

# THE GRIMUS-NEUFELD MODEL WITH TODAY'S MEASUREMENTS

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We present the constraints between the neutrino sector and the two-Higgs-doublet sector of the model introduced by W. Grimus and H. Neufeld [1] coming from the prediction of the mass of the second lightest neutrino by loop corrections that are dominated by the Higgs bosons in the loop. Solving for the mass squared differences of the neutrino we determine the Yukawa couplings of the second Higgs doublet to the gauge singlet that drives the seesaw mechanism using the neutrino mixing matrix as input. The predictive power of the model then lies in processes that use this second Yukawa coupling.

## Lagrangians of the GN model

**The Higgs sector:** a Two Higgs Doublet Model.

**The Gauge sector:** from the Standard Model (SM) gauge group  $\mathcal{G}_{SM} = U(1)_Y \otimes SU(2)_L \otimes SU(3)_{\text{color}}$ . In the following,  $SU(3)_{\text{color}}$  will be ignored.

**The Gauge-Higgs sector** puts the Higgs fields into the fundamental representation of the gauge groups  $U(1)_Y$  and  $SU(2)_L$ .

$$\mathcal{L}_{GH} = -\frac{1}{4}B_{\mu\nu}B^{\mu\nu} - \frac{1}{4}W_{\mu\nu}^i W^{i\mu\nu} + (D_\mu \phi_a)^\dagger (D_\mu \phi_a) - V, \quad (1)$$

with the Higgs potential

$$V = Y_{ab}\phi_a^\dagger\phi_b + \frac{1}{2}Z_{abcd}(\phi_a^\dagger\phi_b)(\phi_c^\dagger\phi_d), \quad (2)$$

is invariant under the gauge symmetries of  $U(1)_Y$  and  $SU(2)_L$ , and the Higgs doublet symmetry [2]

$$\phi_a \rightarrow \phi'_a = U_{ab}\phi_b \quad \text{with } U_{ab} \in U(2), \quad (3)$$

and triggers spontaneous symmetry breaking.

**The Fermion-Gauge sector** puts the fermion fields into the fundamental representation of  $\mathcal{G}_{SM}$ .

$$\mathcal{L}_{GF} = \sum_{\psi} \bar{\psi} i \not{D} \psi \quad (4)$$

The gauge couplings define the **flavour states**:

$$\phi_L^0 = \begin{pmatrix} u_L^0 \\ d_L^0 \end{pmatrix}, \quad u_R^0, \quad d_R^0, \quad \ell_L^0 = \begin{pmatrix} \nu_L^0 \\ e_L^0 \end{pmatrix}, \quad e_R^0, \quad \text{and } N_R^0, \quad (5)$$

where  $N_R^0$  is a gauge singlet Majorana fermion.

**The Fermion-Higgs sector** defines Yukawa couplings, provided the Higgs doublet symmetry, eq. (3), is fixed.

With the definition of the adjoint doublet

$$\tilde{\phi}_a = \epsilon \phi_a^* = (\phi_a^+ - \phi_a^-)^\top \quad (6)$$

we define (ignoring the quarks)

$$\mathcal{L}_{FH} = -\bar{\ell}_L^0 \phi_a Y_{Ljk}^0 \ell_R^0 - \bar{\ell}_L^0 \tilde{\phi}_a Y_{Ljk}^0 N_R^0 + h.c. \quad (7)$$

In the **Higgs basis** [2]

$$\phi_1 = \frac{v}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \end{pmatrix} + H_1 = \frac{1}{\sqrt{2}} (G^+ + iG^0), \quad (8)$$

$$\phi_2 = H_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} H^+ + iH^0 \\ H_{2r} + iH_{2i} \end{pmatrix}, \quad (9)$$

we get the Yukawa couplings

$$(Y_E^{(a)})_{jk} := Y_{Ljk}^a \quad \text{and} \quad (Y_N^{(a)})_{jk} := \tilde{Y}_{Ljk}^a. \quad (10)$$

Diagonalizing the charged leptons

$$\frac{v}{\sqrt{2}}(Y_E^{(1)})_{jk} \rightarrow \text{diag}\{m_e, m_\mu, m_\tau\} \quad (11)$$

defines the flavour states of the neutrinos  $\{\nu_e, \nu_\mu, \nu_\tau\}$ . Only the diagonalization of the non-charged fermions will define the correct neutrino mixing matrix.

**The Fermion-Majorana sector** gives the gauge singlet  $N_R^0$  a Majorana mass and allows the Seesaw mechanism.

## Majorana and chiral fermions

The GN model couples Majorana fermions only with chiral fermions: both can be written as Weyl spinors; for a recent writeup see [3].

Since the GN model incorporates only a scalar coupling between the left-chiral fermions and the Majorana gauge singlet, it makes sense to specify the Majorana fermion over its **right-chiral** component.

Then we get the mass terms for the Majorana fermion, written in Dirac notation,

$$\mathcal{L}_M = -\frac{1}{2}M_R \bar{N}^0 P_L N^0 - \frac{1}{2}M_R \bar{N}^0 P_R N^0 \\ = -\frac{1}{2}|M_R|(\bar{N}^0 P_L N^0 + \bar{N}^0 P_R N^0), \quad (12)$$

with the Majorana spinor  $N^0$  obeying the Majorana condition

$$N^0 = \eta_N \bar{N}^0, \quad (13)$$

with the Majorana phase  $\eta_N = M_R^*/|M_R|$ , and

$$\bar{N}^0 = \gamma^0 c N^{0*}, \quad (14)$$

denotes the Lorentz covariant conjugate of  $N^0$ .

## PMNS matrix

The neutrino mixing matrix, or PMNS matrix, is defined by the coupling to the  $W^\pm$ , the charged current coupling:

$$\mathcal{L}_{cc} = -\frac{g}{\sqrt{2}} W_\mu^- V_{ka}^{\text{PMNS}} \bar{\psi}_{ek} \gamma^\mu P_L \zeta_a + h.c., \quad (15)$$

where  $\zeta_a$  describe the physical neutrino mass eigenstates.

## The Seesaw @ tree-level

The Seesaw mechanism mixes left-chiral neutral leptons with the Majorana gauge singlet. Therefore it is **convenient** to formulate it using **Weyl spinors** [3].

We get the mass matrix for the right chiral Weyl spinors

$$\mathcal{M}_{3+1} = \begin{pmatrix} 0 & \frac{v}{\sqrt{2}} Y_N^{(1)} \\ \frac{v}{\sqrt{2}} (Y_N^{(1)})^\top & M_R \end{pmatrix} \quad (16)$$

which is diagonalized by a unitary matrix  $U$ :

$$U^\top \mathcal{M}_{3+1} U = \text{diag}\{m_1, m_2, m_3, m_4\}. \quad (17)$$

Since  $\mathcal{M}_{3+1}$  has rank 2, only two masses are bigger than zero:

$$m_4 > m_3 > m_2 = m_1 = 0. \quad (18)$$

Rewriting eq. (17) linear in  $U$ , we get  $[\mathcal{M}_{3+1} U]_{ka}$

$$= (\mathcal{M}_{3+1})_{kj} U_{ja} = \begin{pmatrix} 0 & 0 & U_{23}^* m_3 & U_{24}^* m_4 \\ 0 & 0 & U_{33}^* m_3 & U_{34}^* m_4 \\ 0 & 0 & U_{33}^* m_3 & U_{34}^* m_4 \\ 0 & 0 & U_{33}^* m_3 & U_{34}^* m_4 \end{pmatrix}, \quad (19)$$

giving

$$U_{k1} = U_{k2} = Y_{Nk}^{(1)} U_{k1} = Y_{Nk}^{(1)} U_{k2} = 0, \quad (20)$$

indicating, that we can parametrize the mixing matrix as

$$U_{ka} = \begin{pmatrix} \tilde{u}_1 & \tilde{u}_2 & \tilde{u}_3 & \tilde{u}_4 \\ 0 & 0 & U_{k3} & U_{k4} \end{pmatrix}_{ka}, \quad (21)$$

with  $\tilde{u}_k = \tilde{u}_k^i / |\tilde{u}_k^i|$  normalized. Since  $U$  is unitary, we have

$$\tilde{u}_1^\dagger \tilde{u}_3 = \tilde{u}_2^\dagger \tilde{u}_3 = \tilde{u}_1^\dagger \tilde{u}_4 = \tilde{u}_2^\dagger \tilde{u}_4 = 0, \quad (22)$$

and

$$\frac{v}{\sqrt{2}} Y_N^{(1)} U_{k3} = \tilde{u}_3^* m_3 \quad \text{and} \quad \frac{v}{\sqrt{2}} Y_N^{(1)} U_{k4} = \tilde{u}_4^* m_4, \quad (23)$$

giving  $\tilde{u}_i^\dagger = \frac{m_a}{m_i} \frac{Y_{ka}^{(1)}}{Y_{ki}^{(1)}} \tilde{u}_i^* \Rightarrow \tilde{u}_i = \tilde{u}_i$ . The normalization

$$s^2 := |\mathcal{M}_{k3}|^2 = 1 - |\mathcal{M}_{k4}|^2 = |\tilde{u}_3|^2 = \frac{m_3^2}{m_4^2} \frac{1-s^2}{s^2} |\tilde{u}_3|^2 \\ = \frac{m_3^2}{m_4^2} \frac{1-s^2}{s^2} (1 - |\mathcal{M}_{k3}|^2) = \frac{m_3^2}{m_4^2} \frac{(1-s^2)^2}{s^2} \quad (24)$$

gives the seesaw angle

$$\frac{s^2}{1-s^2} := \frac{s^2}{s^2} = \frac{m_3}{m_4}, \quad (25)$$

and with the definition

$$\frac{v^2}{\sqrt{2}} (Y_N^{(1)})^\top (Y_N^{(1)})^* = \frac{v^2}{\sqrt{2}} (Y_N^{(1)})^\top (Y_N^{(1)})^* := m_D^2, \quad (26)$$

and the identification, eq. (23), the seesaw relation:

$$m_D^2 = \left| \frac{v}{\sqrt{2}} Y_N^{(1)} \right|^2 = \frac{|\tilde{u}_3|^2 m_3^2}{|\tilde{u}_4|^2 m_4^2} = \frac{m_3^2}{m_4^2} m_3^2 = m_4 m_3. \quad (27)$$

The 34-element of eq. (19)

$$\frac{v}{\sqrt{2}} (Y_N^{(1)})^\top \tilde{u}_3 + M_R \mathcal{M}_{k3} = \frac{m_3}{|\tilde{u}_3|^2} |\tilde{u}_3|^2 + M_R \mathcal{M}_{k3} = U_{k3}^* m_3, \quad (28)$$

gives us the phase of  $U_{k3}$ :  $\eta_{k3} |U_{k3}| = \eta_{k3} s$  from

$$0 = \frac{m_3}{m_4} c^2 + M_R \eta_{k3} s - \frac{s}{m_4} m_3 = \frac{s}{m_4} [m_3 (\frac{m_3}{m_4} - 1) + M_R \eta_{k3}^2], \quad (29)$$

as  $\eta_{k3} = \pm i$ , since  $m_4 > m_3$ .

## Tree level eigenstates

$$U = \begin{pmatrix} (\tilde{u}_1, \tilde{u}_2, \tilde{u}_3) & 0 \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ 0 & ic \ s \\ -is & c \end{pmatrix} \quad (30)$$

from eq. (21), containing the three independent and orthonormal 3-vectors  $\tilde{u}_k$ , diagonalizes the seesaw mass matrix  $\mathcal{M}_{3+1}$ , eq. (16), and relates the flavour states  $\{\nu_{Lk}^0, N_R^0\}$  with the tree level mass eigenstates  $\zeta_a^0$ :

$$\nu_{Le}^0 = (\tilde{u}_1)_e \zeta_1^0 + (\tilde{u}_2)_e \zeta_2^0 + (\tilde{u}_3)_e (ic \zeta_3^0 + s \zeta_4^0) \quad (31)$$

$$\nu_{L\mu}^0 = (\tilde{u}_1)_\mu \zeta_1^0 + (\tilde{u}_2)_\mu \zeta_2^0 + (\tilde{u}_3)_\mu (ic \zeta_3^0 + s \zeta_4^0) \quad (32)$$

$$\nu_{L\tau}^0 = (\tilde{u}_1)_\tau \zeta_1^0 + (\tilde{u}_2)_\tau \zeta_2^0 + (\tilde{u}_3)_\tau (ic \zeta_3^0 + s \zeta_4^0) \quad (33)$$

$$N_R^0 = -is \zeta_3^0 + c \zeta_4^0. \quad (34)$$

## Tree-level Yukawas

The same three independent and orthonormal 3-vectors  $\tilde{u}_k$ , appearing in eq. (21), can be used to define the Yukawa couplings of the GN model. We already defined the first Yukawa in eq. (23):

$$\tilde{Y}_N^{(1)} = \frac{\sqrt{2}}{v} |\tilde{u}_3|^2 m_3 \tilde{u}_3^* = \frac{\sqrt{2} m_D}{v} \tilde{u}_3^*. \quad (35)$$

Requiring, that the lightest neutrino should not couple to any Higgs we can parametrize the second Yukawa coupling

$$\tilde{Y}_N^{(2)} = d \tilde{u}_2^* + d' \tilde{u}_3^*. \quad (36)$$

## Dominant one loop corrections

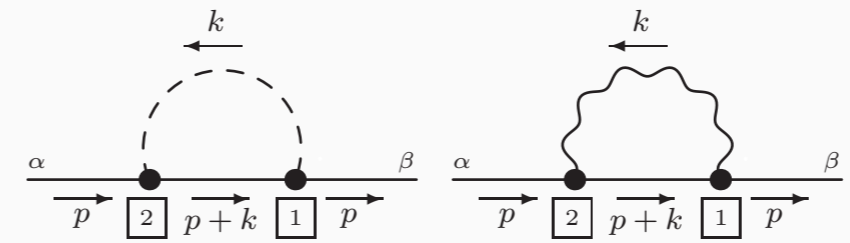
Abbreviating  $M_D := \frac{v}{\sqrt{2}} Y_N^{(1)}$ , Grimus and Lavoura (G-L) [4] construct the effective neutrino mass matrix

$$\mathcal{M}_\nu^{\text{tree}} = -M_D M_R^{-1} M_D^\top, \quad (37)$$

from the mass matrix, eq. (16), and calculate the correction

$$\mathcal{M}_\nu = \mathcal{M}_\nu^{\text{tree}} + \delta M_L - \delta M_D M_R^{-1} M_D^\top - M_D M_R^{-1} \delta M_D^\top \\ + M_D M_R^{-1} \delta M_R M_R^{-1} M_D^\top. \quad (38)$$

G-L argue that the dominant contribution comes from  $\delta M_L$ , which does not have a counterterm, as it vanishes at tree level. As a result from calculating the loops



they get the finite and simplified contribution

$$\delta M_L = \frac{3m_2^2}{32\pi^2 m_4} \tilde{Y}_N^{(1)} \ln \frac{m_2^2}{m_4^2} \tilde{Y}_N^{(1)\top} + \sum_{b=1}^3 \frac{m_b^2}{32\pi^2 m_4} \tilde{\Delta}_b \ln \frac{m_b^2}{m_4^2} \tilde{\Delta}_b^\top, \quad (39)$$

with the coupling

$$\tilde{\Delta}_b = q_{b2} \tilde{Y}_N^{(2)} - i q_{b1} \tilde{Y}_N^{(1)} \\ = q_{b2} \tilde{u}_2^* + (q_{b2} d' - i q_{b1} \frac{\sqrt{2} m_D}{v}) \tilde{u}_3^*, \quad (40)$$

where  $q_{kb}$  describes the mixing of the neutral Higgses [2]:

$$h_b = q_{b1} H_{1r} + \text{Re}[q_{b2}] H_{2r} + \text{Im}[q_{b2}] H_{2i}. \quad (41)$$

This gives a loop level mass matrix

$$\mathcal{M}_\nu^{\text{loop}} = \delta M_L - M_D M_R^{-1} M_D^\top \\ = \tilde{u}_2^\dagger \tilde{u}_2^* A + (\tilde{u}_2^\dagger \tilde{u}_3^* + \tilde{u}_3^\dagger \tilde{u}_2^*) B + \tilde{u}_3^\dagger \tilde{u}_3^* C, \quad (42)$$

with

$$A = d^2 f_1, \quad B = d d' f_1 + i d \frac{m_D}{v} f_2, \quad \text{and} \\ C = d'^2 f_1 + 2i d' \frac{m_D}{v} f_2 + \frac{m_D^2}{v^2} f_3. \quad (43)$$

The functions  $f_i$  are

$$f_1 = \frac{1}{32\pi^2} \left[ s^2 \frac{m_2^2}{m_4} \ln \frac{m_2^2}{m_4^2} + c^2 \frac{m_2^2}{m_4} \ln \frac{m_2^2}{m_4^2} - \frac{m_4^2}{m_4} \ln \frac{m_4^2}{m_4^2} \right] \quad (44)$$

$$f_2 = \frac{s_1 c_1 c_2}{32\pi^2} \left[ \frac{m_2^2}{m_4} \ln \frac{m_2^2}{m_4^2} - \frac{m_4^2}{m_4} \ln \frac{m_4^2}{m_4^2} \right] \quad (45)$$

$$f_3 = \frac{1}{16\pi^2} \left[ \frac{3m_2^2}{m_4} \ln \frac{m_2^2}{m_4^2} - \frac{c_1^2 m_4^2}{m_4} \ln \frac{m_4^2}{m_4^2} - \frac{s_1^2 m_4^2}{m_4} \ln \frac{m_4^2}{m_4^2} - \frac{v^2}{m_4^2} \right] \quad (46)$$

## Mixing of the tree level states

The loop level mass matrix, eq. (42) introduces a mixing between the states  $\zeta_2^0$  and  $\zeta_3^0$ . Using the tree level defined  $\tilde{u}_k$  from eq. (21), reduces the singular value problem

$$U^\top \mathcal{M}_\nu^{\text{loop}} U = \text{diag}\{0, \tilde{m}_2, \tilde{m}_3\}, \quad (47)$$

( $\tilde{m}_k$  being 1-loop masses), with  $U = (\tilde{u}_1 \ \tilde{u}_2 \ \tilde{u}_3) \cdot R$  to

$$R^\top \begin{pmatrix} A & B \\ B & C \end{pmatrix} R = \begin{pmatrix} \tilde{m}_2 & 0 \\ 0 & \tilde{m}_3 \end{pmatrix}. \quad (48)$$

We parametrize

$$R = e^{\frac{i}{2}\alpha} \begin{pmatrix} e^{\frac{i}{2}(\gamma+\delta)} \cos \theta & e^{\frac{i}{2}(\gamma-\delta)} \sin \theta \\ -e^{-\frac{i}{2}(\gamma-\delta)} \sin \theta & e^{-\frac{i}{2}(\gamma+\delta)} \cos \theta \end{pmatrix}. \quad (49)$$

$\theta$  has to be determined from the hermitian equation

$$U^\dagger X U := U^\dagger \mathcal{M}_\nu^{\text{loop}} U = \text{diag}\{0, \tilde{m}_2^2, \tilde{m}_3^2\}, \quad (50)$$

giving the masses

$$\tilde{m}_{2,3}^2 = \frac{1}{2} \text{Tr}[X] \pm \sqrt{\frac{1}{4} (\text{Tr}[X])^2 - \det[X]}. \quad (51)$$

We find

$$\tan^2 \theta = \frac{\tilde{m}_2^2 - |B|^2 - |C|^2}{|B|^2 + |C|^2 - \tilde{m}_2^2}, \quad (52)$$

which we can use to determine

$$e^{2i\alpha} = \frac{\tilde{m}_2 \tilde{m}_3}{AC - B^2}, \quad (53)$$

$$(e^{i\theta})_{1,2} = \frac{(e^{i\alpha} - 1)B \pm \sqrt{4AC(e^{i\alpha} + e^{-i\alpha})^2 - B^2}}{2A}, \quad (54)$$

$$(e^{i\theta})_{1,2} = \frac{e^{i\alpha} (e^{i\alpha} + 1)B \pm \sqrt{4AC(e^{i\alpha} + e^{-i\alpha})^2 - B^2}}{2m_3}, \quad (55)$$

$$= -e^{-i\alpha} \frac{2m_3 e^{i\alpha}}{(e^{i\alpha} + 1)B \pm \sqrt{4AC(e^{i\alpha} + e^{-i\alpha})^2 - B^2}}. \quad (56)$$

## Loop level eigenstates

$U$  from eq. (21) has to be replaced by

$$U = \begin{pmatrix} (\tilde{u}_1, \tilde{u}_2, \tilde{u}_3) & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & R & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -is \ c \end{pmatrix} \quad (57)$$

from eq. (47). Redefining the vectors  $\tilde{u}_2$  and  $\tilde{u}_3$  by their mixing matrix  $R$ , eq. (49):

$$\tilde{u}_2 = R_{22} \tilde{u}_2 + R_{32} \tilde{u}_3 \quad \tilde{u}_3 = R_{23} \tilde{u}_2 + R_{33} \tilde{u}_3, \quad (58)$$

we get the loop-level eigenstates

$$\nu_{Le} = (\tilde{u}_1)_e \zeta_1 + (\tilde{u}_2)_e \zeta_2 + (\tilde{u}_3)_e (ic \zeta_3 + s \zeta_4) \quad (59)$$

$$\nu_{L\mu} = (\tilde{u}_1)_\mu \zeta_1 + (\tilde{u}_2)_\mu \zeta_2 + (\tilde{u}_3)_\mu (ic \zeta_3 + s \zeta_4) \quad (60)$$

$$\nu_{L\tau} = (\tilde{u}_1)_\tau \zeta_1 + (\tilde{u}_2)_\tau \zeta_2 + (\tilde{u}_3)_\tau (ic \zeta_3 + s \zeta_4) \quad (61)$$

$$N_R = -is \zeta_3 + c \zeta_4. \quad (62)$$

## Connecting parameters

We express parameters in the Lagrangian by the physical observables:

**The CP conserving Higgs potential** needs the masses of the Higgs bosons  $m_b = \{m_h, m_H, m_A, m_{H^\pm}\}$ , the mixing angle  $s_{12}$ , and the coefficients  $Z_2, Z_3$ , and  $Z_7$ , that do not contribute to the mass matrix [2].

**The Gauge-Higgs sector** fixes the gauge couplings and the vacuum expectation values through the masses of the gauge bosons.

**The Fermion-Higgs sector** defines the Yukawa couplings, and gives as physical observables the masses of the charged fermions and the mixing matrices  $V_{CKM}$  and  $V_{MNS}$ .

**The Fermion-Majorana sector** has the Majorana mass  $M_R$ .

**Neutrino oscillations** tell us  $\Delta m_{21}^2, \Delta m_{31}^2$ , and the mixing matrix  $V_{MNS}$ , eq. (15). Since  $\tilde{m}_1 = 0$ ,