

# 3<sup>rd</sup> ECFA High Luminosity Workshop

## Jet/ $E_T^{\text{miss}}$ and $e/\gamma$ at the upgraded ATLAS detector



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on behalf of the ATLAS Collaboration  
\*CERN



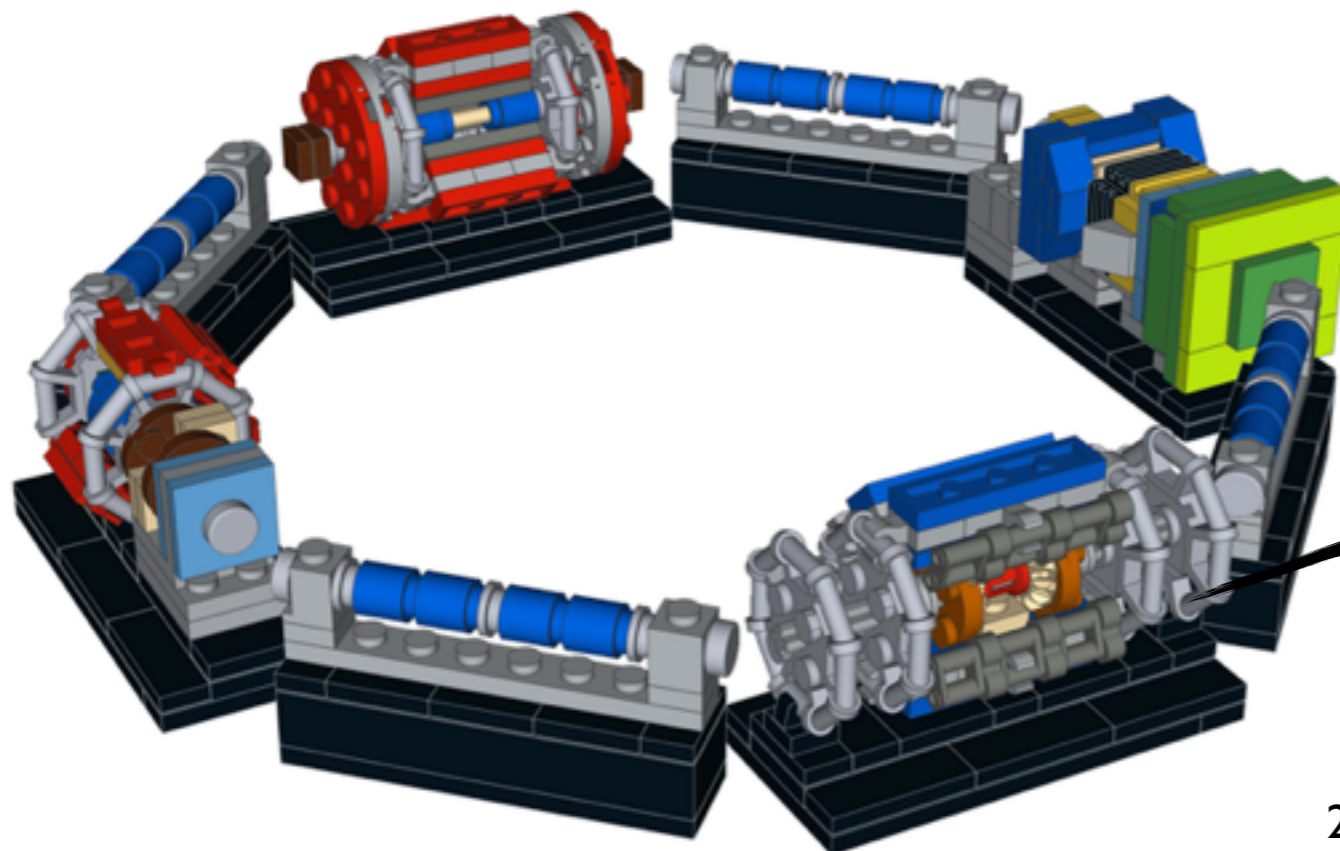
5.10.2016  
Aix-les-Bains



# (HL)-Large Hadron Collider



- 2023 - end of LHC with  $L_{\text{Run3}} = \sim 300 \text{ fb}^{-1}$
- maximum  $\langle \mu \rangle \sim 80$
- HL-LHC upgrade (2026-2037):
  - $L_{\text{int}} = 7.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
  - $L_{\text{HLLHC}} = 3000 \text{ fb}^{-1}$

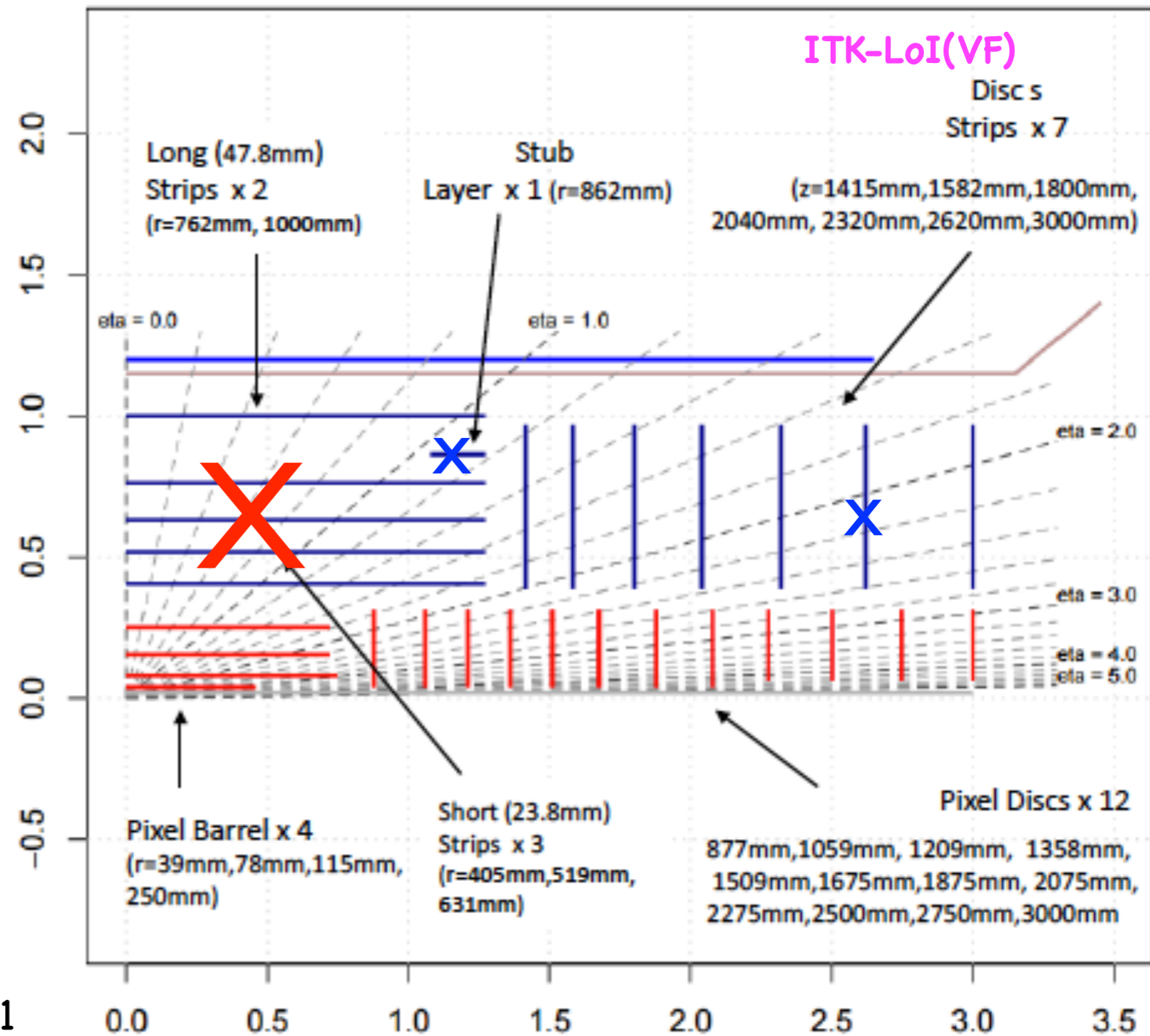




# ITk-LoI & Scoping Scenarios



- [ATLAS Phase-II Upgrade Scoping Document \(CERN-LHCC-2015-020\)](#)
- 271MCHF - "Reference"
  - ITk up to  $|\eta| = 4.0$ , HGTD...
- 229MCHF - "Middle"
  - ITk up to  $|\eta| = 3.2$ , central region degradation
- 200MCHF - "Low"
  - ITk up to  $|\eta| = 2.7$ , significant central region degradation
- L0 up to 40 MHz, L1 up to 400 kHz, HLT up to 10 kHz (100 kHz L1 and  $\sim 1$ kHz HLT in Run2)

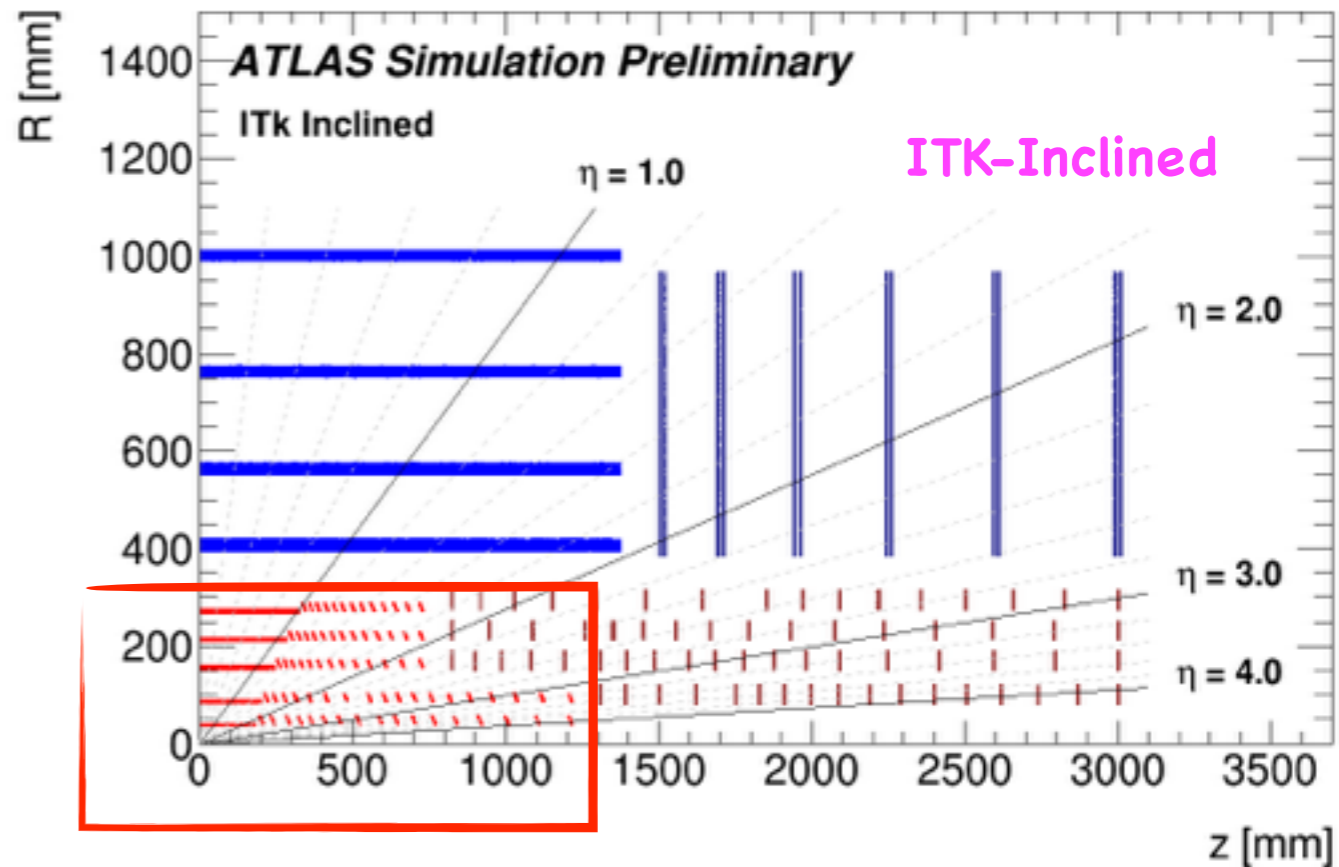
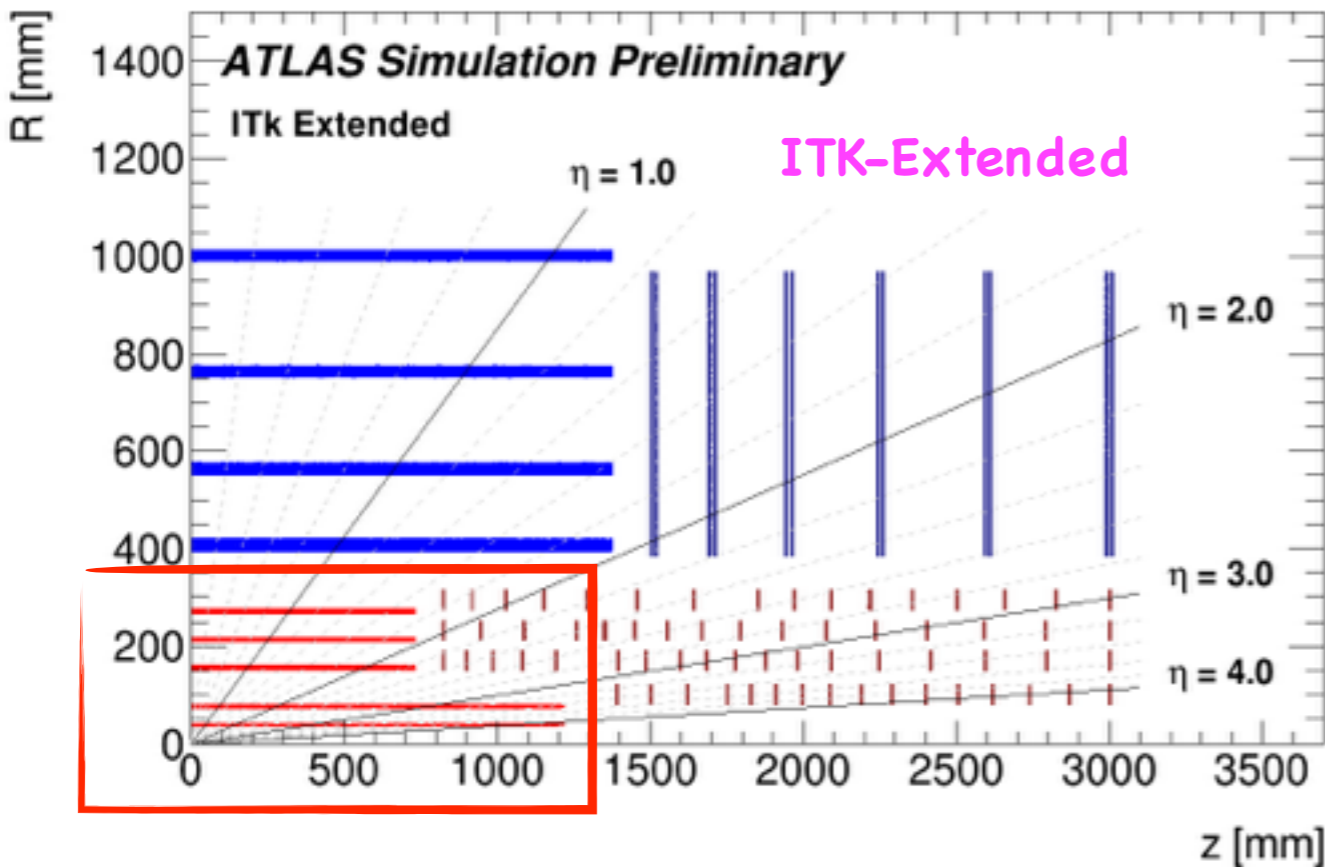




# post-Scoping layouts



ATL-PHYS-PUB-2016-025



- based on the **Reference** scenario
- $|\eta| \leq 4.0$ , #**Pix** barrel layers = 4-  
>5
- **PixEC** provides uniform coverage through 4 concentric rings

- #**Strip** double sided layers = 5- $\rightarrow$ 4
- **StripEC** lengthened, #EC discs = 7- $\rightarrow$ 6
- Strip layer in  $1.0 < |\eta| < 1.2$  **removed**

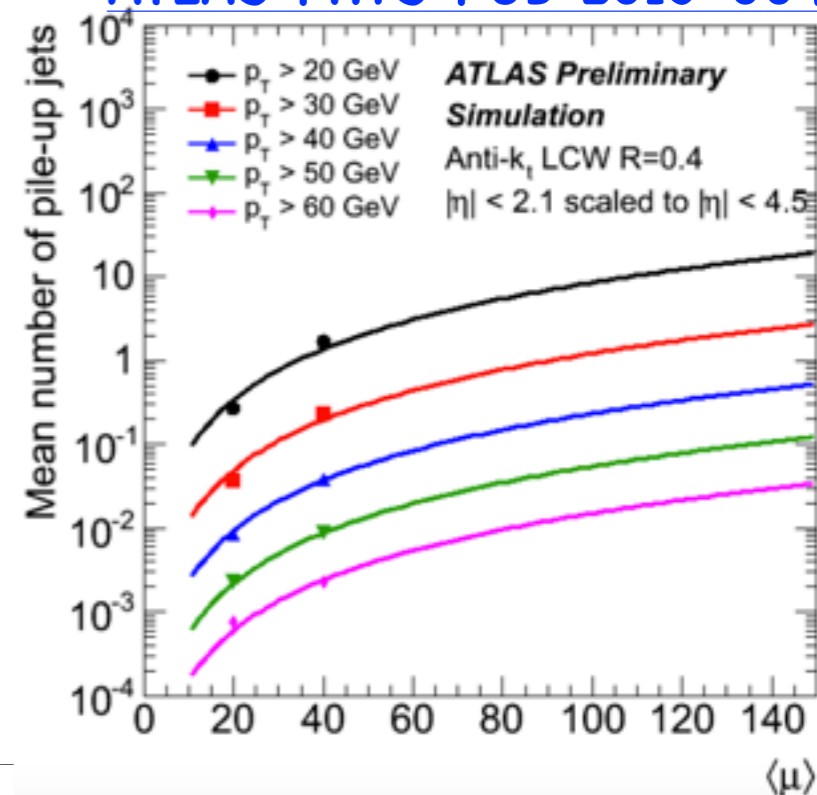


# pile-up, pile up, pile up!

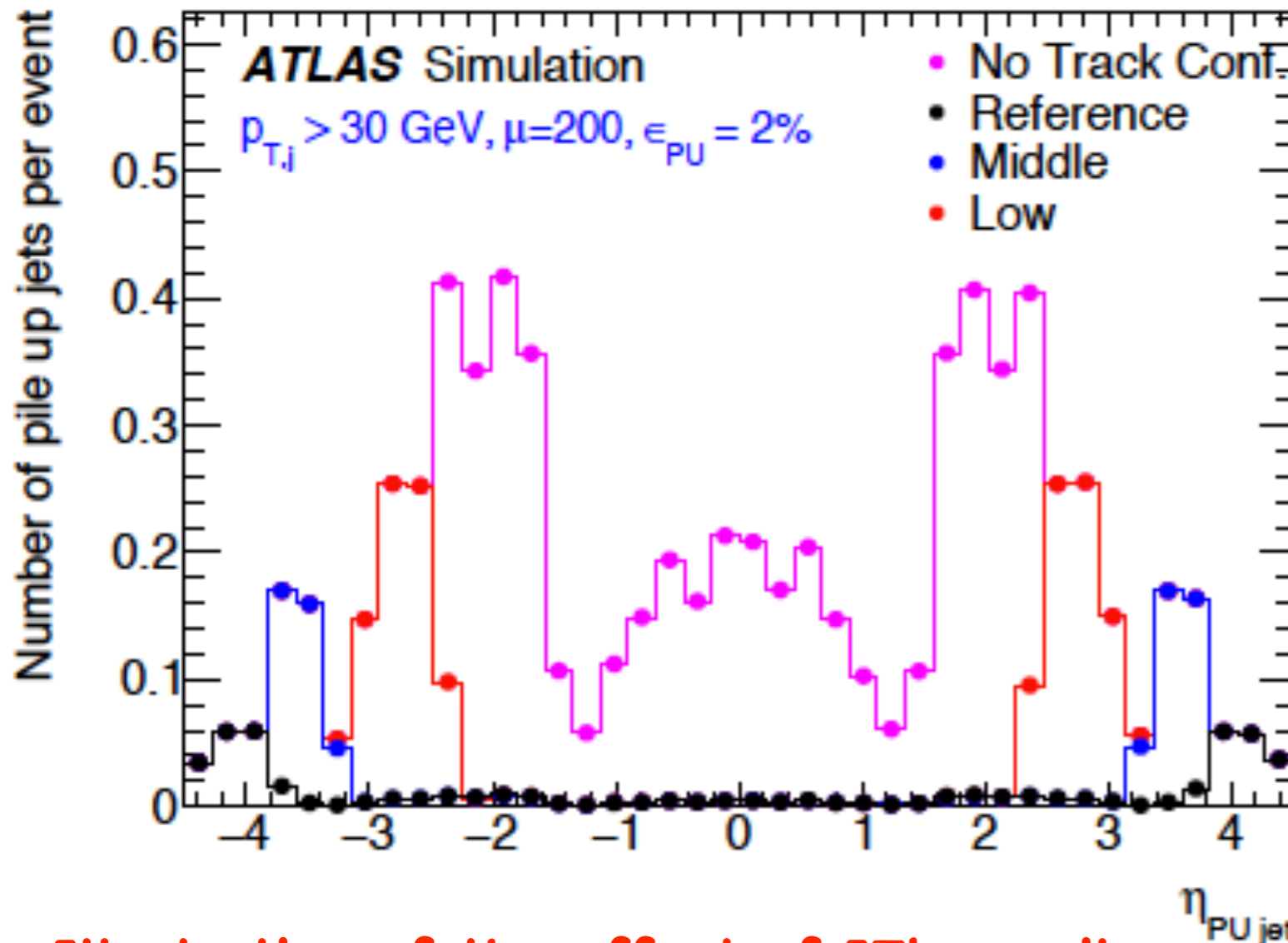
ITK-LoI(VF)

- Run1:  $\langle \mu \rangle = 20$  for  $p_T > 30$  GeV ...  $\langle n_j^{PU} \rangle \sim 0.04$
- HL-LHC:  $\langle \mu \rangle = 200$  for  $p_T > 30$  GeV ...  $\langle n_j^{PU} \rangle \sim 5-7$
- **pile-up mitigation most important!** (offline and online)

ATLAS-PHYS-PUB-2013-004



CERN-LHCC-2015-020



$\epsilon_{sig} \times \epsilon_{bkg}$  rejection

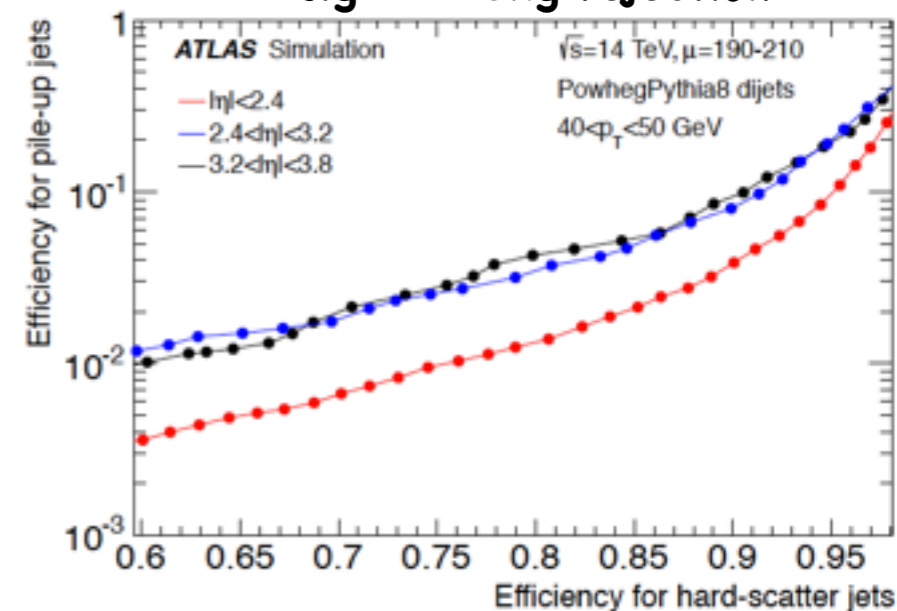


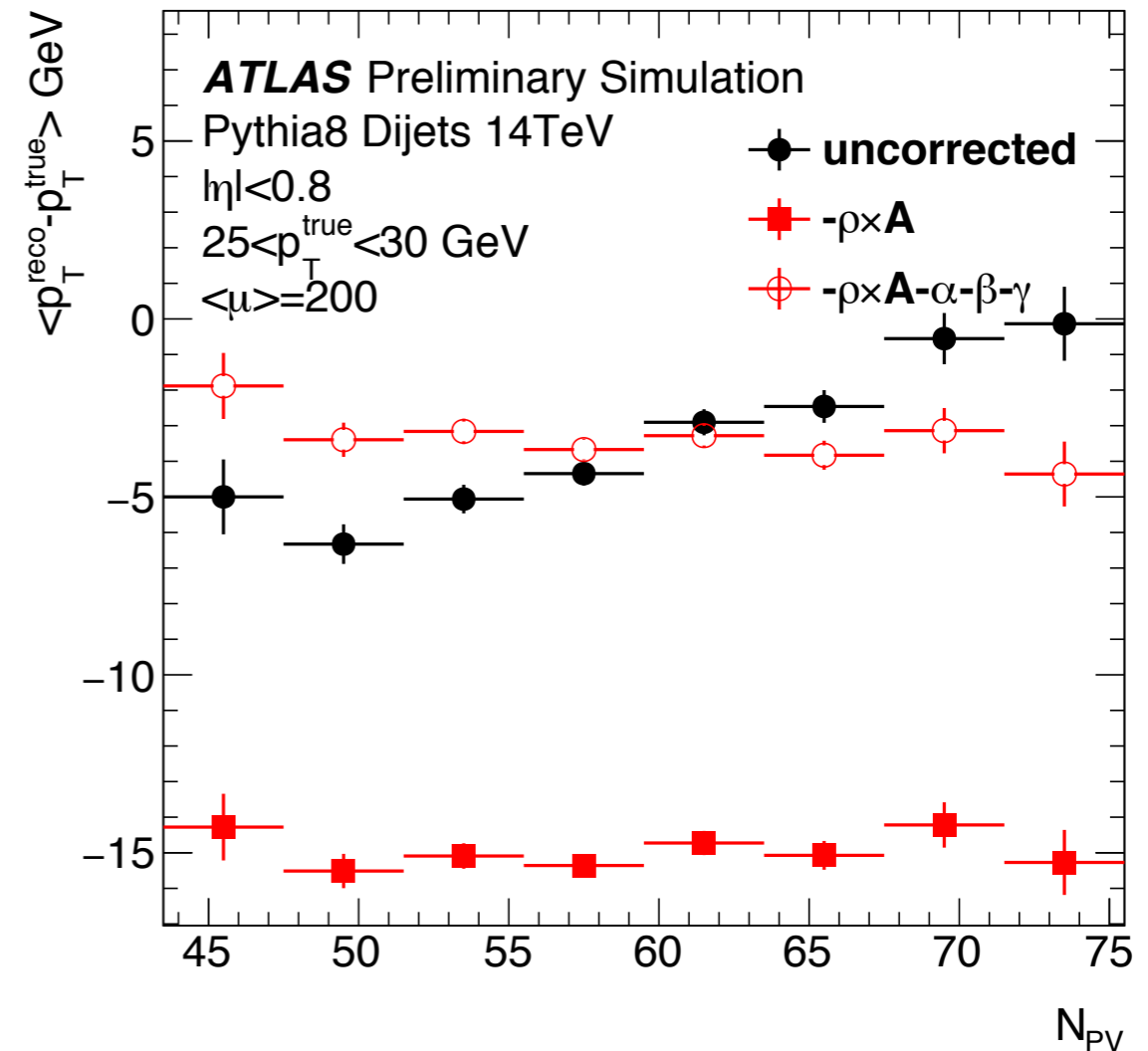
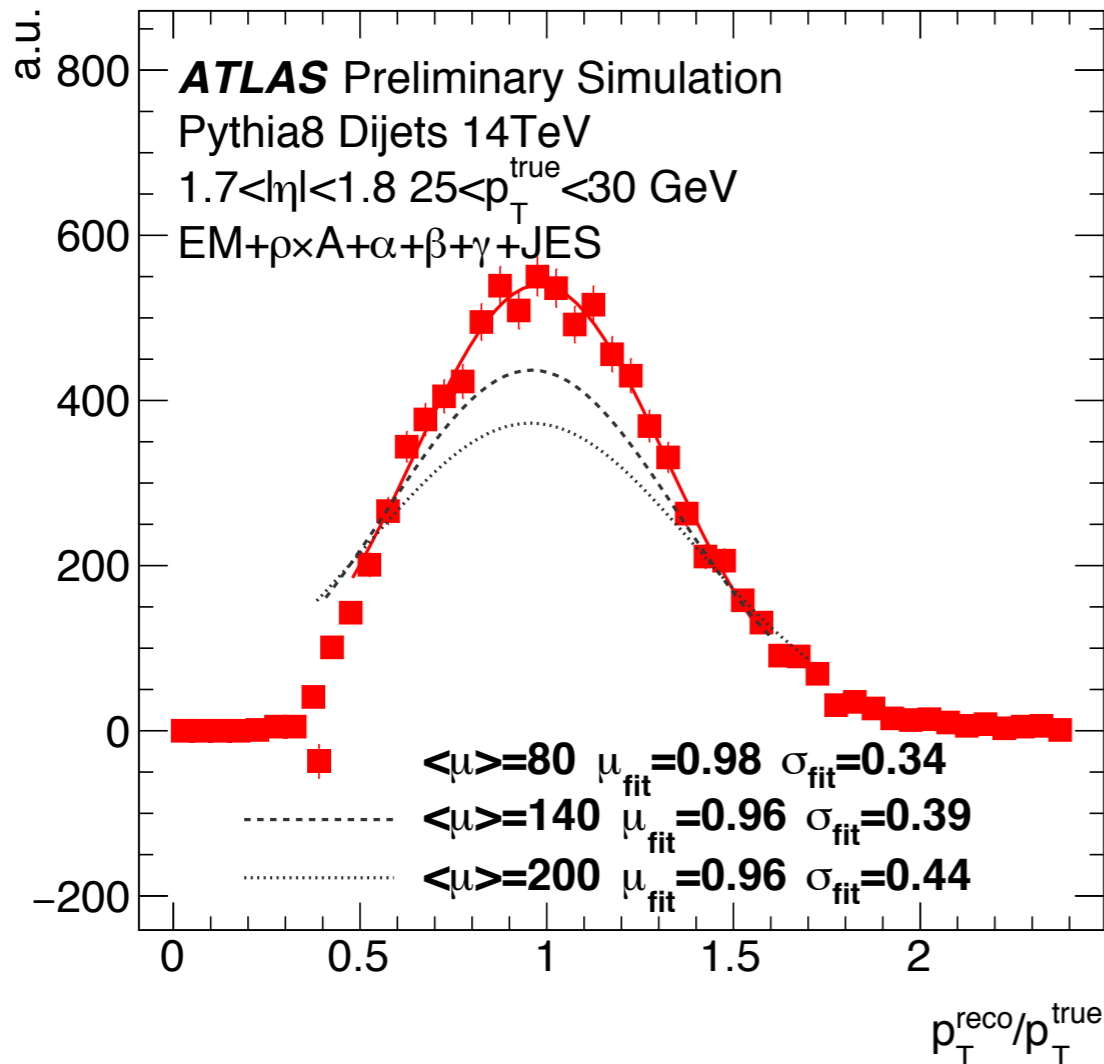
Illustration of the effect of ITk on pile up mitigation (Scoping Document)



# jet energy scale calibration



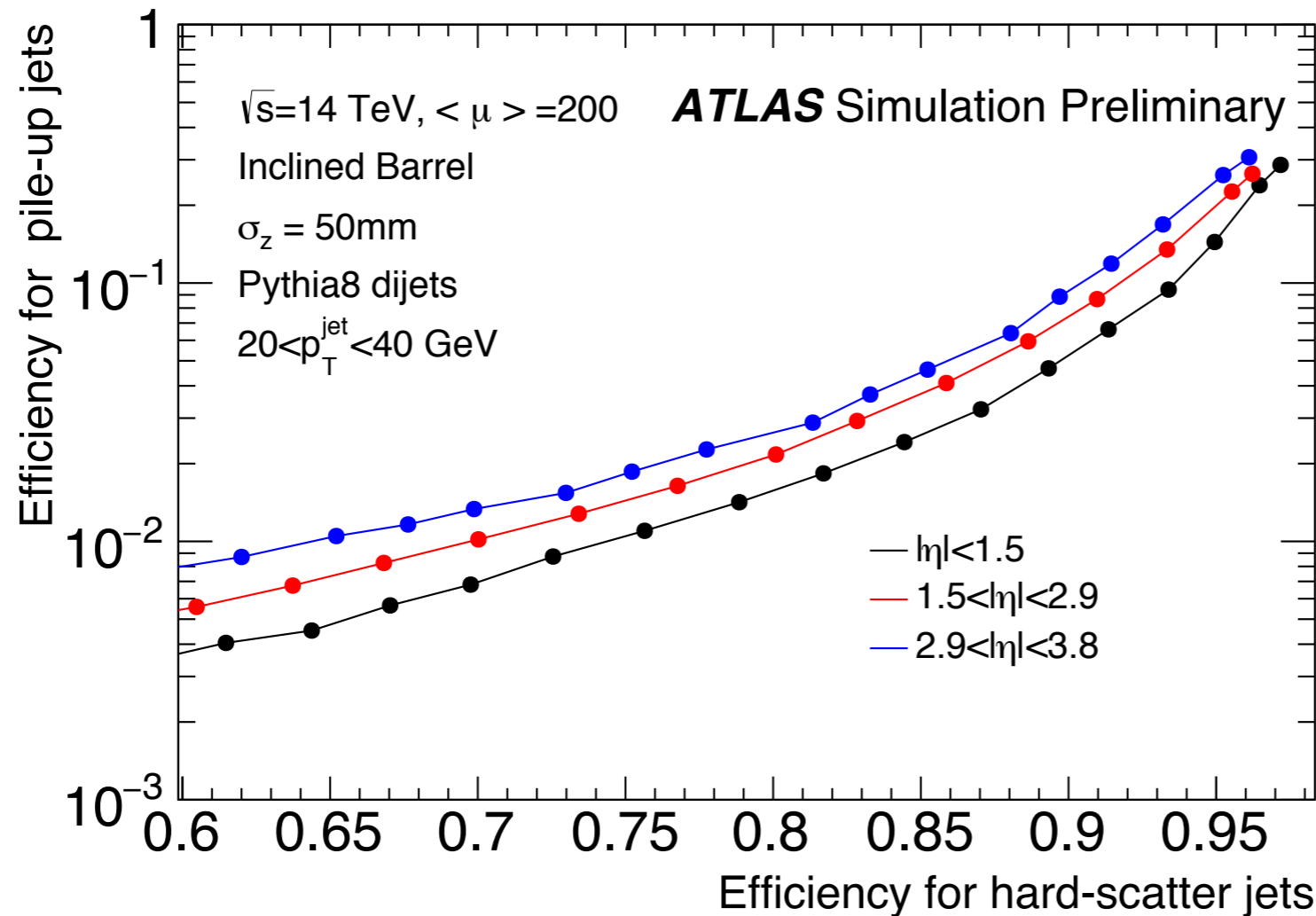
JETM-2016-012



- great truth-reco correlation even at low  $p_T$  (20–30 GeV) for the full correction:  
 $\rho \text{Area} + \text{residual}(\mu, N_{pV}) + \text{constant}$ 
  - non-closure within 4% with  $\sim 40\%$  resolution
  - stable vs  $N_{pV}$  without large overcorrection



# pile up suppression in small-R jets



[JETM-2016-012](#)

ITK-Inclined

$$R_{pT} = \frac{\sum_{i \in PV0} p_T^{\text{track},i}}{p_T^{\text{jet}}}$$

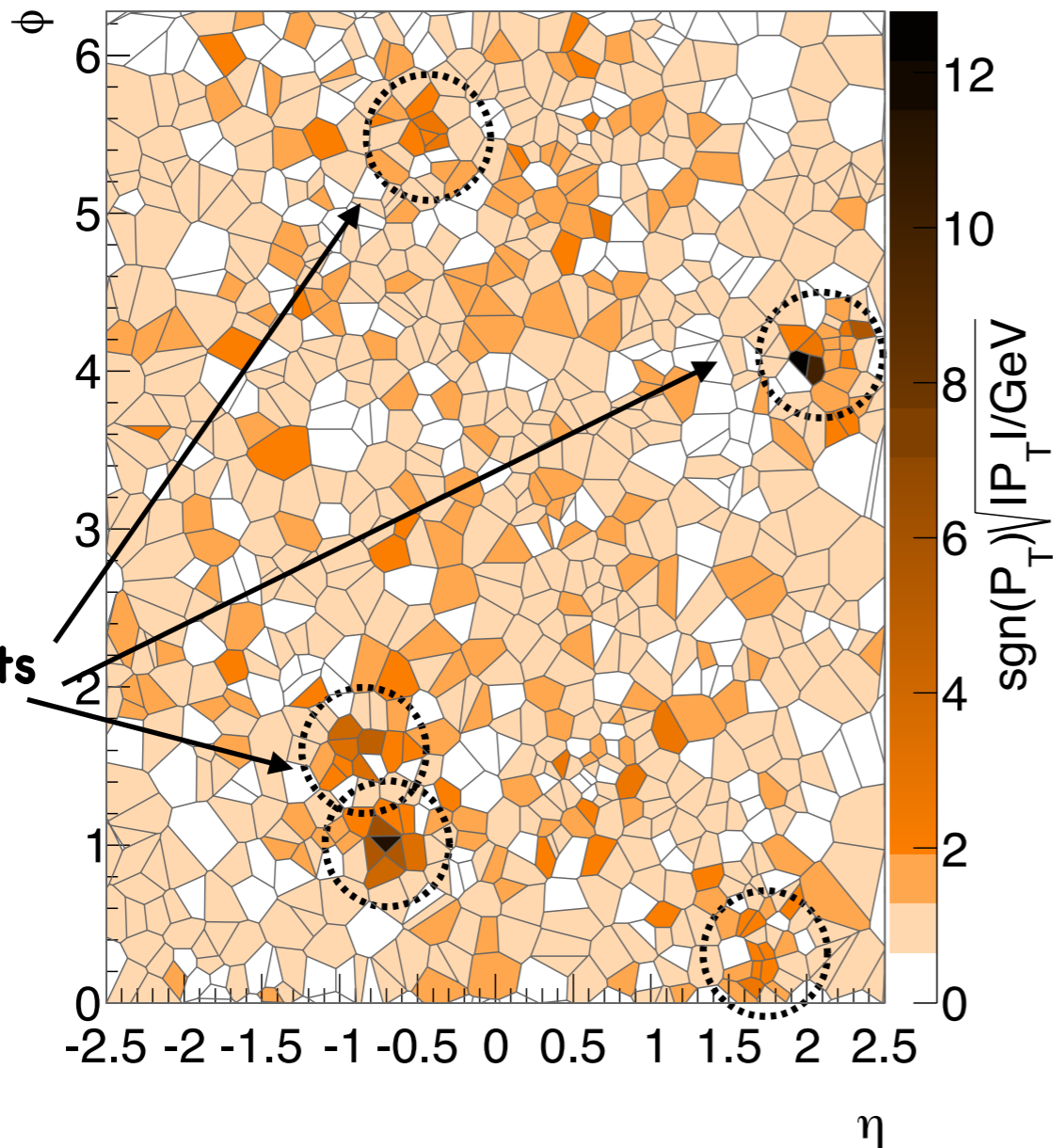
- efficiency for signal selection vs background rejection through  $R_{pT}$  (charged fraction) for  $R = 0.4$  jets
- extends up to the forward region using the “Inclined Barrel” simulation
- for 2% pile up survival probability we have  $\sim 75\%$ ,  $80\%$  and  $85\%$  depending on the  $\eta$



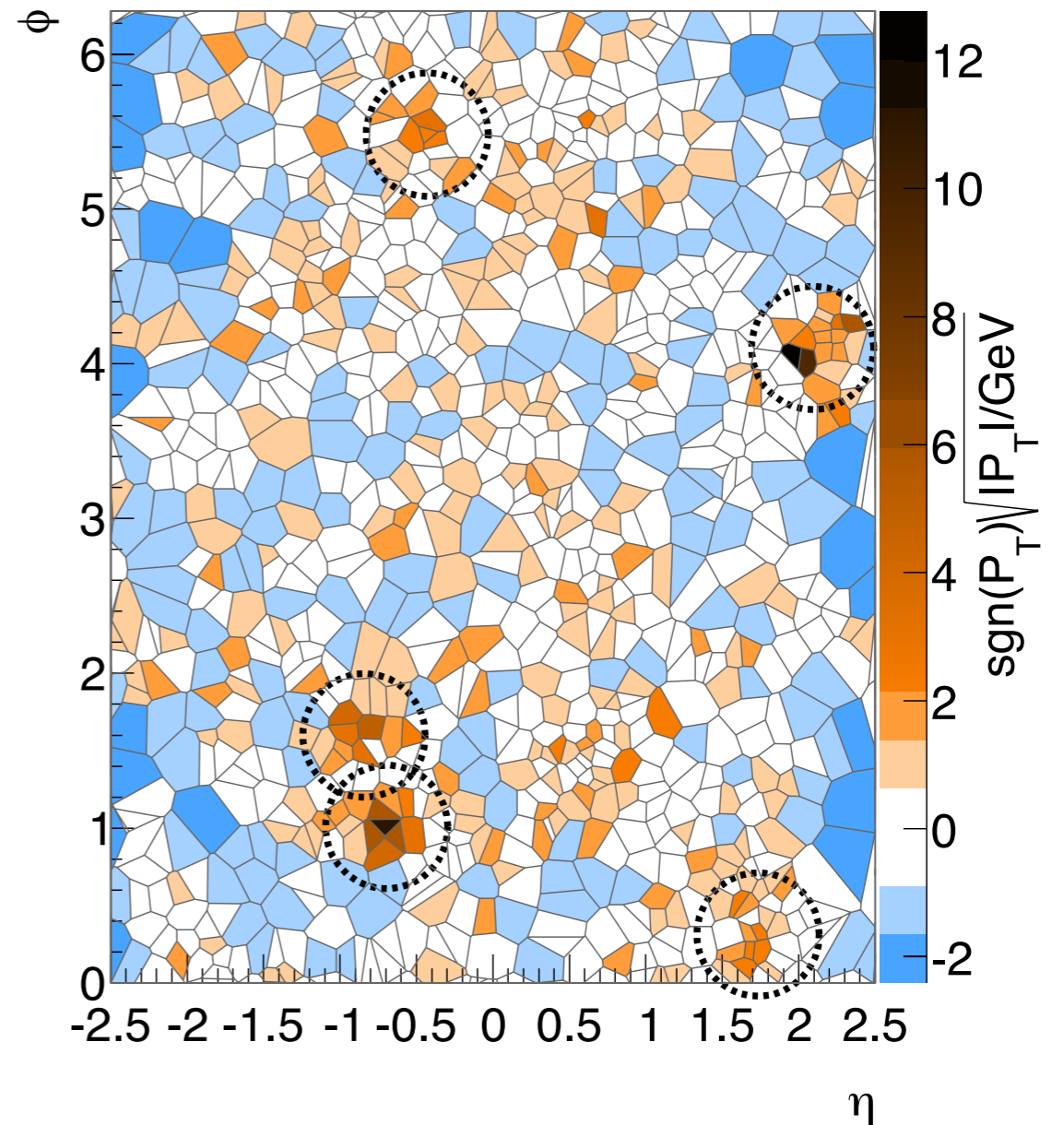
# advanced pile up mitigation - Run2

JETM-2016-012

ATLAS Simulation Preliminary



ATLAS Simulation Preliminary



- Voronoi area for clusters (one area per cluster); Run2 conditions ( $\langle \mu \rangle = 20$ )
- correction  $p_T$  (before-left)  $\rightarrow p_T^{\text{corr}} = p_T - \rho A$  (after - right)

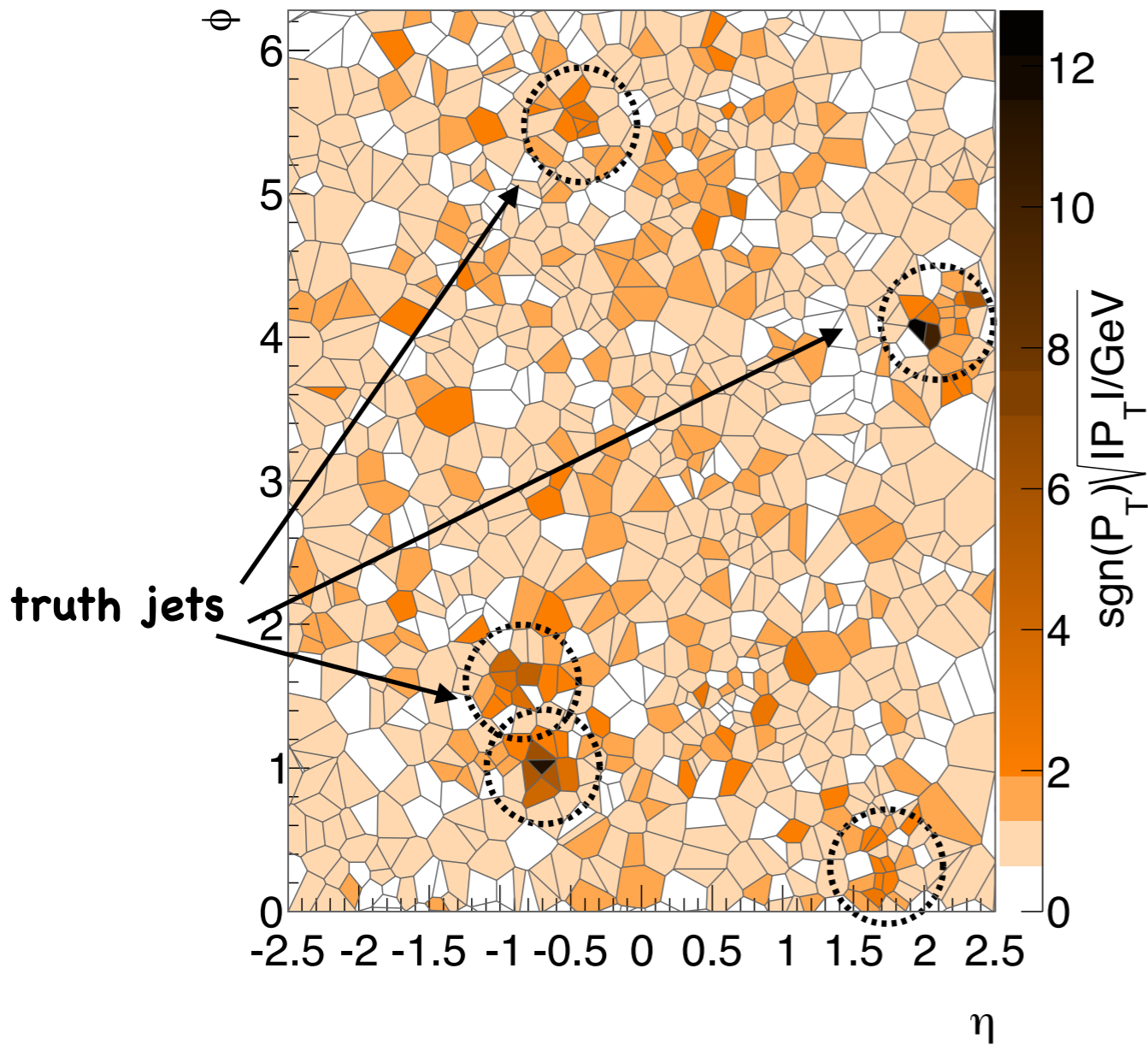




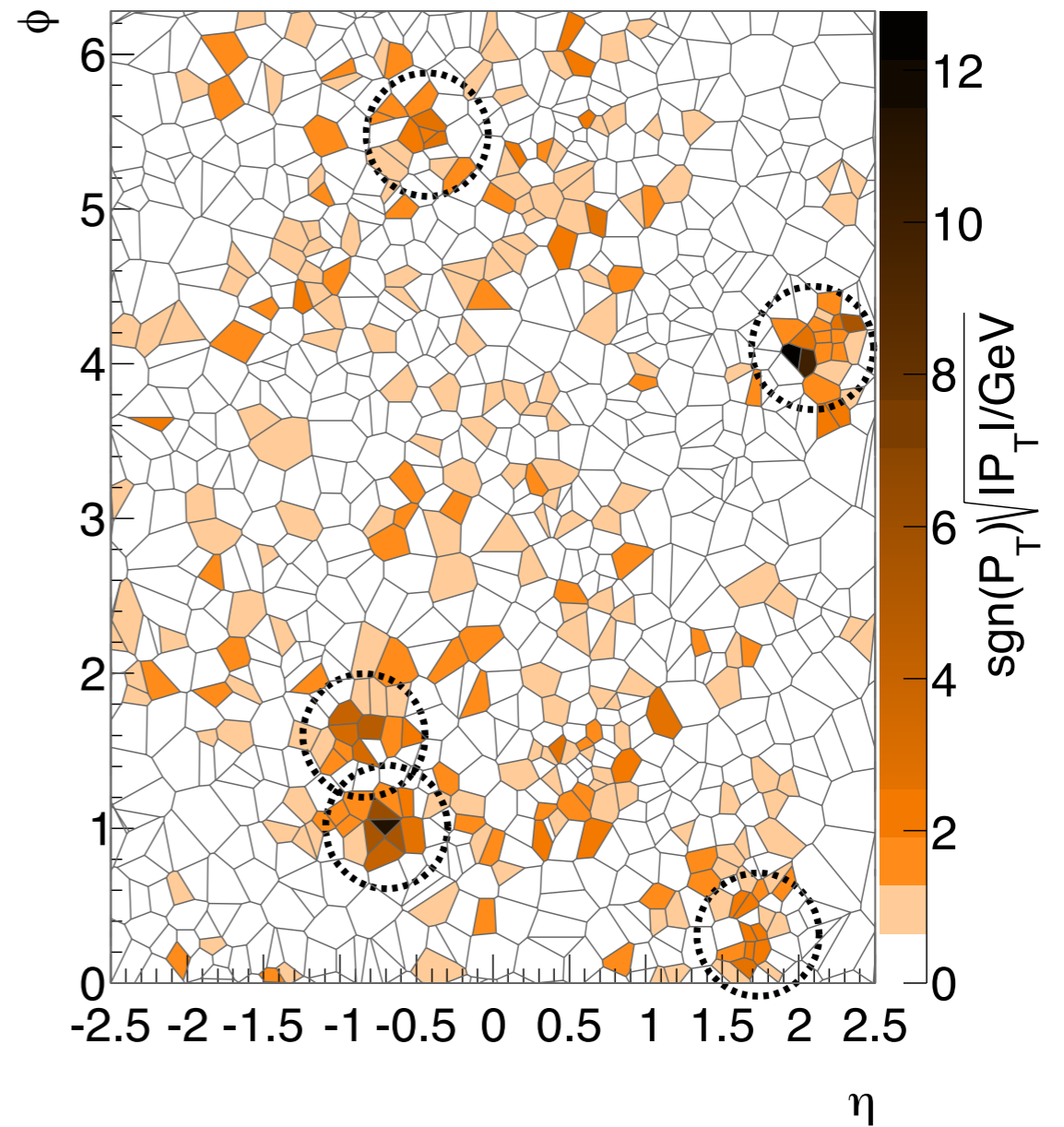
# advanced pile up mitigation - Run2

JETM-2016-012

ATLAS Simulation Preliminary



ATLAS Simulation Preliminary



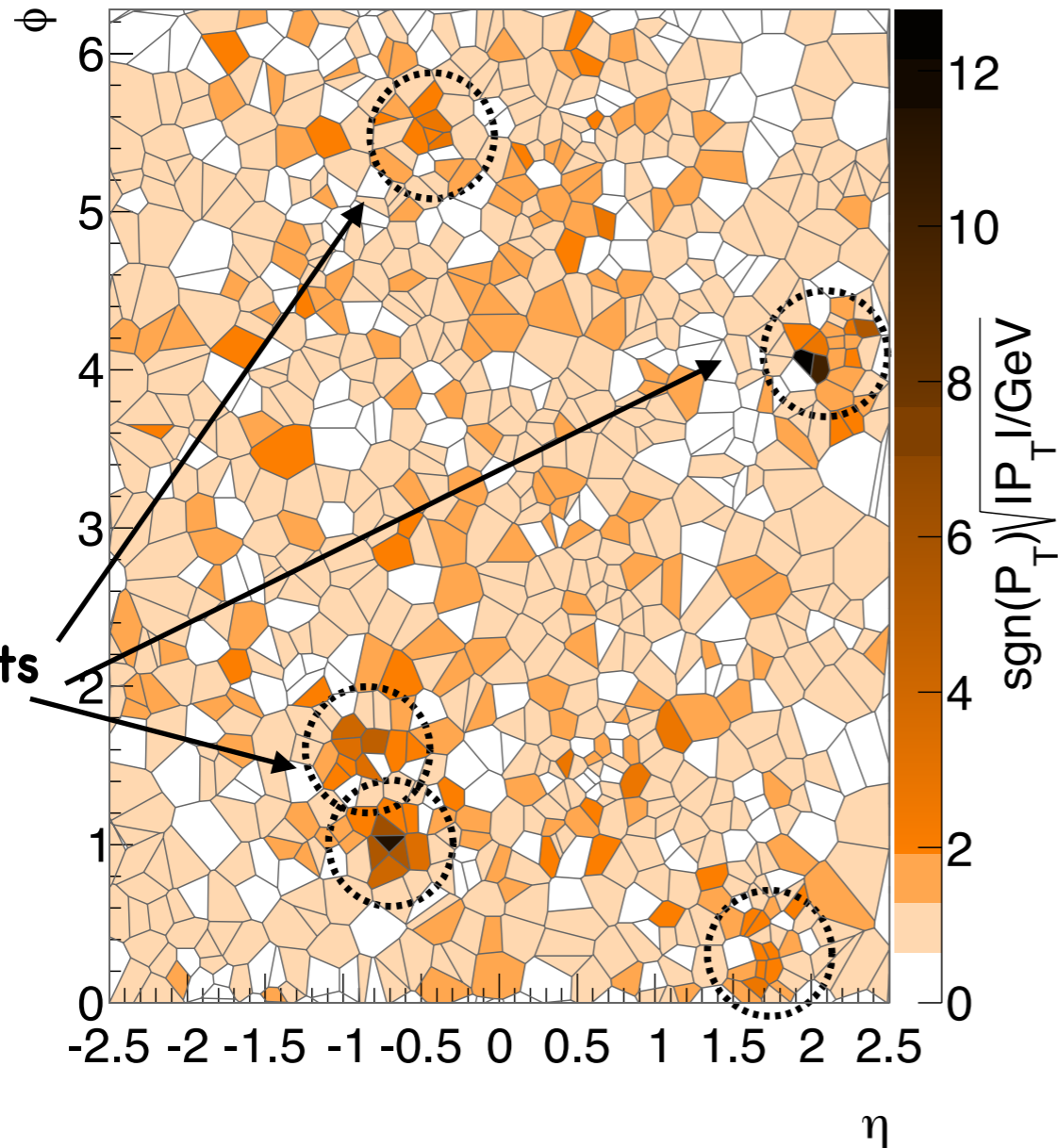
● negative suppression  $\rightarrow$  blue regions are set to zero



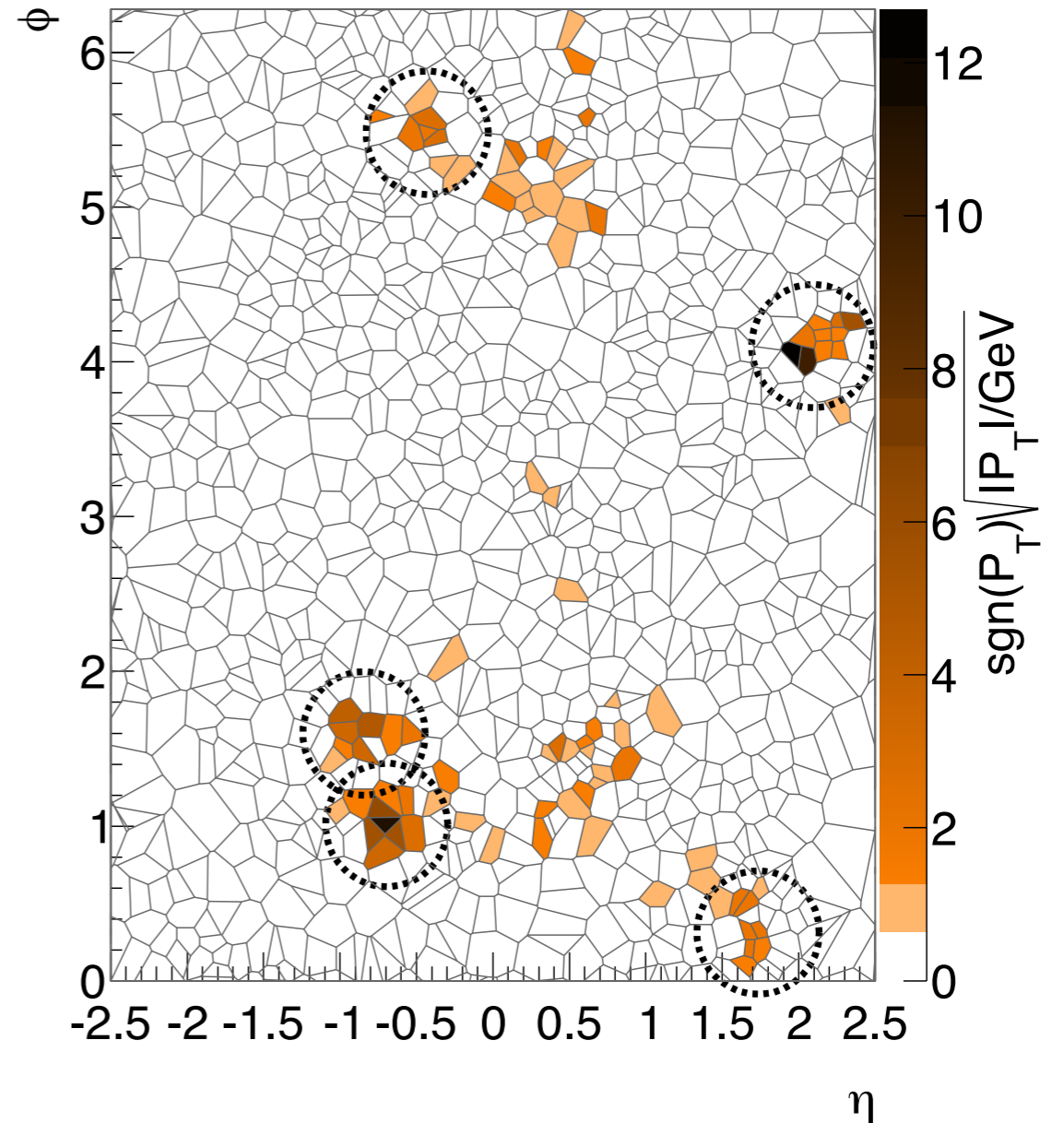
# advanced pile up mitigation - Run2

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ATLAS Simulation Preliminary



ATLAS Simulation Preliminary



- spreading - spread negative energy to neighbouring clusters
- based on idea that pile up fluctuations on average cancel

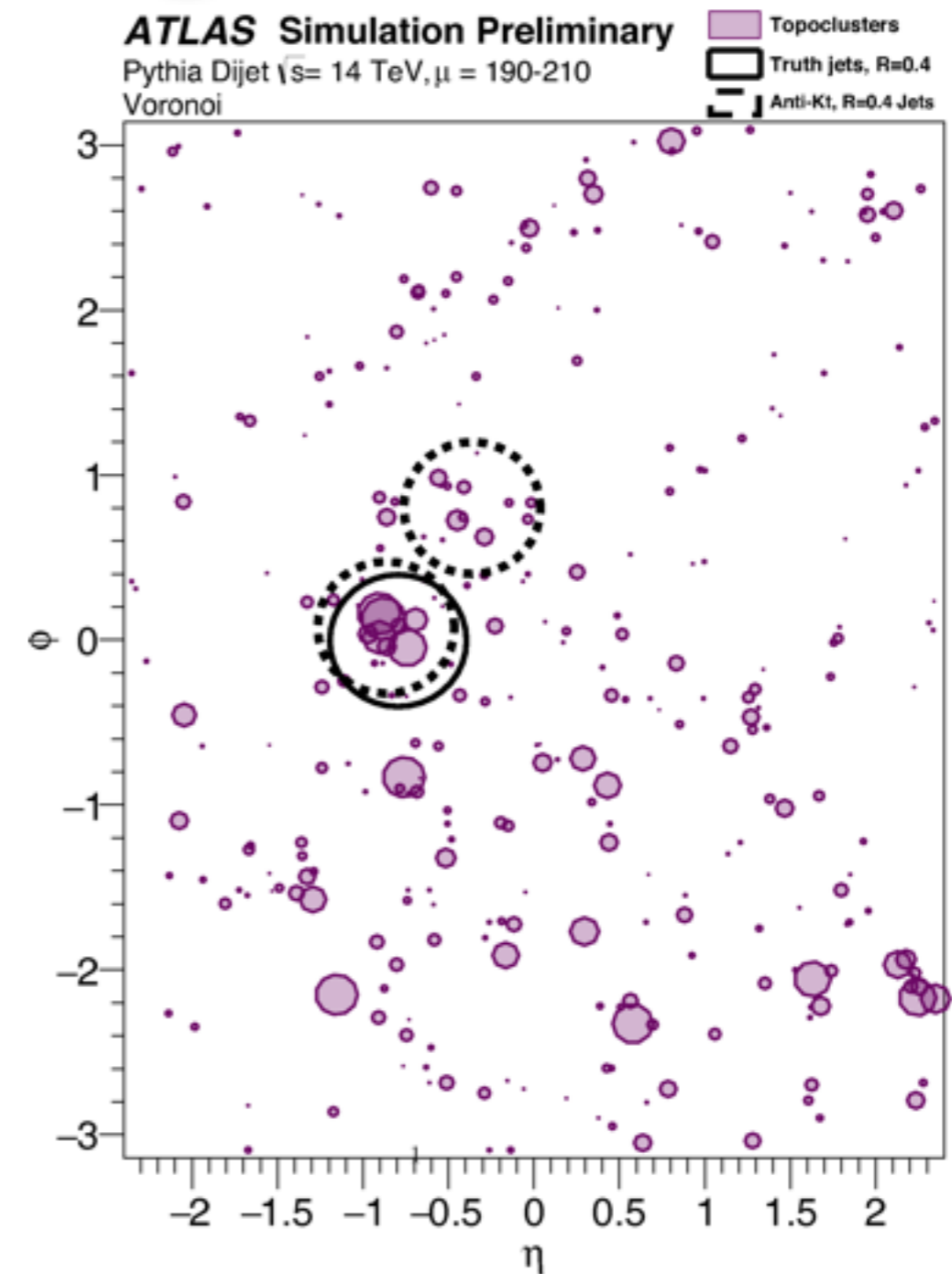
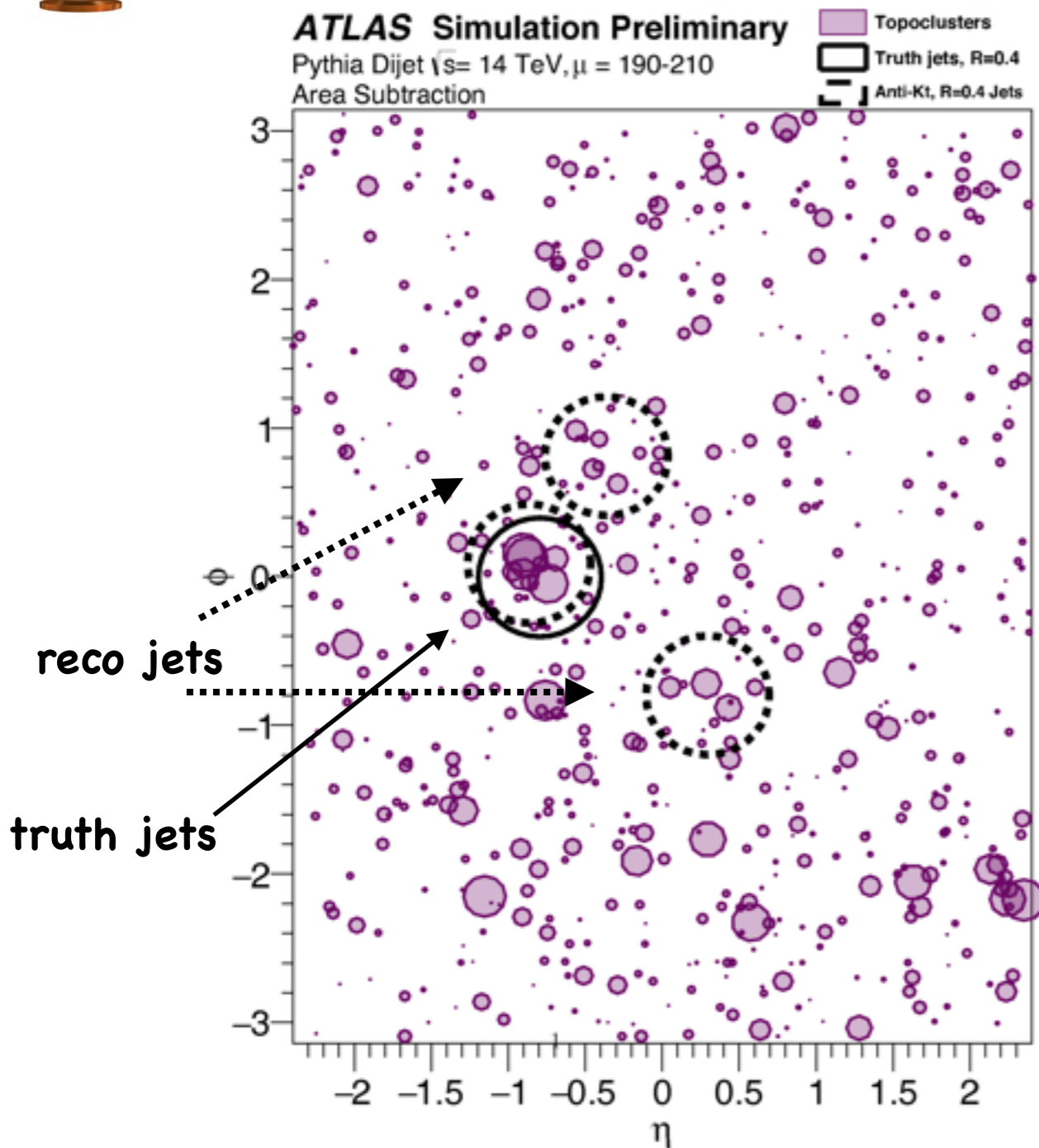


# advanced pile up mitigation - HL-LHC



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-012



●  $\rho A$  area subtraction (on jets)

● Voronoi subtraction (on Voronoi areas), zero suppression

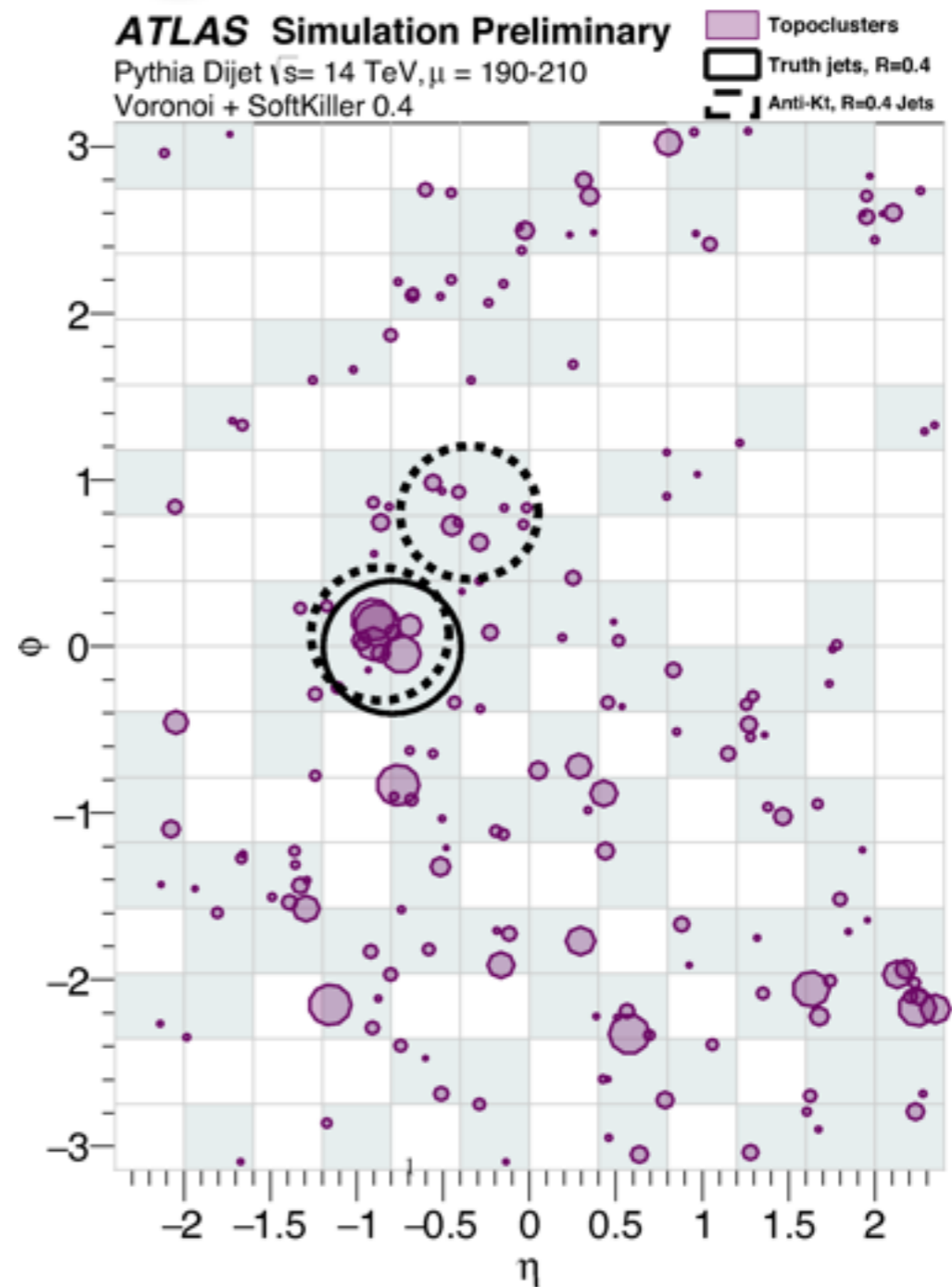
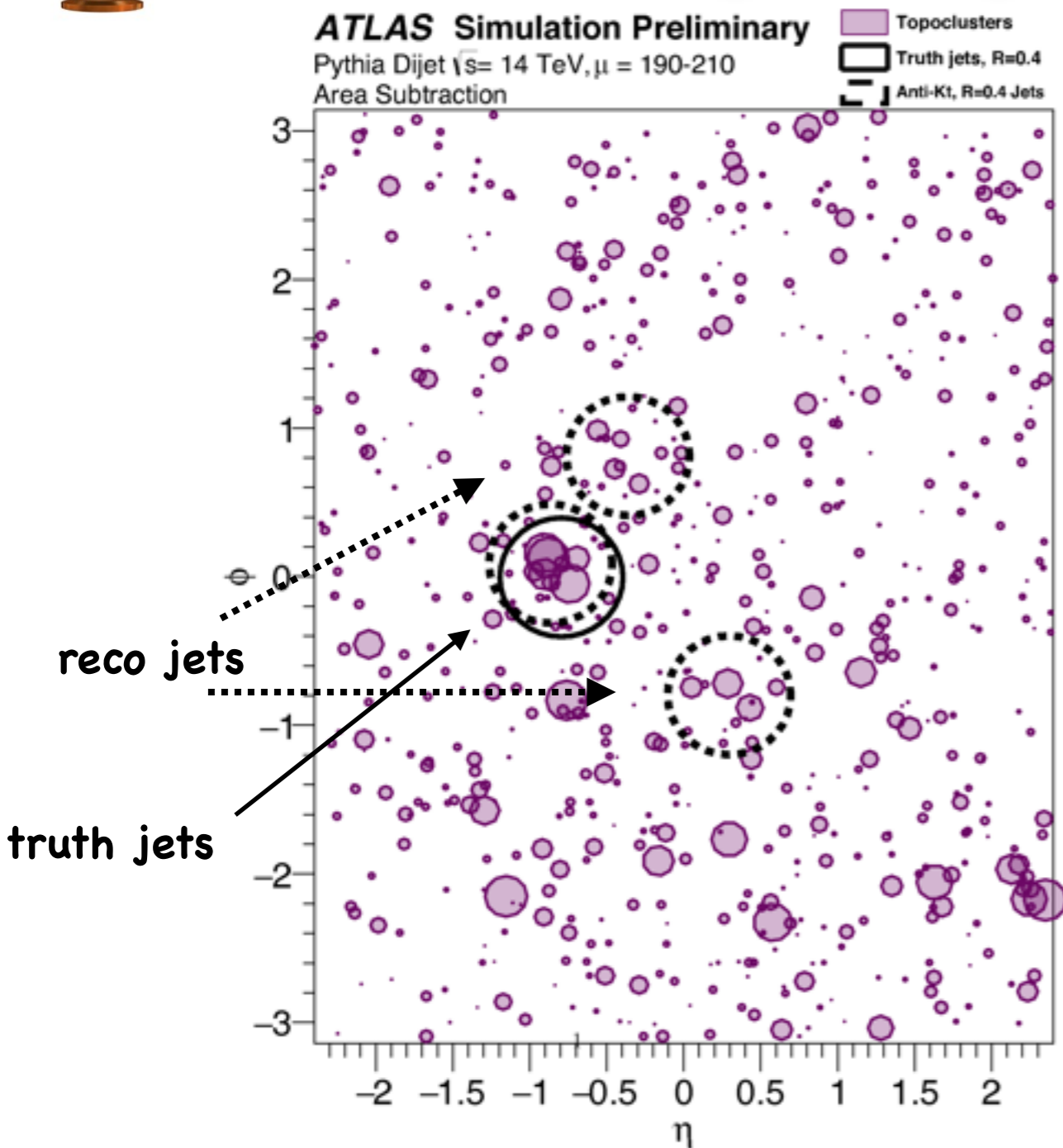


# advanced pile up mitigation - HL-LHC



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- Soft Killer - divides the detector in grid (**0.4x0.4** here) and defines a (soft)  $p_T$  cut to leave the grid half empty
- provides additional cleaning of pile up activity



# advanced pile up mitigation - HL-LHC

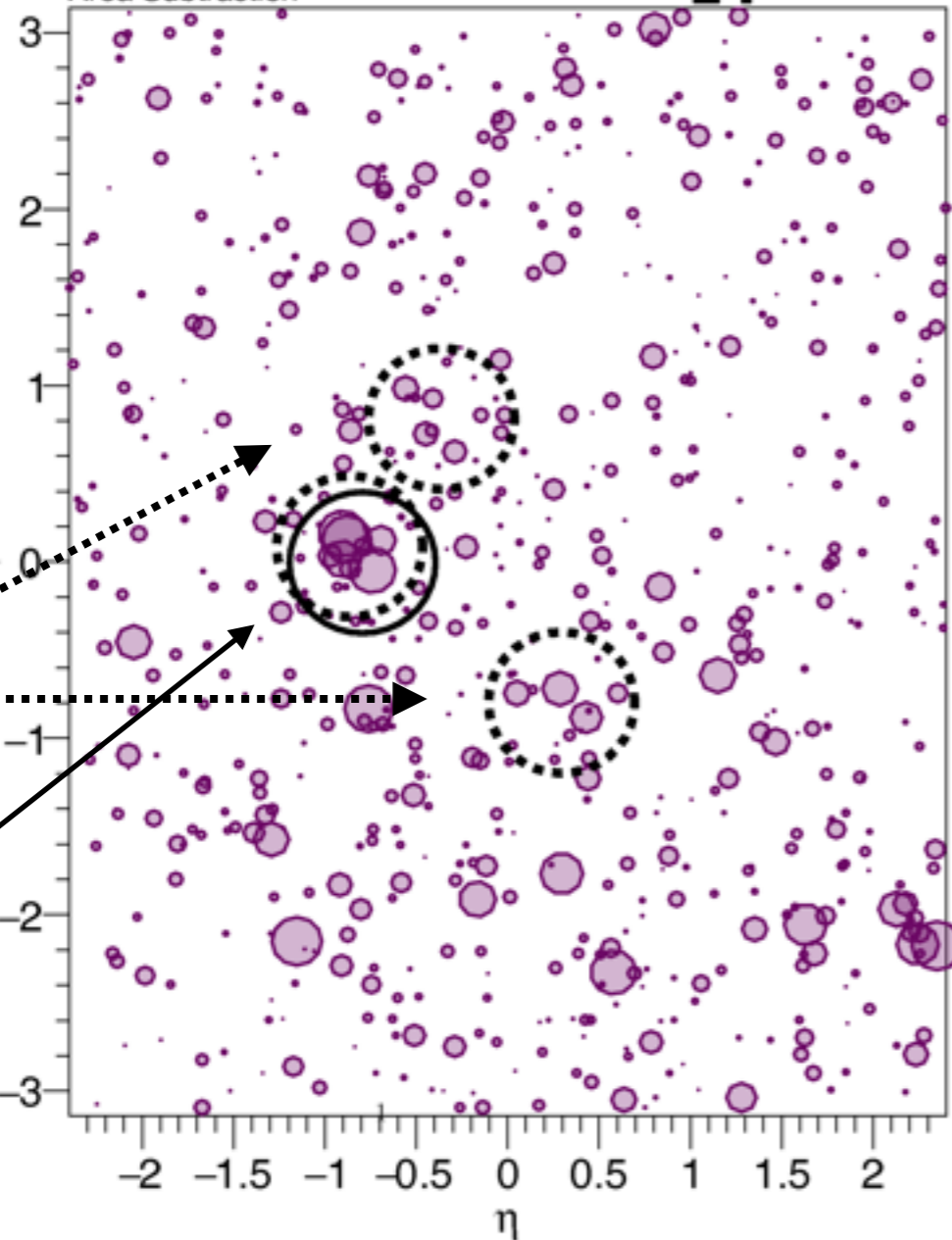


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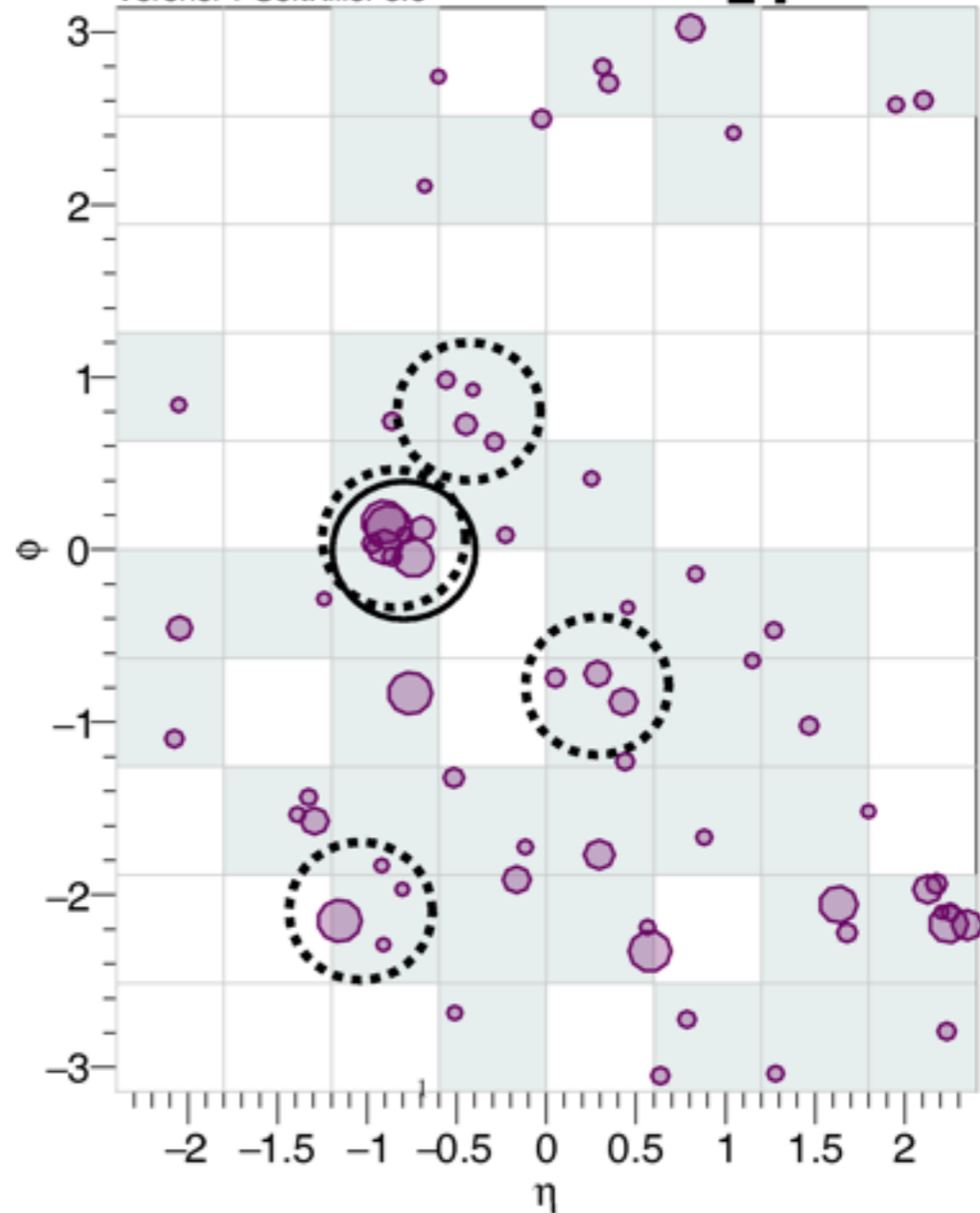
ATLAS Simulation Preliminary  
Pythia Dijet  $\sqrt{s} = 14$  TeV,  $\mu = 190-210$   
Area Subtraction

Topoclusters  
Truth jets,  $R=0.4$   
Anti-Kt,  $R=0.4$  Jets



ATLAS Simulation Preliminary  
Pythia Dijet  $\sqrt{s} = 14$  TeV,  $\mu = 190-210$   
Voronoi + SoftKiller 0.6

Topoclusters  
Truth jets,  $R=0.4$   
Anti-Kt,  $R=0.4$  Jets



- Soft Killer - divides the detector in grid (**0.6x0.6** here) and defines a (soft)  $p_T$  cut to leave the grid half empty
- provides additional cleaning of pile up activity

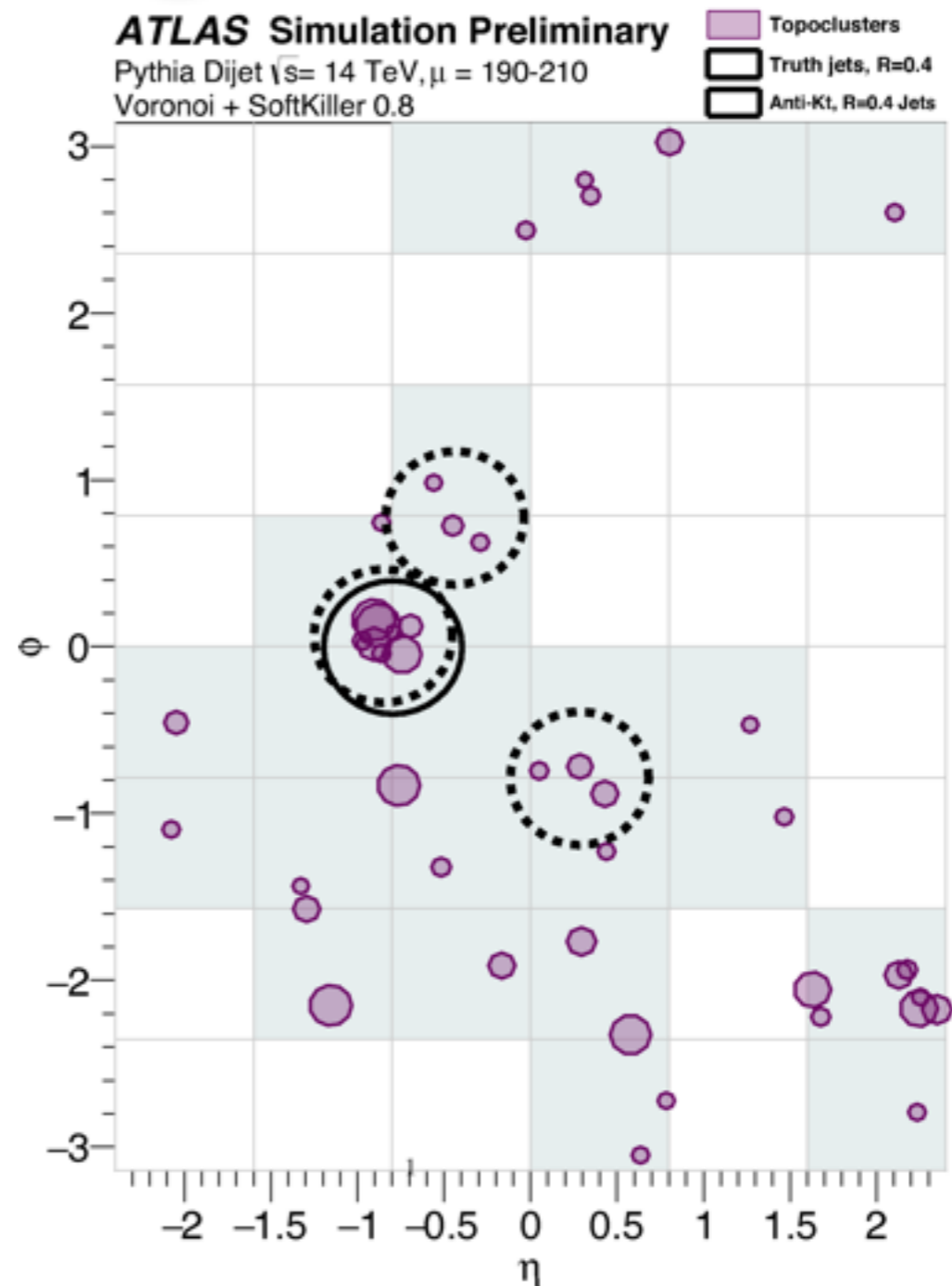
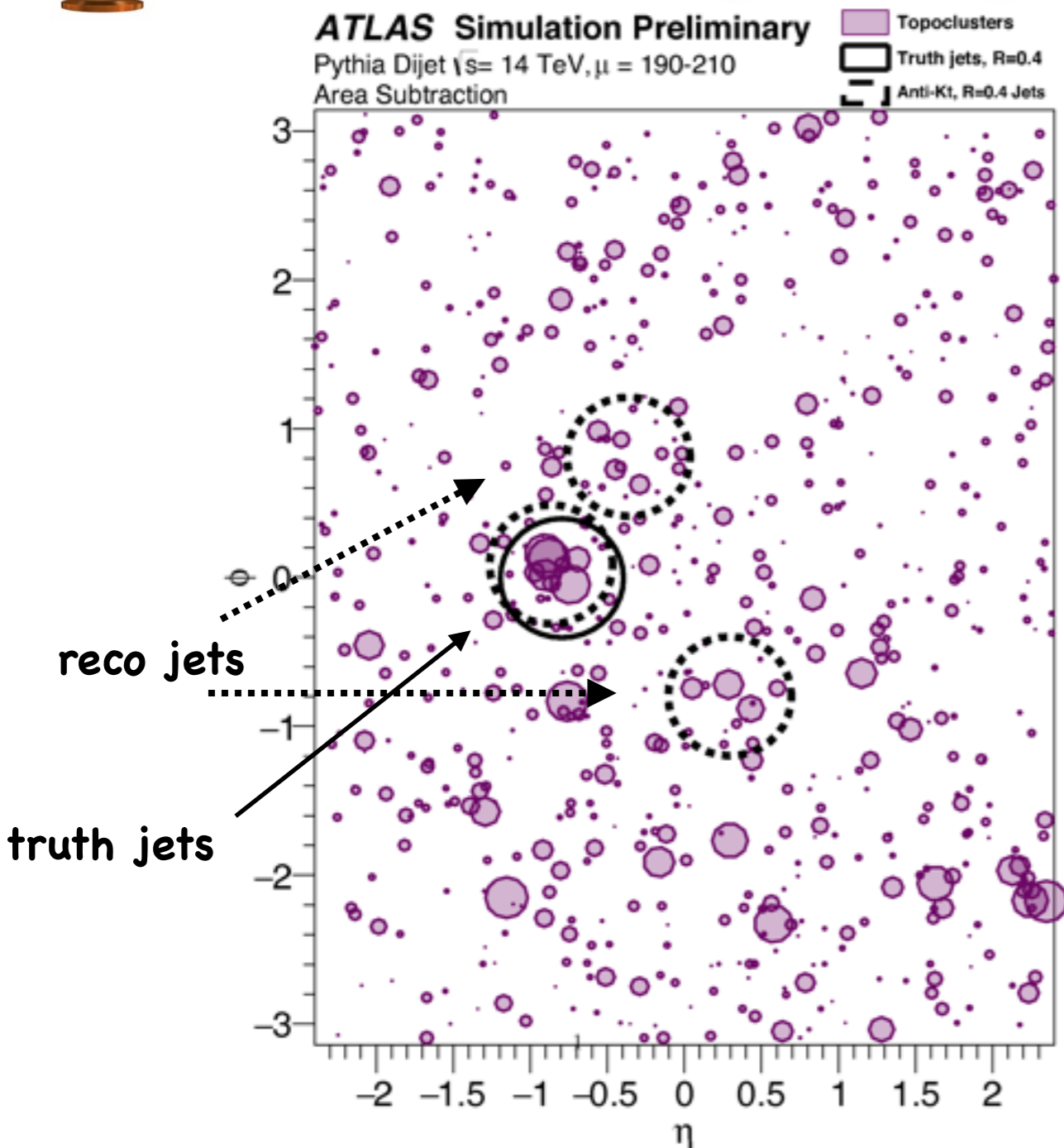


# advanced pile up mitigation - HL-LHC



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-012



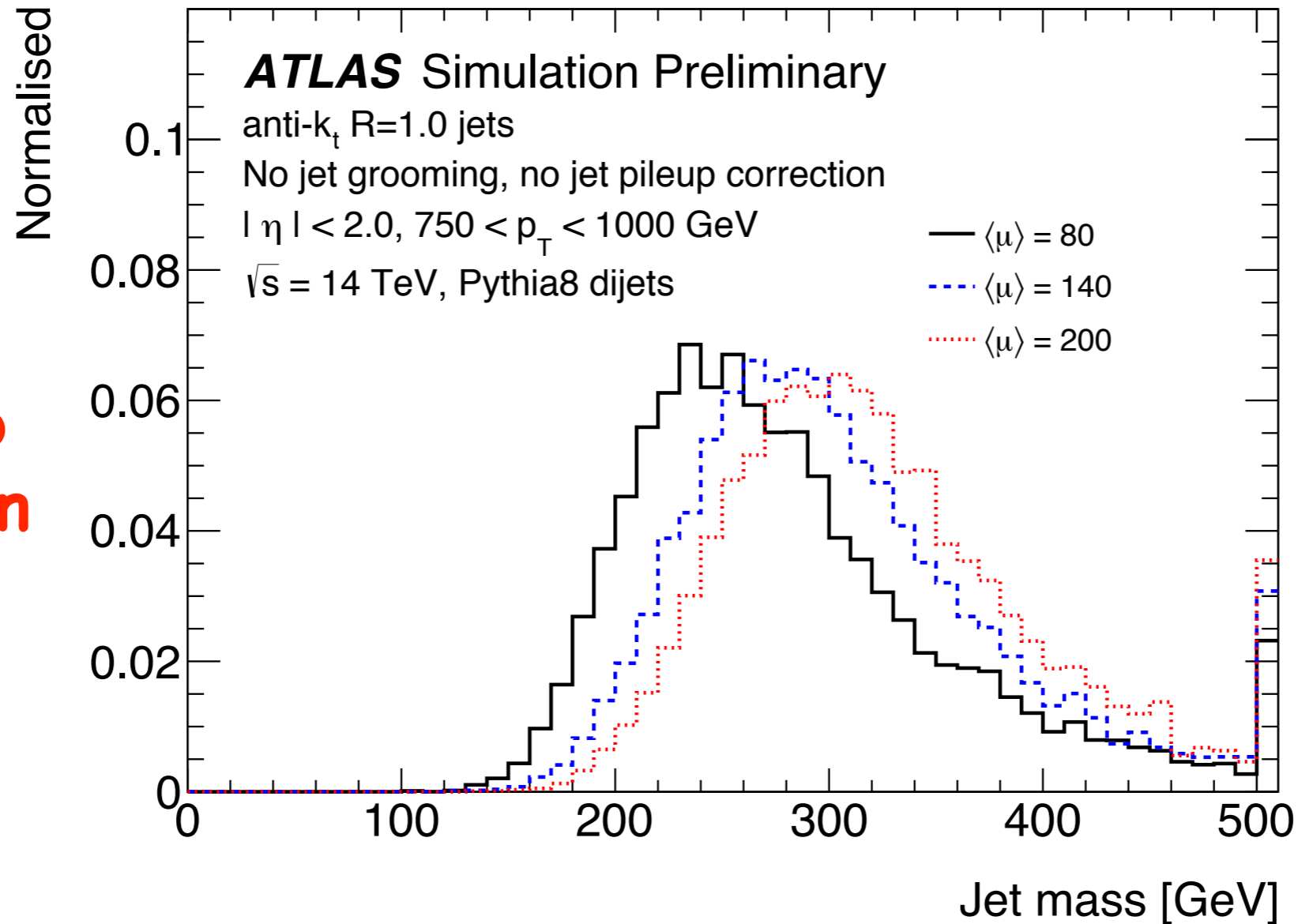
- Soft Killer - divides the detector in grid (**0.8x0.8** here) and defines a (soft)  $p_T$  cut to leave the grid half empty
- provides additional cleaning of pile up activity



# Fat jets @ HL-LHC



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no pile up  
suppression

QCD Dijets

$|\eta| < 2.0$

$750 < p_T < 1000$  GeV

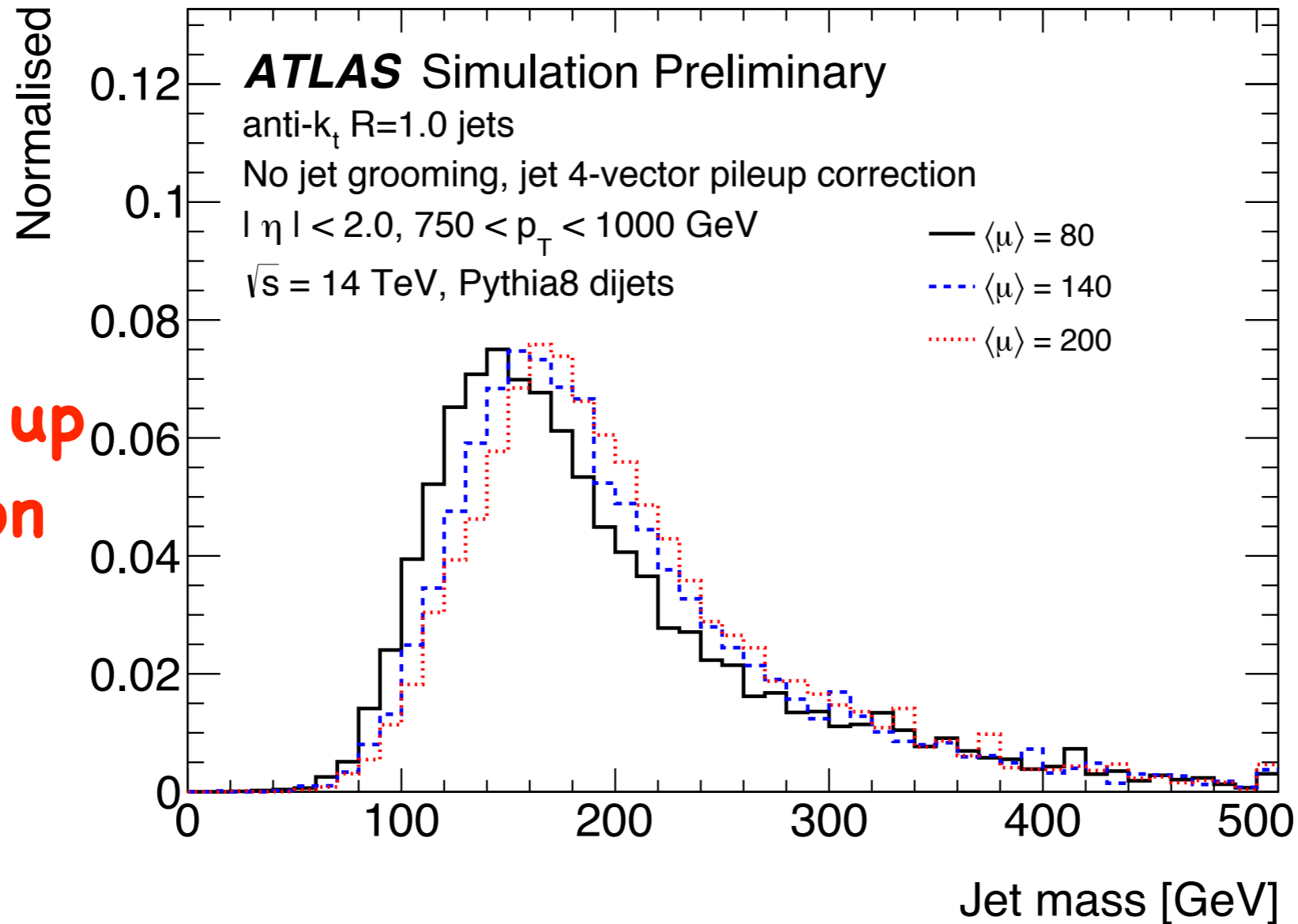
- used in boosted topologies (typically contains  $\geq 1$  small jets)
- typically large radius (1.0, 1.2)
- used to reconstruct jet mass  $\rightarrow$  strong need to mitigate PU



# Fat jets @ HL-LHC



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**QCD Dijets**

$|\eta| < 2.0$

$750 < p_T < 1000$  GeV

**$\rho_A$  jet pile up  
suppression**

- used in boosted topologies (typically contains  $\geq 1$  small jets)
- typically large radius (1.0, 1.2)
- used to reconstruct jet mass  $\rightarrow$  strong need to mitigate PU

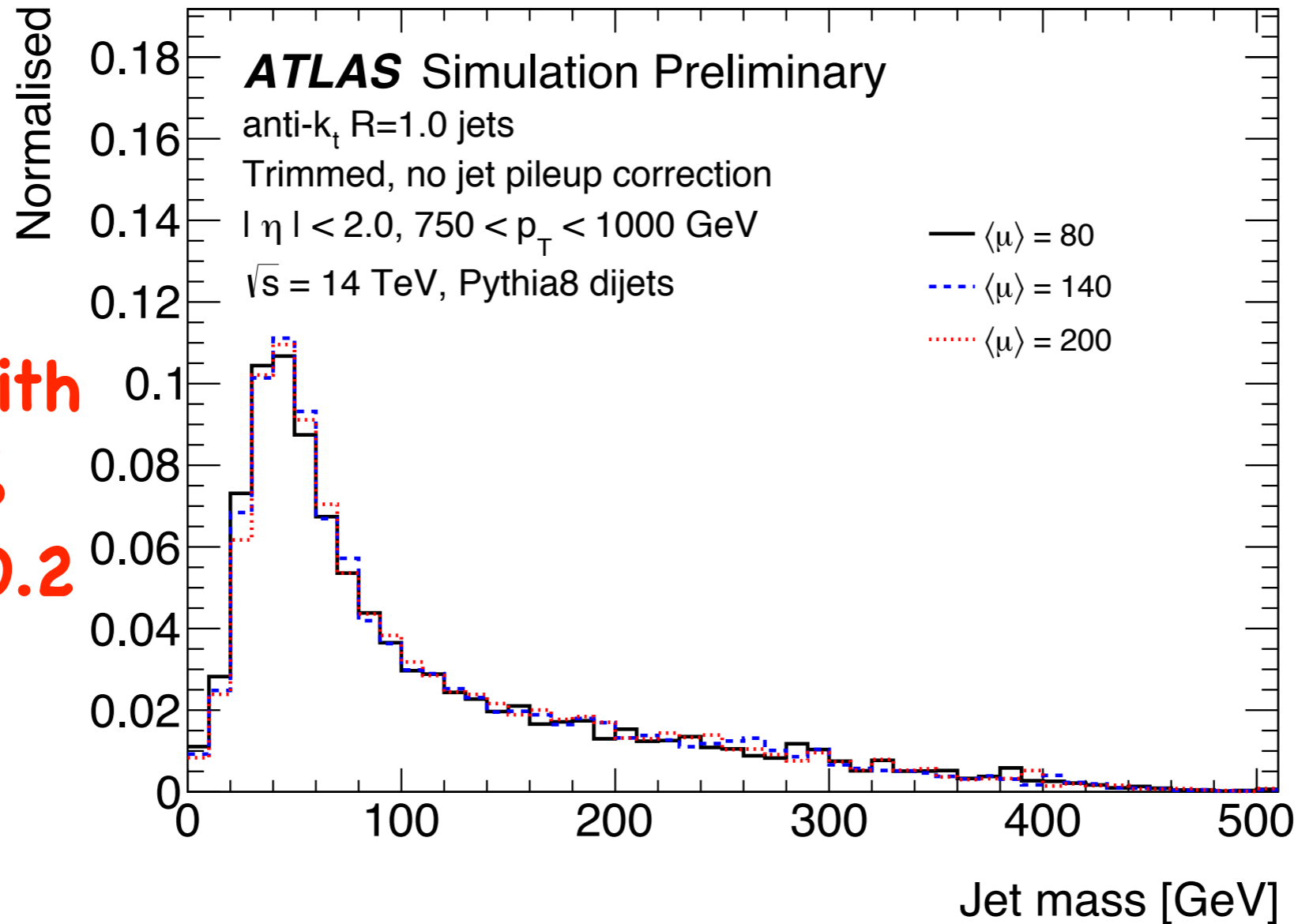




# Fat jets @ HL-LHC



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**QCD Dijets**

$|\eta| < 2.0$

$750 < p_T < 1000$  GeV

trimmed with  
 $f_{\text{cut}} = 5\%$   
and  $R_{\text{sub}} = 0.2$

- used in boosted topologies (typically contains  $\geq 1$  small jets)
- typically large radius (1.0, 1.2)
- used to reconstruct jet mass  $\rightarrow$  strong need to mitigate PU

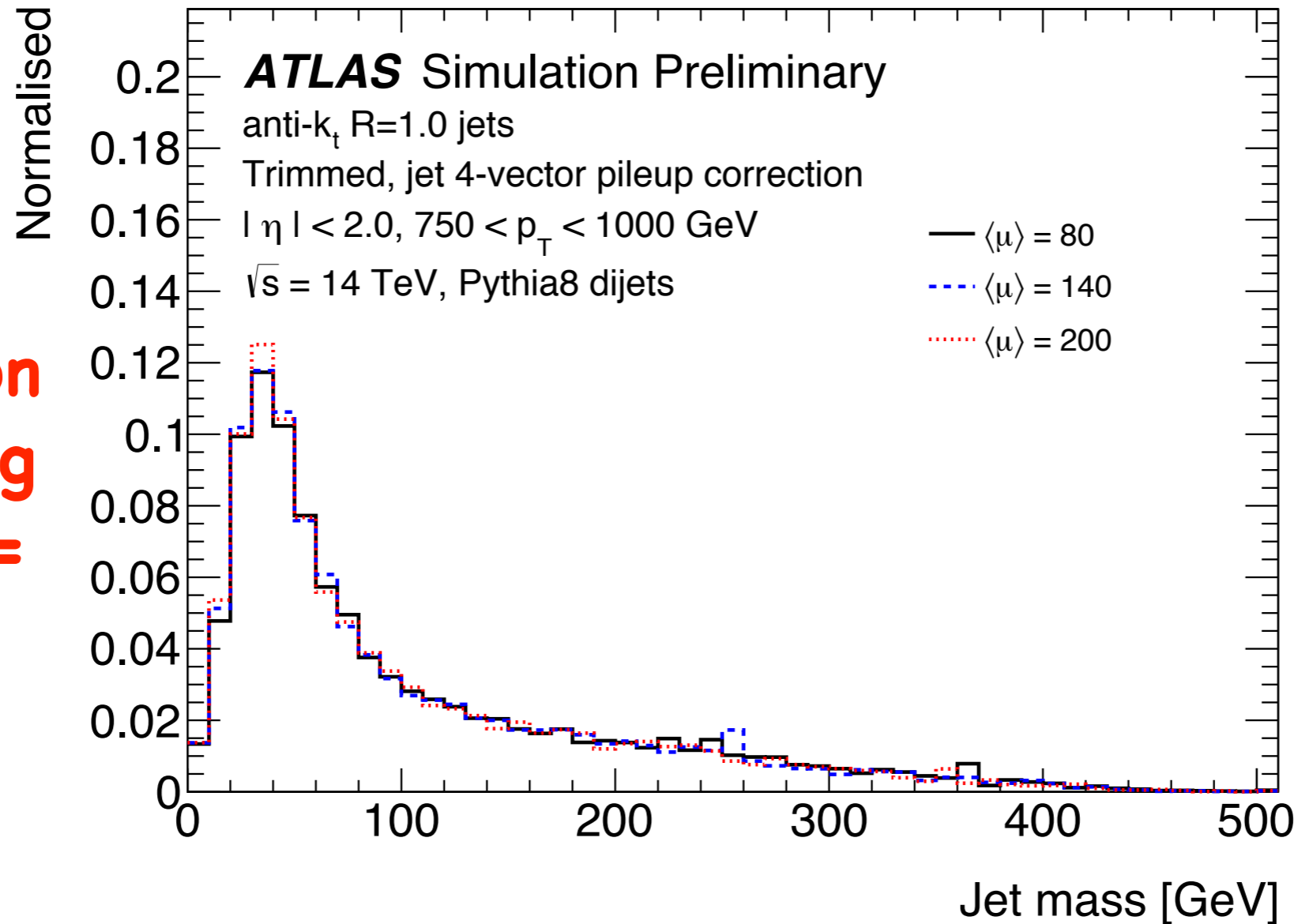


# Fat jets @ HL-LHC



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**$\rho$ A jet  
pile up  
suppression  
+ trimming  
with  $f_{\text{cut}} =$   
5% and  
 $R_{\text{sub}} = 0.2$**



**QCD Dijets**

$|\eta| < 2.0$

$750 < p_T < 1000$  GeV

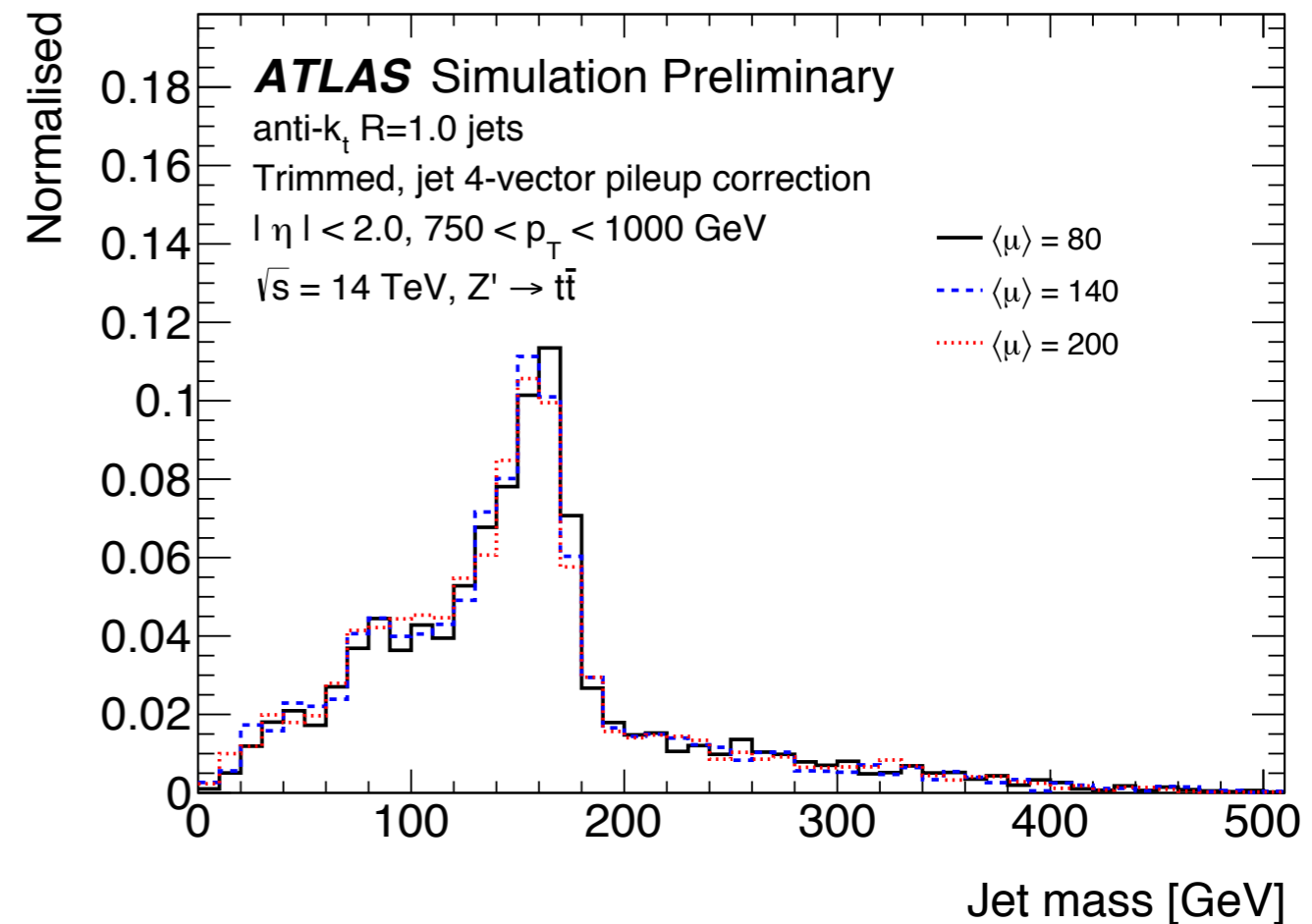
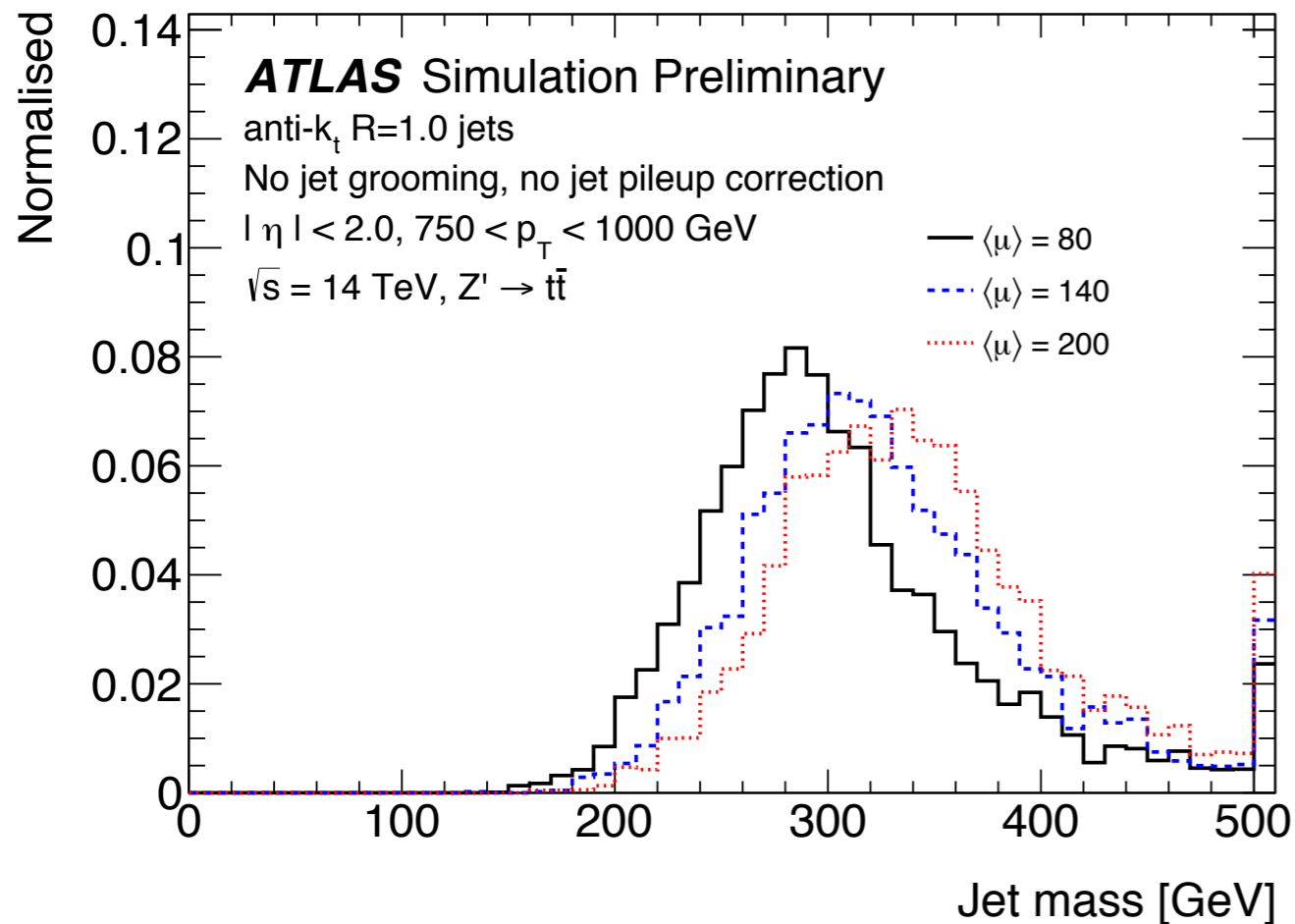
- overlap of peaks for all three pile up scenarios shows that correction managed to remove most of pile up effects



# $Z' \rightarrow t\bar{t}$



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- leading top-jet mass
- combined trimming and  $\rho A$  subtraction restore the mass information

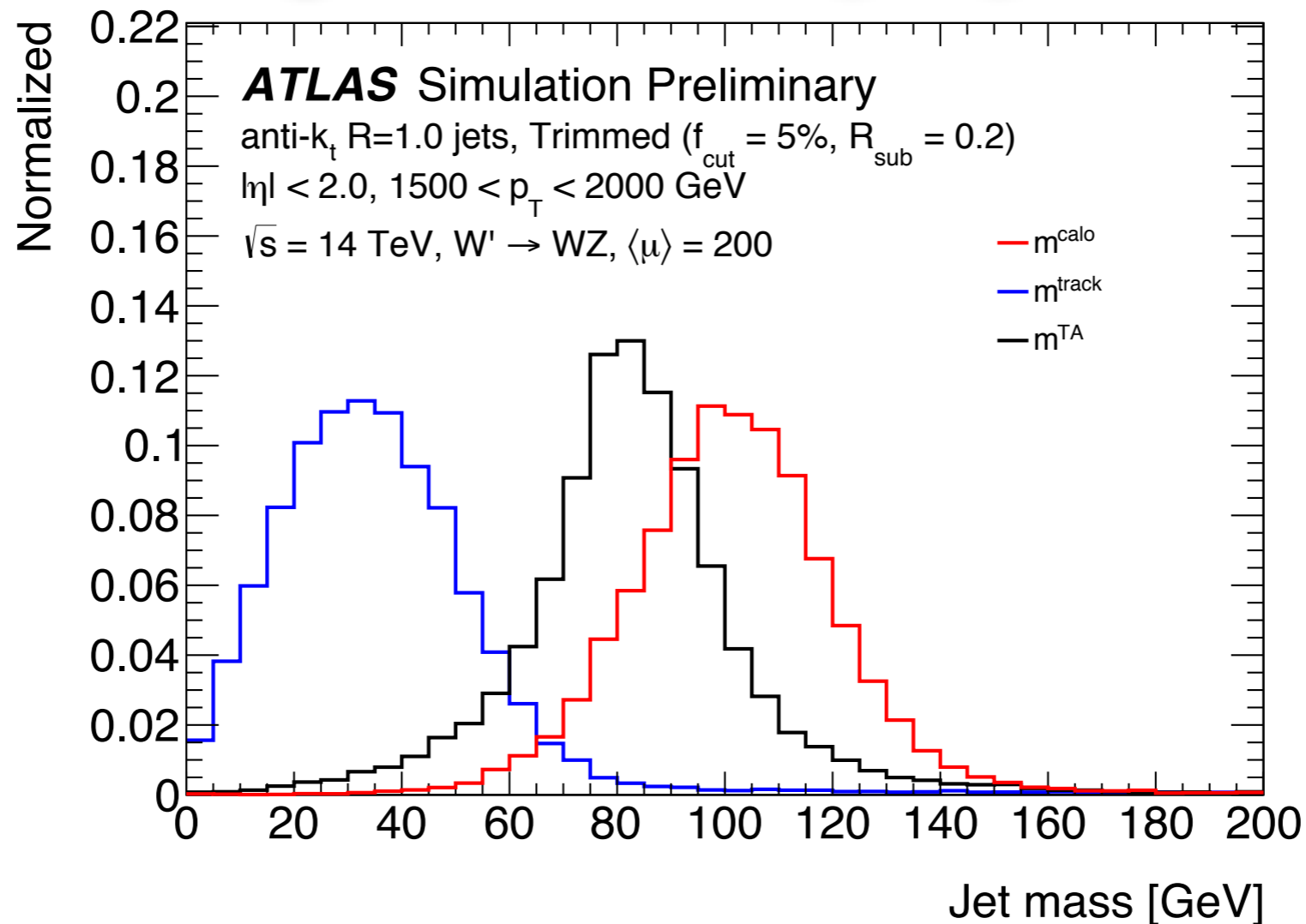


# jet mass(es)



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ITK-Inclined



$|\eta| < 2.0$

$1500 < p_T < 2000$  GeV

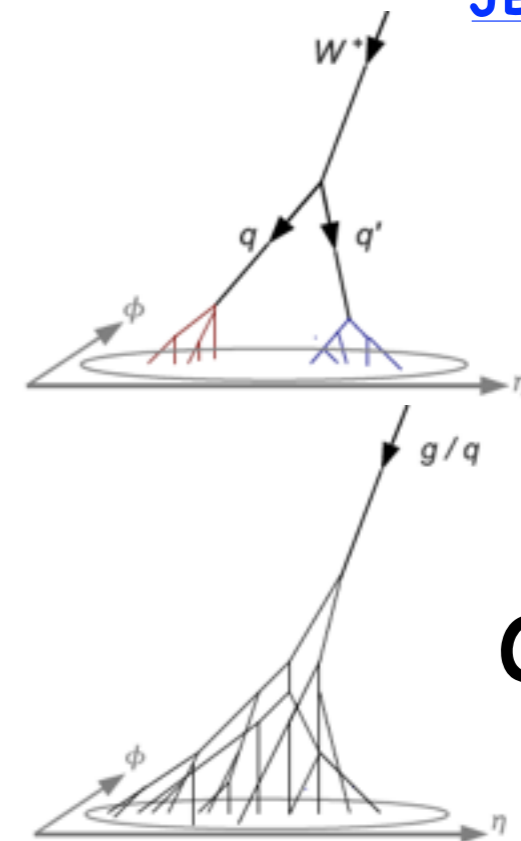
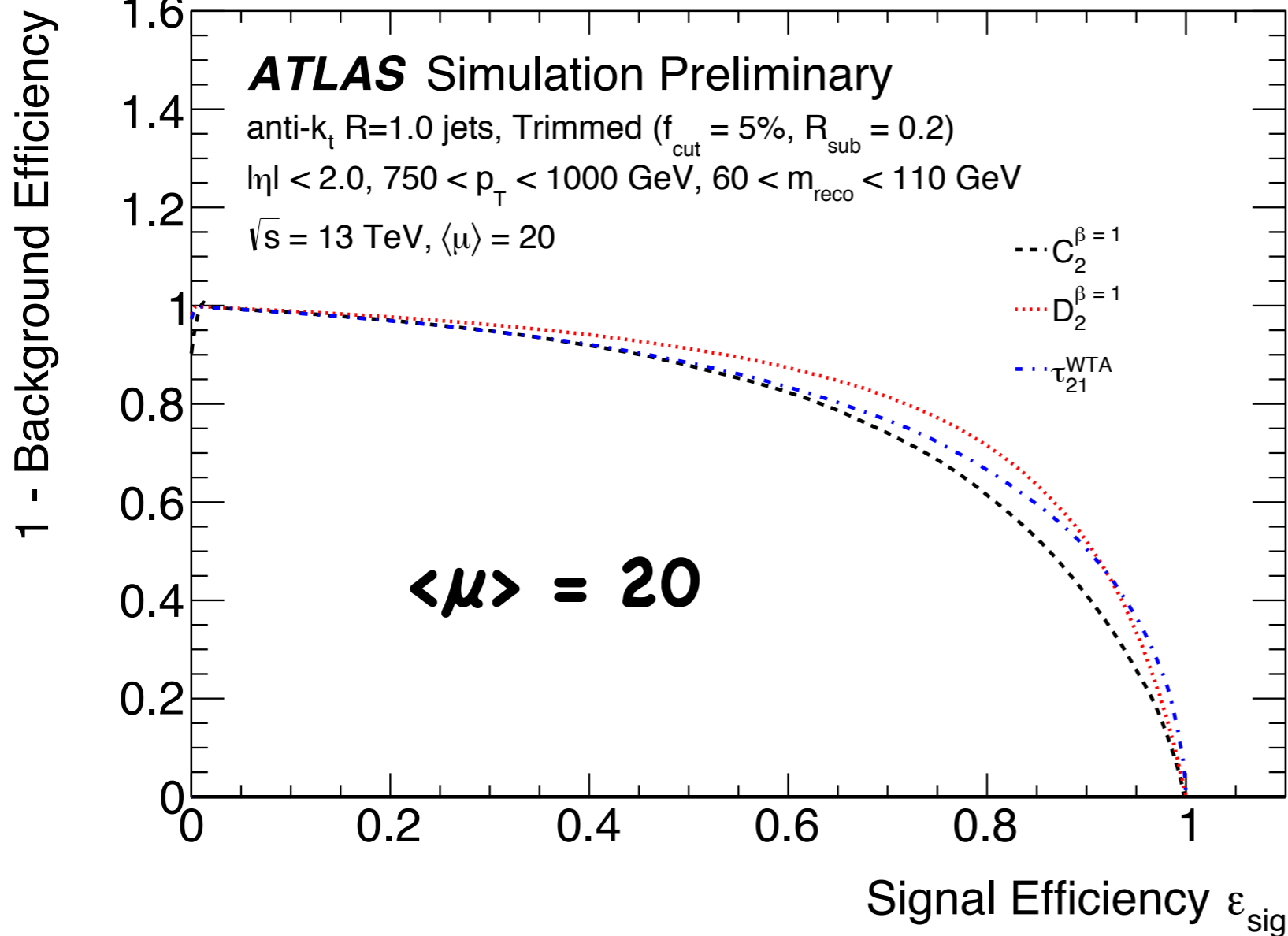
- W-jet masses reconstructed with different techniques: **calorimeter-based mass**, **track-based jet mass** (mass from associated tracks) and **track-assisted jet mass** (correction for neutral contribution from  $p_T^{\text{calo}}/p_T^{\text{track}}$ )
- $R=1.0$ , trimmed ( $f_{\text{cut}} = 5\%$ ,  $R_{\text{sub}}=0.2$ )
- **track-assisted jet mass is closest to the real mass of the W**



# substructure techniques



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$C_2^{\beta=1}$  ... built from 3-point correlations

$D_2^{\beta=1}$  ... built from 2- and 3-point correlations

$\tau_{21}^{\text{WTA}}$  ...  $\tau_2/\tau_1$ , minimises distance to sub-jets

- $C_2$ ,  $D_2$  ... concept of particle energy-correlations within a jet, does not need subjet re-clustering
- $\tau_N$  ... N-jet sub-jettiness - how much a jet looks like to be composed of N sub-jets
- optimised for boosted Z (2-prong) vs QCD jet identification

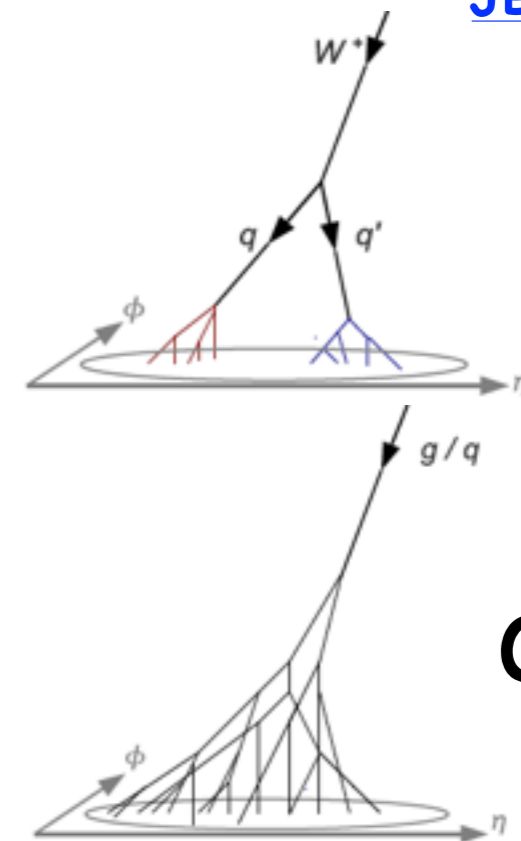
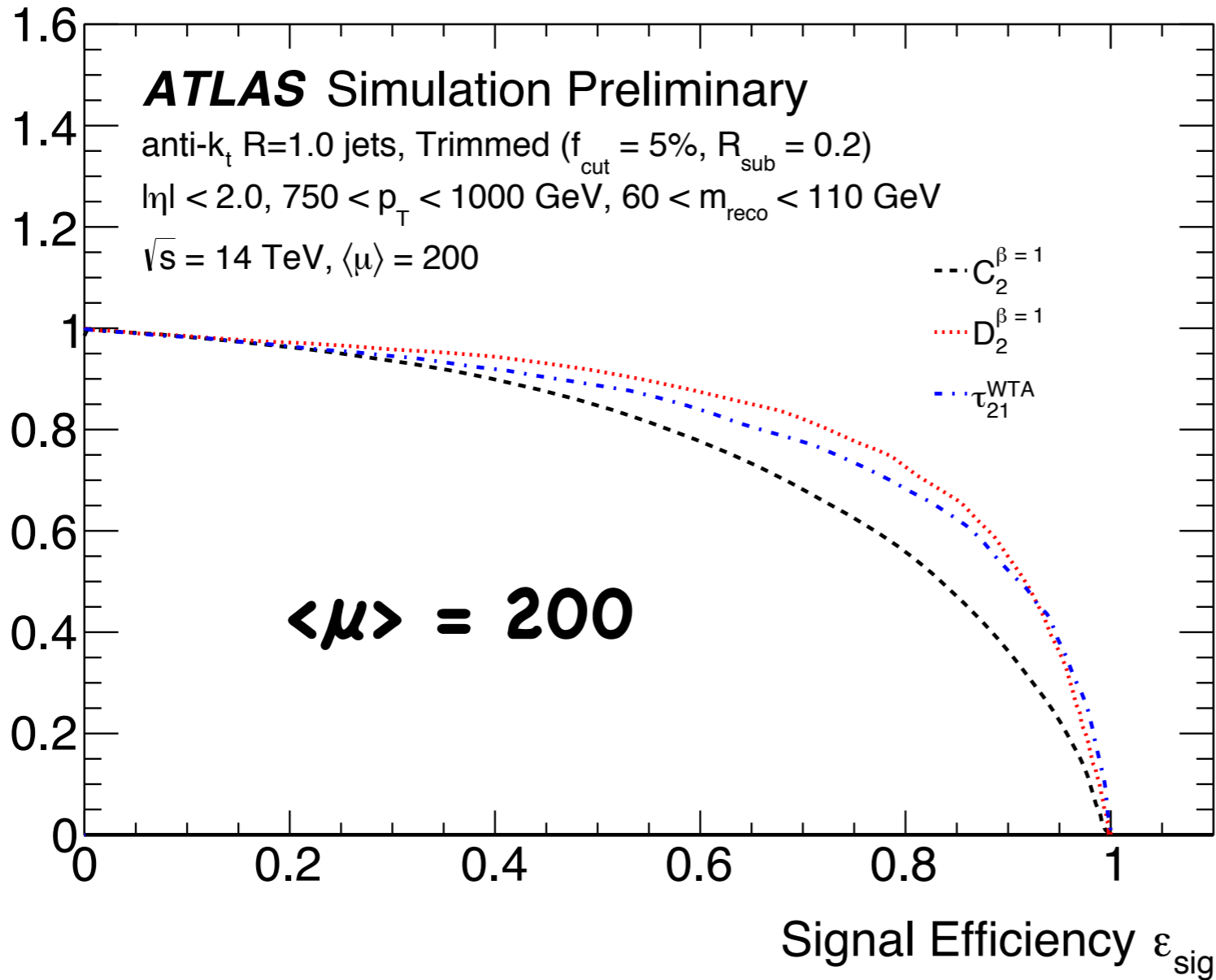


# substructure techniques



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1 - Background Efficiency



**W' jet**

**QCD jet**

$C_2^{\beta=1}$  ... built from 3-point correlations

$D_2^{\beta=1}$  ... built from 2- and 3-point correlations

$\tau_{21}^{\text{WTA}}$  ...  $\tau_2/\tau_1$ , minimises distance to sub-jets

while  $D_2$  and  $\tau_N$  stay  $\sim$ unaffected, the  $C_2$  (3-point only correlation) algorithm degrades with high pile up by  $\sim 10\%$

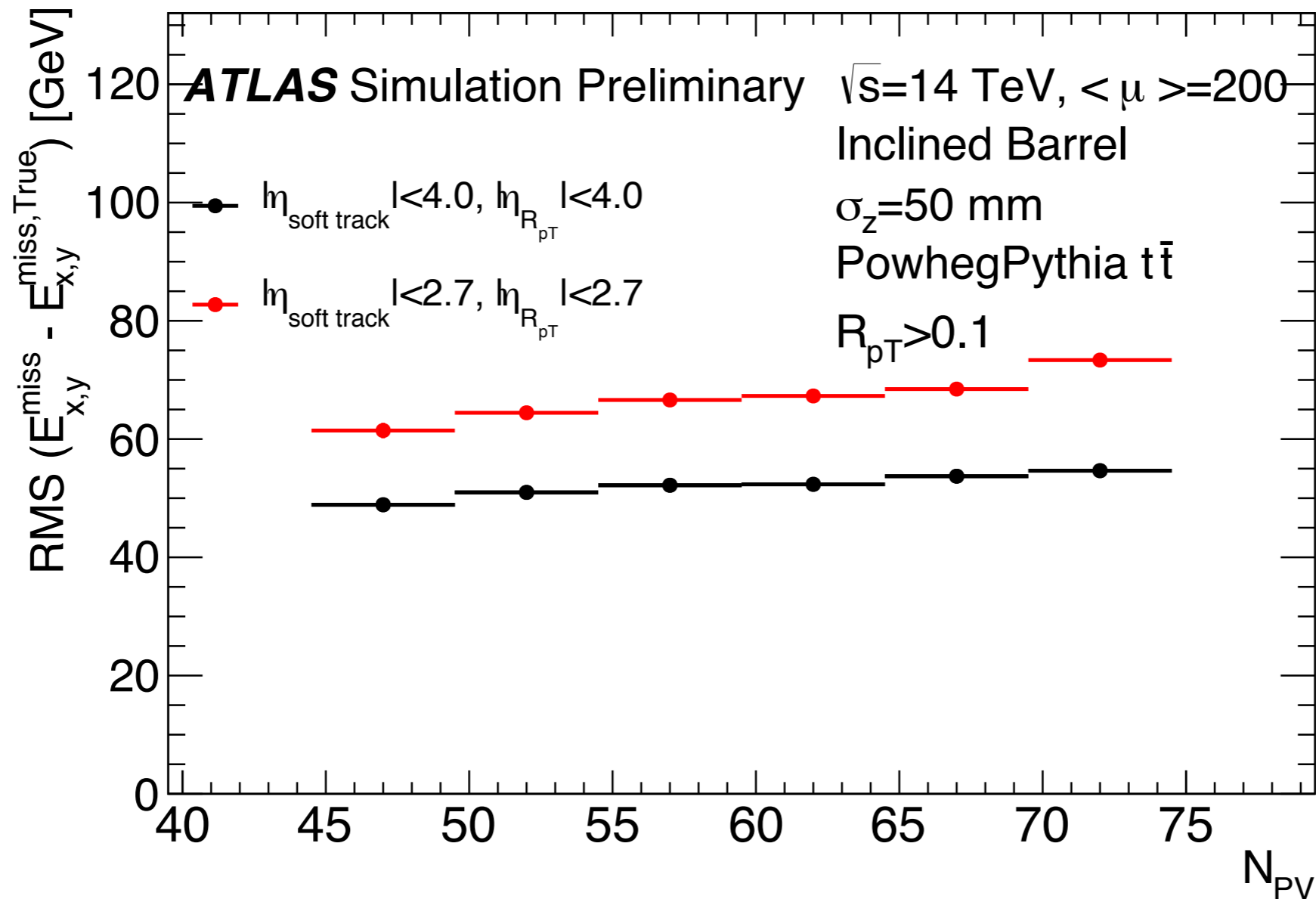


# $E_T^{\text{miss}}$ resolution



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ITK-Inclined



- improvement in the  $E_T^{\text{miss}}$  resolution due to the tracking extension
- largest improvement through pile up mitigation of forward jets ( $R_{pT} > 0.1$ )



# electron identification



- electron identification re-optimised in the context of high pile up and new inner detector - no TRT (full Si), new Pixel and Strip
- using **calo** based as well as **track** based ID variables:
  - $f_1, w_{stot}, E_{ratio}, w_{\eta_2}, R_{\eta}, R_{\Phi}, f_3, R_{had}, R_{had1}$
  - $n_{Blayer}, n_{2nd}, n_{Pix}, n_{Si}, z_0 \sin\theta, d_0/\sigma(d_0), |\Delta\eta| - |\Delta\eta|$
- Tight, Medium and Loose working points derived
- main backgrounds: **photon conversions** and **jet-fakes**
- **charge mis-identification (0.026±0.013)% from Z→ee**

**Tight ID Run2 (<math>\langle\mu\rangle=14</math>):**

$\epsilon_{sig} = 85\%$

fake rate  $1-2 \times 10^{-3}$  @ 45GeV

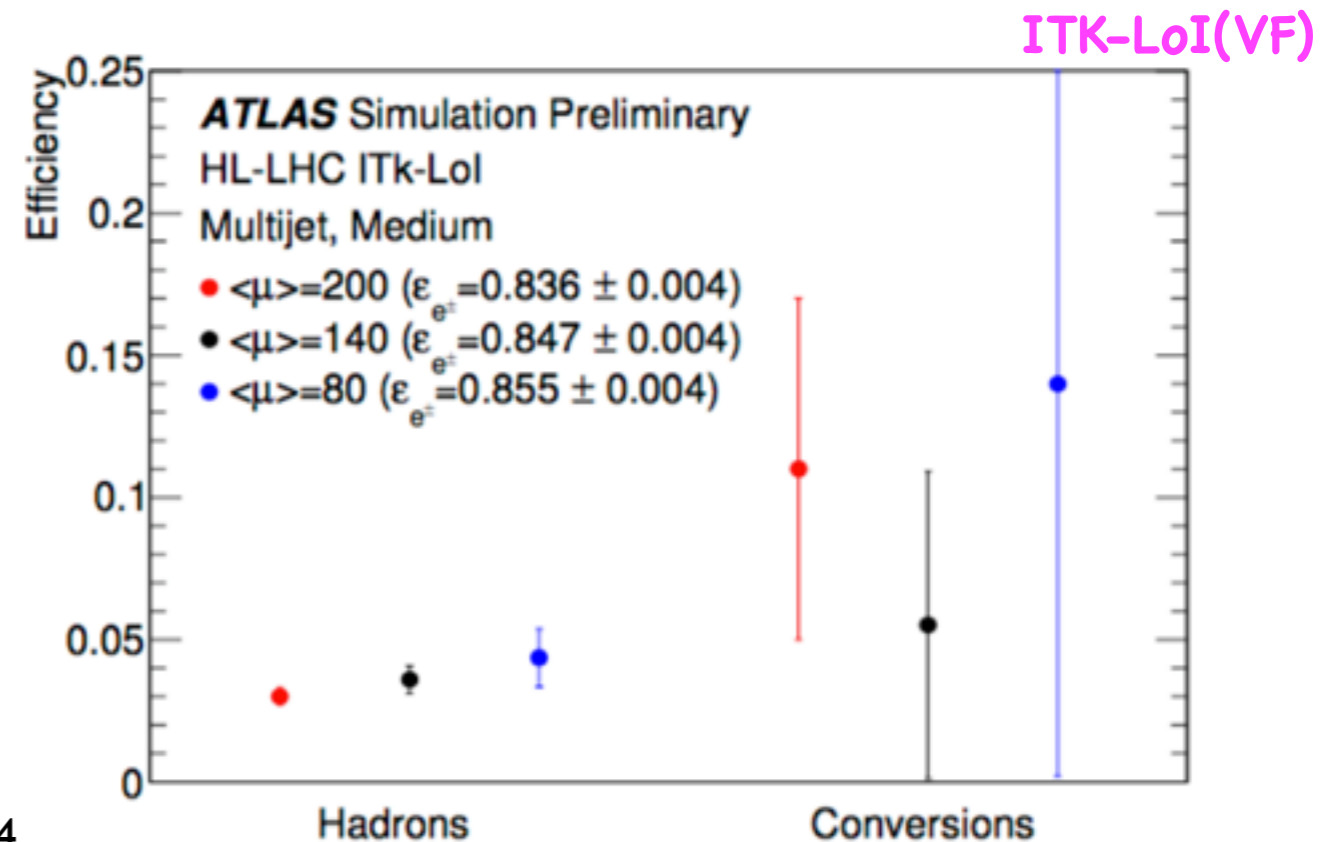
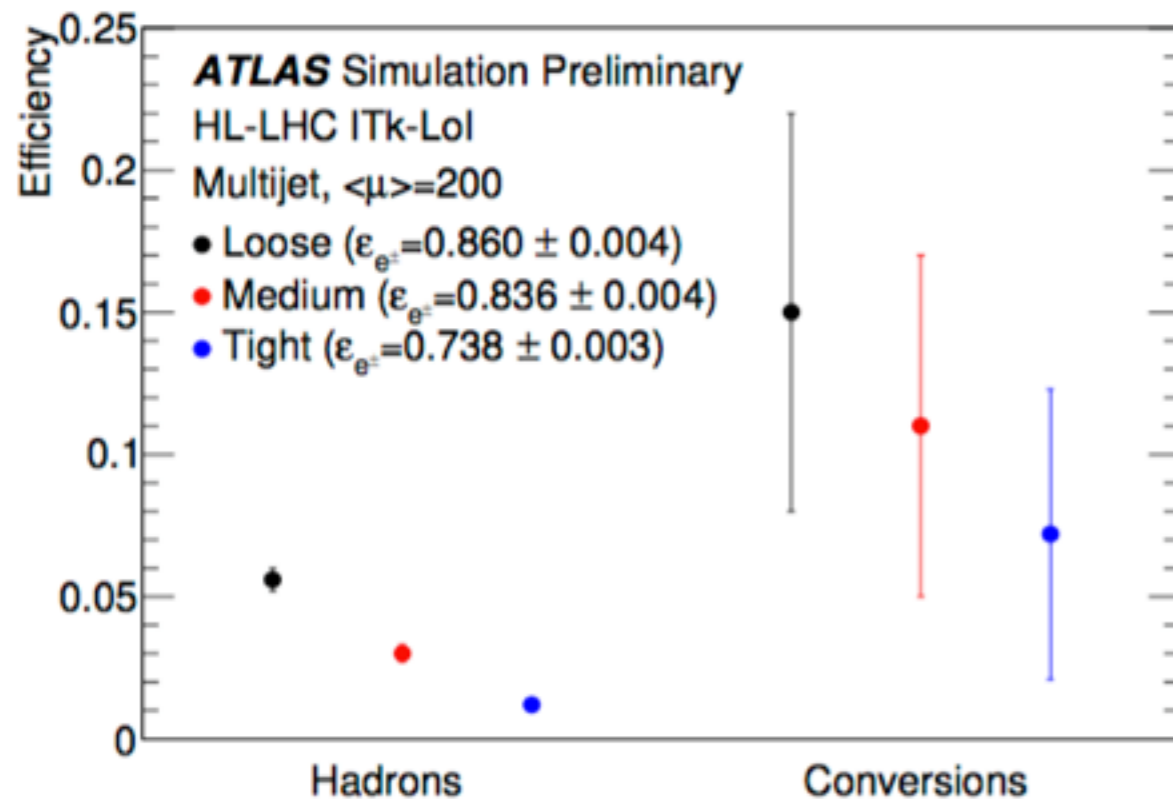
**Tight ID HLLHC**

**(<math>\langle\mu\rangle=200</math>):**

$\epsilon_{sig} = 70\%$

conversion rate  $\sim 0.2(\pm 0.2)$

fake rate  $\sim 7 \times 10^{-3}$  @ 45GeV







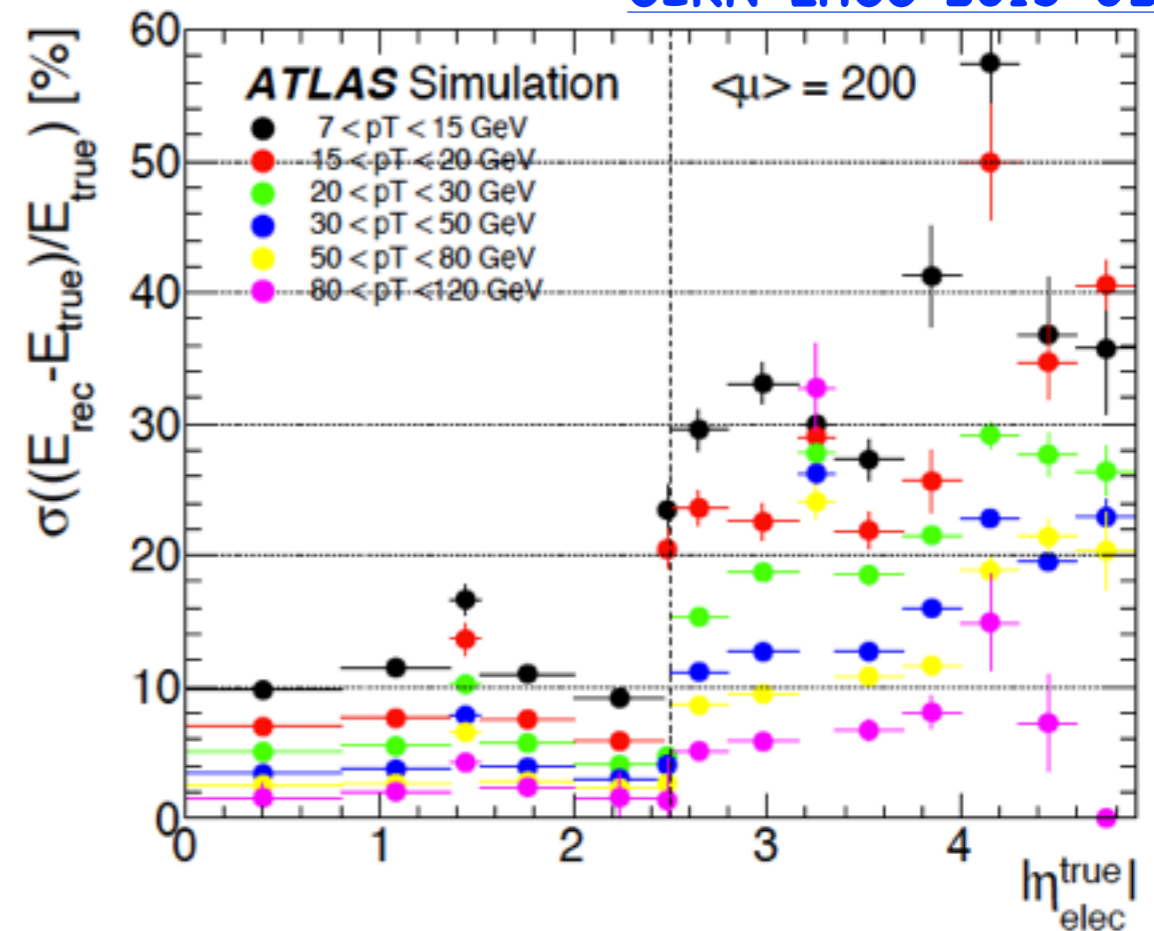
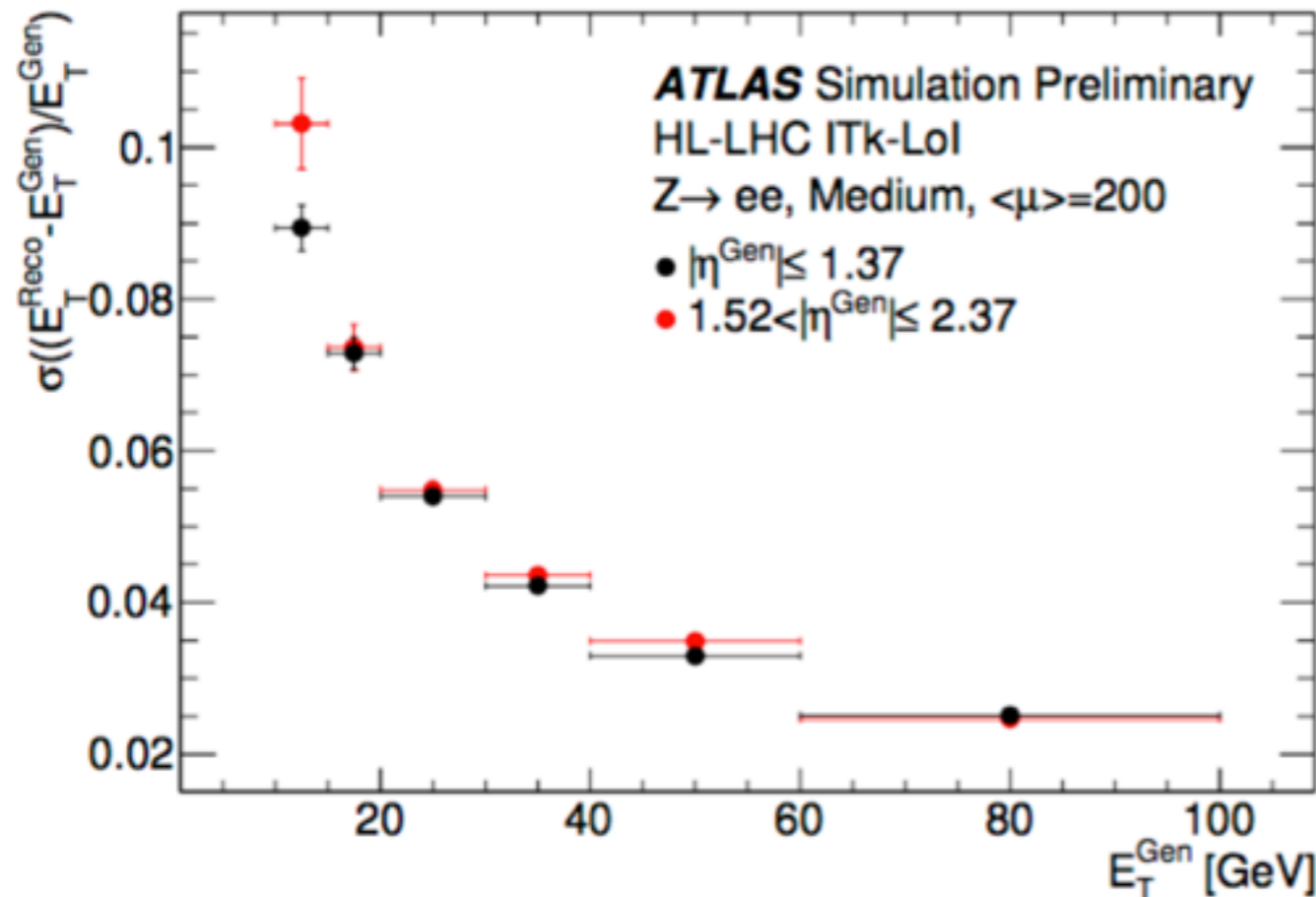
# electron resolution



ITK-LoI(VF)

current analysis

CERN-LHCC-2015-020



- large pile up affects only lowest  $p_{\text{T}}$  electrons
- sampling and constant term are  $\sim$ same as measured in Run1
- calibration as extracted in Run2 was used
- both analyses are consistent, showing bad performance in the forward region (without the HGTD upgrade)

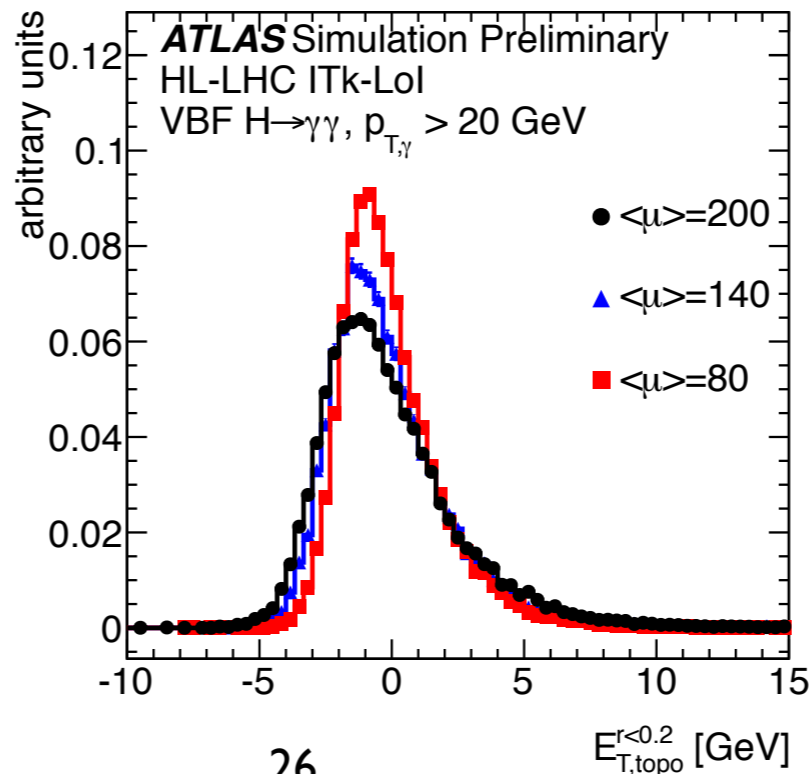
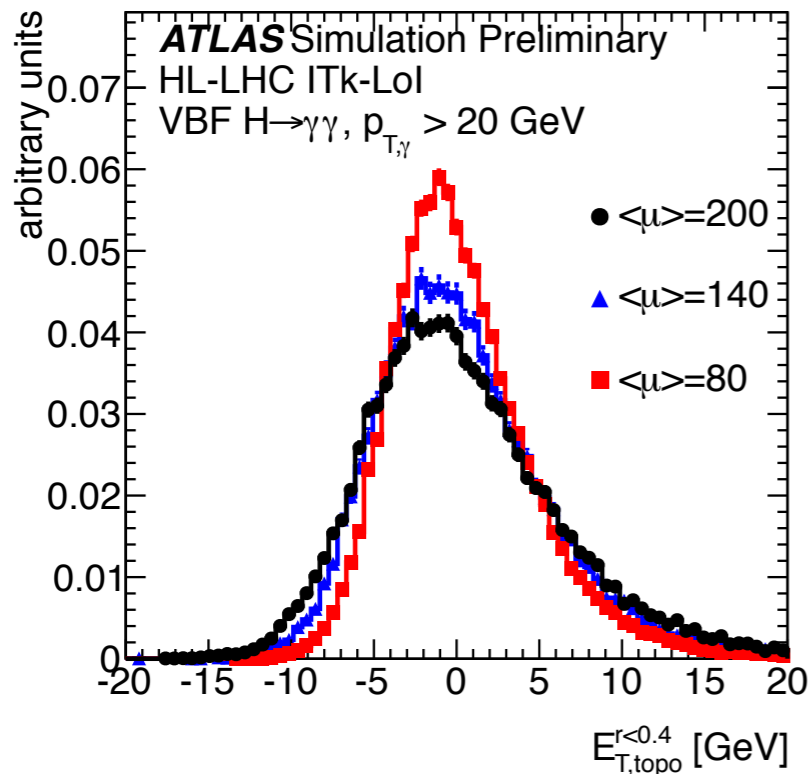


# photon reconstruction



- photon identification was revised for the LoI ITk layout and  $\mu=200$  samples
- using calo based variables only:
  - $w_{stot}, E_{ratio}, w_{\eta_2}, R_{\eta}, R_{\Phi}, R_{had1}, \Delta E$
  - MVA used to derive box cuts,  $p_T^{\gamma} > 20$  GeV, 2 bins in  $\eta$  (Barrel and EndCap)
- Tight ID derived from VBF  $H \rightarrow \gamma\gamma$  samples ( $\langle p_T^{\gamma} \rangle \sim 60$  GeV),
- $\varepsilon_{sig} = 70\%$  for truth jet fakes at most  $10^{-3}$  and pile up  $10^{-4}$
- to avoid fakes from photons in jets, isolation was applied (after optimisation for  $\mu=200$ )

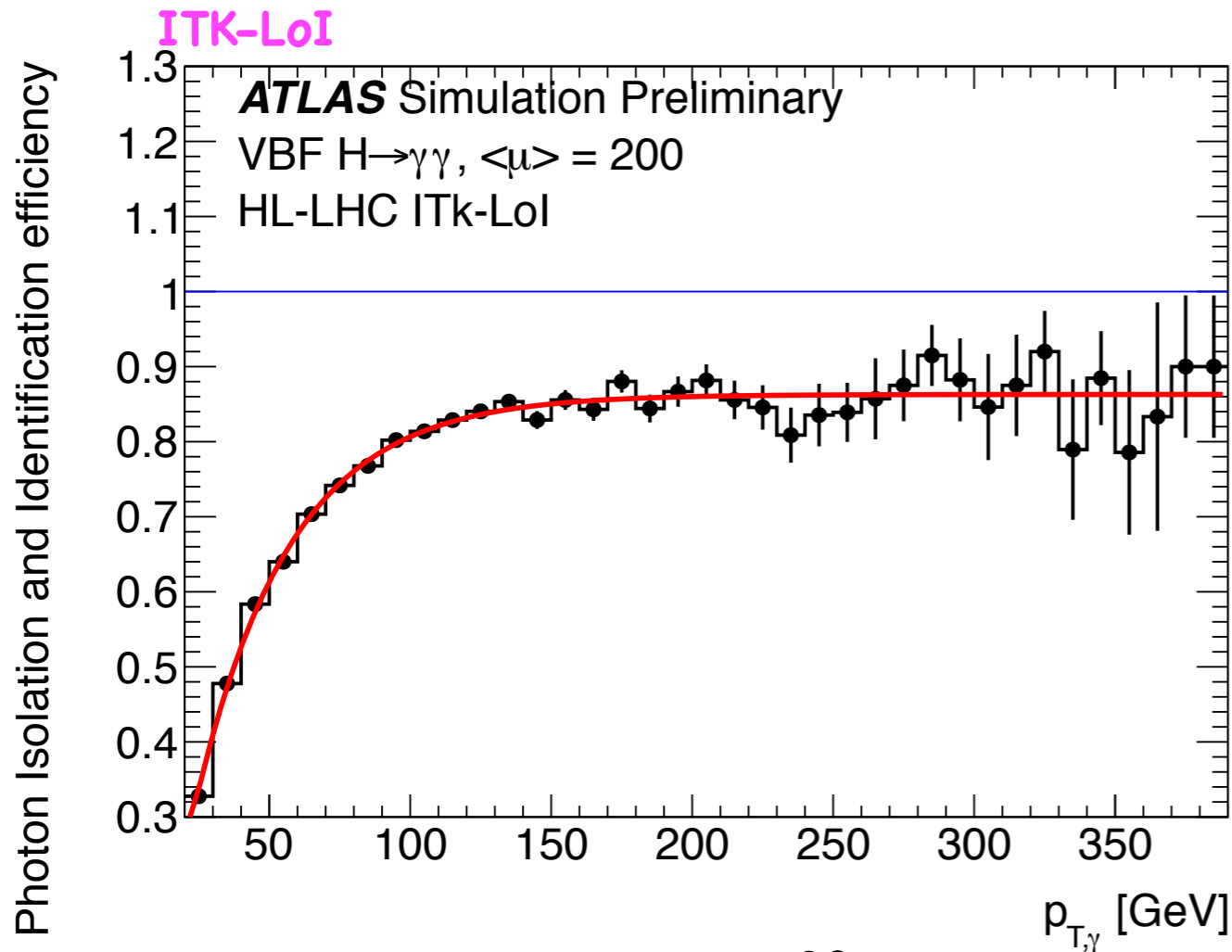
## ITK-LoI



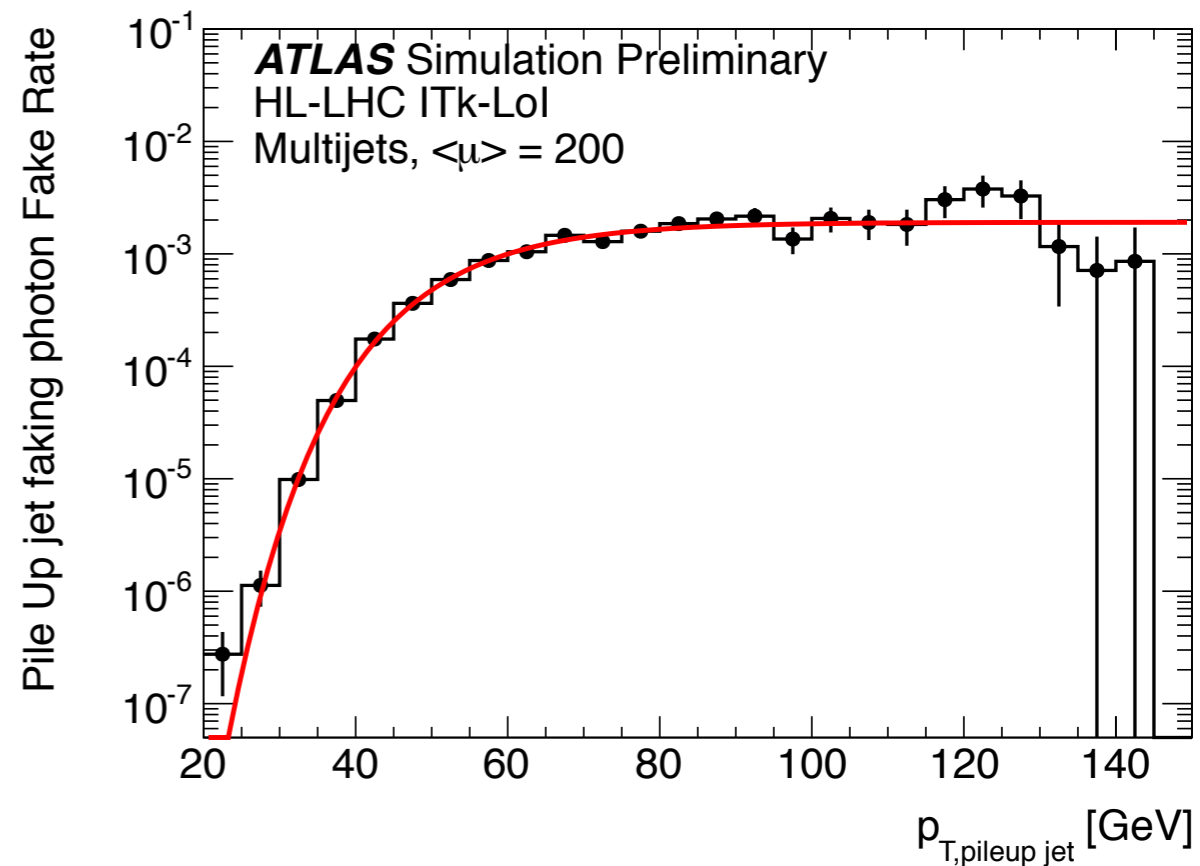
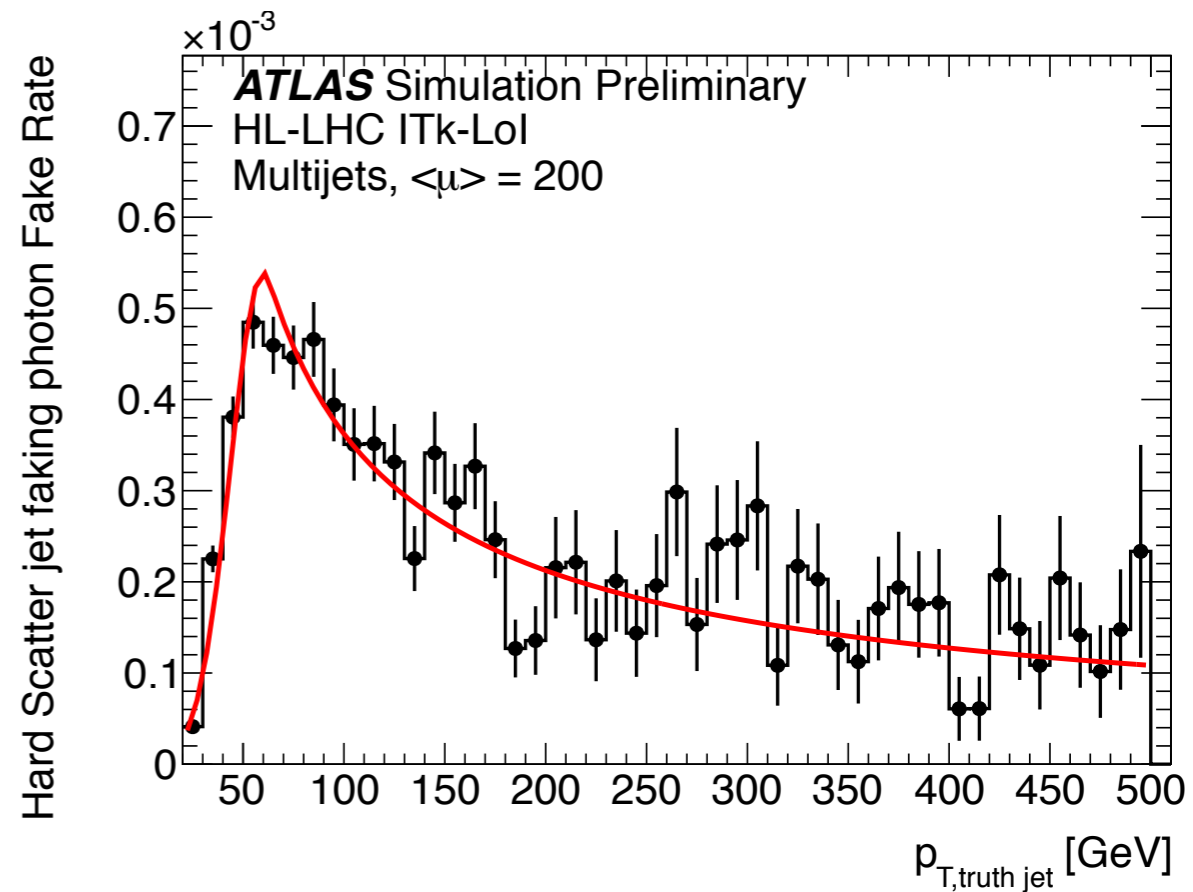
- energy around the  $\gamma$  in the radius of 0.4 and 0.2
  - 0.2 more pile up robust than 0.4
  - cut  $E_T^{r<0.2} < 6$  GeV found to provide > 95% efficiency and good isolation



# photon isolation and identification



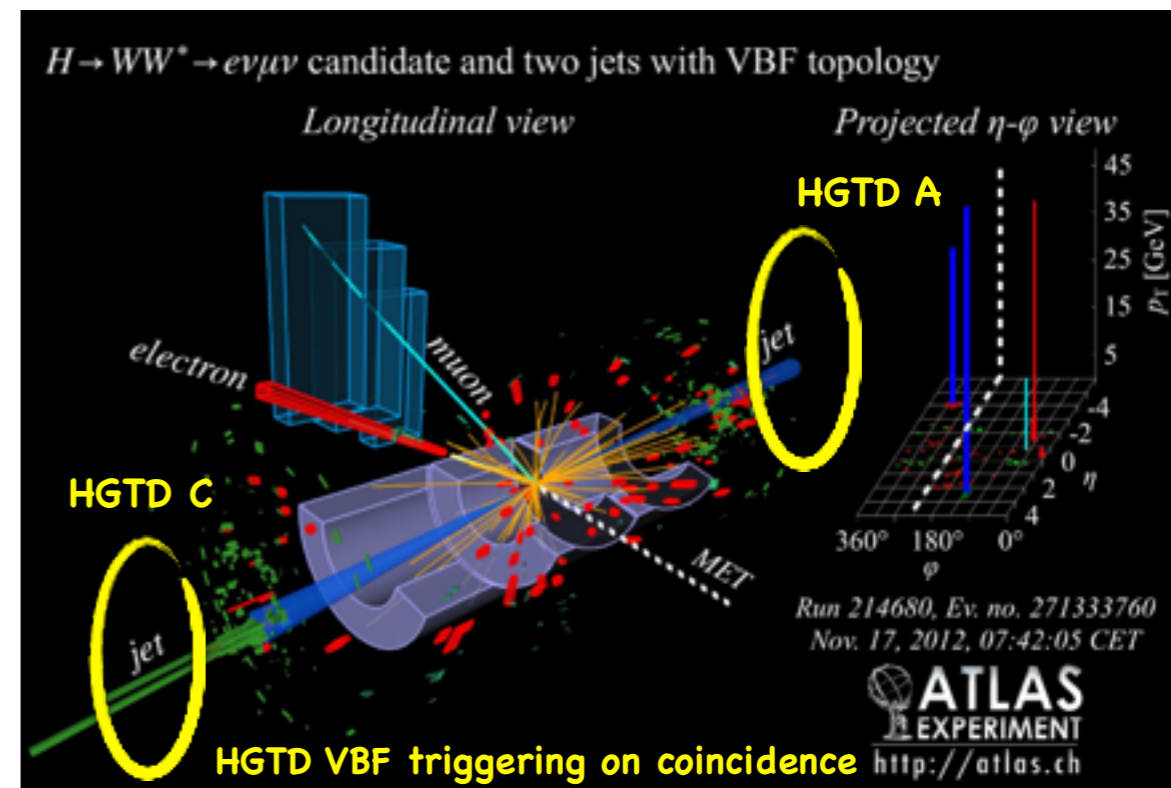
- mean combined signal efficiency still **~70%**
- averaged combined fake rates of  **$2.5 \times 10^{-4}$**  for the jets emerging from HS interaction,  **$7 \times 10^{-5}$**  for identified photons emerging from pile up





# High Granularity Timing Detector

- thin ( $\sim 5\text{cm}$ ) forward detector ( $2.4 < |\eta| < 4.3$ ) with 4 layers of LGAD sensors with excellent timing resolution ( $\sim 30\text{ps}$ ) allowing to measure time-of-flight of collision products
- motivation:
  - **pile up mitigation** in the forward region covering the acceptance of the jets produced (mostly) by vector-boson fusion
  - optionally with three tungsten layers to improve also the **forward electron/ photon reconstruction**
  - fast **trigger at 40MHz designed for selecting VBF topologies**
- for more details, see D.Zerwas' talk tomorrow at 13:00

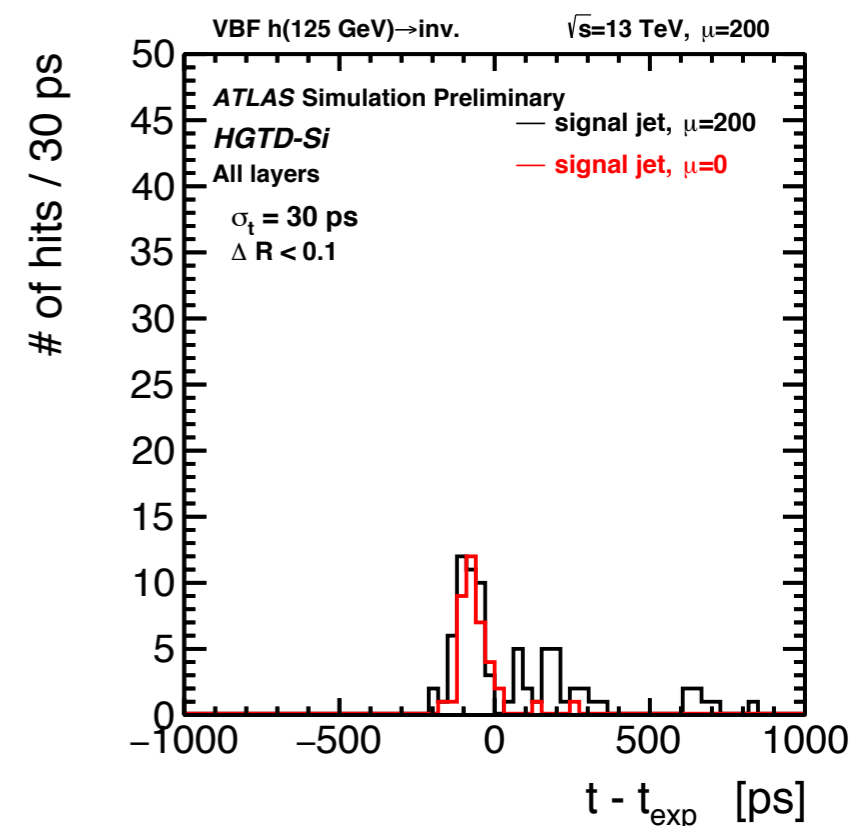
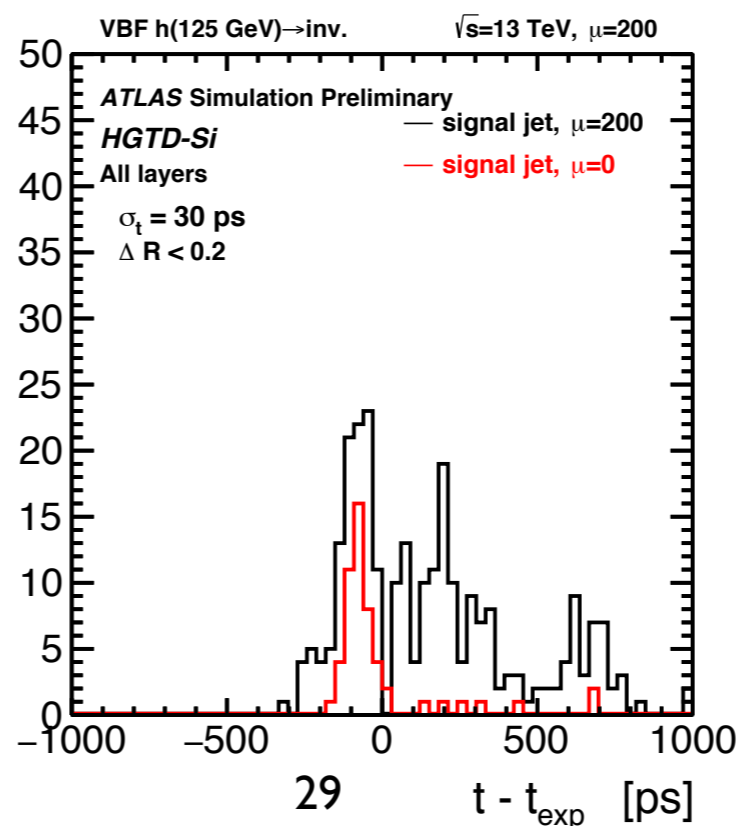
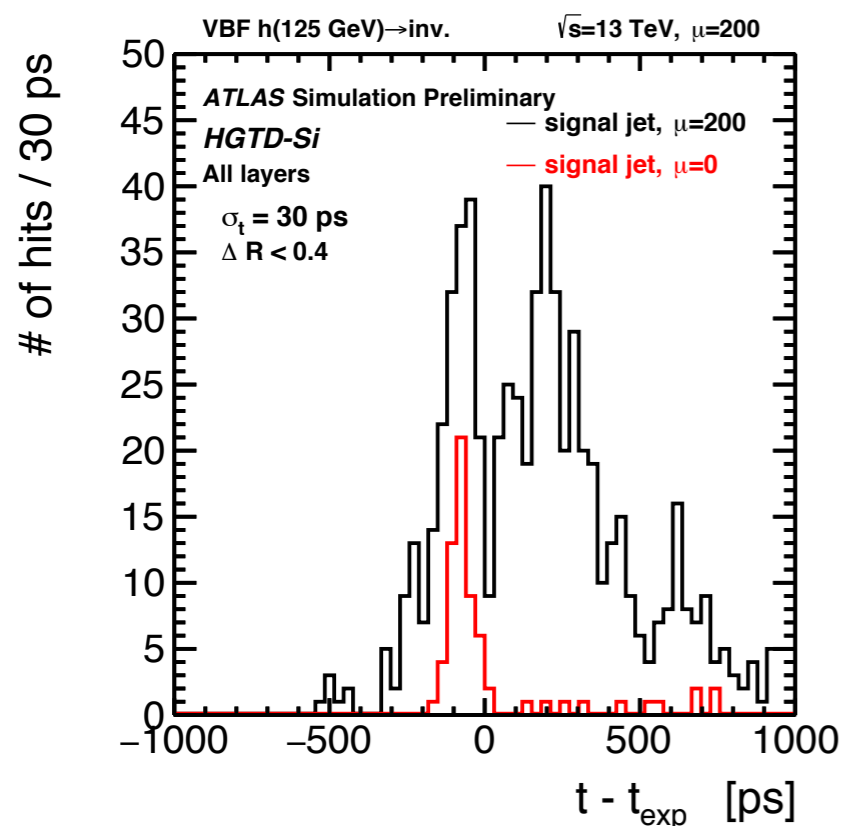
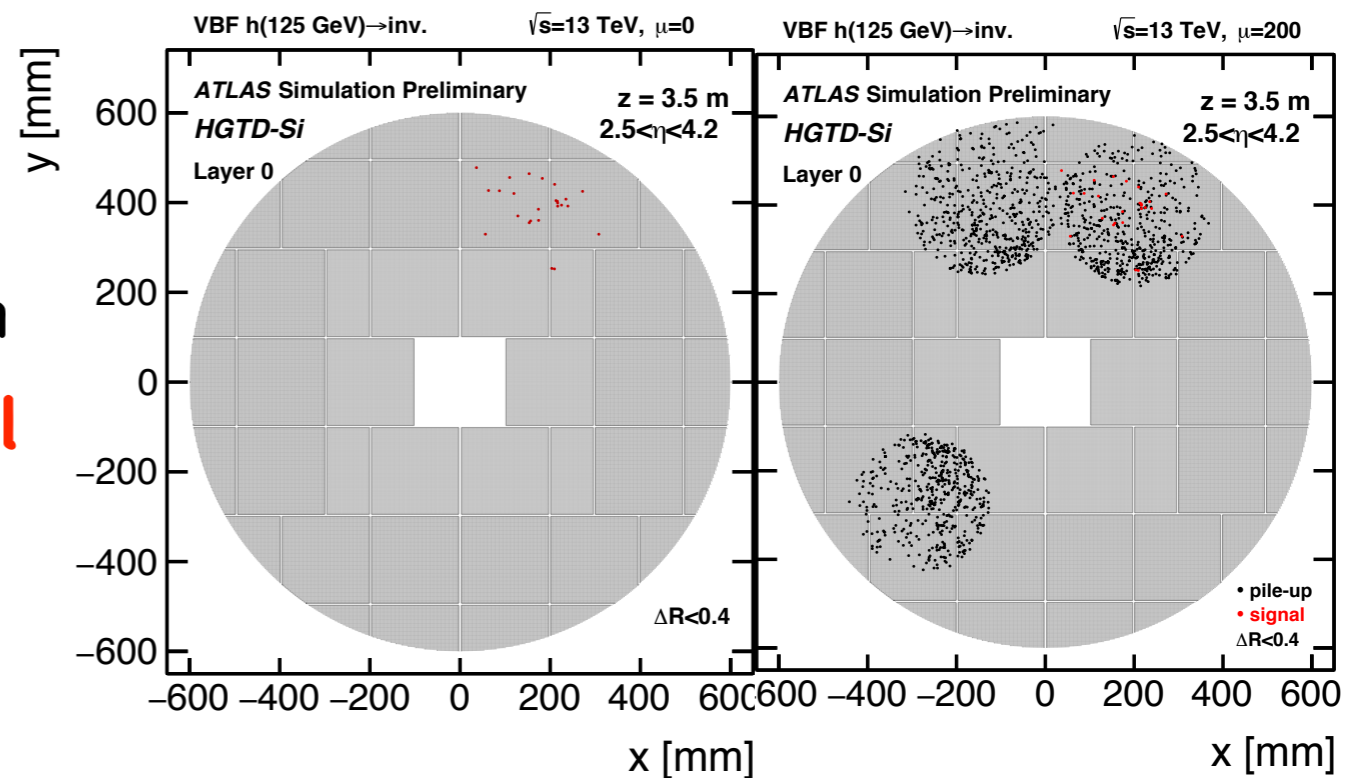


trigger	SD value	physics (SM Higgs-oriented)
di- $\gamma$	25-25 GeV	di-photon
di- $\tau$	40-30 GeV	H- $\rightarrow\tau\tau$
4-jet	75 GeV	H- $\rightarrow bb$ , HH- $\rightarrow 4b$
$E_T^{\text{miss}}$	200 GeV	H- $\rightarrow$ Invisible



# High Granularity Timing Detector

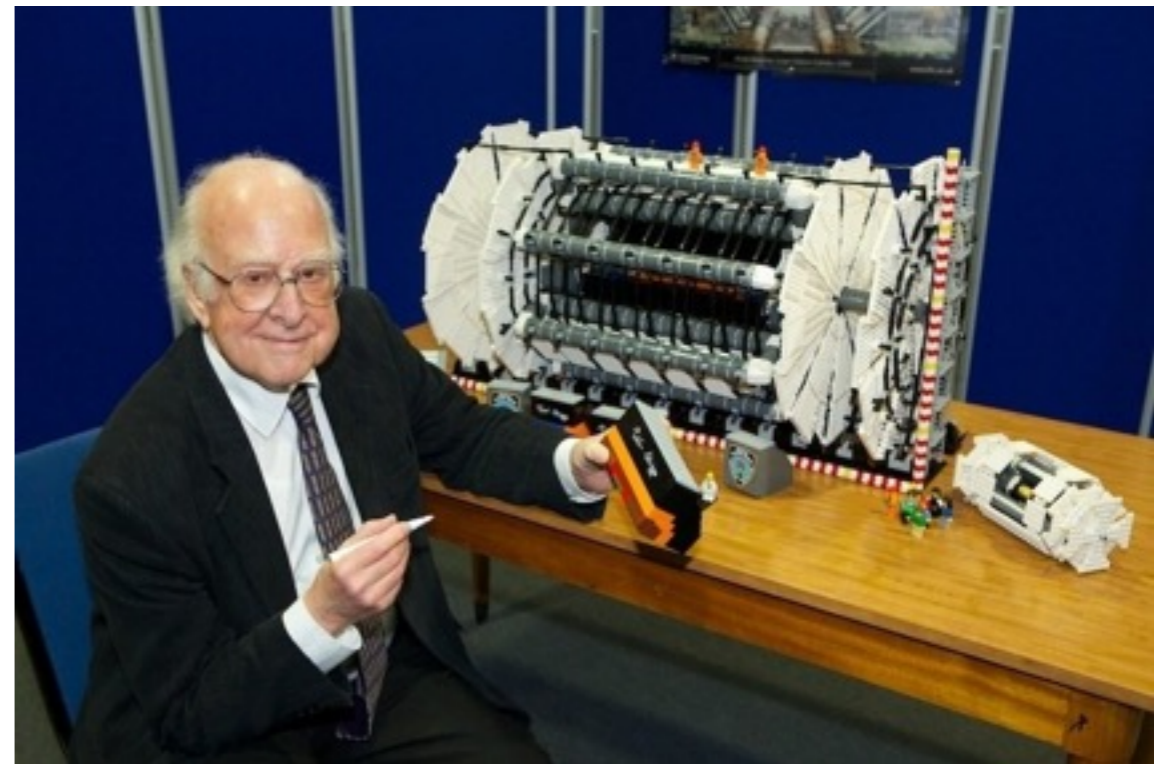
- investigation of potential of time sub-structure of jets
- VBF jets investigated, time distribution of all particles (hits) compared - **signal** " $\mu=0$ " versus **signal+pileup** " $\mu=200$ "
- **first step in development of signal jet identifying algorithms**

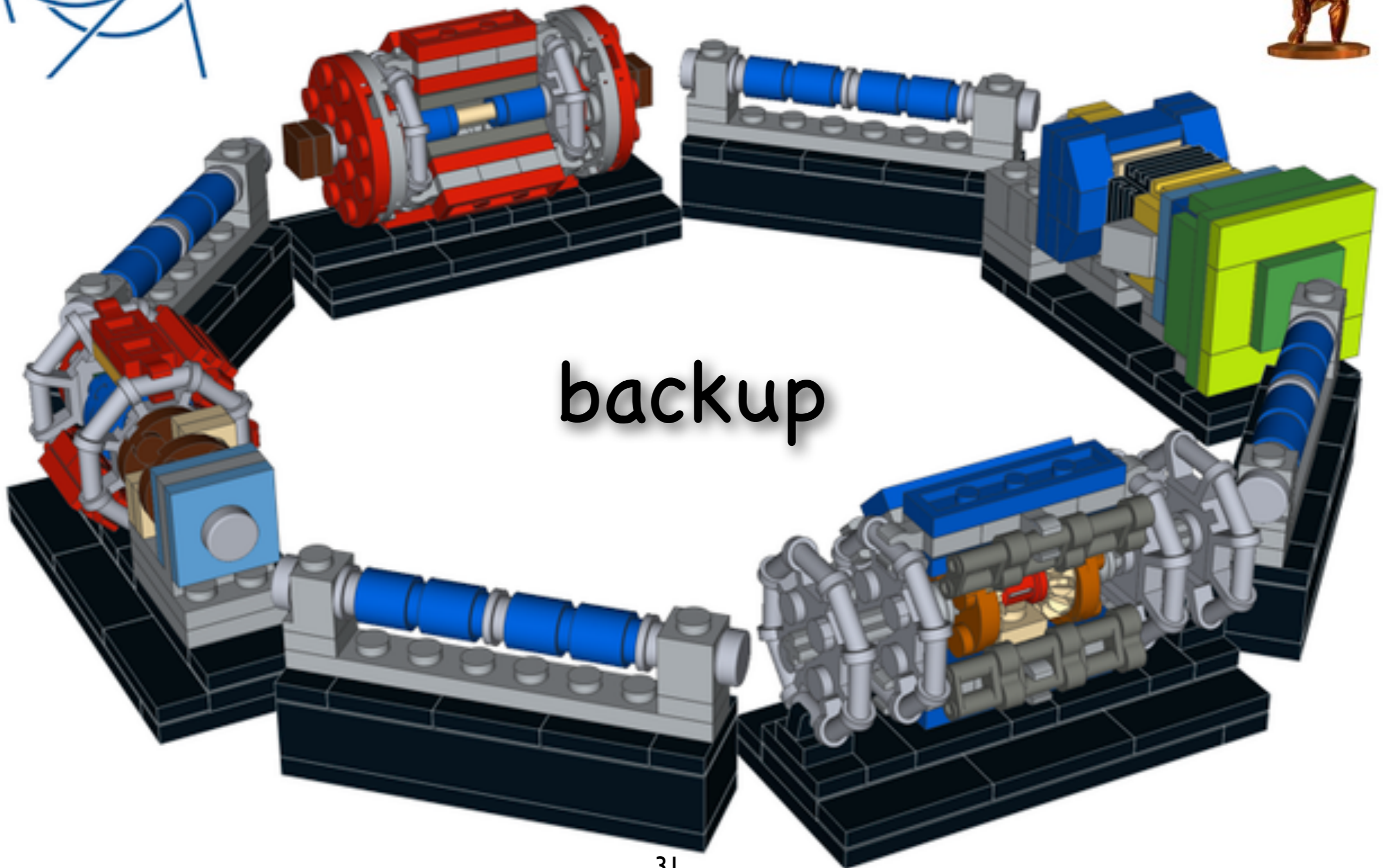




# Summary

- ATLAS is preparing a **challenging detector upgrade**
- high pile up environment requires maximum focus on **pile up mitigation with all possible techniques**
  - active field of development
  - Voronoi subtraction, trimming, HGTD,..
- **electron** and **photon** identification performance show **no major degradation** even at highest  $\langle \mu \rangle$
- more to come in the coming Technical Design Reports (2017-2018)







Trigger and Data Acquisition	Scoping Scenarios		
	Reference (275 MCHF)	Middle (235 MCHF)	Low (200 MCHF)
Level-0 Trigger System			
Central Trigger	✓	✓	✓
Calorimeter Trigger (e/γ)	$ \eta  < 4.0$	$ \eta  < 3.2$	$ \eta  < 2.5$
Muon Barrel Trigger	MDT everywhere RPC-BI Tile-μ	MDT (BM & BO only) Partial $\eta$ coverage RPC-BI Tile-μ	MDT (BM & BO only) No RPC-BI Tile-μ
Muon End-cap Trigger	MDT everywhere	MDT (EE&EM only)	MDT (EE&EM only)
Level-1 Trigger System			
Output Rate [kHz]	400	200	200
Central Trigger	✓	✓	✓
Global Trigger	✓	✓	✓
Level-1 Track Trigger ( <i>RoI based tracking</i> )	$p_T > 4$ GeV $ \eta  \leq 4.0$	$p_T > 4$ GeV $ \eta  \leq 3.2$	$p_T > 8$ GeV $ \eta  \leq 2.7$
High-Level Trigger			
FTK++ ( <i>Full tracking</i> )	$p_T > 1$ GeV 100 kHz	$p_T > 1$ GeV 50 kHz	$p_T > 2$ GeV 50 kHz
Event Filter	10 kHz output	5 kHz	5 kHz
DAQ			
Detector Readout	✓ [400 kHz L1 rate]	✓ [200 kHz L1 rate]	✓ [200 kHz L1 rate]
DataFlow	✓ [400 kHz L1 rate] <sub>32</sub>	✓ [200 kHz L1 rate]	✓ [200 kHz L1 rate]





# track e/gamma variables



- $n_{\text{Blayer}}$  - Number of hits in the innermost pixel layer.
- $n_{2\text{nd}}$  - Number of hits in the second to innermost pixel layer.
- $n_{\text{Pixel}}$  - Number of hits in the pixel detector.
- $n_{\text{Si}}$  - Number of hits in the silicon detector.
- $z_0 \sin \theta$  - Longitudinal impact parameter of the track to the beam spot.
- $d_0/\sigma_{d_0}$  - Transverse impact parameter significance of the track to the beam-spot.
- $|\Delta\eta_1|$  -  $|\Delta\eta|$  between the cluster position in the strip layer and the extrapolated track.



# calo e/gamma variables



- $f_1$  - Ratio of energy in the strip layer to energy in the whole ECal.
- $w_{\text{stot}}$  - Shower width  $\sqrt{(\sum E_i (i - i_{\text{max}})^2) / (\sum E_i)}$ , where  $i$  runs over all strips in a window of  $\Delta\eta \times \Delta\phi \approx 0.0625 \times 0.2$  and  $i_{\text{max}}$  is the index of the highest-energy strip.
- $E_{\text{Ratio}}$  - Ratio of the energy difference between the largest and second largest energy deposits in the first layer, divided by their sum.
- $w_{\eta 2}$  - Lateral shower width,  $\sqrt{(\sum E_i \eta_i^2) / (\sum E_i) - ((\sum E_i \eta_i) / (\sum E_i))^2}$ , where the sum is calculated within a window of  $3 \times 5$  cells.
- $R_\eta$  - Ratio of the energy in  $\eta \times \phi$  of  $3 \times 7$  cells and  $7 \times 7$  cells, centred on the energy cluster.
- $R_\phi$  - Ratio of the energy in  $\eta \times \phi$  of  $3 \times 3$  cells and  $3 \times 7$  cells, centred on the energy cluster.
- $f_3$  - Ratio of the energy in the back layer to the total energy in the ECal.
- $R_{\text{had}}$  - Ratio of  $E_T$  in the hadronic calorimeter to  $E_T$  of the EM cluster (used over the range  $0.8 < |\eta| < 1.37$ ).
- $R_{\text{had1}}$  - Ratio of  $E_T$  in the first layer of the hadronic calorimeter to  $E_T$  of the EM cluster (used over the range  $|\eta| < 0.8$  and  $|\eta| > 1.37$ ).

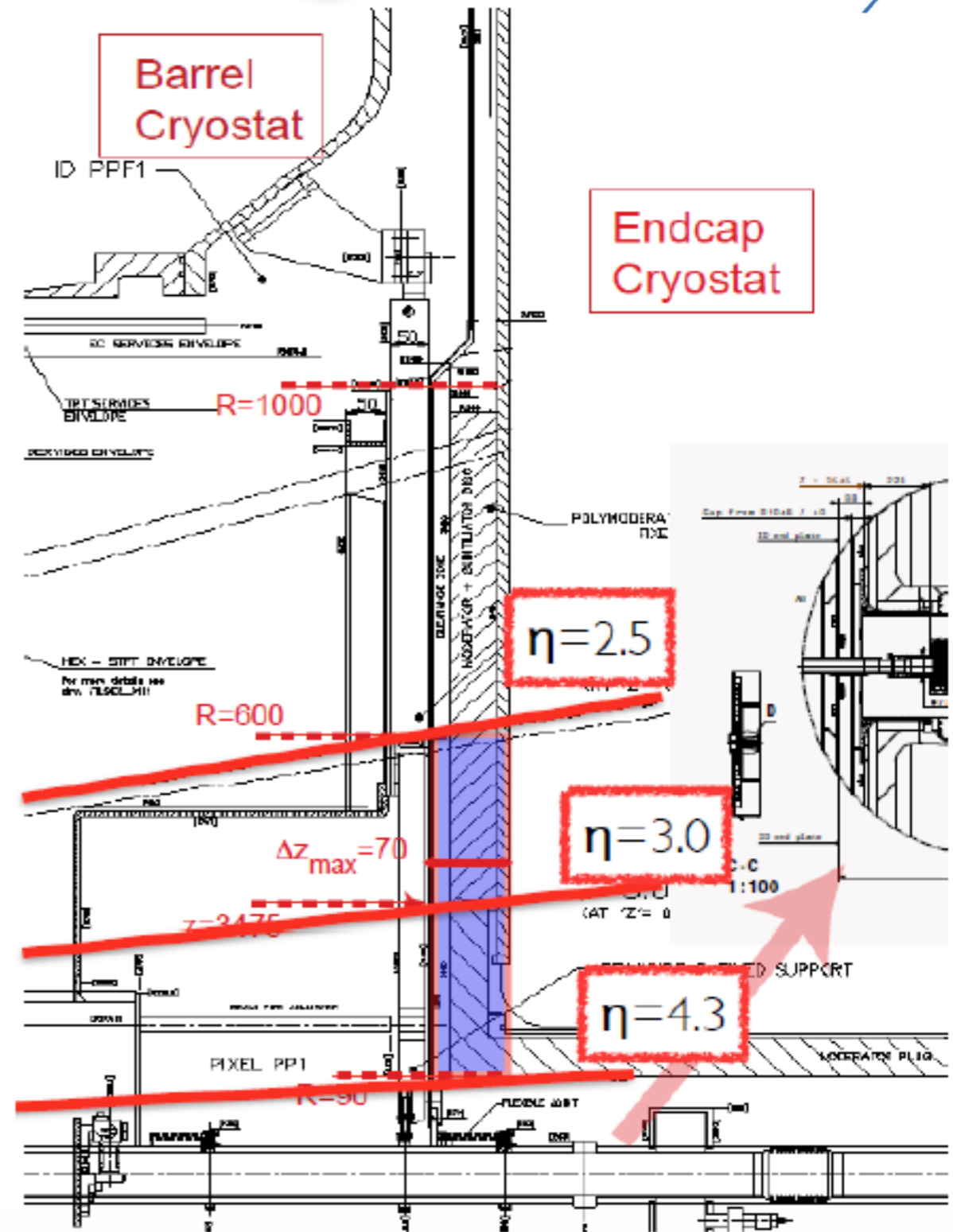
In addition the variable  $\Delta E$ , defined as the difference between the energy associated with the second maximum in the strip layer and the energy reconstructed in the strip with the minimal value found between the first and second maxima, is used as well in this case since it is potentially useful to separate clusters generated by single photons from those generated by multiple photons from meson decays.



# HGTD technology



- challenging spatial requirements:  $\Delta z = 70\text{mm}$ ,  $R: 90\text{--}600\text{mm}$ 
  - $R=50\text{mm}$  ( $\eta=5.0$ ) possible
- surface  $\sim 9\text{m}^2$ ,  $\sim 10^5$  cells  $1\times 1 - 3\times 3\text{ mm}^2$  (radius dependent)
- 4 sensitive layers with possible 3 W-absorbers (only up to  $|\eta| < 3.2$ )
- sensor technology:
  - main option: Low-Gain Avalanche Detectors
    - Active R&D ongoing with thinner sensors ( $300\mu\text{m} \rightarrow 50\mu\text{m}$ ) and new dopants (Ga) to insure time and radiation hard requirements of HGTD
  - back up: silicon-based (standard pin diode)

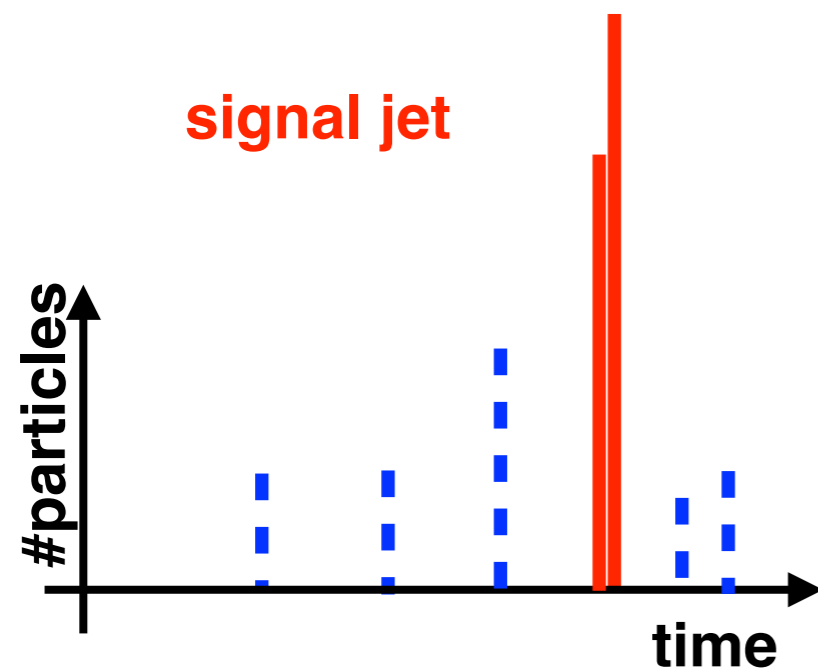


**technology and concept of the detector to be decided in ~a year**

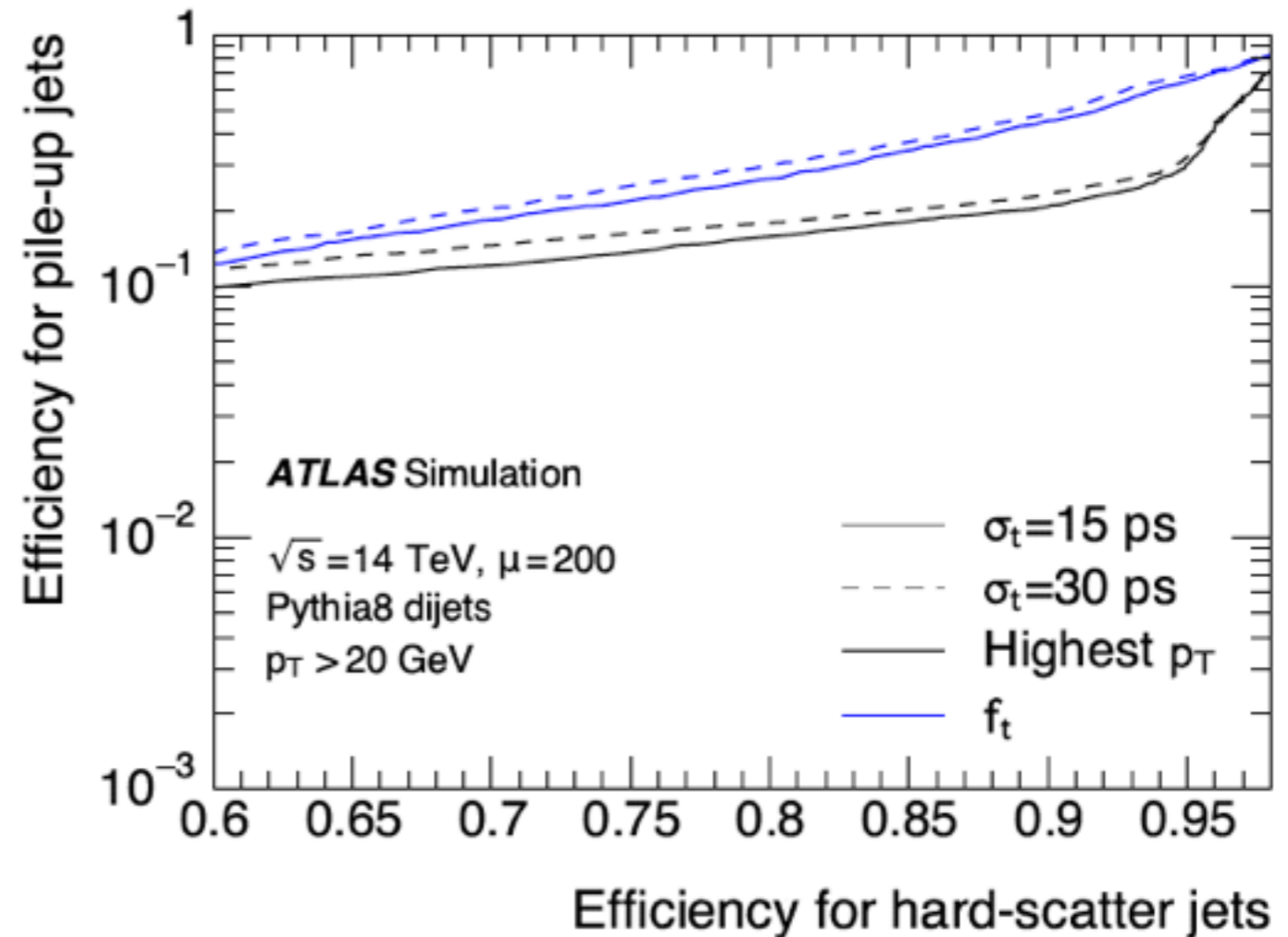
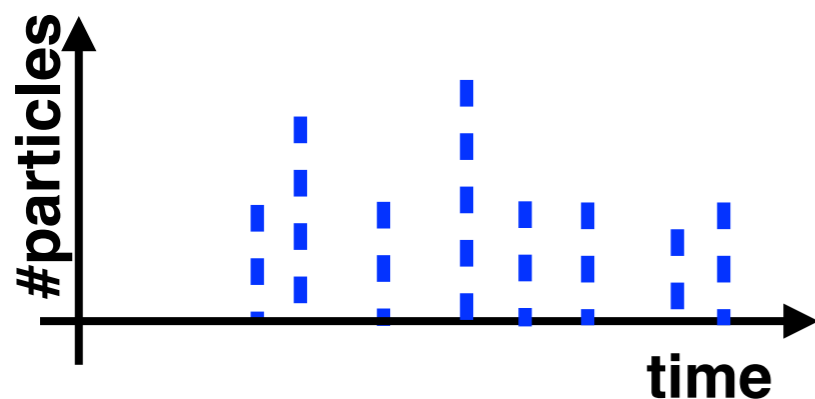


# offline pile up mitigation

- pile up mitigation through detailed timing information in the jet sub-structure
- 30ps HGTD:  $\sim 89\%$  pile up rejection at 80% signal efficiency



stochastic pile up jet



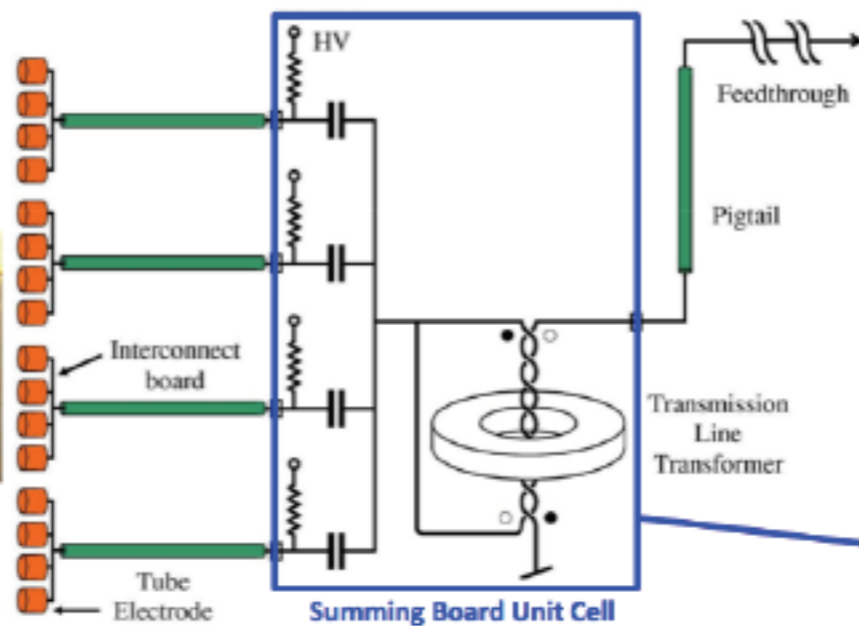
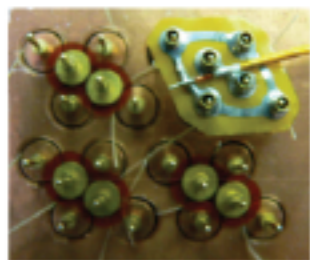
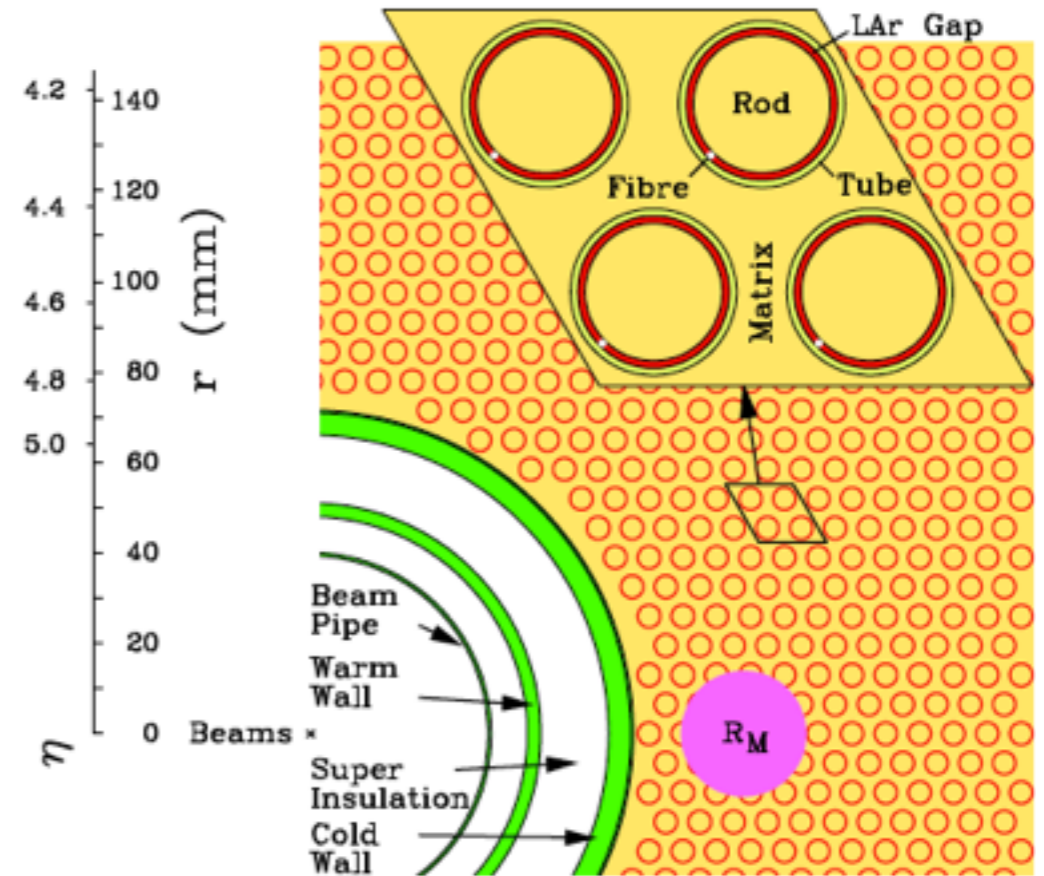
timing substructure helps stochastic PU mitigation



# sFCal

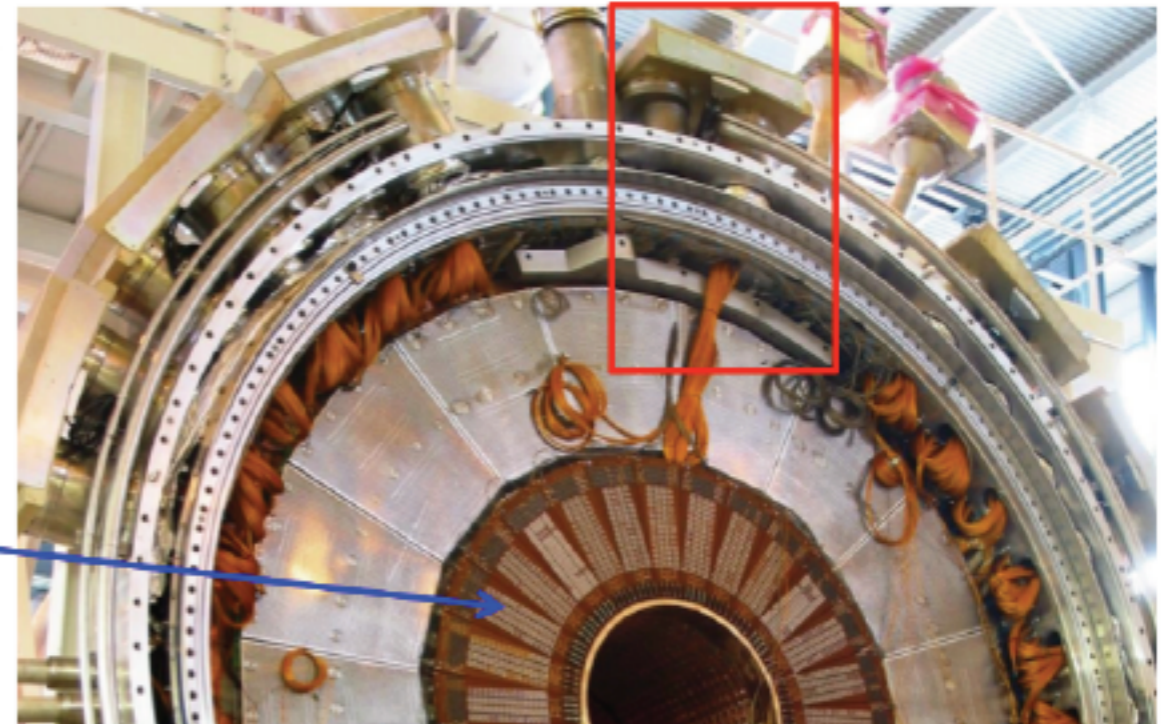


- 100 $\mu\text{m}$  gaps with LAr for FCal ( $3.2 < |\eta| < 4.9$ )
- remove summing of FCal channels to increase granularity
- risk analysis of EndCap opening needs to be evaluated



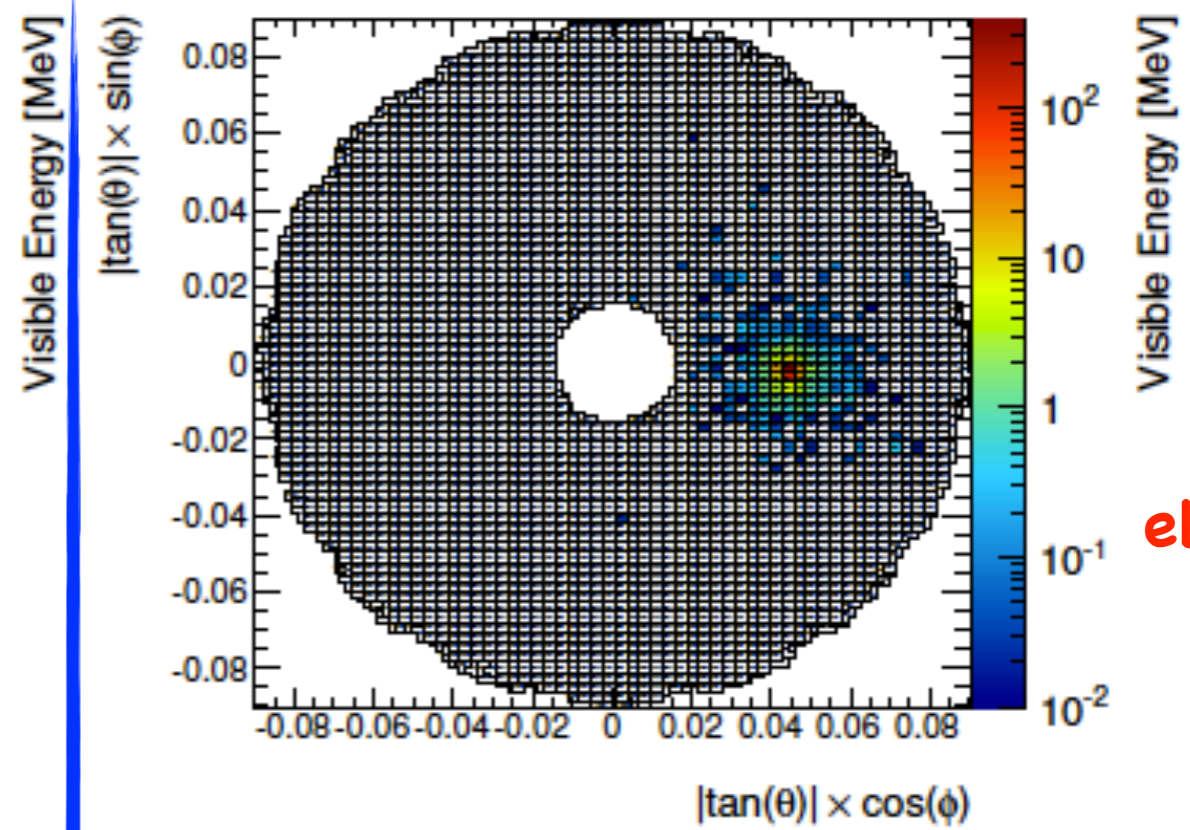
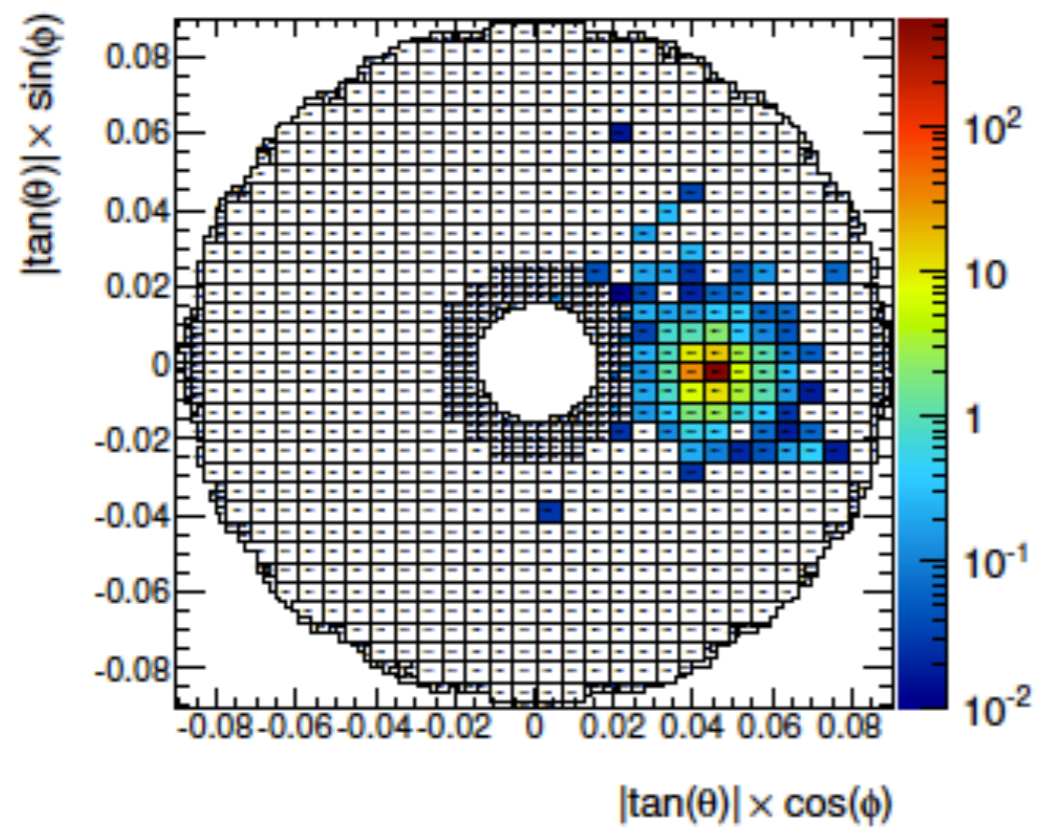
4→1 summing

review: 2016

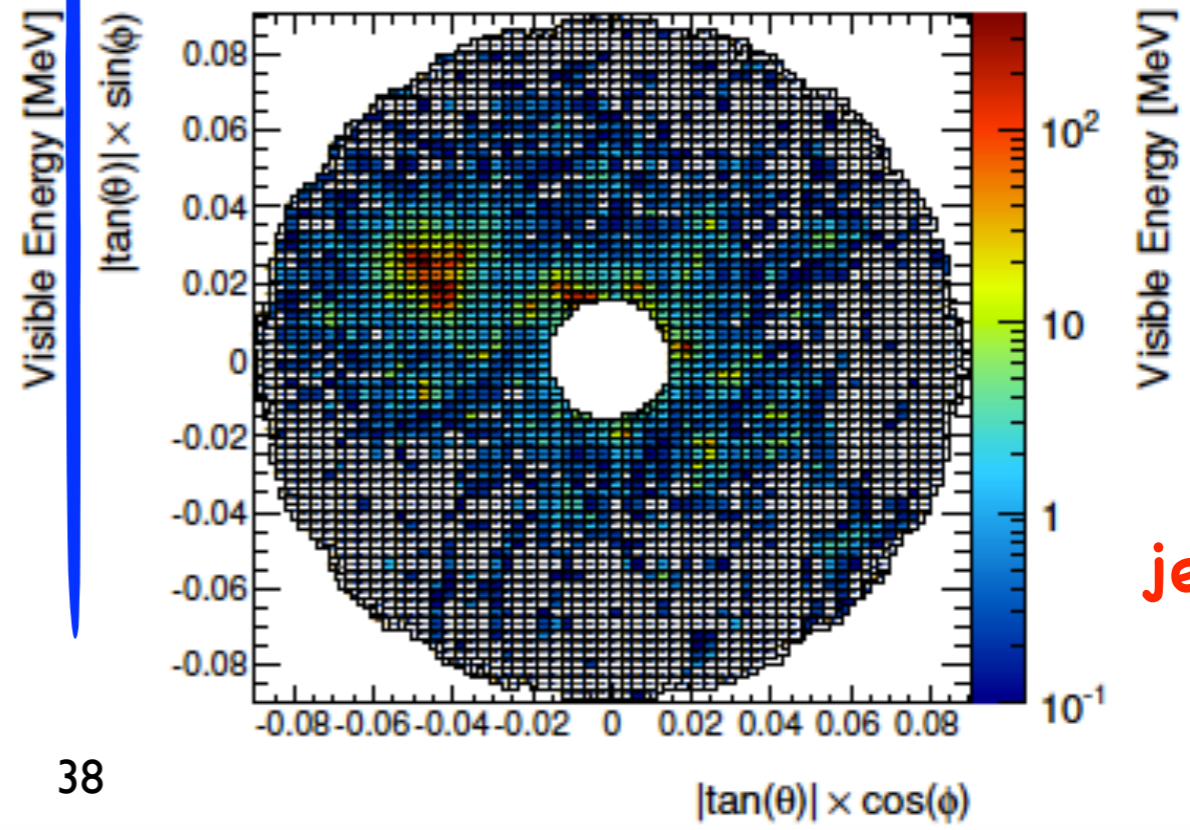
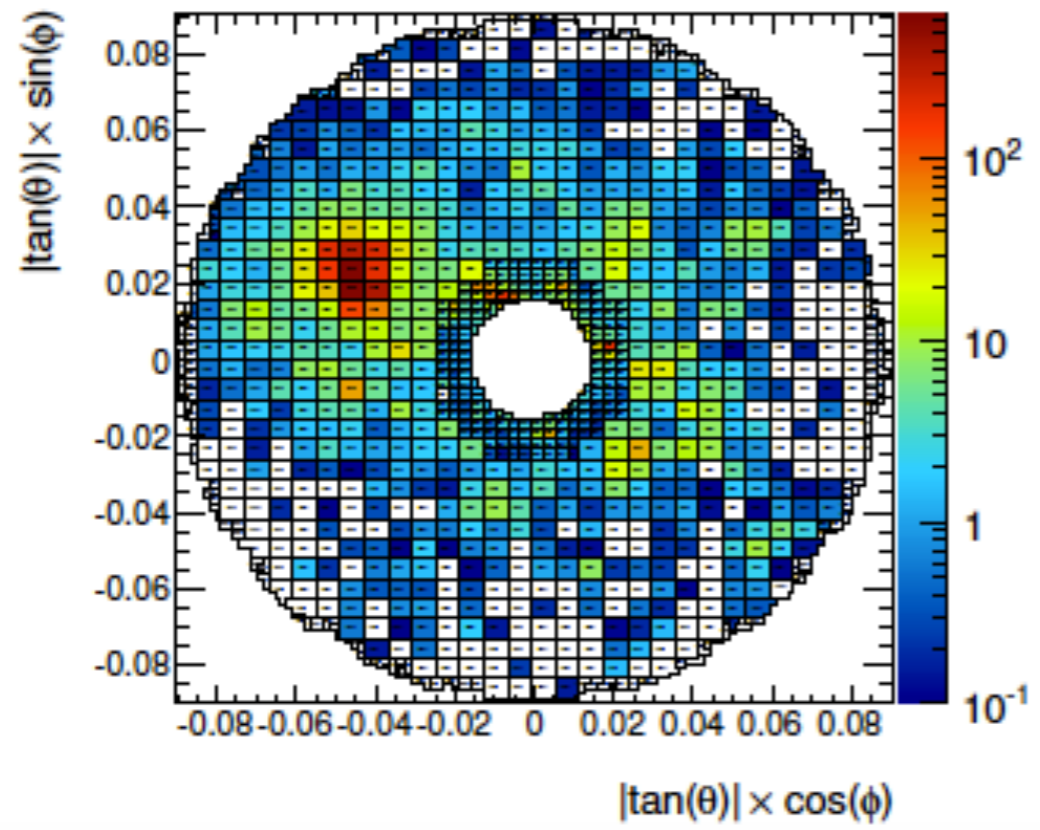




# Same objects in FCal and sFCal



electron



jet



# expected performance



- due higher granularity:
- significant noise reduction
- increased jet substructure information

