

CURRENT STATUS AND PLANS OF THE GERDA EXPERIMENT

R. Mingazheva for the GERDA collaboration

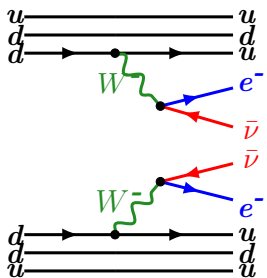
University of Zurich

23 Aug 2016



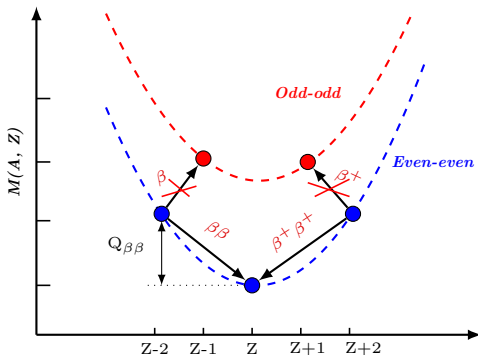
**Universität
Zürich**^{UZH}

Motivation for $0\nu\beta\beta$ searches. Double beta decay

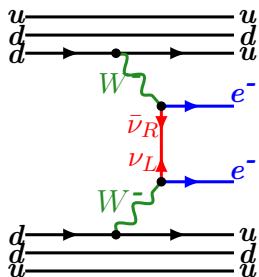


- $A(Z, N) \rightarrow A(Z+2, N) + 2e^- + 2\bar{\nu}$
- second order weak SM process
- can be observed for even-even nuclei if β -decay is forbidden

- has been observed for 11 nuclei
- ^{76}Ge :
 $T_{1/2}^{2\nu\beta\beta} = (1.926 \pm 0.095) \cdot 10^{21} \text{ yr}$
 [Eur. Phys.J. C75 (2015), no.9, 416]

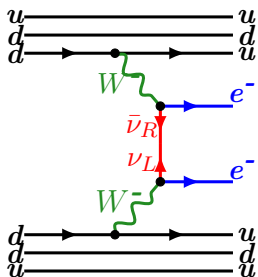


Motivation for $0\nu\beta\beta$ searches

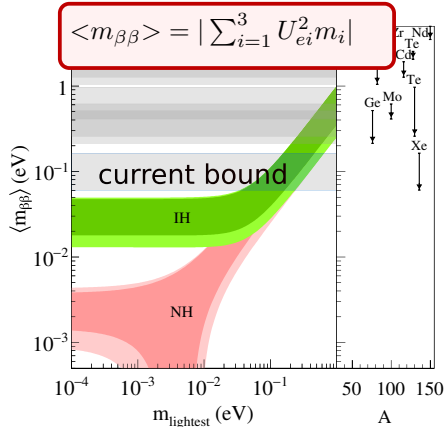


- $A(Z, N) \rightarrow A(Z+2, N) + 2e^-$
- Hypothetical non-SM process, $\Delta L=2$
- $\bar{\nu} = \nu$, $m_\nu \neq 0$
- e.g. light Majorana neutrino exchange

Motivation for $0\nu\beta\beta$ searches



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It would shed light on...

- absolute neutrino mass scale
- neutrino nature e.g. Majorana vs. Dirac

How to claim a discovery?

- Signal/background

$$N_{sig} \approx \frac{Mt}{m_{iso}} \cdot \alpha \cdot \epsilon \cdot \frac{\ln(2)}{T_{1/2}^{0\nu}}$$

$$N_{bg} \approx BI \cdot \Delta E \cdot Mt$$

- We claim a discovery with 99.7% CL when:

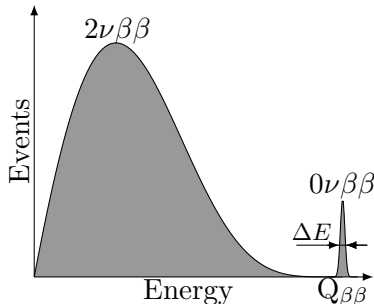
$$N_{sig} \geq 3\sigma_{bg}, \text{ where } \sigma_{bg} \approx \sqrt{N_{bg}}$$

$$T_{1/2}^{0\nu} \propto \epsilon \sqrt{\frac{Mt}{BI \cdot \Delta E}}$$

- Background-free case:

$$T_{1/2}^{0\nu} \propto \epsilon \cdot Mt$$

Mt - exposure α - enrichment factor
BI - backgr. index ϵ - detection efficiency



⁷⁶Ge detectors

- High intrinsic purity
- $Q_{\beta\beta} = 2039$ keV
- Best energy resolution (3-4 keV at $Q_{\beta\beta}$)
- 86% enrichment of ⁷⁶Ge

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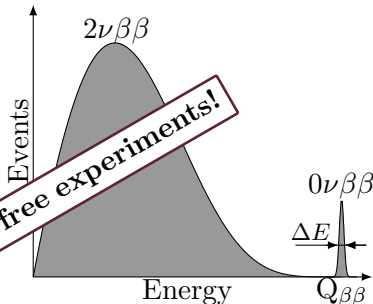
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$$N_{sig} \geq 3\sigma_{bg}, \text{ where } \sigma_{bg} \approx \sqrt{\Delta E}$$

$$T_{1/2}^{0\nu} \propto \epsilon \cdot \frac{1}{BI \cdot \Delta E}$$

- Background free case:

$$T_{1/2}^{0\nu} \propto \epsilon \cdot Mt$$



We need large scale and background free experiments!

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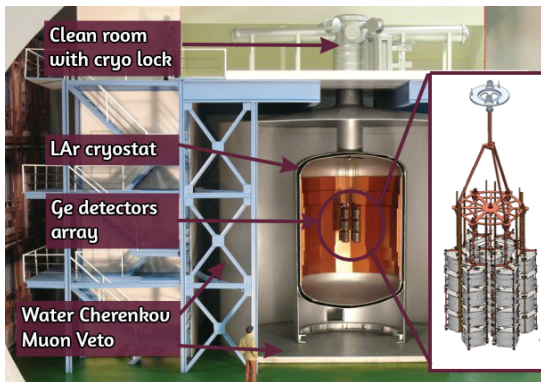
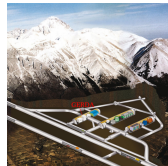
α - enrichment factor

BI - backgr. index

ϵ - detection efficiency

GERmanium Detector Array (GERDA)

- LNGS underground laboratory:
 - covered by 1400m rock
 - reduced muon flux ($\approx 1 \cdot \text{m}^{-2} \text{h}^{-1}$)



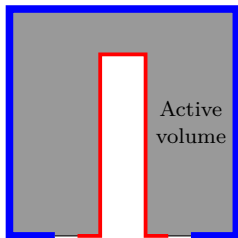
Background rejection

- Active veto:
 - Water tank:
 $\varnothing = 10\text{m}$
 - LAr cryostat:
 $\varnothing = 4\text{m}$
- Ultra-clean materials
- High-pure ^{76}Ge detectors

^{76}Ge detectors in GERDA

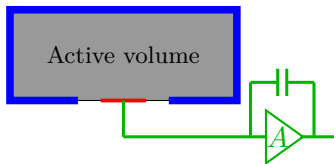
- Coaxial detectors (from HdM, IGEX)
 - Enriched: 7 detectors, 15 kg total mass
 - Natural: 3 detectors, 7 kg total mass

Coaxial



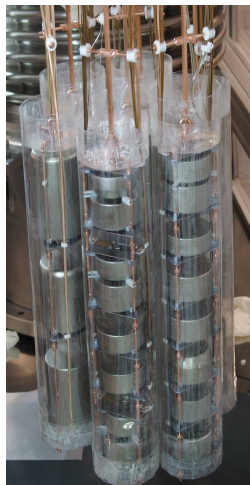
n^+ contact p^+ contact

BEGe



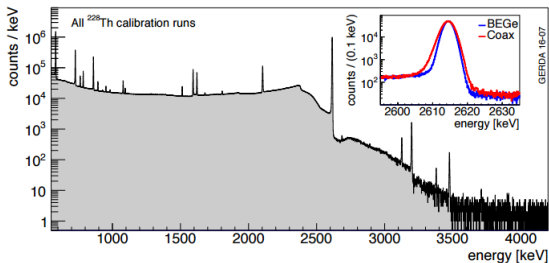
- BEGe (Broad Energy Germanium) detectors (produced by Canberra)
 - 30 detectors, 20 kg total mass
 - higher energy resolution
 - better background events discrimination

- 7 strings



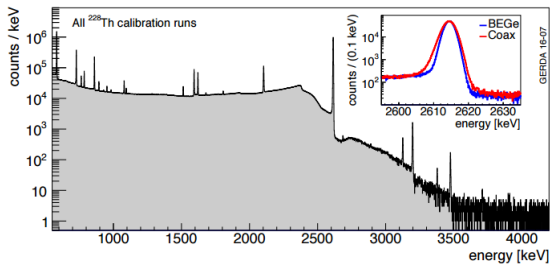
GERDA stability control

- Weekly calibration using ^{228}Th source
- Monitoring of energy scale stability



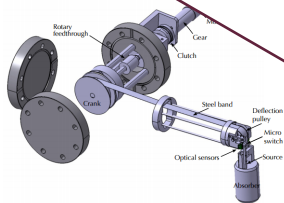
[G. Benato. Doctoral thesis]

- Weekly calibration using ^{228}Th source
- Monitoring of energy scale stability



[G. Benato. Doctoral thesis]

Under the responsibility of the Zurich group



- During the calibration each source is lowered to the required position
- During the physics data taking sources are kept shielded in the position above ^{76}Ge strings

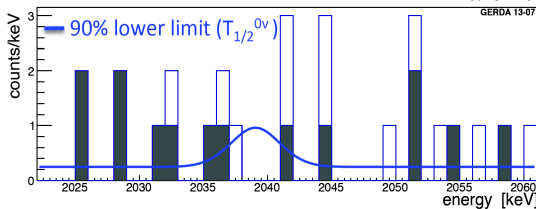
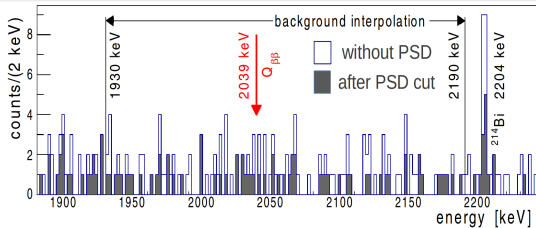
GERDA Phase I. Results

Nov 2011 - May 2013

- 15 kg of ^{76}Ge
- Exposure: 21.6 kg·yr

ROI: $Q_{\beta\beta} \pm 5\text{keV}$

- Blind analysis
- $N_{exp} = 2.0 \pm 0.3$
- $N_{obs} = 3$
- Profile LL: $N_{0\nu} = 0$



[Phys. Rev. Lett. 111, 122503 (2013)]

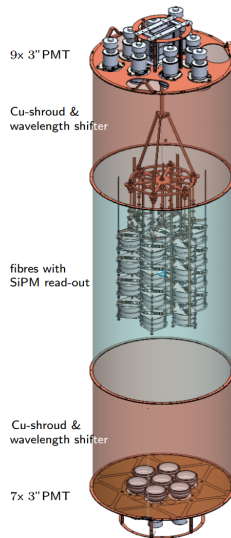
- No $0\nu\beta\beta$ observation
- BI: $1 \cdot 10^{-2}$ cts/(keV·kg·yr)
- $T_{1/2}^{0\nu} > 2.1 \cdot 10^{25}$ yr - world best limit for ^{76}Ge

Upgrades

- Increased mass of BEGe detectors
- Reduce close background sources: cleaner materials
- LAr veto to reject external background:
 - 16 PMT
 - SiPM and optics fiber read out

Phase II goals

- Background $< 10^{-3}$ cts/(keV·kg·yr)
- Exposure ≥ 100 kg · yr
- Sensitivity $T_{1/2}^{0\nu} > 10^{26}$ yr



Phase II. First unblinding

BEGe

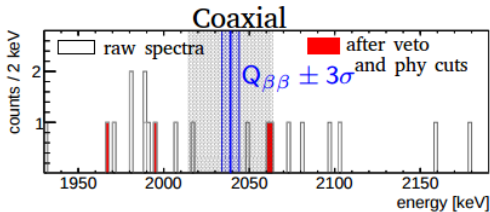
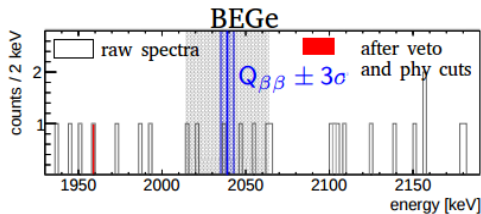
- exposure: 5.8 kg·yr
- in the $Q_{\beta\beta} \pm 25$ keV:
 - $N_{exp}^{bg} = 0.3$
 - $N_{obs} = 0$
- $BI = 7_{-5}^{+11} \cdot 10^{-4}$

Coaxial

- exposure: 5.0 kg·yr
- In the $Q_{\beta\beta} \pm 25$ keV:
 - $N_{exp}^{bg} = 0.8$
 - $N_{obs} = 2$
- $BI = 35_{-15}^{+21} \cdot 10^{-4}$

BI is shown in the units
cts/(keV · kg · yr)

Dec. 2015 - May 2016



FWHM at $Q_{\beta\beta} = 3 - 4$ keV

Conclusion & outlook

- Achieved lowest ever background:

BEGe:

$$7_{-5}^{+11} \cdot 10^{-4} \text{ cts}/(\text{keV}\cdot\text{kg}\cdot\text{yr})$$

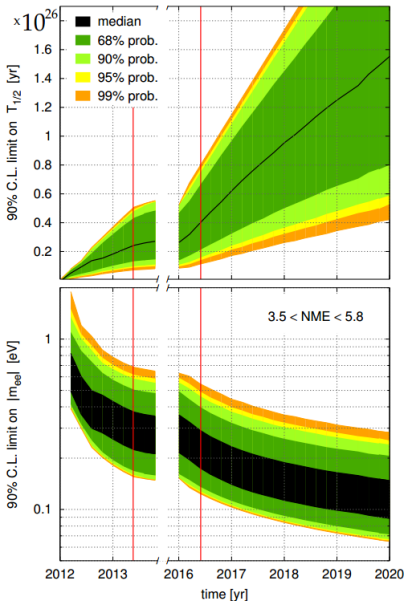
Coax:

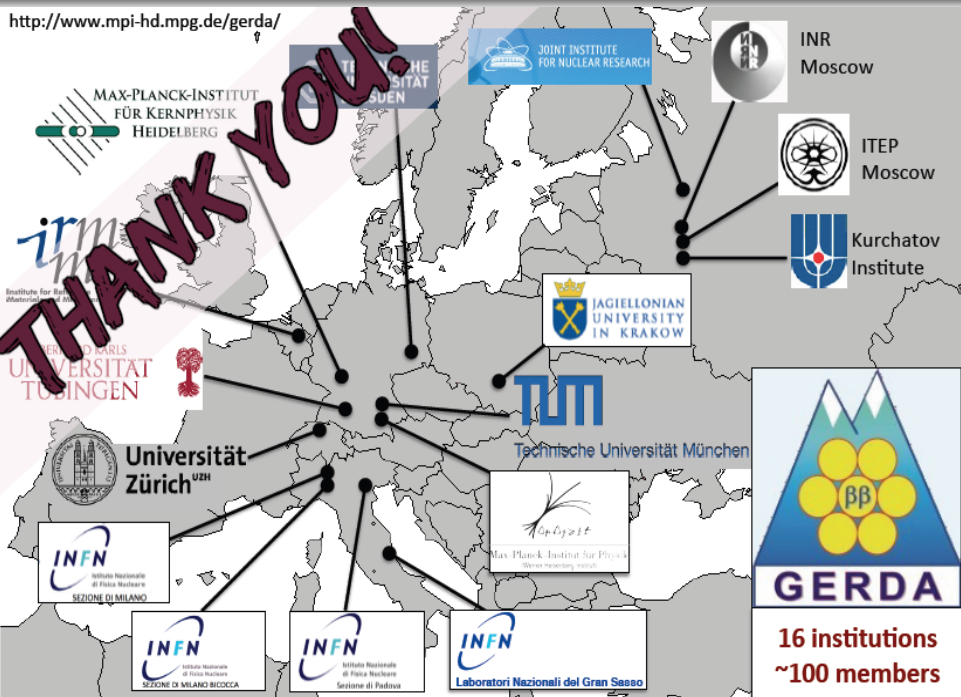
$$35_{-15}^{+21} \cdot 10^{-4} \text{ cts}/(\text{keV}\cdot\text{kg}\cdot\text{yr})$$

- No evidence for $0\nu\beta\beta$ found
- $T_{1/2}^{0\nu} > 5.2 \cdot 10^{25} \text{ yr}$ (90% C.L.)
- $|m_{ee}| < [160, 260] \text{ meV}$ (90% C.L.)
- Goal: total exposure of 100 kg·yr and sensitivity $T_{1/2}^{0\nu} > 10^{26} \text{ yr}$

[Talk at Neutrino 2016]

Follow us: it will be published soon!





BackUp: Neutrino Double Beta Decay

- Postulated in 1935
- First observation in 1980s
- Can occur if single beta decay is forbidden due to spin-coupling, seen by the pairing term in the semi-empirical mass formula.
- $T_{1/2}^{2\nu\beta\beta} \approx (10^{18} - 10^{24})yr$

$$M(A, Z) = Zm_p + Nm_n - a_V A + a_S A^{2/3} + a_C \frac{Z^2}{A^{1/3}} + a_A \frac{(N - Z)^2}{A} + \delta(A, Z)$$

Backup: Signal and background events topology

Signal

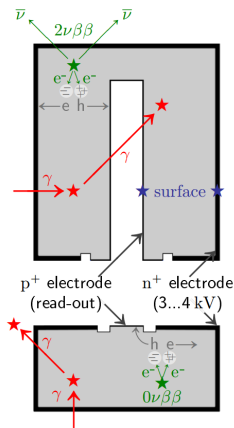
Localized energy deposition within $\approx 1\text{mm}$ in one detector (Single Side Events [SSE])

Background

- Multiple energy deposition in one detector (Multi Side Events, removed by Pulse Shape Discrimination [PSD])
- Events with coincident energy deposition in the LAr (active veto)

Surface events

- fast (p^+) and slow (n^+) rising signals (PSD)

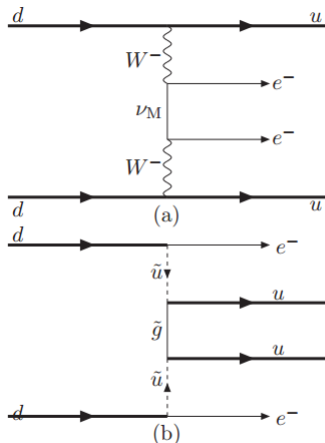
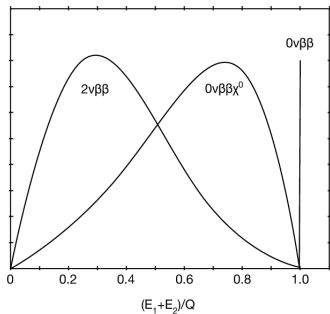


BackUp: Isotopes DBD

Main positive results for $2\nu\beta\beta$ to the ground state

Isotope	Experiment (type)	$T_{1/2}(2\nu)$ (years)	Reference(s)
^{48}Ca	Hoover Dam (TPC)	$[4.3^{+2.4}_{-1.1} \text{ (stat.)} \pm 1.4 \text{ (syst.)}] \times 10^{19}$	35
	TGV (planar HPGe)	$(4.2^{+3.3}_{-1.3}) \times 10^{19}$	36
	NEMO3 (track calorimeter)	$(4.4 \pm 0.64) \times 10^{19}$	34
^{76}Ge	IGEX (HPGe)	$(1.45 \pm 0.15) \times 10^{21}$	48
	Heidelberg–Moscow (HPGe)	$[1.74 \pm 0.01 \text{ (stat.)}^{+0.18}_{-0.16} \text{ (syst.)}] \times 10^{21}$	49
	GERDA (HPGe)	$1.84^{+0.14}_{-0.10} \times 10^{21}$	28
^{82}Se	Geochemistry	$(1.3 \pm 0.05) \times 10^{20}$	50
	NEMO3 (track calorimeter)	$[0.96 \pm 0.03 \text{ (stat.)} \pm 0.1 \text{ (syst.)}] \times 10^{20}$	51
^{96}Zr	Geochemistry	$(3.9 \pm 0.9) \times 10^{19}$	52
	Geochemistry	$(0.94 \pm 0.32) \times 10^{19}$	53
	NEMO3 (track calorimeter)	$[2.35 \pm 0.14 \text{ (stat.)} \pm 0.16 \text{ (syst.)}] \times 10^{19}$	54
^{100}Mo	Geochemistry	$(2.1 \pm 0.3) \times 10^{18}$	55
	Hoover Dam (TPC)	$[6.82^{+0.38}_{-0.53} \text{ (stat.)} \pm 0.68 \text{ (syst.)}] \times 10^{18}$	56
	DBA (liquid argon TPC)	$[7.2 \pm 1.1 \text{ (stat.)} \pm 1.8 \text{ (syst.)}] \times 10^{18}$	57
	NEMO3 (track calorimeter)	$[7.17 \pm 0.01 \text{ (stat.)} \pm 0.54 \text{ (syst.)}] \times 10^{18}$	34
^{116}Cd	Solotvina (scintillator)	$[2.9 \pm 0.06 \text{ (stat.)}^{+0.4}_{-0.3} \text{ (syst.)}] \times 10^{19}$	37
	NEMO3 (track calorimeter)	$(2.88 \pm 0.17) \times 10^{19}$	34
^{128}Te	Geochemistry	$\sim 2.2 \times 10^{24}, (7.7 \pm 0.4) \times 10^{24}$	58, 59
^{130}Te	Geochemistry	$\sim 0.8 \times 10^{21}, (2.7 \pm 0.1) \times 10^{21}$	58, 59
	MiBETA (bolometer)	$[6.1 \pm 1.4 \text{ (stat.)}^{+2.9}_{-3.5} \text{ (syst.)}] \times 10^{20}$	60
	NEMO3 (track calorimeter)	$[7.0 \pm 0.9 \text{ (stat.)} \pm 1.1 \text{ (syst.)}] \times 10^{20}$	61
^{136}Xe	EXO-200 (LXe TPC)	$[2.11 \pm 0.04 \text{ (stat.)} \pm 0.21 \text{ (syst.)}] \times 10^{21}$	38
	KamLAND–Zen	$[2.38 \pm 0.02 \text{ (stat.)} \pm 0.14 \text{ (syst.)}] \times 10^{21}$	39
^{150}Nd	Hoover Dam (TPC)	$[6.75^{+0.37}_{-0.42} \text{ (stat.)} \pm 0.68 \text{ (syst.)}] \times 10^{18}$	56
	NEMO3 (track calorimeter)	$[9.11^{+0.25}_{-0.22} \text{ (stat.)} \pm 0.63 \text{ (syst.)}] \times 10^{18}$	62
^{238}U	Radiochemistry	$(2.0 \pm 0.6) \times 10^{21}$	22

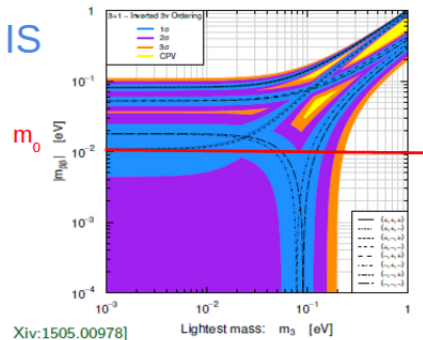
BackUp: Other mechanism of the DBD



a - exchange with Majoron

b - squark and gluino in RPV SUSY

BackUp: 3+1 scenario and $0\nu\beta\beta$ decay



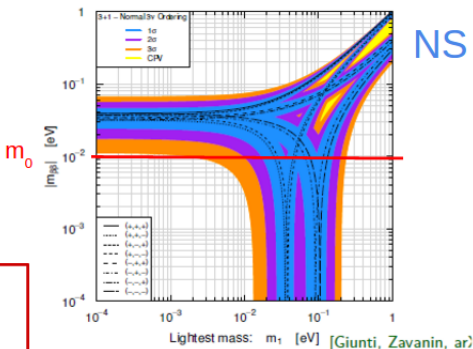
IS & $m_{\beta\beta} < m_0$:

- Sterile neutrino keeps our model valid
- $m_{\beta\beta} < m_0$: unconfirm

NS & $m_{\beta\beta} < m_0$:

$m_{\beta\beta} < m_0$: unconfirm

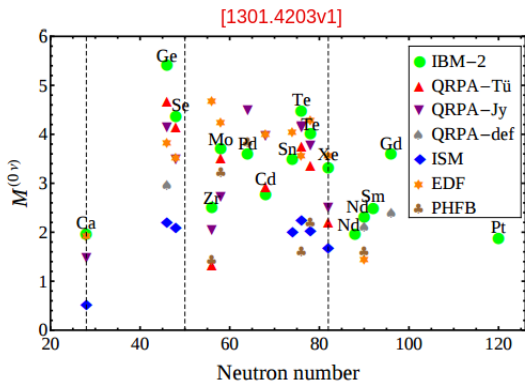
- Need to build 100 ton scale experiments!



BackUp: From $0\nu\beta\beta$ to neutrino mass

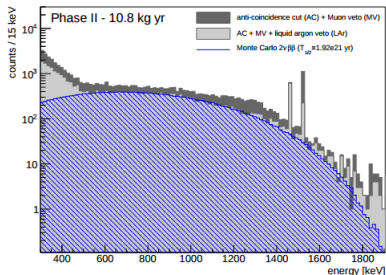
$$M^{0\nu} = \langle f || O^K || i \rangle$$

O^K operator, which creates two protons and annihilate two neutrons. Depends on the distance between nucleons, and on their quantum numbers



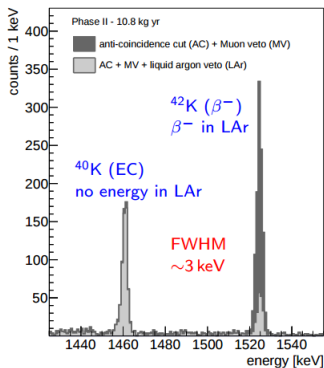
- **ISM**: the interacting shell model
- **QRPA**: the quasiparticle random-phase approximation
- **IBM-2**: the interacting boson model
- **EDF**: and the energy density functional method

BackUp: LAr background suppression.

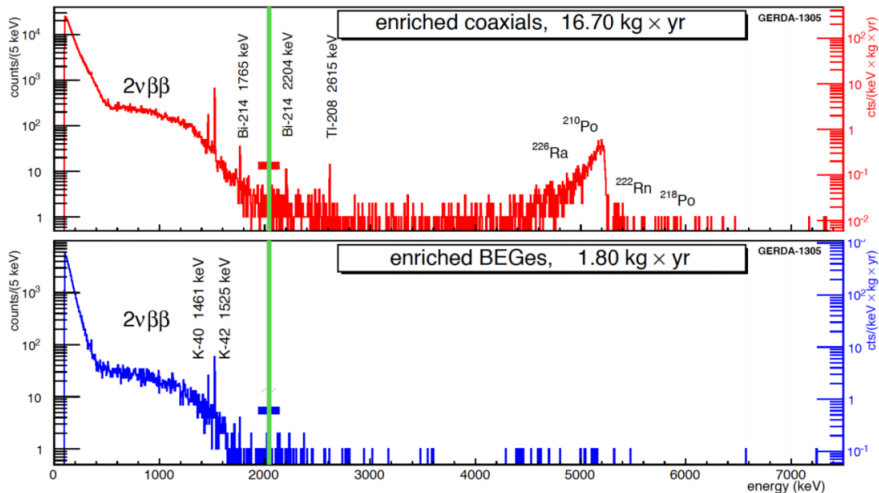


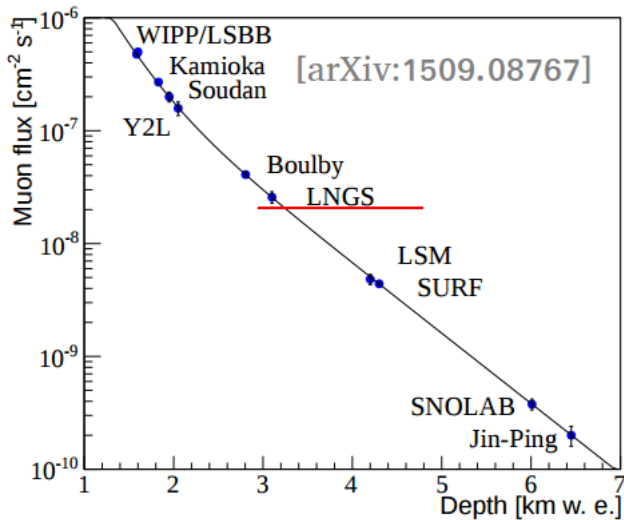
- $^{40}\text{K}/^{42}\text{K}$ Compton continuum fully suppressed
- $(70.4 \pm 0.3)\%$ survival fraction (0.6-1.3 MeV)
- LAr veto generates 2.3% dead time
- $T_{1/2}^{2\nu} = 1.9 \cdot 10^{21}$ yr taken from Phase I [EPJC 75 (2015) 416]

γ -lines from:
 $^{40}\text{K} \rightarrow ^{40}\text{Ar} + \gamma$ (1.4 MeV) [EC]
 $^{42}\text{K} \rightarrow ^{42}\text{Ca} + \gamma$ (1.5 MeV)
+ e^- (up to 2 MeV)



BackUp: LAr background suppression.





BackUp: From mass eigenstates to effective majorana neutrino mass

$$|m_{\beta\beta}| = \left| \sum_{i=1}^3 U_{ei}^2 m_i \right| ,$$

$$c_{jk}(s_{jk}) = \cos\theta_{jk}(\sin\theta_{jk}).$$

$$\begin{aligned} |m_{\beta\beta}| &= |c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 m_2 e^{i\alpha} + s_{13}^2 m_3 e^{i\beta}| = \\ &= |(c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 m_2 \cos\alpha + s_{13}^2 m_3 \cos\beta) + \\ &\quad + i(s_{12}^2 c_{13}^2 m_2 \sin\alpha + s_{13}^2 m_3 \sin\beta)| , \end{aligned}$$

$$|m_{\beta\beta}| = \sqrt{(c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 m_2 \cos\alpha + s_{13}^2 m_3 \cos\beta)^2 + (s_{12}^2 c_{13}^2 m_2 \sin\alpha + s_{13}^2 m_3 \sin\beta)^2} .$$

- θ_{12} and θ_{13} ,
- m_1 , m_2 and m_3 ,
- the two Majorana phases α and β