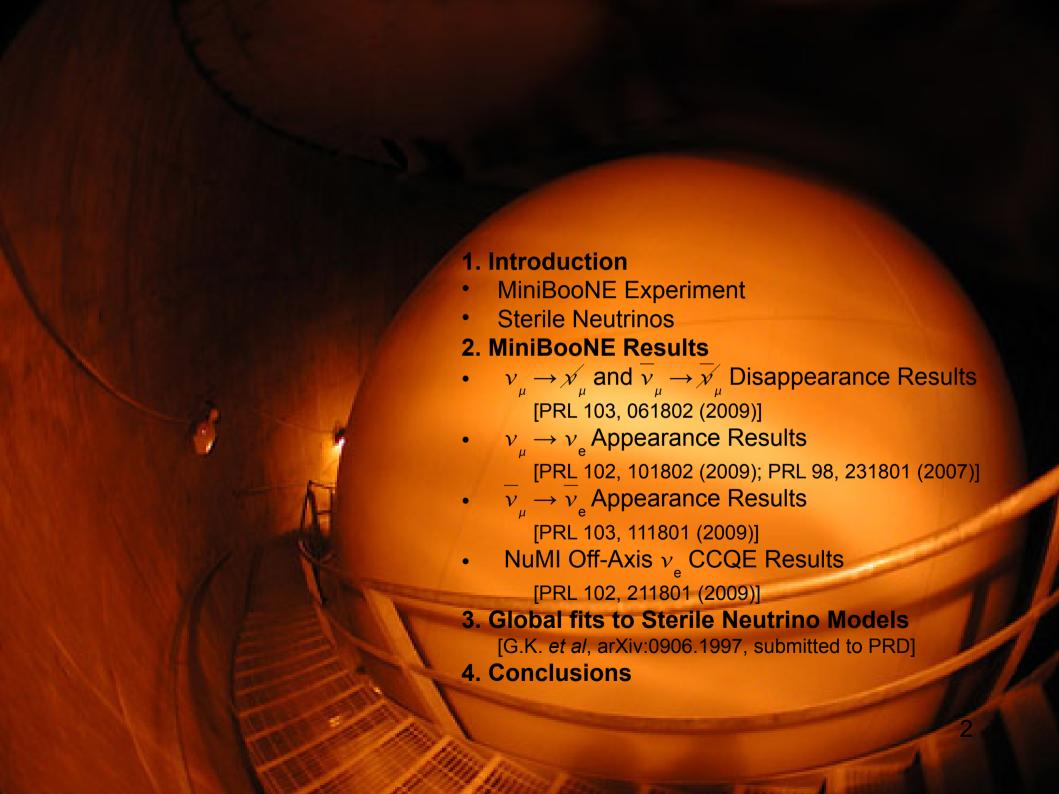
# MiniBooNE Oscillation Results

and the

Sterile Neutrina Mystery

Georgia Karagiorgi, MIT
Weak Interactions and Neutrinos 2009

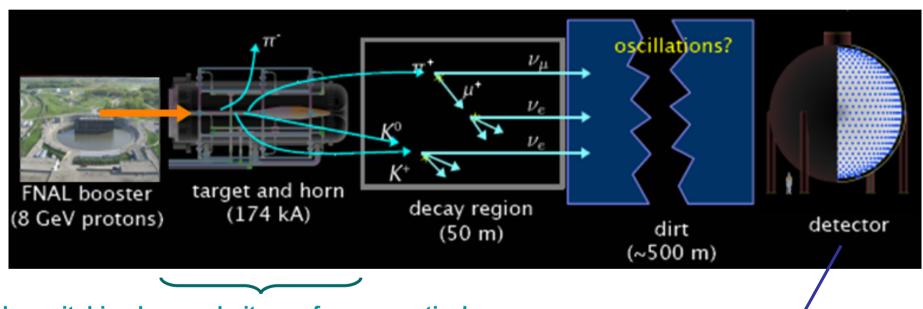
- Perugia, Italy



#### 1. Introduction: MiniBooNE Experiment

MiniBooNE was designed to study  $\nu_{_{_{\it u}}} \rightarrow \nu_{_{\rm e}}$  oscillations at  $\Delta {\rm m^2}{\sim}1{\rm eV^2}$ 

Oscillation probability  $P(\stackrel{(-)}{\nu_{\mu}} \rightarrow \stackrel{(-)}{\nu_{e}}) = \sin^2 2\theta \sin^2 (1.27 \Delta m^2 [eV^2] L[m]/E[MeV])$ 

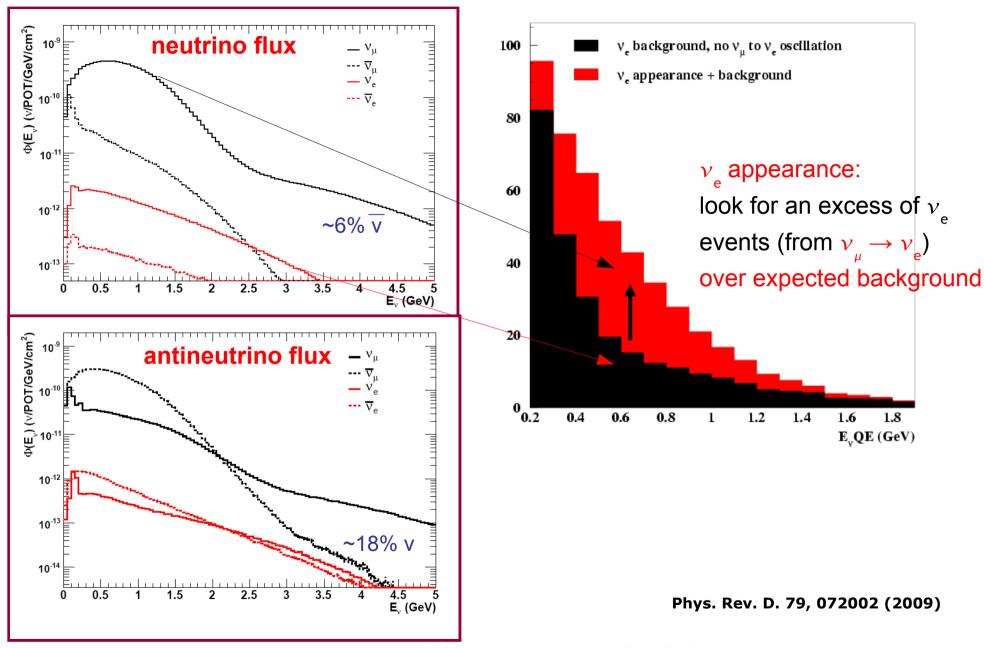


by switching horn polarity, we focus negatively charged mesons, yielding an anti-v<sub>µ</sub> beam

nti-v<sub>μ</sub> beam 800 ton mineral oil Cherenkov detector 12 m in diameter (450 ton fiducial volume) lined with 1280 inner PMT's, and 240 outer veto PMT's

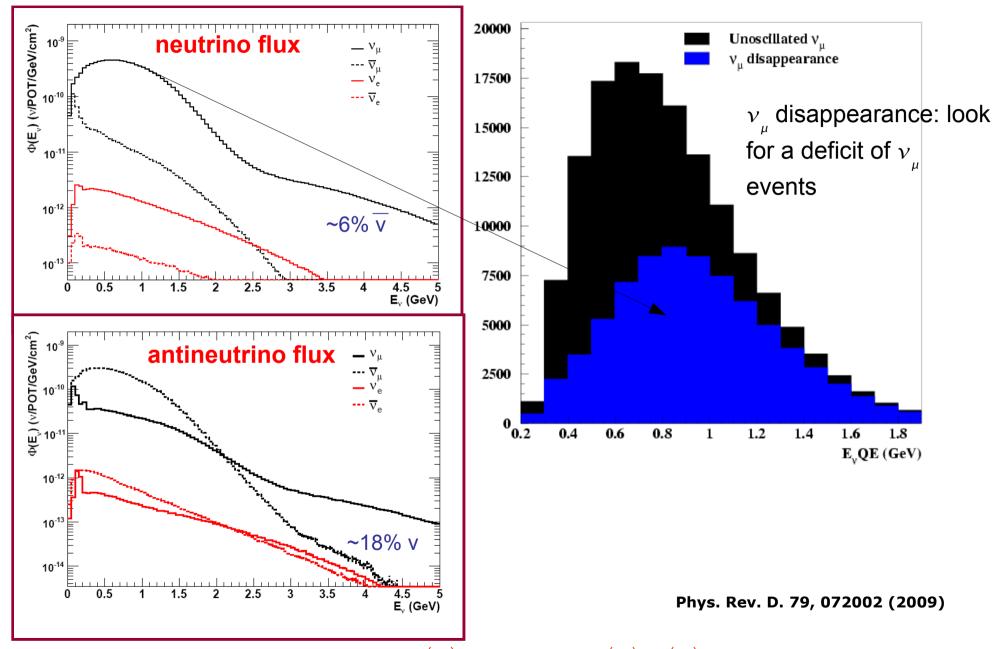
NIM A599, 28 (2009)

#### 1. Introduction: MiniBooNE Experiment



MiniBooNE can look for both  $(\vec{v}_e)$  appearance  $(\vec{v}_\mu) \rightarrow (\vec{v}_e)$  oscillations) and  $(\vec{v}_\mu)$  disappearance  $(\vec{v}_\mu) \rightarrow (\vec{v}_\mu)$  oscillations)

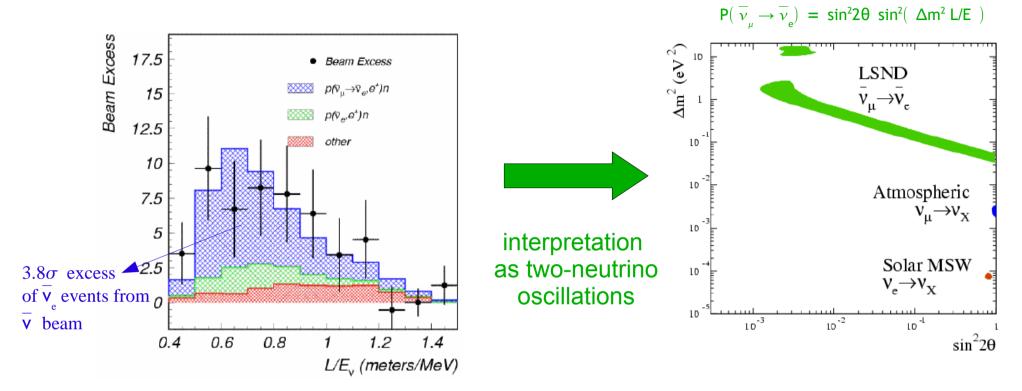
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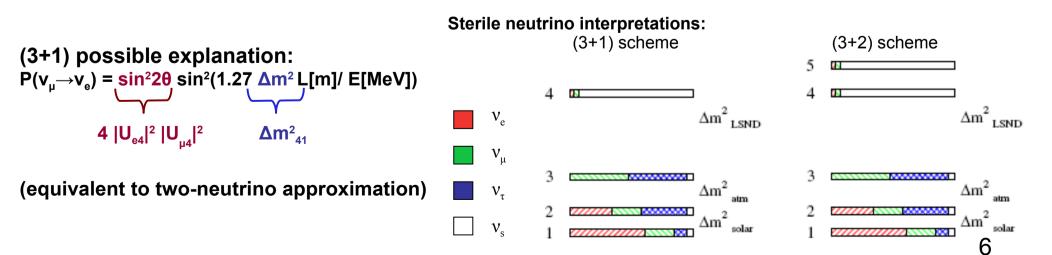


MiniBooNE can look for both  $(\vec{v}_e)$  appearance  $(\vec{v}_\mu) \rightarrow (\vec{v}_e)$  oscillations) and  $(\vec{v}_\mu)$  disappearance  $(\vec{v}_\mu) \rightarrow (\vec{v}_\mu)$  oscillations)

#### 1. Introduction: Sterile Neutrinos

LSND and the [In] Famous Sterile Neutrino...





#### 1. Introduction: Sterile Neutrinos

Other experimental constraints to these models:

experiment	result	search (channel)	constrains	
LSND	signal	$\overline{\nu_{\mu}} \longrightarrow \overline{\nu_{e}}$	$U_{e4}U_{\mu4}$	
KARMEN	null	$\overline{\nu_{\mu}} \longrightarrow \overline{\nu_{e}}$	$U_{e4}U_{\mu4}$	APPEARANCE
NOMAD	null	$\nu_{\mu} \longrightarrow \nu_{e}$	$\mathrm{U_{e4}U_{\mu4}}$	
Bugey	null	v <sub>e</sub> disappearance	$\rm U_{e4}$	
CHOOZ	null	$\overline{\nu_e}$ disappearance	$\mathrm{U_{e4}}$	v <sub>e</sub> DISAPPEARANCE
CCFR	null	$\nu_{\mu}$ disappearance	${ m U}_{\mu4}$	
CDHS	null	$\nu_{\mu}$ disappearance	${ m U}_{\mu4}$	v <sub>u</sub> DISAPPEARANCE
atm constraints	null	$\nu_{\mu}$ disappearance	$U_{\mu4}$	μ

Null short-baseline (SBL) experiments and LSND are incompatible under two-neutrino oscillations (3+1).

However, models with **more than one sterile neutrino** are more promising: "All experiments are compatible at 2.1%" [Sorel, et al. PRD 70 073004 (2004)]

(3+2) model: effectively a three-neutrino oscillation analysis

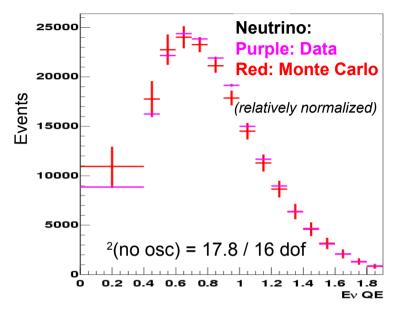
→ room for observable (Dirac) CP violation

## What Did MiniBooNE See?

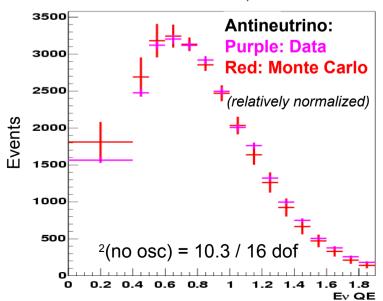
## **2. MiniBooNE Results:** $v_{\mu} \rightarrow v_{\mu}$ and $\overline{v}_{\mu} \rightarrow \overline{v}_{\mu}$ Disappearance Results

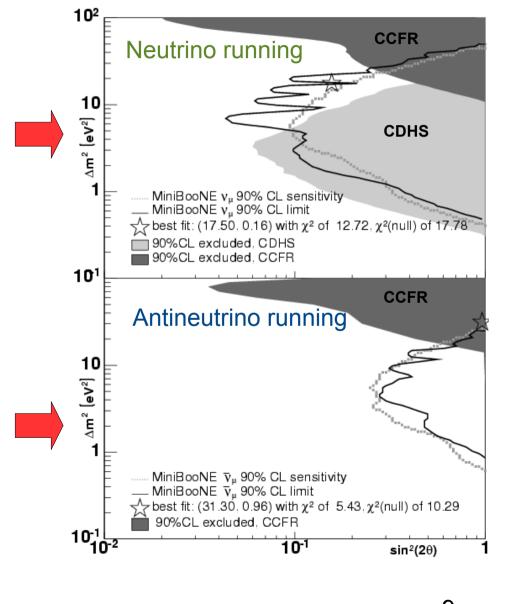
Neutrino running provides a high-statistics, pure  $\nu_{_{_{^{\prime\prime}}}}$  beam.

The analysis is dominated by systematic flux and cross-section uncertainties → perform a shape-only fit.



The antineutrino disappearance search uses a **smaller data sample** (POT, flux, cross section differences).

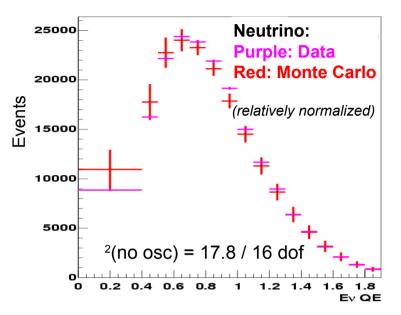




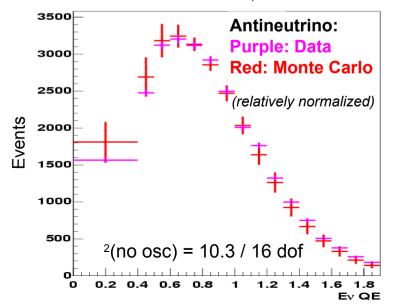
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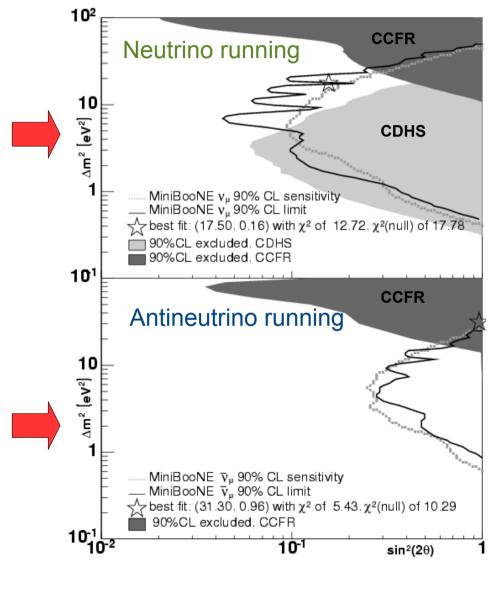
## **2. MiniBooNE Results:** $v_{\parallel} \rightarrow v_{\parallel}$ and $\overline{v}_{\parallel} \rightarrow \overline{v}_{\parallel}$ Disappearance Results

MINIBOONE SEES NO  $\nu$  OR  $\nu$  DISAPPEARANCE AT 90% CL The analysis is dominated by systematic flux and cross-section uncertainties  $\rightarrow$  perform a shape-only fit.



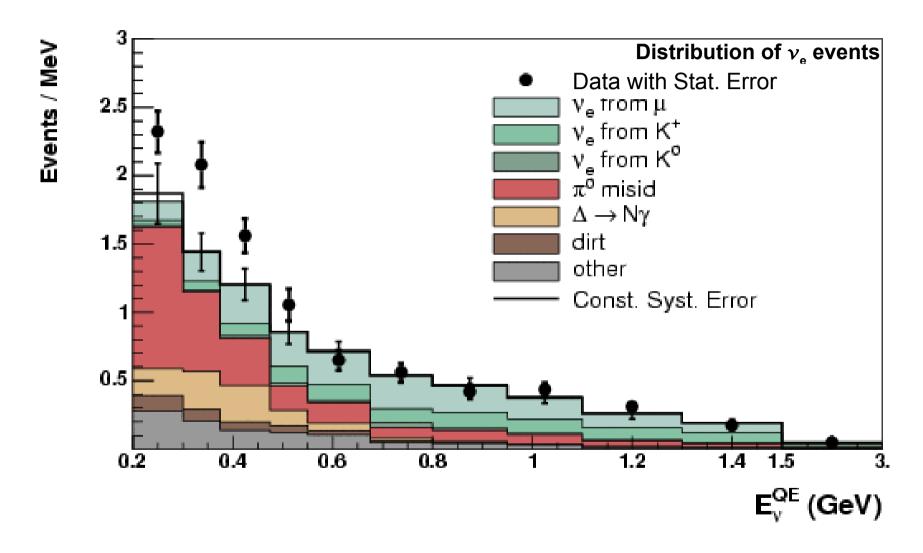
The antineutrino disappearance search uses a smaller data sample (POT, flux, cross section differences).





See talk by Y. Nakajima

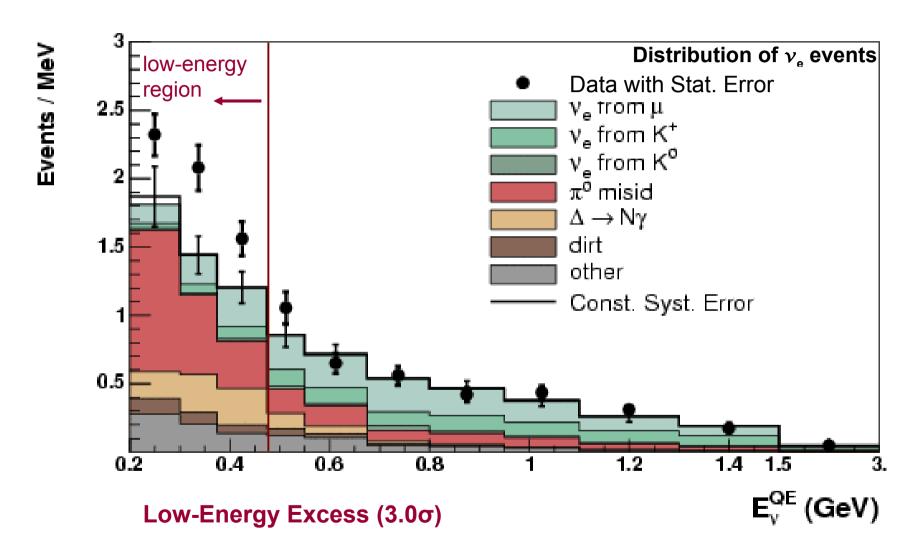
## **2. MiniBooNE Results:** $\nu_{_{\mu}} \rightarrow \nu_{_{\rm e}}$ Appearance Results



Dataset corresponds to  $6.46 \times 10^{20}$  protons on target

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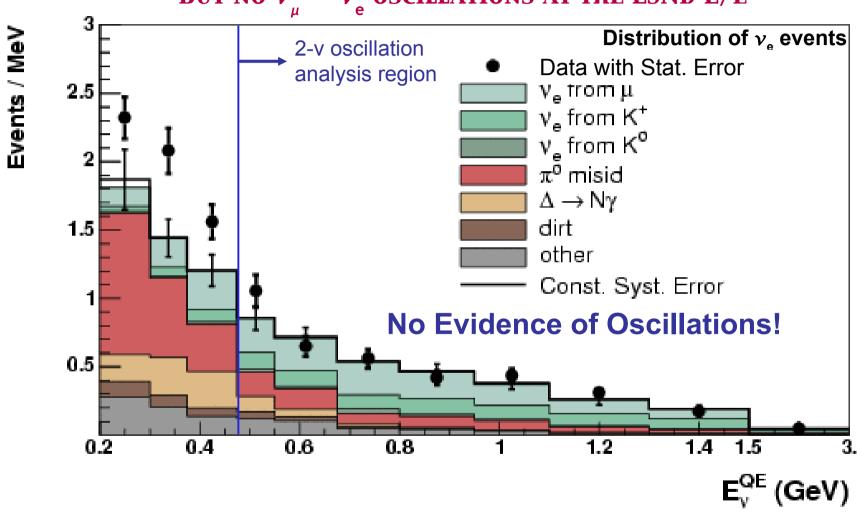
## MINIBOONE SEES AN EXCESS OF $\nu_e$ EVENTS AT LOW ENERGY



Dataset corresponds to  $6.46 \times 10^{20}$  protons on target

### **2. MiniBooNE Results:** $\nu_{\mu} \rightarrow \nu_{e}$ Appearance Results

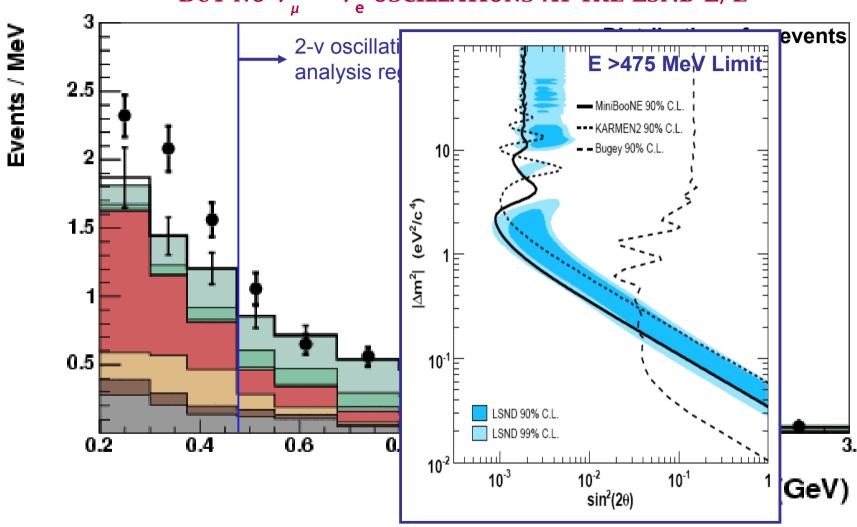
# MINIBOONE SEES AN EXCESS OF $\nu_e$ EVENTS AT LOW ENERGY BUT NO $\nu_{_{II}} \rightarrow \nu_e$ OSCILLATIONS AT THE LSND L/E



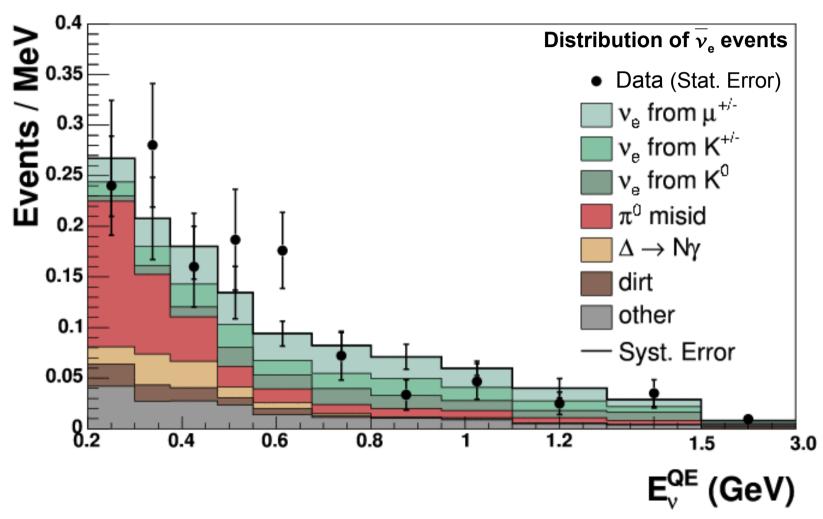
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### **2. MiniBooNE Results:** $\nu_{\mu} \rightarrow \nu_{e}$ Appearance Results

# MINIBOONE SEES AN EXCESS OF $\nu_e$ EVENTS AT LOW ENERGY BUT NO $\nu_{_{_{I\!I}}} \rightarrow \nu_{_{_{\!P}}}$ OSCILLATIONS AT THE LSND L/E

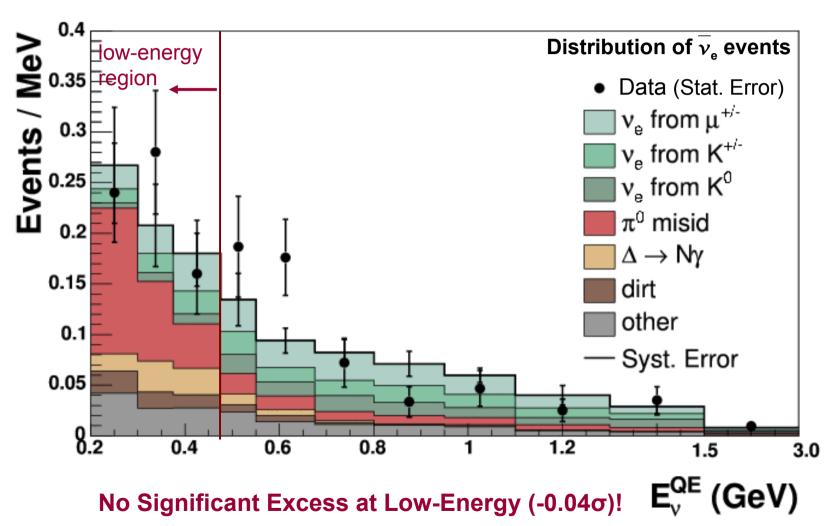


Dataset corresponds to  $6.46 \times 10^{20}$  protons on target



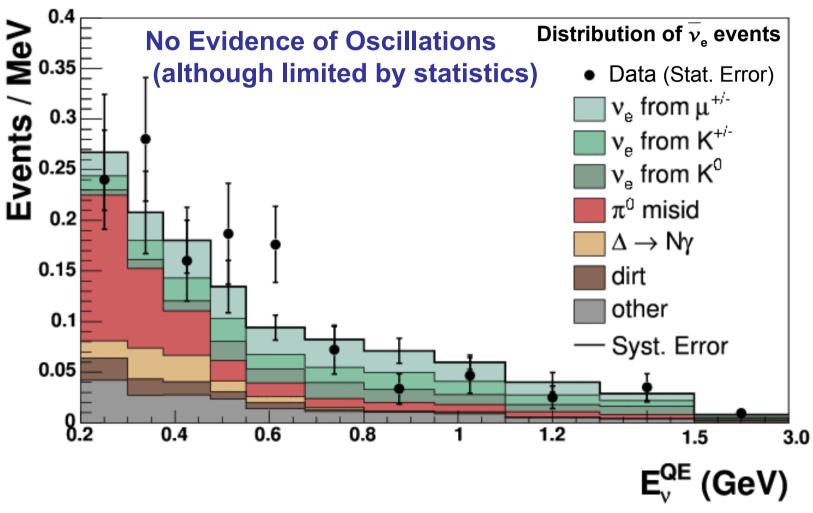
Dataset corresponds to  $3.39 \times 10^{20}$  protons on target

#### MINIBOONE SEES NO SIGNIFICANT EXCESS AT LOW ENERGY



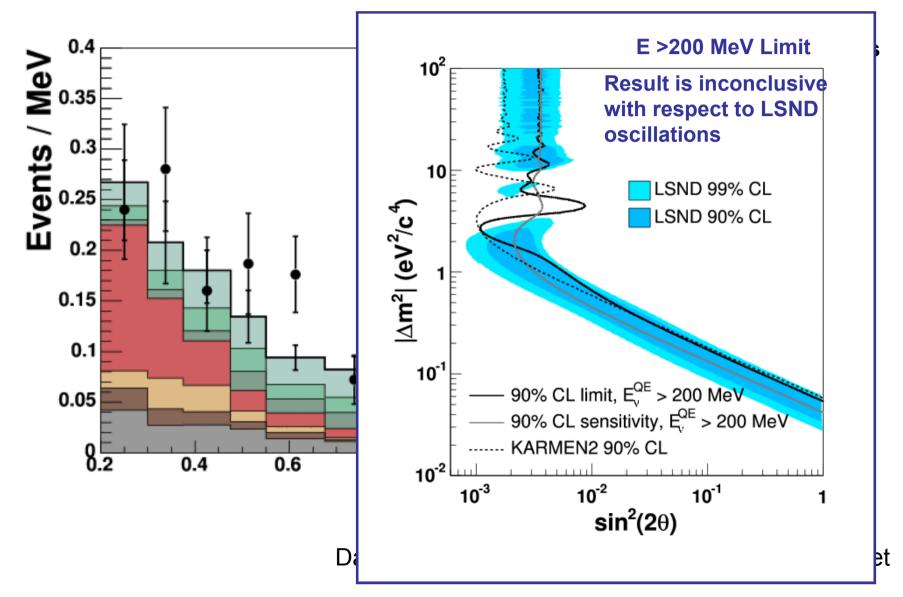
Dataset corresponds to  $3.39 \times 10^{20}$  protons on target

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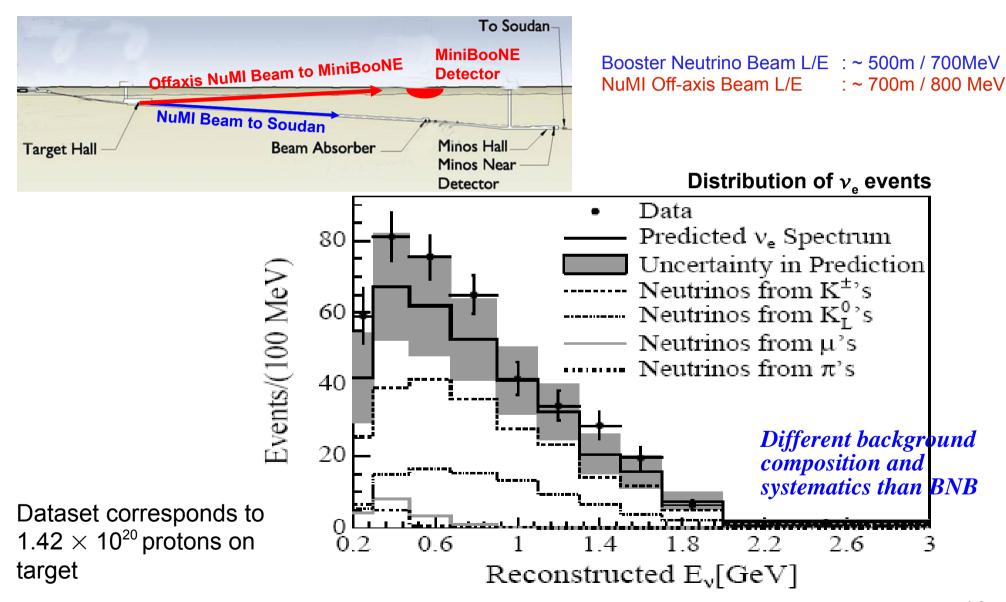


Dataset corresponds to  $3.39 \times 10^{20}$  protons on target

# MINIBOONE SEES NO SIGNIFICANT EXCESS AT LOW ENERGY AT THIS POINT, INCONCLUSIVE WITH RESPECT TO $\nu_\mu \to \overline{\nu}_e$ OSCILLATIONS AT THE LSND L/E

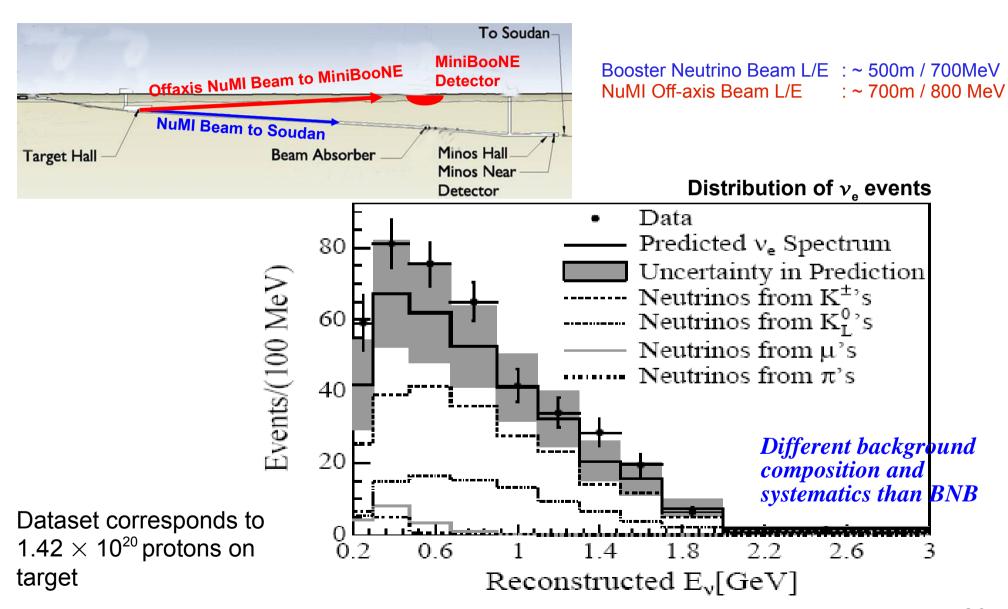


#### **2. MiniBooNE Results:** NuMI Off-Axis $\nu_{\alpha}$ CCQE Results



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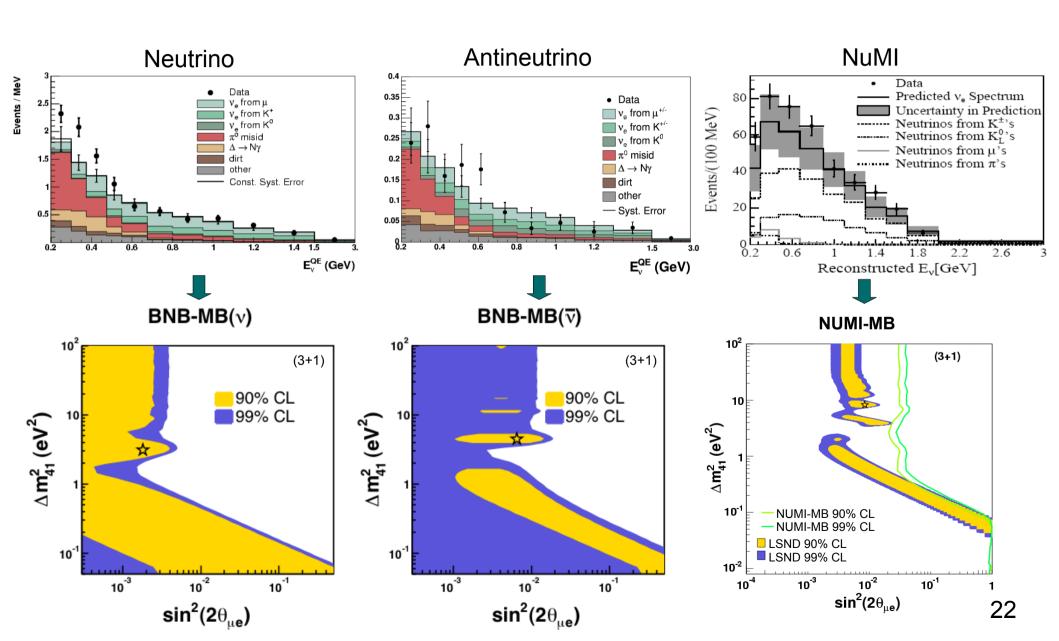
## MINIBOONE SEES A 1.2 SIGMA EXCESS FROM THE NUMI BEAM AT 200-900 MEV

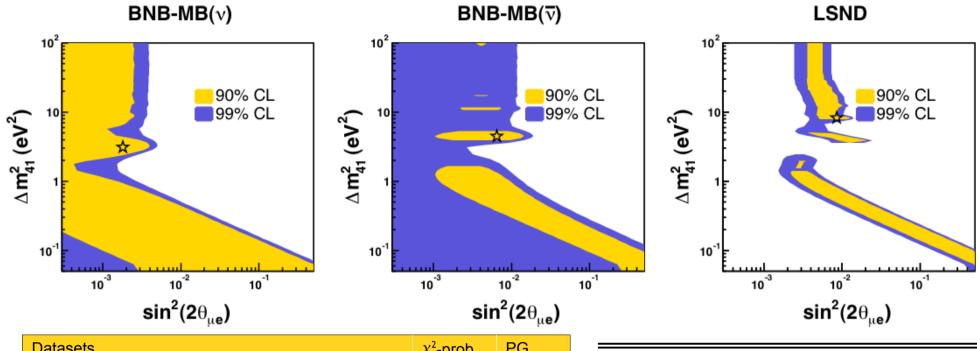


## What Could This All Mean\*?

(\*from an oscillations perspective)

Appearance results from MiniBooNE were included in global sterile neutrino fits to short-baseline data [arXiv:0609.1997]

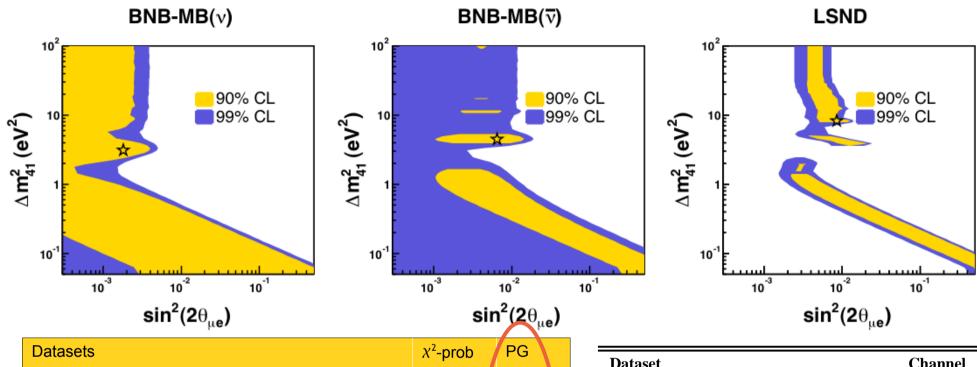




Datasets	X²-prob	PG
BNB-MB( $\nu$ )+ BNB-MB( $\overline{\nu}$ )+LSND (90% closed contours)	11%	0.26%
BNB-MB( $\overline{\nu}$ )+LSND (antineutrino appearance, 90% closed contours)	38%	49%
BNB-MB( $\overline{\nu}$ )+LSND+KARMEN (antineutrino appearance)	29%	3.4%
BNB-MB(v)+NUMI-MB+NOMAD (neutrino appearance)	40%	8.8%
appearance SBL	24%	2e-2%
all SBL	46%	8e-8%
v SBL	47%	6e-2%
$\overline{\nu}$ SBL	86%	1.5%

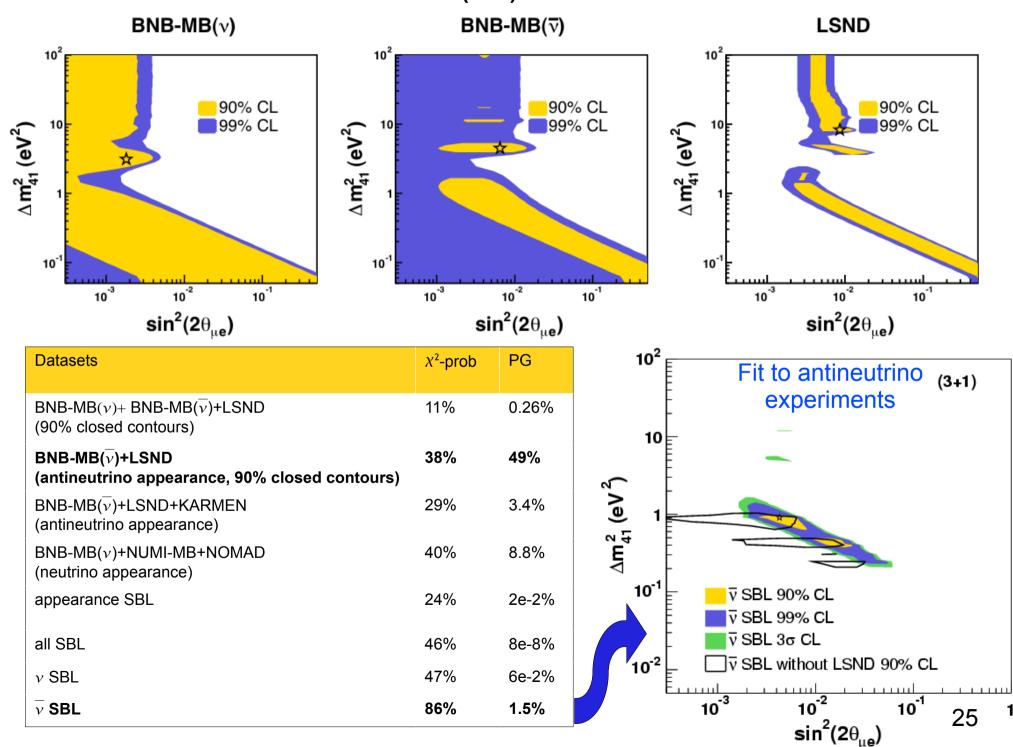
Dataset	Channel
Appearance experiments:	
LSND	$\stackrel{-}{\nu}_{\mu} \rightarrow \stackrel{-}{\nu}_{\rm e}$
$BNB\text{-MB}(\nu)$	$v_{\mu} \rightarrow v_{e}$
$BNB\text{-MB}(\overline{\nu})$	$\stackrel{-}{\nu}_{\mu} \rightarrow \stackrel{-}{\nu}_{\mathrm{e}}$
NUMI-MB	$v_{\mu} \rightarrow v_{e}$
KARMEN	$\overline{\overline{\nu}}_{\mu} \rightarrow \overline{\overline{\nu}}_{e}$
NOMAD	$ u_{\mu} \rightarrow \nu_{\rm e} $
Disappearance experiments:	
Bugey	$\frac{-}{v_{\rm e}}  ightarrow \frac{-}{v_{\rm e}}$
CHOOZ	$\stackrel{-}{\nu_{\rm e}} \rightarrow \stackrel{-}{\nu_{\rm e}}$
CCFR84	$\nu_{_{''}} \rightarrow \nu_{_{''}}$

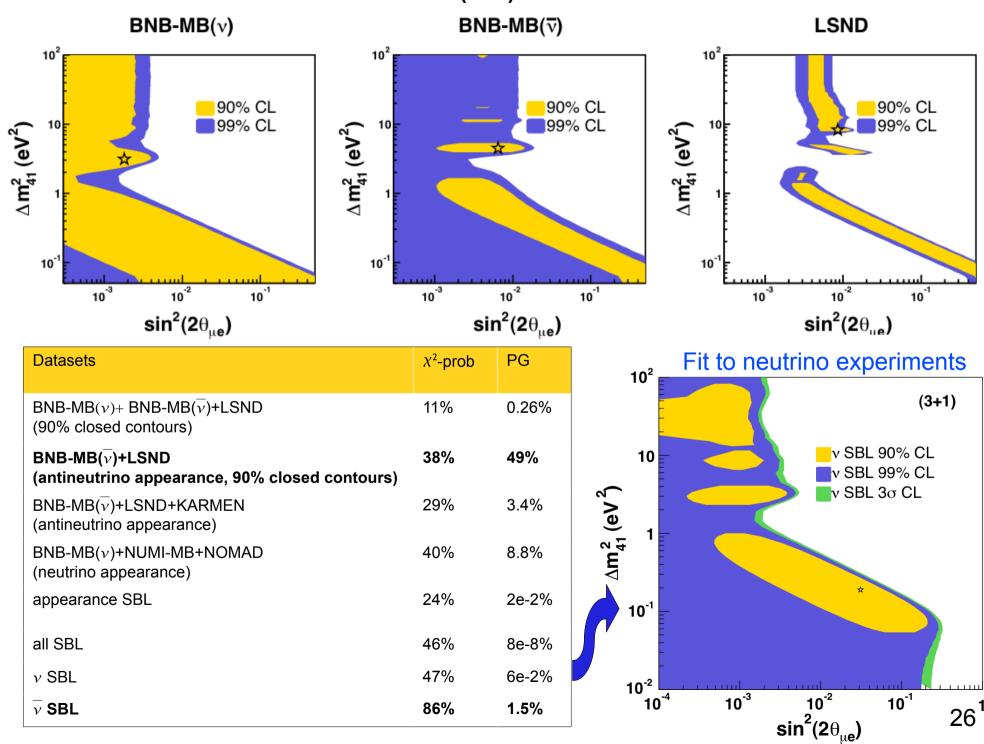
**CDHS** 



Datasets	X²-prob	/PG	Dataset
BNB-MB( $\nu$ )+ BNB-MB( $\overline{\nu}$ )+LSND (90% closed contours)	11%	0.26%	Appearance experiments:  LSND
BNB-MB $(\overline{\nu})$ +LSND (antineutrino appearance, 90% closed contours)	38%	49%	BNB-MB $(v)$ BNB-MB $(\overline{v})$
BNB-MB $(\overline{\nu})$ +LSND+KARMEN (antineutrino appearance)	29%	3.4%	NUMI-MB KARMEN
BNB-MB(v)+NUMI-MB+NOMAD (neutrino appearance)	40%	8.8%	NOMAD
appearance SBL	24%	2e-2%	Disappearance experiments:
all SBL	46%	8e-8%	Bugey  Compatibility
ν SBL	47%	6e-2%	("Parameter Goodness-of-ft")
$\overline{ u}$ SBL	86%	1.5%	CDHS

Dataset	Channel
Appearance experiments:	
LSND	$\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{\epsilon}$
$BNB\text{-MB}(\nu)$	$v_{\mu} \rightarrow v_{e}$
$BNB\text{-MB}(\overline{\nu})$	$\overline{v}_{\mu} \rightarrow \overline{v}$
NUMI-MB	$v_{\mu} \rightarrow v$
KARMEN	$\overline{v}_{\mu} \rightarrow \overline{v}$
NOMAD	$\nu_{\mu}^{r} \rightarrow \nu_{\mu}$
Disappearance experiments:	
Bugey	$\overline{\nu}_{\rm e} \rightarrow \overline{\nu}_{\rm e}$
npatibility	$\frac{1}{\nu_{\rm e}} \rightarrow \frac{1}{\nu_{\rm e}}$



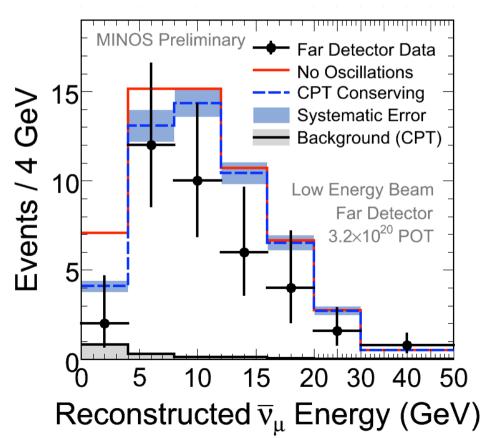


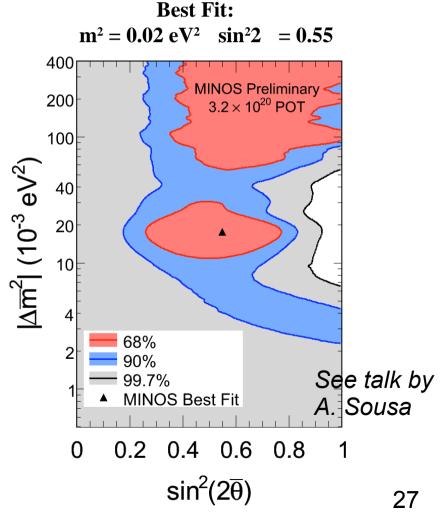
CPT conservation in a (3+1) model implies that v and v oscillations cannot be different.

## Does the data suggest otherwise?...

### MINOS $\overline{v}_{\mu}$ Disappearance Results

FNAL W&C, May 15, 2009 http://theory.fnal.gov/jetp/Hartnell.pdf





## Before we get carried away, could this be our good old friend, CP violation?

Examine SBL results under (3+2) scenario: naturally allows for CP violation

→ different appearance probabilities for neutrinos vs antineutrinos

_	Datasets	CPV $\chi^2$ -prob	PG	$CPC$ $\chi^2$ -prob
"troublemakers"	BNB-MB( $\nu$ )+ BNB-MB( $\overline{\nu}$ )+LSND (90% closed contours)	21%		13%
	BNB-MB( $\nu$ )+BNB-MB( $\overline{\nu}$ )+LSND+ NUMI-MB+KARMEN+NOMAD (appearance)	27%	2e-2%	22%
	all SBL appearance vs disappearance neutrino vs antineutrino	52%	2e-7% 0.26% 8e-2%	52%
	ν SBL	48%		
	$\overline{v}$ SBL	87%		
	ν appearance	57%		
	$\overline{v}$ appearance	37%		

(3+2) CPV fit to appearance-only datasets provides a good description to BNB-MB(nu) and NUMI-MB, (comparable to (3+1) neutrino-only fit), but not for BNB-MB(nubar).

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	$\overline{\nu}$ appearance	37%		

Again, grouping datasets in neutrino-only and antineutrino-only sets yields higher compatibilities!

#### 4. Conclusions (I)

#### **MiniBooNE Oscillation Results:**

- MiniBooNE has reported two-neutrino oscillation search results at  $\Delta m^2 \sim 1 \text{ eV}^2$ , and has seen no evidence of CP/CPT-conserving oscillations at the LSND L/E
- It sees an unexpected excess of events at low energy in neutrino mode
- The antineutrino appearance search, a direct test of LSND independent of CP or CPT assumptions, is, at this point, inconclusive with respect to two-neutrino oscillations at the LSND L/E

#### New results expected in the near future:

- Updated **antineutrino appearance** analysis (~5E20 POT)
- Joint neutrino + antineutrino (~5E20 POT) appearance analysis and investigation of **low energy excess**
- Joint MiniBooNE and SciBooNE (BNB near detector) disappearance analysis
- NuMI appearance analysis with higher statistics and reduced systematics

MiniBooNE has already been granted further antineutrino running, for an additional 5E20 POT (data to be collected in 2-3 years)!

#### 4. Conclusions (II)

#### MiniBooNE's Impact on Sterile Neutrino Models

(3+1) models are still disfavored, but

Antineutrino experiments provide good f ts and higher compatibilities. A (3+1) f t to all antineutrino SBL data yields 86%  $\chi^2$ -probability, and allowed regions which exclude the no-oscillations point at > 5 $\sigma$ . However, there is large tension between neutrino and antineutrino data.

(3+2) provides only a **marginally better** description of all short-baseline (SBL) data over (3+1); CP violation in (3+2) offers **small improvement** in f ts to appearance-only experiments.

New results from MINOS and a joint MiniBooNE/SciBooNE  $\nu_{\mu}$  and  $\overline{\nu}_{\mu}$  disappearance analysis, expected soon, will provide additional information.

Thank you!

#### Model parameters:

(arXiv:hep-ph/0906.1997)

```
approximate m_1 = m_2 = m_3 = 0*
two independent mass splittings: \Delta m_{41}^2, \Delta m_{51}^2
four moduli: |U_{e4}|, |U_{\mu4}|, |U_{e5}|, |U_{\mu5}|
one CPV phase: \varphi_{54} = arg(U^*_{\mu5}U_{e5}U_{\mu4}U^*_{e4})
```

#### Fit method:

• Generate masses and mixing parameters (models) by importance sampling:

$$- 0.01eV^{2} \le \Delta m^{2}_{4l}, \quad \Delta m^{2}_{5l} \le 100eV^{2}$$
 Model acceptance probability: 
$$\Delta m^{2}_{5l} \ge \Delta m^{2}_{4l}$$
 
$$- |U_{e4}|, |U_{u4}|, |U_{e5}|, |U_{u5}|$$
 
$$|U_{u4}|, |U_{u5}|, |U_{u5}|$$
 
$$|V_{u4}|, |V_{u5}|, |V_{u5}|$$
 
$$|V_{u5}|, |V_{u5}|$$

- CP violation option: Fix  $\varphi_{54} = 0$ ,  $\pi$ , or allow to vary within  $(0,2\pi)$
- Minimize  $\chi^2 = \Sigma_i \chi^2_i$ , i = dataset (LSND, KARMEN, etc...)
- Determine allowed regions by Gaussian approximation

#### Goodness-of-fit and compatibility tests:

•Parameter Goodness-of-fit (PG) 
$$\chi^2_{PG} = \chi^2_{min,all} - \sum_i \chi^2_{min,i}$$
 [hep-ph/0304176] 
$$PG = prob(\chi^2_{PG}, ndf_{PG})$$

### Appearance Fit method

$$\chi^2 = \sum_{i,j=1}^{N_e + N_\mu} (D_i - P_i) M_{ij}^{-1} (D_j - P_j)$$

- $N_e = 8(11)$  is the number of  $E_{\nu}^{QE}$  bins for observed electron antineutrino events for E > 200 MeV (E > 475 MeV) fits
- $N_{\mu} = 8$  is the number of  $E_{\nu}^{QE}$  bins for observed muon antineutrino events
- $D_i = (D_j^{\bar{\nu}_e}(j=1,...,N_e), D_j^{\bar{\nu}_{\mu}}(j=1,...,N_{\mu}))$  is a 1D side-by-side array of observed electron antineutrino events and observed muon antineutrino events as functions of  $E_{\nu}^{QE}$
- P<sub>i</sub> = ((B<sub>j</sub><sup>v̄e</sup> + S<sub>j</sub>)(j = 1,...,N<sub>e</sub>), P<sub>j</sub><sup>v̄μ</sup>(j = 1,...,N<sub>μ</sub>)) is a 1D side-by-side array of predicted electron antineutrino events from background, B<sup>v̄e</sup> plus any possible signal, S<sub>i</sub>, from v̄<sub>μ</sub> → v̄<sub>e</sub> oscillations, and predicted muon antineutrino events, B<sup>v̄μ</sup>.

#### Sterile Neutrinos in 2007

Maltoni & Schwetz, PRD 76 093005 (2007):

## Global fits to sterile neutrino oscillations, (3+1) and (3+2) with MiniBooNE neutrino appearance data included

#### They found that:

• all experiments still incompatible under (3+1)

• all appearance experiments are compatible under (3+2)

with large CP-violation

 appearance and disappearance experiments are incompatible at more than 3σ

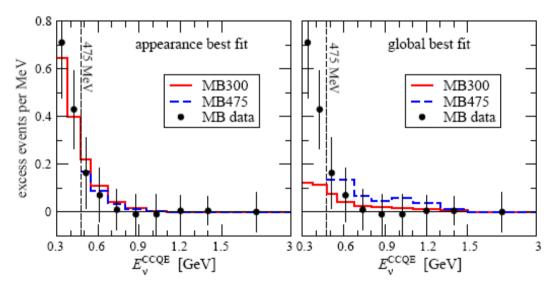


FIG. 3: MB spectral data in bins of reconstructed CCQE neutrino energy. The histograms show the prediction at the best fit points in (3+2) mass schemes for SBL appearance data LSND, KARMEN, NOMAD, MB (left), and for the global data (right). For the solid histograms the full MB energy range has been used in the fit (MB300), whereas for the dashed histogram the two lowest energy data points have been omitted (MB475). The corresponding parameter values are given in Tab. I.

#### PG test

Dataset	$\chi^2$ (dof)	$\chi^2$ -probability (%)	PG (%)		
all SBL	190.2 (192)	52.3	PG( BNB-MB( $\nu$ ),BNB-MB( $\bar{\nu}$ ),NUMI-MB,LSND,KARMEN,		
			NOMAD,Bugey,CHOOZ,CCFR84,CDHS,ATM )	= Prob(49.1,5) =	$2.2 \times 10^{-7}$
			PG( APP,DIS )	= Prob(16.3,4) =	0.26
			$PG(\nu,\bar{\nu})$	= Prob(21.0,5) =	$8.2 \times 10^{-2}$
all APP	92.6 (85)	26.9	PG( BNB-MB( $\nu$ ),BNB-MB( $\bar{\nu}$ ),NUMI-MB,LSND,KARMEN,		
			NOMAD )	= Prob(24.6,5) =	$1.7 \times 10^{-2}$
all DIS	81.3 (103)	94.4	PG( Bugey, CHOOZ, CCFR84, CDHS, ATM )	= Prob(8.14,4) =	8.6
all $\nu$	86.1 (86)	47.8	PG( BNB-MB( $\nu$ ),NUMI-MB,NOMAD,CCFR84,CDHS,ATM )	= Prob(17.4,5) =	0.37
all $\bar{\nu}$	83.2 (99)	87.3	PG(BNB-MB( $\bar{\nu}$ ),KARMEN,LSND,Bugey,CHOOZ)	= Prob(10.7,5) =	5.8
$\nu$ APP	50.6 (53)	56.8	PG( BNB-MB( $\nu$ ),NUMI-MB,NOMAD )	= Prob( 3.91,5 ) =	56
$\bar{\nu}$ APP	28.9(27)	36.7	PG( BNB-MB( $\bar{\nu}$ ),KARMEN,LSND )	= Prob(7.55,5) =	18
			PG( $\nu$ APP, $\bar{\nu}$ APP )	= Prob(13.1,5) =	2.2
all - BNB-MB( $\nu)$	$167.2\ (174)$	63.2	PG( all SBL - BNB-MB( $\nu$ ) , BNB-MB( $\nu$ ) )	$= {\rm Prob}(\ 12.1{,}5\ ) =$	3.4
all - BNB-MB( $\bar{\nu})$	$168.5\ (174)$	60.3	PG( all SBL - BNB-MB( $\bar{\nu}$ ) , BNB-MB( $\bar{\nu}$ ) )	= Prob(7.34,5) =	20
all - NUMI-MB	$184.2\ (182)$	43.9	PG( all SBL - NUMI-MB , NUMI-MB )	= Prob(4.34,5) =	50
all - LSND	176.1 (187)	70.6	PG( all SBL - LSND , LSND )	= Prob(12.5,5) =	2.9
all - KARMEN	180.2 (183)	54.6	PG( all SBL - KARMEN , KARMEN )	= Prob(4.72,5) =	45
all - NOMAD	$154.2\ (162)$	65.6	PG( all SBL - NOMAD , NOMAD )	= Prob(1.89,5) =	86
all - Bugey	$142.2\ (132)$	25.7	PG( all SBL - Bugey , Bugey )	= Prob(3.07,4) =	55
all - CHOOZ	180.9(178)	42.6	PG( all SBL - CHOOZ , CHOOZ )	= Prob( 3.06,4 ) =	55
all - CCFR84	174.7 (174)	47.0	PG( all SBL - CCFR84 , CCFR84 )	$= {\rm Prob}(\ 0.82,\!4\ ) =$	94
all - CDHS	$175.4\ (177)$	51.9	PG( all SBL- CDHS , CDHS )	= Prob(7.48,4) =	11
all - ATM	184.4 (190)	60.0	PG( all SBL - ATM , ATM )	= Prob(5.78,2) =	5.6

TABLE V: Comparison of  $\chi^2$ -probabilities for (3+2) CP-violating fits with different combinations of SBL datasets. Also shown are PG results testing compatibility among different datasets. The last eleven rows of the table provide the compatibility (PG) between the experiment being removed from each fit and all remaining experiments. See text for more details.

#### PG test

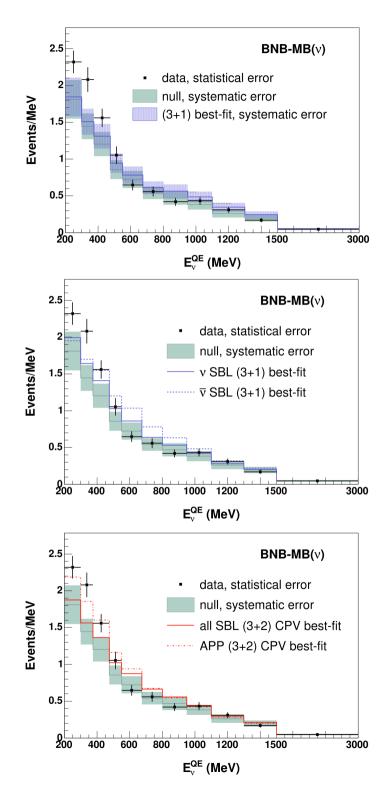
[hep-ph/0304176]

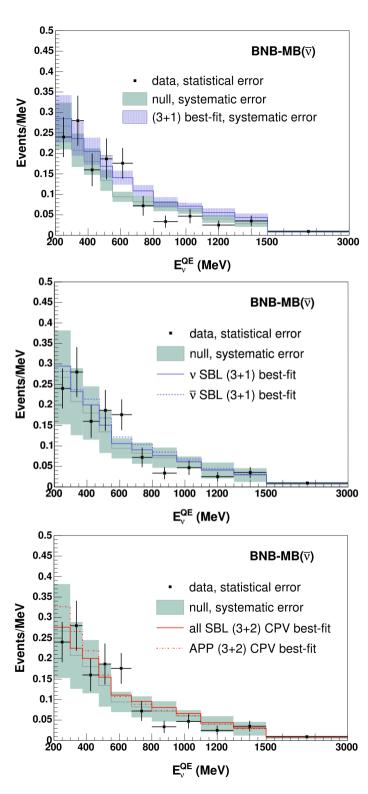
Gives sensible results even in cases where

- the errors are estimated very conservatively
- the total number of data points is very large

Avoids the problem that a possible disagreement between data sets becomes diluted by **data points** which are insensitive to the problem in the fit

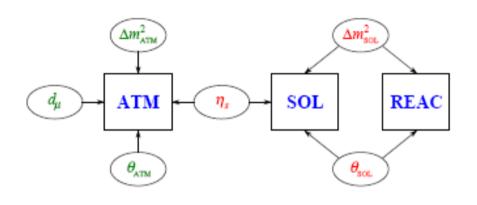
Can also be very useful when a set consisting of a rather small number of data points is combined with a very large data sample

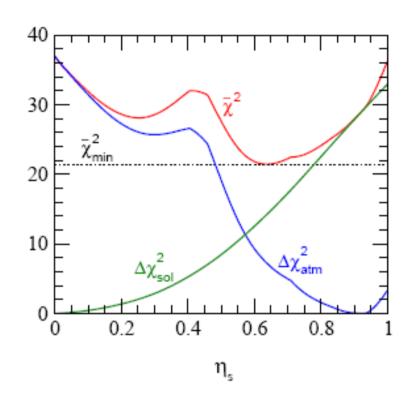




#### PG test

#### Example from hep-ph/0304176:





data sets	$N_{ m tot}$	$\chi^2_{ m tot,min}/{ m d.o.f.}$	$_{ m SG}$	$\sum_r P_r$	P	$\bar{\chi}^2_{\rm min}/P_c$	$_{ m PG}$
sol + atm	146	126.7/140	78.3%	3+4	6	21.5/1	$3.54  imes 10^{-6}$
react + sol	108	77.4/105	98.0%	2+3	3	0.13/2	93.5%
react + atm	92	49.9/86	99.9%	2+4	6	0.0/0	_
KamL + sol + atm	159	132.7/153	88.1%	2+3+4	6	21.7/3	$7.53  imes 10^{-5}$
react + sol + atm	173	138.2/167	95.0%	2+3+4	6	21.7/3	$7.53\times10^{-5}$

Table II: Comparison of SG and PG for various combinations of the data sets from solar, atmospheric and reactor neutrino experiments.

## Atmospheric constraints

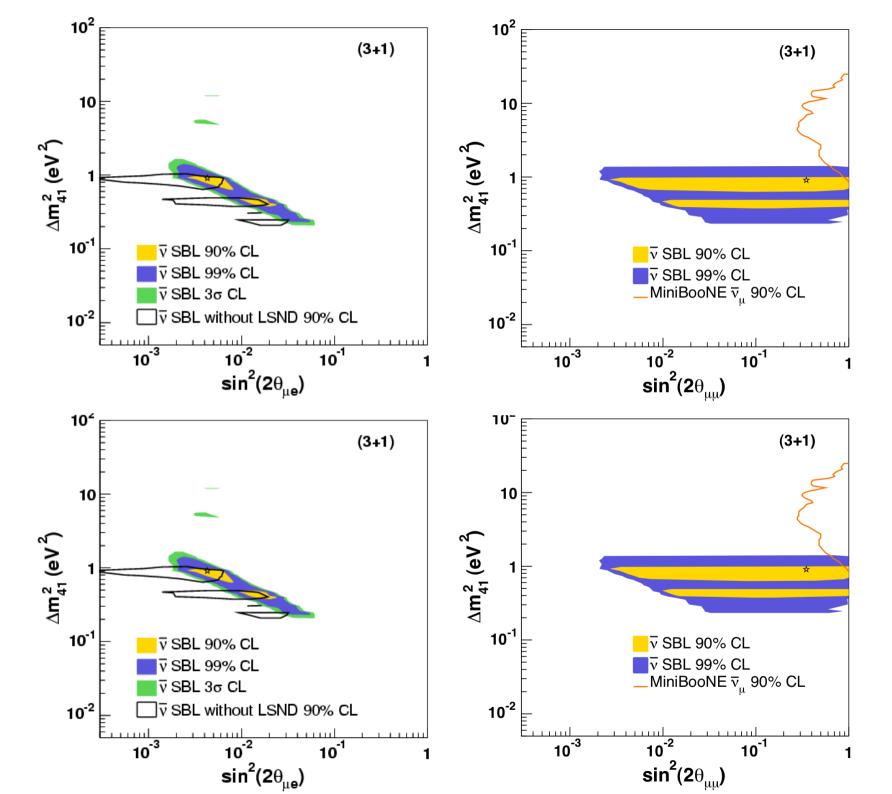
#### Super-K and K2K re-analyses:

Fitted parameter:

$$d_{\mu} = \frac{1 - \sqrt{1 - 4A}}{2}$$

$$A \equiv (1 - |U_{\mu 4}|^2 - |U_{\mu 5}|^2)(|U_{\mu 4}|^2 + |U_{\mu 5}|^2) + |U_{\mu 4}|^2|U_{\mu 5}|^2$$

Adds 1 degree of freedom

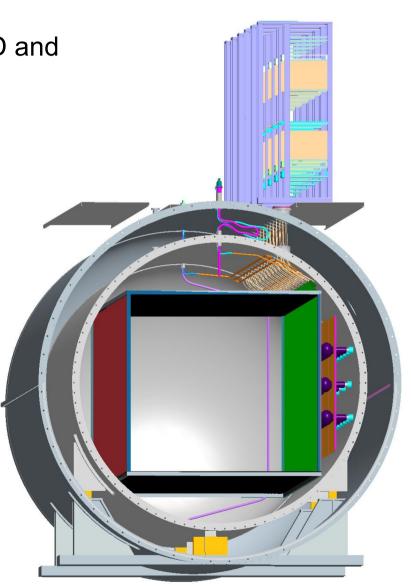


#### *MicroBooNE*

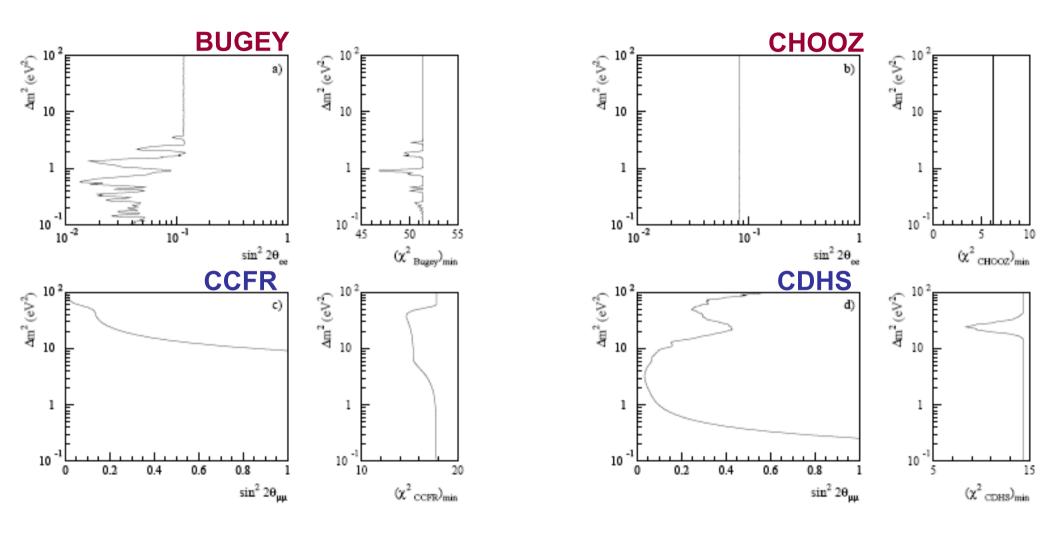
 LArTPC detector designed to advance LAr R&D and determine whether the MiniBooNE low-energy excess is due to electrons or photons.

 Approximately 70-ton fiducial volume detector, located near MiniBooNE (cost <\$20M).</li>

- Received Stage-1 approval at Fermilab and initial funding from DOE and NSF.
- May begin data taking as early as 2012.



## Constraints from Each SBL Experiment



## Constraints from Each SBL Experiment

