

4-jet production with kt-factorization plus parton showers

Mirko Serino

Institute of Nuclear Physics, Polish Academy of Sciences,
Cracow, Poland

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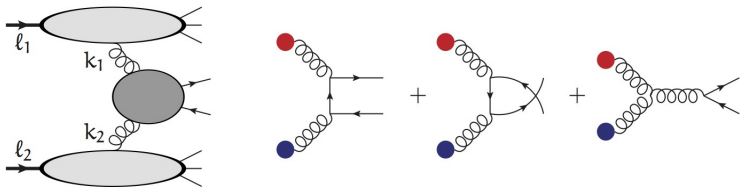
Work in collaboration with
Marcin Bury, Andreas van Hameren, Hannes Jung and Krzysztof Kutak

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- 1 4-jet production in kt-factorization: Single and Double Parton scattering without parton showers
- 2 4-jet production in kt-factorization: Single Parton scattering plus parton showers
- 3 Improving the search for DPS: asymmetric cuts and new variables
- 4 Summary and perspectives
- 5 Backup Slides

High-Energy-factorisation

High-Energy-factorisation (*Catani,Ciafaloni,Hautmann, 1991 / Collins,Ellis, 1991*)



$$\sigma_{h_1, h_2 \rightarrow q\bar{q}} = \int d^2 k_{1\perp} d^2 k_{2\perp} \frac{dx_1}{x_1} \frac{dx_2}{x_2} \mathcal{F}_g(x_1, k_{1\perp}) \mathcal{F}_g(x_2, k_{2\perp}) \hat{\sigma}_{gg} \left(\frac{m^2}{x_1 x_2 s}, \frac{k_{1\perp}}{m}, \frac{k_{2\perp}}{m} \right)$$

where the \mathcal{F}_g 's are the gluon densities, obeying BFKL, BK, CCFM evolution equations, and $\hat{\sigma}$ the **gauge invariant** parton cross section (!!!)

Non negligible transverse momentum \Leftrightarrow small- x physics.

Momentum parameterisation:

$$k_1^\mu = x_1 p_1^\mu + k_{1\perp}^\mu, \quad k_2^\mu = x_2 p_2^\mu + k_{2\perp}^\mu \quad \text{for } p_i \cdot k_i = 0 \quad k_i^2 = -k_{i\perp}^2 \quad i = 1, 2$$

Introduction

We had a couple of papers on Double Parton Scattering (DPS) in 4-jet production:

K. Kutak, R. Maciula, M.S., A. Szczurek, A. van Hameren
JHEP 1604 (2016) 175, Phys.Rev. D94 (2016) no.1, 014019

- Approach: pure k_T -factorization approach with fully gauge-invariant tree-level matrix elements: KaTie Monte Carlo by Andreas van Hameren
- Conclusions: the symmetric cuts of the only existing analysis of 4-jet production, Phys.Rev. D89 (2014) no.9, 092010, suppress DPS because of a phase-space effect to be discussed later \Rightarrow recommending asymmetric cuts in a future analysis
- **Idea to move further:** interface KaTie with the CASCADE parton shower Monte Carlo by Hannes Jung and collaborators.

Introducing Double Parton Scattering

For a review of DPS: Diehl, Ostermeier, Schafer, JHEP 1203 (2012) 089

For more formal approach to DPS \Rightarrow Buffing's talk

DPS \equiv the simultaneous occurrence of two partonic hard scatterings in the same proton-proton collision

$$\sigma^D = \mathcal{S} \sum_{i,j,k,l} \int \Gamma_{ij}(x_1, x_2, b; t_1, t_2) \Gamma_{kl}(x'_1, x'_2, b; t_1, t_2) \hat{\sigma}(x_1, x'_1) \hat{\sigma}(x_2, x'_2) dx_1 dx_2 dx'_1 dx'_2 d^2 b$$

Usual assumption: separation of longitudinal and transverse DOFs:

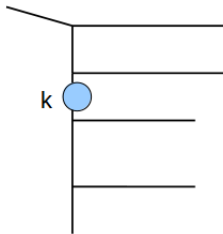
$$\Gamma_{ij}(x_1, x_2, b; t_1, t_2) = D_h^{ij}(x_1, x_2; t_1, t_2) F^{ij}(b) = D_h^{ij}(x_1, x_2; t_1, t_2) F(b)$$

- Longitudinal correlations, most often ignored or assumed to be negligible, especially at small x : $D_h^{ij}(x_1, x_2; t_1, t_2) = D^i(x_1; t_1) D^j(x_2; t_2)$
- Transverse correlation, assumed to be independent of the parton species, taken into account via $\sigma_{eff}^{-1} = \int d^2 b F(b)^2 \approx (15mb)^{-1}$ (CDF and D0)

Usual final kind-of-crafty formula:

$$\sigma^D = \frac{\mathcal{S}}{\sigma_{eff}} \sum_{i_1, j_1, k_1, l_1; i_2, j_2, k_2, l_2} \sigma(i_1 j_1 \rightarrow k_1 l_1) \times \sigma(i_2 j_2 \rightarrow k_2 l_2)$$

Our PDFs: KMR prescription



Survival probability without emissions

Kimber, Martin, Ryskin prescription, '01 :

$$T_s(\mu^2, k^2) = \exp\left(-\int_{\mu^2}^{k^2} \frac{dk'^2}{k'^2} \frac{\alpha_s(k'^2)}{2\pi}\right) \times \sum_{a'} \int_0^{1-\Delta} dz' P_{aa'}(z')$$

$$\Delta = \frac{\mu}{\mu + k}, \quad \mu = \text{hard scale}$$

$$\mathcal{F}(x, k^2, \mu^2) \sim \partial_{\lambda^2} (T_s(\lambda^2, \mu^2) \times g(x, \lambda^2)) \Big|_{\lambda^2=k^2}$$

DLC 2016 (Double Log Coherence)

K. Kutak, R. Maciula, M.S., A. Szczurek, A. van Hameren, JHEP 1604 (2016) 175

Technical framework

KaTie (A. van Hameren) : <https://bitbucket.org/hameren/KaTie>, [arXiv:1611.00680](https://arxiv.org/abs/1611.00680)

- complete Monte Carlo program for tree-level calculations of any process within the Standard Model; any initial-state partons on-shell or off-shell; numerical Dyson-Schwinger recursion to calculate helicity amplitudes
- CASCADE-2.4.07: DGLAP or CCFM initial and final state parton showers (Hannes Jung et al.)
- Only u and d initial state quarks, final states with all the $N_f = 5$ lightest flavours.
- **Running** α_s from the MSTW68cl PDF sets
- **Massless quarks approximation** $E_{cm} = 7/8 TeV \Rightarrow m_{q/\bar{q}} = 0$.
- **Scale** $\mu_R = \mu_F \equiv \mu = \frac{H_T}{2} \equiv \frac{1}{2} \sum_i p_T^i$, (sum over final state particles)

We don't take into account correlations in DPS: $D(x_1, x_2, \mu) = f(x_1, \mu) f(x_2, \mu)$.

There are attempts to go beyond this approximation:

Golec-Biernat, Lewandowska, Snyder, M.S., Stasto, Phys.Lett. B750 (2015) 559-564

Golec-Biernat, Stasto: [arXiv:1611.02033](https://arxiv.org/abs/1611.02033), **WITH k_T dependence**

Rinaldi, Scopetta, Traini, Vento, JHEP 1412 (2014) 028, JHEP 1610 (2016) 063, [arXiv:1609.07242](https://arxiv.org/abs/1609.07242)

Validation with hard jets: total cross sections

We reproduce all the LO results (only SPS) for $pp \rightarrow n \text{ jets}$, $n = 2, 3, 4$ published in
 BlackHat collaboration, Phys.Rev.Lett. 109 (2012) 042001
 S. Badger et al., Phys.Lett. B718 (2013) 965-978

Asymmetric cuts for hard central jets

$$p_T \geq 80 \text{ GeV}, \quad \text{for leading jet}$$

$$p_T \geq 60 \text{ GeV}, \quad \text{for non leading jets}$$

$$|\eta| \leq 2.8, \quad R = 0.4$$

PDFs set: MSTW2008LO@68cl

$$\sigma(\geq 2 \text{ jets}) = 958_{-221}^{+316} \quad \sigma(\geq 3 \text{ jets}) = 93.4_{-30.3}^{+50.4} \quad \sigma(\geq 4 \text{ jets}) = 9.98_{-3.95}^{+7.40}$$

Cuts are too hard to pin down DPS and/or benefit from HEF: 4-jet case

$$\text{Collinear case} \quad \left\{ \begin{array}{ll} 9.98_{-3.95}^{+7.40} & \text{SPS} \\ 0.094_{-0.036}^{+0.06} & \text{DPS} \end{array} \right. \quad \text{HEF case} \quad \left\{ \begin{array}{ll} 10.0_{-5.3}^{+6.9} & \text{SPS} \\ 0.05_{-0.029}^{+0.054} & \text{DPS} \end{array} \right.$$

Validation with hard jets: differential distribution

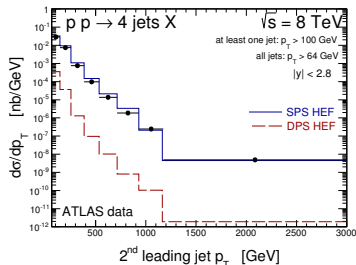
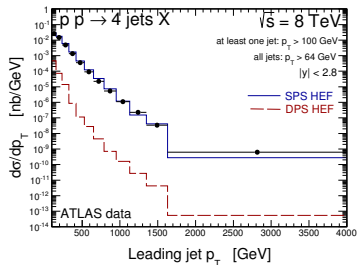
Most recent ATLAS paper on 4-jet production in proton-proton collision:

ATLAS, JHEP 1512 (2015) 105

$p_T \geq 100$ GeV, for leading jet

$p_T \geq 64$ GeV, for non leading jets

$|\eta| \leq 2.8$, $R = 0.4$



- All channels included and running α_s @ NLO
- Good agreement with data
- DPS effects are manifestly too small for such hard cuts: this could be expected.

Softer cuts: do we really see DPS ?

CMS collaboration

Phys.Rev. D89 (2014) no.9, 092010

$$p_T(1,2) \geq 50 \text{ GeV}, \quad p_T(3,4) \geq 20 \text{ GeV}$$

$$|\eta| \leq 4.7, \quad R = 0.5$$

Potential smoking gun for DPS:

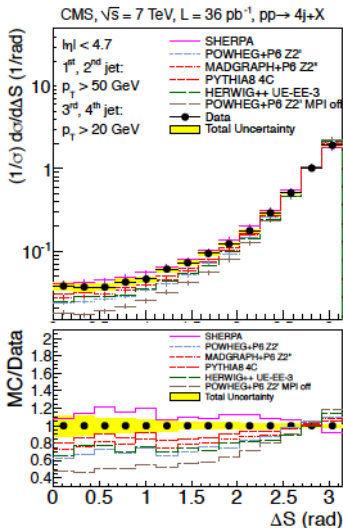
$$\Delta S = \arccos \left(\frac{\vec{p}_T(j_1^{\text{hard}}, j_2^{\text{hard}}) \cdot \vec{p}_T(j_1^{\text{soft}}, j_2^{\text{soft}})}{|\vec{p}_T(j_1^{\text{hard}}, j_2^{\text{hard}})| \cdot |\vec{p}_T(j_1^{\text{soft}}, j_2^{\text{soft}})|} \right)$$

$$\vec{p}_T(j_i, j_k) \equiv p_{T,i} + p_{T,j}$$

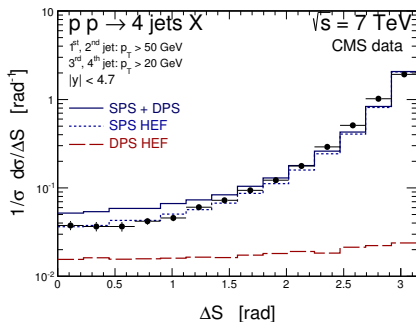
Angle between the soft and the hard jet pair:
expected to be flat for DPS.

No collinear MonteCarlo manages to
satisfactoriyl describe the data.

What can k_T factorization say about it ?



ΔS : the only-matrix-element prediction



- We roughly describe the data via pQCD effects within our HEF approach which are (equally partially) described by parton-showers and soft MPIs by CMS. [K. Kutak, R. Maciula, M.S., A. Szczurek, A. van Hameren, JHEP 1604 \(2016\) 175](#)
- We seem to overshoot the data when adding DPS
- Natural to ask what happens when we include initial and final state radiation \Rightarrow we need to match parton-level k_T -factorization with parton showers.

Adding parton showers to k_T factorization

Matching the hard off-shell matrix elements with parton showers:

- Generate the hard matrix element in full High Energy Factorization: KaTie
- Add final state CCFM or DGLAP parton showers: CASCADE
- Perform backward evolution in order to have the transverse momentum in the hard matrix element unfolded to initial state radiation: CASCADE
- Reconstruct jets with anti- k_T algorithm: FastJet

Difference with respect to the collinear generators (MadGraph, Pythia, etc.):

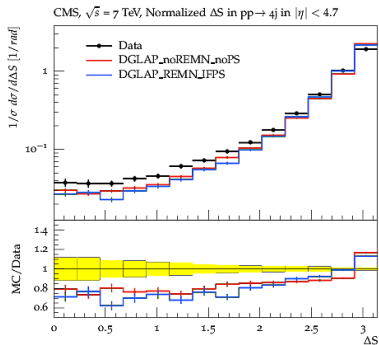
We do not need to perform boosts and rotations of the hard matrix element in order to accommodate for the transverse momentum (\Rightarrow see [Plätzer's talk](#)).

Exact kinematics from the very beginning.

This is because this comes directly from the matrix element in a fully gauge invariant way (\Rightarrow see [Andreas van Hameren's talk](#)). So, with respect to the fully collinear case, we include the additional hard dynamics coming from transverse momentum.

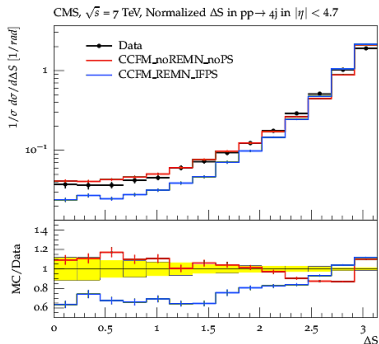
ΔS : k_T factorization plus DGLAP parton showers

- We generate matrix elements with the restriction $k_{T,i}^2 < \mu^2$, in order to stick to the transverse momentum ordering
- We undershoot the data both with and without parton showers and remnant treatment
- This could be expected, since the restriction imposed brings the dynamics closer to the collinear case



ΔS : k_T factorization plus CCFM parton showers

- We generate matrix elements without the restriction $k_{T,i}^2 < \mu^2$.
- Jets equally hard or harder than those from the hard matrix element can come from the showering.
- The predictions without parton showers roughly agrees with the data
- Once we include showers and full remnant treatment, we see that we recover a similar result as in the collinear case.
- We conclude that, in this ME+PS scenario, High energy Factorization suggests the need for MPIs.



DPS effects in collinear and HEF: the problem of asymmetric cuts

Inspired by [Maciula, Szczurek, Phys.Lett. B749 \(2015\) 57-62](#)

DPS effects are expected to become significant for lower cuts on the final state transverse momenta, like the ones of the CMS collaboration, [Phys.Rev. D89 \(2014\) no.9, 092010](#)

$$p_T(1,2) \geq 50 \text{ GeV}, \quad p_T(3,4) \geq 20 \text{ GeV}, \quad |\eta| \leq 4.7, \quad R = 0.5$$

CMS collaboration : $\sigma_{tot} = 330 \pm 5 \text{ (stat.)} \pm 45 \text{ (syst.) nb}$

LO collinear factorization : $\sigma_{SPS} = 697 \text{ nb}, \quad \sigma_{DPS} = \mathbf{125 \text{ nb}}, \quad \sigma_{tot} = 822 \text{ nb}$

LO HEF k_T -factorization : $\sigma_{SPS} = 548 \text{ nb}, \quad \sigma_{DPS} = \mathbf{33 \text{ nb}}, \quad \sigma_{tot} = 581 \text{ nb}$

In HE factorization DPS gets suppressed and does not dominate at low p_T

Counterintuitive result from well-tested perturbative framework
 \Rightarrow phase space effect ?

Higher order corrections to 2-jet production

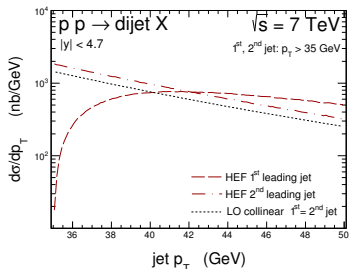


Figure: The transverse momentum distribution of the leading (long dashed line) and subleading (long dashed-dotted line) jet for the dijet production in HEF.

NLO corrections to 2-jet production suffer from instability problem when using symmetric cuts: [Frixione, Ridolfi, Nucl.Phys. B507 \(1997\) 315-333](#)

Symmetric cuts rule out from integration final states in which the momentum imbalance due to the initial state non vanishing transverse momenta gives to one of the jets a lower transverse momentum than the threshold.

ATLAS data vs. theory (nb) @ LHC7 for 2,3,4 jets. Cuts are defined in [Eur.Phys.J. C71 \(2011\) 1763](#); theoretical predictions from [Phys.Rev.Lett. 109 \(2012\) 042001](#)

#jets	ATLAS	LO	NLO
2	$620 \pm 1.3^{+110}_{-66} \pm 24$	$958(1)^{+316}_{-221}$	$1193(3)^{+130}_{-135}$
3	$43 \pm 0.13^{+12}_{-6.2} \pm 1.7$	$93.4(0.1)^{+50.4}_{-30.3}$	$54.5(0.5)^{+2.2}_{-19.9}$
4	$4.3 \pm 0.04^{+1.4}_{-0.79} \pm 0.24$	$9.98(0.01)^{+7.40}_{-3.95}$	$5.54(0.12)^{+0.08}_{-2.44}$

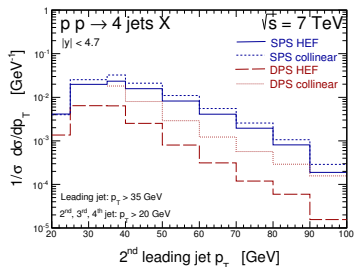
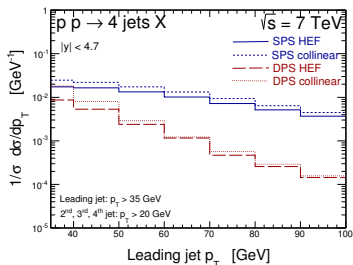
Reconciling HE and collinear factorisation: asymmetric p_T cuts

In order to open up wider region of soft final states and thereof expected that the DPS contribution increases

$$p_T(1) \geq 35 \text{ GeV}, \quad p_T(2, 3, 4) \geq 20 \text{ GeV}, \quad |\eta| < 4.7, \quad \Delta R > 0.5$$

LO collinear factorization : $\sigma_{SPS} = 1969 \text{ nb}$, $\sigma_{DPS} = 514 \text{ nb}$, $\sigma_{tot} = 2309 \text{ nb}$

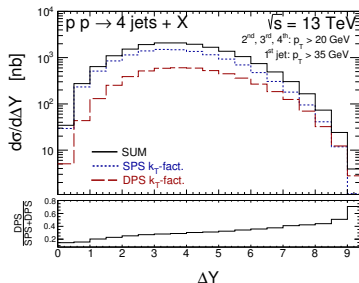
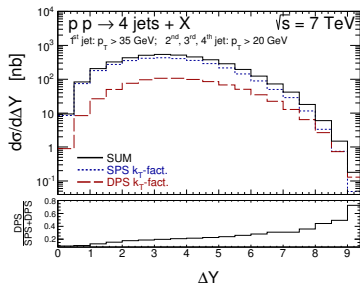
LO HEF k_T -factorization : $\sigma_{SPS} = 1506 \text{ nb}$, $\sigma_{DPS} = 297 \text{ nb}$, $\sigma_{tot} = 1803 \text{ nb}$



DPS dominance pushed to even lower p_T but restored in HE factorization as well
Next natural step: fully asymmetric cuts !

Pinning down double parton scattering: large rapidity separation

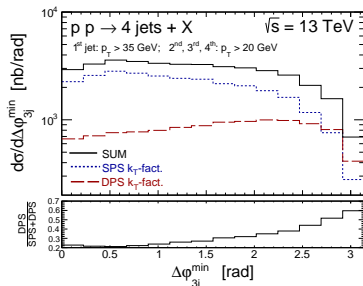
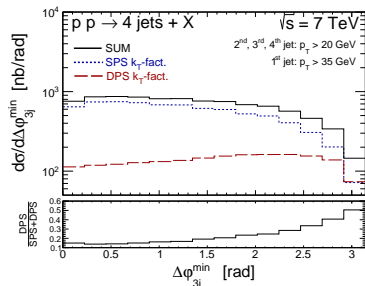
K. Kutak, R. Maciula, M.S., A. Szczurek, A. van Hameren
 Phys.Rev. D94 (2016) no.1, 014019



- It is interesting to look for kinematic variables which could make DPS apparent.
- The maximum rapidity separation in the four jet sample is one such variable, especially at 13 GeV.
- for $\Delta Y > 6$ the total cross section is dominated by DPS.

Pinning down double parton scattering: $\Delta\phi_3^{\min}$ - azimuthal separation

K. Kutak, R. Maciula, M.S., A. Szczurek, A. van Hameren
 Phys.Rev. D94 (2016) no.1, 014019



- Definition: $\Delta\phi_3^{\min} = \min_{i,j,k[1,4]} (|\phi_i - \phi_j| + |\phi_j - \phi_k|)$, $i \neq j \neq k$
- Proposed by ATLAS in [JHEP 12 105 \(2015\)](#) for high p_T analysis
- High values favour configurations closer to back-to-back, i.e. DPS
- For $\Delta\phi_3^{\min} \geq \pi/2$ the total cross section is dominated by DPS

Summary and perspectives

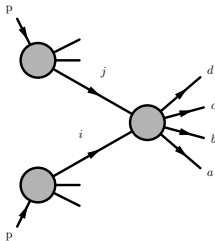
- We have a complete framework for the evaluation of cross sections from amplitudes with off-shell quarks and TMDs: KMR for this talk. The results from the KaTie are matched to the Monte Carlo CASCADE parton shower event generators
- **Previous results:** HE factorisation smears out the DPS contribution to the cross section for less central jet, pushing the DPS-dominance region to lower p_T , but asymmetric cuts are in order: initial state transverse momentum generates asymmetries in the p_T of final state jet pairs.
- ΔS variables, potential DPS smoking gun, does not exceptionally well without DPS with final state PS. With parton showers + remnant treatment, we get predictions under the pure matrix element result \Rightarrow hardest k_T not always coming from the hard matrix element
- We will scan various PDFs, in order to gauge the dependence of these results on them.
- It will be interesting to have an experimental analysis with cuts which are *completely asymmetric and soft*, in order to enhance DPS. We are going to produce predictions with parton showers also for this configuration, because CMS plans to release such an analysis.
- Further planned studies: $2b$ -jets and Z +jet

Conjectured formulas for 2 and 4 jets production:

$$\begin{aligned}
 \sigma_{2\text{-jets}} &= \sum_{i,j} \int \frac{dx_1}{x_1} \frac{dx_2}{x_2} d^2 k_{T1} d^2 k_{T2} \mathcal{F}_i(x_1, k_{T1}, \mu_F) \mathcal{F}_j(x_2, k_{T2}, \mu_F) \\
 &\quad \times \frac{1}{2\hat{s}} \prod_{l=i}^2 \frac{d^3 k_l}{(2\pi)^3 2E_l} \Theta_{2\text{-jet}} (2\pi)^4 \delta \left(P - \sum_{l=1}^2 k_l \right) \overline{|\mathcal{M}(i^*, j^* \rightarrow 2 \text{ part.})|^2} \\
 \sigma_{4\text{-jets}} &= \sum_{i,j} \int \frac{dx_1}{x_1} \frac{dx_2}{x_2} d^2 k_{T1} d^2 k_{T2} \mathcal{F}_i(x_1, k_{T1}, \mu_F) \mathcal{F}_j(x_2, k_{T2}, \mu_F) \\
 &\quad \times \frac{1}{2\hat{s}} \prod_{l=i}^4 \frac{d^3 k_l}{(2\pi)^3 2E_l} \Theta_{4\text{-jet}} (2\pi)^4 \delta \left(P - \sum_{l=1}^4 k_l \right) \overline{|\mathcal{M}(i^*, j^* \rightarrow 4 \text{ part.})|^2}
 \end{aligned}$$

- PDFs and matrix elements well defined.
- No rigorous factorization proof around (proving gauge invariance at loop order could help with factorization proofs in the TMD case : see Tuesday's morning session discussion)
- Reasonable description of data justifies this formula *a posteriori*

4-jet production: Single Parton Scattering (SPS)



We take into account all the (according to our conventions) 20 channels.

Here q and q' stand for different quark flavours in the initial (final) state.

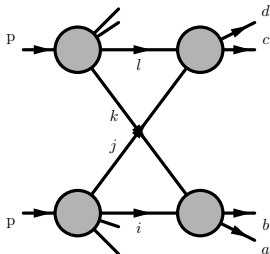
We do not introduce K factors, amplitudes@LO.

~ 95 % of the total cross section

There are 19 different channels contributing to the cross section at the parton-level:

$$\begin{aligned}
 & gg \rightarrow 4g, gg \rightarrow q\bar{q}2g, qg \rightarrow q3g, q\bar{q} \rightarrow q\bar{q}2g, qq \rightarrow qq2g, qq' \rightarrow qq'2g, \\
 & gg \rightarrow q\bar{q}q\bar{q}, gg \rightarrow q\bar{q}q'\bar{q}', qg \rightarrow qgq\bar{q}, qg \rightarrow qgq'\bar{q}', \\
 & q\bar{q} \rightarrow 4g, q\bar{q} \rightarrow q'\bar{q}'2g, q\bar{q} \rightarrow q\bar{q}q\bar{q}, q\bar{q} \rightarrow q\bar{q}q'\bar{q}', q\bar{q} \rightarrow q'\bar{q}'q'\bar{q}', \\
 & q\bar{q} \rightarrow q'\bar{q}'q''\bar{q}'', qq \rightarrow qqq\bar{q}, qq \rightarrow qqq'\bar{q}', qq' \rightarrow qq'q\bar{q},
 \end{aligned}$$

4-jet production: Double parton scattering (DPS)



$$\sigma = \sum_{i,j,a,b;k,l,c,d} \frac{S}{\sigma_{\text{eff}}} \sigma(i,j \rightarrow a,b) \sigma(k,l \rightarrow c,d)$$

$$S = \begin{cases} 1/2 & \text{if } ij = kl \text{ and } ab = cd \\ 1 & \text{if } ij \neq kl \text{ or } ab \neq cd \end{cases}$$

$$\sigma_{\text{eff}} = 15 \text{ mb}, (\text{CDF, D0 and LHCb collaborations}),$$

Experimental data may hint at different values of σ_{eff} ; main conclusions not affected

In our conventions, 9 channels from $2 \rightarrow 2$ SPS events,

$$\#1 = gg \rightarrow gg, \quad \#6 = u\bar{u} \rightarrow d\bar{d}$$

$$\#2 = gg \rightarrow u\bar{u}, \quad \#7 = u\bar{u} \rightarrow gg$$

$$\#3 = ug \rightarrow ug, \quad \#8 = uu \rightarrow uu$$

$$\#4 = gu \rightarrow ug, \quad \#9 = ud \rightarrow ud$$

$$\#5 = u\bar{u} \rightarrow u\bar{u}$$

\Rightarrow 45 channels for the DPS; only 14 contribute to $\geq 95\%$ of the cross section :

$$(1, 1), (1, 2), (1, 3), (1, 4), (1, 8), (1, 9), (3, 3)$$

$$(3, 4), (3, 8), (3, 9), (4, 4), (4, 8), (4, 9), (9, 9)$$