

# Flavour Physics & CP Violation

## Lecture 2 of 4

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- Part 1
  - What is flavour physics & why is it interesting?
- Part 2
  - What do we know from previous experiments?
- Part 3
  - What do we hope to learn from current experiments?
- Part 4
  - The future of flavour physics

# Flavour for new physics discoveries

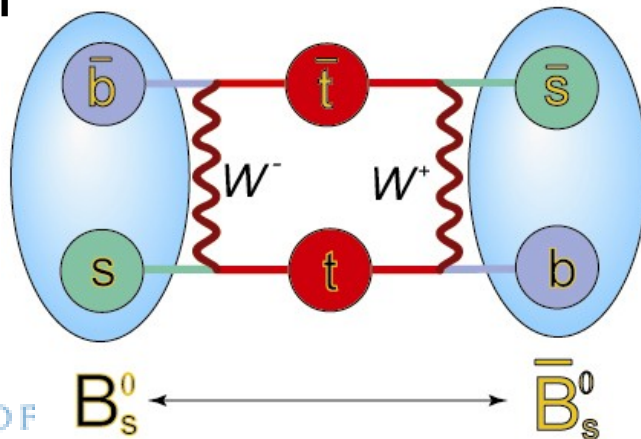
# A lesson from history

- New physics shows up at precision frontier before energy frontier
  - GIM mechanism before discovery of charm
  - CP violation / CKM before discovery of bottom & top
  - Neutral currents before discovery of Z
- Particularly sensitive – loop processes
  - Standard Model contributions suppressed / absent
  - flavour changing neutral currents (rare decays)
  - CP violation
  - lepton flavour / number violation / lepton universality

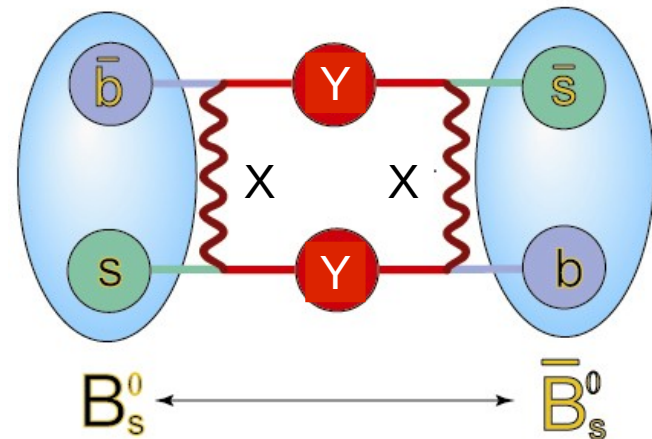
# Loop diagrams for discovery

- Contributions from virtual particles in loops allow to probe far beyond the energy frontier
- History shows this approach to be a powerful discovery tool
- Interplay with high- $p_T$  experiments:
  - NP discovered: probe the couplings
  - NP not discovered: explore high energy parameter space
- NP contributions to tree-level processes also possible in some models

SM



NP



# The GIM mechanism

$K^+ \rightarrow \mu^+ \nu_\mu$  &  $\pi^0 \mu^+ \nu_\mu$  so why not  $K^0 \rightarrow \mu^+ \mu^-$  &  $\pi^0 \mu^+ \mu^-$ ?

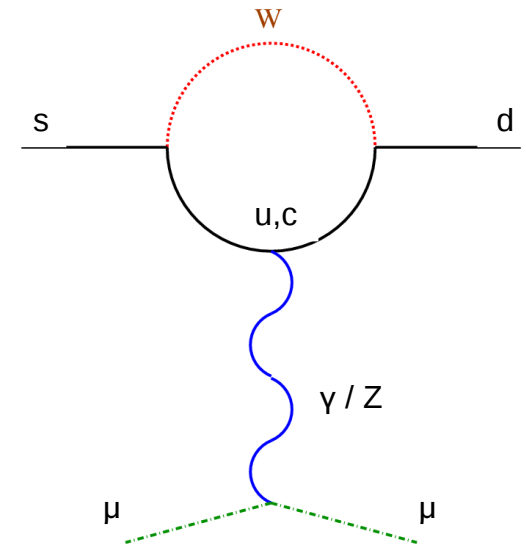
- GIM (Glashow, Iliopoulos, Maiani) mechanism (1970)
  - no tree level flavour changing neutral currents
  - suppression of FCNC via loops
- Requires that quarks come in pairs (predicting charm)

$$A = V_{us} V_{ud}^* f(m_u/m_W) + V_{cs} V_{cd}^* f(m_c/m_W)$$

$$2 \times 2 \text{ unitarity: } V_{us} V_{ud}^* + V_{cs} V_{cd}^* = 0$$

$$m_u, m_c < m_W \therefore f(m_u/m_W) \sim f(m_c/m_W) \therefore A \sim 0$$

kaon mixing  $\Rightarrow$  predict  $m_c$



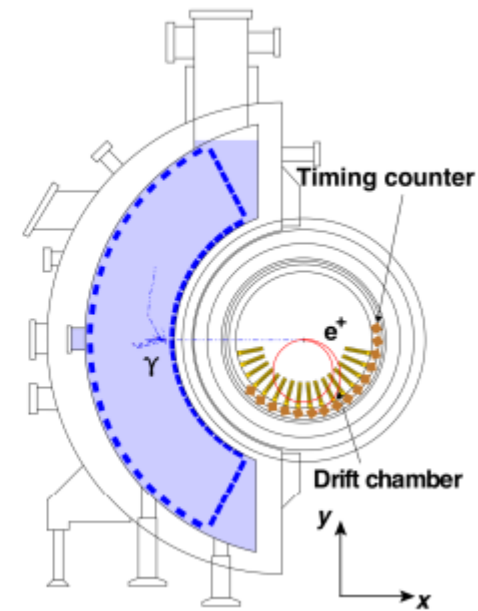
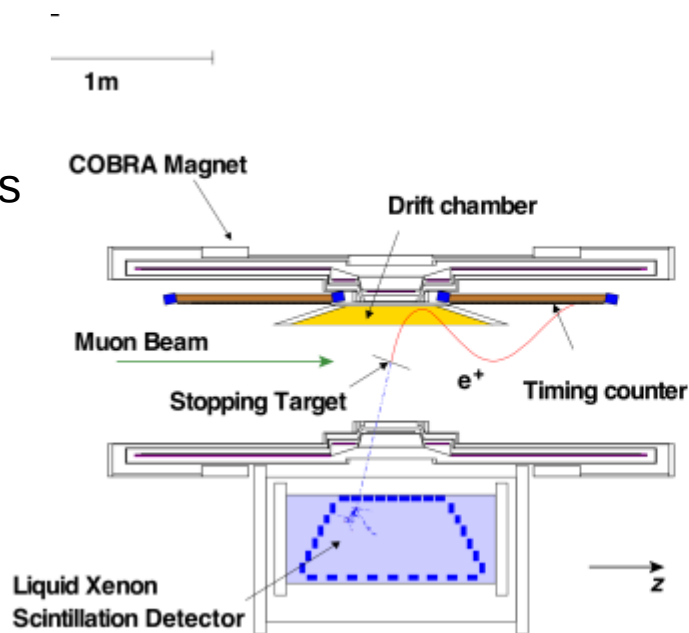
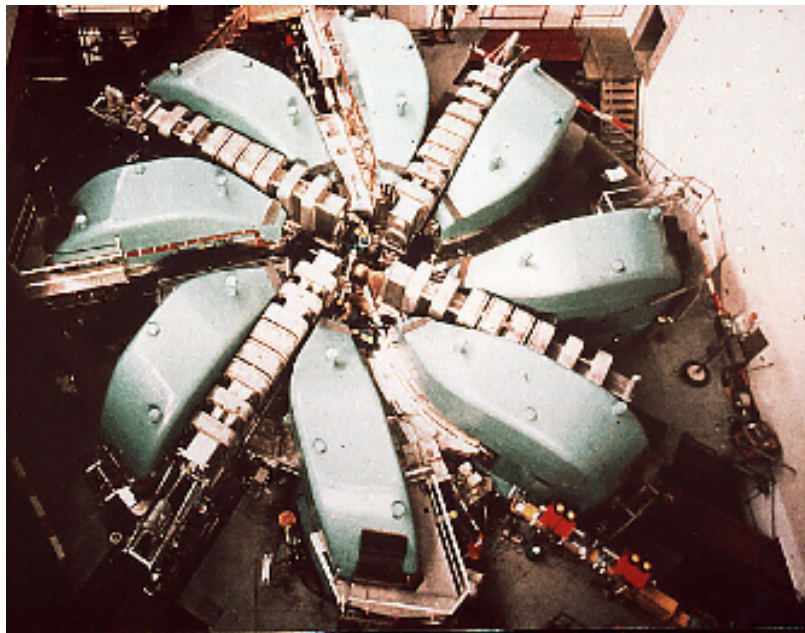
# Lepton flavour violation

- Why do we not observe the decay  $\mu \rightarrow e\gamma$ ?
  - exact (but accidental) lepton flavour conservation in the SM with  $m_\nu = 0$
  - SM loop contributions suppressed by  $(m_\nu/m_W)^4$
  - but new physics models tend to induce larger contributions
    - unsuppressed loop contributions
    - generic argument, true in most common models

# The muon to electron gamma (MEG) experiment at PSI

$$\mu^+ \rightarrow e^+ \gamma$$

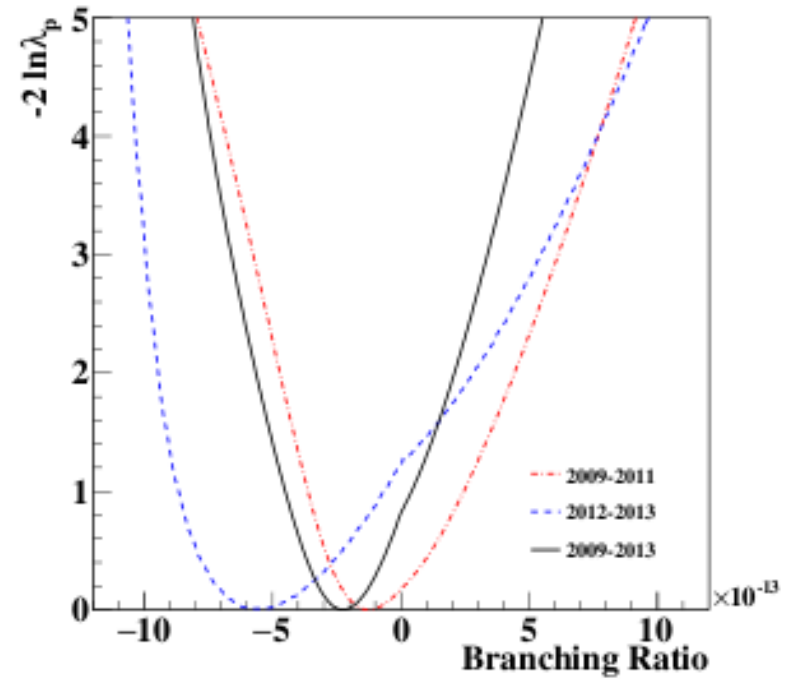
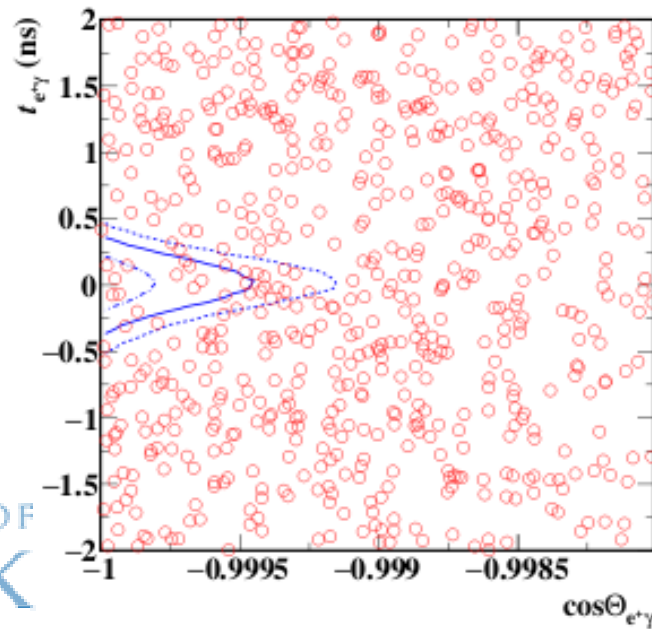
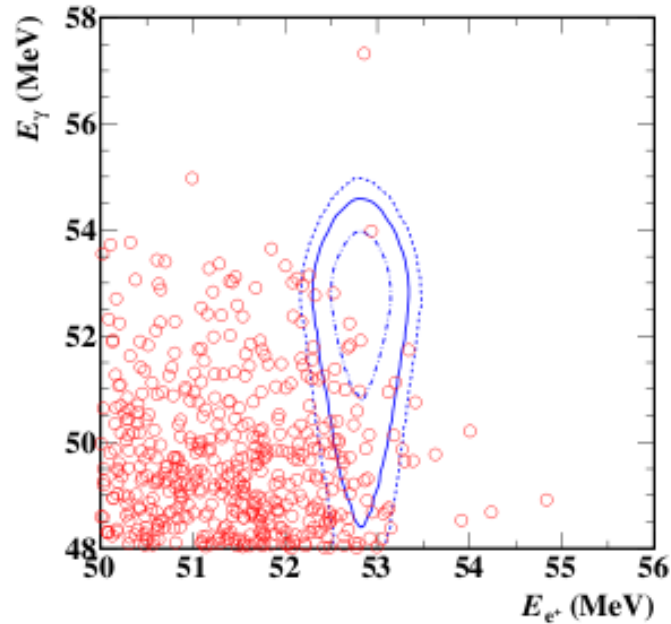
- positive muons  $\rightarrow$  no muonic atoms
- continuous (DC) muon beam  $\rightarrow$  minimise accidental coincidences



NPB 834 (2010) 1



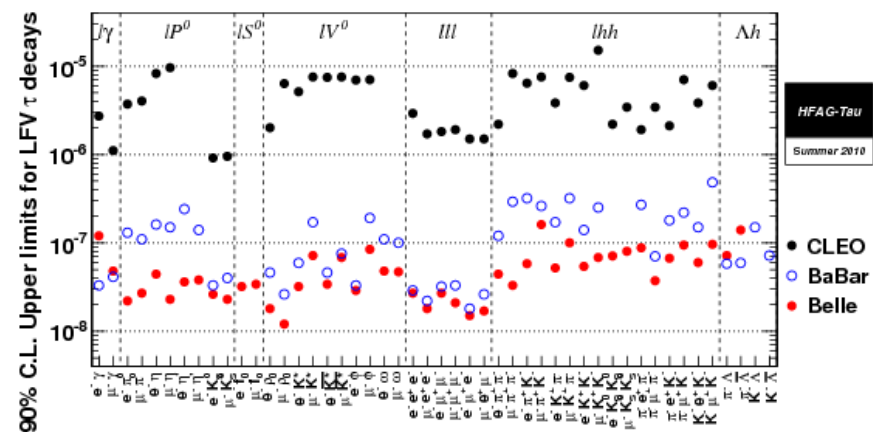
# MEG results



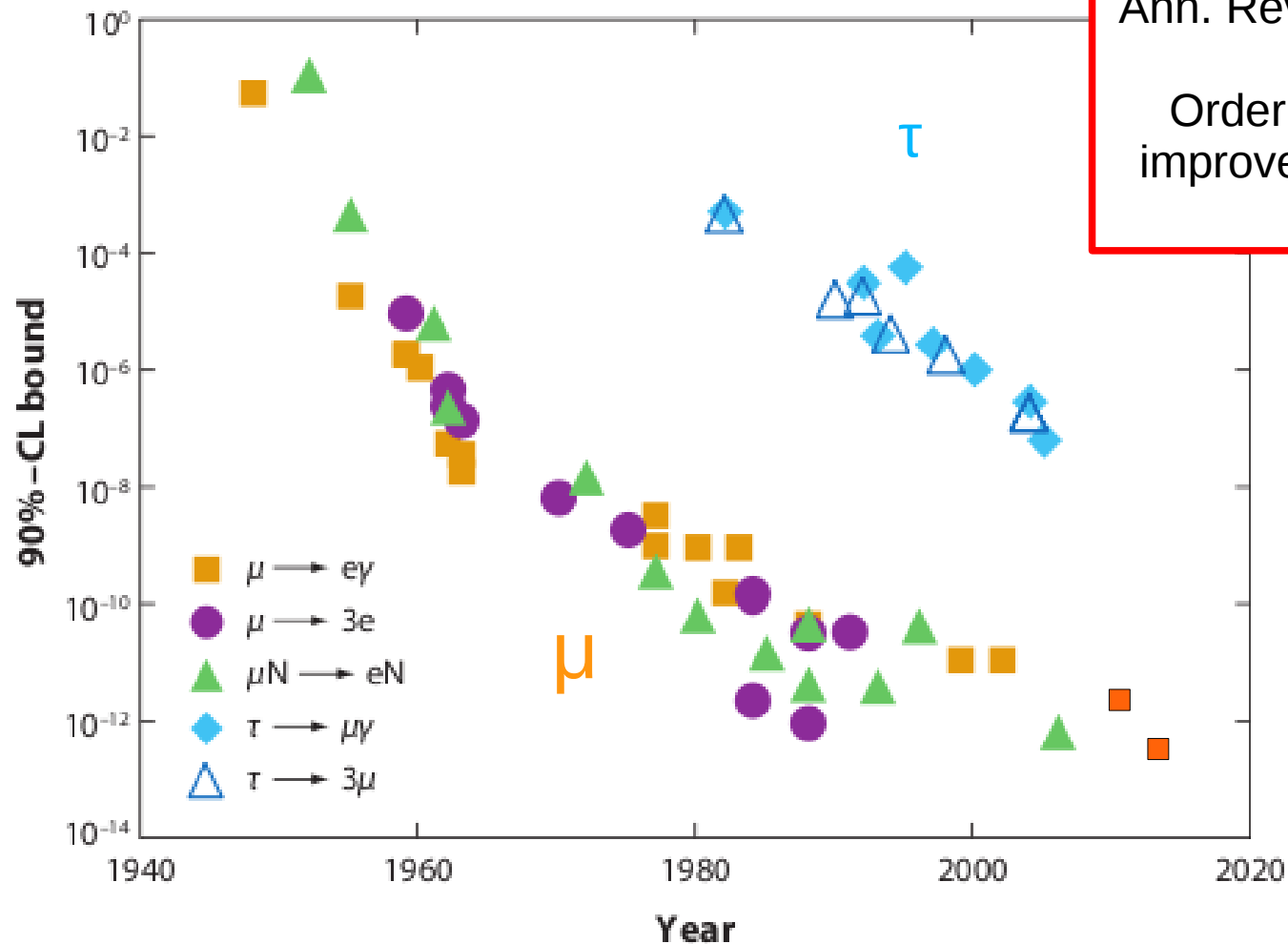
$B(\mu^+ \rightarrow e^+\gamma) < 4.2 \cdot 10^{-13}$  @ 90% CL  
arXiv:1605.05081

# Prospects for Lepton Flavour Violation

- An upgrade of MEG is planned
- New generations of  $\mu - e$  conversion experiments
  - COMET at J-PARC, followed by PRISM/PRIME
  - mu2e at FNAL, followed by Project X
  - Potential improvements of  $O(10^4) - O(10^6)$  in sensitivities!
- $\tau$  LFV a priority for next generation  $e^+e^-$  flavour factories
  - SuperKEKB/Belle2 at KEK & SuperB in Italy
  - $O(100)$  improvements in luminosity  $\rightarrow O(10) - O(100)$  improvements in sensitivity (depending on background)
  - LHC experiments have some potential to improve  $\tau \rightarrow \mu\mu\mu$



# Charged lepton flavour violation



Modified from  
Ann. Rev. 58 (2008) 315

Order of magnitude  
improvement every ~8  
years

Well worth pushing down a few more  
orders of magnitude

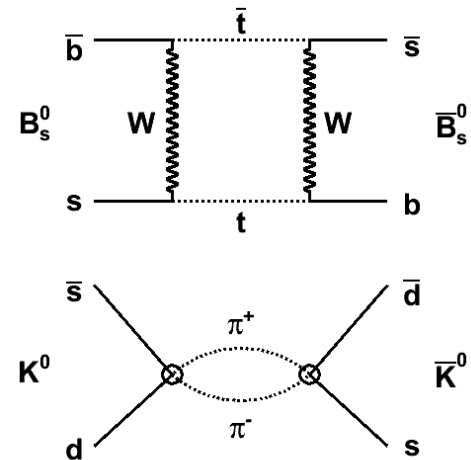
# Searching for NP in mixing loops

# Various types of mixing

- quark mixing (CKM)
- flavour SU(2)
  - $u\bar{u}$  and  $d\bar{d}$  states mix to form  $\pi^0$  and  $\eta$  mesons
    - members of isospin triplet and singlet, respectively
- flavour SU(3)
  - $\eta$  and  $\eta'$  mix
    - identical quantum numbers therefore allowed
- neutral meson mixing
  - flavours differ ... but flavour is not conserved

# Neutral meson oscillations

- We have flavour eigenstates  $M^0$  and  $\bar{M}^0$ 
  - $M^0$  can be  $K^0$  ( $\bar{s}d$ ),  $D^0$  ( $c\bar{u}$ ),  $B_d^0$  ( $\bar{b}d$ ) or  $B_s^0$  ( $\bar{b}s$ )
- These can mix into each other
  - via short-distance or long-distance processes
- Time-dependent Schrödinger eqn.



$$i \frac{\partial}{\partial t} \begin{pmatrix} M^0 \\ \bar{M}^0 \end{pmatrix} = H \begin{pmatrix} M^0 \\ \bar{M}^0 \end{pmatrix} = \left( M - \frac{i}{2} \Gamma \right) \begin{pmatrix} M^0 \\ \bar{M}^0 \end{pmatrix}$$

– H is Hamiltonian; M and  $\Gamma$  are 2x2 Hermitian matrices

- CPT theorem:  $M_{11} = M_{22}$  &  $\Gamma_{11} = \Gamma_{22}$

# Solving the Schrödinger equation

- Physical states: eigenstates of effective Hamiltonian

$$M_{S,L} = p M^0 \pm q \bar{M}^0$$

$p$  &  $q$  complex coefficients  
that satisfy  $|p|^2 + |q|^2 = 1$

label as either S,L (short-, long-lived) or L,H (light, heavy) depending on values of  $\Delta m$  &  $\Delta\Gamma$   
(labels 1,2 usually reserved for CP eigenstates)

- CP conserved if physical states = CP eigenstates ( $|q/p| = 1$ )

- Eigenvalues

$$\lambda_{S,L} = m_{S,L} - \frac{1}{2}i\Gamma_{S,L} = (M_{11} - \frac{1}{2}i\Gamma_{11}) \pm (q/p)(M_{12} - \frac{1}{2}i\Gamma_{12})$$

$$\Delta m = m_L - m_S \quad \Delta\Gamma = \Gamma_S - \Gamma_L$$

$$(\Delta m)^2 - \frac{1}{4}(\Delta\Gamma)^2 = 4(|M_{12}|^2 + \frac{1}{4}|\Gamma_{12}|^2)$$

$$\Delta m \Delta\Gamma = 4\text{Re}(M_{12} \Gamma_{12}^*)$$

$$(q/p)^2 = (M_{12}^* - \frac{1}{2}i\Gamma_{12}^*) / (M_{12} - \frac{1}{2}i\Gamma_{12})$$

# Simplistic picture of mixing parameters

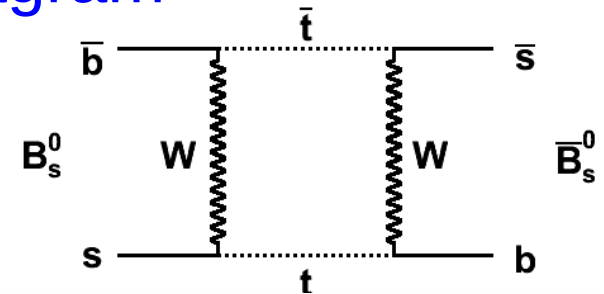
- $\Delta m$ : value depends on rate of mixing diagram

- together with various other constants ...

$$\Delta m_d = \frac{G_F^2}{6\pi^2} m_W^2 \eta_b S(x_t) m_{B_d} f_{B_d}^2 \hat{B}_{B_d} |V_{tb}|^2 |V_{td}|^2$$

- that can be made to cancel in ratios

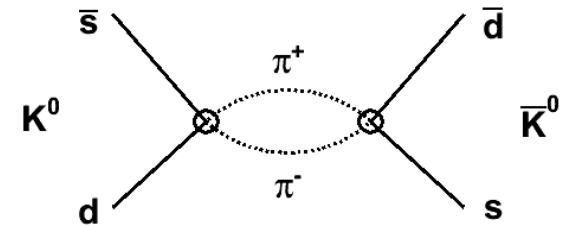
remaining factors can be obtained from lattice QCD calculations



$$\frac{\Delta m_d}{\Delta m_s} = \frac{m_{B_d} f_{B_d}^2 \hat{B}_{B_d} |V_{td}|^2}{m_{B_s} f_{B_s}^2 \hat{B}_{B_s} |V_{ts}|^2}$$

- $\Delta\Gamma$ : value depends on widths of decays into common final states (CP-eigenstates)

- large for  $K^0$ , small for  $D^0$  &  $B_d^0$



- $q/p \approx 1$  if  $\arg(\Gamma_{12}/M_{12}) \approx 0$  ( $|q/p| \approx 1$  if  $M_{12} \ll \Gamma_{12}$  or  $M_{12} \gg \Gamma_{12}$ )

- CP violation in mixing when  $|q/p| \neq 1$

$$\left( \epsilon = \frac{p-q}{p+q} \neq 0 \right)$$



# Simplistic picture of mixing parameters

	$\Delta m$ ( $x = \Delta m/\Gamma$ )	$\Delta\Gamma$ ( $y = \Delta\Gamma/(2\Gamma)$ )	$ q/p $ ( $a_{sl} \approx 1 -  q/p ^2$ )
$K^0$	large $\sim 500$	$\sim$ maximal $\sim 1$	small $(3.32 \pm 0.06) \times 10^{-3}$
$D^0$	small $(0.63 \pm 0.19)\%$	small $(0.75 \pm 0.12)\%$	small $0.52^{+0.19}_{-0.24}$
$B^0$	medium $0.770 \pm 0.008$	small $0.008 \pm 0.009$	small $-0.0003 \pm 0.0021$
$B_s^0$	large $26.49 \pm 0.29$	medium $0.075 \pm 0.010$	small $-0.0109 \pm 0.0040$

well-measured only recently (see later)

More precise measurements needed (SM prediction well known)

# Constraints on NP from mixing

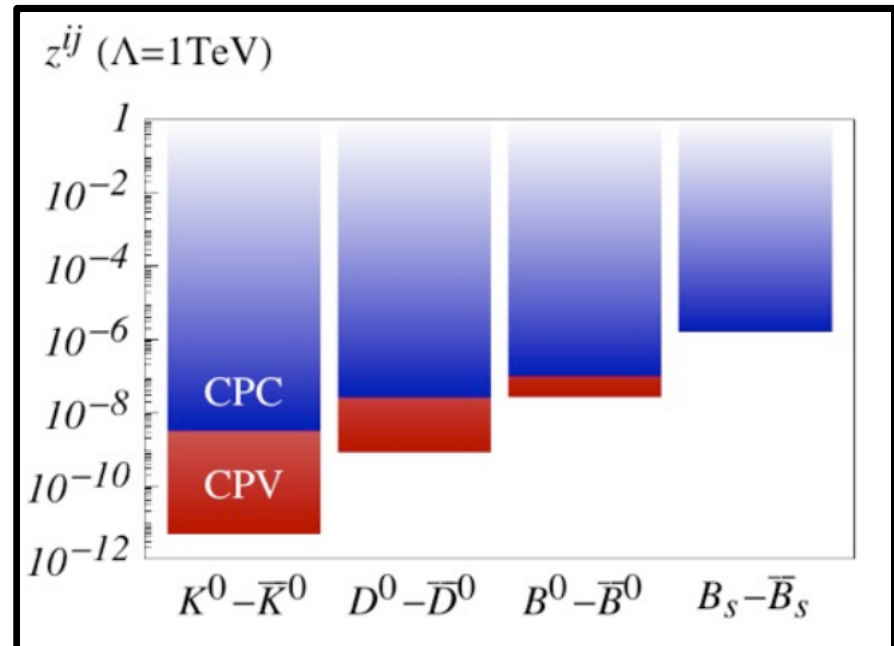
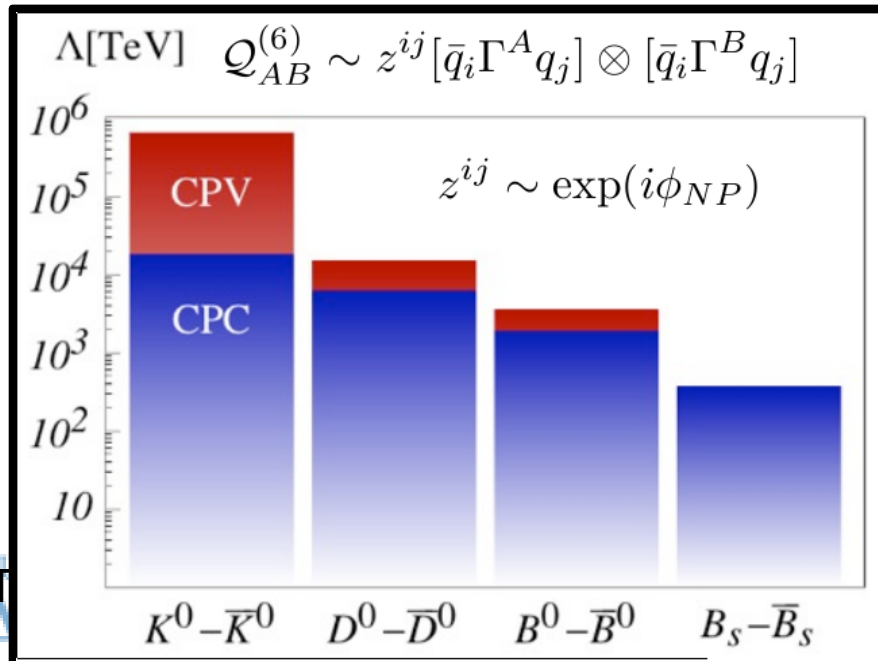
- All measurements of  $\Delta m$  &  $\Delta \Gamma$  consistent with SM
  - $K^0, D^0, B_d^0$  and  $B_s^0$
- This means  $|A_{NP}| < |A_{SM}|$  where  $\mathcal{A}_{SM}^{\Delta F=2} \approx \frac{G_F^2 m_t^2}{16\pi^2} (V_{ti}^* V_{tj})^2 \times \langle \bar{M} | (\bar{Q}_{Li} \gamma^\mu Q_{Lj})^2 | M \rangle \times F \left( \frac{M_W^2}{m_t^2} \right)$
- Express NP as perturbation to the SM Lagrangian
  - couplings  $c_i$  and scale  $\Lambda > m_W$   $\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \sum \frac{c_i^{(d)}}{\Lambda^{(d-4)}} O_i^{(d)}(\text{SM fields})$
- For example, SM like (left-handed) operators  $\Delta \mathcal{L}^{\Delta F=2} = \sum_{i \neq j} \frac{c_{ij}}{\Lambda^2} (\bar{Q}_{Li} \gamma^\mu Q_{Lj})^2$

Ann.Rev.Nucl.Part.Sci.  
60 (2010) 355

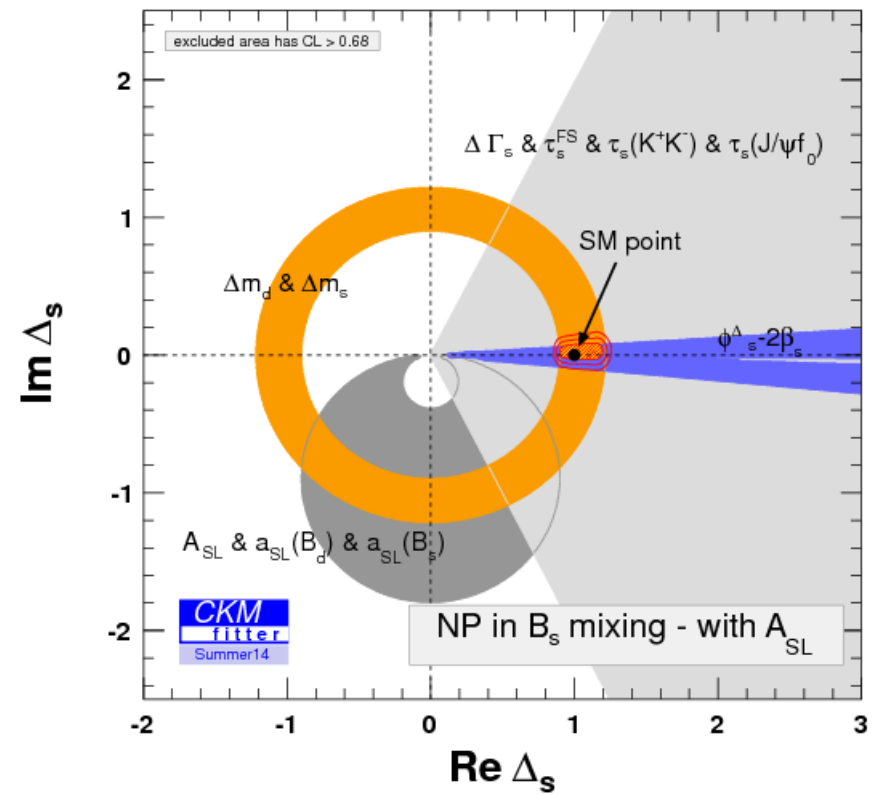
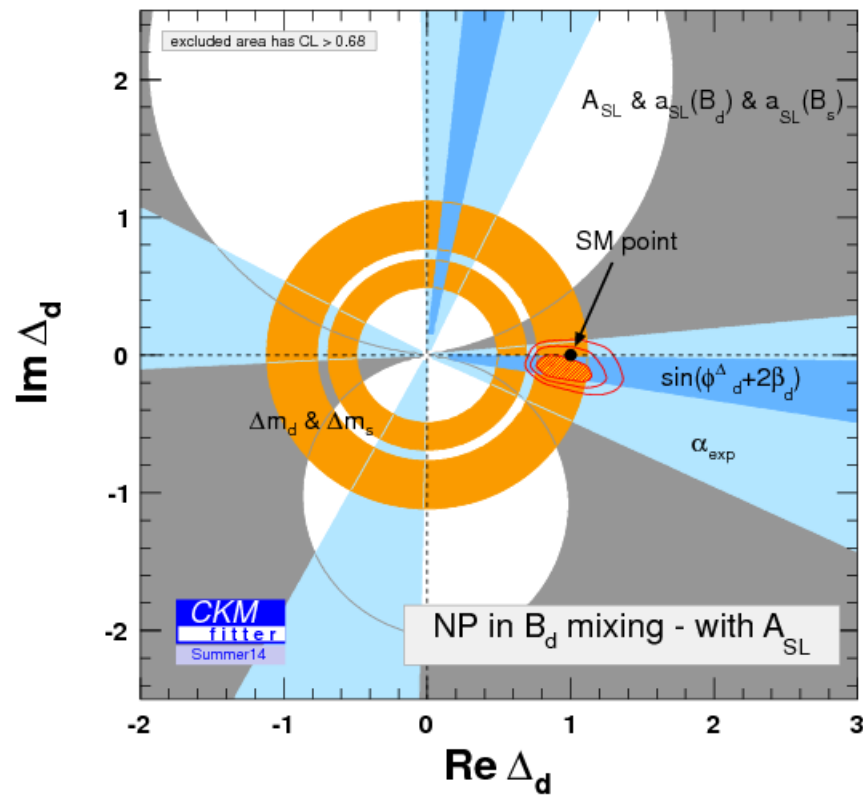
Operator	Bounds on $\Lambda$ in TeV ( $c_{ij} = 1$ )		Bounds on $c_{ij}$ ( $\Lambda = 1$ TeV)		Observables
	Re	Im	Re	Im	
$(\bar{s}_L \gamma^\mu d_L)^2$	$9.8 \times 10^2$	$1.6 \times 10^4$	$9.0 \times 10^{-7}$	$3.4 \times 10^{-9}$	$\Delta m_K; \epsilon_K$
$(\bar{s}_R d_L)(\bar{s}_L d_R)$	$1.8 \times 10^4$	$3.2 \times 10^5$	$6.9 \times 10^{-9}$	$2.6 \times 10^{-11}$	$\Delta m_K; \epsilon_K$
$(\bar{c}_L \gamma^\mu u_L)^2$	$1.2 \times 10^3$	$2.9 \times 10^3$	$5.6 \times 10^{-7}$	$1.0 \times 10^{-7}$	$\Delta m_D;  q/p , \phi_D$
$(\bar{c}_R u_L)(\bar{c}_L u_R)$	$6.2 \times 10^3$	$1.5 \times 10^4$	$5.7 \times 10^{-8}$	$1.1 \times 10^{-8}$	$\Delta m_D;  q/p , \phi_D$
$(\bar{b}_L \gamma^\mu d_L)^2$	$5.1 \times 10^2$	$9.3 \times 10^2$	$3.3 \times 10^{-6}$	$1.0 \times 10^{-6}$	$\Delta m_{B_d}; S_{\psi K_S}$
$(\bar{b}_R d_L)(\bar{b}_L d_R)$	$1.9 \times 10^3$	$3.6 \times 10^3$	$5.6 \times 10^{-7}$	$1.7 \times 10^{-7}$	$\Delta m_{B_d}; S_{\psi K_S}$
$(\bar{b}_L \gamma^\mu s_L)^2$		$1.1 \times 10^2$		$7.6 \times 10^{-5}$	$\Delta m_{B_s}$
$(\bar{b}_R s_L)(\bar{b}_L s_R)$		$3.7 \times 10^2$		$1.3 \times 10^{-5}$	$\Delta m_{B_s}$

# Similar information pictorially

Operator	Bounds on $\Lambda$ in TeV ( $c_{ij} = 1$ )		Bounds on $c_{ij}$ ( $\Lambda = 1$ TeV)		Observables
	Re	Im	Re	Im	
$(\bar{s}_L \gamma^\mu d_L)^2$	$9.8 \times 10^2$	$1.6 \times 10^4$	$9.0 \times 10^{-7}$	$3.4 \times 10^{-9}$	$\Delta m_K; \epsilon_K$
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$(\bar{c}_L \gamma^\mu u_L)^2$	$1.2 \times 10^3$	$2.9 \times 10^3$	$5.6 \times 10^{-7}$	$1.0 \times 10^{-7}$	$\Delta m_D;  q/p , \phi_D$
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$(\bar{b}_R d_L)(\bar{b}_L d_R)$	$1.9 \times 10^3$	$3.6 \times 10^3$	$5.6 \times 10^{-7}$	$1.7 \times 10^{-7}$	$\Delta m_{B_d}; S_{\psi K_S}$
$(\bar{b}_L \gamma^\mu s_L)^2$		$1.1 \times 10^2$		$7.6 \times 10^{-5}$	$\Delta m_{B_s}$
$(\bar{b}_R s_L)(\bar{b}_L s_R)$		$3.7 \times 10^2$		$1.3 \times 10^{-5}$	$\Delta m_{B_s}$



# Similar story – but including more (& more up-to-date) inputs, and in pictures



arXiv:1501.05013

# New Physics Flavour Problem

- Limits on NP scale at least 100 TeV for generic couplings
  - model-independent argument, also for rare decays
- But we need NP at “the TeV scale” to solve the hierarchy problem (and to provide DM candidate, etc.)
- So we need NP flavour-changing couplings to be small
- Why?
  - minimal flavour violation?
    - perfect alignment of flavour violation in NP and SM
  - some other approximate symmetry?
  - flavour structure tells us about physics at very high scales
- There are still important observables that are not yet well-tested

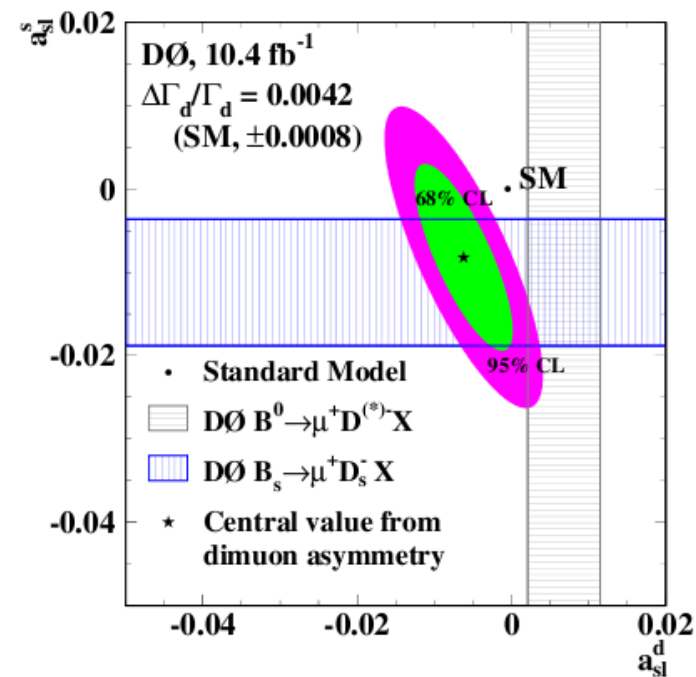
NPB 645 (2002) 155

# Like-sign dimuon asymmetry

- Semileptonic decays are flavour-specific
- B mesons are produced in  $B\bar{B}$  pairs
- Like-sign leptons arise if one of  $B\bar{B}$  pair mixes before decaying
- If no CP violation in mixing  $N(++) = N(--)$
- Inclusive measurement  $\leftrightarrow$  contributions from both  $B_d^0$  and  $B_s^0$ 
  - relative contributions from production rates, mixing probabilities & SL decay rates

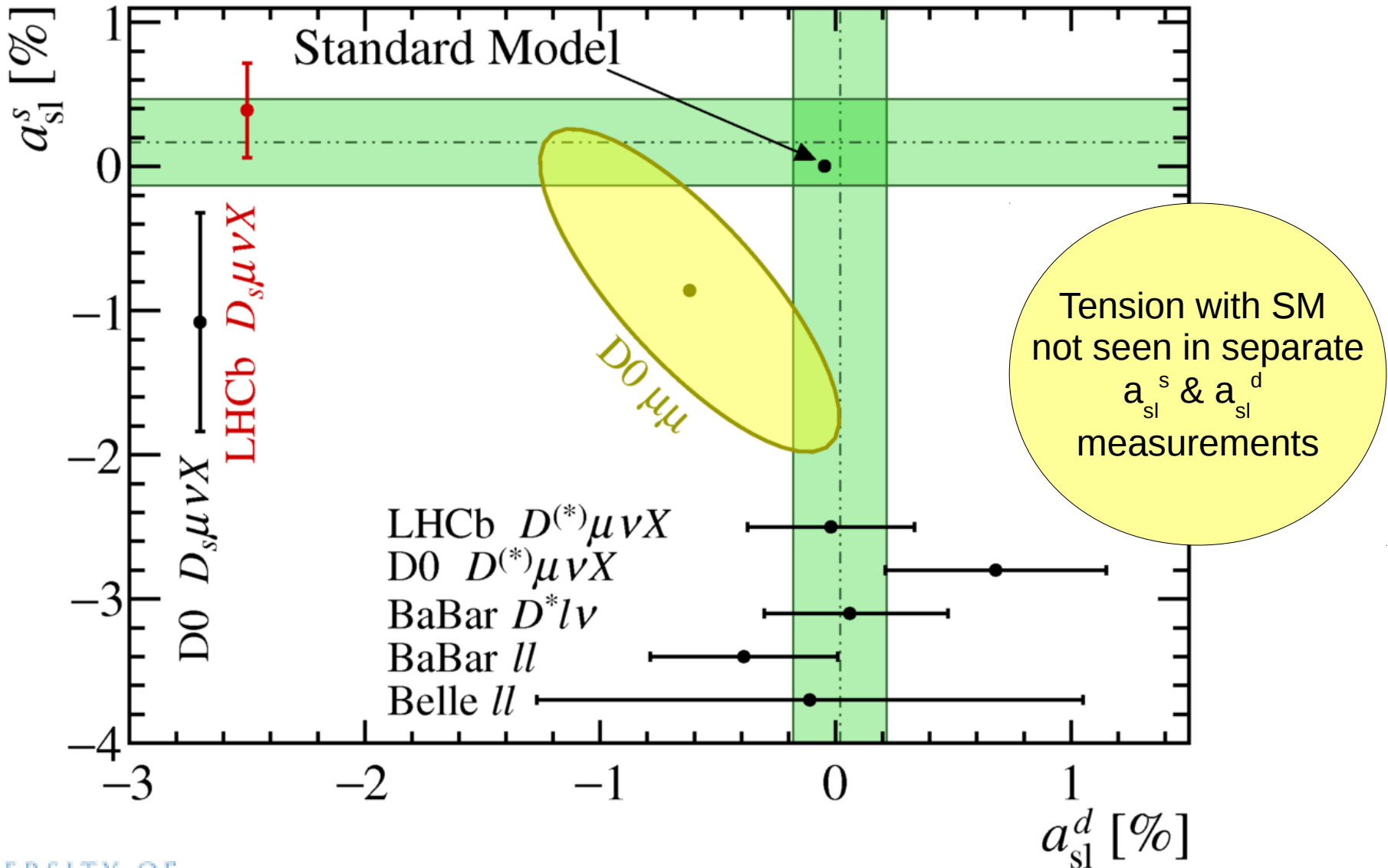
PRD 89 (2014) 012002

$$A_{SL} = (1 - |q/p|^4)/(1 + |q/p|^4)$$



# Latest $a_{sl}^s - a_{sl}^d$ plot

arXiv:1605.09768



What do we know about heavy quark flavour physics as of today?



# The Cabibbo-Kobayashi-Maskawa Quark Mixing Matrix



$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

- A 3x3 unitary matrix
- Described by 4 real parameters – **allows CP violation**
  - PDG (Chau-Keung) parametrisation:  $\theta_{12}, \theta_{23}, \theta_{13}, \delta$
  - Wolfenstein parametrisation:  $\lambda, A, \rho, \eta$
- **Highly predictive**

# CKM Matrix : parametrizations

- Many different possible choices of 4 parameters
- PDG: 3 mixing angles and 1 phase

PRL 53 (1984) 1802

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

- Apparent hierarchy:  $s_{12} \sim 0.2$ ,  $s_{23} \sim 0.04$ ,  $s_{13} \sim 0.004$

– [Wolfenstein parametrization](#) (expansion parameter  $\lambda \sim \sin \theta_c \sim 0.22$ )

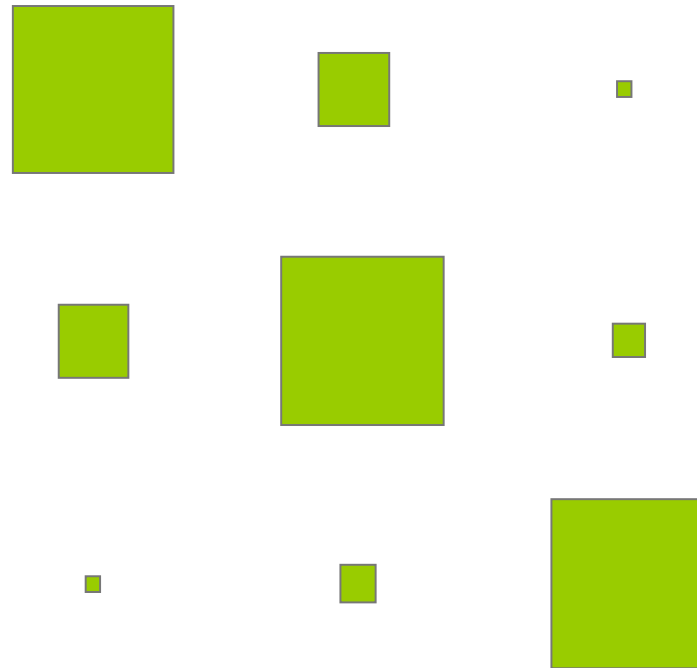
PRL 51 (1983) 1945

$$V = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

- Other choices, eg. based on CP violating phases

# Hierarchy in quark mixing

$$V = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$



Very suggestive pattern  
 No known underlying reason  
 Situation for leptons (vs) is completely different

# Unitarity Tests

- The CKM matrix must be unitary

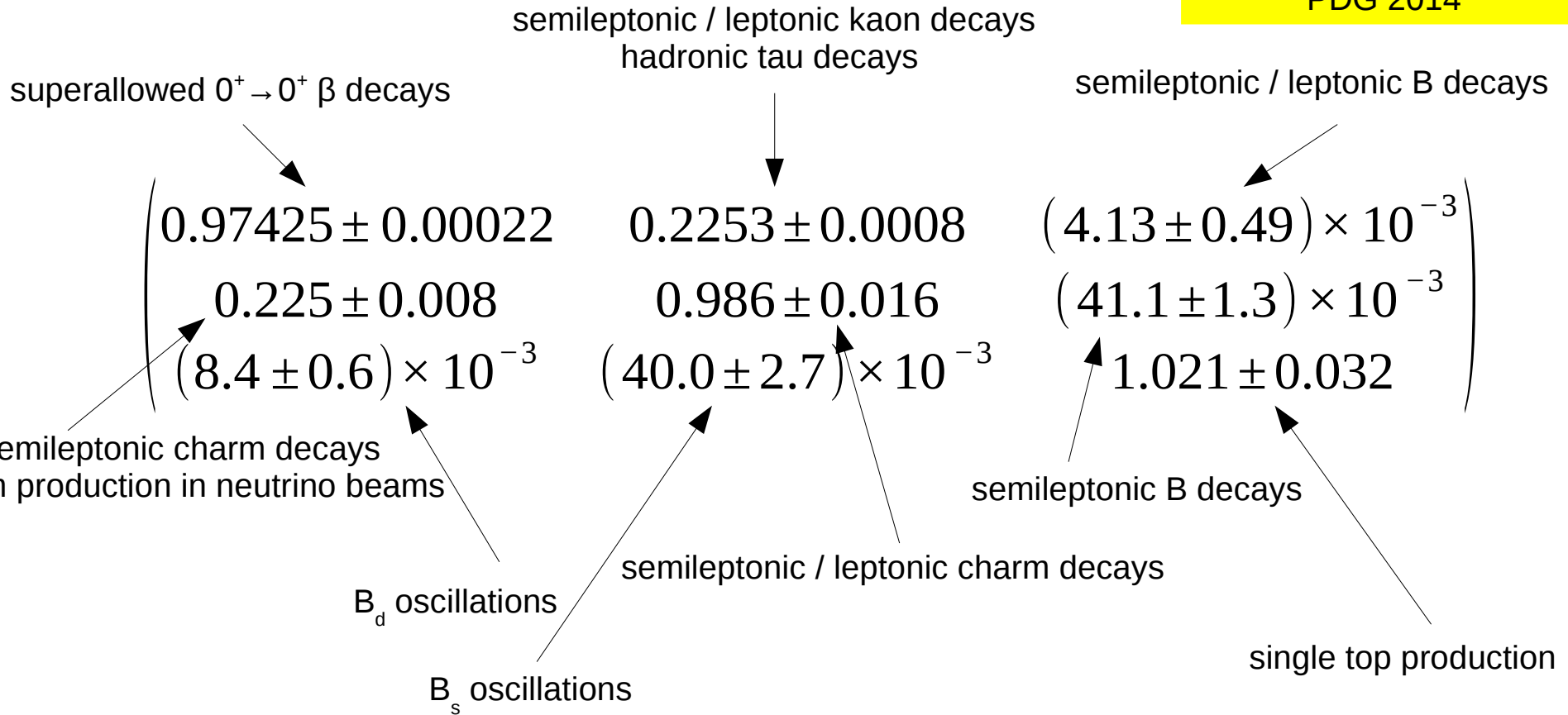
$$V_{CKM}^+ V_{CKM} = V_{CKM} V_{CKM}^+ = 1$$

- Provides numerous tests of constraints between independent observables, such as

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$
$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

# CKM Matrix – Magnitudes

PDG 2014



theory inputs (eg., lattice calculations) required

# The Unitarity Triangle

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

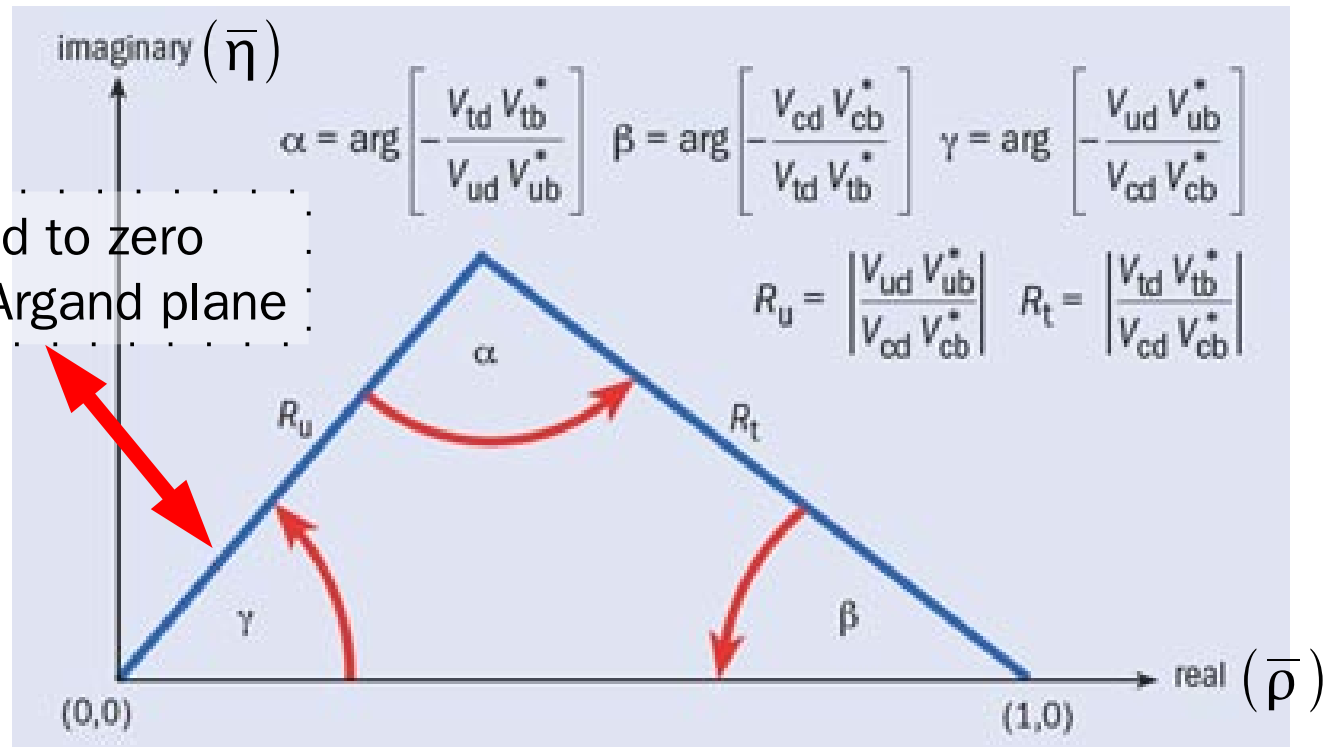


Three complex numbers add to zero  
 $\Rightarrow$  triangle in Argand plane

Axes are  $\bar{\rho}$  and  $\bar{\eta}$  where

$$\bar{\rho} + i\bar{\eta} \equiv -\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}$$

$$\rho + i\eta = \frac{\sqrt{1 - A^2\lambda^4}(\bar{\rho} + i\bar{\eta})}{\sqrt{1 - \lambda^2} [1 - A^2\lambda^4(\bar{\rho} + i\bar{\eta})]}$$



# Predictive nature of KM mechanism

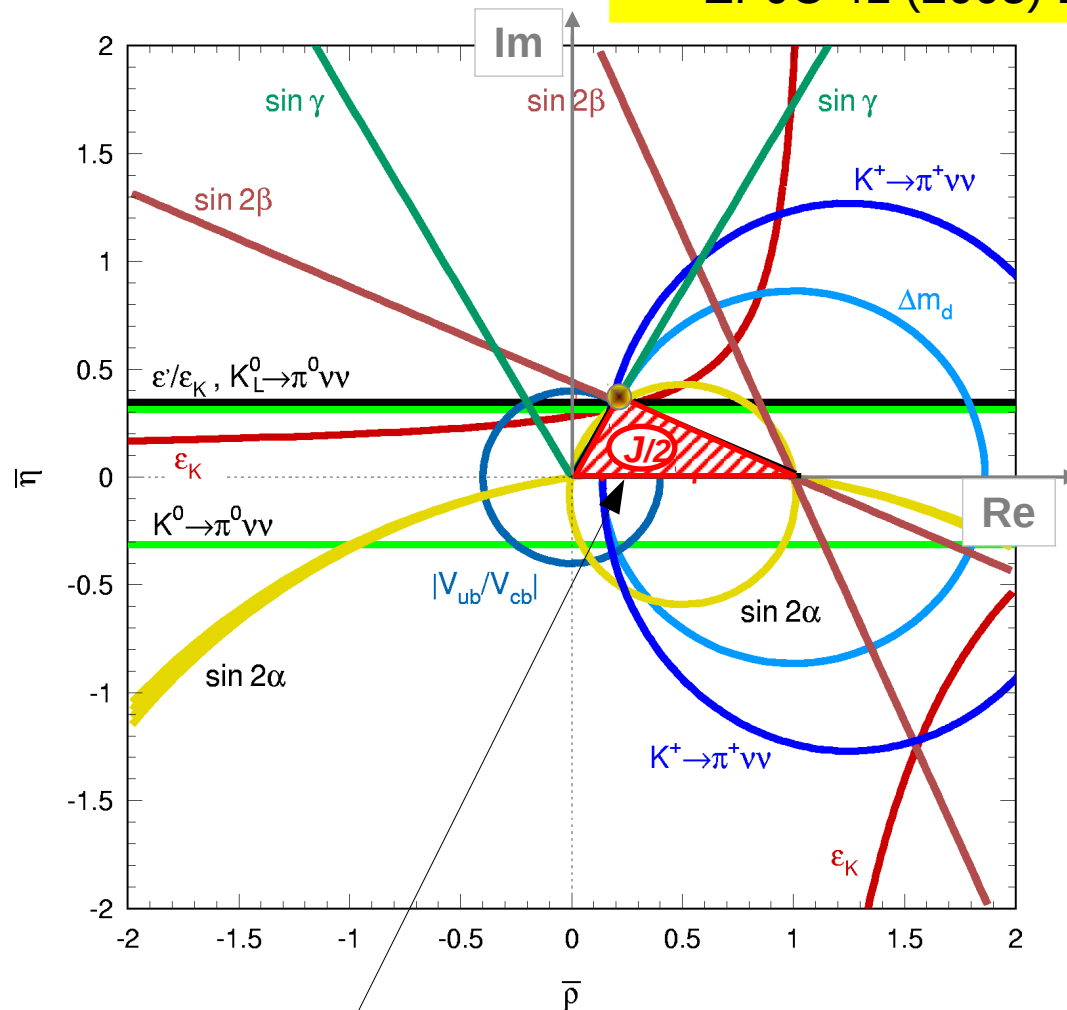
In the Standard Model the KM phase is the **sole origin of CP violation**

Hence:

all measurements must agree on the position of the apex of the Unitarity Triangle

(Illustration shown assumes no experimental or theoretical uncertainties)

EPJC 41 (2005) 1



Area of (all of) the Unitarity Triangle(s) is given by the Jarlskog invariant

# Time-Dependent CP Violation in the $B^0-\bar{B}^0$ System

- For a B meson known to be 1)  $B^0$  or 2)  $\bar{B}^0$  at time  $t=0$ , then at later time  $t$ :

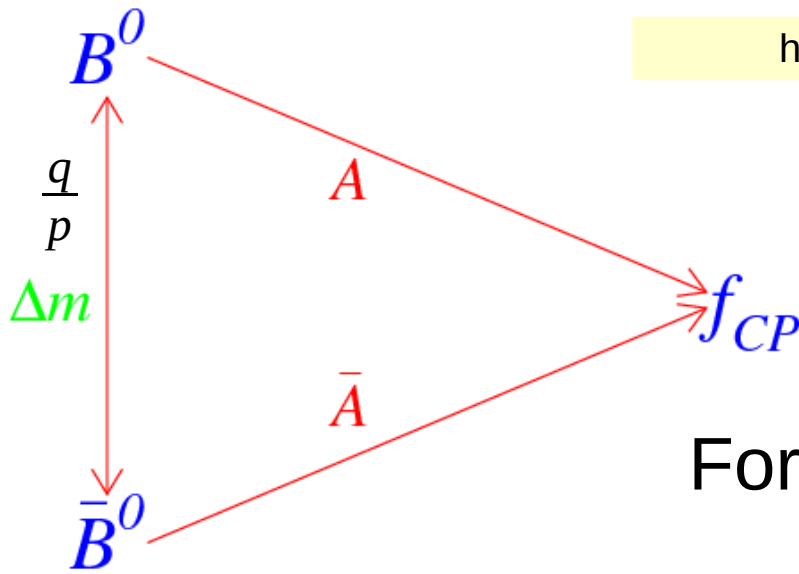
$$\Gamma(B_{phys}^0 \rightarrow f_{CP}(t)) \propto e^{-\Gamma t} (1 - (S \sin(\Delta m t) - C \cos(\Delta m t)))$$

$$\Gamma(\bar{B}_{phys}^0 \rightarrow f_{CP}(t)) \propto e^{-\Gamma t} (1 + (S \sin(\Delta m t) - C \cos(\Delta m t)))$$

here assume  $\Delta\Gamma$  negligible – will see full expressions later

$$S = \frac{2\Im(\lambda_{CP})}{1 + |\lambda_{CP}^2|} \quad C = \frac{1 - |\lambda_{CP}^2|}{1 + |\lambda_{CP}^2|} \quad \lambda_{CP} = \frac{q}{p} \frac{\bar{A}}{A}$$

For  $B^0 \rightarrow J/\psi K_S$ ,  $S = \sin(2\beta)$ ,  $C=0$



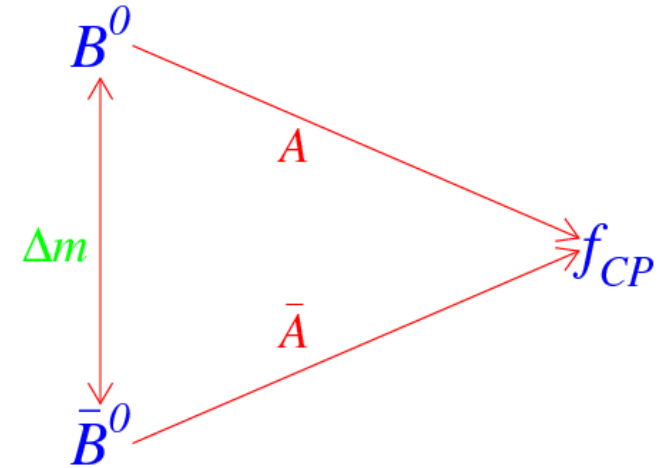
NPB 193 (1981) 85



# Categories of CP violation

- Consider decay of neutral particle to a CP eigenstate

$$\lambda_{CP} = \frac{q \bar{A}}{p A}$$



$$\left| \frac{q}{p} \right| \neq 1$$

CP violation in mixing

$$\left| \frac{\bar{A}}{A} \right| \neq 1$$

CP violation in decay

$$\Im \left( \frac{q \bar{A}}{p A} \right) \neq 0$$

CP violation in interference between mixing and decay

# Asymmetric B factory principle

To measure  $t$  require B meson to be moving

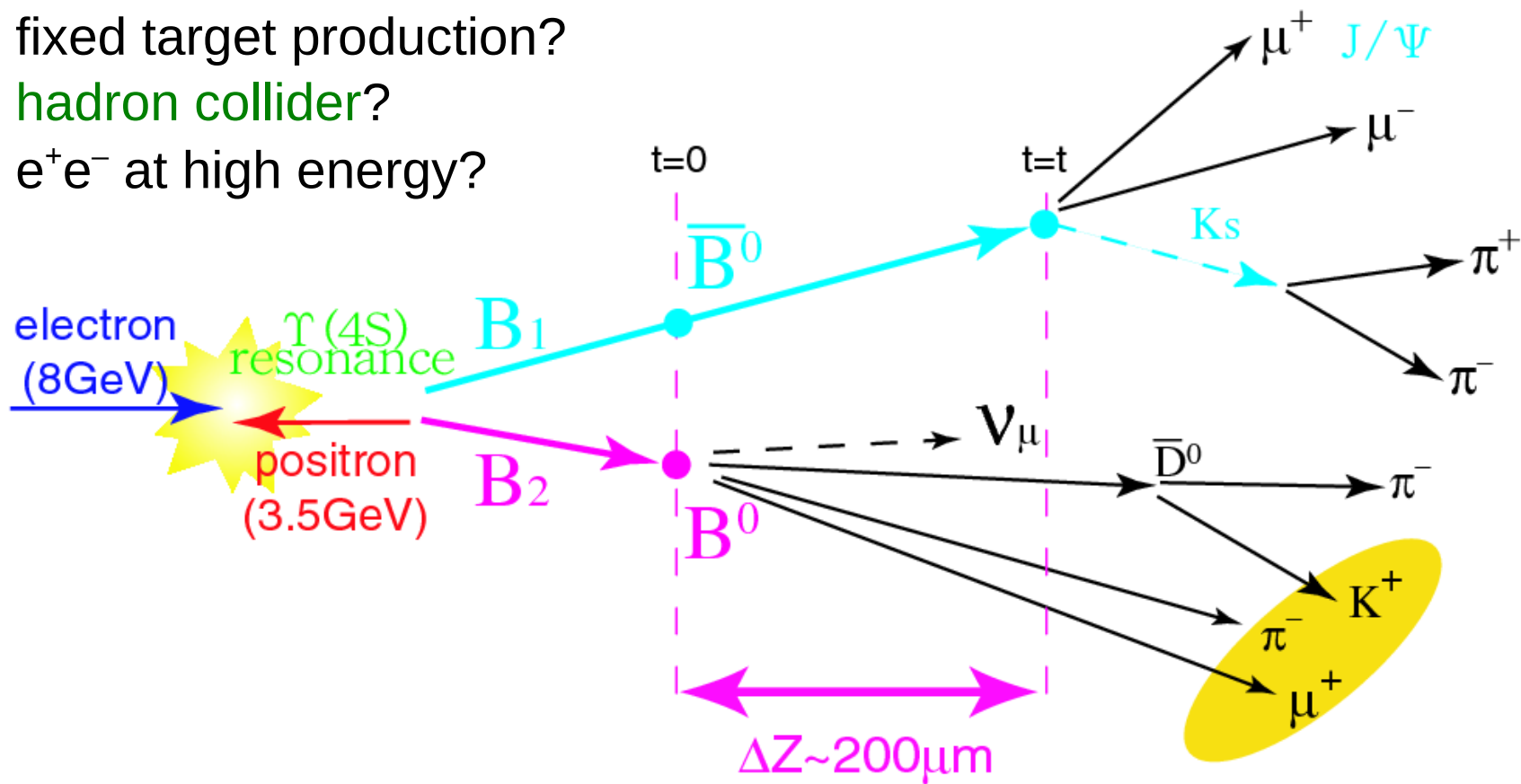
→  $e^+e^-$  at threshold with asymmetric collisions (Odone)

Other possibilities considered

→ fixed target production?

→ hadron collider?

→  $e^+e^-$  at high energy?



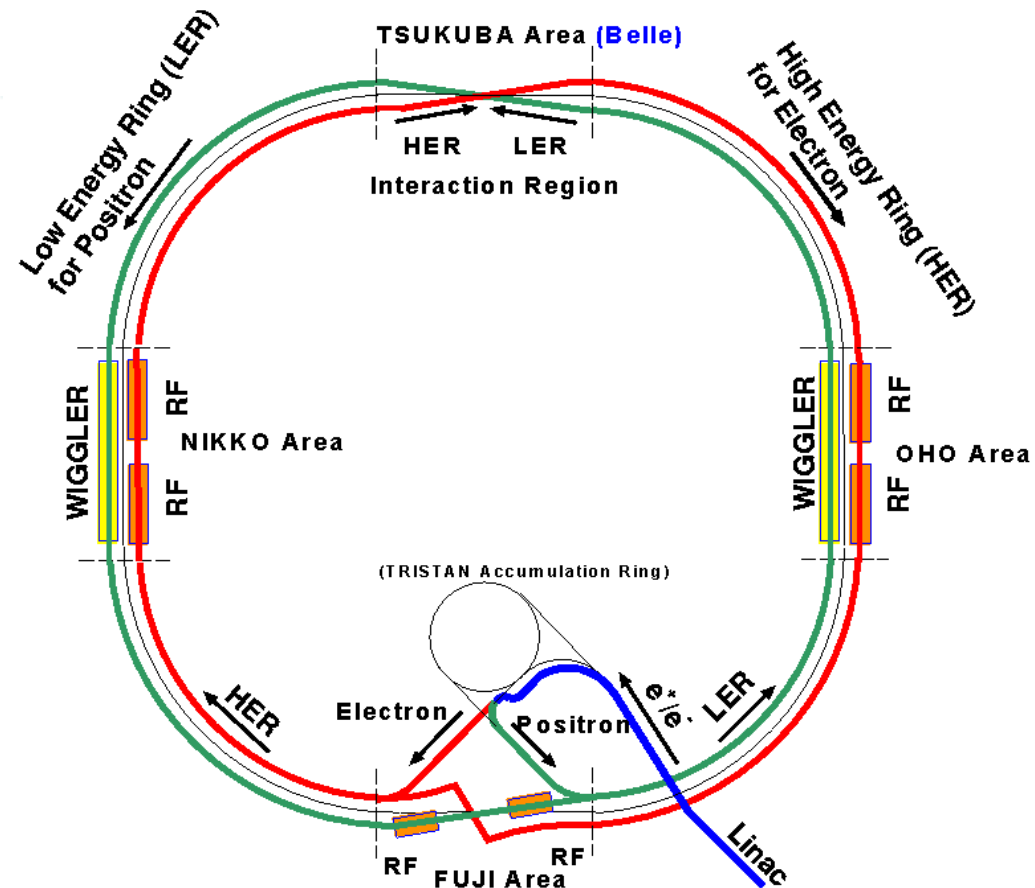
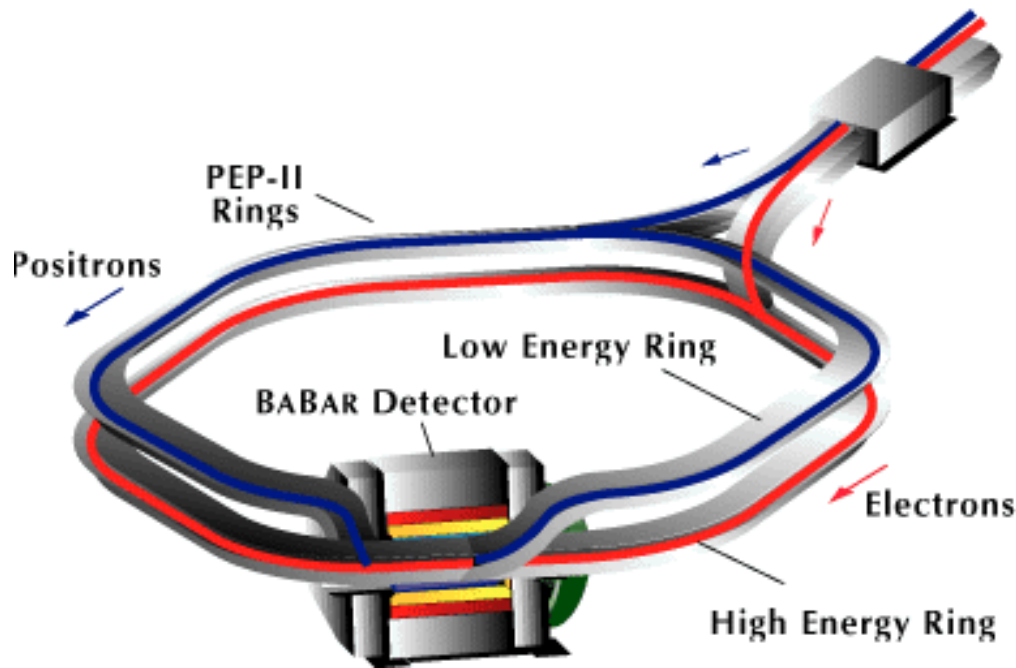
# Asymmetric B Factories

PEP-II at SLAC

9.0 GeV  $e^-$  on 3.1 GeV  $e^+$

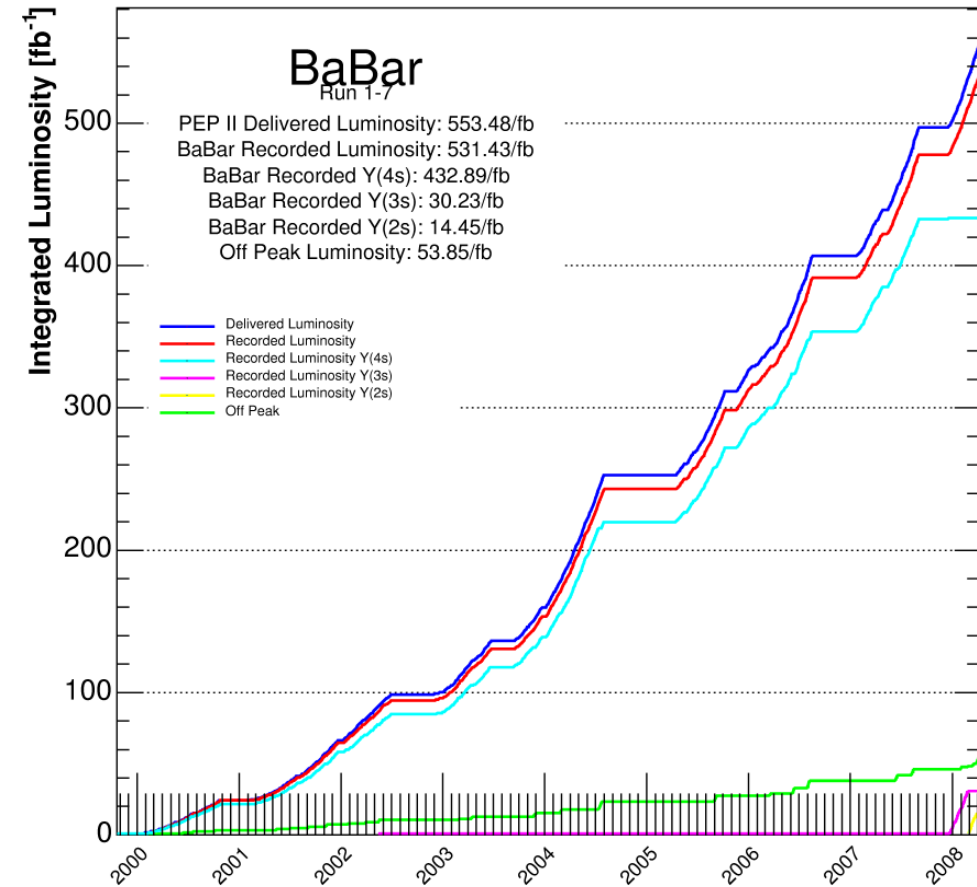
KEKB at KEK

8.0 GeV  $e^-$  on 3.5 GeV  $e^+$



# B factories – world record luminosities

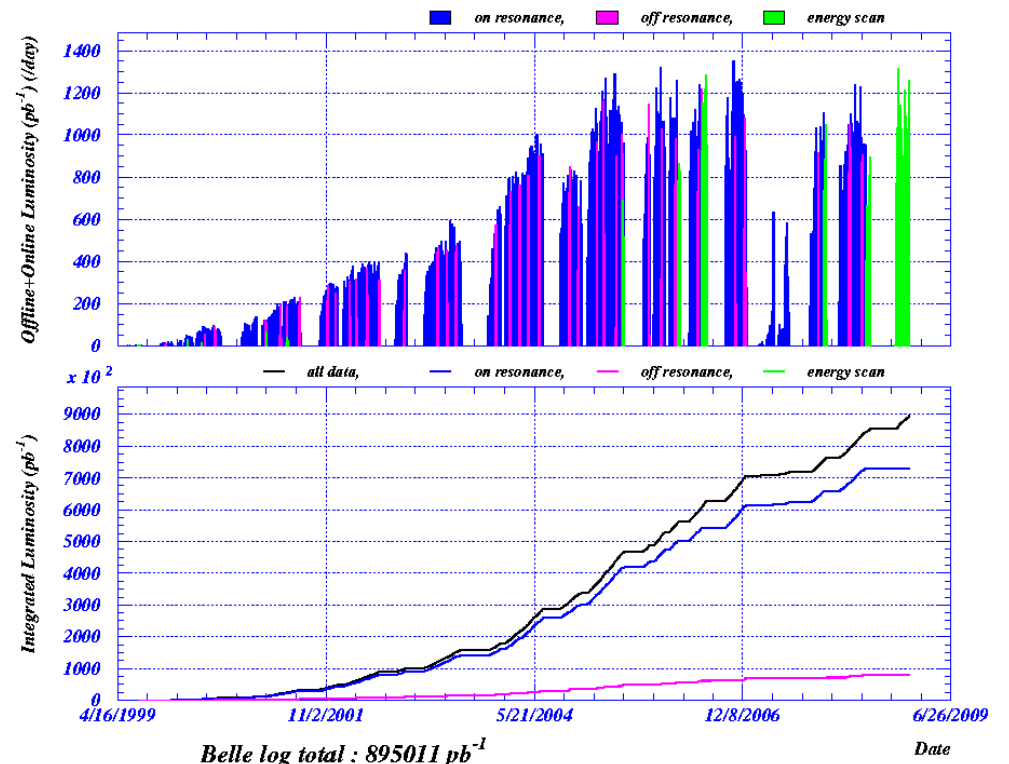
As of 2008/04/09 00:00



**~ 433/fb on Y(4S)**

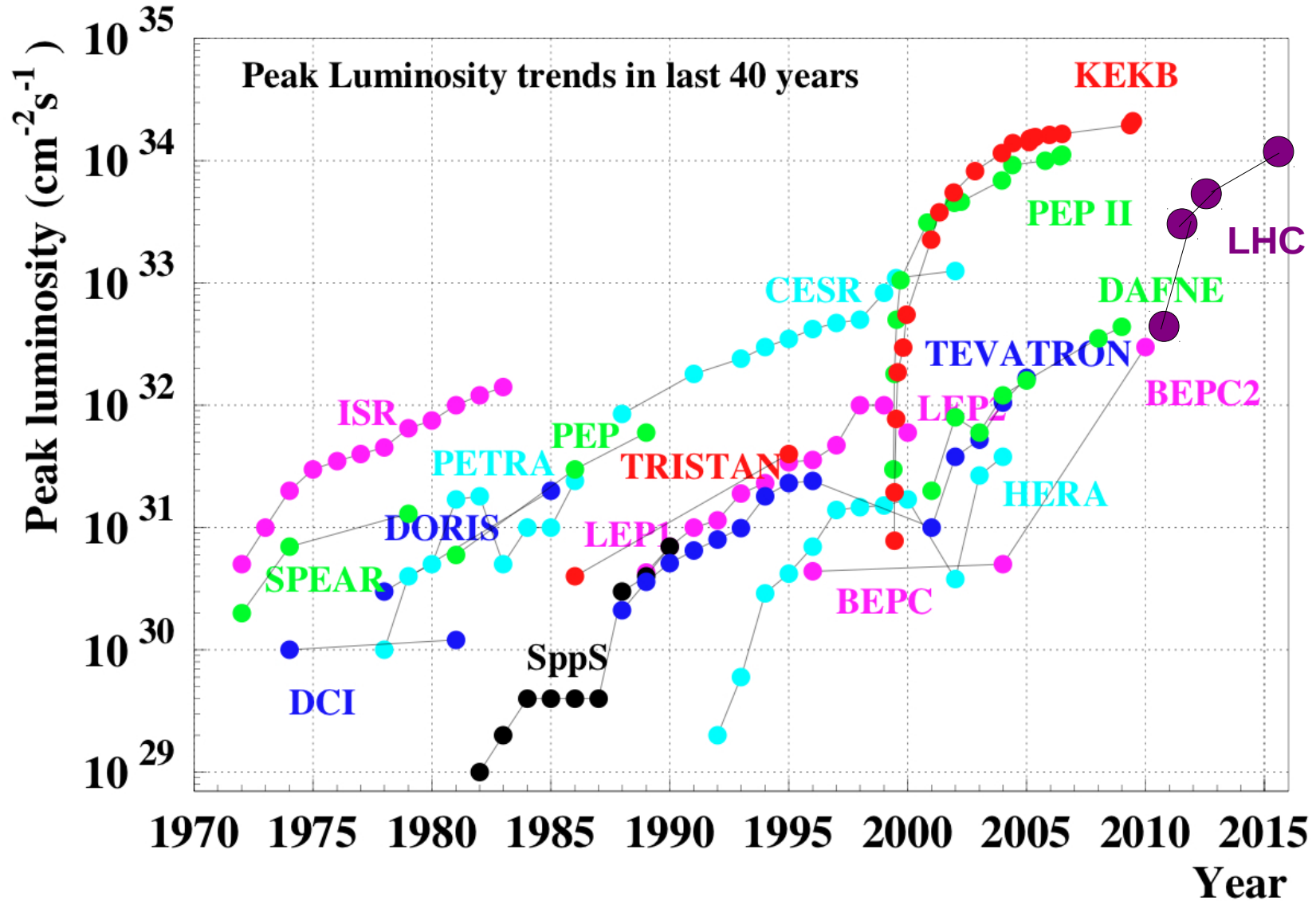
Offline+Online Luminosity ( $pb^{-1}$ ) (/day)

2008/12/23 14:01

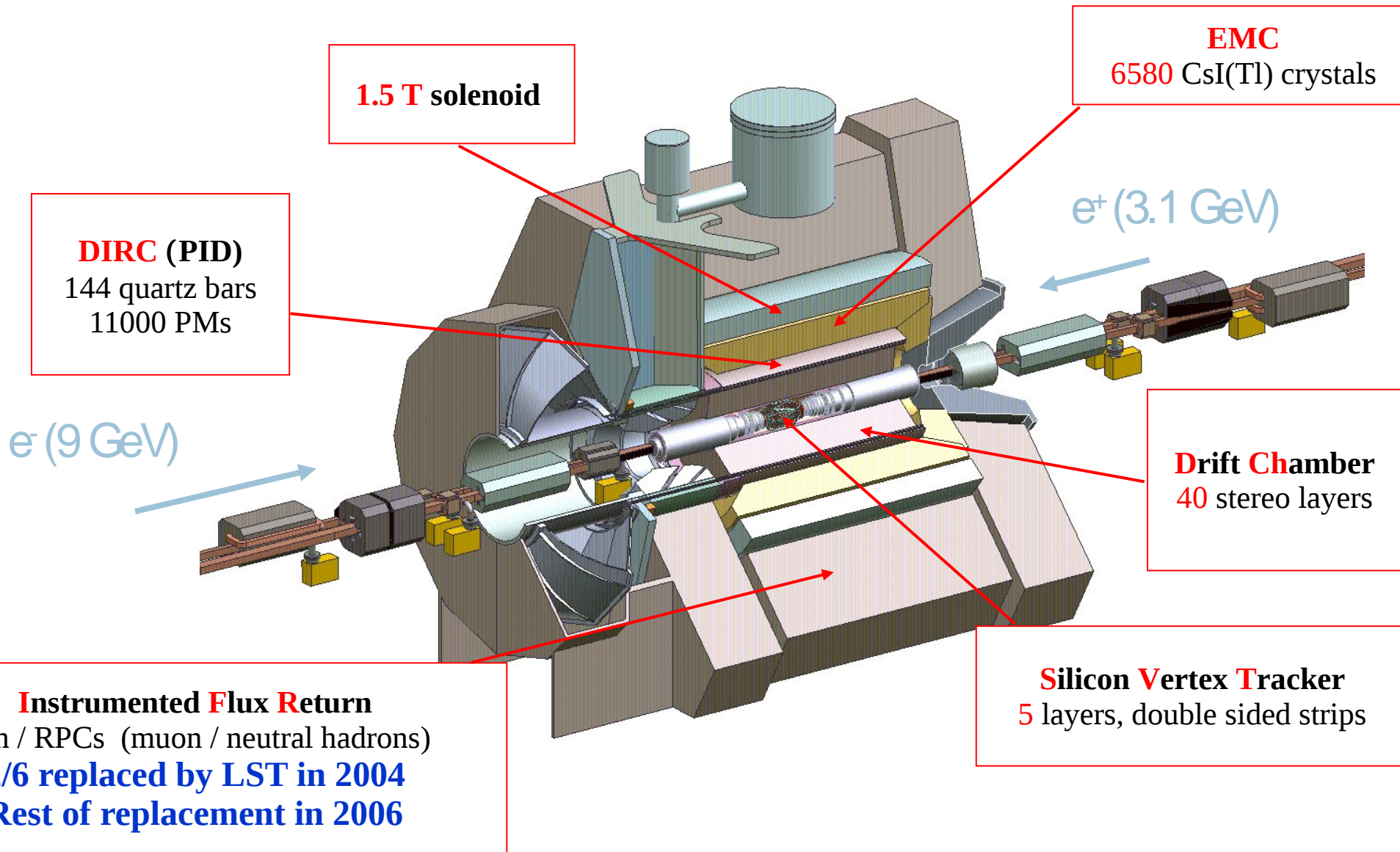


**~ 711/fb on Y(4S)**

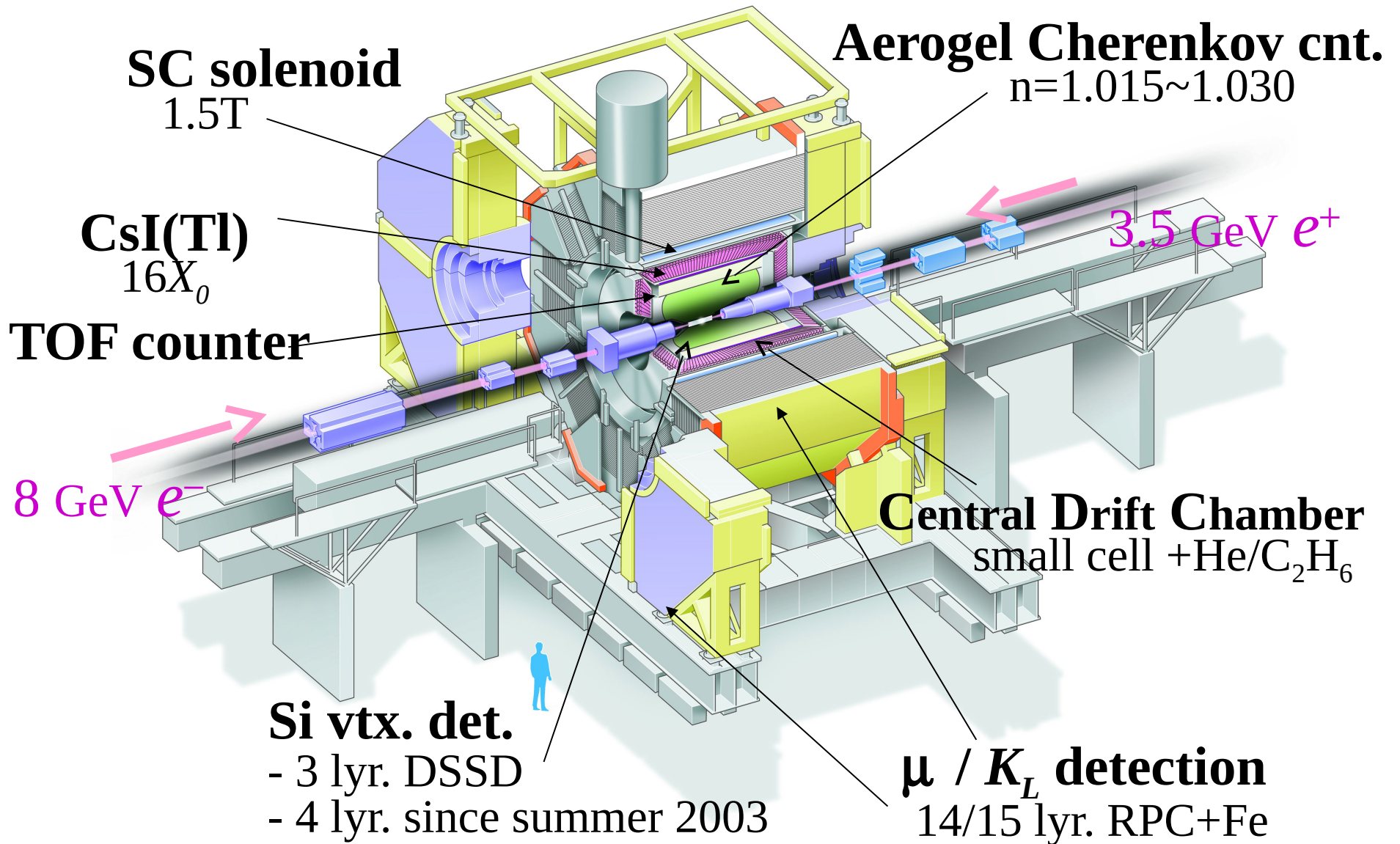
# World record luminosities (2)



# BaBar Detector



# Belle Detector

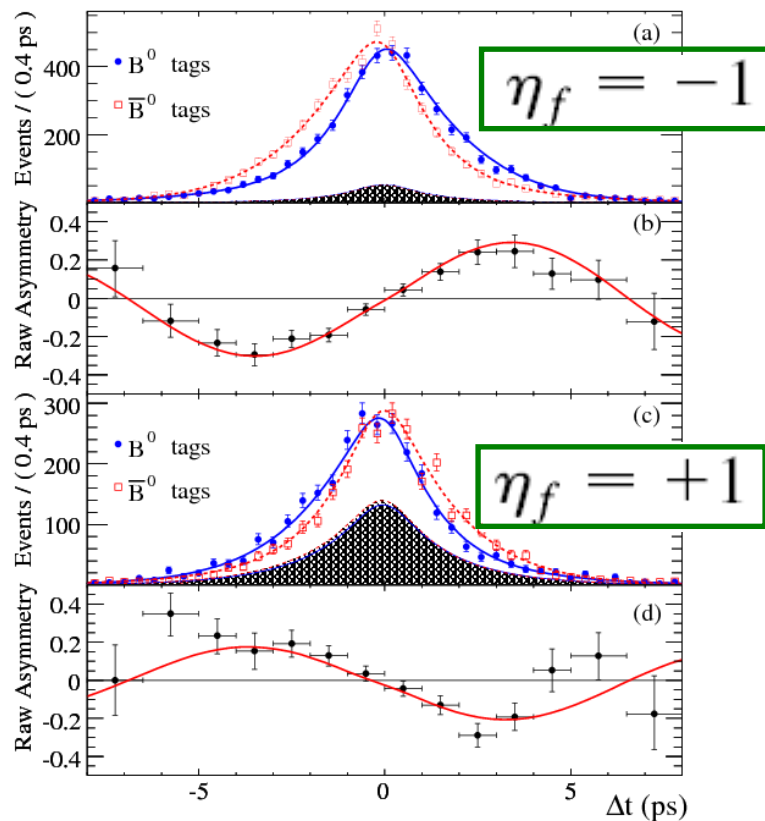


# Results for the golden mode

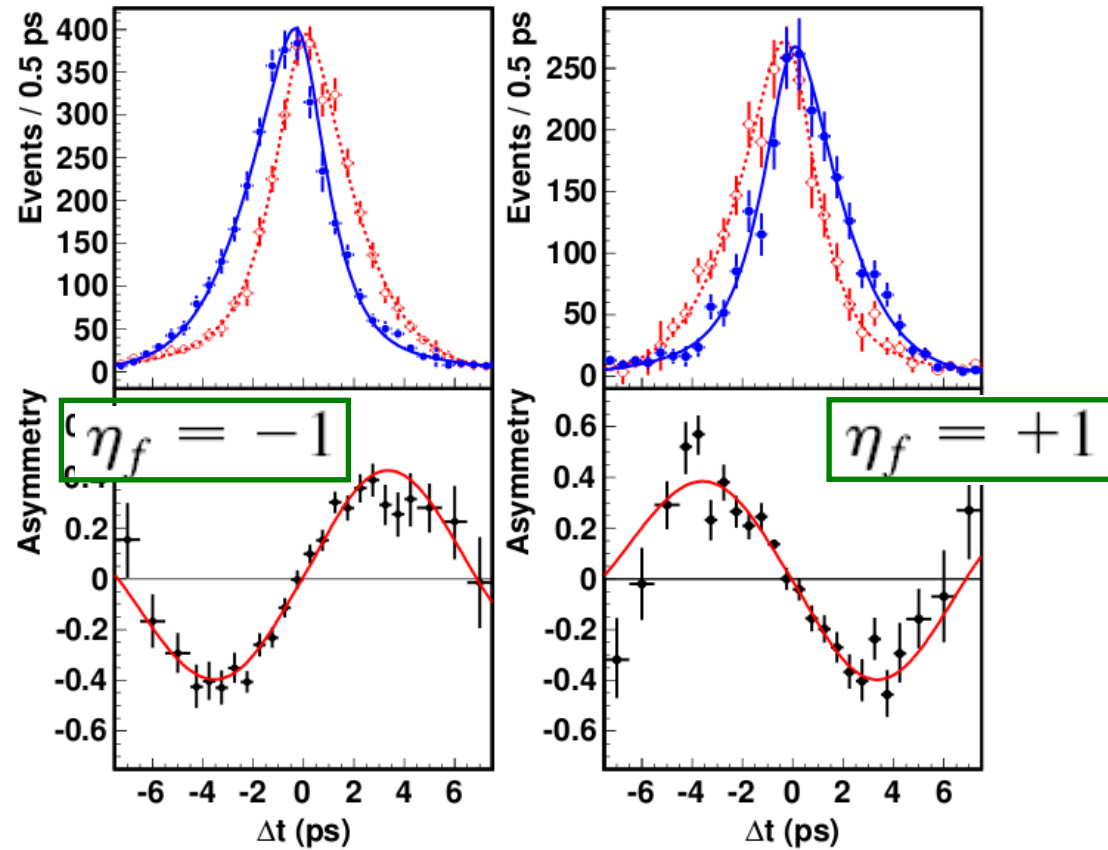


**BABAR**

**BELLE**



PRD 79 (2009) 072009



PRL 108 (2012) 171802



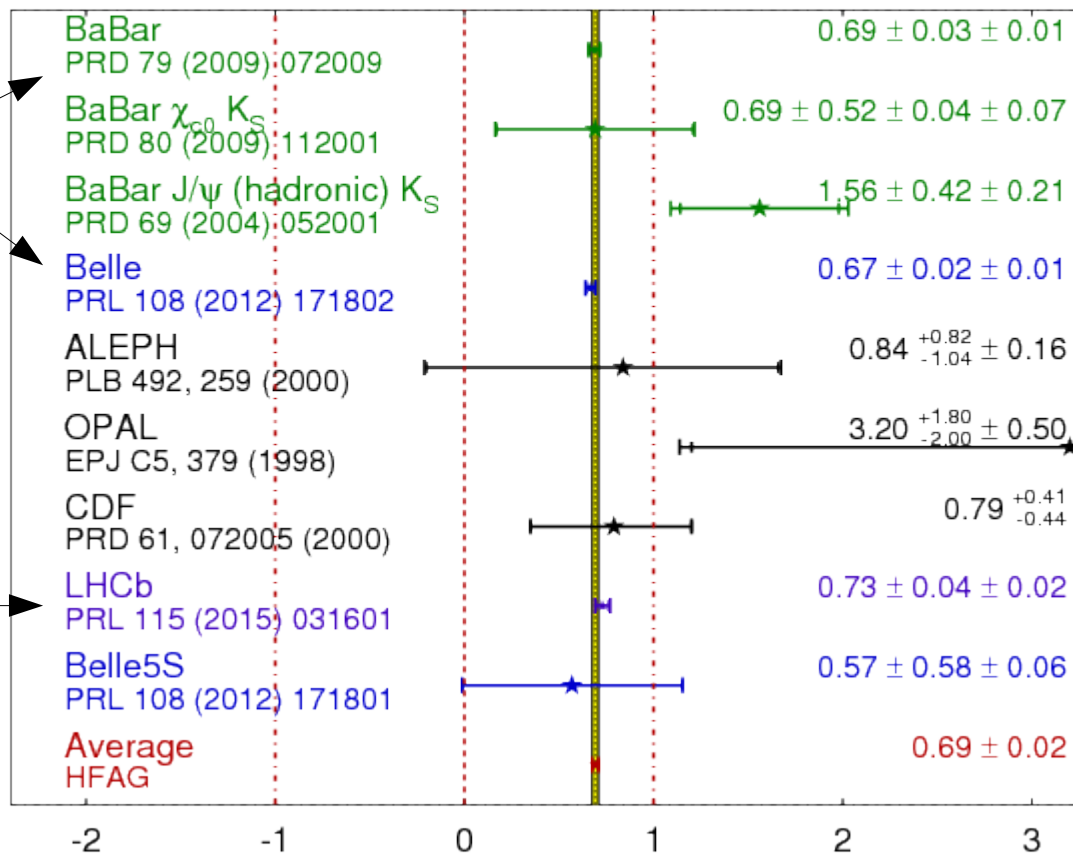
# Compilation of results

$$\sin(2\beta) \equiv \sin(2\phi_1)$$

**HFAG**  
Moriond 2015  
PRELIMINARY

Results on previous slide

Note LHCb also highly competitive

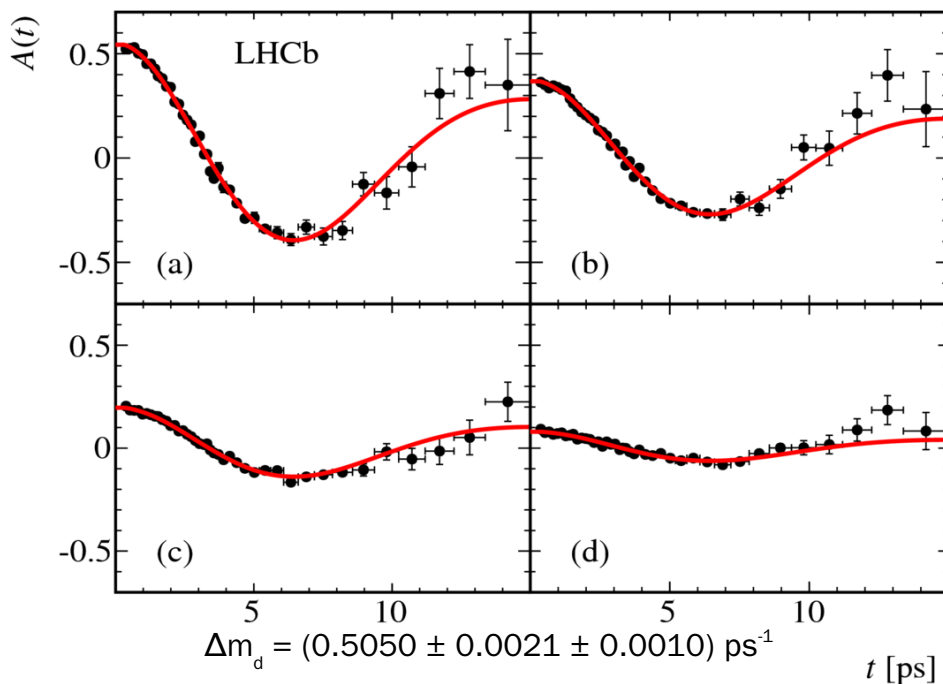


# $R_t$ side from $B^0-\bar{B}^0$ mixing

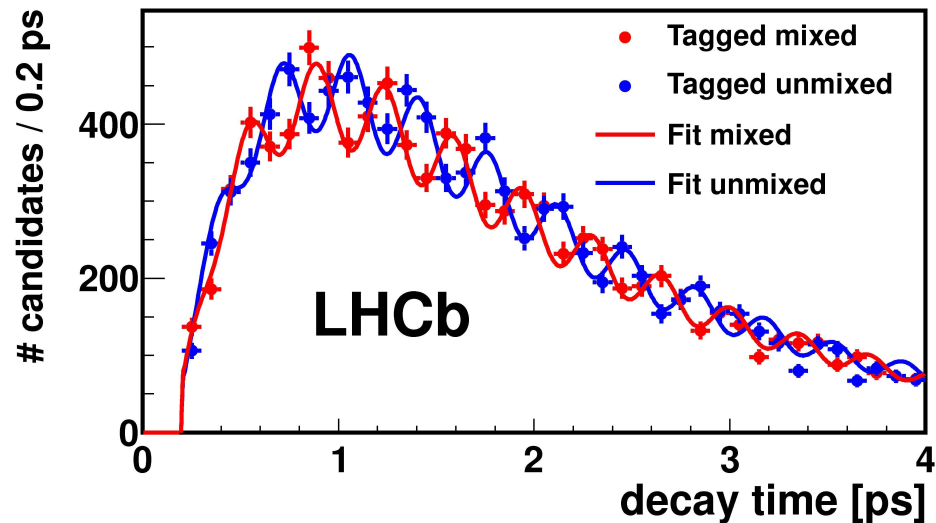
$$R_t = \left| \frac{V_{td} V_{tb}^*}{V_{cd} V_{cb}^*} \right| \quad \& \quad \frac{\Delta m_d}{\Delta m_s} = \frac{m_{B_d} f_{B_d}^2 \hat{B}_{B_d} |V_{td}|^2}{m_{B_s} f_{B_s}^2 \hat{B}_{B_s} |V_{ts}|^2}$$

World average based on many measurements

$$P(\Delta t) = (1 \pm \cos(\Delta m t)) e^{-t/2\tau}$$



arXiv:1604.03475



$$\Delta m_s = (17.768 \pm 0.023 \pm 0.006) \text{ ps}^{-1}$$

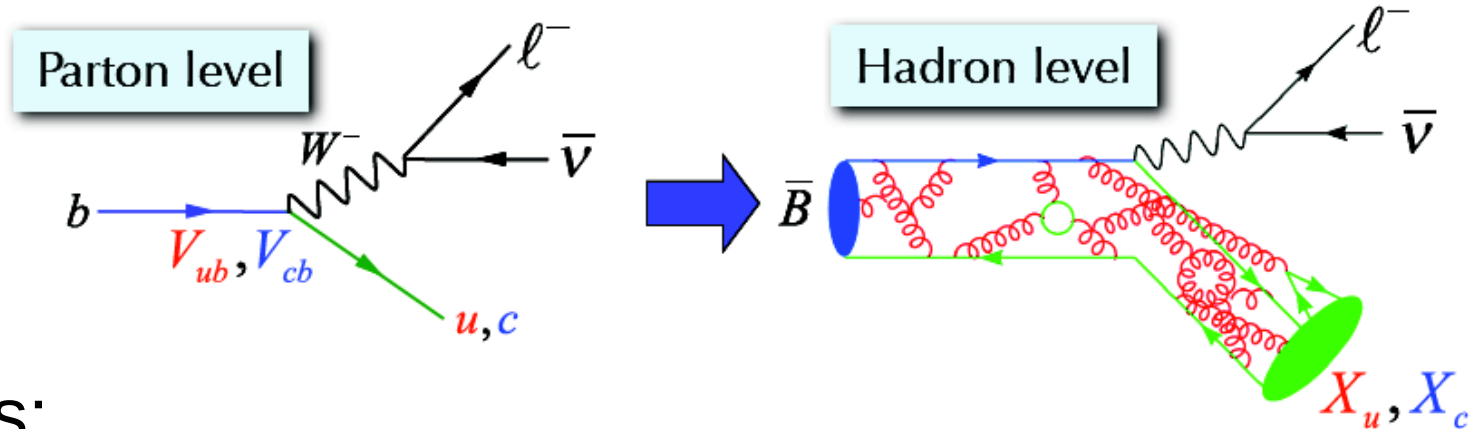
NJP 15 (2013) 053021

$$\left| V_{td} / V_{ts} \right| = 0.216 \pm 0.001 \pm 0.011$$

↑ experimental uncertainty    ↑ theoretical uncertainty

# $R_u$ side from semileptonic decays

$$R_u = \left| \frac{V_{ud} V_{ub}^*}{V_{cd} V_{cb}^*} \right|$$



- Approaches:

- exclusive semileptonic B decays, eg.  $B^0 \rightarrow \pi^- e^+ \nu$

- require knowledge of form factors

- can be calculated in lattice QCD at kinematical limit

- inclusive semileptonic B decays, eg.  $B \rightarrow X_u e^+ \nu$

- clean theory, based on Operator Product Expansion

- experimentally challenging:

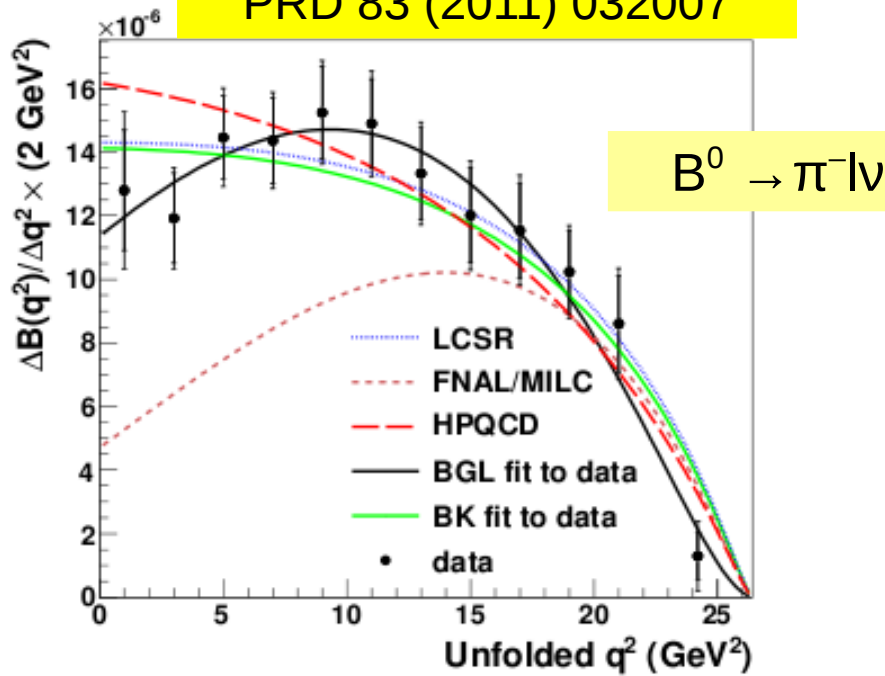
- need to reject  $b \rightarrow c$  background

- cuts re-introduce theoretical uncertainties

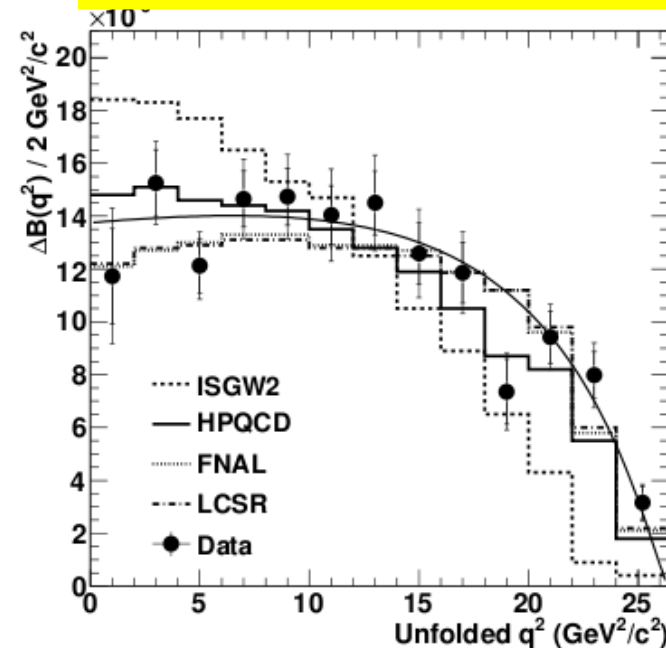
# $|V_{ub}|$ from exclusive semileptonic decays

Current best measurements use  $B^0 \rightarrow \pi^- l^+ \nu$   
 (recent competitive measurement from LHCb with  $\Lambda_b \rightarrow p \mu \nu$ )

BaBar experiment  
 PRD 83 (2011) 052011  
 PRD 83 (2011) 032007



Belle experiment  
 PRD 83 (2011) 071101(R)

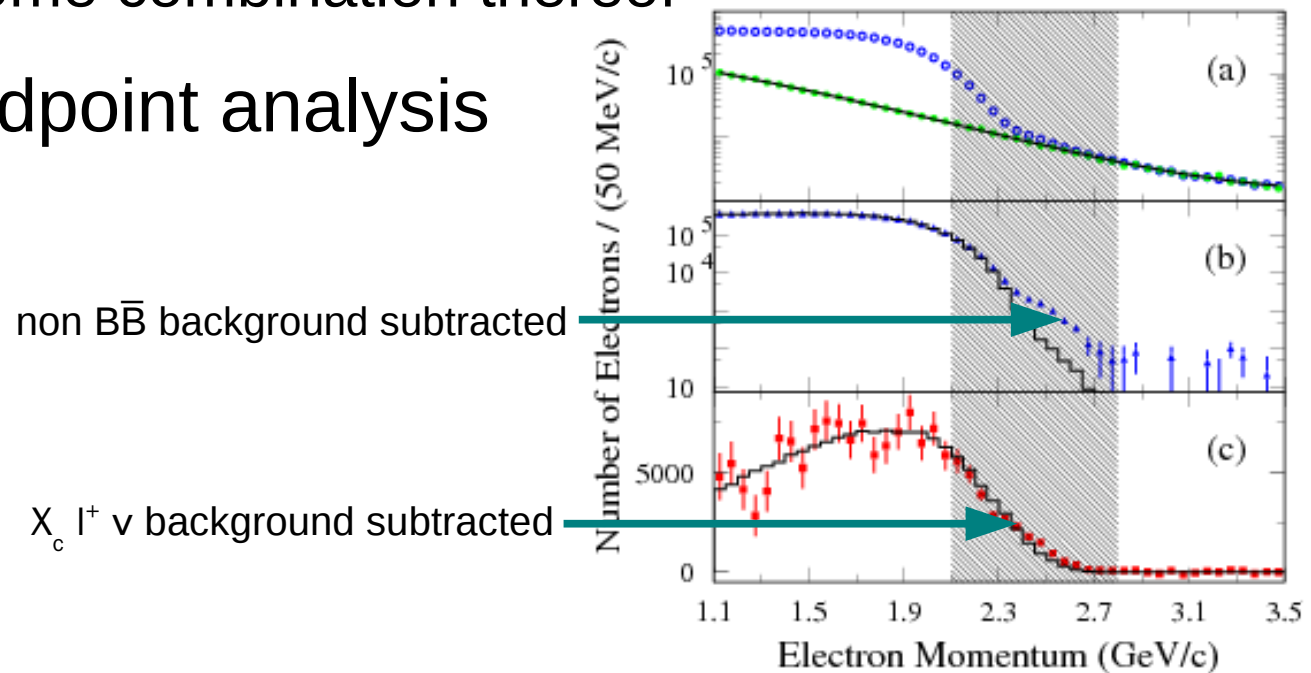


$$|V_{ub}| = (3.09 \pm 0.08 \pm 0.12^{+0.35}_{-0.29}) \times 10^{-3}$$

$$|V_{ub}| = (3.43 \pm 0.33) \times 10^{-3}$$

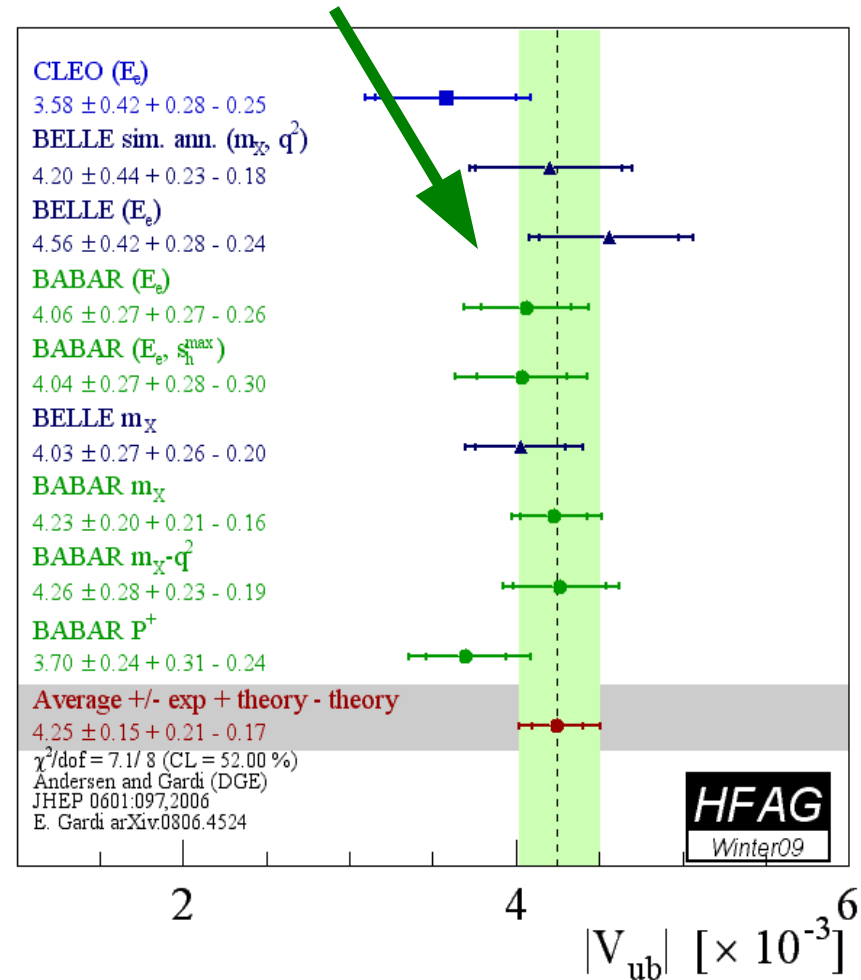
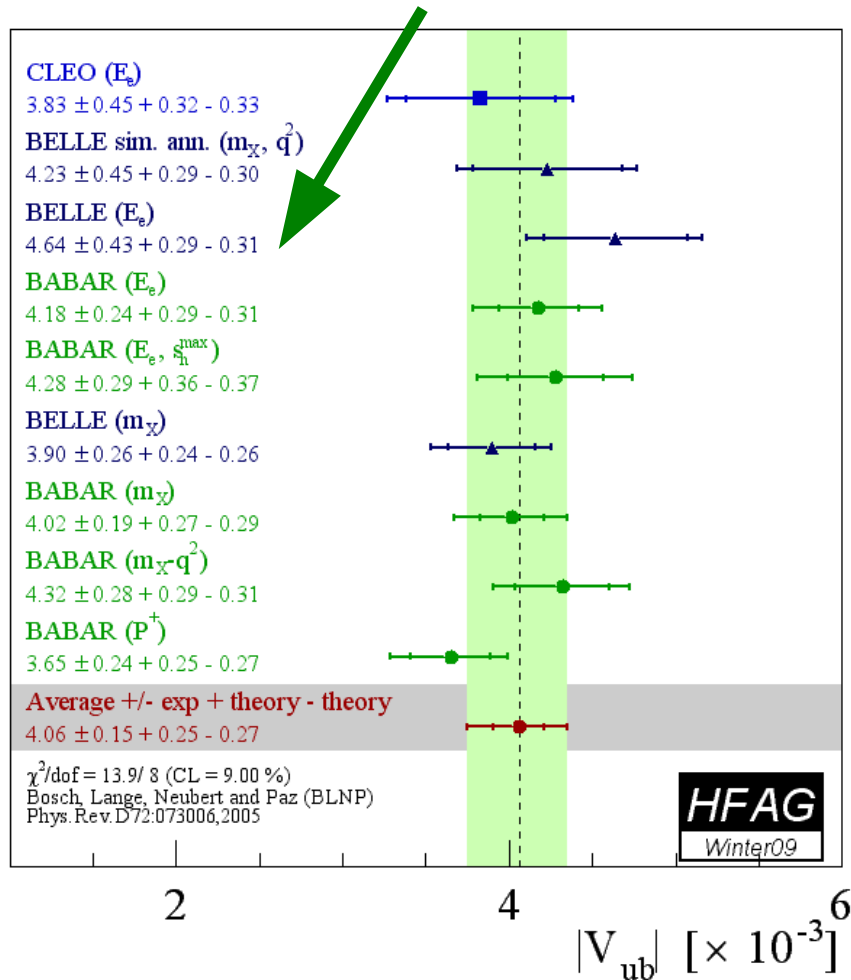
# $|V_{ub}|$ from inclusive semileptonic decays

- Main difficulty to measure inclusive  $B \rightarrow X_u l^+ \nu$ 
  - background from  $B \rightarrow X_c l^+ \nu$
- Approaches
  - cut on  $E_l$  (lepton endpoint),  $q^2$  ( $l\nu$  invariant mass squared),  $M(X_u)$ , or some combination thereof
- Example: endpoint analysis



# $|V_{ub}|$ inclusive - compilation

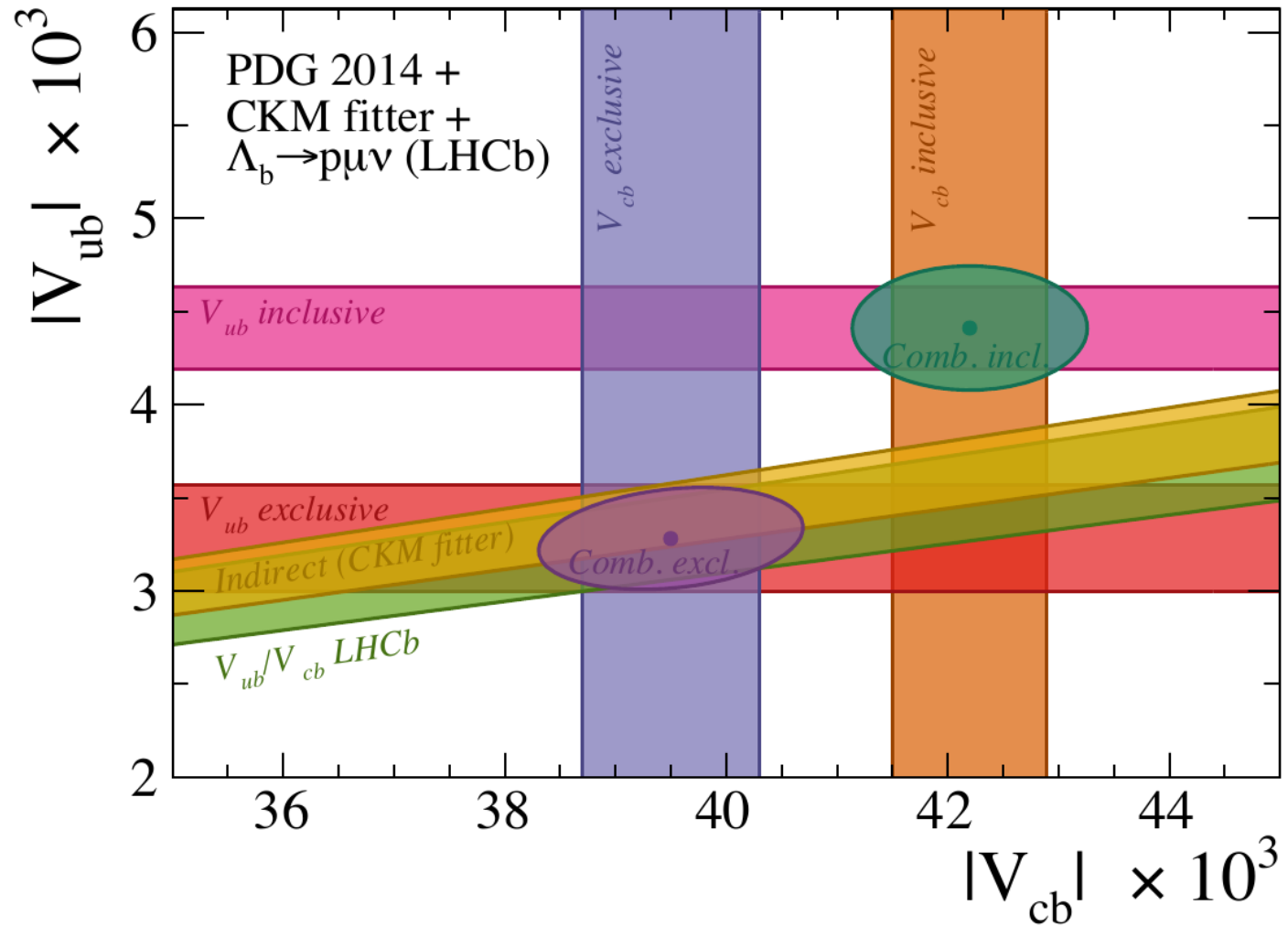
Different theoretical approaches (2 of 4 used by HFAG)



# $|V_{ub}|$ average

- Averages on  $|V_{ub}|$  from both exclusive and inclusive approaches
  - exclusive:  $|V_{ub}| = (3.28 \pm 0.29) \times 10^{-3}$
  - inclusive:  $|V_{ub}| = (4.41 \pm 0.22) \times 10^{-3}$
  - notable “tension” between these results
  - in both cases theoretical errors are dominant
    - but some “theory” errors can be improved with more data
  - PDG2014 does naïve average rescaling due to inconsistency to obtain  $|V_{ub}| = (4.13 \pm 0.49) \times 10^{-3}$

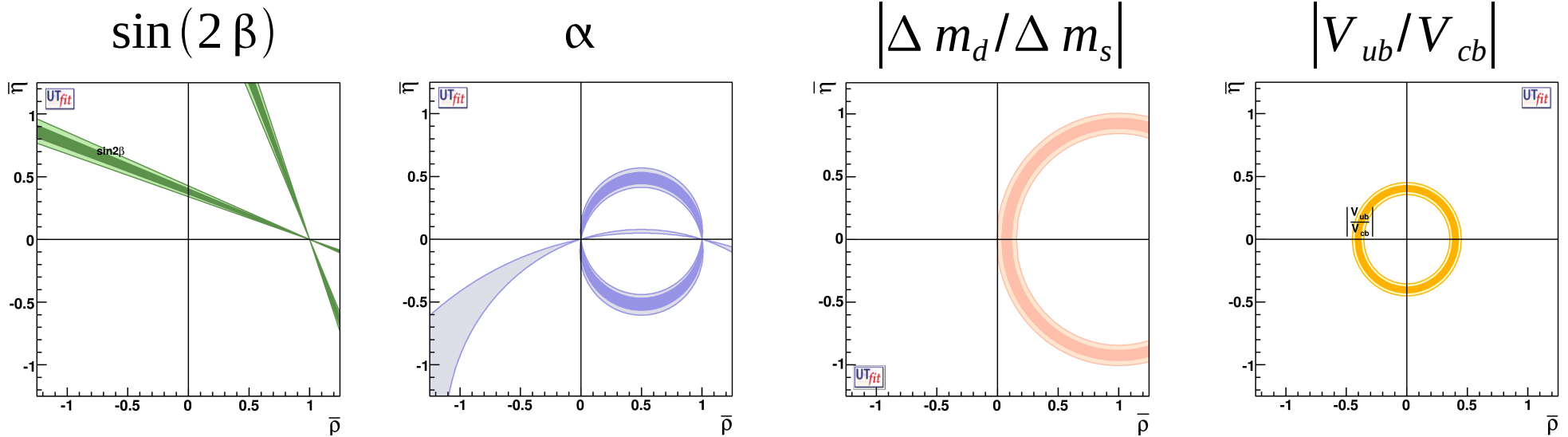
# Inclusive vs. exclusive



Discrepancies need to be understood!



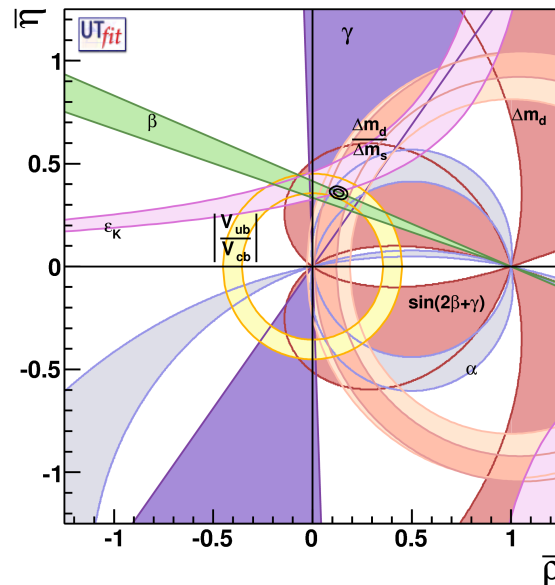
# Partial summary



Adding a few other constraints we find

$$\bar{\rho} = 0.132 \pm 0.020$$

$$\bar{\eta} = 0.358 \pm 0.012$$



Consistent with Standard Model fit

- some “tensions”

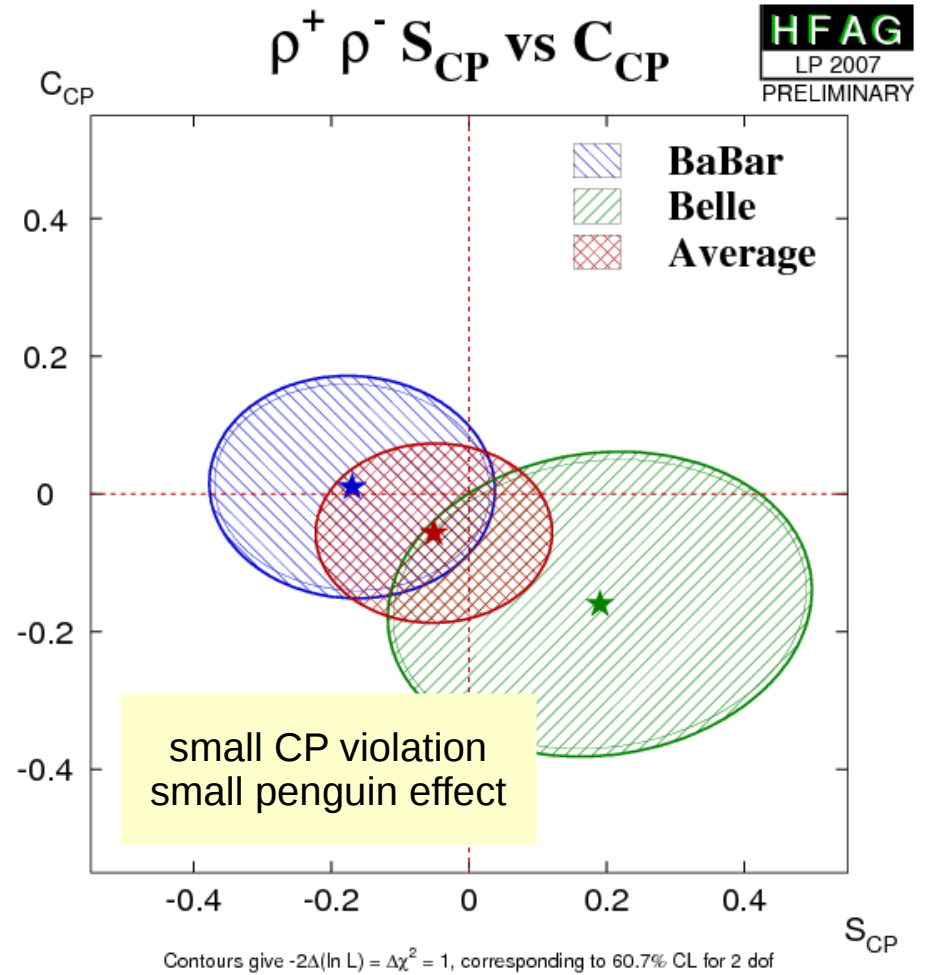
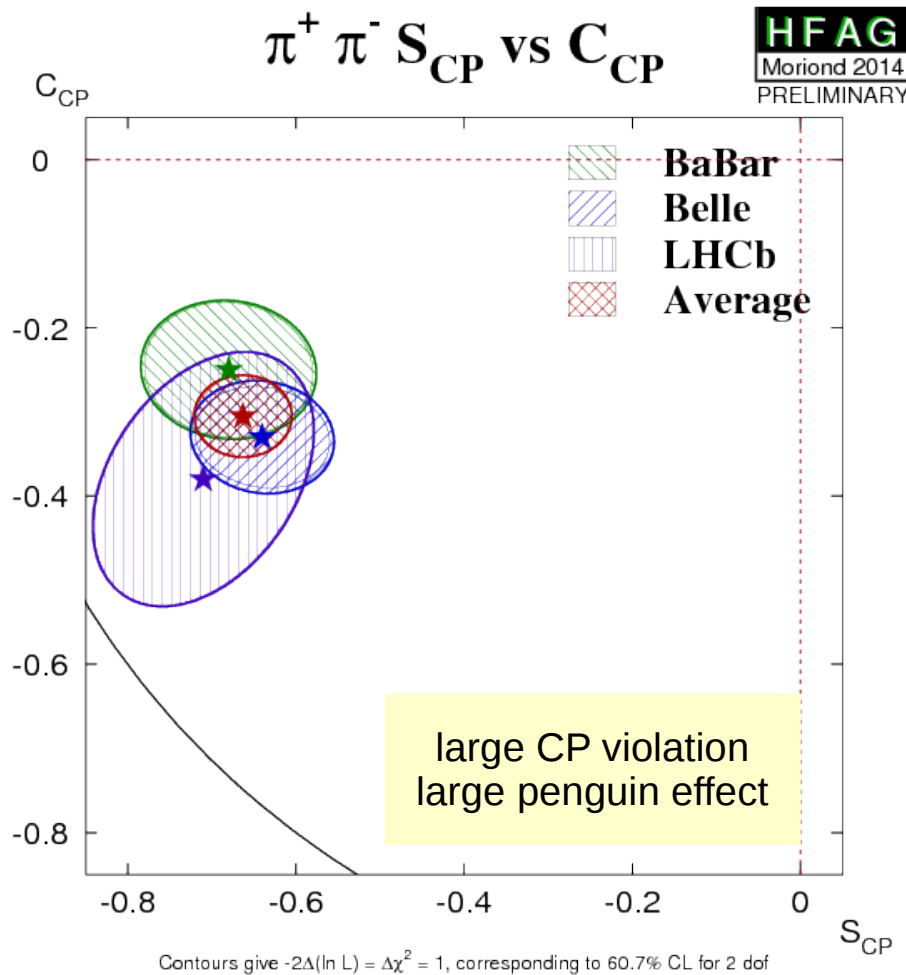
Still plenty of room for new physics

# Measurement of $\alpha$

- Similar analysis using  $b \rightarrow u\bar{u}d$  decays (e.g.  $B_d^0 \rightarrow \pi^+\pi^-$ ) probes  $\pi-(\beta+\gamma) = \alpha$ 
  - but  $b \rightarrow du\bar{u}$  penguin transitions contribute to same final states  $\Rightarrow$  “penguin pollution”
  - $C \neq 0 \Leftrightarrow$  direct CP violation can occur
  - $S \neq +\eta_{CP} \sin(2\alpha)$
- Two approaches (optimal approach combines both)
  - try to use modes with small penguin contribution
  - correct for penguin effect (isospin analysis)

PRL 65 (1990) 3381

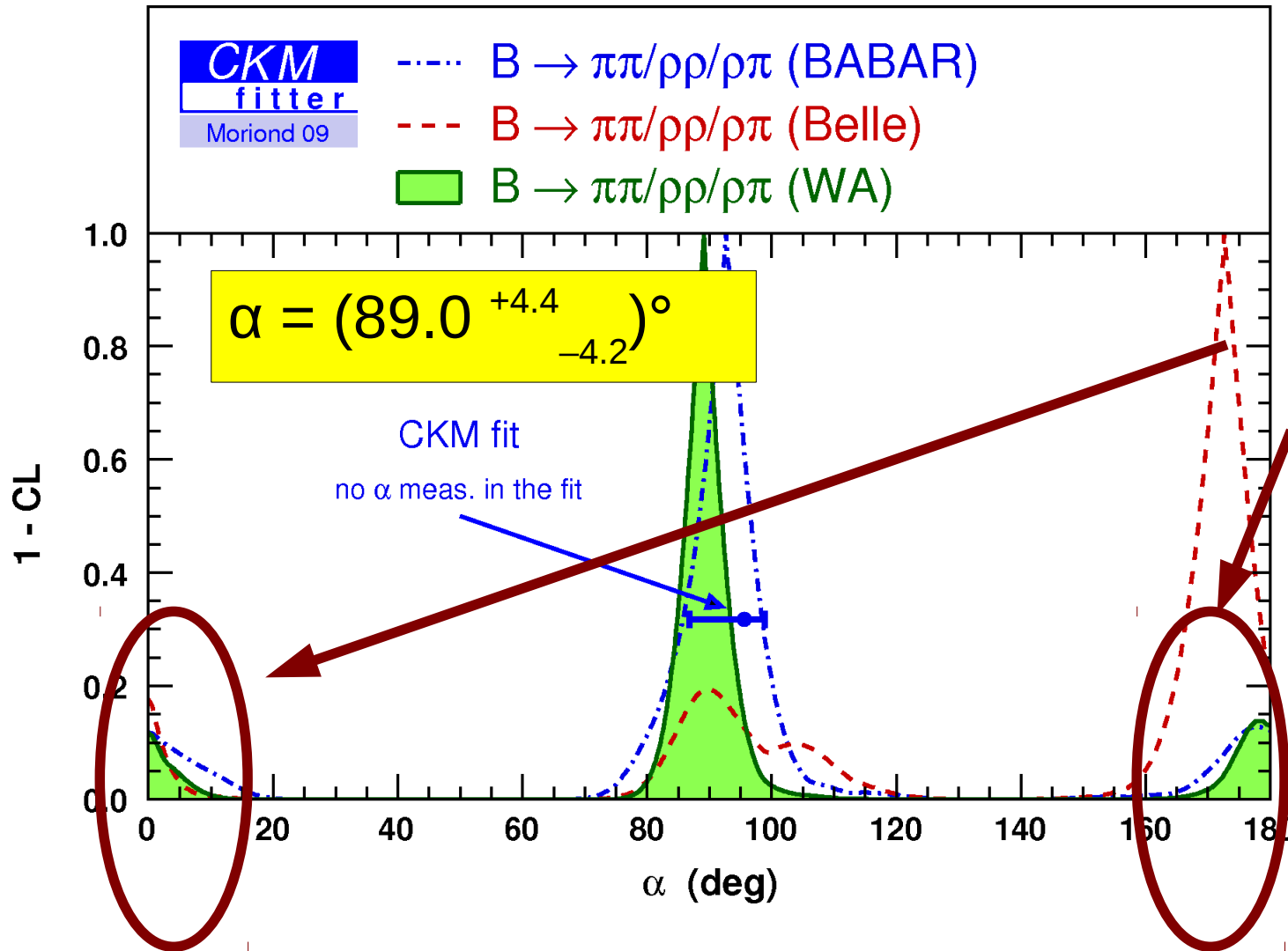
# Experimental Situation



improved measurements needed!

# Measurement of $\alpha$

THESE SOLUTIONS RULED OUT BY OBSERVATION OF DIRECT CP VIOLATION IN  $B^0 \rightarrow \pi^+\pi^-$



Is there any physical significance in the fact that  $\alpha \approx 90^\circ$ ?