

The SMEFT at NLO

Higgs XS Working Group



“To misname things is to add to the misery of the world.” -A. Camus (re basis debate)

“Absence of evidence is not evidence of absence.” -The BSM physics mantra.

(Unfortunately, you also can't prove a negative.)

Basic Outline

- NLO EFT note under development for WG2 will be reviewed.
Basic points covered will be as follows:
 1. What is the linear SMEFT? What is LO and NLO in the SMEFT?
 2. Why are calculations in the NLO SMEFT being done?
When are NLO effects on specific processes or physics results of interest?
When does this matter?
 3. Some details/reminders on theoretical errors and why this matters in the LO SMEFT formalism - brief mention of the standard approach to LO in the SMEFT.
 4. Some details on the systematic renormalization programs that have been done, allowing NLO advances.
 5. Discussion of the complete $h \rightarrow \gamma\gamma$ result, how it is laying a path in the NLO jungle for future work.



What is the linear SMEFT

Linear EFT - built of H doublet + higher D ops

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{\Lambda_{\delta L \neq 0}} \mathcal{L}_5 + \frac{1}{\Lambda_{\delta B=0}^2} \mathcal{L}_6 + \frac{1}{\Lambda_{\delta B \neq 0}^2} \mathcal{L}'_6 + \frac{1}{\Lambda_{\delta L \neq 0}^3} \mathcal{L}_7 + \frac{1}{\Lambda^4} \mathcal{L}_8 + \dots$$

Linear SMEFT: Global sym structure

Linear EFT - built of H doublet + higher D ops

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{\Lambda_{\delta L \neq 0}} \mathcal{L}_5 + \frac{1}{\Lambda_{\delta B=0}^2} \mathcal{L}_6 + \frac{1}{\Lambda_{\delta B \neq 0}^2} \mathcal{L}'_6 + \frac{1}{\Lambda_{\delta L \neq 0}^3} \mathcal{L}_7 + \frac{1}{\Lambda^4} \mathcal{L}_8 + \dots$$

Lepton number violating, associated with neutrino mass and higher suppression scale. Operator dimension and global sym structure related - see 1404.4057
Gouvea, Herrero-Garcia, Kobach

Linear SMEFT: Global sym structure

Linear EFT - built of H doublet + higher D ops





$$\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{\Lambda_{\delta L \neq 0}} \mathcal{L}_5 + \frac{1}{\Lambda_{\delta B = 0}^2} \mathcal{L}_6 + \frac{1}{\Lambda_{\delta B \neq 0}^2} \mathcal{L}'_6 + \frac{1}{\Lambda_{\delta L \neq 0}^3} \mathcal{L}_7 + \frac{1}{\Lambda^4} \mathcal{L}_8 + \dots$$

Baryon number violating, experimentally known to be small

SMEFT: development cycle

Linear EFT - built of H doublet + higher D ops

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{\Lambda_{\delta L \neq 0}} \mathcal{L}_5 + \frac{1}{\Lambda_{\delta B = 0}^2} \mathcal{L}_6 + \frac{1}{\Lambda_{\delta B \neq 0}^2} \mathcal{L}'_6 + \frac{1}{\Lambda_{\delta L \neq 0}^3} \mathcal{L}_7 + \frac{1}{\Lambda^4} \mathcal{L}_8 + \dots$$

-  Glashow 1961, Weinberg 1967 (Salam 1967)
-  Weinberg 1977
-  Leung, Love, Rao 1984, Buchmuller Wyler 1986, Grzadkowski, Iskrzynski, Misiak, Rosiek 2010
-  Weinberg 1979, Abbott Wise 1980
-  Lehman 1410.4193, Henning et al. 1512.03433
-  Lehman, Martin 1510.00372, Henning et al. 1512.03433

The Lagrangian expansion theory technology is essentially a solved problem

Complexity is scaling up...

Linear EFT - built of H doublet + higher D ops

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{\Lambda_{\delta L \neq 0}} \mathcal{L}_5 + \frac{1}{\Lambda_{\delta B = 0}^2} \mathcal{L}_6 + \frac{1}{\Lambda_{\delta B \neq 0}^2} \mathcal{L}'_6 + \frac{1}{\Lambda_{\delta L \neq 0}^3} \mathcal{L}_7 + \frac{1}{\Lambda^4} \mathcal{L}_8 + \dots$$

 14 operators, or 18 parameters (+ 1 op and then 19 with strong CP)

 1 operator, and 7 extra parameters

Complexity is scaling up...

Dim 6 counting is a bit non trivial.

| Class | N_{op} | CP -even | | | CP -odd | | |
|---|----------|--|----|------|---|----|------|
| | | n_g | 1 | 3 | n_g | 1 | 3 |
| 1 $g^3 X^3$ | 4 | 2 | 2 | 2 | 2 | 2 | |
| 2 H^6 | 1 | 1 | 1 | 1 | 0 | 0 | |
| 3 $H^4 D^2$ | 2 | 2 | 2 | 2 | 0 | 0 | |
| 4 $g^2 X^2 H^2$ | 8 | 4 | 4 | 4 | 4 | 4 | |
| 5 $y\psi^2 H^3$ | 3 | $3n_g^2$ | 3 | 27 | $3n_g^2$ | 3 | 27 |
| 6 $gy\psi^2 XH$ | 8 | $8n_g^2$ | 8 | 72 | $8n_g^2$ | 8 | 72 |
| 7 $\psi^2 H^2 D$ | 8 | $\frac{1}{2}n_g(9n_g + 7)$ | 8 | 51 | $\frac{1}{2}n_g(9n_g - 7)$ | 1 | 30 |
| 8 : $(\overline{LL})(LL)$ | 5 | $\frac{1}{4}n_g^2(7n_g^2 + 13)$ | 5 | 171 | $\frac{7}{4}n_g^2(n_g - 1)(n_g + 1)$ | 0 | 126 |
| 8 : $(\overline{RR})(\overline{RR})$ | 7 | $\frac{1}{8}n_g(21n_g^3 + 2n_g^2 + 31n_g + 2)$ | 7 | 255 | $\frac{1}{8}n_g(21n_g + 2)(n_g - 1)(n_g + 1)$ | 0 | 195 |
| ψ^4 8 : $(\overline{LL})(\overline{RR})$ | 8 | $4n_g^2(n_g^2 + 1)$ | 8 | 360 | $4n_g^2(n_g - 1)(n_g + 1)$ | 0 | 288 |
| 8 : $(\overline{LR})(\overline{RL})$ | 1 | n_g^4 | 1 | 81 | n_g^4 | 1 | 81 |
| 8 : $(\overline{LR})(\overline{LR})$ | 4 | $4n_g^4$ | 4 | 324 | $4n_g^4$ | 4 | 324 |
| 8 : All | 25 | $\frac{1}{8}n_g(107n_g^3 + 2n_g^2 + 89n_g + 2)$ | 25 | 1191 | $\frac{1}{8}n_g(107n_g^3 + 2n_g^2 - 67n_g - 2)$ | 5 | 1014 |
| Total | 59 | $\frac{1}{8}(107n_g^4 + 2n_g^3 + 213n_g^2 + 30n_g + 72)$ | 53 | 1350 | $\frac{1}{8}(107n_g^4 + 2n_g^3 + 57n_g^2 - 30n_g + 48)$ | 23 | 1149 |

Table 2. Number of CP -even and CP -odd coefficients in $\mathcal{L}^{(6)}$ for n_g flavors. The total number of coefficients is $(107n_g^4 + 2n_g^3 + 135n_g^2 + 60)/4$, which is 76 for $n_g = 1$ and 2499 for $n_g = 3$.

arXiv:1312.2014 Alonso, Jenkins, Manohar, Trott


Complexity is scaling up...


Linear EFT - built of H doublet + higher D ops


$$\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{\Lambda_{\delta L \neq 0}} \mathcal{L}_5 + \frac{1}{\Lambda_{\delta B = 0}^2} \mathcal{L}_6 + \frac{1}{\Lambda_{\delta B \neq 0}^2} \mathcal{L}'_6 + \frac{1}{\Lambda_{\delta L \neq 0}^3} \mathcal{L}_7 + \frac{1}{\Lambda^4} \mathcal{L}_8 + \dots$$

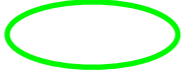
 14 operators, or 18 parameters (+ 1 op and then 19 with strong CP)

 1 operator, and 7 extra parameters

 59 + h.c operators, or 2499 parameters (76 with $N_f = 1$)
arXiv:1312.2014 Alonso, Jenkins, Manohar, Trott

 4 operators, or 408 parameters (all violate B number)
arXiv:1405.0486 Alonso, Cheng, Jenkins, Manohar, Shotwell

 30 operators, (all violate L number, 7 violate B number)
arXiv:1410.4193 Lehman, Henning et al. 1512.03433

 993 operators (with $N_f = 1$),
arXiv:1510.00372 Lehman, Martin, Henning et al. 1512.03433

LO SMEFT = dim 6 shifts

- Warsaw basis: 1008.4884 Grzadkowski, Iskrzynski, Misiak, Rosiek

| X^3 | | φ^6 and $\varphi^4 D^2$ | | $\psi^2 \varphi^3$ | |
|--------------------------|--|---------------------------------|---|-----------------------|---|
| Q_G | $f^{ABC} G_\mu^{Av} G_\nu^{B\rho} G_\rho^{C\mu}$ | Q_φ | $(\varphi^\dagger \varphi)^3$ | $Q_{e\varphi}$ | $(\varphi^\dagger \varphi)(\bar{l}_p e_r \varphi)$ |
| $Q_{\tilde{G}}$ | $f^{ABC} \tilde{G}_\mu^{Av} G_\nu^{B\rho} G_\rho^{C\mu}$ | $Q_{\varphi\Box}$ | $(\varphi^\dagger \varphi)\Box(\varphi^\dagger \varphi)$ | $Q_{u\varphi}$ | $(\varphi^\dagger \varphi)(\bar{q}_p u_r \tilde{\varphi})$ |
| Q_W | $\varepsilon^{IJK} W_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$ | $Q_{\varphi D}$ | $(\varphi^\dagger D^\mu \varphi)^* (\varphi^\dagger D_\mu \varphi)$ | $Q_{d\varphi}$ | $(\varphi^\dagger \varphi)(\bar{q}_p d_r \varphi)$ |
| $Q_{\tilde{W}}$ | $\varepsilon^{IJK} \tilde{W}_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$ | | | | |
| $X^2 \varphi^2$ | | $\psi^2 X \varphi$ | | $\psi^2 \varphi^2 D$ | |
| $Q_{\varphi G}$ | $\varphi^\dagger \varphi G_{\mu\nu}^A G^{A\mu\nu}$ | Q_{eW} | $(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$ | $Q_{\varphi l}^{(1)}$ | $(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{l}_p \gamma^\mu l_r)$ |
| $Q_{\varphi \tilde{G}}$ | $\varphi^\dagger \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$ | Q_{eB} | $(\bar{l}_p \sigma^{\mu\nu} e_r) \varphi B_{\mu\nu}$ | $Q_{\varphi l}^{(3)}$ | $(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{l}_p \tau^I \gamma^\mu l_r)$ |
| $Q_{\varphi W}$ | $\varphi^\dagger \varphi W_{\mu\nu}^I W^{I\mu\nu}$ | Q_{uG} | $(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{\varphi} G_{\mu\nu}^A$ | $Q_{\varphi e}$ | $(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{e}_p \gamma^\mu e_r)$ |
| $Q_{\varphi \tilde{W}}$ | $\varphi^\dagger \varphi \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$ | Q_{uW} | $(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{\varphi} W_{\mu\nu}^I$ | $Q_{\varphi q}^{(1)}$ | $(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{q}_p \gamma^\mu q_r)$ |
| $Q_{\varphi B}$ | $\varphi^\dagger \varphi B_{\mu\nu} B^{\mu\nu}$ | Q_{uB} | $(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{\varphi} B_{\mu\nu}$ | $Q_{\varphi q}^{(3)}$ | $(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{q}_p \tau^I \gamma^\mu q_r)$ |
| $Q_{\varphi \tilde{B}}$ | $\varphi^\dagger \varphi \tilde{B}_{\mu\nu} B^{\mu\nu}$ | Q_{dG} | $(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \varphi G_{\mu\nu}^A$ | $Q_{\varphi u}$ | $(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_p \gamma^\mu u_r)$ |
| $Q_{\varphi WB}$ | $\varphi^\dagger \tau^I \varphi W_{\mu\nu}^I B^{\mu\nu}$ | Q_{dW} | $(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$ | $Q_{\varphi d}$ | $(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p \gamma^\mu d_r)$ |
| $Q_{\varphi \tilde{W}B}$ | $\varphi^\dagger \tau^I \varphi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$ | Q_{dB} | $(\bar{q}_p \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$ | $Q_{\varphi ud}$ | $i(\tilde{\varphi}^\dagger D_\mu \varphi)(\bar{u}_p \gamma^\mu d_r)$ |

Table 2: Dimension-six operators other than the four-fermion ones.

6 gauge dual ops

28 non dual operators

25 four fermi ops

59 + h.c. operators

NOTATION:

$$\tilde{X}_{\mu\nu} = \frac{1}{2} \varepsilon_{\mu\nu\rho\sigma} X^{\rho\sigma} \quad (\varepsilon_{0123} = +1)$$

$$\tilde{\varphi}^j = \varepsilon_{jkl} (\varphi^k)^* \quad \varepsilon_{12} = +1$$

$$\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi \equiv i \varphi^\dagger (D_\mu - \overleftarrow{D}_\mu) \varphi$$

$$\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi \equiv i \varphi^\dagger (\tau^I D_\mu - \overleftarrow{D}_\mu \tau^I) \varphi$$

LO SMEFT = dim 6 shifts

- Four fermion operators: 1008.4884 Grzadkowski, Iskrzynski, Misiak, Rosiek

| 8 : ($\bar{L}L$)($\bar{L}L$) | | 8 : ($\bar{R}R$)($\bar{R}R$) | | 8 : ($\bar{L}L$)($\bar{R}R$) | |
|----------------------------------|--|----------------------------------|--|----------------------------------|--|
| Q_{ll} | $(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$ | Q_{ee} | $(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$ | Q_{le} | $(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$ |
| $Q_{qq}^{(1)}$ | $(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$ | Q_{uu} | $(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$ | Q_{lu} | $(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$ |
| $Q_{qq}^{(3)}$ | $(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$ | Q_{dd} | $(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$ | Q_{ld} | $(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$ |
| $Q_{lq}^{(1)}$ | $(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$ | Q_{eu} | $(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$ | Q_{qe} | $(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$ |
| $Q_{lq}^{(3)}$ | $(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$ | Q_{ed} | $(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$ | $Q_{qu}^{(1)}$ | $(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$ |
| | | $Q_{ud}^{(1)}$ | $(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$ | $Q_{qu}^{(8)}$ | $(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$ |
| | | $Q_{ud}^{(8)}$ | $(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$ | $Q_{qd}^{(1)}$ | $(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$ |
| | | | | $Q_{qd}^{(8)}$ | $(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$ |

8 : ($\bar{L}R$)($\bar{R}L$) + h.c.

$$Q_{ledq} \quad (\bar{l}_p^j e_r)(\bar{d}_s q_{tj})$$

8 : ($\bar{L}R$)($\bar{L}R$) + h.c.

$$Q_{quqd}^{(1)} \quad (\bar{q}_p^j u_r) \epsilon_{jk} (\bar{q}_s^k d_t)$$

$$Q_{quqd}^{(8)} \quad (\bar{q}_p^j T^A u_r) \epsilon_{jk} (\bar{q}_s^k T^A d_t)$$

$$Q_{lequ}^{(1)} \quad (\bar{l}_p^j e_r) \epsilon_{jk} (\bar{q}_s^k u_t)$$

$$Q_{lequ}^{(3)} \quad (\bar{l}_p^j \sigma_{\mu\nu} e_r) \epsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$$

Over 20 years?!
700 citations before full
EOM reduction?
Our priorities were
elsewhere.

Complexity is scaling up...

Linear EFT - built of H doublet + higher D ops

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{\Lambda_{\delta L \neq 0}} \mathcal{L}_5 + \frac{1}{\Lambda_{\delta B=0}^2} \mathcal{L}_6 + \frac{1}{\Lambda_{\delta B \neq 0}^2} \mathcal{L}'_6 + \frac{1}{\Lambda_{\delta L \neq 0}^3} \mathcal{L}_7 + \frac{1}{\Lambda^4} \mathcal{L}_8 + \dots$$



DON'T
PANIC
AND
CARRY A
TOWEL

Can reduce the number of relevant parameters to about 50 or so using flavour symmetry and neglecting CP violation, using scaling when near resonances..

- WE CAN DO THE RELEVANT GENERAL CASE!
- Consistent power counting can also be done.
- There is no need for extra model dependence to be introduced or vague assumptions..

Can always restrict to less general case
AFTER general analysis.

Straightforward LO

- Expand around the vev the dim 6 operators, go to mass eigenstates
- Canonically normalize the field theory.
- Choose some input parameters to relate to:

(α, G_F, M_Z) a choice than can be made is an alpha scheme

(m_W, G_F, M_Z) equally you can choose to use a Gf scheme (associated with an onshell renormalization scheme usually)

The choice is yours. This is not part of the Basis definition. Relation to input parameters differs as the SMEFT is a different theory than the SM. For example

$$\delta M_Z^2 \equiv \frac{1}{2\sqrt{2}} \frac{\hat{m}_Z^2}{\hat{G}_F} C_{HD} + \frac{2^{1/4} \sqrt{\pi} \sqrt{\hat{\alpha}} \hat{m}_Z}{\hat{G}_F^{3/2}} C_{HWB},$$

These differences taken into account with straightforward expansion.
Trivial to do LO SMEFT directly, in a manner that can be improved to NLO.

Straightforward NLO

- Expand around the vev the dim 6 operators, go to mass eigenstates at one loop.
- Canonically normalize the field theory to one loop.
- Choose some input parameters to relate to:

(α, G_F, M_Z) a choice than can be made is an alpha scheme

(m_W, G_F, M_Z) equally you can choose to use a Gf scheme (associated with an onshell renormalization scheme usually)

Only change is the next order term in the perturbative expansion calculated for observables and the input parameters.

This is not easy, the note discusses the details, but this is straightforward. Over time it seems likely that the Gf scheme will be widely used. As is the case in the high precision SM calculating community, not the alpha scheme.

No matter what the inputs different

- Any LO SMEFT approach does not have the same theoretical errors as in the SM.
- The SM parameters run differently in the SM and the SMEFT.

$$\mu \frac{dg_2}{d\mu} = -4 \frac{m_H^2}{16\pi^2} g_2 C_{HW}, \quad \mu \frac{dg_1}{d\mu} = -4 \frac{m_H^2}{16\pi^2} g_1 C_{HB},$$

We show an example of how theory errors are modified on the input parameters in the note due to this running. One finds

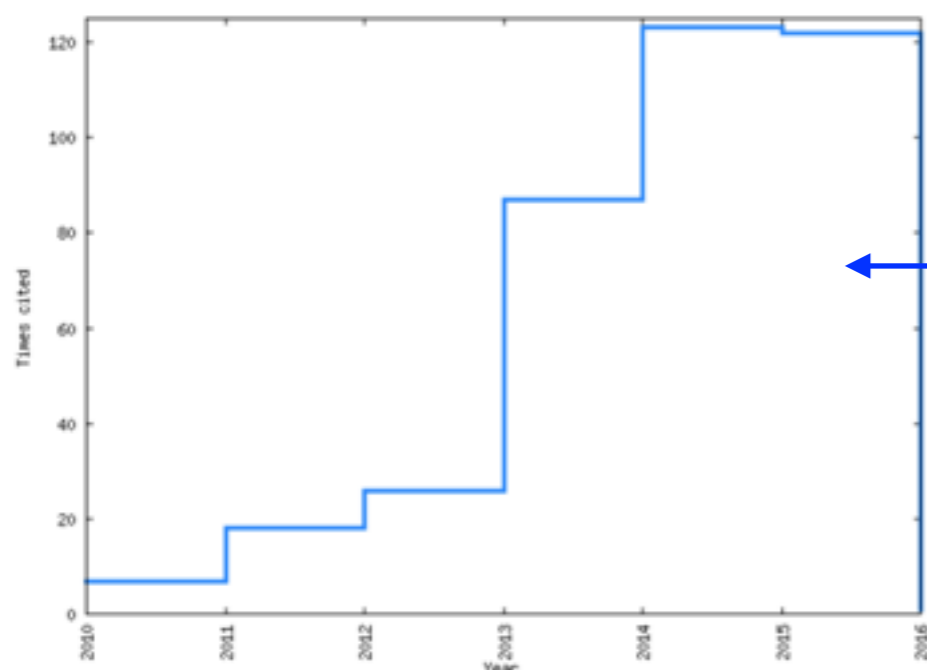
$$\frac{(\Delta\alpha_{ew})_{SMEFT}}{(\Delta\alpha_{ew})_{SM}} \simeq -250 \left(\frac{1\text{TeV}}{\Lambda}\right)^2 \tilde{C}_{HB} - 80 \left(\frac{1\text{TeV}}{\Lambda}\right)^2 \tilde{C}_{HW},$$

This is how much bigger the SMEFT theory error is for this input compared to the SM. In any LO scheme, extra error is at least this big.

The SMEFT is a DIFFERENT theory than the SM. No matter what. NLO informs us of this difference.

Warsaw basis standard for LO and NLO

- Warsaw basis: 1008.4884 Grzadkowski, Iskrzynski, Misiak, Rosiek



Warsaw Basis has come to be the standard basis used in the community in almost all LO work, and all NLO work.

← Citation count, people are using this well defined framework.

- Very easy and straightforward set of rules for EOM to reduce - if you can get rid of a derivative - get rid of it!
- This is why this basis is the only one that has been renormalized at one loop allowing perturbative NLO - need to know what to do with divergences systematically. Essential for systematic improvement.

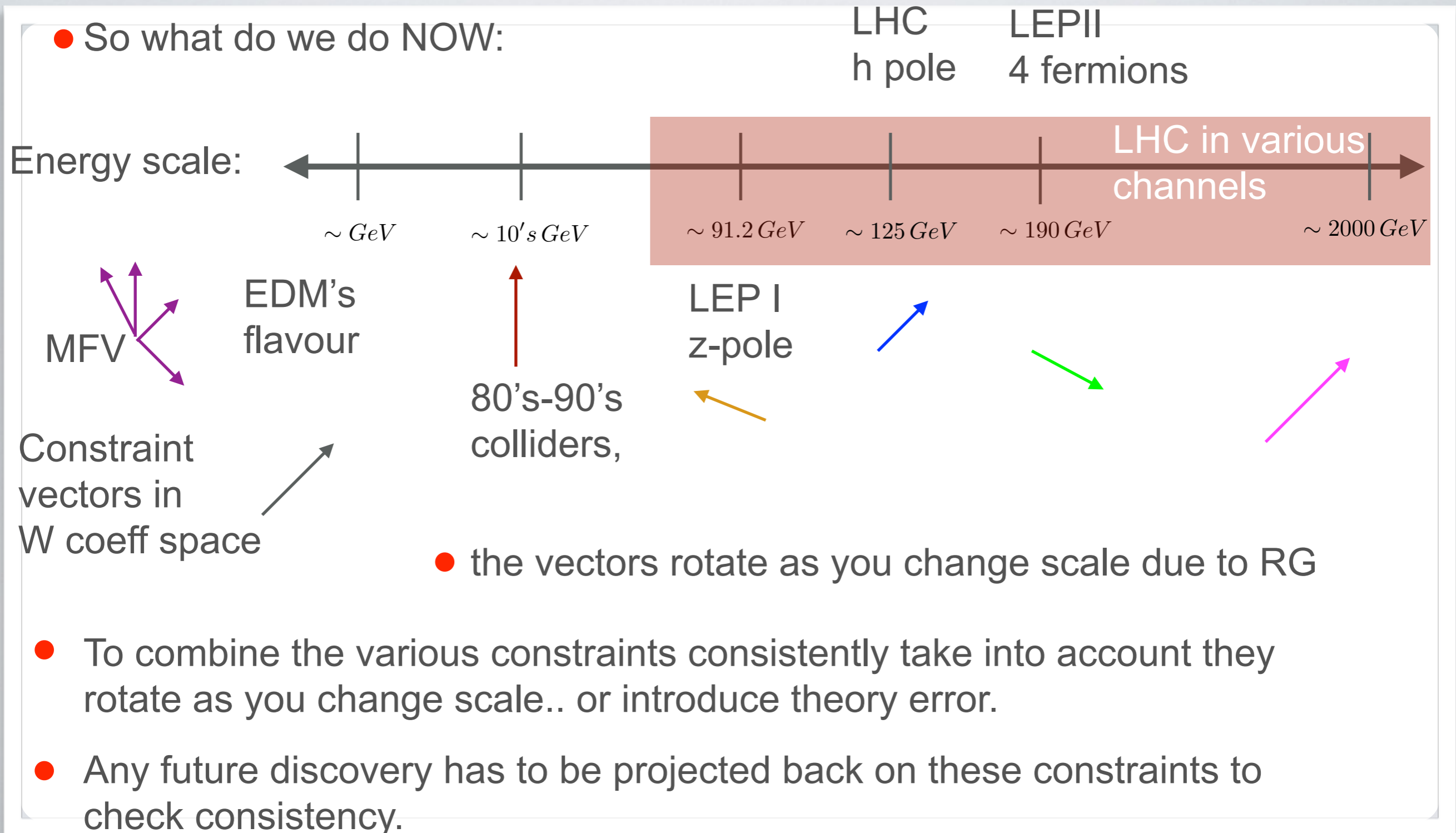
Warsaw basis standard for LO and NLO

- One of the Yukawa results, full 3 generation result, nontrivial flavour structure in the RGEs :

$$\begin{aligned}
 \dot{C}_{prst}^{(1)qq} &= \frac{1}{2}[Y_u^\dagger Y_u - Y_d^\dagger Y_d]_{pr} C_{st}^{(1)Hq} + \frac{1}{2}[Y_u^\dagger Y_u - Y_d^\dagger Y_d]_{st} C_{pr}^{(1)Hq} \\
 &+ \frac{1}{4N_c} \left([Y_u^\dagger]_{pv} [Y_u]_{wr} C_{stvw}^{(8)qu} + [Y_u^\dagger]_{sv} [Y_u]_{wt} C_{prvw}^{(8)qu} \right) + \frac{1}{4N_c} \left([Y_d^\dagger]_{pv} [Y_d]_{wr} C_{stvw}^{(8)qd} + [Y_d^\dagger]_{sv} [Y_d]_{wt} C_{prvw}^{(8)qd} \right) \\
 &- \frac{1}{8} \left([Y_u^\dagger]_{pv} [Y_u]_{wt} C_{srvw}^{(8)qu} + [Y_u^\dagger]_{sv} [Y_u]_{wr} C_{ptvw}^{(8)qu} \right) - \frac{1}{8} \left([Y_d^\dagger]_{pv} [Y_d]_{wt} C_{srvw}^{(8)qd} + [Y_d^\dagger]_{sv} [Y_d]_{wr} C_{ptvw}^{(8)qd} \right) \\
 &+ \frac{1}{16N_c} \left([Y_d]_{wt} [Y_u]_{vr} C_{pusw}^{(8)quqd} + [Y_d]_{wr} [Y_u]_{vt} C_{supw}^{(8)quqd} \right) + \frac{1}{16N_c} \left([Y_d^\dagger]_{sw} [Y_u^\dagger]_{pv} C_{rutw}^{(8)*quqd} + [Y_d^\dagger]_{pw} [Y_u^\dagger]_{sv} C_{rutw}^{(8)*quqd} \right) \\
 &+ \frac{1}{16} \left([Y_d]_{wt} [Y_u]_{vr} C_{supw}^{(8)quqd} + [Y_d]_{wr} [Y_u]_{vt} C_{pusw}^{(8)quqd} \right) + \frac{1}{16} \left([Y_d^\dagger]_{sw} [Y_u^\dagger]_{pv} C_{rutw}^{(8)*quqd} + [Y_d^\dagger]_{pw} [Y_u^\dagger]_{sv} C_{rutw}^{(8)*quqd} \right) \\
 &- \frac{1}{2}[Y_u^\dagger]_{pv} [Y_u]_{wr} C_{stvw}^{(1)qu} - \frac{1}{2}[Y_d^\dagger]_{pv} [Y_d]_{wr} C_{stvw}^{(1)qd} - \frac{1}{2}[Y_u^\dagger]_{sv} [Y_u]_{wt} C_{prvw}^{(1)qu} - \frac{1}{2}[Y_d^\dagger]_{sv} [Y_d]_{wt} C_{prvw}^{(1)qd} \\
 &- \frac{1}{8}[Y_d]_{wt} [Y_u]_{vr} C_{pusw}^{(1)quqd} - \frac{1}{8}[Y_d^\dagger]_{sw} [Y_u^\dagger]_{pv} C_{rutw}^{(1)*quqd} - \frac{1}{8}[Y_d]_{wr} [Y_u]_{vt} C_{supw}^{(1)quqd} - \frac{1}{8}[Y_d^\dagger]_{pw} [Y_u^\dagger]_{sv} C_{rutw}^{(1)*quqd} \\
 &+ \gamma_q^{(Y)} C_{pv}^{(1)qq} + \gamma_q^{(Y)} C_{sv}^{(1)qq} + C_{pust}^{(1)qq} \gamma_q^{(Y)} + C_{prsv}^{(1)qq} \gamma_q^{(Y)} \quad (A.36)
 \end{aligned}$$

All of that due to EOM - we need to be able to deal with the theory at one loop, requires a well defined LO formalism with a clean set of rules that can be imposed in loop calculations.

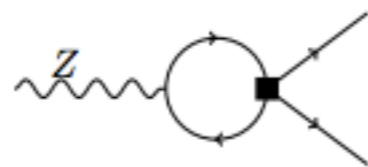
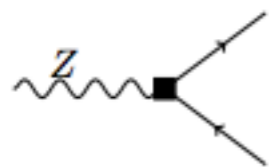
Why are calculations at NLO being done?



Why are calculations at NLO being done?

- It is required to study constraints at many different scales to constrain all the parameters in the LO SMEFT model independently.

Hierarchies of constraints exist. At higher scales different combinations of parameters present due to NLO effects.



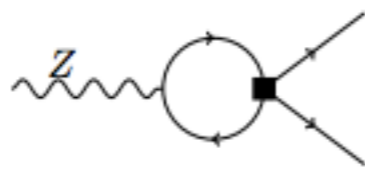
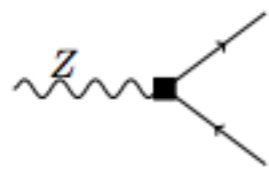
$$\mu \frac{d}{d\mu} C_{rs}^{(1)} = \frac{1}{48\pi^2} g_1^2 y_H \left(y_H C_{rs}^{(1)} + N_c y_d C_{rsww}^{ld} + y_e C_{rsww}^{le} + 2y_l C_{rsww}^{lu} + y_l C_{rwsw}^{lu} \right. \\ \left. + y_l C_{wsw}^{lu} + 2y_l C_{wsw}^{lu} + 2N_c y_q C_{rsww}^{(1)lq} + N_c y_u C_{rsww}^{lu} \right).$$

Constraints of effective Z coupling at one scale a combination of effective Z coupling and 4 lepton operators at different scales.

Why are calculations at NLO being done?

- It is required to study constraints at many different scales to constrain all the parameters in the LO SMEFT model independently.

Hierarchies of constraints exist. At higher scales different combinations of parameters present due to NLO effects.



$$\mu \frac{d}{d\mu} C_{rs}^{(1)} = \frac{1}{48\pi^2} g_1^2 y_H \left(y_H C_{rs}^{(1)} + N_c y_d C_{rsww}^{ld} + y_e C_{rsww}^{le} + 2y_l C_{rsww}^{lu} + y_l C_{rwws}^{lu} \right. \\ \left. + y_l C_{wswr}^{lu} + 2y_l C_{wsws}^{lu} + 2N_c y_q C_{rsww}^{(1)lq} + N_c y_u C_{rsww}^{lu} \right).$$

Constraints of effective Z coupling at one scale a combination of effective Z coupling and 4 lepton operators at different scales.

Naive LO analysis just imposes the strongest constraint!

But completely unconstrained directions in 4 lepton operators (Falkowski, Mimouni 1511.07434)

A consistent NLO treatment gets that right, and informs the theory error for the LO result.

Global constraints on dim 6.

Consider LEP I observables:

| Observable | Experimental Value | Ref. | SM Theoretical Value | Ref. |
|-------------------|-----------------------|------|-----------------------|------|
| \hat{m}_Z [GeV] | 91.1875 ± 0.0021 | [38] | - | - |
| \hat{m}_W [GeV] | 80.385 ± 0.015 | [39] | 80.365 ± 0.004 | [40] |
| σ_h^0 [nb] | 41.540 ± 0.037 | [38] | 41.488 ± 0.006 | [41] |
| Γ_Z [GeV] | 2.4952 ± 0.0023 | [38] | 2.4942 ± 0.0005 | [41] |
| R_ℓ^0 | 20.767 ± 0.025 | [38] | 20.751 ± 0.005 | [41] |
| R_b^0 | 0.21629 ± 0.00066 | [38] | 0.21580 ± 0.00015 | [41] |
| R_c^0 | 0.1721 ± 0.0030 | [38] | 0.17223 ± 0.00005 | [41] |
| A_{FB}^ℓ | 0.0171 ± 0.0010 | [38] | 0.01616 ± 0.00008 | [42] |
| A_{FB}^c | 0.0707 ± 0.0035 | [38] | 0.0735 ± 0.0002 | [42] |
| A_{FB}^b | 0.0992 ± 0.0016 | [38] | 0.1029 ± 0.0003 | [42] |

arXiv:1311.3107. Chen et al.

1211.1320 Masso, Sanz

1209.6382 Batell et al.

arXiv:1404.3667 Ellis et al.

arXiv:1501.0280. Petrov et al.

arXiv:1406.6070 Wells, Zhang

And Many others...

1308.2803 Pomarol, Riva.

1409.7605 Trott [hep-ph/0412166] Han, Skiba

1411.0669 Falkowski, Riva.

1503.07872 Efrati et al. arXiv:1306.4644 Ciuchini et al.

Basic point is that STU is no longer sufficient in general.

Pioneering SMEFT works:

Phys.Lett. B265 (1991) 326-334 Grinstein, Wise

hep-ph/0412166 Han, Skiba

Global constraints on dim 6.

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Note that theorists worked hard in SM for this to be the case.

Many 2 loop SM calculations

Global constraints on dim 6.

Consider LEP I observables:

| Observable | Experimental Value | Ref. | SM Theoretical Value | Ref. |
|-------------------|-----------------------|------|-----------------------|------|
| \hat{m}_Z [GeV] | 91.1875 ± 0.0021 | [38] | - | - |
| \hat{m}_W [GeV] | 80.385 ± 0.015 | [39] | 80.365 ± 0.004 | [40] |
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arXiv:1502.02570
Berthier, Trott

If you go beyond % constraints, LO SMEFT alone inconsistent.

Need of loops in SMEFT once measurements are 10% precise appears again and again in the literature

1209.5538 Passarino 1301.2588 Grojean, Jenkins, Manohar, Trott
1408.5147 Englert, Spannowsky many others..

Theory error informed by NLO

Theory error defined by what you neglect in the calculation:

- All perturbative one loop corrections, LO  NLO

$$\Delta_{SMEFT}^i(\Lambda) = \sqrt{\Delta_{IFI,O_i}^2 + \Delta_P^2 + \Delta_{P,II}^2 + \Delta_{\mathcal{L}_8}^2 + \Delta_{\text{offshell},O_i}^2}$$

Radiative corrections, i.e. emission, one loop, redefining input observables, correlations... in SMEFT.

- Higher order dim 8 terms in the SMEFT

$$\Delta_{SMEFT}^i(\Lambda) \simeq \sqrt{N_8} x_i \frac{\bar{v}_T^4}{\Lambda^4} + \frac{\sqrt{N_6} g_2^2}{16 \pi^2} y_i \log \left[\frac{\Lambda^2}{\bar{v}_T^2} \right] \frac{\bar{v}_T^2}{\Lambda^2}. \quad (\text{roughly})$$

arXiv:1508.05060 Berthier, Trott

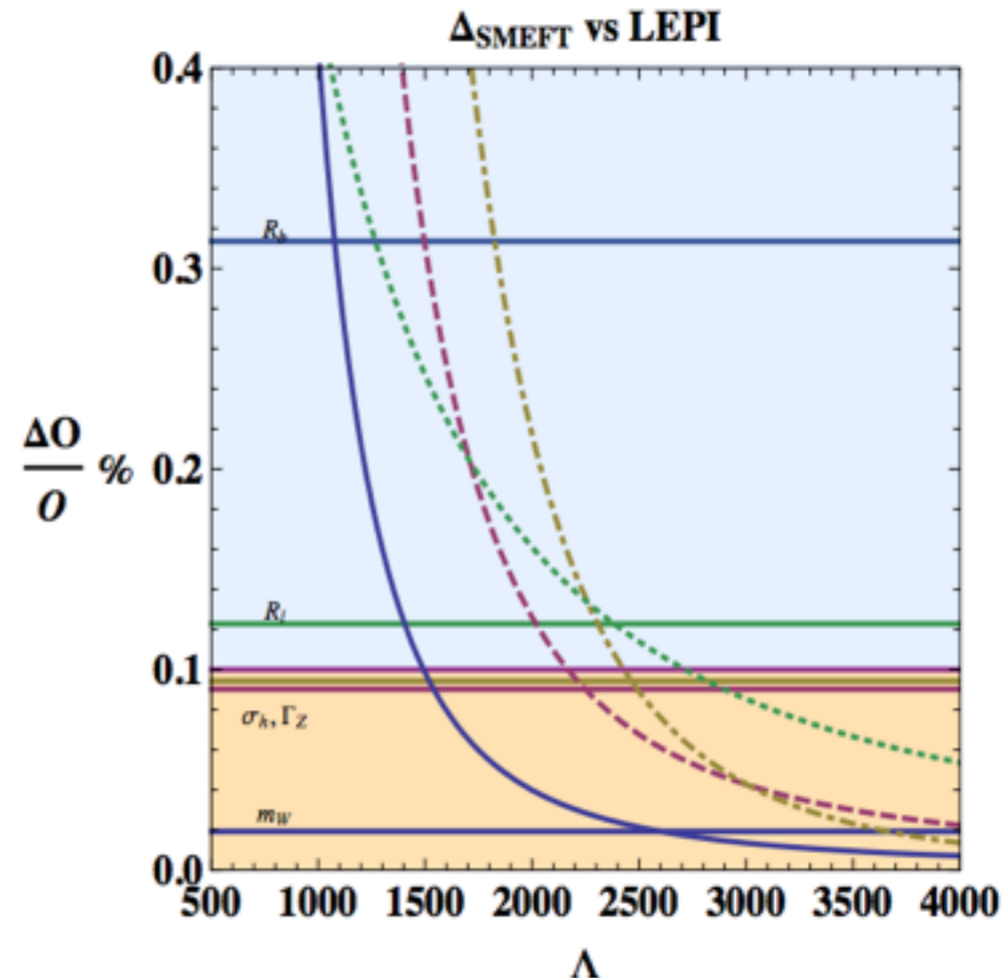
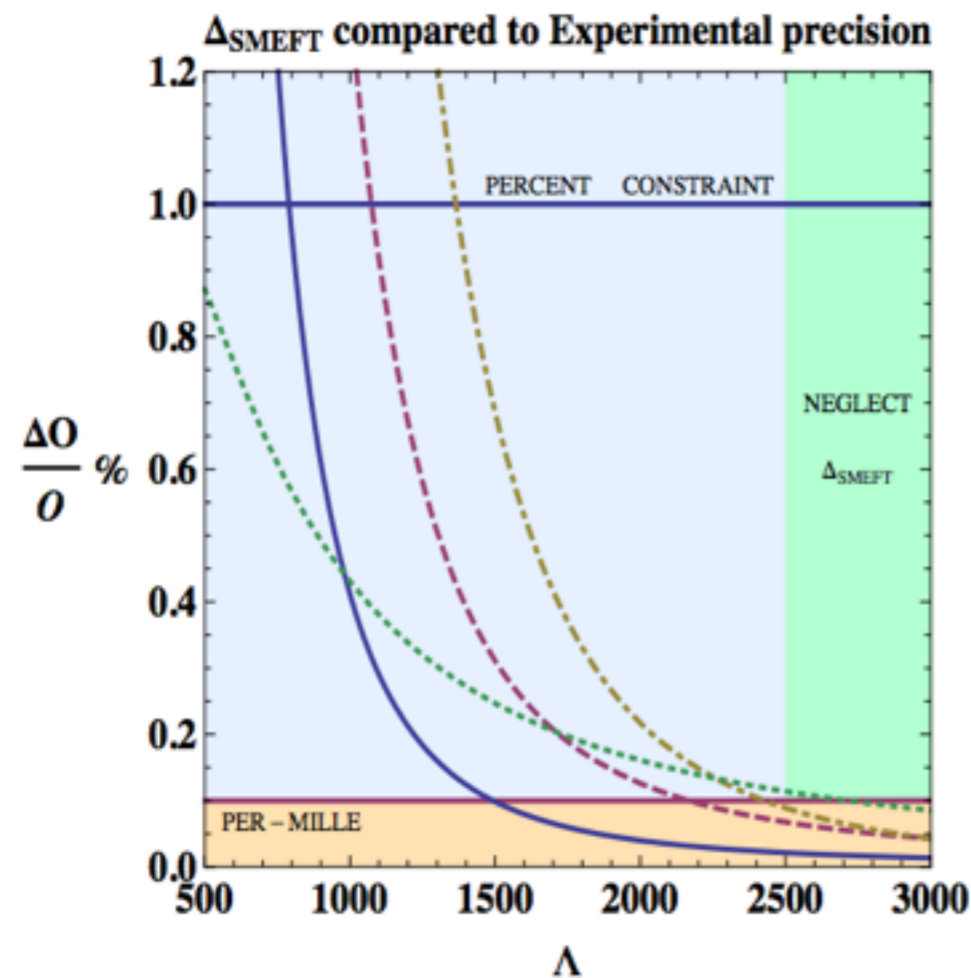
Error is roughly per-mille to percent level for cut off scales of interest.

$$\Lambda \lesssim 3\text{TeV}$$

Theory error in SMEFT/SM

Because LEP I observables are so precise we can't ignore error in EFT:

arXiv:1508.05060 Berthier, Trott



Remember:

$$\frac{1}{\Lambda^4} \mathcal{L}_8 + \dots \quad 993 \text{ operators!}$$

If NLO is relevant tied to cut off scale.

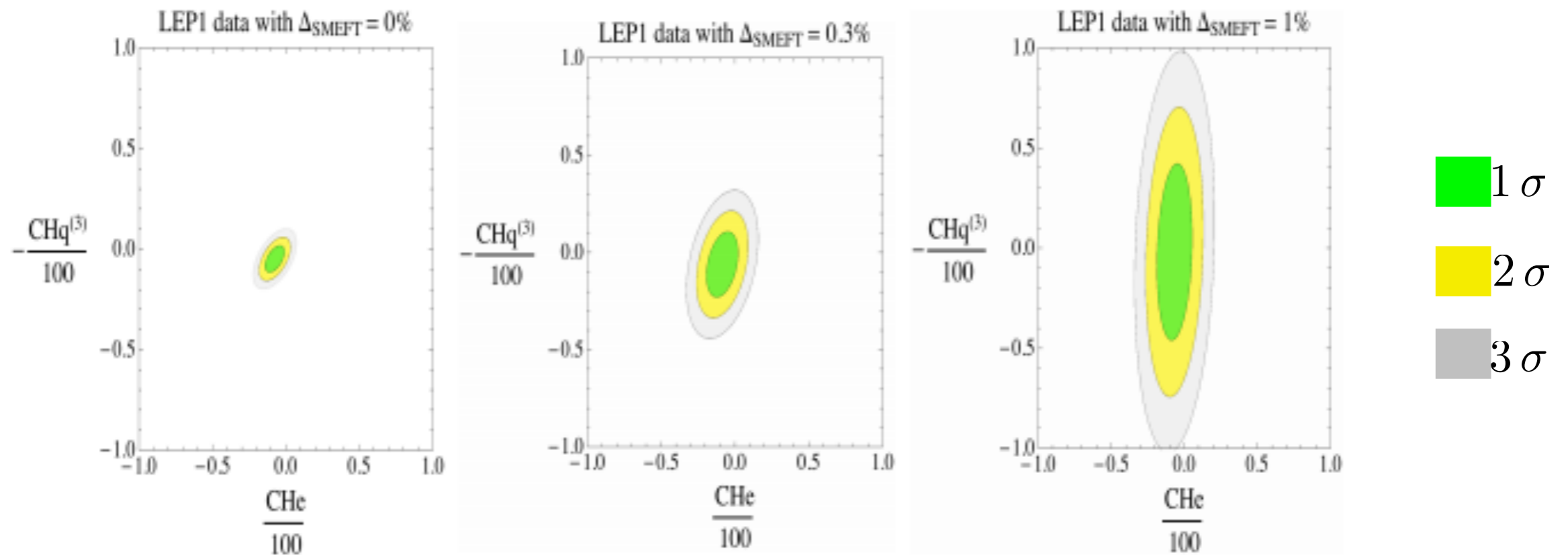
Chances of discovery also directly tied to cut off scale.

If we find a deviation NLO matters.

Global constraints on dim 6.

Recent global SMEFT analysis on 103 observables (pre LHC data).

arXiv:1502.02570, 1508.05060 Berthier, Trott



Theory errors effect subspace correlations and constraints.

Percent/per-mille precision need loops

We need loops for the SMEFT for future precision program to reduce theory error. So renormalize SMEFT as first step.

- We know the Warsaw basis is self consistent at one loop as it has been completely renormalized - DONE! Complete result, every index all couplings. Possible because this is a well defined LO formalism.

arXiv:1301.2588 Grojean, Jenkins, Manohar, Trott

arXiv:1308.2627, 1309.0819, 1310.4838 Jenkins, Manohar, Trott

arXiv: 1312.2014 Alonso, Jenkins, Manohar, Trott

- Some partial results were also obtained in a “SILH basis”

arXiv:1302.5661, 1308.1879 Elias-Miro, Espinosa, Masso, Pomarol

1312.2928 Elias-Miro, Grojean, Gupta, Marzocca

- Recent results obtained in alternate scheme approach:

arXiv:1505.03706 Ghezzi, Gomez-Ambrosio, Passarino, Uccirati

NLO EFT - Full one loop

- In SMEFT the cut off scale is not TOO high. So RGE log terms not expected to be much bigger than remaining one loop “finite terms”
- Further, no reason to expect that structure of the divergences in mixing will have to be preserved in finite terms. So - lets calculate finite terms for $\Gamma(h \rightarrow \gamma \gamma)$
- Initial calc - mirror initial RGE work, just use operators

$$\mathcal{O}_{HB}^{(0)} = g_1^2 H^\dagger H B_{\mu\nu} B^{\mu\nu}, \quad \mathcal{O}_{HW}^{(0)} = g_2^2 H^\dagger H W_{\mu\nu}^a W_a^{\mu\nu},$$

$$\mathcal{O}_{HWB}^{(0)} = g_1 g_2 H^\dagger \sigma^a H B_{\mu\nu} W_a^{\mu\nu}.$$

Hartmann, Trott 1505.02646

Full calculation with all relevant operators was then performed:

$$\begin{aligned} \mathcal{O}_H^{(0)} &= \lambda(H^\dagger H)^3, & \mathcal{O}_{HW}^{(0)} &= g_2^2 H^\dagger H W_{\mu\nu}^a W_a^{\mu\nu}, & \mathcal{O}_{HB}^{(0)} &= g_1^2 H^\dagger H B_{\mu\nu} B^{\mu\nu}, \\ \mathcal{O}_{HD}^{(0)} &= (H^\dagger D_\mu H)^* (H^\dagger D^\mu H), & \mathcal{O}_W^{(0)} &= \epsilon^{IJK} W_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}, & \mathcal{O}_{HWB}^{(0)} &= g_1 g_2 H^\dagger \sigma^a H B_{\mu\nu} W_a^{\mu\nu}, \\ \mathcal{O}_{uH}^{(0)} &= y_u H^\dagger H (\bar{q}_p u_r \tilde{H}), & \mathcal{O}_{eB}^{(0)} &= \bar{l}_{r,a} \sigma^{\mu\nu} e_s H_a B_{\mu\nu}, & \mathcal{O}_{eW}^{(0)} &= \bar{l}_{r,a} \sigma^{\mu\nu} e_s \tau_{ab}^I H_b W_{\mu\nu}^I, \\ \mathcal{O}_{H\Box}^{(0)} &= H^\dagger H \Box (H^\dagger H), & \mathcal{O}_{uB}^{(0)} &= \bar{q}_{r,a} \sigma^{\mu\nu} u_s \tilde{H}_a B_{\mu\nu}, & \mathcal{O}_{uW}^{(0)} &= \bar{q}_{r,a} \sigma^{\mu\nu} u_s \tau_{ab}^I \tilde{H}_b W_{\mu\nu}^I, \\ \mathcal{O}_{eH}^{(0)} &= y_e H^\dagger H (\bar{l}_p e_r H), & \mathcal{O}_{dB}^{(0)} &= \bar{q}_{r,a} \sigma^{\mu\nu} d_s H_a B_{\mu\nu}, & \mathcal{O}_{dW}^{(0)} &= \bar{q}_{r,a} \sigma^{\mu\nu} d_s \tau_{ab}^I H_b W_{\mu\nu}^I, \\ \mathcal{O}_{dH}^{(0)} &= y_d H^\dagger H (\bar{q}_p d_r H). \end{aligned}$$

Hartmann, Trott 1507.03568

NLO EFT - Subtract div.

- The Algorithm: Use RGE results to renormalize.

Also use SM counter term subtractions.

Recent results:

Hartmann, Trott 1505.02646.pdf

Ghezzi et al. 1505.03706

Pruna, Signer 1408.3565 others..

Define a scheme that fixes that asymptotic properties of states in the S matrix, this fixes the finite terms in renormalization conditions.

Gauge fix, calculate, and then check gauge independence!

- Here is how this works in $\Gamma(h \rightarrow \gamma \gamma)$

$$\mathcal{O}_i^{(0)} = Z_{i,j} \mathcal{O}_j^{(r)}, \quad Z_{i,j} = \begin{pmatrix} \frac{g_1^2}{4} - \frac{9g_2^2}{4} + 6\lambda + Y & 0 & g_1^2 \\ 0 & -\frac{3g_1^2}{4} - \frac{5g_2^2}{4} + 6\lambda + Y & g_2^2 \\ \frac{3g_2^2}{2} & \frac{g_1^2}{2} & -\frac{g_1^2}{4} + \frac{9g_2^2}{4} + 2\lambda + Y \end{pmatrix},$$

$$\begin{aligned} \mathcal{L}_6^{(0)} &= Z_{SM} Z_{i,j} C_i \mathcal{O}_j^{(r)}, \\ &= Z_{SM} \mathcal{N}_{HB} \mathcal{O}_{HB}^{(r)} + Z_{SM} \mathcal{N}_{HW} \mathcal{O}_{HW}^{(r)} + Z_{SM} \mathcal{N}_{HWB} \mathcal{O}_{HWB}^{(r)}. \end{aligned}$$

$$\begin{aligned} \mathcal{N}_{HB} &= \frac{1}{16\pi^2\epsilon} \left[\left(16\pi^2\epsilon + \frac{g_1^2}{4} - \frac{9g_2^2}{4} + 6\lambda + Y \right) C_{HB}(\Lambda) + \frac{3g_2^2}{2} C_{HWB}(\Lambda) \right], \\ \mathcal{N}_{HW} &= \frac{1}{16\pi^2\epsilon} \left[\left(16\pi^2\epsilon - \frac{3g_1^2}{4} - \frac{5g_2^2}{4} + 6\lambda + Y \right) C_{HW}(\Lambda) + \frac{g_1^2}{2} C_{HWB}(\Lambda) \right], \\ \mathcal{N}_{HWB} &= \frac{1}{16\pi^2\epsilon} \left[\left(16\pi^2\epsilon - \frac{g_1^2}{4} + \frac{9g_2^2}{4} + 2\lambda + Y \right) C_{HWB}(\Lambda) + g_1^2 C_{HB}(\Lambda) + g_2^2 C_{HW}(\Lambda) \right]. \end{aligned} \quad (2.8)$$

NLO EFT - Subtract div.

- To define the SM counter terms use background field , use R_ξ gauge

$$H = \frac{1}{\sqrt{2}} \begin{pmatrix} \sqrt{2}i\phi^+ \\ h + v + \delta v + i\phi_0 \end{pmatrix}$$

Background field method (with particular operator normalization) gives:

$$Z_A Z_e = 1, \quad Z_h = Z_{\phi_\pm} = Z_{\phi_0}, \quad Z_W Z_{g_2} = 1.$$

Also need the Higgs wavefunction and vev renorm

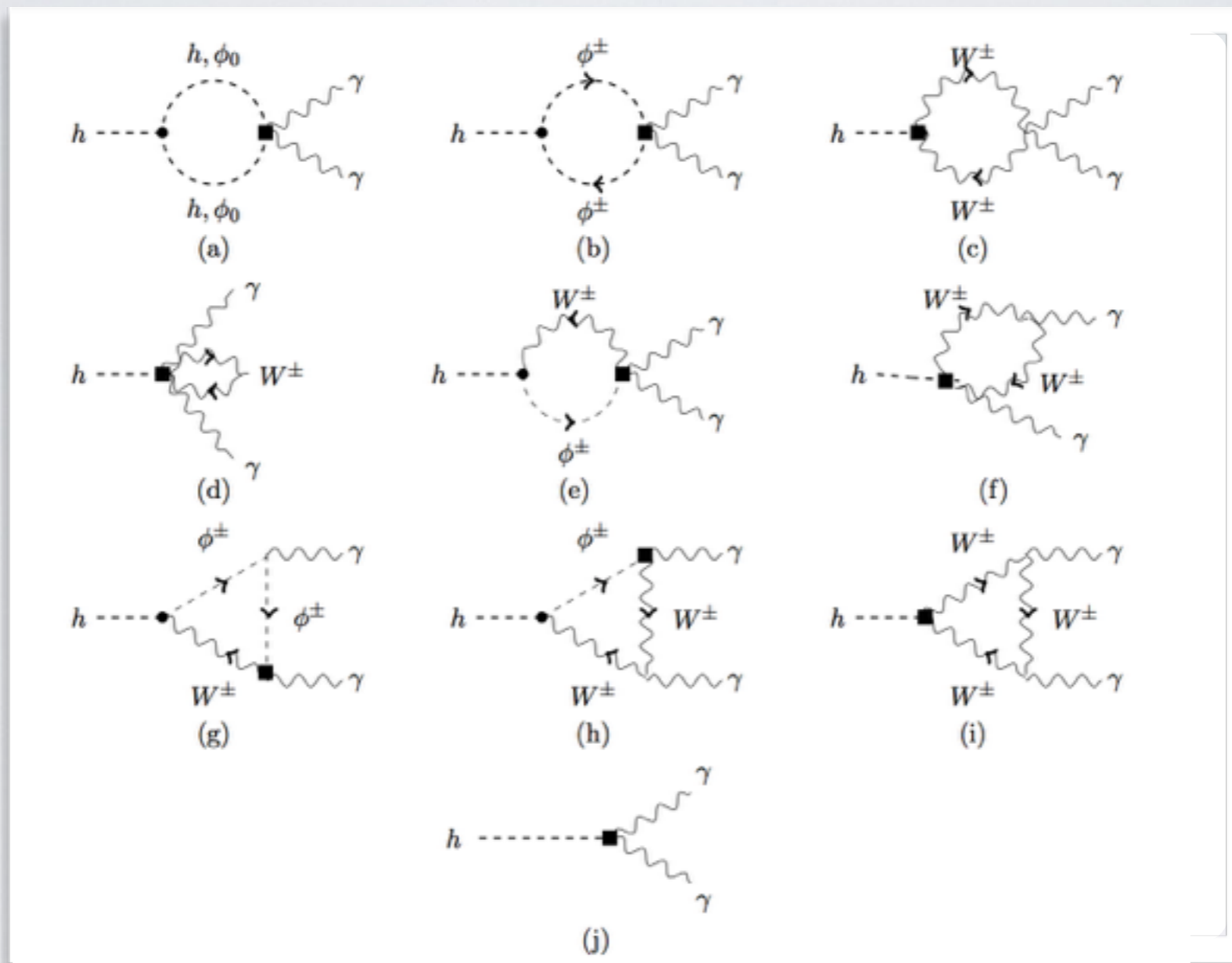
$$Z_h = 1 + \frac{(3 + \xi)(g_1^2 + 3g_2^2)}{64\pi^2\epsilon} - \frac{Y}{16\pi^2\epsilon}.$$

$$(\sqrt{Z_v} + \frac{\delta v}{v})_{div} = 1 + \frac{(3 + \xi)(g_1^2 + 3g_2^2)}{128\pi^2\epsilon} - \frac{Y}{32\pi^2\epsilon}.$$

We used a clever trick involving $h \rightarrow g g$ for the latter.

NLO EFT - Loops such as this

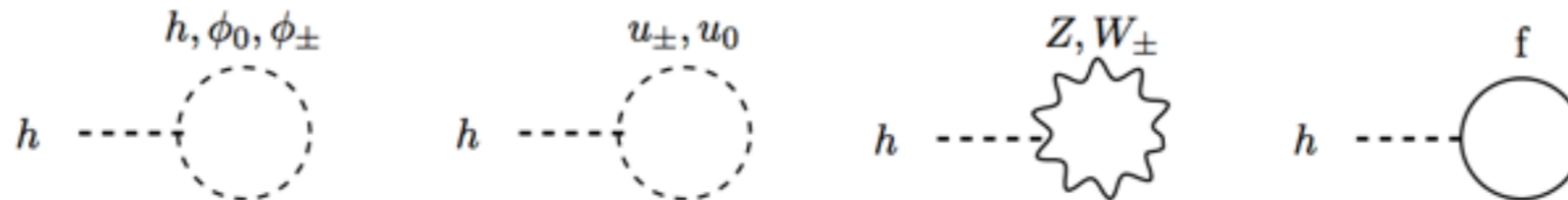
- Calculate in BF method, in R_ξ gauge



- Gauge dependence cancels remaining divergences cancel exactly

NLO EFT - Fix finite terms

- Define vev of the theory as the one point function vanishing - fixes δv



$$T = m_h^2 h v \frac{1}{16\pi^2} \left[-16\pi^2 \frac{\delta v}{v} + 3\lambda \left(1 + \log \left[\frac{\mu^2}{m_h^2} \right] \right) + \frac{m_W^2}{v^2} \xi \left(1 + \log \left[\frac{\mu^2}{\xi m_W^2} \right] \right), \quad (3.3) \right. \\ \left. + \frac{1}{2} \frac{m_Z^2}{v^2} \xi \left(1 + \log \left[\frac{\mu^2}{\xi m_Z^2} \right] \right) - \frac{1}{2} \sum_i y_i^4 N_c \frac{1}{\lambda} \left(1 + \log \left[\frac{\mu^2}{m_i^2} \right] \right), \right. \\ \left. + \frac{g_2^2}{2} \frac{m_W^2}{m_h^2} \left(1 + 3 \log \left[\frac{\mu^2}{m_W^2} \right] \right) + \frac{1}{4} (g_1^2 + g_2^2) \frac{m_Z^2}{m_h^2} \left(1 + 3 \log \left[\frac{\mu^2}{m_Z^2} \right] \right) \right].$$

- The finite terms that are fixed by renormalization conditions (at one loop) in the theory enter as

$$\langle h(p_h) | S | \gamma(p_a, \alpha), \gamma(p_b, \beta) \rangle_{BSM} = \left(1 + \frac{\delta R_h}{2} \right) (1 + \delta R_A) (1 + \delta R_e)^2 i \sum_{x=a..o} \mathcal{A}_x.$$

Many interesting technicalities

- Closed form result now known.
- Running of vev important modification of RGE results.
- Gauge fixing modified by higher D ops, higher D ops source ghosts!
- Pure finite terms can be present for higher D operators at one loop.
- Finite terms not small compared to logs as cut off scale can't be too high.
- Two processes know to full one loop in SMEFT now:
 - $\mu \rightarrow e\gamma$ Pruna, Signer 1408.3565
 - $h \rightarrow \gamma\gamma$ Hartmann, Trott 1505.02646, 1507.03568
Ghezzi et al. 1505.03706

Recent results:
Hartmann, Trott 1505.02646.pdf
Hartmann, Trott 1507.03568.pdf
Ghezzi et al. 1505.03706
Pruna, Signer 1408.3565 others..

But still need to redefine input observables to one loop in SMEFT to be more consistent. Lots more work to do.

Do we need this SMEFT NLO?

- Developing the SMEFT lets you reduce theory errors in the future.
- For the current precision it is not a disaster to not have it:

Hartmann, Trott 1507.03568

Correcting tree level conclusion for 1 loop neglected effects errors introduced added in quadrature, $C_i \sim 1$:

Current data for:
$$-0.02 \leq \left(\hat{C}_{\gamma\gamma}^{1,NP} + \frac{\hat{C}_i^{NP} f_i}{16\pi^2} \right) \frac{\bar{v}_T^2}{\Lambda^2} \leq 0.02.$$

$\kappa_\gamma = 0.93_{-0.17}^{+0.36}$ ATLAS data - naive map to C corrected $[29, 4] \%$

$\kappa_\gamma = 0.98_{-0.16}^{+0.17}$ CMS data - naive map to C corrected $[52, 7] \%$

$\Lambda = 800 \text{ GeV}$
 $\Lambda = 3000 \text{ GeV}$

- The future precision Higgs phenomenology program clearly needs it:

$\kappa_\gamma^{proj:RunII} = 1 \pm 0.045$ - naive map to C (tree level) corrected $[167, 21] \%$

$\kappa_\gamma^{proj:HILHC} = 1 \pm 0.03$ $[250, 31] \%$

$\kappa_\gamma^{proj:TLEP} = 1 \pm 0.0145$ $[513, 64] \%$

More slides.

NLO EFT - Step 2 Renormalize

- How was this renormalization done?

Calculated in the unbroken phase of the theory, using the background field method.

G. 't Hooft, Acta Universitatis Wratislaviensis No.368, Vol. I*, Wroclaw 1976, 345-369

B. S. DeWitt, Phys.Rev. 162 (1967) 1195–1239

L. Abbott, Acta Phys.Polon. B13 (1982) 33

A. Denner, G. Weiglein, and S. Dittmaier, Nucl.Phys. B440 (1995) 95–128, hep-ph/9410338.

M. B. Einhorn and J. Wudka, Phys.Rev. D39 (1989) 2758.

A. Denner, Fortsch.Phys. 41 (1993) 307–420, [arXiv:0709.1075].

EW
App.



- Background field method not necessary, but a nice trick, and allowed US to succeed in avoiding gauge dependent results.
(Some competition did not use the background field method.)

“Cool stuff” Addendum

- Gauge fixing in the SMEFT subtle compared to the SM. Consider:

$$\mathcal{L}_{GF} = -\frac{1}{2\xi_W} \sum_a \left[\partial_\mu W^{a,\mu} - g_2 \epsilon^{abc} \hat{W}_{b,\mu} W_c^\mu + i g_2 \frac{\xi}{2} \left(\hat{H}_i^\dagger \sigma_{ij}^a H_j - H_i^\dagger \sigma_{ij}^a \hat{H}_j \right) \right]^2, \\ -\frac{1}{2\xi_B} \left[\partial_\mu B^\mu + i g_1 \frac{\xi}{2} \left(\hat{H}_i^\dagger H_i - H_i^\dagger \hat{H}_i \right) \right]^2.$$

$$\mathcal{L}_{FP} = -\bar{u}^\alpha \frac{\delta G^\alpha}{\delta \theta^\beta} u^\beta.$$

Some operators in \mathcal{L}_6 then source ghosts!

- The mismatch of the mass eigenstates in the SMEFT with the SM means gauge fixing in the former results in some interesting local contact operators

$$-\frac{c_w s_w}{\xi_B \xi_W} (\xi_B - \xi_W) (\partial^\mu A_\mu \partial^\nu Z_\nu) - \frac{C_{HWB} v^2 (s_w^2 - c_w^2) (s_w^2 \xi_B + c_w^2 \xi_W)}{\xi_B \xi_W} (\partial^\mu A_\mu \partial^\nu Z_\nu).$$