

# New results of the KrkNLO method

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in collaboration with:

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PSR17, 27-29 March 2017, Emmanuel College, Cambridge

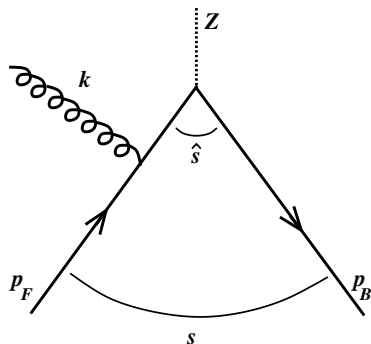
# Outline

- ▶ Motivation/notation.
- ▶ Our approach to NLO+PS matching (example: Drell-Yan)  
[S. Jadach, W. Płaczek, S. Sapeta, AS, M. Skrzypek, JHEP 1510 (2015) 052]
- ▶ PDF in MC factorization scheme - full definition  
[S. Jadach, W. Płaczek, S. Sapeta, AS, M. Skrzypek, Eur.Phys.J. C76 (2016)]
- ▶ KrkNLO for the Higgs boson production  
[S. Jadach, G. Nail, W. Płaczek, S. Sapeta, AS, M. Skrzypek, Eur.Phys.J. C77 (2017)]
- ▶ Final remarks and outlook

# Motivation

- ▶ **Why would you like another method of NLO+PS matching?**
  - ▶ The method is extremely simple.
  - ▶ No negative weight events.
  - ▶ In angular ordered PS - no need for a truncated shower.
  - ▶ Simple at NLO  $\Rightarrow$  you may hope that pushing the method to NNLO+NLO PS should be possible.

# Drell-Yan process



$$s = (p_F + p_B)^2$$

$$z = \frac{\hat{s}}{s}$$

Sudakov variables:

$$\alpha = \frac{2k \cdot p_B}{\sqrt{s}} = \frac{2k^+}{\sqrt{s}}$$

$$\beta = \frac{2k \cdot p_F}{\sqrt{s}} = \frac{2k^-}{\sqrt{s}}$$

$$z = 1 - \alpha - \beta$$

$$k_T^2 = s\alpha\beta$$

$$y = \frac{1}{2} \ln \frac{\alpha}{\beta}$$

## Basic idea of the MC scheme

DY cross section at NLO in collinear  $\overline{\text{MS}}$  factorization for the  $q\bar{q}$  channel:

$$\sigma_{\text{DY}}^1 - \sigma_{\text{DY}}^B = \sigma_{\text{DY}}^B D_1^{\overline{\text{MS}}}(x_1, \mu^2) \otimes \frac{\alpha_s}{2\pi} C_q^{\overline{\text{MS}}}(z) \otimes D_2^{\overline{\text{MS}}}(x_2, \mu^2),$$

where

$$C_q^{\overline{\text{MS}}}(z) = C_F \left[ 4(1+z^2) \left( \frac{\ln(1-z)}{1-z} \right)_+ - 2 \frac{1+z^2}{1-z} \ln z + \delta(1-z) \left( \frac{2}{3} \pi^2 - 8 \right) \right].$$

All solutions for NLO + PS matching which use  $\overline{\text{MS}}$  PDFs, need to implement collinear remnant term of the type  $4(1+z^2) \left( \frac{\ln(1-z)}{1-z} \right)_+$  that are technical artefacts of  $\overline{\text{MS}}$  scheme.

The implementation is not easy since those terms correspond to the collinear limit but Monte Carlo lives in 4 dimensions and not in the phase space restricted by  $\delta(k_T^2)$ .

The idea behind the MC scheme is to absorb those terms to PDF.

## KRK method [Jadach, Kusina, Płaczek, Skrzypek & Sławińska '13]

1. Take a parton shower that covers the  $(\alpha, \beta)$  phase space completely (no gaps, no overlaps) and produces emissions according to approx. real matrix element  $K$ .
2. Upgrade the real emissions to exact ME  $R$  by reweighting the PS events by  $W_R = R/K$ .
3. We define the coefficient function  $C^R(z) = \int(R - K)$ . To avoid unphysical artifacts of  $\overline{\text{MS}}$ .
4. Transform PDF for  $\overline{\text{MS}}$  scheme to this new **physical MC factorization scheme**.
5. As a result the virtual+soft correction,  $\Delta_{S+V}$ , is just a constant, without  $x$ -dependent collinear remnant terms now. Multiply the whole result by  $1 + \Delta_{S+V}$  to achieve complete NLO accuracy.

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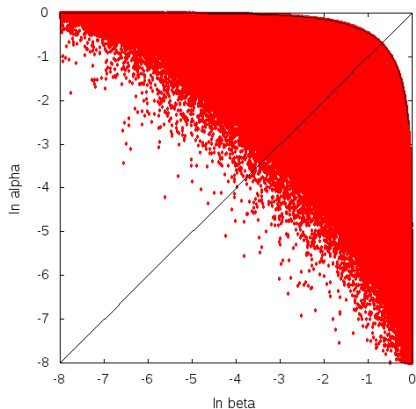
This has been shown to reproduce exactly the NLO result of fixed order collinear factorization, for the case of simplistic PS by means of analytical integration.

[S. Jadach et al. Phys.Rev. D87]

Could we implement the method in a popular, general purpose MC?

# 1. Take a PS that covers the $(\alpha, \beta)$ phase space

Herwig 7 (Dipole Shower)



The evolution variable:

$$q^2 = k_T^2 = \alpha \beta s.$$

## 2. Upgrade the real emissions to exact ME by reweighting.

The hardest real emission is upgraded to ME by reweighting:

$$W_R = R/K$$

Where the kernel  $K$  is just a CS dipole written in terms of shower's internal variables multiplied by the ratio of PDFs due to backward evolution. The “Sudakov” form factor for the CS shower

$$S(Q^2, \Lambda^2, x) = \int_{\Lambda^2}^{Q^2} \frac{dq^2}{q^2} \int_{z_{\min}(q^2)}^{z_{\max}(q^2)} dz K(q^2, z, x),$$

Real part:

$$W_R^{q\bar{q}}(\alpha, \beta) = 1 - \frac{2\alpha\beta}{1 + (1 - \alpha - \beta)^2}$$
$$W_R^{qg}(\alpha, \beta) = 1 + \frac{\alpha(2 - \alpha - 2\beta)}{1 + 2(1 - \alpha - \beta)(\alpha + \beta)}$$

Note:

Very simple weight dependent only on the kinematics  $\alpha, \beta$ . One can compute it on the fly, inside an MC, or outside, using information from event record.



### 3. The coefficient function $C(z)$

↪ It turns out that coefficient functions of the CS shower equal to those from the MC scheme of [Jadach et al. arXiv:1103.5015](#). Hence, CS  $\equiv$  MC.

The  $C(z)$  function: 
$$C^{\text{MC}}(z) \Big|_{\text{real}} = \int (R - K)$$

- ▶ For the  $q\bar{q}$  channel:

$$C_q^{\text{MC}}(z) \Big|_{\text{real}} = \frac{\alpha_s}{2\pi} C_F [-2(1 - z)]$$

- ▶ For the  $qg$  channel:

$$C_g^{\text{MC}}(z) \Big|_{\text{real}} = \frac{\alpha_s}{2\pi} T_R \frac{1}{2} (1 - z)(1 + 3z)$$

**Simple form of the coefficient functions with no singular terms!**

- ▶ Quark and anti-quark PDFs are redefined by:
  - ▶ subtracting  $C_q^{\text{MC}}(z)$  and  $C_g^{\text{MC}}(z)$  from  $\overline{\text{MS}}$  PDFs
  - ▶ absorbing all  $z$ -dependent terms from  $\overline{\text{MS}}$  coefficient functions

## 4. Redefine PDFs: MC PDF

**Recipe:** Make convolution of the LO PDF in  $\overline{\text{MS}}$  ( $q$  and  $\bar{q}$ ) with the difference of coefficient functions in  $\overline{\text{MS}}$  and MC schemes:

$$f_{q(\bar{q})}^{\text{MC}}(x, Q^2) = f_{q(\bar{q})}^{\overline{\text{MS}}}(x, Q^2) + \int_x^1 \frac{dz}{z} f_{q(\bar{q})}^{\overline{\text{MS}}}\left(\frac{x}{z}, Q^2\right) \Delta C_q(z) + \int_x^1 \frac{dz}{z} f_g^{\overline{\text{MS}}}\left(\frac{x}{z}, Q^2\right) \Delta C_g(z)$$

where

$$\Delta C_q(z) = \frac{1}{2} [C_q^{\overline{\text{MS}}}(z) - C_q^{\text{MC}}(z)] = \frac{\alpha_s}{2\pi} C_F \left[ \frac{1+z^2}{1-z} \ln \frac{(1-z)^2}{z} + 1 - z \right]_+$$
$$\Delta C_g(z) = C_g^{\overline{\text{MS}}}(z) - C_g^{\text{MC}}(z) = \frac{\alpha_s}{2\pi} T_R \left\{ \left[ z^2 + (1-z)^2 \right] \ln \frac{(1-z)^2}{z} + 2z(1-z) \right\}$$

The formula is valid for any process up to  $\mathcal{O}(\alpha_s^2)$ .

The **gluon PDF** for DY:  $f_g^{\text{MC}}(x, Q^2) = f_g^{\overline{\text{MS}}}(x, Q^2)$

Notes:

- ▶ LHAPDF grid (easy to use by all PS MC) for the MC PDF.  
(As a source we used MSTW2008lo, other  $\overline{\text{MS}}$  PDF possible).

## 5. Virtual+soft correction, $\Delta_{S+V}$

Virtual + soft:

$$W_{V+S}^{q\bar{q}} = \frac{\alpha_s}{2\pi} C_F \left[ \frac{4}{3}\pi^2 - \frac{5}{2} \right]$$

$$W_{V+S}^{qg} = 0$$

Notes:

- ▶ Simple, kinematics independent!
- ▶ No need to generate strictly collinear contributions (like  $d\Sigma^{c\pm}$  terms in MC@NLO).

# Upgrading to NLO: the hardest emission

Steps:

1. Run LO PS<sup>1</sup> (Herwig/Sherpa) using MC PDF (via LHAPDF interface)
2. Get and an event record (for example in the HepMC format).

```
GenEvent: #0 ID=0 SignalProcessGenVertex Barcode: 0
Momentum units:  GEV      Position units:  MM
Cross Section: 697.653 +/- 206.627
Entries this event: 1 vertices, 5 particles.
Beam Particles are not defined.
RndmState(0)=
Wgts(9)=(0,3023.17) (1,0.17886) (2,3023.17) (3,9) (4,0) (5,1.14371) (6,0) (7,1) (8,1)
EventScale -1 [energy]      alphaQCD=0.139387      alphaQED=-1
GenParticle Legend
Barcode  PDG ID      ( Px,      Py,      Pz,      E ) Stat  DecayWtx
GenVertex:  -1 ID:      0 (X, cT):0
I: 2      10001      1 +0.00e+00,+0.00e+00,+6.26e+02,+6.26e+02  2      -1
          10002      21 +0.00e+00,+0.00e+00,-1.84e+01,+1.84e+01  2      -1
O: 3      10003      1 -1.82e+00,+5.68e-01,-1.50e+01,+1.51e+01  1
          10004      11 +2.58e+01,+9.16e+00,+5.71e+02,+5.71e+02  1
          10005      -11 -2.40e+01,-9.73e+00,+5.17e+01,+5.78e+01  1
```

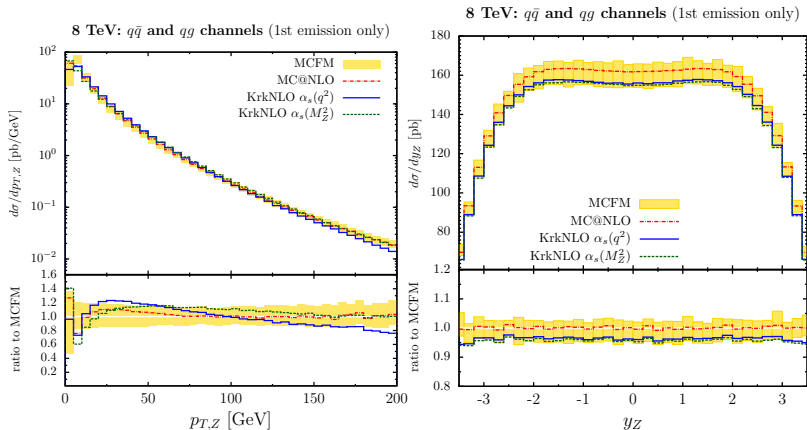
3. Book histograms (for example using Rivet) with MC weight calculated from the event record (and information on  $\alpha_s$ ).

It is almost as fast as LO+PS calculation!

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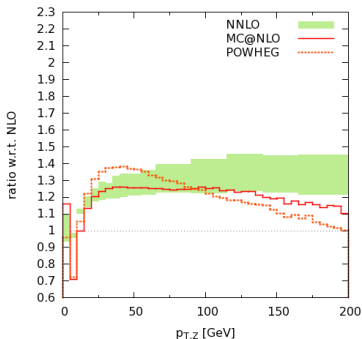
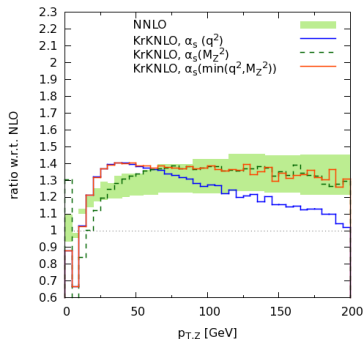
<sup>1</sup>Cover full Phase Space.

# Matched results: DY botch channels, 1st emission



- ▶ MCFM band is an uncertainty estimate obtained by independent variation of  $\mu_F$  and  $\mu_R$  by a factor 1/2 and 2
- ▶ Moderate differences between KrkNLO  $\alpha_s(q^2)$  and MC@NLO in the region below  $M_Z$  and between KrkNLO  $\alpha_s(M_Z^2)$  and MC@NLO in the region above  $M_Z$

# DY comparison with fixed order NNLO results (DYNNLO)



- ▶ DYNNLO green band is an uncertainty estimate obtained by independent variation of  $\mu_F$  and  $\mu_R$  by a factor 1/2 and 2
- ▶ KrkNLO  $\alpha_s(\min(q^2, M_Z))$  and NNLO results show the same trends (left).
- ▶ Similar comparisons for POWHEG and MCatNLO are also shown (right).

# Full (including gluon) PDFs in the MC scheme

[ S. Jadach, W. Płaczek, S. Sapeta, AS and M. Skrzypek, Eur.Phys.J. C76 (2016) ]

Reminder: The **gluon PDF** for DY:  $f_g^{\text{MC}}(x, Q^2) = f_g^{\overline{\text{MS}}}(x, Q^2)$

The entire transformation rule takes the form

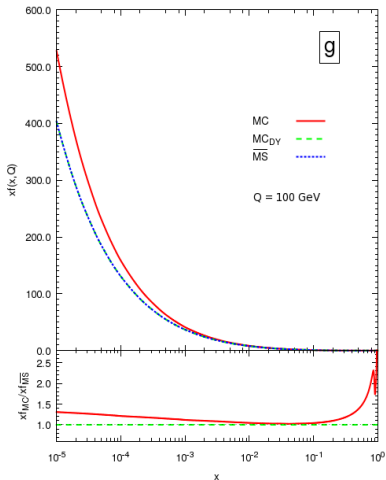
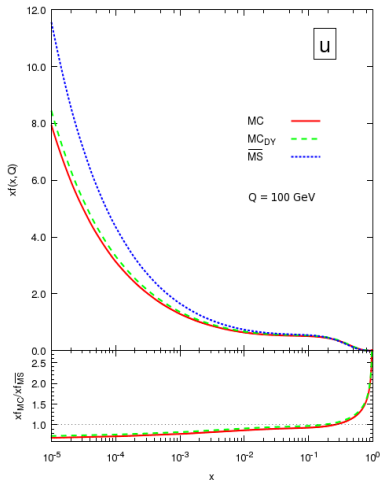
$$\begin{bmatrix} q(x, Q^2) \\ \bar{q}(x, Q^2) \\ g(x, Q^2) \end{bmatrix}_{\text{MC}} = \begin{bmatrix} q \\ \bar{q} \\ g \end{bmatrix}_{\overline{\text{MS}}} + \int dz dy \begin{bmatrix} K_{qq}^{\text{MC}}(z) & 0 & K_{qg}^{\text{MC}}(z) \\ 0 & K_{\bar{q}\bar{q}}^{\text{MC}}(z) & K_{\bar{q}g}^{\text{MC}}(z) \\ K_{gq}^{\text{MC}}(z) & K_{g\bar{q}}^{\text{MC}}(z) & K_{gg}^{\text{MC}}(z) \end{bmatrix} \begin{bmatrix} q(y, Q^2) \\ \bar{q}(y, Q^2) \\ g(y, Q^2) \end{bmatrix}_{\overline{\text{MS}}} \delta(x-yz)$$

see backup slides for K's.

- ▶ All virtual parts  $\sim \delta(1-z)$  adjusted using momentum sum rules.
- ▶ We provided all information (MC-scheme coeff. functions,  $Q^2$  evolution governed by LO kernels) needed for direct fitting of PDFs!

# MC factorization scheme

## Numerical examples of PDFs in the MC scheme

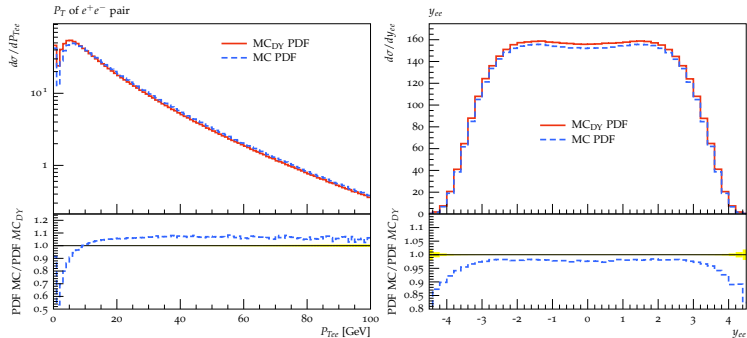


- ▶ Change with respect to  $\overline{\text{MS}}$  PDFs is noticeable.
- ▶ Version labeled MC is complete MC scheme.
- ▶ Version  $\text{MC}_{\text{DY}}$  neglects certain  $\mathcal{O}(\alpha_s^2)$  terms, limited to DY process.



# MC factorization scheme

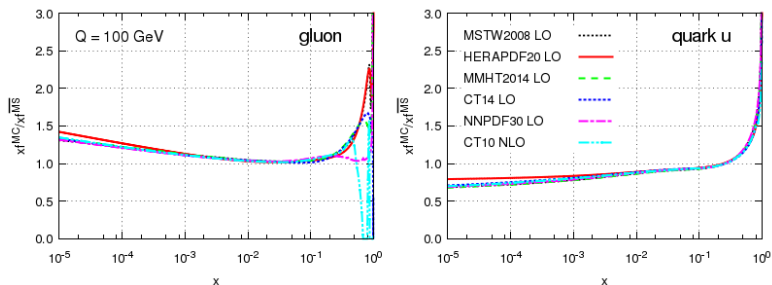
DY comparison:  $MC_{DY}$  PDFs and the complete MC PDFs.



- ▶ the differences are at the level of a few per-cent
- ▶ except for low  $p_T$  region where they can grow up to  $\sim 50\%$ , region very sensitive to soft gluon effects (and thus to the gluon PDF).

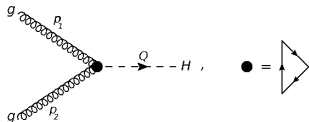
# MC factorization scheme

## Numerical examples of PDFs in the MC scheme



- ▶ Change with respect to  $\overline{MS}$  PDFs is noticeable.
- ▶ Version labeled MC is complete MC scheme obtained from different PDF's sets.
- ▶ We provide PDFs in MC factorization scheme in LHAPDF6 format.

# CrkNLO for Higgs-boson production in gluon-gluon fusion



As expected we get **simple** weights:

► Real part:

1.  $g + g \rightarrow H + g$ :

$$W_R^{gg}(\alpha, \beta) = \frac{1 + z^4 + \alpha^4 + \beta^4}{1 + z^4 + (1 - z)^4} \quad (2)$$

2.  $g + q \rightarrow H + q$ :

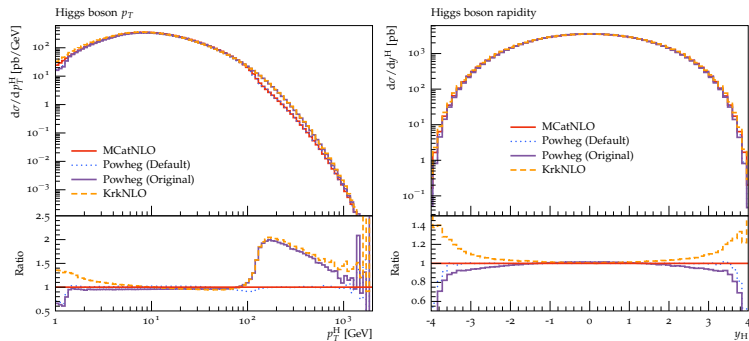
$$W_R^{gq}(\alpha, \beta) = \frac{1 + \beta^2}{1 + (1 - z)^2} \quad (3)$$

also  $W_R^{qg}(\alpha, \beta) = W_R^{gq}(\beta, \alpha)$ .

► VS part  $W_{VS} = 1 + \Delta_{VS}$ :

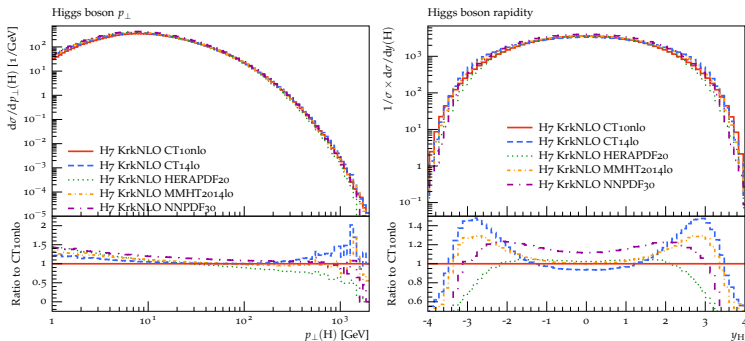
$$\Delta_{VS}^{gg} = \frac{\alpha_s}{2\pi} C_A \left( \frac{4\pi^2}{3} + \frac{473}{36} - \frac{59}{18} \frac{T_f}{C_A} \right), \quad \Delta_{VS}^{gq} = 0.$$

# KrkNLO for Higgs-boson production — comparison with other methods



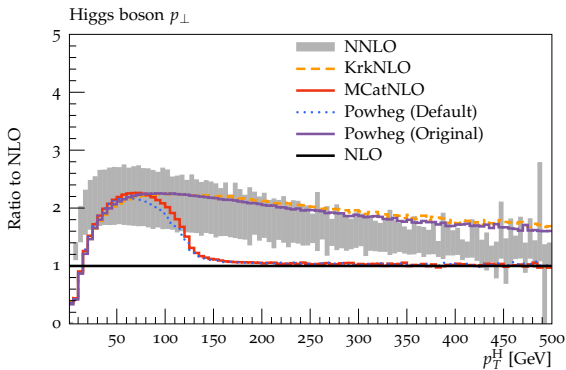
Comparisons of the Higgs-boson transverse momentum and rapidity distributions from the KrkNLO, MCatNLO and Powheg (def. restricts parton-shower emissions, original no such restriction) methods implemented in H7

# KrkNLO for Higgs-boson production — different PDF sets



KrkNLO method using different PDF sets in the MC factorization scheme for the Higgs-boson production in gluon-gluon fusion at the LHC. Easy to get from LHAPDF6 unified format.

# Higgs-boson Comparison with NNLO



- ▶ KrkNLO, MCatNLO and Powheg compared with the fixed-order NNLO result (HNNLO). All distributions are divided by the NLO results.
- ▶ Similar observation as for DY, KrkNLO  $p_T$  spectra similar to NNLO fixed order

# Conclusions

- ▶ I have discussed the KrkNLO method of NLO+PS matching:
  - ▶ Real emissions are corrected by simple reweighting.
  - ▶ No troublesome “collinear remnant terms” - artifacts of the  $\overline{\text{MS}}$ -bar scheme. They are absorbed in PDFs by changing the factorization scheme from  $\overline{\text{MS}}$ -bar to MC.
  - ▶ Virtual correction is just a constant and does not depend on Born-like kinematics.
- ▶ The method has been implemented on top of Catani-Seymour shower in H7 both for Drell-Yan and Higgs production processes.
- ▶ It has been validated against fixed order NLO and compared to MC@NLO and POWHEG.
- ▶ Pt spectra from KrkNLO and NNLO show similar trends.

Public version will be released with Herwig 7.1

**Next:** diboson production, correction of  $n$  emissions.

Simple at NLO  $\Rightarrow$  you may hope that pushing the method to NNLO+NLO PS?

Question:

How universal is this method?



Question:

How universal is this method?  
See, Staszek's talk :)

Thank you for the attention!

[krknlo.hepforge.org](http://krknlo.hepforge.org)

$$\begin{aligned}
K_{gq}^{\text{MC}}(z) &= \frac{\alpha_s}{2\pi} C_F \left\{ \frac{1 + (1-z)^2}{z} \ln \frac{(1-z)^2}{z} + z \right\}, \\
K_{gg}^{\text{MC}}(z) &= \frac{\alpha_s}{2\pi} C_A \left\{ 4 \left[ \frac{\ln(1-z)}{1-z} \right]_+ + 2 \left[ \frac{1}{z} - 2 + z(1-z) \right] \ln \frac{(1-z)^2}{z} \right. \\
&\quad \left. - 2 \frac{\ln z}{1-z} - \delta(1-z) \left( \frac{\pi^2}{3} + \frac{341}{72} - \frac{59}{36} \frac{T_f}{C_A} \right) \right\}, \\
K_{qq}^{\text{MC}}(z) &= \frac{\alpha_s}{2\pi} C_F \left\{ 4 \left[ \frac{\ln(1-z)}{1-z} \right]_+ - (1+z) \ln \frac{(1-z)^2}{z} - 2 \frac{\ln z}{1-z} + 1 - z \right. \\
&\quad \left. - \delta(1-z) \left( \frac{\pi^2}{3} + \frac{17}{4} \right) \right\}, \\
K_{qg}^{\text{MC}}(z) &= \frac{\alpha_s}{2\pi} T_R \left\{ \left[ z^2 + (1-z)^2 \right] \ln \frac{(1-z)^2}{z} + 2z(1-z) \right\}, \\
K_{g\bar{q}}^{\text{MC}}(z) &= K_{gq}^{\text{MC}}(z), \quad K_{\bar{q}g}^{\text{MC}}(z) = K_{qg}^{\text{MC}}(z).
\end{aligned}
\tag{4}$$