

Studies of High-Power Microwave Structures that Employ Bimodal Cavities*

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**Research sponsored in part by US Department of Energy & US National Science Foundation.*

Yale University



Omega-P R&D, Inc.

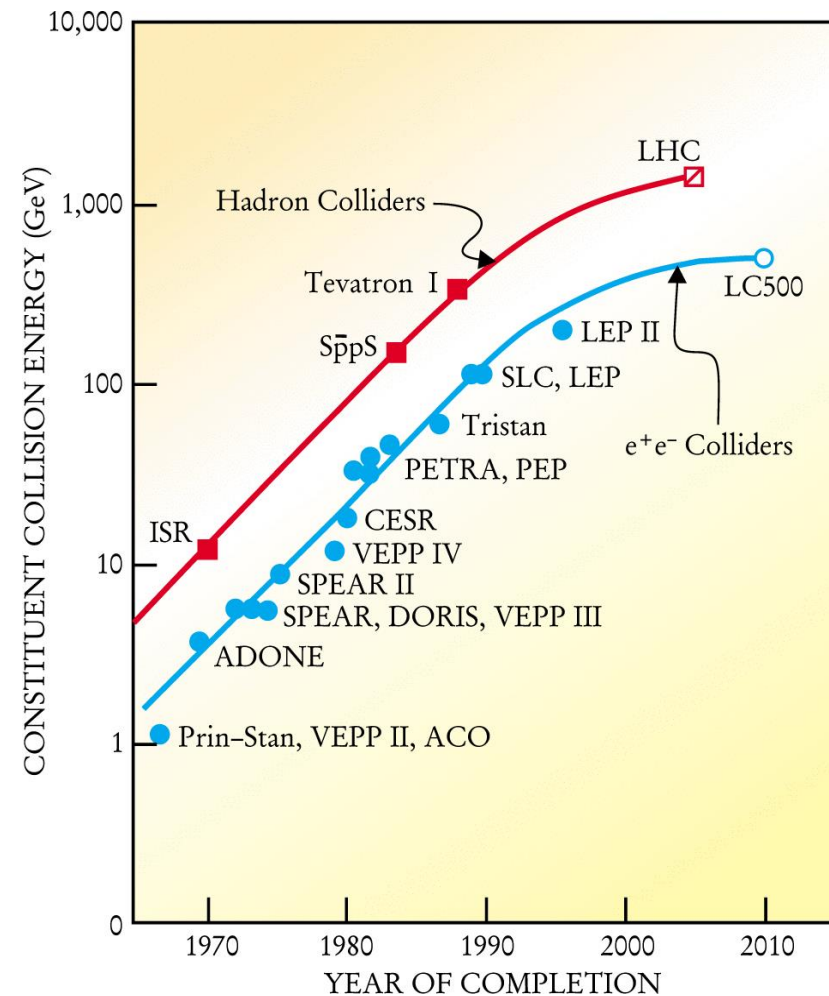
Outline

MOTIVATION: *Trying to avert RF breakdown at high gradients.*

- **Bimodal RF-driven cavity structures**
(possibly leading to a bimodal linac)
- **Bimodal beam-driven detuned cavity structures**
(possibly leading to a bimodal two-beam accelerator)
- **Bimodal RF electron gun**
(a near-term application of a bimodal cavity structure)

Accelerator Moore's Law

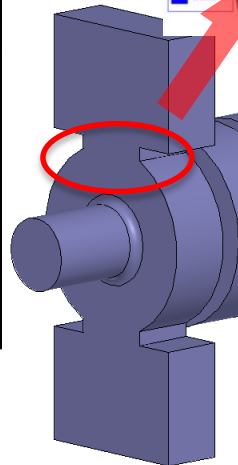
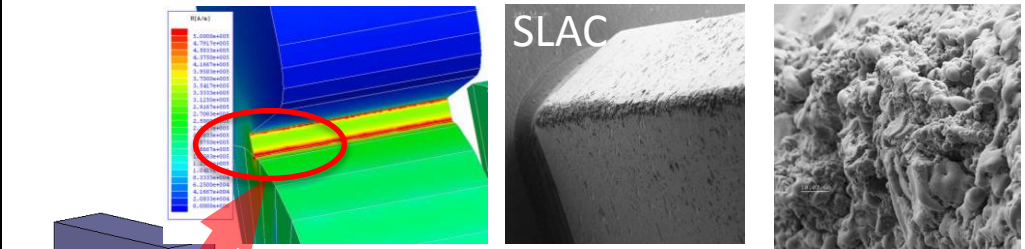
RF BREAKDOWN is a fundamental limitation in the practical realization of high-energy accelerators/



- FCC (100 TeV, ?)
- SPPC (70 TeV, 2042)
- LHC Run II (13 TeV)



- CLIC (linac, 3 TeV, ?)
- ILC (linac, 500 GeV, ?)
- FCC-ee (ring, 350 GeV, ?)
- CEPC (ring, 240 GeV, 2028)



How to Beat CPU Moore's Law

SINGLE CORE



Area = 1
Voltage = 1
Freq = 1
Power = 1
Perf = 1



DUAL CORE



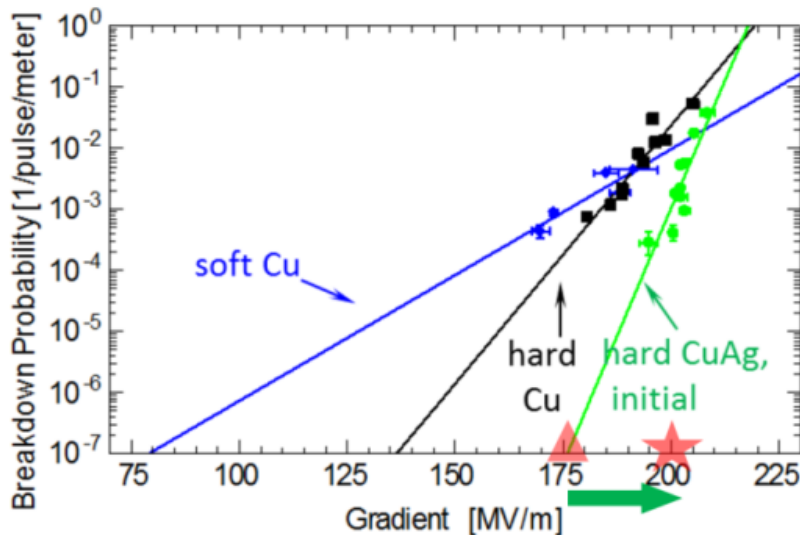
Area = 2
Voltage = 0.85
Freq = 0.85
Power = 1
Perf = ~1.8

Multi-Mode Accelerator Structures

❑ Scaling laws: higher frequency operation could allow higher gradients w/o an increase in breakdown probability.

❑ But development of suitable high frequency high power RF sources has lagged behind.

SLAC 1C-SW-A2.75-T2.0-structures



Our Objective:

To push room-temperature metallic structures towards 200 MV/m with a breakdown rate less than 10^{-7} /pulse/meter !

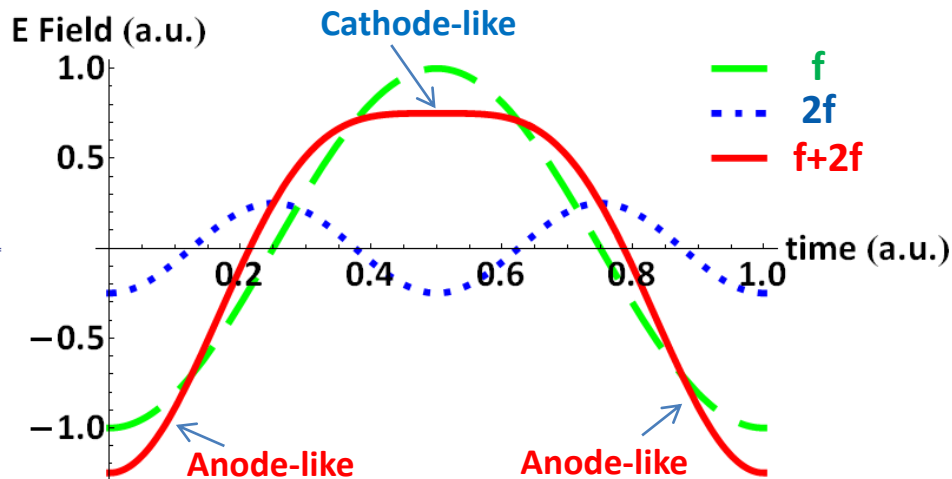
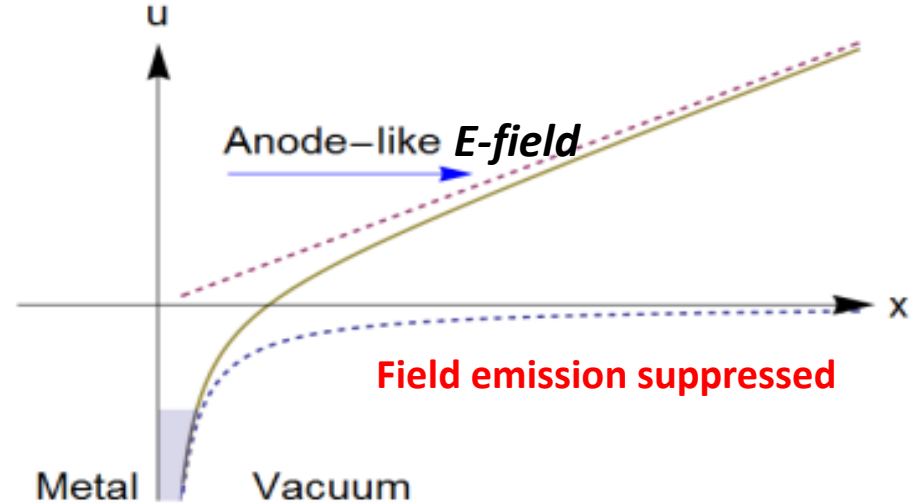
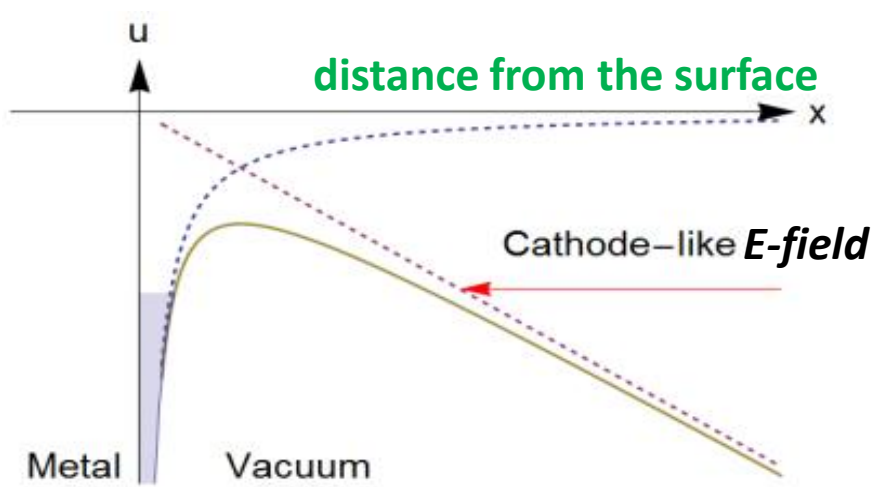
❑ Our alternate approach: using cavities with a mode at a harmonic frequency operating together with the fundamental mode to reduce RF power requirements.

❑ Multimode operation could suppress RF breakdown via (a) “anode-cathode” effect; and/or (b) “quadratic dependence” effect.

❑ Another approach is a 2-beam accelerator scheme, possibly with multi-mode cavities.

Motivation I: Field Emission

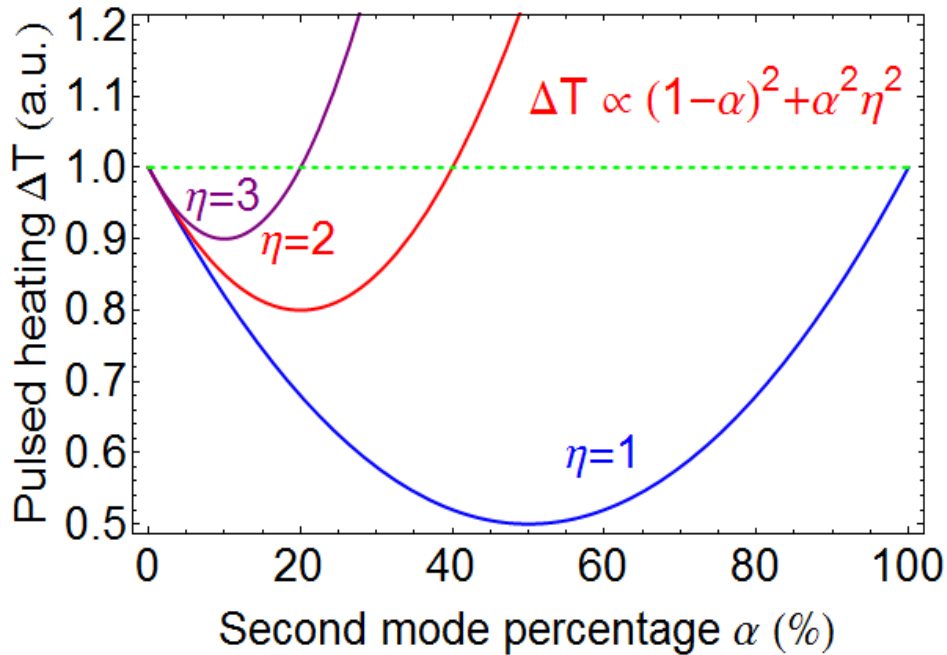
potential energy of an electron near metal surface



"Anode - Cathode" Effect

two harmonic mode superposition
should suppress field emission

Motivation II: Surface Pulsed Heating



“Quadratic Dependence” Effect

$$E_{total} = (1 - \alpha)E_1 + \alpha E_2$$

$$H_{total} = (1 - \alpha)H_1 + \alpha H_2$$

E_1, E_2 normalized to the same acceleration gradient

α is the percentage of the 2nd mode

$$\begin{aligned} \Delta T &\propto (1 - \alpha)^2 \langle H_1^2 \rangle + \alpha^2 \sqrt{f_2/f_1} \langle H_2^2 \rangle \\ &= \langle H_1^2 \rangle [(1 - \alpha)^2 + \alpha^2 \eta^2] \end{aligned}$$

where $\eta = \sqrt{(f_2/f_1)^{1/2} \langle H_2^2 \rangle / \langle H_1^2 \rangle}$

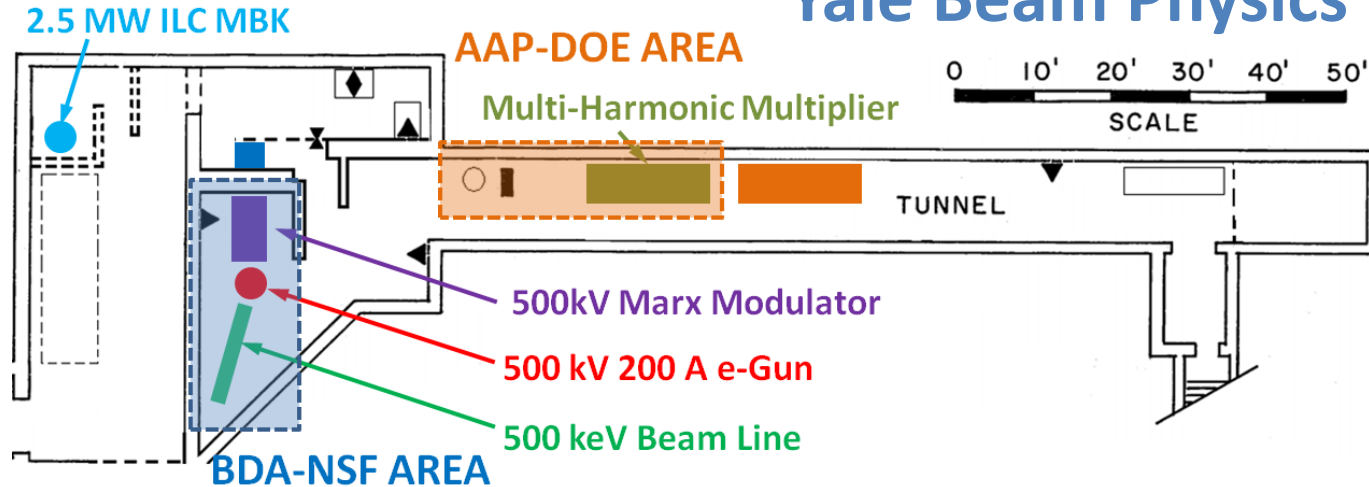
$$\exists \alpha \quad (1 - \alpha)^2 + \alpha^2 \eta^2 < 1$$

Similarly for modified Poynting vector S_c and total required RF power P_{total}

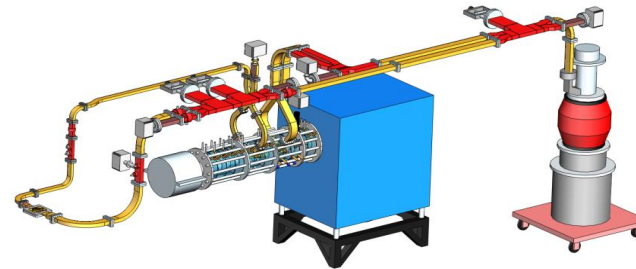
So two harmonic mode superposition could suppress pulsed heating.

Two Research Projects at Yale

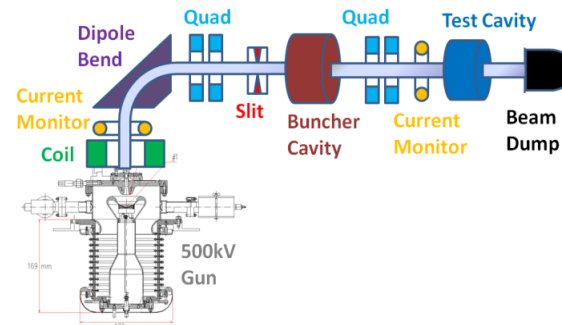
Yale Beam Physics Lab



RF Driven Bimodal
Cavity Experiment



Beam Driven Bimodal
Cavity Experiment

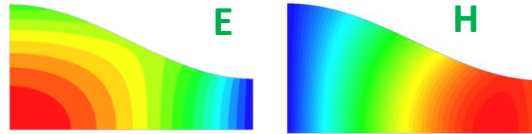


RF Properties of Anode-Cathode Cavity

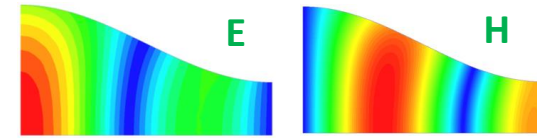
TM₀₁₀+TM₀₂₀ Cavity



TM₀₁₀ 2.856 GHz



TM₀₂₀ 5.712 GHz



required RF power

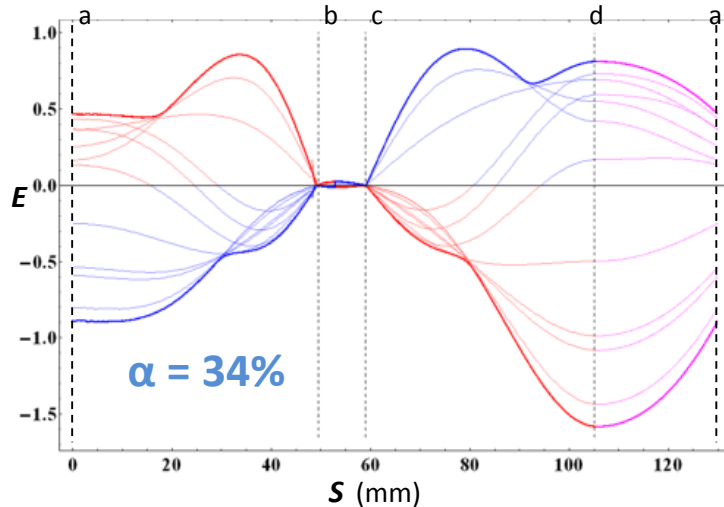
1st harmonic alone

2nd harmonic alone

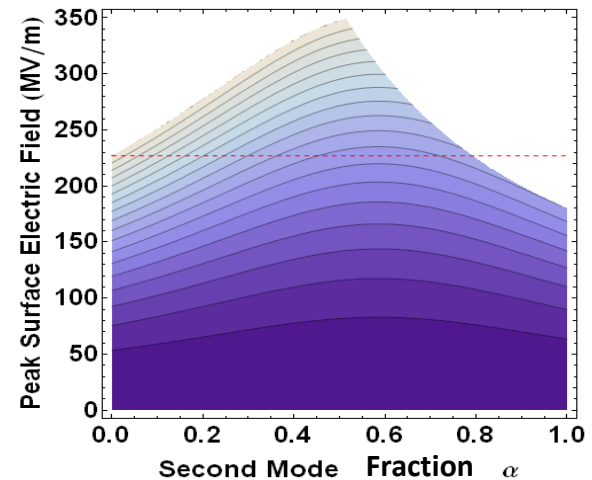
both modes

frequency (GHz)	2.856	5.712	66% f_1 and 34% f_2
P (MW) for $E_{\text{surf}} = 100$ MV/m	3.49	1.98	1.75
P (MW) for $E_{\text{surf}} = 200$ MV/m	13.97	7.92	7.21
P (MW) for $E_{\text{surf}} = 300$ MV/m	31.43	17.82	16.23

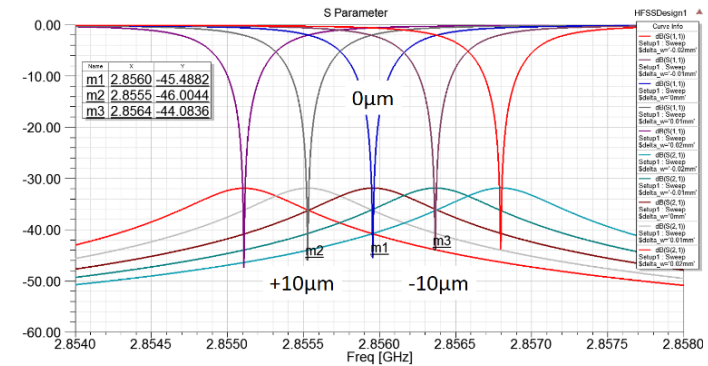
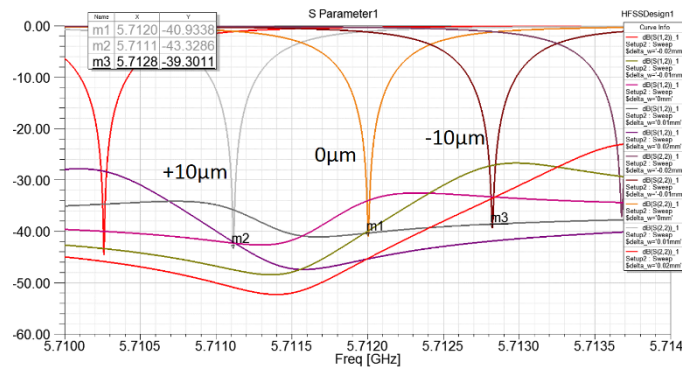
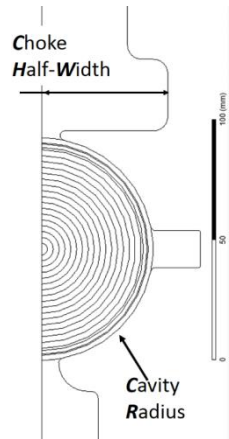
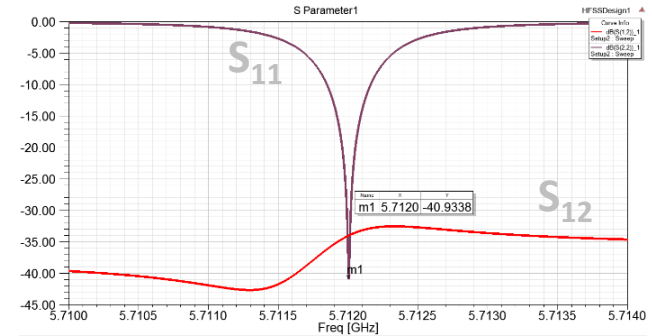
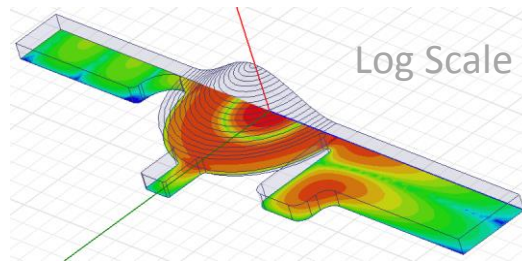
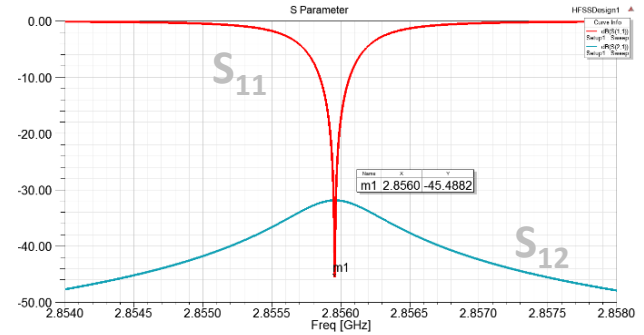
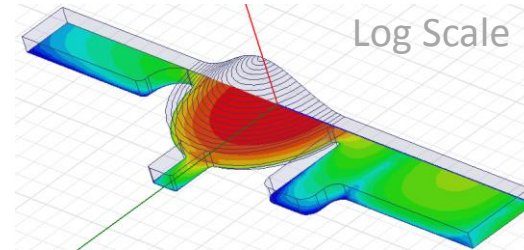
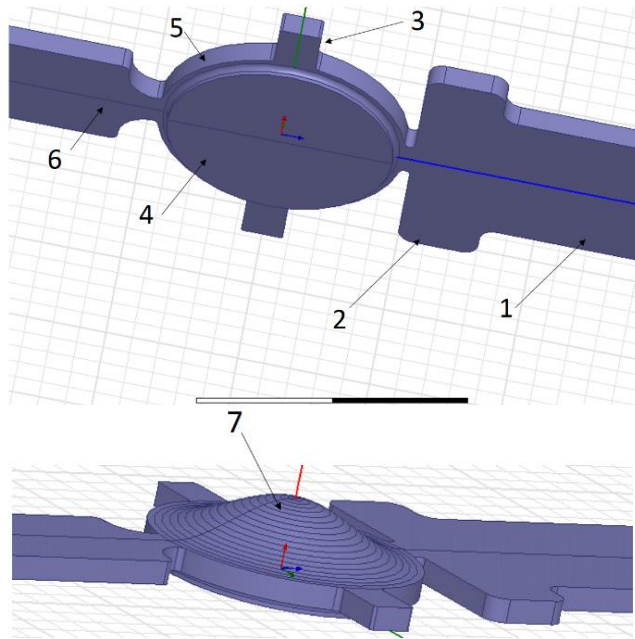
E-field distribution along cavity periphery S



peak surface E-field with 18 MW klystron power

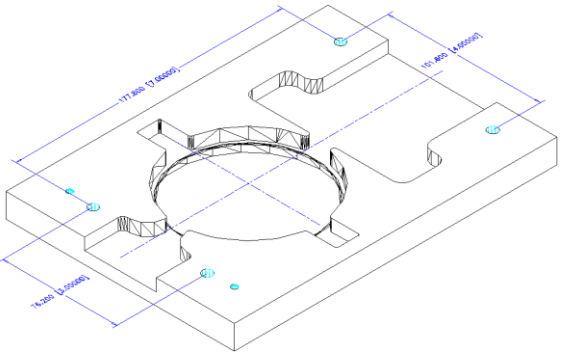


RF Design of Anode-Cathode Cavity

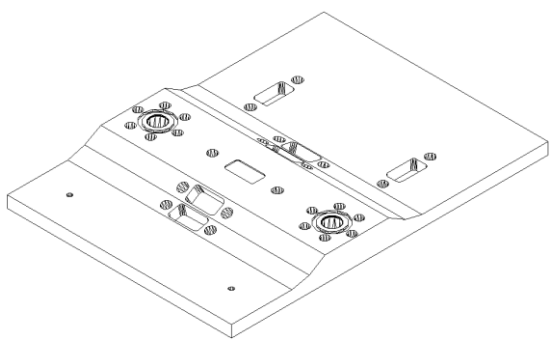


The tolerances are reasonable: (a) Cavity Radius $5\mu\text{m}$; (b) Cavity Height $10\mu\text{m}$; and (c) The other typical tolerances on other sizes vary from $15\mu\text{m}\sim 100\mu\text{m}$.

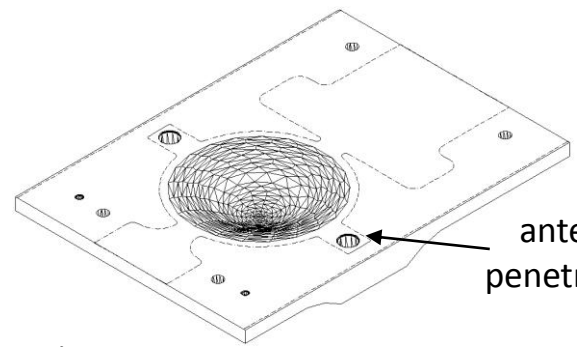
Engineering Design of Anode-Cathode Cavity



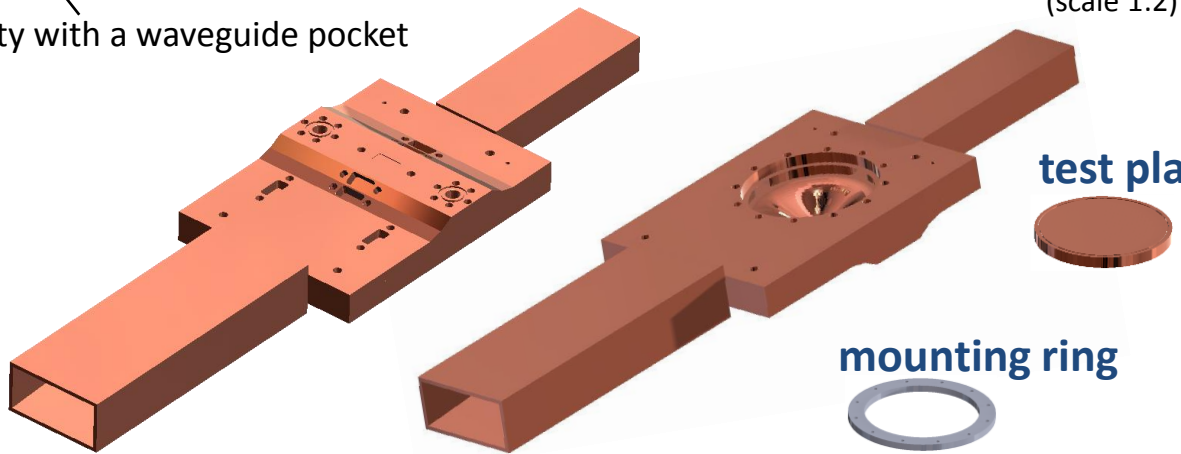
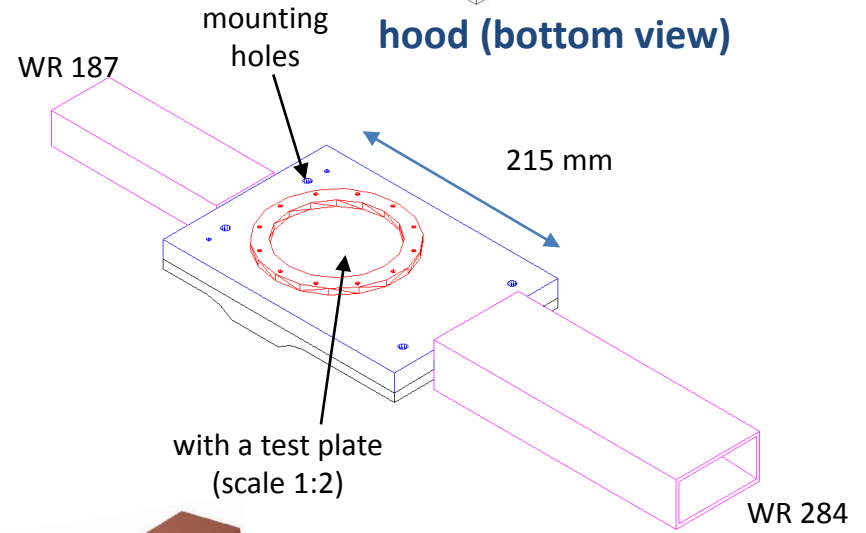
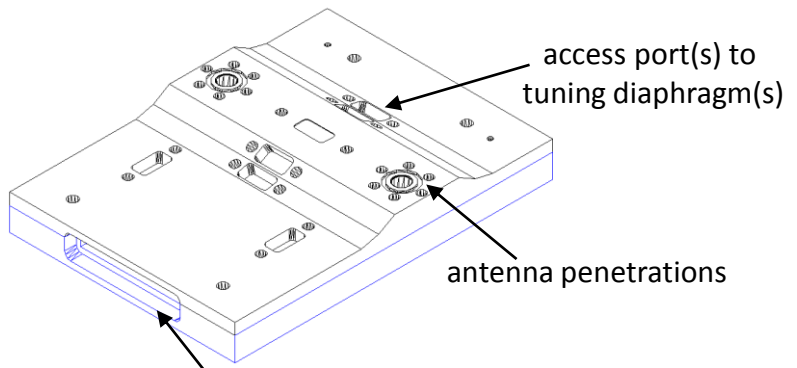
base-plate (215 x 152.4 x 19.05 mm)



hood

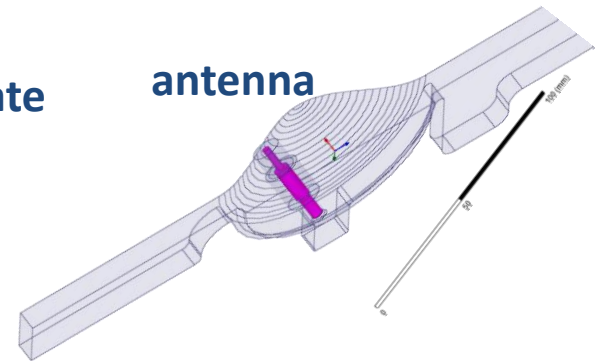


hood (bottom view)



test plate

mounting ring



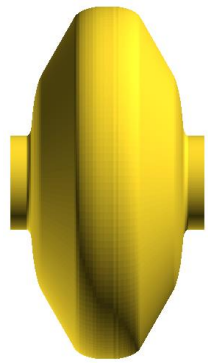
antenna

MHC : Pulsed Heating Suppression I

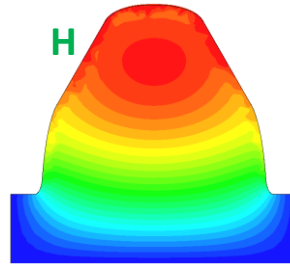
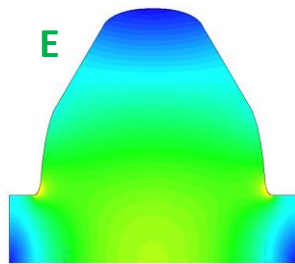
Symmetric Bimodal Cavity

$TM_{010} + TM_{011} (f + 2f)$

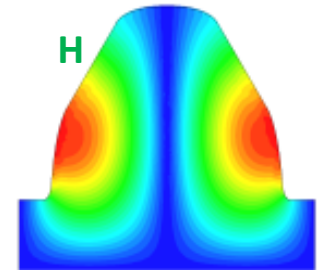
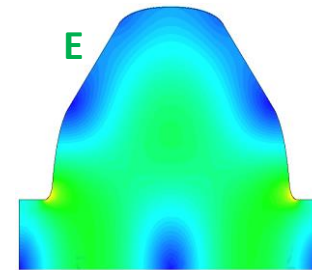
Quadratic Dependence Effect



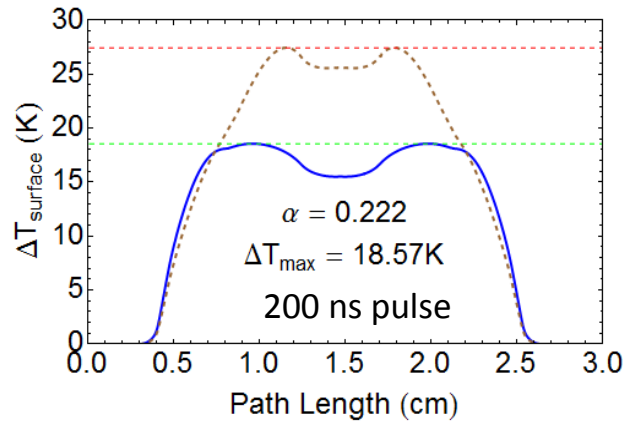
TM_{010} 12 GHz



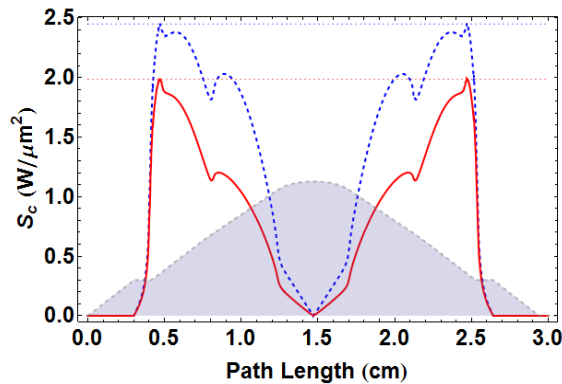
TM_{011} 24 GHz



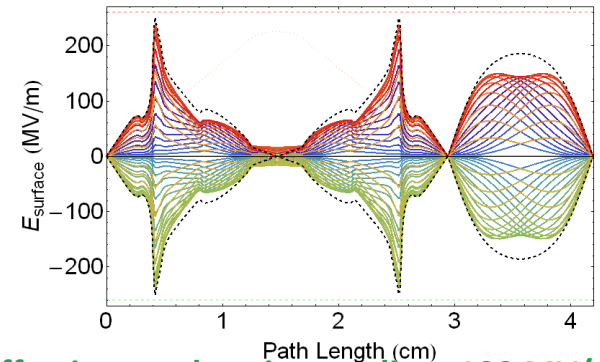
Pulsed temperature rise



modified Poynting vector S_c







surface E-field along periphery



Effective acceleration gradient 100 MV/m

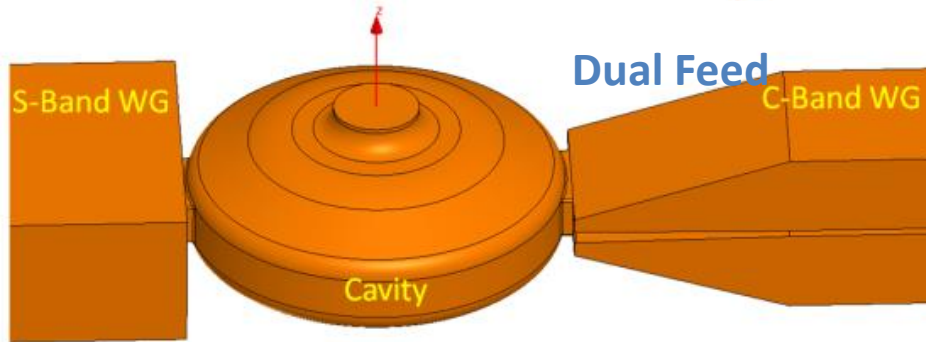
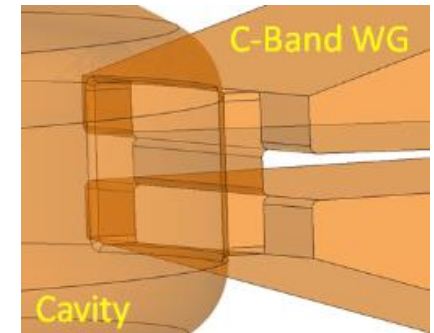
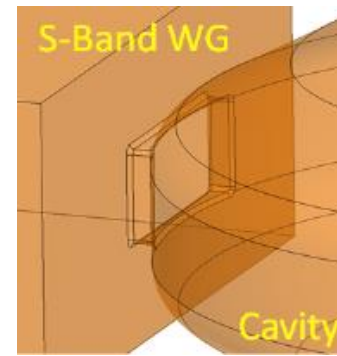
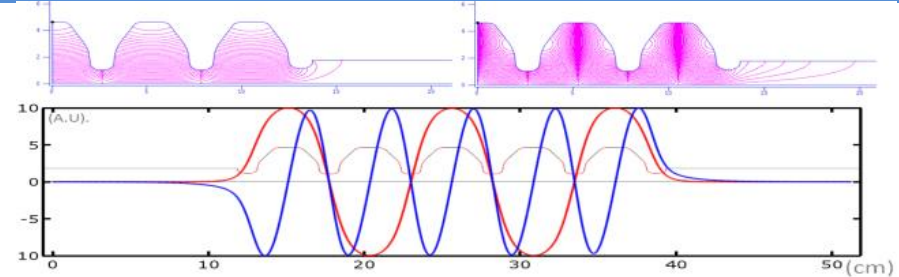
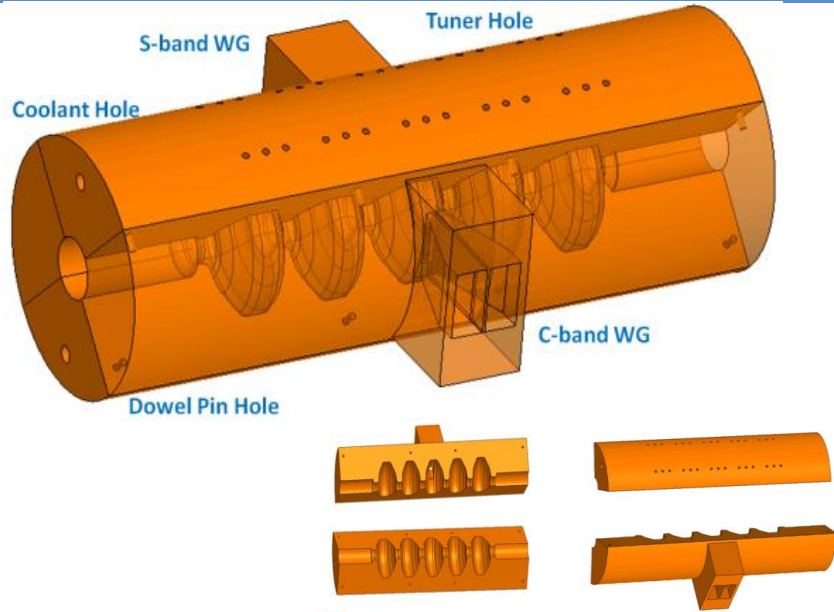
TM₀₁₀+TM₀₁₁ Cavity

$a/\lambda=0.12$ π mode standing wave effective gradient $E_{acc}=100\text{MV/m}$	 TM ₀₁₀ +TM ₀₁₁ Bimodal Cavity			 Pillbox A	 Pillbox B	 Nose-cone
	1 st harmonic alone	2 nd harmonic alone	78% 1 st +22% 2 nd	1 st harmonic only	1 st harmonic only	1 st harmonic only
frequency (GHz)	11.9942	23.9884		11.9942	11.9942	11.9942
effective shunt impedance (M Ω /m)	95.7	38.3	▲ 131.4	89.7	99.1	113.9
transit time factor	0.765	0.786		0.768	0.753	0.758
max E_{surf} (MV/m)	246.8	367.4	246.8	209.7	246.8	225.0
max H_{surf} (MA/m)	0.327	0.634	0.350	0.327	0.298	0.289
max S_c (W/ μm^2)	2.45	10.3	▼ 1.95	3.75	3.02	4.20
max ΔT (K) @ 200ns pulse length	27.5	148.2	▼ 18.6	27.5	22.87	21.5
wall loss (MW)	1.306	3.263	▼ 0.95	1.392	1.262	1.097

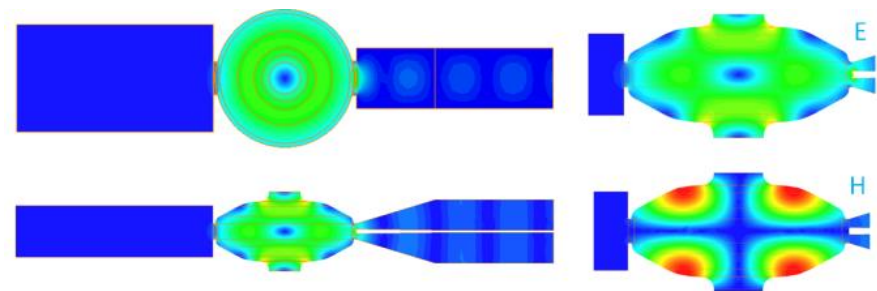
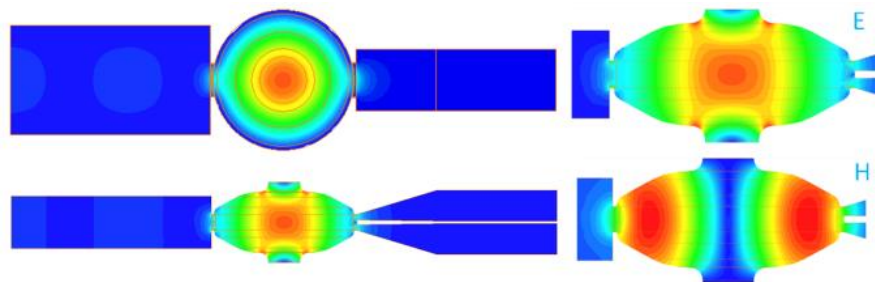
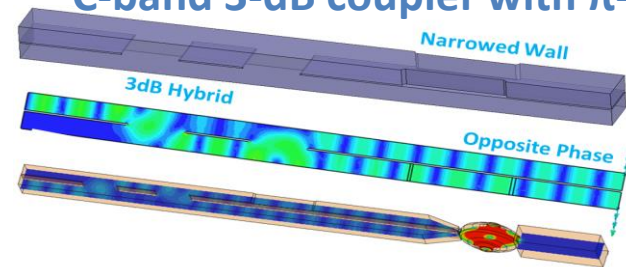
2-mode superposition compared to fundamental mode alone in the same MHC :

- pulsed heating temperature ▼ 32%
- total required RF power ▼ 27%
- maximum modified Poynting vector S_c ▼ 20%
- effective shunt impedance ▲ 37%

Symmetric Bimodal Cavity Structure

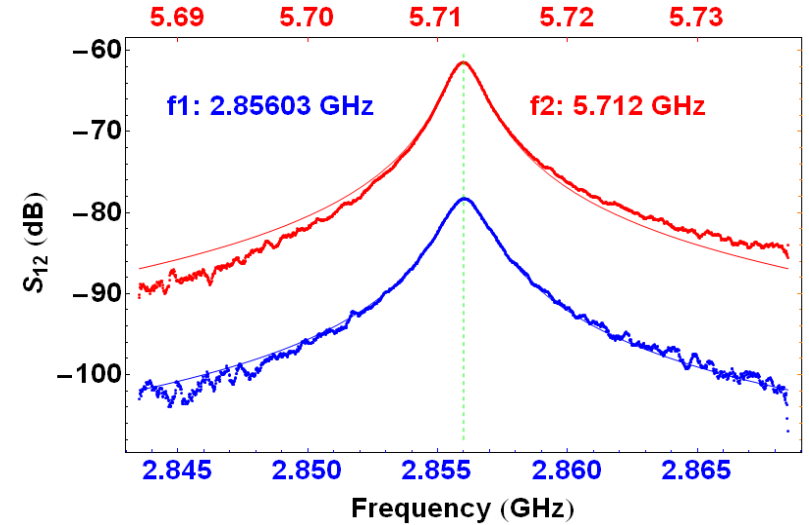
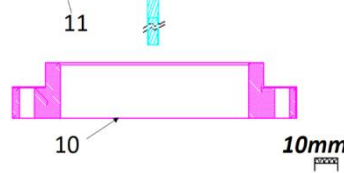
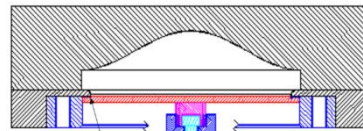
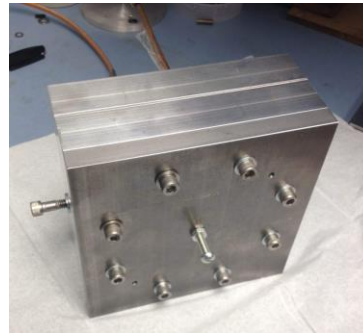
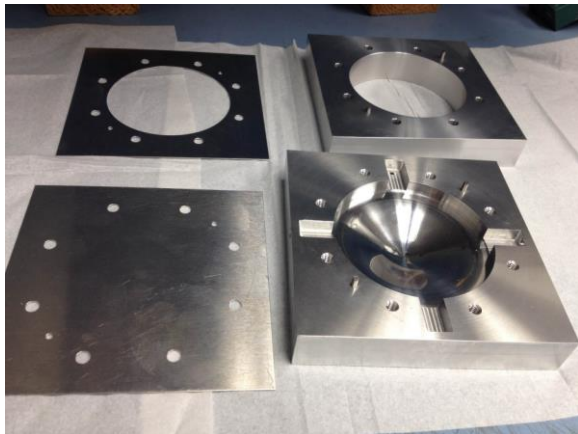


C-band 3-dB coupler with π -phase shift

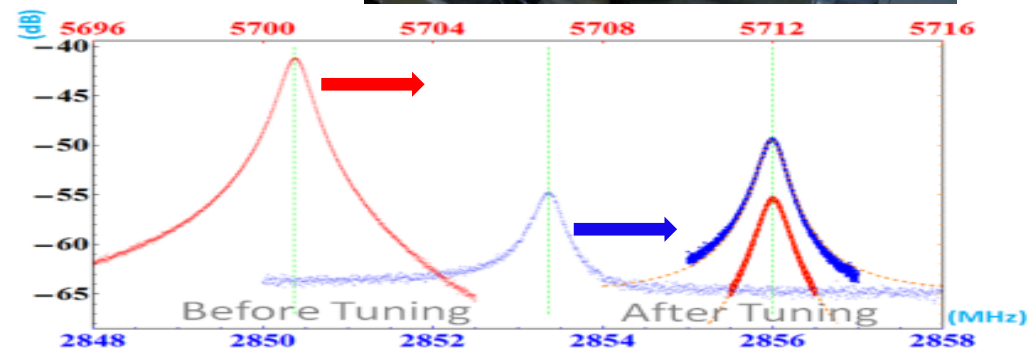
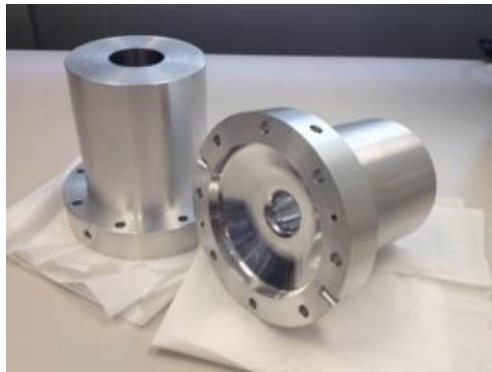
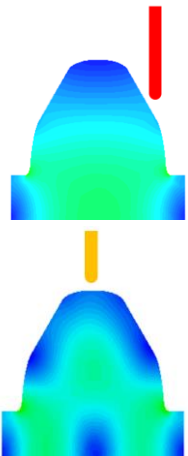


Two Frequency Tuning of Bimodal Cavity

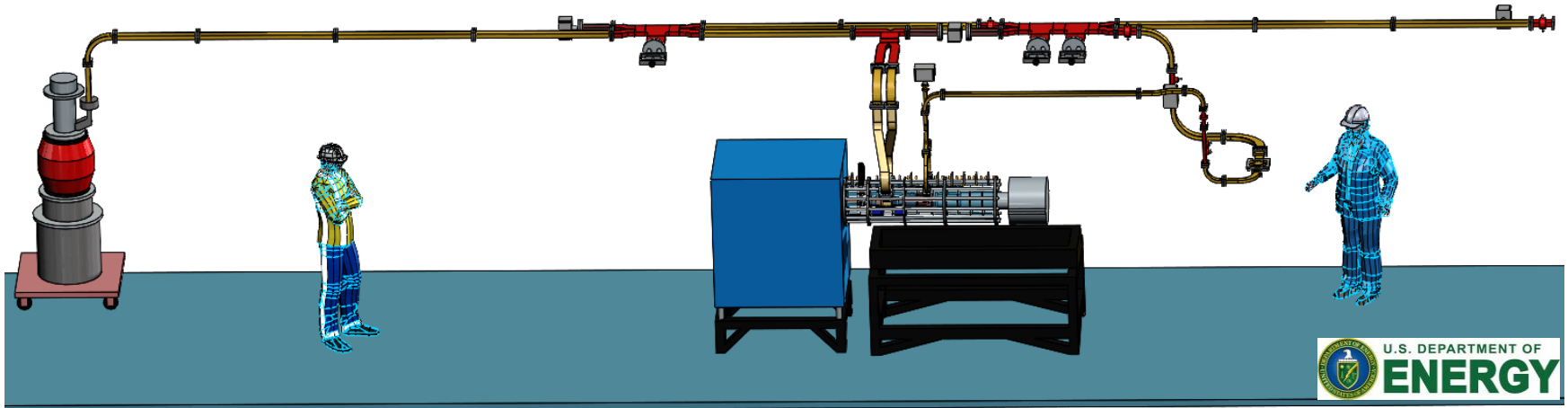
Anode-Cathode Cavity



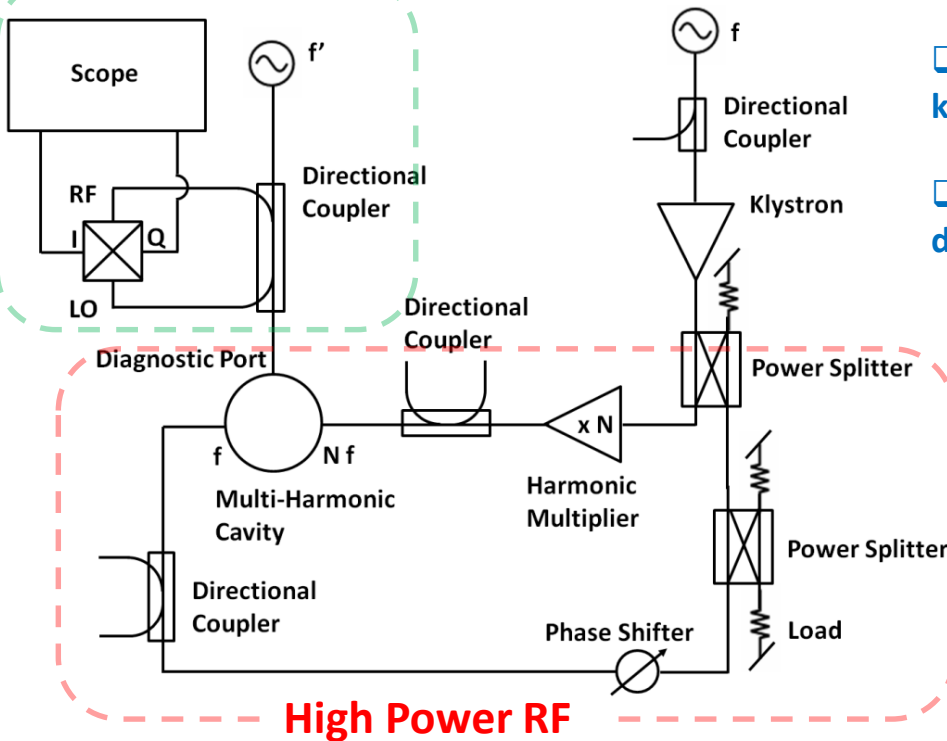
Symmetric Bimodal Cavity



RF Breakdown and Pulsed Heating Experiment



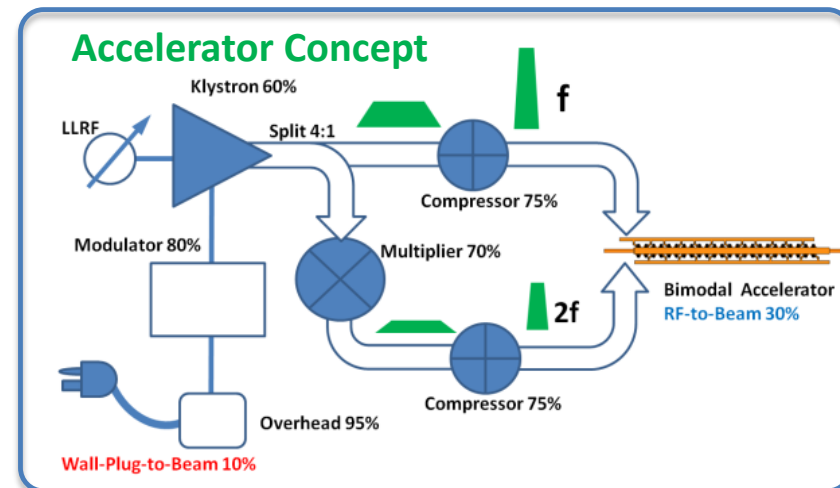
Low Power RF



Experimental Schematic

- High power RFs at frequencies f and Nf generated by the klystron and harmonic multiplier
- Low power RF at a different frequency f' to excite the diagnostic mode and measure cavity Q

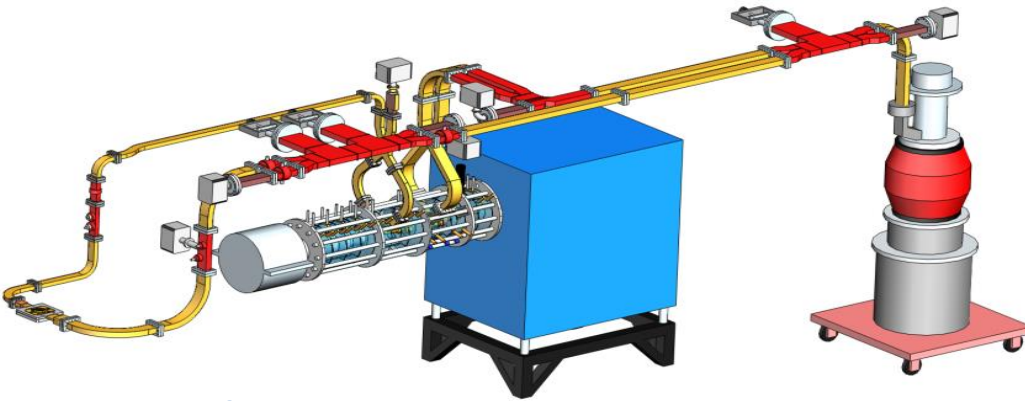
Accelerator Concept



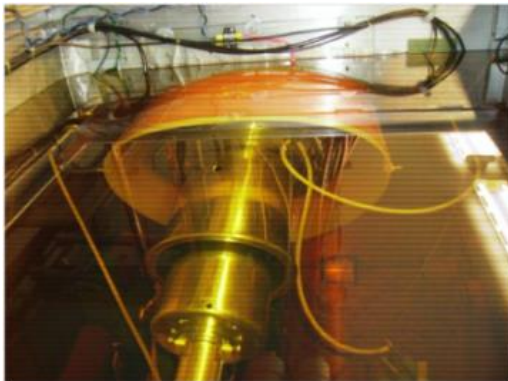
Progress of Harmonic Multiplier

Nominal operating parameters

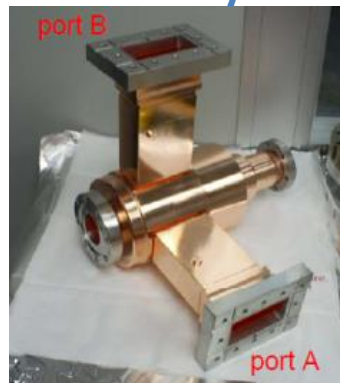
Output frequency	5.712 GHz
RF input power at 2.856 GHz	6.0 MW
Beam voltage and power at 20 A	250 kV, 5.0 MW
RF output power	5.3 MW
Harmonic power multiplication factor	0.88
Overall efficiency	48%



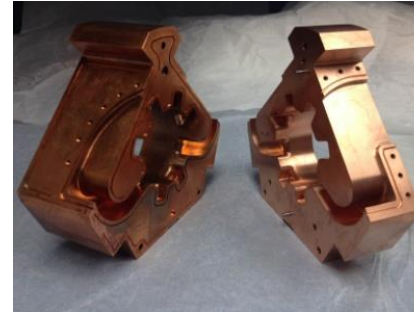
Gun Tank



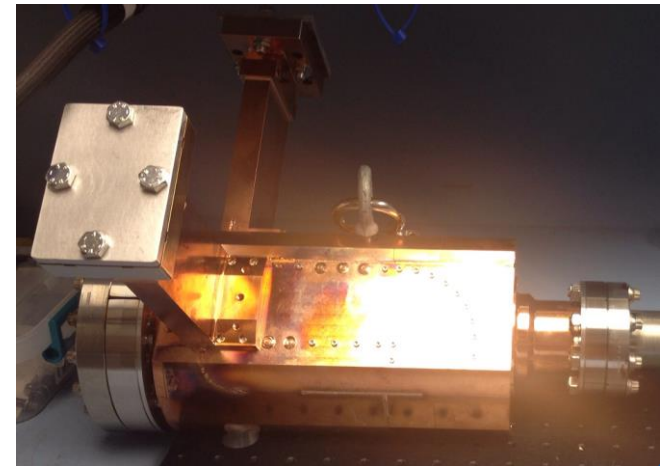
Drive Cavity



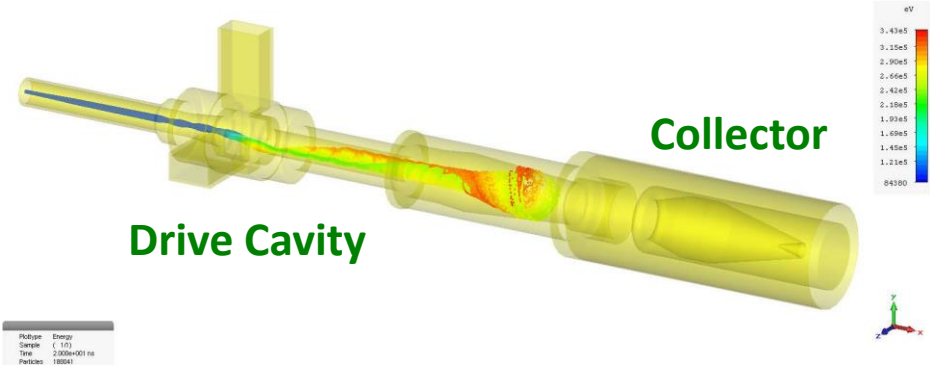
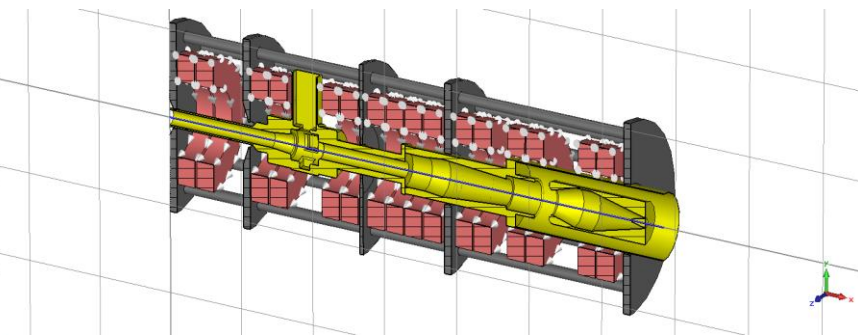
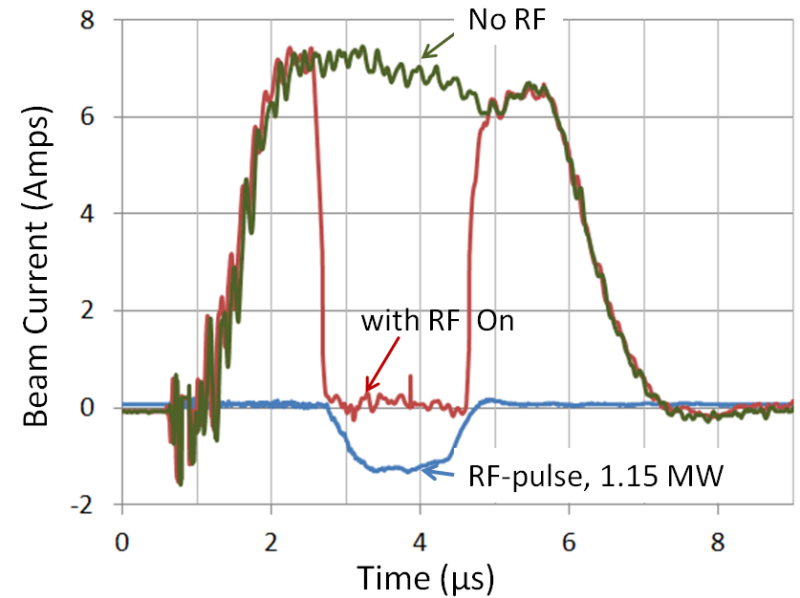
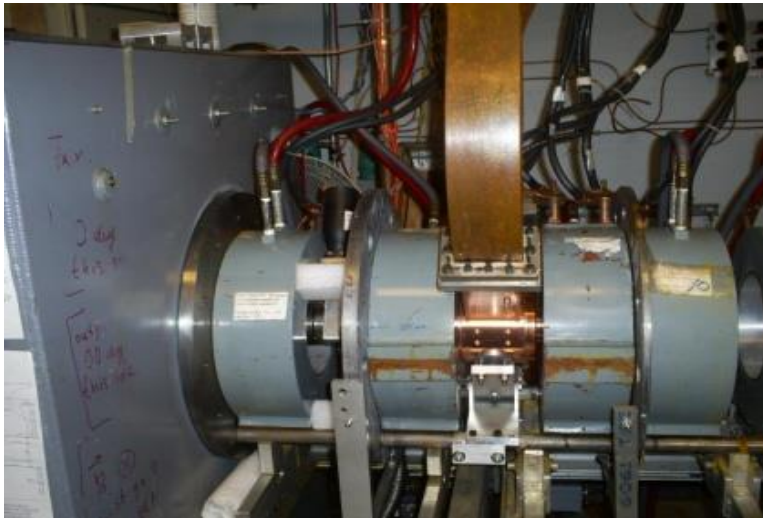
Output Cavity



finally



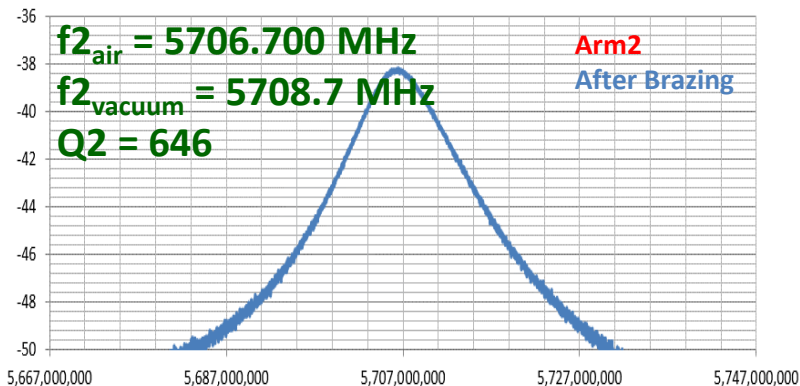
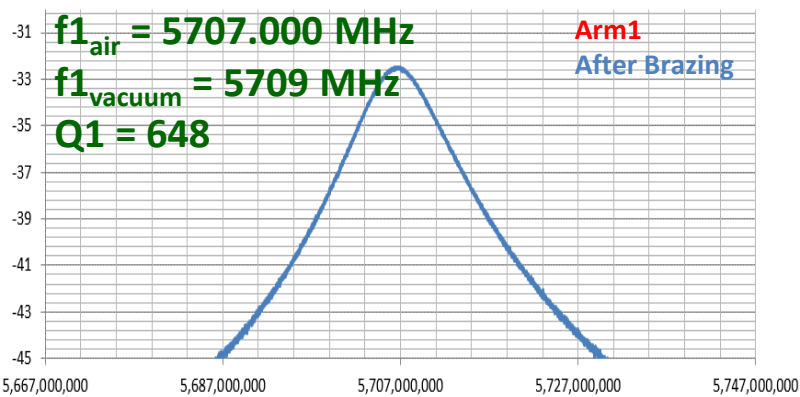
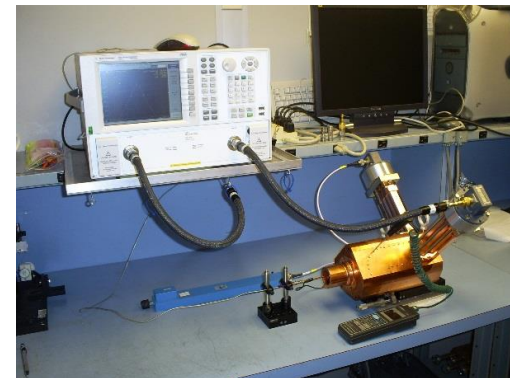
Harmonic Multiplier Drive Cavity Test



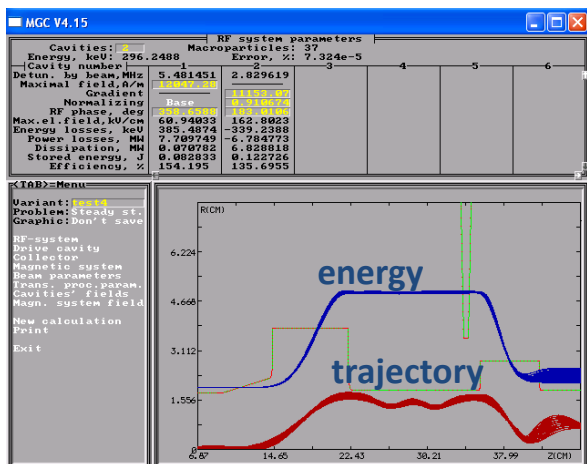
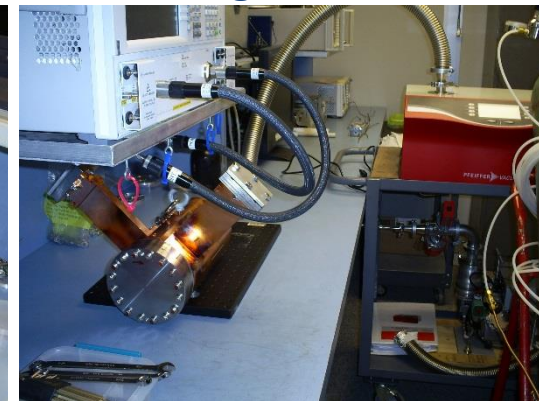
**Drive cavity of second harmonic multiplier installed and tested.
CST Simulation to understand the beam transmission to the collector**

Cold Test of Harmonic Multiplier Output Cavity

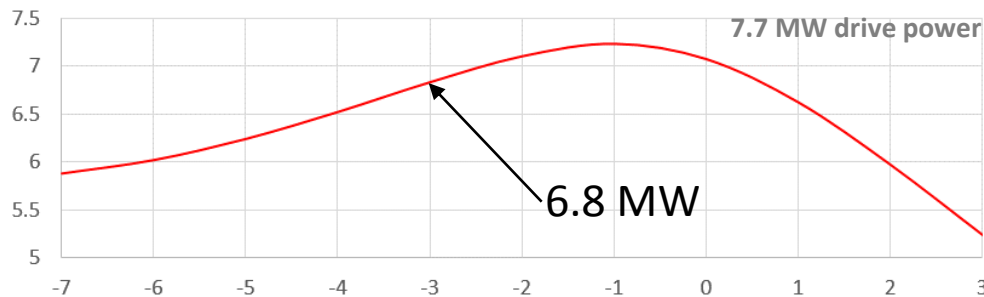
Cold Test Before Brazing



Cold Test and Leak Check After Brazing



expected output power (MW) vs. the cold cavity detuning (MHz)

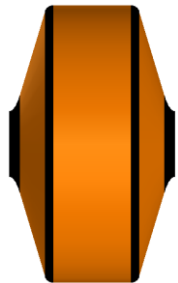


MHC : Pulsed Heating Suppression II

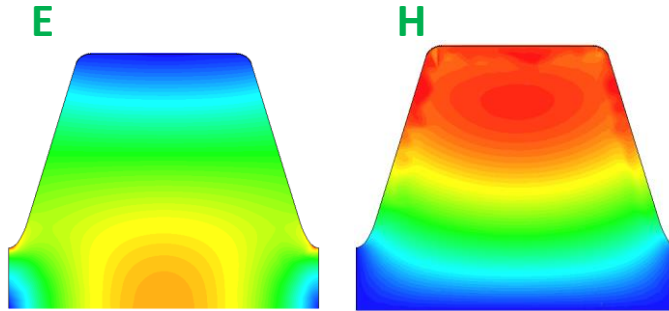
TM₀₁₀+TM₀₁₂ (f + 3f)

elliptical cavity

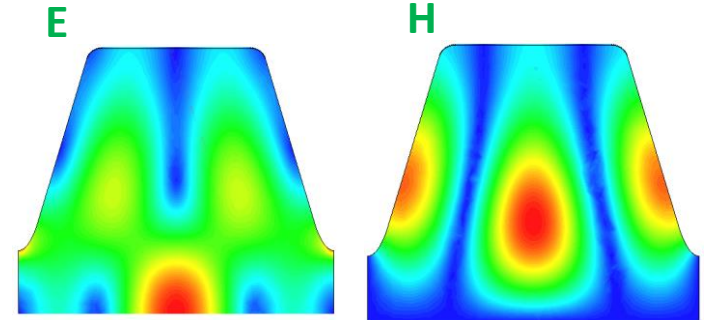
$a/\lambda=0.1$



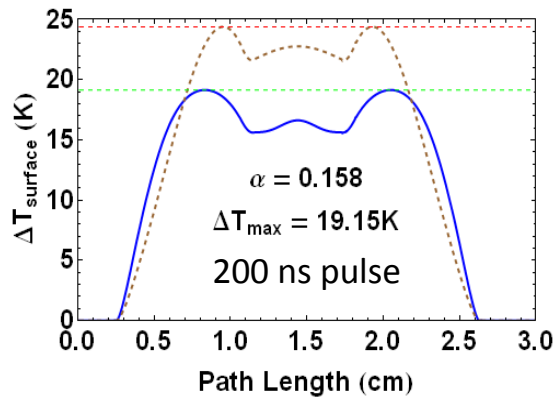
TM₀₁₀ 12 GHz



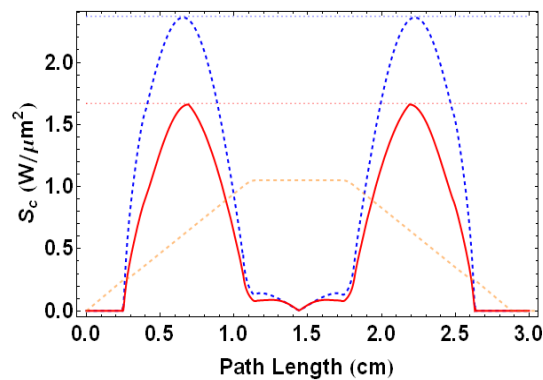
TM₀₁₂ 36 GHz



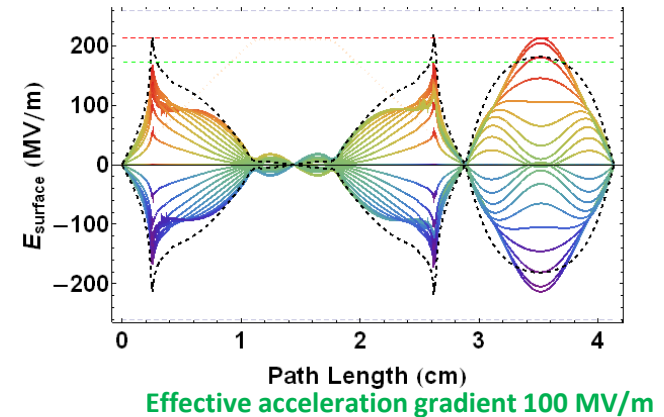
pulsed heating temperature







modified Poynting vector S_c



surface E-field along periphery



TM₀₁₀+TM₀₁₂ Cavity

$a/\lambda=0.10$ π mode standing wave effective gradient $E_{acc}=100$ MV/m frequency (GHz)	 TM ₀₁₀ +TM ₀₁₂ Bimodal Cavity			 Pillbox A	 Pillbox B	 Nose-cone
	1 st harmonic alone	3 rd harmonic alone	84% 1 st +16% 3 rd	1 st harmonic only	1 st harmonic only	1 st harmonic only
effective shunt impedance (M Ω /m)	100.73	24.65	\blacktriangle 124.19	100.43	99.18	127.7
transit time factor	0.753	0.633		0.762	0.758	0.749
max E_{surf} (MV/m)	209.8	359.2	\blacktriangledown 178.0	206.7	178.0	218.6
max H_{surf} (MA/m)	0.309	0.776	0.339	0.309	0.309	0.267
max S_c (W/ μm^2)	2.365	9.700	\blacktriangledown 1.670	3.190	3.181	3.68
max ΔT (K) @ 200ns pulse length	24.46	261.8	\blacktriangledown 19.15	24.46	24.46	17.65
wall loss (MW)	1.241	5.069	\blacktriangledown 1.006	1.244	1.260	0.979

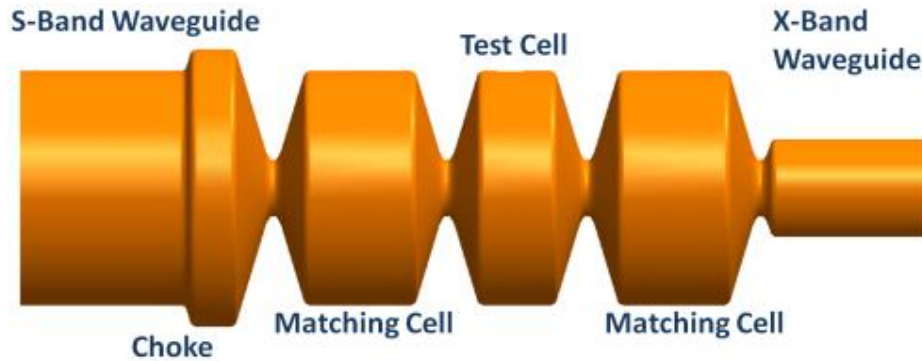
2-mode superposition compared to fundamental mode alone in the same MHC :

- \blacklozenge pulsed heating temperature \downarrow 22%
- \blacklozenge effect shunt impedance \uparrow 23%
- \blacklozenge peak surface E-field \downarrow 19.4%
- \blacklozenge modified Poynting vector \downarrow 30%
- \blacklozenge total RF power \downarrow 19%

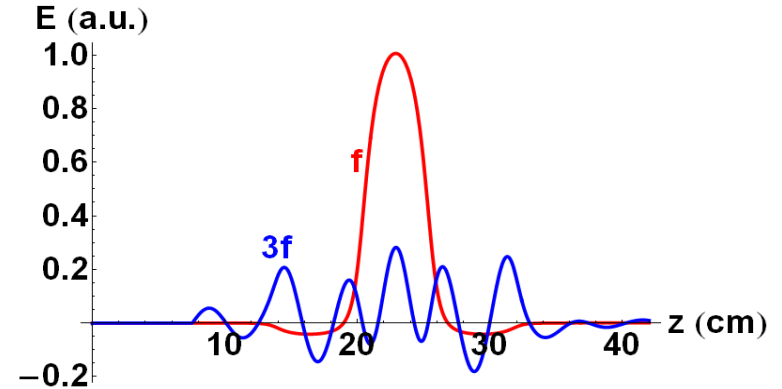
	Bimodal (16%)	Nose-cone	
effective gradient E_a	150	150	MV/m
effective shunt impedance	124.2	127.7	M Ω /m
max E_{surf}	267	327.9	MV/m
max H_{surf}	0.509	0.401	MA/m
max S_c	3.76	8.28	W/ μm^2
max ΔT @ 200ns pulse length	43.1	39.7	K
wall loss	2.26	2.20	MW

Bimodal Cavity to Suppress Pulsed Heating

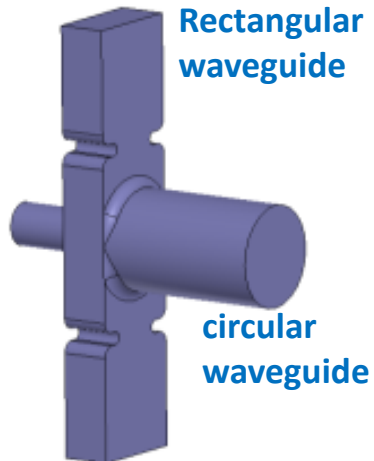
TM₀₁₀ + TM₀₁₂ Test Structure



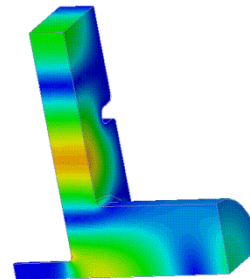
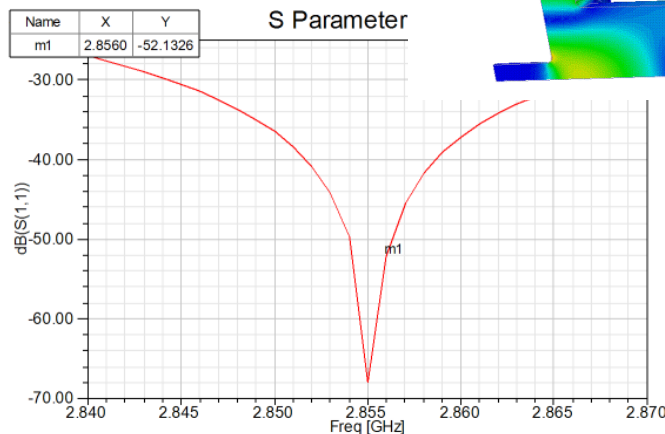
Axial E fields of TM₀₁₀ and TM₀₁₂



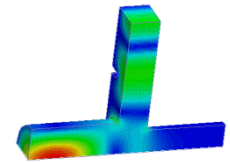
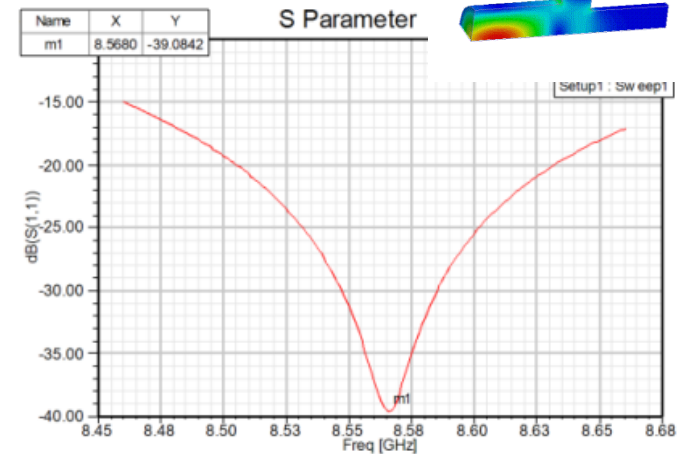
TM₀₁ mode launchers



S-Band



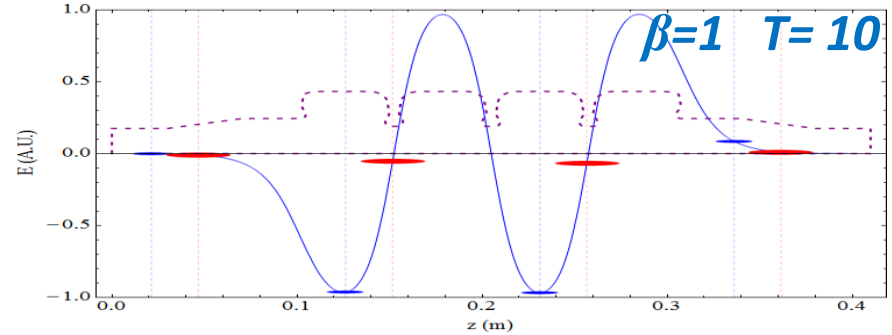
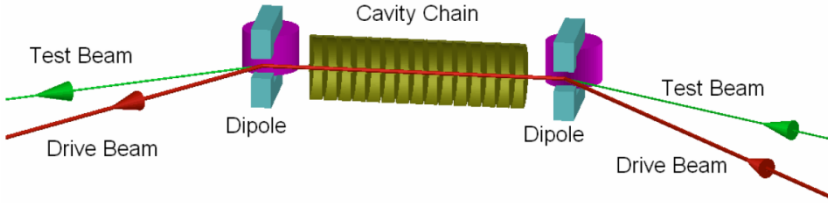
X-Band



Detuned-Cavity Two-Beam Accelerator

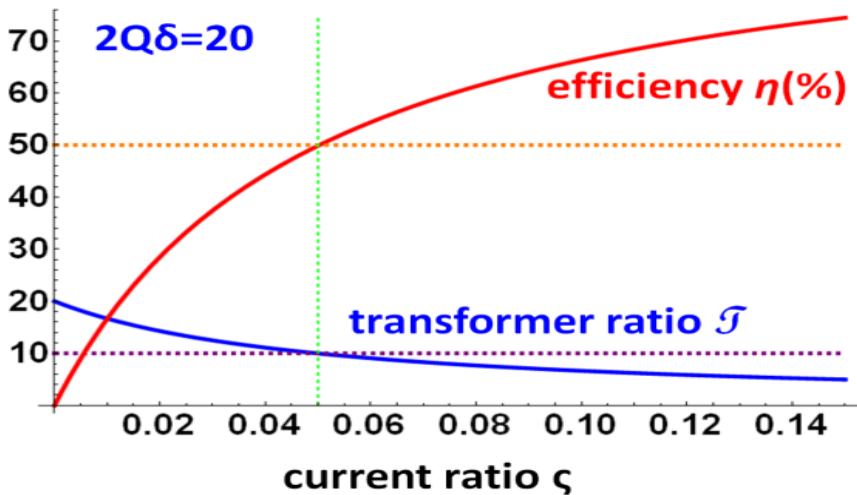
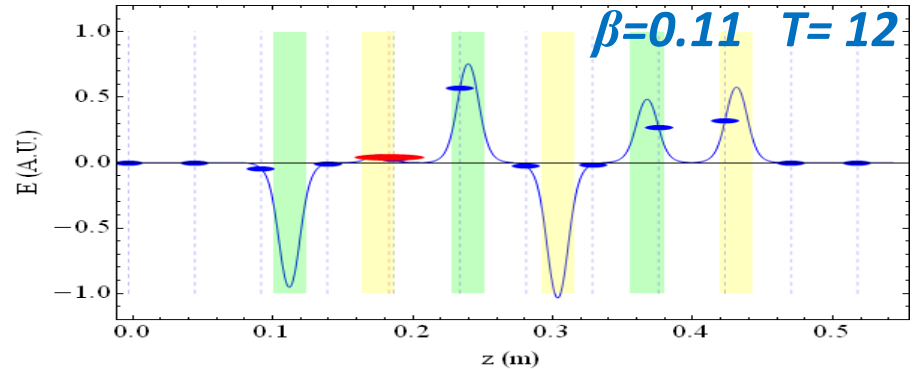
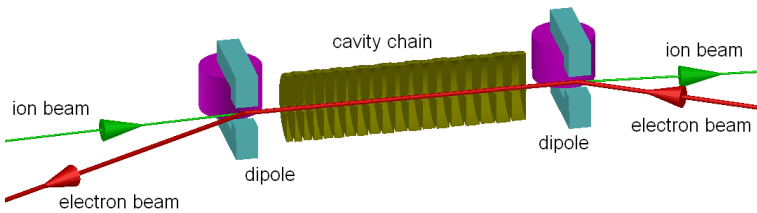
Fixed Detuning

Co-propagate



Alternative Detuning

Counter-propagate



“High-gradient two-beam accelerator structure”, S. Yu Kazakov, S.V. Kuzikov, Y. Jiang, and J. L. Hirshfield, PRSTAB 13, 071303 (2010)

Circuit Model for Collinear Two Beam Accelerator

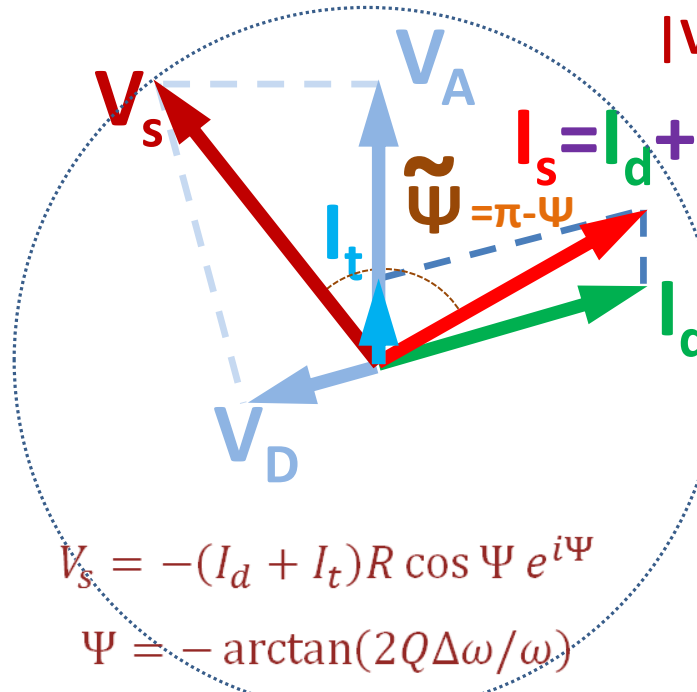
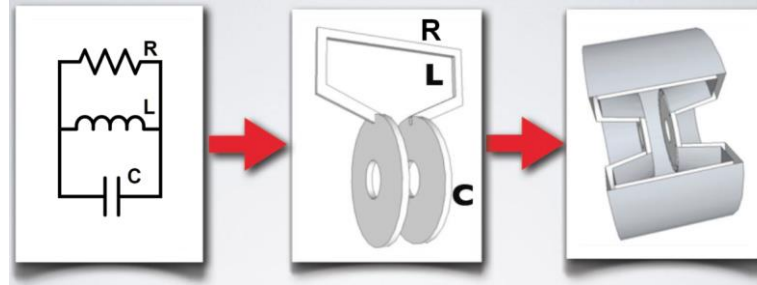
RLC Circuit Model



Accelerating Cavity



Beam Cavity Interaction

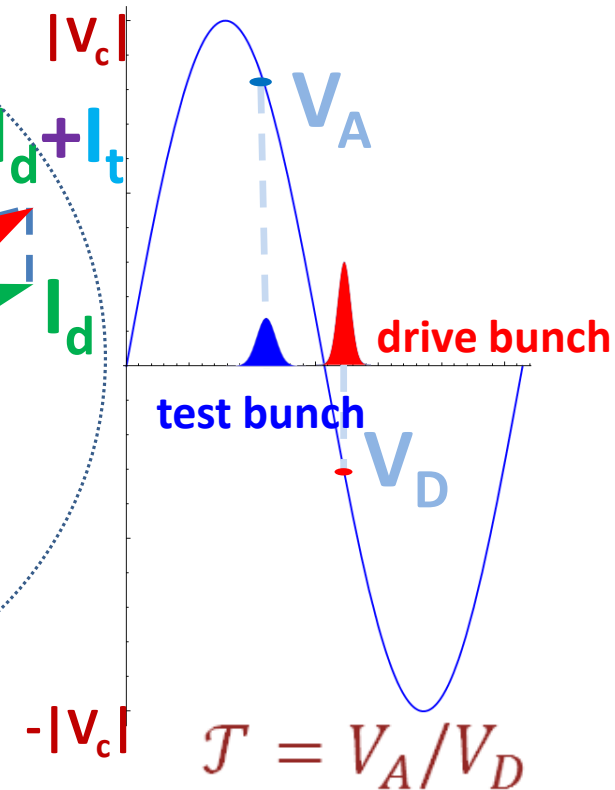


$$V_s = -(I_d + I_t)R \cos \Psi e^{i\Psi}$$

$$\Psi = -\arctan(2Q\Delta\omega/\omega)$$

$$V_A = I_t \Re(V_s/I_t)$$

$$V_D = I_d \Re(V_s/I_d)$$



$$V = \frac{I R e^{i\omega t}}{1 + i2Q\Delta\omega/\omega}$$

CAVITY

Circuit Model for Beam-Driven Structure

Modified Current Ratio $\zeta = I_T \Theta_T / I_D \Theta_D$

Transformer Ratio $\mathcal{F} = \frac{\zeta - 2\Delta}{2\Delta\zeta + 1}$

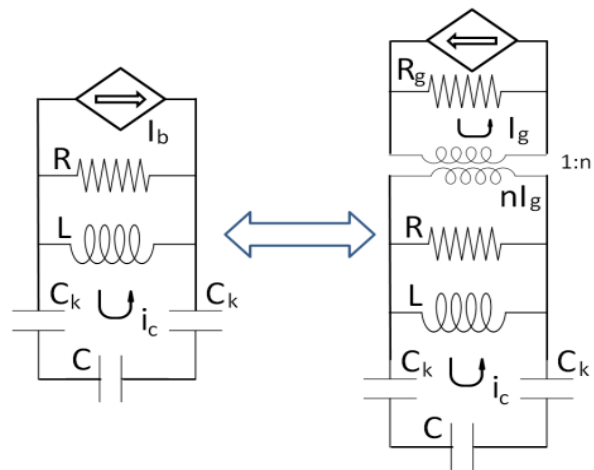
Power Transfer Efficiency $\eta = \frac{2\Delta\zeta - \zeta^2}{2\Delta\zeta + 1}$

Normalized Electric Field $\varepsilon = \frac{4\Delta - 2\zeta}{1 + 4\Delta^2}$

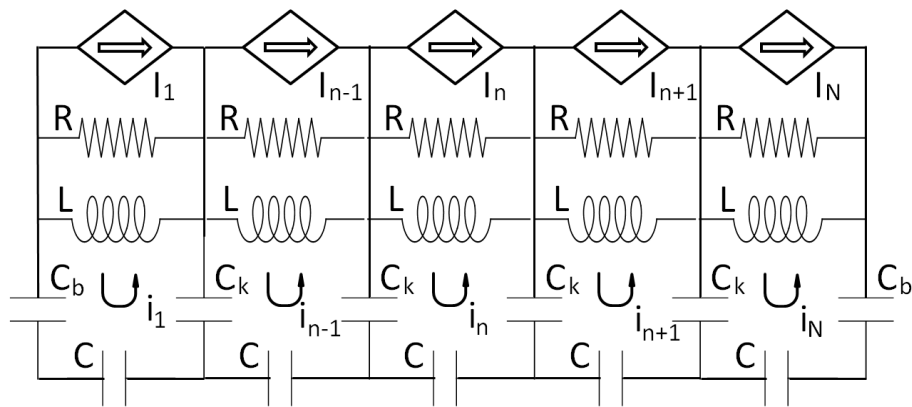
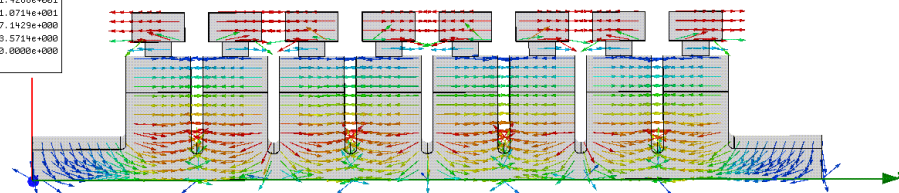
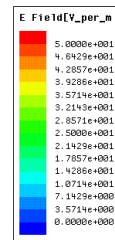
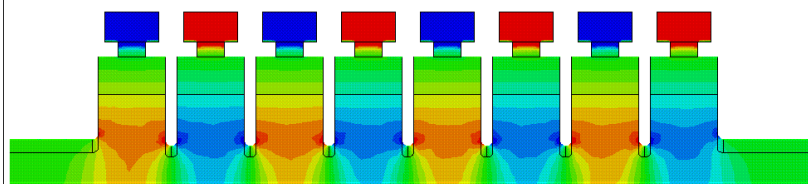
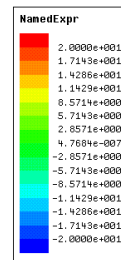
Normalized Detuning Factor $\Delta = Q(\Delta\omega / \omega)$

Transit Time Factor Θ_T Θ_D

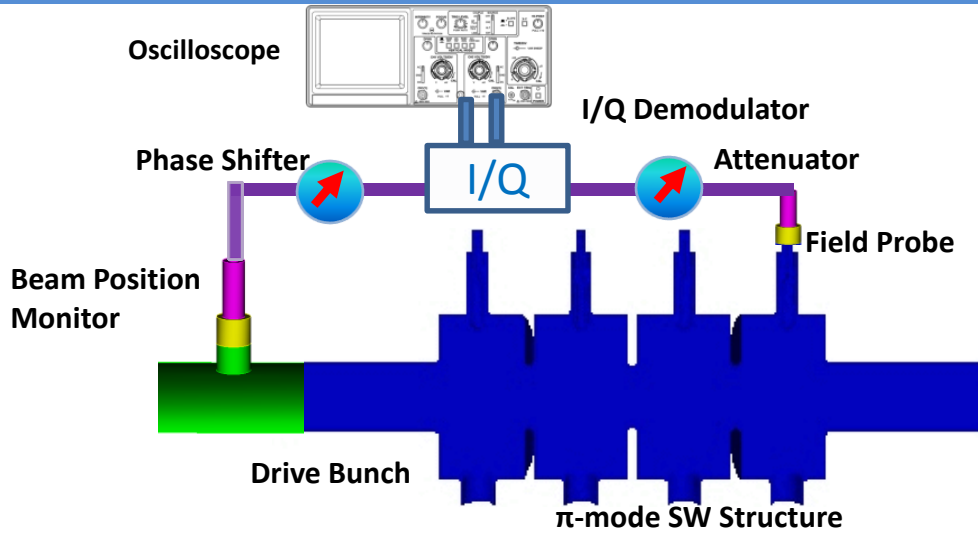
Use external rf source to mimic the drive beam to demonstrate the operating mode's field amplitude uniformity and correct synchronization phase



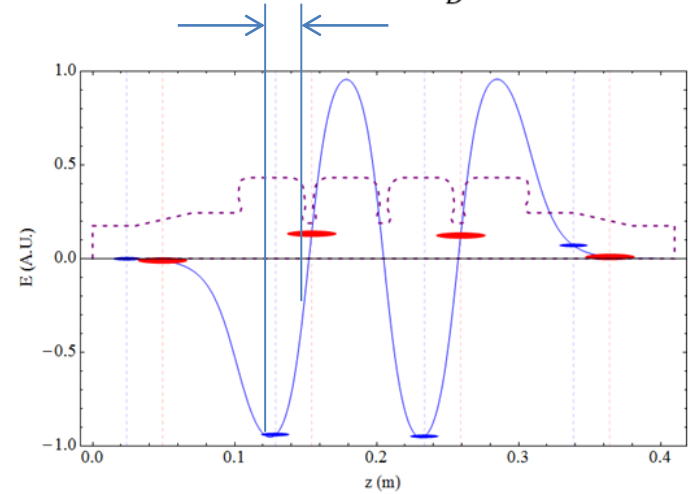
$\{\zeta, \varepsilon, \mathcal{F}, \Delta, \eta\}$ these parameters are interrelated and only two are free parameters, the rest can be represented by any two parameters.



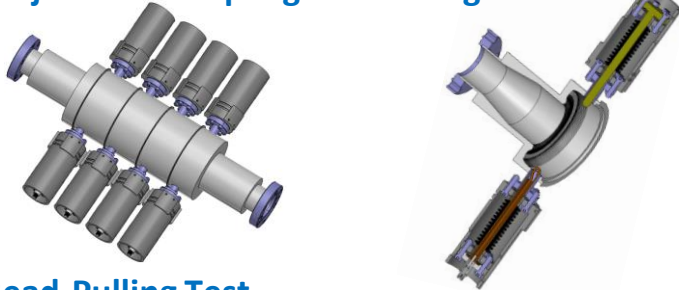
Single Mode TBA Experiment



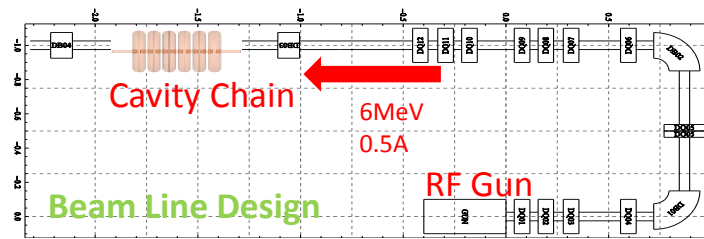
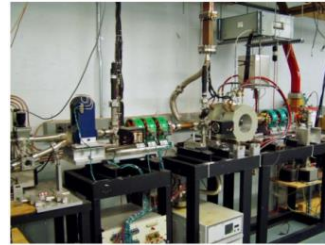
Detuning angle $\Delta\theta = \phi$ $T = \frac{A}{D} = -\tan \phi = 2Q\delta$



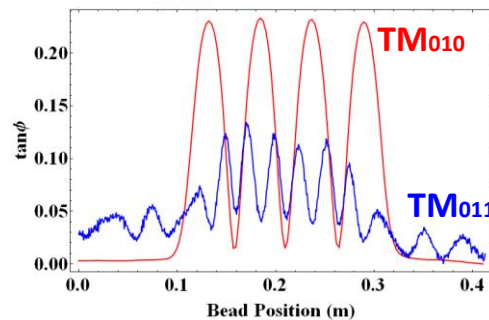
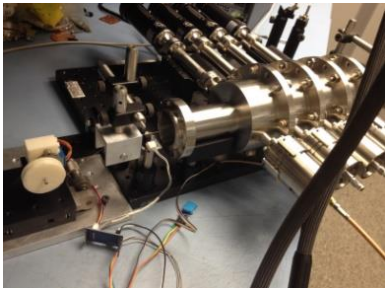
Adjustable Coupling and Tuning



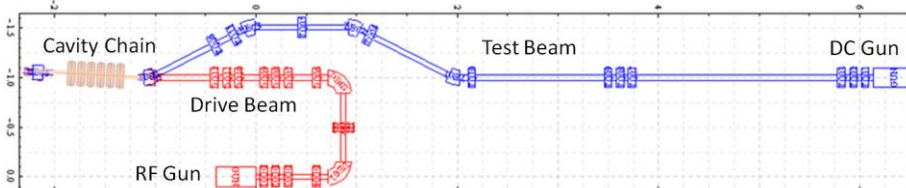
Transformer Ratio Measurement



Bead-Pulling Test



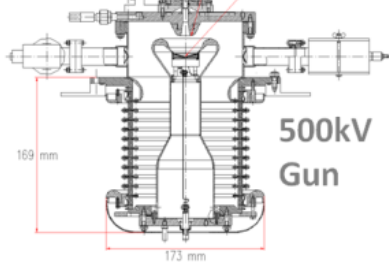
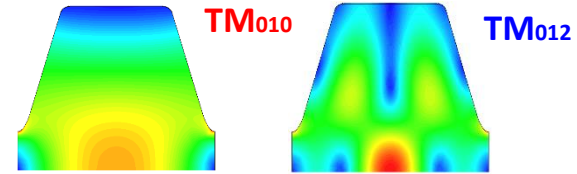
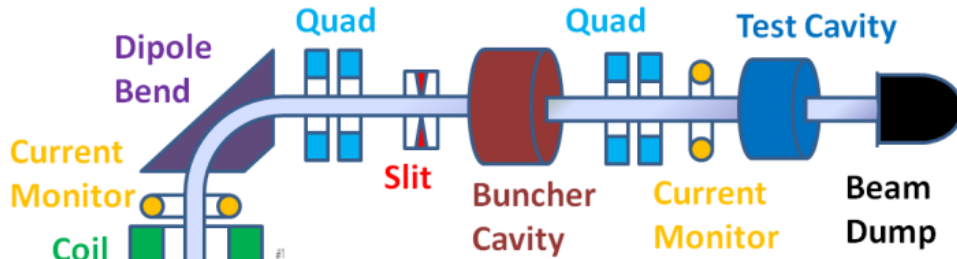
Gradient Direct Measurement



Bimodal TBA Experiment

Beam-Driven RF Breakdown Test of Bimodal Cavity

Supported by US NSF

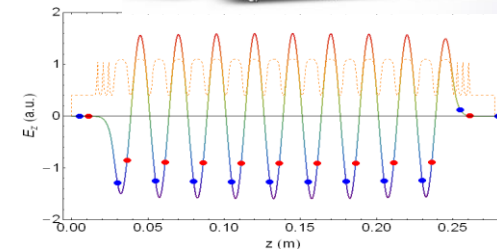
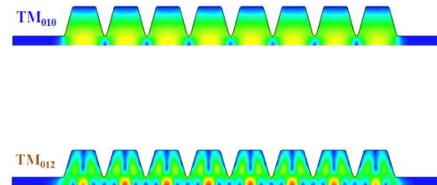
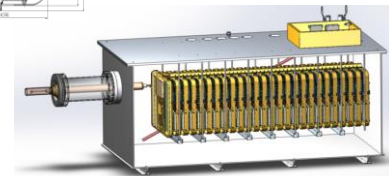
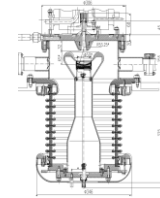
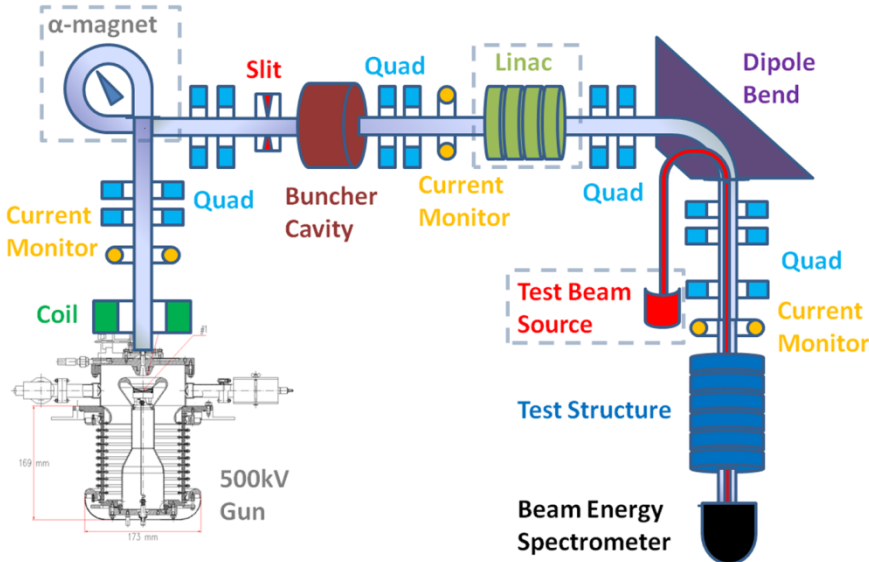


Electron Gun
500kV
220 A



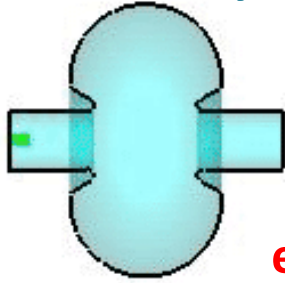
Solid State Marx Modulator
500kV
250 A
1.8 μ s
20 Hz

Bimodal TBA Structure Test



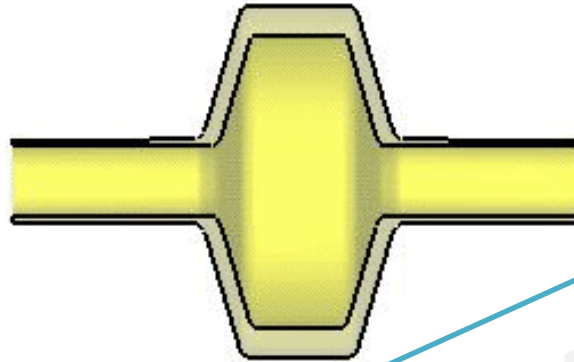
Simulation of Beam Bunching and Excitation

Buncher Cavity

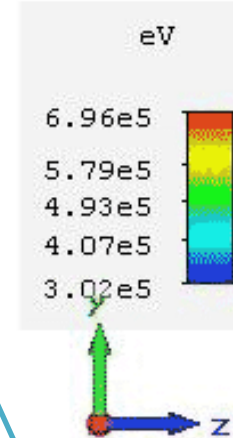


e-Beam

Plotype	Energy
Sample	(2/1000)
Time	5.064e-003 ns
Particles	1944

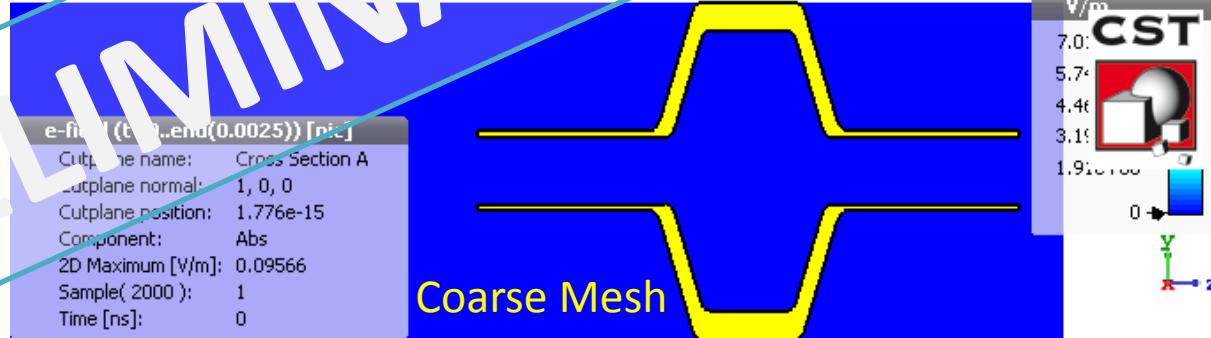
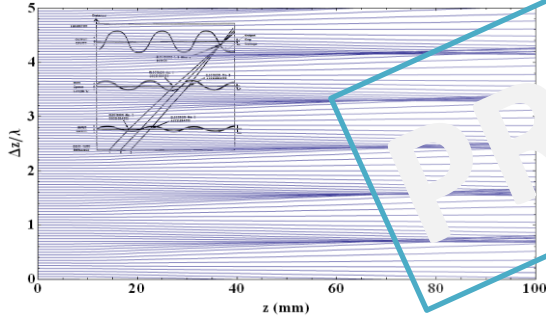


Multi-Harmonic Test Cavity

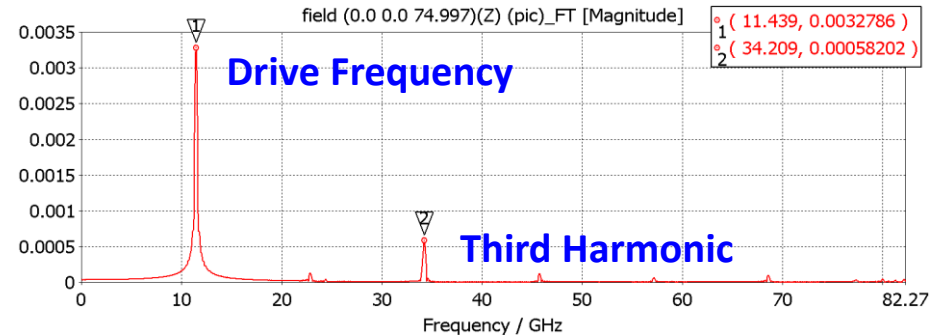
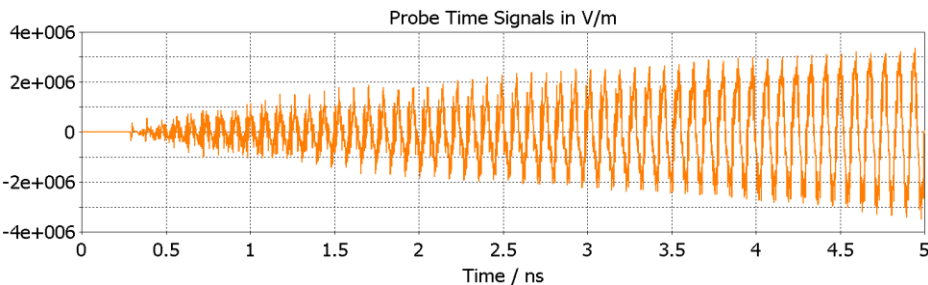


Confirmation of Multi-Harmonic Beam Excitation

Velocity Modulation

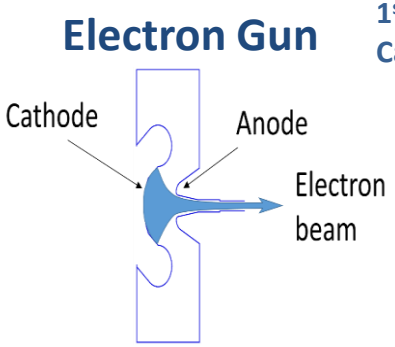
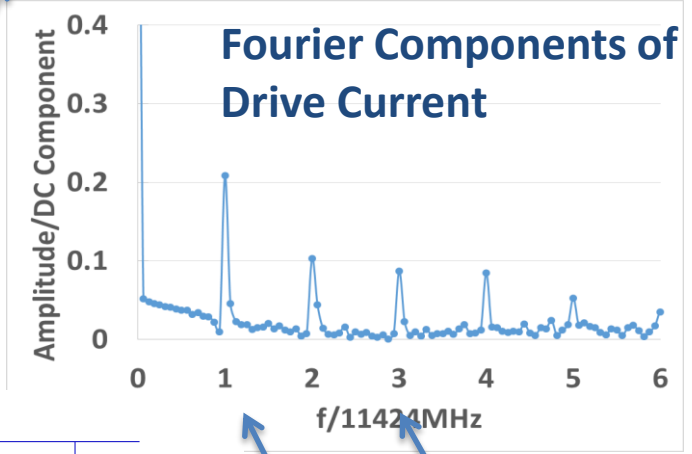
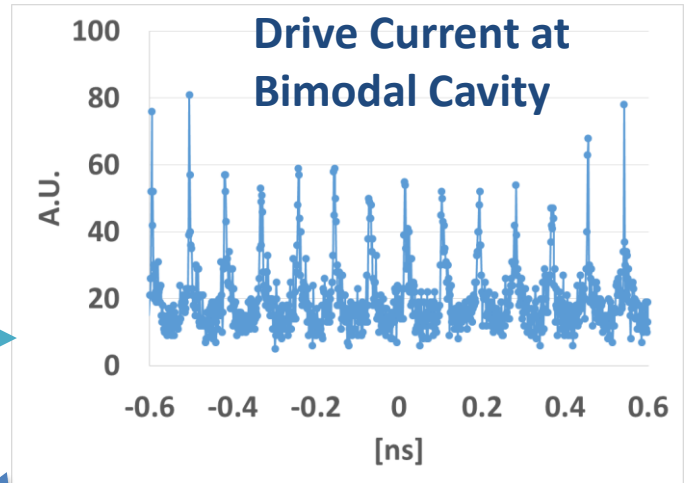
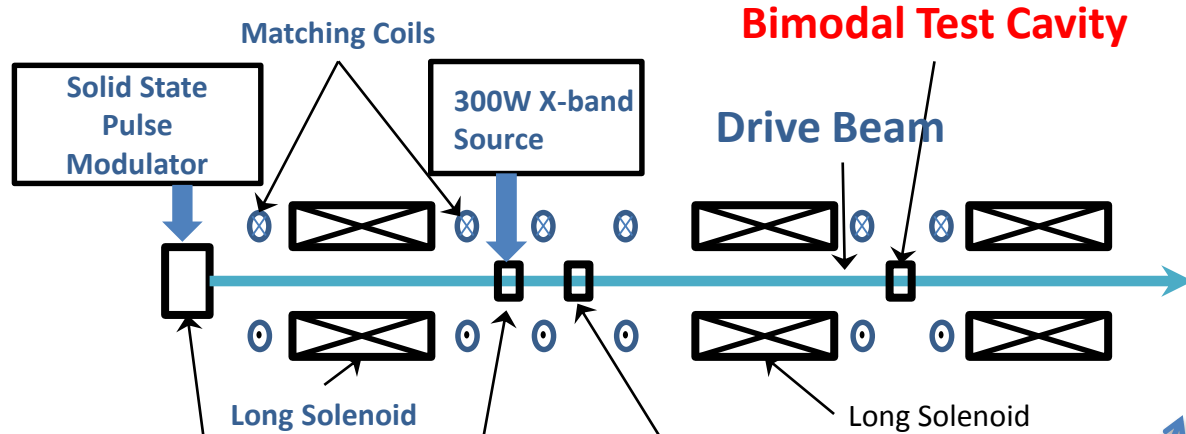


e-field (t=0.0025) [pic]	
Output name:	Cross Section A
Cutplane normal:	1, 0, 0
Cutplane position:	1.776e-15
Component:	Abs
2D Maximum [V/m]:	0.09566
Sample(2000):	1
Time [ns]:	0

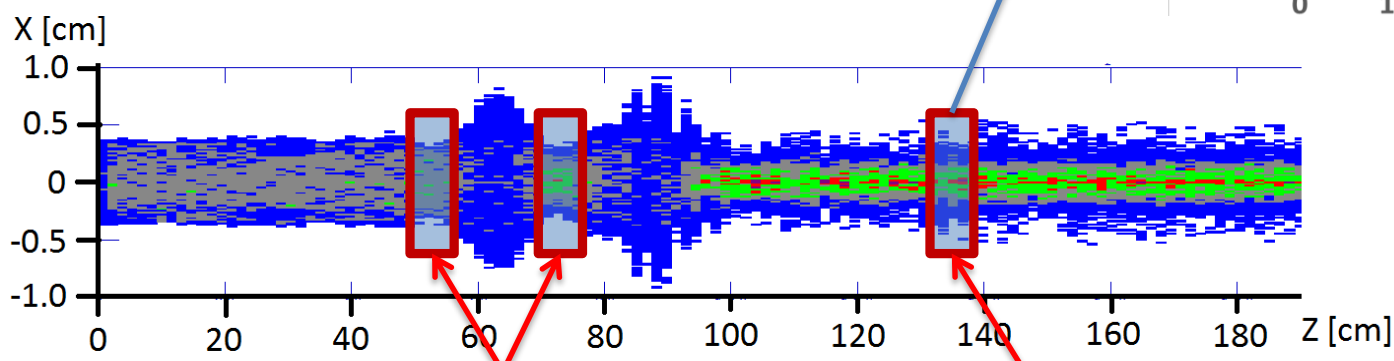
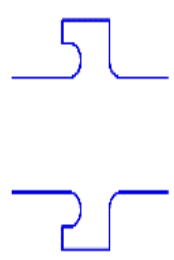


PARMELA Simulation of Beam Driven Bimodal Cavity

Driven beam: Up to 500 keV, 218 A



Bunching Cavities



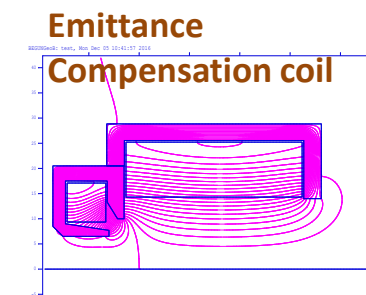
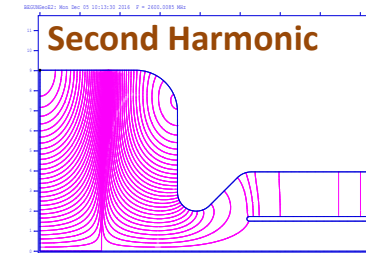
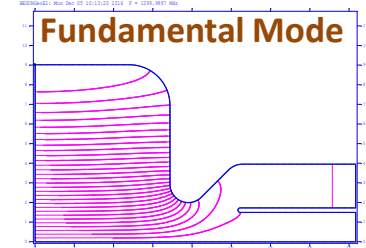
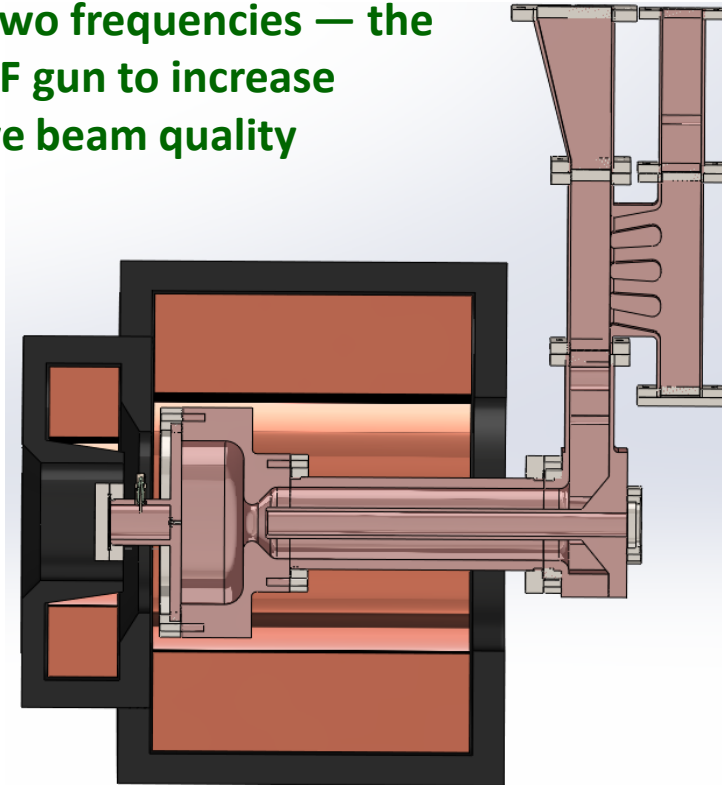
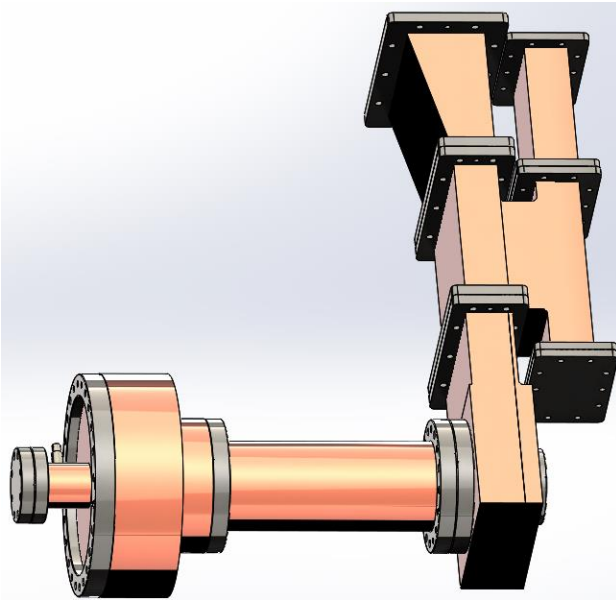
Bunching Cavities

Bimodal Cavity

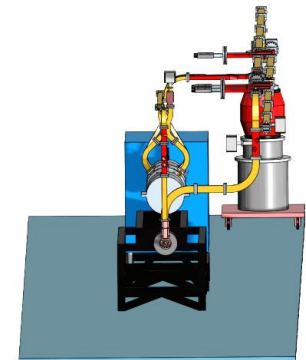
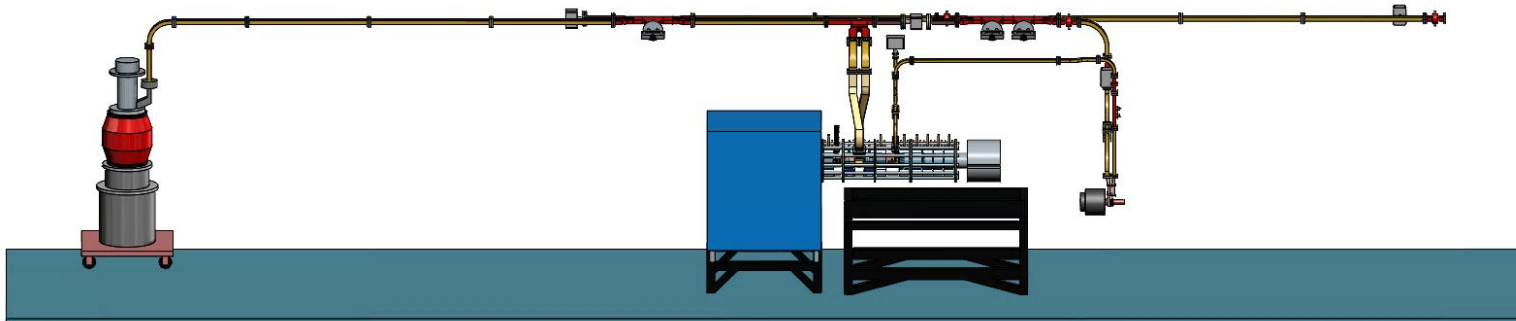
3rd harmonic
Fundamental
= 40%

BIMODAL ELECTRON GUN

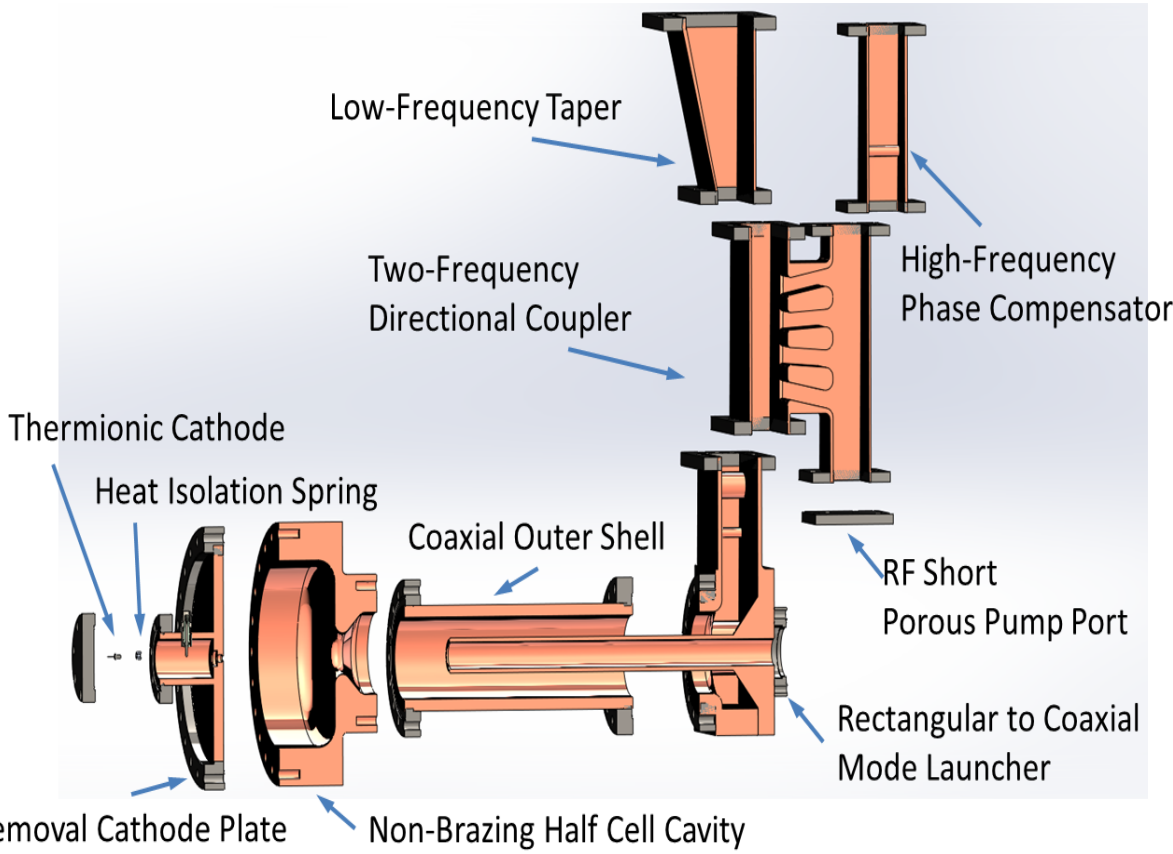
Superposition of microwaves at two frequencies — the first and second harmonic — in RF gun to increase acceleration gradient and improve beam quality



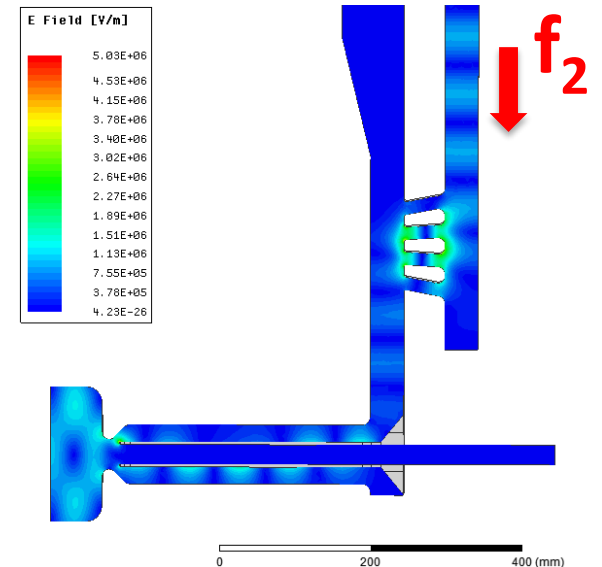
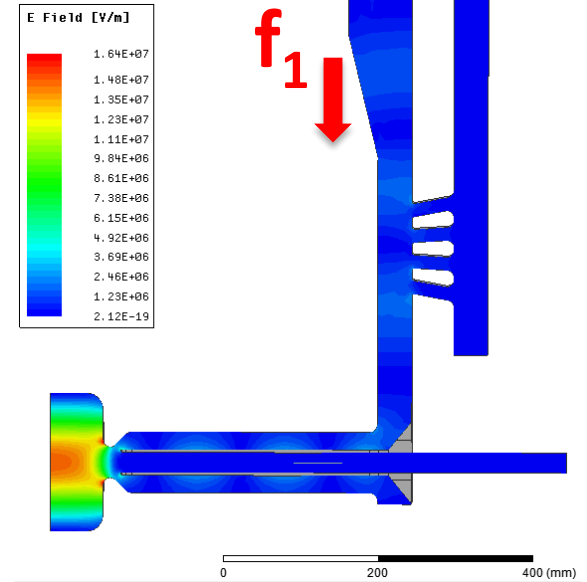
Experimental Setup at Yale BPL



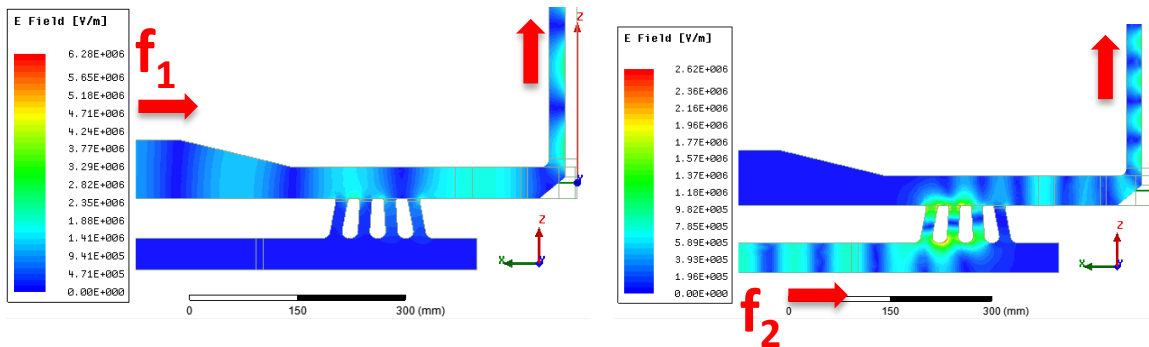
Design of Bimodal Electron Gun



Two-Frequency Excitation

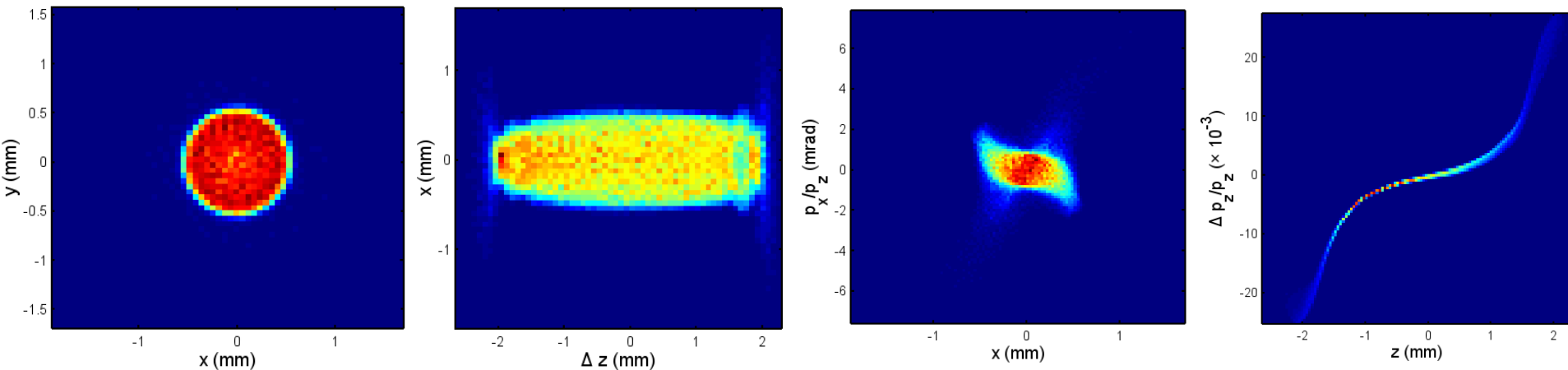


Two-Frequency Directional Coupler

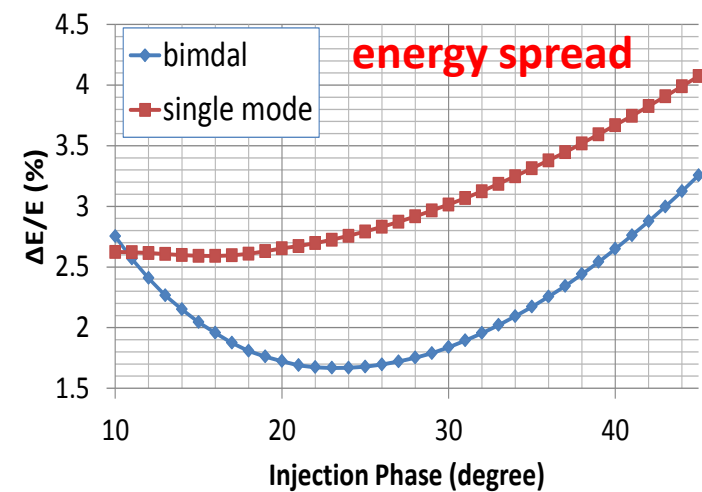
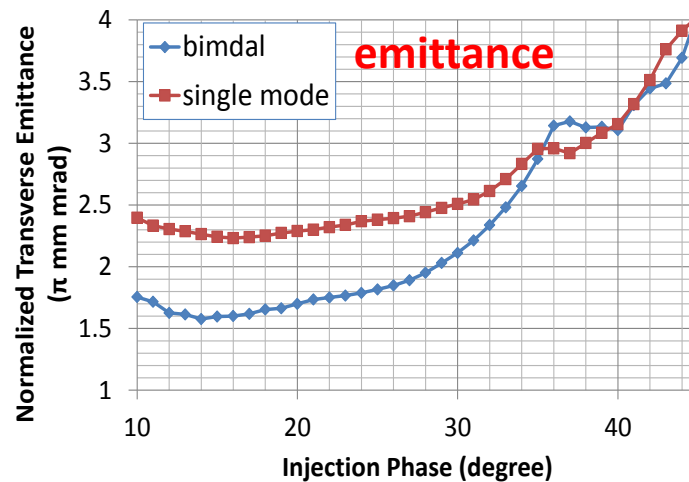
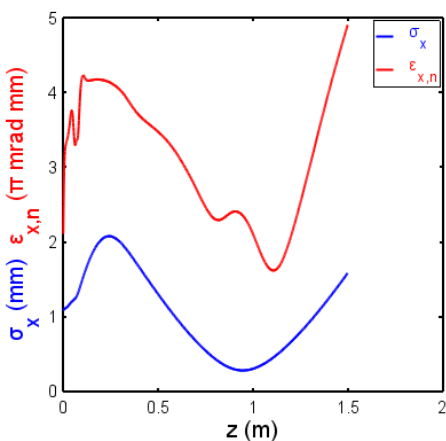


Simulation of Bimodal Electron Gun

1.3 GHz + 2.6 GHz initial: charge 1 nC, beam size $\sigma_x=1\text{mm}$, pulse length $L_t=20\text{ ps}$, rise time $rt=2\text{ ps}$, intrinsic emittance $\epsilon_{\text{therm}} = 1.23\text{ }\pi\text{ }\mu\text{m}$

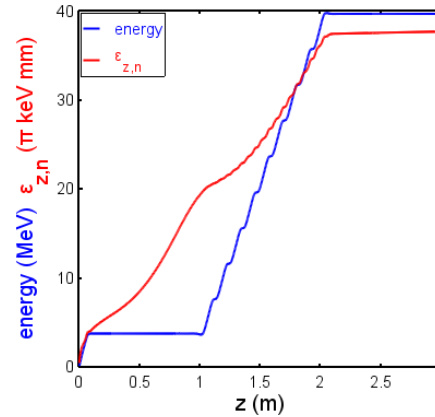
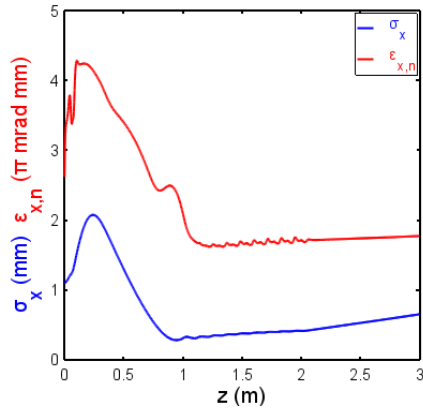
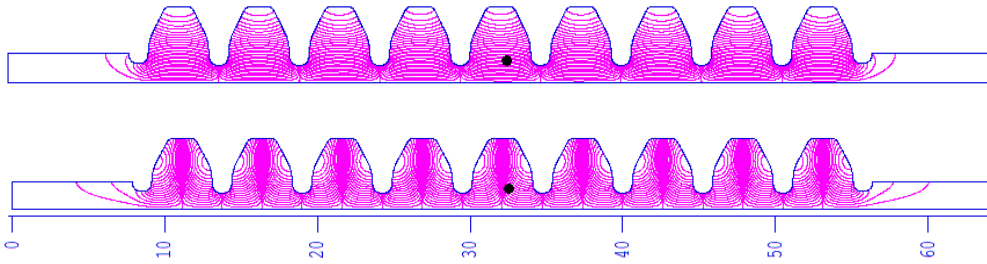


Simulation Results: Beam energy 3.74 MeV, beam size $\sigma_{x,\text{min}}=0.28\text{mm}$, normalized emittance $\epsilon_{x,\text{min}} = 1.62\text{ }\pi\text{ mm mrad}$, energy spread $\Delta E/E = 1.9\%$, $P_1=2.2\text{ MW}$, $P_2 = 1.7\text{ MW}$, $B_{z,\text{max}}=0.16\text{ T}$.

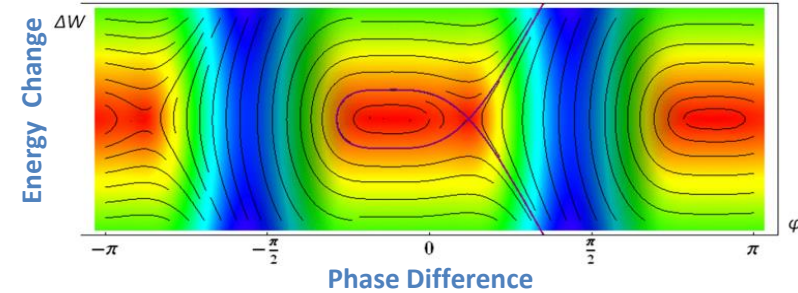


BIMODAL ELECTRON GUN with Boost Linac

Two Frequency Boost Linac



Two Frequency Fish Plot



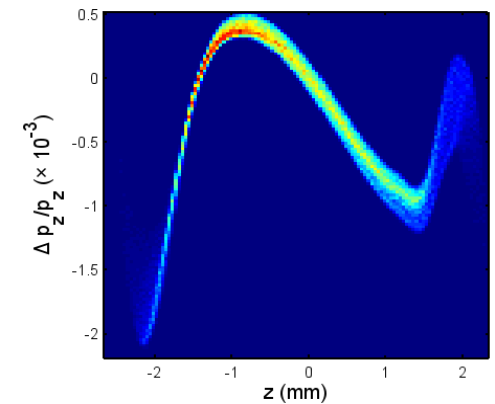
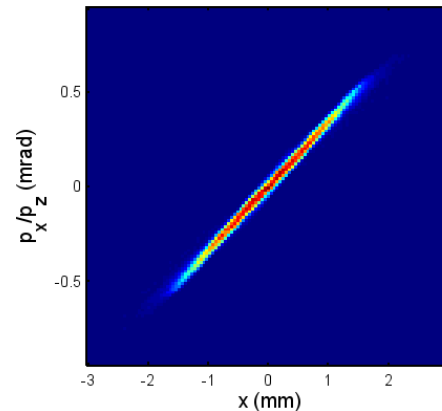
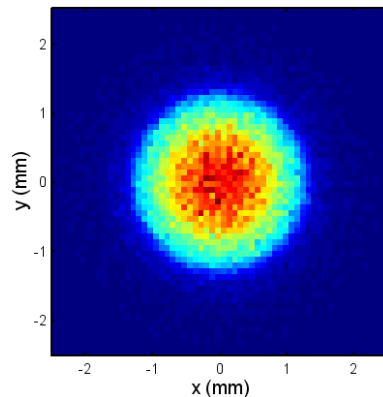
Simulation Results:

Beam energy 39.6 MeV

Norm. emit. $\epsilon_x = 1.8 \pi$ mm mrad

Norm. emit. $\epsilon_z = 37.6 \pi$ keV mrad

Energy spread $\Delta E/E = 0.082\%$



Summary

- USE OF BIMODAL CAVITIES IS PREDICTED TO REDUCE BREAKDOWN PROBABILITY, FOR A GIVEN ACCELERATION GRADIENT, *via*
 - **ANODE-CATHODE EFFECT** ($f + 2nf$), to suppress field emission; and/or
 - **QUADRATIC EFFECT** ($f + nf$), to also suppress pulsed heating.
- CONCEPT CAN APPLY TO A LINAC COMPRISING BIMODAL CAVITIES, WITH EXTERNAL TWO-FREQUENCY RF DRIVE; or
- CONCEPT CAN APPLY TO A BEAM-DRIVEN TWO-BEAM ACCELERATOR ARRANGEMENT, USING DETUNED BIMODAL CAVITIES.
- VIRTUES INCLUDE INCREASED SHUNT IMPEDANCE, REDUCED RF POWER, REDUCED EFFECTIVE POYNTING VECTOR, REDUCED PEAK SURFACE FIELDS, AND HIGH TRANSFORMER RATIO (FOR DETUNED CAVITIES).
- EXPERIMENTS TO CONFIRM RF-DRIVEN AND BEAM-DRIVEN APPROACHES TO SUPPRESS BEAKDOWN ARE PROGRESSING, *ALL BE IT SLOWLY.*
- A HALF-CELL RF GUN DESIGN USING A BIMODAL CAVITY HAS EVOLVED WITH PREDICTED PERFORMANCE THAT IS COMPETITIVE TO A CONVENTIONAL 1-1/2 CELL GUN.
- ***LOTS OF WORK REMAINS!!***

Acknowledgements



Omega-P R&D, Inc.

Yale University



Beam Physics Lab, Yale University

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Prof. Jay Hirshfield



Dr. Yong Jiang



Dr. Sergey Shchelkunov



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