



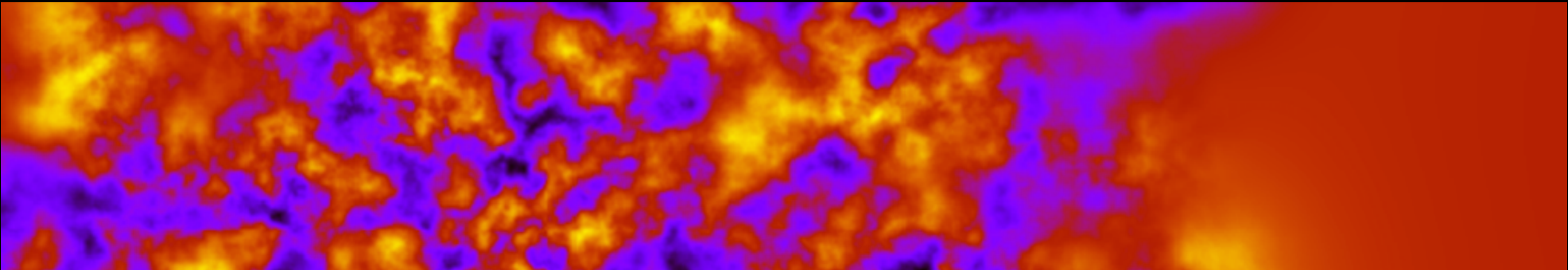
U.S. DEPARTMENT OF  
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NATIONAL LABORATORY

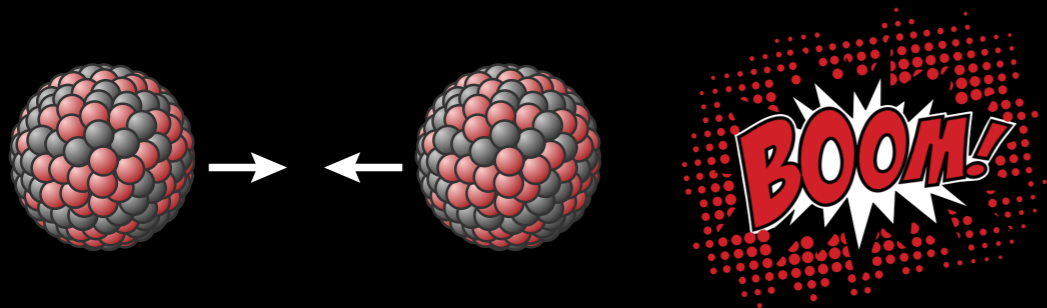
# INITIAL STATE FLUCTUATIONS IN HEAVY ION COLLISIONS

Björn Schenke  
Brookhaven National Laboratory

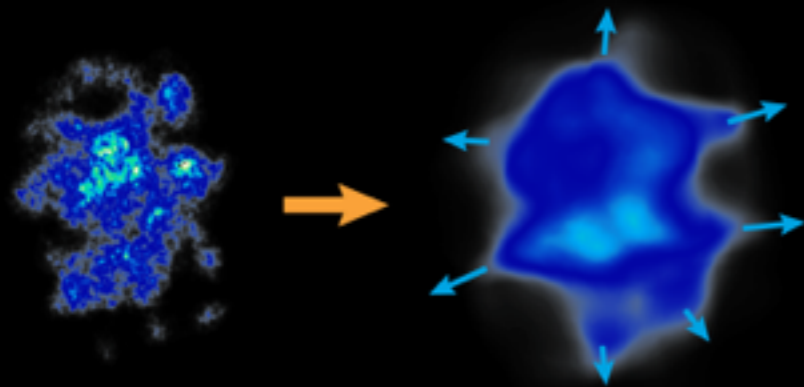


November 5, 2016  
XII Polish Workshop on Relativistic Heavy-Ion Collisions  
Institute of Physics, Jan Kochanowski University  
Kielce, Poland

# HEAVY ION COLLISIONS, FLUID DYNAMICS AND THE INITIAL STATE

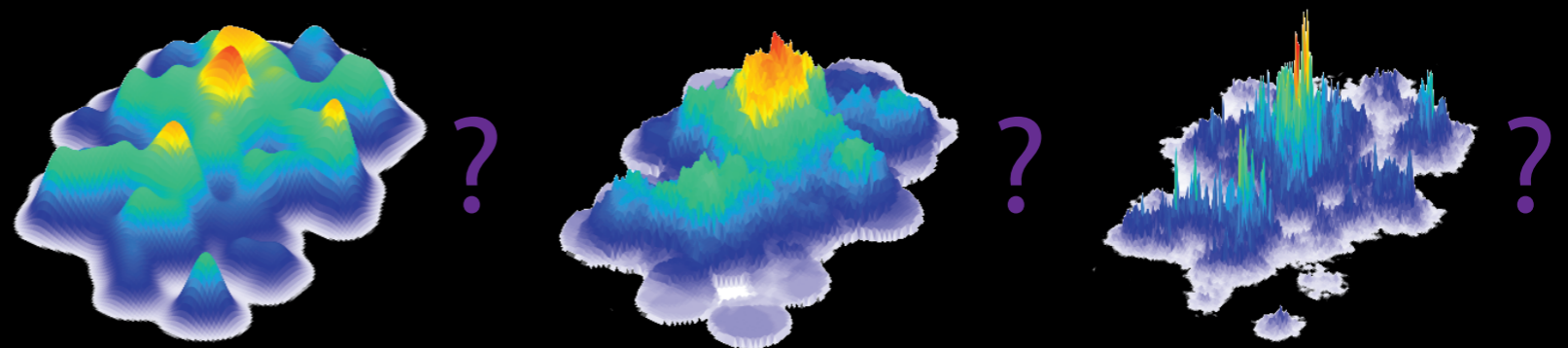


Fluid dynamics apparently describes the bulk observables in heavy ion collisions



Final results are strongly dependent on the initial state and its fluctuations

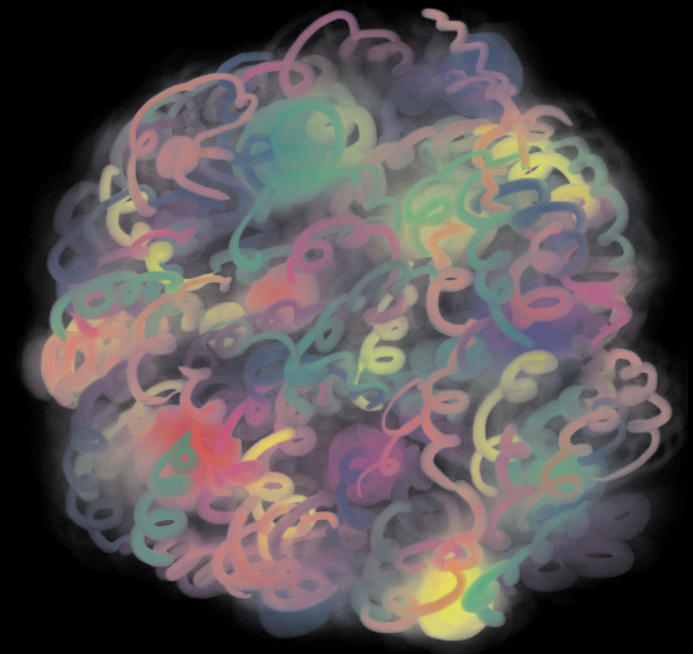
Need a rigorous understanding of the initial state



# COLOR GLASS CONDENSATE INITIAL STATE

B.SCHENKE, P.TRIBEDY, R.VENUGOPALAN, PRL108, 252301 (2012), PRC86, 034908 (2012)

- Gluon number increases with decreasing gluon momentum
- Gluon saturation at  $p_T \lesssim Q_s(\mathbf{x}, \mathbf{b})$
- Strong fields with occupation  $\sim 1/\alpha_s$   
Classical description possible
- IP-Sat model parametrizes  $Q_s(\mathbf{x}, \mathbf{b})$   
(simple way to include impact parameter dependence)  
KOWALSKI, TEANEY, PHYS.REV. D68 (2003) 114005
- Fit parameters to HERA diffractive data



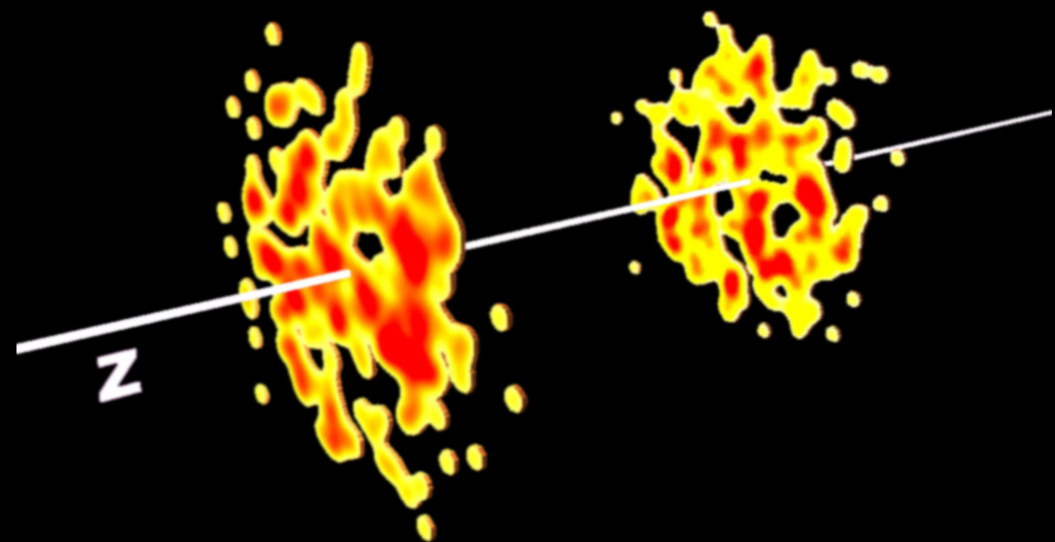
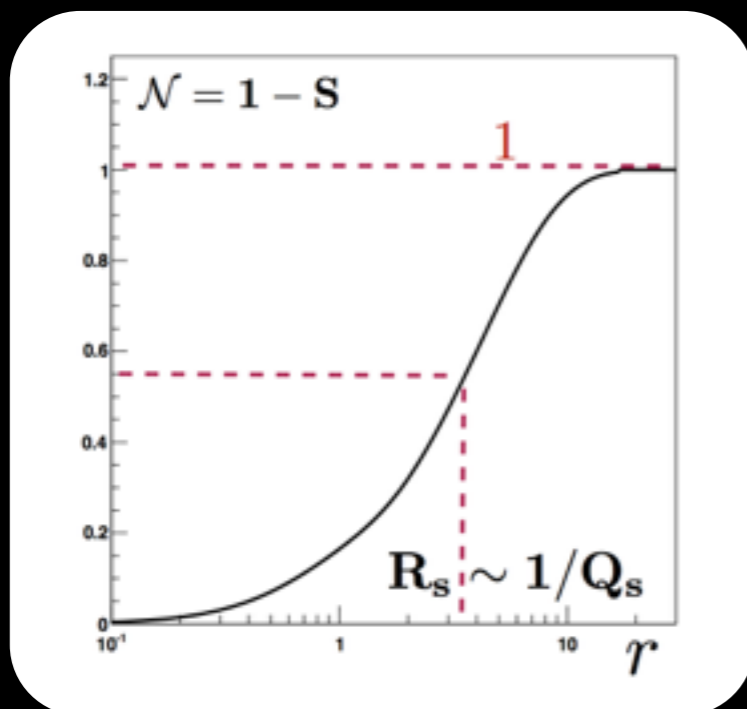
# COMPUTING THE INITIAL STATE

B.SCHENKE, P.TRIBEDY, R.VENUGOPALAN, PRL108, 252301 (2012), PRC86, 034908 (2012)

- Sample nucleon positions from Woods-Saxon distribution
- Add all (Gaussian) thickness functions

$$\frac{d\sigma_{\text{dip}}^p}{d^2\mathbf{x}_T}(\mathbf{r}_T, x, \mathbf{x}_T) = 2 \mathcal{N}(\mathbf{r}_T, x, \mathbf{x}_T) = 2 \left[ 1 - \exp \left( -\frac{\pi^2}{2N_c} \mathbf{r}_T^2 \alpha_s(Q^2) x g(x, Q^2) \sum_{i=1}^A T_p(\mathbf{x}_T - \mathbf{x}_T^i) \right) \right]$$

- Extract  $Q_s(x, \mathbf{x}_T)$  and get color charge density distributions



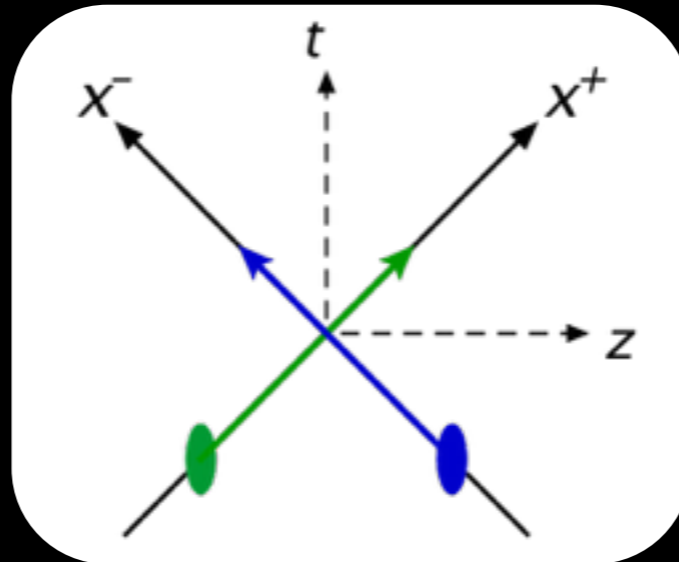
# CLASSICAL GLUON FIELDS

MCLERRAN, VENUGOPALAN, PHYS.REV. D49 (1994) 3352-3355

Sample color charges  $\rho_{(1,2)}(x^\pm, \mathbf{x}_\perp)$  - SU(3)

$$J_1^\mu = \delta^{\mu+} \rho_1(x^-, \mathbf{x}_\perp)$$

$$[D_\mu, F^{\mu\nu}] = J_1^\nu$$



$$J_2^\mu = \delta^{\mu-} \rho_2(x^+, \mathbf{x}_\perp)$$

$$[D_\mu, F^{\mu\nu}] = J_2^\nu$$

Solution in covariant gauge or  $A^- = 0$  gauge:

$$A_{\text{cov}}^+(x^-, \mathbf{x}_\perp) = -\frac{g\rho_1(x^-, \mathbf{x}_\perp)}{\nabla_\perp^2 + m^2}$$

Solution in lightcone gauge:

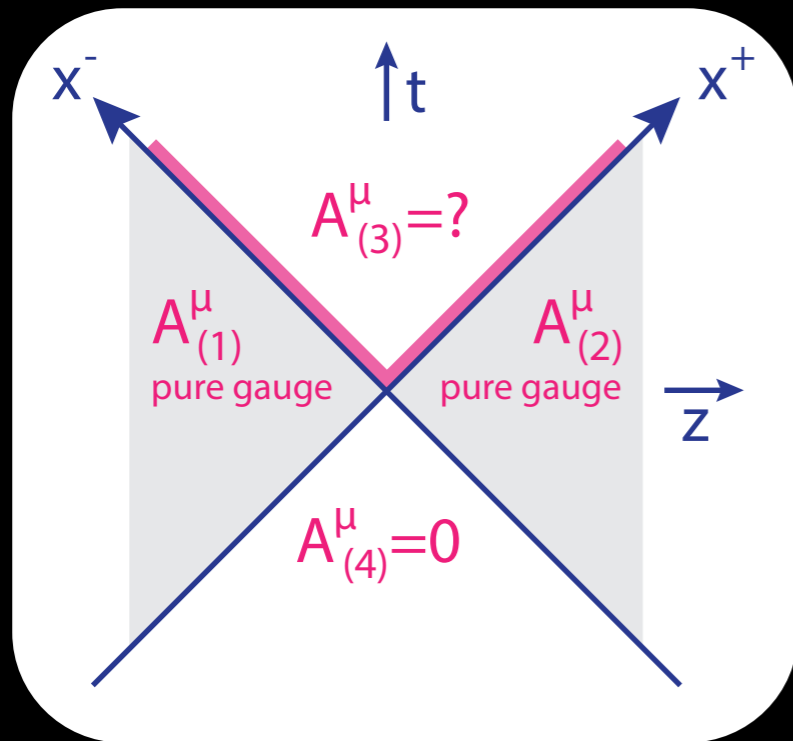
$m \sim \Lambda_{\text{QCD}}$

$$A_{(1,2)}^+(\mathbf{x}_\perp) = A_{(1,2)}^-(\mathbf{x}_\perp) = 0$$

$$A_{(1,2)}^i(\mathbf{x}_\perp) = \frac{i}{g} V_{(1,2)}(\mathbf{x}_\perp) \partial_i V_{(1,2)}^\dagger(\mathbf{x})$$

# GAUGE FIELDS AFTER THE COLLISION

B.SCHENKE, P.TRIBEDY, R.VENUGOPALAN, PRL108, 252301 (2012), PRC86, 034908 (2012)



CONFIGURATION IN  
SCHWINGER GAUGE

Solution:

$$A_{(3)}^i |_{\tau=0^+} = A_{(1)}^i + A_{(2)}^i$$

$$A_{(3)}^\eta |_{\tau=0^+} = \frac{ig}{2} [A_{(1)}^i, A_{(2)}^i]$$

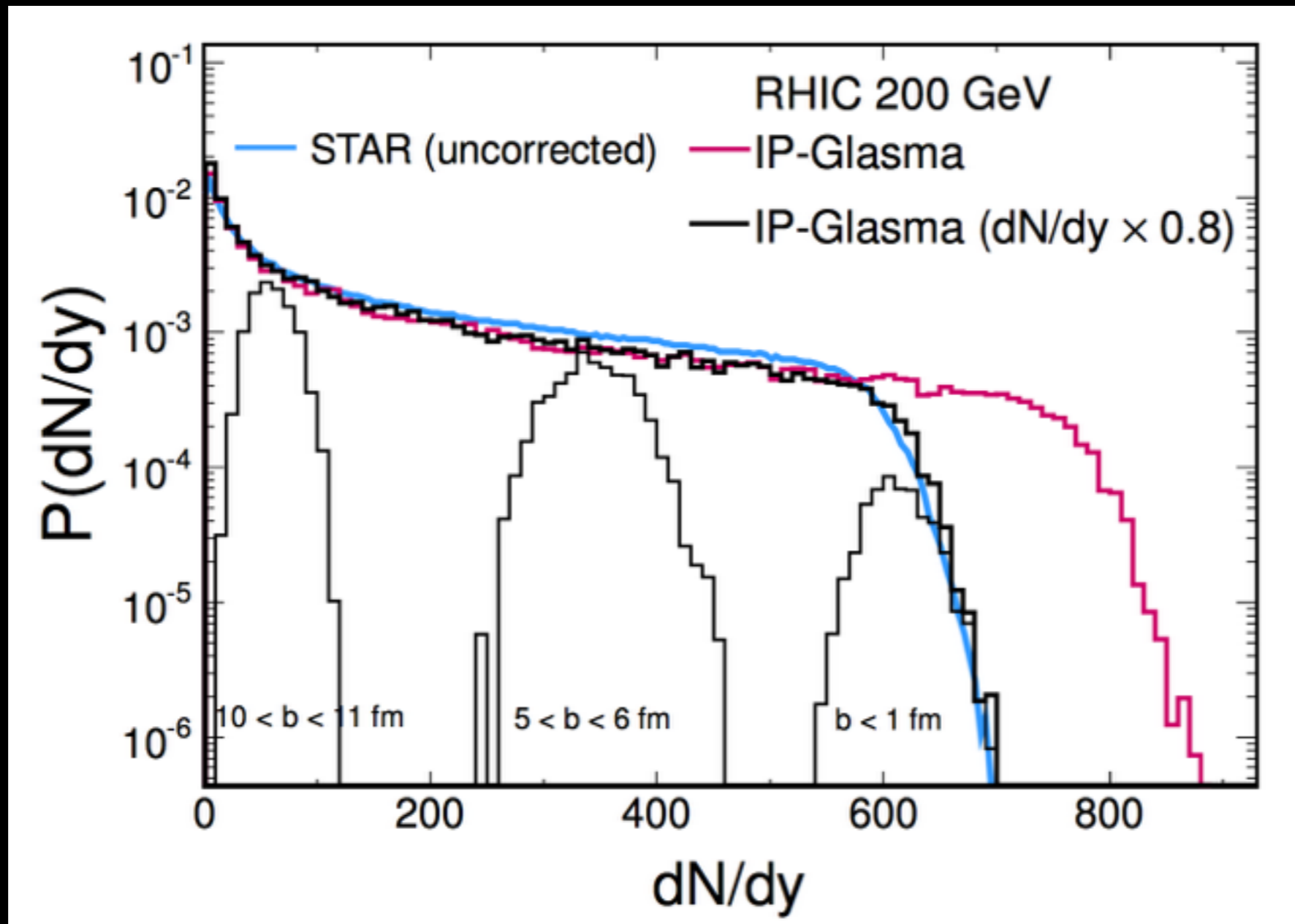
We solve for the gauge fields  
numerically

KRASNITZ, VENUGOPALAN, NUCL.PHYS. B557 (1999) 237

Time evolution follows free Yang-Mills equations

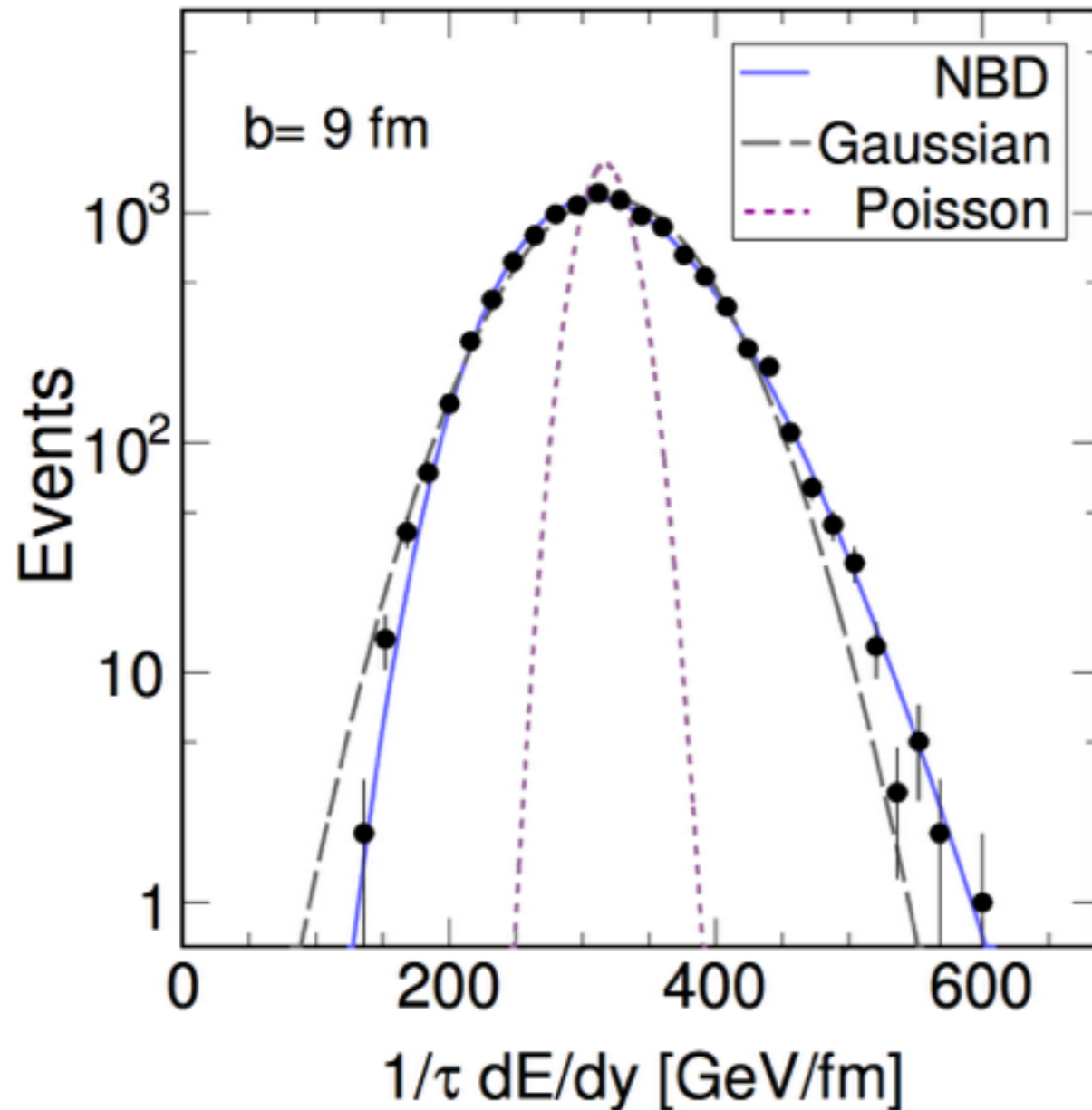
# MULTIPLICITY DISTRIBUTIONS

B.SCHENKE, P.TRIBEDY, R.VENUGOPALAN, PRL108, 252301 (2012), PRC86, 034908 (2012)



# TRANSVERSE ENERGY DISTRIBUTION

B.SCHENKE, P.TRIBEDY, R.VENUGOPALAN, PRL108, 252301 (2012), PRC86, 034908 (2012)



At fixed impact parameter, the multiplicity and energy distribution is given by a negative binomial

$$P(n) = \frac{\Gamma(k+n)}{\Gamma(k)\Gamma(n+1)} \frac{\bar{n}^n k^k}{(\bar{n}+k)^{n+k}}$$

ALSO SEE F. GELIS, T. LAPPI, L. MCLERRAN, NUCL.PHYS. A828 (2009) 149-160



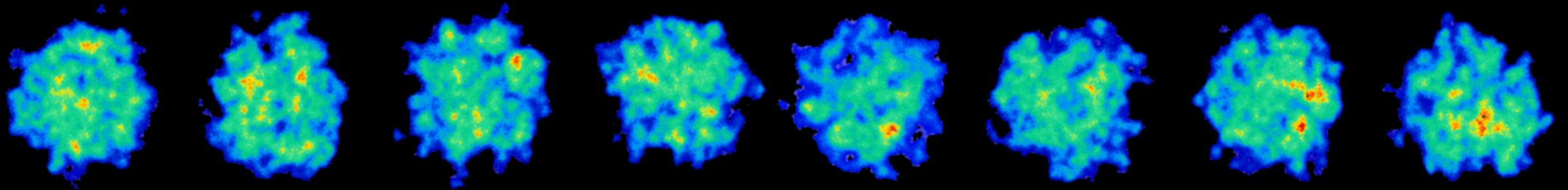
# INITIAL STATE AND FLUID DYNAMICS

C.GALE, S.JEON, B.SCHENKE, P.TRIBEDY, R.VENUGOPALAN, PHYS.REV.LETT. 110, 012302 (2013)

- Compute energy-momentum tensor  $T^{\mu\nu}$  of the gluon fields
- Extract energy density and flow vector via  $u_\mu T^{\mu\nu} = \varepsilon U^\nu$
- This provides the initial conditions for fluid dynamic simulations
- In first calculations used  $\Pi^{\mu\nu} = 0$
- We can also match the shear viscous tensor

# EVENT-BY-EVENT FLUID DYNAMICS

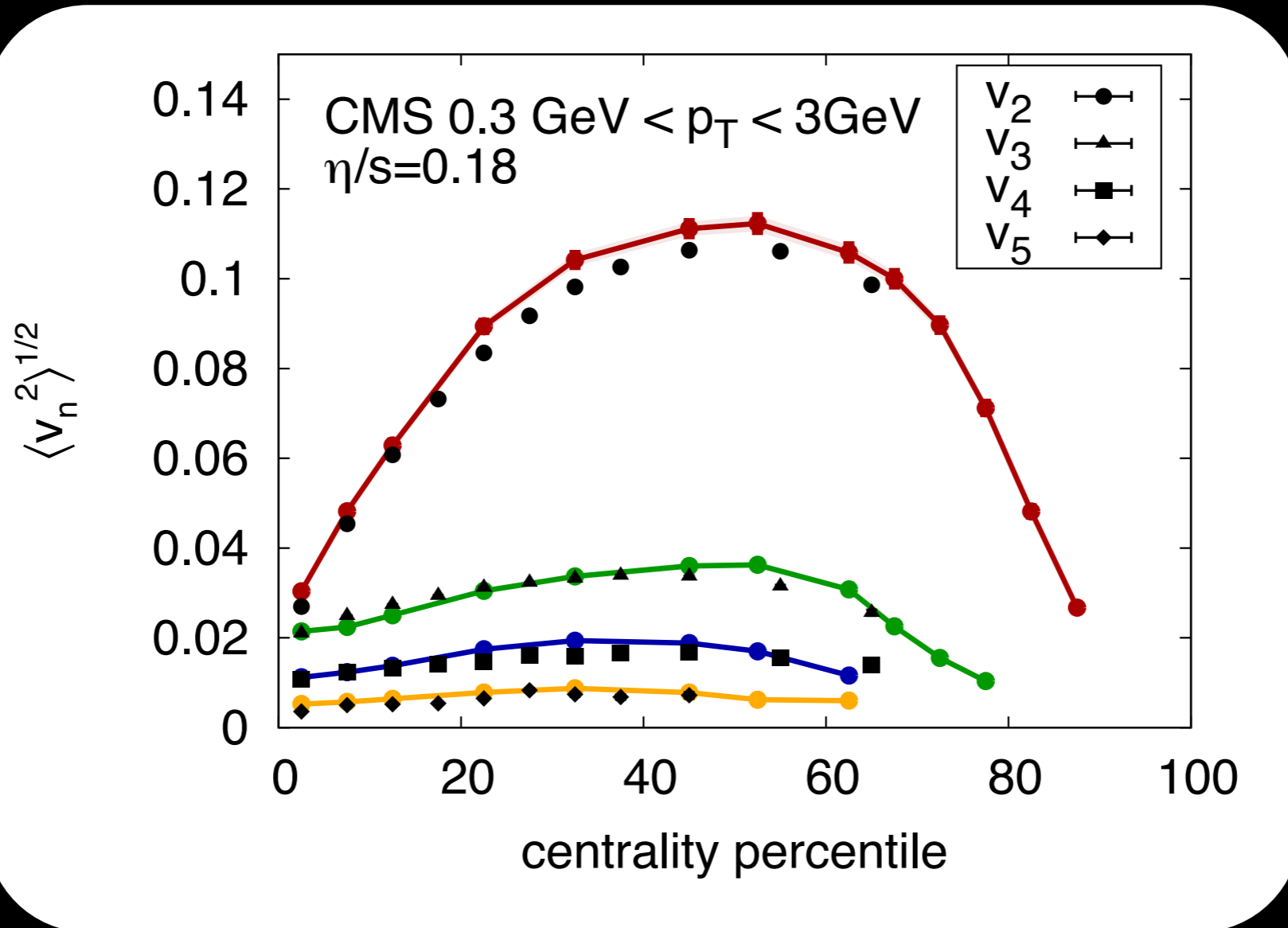
C.GALE, S.JEON, B.SCHENKE, P.TRIBEDY, R.VENUGOPALAN, PHYS.REV.LETT. 110, 012302 (2013)



- Evolve many initial shapes using viscous fluid dynamics
- Convert energy density to particles ("freeze-out")
- Determine  $v_n$  coefficients of particle distributions
- Average and compare to experimental data

# FLOW HARMONICS $v_n$

CMS COLLABORATION, PRC 87(2013) 014902, ARXIV:1310.8651



# EVENT-BY-EVENT FLOW

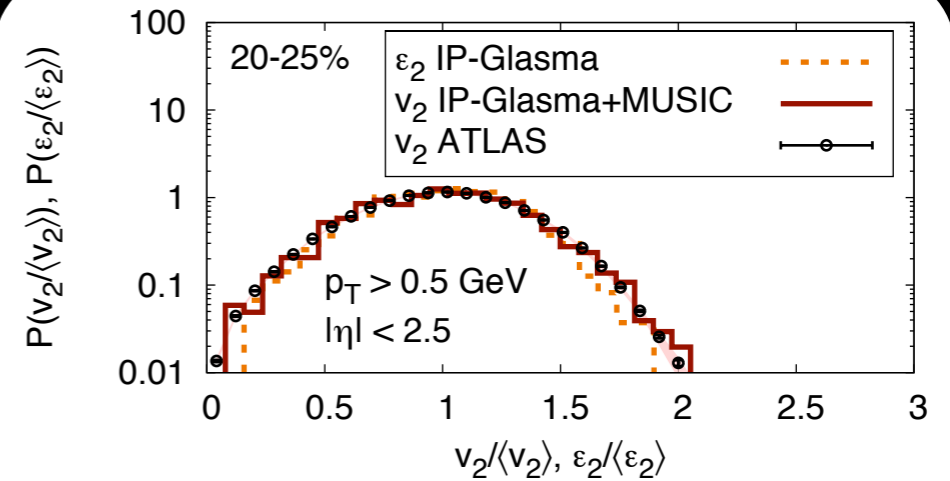
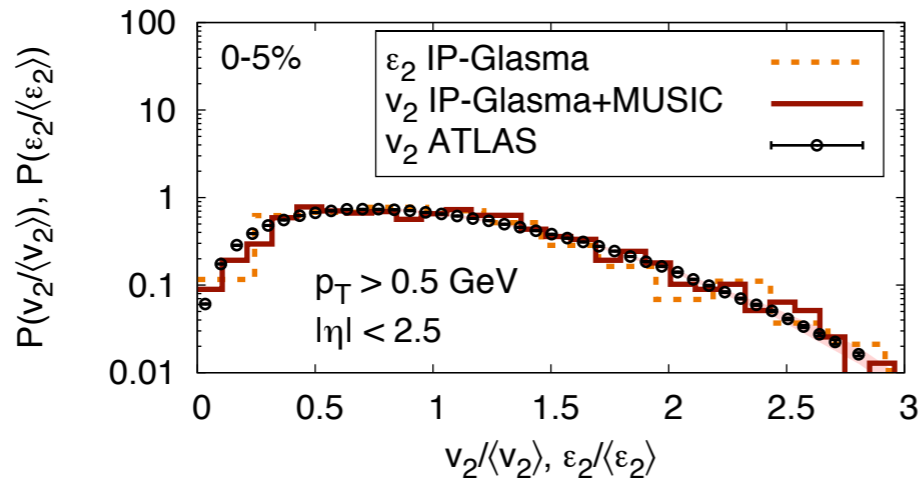
ATLAS COLLABORATION, JHEP 1311 (2013) 183

C. GALE, S. JEON, B.SCHENKE, P.TRIBEDY, R.VENUGOPALAN, PRL110, 012302 (2013)

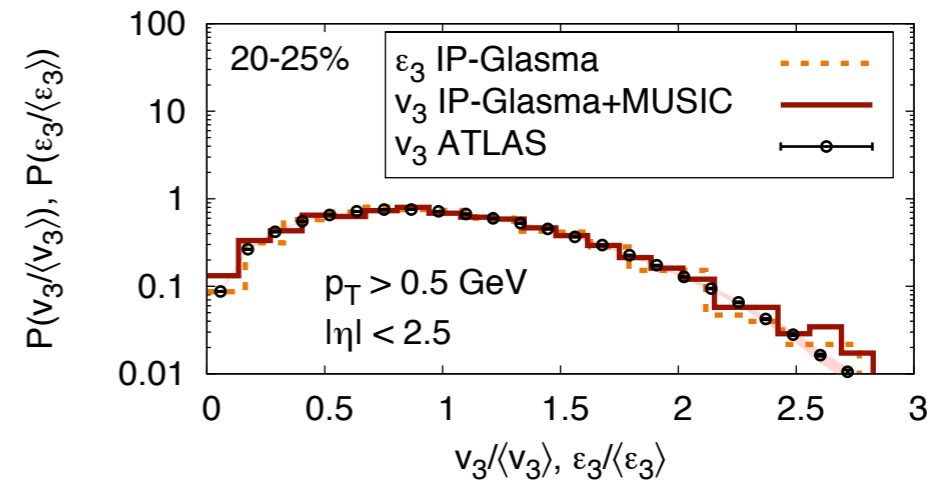
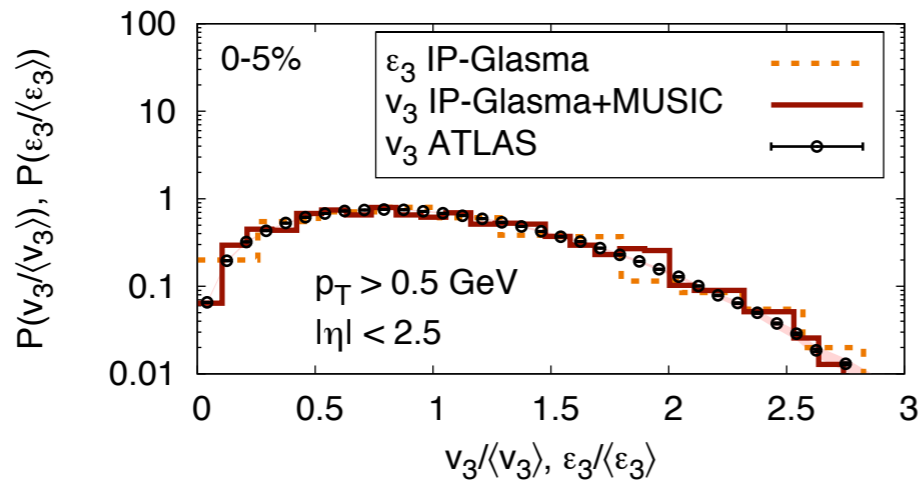
0-5%

20-25%

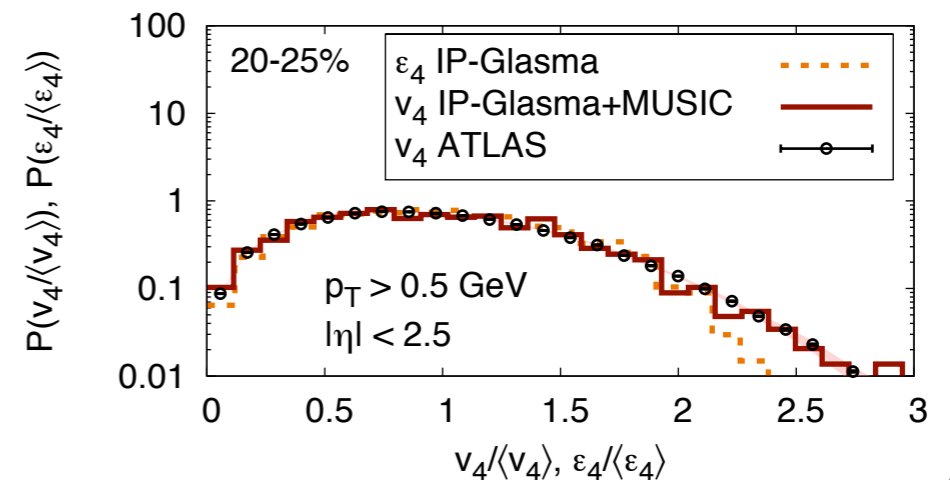
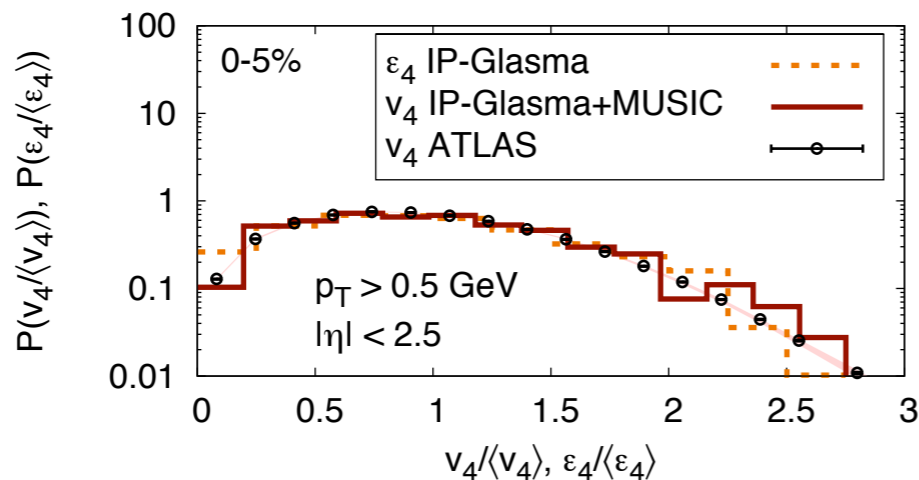
$v_2$



$v_3$



$v_4$

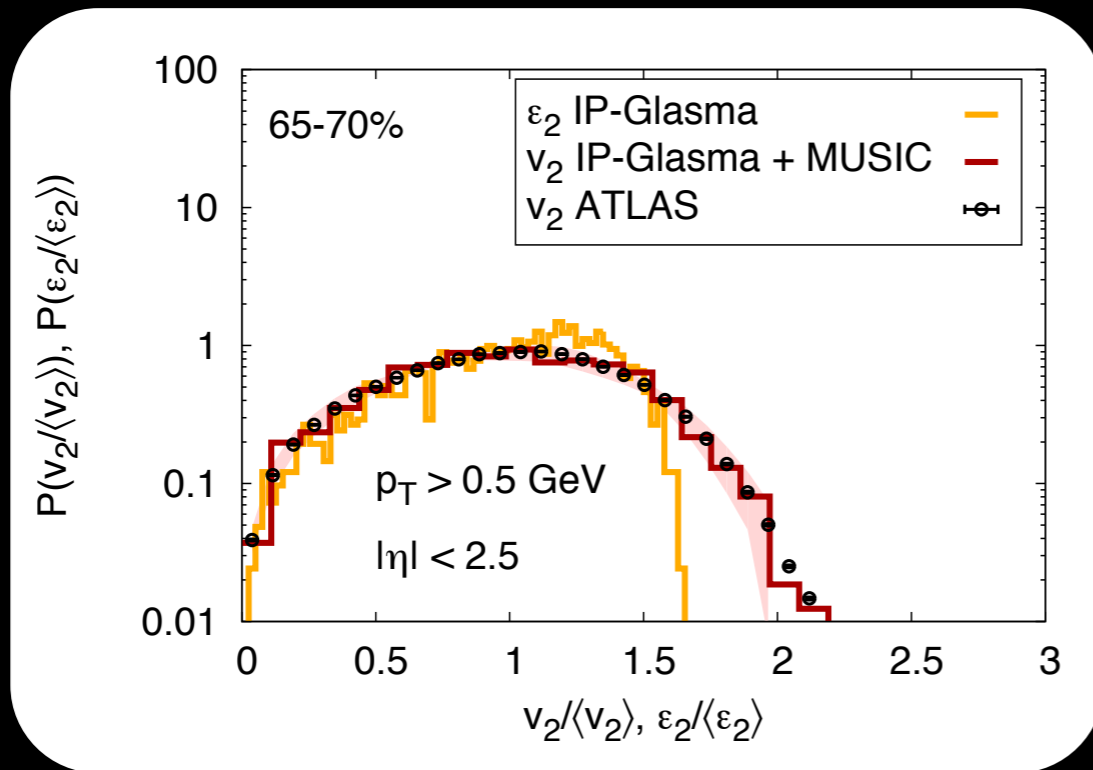


# EVENT-BY-EVENT FLOW

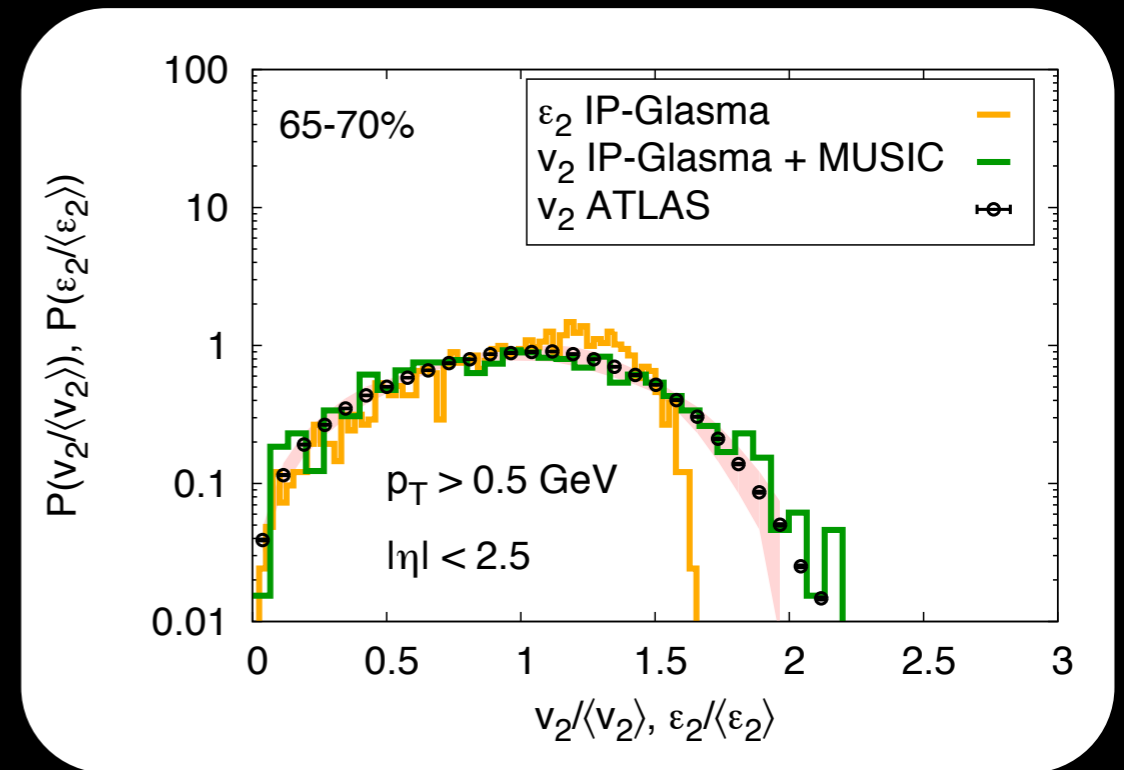
ATLAS COLLABORATION, JHEP 1311 (2013) 183

$v_2$

65-70%



65-70% IDEAL



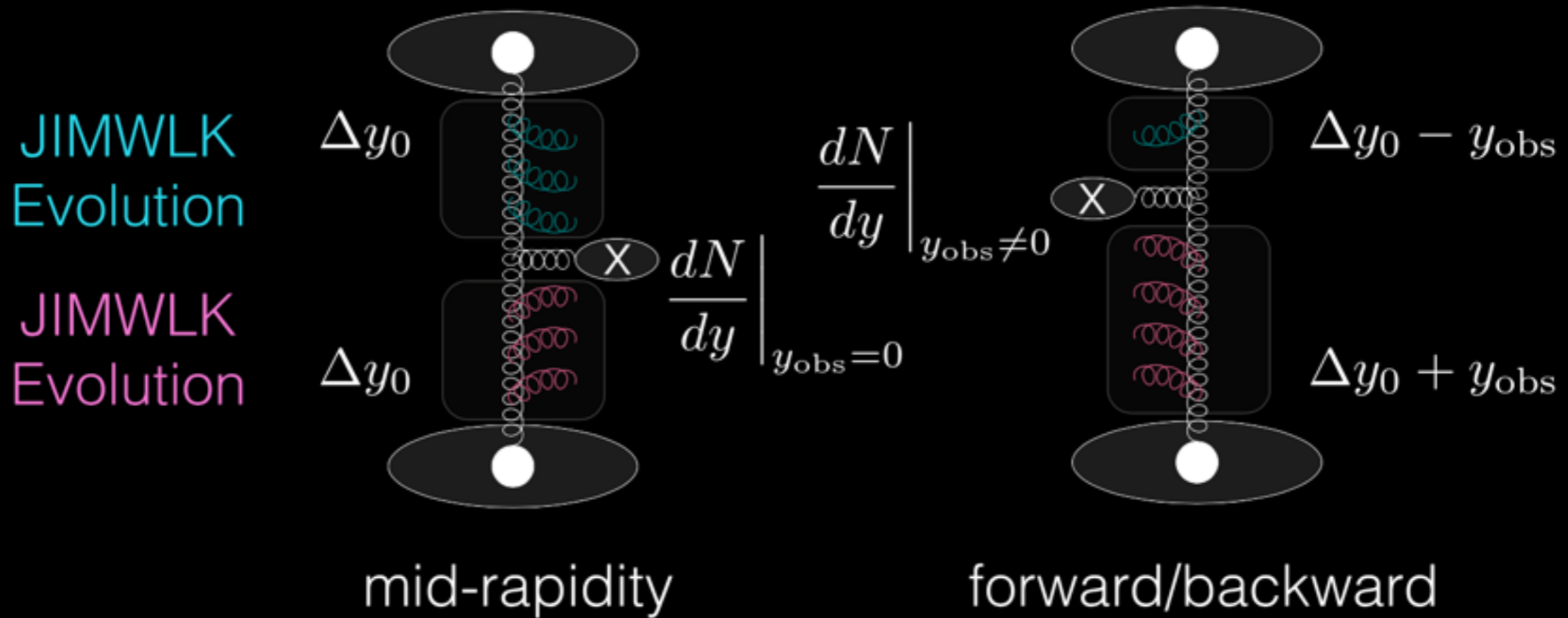
# GOING 3D

EXISTING 3D INITIAL STATE MODELS ARE VERY SIMPLISTIC  
NOW DO A FIRST PRINCIPLES 3D CALCULATION USING  
CLASSICAL YANG-MILLS + QCD JIMWLK EVOLUTION



# 3D GLASMA INITIAL STATE

B. SCHENKE, S. SCHLICHTING, PRC94, 044907 (2016)



# 3D GLASMA INITIAL STATE

B. SCHENKE, S. SCHLICHTING, PRC94, 044907 (2016)

Rapidity evolution of Wilson lines in Langevin form:

H. WEIGERT, NUCL. PHYS. A 703, 823 (2002).

T. LAPPI AND H. MANTYSAARI, EUR. PHYS. J. C 73, 2307 (2013)

$$V_{\mathbf{x}}(Y + dY) = \exp \left\{ -i \frac{\sqrt{\alpha_s dY}}{\pi} \int_{\mathbf{z}} K_{\mathbf{x}-\mathbf{z}} \cdot (V_{\mathbf{z}} \xi_{\mathbf{z}} V_{\mathbf{z}}^\dagger) \right\} \\ \times V_{\mathbf{x}}(Y) \exp \left\{ i \frac{\sqrt{\alpha_s dY}}{\pi} \int_{\mathbf{z}} K_{\mathbf{x}-\mathbf{z}} \cdot \xi_{\mathbf{z}} \right\}$$

$\xi$  is Gaussian noise with zero average and

$$\langle \xi_{\mathbf{x},i}^a(Y) \xi_{\mathbf{y},j}^b(Y') \rangle = \delta^{ab} \delta^{ij} \delta_{\mathbf{xy}}^{(2)} \delta(Y - Y')$$

The JIMWLK Kernel is modified to avoid infrared tails:

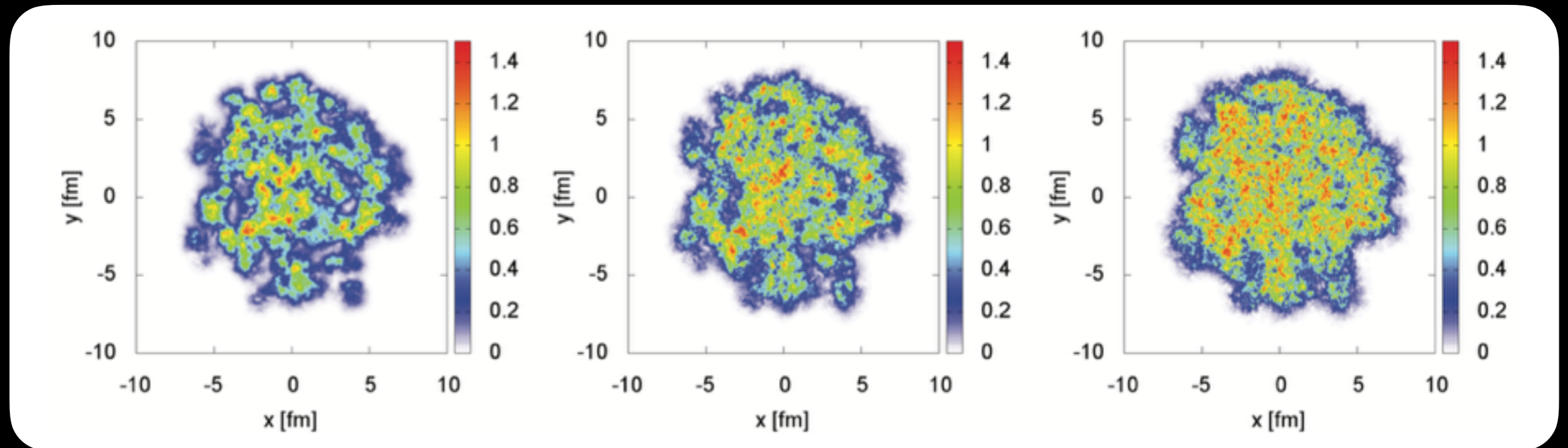
$$K_{\mathbf{x}-\mathbf{z}}^{\text{mod}} = m |\mathbf{x} - \mathbf{z}| K_1(m |\mathbf{x} - \mathbf{z}|) \frac{\mathbf{x} - \mathbf{z}}{(\mathbf{x} - \mathbf{z})^2}$$



# 3D GLASMA INITIAL STATE

B. SCHENKE, S. SCHLICHTING, PRC94, 044907 (2016)

GLUON FIELDS IN A NUCLEUS AT DIFFERENT  $x$ :



$$Y = -2.4 \quad (x \approx 2 \times 10^{-3})$$

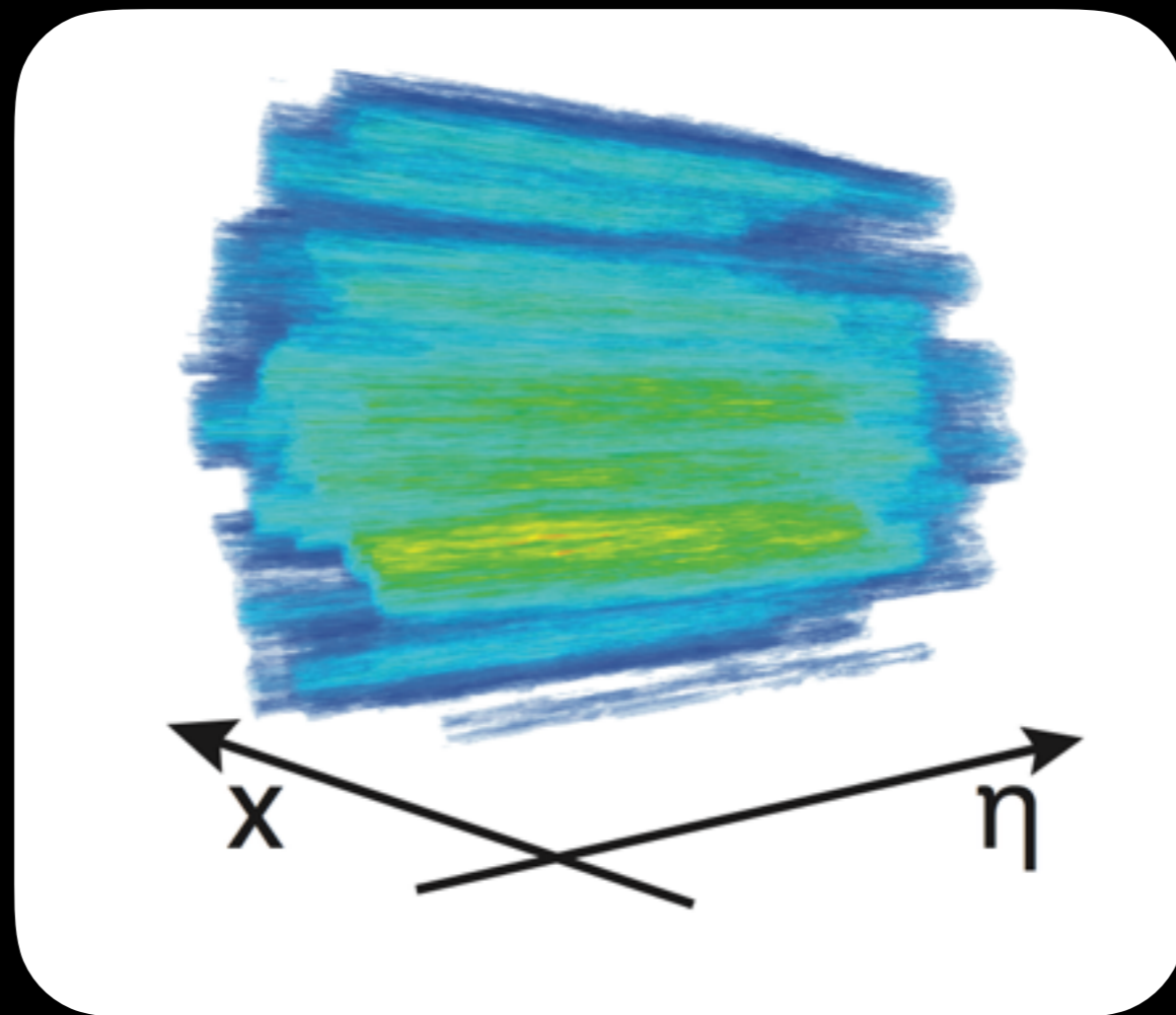
$$Y = 0 \quad (x \approx 2 \times 10^{-4})$$

$$Y = 2.4 \quad (x \approx 1.6 \times 10^{-5})$$

# 3D GLASMA INITIAL STATE

B. SCHENKE, S. SCHLICHTING, PRC94, 044907 (2016)

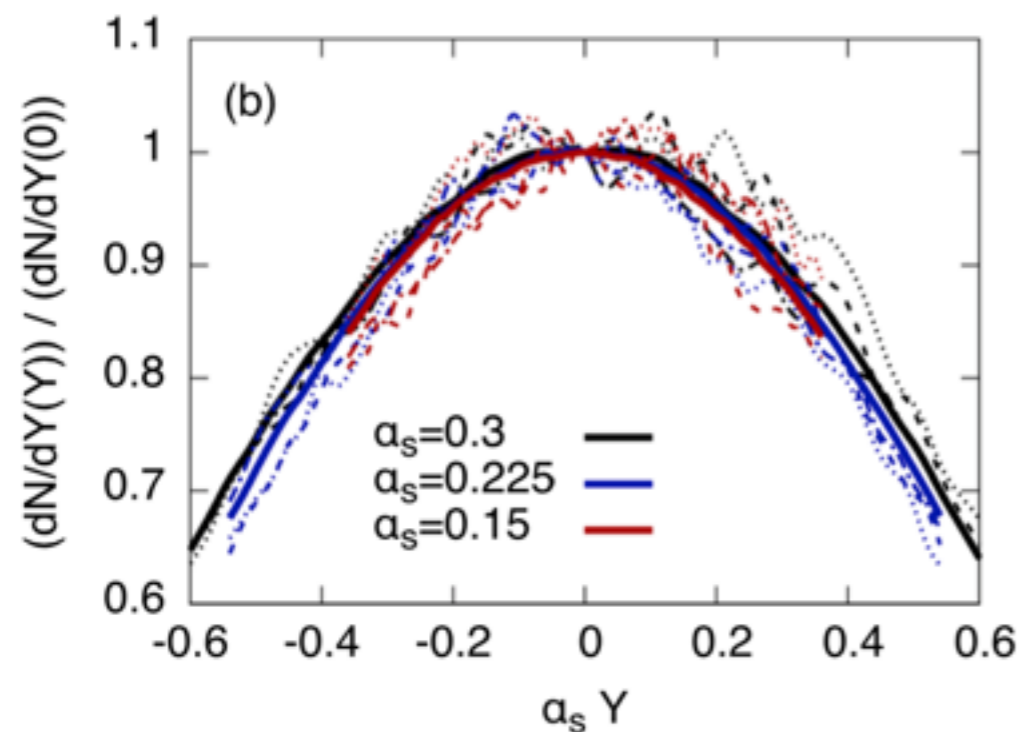
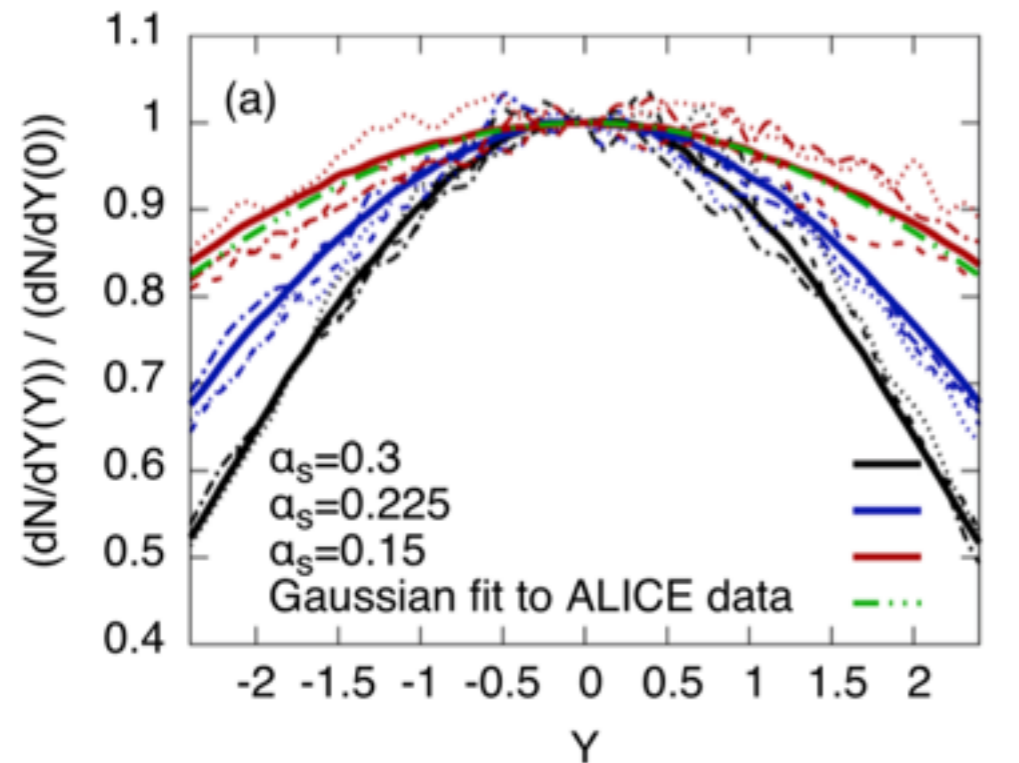
- COLLIDE TWO JIMWLK EVOLVED NUCLEI



ENERGY DENSITY

# GLUON RAPIDITY DISTRIBUTION

B. SCHENKE, S. SCHLICHTING, PRC94, 044907 (2016)



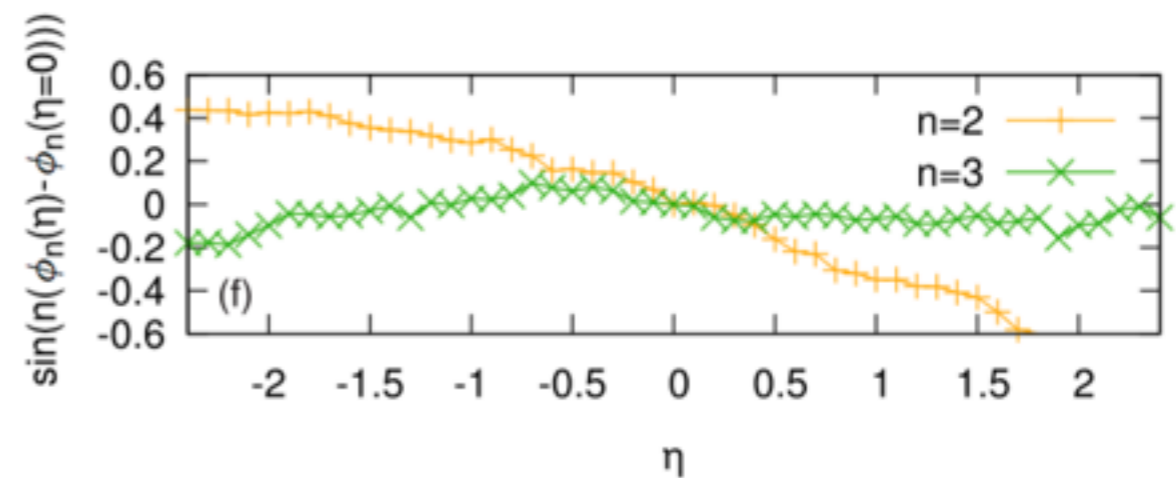
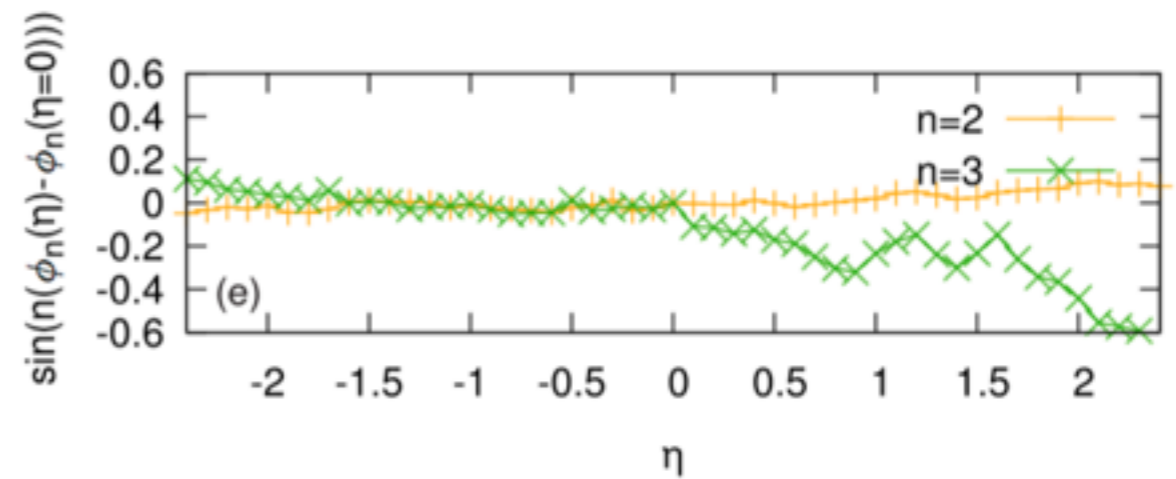
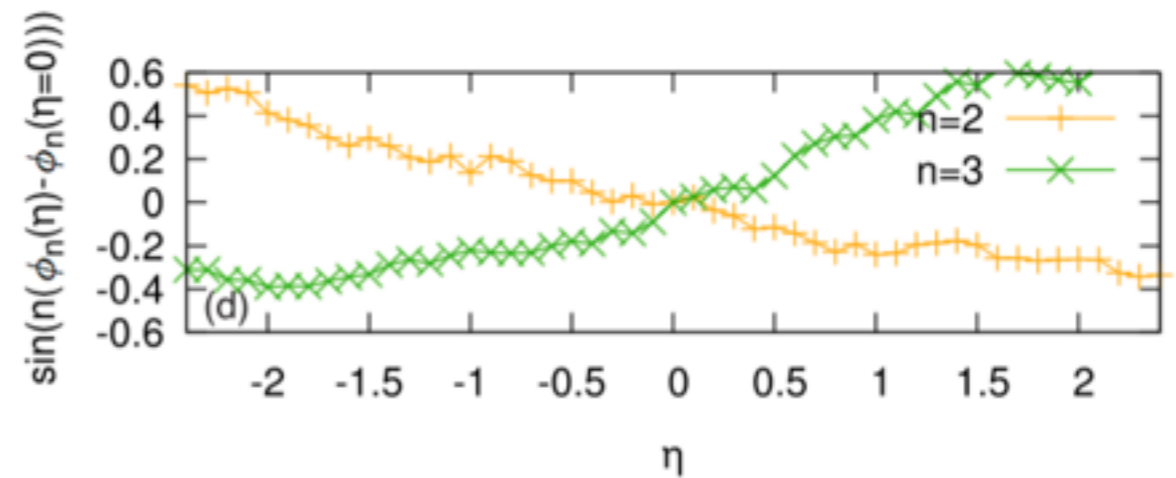
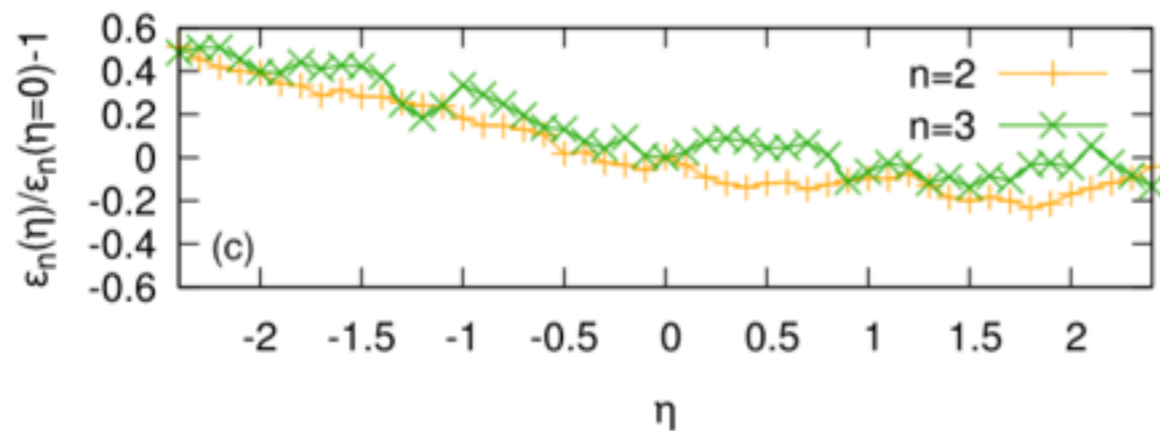
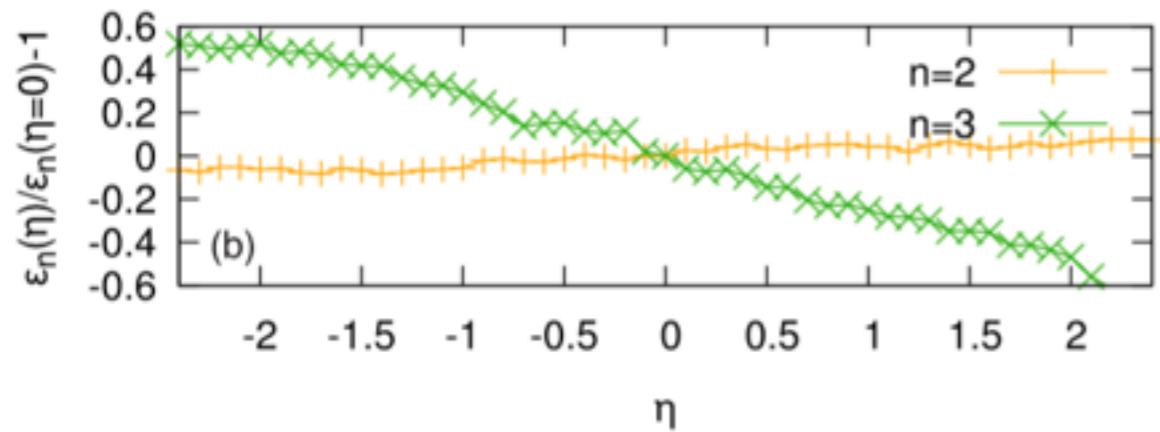
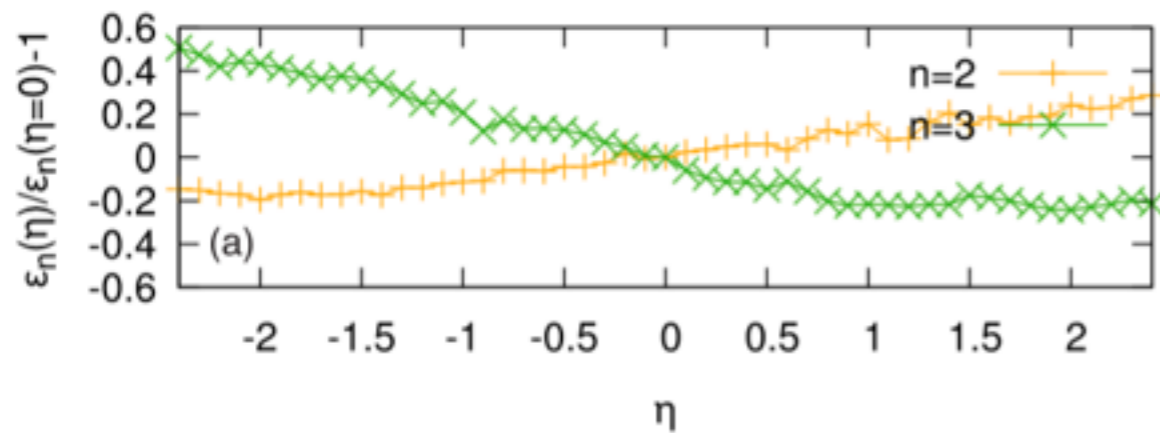
Gluon multiplicity relative to its value at  $Y = 0$   
 $m = 0.4 \text{ GeV}$

Dashed lines show results from three single events for each value of the coupling constant.

EXPERIMENTAL DATA:  
ALICE, PHYS. LETT. B 726, 610 (2013)

# 3D GEOMETRY

B. SCHENKE, S. SCHLICHTING, PRC94, 044907 (2016)

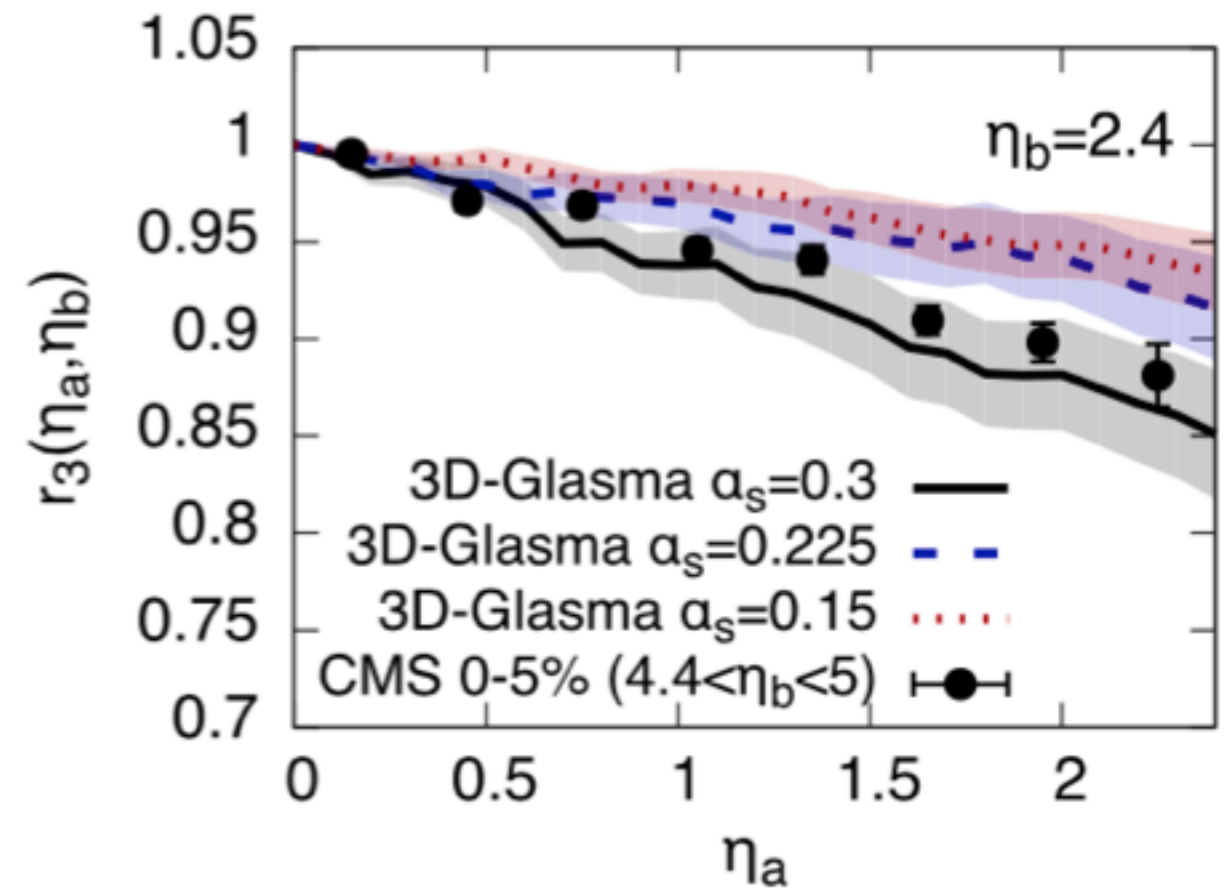
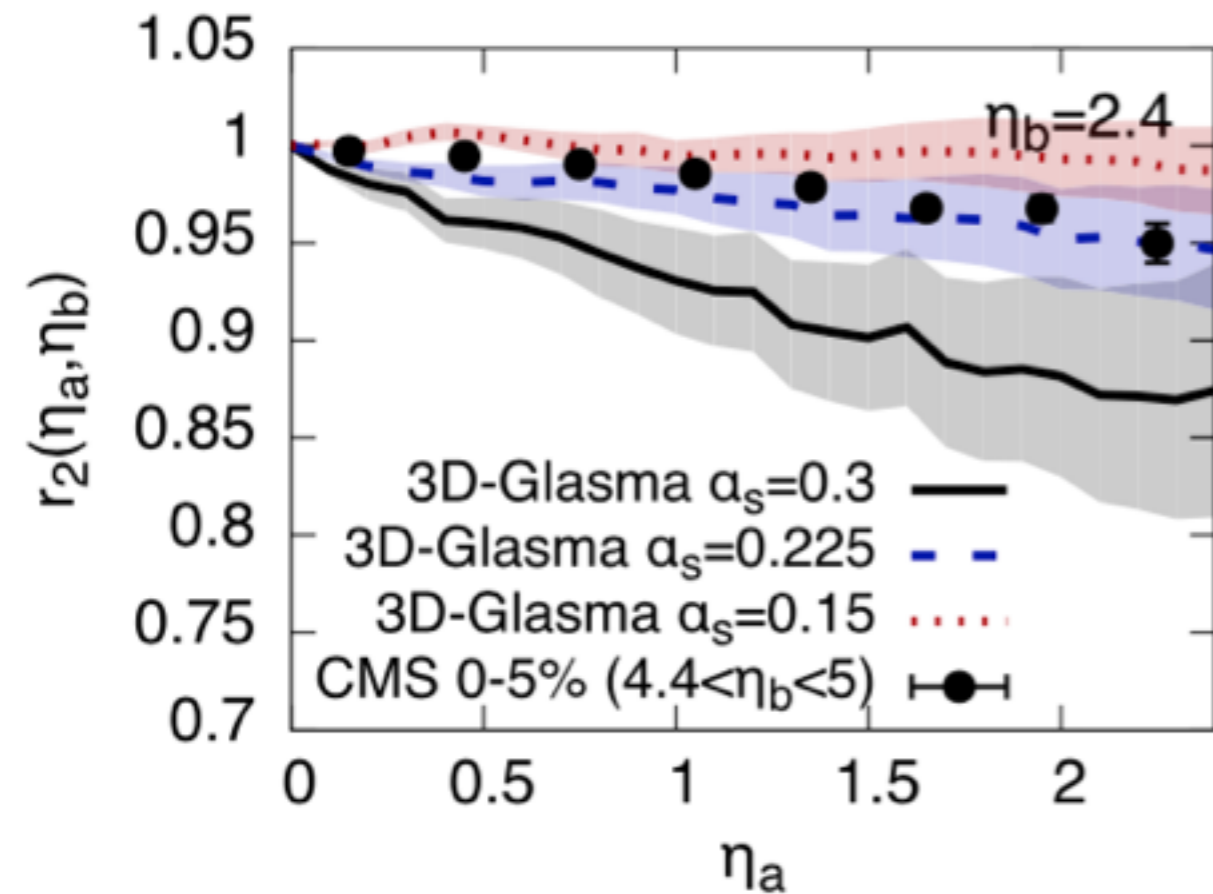


# DECORRELATION MEASURE

B. SCHENKE, S. SCHLICHTING, PRC94, 044907 (2016)

$$r_n(\eta_a, \eta_b) = \frac{\langle \text{Re}[\epsilon_n(-\eta_a) \cdot \epsilon_n^*(\eta_b)] \rangle}{\langle \text{Re}[\epsilon_n(\eta_a) \cdot \epsilon_n^*(\eta_b)] \rangle}$$

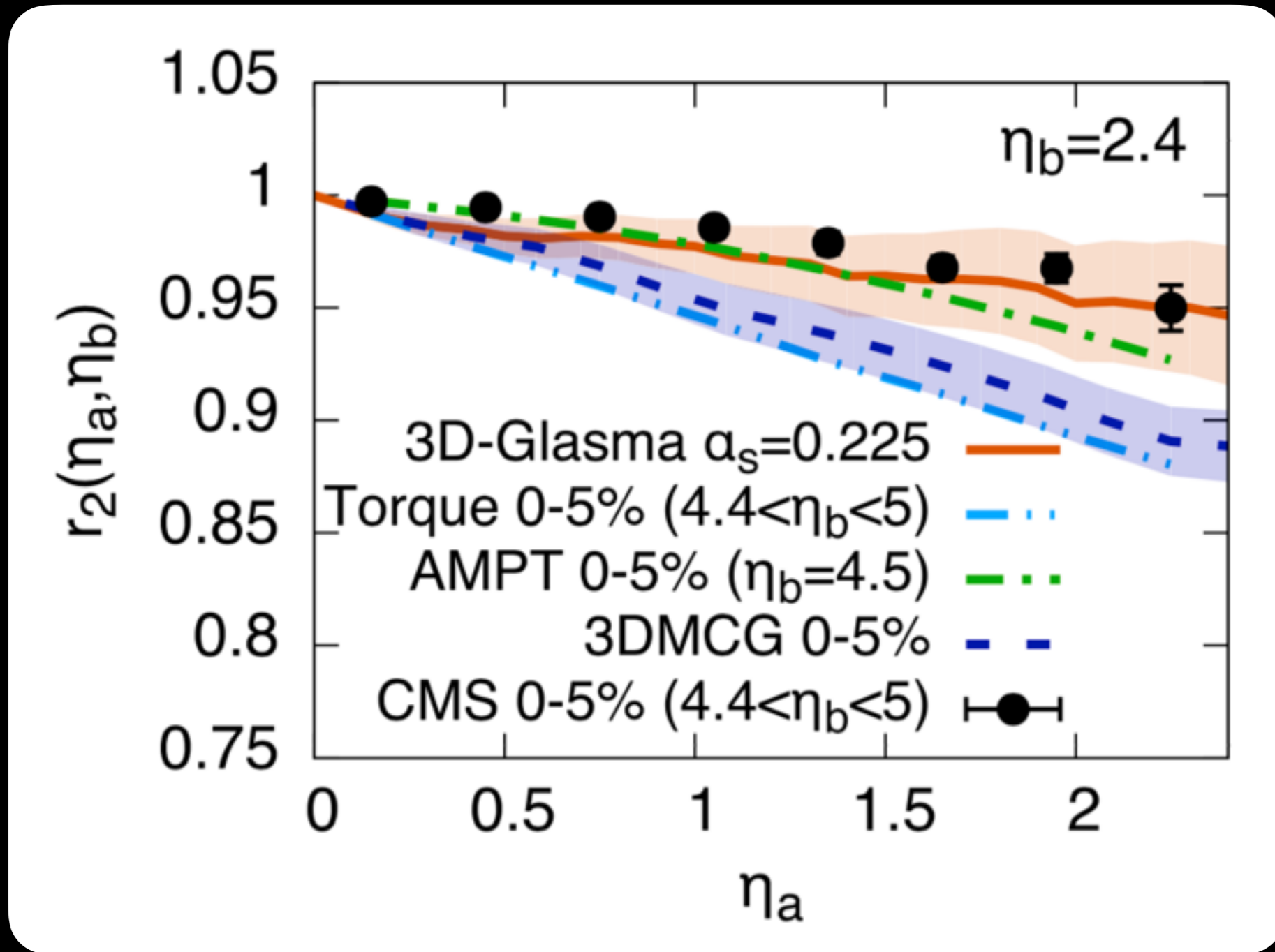
$$= \frac{\langle \epsilon_n(-\eta_a) \epsilon_n(\eta_b) \cos\{n[\phi_n(-\eta_a) - \phi_n(\eta_b)]\} \rangle}{\langle \epsilon_n(\eta_a) \epsilon_n(\eta_b) \cos\{n[\phi_n(\eta_a) - \phi_n(\eta_b)]\} \rangle}$$



EXPERIMENTAL DATA: CMS COLLABORATION, PHYS. REV. C 92, 034911 (2015)

# DECORRELATION MEASURE

B. SCHENKE, S. SCHLICHTING, PRC94, 044907 (2016)



TORQUE: P. BOZEK AND W. BRONIOWSKI, PHYS. LETT. B 752, 206 (2016)

AMPT: L.G. PANG, H. PETERSEN, G.Y. QIN, V. ROY, AND X.N. WANG, EUR.PHYS.J.A52, 97

3DMCG: A. MONNAI AND B. SCHENKE, PHYS. LETT. B 752, 317 (2016)

EXPERIMENTAL DATA: CMS COLLABORATION, PHYS. REV. C 92, 034911 (2015)

# QUANTUM FLUCTUATIONS (NOW INSTABILITIES!)

Quantum fluctuations beyond the logarithmically enhanced contribution should be taken into account

At one-loop level these fluctuations only enter in the initial state, not the time evolution

Full 3-dimensional Yang-Mills simulations can be performed - they will include instabilities and a possible way to isotropization

# CLASSICAL STATISTICAL SIMULATIONS

Initial condition: [T. Epelbaum, F. Gelis, Phys.Rev.Lett. 111 \(2013\) 232301](#)

Sum of the LO classical field  $A^\mu$  and a fluctuating part

$$A^{\mu a}(x) = \mathcal{A}^{\mu a}(x) + \sum_{\lambda, c} \int \frac{d^3 \mathbf{k}}{(2\pi)^3 2|\mathbf{k}|} \left[ c_{\mathbf{k}\lambda c} a_{\mathbf{k}\lambda c}^{\mu a}(x) + \text{c.c.} \right],$$

$$\langle c_{\mathbf{k}\lambda c} \rangle = 0, \quad \langle c_{\mathbf{k}\lambda c} c_{\mathbf{k}'\lambda'c'}^* \rangle = \frac{1}{2} 2|\mathbf{k}| (2\pi)^3 \delta(\mathbf{k} - \mathbf{k}') \delta_{\lambda\lambda'} \delta_{cc'}.$$

Alternative: [J. Berges, K. Boguslavski, S. Schlichting, and R. Venugopalan, Phys. Rev. D89, 074011 \(2014\)](#)

Classical gluon gas with distribution  $f(\mathbf{k})$

no non-fluctuating part

$$A^{\mu a}(x) = \sum_{\lambda, c} \int \frac{d^3 \mathbf{k}}{(2\pi)^3 2|\mathbf{k}|} \left[ c_{\mathbf{k}\lambda c} a_{\mathbf{k}\lambda c}^{\mu a}(x) + \text{c.c.} \right],$$

$$\langle c_{\mathbf{k}\lambda c} \rangle = 0, \quad \langle c_{\mathbf{k}\lambda c} c_{\mathbf{k}'\lambda'c'}^* \rangle = f(\mathbf{k}) 2|\mathbf{k}| (2\pi)^3 \delta(\mathbf{k} - \mathbf{k}') \delta_{\lambda\lambda'} \delta_{cc'}$$



# CLASSICAL STATISTICAL SIMULATIONS

The two initial states describe very different systems, despite their similarity:

- The flat spectrum of fluctuations on top of a classical field describes a quantum coherent state  
Combined with classical time evolution leads to results that are very sensitive to the ultraviolet cutoff
- The compact spectrum describes an incoherent classical state

T. Epelbaum, F. Gelis, Phys.Rev.Lett. 111 (2013) 232301

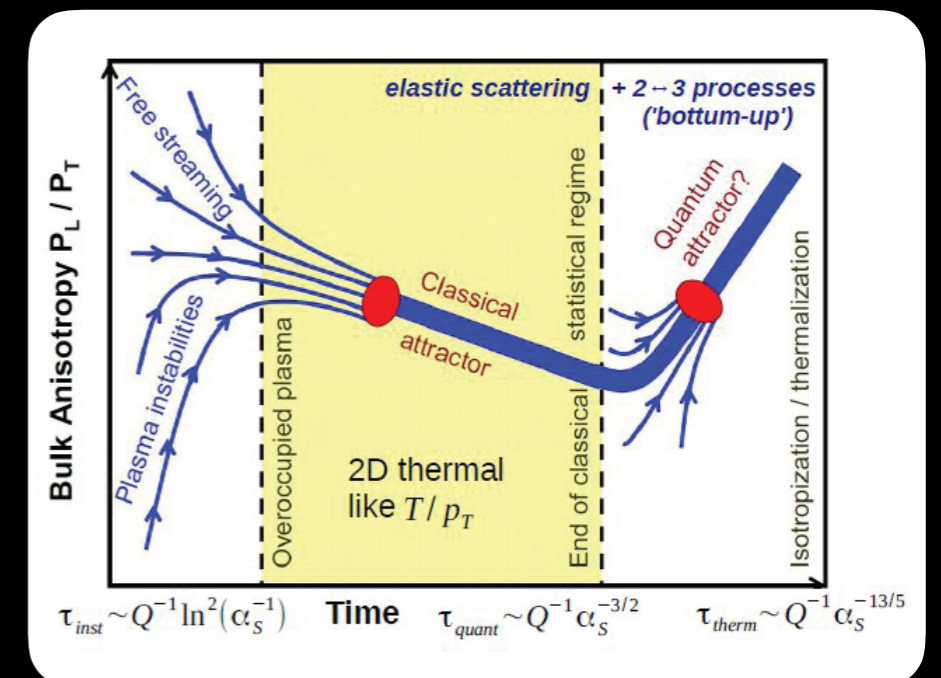
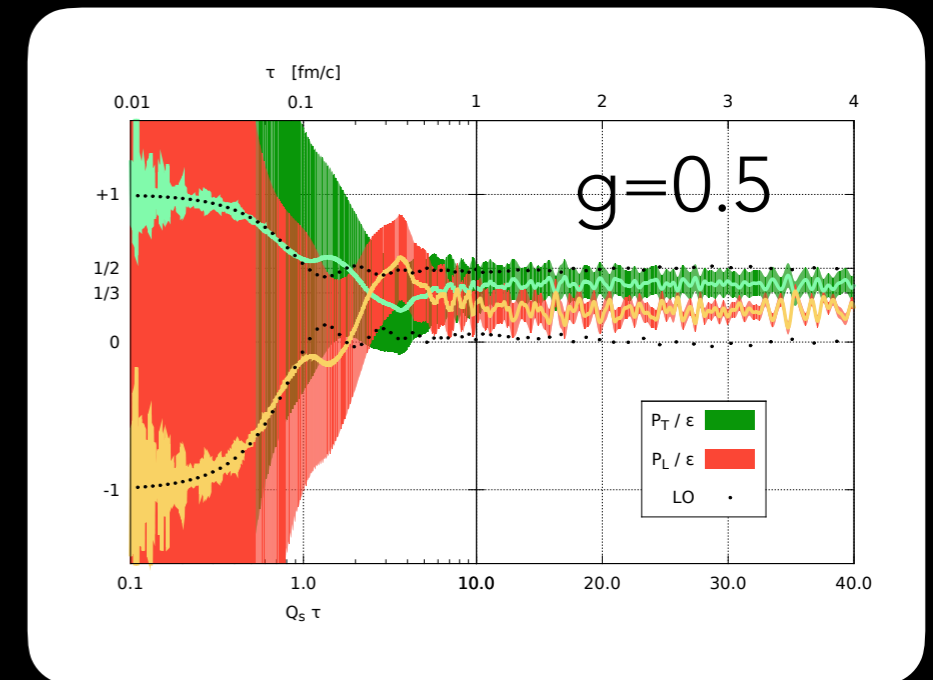
J. Berges, K. Boguslavski, S. Schlichting, and R. Venugopalan, Phys. Rev. D89, 074011 (2014)

# PLASMA INSTABILITIES

First approach at coupling  $g=0.5$  leads to fast isotropization, but strong dependence on UV cutoff

Second prescription has been used at much smaller coupling and extrapolated - no fast isotropization seen

Generally issues with renormalizability



T. Epelbaum, F. Gelis, and B. Wu, Phys. Rev. D90, 065029 (2014)

T. Epelbaum, F. Gelis, N. Tanji, and B. Wu, Phys. Rev. D90, 125032 (2014)

J. Berges, K. Boguslavski, S. Schlichting, and R. Venugopalan, Phys. Rev. D89, 074011 (2014)

# SUMMARY

- Fluctuating initial state for high energy collisions well described in classical Yang-Mills picture
- Extension to non-boost-invariant framework underway
- Simulation of fully 3+1 dimensional dynamics including quantum fluctuations not conclusive due to issues with ultraviolet cutoff dependence
- Should include quantum fluctuations without sacrificing renormalizability. 2-particle irreducible approximation of the Kadanoff-Baym equations can achieve this. Also kinetic theory can be used to study effect of quantum fluctuations.

Y. HATTA AND A. NISHIYAMA, NUCL. PHYS. A873, 47 (2012)

A. KURKELA AND Y. ZHU, PHYS. REV. LETT. 115, 182301 (2015)



HAPPY BIRTHDAY,  
STANISŁAW!

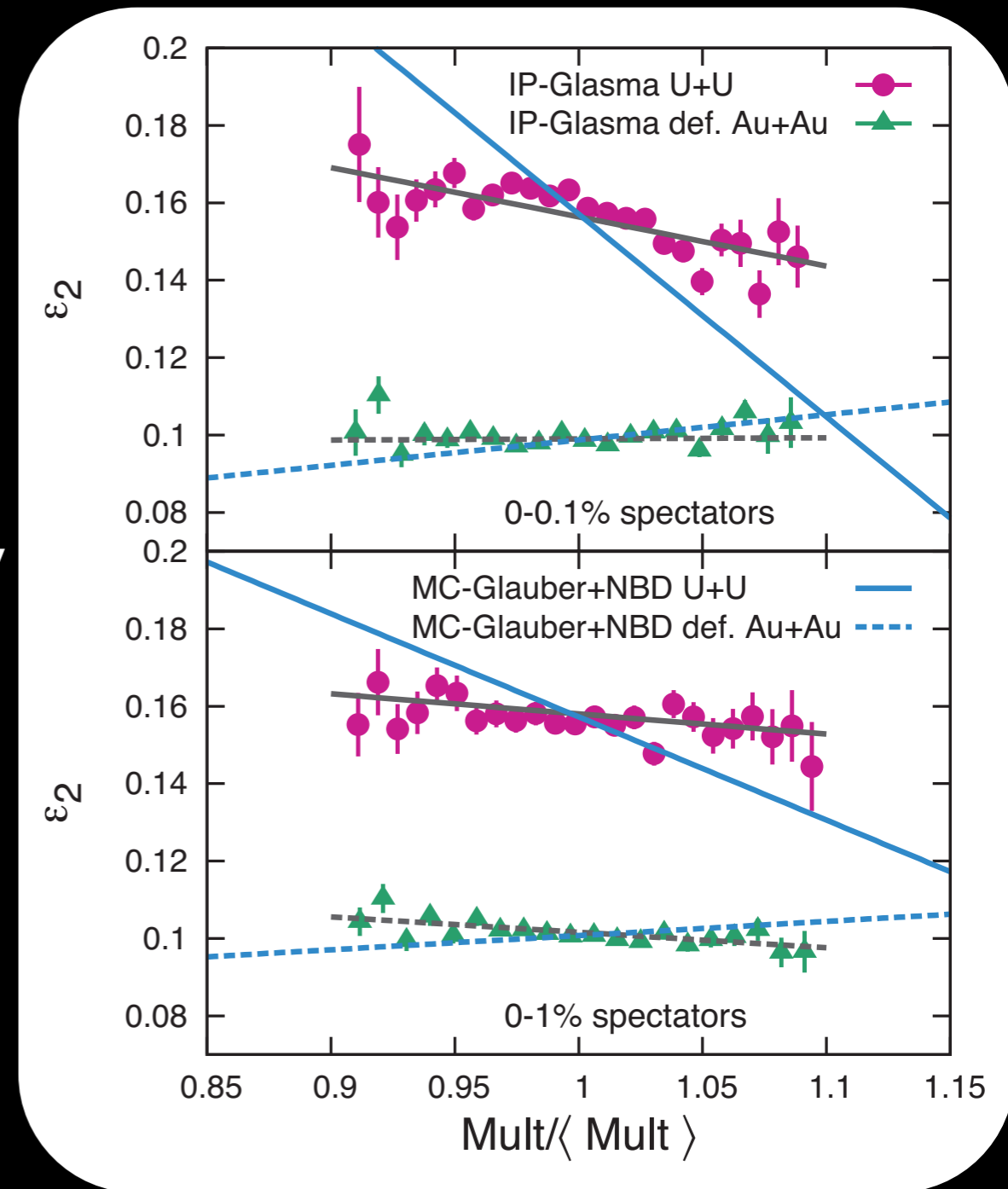
# BACKUP

# DEFORMED NUCLEI

B. SCHENKE, P. TRIBEDY, R. VENUGOPALAN, ARXIV:1403.2232

- Select ultra-central events based on neutrons in the ZDC
- Study correlation between  $v_2$  and multiplicity
- MC-Glauber gets (anti-)correlation because of  $N_{\text{coll}}$  in

$$\frac{dN}{d\eta} = n_{\text{pp}} \left( x N_{\text{coll}} + (1-x) \frac{N_{\text{part}}}{2} \right)$$

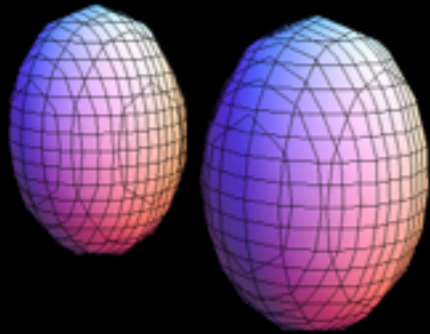


- IP-Glasma finds weaker anti-correlation

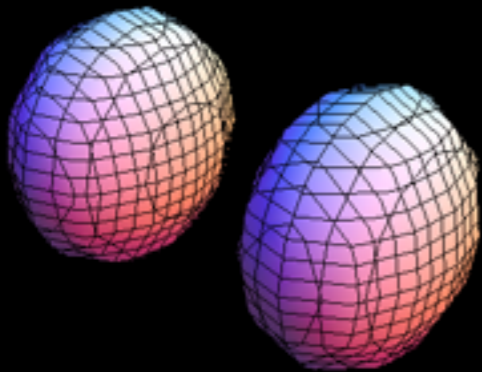
# DEFORMED NUCLEI

B. SCHENKE, P. TRIBEDY, R. VENUGOPALAN, ARXIV:1403.2232

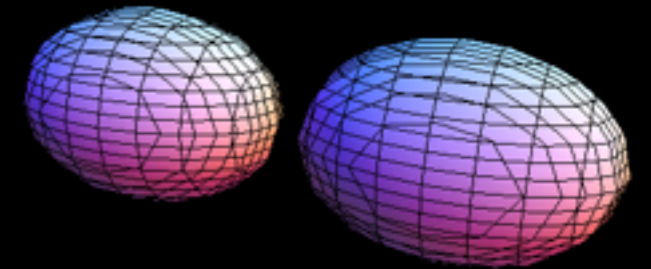
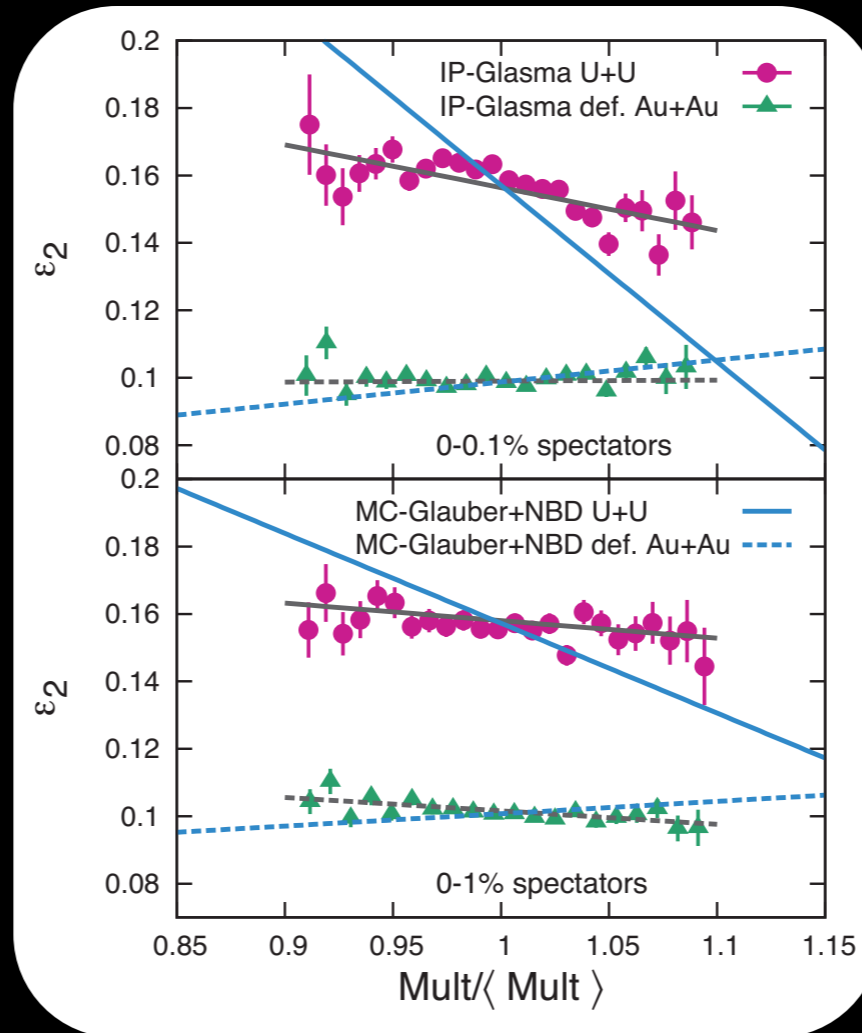
- Uranium: prolate
- Gold: oblate



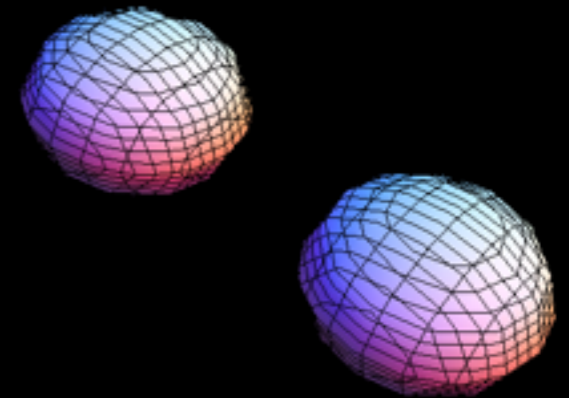
U+U, side-side



Au+Au, side-side



U+U, tip-tip

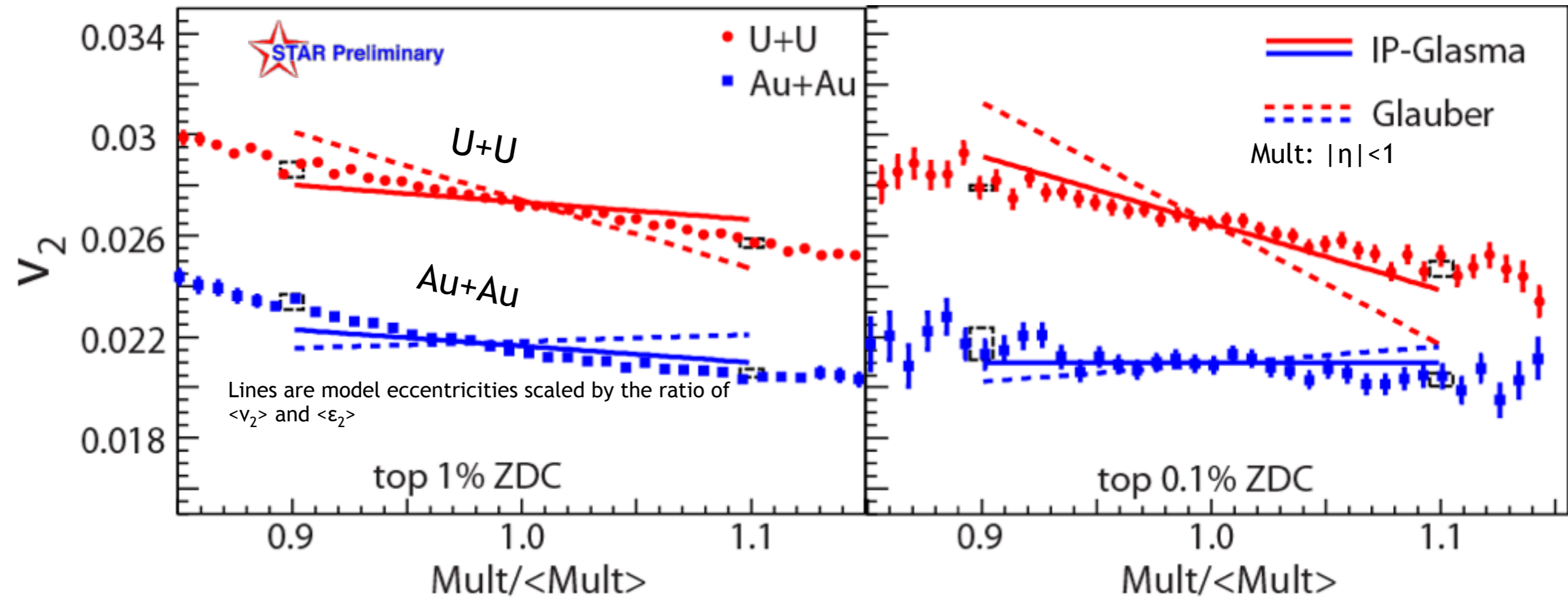


Au+Au, "tip-tip"

# DEFORMED NUCLEI

B. SCHENKE, P. TRIBEDY, R. VENUGOPALAN, ARXIV:1403.2232

EXPERIMENTAL DATA: STAR, HUI WANG AT QUARK MATTER 2014





# LONGITUDINAL STRUCTURE

A. MONNAI, B. SCHENKE, PHYS. LETT. B752, 317-321 (2015)

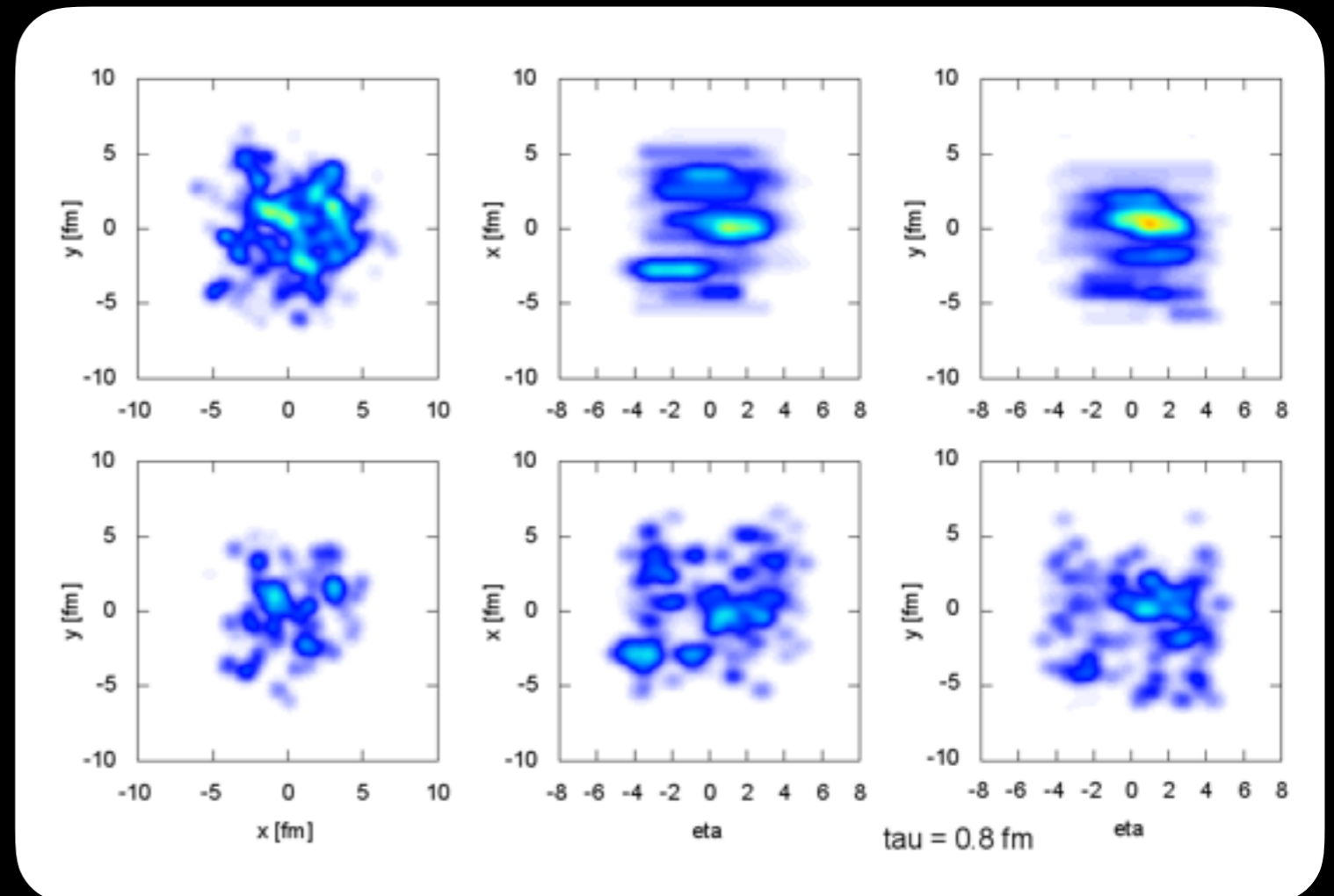
- INCLUDE 3D FLUCTUATING INITIAL STATE
- STUDY  $v_n$  AS FUNCTION OF RAPIDITY
- CAN CONSTRAIN RAPIDITY DEPENDENCE OF  $\eta/s$
- WILL NEED THIS FOR SIMULATIONS OF BES@RHIC

LONGITUDINAL DISTRIBUTION:  
IMPLEMENT AN MC VERSION  
OF THE **LEXUS** MODEL

RAPIDITY DISTRIBUTIONS IN  
HEAVY ION COLLISIONS  
FOLLOW VIA LINEAR  
EXTRAPOLATION FROM  
P+P COLLISIONS

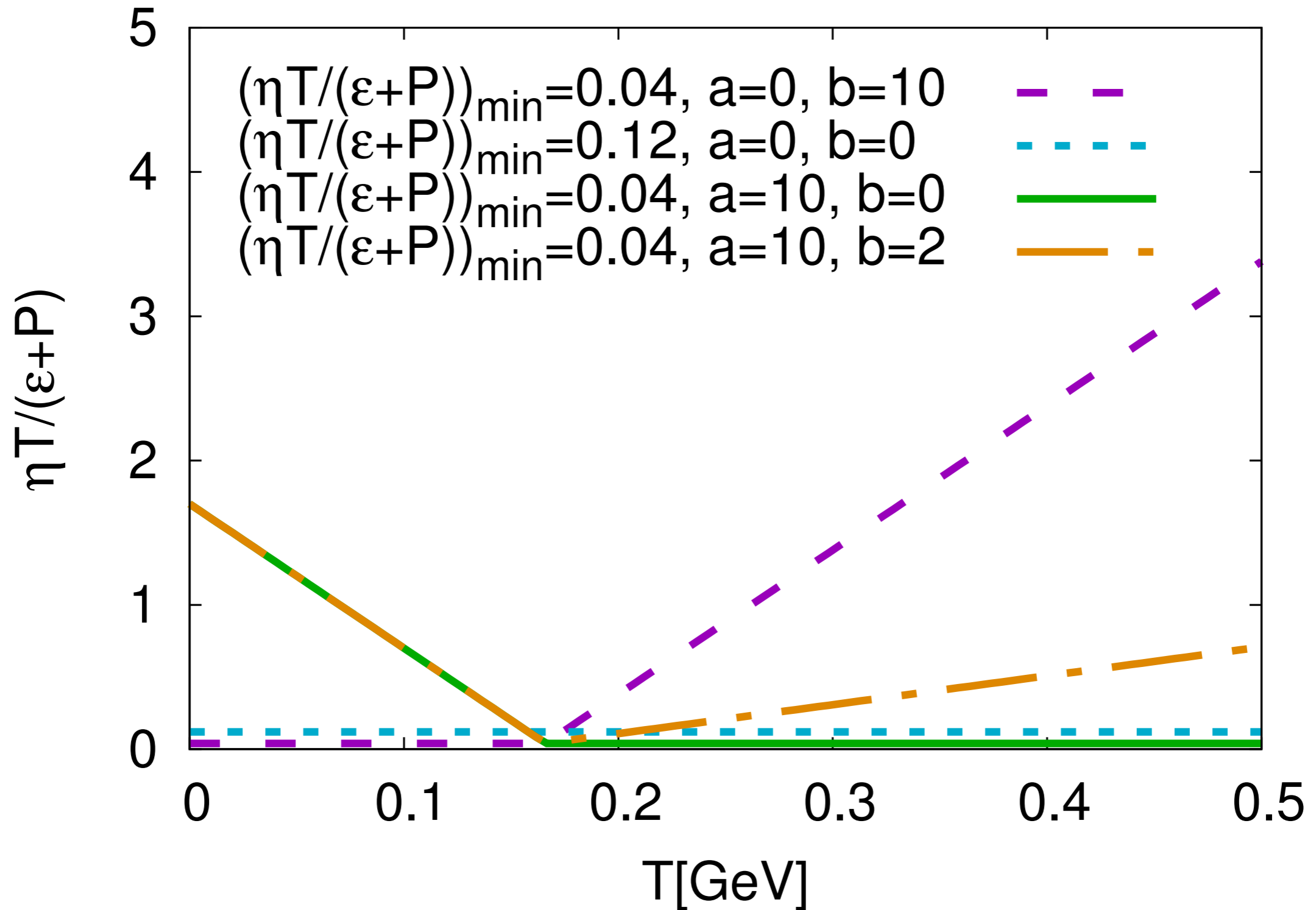
S. JEON AND J. KAPUSTA, PRC56, 468 (1997)

ENERGY DENSITY (UPPER)  
BARYON DENSITY (LOWER)



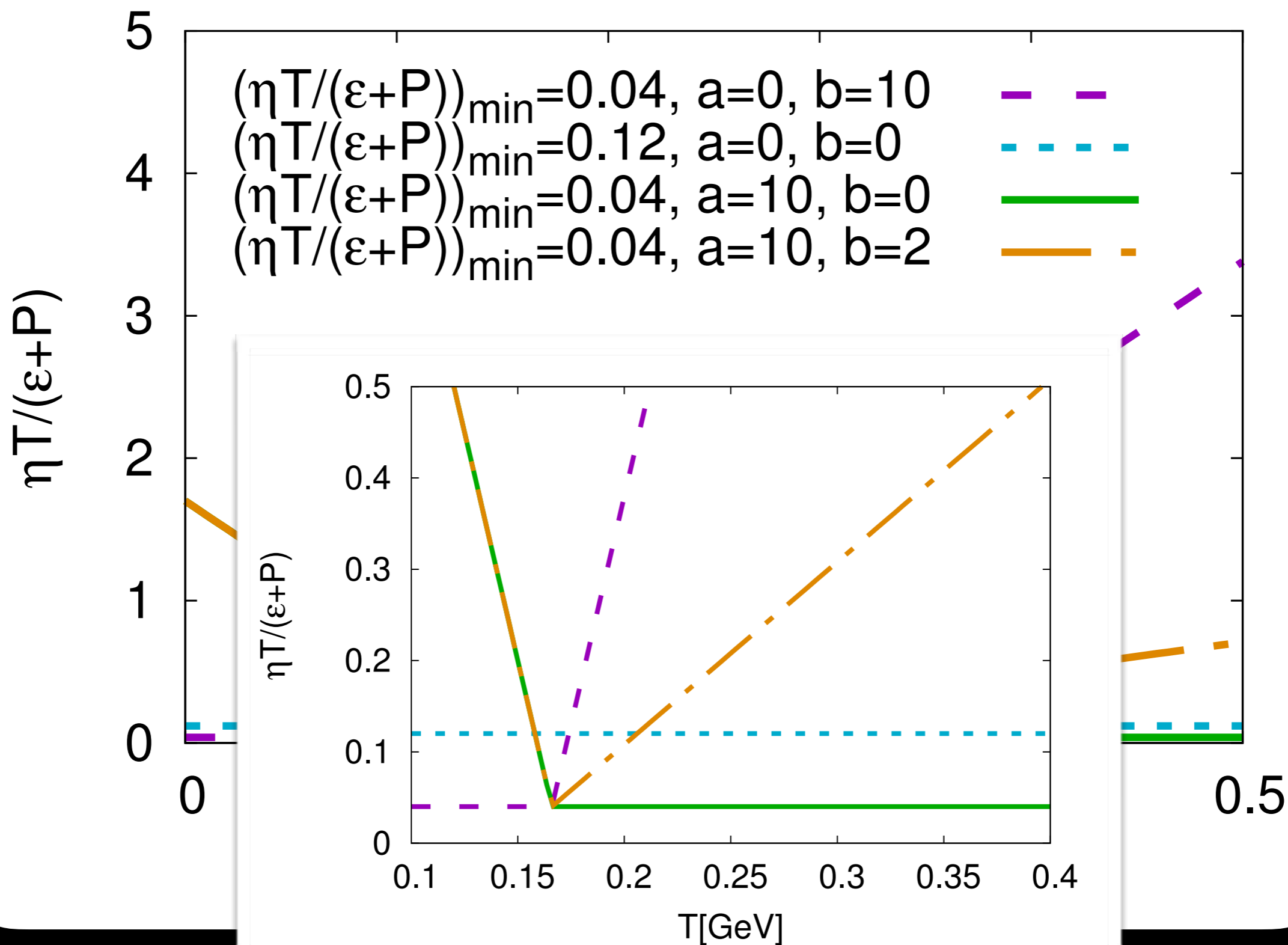
# CONSTRAINING $\eta/s$ vs. TEMPERATURE

G. DENICOL, A. MONNAI, B. SCHENKE, PHYS. REV. LETT. 116, 212301 (2016)



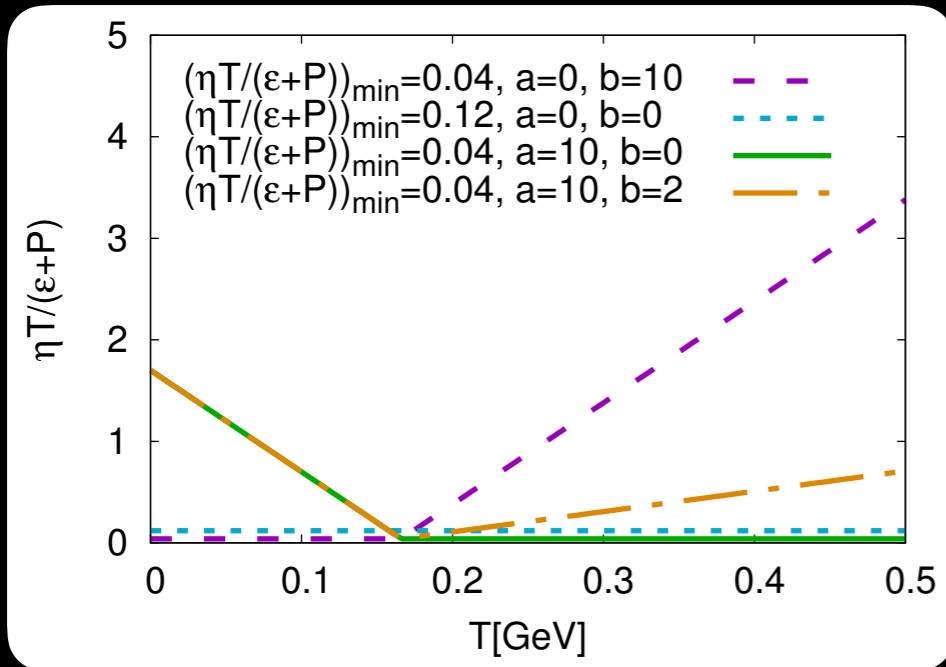
# CONSTRAINING $\eta/s$ vs. TEMPERATURE

G. DENICOL, A. MONNAI, B. SCHENKE, PHYS. REV. LETT. 116, 212301 (2016)

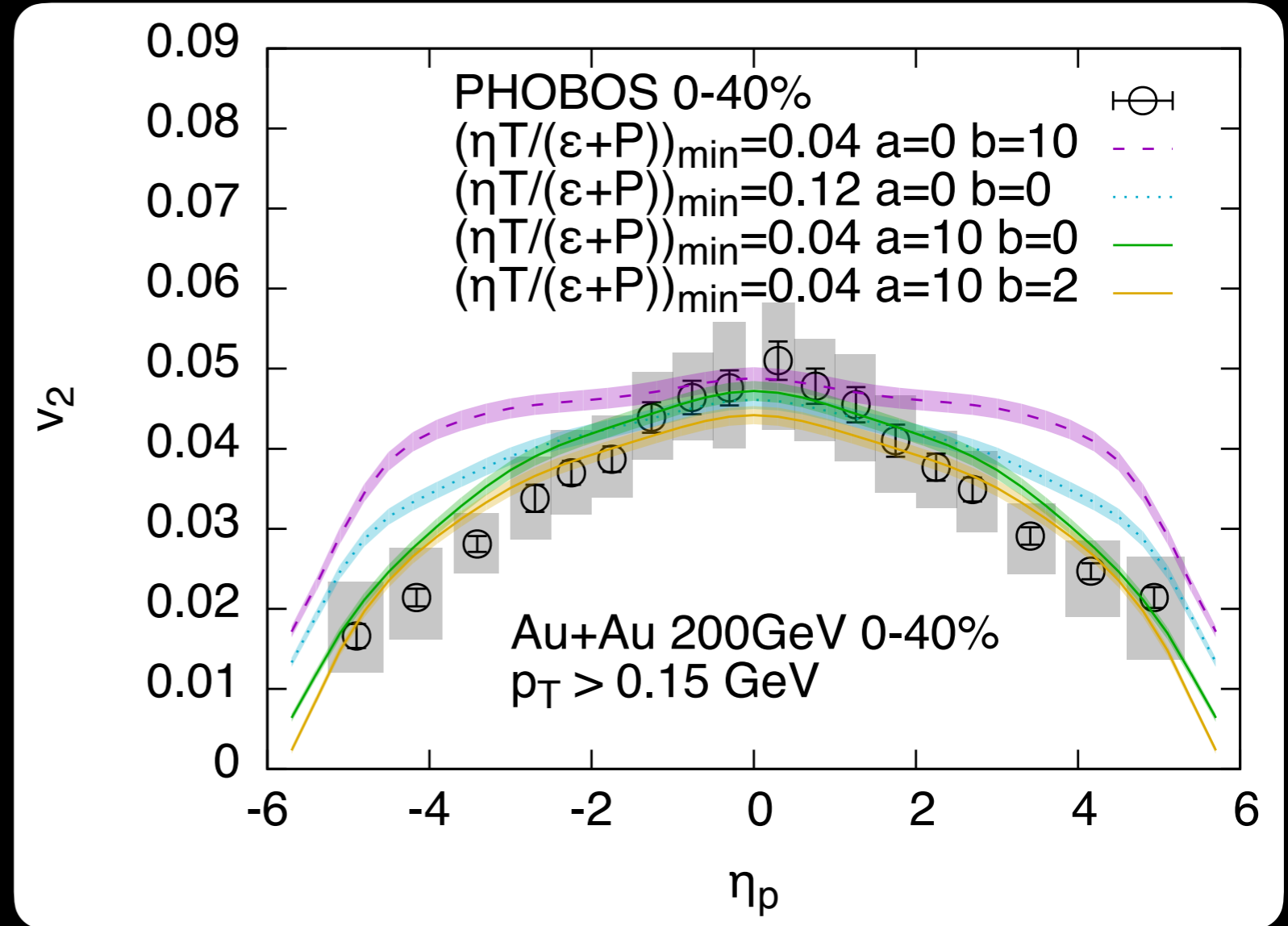


# CONSTRAINING $\eta/s$ vs. TEMPERATURE

G. DENICOL, A. MONNAI, B. SCHENKE, PHYS. REV. LETT. 116, 212301 (2016)



EXP DATA: PHOBOS COLL., B.B. BACK, ET AL., PHYS. REV. LETT. 94 (2005) 122303



## CONCLUSIONS:

$\eta/s$  IS NOT CONSTANT    HADRONIC  $\eta/s$  IS LARGE    QGP  $\eta/s$  CANNOT RISE QUICKLY

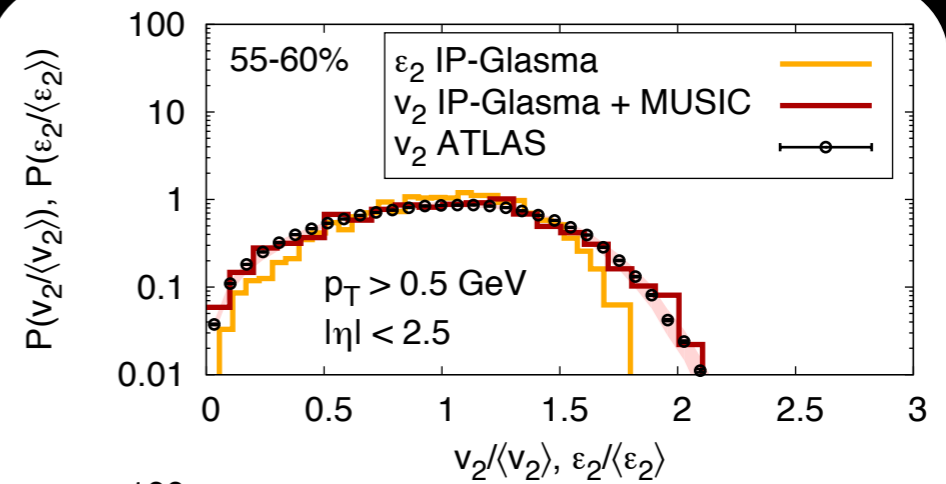
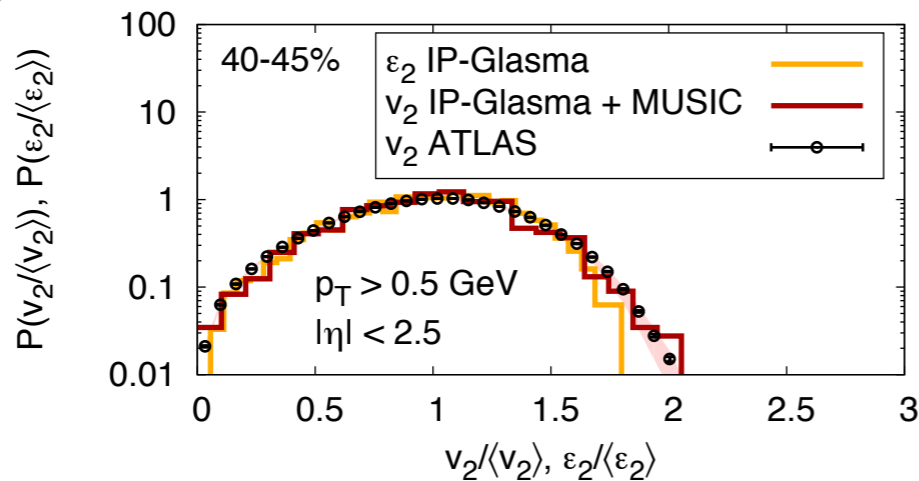
# EVENT-BY-EVENT FLOW

ATLAS COLLABORATION, JHEP 1311 (2013) 183

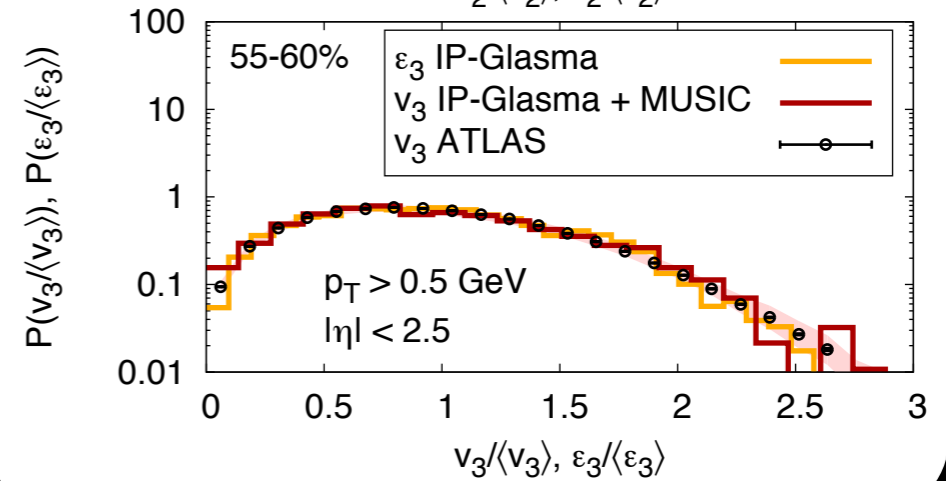
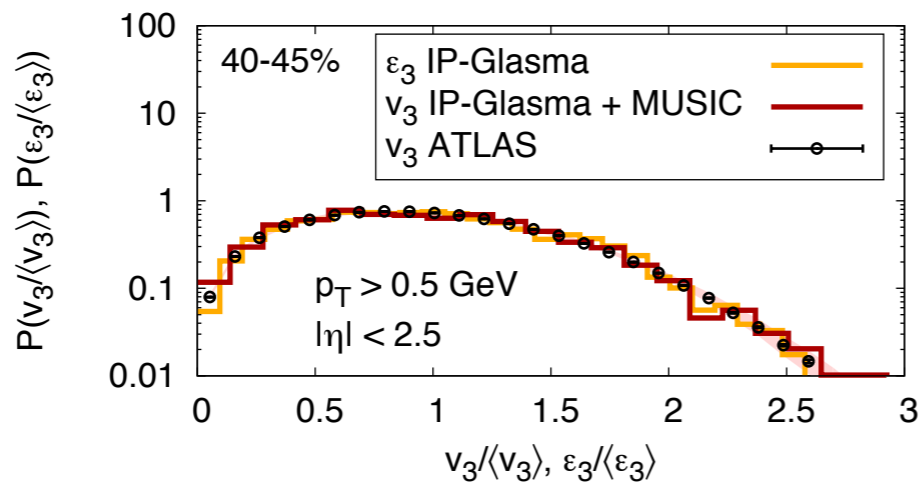
40-45%

55-60%

$v_2$



$v_3$



$v_4$

