

Hadronic Final States and QCD

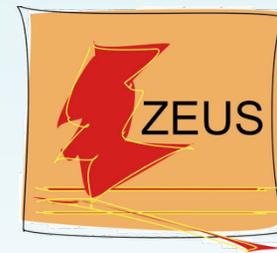
Jet cross sections and α_s in DIS and photoproduction at HERA

DIS09, Madrid, 26th–30th April 2009

Claire Gwenlan (University of Oxford, STFC Advanced Fellow)
on behalf of the ZEUS collaboration

Outline

- motivation
- jet cross section measurements
- strong coupling, α_s



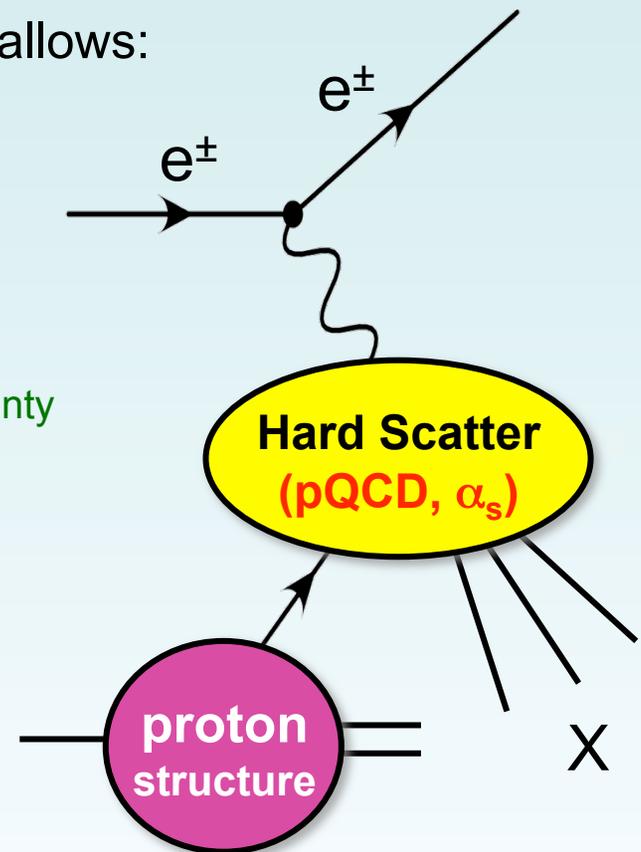
 = new for DIS09

Motivation

HERA $e^\pm p$ collider:– an ideal environment for precision studies of QCD

Study of **jets** in the **Hadronic Final State** at HERA allows:

- stringent tests of our understanding of **pQCD**
 - factorisation, perturbative expansion, PDF universality
- constraints on **proton** (and photon) structure
- extractions of **QCD parameters** (α_s, \dots)
 - in regions of small experimental and theoretical uncertainty



Motivation

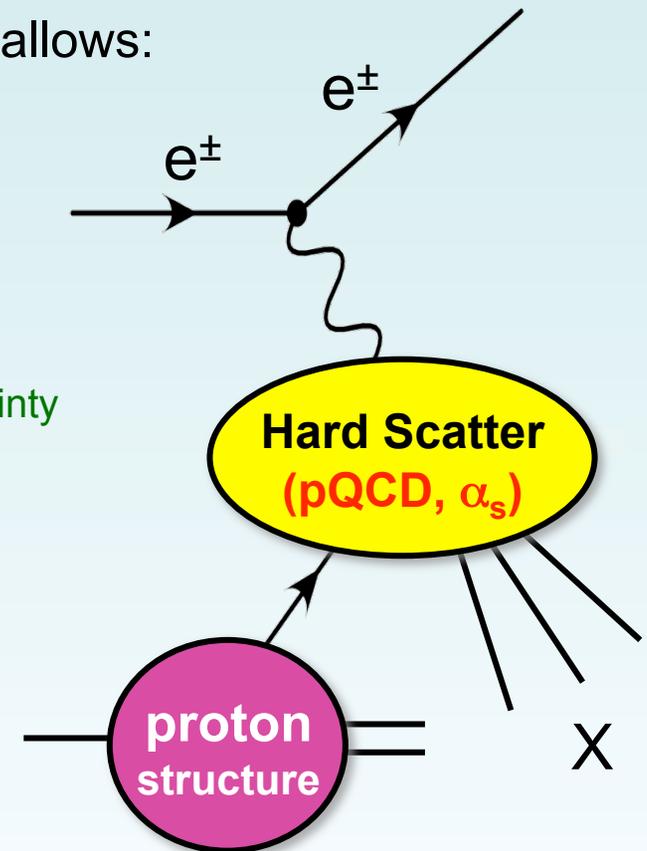
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new from ZEUS since DIS08:

- **inclusive jets in neutral current DIS**
($\times 2.5$ increase in statistics c.f. most recent studies)
- **two new extractions of α_s from jet data**
(NC DIS inclusive jets; **re-analysis** of published γp)



Motivation

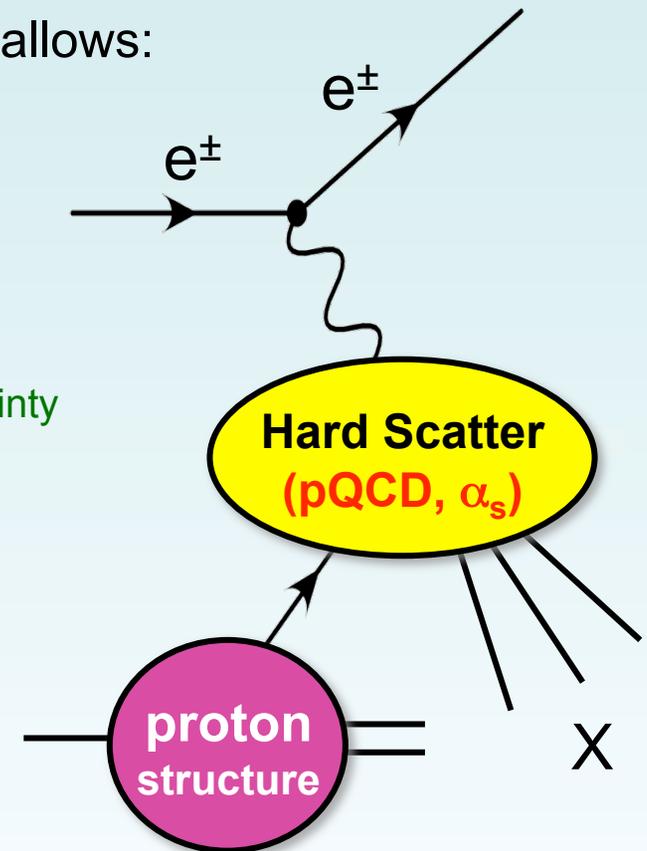
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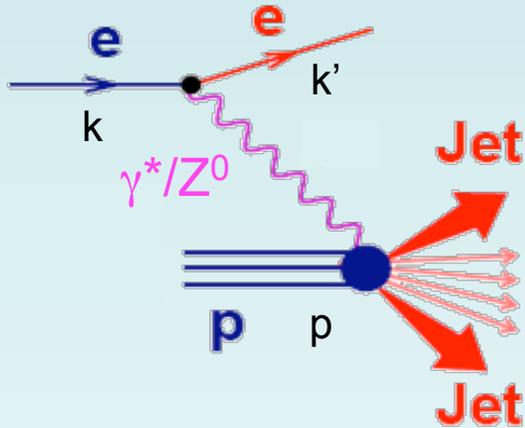
presented here:

- **jet cross sections in neutral current DIS**
(**new inclusive jet** cross sections; **dijets**)
- **two new extractions of α_s from jet data**
(NC DIS inclusive jets; **re-analysis** of published γp)



Jet production at HERA

Neutral Current (NC): γ or Z^0 exchange



Kinematic Variables:

- 4-momentum transfer ('resolution'): $Q^2 = -q^2 = -(k-k')^2$
 - Bjorken scaling variable: $x = Q^2/2p \cdot q$
 - inelasticity: $y = p \cdot q/p \cdot k$
- related via: $Q^2 = sxy$
 [where \sqrt{s} = CoM energy: $s = (k+p)^2$]

Broadly, two main processes:

- **Deep Inelastic Scattering (DIS):** $Q^2 \gg 1 \text{ GeV}^2$
- **Photoproduction (γp):** $Q^2 \approx 0 \text{ GeV}^2$ ← quasi-real **photon** exchange

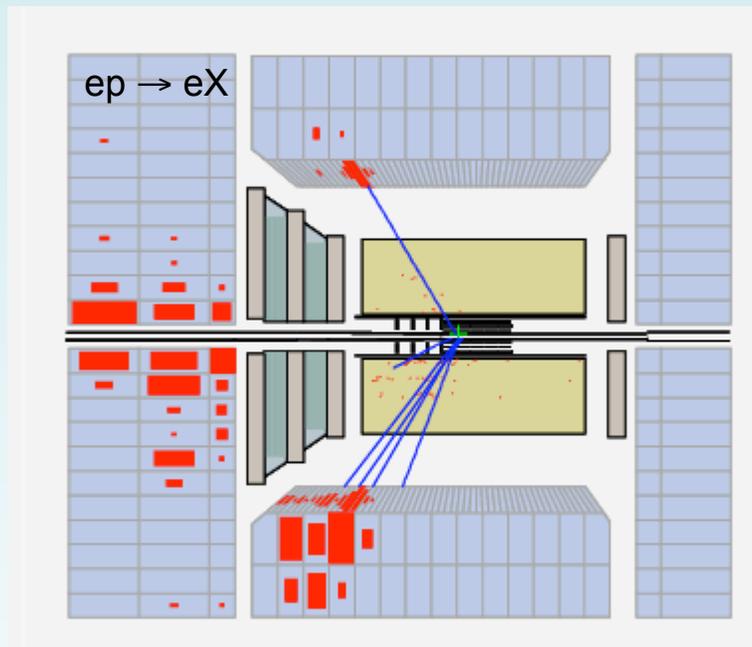
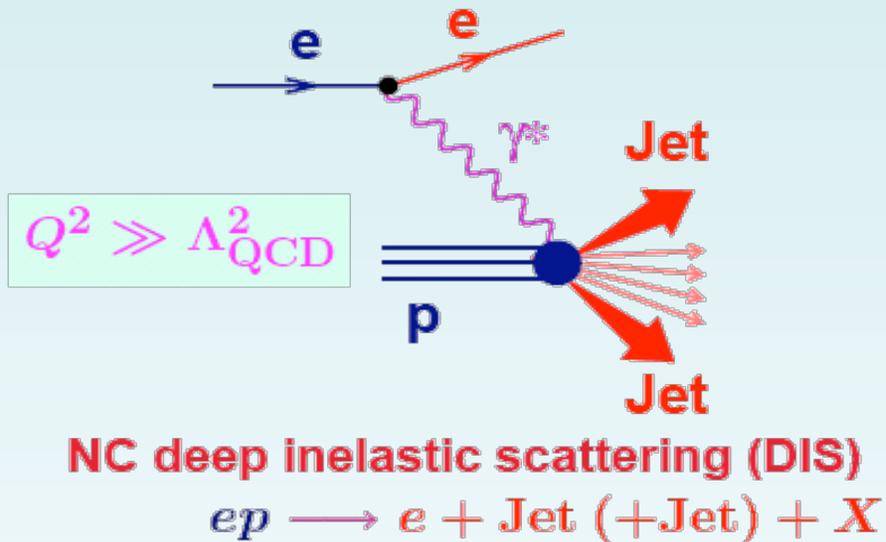
Jet cross section (DIS):

$$\sigma = \sum_n \alpha_s^n \cdot \sum_{a=q,\bar{q},g} f_a \otimes \hat{\sigma}_a^{(n)}$$

$\hat{\sigma}_a$: perturbatively calculable **subprocess cross section**
 f_a : **proton PDF** (long-distance, experimentally determined)

γp : need **photon PDF** too

Jet cross sections in NC DIS

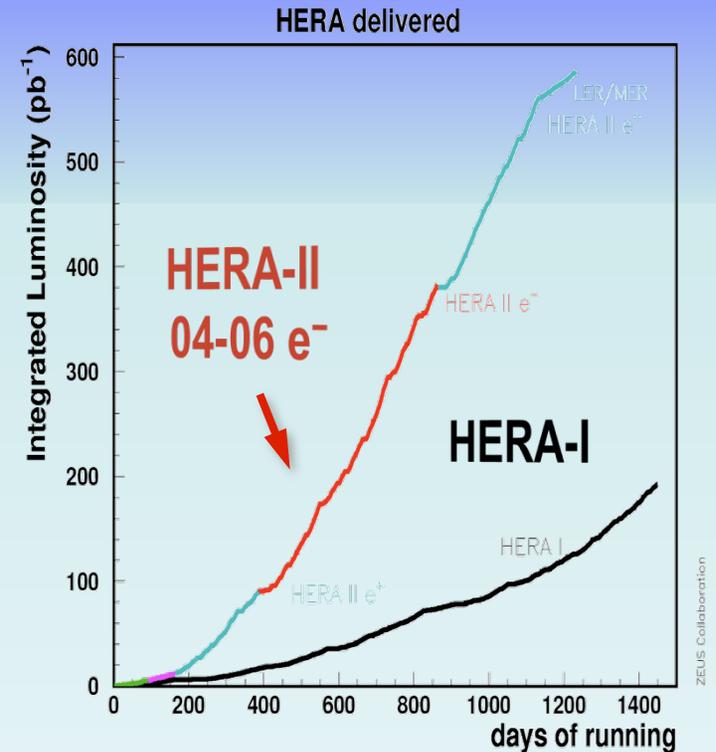
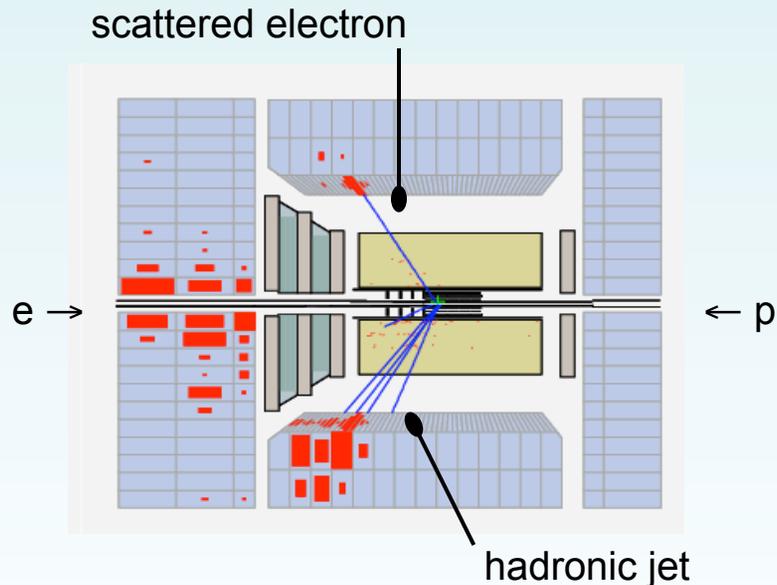


Data selection

event samples ($\sqrt{s} = 319 \text{ GeV}$):

1. **inclusive jet NC DIS analysis:**
 - HERA-II 05-06 e-p (**188 pb⁻¹**)
2. **dijet NC DIS analysis:**
 - HERA-I 98-00 + II 04-05 e-p (**209 pb⁻¹**)

NC DIS event in the ZEUS detector



phase space:

- $Q^2 > 125 \text{ GeV}^2$ dijet: $Q^2 < 5000 \text{ GeV}^2$ ↙ no Z^0
- $|\cos(\gamma_{\text{had}})| < 0.65$
- jet selection (in Breit frame; defined next):
 - inclusive: ≥ 1 jet with $E_{T,\text{Breit}} > 8 \text{ GeV}$
 - dijet: ≥ 2 jets with $E_{T,\text{Breit}1(2)} > 12(8) \text{ GeV}$
 - $-2 < \eta_{\text{Breit}} < 1.5$

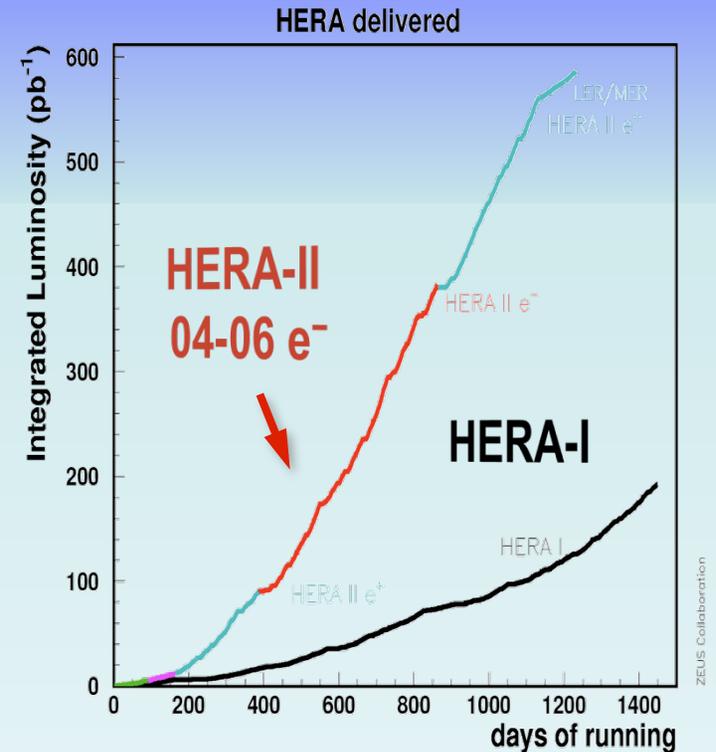
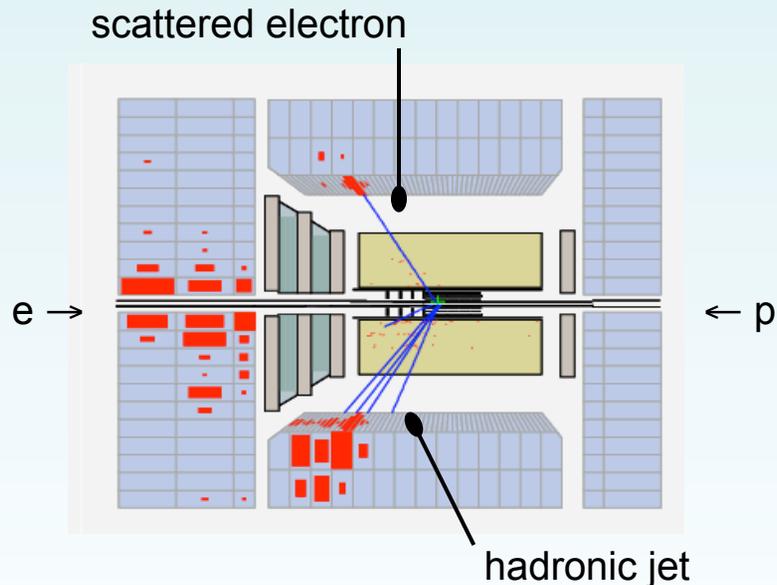
* $\gamma_{\text{had}} \equiv$ angle of scattered quark (in QPM)

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1. **inclusive jet NC DIS analysis:**
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NC DIS event in the ZEUS detector



experimental uncertainties:

- **statistical:** typically **1-5%**
- **systematic:** **jet energy scale*** dominates (1-3%) → **5-10%** on cross sections [next important: **model uncertainty** for acceptance corrections (ARIADNE vs. LEPTO)]

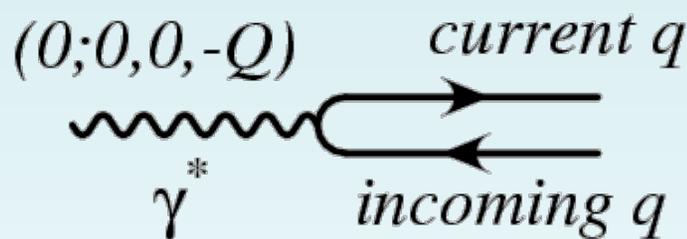
* from **energy balance** with scattered electron

Jet reconstruction and the Breit frame

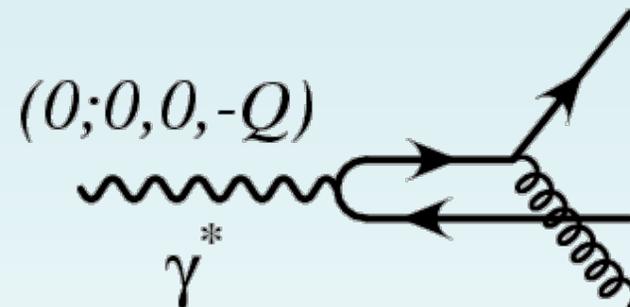
Jet reconstruction: k_T -algorithm in longitudinally-invariant inclusive mode ($R=1$)

↑
└ infra-red and collinear safe jet definition

Breit frame:— virtual boson collides head-on with struck quark from proton



Born level



$O(\alpha_s): q\gamma^* \rightarrow qg$

requirement of **high-transverse-energy** jets in the **Breit frame**:

- Born level contribution suppressed \rightarrow struck quark back-scattered with zero- E_T
- lowest order (non-trivial) contributions, $O(\alpha_s) \rightarrow$ **two high- E_T jets** (well separated from p remnant)

\rightarrow directly sensitive to **hard QCD sub-process** at $O(\alpha_s)$ (and higher orders)

NLO QCD theory

comparison of **measurements** to $\mathcal{O}(\alpha_s^2)$ QCD calculations:



- NC DIS differential **jet** cross sections calculated at **NLO** using **DISENT**:
 - **proton PDFs**: **ZEUS-S** (global PDF fit) dijet: **CTEQ6**
 - value of $\alpha_s(M_Z) = 0.118$; calculated at two loops
 - **renormalisation scale**: $\mu_R = E_{T,B}$ of each jet dijet: $\mu_R = \sqrt{Q^2 + \overline{E_{T,B}}^2}$
[default choices; other scales also investigated]
 - **factorisation scale**: $\mu_F = Q$ ↓ not necessary for dijet due to restricted Q^2 range
 - corrections for **hadronisation** and **Z^0** effects applied
 - **sources of theoretical uncertainty** considered:
 - **terms beyond NLO**: variation of scale μ_R by (conventional) factors of $\{1/2, 2\}$
 - **pPDFs**: using the provided eigenvector error PDF sets (exp. sources only)
 - $\alpha_s(M_Z)$: using two additional sets of PDFs with different $\alpha_s(M_Z)$
 - modelling of **parton shower**: two different Monte Carlos (CDM vs. MEPS)
 - **factorisation scale**: variation of μ_F by factors of $\{1/2, 2\}$ → negligible
- variation of μ_R **dominates** → typically 5–20%; other sources mostly small

Inclusive jets cross sections

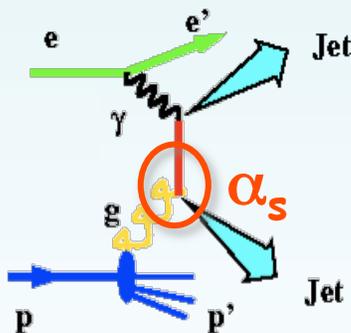
ZEUS-prel-09-006



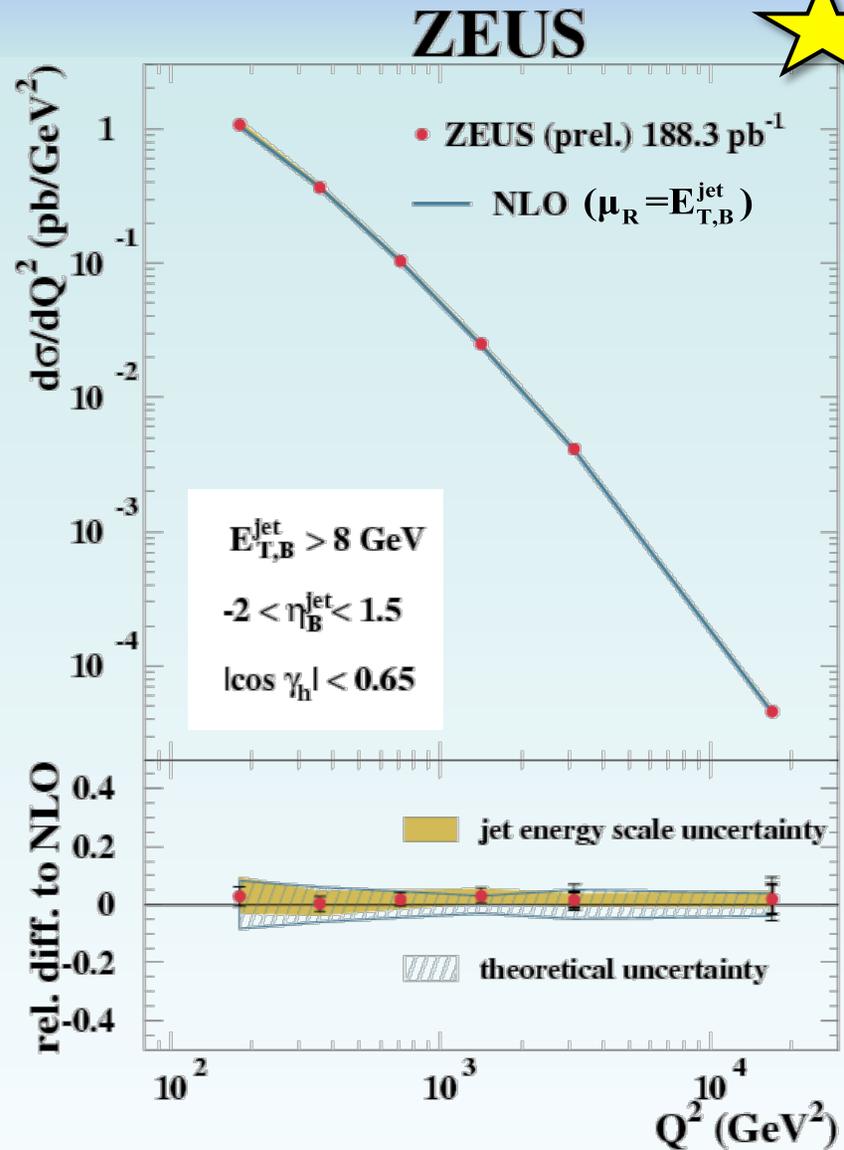
HERA-II 05-06 (188 pb⁻¹) : **50k events**
 → **×2.5** increase c.f. most precise HERA-I

shown:- single-differential inclusive jet NC cross section as a function of Q^2 :

- **good description** of data by **NLO QCD** over **many orders of magnitude** in the cross section (for both $\mu_R = E_{T,B}$ and Q)
- **smaller theoretical uncertainty** than dijet ... **but** still **dominates** over experimental, except at highest Q^2
- also sensitive to the **strong coupling α_s** :

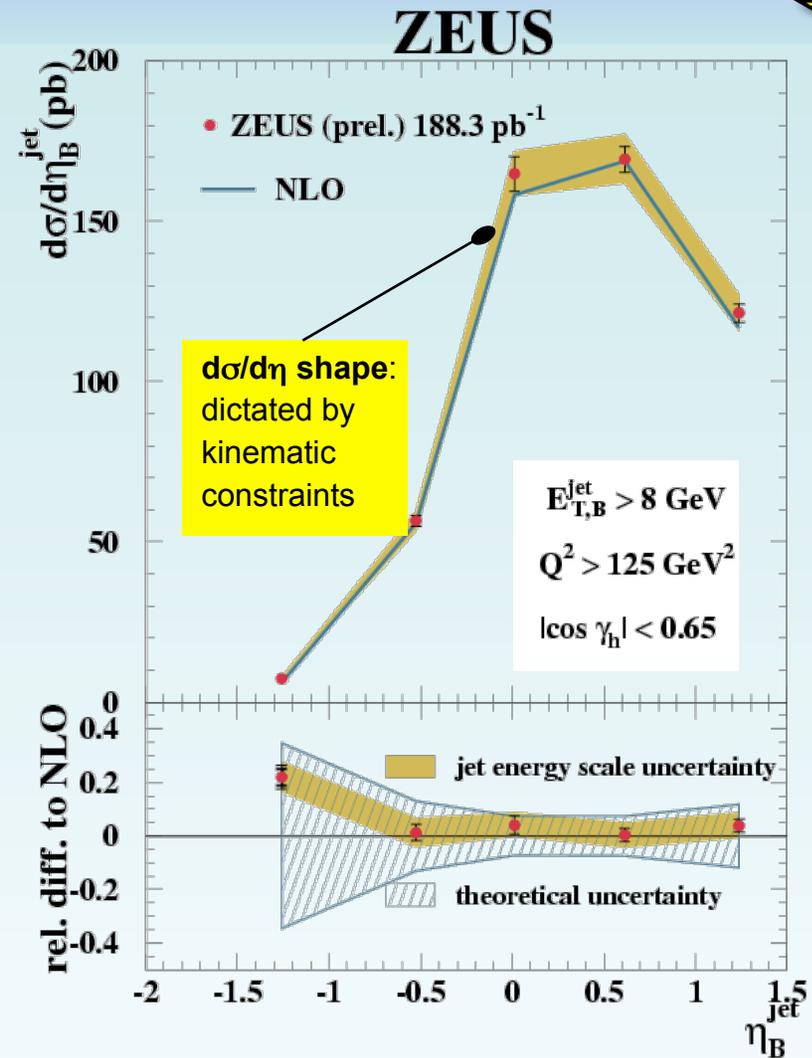
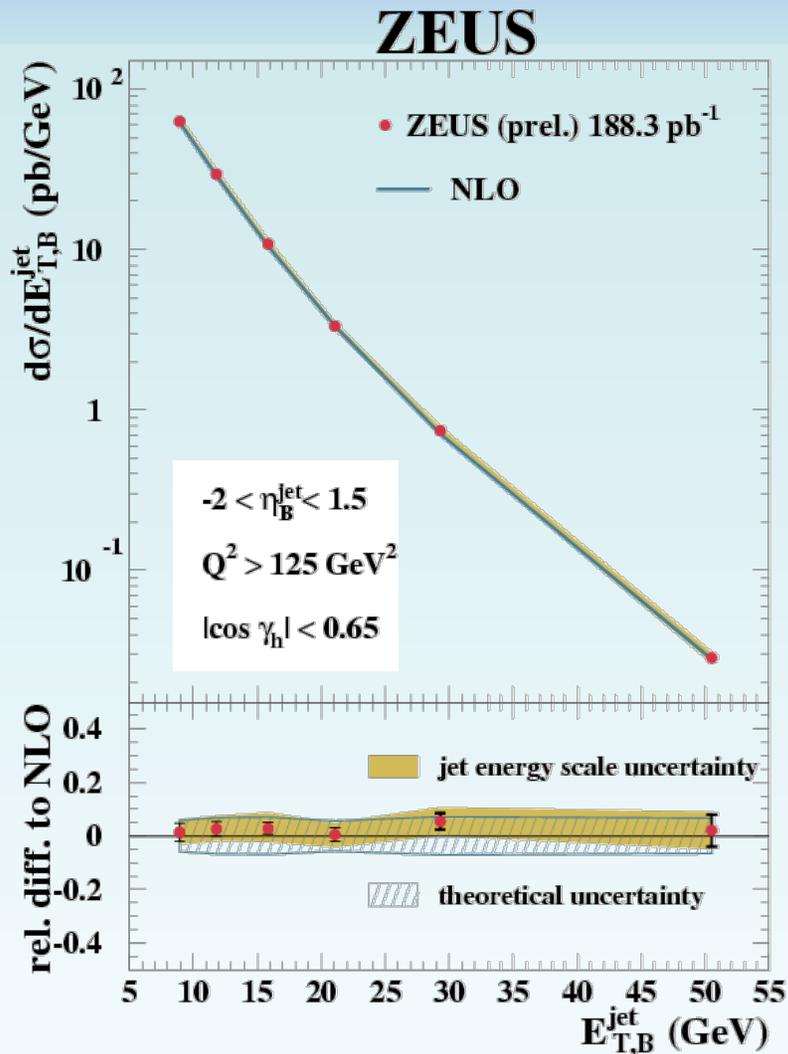


cross section for $Q^2 > 500 \text{ GeV}^2$ used to extract $\alpha_s(M_Z)$ (see later)



Inclusive jet cross sections

ZEUS-prel-09-006

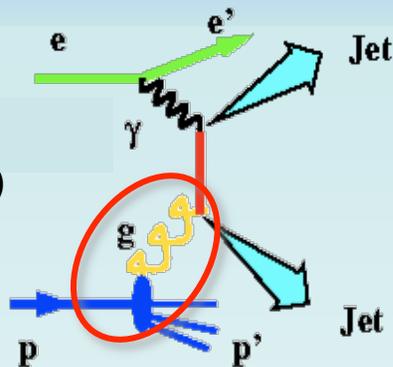


single-differential in jet observables $E_{T,B}$ and η_B → good description of all data by **NLO QCD**

HERA jet data and the gluon

HERA jets:

also sensitive to
gluon (and quark)
 content of proton
 γp : also to photon
 structure



- **NC DIS inclusive** and γp jet cross sections included in a previous **ZEUS NLO QCD fit**, (together with more inclusive data)

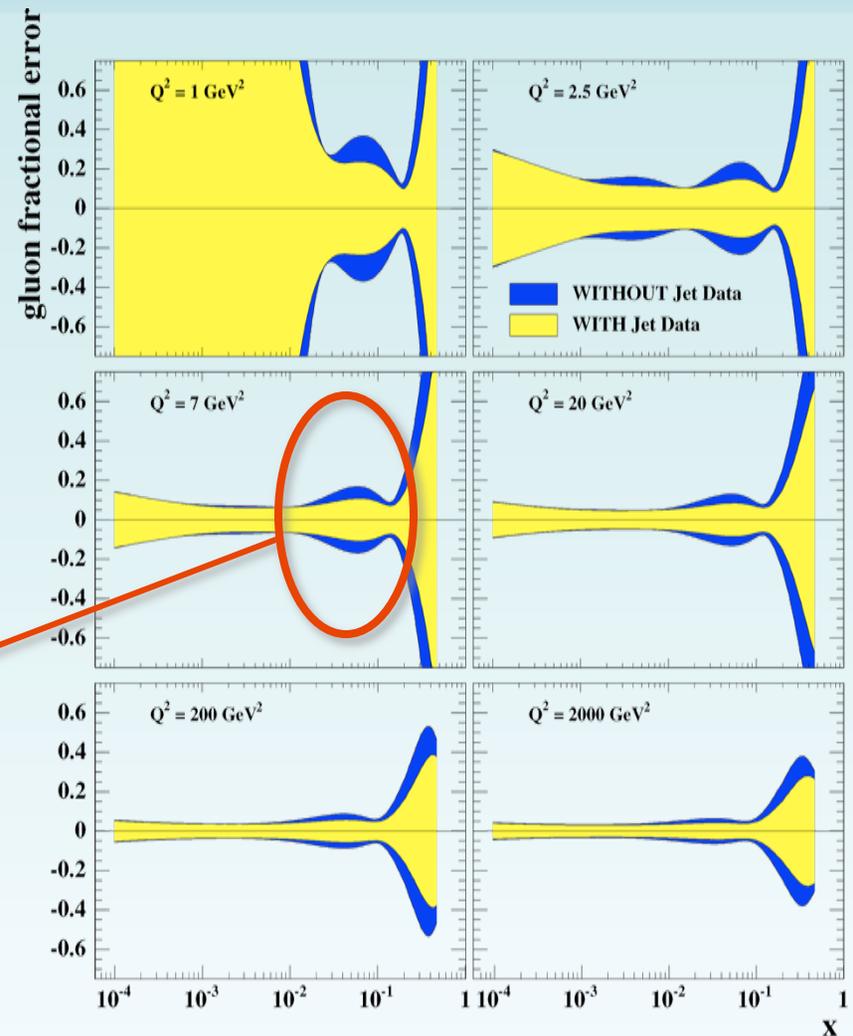
[Eur Phys J **C42** (2005) 1]

- ➔ **gluon uncerts. substantially reduced** (medium-to-high-x)

same analysis: also a precise α_s determination

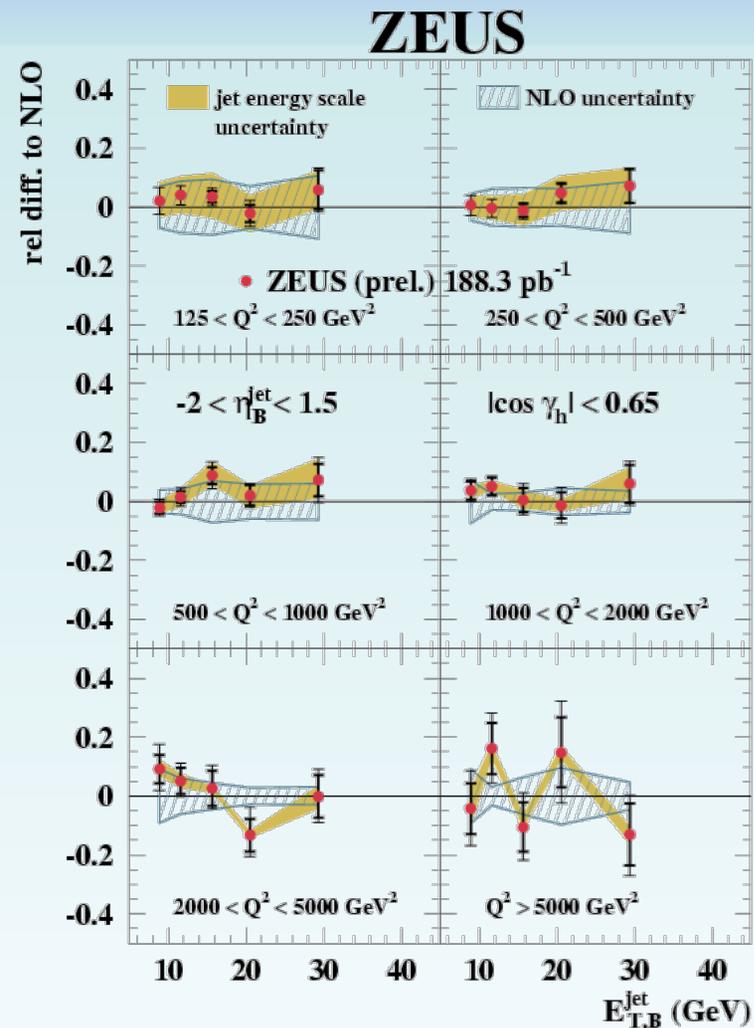
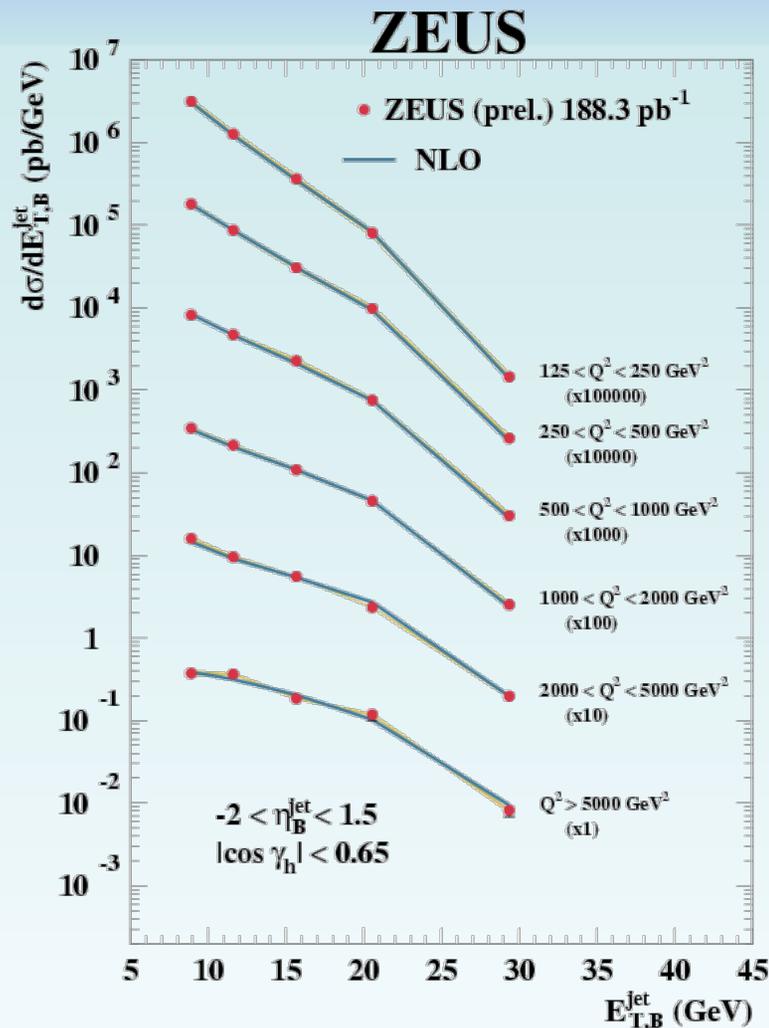
Proof of Principle:

... jet cross sections from **only** 40 pb⁻¹ used
 ➔ now have the **new** NC DIS inclusive jets



Inclusive jet cross sections

ZEUS-prel-09-006



double-differential NC DIS inclusive jet cross sections, as a function of $E_{T,B}$ in bins of Q^2

→ same kinematic region as NC DIS measurement used in ZEUS QCD fit; × 4.5 increase in statistics

Dijet cross sections

ZEUS-prel-07-005

HERA-I 98-00 + HERA-II 04-05 (209 pb⁻¹)

→ ×2.5 luminosity c.f. HERA-I alone

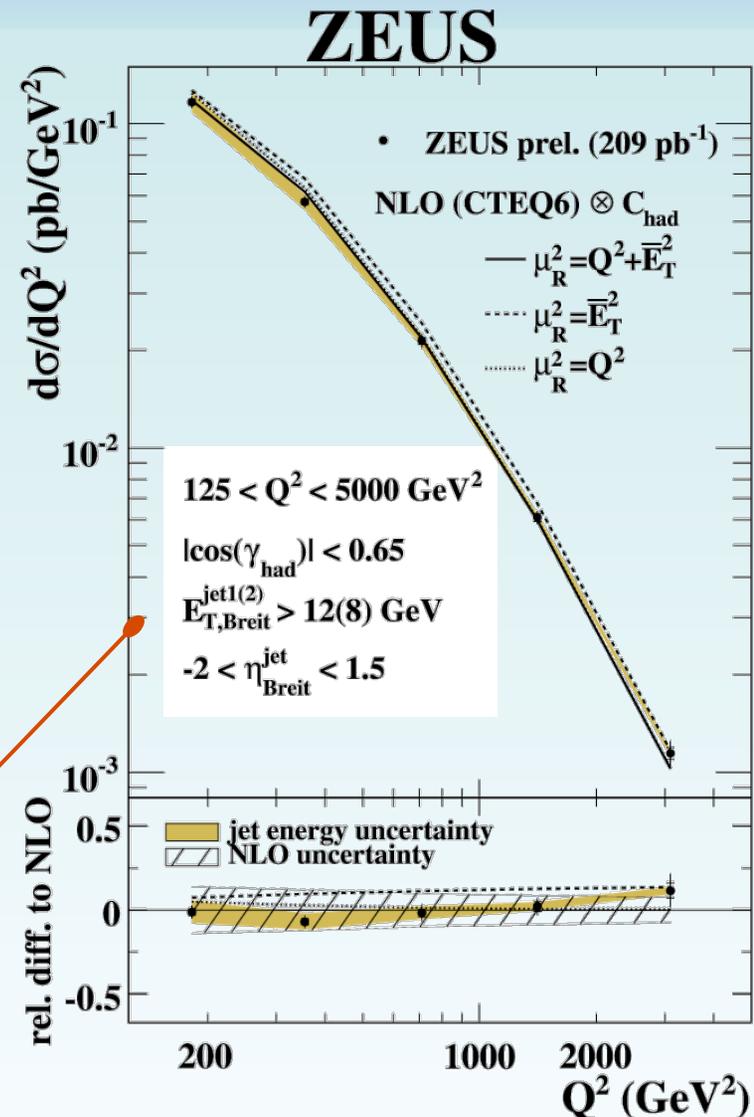
[N.B. results with full HERA-II (04-07) statistics coming soon!]

shown:- single-differential NC **dijet** cross section as a function of Q^2 :

Comparison to NLO QCD (with various scale choices):

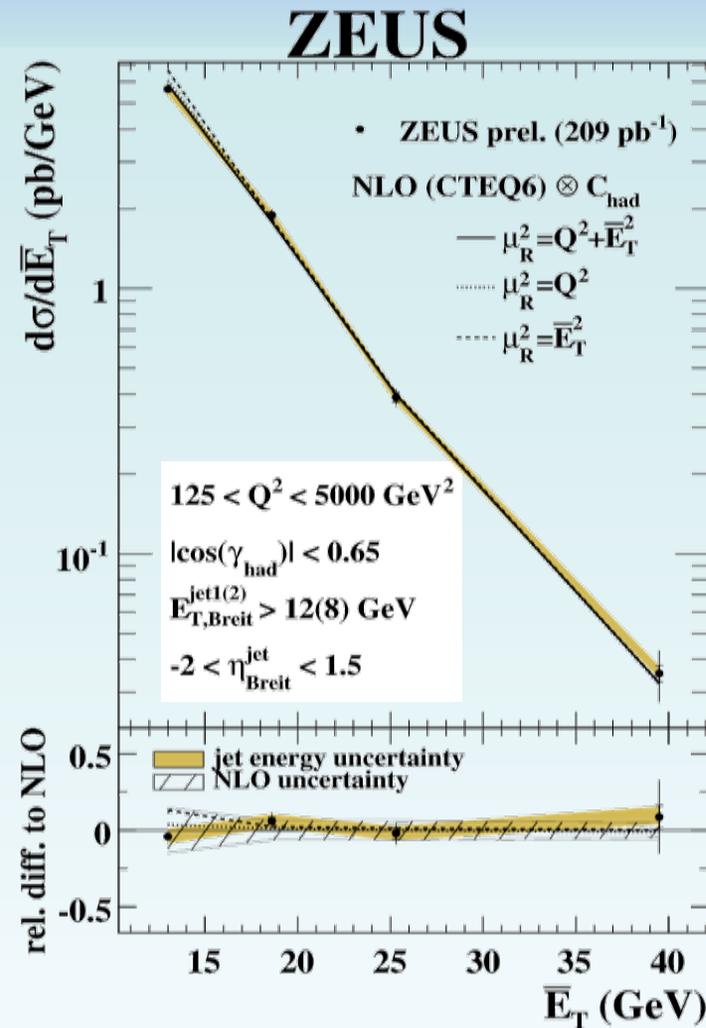
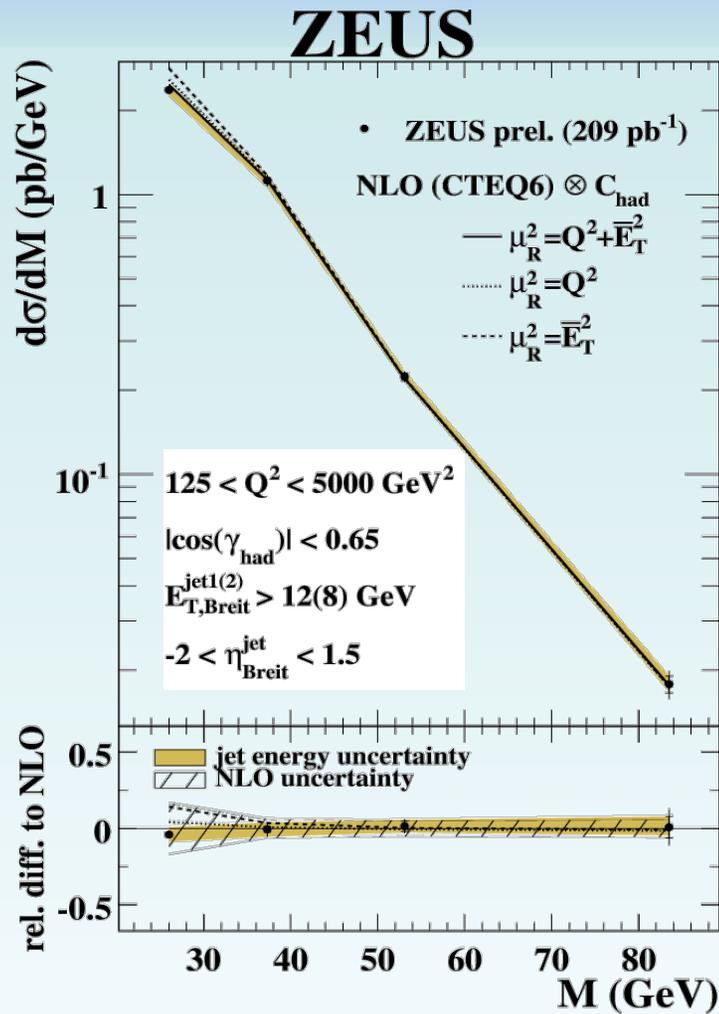
- data **well described** by **NLO QCD** across measured range
 - overall best description with $\mu_R = \sqrt{Q^2 + \overline{E}_T^2}$ (Q^2 also good)
- theoretical uncertainties **dominate**
 - μ_R scale variation (terms beyond NLO)

note asymmetric cut on jet- E_T cut: → infra-red safety



Dijet cross sections

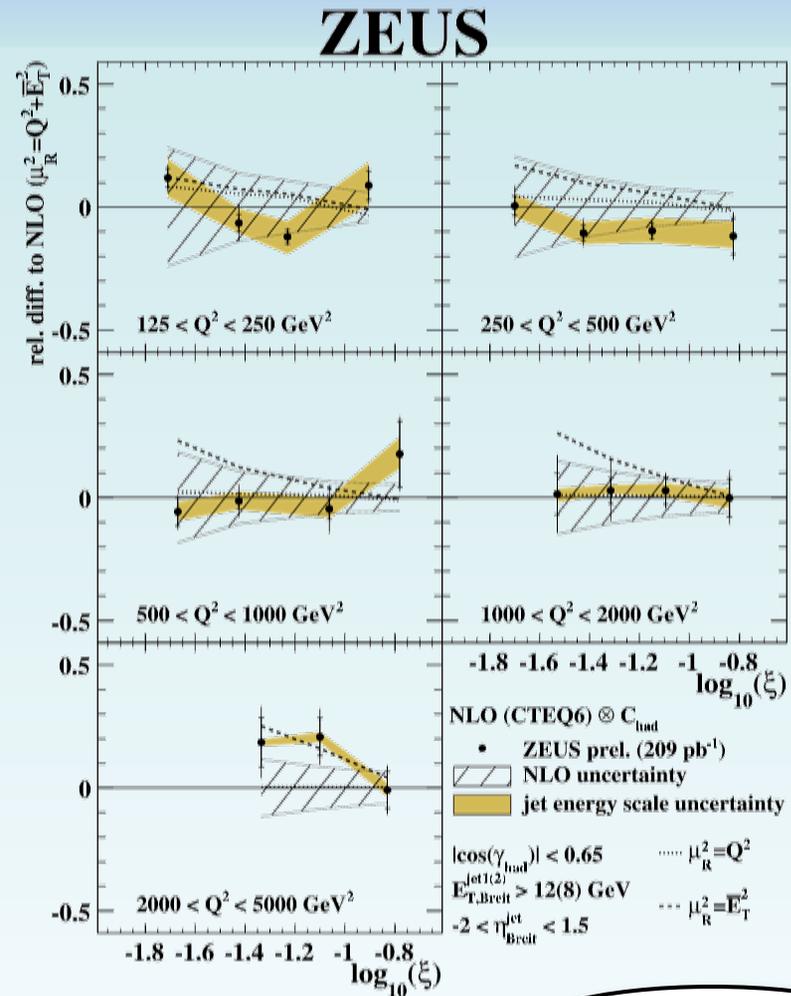
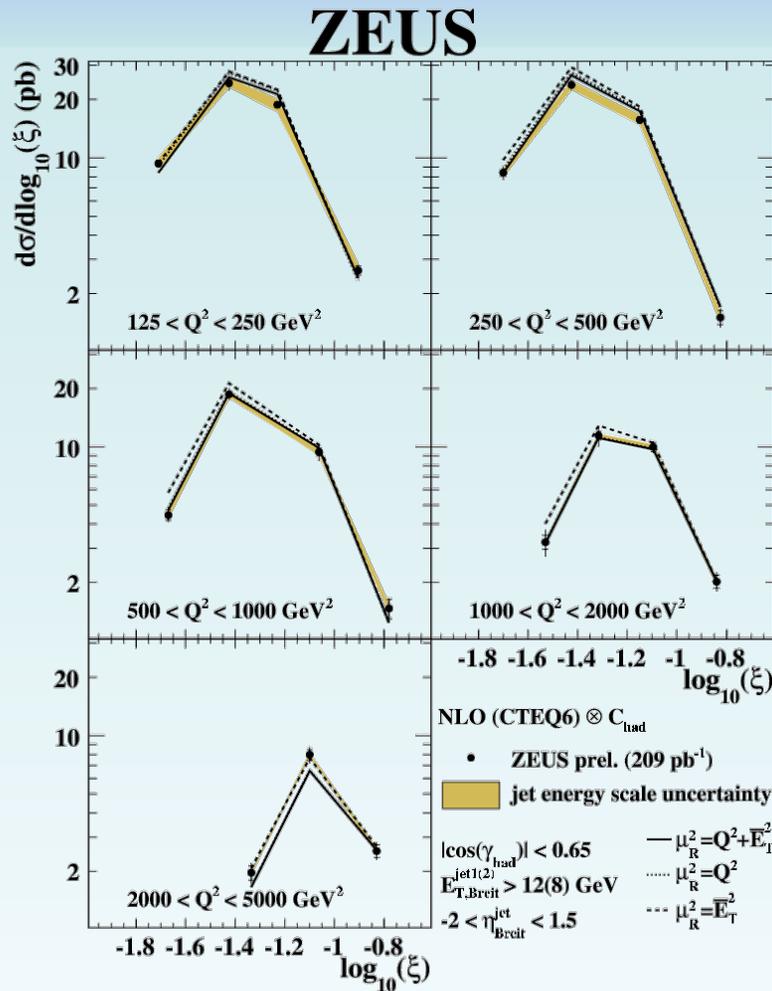
ZEUS-prel-07-005



single-differential, as functions of \overline{M}_{jj} , $\overline{E}_T \rightarrow$ sensitive to the **matrix element** in the perturbative calculations
 \rightarrow data well described by **NLO QCD** \rightarrow validity of description of **dijet dynamics** by pQCD at $O(\alpha_s^2)$

Dijet cross sections

parton momentum fraction:
 $\xi = x_{Bj} (1 + M_{jj}^2 / Q^2)$



ZEUS-prel-07-005

double-differential cross sections as a function of $\log_{10}(\xi)$ in different Q^2 bins:

→ reasonable description by NLO QCD → sensitivity to scale and proton PDFs (next slide)

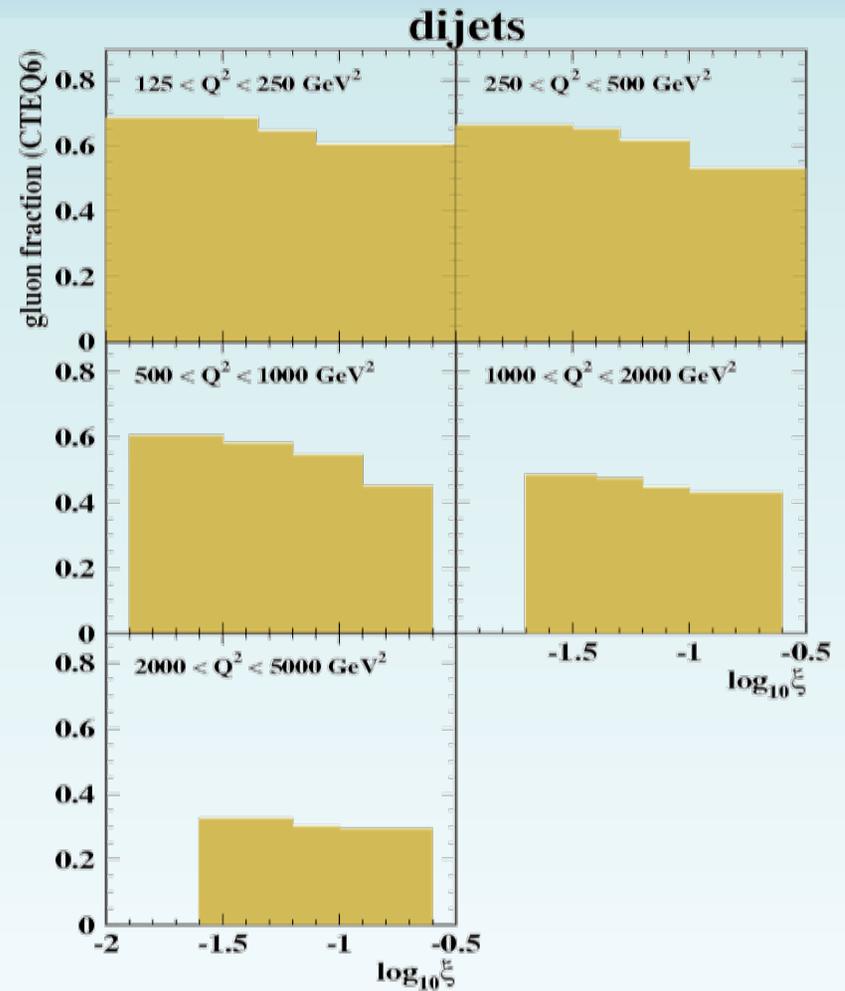
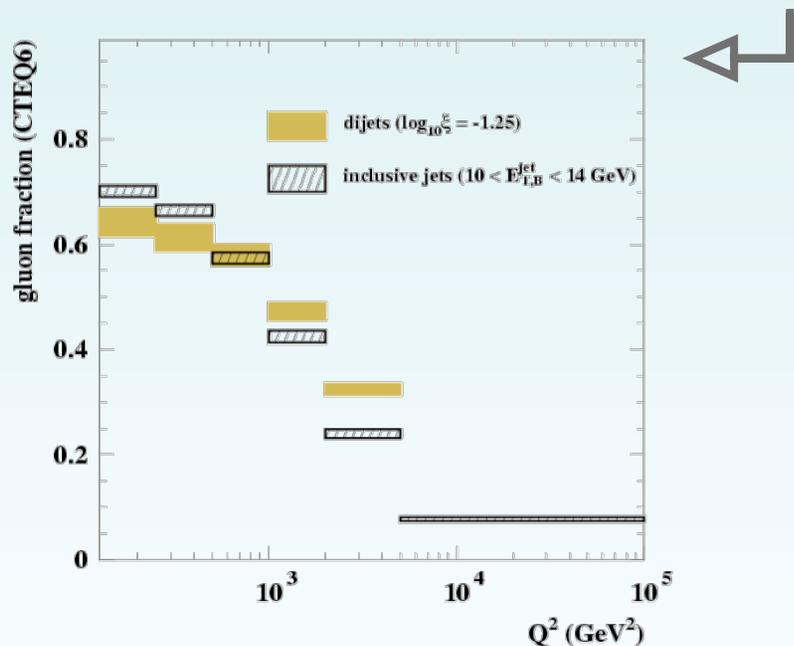
HERA dijets and the gluon

parton momentum fraction:
 $\xi = x_{Bj} (1 + M_{jj}^2 / Q^2)$

NC DIS **inclusive jet data** previously shown to constrain the **gluon PDF**

double-differential dijet NC cross sections:

- **gluon** contribution **at least 30%**, even at highest Q^2, ξ (larger contribution than for inclusive in some regions of phase space)



gluon-induced fraction of the dijet cross section

HERA dijets and the gluon

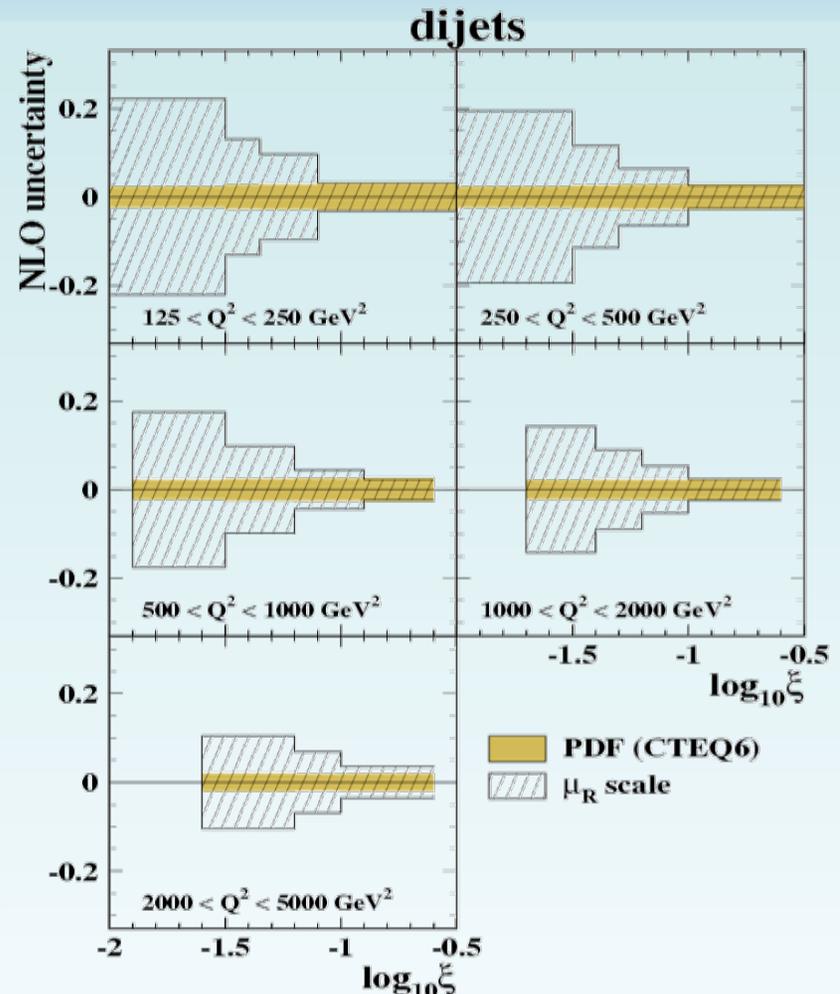
$$\xi = x_{Bj} (1 + M_{jj}^2 / Q^2)$$

NC DIS **inclusive jet data** previously shown to constrain the **gluon PDF**

double-differential dijet NC cross sections:

- **gluon** contribution **at least 30%**, even at highest Q^2 , ξ (larger contribution than for inclusive in some regions of phase space)
 - **uncertainties:**
 - μ_R scale uncertainty **decreases** at high Q^2 , ξ
 - **PDF** uncertainty **approximately constant** and **non-negligible**
- potentially significant constraints on **gluon**

plus, results including rest of **HERA-II (+06-07)** data **coming soon** → further **x2** luminosity!



theoretical uncertainties from μ_R scale + PDFs

extraction of the strong coupling α_s



HERA jets and α_s

α_s : a **fundamental** parameter of QCD → but **must** be determined experimentally

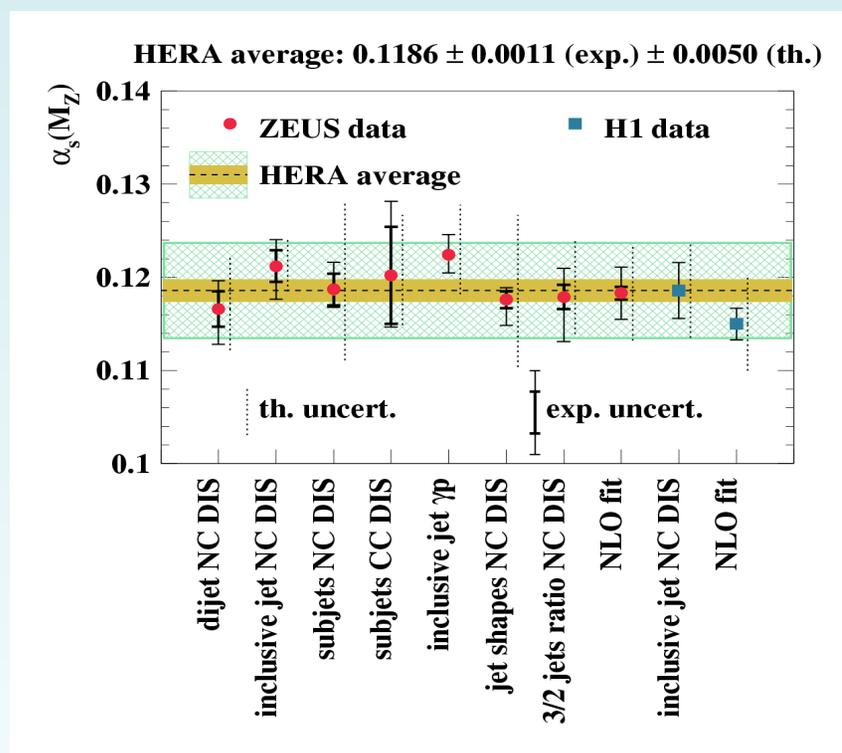
many **precise determinations** of α_s from **HERA** (from both jet observables and NLO QCD fits)
→ just some examples shown here →

NEW measurements from ZEUS:



since DIS08: two **new** $\alpha_s(M_Z)$ determinations:

1. from **new** measurement of **inclusive jets in NC DIS** from **HERA-II**
(cross sections shown earlier)
→ improved statistical precision
c.f. previous HERA-I analysis
2. from **re-analysis** of **HERA-I inclusive jet photoproduction data**
→ reduced theoretical uncertainty c.f. previous determination using **same** data



α_s extraction from jet observables

method: based on the α_s dependence of the **perturbative QCD calculations**

pQCD calculations depend on α_s via the:

- **partonic cross section**
- **proton PDFs** (implicit; α_s assumed in evolution)

α_s extraction from jet observables

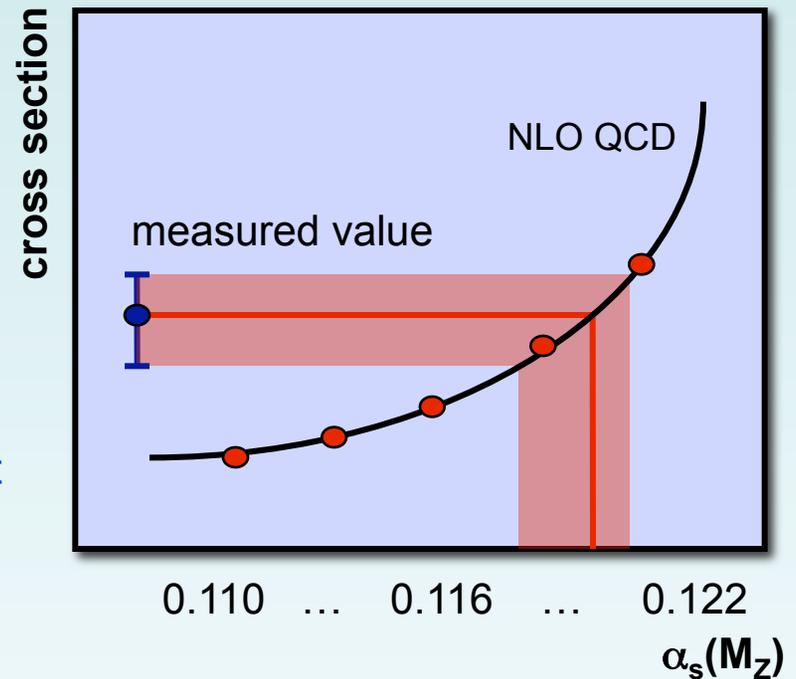
method: based on the α_s dependence of the **perturbative QCD calculations**

pQCD calculations depend on α_s via the:

- **partonic cross section**
- **proton PDFs** (implicit; α_s assumed in evolution)

to take into account the correlation:

- perform **NLO** calculations using various sets of **proton PDFs** (i.e. with different assumed α_s)
- as input to calc., use $\alpha_s(M_Z)$ assumed in PDF set
- parameterise α_s dependence of observable
 $\rightarrow [d\sigma/dA(\alpha_s(M_Z))]^i = A_1^i \alpha_s(M_Z) + A_2^i \alpha_s(M_Z)^2$
- determine $\alpha_s(M_Z)$ and its **uncertainty** from **measured observable** (using the NLO param.)



This procedure **correctly handles** the **complete α_s dependence** of the **NLO calculations** (from matrix element and PDFs), while **preserving the correlation** between α_s and the **PDFs**

α_s from inclusive jets in NC DIS

ZEUS-prel-09-006



α_s from **new** ZEUS measurement of **inclusive jets** in **NC DIS** from **HERA-II** (188 pb⁻¹)

→ extracted from the measured $d\sigma/dQ^2$ for $Q^2 > 500 \text{ GeV}^2$ ↓ (yields smallest α_s uncert.)

$$\alpha_s(M_Z) = 0.1192 \pm 0.0009 \text{ (stat.)}_{-0.0032}^{+0.0035} \text{ (exp.)}_{-0.0021}^{+0.0020} \text{ (th.) (3.5\% total)}$$

experimental uncertainties:

- dominated by jet energy scale: $\pm 1.9\%$

theoretical uncertainties:

- dominated by terms beyond NLO: $\pm 1.8\%$
(estimated using method of Jones et al. – see later)
- pPDFs ($\pm 0.8\%$), hadronisation corrections ($\pm 0.8\%$), μ_F uncertainty (**negligible**)

↑ first HERA-II α_s from ZEUS

$\alpha_s(M_Z)$ from **HERA-II** (05–06 e⁻p)
inclusive jets in the Breit frame:
→ very **precise determination** from
ZEUS (comparable precision to e⁺e⁻)

c.f. equivalent from HERA-I: $\alpha_s(M_Z) = 0.1207 \pm 0.0014 \text{ (stat.)}_{-0.0033}^{+0.0035} \text{ (exp.)}_{-0.0023}^{+0.0022} \text{ (th.) (3.7\% total)}$

→ agreement within 1%; improved statistics for HERA-II (82 pb⁻¹ → 188 pb⁻¹)

α_s from inclusive jets in γp

α_s from **re-analysis** of **inclusive jets** in γp

previous publication [Phys Lett B560 (2003) 7]

HERA-I 98-00 (82 pb^{-1}): α_s extracted from $d\sigma/dE_T$

$\alpha_s(M_Z) = 0.1224 \pm 0.0001$ (stat.) $^{+0.0022}_{-0.0019}$ (exp.) $^{+0.0054}_{-0.0042}$ (th.)

→ dominated by **theoretical uncertainty**

α_s from inclusive jets in γp

ZEUS-prel-08-008

α_s from re-analysis of inclusive jets in γp

previous publication [Phys Lett B560 (2003) 7]

HERA-I 98-00 (82 pb^{-1}): α_s extracted from $d\sigma/dE_T$

$$\alpha_s(M_Z) = 0.1224 \pm 0.0001 (\text{stat.})_{-0.0019}^{+0.0022} (\text{exp.})_{-0.0042}^{+0.0054} (\text{th.})$$

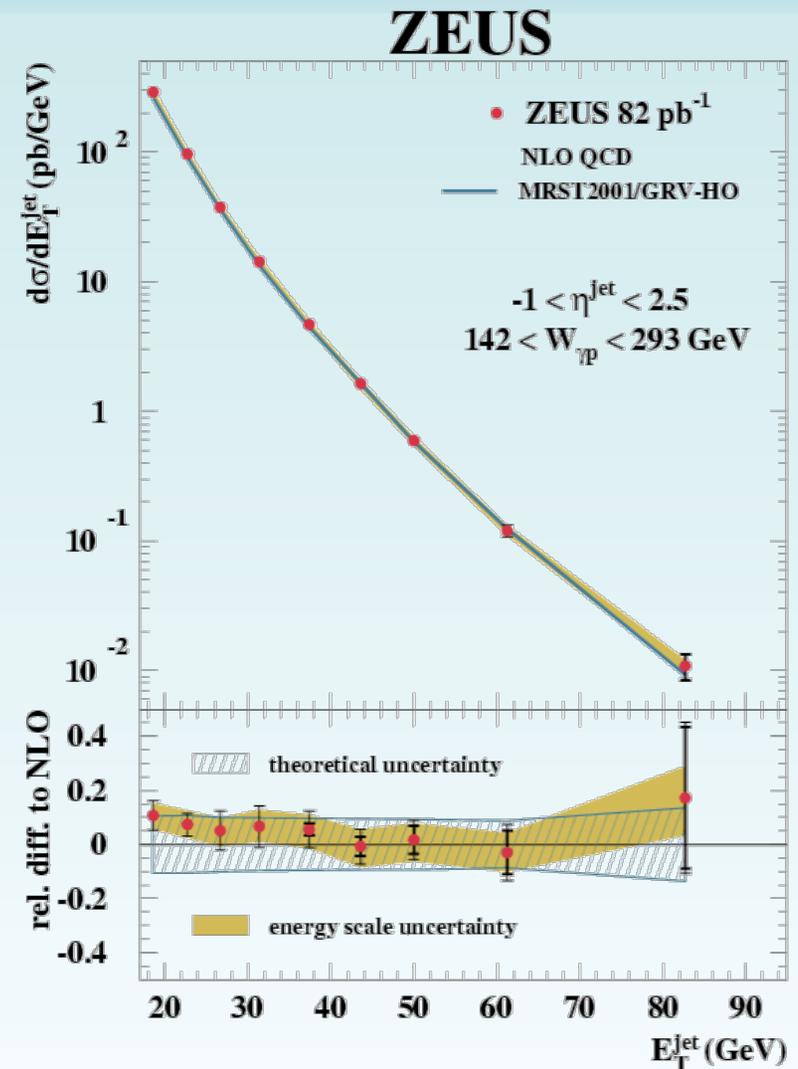
→ dominated by **theoretical uncertainty**

re-analysis (same data):

- **updated theory**; **new method** for μ_R variation

NLO QCD prediction:

- $\mathcal{O}(\alpha_s^2)$: Klasen, Kleinwort and Kramer
- proton PDFs: **MRST01** (previously: MRST99)
- photon PDFs: **GRV-HO**
- $\mu_R = \mu_F = E_T^{\text{jet}}$ for each jet



good description by **NLO QCD** ↑

α_s from inclusive jets in γp

ZEUS-prel-08-008

α_s from re-analysis of inclusive jets in γp

experimental uncertainties:

- dominated by jet energy scale: $\pm 1.5\%$

theoretical uncertainties:

- terms beyond NLO (Jones et al.): $\pm 2.4\%$
- proton PDF (MRST01 error sets: $\pm 0.15\%$);
 γ PDF (AFG: $\pm 0.7\%$); hadronisation corrections
(Pythia vs. Herwig: $\pm 0.36\%$); μ_F (negligible)

μ_R variation (Jones et al. method)

- extract measured α_s
- calculate cross sections $\sigma_{\{1/2, 2\}}$ using extracted α_s but μ_R varied by $\{1/2, 2\}$
- for default μ_R , find α_s values that give $\sigma_{\{1/2, 2\}}$
- difference c.f. nominal: $\pm \Delta\alpha_s$

$\Delta\alpha_s$:- from uncertainty on predicted cross section \rightarrow no re-fitting of data

new value of $\alpha_s(M_Z)$ from ZEUS:

0.0001 change to central value from use of updated PDFs

$$\alpha_s(M_Z) = 0.1223 \pm 0.0001 (\text{stat.})_{-0.0021}^{+0.0023} (\text{exp.})_{-0.0030}^{+0.0029} (\text{th.}) (3.1\% \text{ total})$$

\rightarrow precise α_s determination from a single HERA measurement

Summary of $\alpha_s(M_Z)$ values

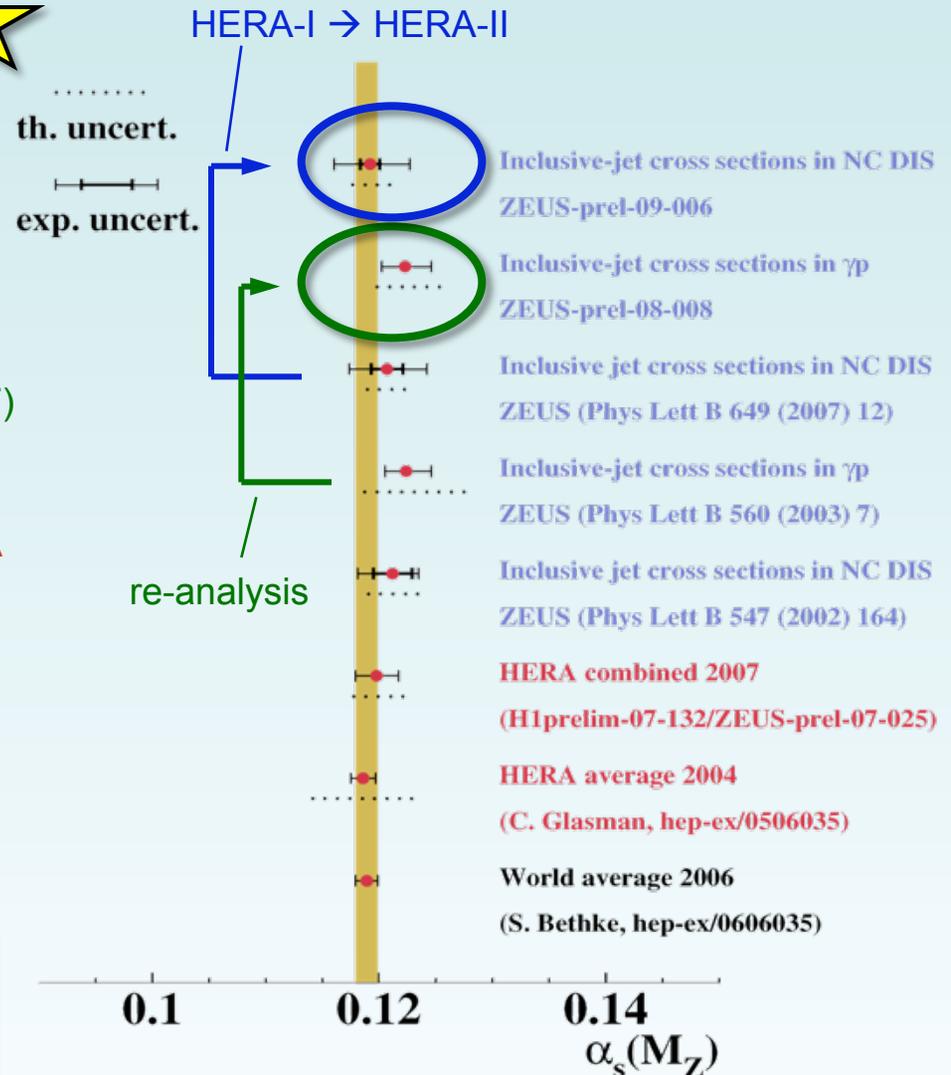
need **NNLO** to improve theoretical uncertainty

- new **HERA-II** NC DIS incl. jets: 
total uncertainty **3.5%**
c.f. HERA-I (Phys Lett B649 (2007) 12)
- re-analysis of **HERA-I** γp incl. jets:
total uncertainty **3.1%**
c.f. previous anal. (Phys Lett B560 (2003) 7)

↑ note the competitive precision of these determinations, **from single HERA measurements**, compared to averages:

- HERA combined 2007 (**2.7%** uncert.)
(from H1 and ZEUS inclusive jets in NC DIS; currently the highest precision HERA extraction)
- LEP (**1.7%** uncert.) [S. Kluth, EPS07]

measurements **consistent** with each other and with **world average**



Summary

» NC DIS jet cross sections from ZEUS with HERA-II data:

1. **new** measurement of **inclusive jets** from HERA-II (188 pb⁻¹)
 2. **dijets** using combined HERA-I + II (209 pb⁻¹)
- **inclusive** and **dijet** data well described by **NLO QCD**
 - **theoretical uncertainties** dominate (μ_R variation; or use of different scale choices)
 - data sensitive to **proton PDFs** (and α_s ↓)

» new extractions of α_s from ZEUS

- **precise** determinations from new inclusive jets in **NC DIS** and re-analysis of γp
 - compatible with averages (HERA, LEP, world); total uncertainty ~ **3–3.5%**

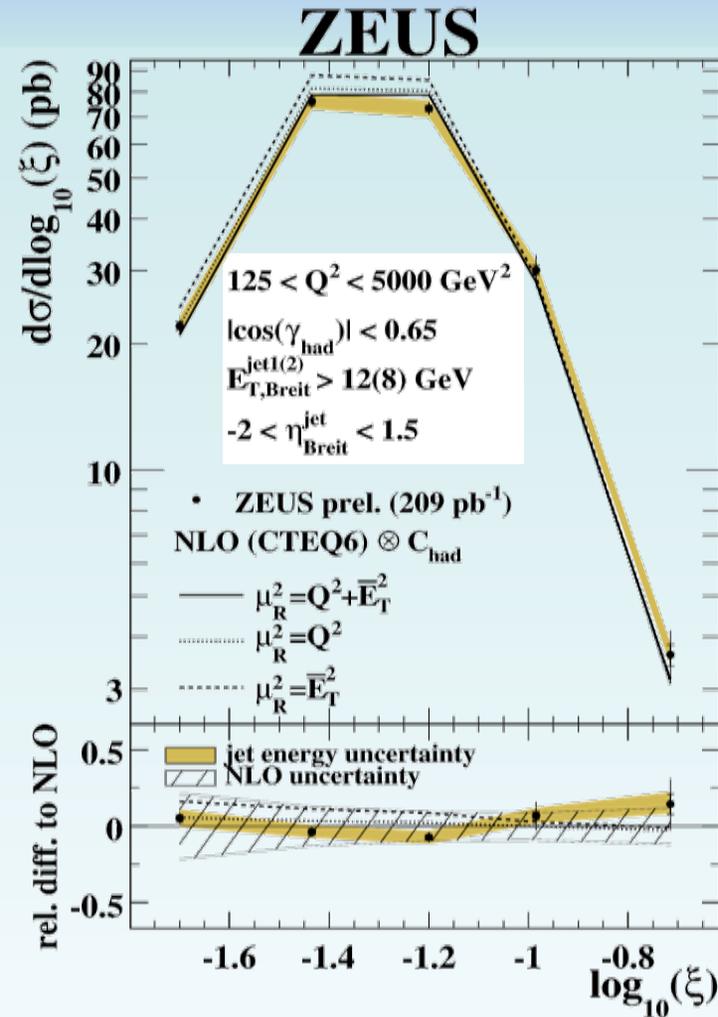
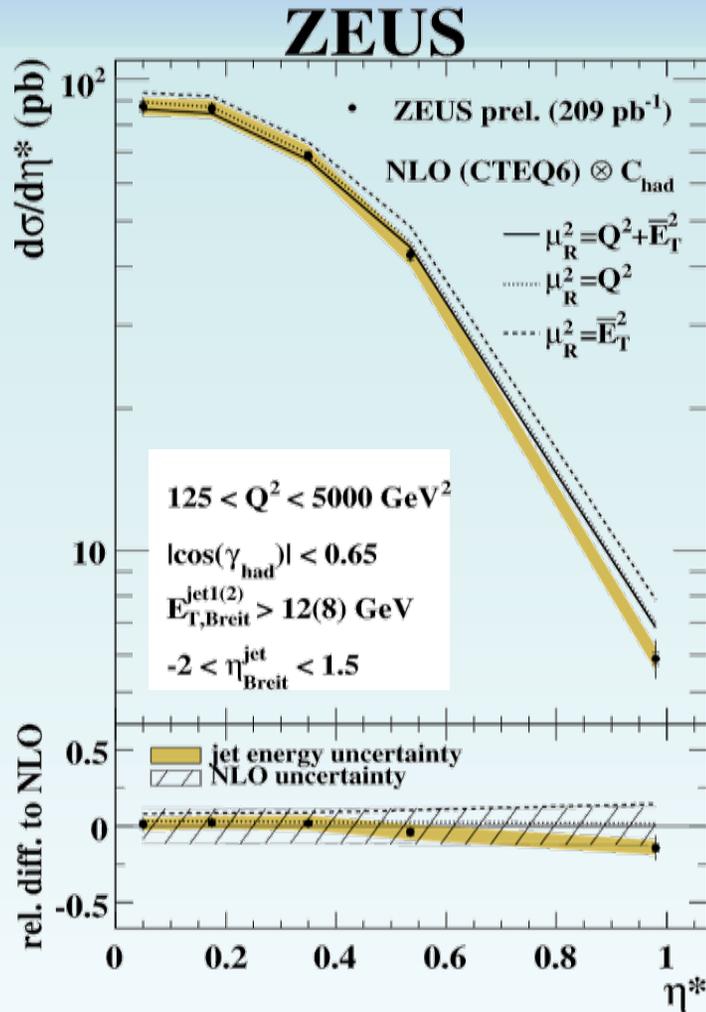
» future:

- Jets measurements with full HERA-II data (**coming soon**)
- HERA combinations → **final word on HERA α_s**
- updates to **QCD fits** using new jet data → **improvement to PDFs?**

extras

Dijet cross sections

ZEUS-prel-07-005



single-differential, as functions of $\eta^* = \frac{1}{2}(\eta^1 + \eta^2)$ and $\log_{10}(\xi) \rightarrow$ data well described by NLO QCD