

# **Collective Flavor Oscillations For Supernova Neutrinos And r-Process Nucleosynthesis**

**Kamales Kar**

Saha Institute of Nuclear Physics, Calcutta, India

Authors: **Sovan Chakraborty, Sandhya Choubey, Srubabati Goswami and KK**

# Plan of the Talk:

- Supernovae and Neutrinos
- Collective Neutrino Effects
- Luminosity Variation and Collective Effects
- r-Process Nucleosynthesis and Collective Effect
- Summary

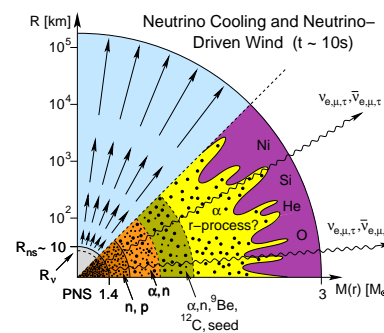
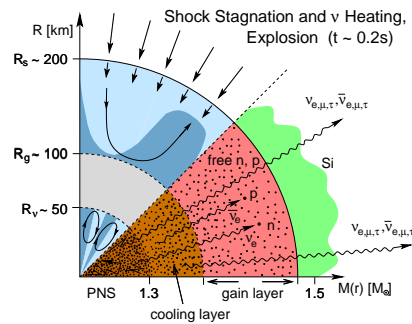
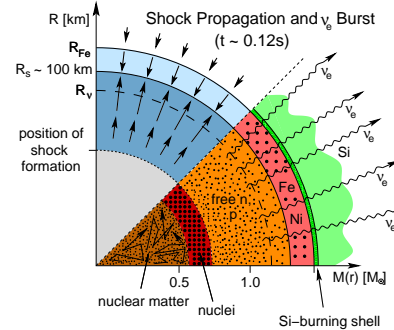
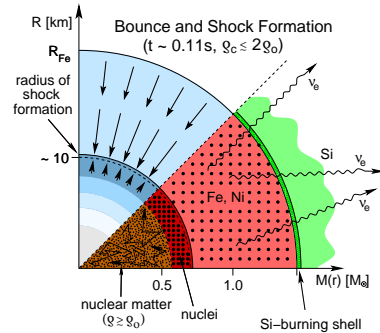
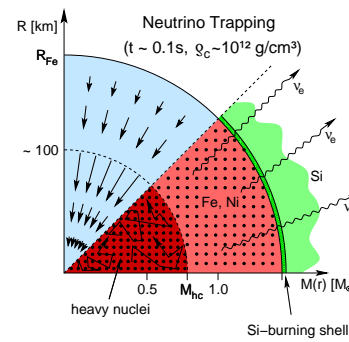
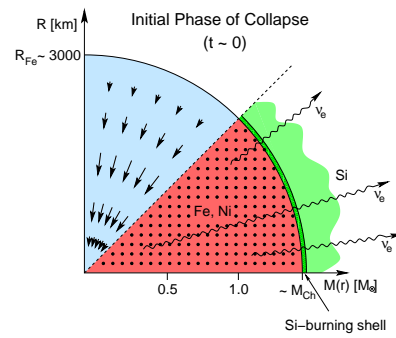


# 1. Supernovae and Neutrinos

# SN and Neutrinos

- Supernova explosions are accompanied by emission of a huge flux of neutrinos and antineutrinos
- These neutrinos play important role in shock revival and subsequent explosion
- Neutrinos cross SN mantle and envelope
- Neutrinos may get affected by earth matter
- Interaction with matter constituent particles inside SN are very crucial

# Different stages of SN



Janka et al, Phys. Rep. 442( 2007)38



## 2. Collective Effects

# Collective Neutrino Flavor transition

- Neutrino-Neutrino interaction in SN core is not negligible.
- 'neutrino-neutrino interactions' can lead to oscillation of neutrinos and antineutrinos of different energies with same frequency  $\implies$  Synchronized Oscillation
- After a few hundred kilometers these interaction effects become smaller and eventually end with
  - one or multiple swapping i.e, complete oscillation between  $\nu_e$  and  $\nu_x$  and between  $\bar{\nu}_e$  and  $\bar{\nu}_x$   
Dasgupta et al hep-ph/0904.3542
  - The critical energy of swapping depends on the initial flux of the emitted neutrino and antineutrino.
  - The effect more pronounced for IH than NH.

# Hamiltonian

Hamiltonian of a neutrino/antineutrino in the ensemble of relativistic neutrinos and Antineutrinos.

$$H = H_{vacuum} + H_{MSW} + H_{\nu\nu}$$

For the density matrix in flavor basis

$$\rho = \sum |\psi_i\rangle\langle\psi_i| \quad , \quad \bar{\rho} = \sum |\bar{\psi}_i\rangle\langle\bar{\psi}_i|$$

Evolution equation is

$$\partial_t \rho = -i[H, \rho] \quad , \quad \partial_t \bar{\rho} = -i[H, \bar{\rho}]$$



# 2 Flavor system:

For a 2 flavor system  $\nu_e(\bar{\nu}_e)$  and  $\nu_x(\bar{\nu}_x)$

$$H_{vacuum} = E + \frac{m_1^2 + m_2^2}{4E} + \frac{\Delta m^2}{4E} \begin{pmatrix} -\cos 2\theta & \sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{pmatrix},$$

where

$$\Delta m^2 = m_2^2 - m_1^2 ; \quad \theta = \text{Mixing Angle}$$

$$H_{MSW} = -\frac{G_F N_n}{\sqrt{2}} + \begin{pmatrix} \sqrt{2} G_F N_e & 0 \\ 0 & 0 \end{pmatrix},$$

$N_e$  = Electron Number Density,  $N_n$  = Neutron Number Density.

## 2 Flavor $\nu\nu$ Interaction Term:

Contribution from  $\nu\nu$  forward scattering to the leading order of  $G_F$

$$H_{\nu\nu} = \sqrt{2}G_F \int d^3q (1 - \cos\theta_{pq})(n\rho_q - \bar{n}\bar{\rho}_q)$$

Single Angle Approximation :

Flavor evolution is trajectory independent and neutrinos in any trajectory transform like  $\nu$ 's propagating in radial direction.

$$H_{\nu\nu} = \sqrt{2}G_F D(r) \int dq (n\rho_q - \bar{n}\bar{\rho}_q)$$

where Geometrical factor  $D(r) = \frac{1}{2} \left( 1 - \sqrt{1 - \left(\frac{R_\nu}{r}\right)^2} \right)^2$

# Bloch vector and E.O.M:

For a  $2 \times 2$  Hermitian Matrix  $M$

$$M = \frac{1}{2}(1 + \mathbf{P} \cdot \bar{\boldsymbol{\sigma}}) \quad \begin{array}{l} \sigma \rightarrow \text{Pauli spin Matrices,} \\ \mathbf{P} \rightarrow \text{Bloch Vector corresponding to } \rho \end{array}$$

Fogli et al hep-ph/0707.1998

E.O.M for  $\nu$  and  $\bar{\nu}$  polarization vector  $\mathbf{P}$  and  $\mathbf{P}'$

$$\begin{aligned} \dot{\mathbf{P}} &= \mathbf{P} \times (\omega \mathbf{B} - \lambda \hat{\mathbf{L}} - \mu \mathbf{D}) & \omega &= \frac{\Delta^2}{2E} \\ \dot{\mathbf{P}}' &= \mathbf{P}' \times (-\omega \mathbf{B} - \lambda \hat{\mathbf{L}} - \mu \mathbf{D}) & \lambda &= \sqrt{2} G_F N_e \\ & & \mu &= \sqrt{2} G_F (N_{\nu_e} + N_{\nu_x} + N_{\bar{\nu}_e} + N_{\bar{\nu}_x}) \end{aligned}$$

$$\mathbf{B} = (-\sin 2\theta, 0, \cos 2\theta)^T$$

$$\hat{\mathbf{L}} = (0, 0, 1)^T$$

$$\mathbf{D} = \frac{1}{(N_{\nu_e} + N_{\nu_x} + N_{\bar{\nu}_e} + N_{\bar{\nu}_x})} \int dE (n \mathbf{P} - \bar{n} \mathbf{P}')$$

Note that collective effects change both  $\nu$  and  $\bar{\nu}$  fluxes whereas MSW effect changes only one

# E.O.M:

$N_\alpha$ 's represent the total effective number density of the  $\alpha$ th species.

$$N_\alpha = \int dE n_\alpha, \quad n = n_{\nu_e} + n_{\bar{\nu}_e}$$
$$\bar{n} = n_{\bar{\nu}_e} + n_{\nu_e}$$

$n_\alpha$ 's  $\rightarrow$  effective number per unit volume per unit energy.

The effective number density for the  $\alpha$ th species per unit volume per unit energy is given by

$$n_\alpha(r, E) = \frac{D(r)}{2\pi R_\alpha^2} \frac{L_\alpha}{\langle E_\alpha \rangle} \Psi(E)_\alpha$$

# E.O.M:

Consider a frame rotating with angular velocity  $-\lambda\mathbf{L}$ .  
The evolution equations are

$$\dot{\mathbf{P}} = \mathbf{P} \times (\omega\mathbf{B} - \mu\mathbf{D})$$

$$\dot{\mathbf{P}}' = \mathbf{P}' \times (-\omega\mathbf{B} - \mu\mathbf{D})$$

Nonlinear coupled equations  $\longrightarrow$  Integro-Differential Equations.

Survival Probability :

$$P_{\nu_e\nu_e} = \frac{1}{2}(1 + P_z) \quad , \quad P_{\bar{\nu}_e\bar{\nu}_e} = \frac{1}{2}(1 + P'_z)$$

### ● 3. Luminosity Variation and Collective Effect

# Initial Energy Distribution :

Effective number density for the  $\alpha$ th species per unit energy

$$n_{\alpha}(r, E) = \frac{D(r)}{2\pi R_{\alpha}^2} \frac{L_{\alpha}}{\langle E_{\alpha} \rangle} \Psi(E)_{\alpha}$$

For Thermal neutrinos initial energy distribution is Fermi-Dirac

$$\Psi_{\alpha}^{FD}(E) \propto \frac{\beta_{\alpha} (\beta_{\alpha} E)^2}{e^{\beta_{\alpha} E} + 1} \quad \begin{array}{l} \beta_{\nu_e} = 0.315 \text{ MeV}^{-1}; \beta_{\bar{\nu}_e} = 0.210 \text{ MeV}^{-1} \\ \beta_{\nu_x} = \beta_{\bar{\nu}_x} = 0.131 \text{ MeV}^{-1}. \end{array}$$

Pinched spectra for different simulations are parameterized as

$$\Psi_{\alpha}(E) = \frac{(1 + \zeta_{\alpha})^{1+\zeta_{\alpha}}}{\Gamma(1 + \zeta_{\alpha})} \left( \frac{E_{\alpha}}{\langle E_{\alpha} \rangle} \right)^{\zeta_{\alpha}} \frac{\exp\left(- (1 + \zeta_{\alpha}) \frac{E_{\alpha}}{\langle E_{\alpha} \rangle}\right)}{\langle E_{\alpha} \rangle},$$

$\zeta_{\alpha} \rightarrow$  the pinching parameter

Different simulation models have different  $\zeta_{\alpha}$  and  $\langle E_{\alpha} \rangle$

# Initial Neutrino Flux:

The initial flux ( $\phi = \frac{L_\alpha}{\langle E_\alpha \rangle}$ ) is another important input parameter.

Total emitted SN energy ( $E_B = 3 \times 10^{53}$  erg) puts a constraint on flavor luminosities.

$$L_{\nu_e} + L_{\bar{\nu}_e} + 4L_{\nu_x} = \frac{E_B}{T}$$

We took a constant profile and emission time  $T = 10$  seconds.

Thus the initial fluxes of different flavors are also constrained

$$\phi_{\nu_e} \langle E_{\nu_e} \rangle + \phi_{\bar{\nu}_e} \langle E_{\bar{\nu}_e} \rangle + 4\phi_{\nu_x} \langle E_{\nu_x} \rangle = 3 \times 10^{52} \text{ erg/sec}$$



# Initial Neutrino Fluxes

If the ratio between the initial fluxes of different flavors are

$$\phi_{\nu_e} : \phi_{\bar{\nu}_e} : \phi_{\nu_x} = a : b : 1.00$$

then

$$\phi_{\nu_x} (a \langle E_{\nu_e} \rangle + b \langle E_{\bar{\nu}_e} \rangle + 4 \langle E_{\nu_x} \rangle) = 3 \times 10^{52} \text{ erg/sec}$$

These parameters are initial relative fluxes

$$a = \frac{\phi_{\nu_e}}{\phi_{\nu_x}}; \quad b = \frac{\phi_{\bar{\nu}_e}}{\phi_{\nu_x}}$$

# SN Neutrino Parameters

Other than FD there are mainly four representative models motivated by SN simulations.

One by Lawrence Livermore group (LL) and three by the Garching group (G1, G2, G3).

Fitting Parameters

Model	$\langle E_{\nu_e} \rangle$ (MeV)	$\langle E_{\bar{\nu}_e} \rangle$ (MeV)	$\langle E_{\nu_x} \rangle$ (MeV)	$\zeta_{\bar{\nu}_e}$	$\zeta_{\bar{\nu}_x}$	$\Phi_{\bar{\nu}_e} / \Phi_{\bar{\nu}_x} (a)$	$\Phi_{\bar{\nu}} / \Phi_{\bar{\nu}_x} (b)$
LL	12	15	24	3	4	2.00	1.60
G1	12	15	18	3	4	0.80	0.50
G2	12	15	15	3	4	0.50	0.50
G3	12	15	18	3	3	0.85	0.75

# Luminosity Variation

Phenomenologically Important Region:

$$\frac{1}{2} \leq \frac{L_{\nu_e}}{L_{\nu_x}} \leq 2 \quad ; \quad \frac{1}{2} \leq \frac{L_{\bar{\nu}_e}}{L_{\nu_x}} \leq 2$$

Lunardini and Smirnov (2003)

Therefore

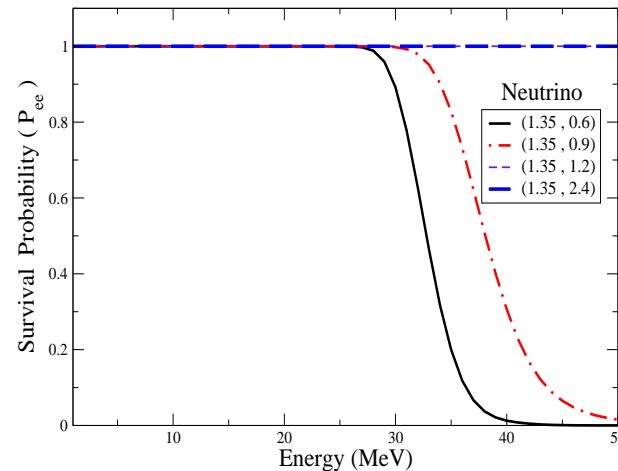
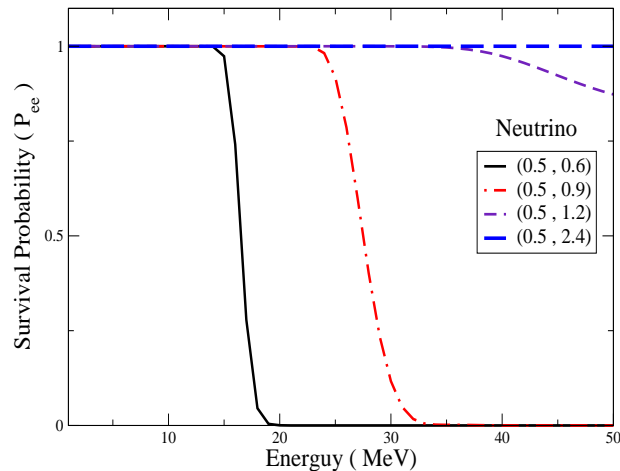
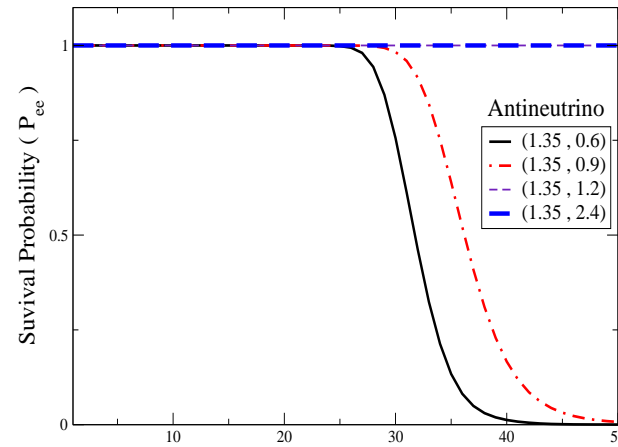
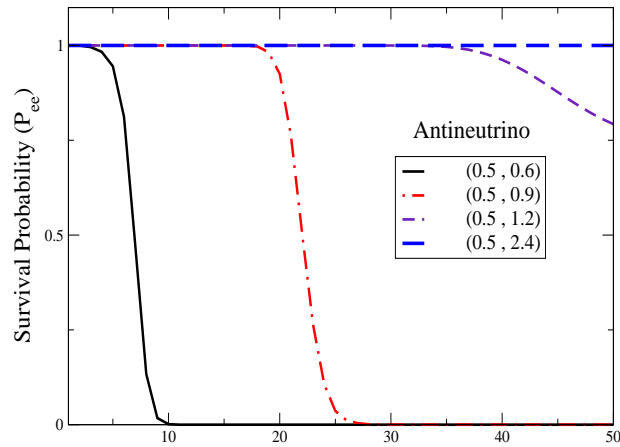
$$\frac{1}{2} \frac{\langle E_{\nu_x} \rangle}{\langle E_{\nu_e} \rangle} \leq a \leq 2 \frac{\langle E_{\nu_x} \rangle}{\langle E_{\nu_e} \rangle} \quad ; \quad \frac{1}{2} \frac{\langle E_{\nu_x} \rangle}{\langle E_{\bar{\nu}_e} \rangle} \leq b \leq 2 \frac{\langle E_{\nu_x} \rangle}{\langle E_{\bar{\nu}_e} \rangle}$$

We varied a and b in the above mentioned region.

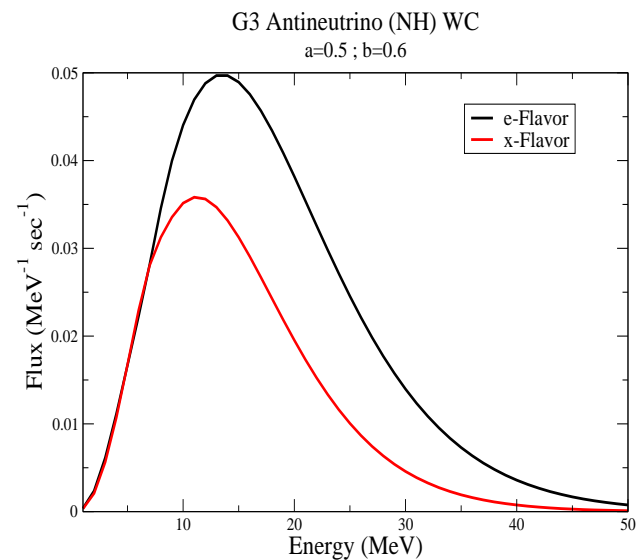
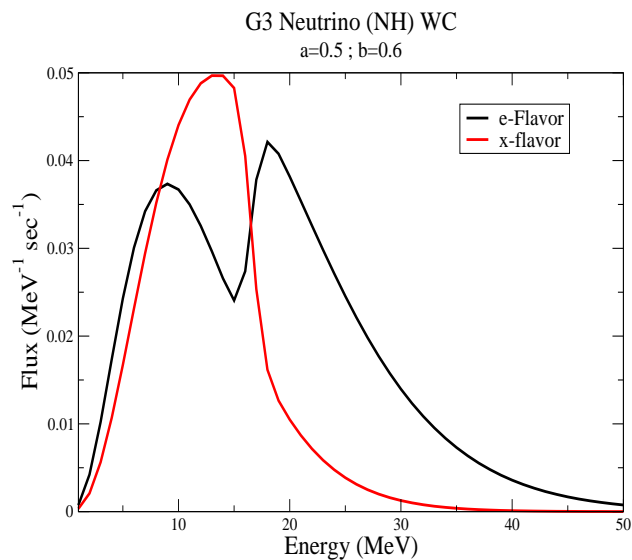
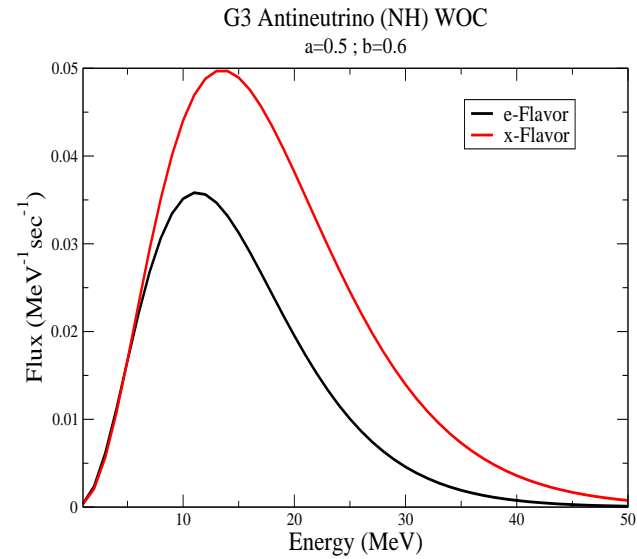
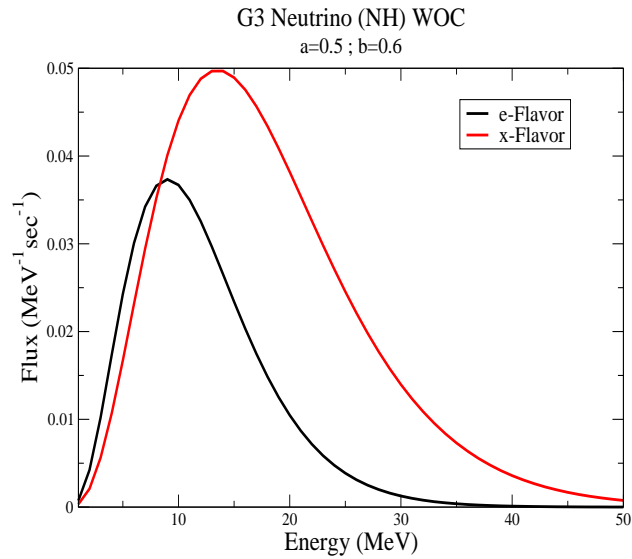
Fogli et al hep-ph/0907.5115

# For Normal Hierarchy

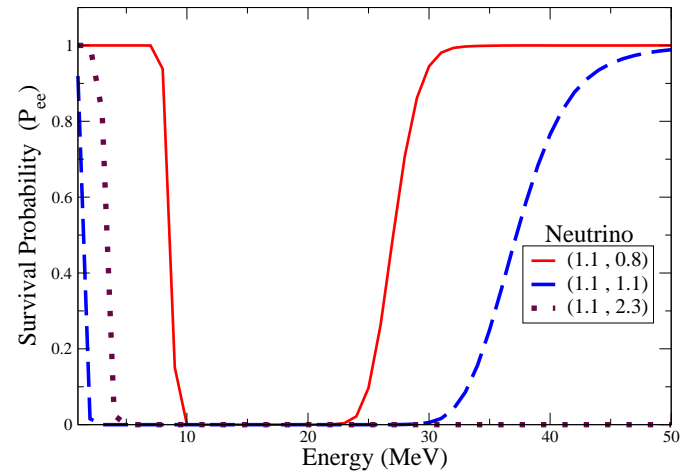
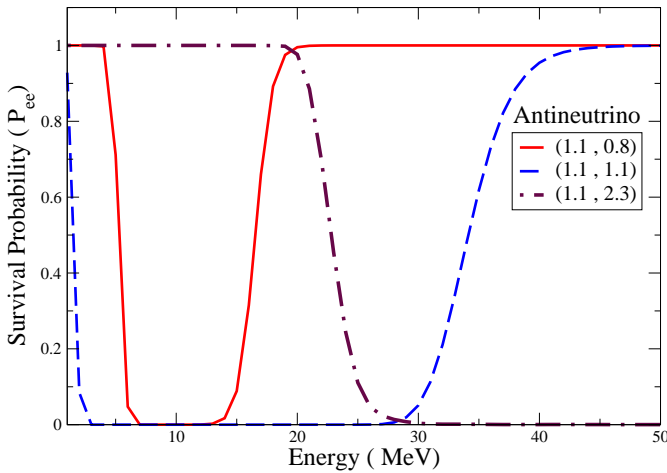
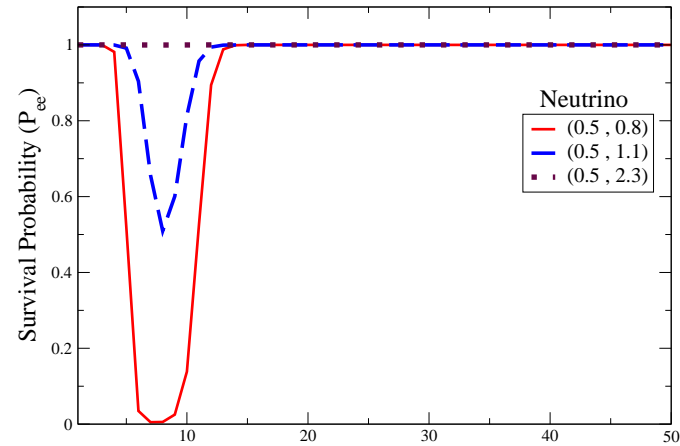
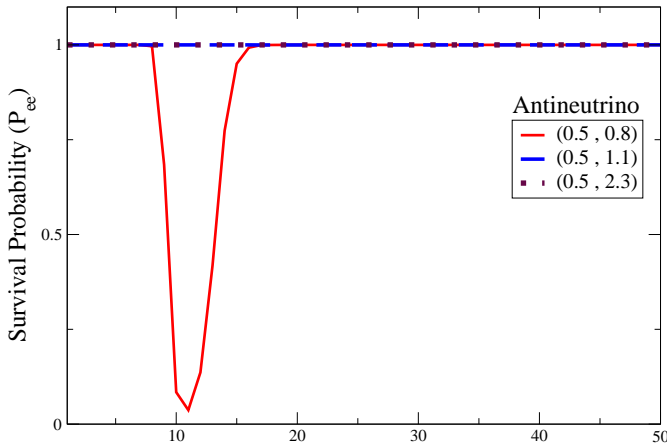
- Effective two flavour formalism:  $\Delta m^2 = 3 \times 10^{-3}$ ,  $\theta_{eff} = 10^{-5}$
- Survival prob. for  $\nu$  and  $\bar{\nu}$  for different luminosity combinations



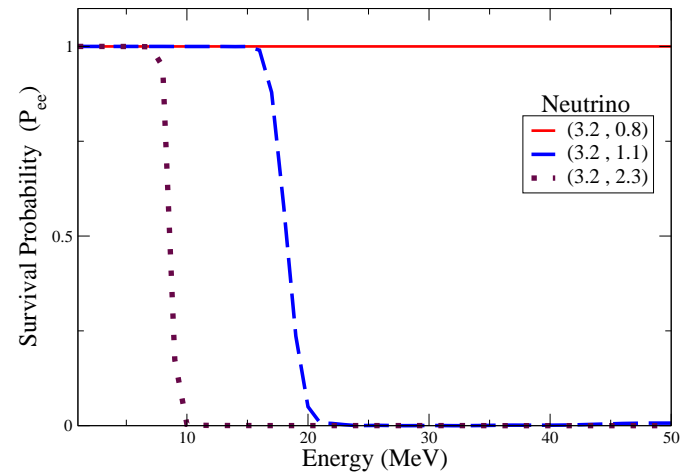
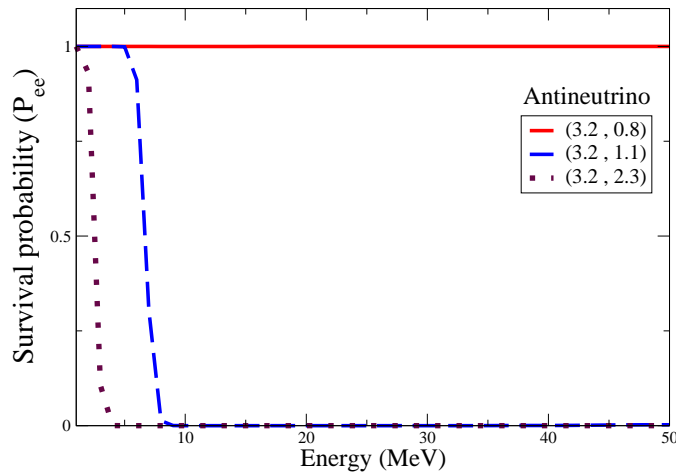
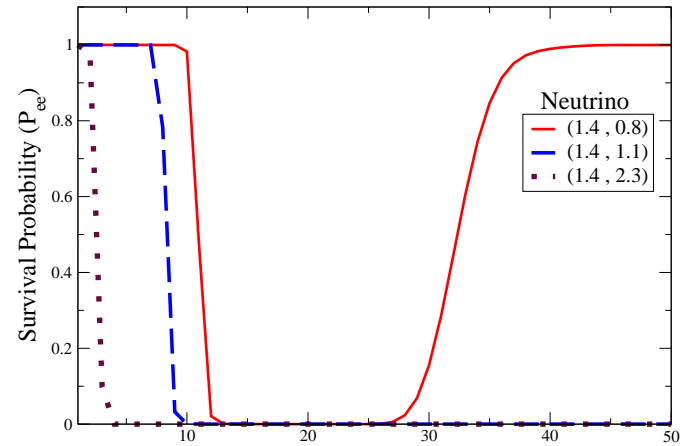
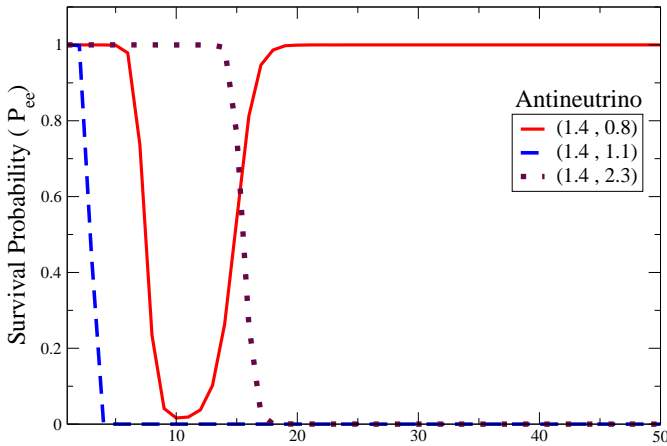
# Flux (Normal Hierarchy)



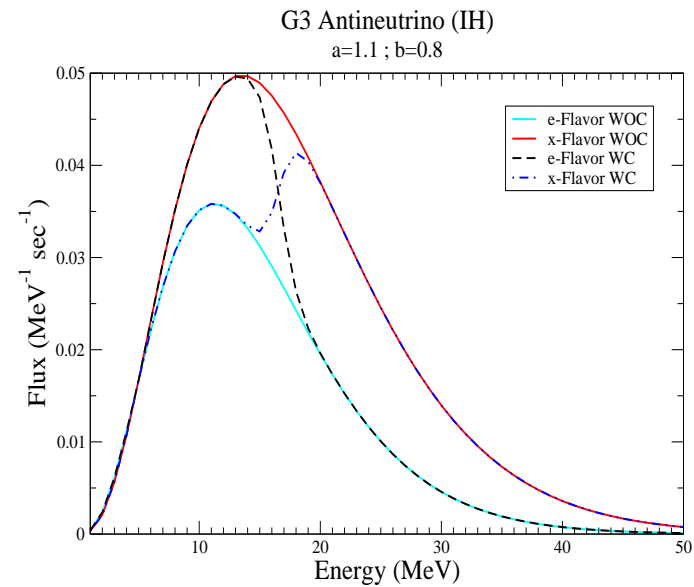
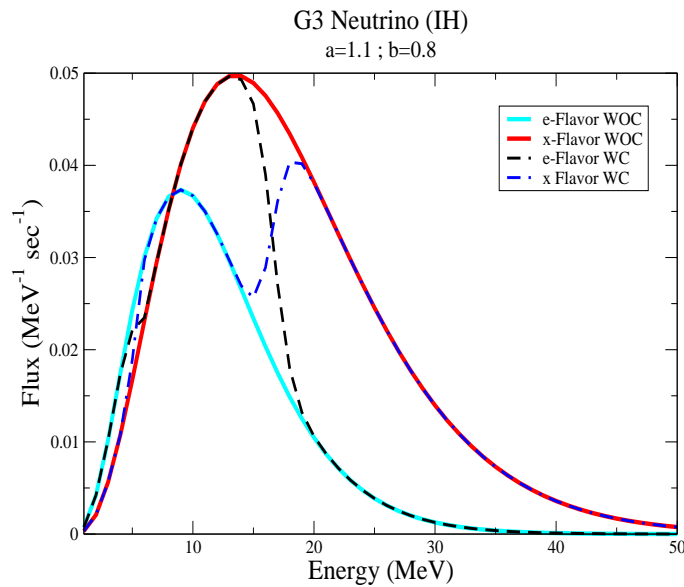
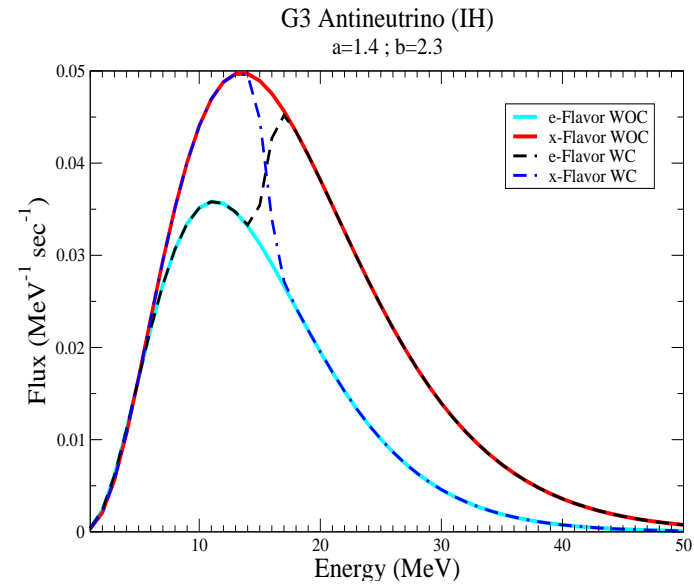
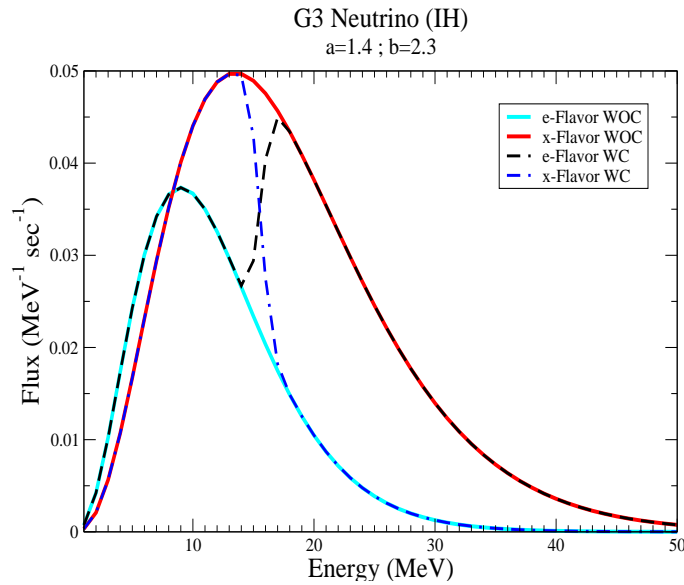
# For Inverted Hierarchy



# For Inverted Hierarchy



# Flux (Inverted Hierarchy)





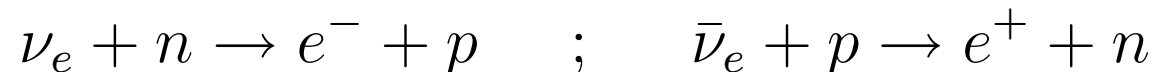
## ● 4. r-Process Nucleosynthesis and Collective Effects

# r-Process Nucleosynthesis

- Heavy n-rich nuclei beyond Fe synthesised by n-capture

Recent review: Qian, *Prog Part Nucl Phys* 50(2003), Arnould *et al* *Phys Rep* 450 (2007)

- Rapid(r-) Process:  $t_{n,\gamma} \ll t_{\beta}$
- Possible site  $\rightarrow$  " $\nu$ -driven wind" and the "hot bubble" in SN
- Heating by  $\nu$ -driven wind coming from  $\nu$ -sphere



- Important quantity is Electron Fraction ( $Y_e$ )

$$Y_e = \frac{\text{no. of electrons}}{\text{no. of baryons}}$$

Its evolution needs to be studied

Pastor and Raffelt (2002); Balantekin and Yuksel (2005)

# The variation of $Y_e$

- To be n-rich minimal condition  $Y_e < 0.5$
- Better n-rich condition  $Y_e < 0.45$  or  $0.40$
- Limiting Cases
  - No  $\nu$ - $\bar{\nu}$  interaction, no matter effect, for FD energy dist.

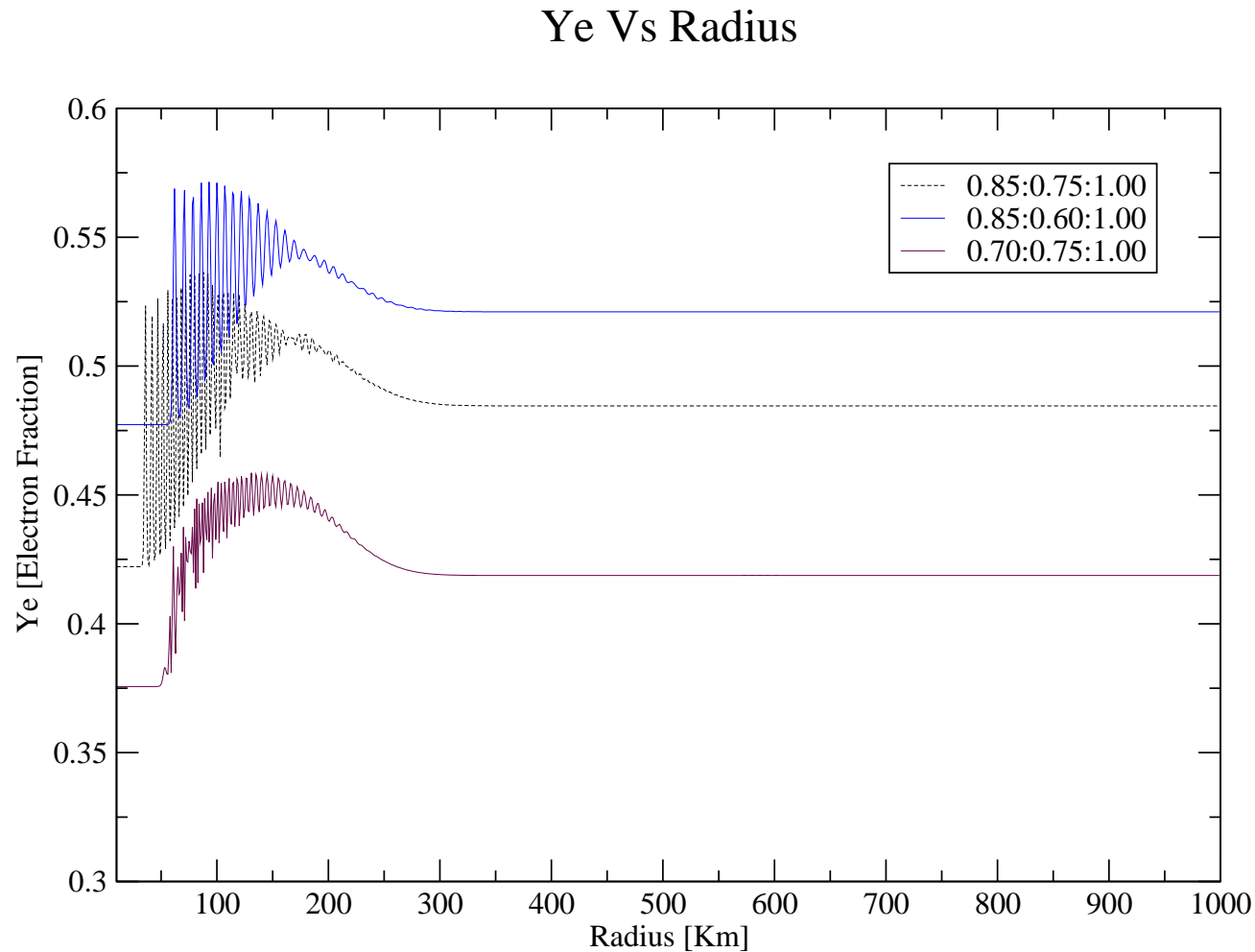
$$Y_e = \frac{1}{1 + T_{\bar{\nu}_e}/T_{\nu_e}}$$

$Y_e=0.4$  for our choice of temperatures

- Limit of complete conversion of  $\nu$  and  $\bar{\nu}$  gives  $Y_e=0.5$
- We have varied the luminosities to study the effect of multiple spectral splits on  $Y_e$

# $Y_e$ with Collective Effect for IH

$Y_e$  initially has a fast oscillation due to bipolar collective effects



# Comments

- Note that for SN MSW resonance occurs at very large value of 'r' and hence not included
- If matter has also alpha particles (strongly bound, no interaction with  $\nu$  or  $\bar{\nu}$ ) then

Balantekin and Yuksel, 2005

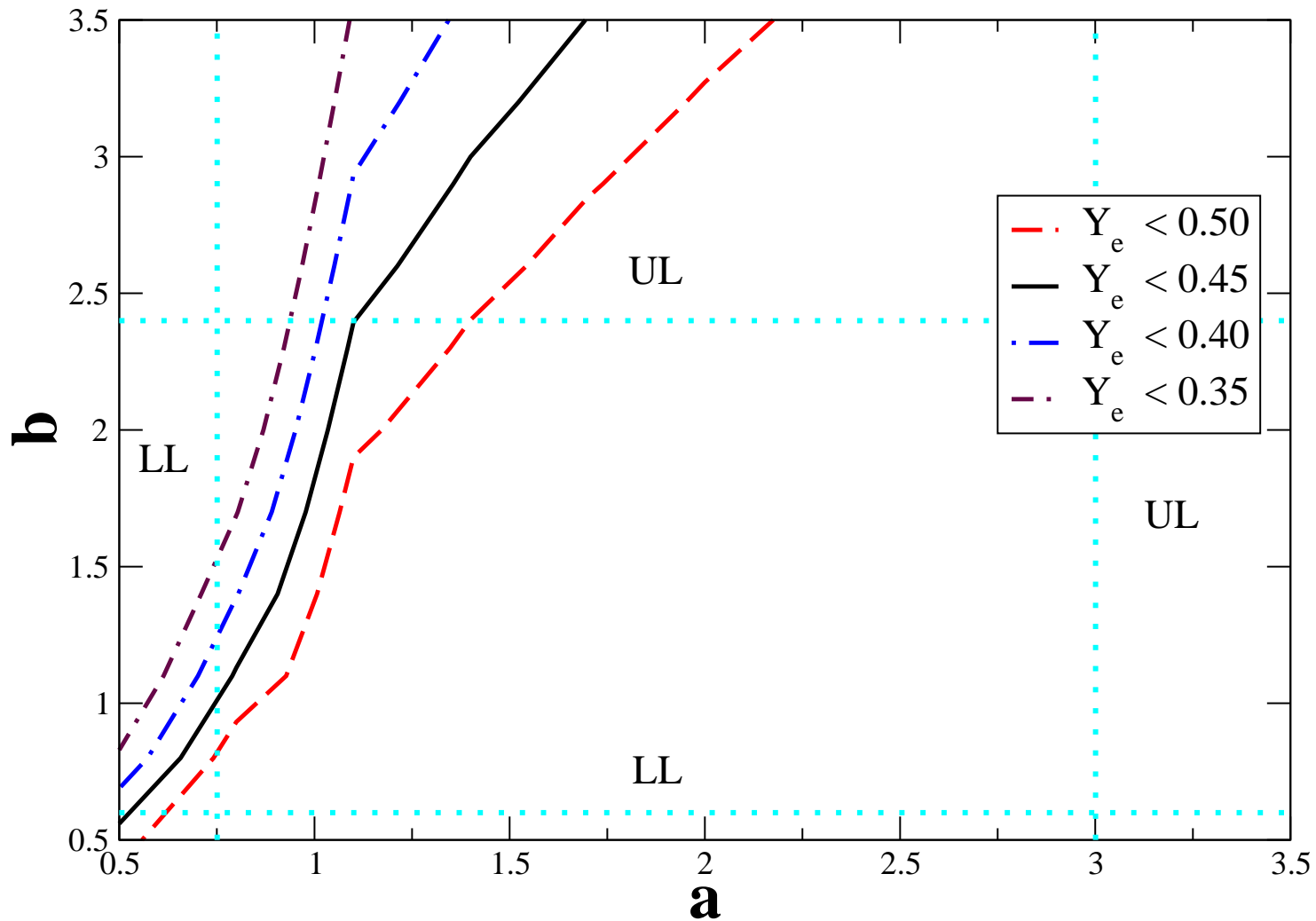
$$Y_e = Y_e^{(0)} + (1/2 - Y_e^0)X_\alpha$$

where  $Y^{(0)}$  is electron fraction without  $\alpha$  and  $X_\alpha$  is the alpha fraction

- Of course high entropy and other considerations needed to make r-process viable

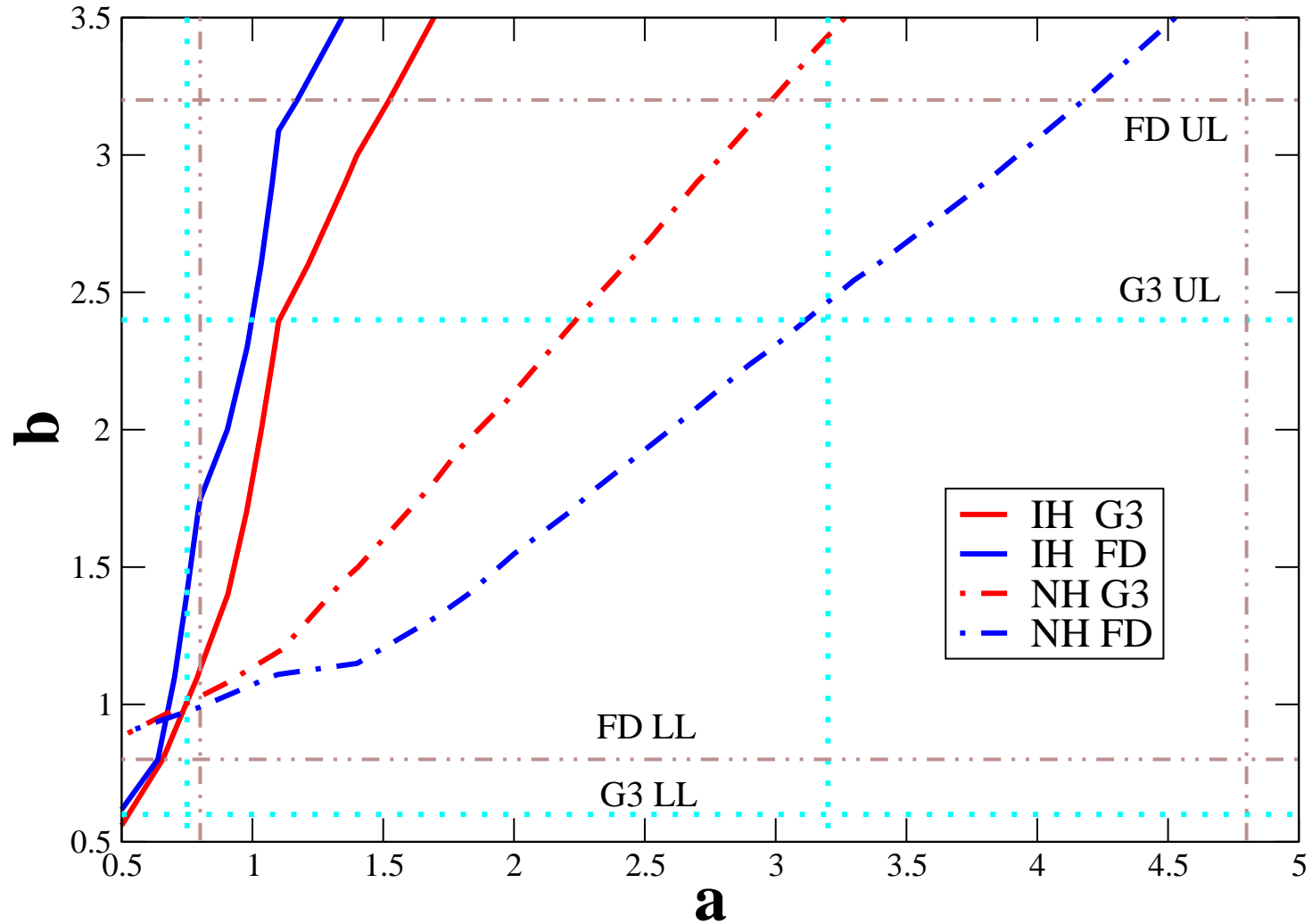
# Exclusion Plot

IH Exclusion Plot (G3)



# Exclusion Plot

Exclusion Plot ( $Y_e < 0.45$ )



# Summary

- The neutrino flux coming out of core collapse SN depend strongly on the collective effects produced due to  $\nu - \nu$  interaction
- The collective effects depend sensitively on the spectra as well as the hierarchy
- Effects of bipolar collective oscillation seen in  $Y_e$
- Allowed regions in the luminosities to give n-rich matter are plotted
- Effect of spectral splits on  $Y_e$  studied- NH gives more allowed region than IH



**THANK YOU**