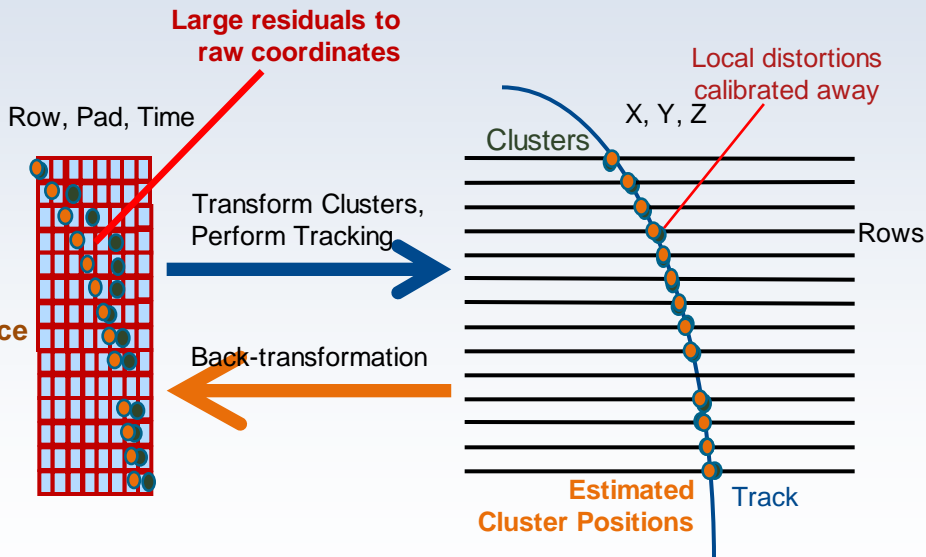


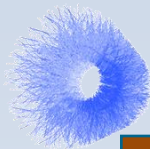
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Problems:

- Helix prolongation yields **large residuals**
→ inefficient compression.
- Linear back-transformation **cannot revert transformation** based on full calibration.
- Helix **cannot accommodate space charge distortions** in the TPC.



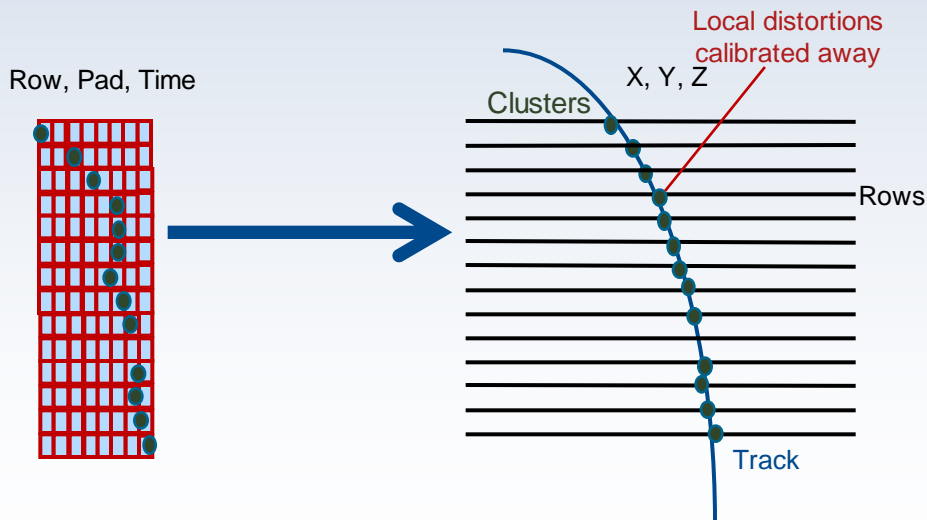


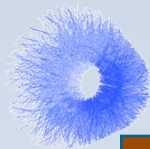
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ALICE

Work in Progress





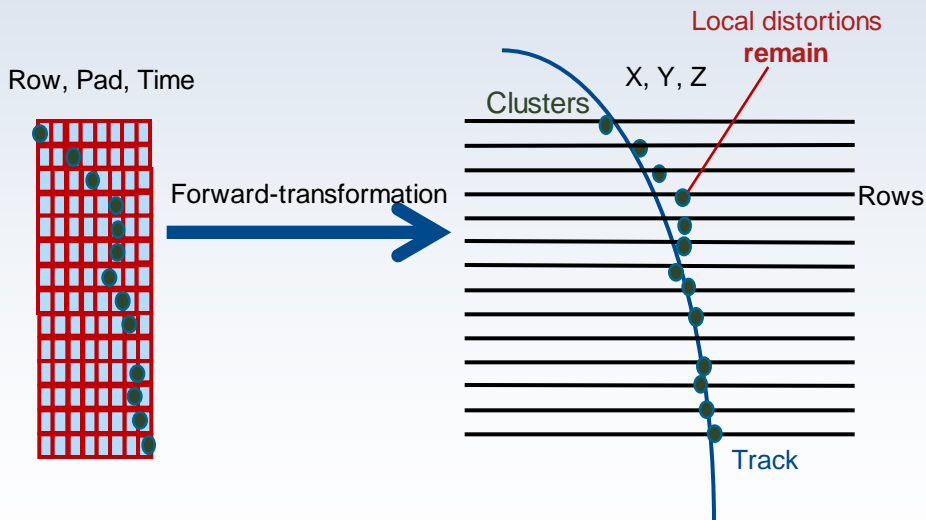
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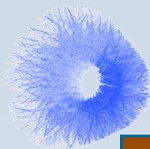


Work in Progress

- **Solution:**

- Employ **fast, reversible polynomial approximation**. (*In principle, every transformation works, but the closer the better!*)

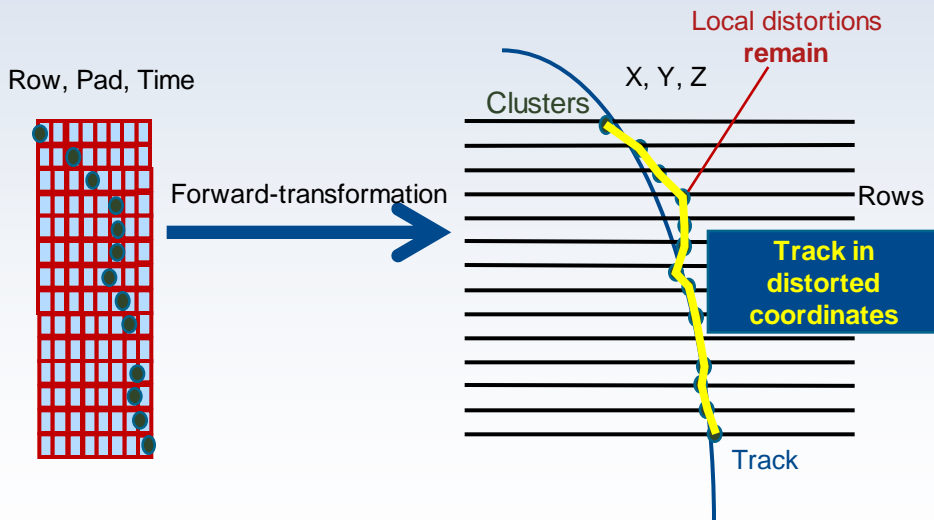


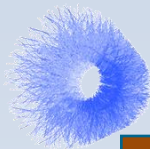


Work in Progress

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- **Refit track in distorted coordinate system.**





Track model compression

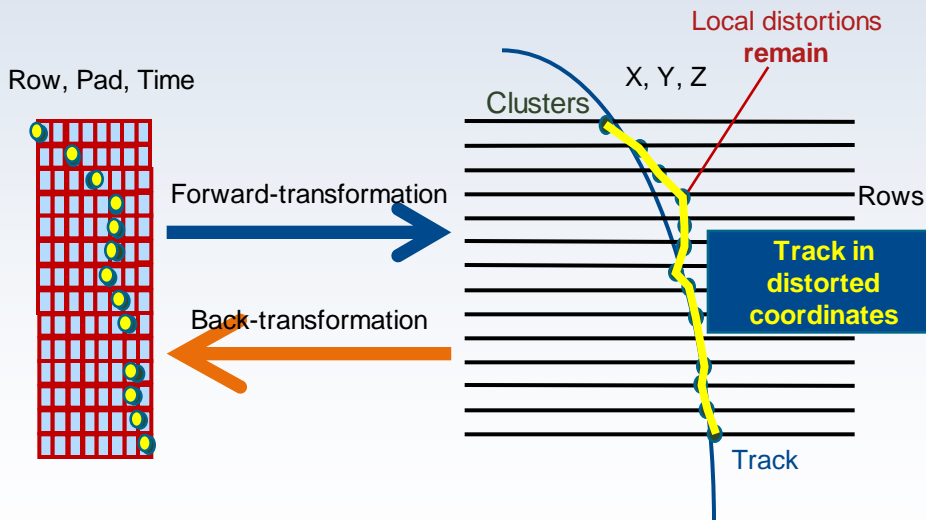


ALICE

Work in Progress

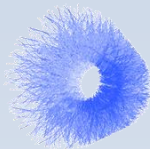
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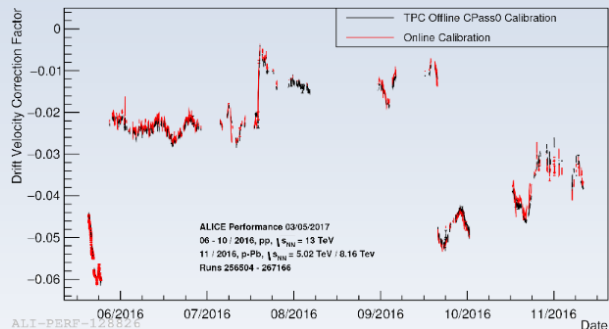


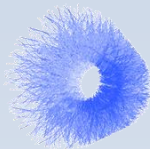
- **Non-associated clusters still compressed with differences scheme.**

- **Additional benefit: Cluster to track association is stored → Track found in HLT / synchronous phase available later.**

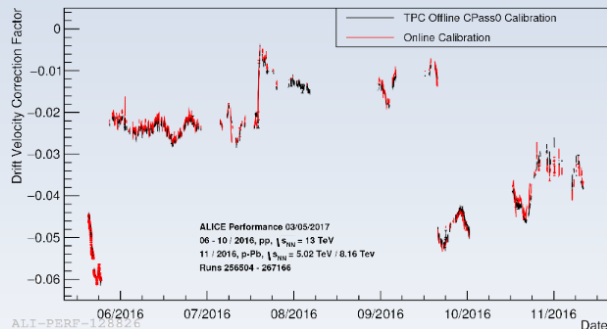


- **No significant difference in the drift velocity computed online and offline between May and December 2016.**
- Gaps in between are periods without beam.
- **Different ambient pressure and TPC temperature values online and offline are corrected by calibration** yielding slightly different factor.

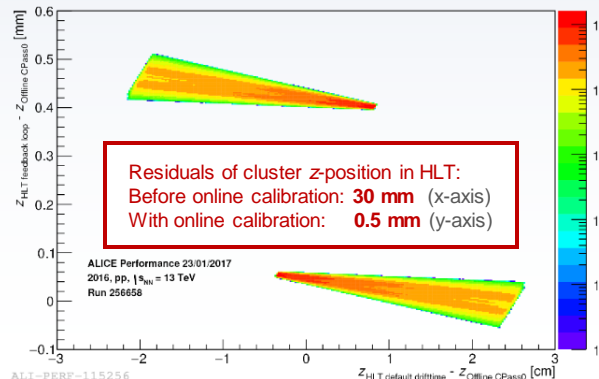




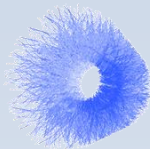
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 - HLT tracking sufficient for calibration purpose today.



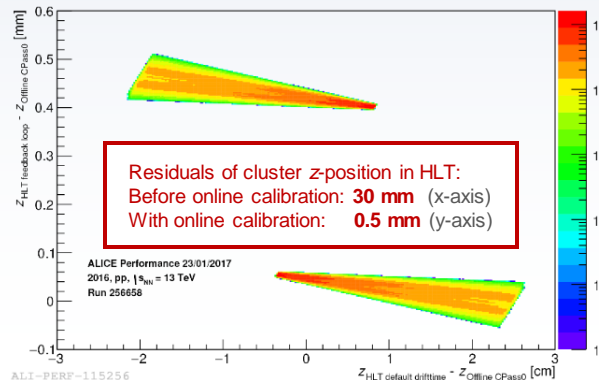
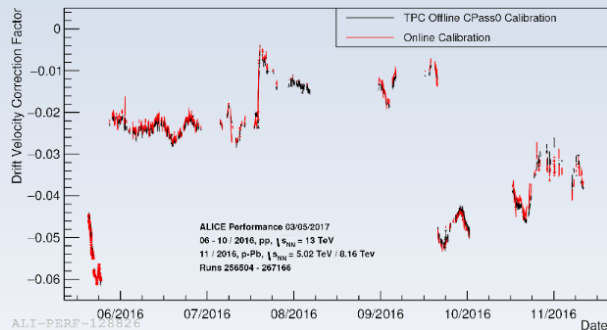
ALI-PRF-126826

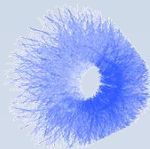


ALI-PRF-115256

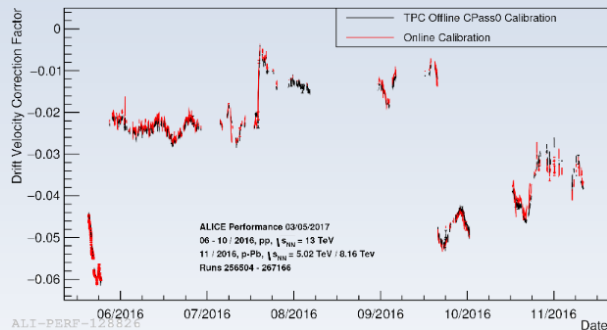


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 - Calibration of the previous two minutes used for following time. (**feedback loop**).
 - The first 2.5 minutes of a run are without online calibration.

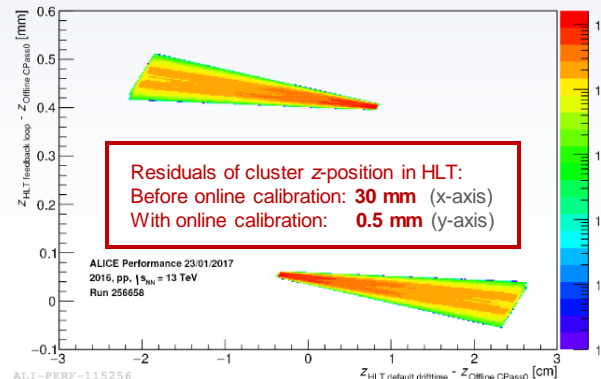




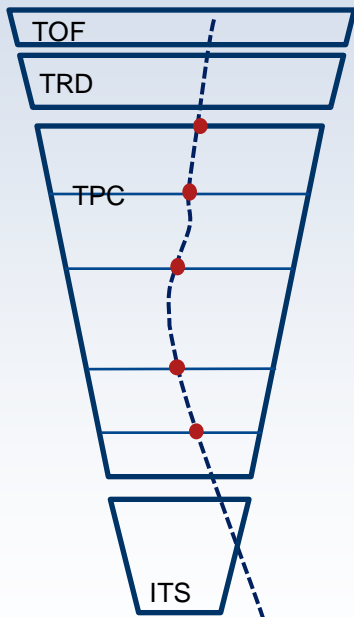
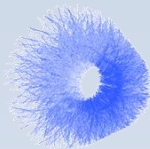
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 - Calibration of the previous two minutes used for following time. (**feedback loop**).
 - The first 2.5 minutes of a run are without online calibration.
- **Online calibration frameworks enables execution of most tasks with minor modification.**
 - Gain calibration commissioned at the moment.
 - Space charge distortion calibration foreseen online.



ALICE-PERF-126826

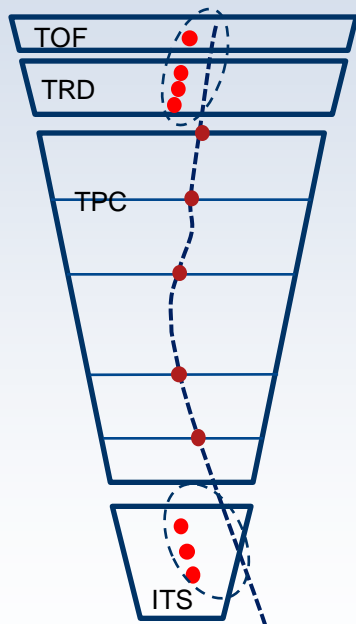
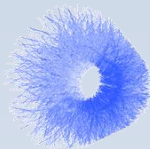


ALICE-PERF-115256



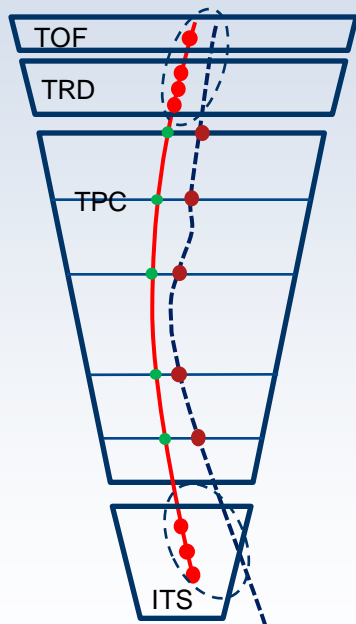
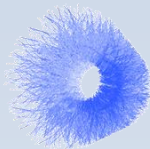
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- Distortions change with time: 40 min intervals. (min 15-20 min for statistics)
- 15 (in Y/X) x 5 (in Z/X) voxels per padrow \Rightarrow ~430K in total



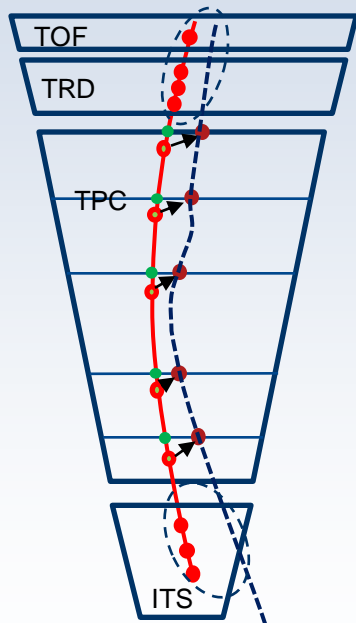
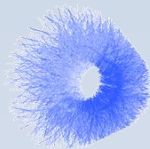
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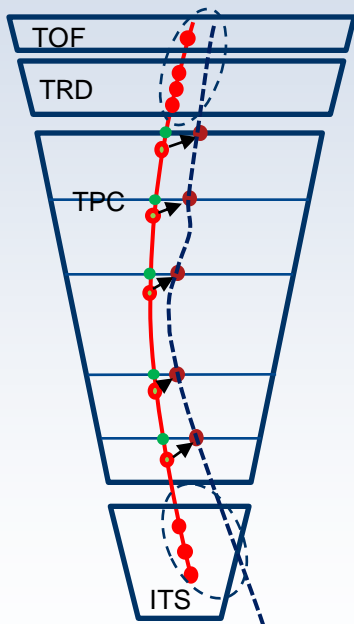
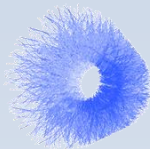


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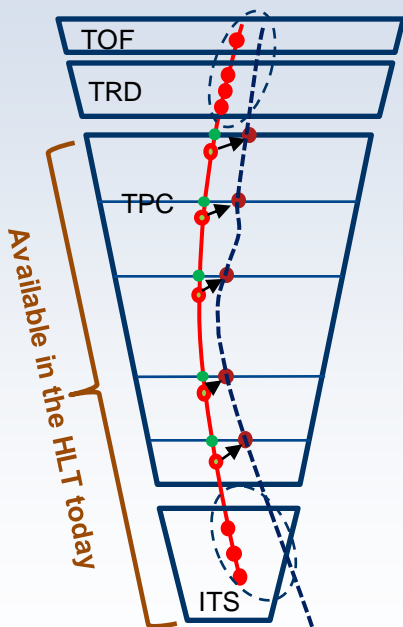
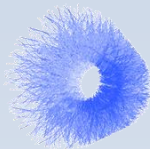


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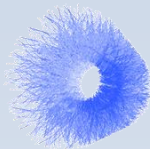
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- Running offline
- To be implemented for HLT during Run 2 as prototype for Run 3
- Needs TRD and TOF reconstruction in the HLT (not available yet)

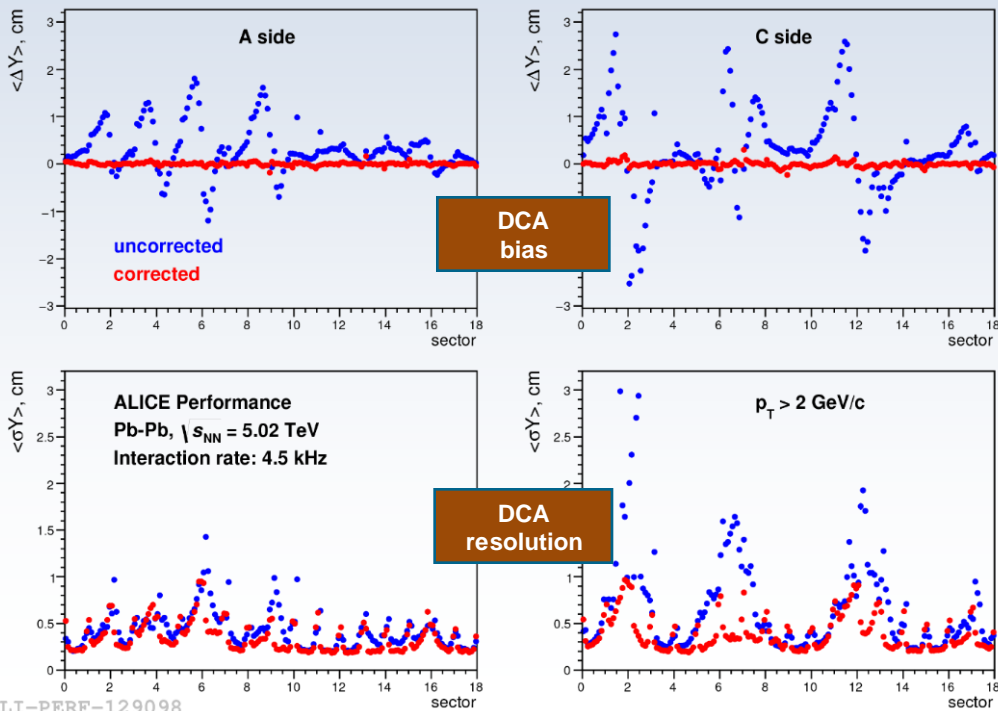


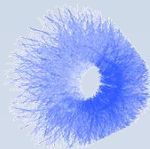
Space Charge Distortions corrections results



- Corrections **compensate bias** of the track position.
- Due to long interval of 40 minutes to gather statistics, **cannot correct for short term luminosity fluctuations**.

→ Degradation of resolution.





Space Charge Distortions corrections results



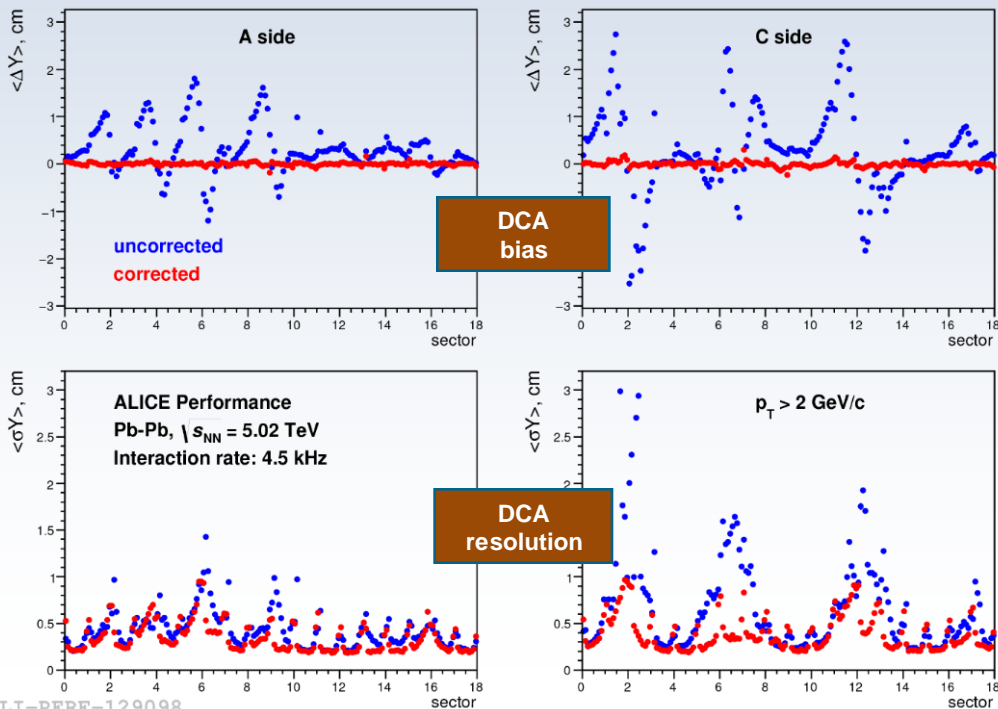
ALICE

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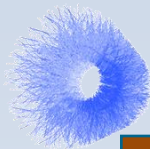
→ Degradation of resolution.

- **2 calibration algorithms for Run 3:**

- ITS – TRD interpolation as presented here.
- Integration of currents in the TPC and direct computation of distortion maps.
 - Cannot be tested during Run 2 since it does not work in triggered readout mode (needs to integrate all current in continuous mode).



ALI-PERF-129098

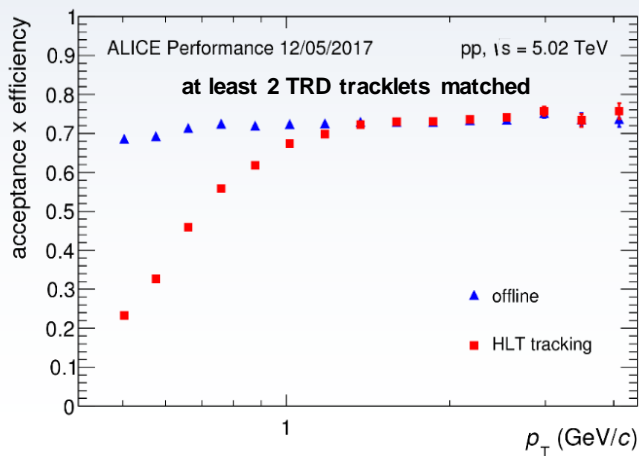


Online TRD tracking in the HLT and for Run 3

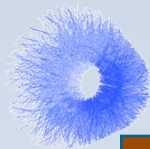


Work in Progress

- **Space Charge Distortion Calibration** requires online TRD tracking.
 - Offline tracking uses **offline tracklets** created from hits around TPC tracks.
 - **Not available in Run 3**, use **online tracklets** created by TRD readout.
- **Extrapolates TPC track into TRD layers, attaches closest tracklet.**
 - Decision-tree based algorithm foreseen if needed for high occupancy.
- **Same matching efficiency** for online and offline tracklets $> 1 \text{ GeV}/c$.
 - Drop of efficiency at low p_T is caused by the absence of online tracklets (currently optimized for electron trigger at $p_T > 3 \text{ GeV}/c$)
- **Extending matching to $p_T \sim 0.6 \text{ GeV}/c$ is important for**
 - Disentangling between radial and $r\phi$ distortions.
 - Bridging TPC tracks to TOF.



ALI-PERF-129110

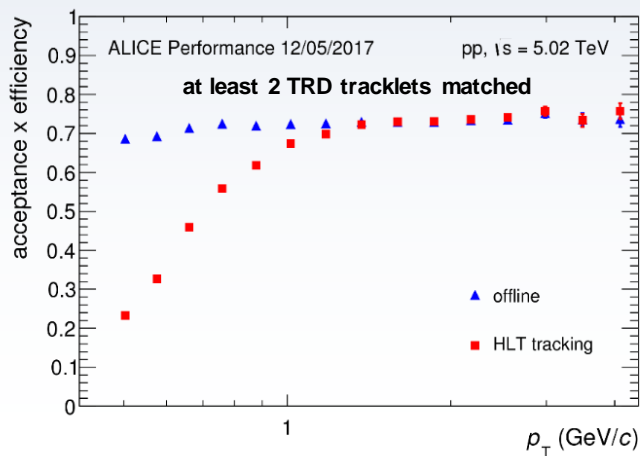


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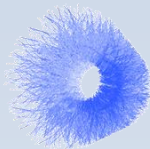


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 - Disentangling between radial and $r\phi$ distortions.
 - Bridging TPC tracks to TOF.
- **Early work on matching the TOF hit in the HLT started.**
 - Emphasis on TRD, which is more complicated.



ALI-PERF-129110

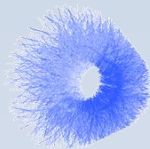


Tracking with GEM TPC, plan for Run3



ALICE

- **Changes for TPC tracking in ALICE during Run 3**
 - Continuous read-out
 - Higher interaction rate
 - Time frames instead of events
 - Increase of distortions



Tracking with GEM TPC, plan for Run3



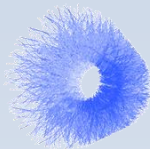
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- **HLT TPC tracker operates on events as a whole.**


- **Can also process timeframe (or slice of timeframe) as a whole.**

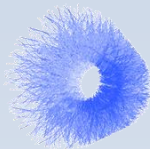
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 - Better GPU utilization through more parallelism.
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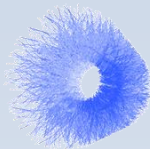
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 - **No longer possible!**
 - **Transforming time to z needs the time of the interaction.**
 - **The vertex is only determined during tracking.**
 - Could apply the transformation multiple times, once per vertex, and run the tracking multiple times.
 - **Avoid if possible, very compute intense.**
 - **Joint tracking and transformation.**
 - Requires online calibration for the transformation.



Tracking with GEM TPC, plan for Run3



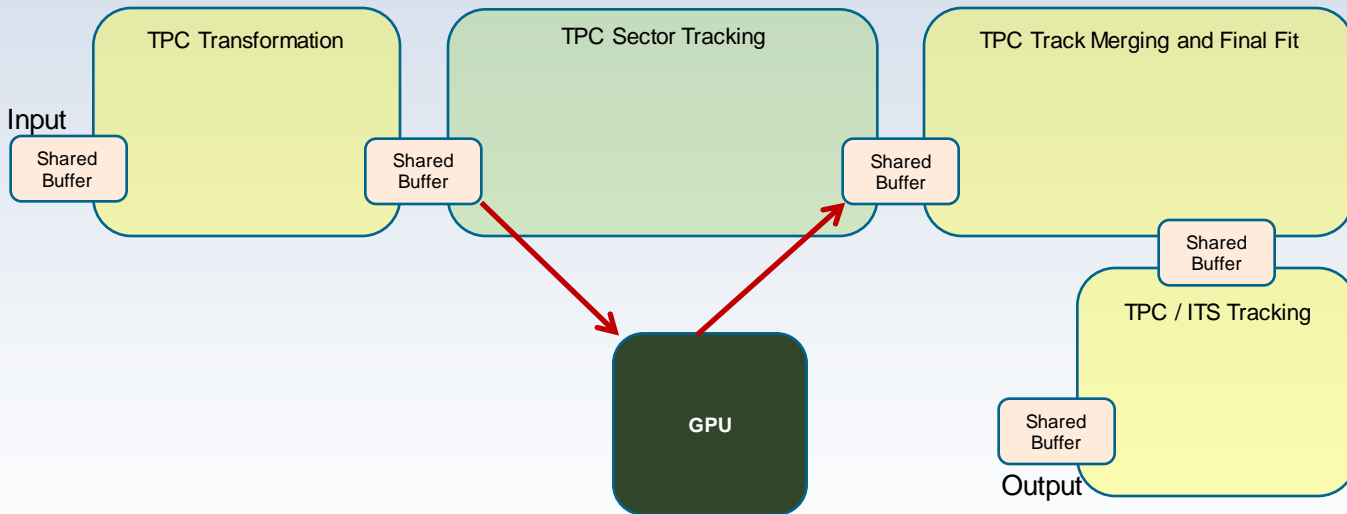
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 - Requires online calibration for the transformation.
- **Needs consideration during tracking:**
 - Multiple vertices per event.
 - Radial distortions for track extrapolation.

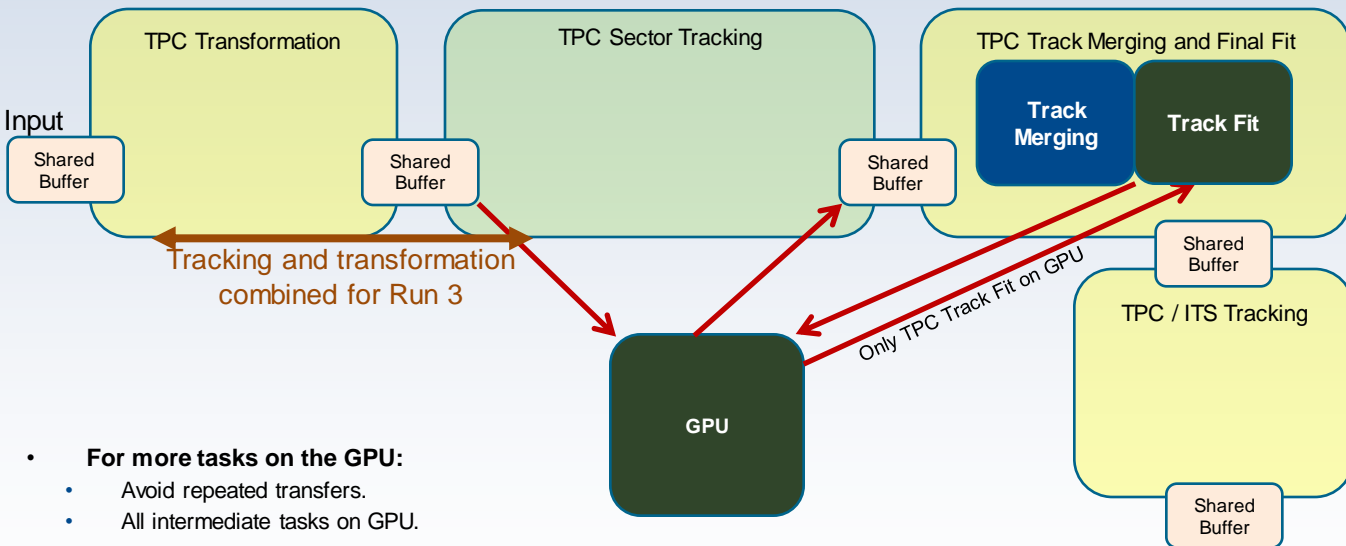
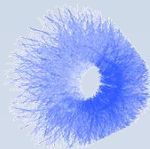


Current HLT TPC / ITS Tracking

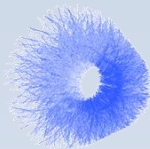


ALICE





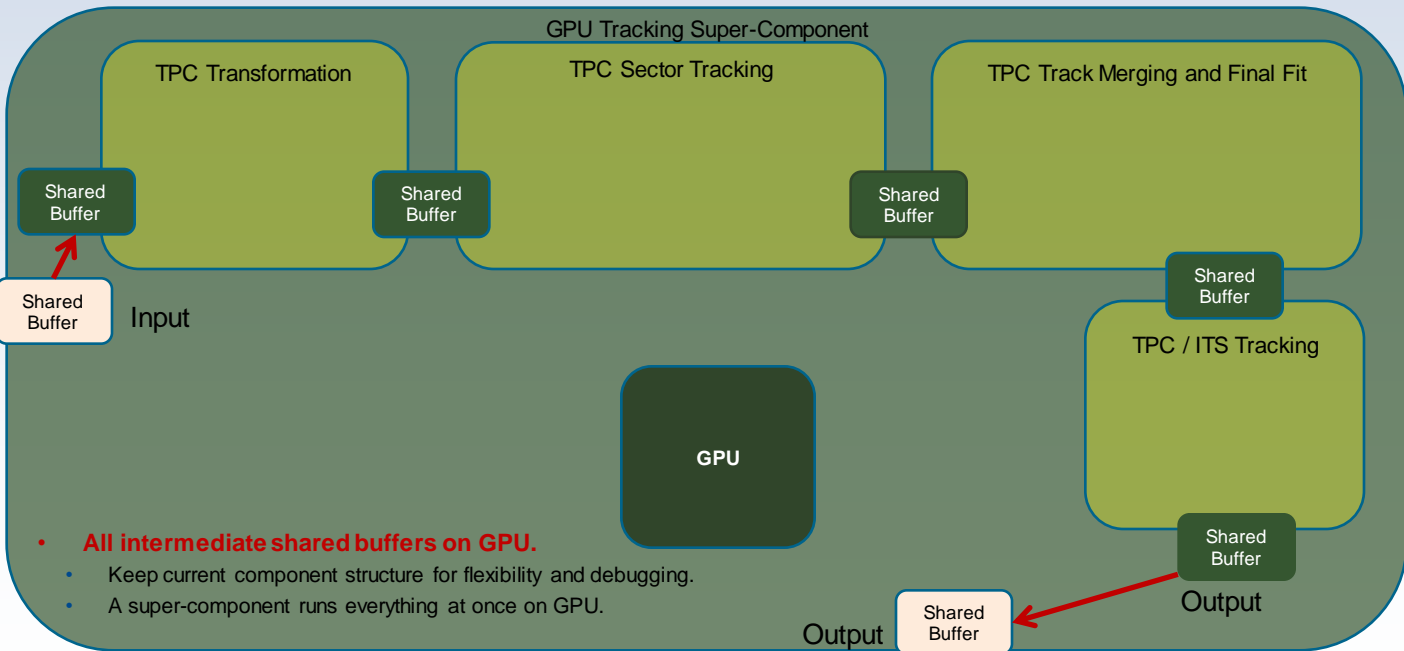
- **For more tasks on the GPU:**
 - Avoid repeated transfers.
 - All intermediate tasks on GPU.
- **Consecutive reconstruction steps well suited.**
 - The entire tracking chain seems a good candidate.

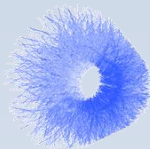


GPU Tracking Scheme for Run 3

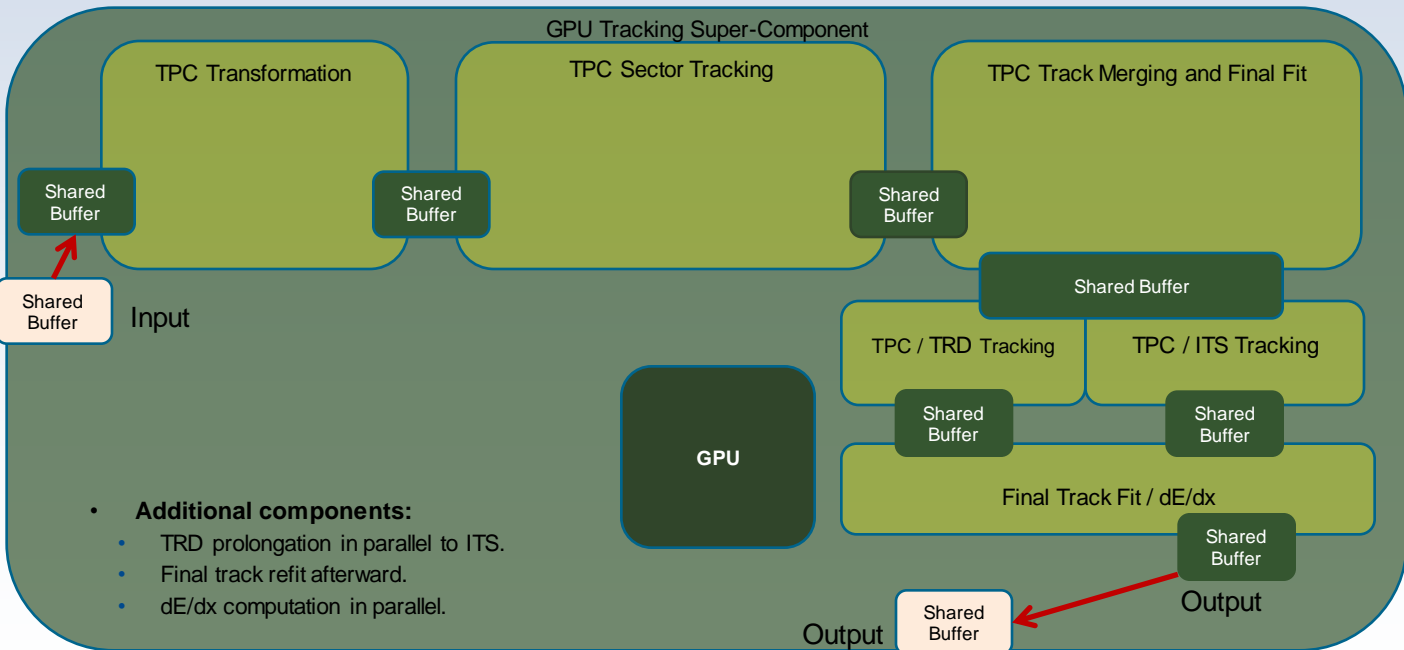


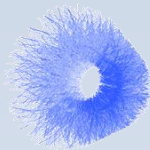
ALICE



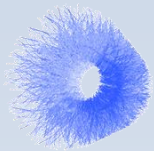


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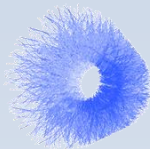




- **Today, different tracking algorithms online (in HLT farm) and offline.**
 - Comparable efficiency.
 - HLT has few per-cent worse resolution, B_x and B_y components of the magnetic field missing.
 - Significant speedup in HLT through Cellular Automaton and GPU usage.
- **In Run 3: Higher rates: 50 kHz Pb-Pb with continuous read-out.**
 - Needs faster reconstruction with online calibration, and improved data compression.
- **Data compression (factor 20x needed)**
 - TPC data compression in 2016: 5.5x through Huffman compression.
 - Factor 9.1 achieved with improved prototype (arithmetic compression, track model compression).
 - Additional factor 2x estimated from removing clusters not used for physics.
- **Online calibration:**
 - TPC online drift time calibration deployed (online tracking z-residuals (w.r.t. offline) reduced from 30mm to 0.5 mm).
 - Space charge distortion calibration (SCD) deployed offline, work ongoing to run it online.
 - Work in progress: Extend online tracking to TRD and TOF (needed for SCD calibration).
- **Tracking in Run 3**
 - Reconstruct timeframe instead of events at once → improves GPU utilization, tracking time goes linear with data size.
 - Speed up reconstruction by moving more steps to GPU.



BACKUP

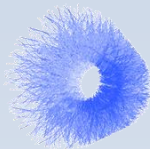


Structure of HLT TPC Tracking



- **Tracking split in 4 main (abstract) steps.**
 - Each step is internally split in technical substeps.
 - Phase 1 (Steps 1 and 2) on GPU, Phase 2 (Steps 3 and 4) and CPU!

#	Task	How	Locality	Description	Time	Device
1	Seeding	Cellular Automaton	Very Local (hit and adjacent hits)	Find short track candidates of 3 to about 10 clusters.	Ca 30%	GPU or CPU
2	Track following	Kalman Filter (simplified)	Sector-local	Fit parameters to candidate, find full track segment in one sector via track following with simplified Kalman filter (e.g. constant B-field, y and x uncorrelated, ...)	Ca 60%	
3	Track Merging	Combinatorics / Mathematics	Global	Merge track segments within a sector and between sectors	Ca 2%	CPU only (GPU version available but not used)
4	Track Fit	Kalman Filter (full)	Global	Full track fit with full Kalman filter (polynomial approximation of B-field)	Ca 8%	



- CPU and GPU tracker (in CUDA) share common source files.
- Specialist wrappers for CPU and GPU exist, that include these common files.

common.cpp:

```
__DECL FitTrack(int n) {  
  ....  
}
```

cpu_wrapper.cpp:

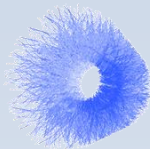
```
#define __DECL void  
#include ``common.cpp``  
  
void FitTracks() {  
  for (int i = 0; i < nTr; i++) {  
    FitTrack(n);  
  }  
}
```

cuda_wrapper.cpp:

```
#define __DECL __device void  
#include ``common.cpp``  
  
__global void FitTracksGPU() {  
  FitTrack(threadIdx.x);  
}  
  
void FitTracks() {  
  FitTracksGPU<<<nTr>>>();  
}
```

→ Same source code for CPU and GPU version

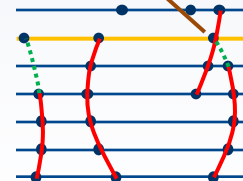
- The macros are used for API-specific keywords only.
- The fraction of common source code is above 90%.

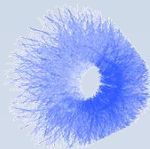


- **Cluster to track assignment**

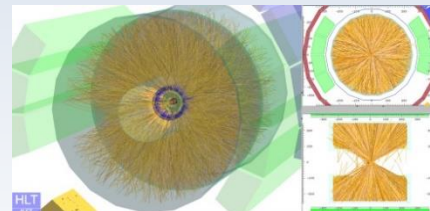
- **Problem:** Cluster to track assignment was depending on the order of the tracks.
 - Each cluster was assigned to the longest possible track. Out of two tracks of the same length, the first one was chosen.
 - Concurrent GPU tracking processes the tracks in an undefined order.
- **Solution:** We need a continuous (floating point) measure of the track quality.
 - Two 32-bit floats can still be identical, but that is unlikely.

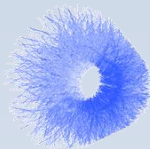
- **Similar problem in track merging, which depended on track order.**





- HLT track reconstruction fast enough to cope with all trigger scenarios in Run 2 and with the maximum TPD optical link rate.
- Tracker has a common source code for CPU / OpenCL / CUDA yielding consistent results.
- **180 compute nodes with GPUs in the HLT**
 - Since 2012 in 24/7 operation, no problems so far.
- **Cost savings compared to an approach with traditional CPUs:**
 - About 500.000 CHF during ALICE Run I.
 - Above 1.000.000 CHF during Run II.
 - Mandatory for future experiments, e.g. CBM (FAIR, GSI) and ALICE upgrade with >1TB/s data rate.
 - Can be used to test new online tracking features for Run III.
- **We are now looking into optimizations for new GPU architectures, but not yet specific to one model.**
 - Plan to bring more components onto the GPU, reduce PCIe transfer, keep component structure.
 - Using GPUs with more memory, we are confident to process timeframes similarly to events today.

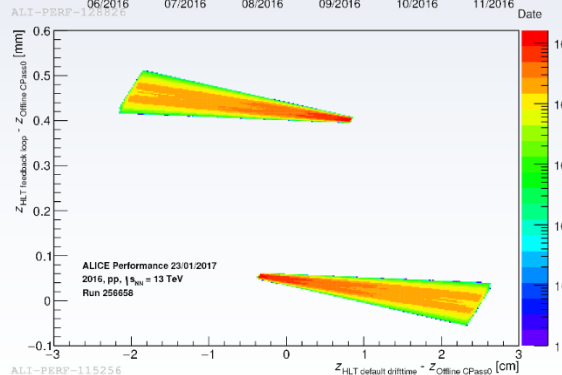
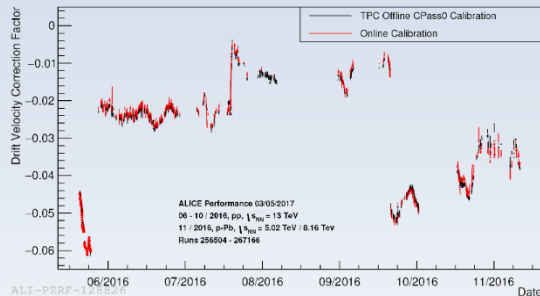
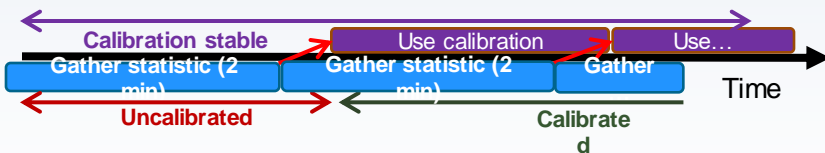


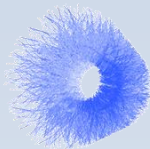


Online calibration in the HLT



- **No significant difference in the drift velocity computed online and offline between May and December 2016.**
 - Gaps in between are periods without beam.
 - **Different ambient pressure and TPC temperature values online and offline are corrected by calibration** yielding slightly different factor.
- **Online calibration cluster z-position residual compared to offline below 0.5 mm (below the intrinsic TPC resolution).**
 - 30 mm without online calibration.
 - HLT tracking in its current state is fully sufficient for calibration purpose.
 - Gathering calibration data takes 2 minutes per interval.
 - Preparation and distribution takes 30 seconds (**feedback loop**).
 - The first 2.5 minutes of a run are without online calibration.





Track model compression



• Solution:

- Employ **Work in Progress** transformation. (In principle, every transformation works, but the closer the better!)
- Refit track in distorted coordinate system.

• Encoding:

- Transform hits from row, pad, time to distorted x, y, z using fast transformation.
- Refit the track in distorted frame.
- Propagate to the next row.
- Transform back to row, pad, time and store residual to next cluster in row, pad time.

• Decoding:

- Propagate track in distorted frame to X-row.
- Transform track position to row, pad, time.
- Add residual and store cluster position.
- Propagate back to X, Y, Z, and refit track.

• **Non-associated clusters still compressed with differences scheme.**

• **Additional benefit: Cluster to track association compressed → Track found in HLT / synchronous phase available later.**

