



Higgs results with direct top and b-Yukawas with CMS

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SHANGHAI

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on behalf of the CMS collaboration

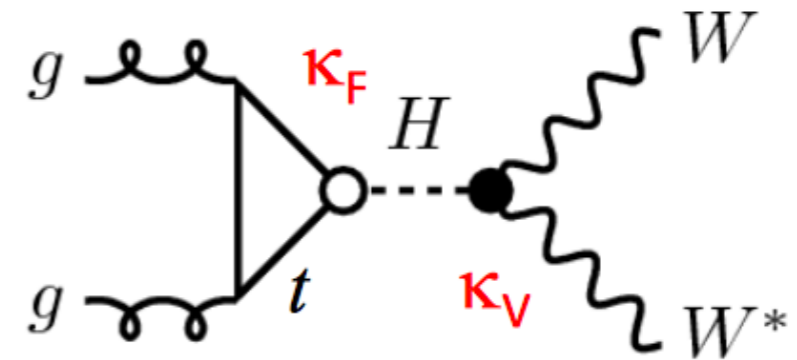
May 16, 2017

Overview

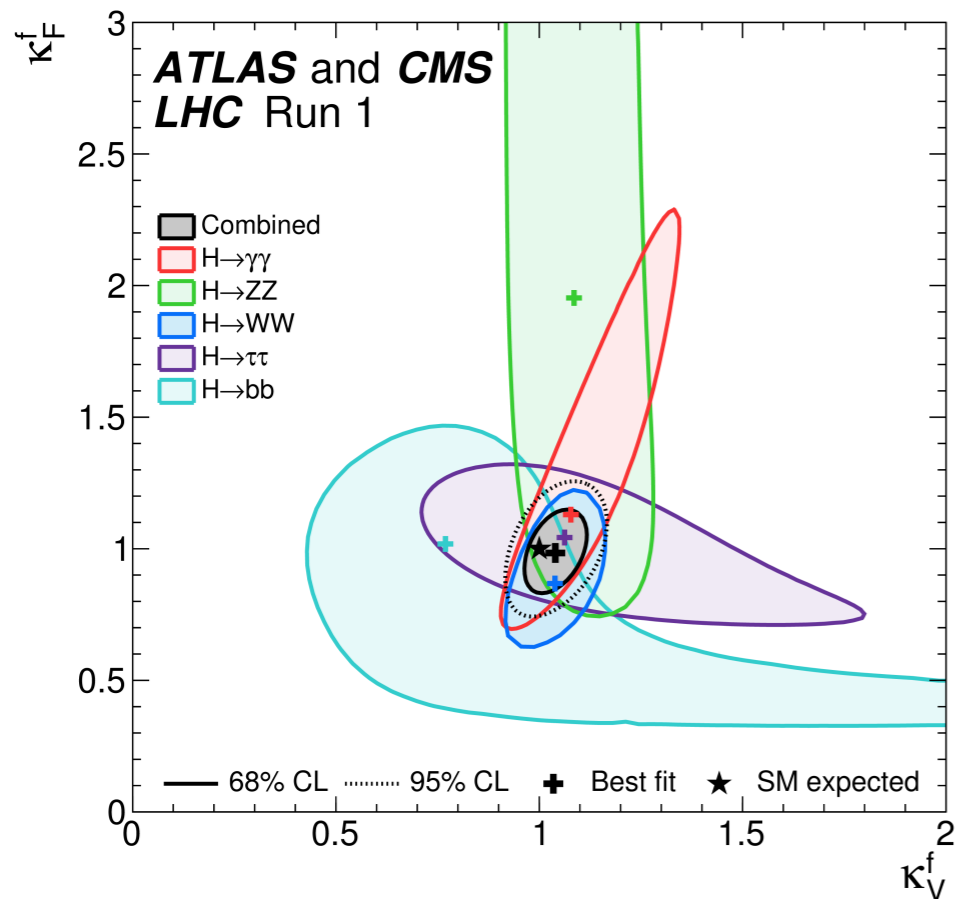
- Search for the Higgs boson decaying to 2 b quarks is performed at CMS in 3 production channels:
 - Associate production with top quarks ($t\bar{t}H$, tH)
 - Vector boson fusion (VBF)
 - Associate production with a vector boson (VH)
- The search for $t\bar{t}H$ production is performed in 3 broad decay channels:
 - $H \rightarrow b\bar{b}$: Analysis targeting production in the leptonic, dilepton final states (PAS HIG-16-038, presented @ Higgs Coupling 16')
 - $H \rightarrow$ multileptons: Analysis targeting in leptonic (e, μ) final states from $H \rightarrow WW$, $\tau\tau$, ZZ (PAS HIG-17-004, presented @ Moriond 17')
 - $H \rightarrow \gamma\gamma$: Analysis targeting in leptonic & hadronic final states (PAS HIG-16-020, presented @ LHC Day 16')
 - $H \rightarrow \tau\tau$: Analysis targeting in the hadronic tau final states (PAS HIG-17-003)

Run 1 coupling results

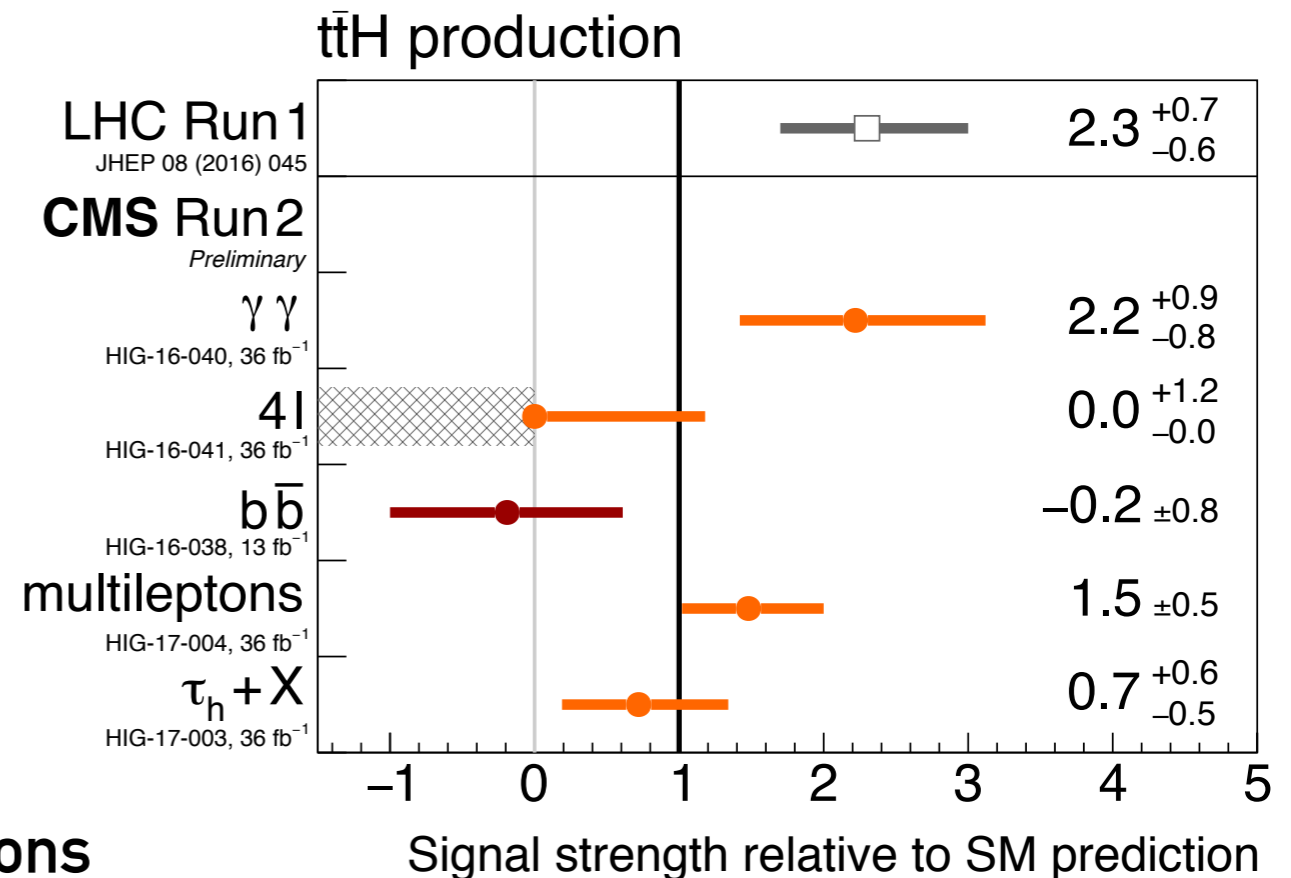
- Couplings to fermions and boson strongly constrained by Run-I measurements
- Scale factors k_j introduced to quantify deviation from SM
- One benchmark uses 2 scale factors k_V for vector boson and k_F for fermions



- Despite being the dominant decay mode, coupling to $b\bar{b}$ not yet observed (2.6 σ LHC Run 1)



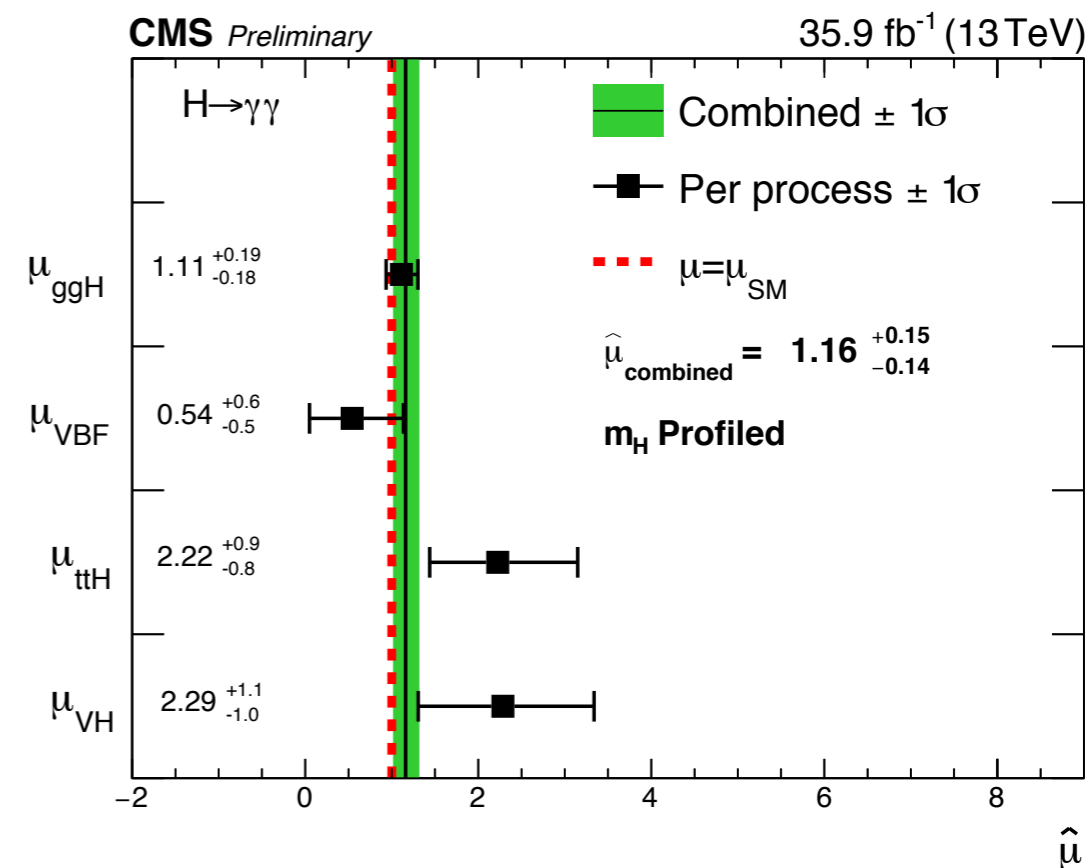
- All channels compatible with $k_V=1$ and $k_F=1$
 - Result is consistent with the SM expectations



The Hunt for $t\bar{t}H$ @ 13 TeV

$t\bar{t}H$ why so relevant, why now?

- The combined $H(b\bar{b})$ and $H(\tau\tau)$ result establishes strong evidence for coupling of the Higgs boson to down-type 3rd generation fermions
- Indirect and direct results on $t\bar{t}H$ coupling is also evident for a coupling to up-type fermions [arXiv:1401.6527]
- The $t\bar{t}H$ cross section increases by a factor of ~ 4 @ 13 TeV
 - Grows substantially from 7 to 8 and to 13 TeV for Higgs @ 125 GeV (NLO QCD+EW):
 - $\sqrt{s}=7$ TeV: $\sigma(ttH)\approx 89$ fb⁻¹
 - $\sqrt{s}=8$ TeV: $\sigma(ttH)\approx 133$ fb⁻¹
 - $\sqrt{s}=13$ TeV: $\sigma(ttH)\approx 507$ fb⁻¹



- Direct measurement of the process is a key to determine top Yukawa coupling

$t\bar{t} H(b\bar{b})$

CMS-PAS-HIG-16-038

- Large branching fraction $H \rightarrow b\bar{b}$
- Dominant background: $t\bar{t}$ +jets
 - Irreducible contribution: $t\bar{t}$ + $b\bar{b}$ (theoretically challenging)
- Many jets with similar kinematics and limited mass resolution for $H \rightarrow b\bar{b}$

Analysis strategy

- Obtain good signal separation & constrain background
- Event categories: 11 (5) lepton+jets (dilepton)

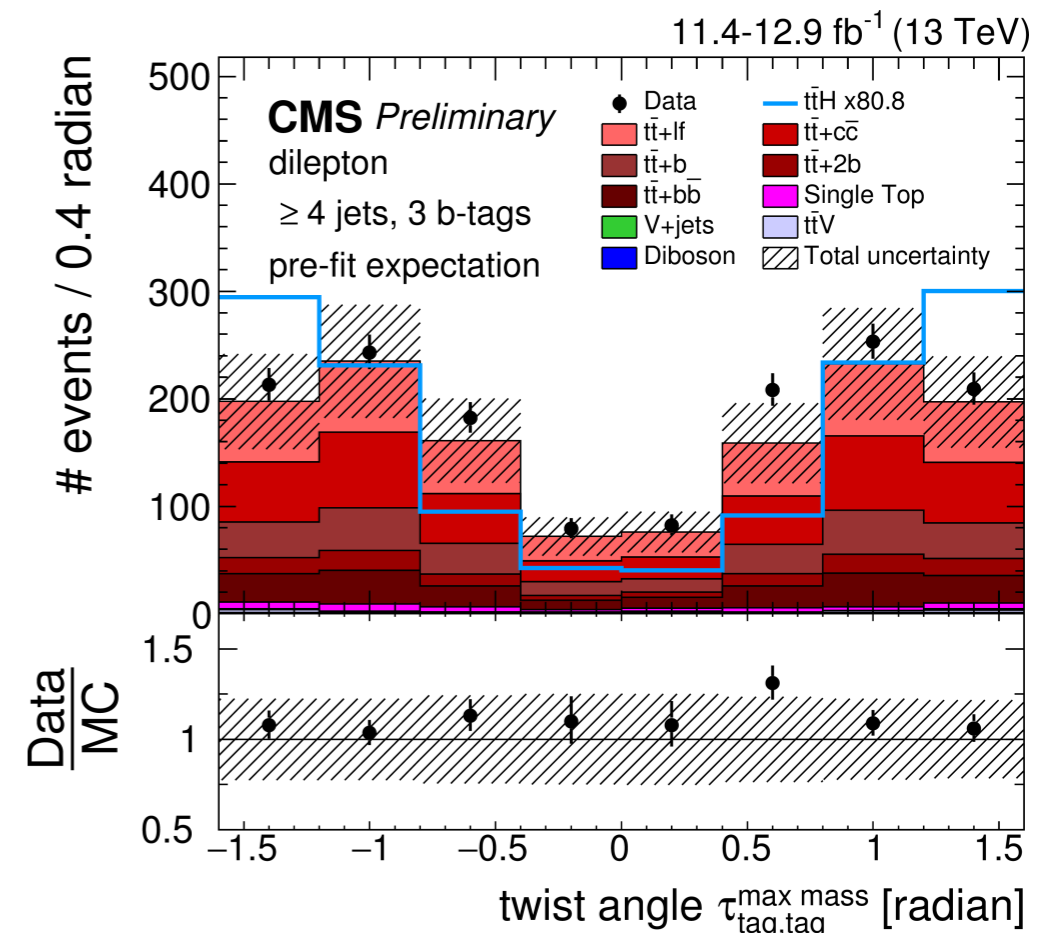
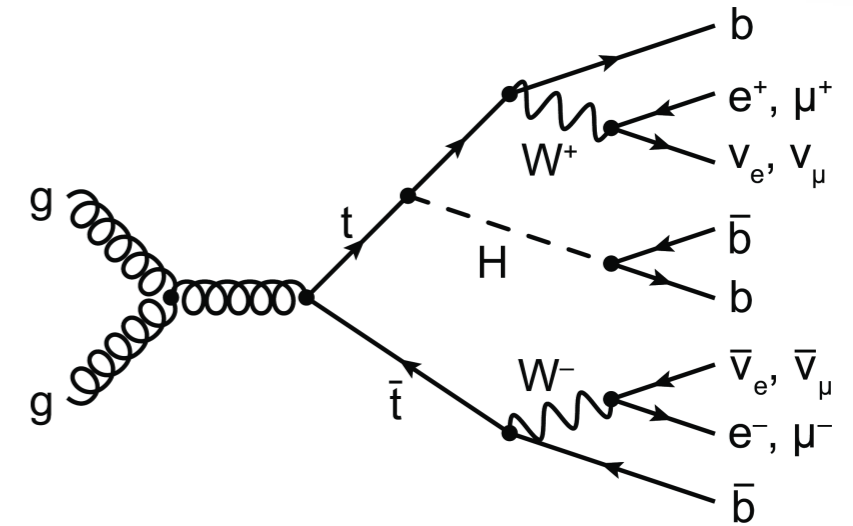
Lepton+jets

- exactly 1 lepton
- At least 4 jets
- At least 2 b-tagged jets

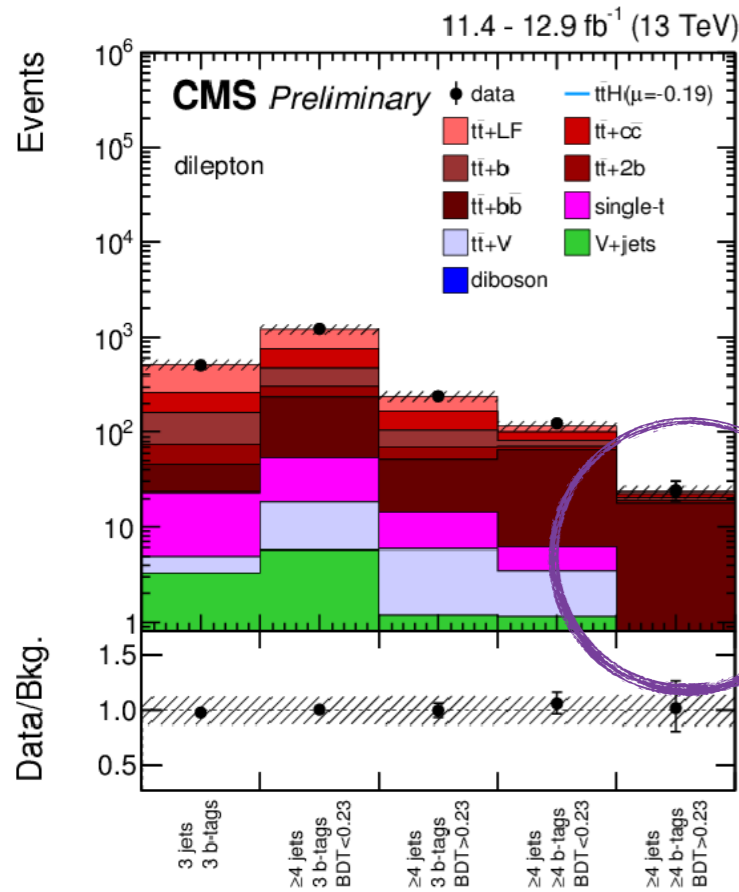
Dileptons

- 2 opposite sign leptons
- At least 3 jets
- At least 2 b-tagged jets

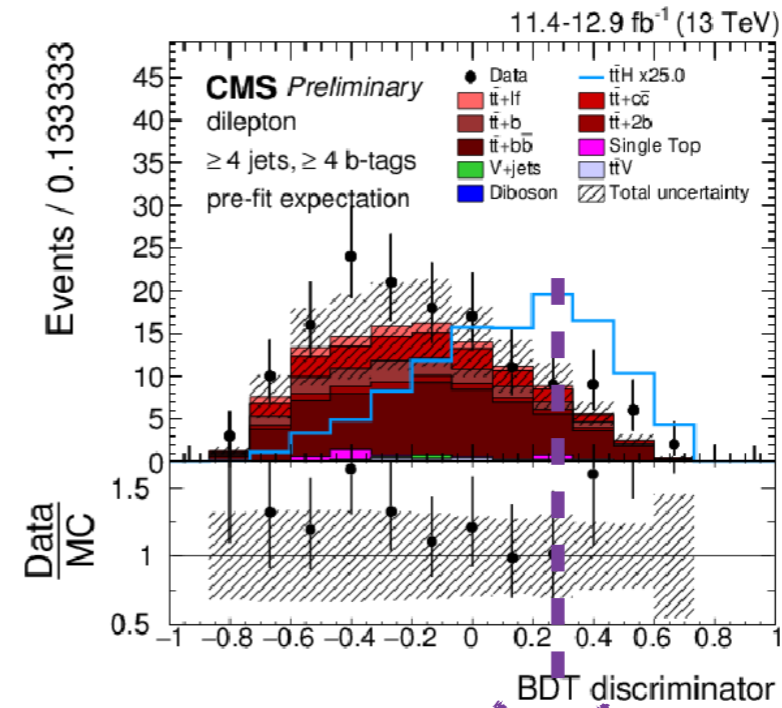
- **Leptons + jets**: high statistics
- **Dilepton**: minimal non- $t\bar{t}$ background, and jet combinatorics
- Classify events based on jet, b-tag multiplicities optimized to improve sensitivity



$t\bar{t} H(b\bar{b})$ signal extraction



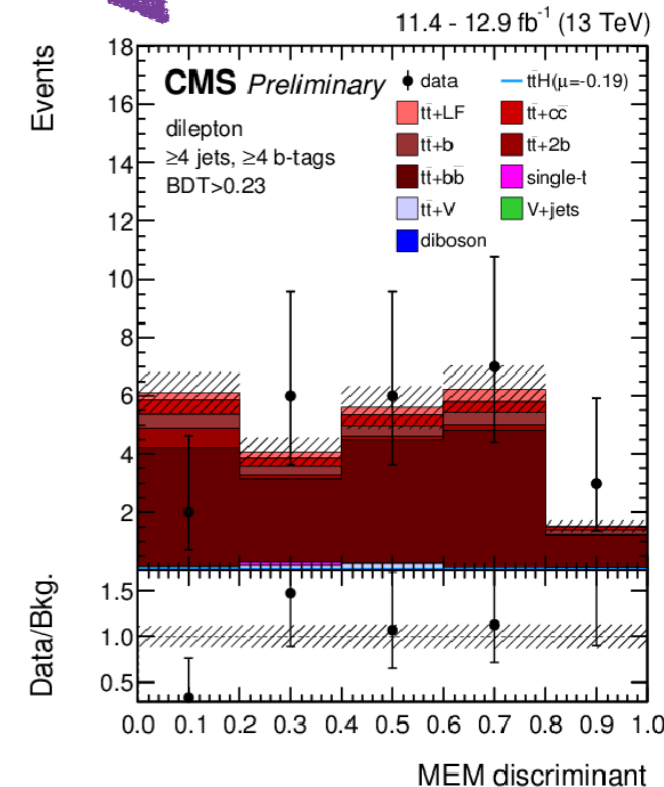
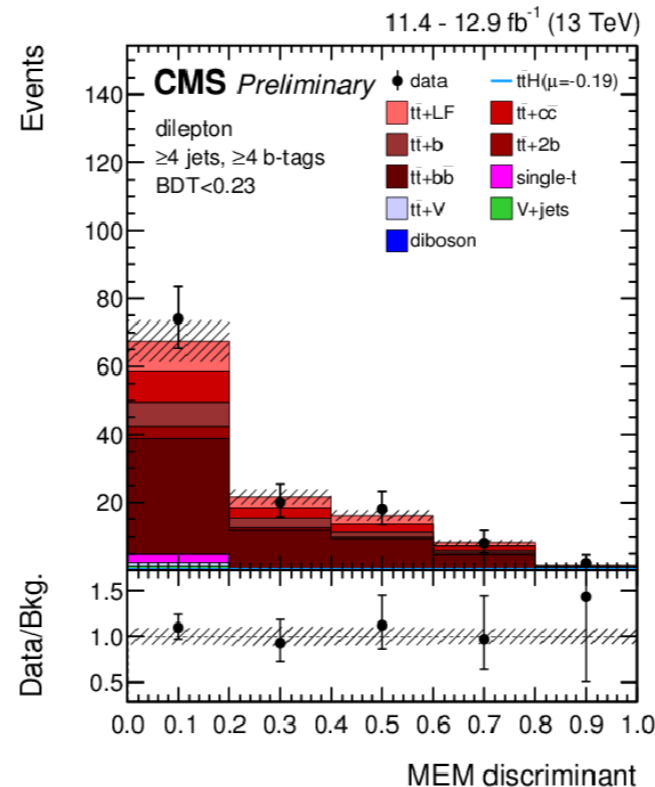
Split category at the median of $t\bar{t}H$ BDT output



low purity

high purity

- Boosted Decision Tree (BDT)
- Matrix Element Method (MEM)
 - Separate $t\bar{t} H$ vs $t\bar{t} +bb$ at LO
 - built **Likelihood ratio** between signal & bkg hypotheses
 - Include all measured jets by permuting over possible jet-quark associations



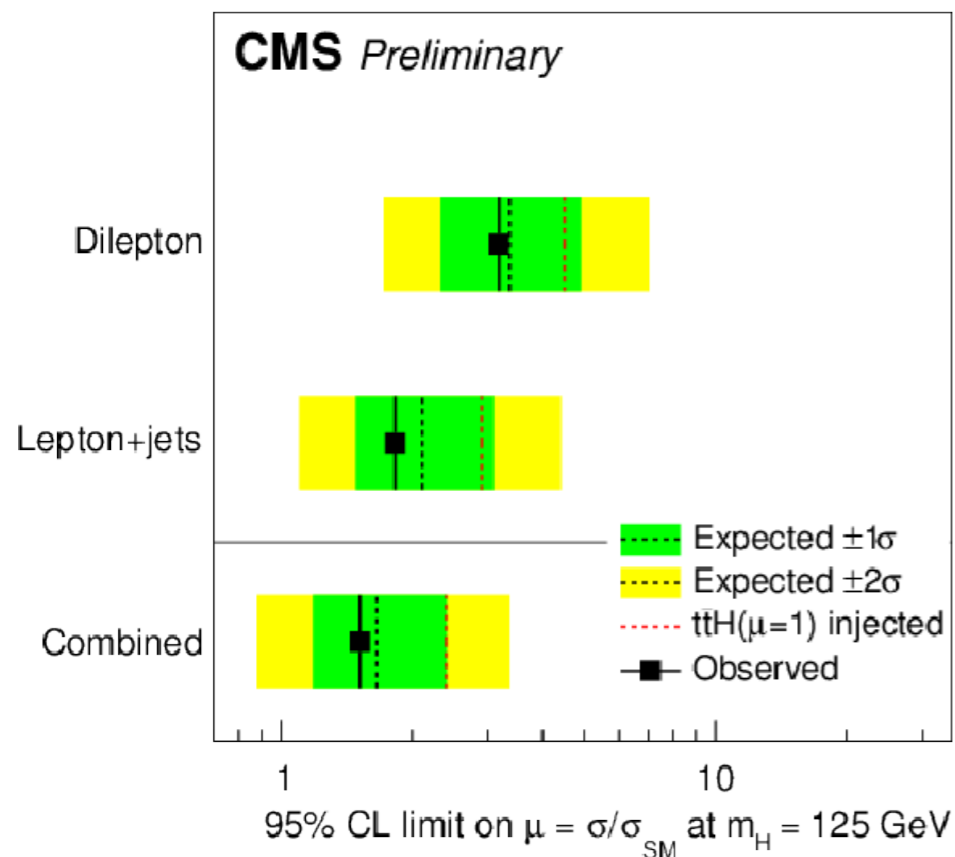
$t\bar{t} H(b\bar{b})$ results

Simultaneous Maximum Likelihood combined fit of BDT score cross all event categories is performed

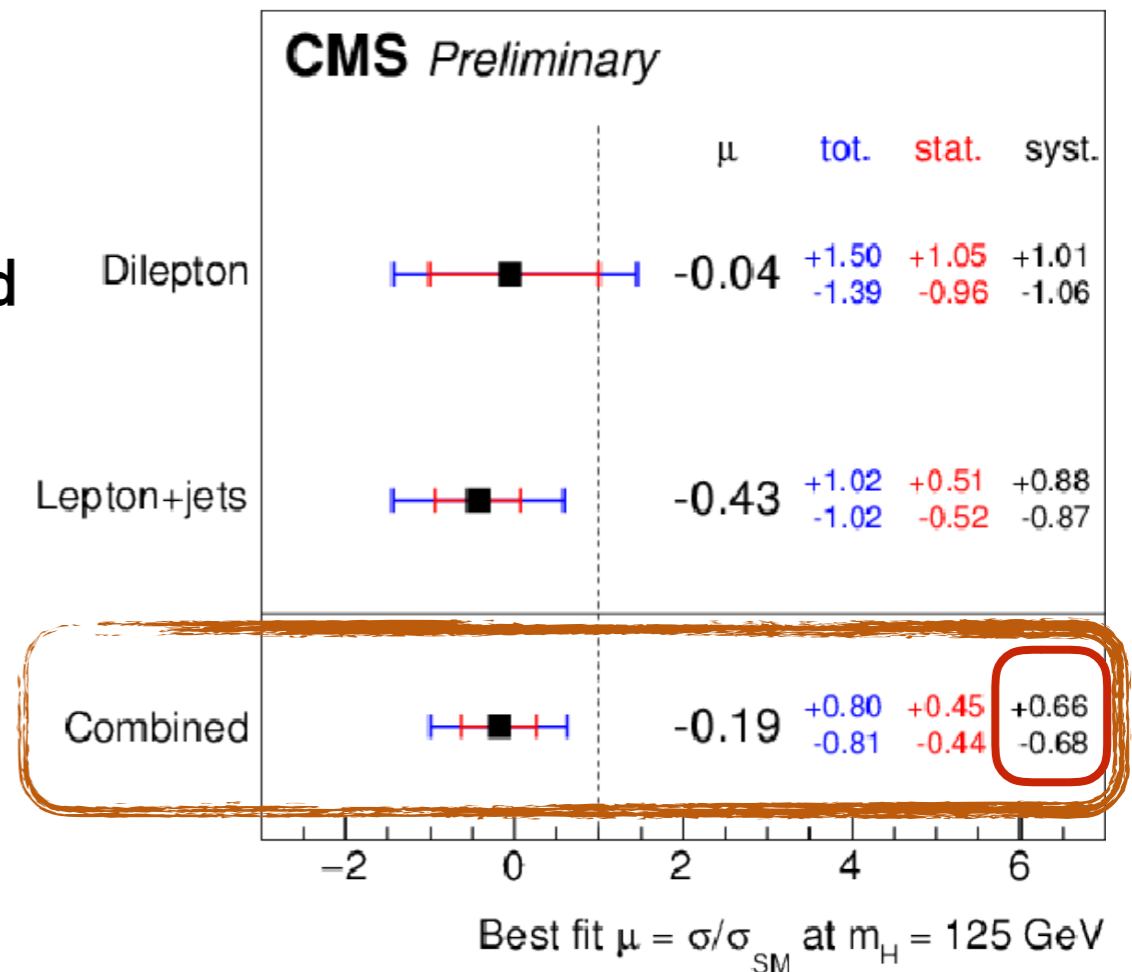
- No significant excess observed
- Dominated by systematic uncertainties
 - Primarily those from $t\bar{t} + (b\text{-})\text{jets}$ background

Upper limit at 95% CL

11.4 - 12.9 fb⁻¹ (13 TeV)



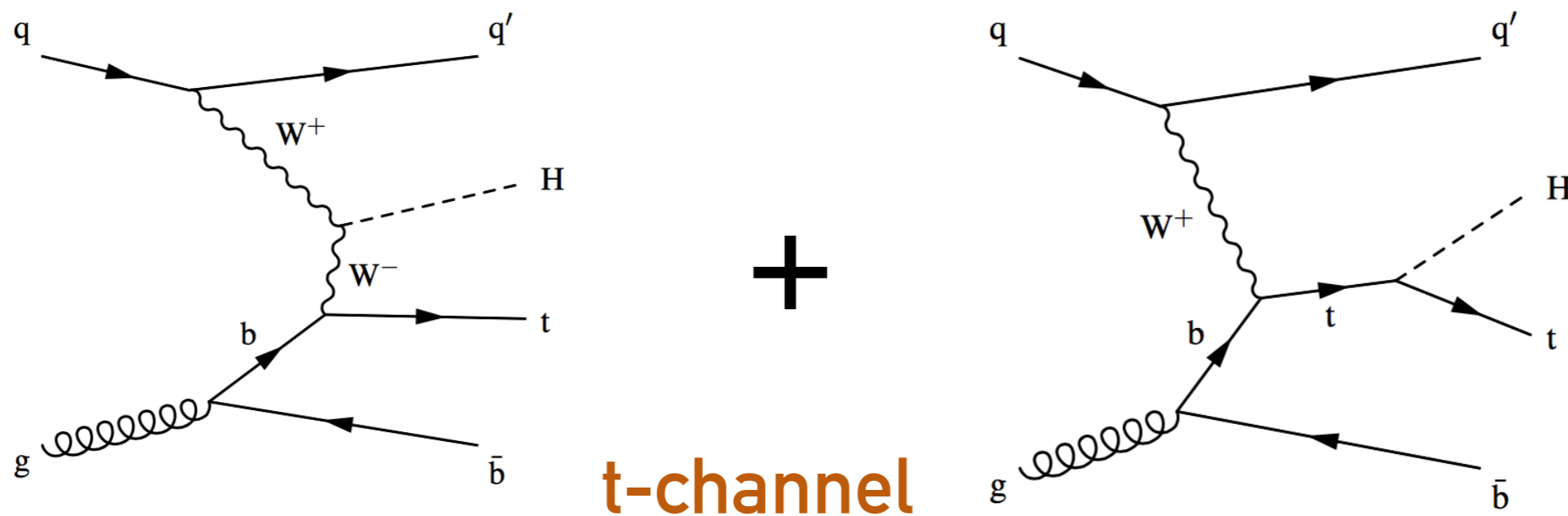
11.4 - 12.9 fb⁻¹ (13 TeV)



Channel	Observed UL	Expected UL	Best-fit μ
Dilepton	3.2	$3.4^{+1.5}_{-1.0}$	$-0.04^{+1.50}_{-1.39}$ (tot.) $+1.05$ (stat.) $+1.01$ (syst.)
Lepton+jets	1.8	$2.1^{+1.0}_{-0.6}$	$-0.43^{+1.02}_{-1.02}$ (tot.) $+0.51$ (stat.) $+0.88$ (syst.)
Combined	1.5	$1.7^{+0.7}_{-0.5}$	$-0.19^{+0.80}_{-0.81}$ (tot.) $+0.45$ (stat.) $+0.66$ (syst.)

Higgs production with single top

- At LO tH can be separated into 3 production modes:
 - t-channel (tHq) (diagrams destructive interference in SM)
 - Associated tW production (tHW)
 - s-channel (negligible cross section at the LHC)



- Sensitive to both the magnitude and sign of **top Yukawa** coupling
 - $\sigma_{SM}(\kappa_V = \kappa_t = 1) = 70.96 \text{ fb } (\sqrt{s} = 13 \text{ TeV})$
 - $\sigma_{SM}(tHW) = 15.61 \text{ fb } (\sqrt{s} = 13 \text{ TeV})$
- In BSM scenarios not necessarily destructive interference, e.g. Inverted Top Coupling scenario (large enhancement $\sigma_{ITC} (\kappa_V = -\kappa_t = 1) = 792.7 \text{ fb}$)
 - Effective theory with possibly CP violating top Yukawa couplings, & modified couplings to vector bosons (Eur. Phys. J. C 75 (2015), no. 6, 267)

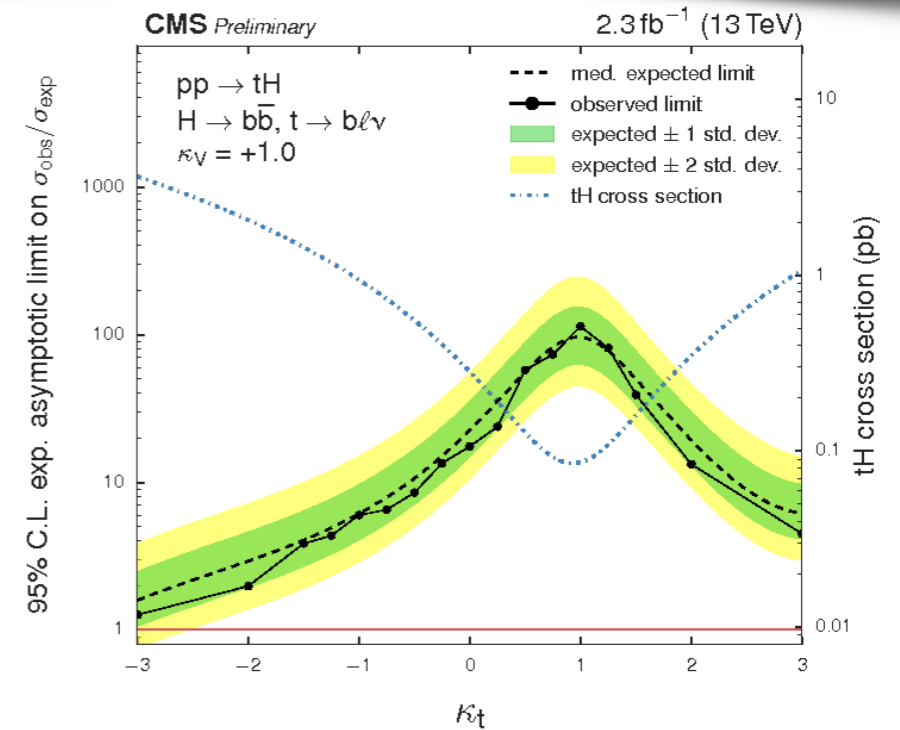
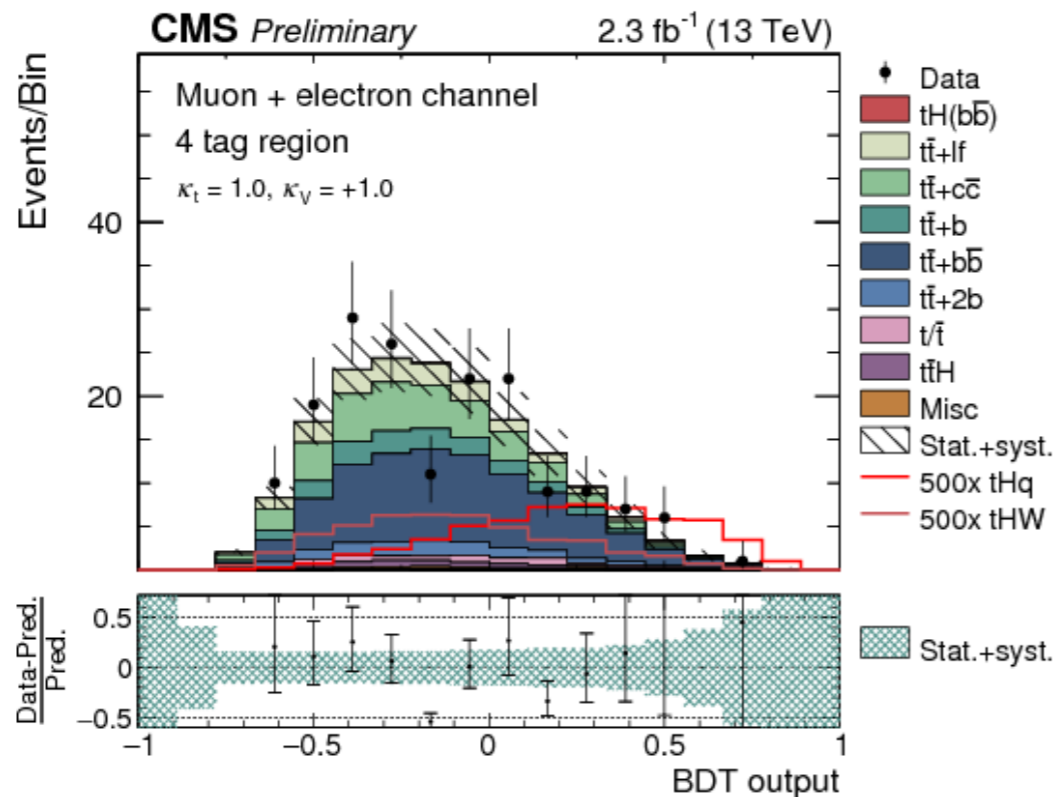
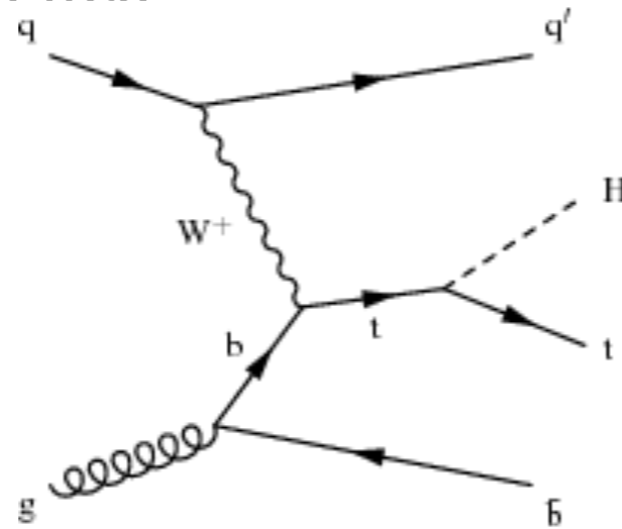
tHq+tHW, H → b \bar{b}

CMS-PAS-HIG-16-019

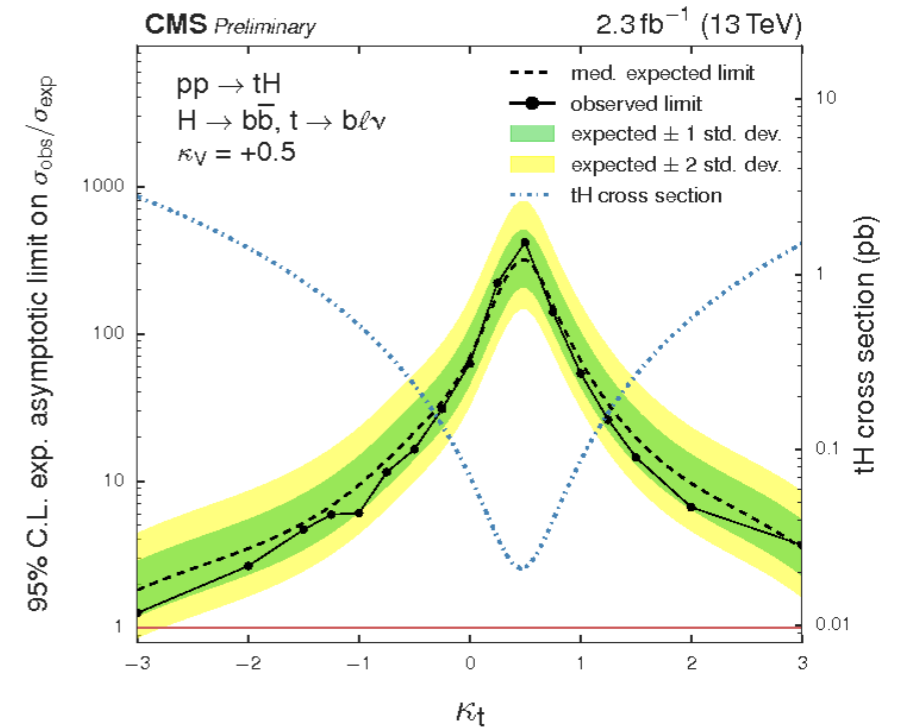
Search for H → b \bar{b} in association with a single top (t → b e ν /b $\mu\nu$)

Signal region:

- e/mu + 3 or 4 b-tagged jets, 1 non-tagged jet final state
- BDT to find jet assignment for $t\bar{t}$ and tHq hypotheses
- Final BDT discriminant exploits reconstruction properties (jet assignment) + additional kinematic properties



SM coupling to W,Z



Reduced coupling to W,Z

Observed (expected) 95% CL upper limit for the SM is $113.7 \times \sigma_{SM}$ ($98.6 \times \sigma_{SM}$) & $6.0 \times \sigma_{SM}$ ($6.4 \times \sigma_{SM}$) for ITC

tHq+tHW, H → multileptons

CMS-PAS-HIG-17-005

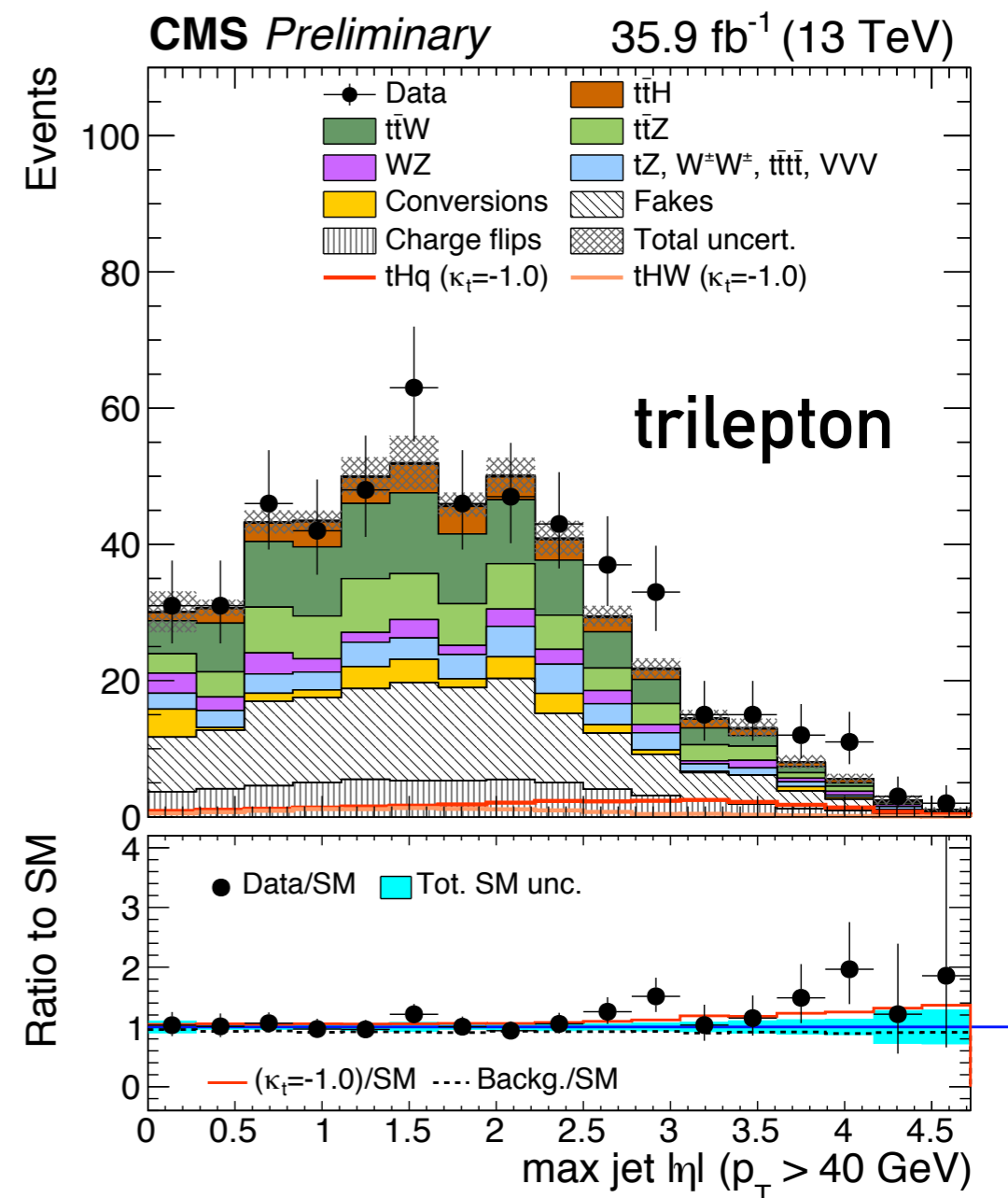
The process exposes relative sign of top-Higgs and W-Higgs couplings via interference

- **Irreducible bkg (MC):**
 - $t\bar{t} + X$ ($X=W/Z/H/\gamma^*$)
 - Photon conversions
 - Rare SM tZq, tWZ, tri-bosons, WWqq, tttt
 - Di-bosons WZ, ZZ
- **Reducible bkg (data-drive):**
 - Fakes due to non-prompt leptons & miss-ID of jets passing lepton selection
 - Charge flips:
 - Charge mis-ID (2lss)
 - Opposite-sign processes (e.g $t\bar{t}/Z$ +jets)

Analysis strategy

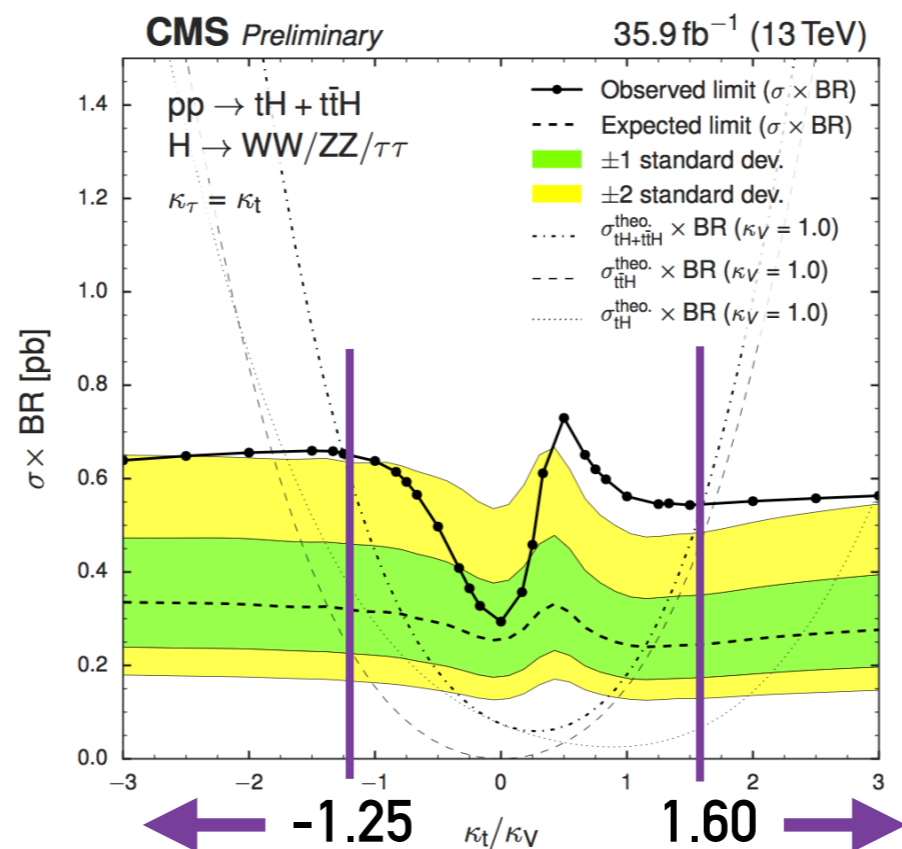
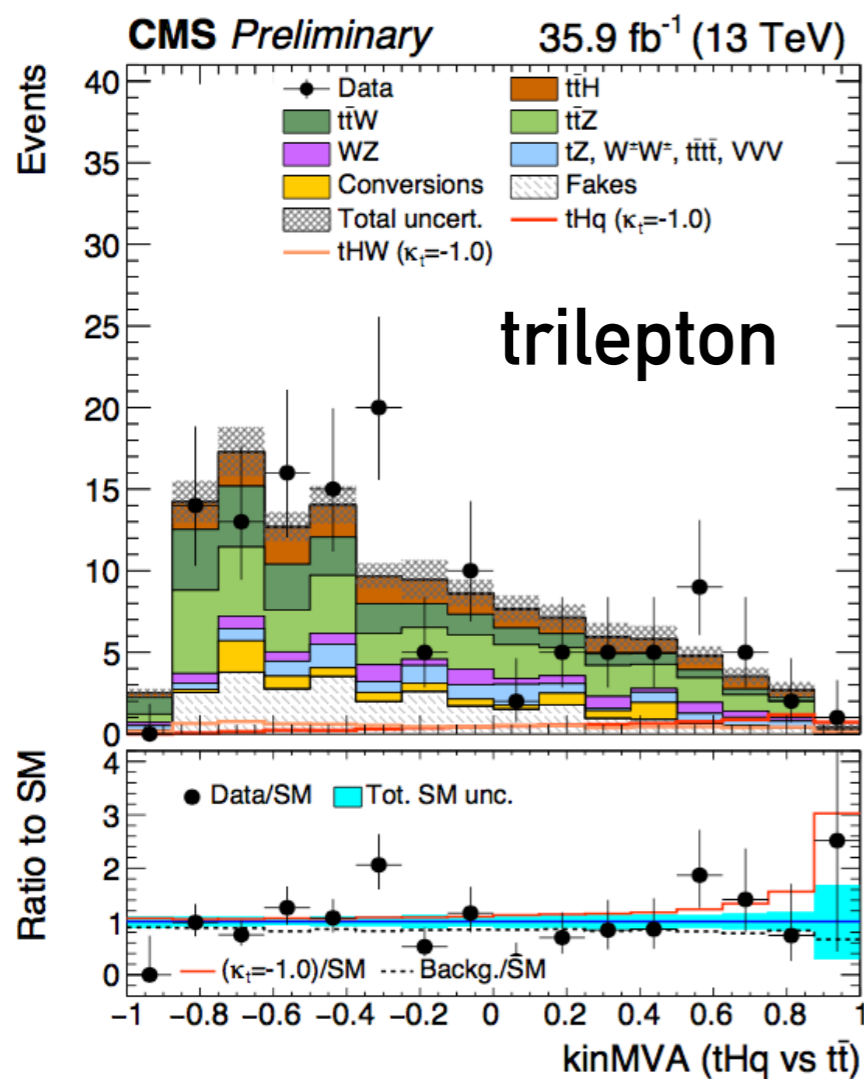
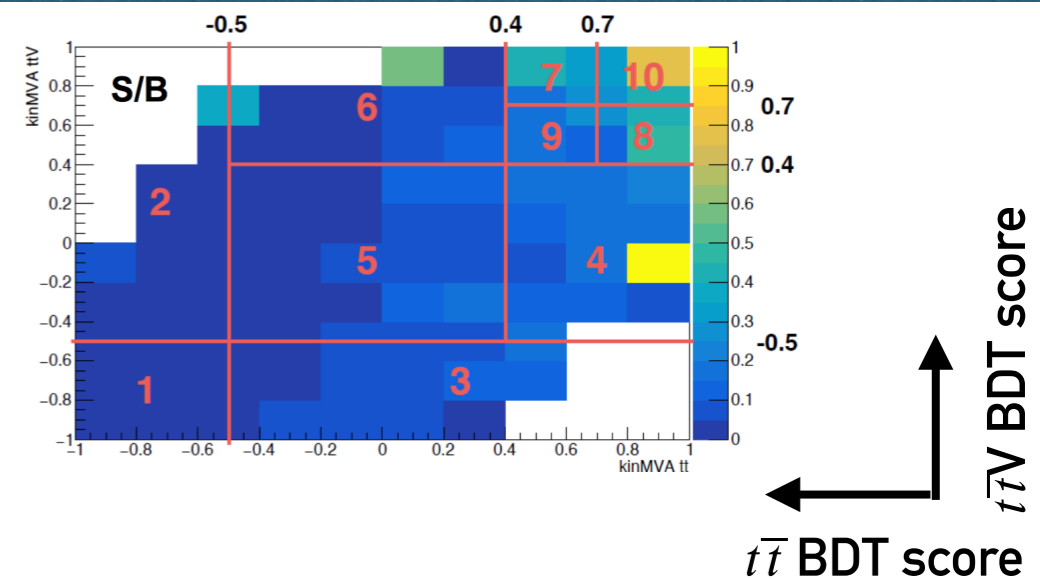
- Same-sign dilepton (2lss): 1 W from Higgs decays hadronically, others decay leptonically
- Trilepton (3l): $H \rightarrow WW/\tau\tau/ZZ$, & where top's W decays leptonically

Integrated luminosity of 35.9 fb^{-1}



tHq+tHW, H → multileptons signal extraction

- 2 BDT classifiers train tHq against $t\bar{t}$ or $t\bar{t}V$
 - Divide the plane of BDT $t\bar{t}$ vs BDT $t\bar{t}V$ into bins for signal & background
 - Shape fit the MVA binned output to extract the signal yield combine for final 1D discriminant



Expected (incl. SM Higgs) & observed limit on $\sigma \times BR$ as function of κ_t/κ_V

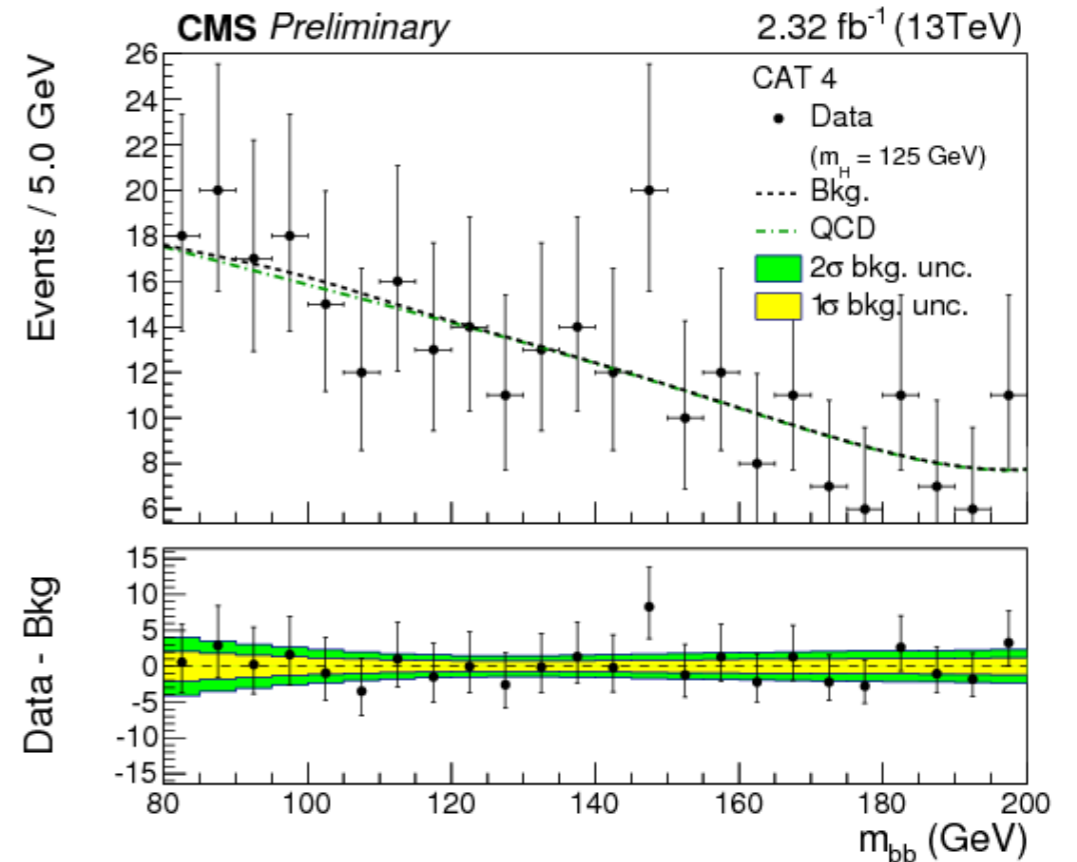
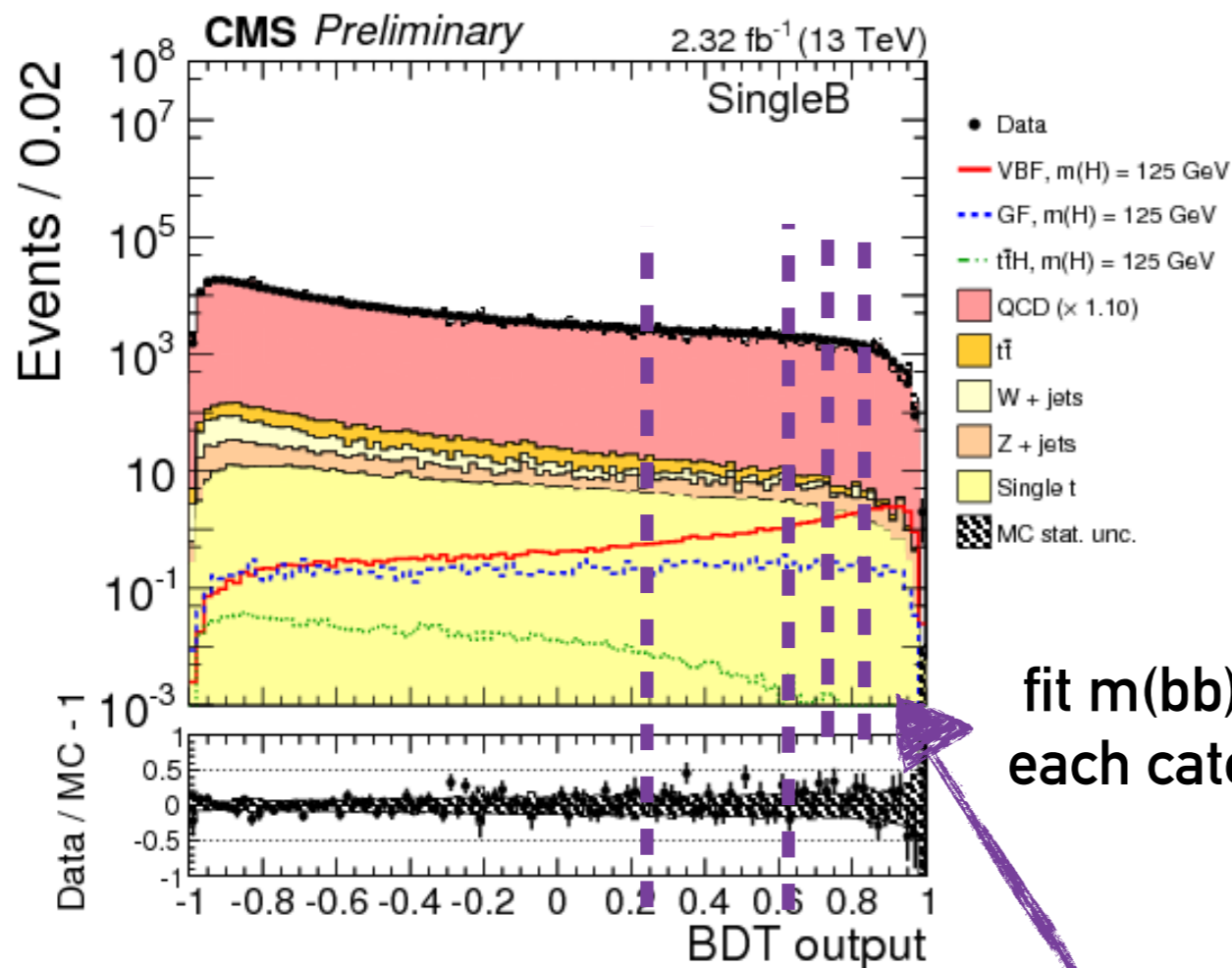
- Excess of about 1 σ of expected SM-like tHq+tHW+ttH signal observed
- Best-fit signal strength for SM: $\mu = 1.8 \pm 0.3$ stat. ± 0.6 syst.

VBF, $H \rightarrow b\bar{b}$

CMS-PAS-HIG-16-003

Properties of the VBF $H \rightarrow b\bar{b}$ channel:

- Cross section significantly larger than VH or ttH production
- Very large QCD production of multijet background events
- BDT to ID VBF-like events in 4-jet signal event topology with 1 or 2 b tag jets



fit $m(bb)$ in each category

m_{bb} resolution worsened by semi-leptonic b-decays
 → improve b-jet energy resolution with BDT regression

CAT 1 ... CAT 4

- Signal extraction in simultaneous fit to m_{bb} spectrum in all categories
- Result using 2.3 fb⁻¹ @ $\sqrt{s} = 13$ TeV:
 $\mu = -3.7^{+2.4}_{-2.5}$
- Combination with Run I (18 - 19 fb⁻¹ @ 8 TeV):
 $\mu = 1.3^{+1.2}_{-1.1}$

Summary and Outlook

- Presented searches performed for $H \rightarrow b\bar{b}$ using 2-39 fb⁻¹ analyzed data @ 13 TeV
- Run-2 sensitivity exceeded Run-1 result
- Probes **Top-Higgs Yukawa** coupling directly accessible through associated t(t)H production
 - Important for understanding loop contributions
- Studies involve complex final states with leptons, jets, photons etc.
- Multiple analysis channels contribute sensitivity
- No deviation from the SM prediction observed

Outlook

- Not all analyses updated to all available data
 - updates in the very near future
- Excellent prospects for establishing $t\bar{t}H$, VBF signal with complete Run-2 data set
- Continuous improvement of the signal extraction methods & modeling of $t\bar{t} + (b-)\text{jets}$ (indispensable collaboration with theory & MC experts)

References

Publication

- CMS-PAS-HIG-16-038
 - <http://cms-results.web.cern.ch/cms-results/public-results/preliminary-results/HIG-16-038/index.html>
- CMS-PAS-HIG-16-003
 - <http://cms-results.web.cern.ch/cms-results/public-results/preliminary-results/HIG-16-003/index.html>
- CMS-PAS-HIG-16-019
 - <http://cms-results.web.cern.ch/cms-results/public-results/preliminary-results/HIG-16-019/index.html>
- CMS-PAS-HIG-17-005
 - <http://cms.cern.ch/iCMS/analysisadmin/cadilines?id=1868&ancode=HIG-17-005&tp=an&line=HIG-17-005>

Conference talks

- https://indico.cern.ch/event/505065/contributions/2166376/attachments/1339192/2019944/LHC-Day-Split_2016_ChristianJCC.pdf
- <https://indico.in2p3.fr/event/13763/session/0/contribution/79/material/slides/0.pdf>
- https://indico.cern.ch/event/477407/contributions/2200113/subcontributions/198573/attachments/1369935/2077138/ttH_Hbb_CMS_Kasieczka.pdf

Backup

m_{bb} resolution

m_{bb} resolution significantly worsened by semi-leptonic b-decays and gluon radiation outside jet “cone”

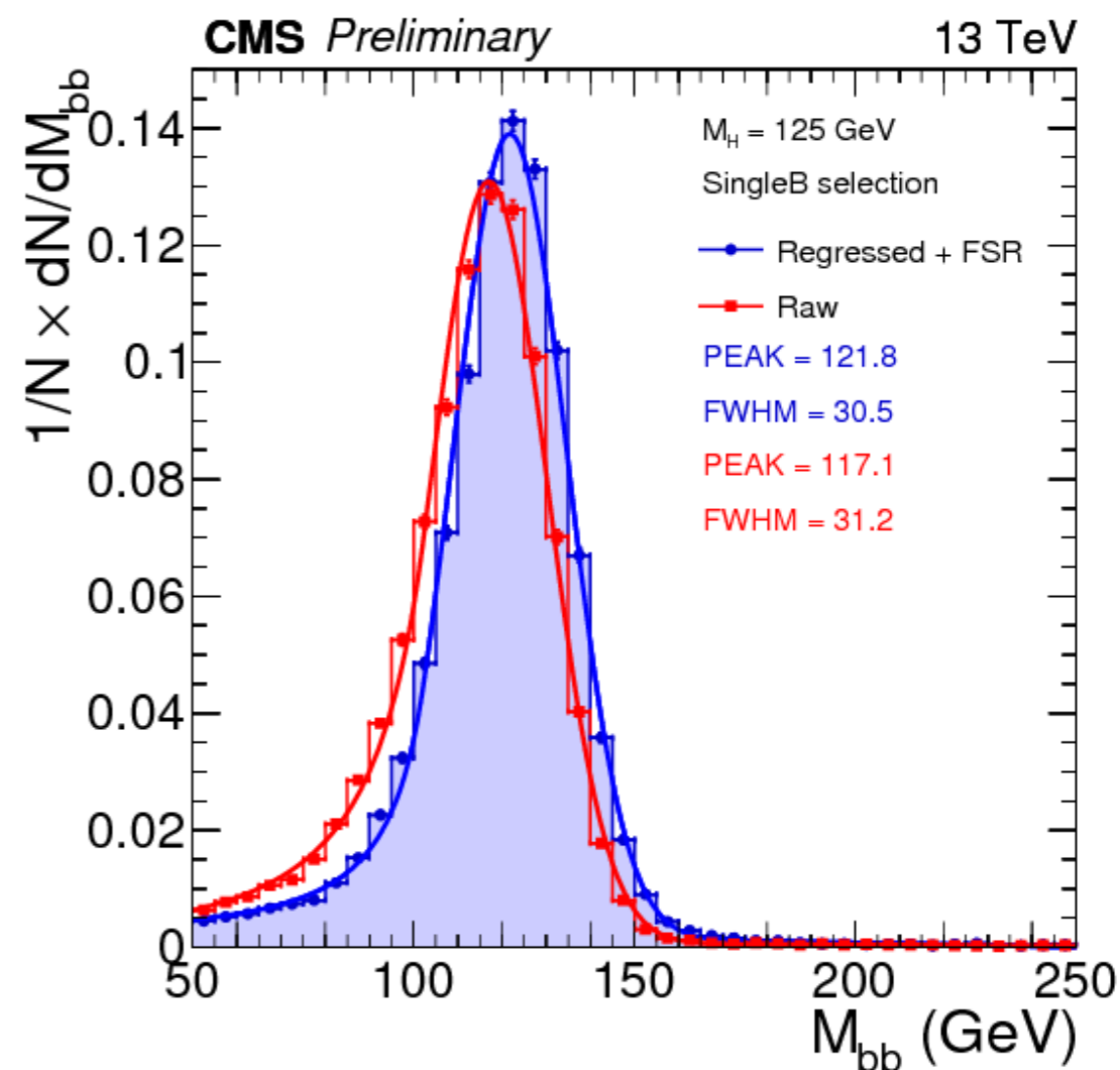
→ improve b-jet energy resolution with regression

BDT Regression inputs

- Jet kinematic
- EM energy fraction
- Information about soft leptons in the jet
- Secondary vertex information
- Pileup

FSR correction:

Add jets within $\Delta R < 0.8$.



2 b-jet candidates before & after the jet p_T regression (for VBF signal events)

$t\bar{t}$ H(multileptons)

CMS-PAS-HIG-17-004

Dominant backgrounds:

- Irreducible: $t\bar{t}+V$ (from MC)
- Reducible: $t\bar{t}+jets$ (from data)

2 same sign leptons

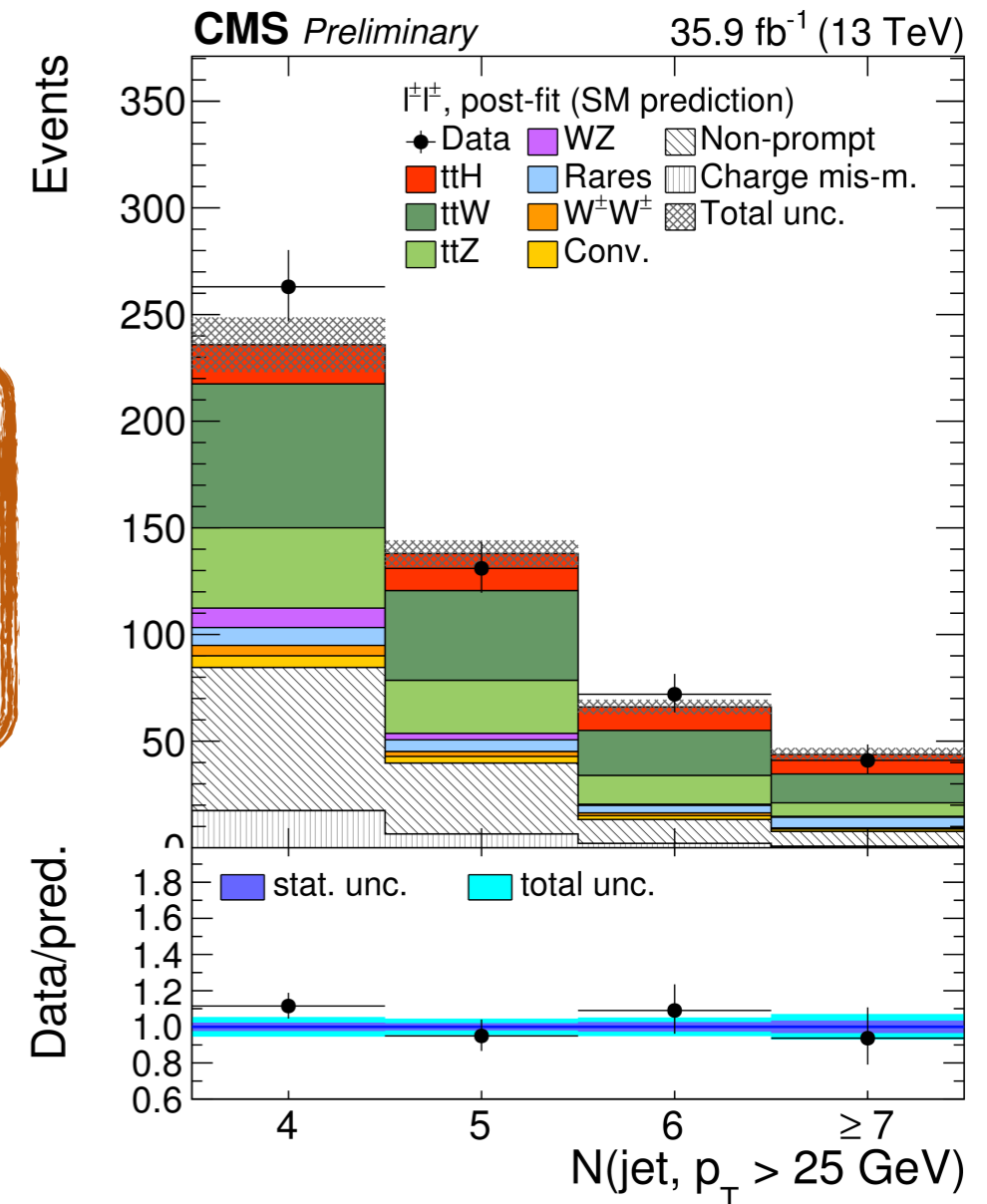
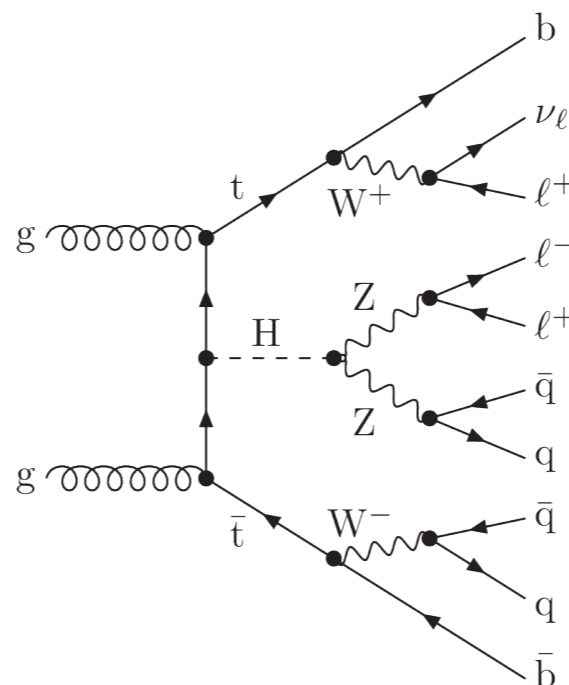
- At least 4 jets
- At least 1 b-tagged jets

Tri-leptons

- 2 opposite sign leptons
- At least 3 jets
- At least 1 b-tagged jets

Sub-classification:

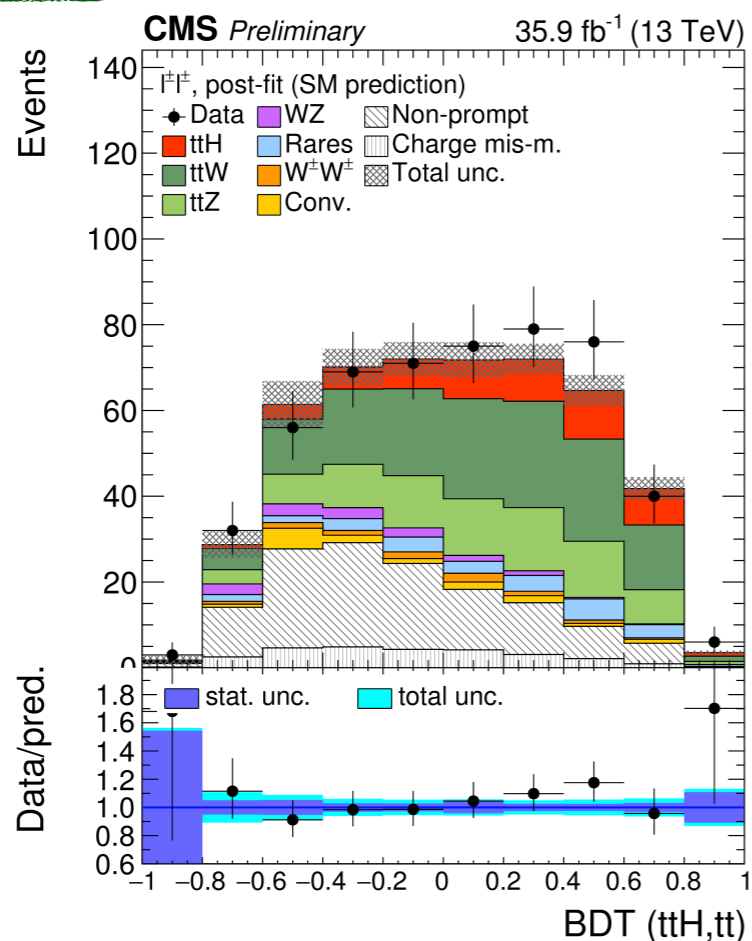
- Lepton charge
- Presence of hadronic taus
- Lepton flavor
- Presence of at least 2 b-tags
- Signal/Background bins



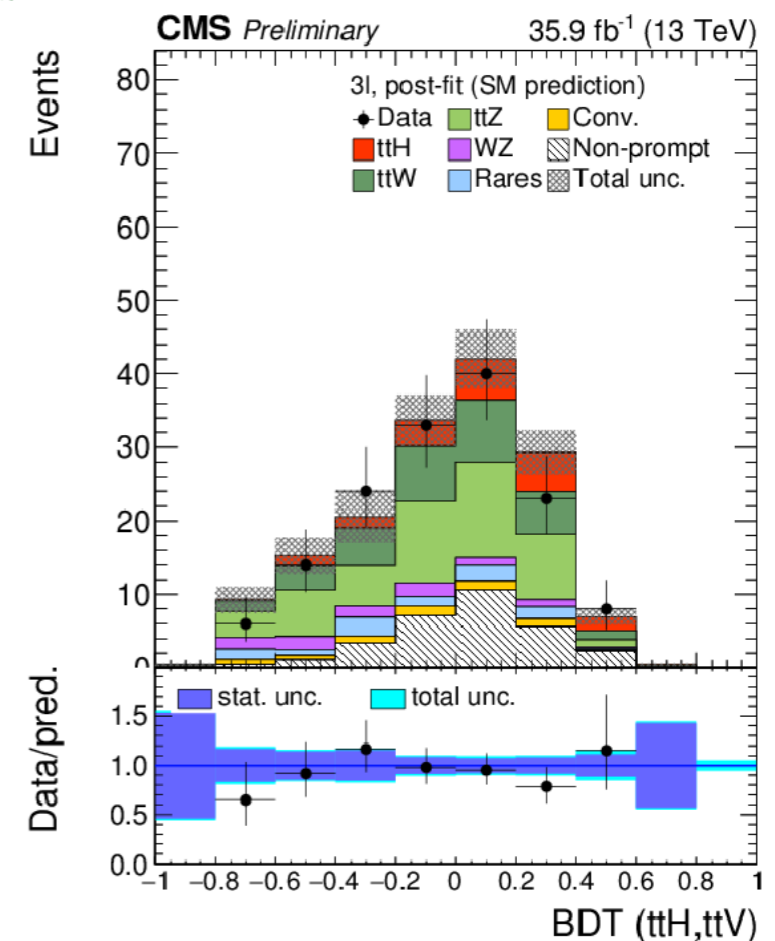
$t\bar{t}$ H(multileptons) results

- Relies on BDT method to separate signal from $t\bar{t}$, and $t\bar{t}V$ backgrounds
- Modeling of fake lepton backgrounds based on control regions by relaxing lepton selection
 - Charge mis-assignment of electrons
 - Jet mis-identification, B-hadron decay
 - Categories based on signal/back bins
- Combined best-fit over all sub-categories
 - best fit $t\bar{t}H$ yield of 1.5 ± 0.5 x the standard model prediction
 - observed (expected) significance of 3.3σ (2.5σ) (results combined with 2015 dataset)

dilepton



trilepton



Matrix Element method for $t\bar{t}H(bb)$ vs $t\bar{t}bb$

- Signal extraction via Matrix Element Methods (MEM):
 - Event-by-event discriminator build upon matrix elements, combined with reconstruction-level information

Numerical integration	Momentum conservation	Resolution function (allow ISR)
$w(\vec{y} \mathcal{H}) = \sum_{i=1}^{N_C} \int \frac{dx_a dx_b}{2x_a x_b s} \int \prod_{k=1}^8 \left(\frac{d^3 \vec{p}_k}{(2\pi)^3 2E_k} \right) (2\pi)^4 \delta(E, z) \left(p_a + p_b - \sum_{k=1}^8 p_k \right) \mathcal{R}^{(x, y)} \left(\vec{p}_T, \sum_{k=1}^8 p_k \right)$ $\times g(x_a, \mu_F) g(x_b, \mu_F) \mathcal{M}(p_a, p_b, p_1, \dots, p_8) ^2 W(\vec{y}, \vec{p})$		
Parton density functions	LO scattering amplitude (Open Loops)	Detector transfer function

- Construct per-event signal/background probabilities using full kinematic information in an analytic approach

$$P_{s/b} = \frac{w(\vec{y}|t\bar{t}H)}{w(\vec{y}|t\bar{t}H) + k_{s/b} w(\vec{y}|t\bar{t}+bb)}$$

- $t\bar{t}+bb$ take as background hypothesis, permuting overall jet assignments
- Works best for final states with multiple reconstructed jets

Particle Swarm Optimization

- See: Particle swarm optimization (J. Kennedy, R. Eberhart)
Proceedings of the IEEE International Conference on Neural Networks, 1995.
- Optimization algorithm
- Different BDT setting (i.e. tree structure and variables) form the search-space
- A specific setting corresponds to one point in this search space
- Algorithm:
 - Create swarm of candidate BDTs
 - Each BDT is initialized with a random set of input variables and position in parameter-space
 - Do N iterations
 - Repeatedly train/test at current position.
 - Vary input variables to maximize ROC while $KS > \text{threshold}$
 - Then the BDTs move to new positions, based on their own and