

# LHC Upgrade

**Frank Zimmermann  
on behalf of many people,  
LHCC review, 16 February 2009**

*constraints from beam dynamics & collimation,  
parameter choices, and upgrade scenarios*

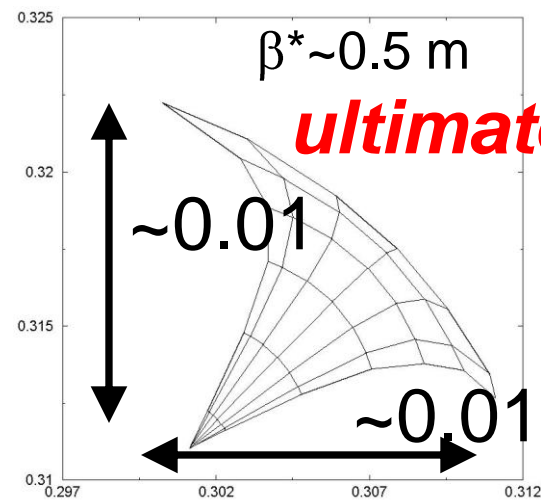
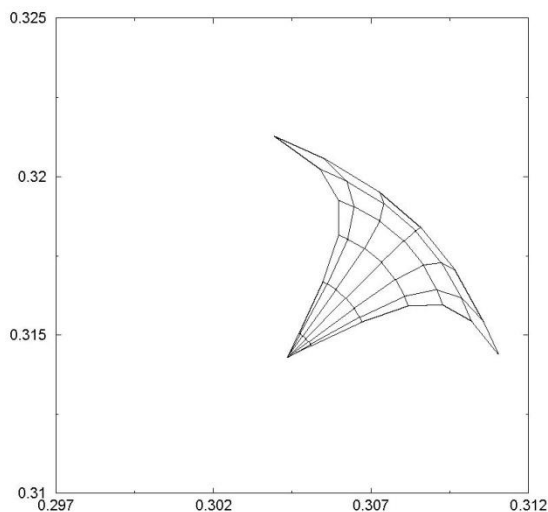
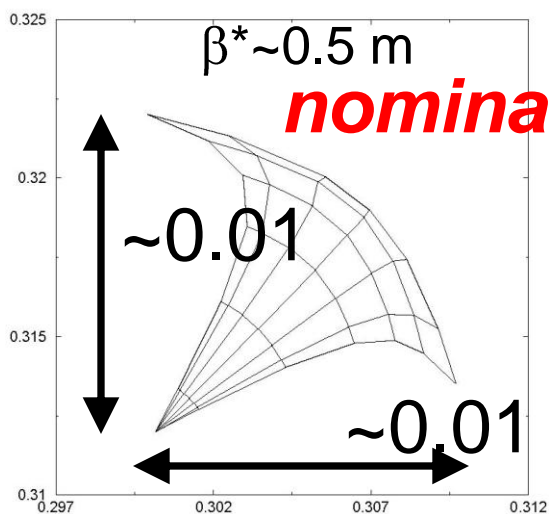
*We acknowledge the support of the European Community-Research Infrastructure Activity under the FP6 "Structuring the European Research Area" programme (CARE, contract number RII3-CT-2003-506395)*

## key upgrade drivers:

- head-on beam-beam limit
- detector pile up
- long-range beam-beam effects
- crossing angle
- collimation & machine protection
- beam from injectors
- heat load (SR, impedance, e-cloud)

# head-on beam-beam limit

from 2001 upgrade feasibility study, LHC Project Report 626



nominal tune footprint  
up to  $6\sigma$  with 4 IPs & nom.  
intensity  $N_b = 1.15 \times 10^{11}$

$$L = 10^{34} \text{ cm}^{-2}\text{s}^{-1}$$

tune footprint up to  $6\sigma$   
with nominal intensity  
and 2 IPs

tune footprint up to  $6\sigma$   
with 2 IPs at ultimate  
intensity  $N_b = 1.7 \times 10^{11}$

$$L = 2.3 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$$

**SPS: beam-beam limit  $\leftrightarrow$  total tune shift  $\Delta Q \sim 0.01$  (Tevatron: 0.02?!)**

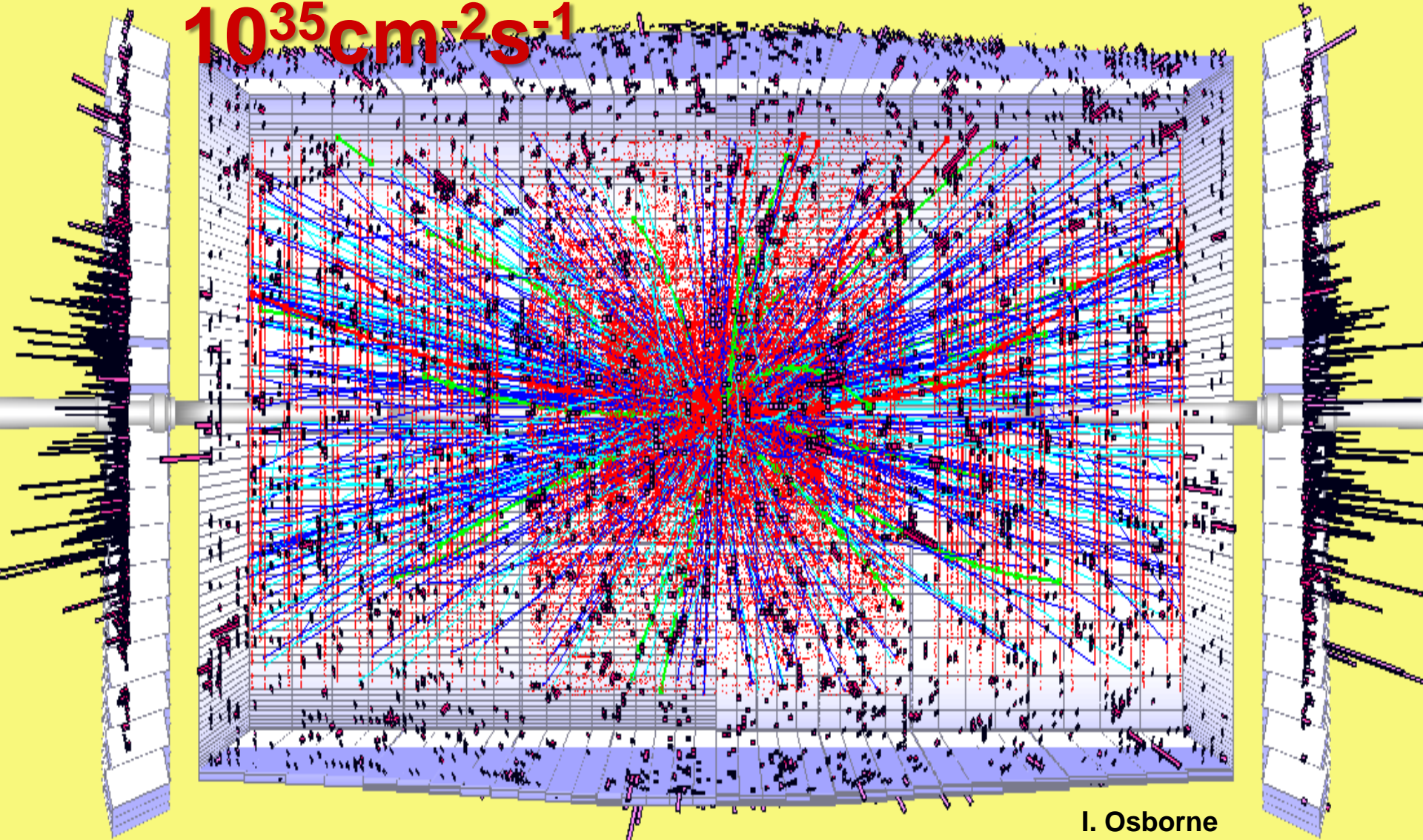
going from 4 to 2 IPs ATLAS & CMS luminosity can be increased by factor 2.3  
further, increasing crossing angle to  $340 \mu\text{rad}$ , bunch length (x2), & bunch  
charge to  $N_b = 2.6 \times 10^{11}$  would yield  $L = 3.6 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$  ( $\beta^* = 0.5 \text{ m}$  still)

***beam-beam limit  $\leftrightarrow$  bunch charge!***

# detector pile up

< 200-300 events/#ing!?

$10^{35} \text{cm}^{-2} \text{s}^{-1}$

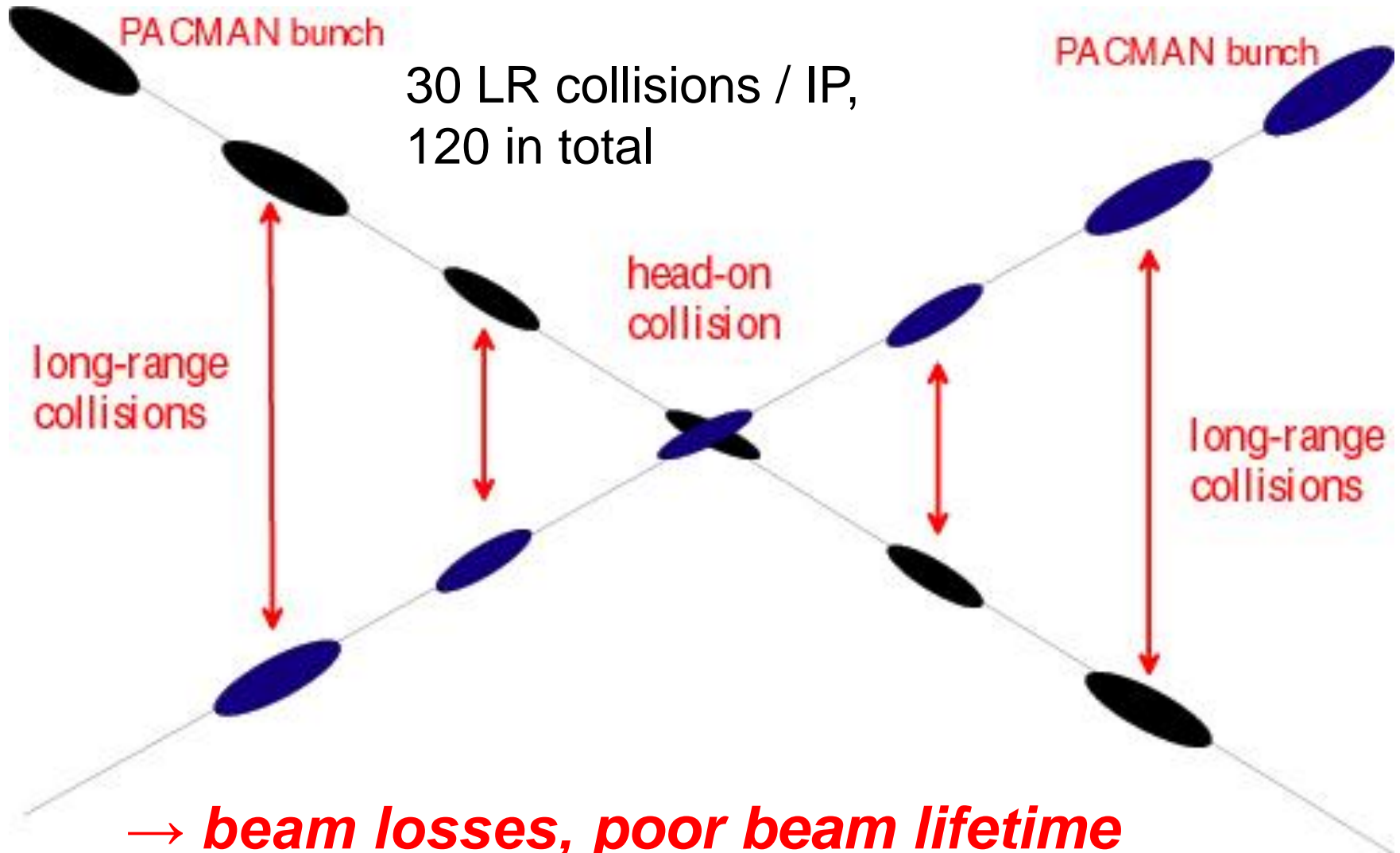


I. Osborne

↔ *bunch spacing!*

generated tracks per crossing,  
 $p_t > 1 \text{ GeV}/c$  cut, i.e. soft tracks removed!

# long-range (LR) beam-beam



→ *beam losses, poor beam lifetime*

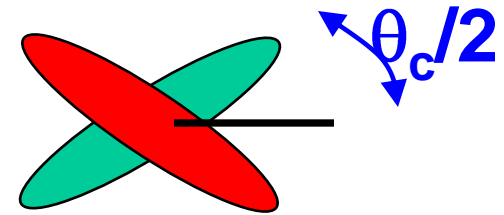
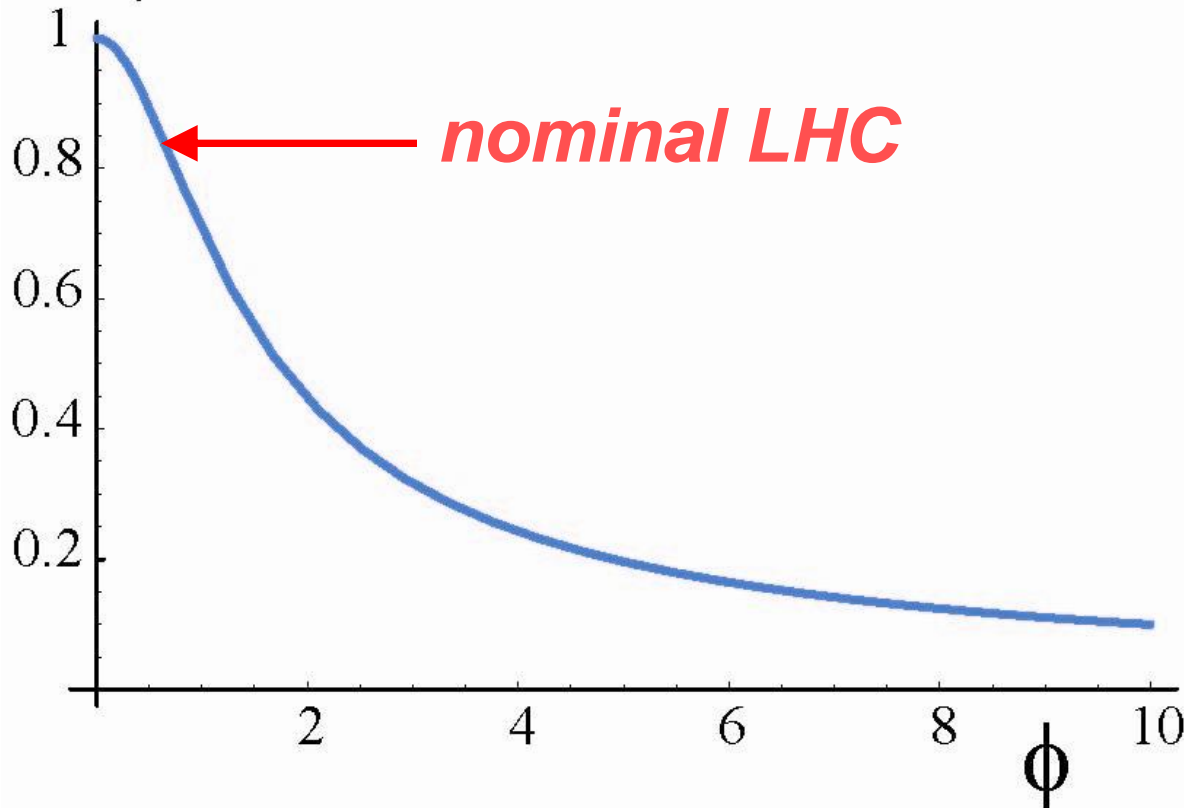
↔ *minimum crossing angle &  $\beta^*$ , aperture!*

# crossing angle

$$R_\phi = \frac{1}{\sqrt{1+\phi^2}}; \quad \phi \equiv \frac{\theta_c \sigma_z}{2\sigma_x}$$

$R_\phi$  luminosity reduction factor

“Piwinski angle”



effective beam size  $\sigma \rightarrow \sigma/R_\phi$

→ **luminosity loss, poor beam lifetime**

↔ **bunch length,  $\beta^*$ , crab cavities, emittance, early separation scheme!**

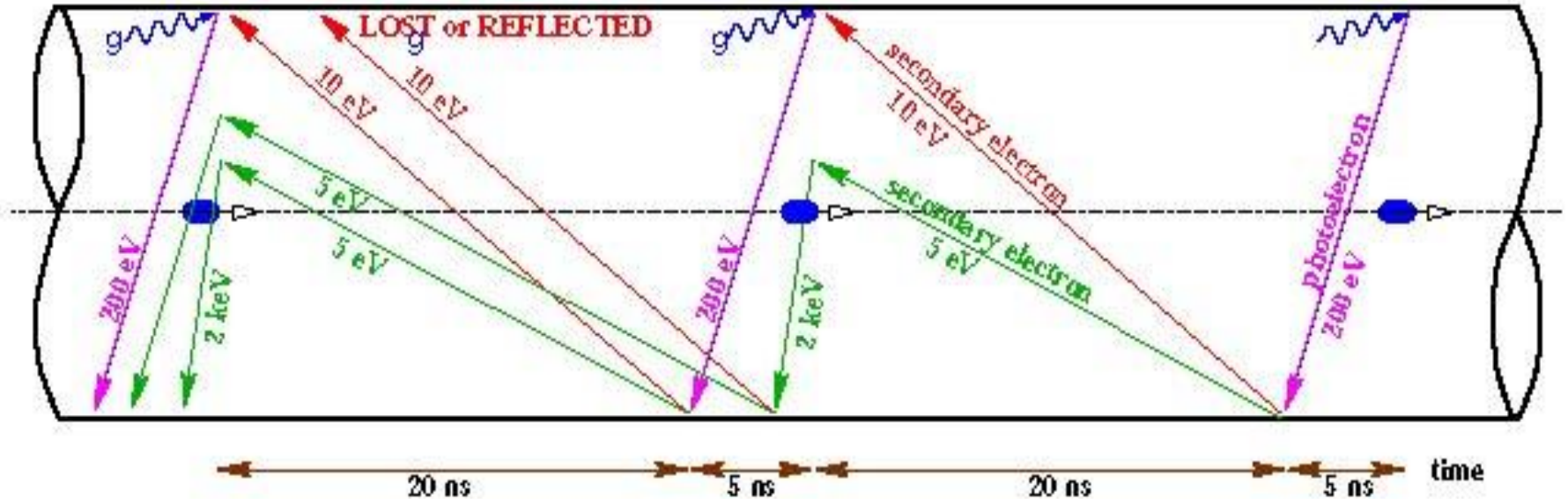
# collimation

*R. Assmann, HHH-2008*

- main task: **quench protection**: 1% beam loss in 10 s at 7 TeV  $\sim$  500 kW energy ; quench limit = 8.5 W/m!
- simulated **cleaning efficiency w. errors allows only  $\sim$ 5% of nominal intensity** for assumed loss rate
- **IR upgrade will not improve intensity limit**
- “**phase-II**” collimation with **(sacrificial or consumable?) Cu & cryogenic collimators** under study ; predicted factor 30 improvement in cleaning efficiency: **99.997 %/m  $\rightarrow$  99.99992 %/m ; ready for nominal and higher intensity in 2012?!**

# electron cloud

[F. Ruggiero]



schematic of e- cloud build up in LHC beam pipe,  
due to **photoemission** and **secondary emission**

→ *heat load* (→ *quenches*), *instabilities*,  
*emittance growth*, *poor beam lifetime*

also synchrotron  
radiation & beam  
image currents  
add to heat load

↔ *bunch spacing*, *bunch charge* & *bunch length!*



# injector limitations

- **nominal LHC beam  $\sim$  present performance limit**
- **ultimate LHC beam out of reach**
- **component aging & reliability problems**
- important limiting mechanisms like space charge, & aperture are common to all injectors and will “profit” from an **injection energy increase**; in particular the PSB will profit from new LINAC4
- TMTI is a major limitation for PS and SPS and an **increase in  $|\eta|$**  is necessary (avoid transition crossing and  $\gamma_{inj} \gg \gamma_{tr}$ )

# development of scenarios

**2001/02 feasibility study** (LHC Project Report 626):

“phase 0” – no HW changes

“phase 1” – IR upgrade, 12.5 ns or superbunches

“phase 2” – major HW changes; injector upgrade

*32 workshops*  
*156 documents*



CARE



**CARE – HHH 2004 - 2008**  
High energy High intensity Hadron beams

**HHH-2004:** superbunches †

**LUMI'05:** IR upgrade w. NbTi and  $\beta^*=0.25$  m, “LPA” scheme; “early separation”

**LUMI'06:** 12.5 ns † “dipole first schemes” †

**BEAM'07:** beam production; luminosity leveling; “full crab crossing”

**HHH-2008:** “low emittance”

# LHC upgrade stages

***“phase 1” 2013,  $2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ :***

new NbTi triplets, D1, TAS,  
 $\beta^* \sim 0.25\text{-}0.3 \text{ m}$  in IP1 & 5,  
beam from new Linac4

***“phase 2” 2017,  $\sim 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$  :***

possibly Nb<sub>3</sub>Sn triplet &  $\beta^* \sim 0.15 \text{ m}$

***+ injector  
upgrade***

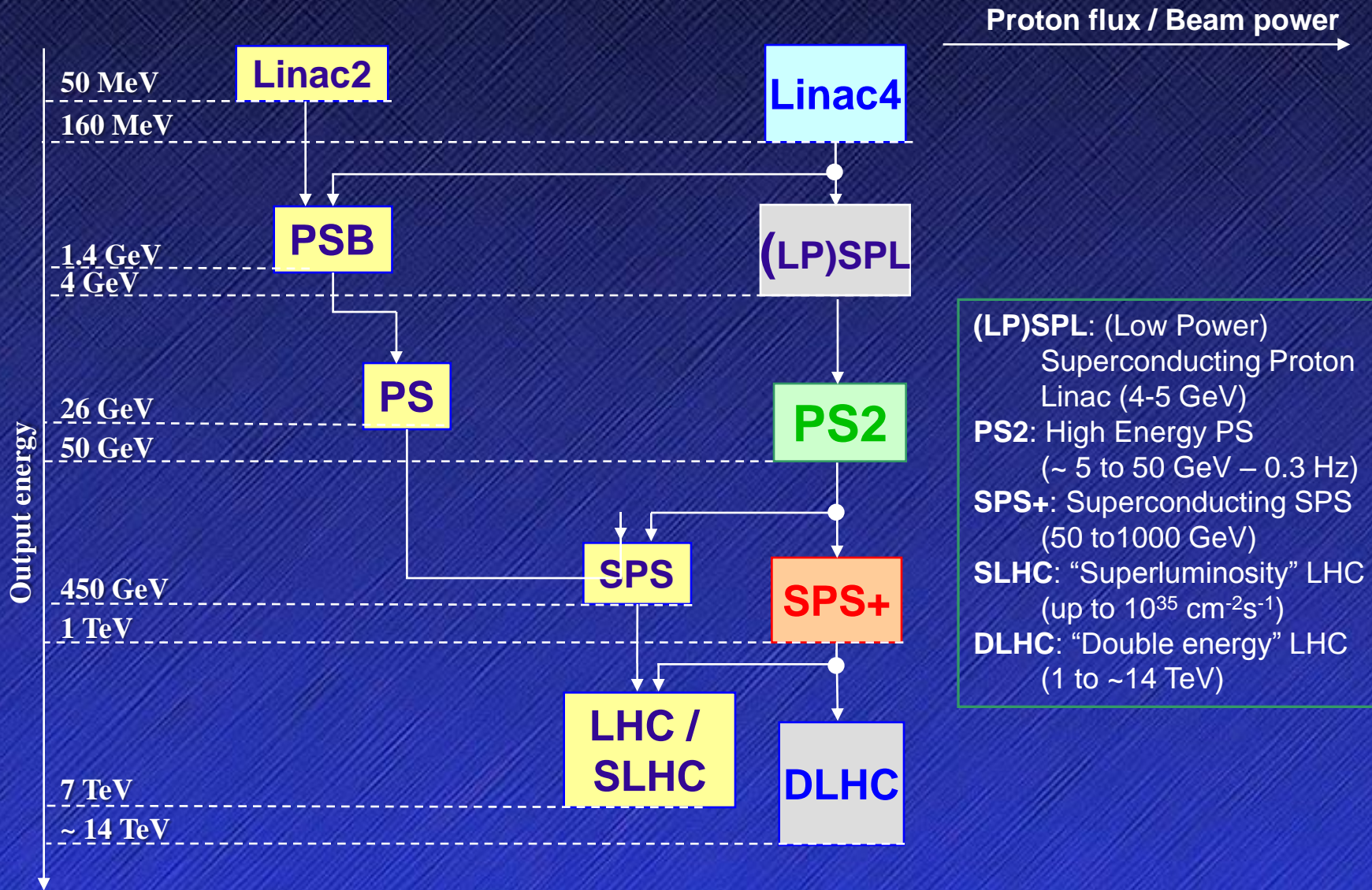
***complementary measures 2010-2017:***

e.g. long-range beam-beam compensation,  
crab cavities, advanced collimators, crab waist?  
[, coherent e- cooling??, e- lenses??]

***phase-2 might be just phase 1 plus complementary measures***

***longer term (2020?): energy upgrade, LHeC,...***

# present and future injectors



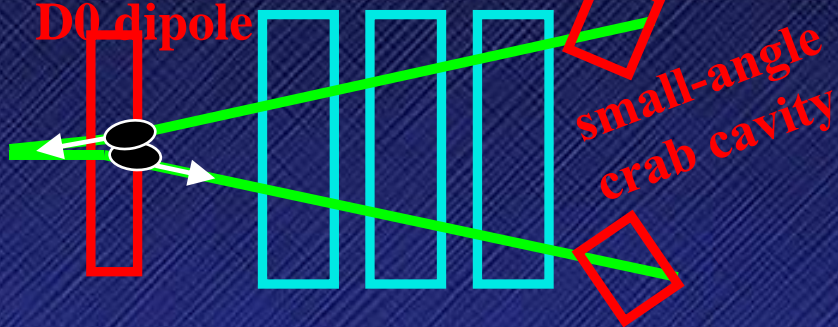
# LHC “phase-2” scenarios

- **early separation (ES)**  
 $\beta^* \sim 0.1$  m, 25 ns,  $N_b = 1.7 \times 10^{11}$ ,  
detector embedded dipoles
- **full crab crossing (FCC)**  
 $\beta^* \sim 0.1$  m, 25 ns,  $N_b = 1.7 \times 10^{11}$ ,  
local and/or global crab cavities
- **large Piwinski angle (LPA)**  
 $\beta^* \sim 0.25$  m, 50 ns,  $N_b = 4.9 \times 10^{11}$ ,  
“flat” intense bunches
- **low emittance (LE)**  
 $\beta^* \sim 0.1$  m, 25 ns,  $\gamma\varepsilon \sim 1-2 \mu\text{m}$ ,  $N_b = 1.7 \times 10^{11}$

# "phase-2" IR layouts

## early separation (ES) J.-P. Koutchouk

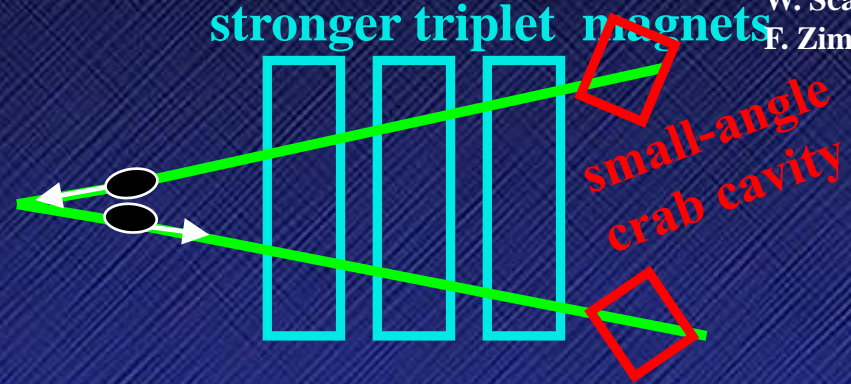
stronger triplet magnets



- early-separation dipoles in side detectors , crab cavities  
→ hardware inside ATLAS & CMS detectors,  
first hadron crab cavities; off- $\delta$   $\beta$

## full crab crossing (FCC) L. Evans, W. Scandale, F. Zimmermann

stronger triplet magnets

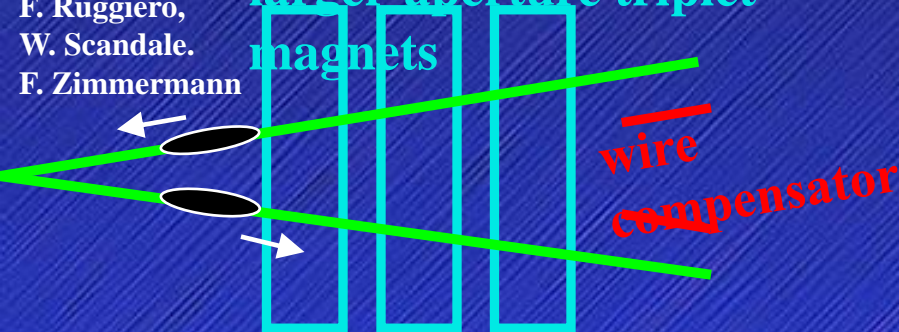


- crab cavities with 60% higher voltage  
→ first hadron crab cavities, off- $\delta$   $\beta$ -beat

## large Piwinski angle (LPA)

F. Ruggiero,  
W. Scandale,  
F. Zimmermann

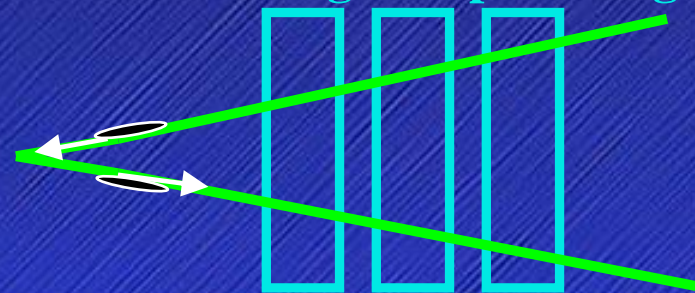
larger-aperture triplet magnets



- long-range beam-beam wire compensation  
→ novel operating regime for hadron colliders,  
beam generation

## low emittance (LE) R. Garoby

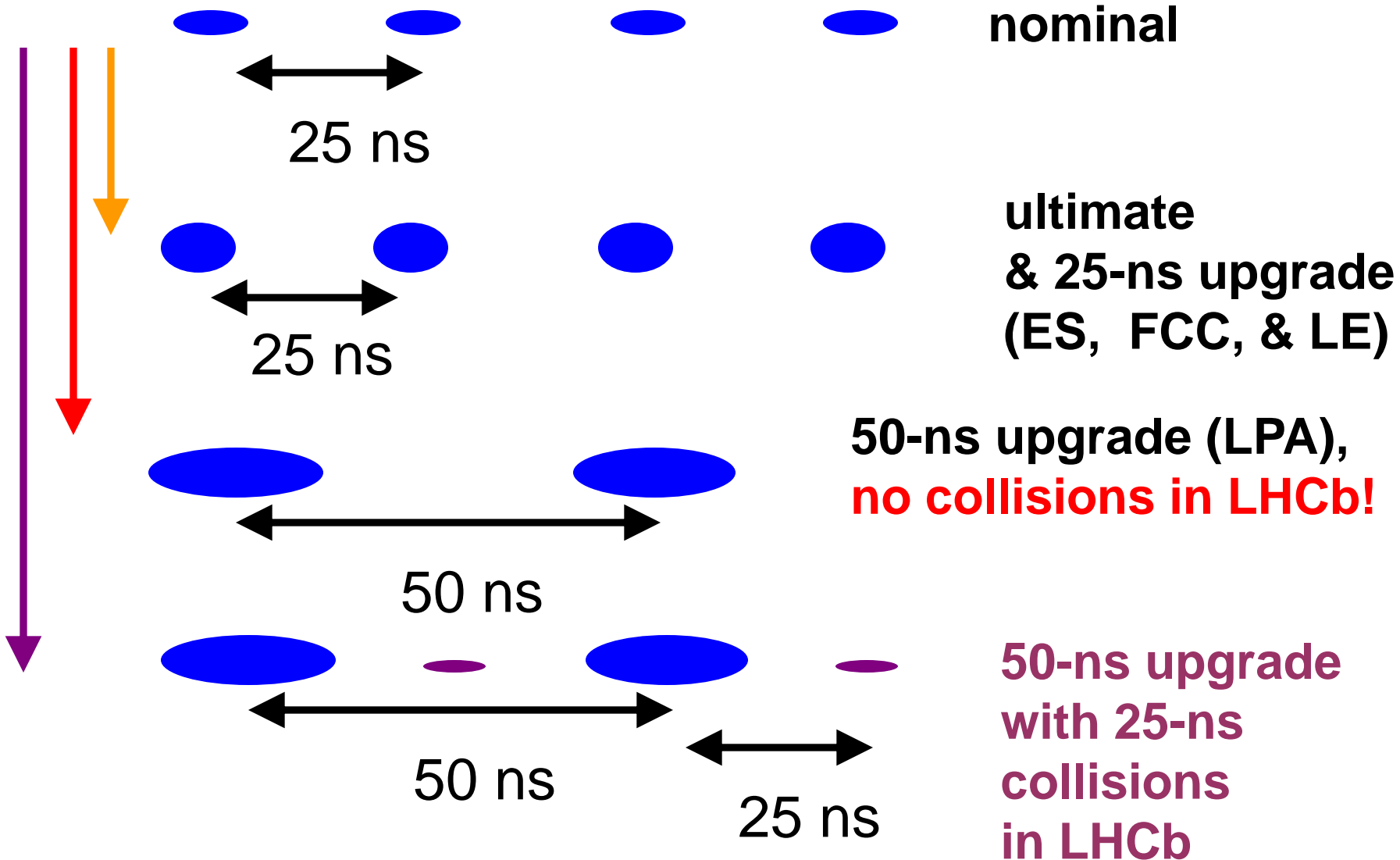
stronger triplet magnets



- smaller transverse emittance  
→ constraint on new injectors, off- $\delta$   $\beta$ -beat

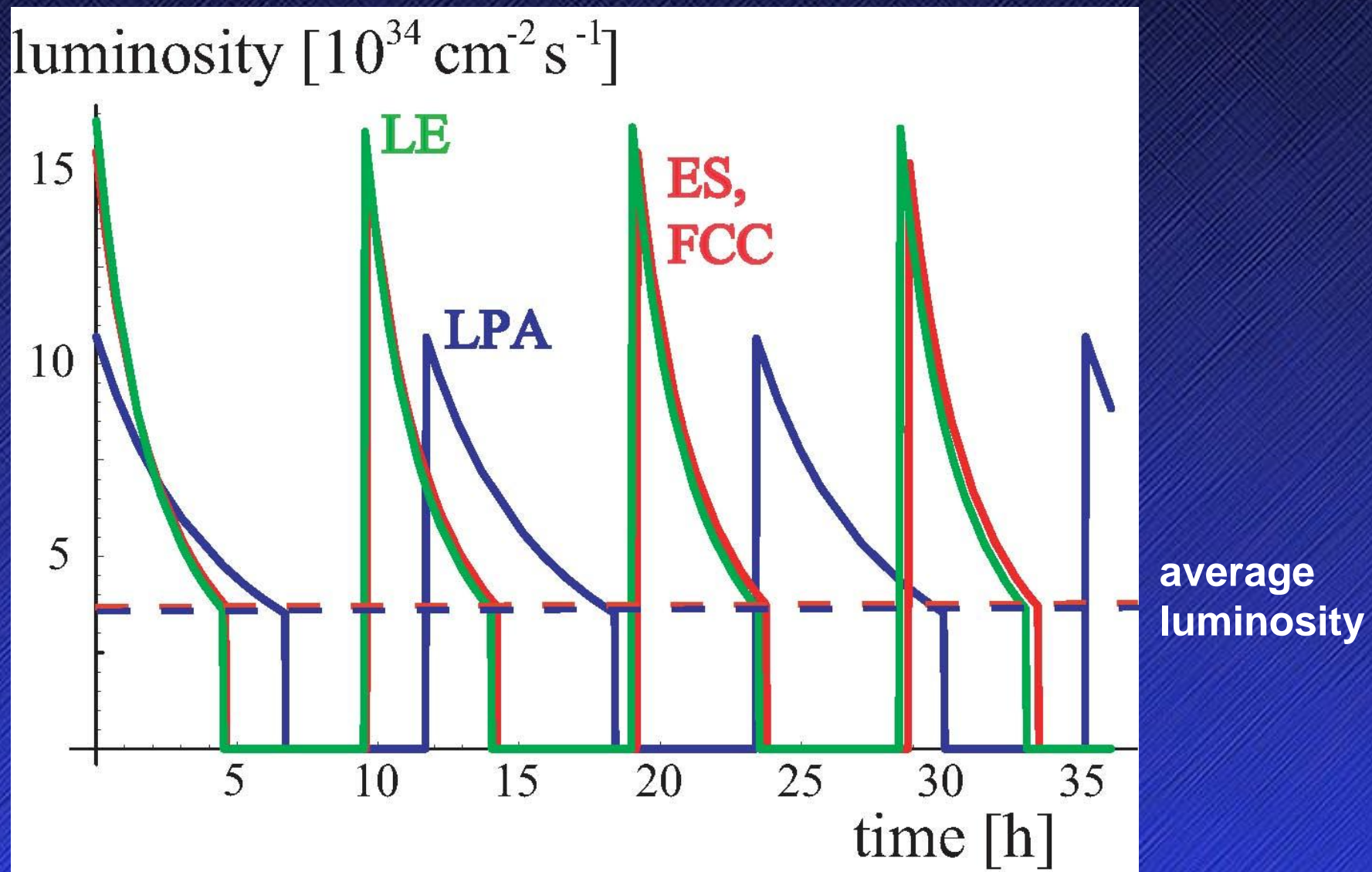
parameter	symbol	nominal	ultimate	ES	FCC	LE	LPA
transverse emittance	$\varepsilon$ [ $\mu\text{m}$ ]	3.75	3.75	3.75	3.75	1.0	3.75
protons per bunch	$N_b$ [ $10^{11}$ ]	1.15	1.7	1.7	1.7	1.7	4.9
bunch spacing	$\Delta t$ [ns]	25	25	25	25	25	50
beam current	I [A]	0.58	0.86	0.86	0.86	0.86	1.22
longitudinal profile		Gauss	Gauss	Gauss	Gauss	Gauss	Flat
rms bunch length	$\sigma_z$ [cm]	7.55	7.55	7.55	7.55	7.55	11.8
beta* at IP1&5	$\beta^*$ [m]	0.55	0.5	0.08	0.08	0.1	0.25
full crossing angle	$\theta_c$ [ $\mu\text{rad}$ ]	285	315	0	0	311	381
Piwinski parameter	$\phi = \theta_c \sigma_z / (2 * \sigma_x^*)$	0.64	0.75	0	0	3.2	2.0
geometric reduction		1.0	1.0	0.86	0.86	0.30	0.99
peak luminosity	$L$ [ $10^{34} \text{ cm}^{-2}\text{s}^{-1}$ ]	1	2.3	15.5	15.5	16.3	10.7
peak events per #ing		19	44	294	294	309	403
initial lumi lifetime	$\tau_L$ [h]	22	14	2.2	2.2	2.0	4.5
effective luminosity ( $T_{\text{turnaround}}=10 \text{ h}$ )	$L_{\text{eff}}$ [ $10^{34} \text{ cm}^{-2}\text{s}^{-1}$ ]	0.46	0.91	2.4	2.4	2.5	2.5
	$T_{\text{run,opt}}$ [h]	21.2	17.0	6.6	6.6	6.4	9.5
effective luminosity ( $T_{\text{turnaround}}=5 \text{ h}$ )	$L_{\text{eff}}$ [ $10^{34} \text{ cm}^{-2}\text{s}^{-1}$ ]	0.56	1.15	3.6	3.6	3.7	3.5
	$T_{\text{run,opt}}$ [h]	15.0	12.0	4.6	4.6	4.5	6.7
e-c heat SEY=1.4(1.3)	P [W/m]	1.1 (0.4)	1.04(0.6)	1.0 (0.6)	1.0 (0.6)	1.0 (0.6)	0.4 (0.1)
SR heat load 4.6-20 K	$P_{\text{SR}}$ [W/m]	0.17	0.25	0.25	0.25	0.25	0.36
image current heat	$P_{\text{IC}}$ [W/m]	0.15	0.33	0.33	0.33	0.33	0.78
gas-s. 100 h (10 h) $\tau_b$	$P_{\text{gas}}$ [W/m]	0.04 (0.4)	0.06 (0.6)	0.06 (0.56)	0.06 (0.56)	0.06 (0.56)	0.09 (0.9)
extent luminous region	$\sigma_1$ [cm]	4.5	4.3	3.7	3.7	1.5	5.3
comment		nominal	ultimate	D0 + crab	crab		wire comp.

# upgrade bunch patterns



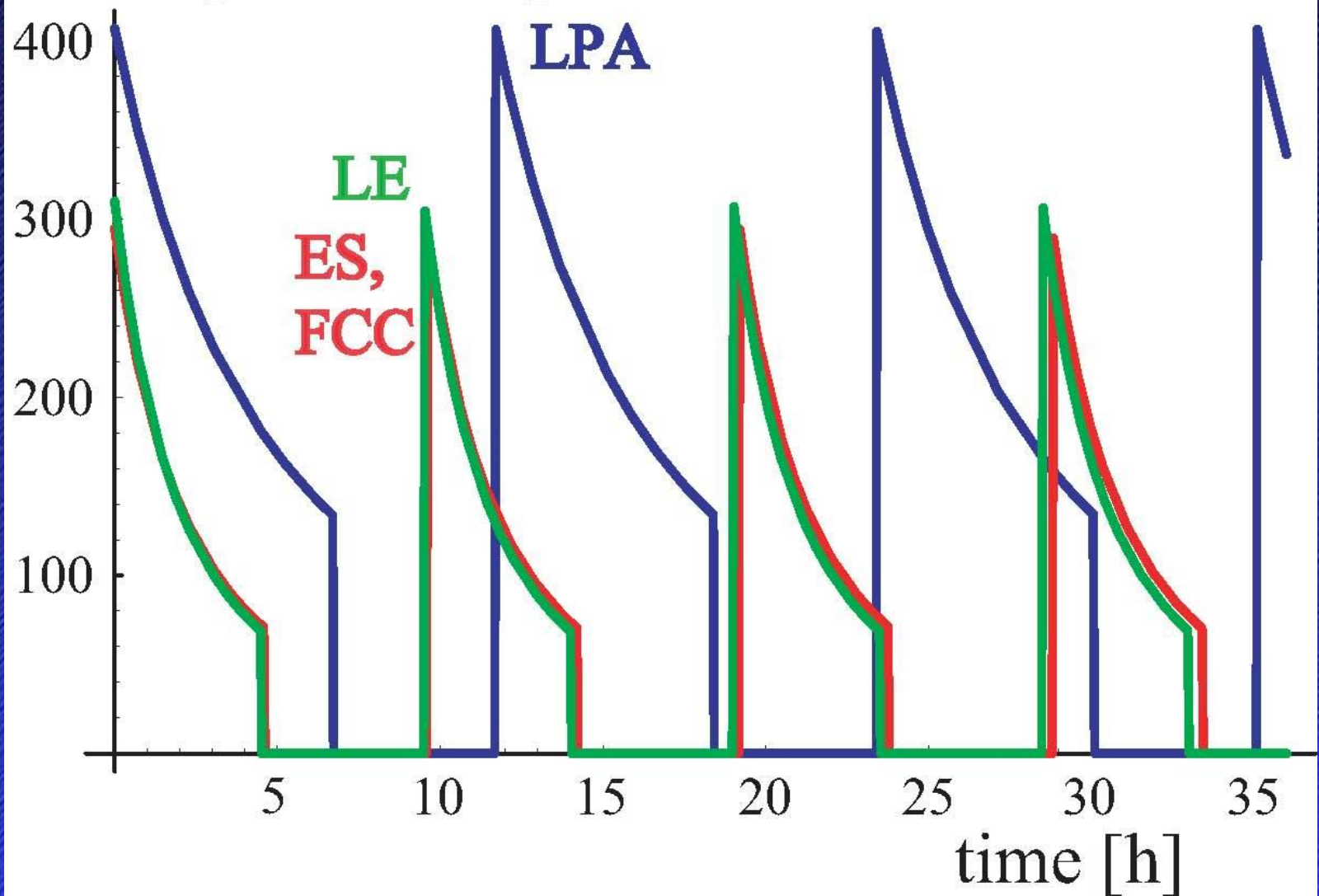


# luminosity evolution



# event pile up

events per crossing



# b.-b. $\Delta Q$ & peak luminosity

$$\Delta Q_{bb} = \frac{N_b}{\gamma \varepsilon} \frac{r_p}{2\pi} \frac{1}{\sqrt{1 + \phi_{piw}^2}} \frac{1}{F_{profile}} \quad \phi_{piw} \equiv \frac{\sigma_z \theta_c}{2\sigma_{x,y}^*}$$

Piwinski angle

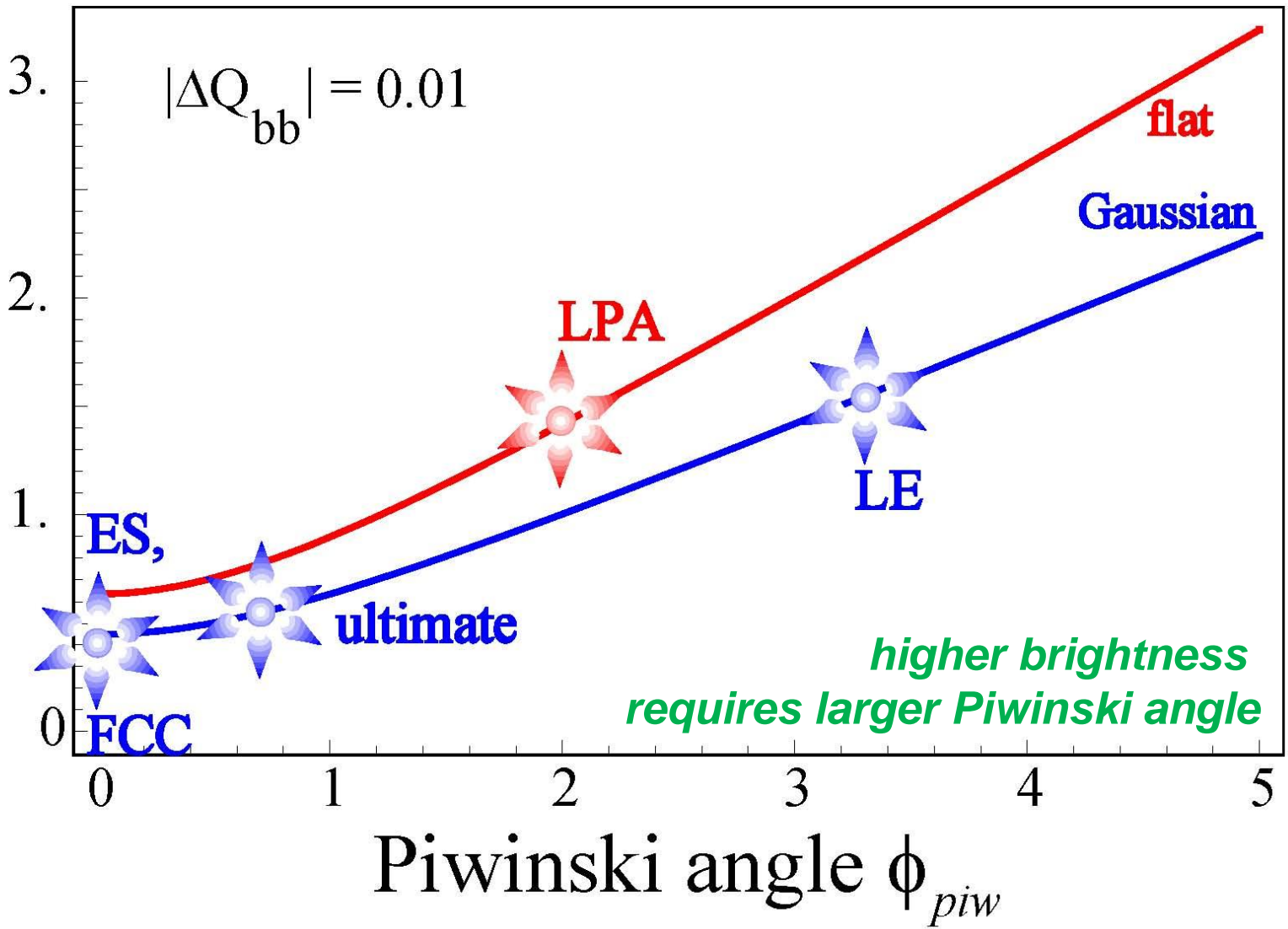
total beam-beam tune shift at 2 IPs with alternating crossing;  
 we increase charge  $N_b$  until limit  $\Delta Q_{bb}$  is reached; to go further  
 we must increase  $\phi_{piw}$ , and/or  $\varepsilon$  and/or  $F_{profile}$  ( $\sim 2^{1/2}$  for flat bunches)

$$\begin{aligned} L &= \frac{1}{4\pi} f_{rev} n_b \gamma \frac{1}{\beta^*} \frac{1}{\varepsilon} N_b^2 F_{hg} \frac{1}{\sqrt{1 + \phi_{piw}^2}} \\ &= \frac{1}{2r_p} f_{rev} n_b \gamma \frac{1}{\beta^*} N_b \Delta Q_{bb} F_{profile} F_{hg} \\ &= \frac{\pi}{r_p^2} f_{rev} n_b \gamma \frac{\varepsilon}{\beta^*} \Delta Q_{bb}^2 F_{profile}^2 F_{hg} \sqrt{1 + \phi_{piw}^2} \end{aligned}$$

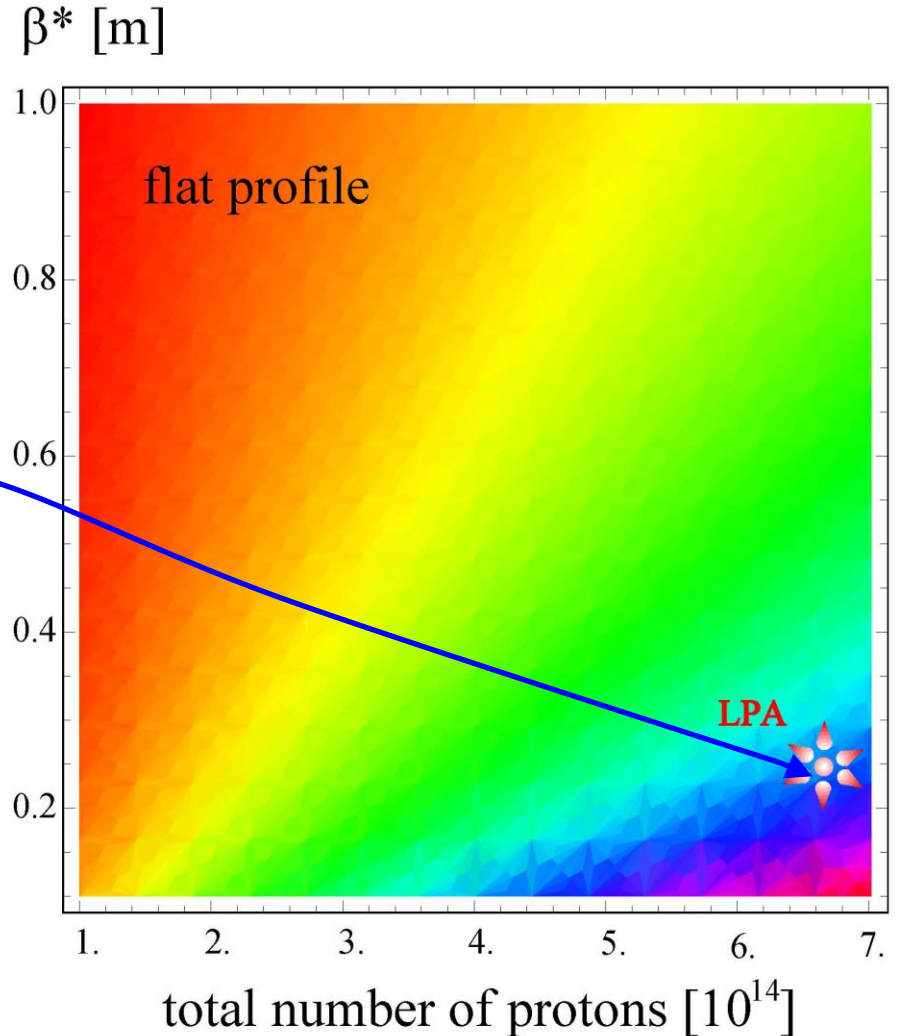
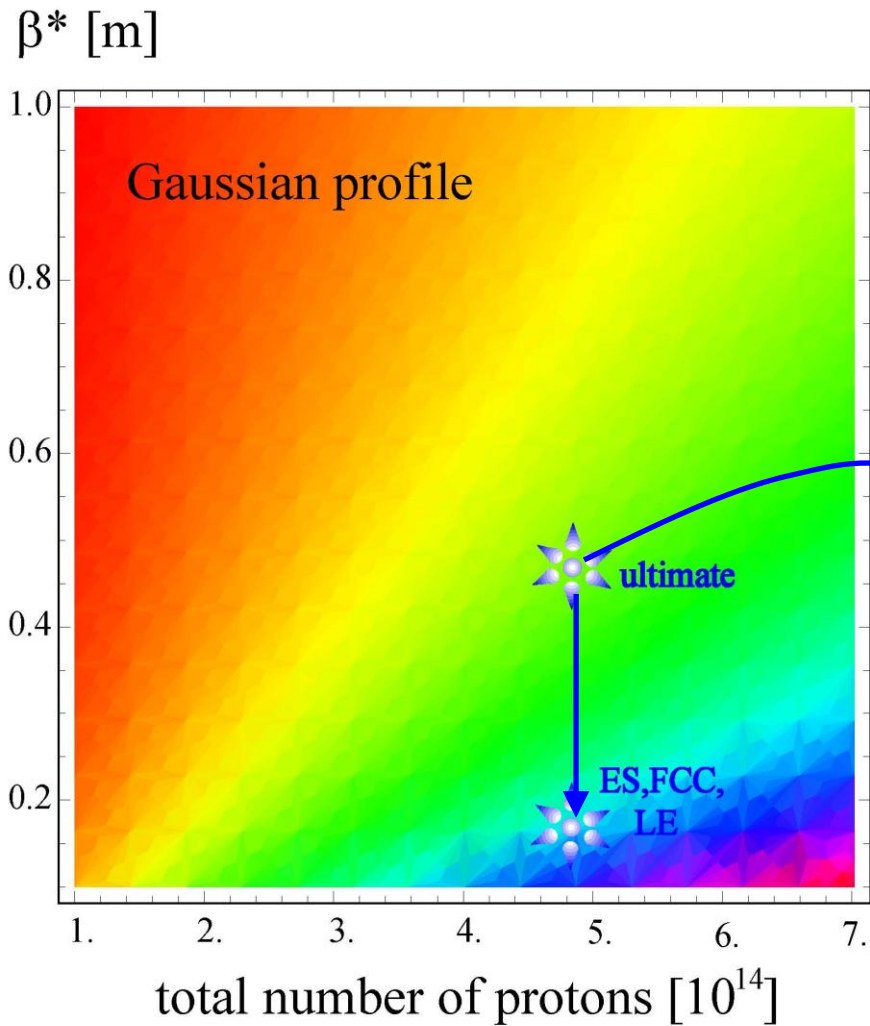
at the b-b limit, larger Piwinski angle &/or larger emittance increase luminosity

# $N_b / (\gamma\varepsilon)$ vs $\phi_{piw}$ plane

bunch brightness  $N_b / (\gamma\varepsilon)$  [ $10^{17} \text{ m}^{-1}$ ]



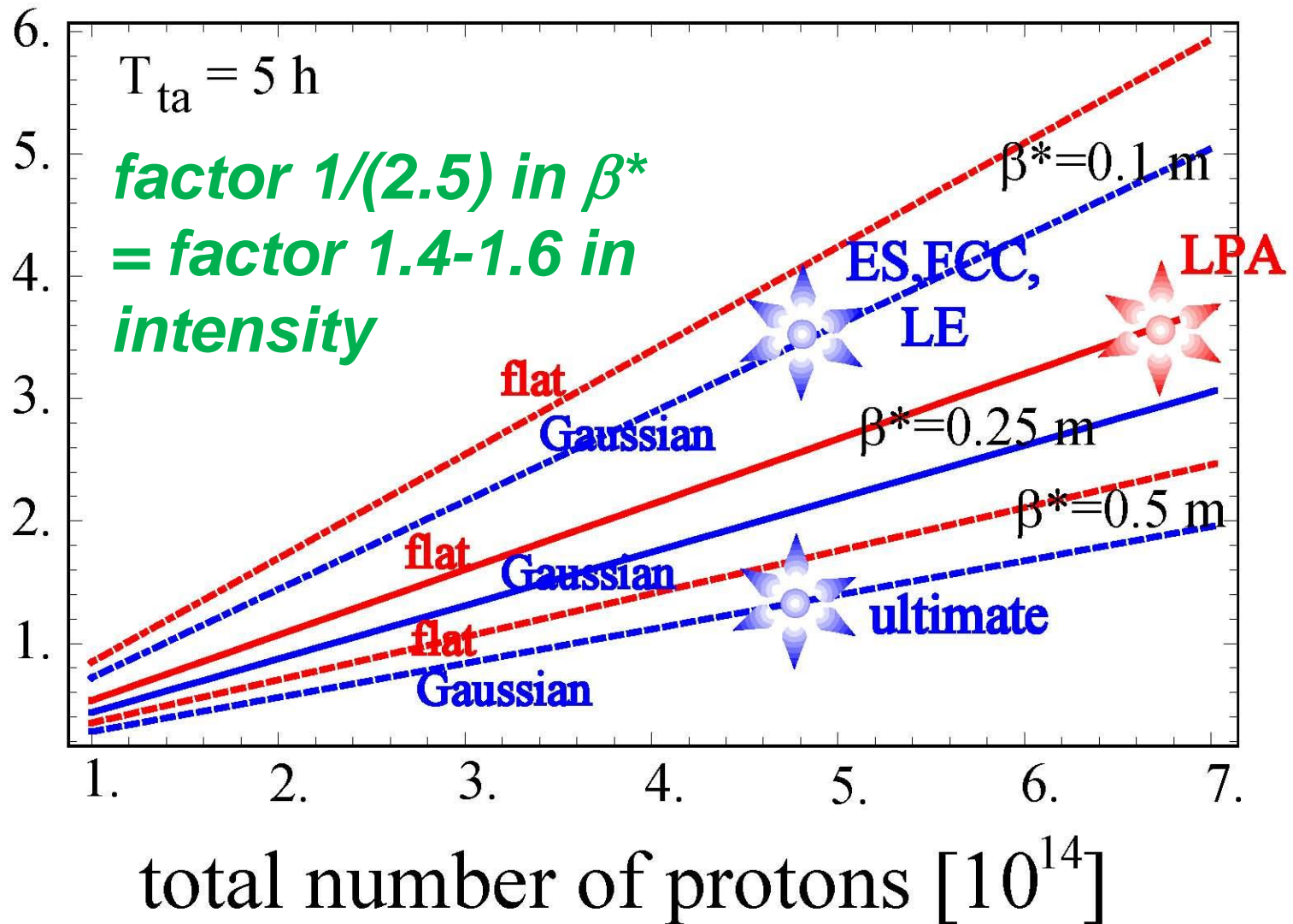
# av. luminosity vs #p's & $\beta^*$



“linear” scale from  $10^{33}$  to  $2 \times 10^{35}$   $\text{cm}^{-2}\text{s}^{-1}$

# av. luminosity vs #p's

average luminosity [ $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ]



# luminosity leveling

*experiments prefer constant luminosity:  
less pile up at start of run, and higher  
luminosity at the end of a physics store*

*how can we achieve this?*

ES, or FCC: dynamic  $\beta$  squeeze, or dynamic  $\theta$  change (either IP angle bumps or varying crab voltage); LE:  $\beta$  or  $\theta$  change;  
LPA: dynamic  $\beta$  squeeze, or dynamic change of bunch length

# run time & av. luminosity

	w/o leveling	with leveling
luminosity evolution	$L = \frac{\hat{L}}{\left(1 + t/\tau_{\text{eff}}\right)^2}$	$L = L_0 \approx \text{const}$
beam current evolution	$N = \frac{N_0}{\left(1 + t/\tau_{\text{eff}}\right)^2}$	$N = N_0 - \frac{N_0}{\tau_{\text{lev}}} t$
optimum run time	$T_{\text{run}} = \sqrt{\tau_{\text{eff}} T_{\text{turn-around}}}$	$T_{\text{run}} = \frac{\Delta N_{\text{max}} \tau_{\text{lev}}}{N_0}$
average luminosity	$L_{\text{ave}} = \hat{L} \frac{\tau_{\text{eff}}}{\left(\tau_{\text{eff}}^{1/2} + T_{\text{turn-around}}^{1/2}\right)^2}$	$L_{\text{ave}} = \frac{L_0}{1 + \frac{L_0 \sigma_{\text{tot}} n_{\text{IP}} T_{\text{turn-around}}}{\Delta N_{\text{max}} n_b}}$

$$\tau_{\text{eff}} = \frac{N_0 n_b}{n_{\text{IP}} \hat{L} \sigma_{\text{tot}}}$$

$$\tau_{\text{lev}} = \frac{N_0 n_b}{n_{\text{IP}} L_0 \sigma_{\text{tot}}}$$

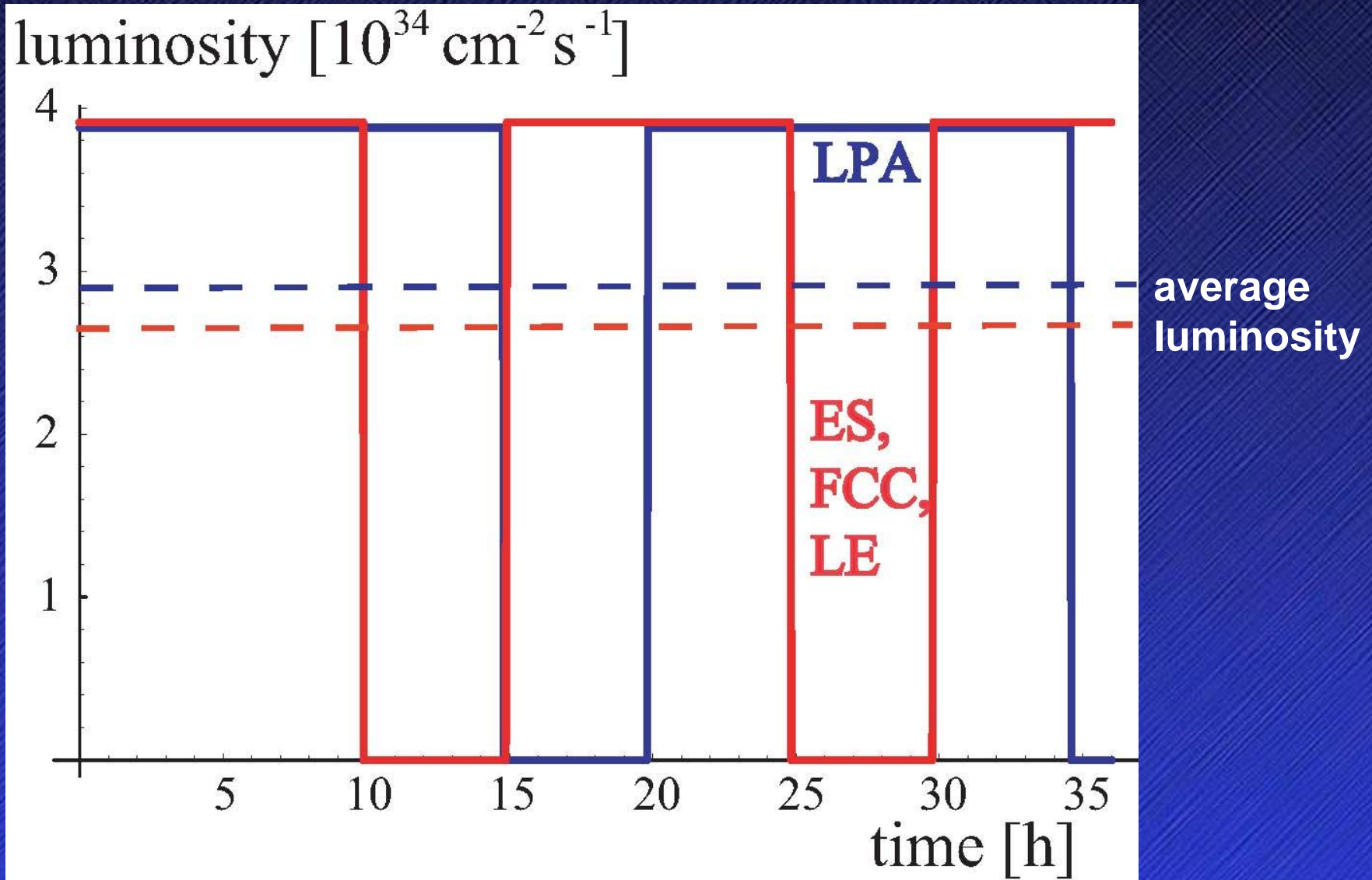
**luminosity lifetime scales with total # protons!**



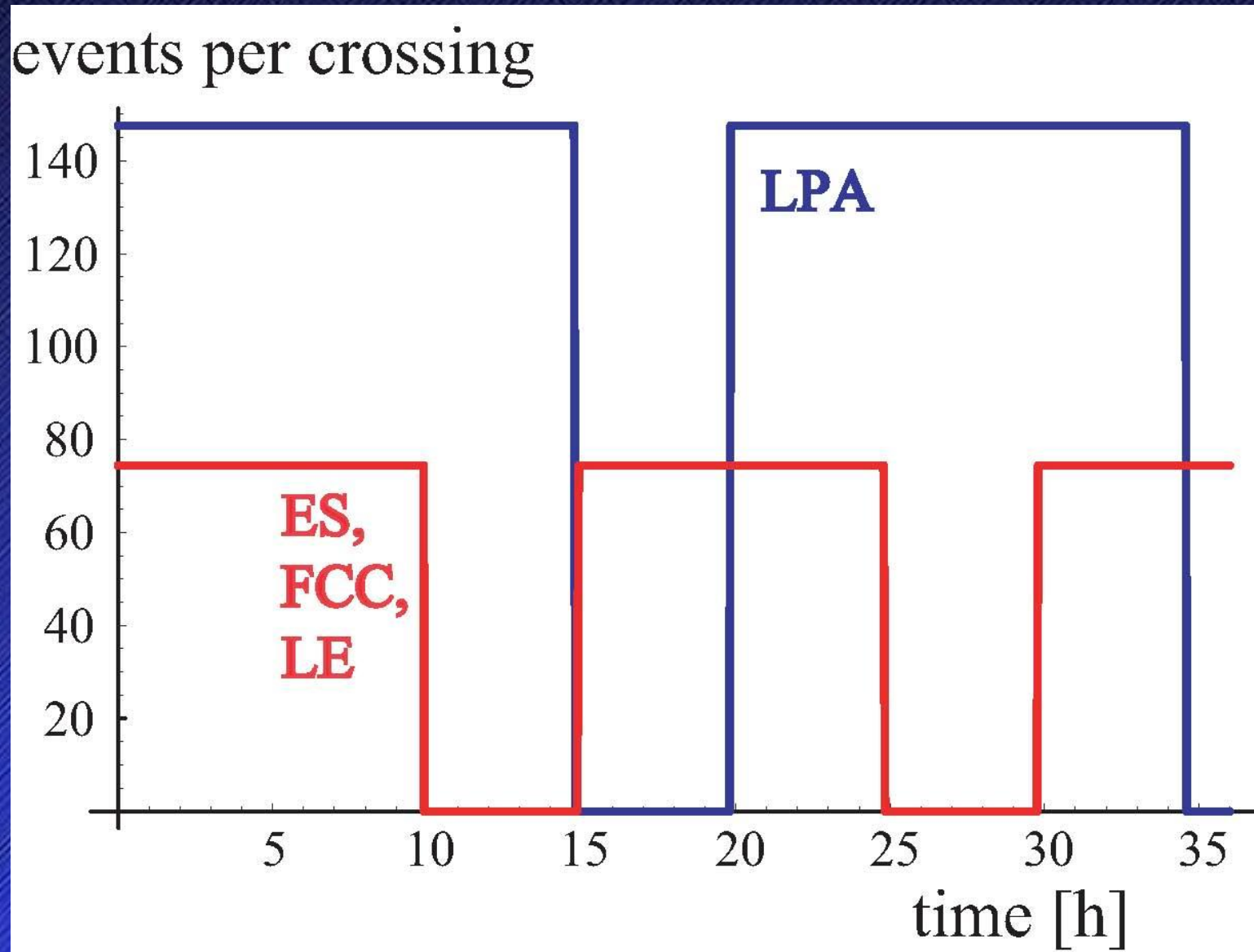
<i>examples</i>	ES, FCC, or LE, with leveling	LPA, with leveling
events/crossing	300	300
run time	N/A	2.5 h
av. luminosity	N/A	$2.6 \times 10^{34} \text{s}^{-1} \text{cm}^{-2}$
events/crossing	150	150
run time	2.5 h	14.8 h
av. luminosity	$2.6 \times 10^{34} \text{s}^{-1} \text{cm}^{-2}$	$2.9 \times 10^{34} \text{s}^{-1} \text{cm}^{-2}$
events/crossing	75	75
run time	9.9 h	26.4 h
av. luminosity	$2.6 \times 10^{34} \text{s}^{-1} \text{cm}^{-2}$	$1.7 \times 10^{34} \text{s}^{-1} \text{cm}^{-2}$

assuming 5 h turn-around time

# luminosity with leveling



# event pile up with leveling



# some conclusions

- ✓ nominal LHC is challenging
- ✓ upgrade of collimation system mandatory
- ✓ beam parameter sets evolved over past 8 years
- ✓ several scenarios exist on paper which can reach 10x nominal luminosity with acceptable heat load & pile up; different merits and drawbacks (*not in a corner*)
- ✓ if possible, raising beam intensity is preferred over reducing  $\beta^*$  (better beam lifetime) ;  
**but intensity might be limited by collimation!**
- ✓ **needed:** work on s.c. IR magnets for phase-2 and on complementary measures (LR beam-beam compensation, crab cavities, etc. )
- ✓ close coordination with detector upgrades

*thank you!*

appendix:

more details on collimation constraints

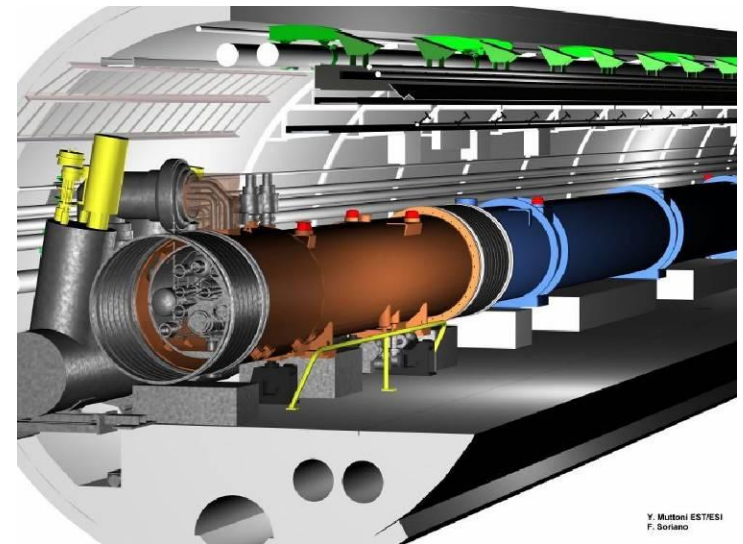
# collimation – quench prevention

Maximum beam loss at 7 TeV: 1% of beam  
over 10 s

**500 kW**

Quench limit of  
SC LHC magnet:

**8.5 W/m**

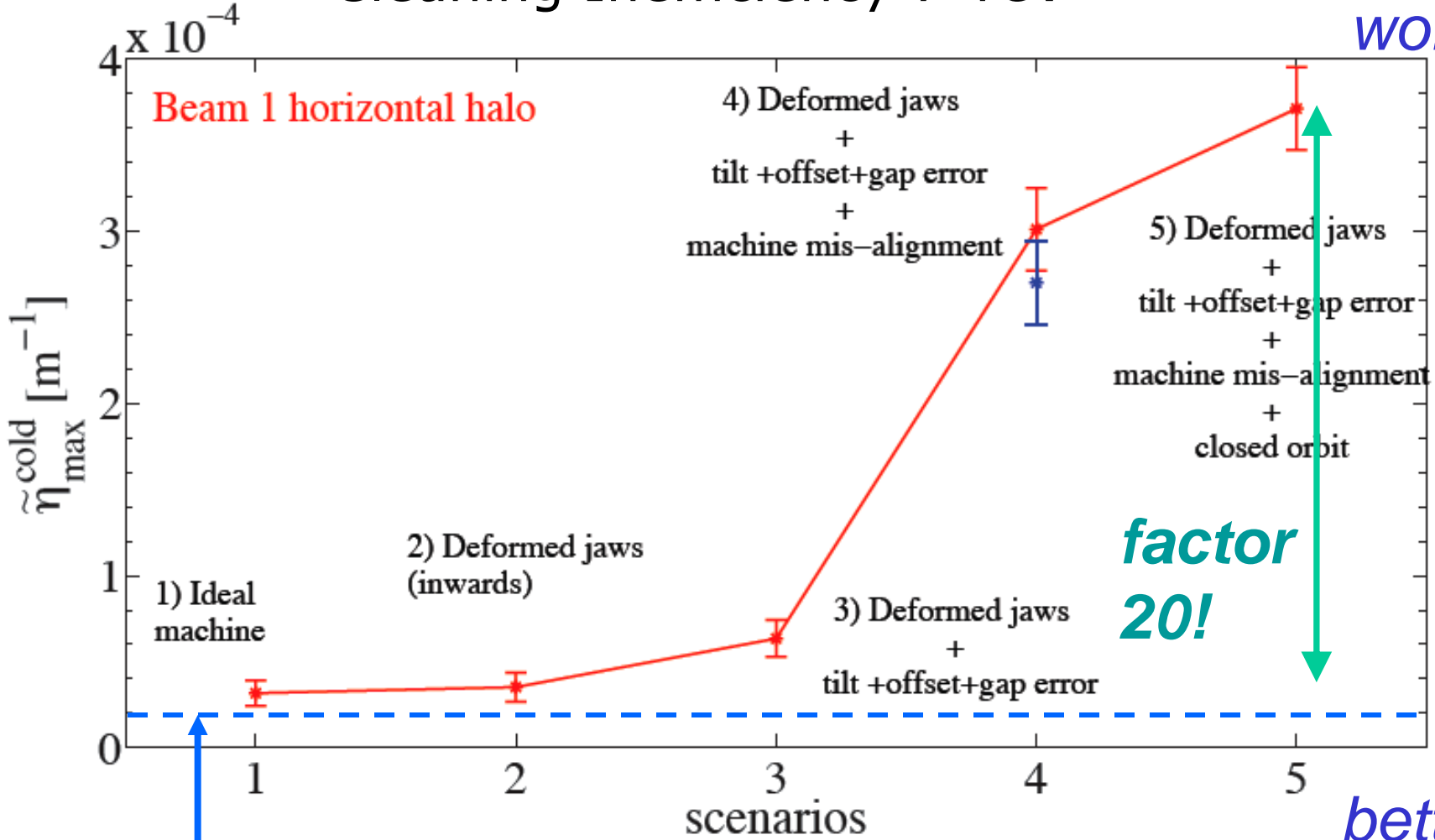


Y. Mutohi EST/ESL  
F. Soriano

# collimation performance

- Cleaning Inefficiency 7 TeV -

Cleaning Inefficiency (~Leakage)



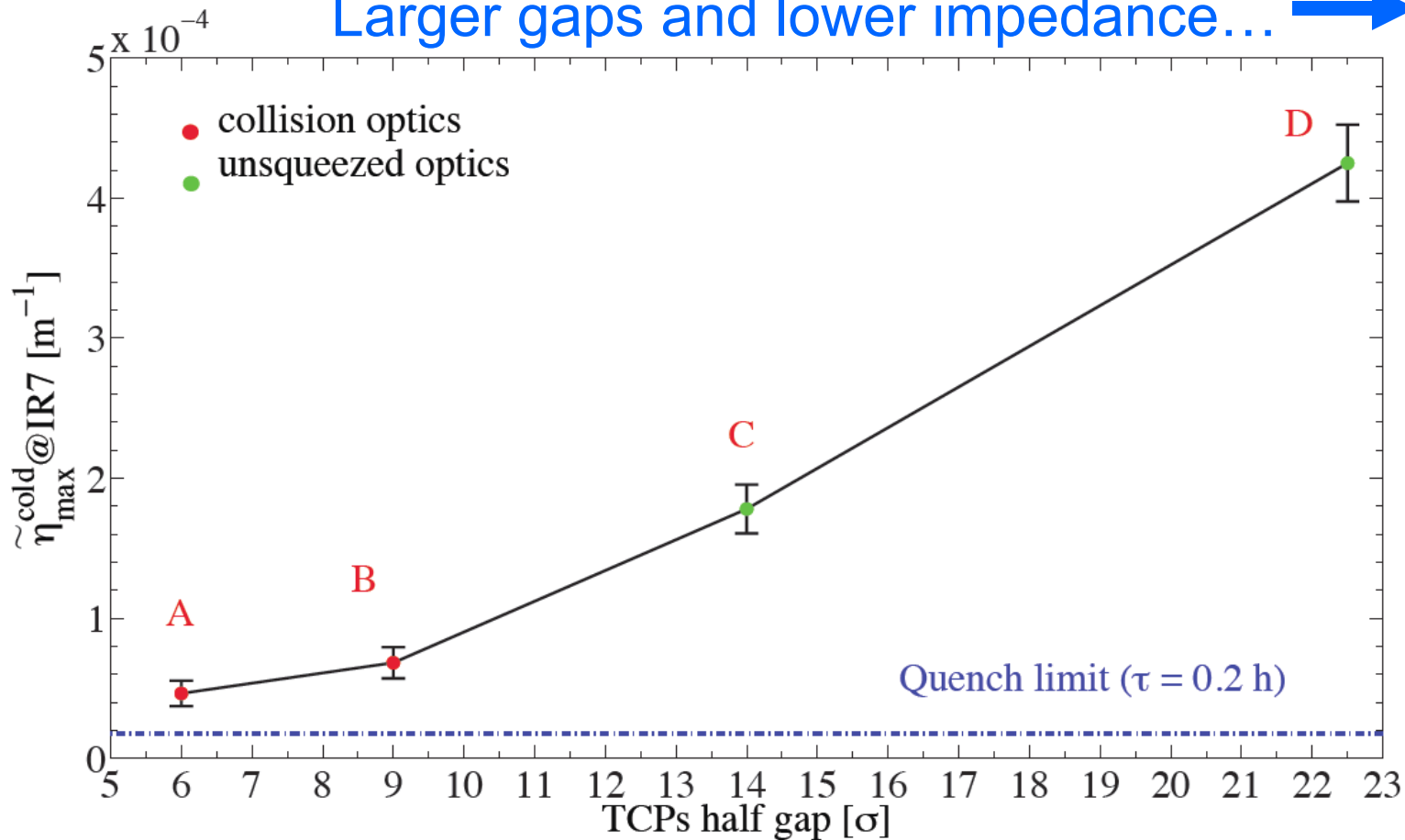
PhD C. Bracco

requirement for design quench limit, BLM thresholds and specified loss rates



# collimation performance II

Larger gaps and lower impedance... →



↑  
Higher  
inefficiency,  
less cleaning  
performance

PhD C. Bracco

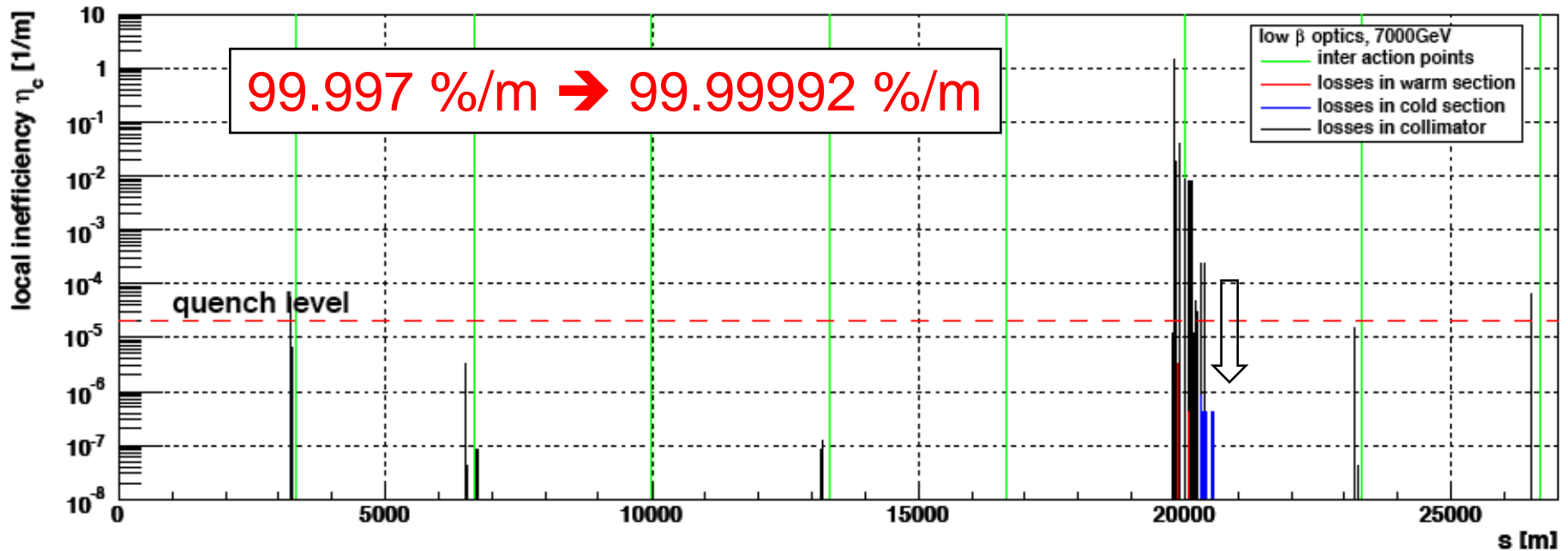
➔ **Phase I IR upgrade will not improve intensity limit from phase I collimation!**

Additional room from triplet aperture can only be used after collimation upgrade

R. Assmann - HHH 2008

# p collimation efficiency w. "phase II" Cu & Cryogenic Collimators

T. Weiler & R. Assmann



inefficiency reduces by factor 30 (good for nominal intensity)

caution: further studies must show feasibility of this proposal

cryogenic collimators will be studied as part of FP7 with GSI in Germany

# collimation time line

Present view, to be refined in 2009 review:

- **February 2009**: First phase II project decisions. Design work on **phase II TCSM** ongoing at LARP and CERN. Work on **beam test stand** at CERN
- **April 2009**: Start of FP7 project on collimation → Start of development for **cryogenic collimator** and (lower priority) LHC crystal collimator
- **2009-2010**: **Laboratory tests** on TCSM and cryo collimator prototypes
- **Mid 2010**: Beam test stand available for **robustness tests**. Safe beam tests with TCSM and cryogenic collimators (catastrophic failure possible)
- **2011**: **LHC beam tests** of TCSM and cryogenic collimators
- **2011-2012**: **Production and installation** of phase II collimation upgrade.
- **Mid 2012**: **Readiness for nominal and higher intensities** from collimation side