

# The RHIC Cold QCD Plan for 2017 to 2023 A Portal to the EIC

arXiv:1602.03922

A. Bazilevsky (BNL)

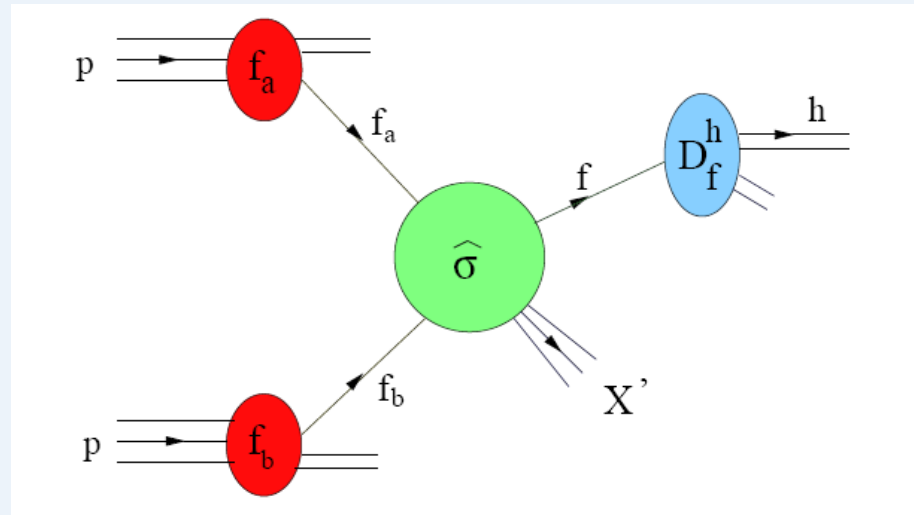
For RHIC Spin Collaboration

The 33<sup>rd</sup> Winter Workshop on Nuclear Dynamics

January 8-14, 2017

Snowbird, Utah

# Factorization – a Cornerstone of QCD



Predictive power (for hard probes):

$$\sigma(pp \rightarrow hX) \sim f_a(x_1) \otimes f_b(x_2) \otimes \hat{\sigma}^{f_a f_b \rightarrow f}(\hat{s}) \otimes D_f^h(z)$$

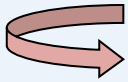
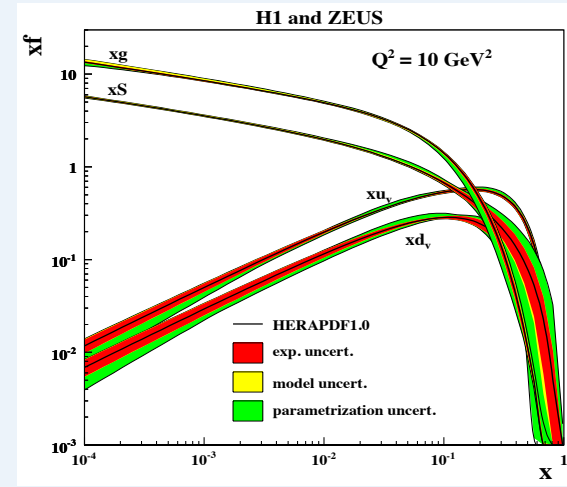
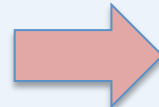
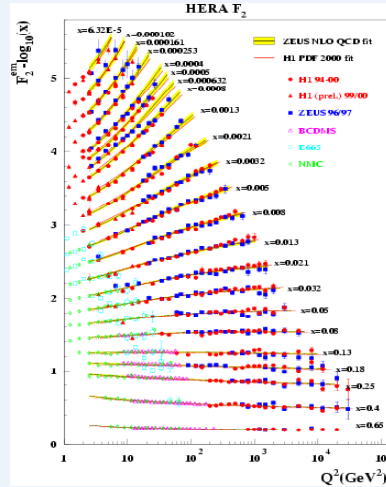
Parton Distribution Func.  
from experiment  
**Universal**

Partonic x-section  
from pQCD  
Process dependent

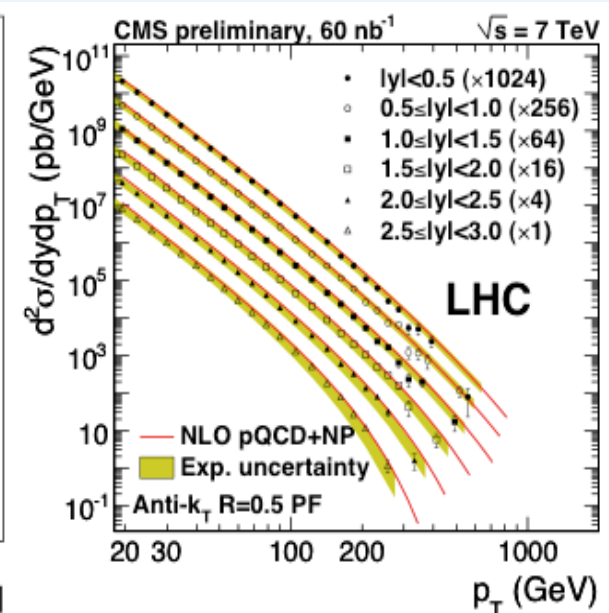
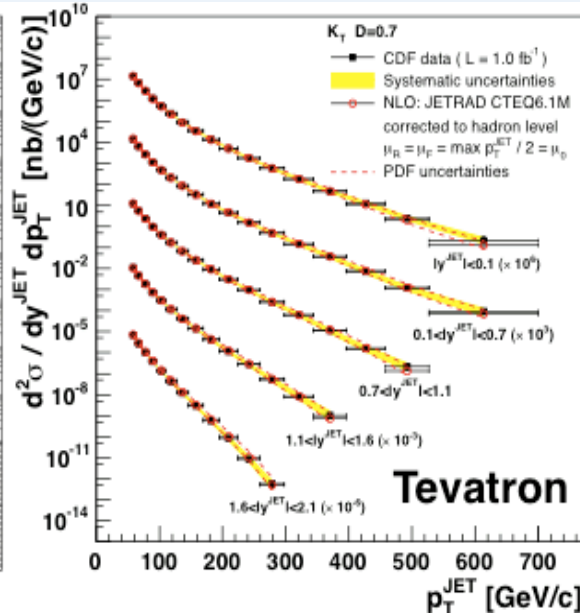
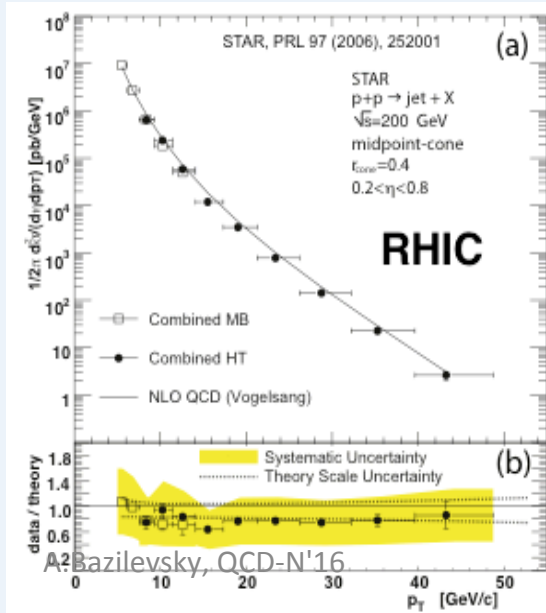
Fragmentation Func.  
from experiment  
**Universal**

# PDF (and FF) Universality

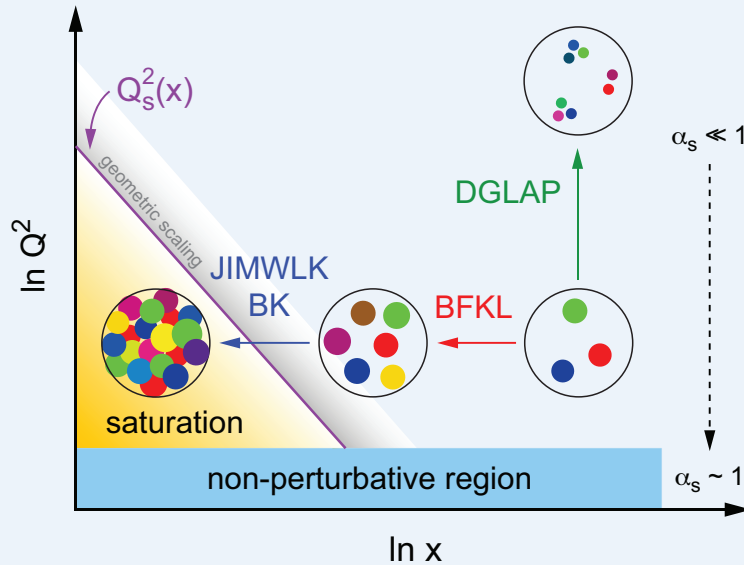
Measure PDFs in ep  
at 0.3 TeV (HERA):



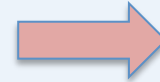
Predict p-p and p-pbar at 0.2, 1.96, and 7 TeV



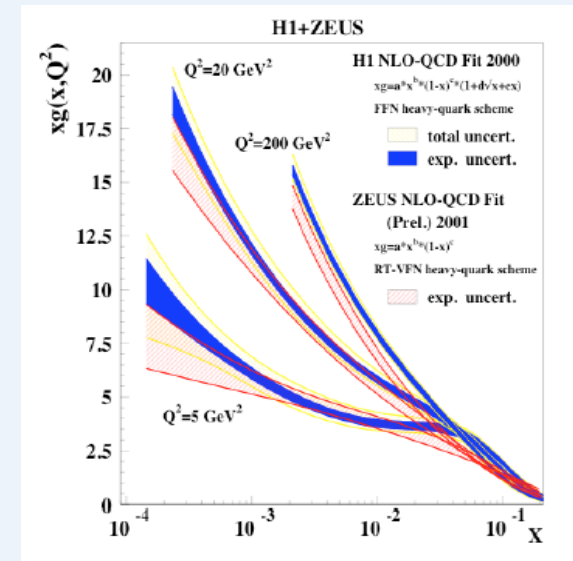
# Evolution in QCD



DGLAP



$f(x) \rightarrow f(x, Q)$



Evolution is different (more complicated) for:

TMD:  $f(x) \rightarrow f(x, k_T)$

Twist-3:  $f(x) \rightarrow T(x, x)$

➔ Important for Spin effects studies

# Completing the RHIC mission

## US DOE Charge

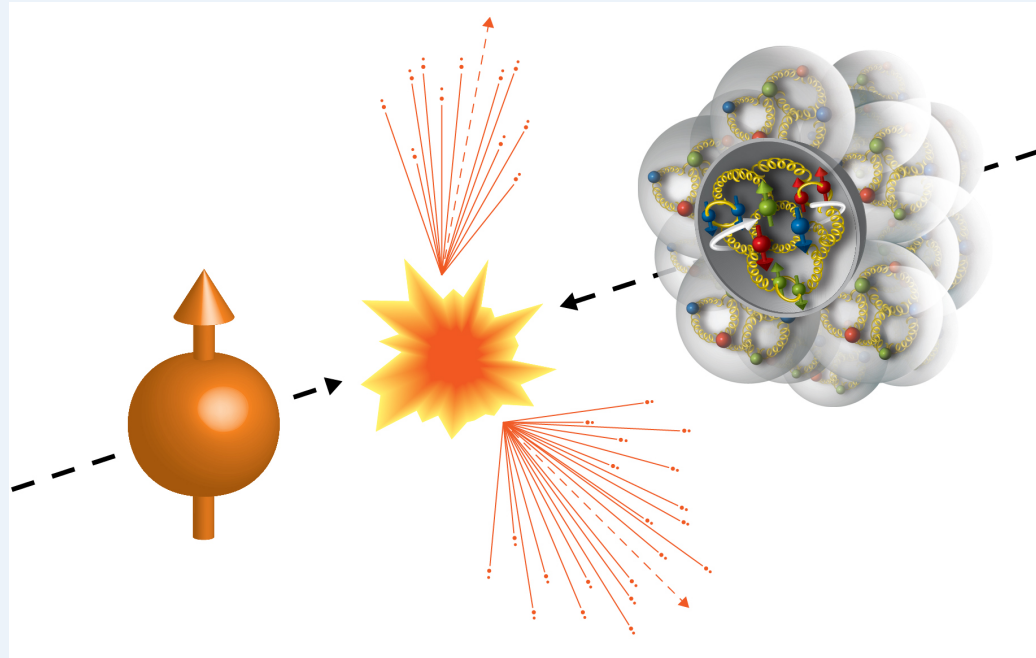
- Compelling physics questions the future polarized **p+p** and p+A program at RHIC can address
- Unique “**must-do**” **measurements**, which require running **beyond the currently planned RHIC runs**
- Key measurements which are **critical for the planning of the EIC physics** program or are necessary as sources of critical information for the interpretation of the expected EIC data.
- Possible **detector upgrades** that are required to perform the proposed measurements

Year	Species @ $\sqrt{s}$ (GeV)	Goals
2017	pp@510 GeV	Transverse Spin in QCD
2018	$^{96}\text{Ru} - ^{96}\text{Zr}$	Chiral Magnetic Effect in HI
2019/20	AuAu, low energy scan	Search for QGP critical point
2022	AuAu@200 GeV	Precision QGP measurements
2023	pp@200 GeV pA@200GeV	Transverse Spin in QCD A-dependence of nPDF and nFF

# The Goals of the Plan

- Establish the validity and limits of **factorization** and **universality**
  - Essential to separate **intrinsic properties of hadrons** from **interaction-dependent dynamics**
  - Requires pushing the envelope beyond just those measurements that have been proven theoretically
    - E.g. challenge theory prediction on **evolution** for Twist-3 and TMD PDF and FF
  - Requires precision measurements to enable meaningful comparisons between RHIC data and future EIC data
- Perform **key measurements** with a **broader range of probes** and **wider kinematic coverage** than will be possible at the EIC alone
  - Significantly enhance the impact and interpretation of the future EIC data

# pA



## RHIC's unique opportunities

→ A-scan (Au, Cu, Al, He, d ...)

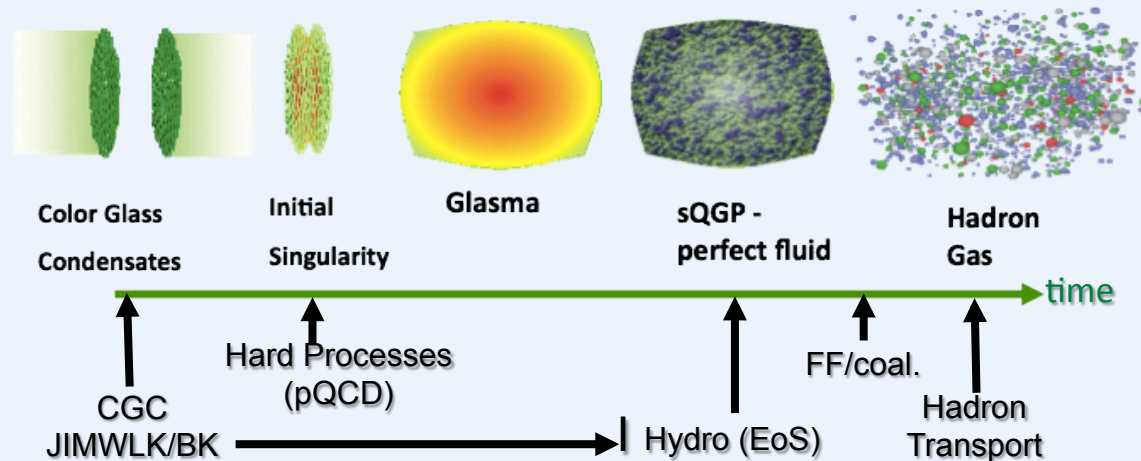
Nuclear dependence of PDFs, test for saturation models

→ Polarized proton beam

→ Energy scan

To separate different underlying mechanisms

# pA $\rightarrow$ Cold Nuclear Matter



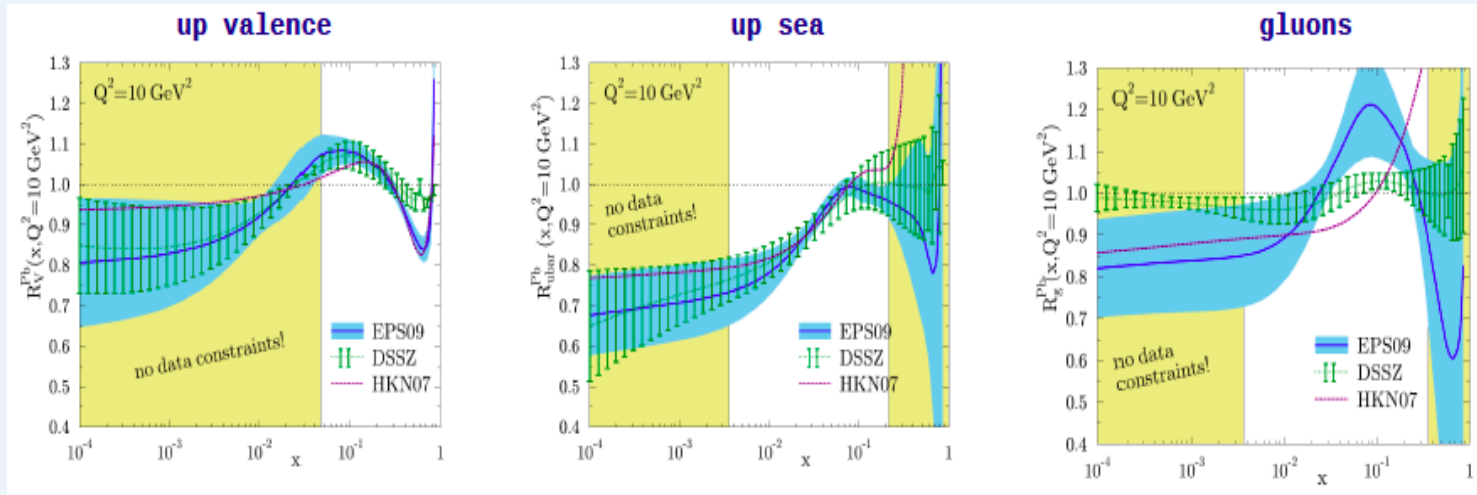
Understanding of Cold Nuclear Matter effects is necessary to understand fundamental properties of Hot Nuclear Matter (Glasma, sQGP)

Need to separate initial and final state effects

- Parton dynamics (Non-linear evolution? Saturated gluon fields?)
- Parton energy loss in CNM (connection to TMD?)
- Hadronization mechanisms



# nPDF: Current State



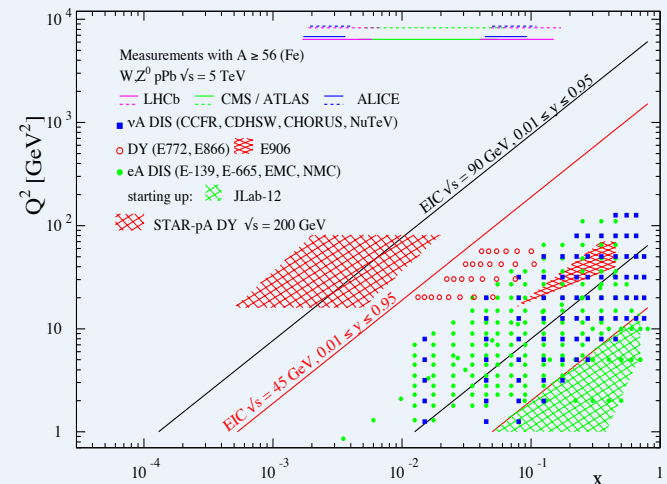
**DGLAP:** predicts  $Q^2$  but **not** A-dependence and x-dependence

**Saturation models:** predict A-dependence and x-dependence but **not**  $Q^2$

**Need:** wide range in x at various  $Q^2$ ; A-scan

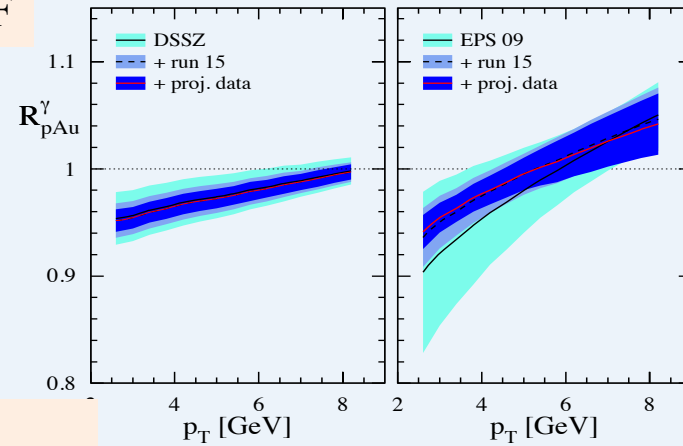
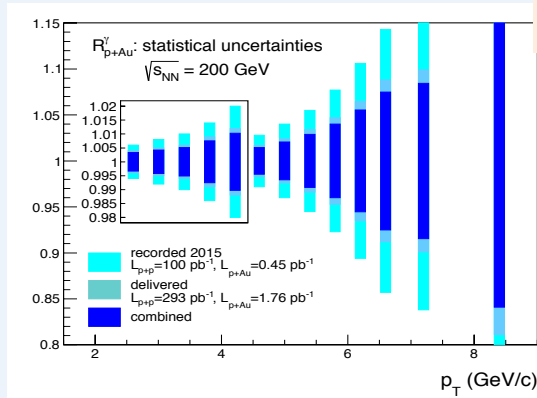
**Observables:**

$R_{pA}$  for dir.  $\gamma$  and DY (forward for low x)  
Correlations (di-h, di-jet,  $\gamma$ -h,  $\gamma$ -jet)

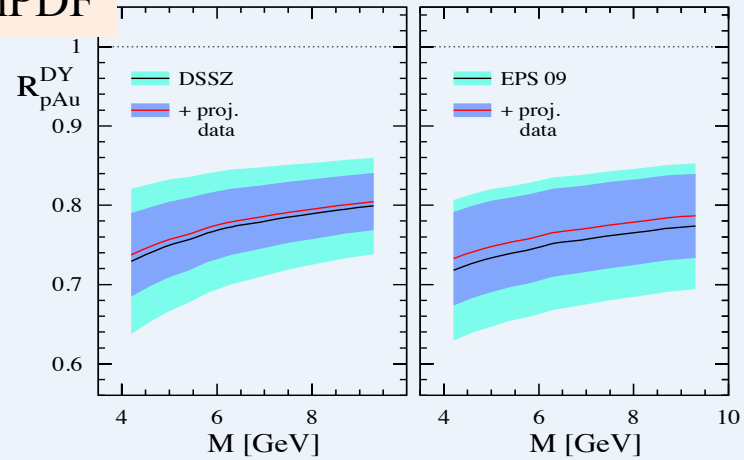
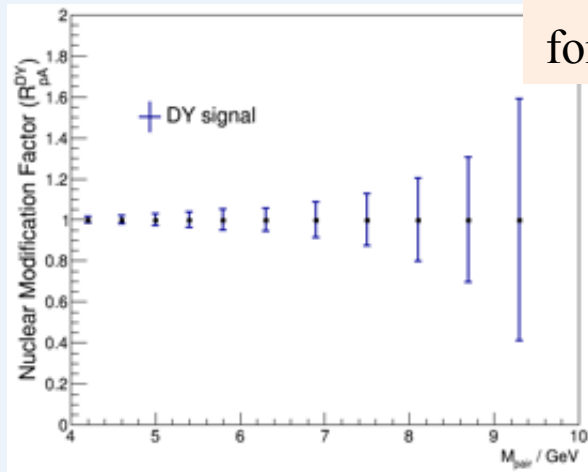


# pA: nPDF

Direct  $\gamma$   
for gluon nPDF



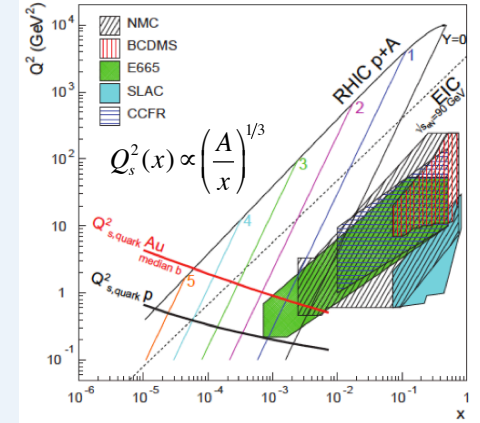
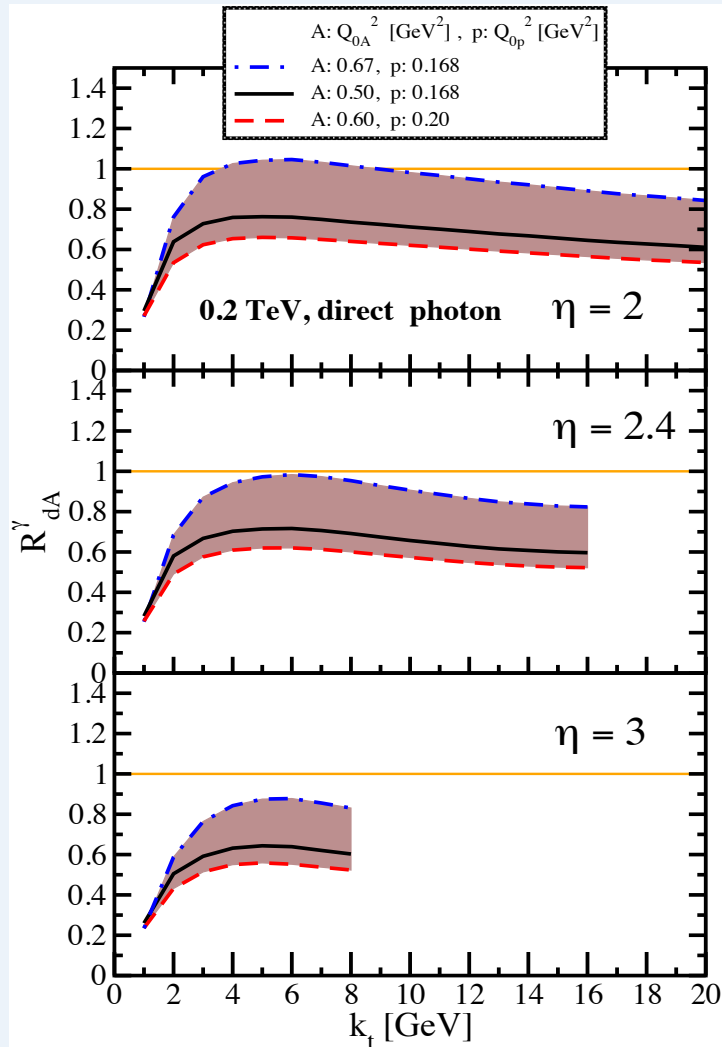
DY  
for sea quark nPDF



Significant constraint of nPDF with alternative observable and kinematics to EIC

# pA: Saturation

CGC prediction for direct photon  $R_{pA}$

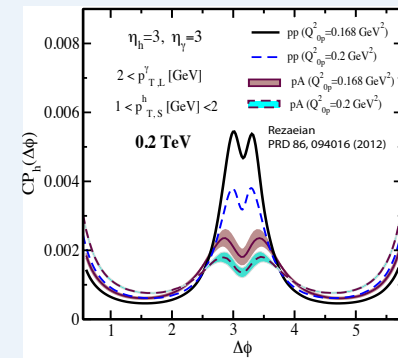
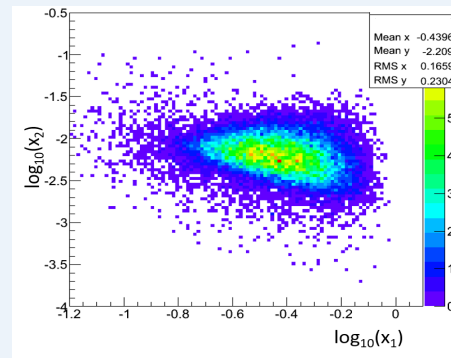


## Forward-forward correlations

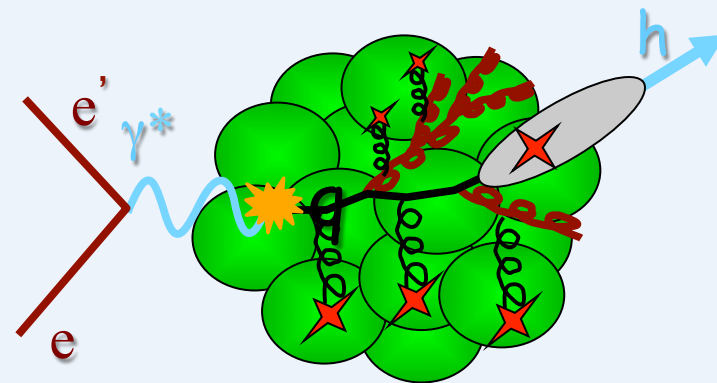
Selects large- $x$  parton (quark) in  $p$  and low- $x$  parton (gluon) in  $A$

Di-hadron includes both initial and final state contributions

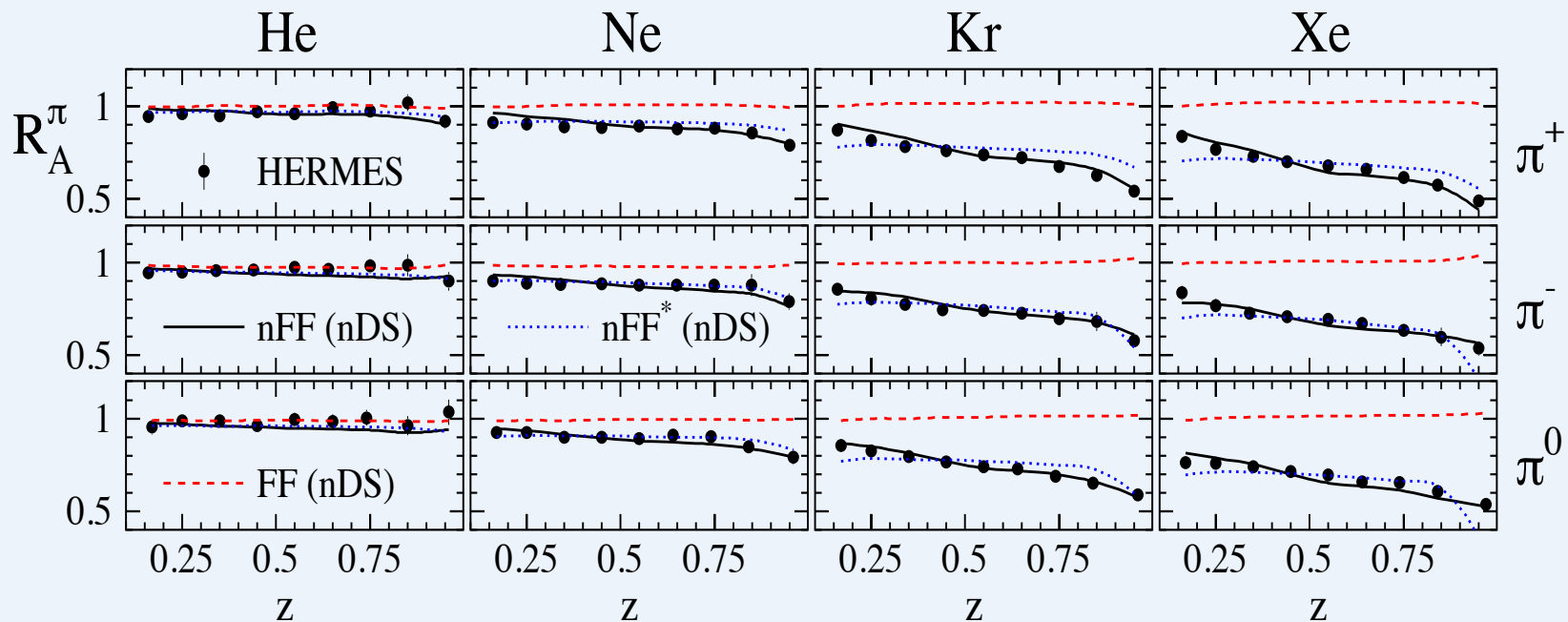
$\gamma$ -jet and  $\gamma$ -h: no final state contribution; 1M events expected in 2023 from pAu and pAl



# nFF



$eA/ep$



Production rate of hadrons in eA differs from ep

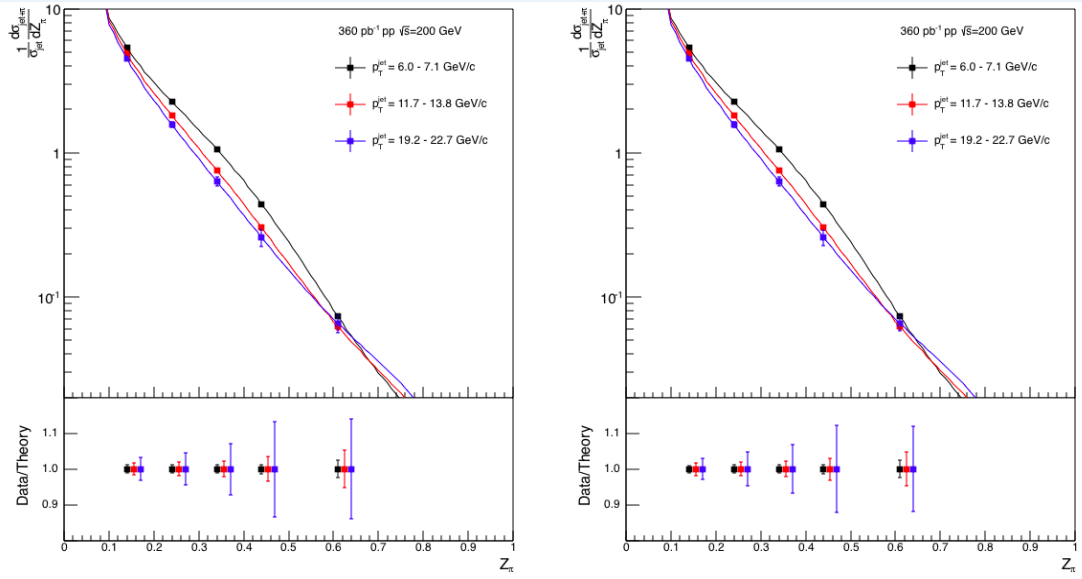
Can not be explained by nPDF

Do these effects survive at high  $\sqrt{s}$ ?

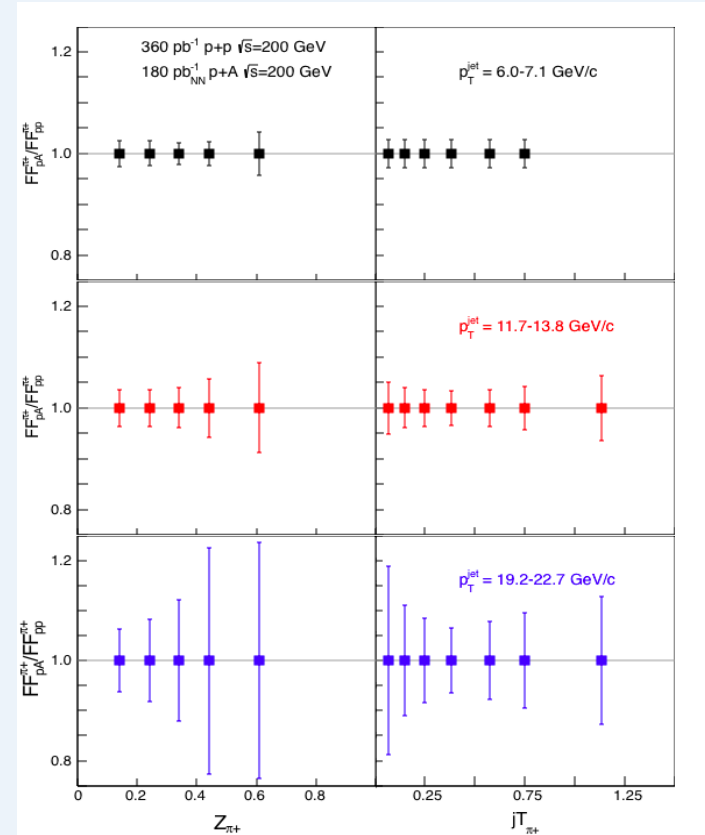
Are these effects universal?

**=> RHIC pp**

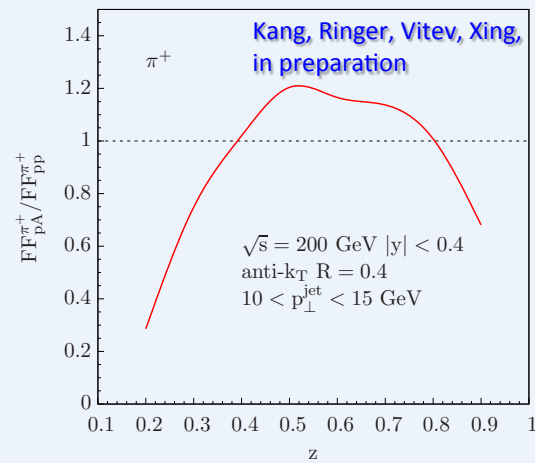
# pA: nFF



Measure  $d\sigma/dz_h$  within jet



Compare to pp



# Polarized pp (pA)



Focus on TMDs

# Transverse Momentum Distributions (TMD)

Initially driven by spin studies, now getting broader application

Expand nucleon and nuclei **imaging** from 1D to (2+1)D

Correlation between proton trans. spin and parton  $k_T$  (**Sivers function**) is sensitive to orbital angular momentum

Correlation between trans. spin of fragmented quark and hadron  $p_T$  (**Collins FF**) gives access to tensor charge (valence quark transversity  $\delta q = \int_0^1 (\delta q(x) - \delta \bar{q}(x)) dx$ )

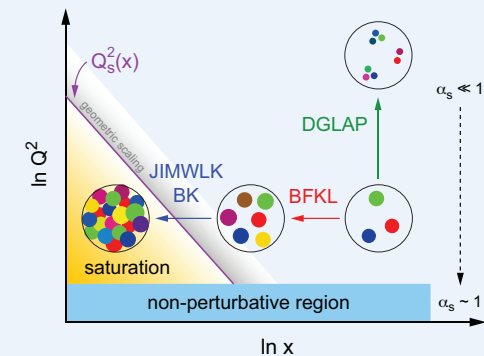
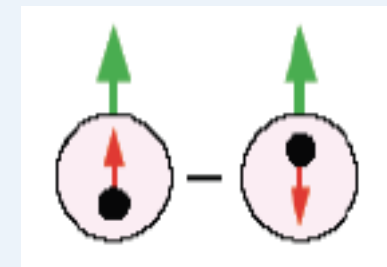
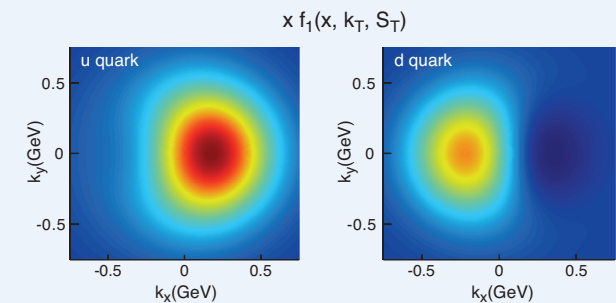
Fundamental value, calculable on the lattice

Sensitivity to beyond standard model (BSM)

Un-integrated gluon density  $g(x, Q^2, k_T)$  is critical for physics at small  $x$

Connection to CGC

Applications to LHC, e.g. Higgs production



# Trans. Spin: To measure at RHIC

## Initial State:

### Sivers/Twist3 mechanism

- $A_N$  for jets, direct photons
- $A_N$  for heavy flavor → gluon
- $A_N$  for W, Z, DY

Sensitive to correlations

**proton spin – parton transverse motion**

Not universal between SIDIS & pp

## Final State:

### Collins mechanism

- Hadron azimuthal asymmetry in jet
- Hadron pair azimuthal asymmetry  
(Interference fragmentation function)

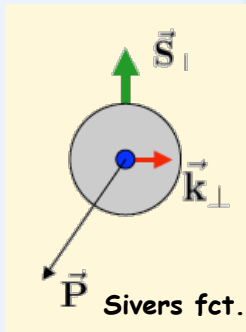
Sensitive to

**transversity x spin-dependent FF**

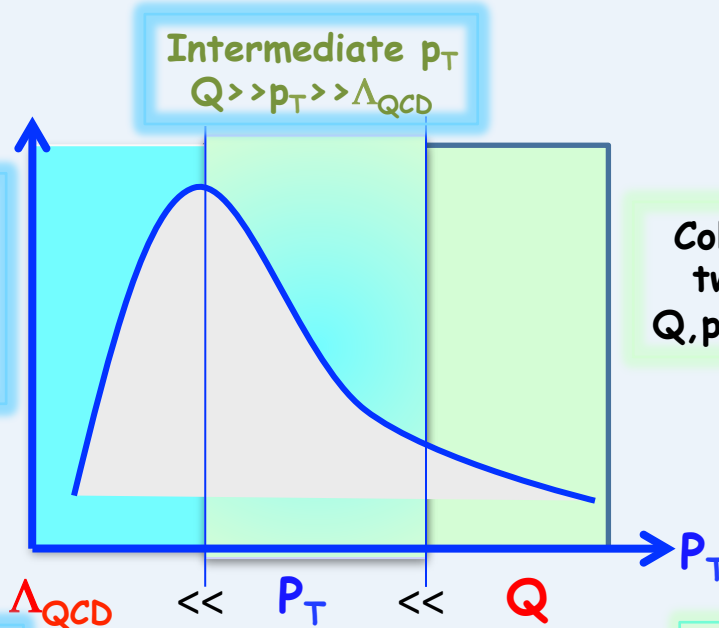
Universal between SIDIS & pp & e+e-



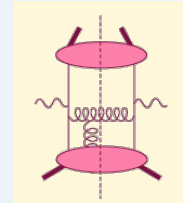
# Initial State: TMD vs Twist3



Transverse momentum dependent  
 $Q \gg p_T \gg \Lambda_{\text{QCD}}$



Collinear/  
 twist-3  
 $Q, p_T \gg \Lambda_{\text{QCD}}$



Efremov, Teryaev;  
 Qiu, Serman

Requires 2 scales:  
 Hard  $Q^2$  and soft  $p_T$   
 SIDIS, DY, W/Z  
 Access to full kT dynamics

Ji, Qiu, Vogelsang, Yuan,  
 PRL. **97**, 082002 (2006).

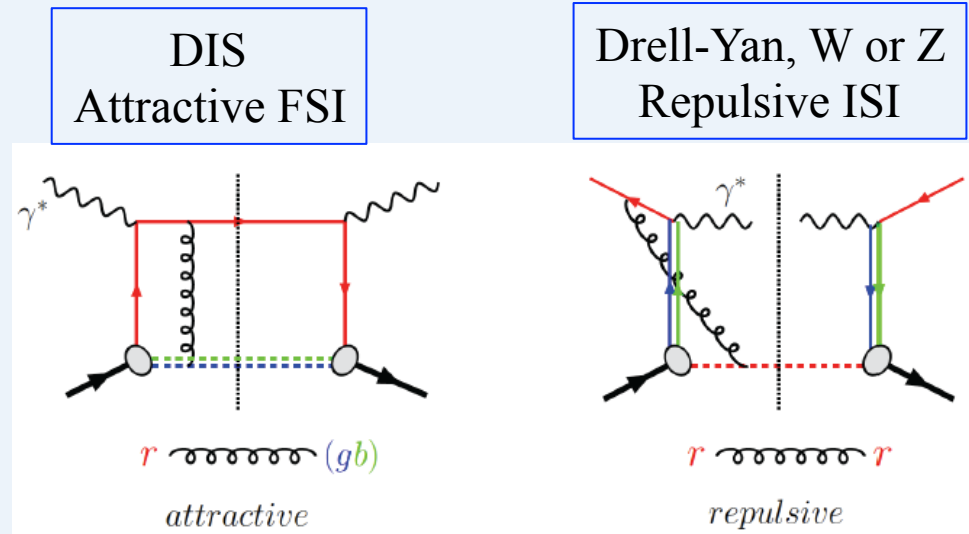
Single hard scale  $p_T$   
 Applicable to pp  
 observables  $A_N(\pi^0/\gamma/\text{jet})$   
 Access the average  $\langle kT \rangle$

$$-\int d^2k_{\perp} \frac{|k_{\perp}^2|}{M} f_{1T}^{\perp q}(x, k_{\perp}^2) |_{\text{SIDIS}} = T_{q,F}(x, x)$$

# Color Interaction in QCD

Controlled non-universality of Sivers function

**QCD:**

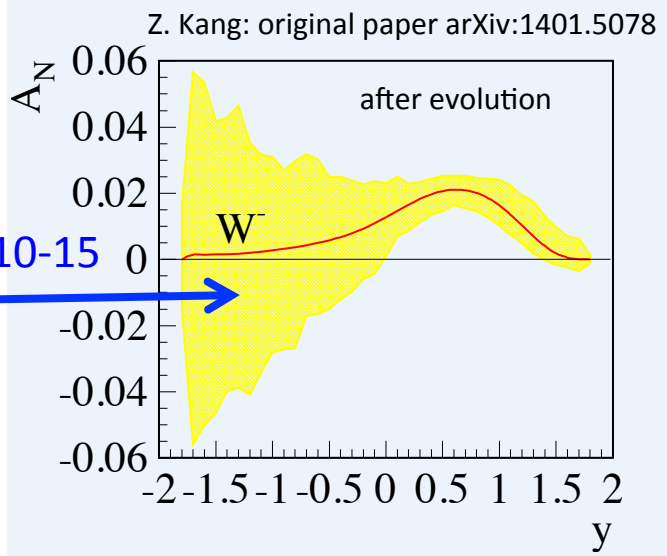
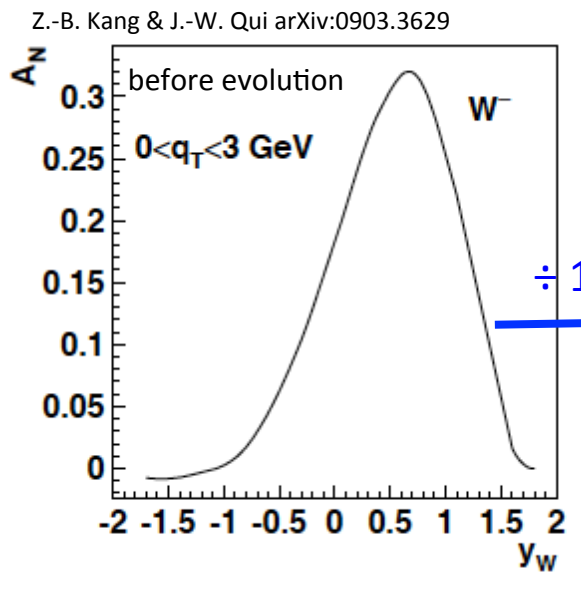


$$\text{Sivers}_{\text{DIS}} = -(\text{Sivers}_{\text{DY}} \text{ or } \text{Sivers}_{\text{W}} \text{ or } \text{Sivers}_{\text{Z}})$$

$A_N(\text{dir. } \gamma)$  has related sign change in Twist-3

Critical test of TMD factorization  
All observables can be explored at RHIC

# Trans. Spin: Initial state

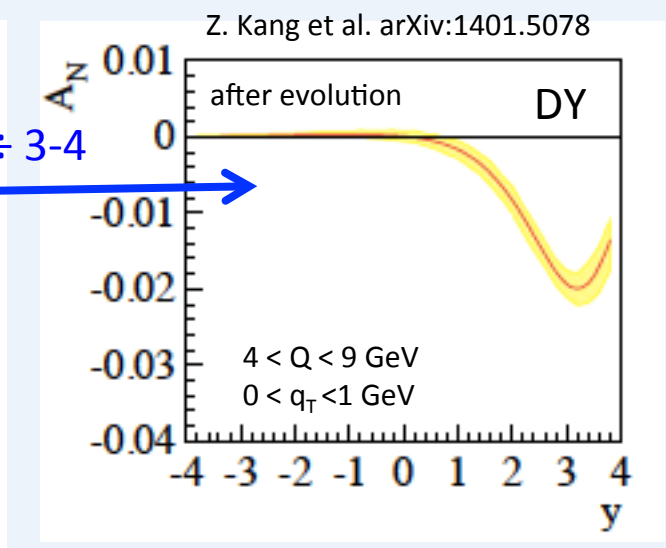
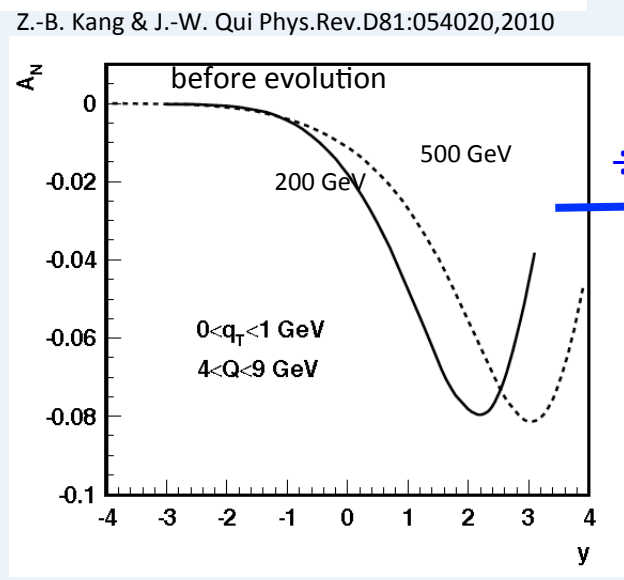


$\div 10-15$

Sivers function  
 non-universality  
 and **evolution**

Too strong evolution effect ?  
 – No consensus yet

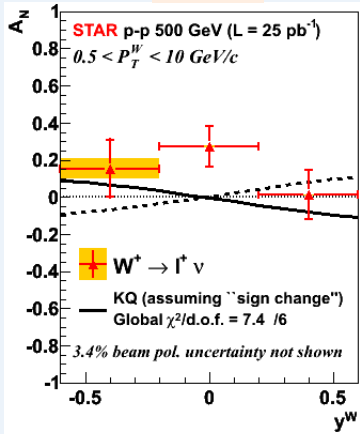
**Need experimental data!**



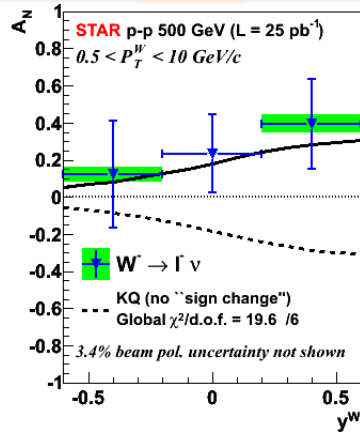
$\div 3-4$

# Trans. Spin: Initial State

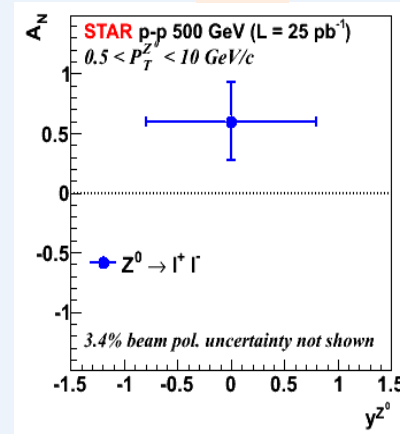
W+



W-



Z0

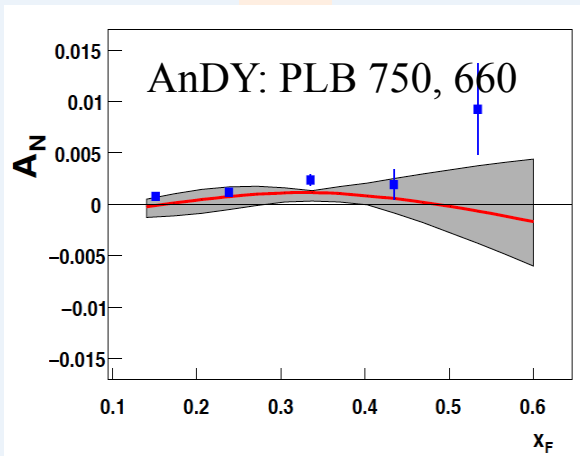


Run-2009  
(PRL 116, 132301)

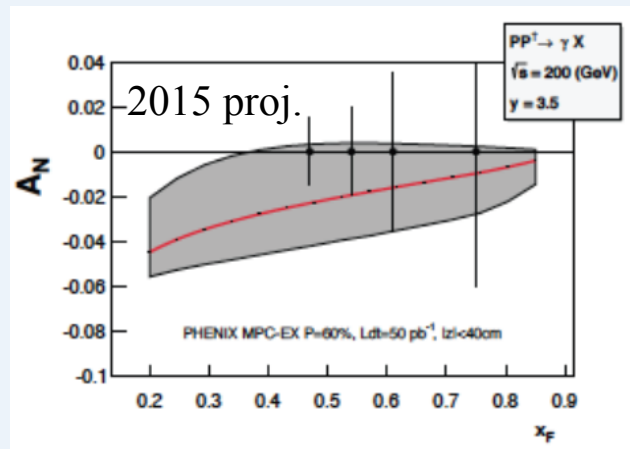
First hint  
for Sivers function  
sign change!

Evolution is small?

Jet



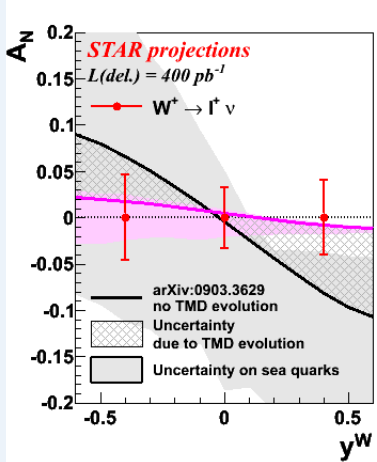
Photons



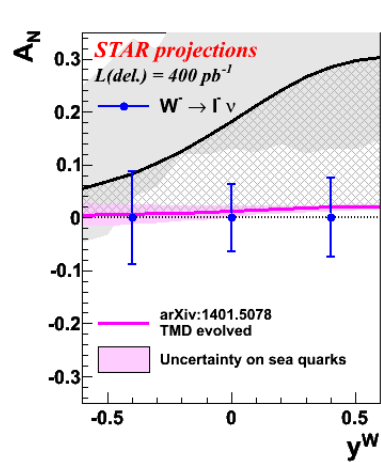
# Trans. Spin: Initial State

Run 2017  
 $p \uparrow p @ 510 \text{ GeV}$

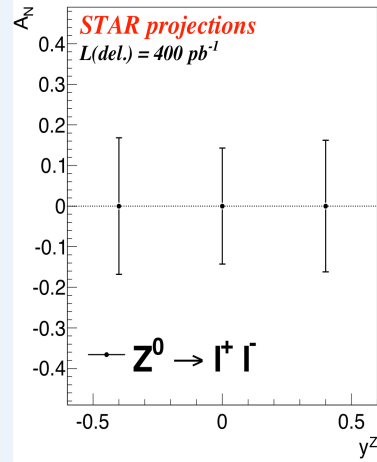
W+



W-

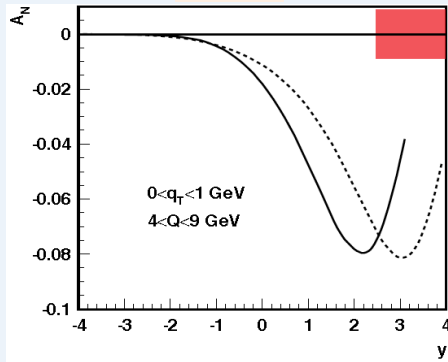


Z0

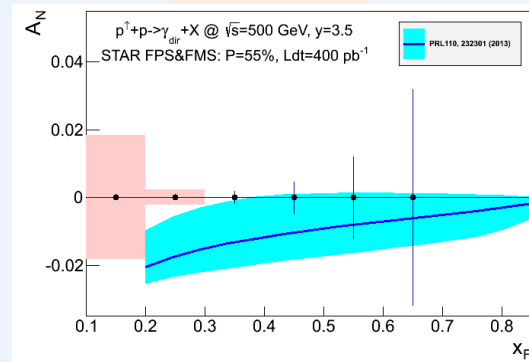


Proj. for Run-2017  
 (a factor  $\sim 4$  reduced  
 uncertainties compared  
 to Run9)

DY



Photons

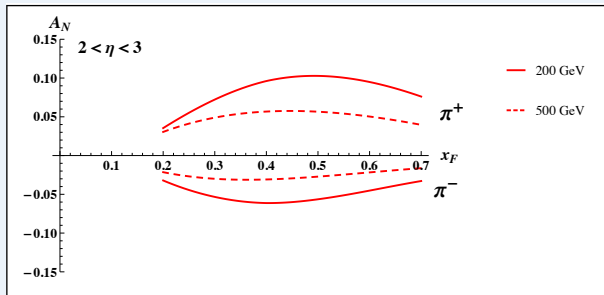


# Trans. Spin: Initial state

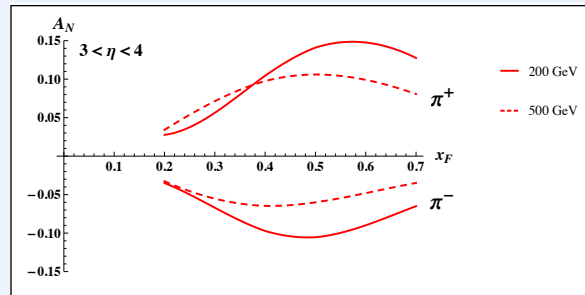
Run 2023+  
 $p \uparrow p @ 200, 510 \text{ GeV}$

×2 reduced uncertainties for earlier measurements: W, Z, DY,  $\gamma$

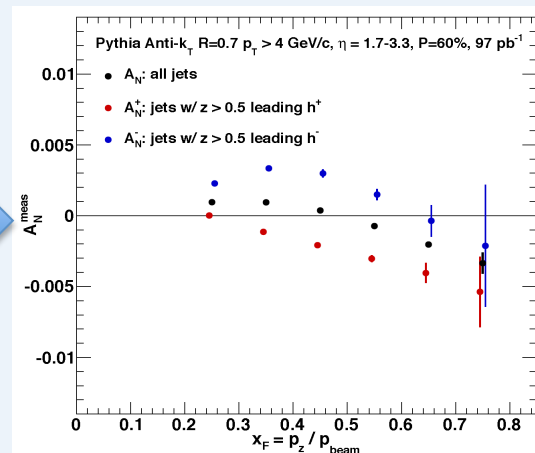
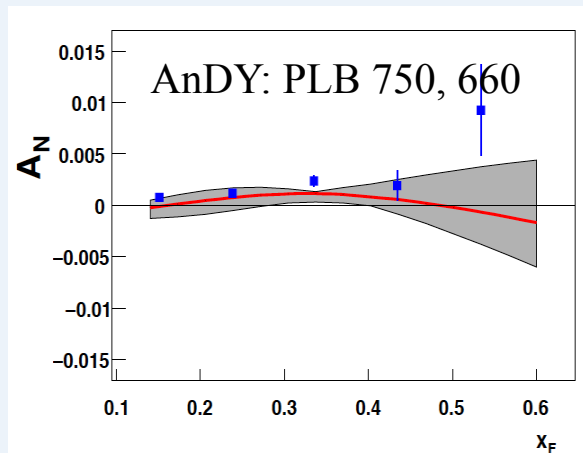
With forward upgrade: Calorimetry + Tracking



Jet



u/d enhanced jet



Charged hadron  $A_N$  at highest  $\sqrt{s}$

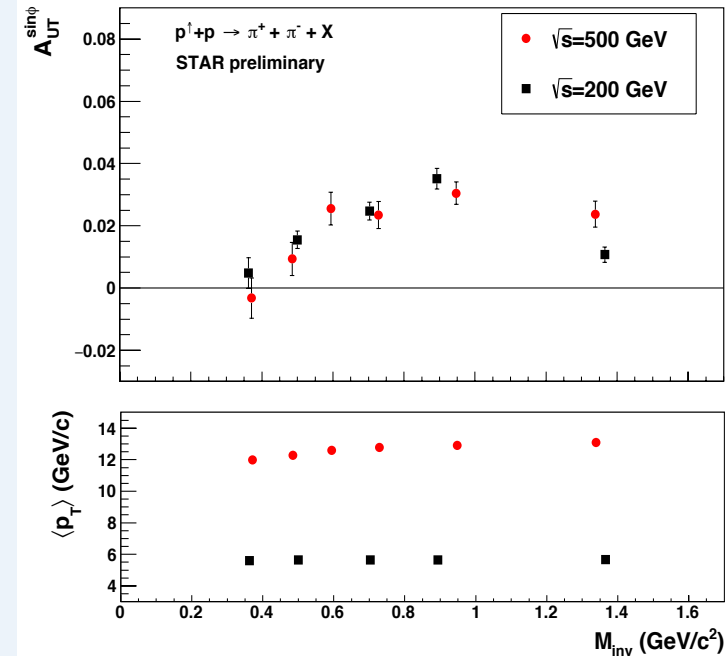
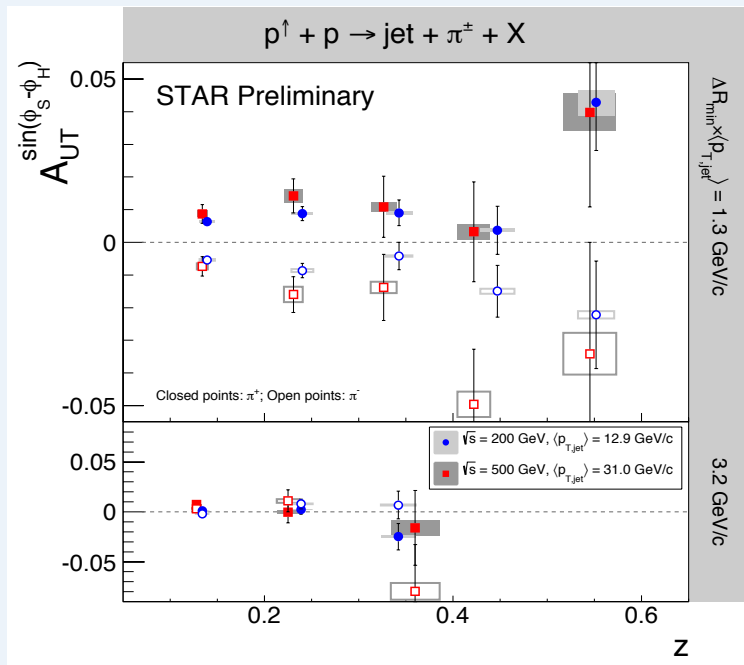
Twist-3 correl. func. flavor dependence and evolution

Twist-3 FF contribution

Twist-3 correl. func. flavor dependence:

u (d) jet enhanced by  $h^{+(-)}$  tagging at  $z > 0.5$

# Trans. Spin: Final State Mechanism



First Collins asymmetry in pp !

=> Access to transversity!

Asymmetry similar at 200 vs 500 GeV

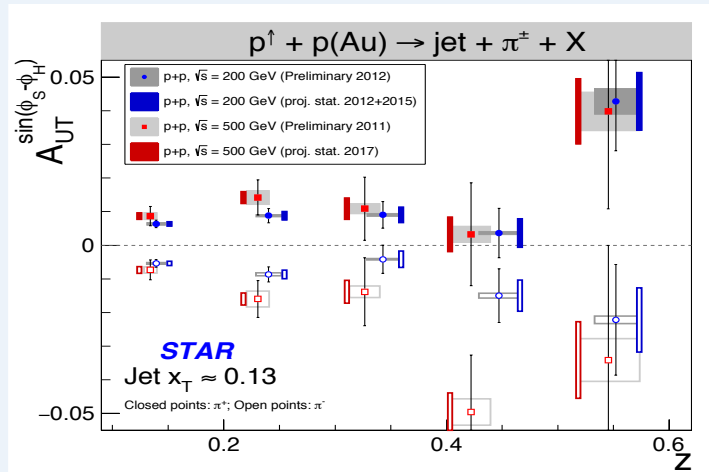
=>TMD evolution is small?

First IFF (Interference Fragmentation) asymmetry in pp !

=>Another way to access transversity !

# Trans. Spin: Final state

Run 2017  
 $p \uparrow p @ 510 \text{ GeV}$

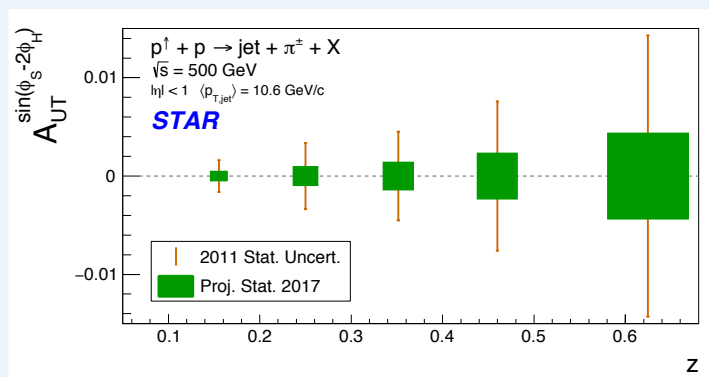


Considerably improve earlier measurements:

Transversity through Collins vs IFF  
 $\Rightarrow$  Universality and factorization breaking

$\sqrt{s}=200$  vs  $500 \text{ GeV}$   
 $\Rightarrow$  Evolution

Linearly polarized gluon PDF through  
 $\sin(\phi_S - 2\phi_H)$  modulation



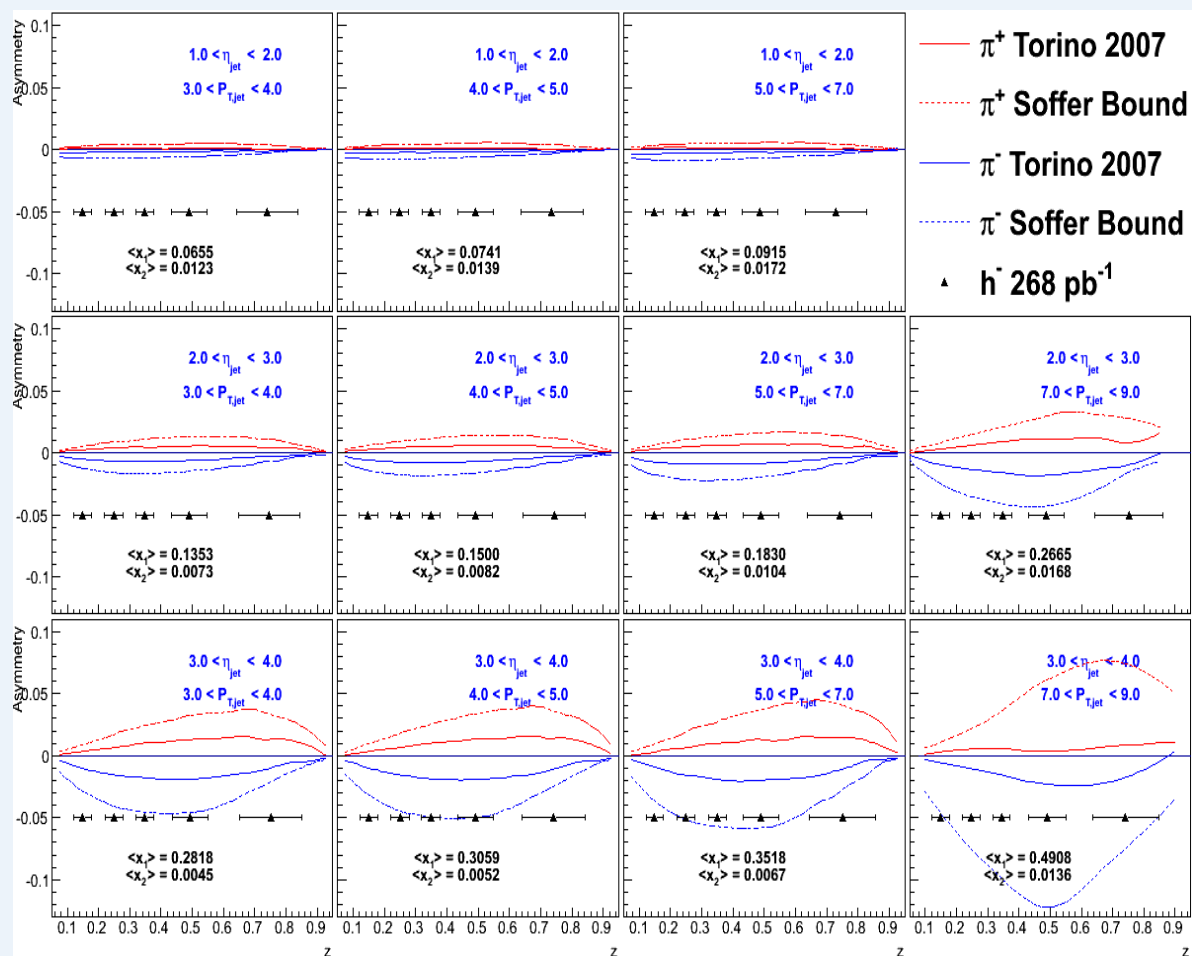


# Trans. Spin: Final state

Run >2023?  
 $p \uparrow p @ 510 \text{ GeV}$

×2 reduced uncertainties for earlier measurements

$h \pm$  Collins asymmetry within jet



Need forward upgrade:

To understand the contribution of final state effect to hadron SSA

Need  $x > 0.3$  (for tensor charge)

Need lower  $x$  (to study gluons)

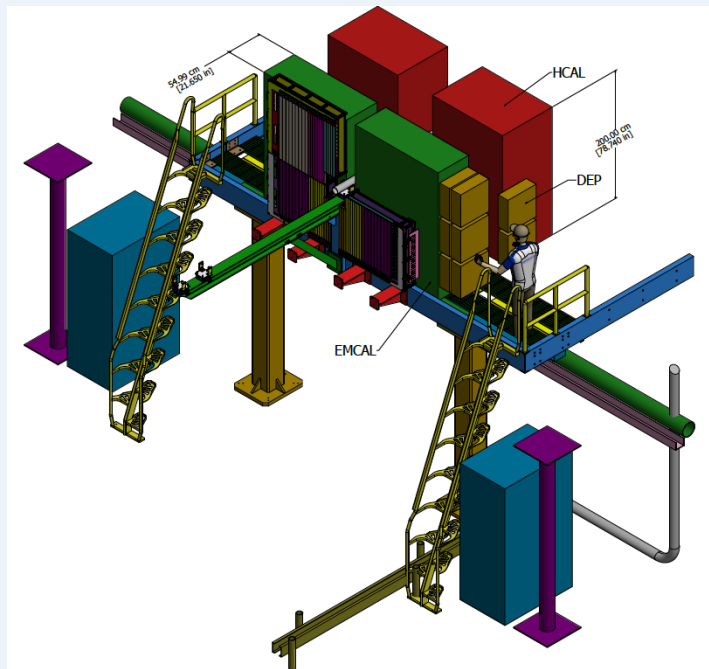
# Proposal Summary

	Year	$\sqrt{s}$ (GeV)	Goals	Observables	Upgrade
Scheduled RHIC Running	2017 $p \uparrow p$	510	Sivers non-universality TMD and Twist-3 evolution  Transversity, Collins FF, lin. pol. gluons, gluon Sivers  GPD Eg	$A_N$ for $\gamma$ , W, Z, DY  $A_{UT} \sim \sin(\phi_s - \phi_h)$ , $\sin(\phi_s - 2\phi_h)$ within jet, $A_{UT} \sim \sin(\phi_s)$ for jets  $A_{UT}$ for J/ $\psi$ in UPC	DY: Postshower to FMS@STAR  None  None
	2023 $p \uparrow p(A)$	200	Source of $A_N$ at high xF, Twist-3 flavor dependence  Diffraction	$A_N$ for $h\pm$ and flavor enhanced jets  $A_N$ for diffraction	Forward  None
Proposed Running	202X $p \uparrow p$	510	TMD at low and high x  Validity and limits of factorization and universality in ep vs pp	$A_{UT}$ for $h\pm$ in jet in forward- and mid-rapidity	Forward  None
	202X $\vec{p}p$	510	$\Delta g$ at small x	$A_{LL}$ for jets, $\pi^0$ , di-jets, h/ $\gamma$ -jet	Forward

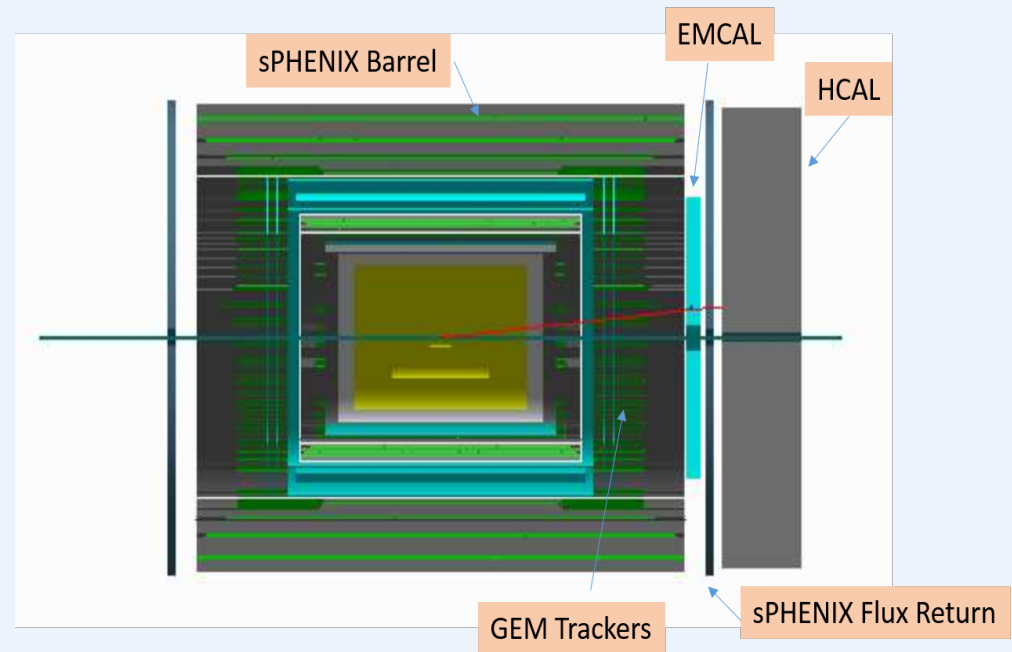
If EIC not realized or delayed

# Forward upgrade for 2020+

STAR



fsPHENIX



Add forward instrumentation up to  $\eta=4$ :  
EMCal+Hcal+Tracking

# (Instead of) Summary

Factorization & Universality

=> both  $p+p(A)$  and  $e+p(A)$

Evolution

=> different  $\nu_s$

Higher and lower  $x$

Also the source of large  $A_N$  in  $pp$

=> forward  
instrumentation

Precision

=> more  $L$

Unique measurements: probes  
and kin. ranges; e.g.  $W$ ,  $DY$ , Twist-3

=>  $p+p(A)$

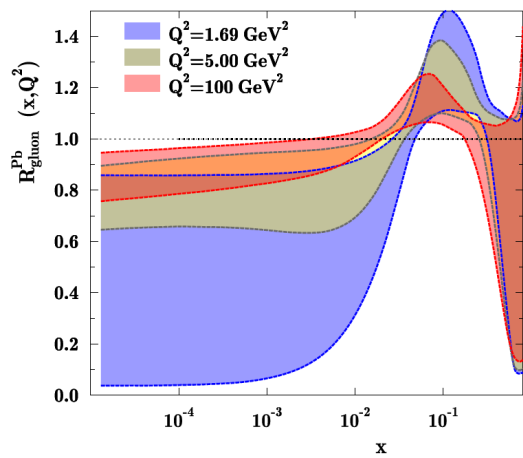
# Backup

# nPDF from LHC

N. Armesto, *et al.*,  
arXiv:1512.01528

EPS-09

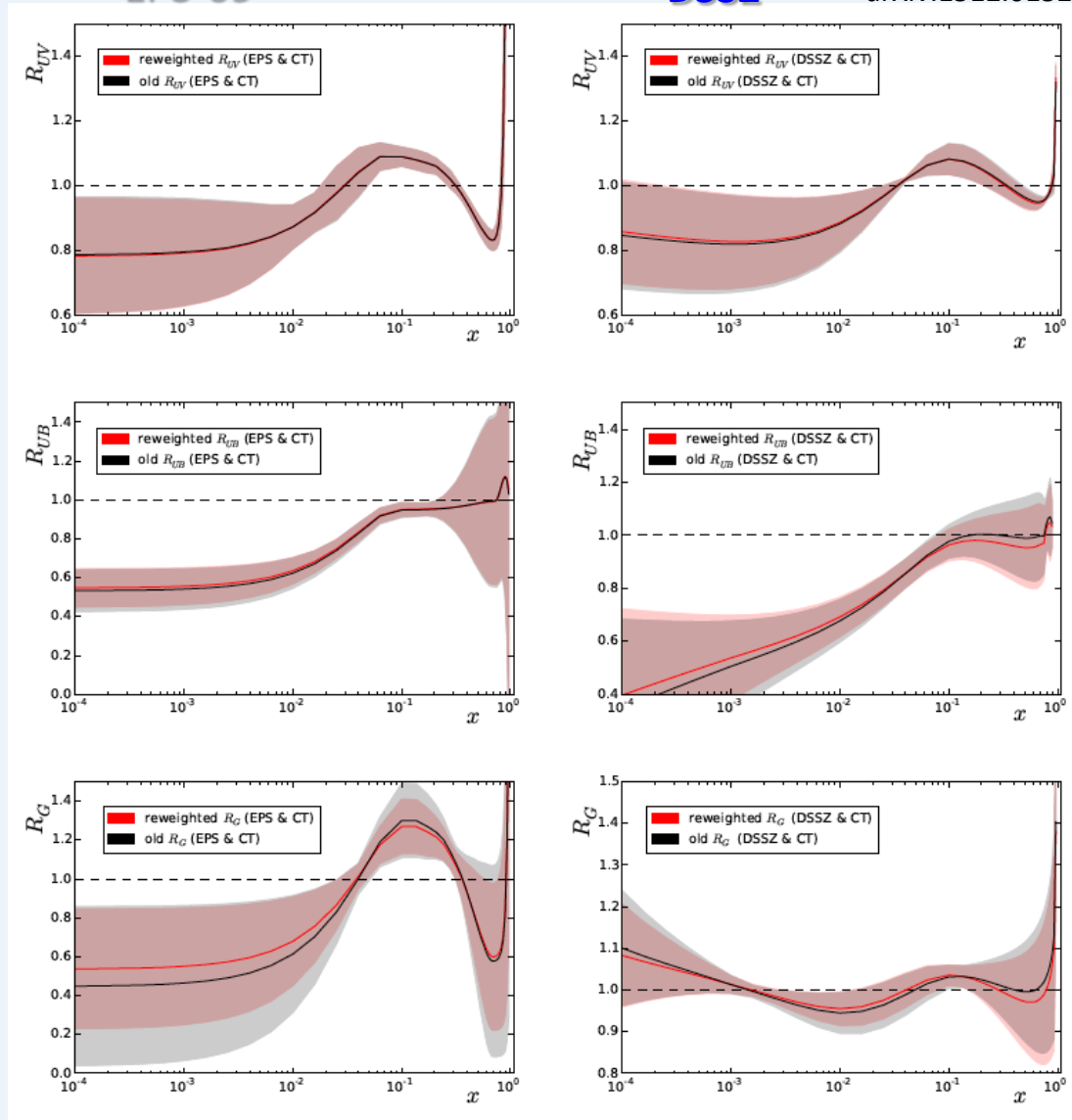
DSSZ



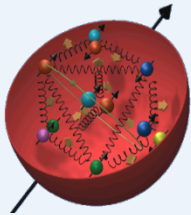
Valence  
Quark

Sea  
Quark

gluons

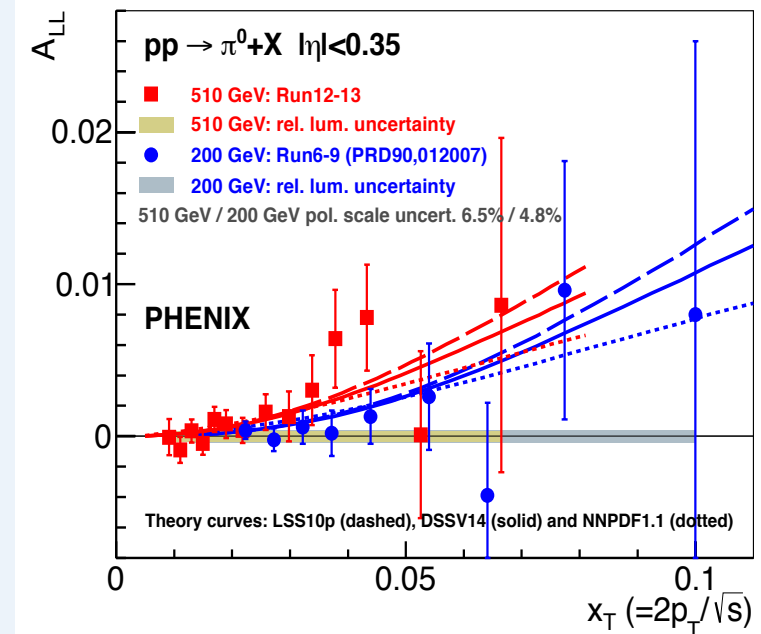
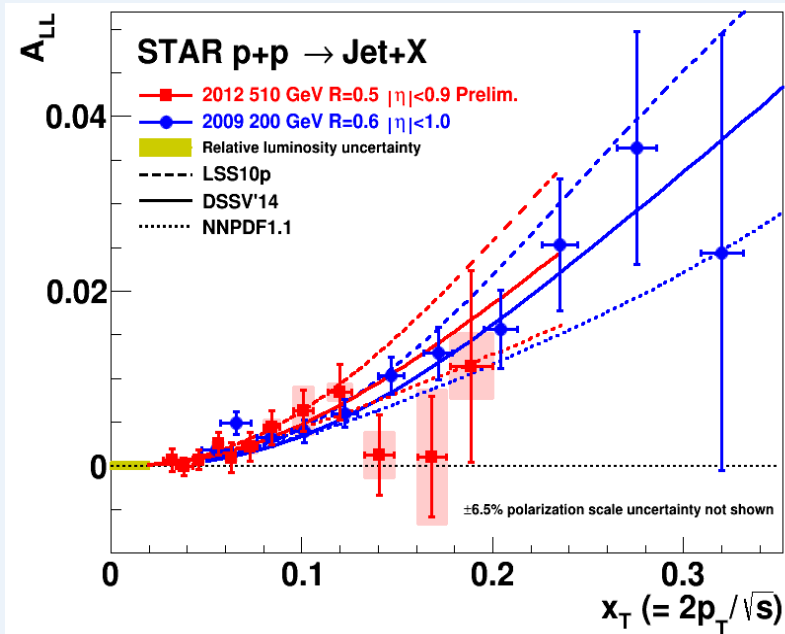


# $\Delta G: \pi^0$ and jet $A_{LL}$



Are gluons polarized?

$$\frac{1}{2} = \frac{1}{2}(\Delta q + \Delta \bar{q}) + \Delta G + L_z$$

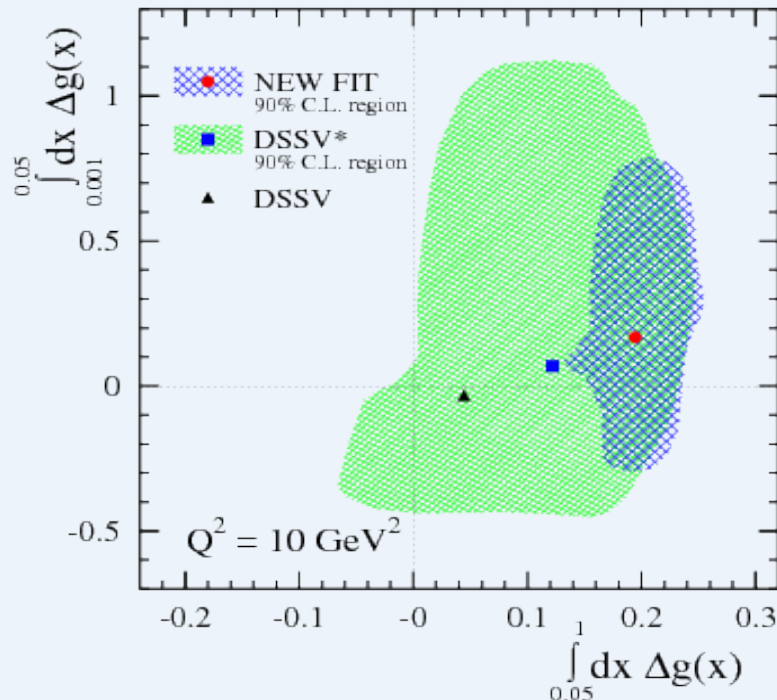


Observation of non-zero  $A_{LL}$  associated with non-zero  $\Delta G$  !

# $\Delta G$ : DIS+pp global QCD fit

DSSV:

D. de Florian  
R. Sassot  
M. Stratmann  
W. Vogelsang



DSSV: Phys Rev Lett, 101, 072001 (2008)

Data from up to 2006

New DSSV: Phys Rev Lett, 113, 012001 (2014)

Data from up to 2009

$$\int_{0.05}^1 dx \Delta g(x) = 0.2^{+0.06}_{-0.07}$$

Significant non-zero  $\Delta g(x)$  in the kin. region probed by RHIC

Similar result from another global fit NNPDF

Still huge uncertainty in unmeasured region ( $x < 0.05$ )

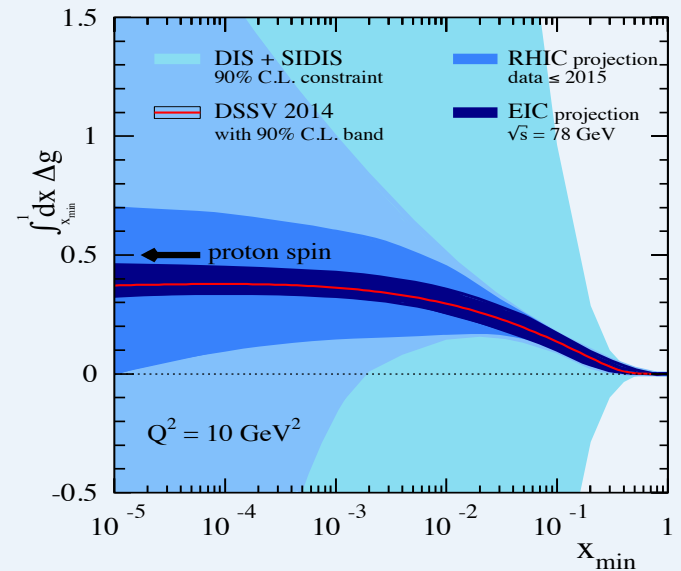
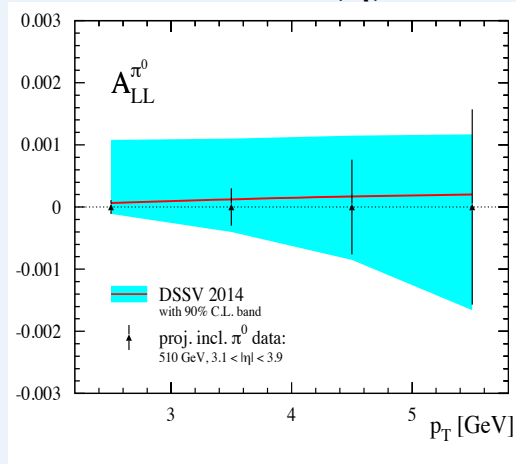
=> Measurements at higher  $\sqrt{s}$  and forward rapidity



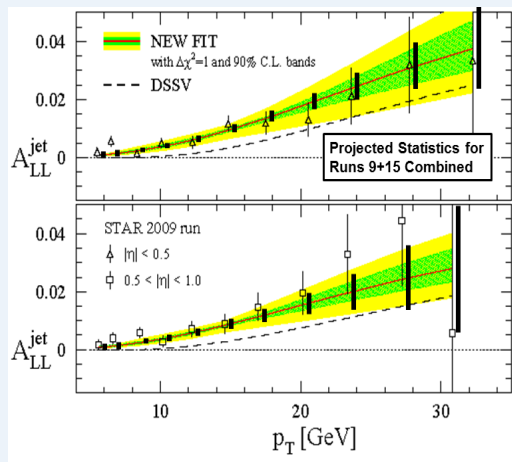
# $\Delta G$ : Near Term Projections

From already available data from 2011-15

$\pi^0$ :  $3.1 < |\eta| < 3.9$



Jets:  $|\eta| < 1$



Other channels also being measured  
(but with weaker stat. power)

$\gamma$ ,  $\eta$ ,  $\pi^\pm$ ,  $h^\pm$ , heavy flavor through  
e and  $\mu$ , jet-jet, h-h,  $\gamma$ -jet,  $\gamma$ -h

Great improvement expected

... Still not enough precision for  
 $\Delta G$  full integral

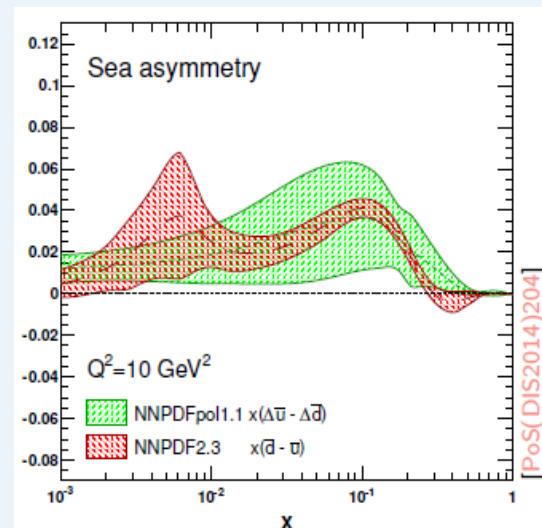
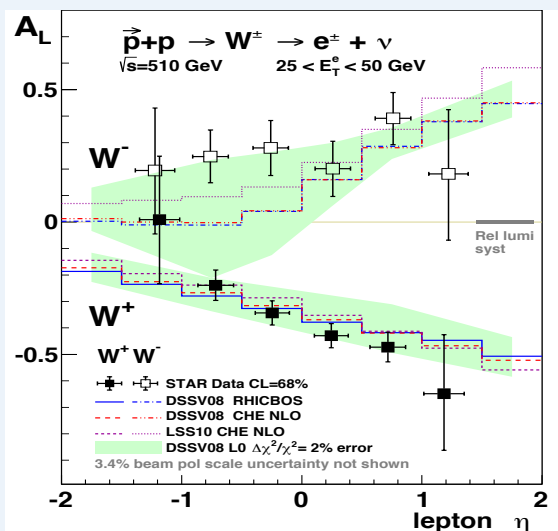
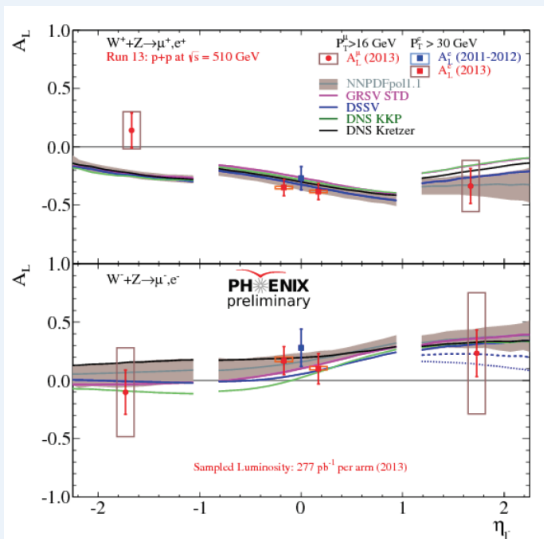
$$d_L \bar{u}_R \rightarrow W^-$$

$$u_L \bar{d}_R \rightarrow W^+$$

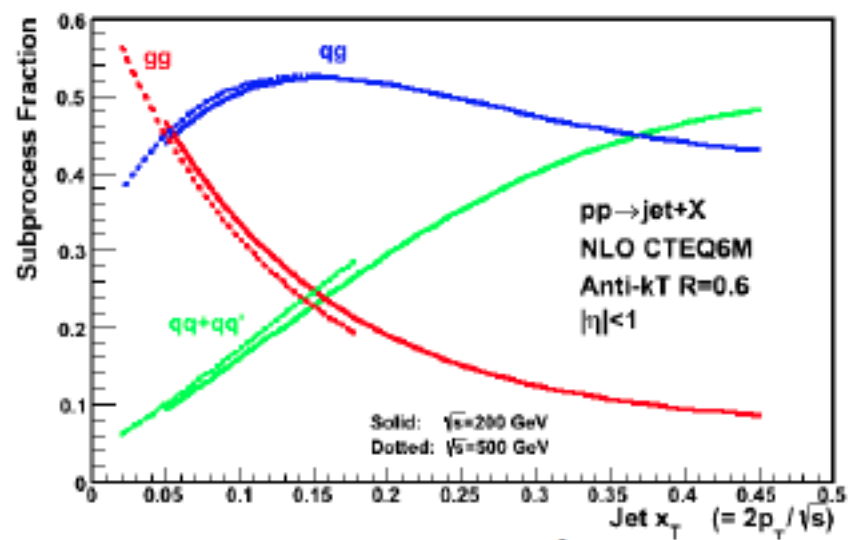
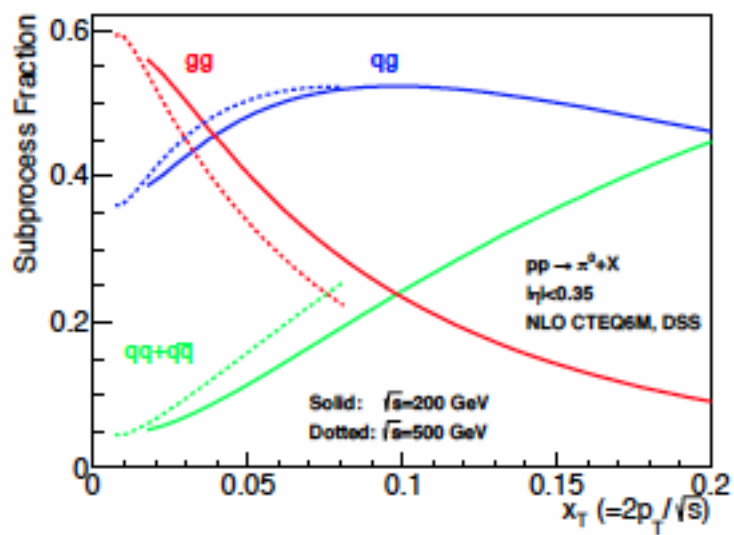
$$\Delta q\text{-bar}: W^\pm \rightarrow l^\pm$$

$$\frac{1}{2} = \frac{1}{2}(\Delta q + \Delta \bar{q}) + \Delta G + L_Z$$

Constrains flavor separated (anti-)quark polarization at high  $Q \sim M_W$  at  $x > 0.05$ , with no fragmentation involved (as in SIDIS)

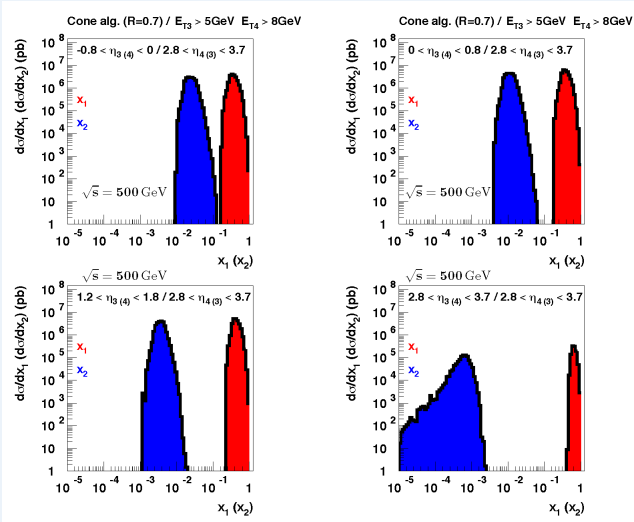


$\Delta u\text{-bar}$  tends to be more positive  
 $\Rightarrow$  Symmetry breaking in polarized sea?  
 Twice reduced uncertainties when all data analyzed



# Long. Spin: $\Delta G$

Run >2023?  
 $\bar{p}p@510\text{ GeV}$

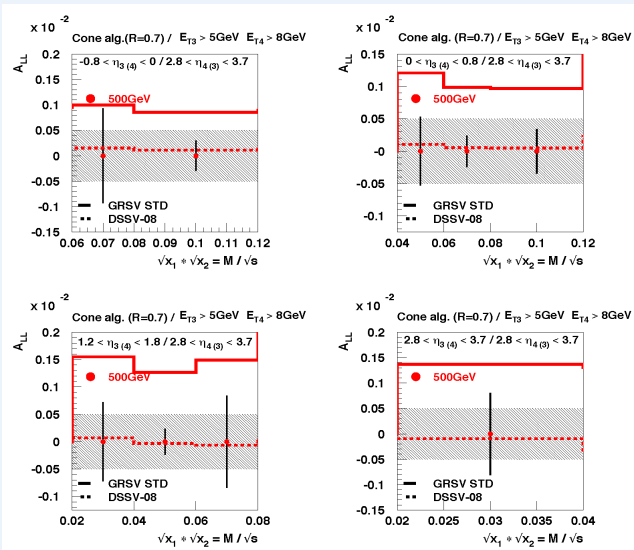


$\times 1.5$  more statistics for “traditional” channels  
 (incl.  $\pi^0$  and jets)

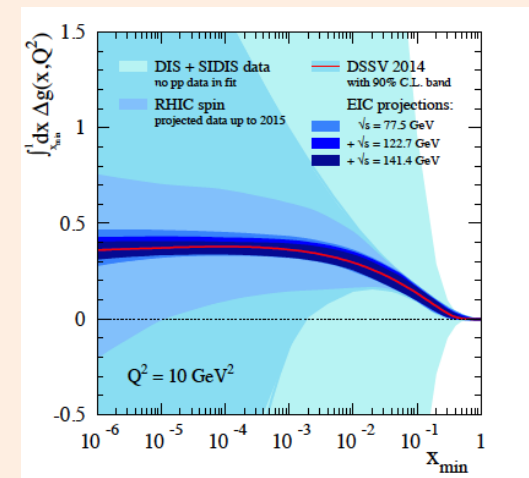
Photons and  $\pi^\pm$  will get sensitivity to non-zero  $\Delta G$   
 Though with smaller stat. power than incl.  $\pi^0$  and jets

With forward upgrade (to  $\eta \sim 4$ ):

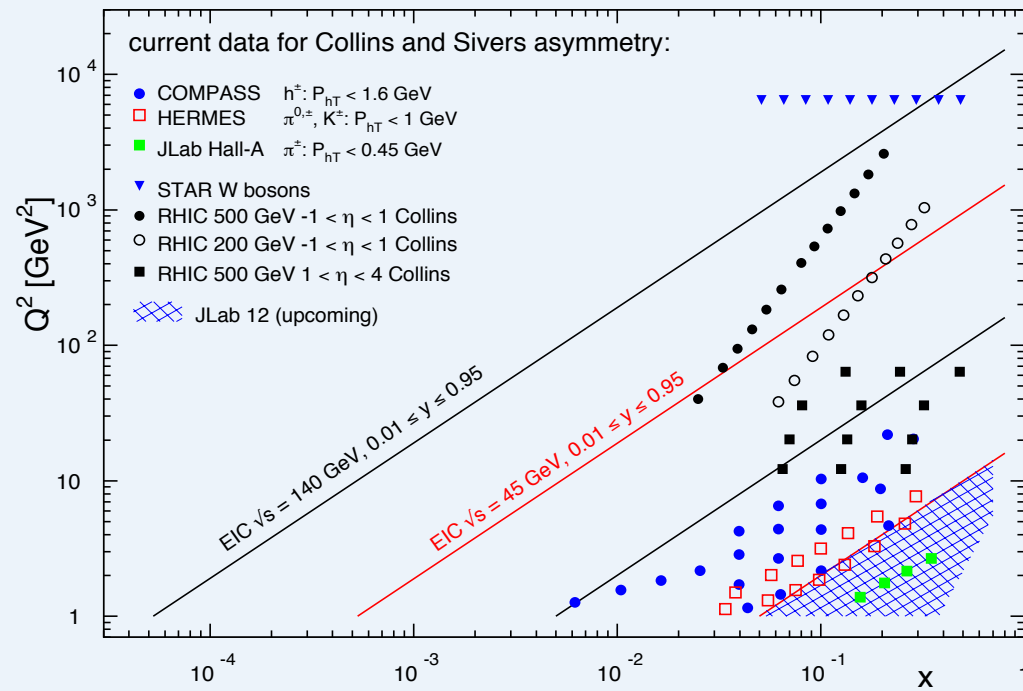
Di-jets – cleaner access to lower  $x$  down to  $10^{-3}$ .



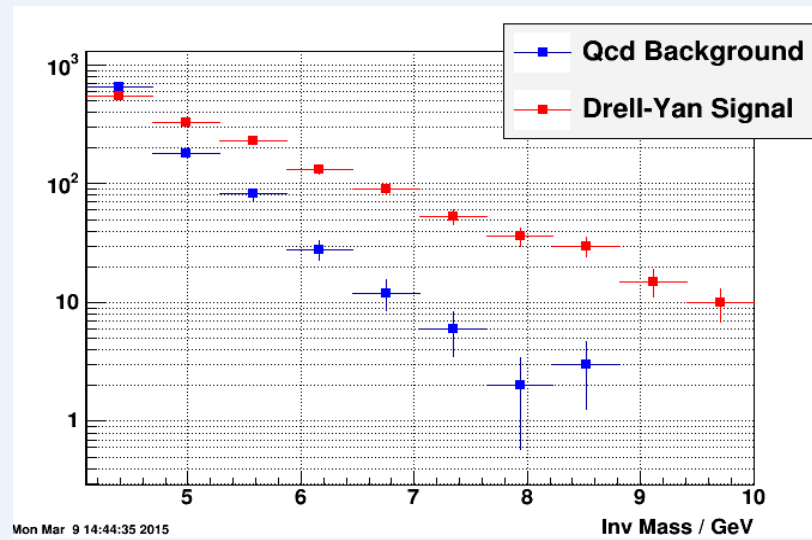
EIC can do it down to  
 $x \sim 10^{-5}$  with considerably  
 higher precision



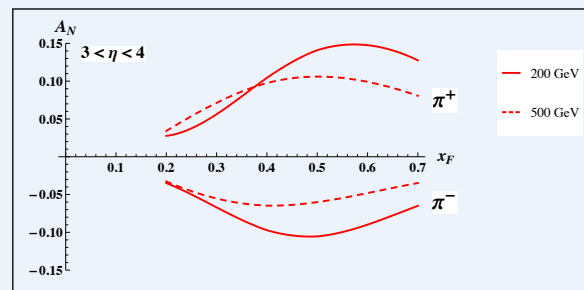
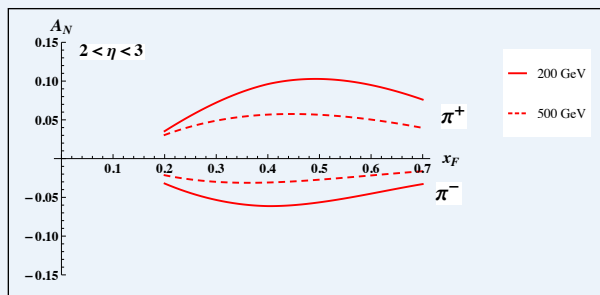
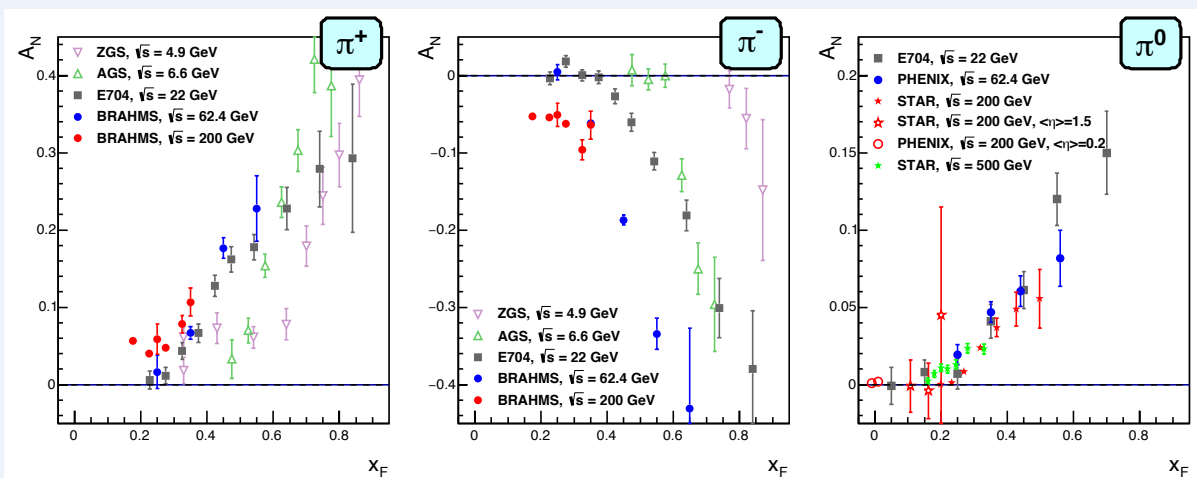
# Sivers



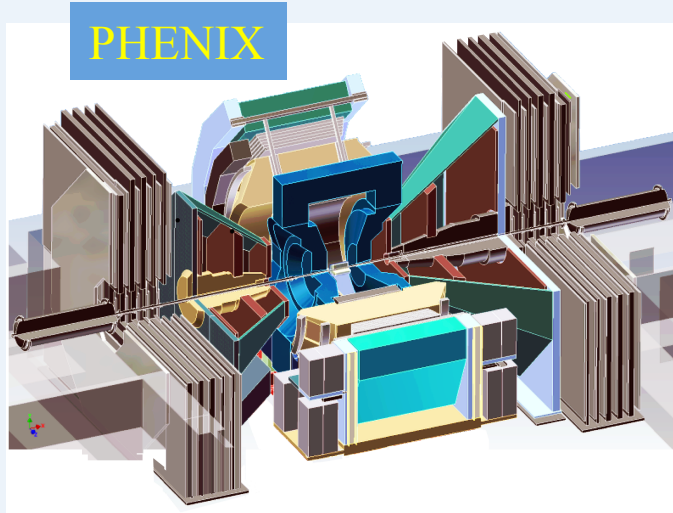
# DY



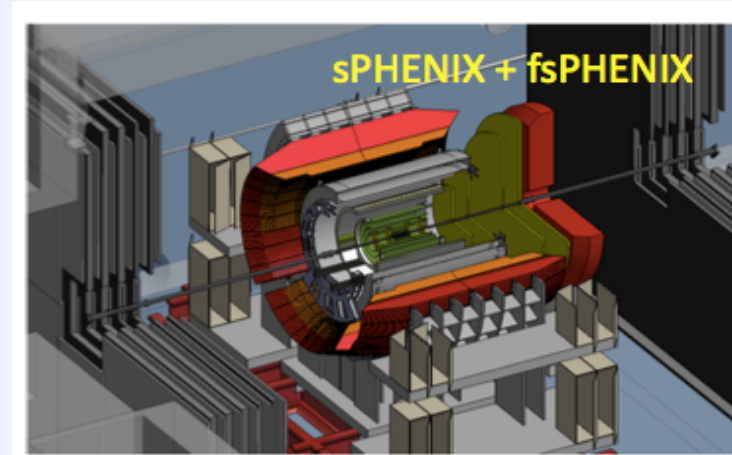
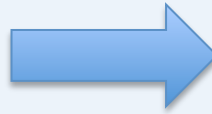
# $A_N$



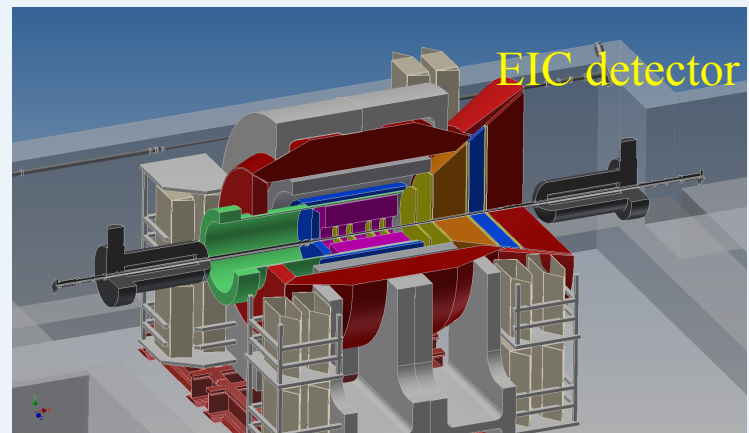
# PHENIX: longer term plans



~2021-22



By ~2025



Evolve sPHENIX (pp and HI detector) to EIC Detector (ep and eA detector)

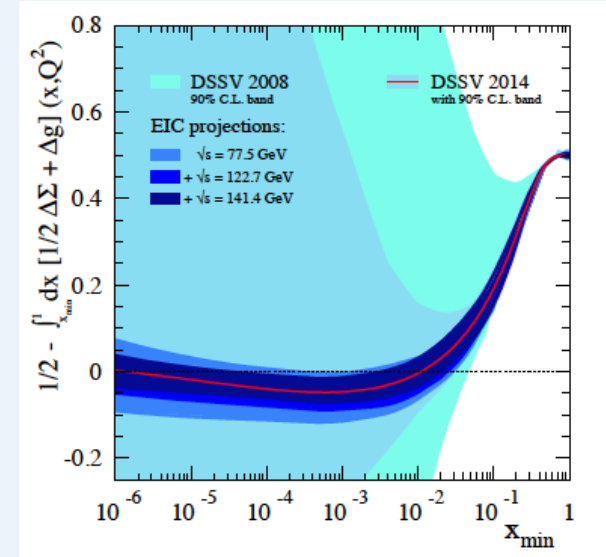
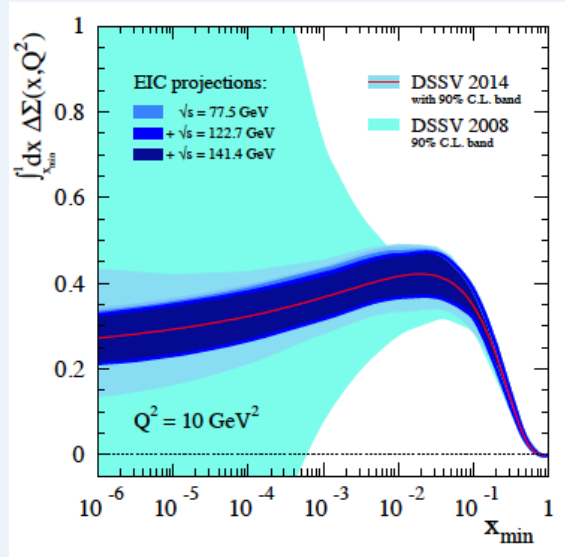
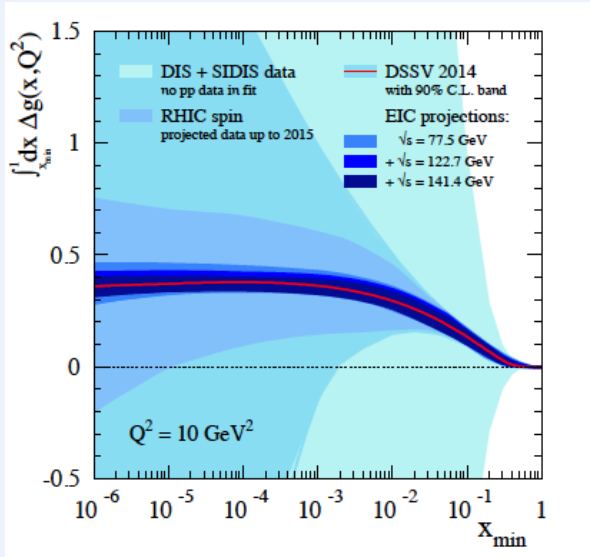
- To utilize e and p (A) beams at eRHIC with e-energy up to 15 GeV and p(A)-energy up to 250 GeV (100 GeV/n)
- e, p, He3 polarized
- Stage-1 luminosity  $\sim 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$  ( $\sim 1 \text{ fb}^{-1} / \text{month}$ )



# Nucleon Helicity Structure: from RHIC to EIC

arXiv: 1509.06489

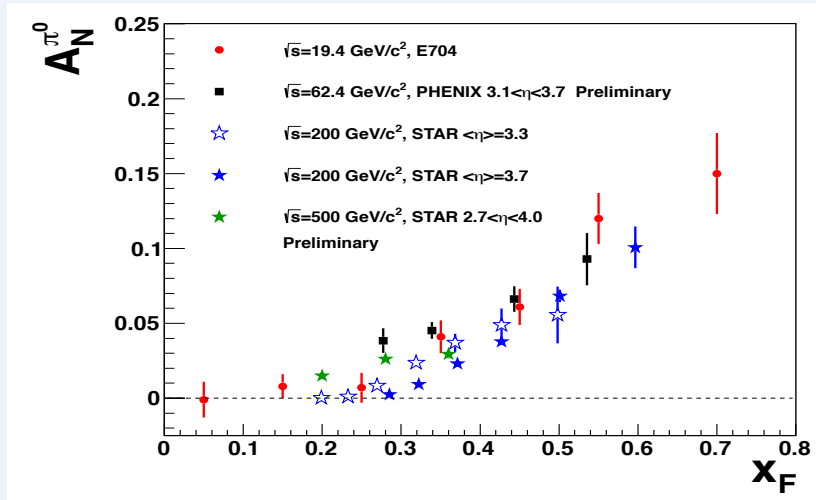
$$\frac{1}{2} = \frac{1}{2} \sum_q [\Delta q + \Delta \bar{q}] + \Delta g + L$$



$\frac{1}{2}$  - Gluon - Quarks = Orbital angular momentum

Spin puzzle will be solved

# RHIC Transverse Spin



Collinear (higher twist) pQCD predicts

$$A_N \sim 1/p_T \dots \text{at what } p_T ?$$

No fall off is observed out to  $p_T \sim 7$  GeV/c

Main focus:

Disentangle different contributors

Naïve collinear pQCD predicts

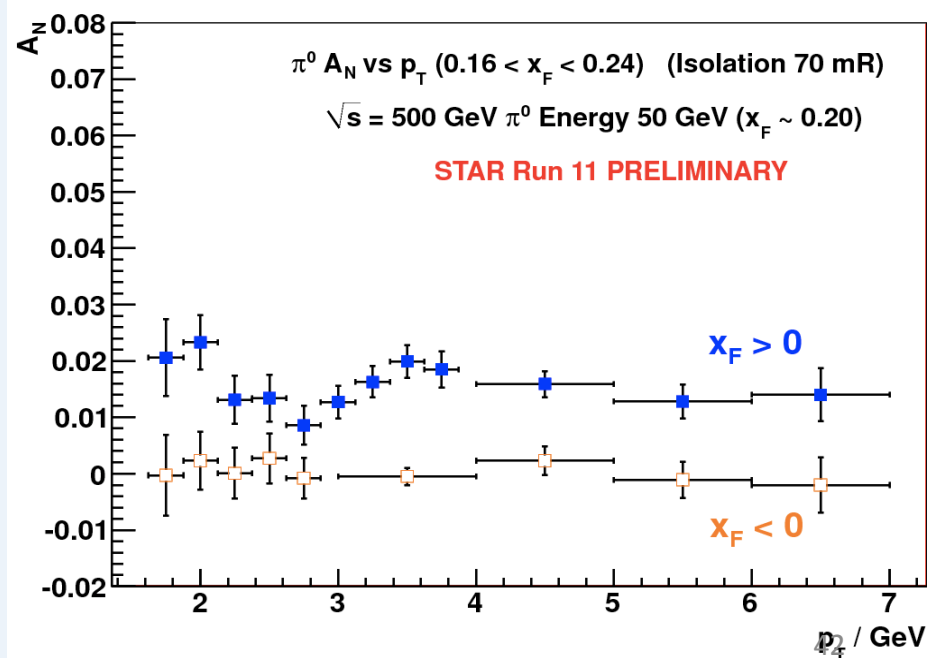
$$A_N \sim \alpha_s m_q / p_T \sim 0$$

Asymmetries survive at highest  $\sqrt{s}$

Non-perturbative regime!

Asymmetries of the  $\sim$ same size at all  $\sqrt{s}$

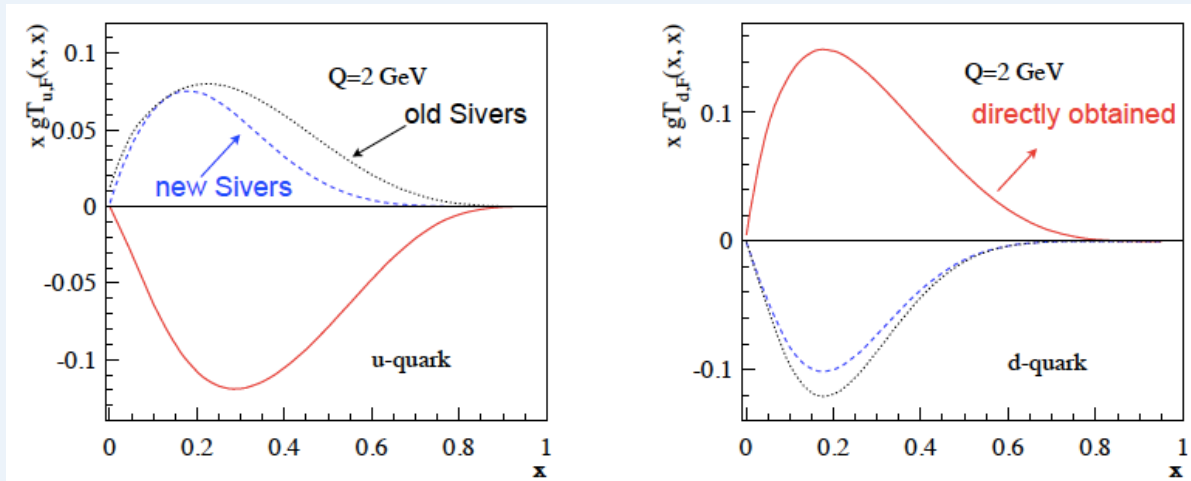
Asymmetries scale with  $x_F$



# TMD vs Twist3: Sign Mismatch?

$$-\int d^2k_{\perp} \frac{|k_{\perp}^2|}{M} f_{1T}^{\perp q}(x, k_{\perp}^2) |_{SIDIS} = T_{q,F}(x, x)$$

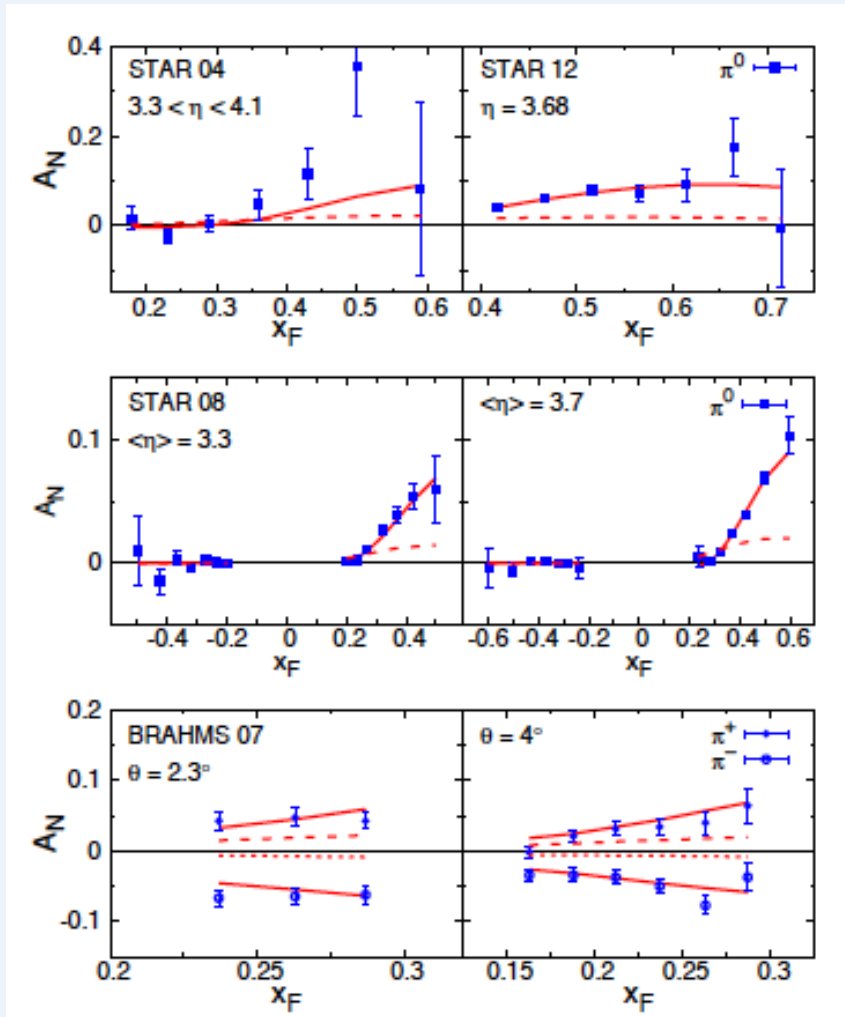
Kang, Qiu, Vogelsang, Yuan  
PRD 83 (2011), 094011



$pp \rightarrow \pi X$  (Twist-3)  
SIDIS (TMD)

Sign mismatch!  
Siverts contribution is small in  $pp \rightarrow \pi X$  ?  
 $\Rightarrow$  Collins dominate?

# Collins dominate?



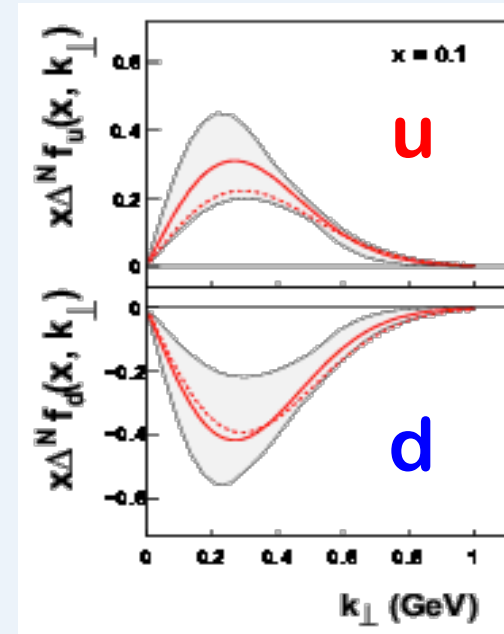
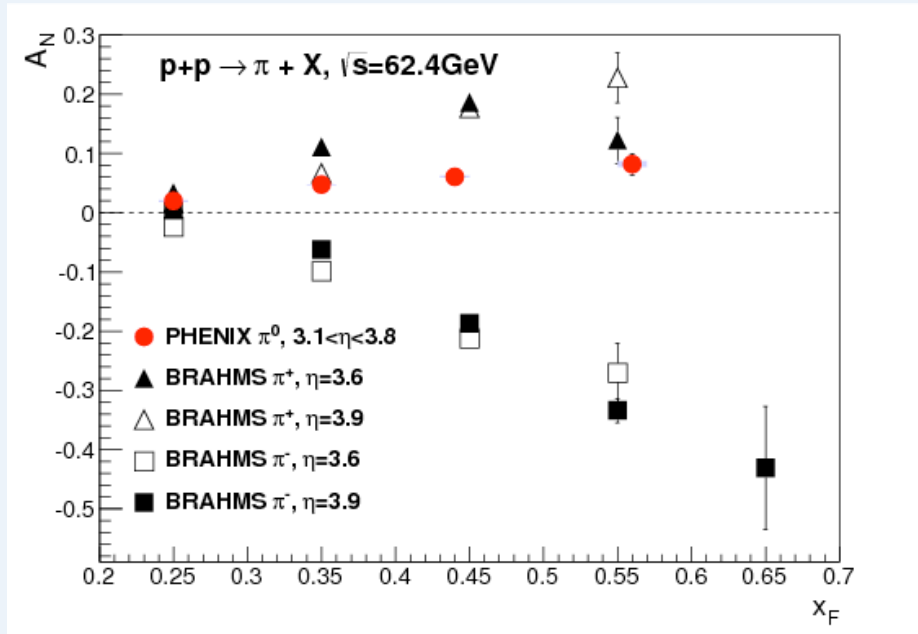
$A_N$  from twist-3 fragmentation functions (Kanzawa, Koike, Metz, Pitoniak, arXiv:1404.1033)

Describes data well !

# $A_N: pp \rightarrow \pi X$

PRD90 (2014), 012006

Anselmino et al., Eur. Phys. J. A39, 89 (2009)



PYTHIA:

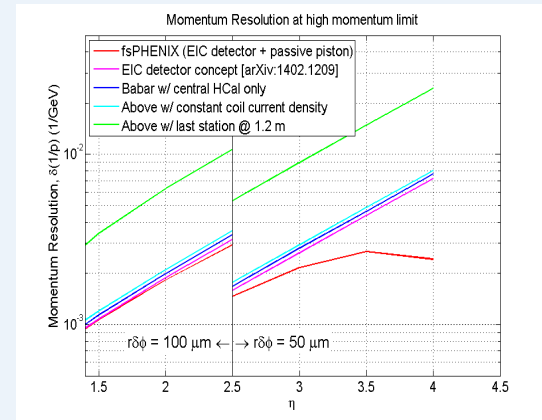
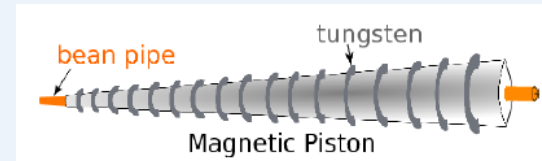
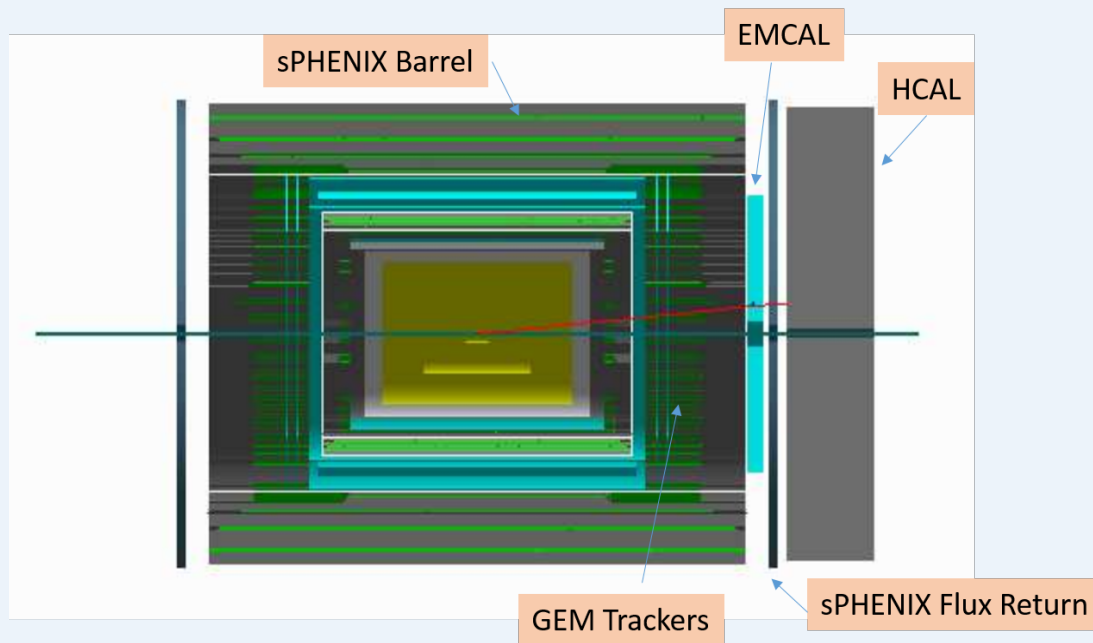
$\pi^+$  mainly produced from u  
 $\pi^-$  equally produced from d and u

$$\Rightarrow |A_N(\pi^+)| \gg |A_N(\pi^-)|$$

Sivers contribution is small in  $pp \rightarrow \pi X$  ?

# f+sPHENIX

Forward upgrade to barrel sPHENIX detector



$\eta=1.1 - 4: \pi^0, \gamma, e, \mu, h^\pm, \text{jets}$

EMCal + MPC (from PHENIX):

HCAL (PbSc):

Magnetic piston field shaper

Tracking (GEM):

MuID (from PHENIX)

Roman Pots

$$\sigma_E/E \sim 8\%/\sqrt{E}$$

$$\sigma_E/E \sim 100\%/\sqrt{E}$$

$$\sigma_p/p < 0.3\% * p$$

The majority of the cost – as a down payment to potential EIC detector (“ePHENIX”)