

First Lecture

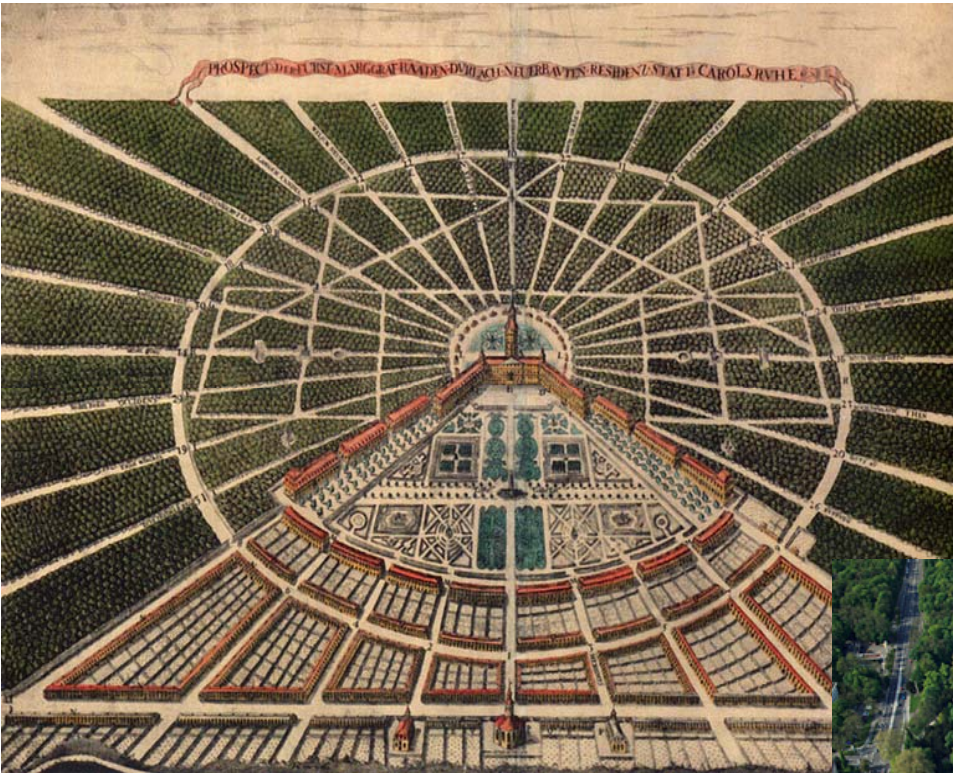
Most up-to-date results can be found in the talks of Top-2016, ICHEP 2016

Thomas Muller, Institut für Experimentelle Kernphysik, KIT

5th ICTP-NCP School on LHC Physics 2016

My Home: the City of Karlsruhe

Like Islamabad, Karlsruhe was newly designed and built as a capital (Kingdom of Baden) in 1715



1. INTRODUCTION

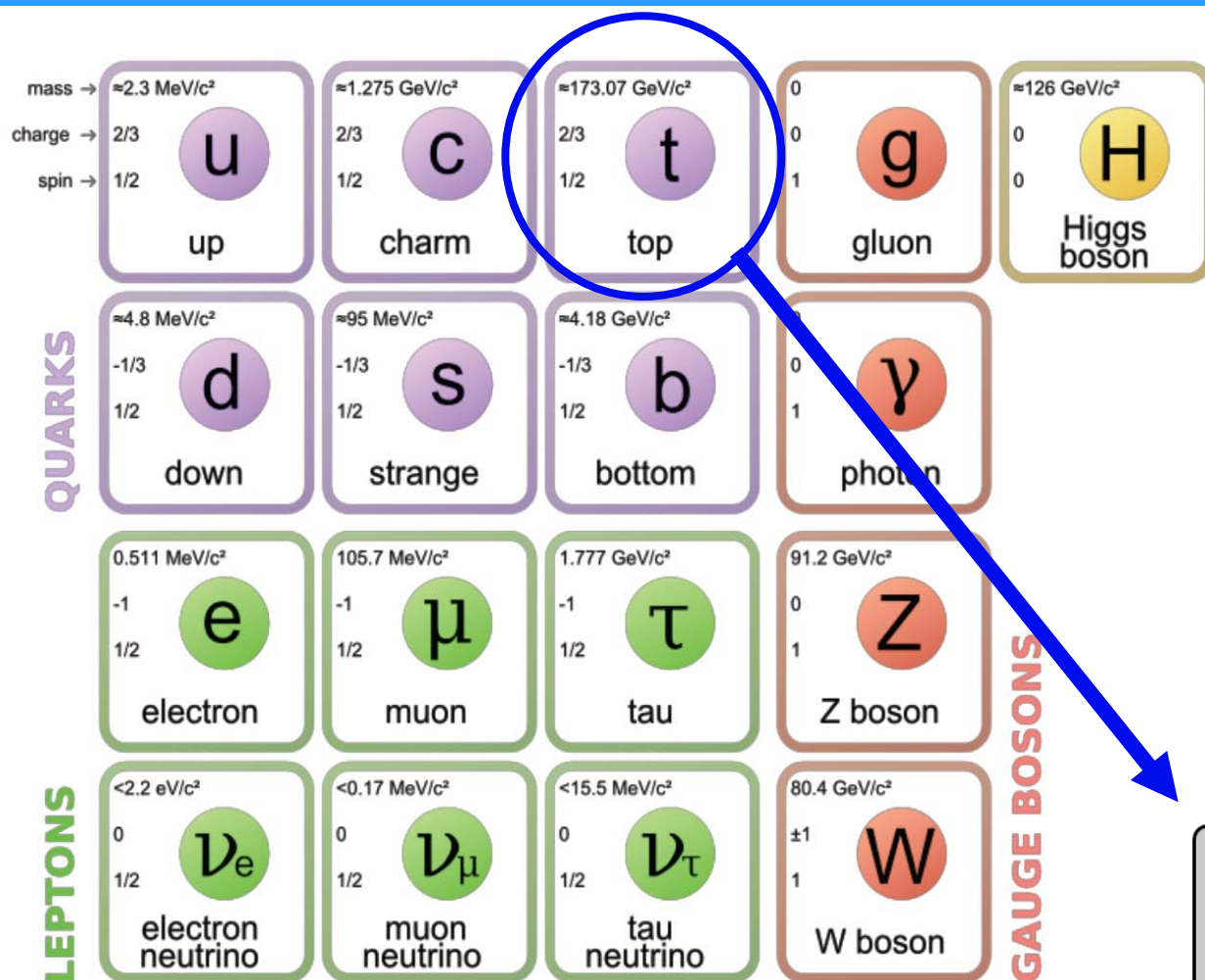
Why is the Top Quark so Special?

- **It decays before it hadronises: the only chance to inspect partons without having to deal with hadronisation: a great laboratory for perturbative QCD**
- **It has tight links to electroweak symmetry breaking: due to its large mass a dominant role in running of the Higgs boson mass: important for our understanding of the particle universe**
- **It is important as a signal or a part thereof (examples: we need to check its Yukawa coupling $t\bar{t}H$, we need to check $V_{tb} = 1$)**
- **It is the dominant background for nearly every BSM search @ LHC**

Tops“

F. Krauss „Some thoughts on

1.1 The Building Blocks of the Standard Model



Properties:

- Mass $m \sim 170 \text{ GeV}/c^2$
- Lifetime $\tau \sim 4 \cdot 10^{-25} \text{ s}$
- Spin $s = 1/2$
- Isospin $T_3 = +1/2$
- Charge $Q = +2/3 e$

Role of the Top Quark in the SM

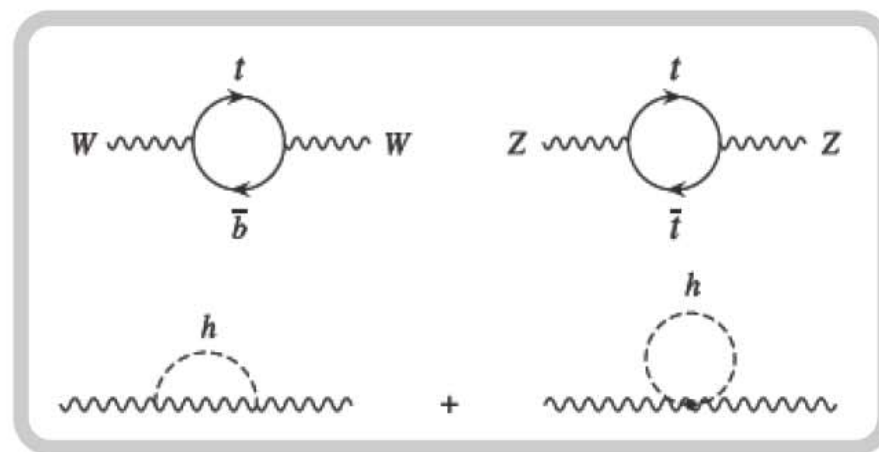
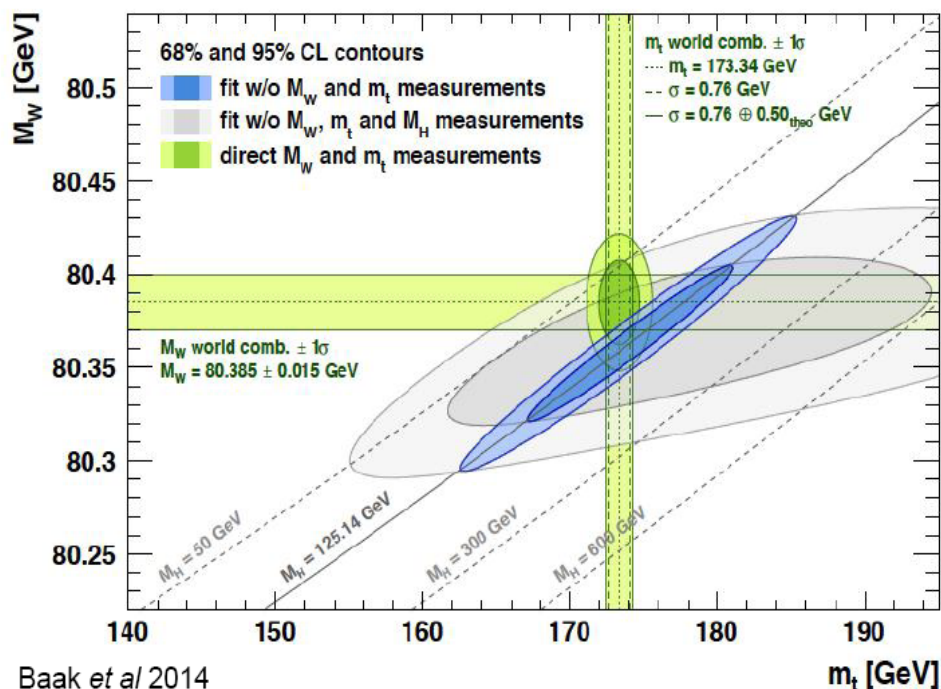
- Four top-quark related parameters:
 - CKM matrix elements
 - Yukawa coupling (top-quark mass)
- Large mass means large Yukawa (Higgs) coupling of roughly unity

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

$$L_{Yukawa}(\phi, \psi) = -g \bar{\psi} \phi \psi$$

$$g = \sqrt{2} m_{top} / v \approx 1$$

- SM predicts connection between top quark, W and Higgs boson



Necessity of the Top

1973: Introduction of a three generation theory to explain CP Violations (Kobayashi, Maskawa)

1975: Identification of τ leptons in $e^+ e^-$ collisions (Perl / Mark I)

1977: Discovery of $Y(1S)$ ($b\bar{b}$) in proton-nucleon interactions (Ledermann)

$$\begin{pmatrix} u \\ d \end{pmatrix} \quad \begin{pmatrix} c \\ s \end{pmatrix} \quad \begin{pmatrix} \\ b \end{pmatrix}$$

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix} \quad \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix} \quad \begin{pmatrix} \\ \tau \end{pmatrix}$$

Isosinglett **or** sodublett ?

DESY 1984

Measurement of the forward-backward asymmetry in $e^+e^- \rightarrow b\bar{b}$ (JADE):

$$A_{FB} = (-22.8 \pm 6.5)\%$$

SM Dublett: $A_{FB} = -25.2\%$

Singlett: $A_{FB} = 0$

There must be an iso-partner of the b .
What are its properties?

1.2 Early Predictions for the Top Mass

1979 G. Preparata, Phys. Lett. 82B, 398

$$m_c \approx 4 m_s \quad \Rightarrow \quad m_t \approx 4 m_b ? \quad \Rightarrow \quad m_t \approx 21 \text{ GeV}$$

1980 S. Glashow (Nobelpreis 1979), Phys. Rev. Lett. 45, 1914

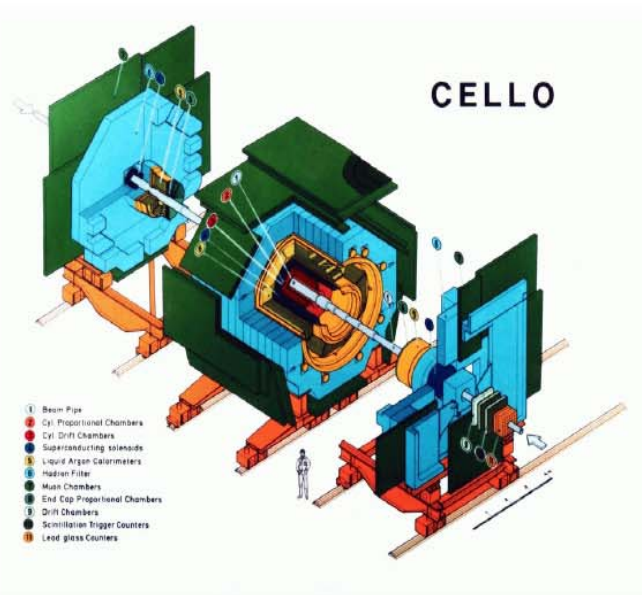
$$m(\text{Toponium}) \approx (38 \pm 2) \text{ GeV}$$

1981 A. Buras, Phys. Rev. Lett. 46, 1354

$$\Delta M = m(K_L) - m(K_S) \text{ und Zerfallsrate } \Gamma \text{ von } K_L \rightarrow \mu^+ \mu^-$$

$$\Rightarrow m_t < 47 \text{ GeV}$$

1.3 Direct Searches in the '80-s



CELLO (e^+e^- PETRA, DESY)

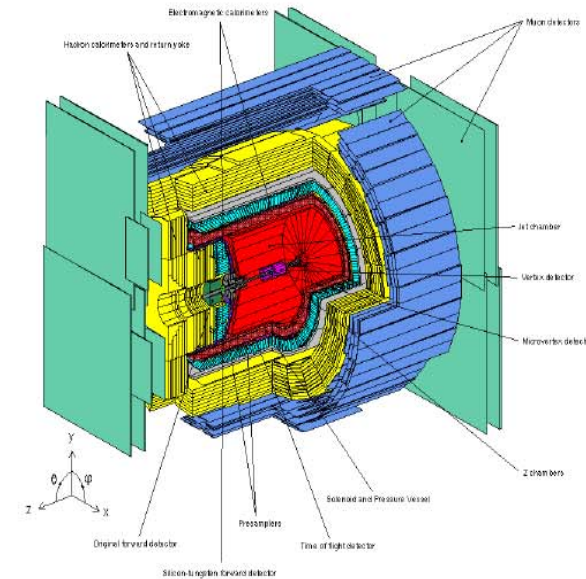
$m_{\text{top}} > 23.3 \text{ GeV}$ (95% CL)

[Phys. Lett. B 144 (1984) 297]

VENUS (e^+e^- TRISTAN, KEK)

$m_{\text{top}} > 30.2 \text{ GeV}$ (95% CL)

[Phys. Lett. B 234 (1990) 382]



OPAL (e^+e^- LEP, CERN)

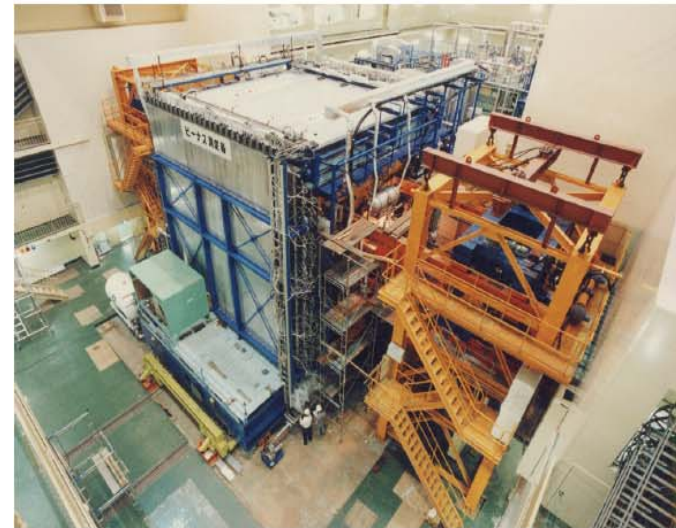
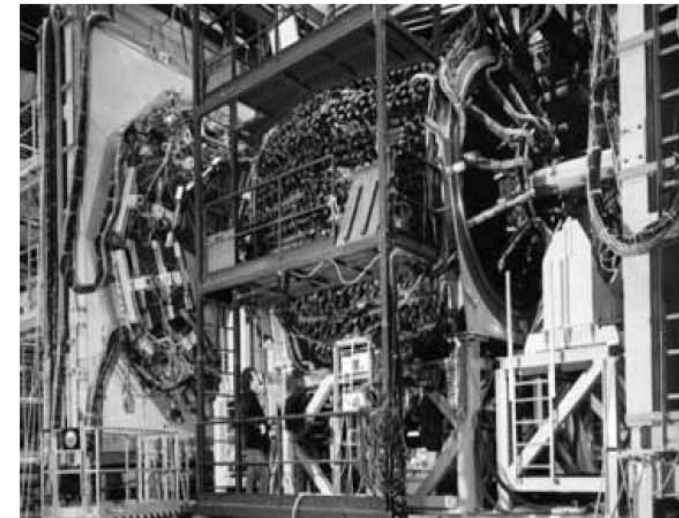
$m_{\text{top}} > 44.5$ (95% CL)

[Phys. Lett. B 236 (1990) 364]

UA2 (ppbar SppS, CERN)

$m_{\text{top}} > 69 \text{ GeV}$ (95% CL)

[Z. Phys. C 46 (1990) 179]



Searches at Hadron Colliders

UA 1 am CERN $S\bar{p}pS$

1984

Search for electroweak production:

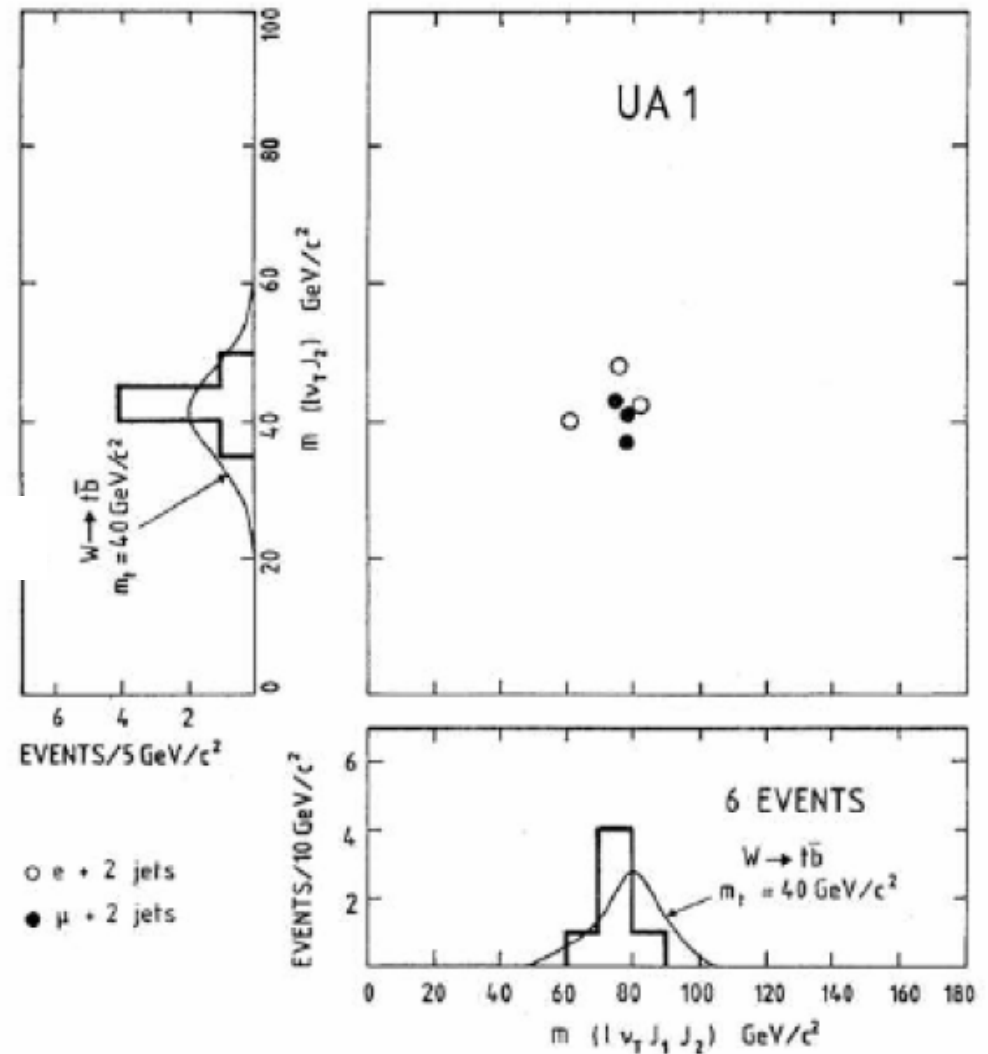
$$p\bar{p} \rightarrow W \rightarrow t\bar{b} \rightarrow l\nu b\bar{b}$$

M_{lvj} and $lvjj$ distributions consistent with $M_{top} = (40 \pm 10) \text{ GeV}$

1986

Background better understood:

$$M_{top} > 60 \text{ GeV}$$

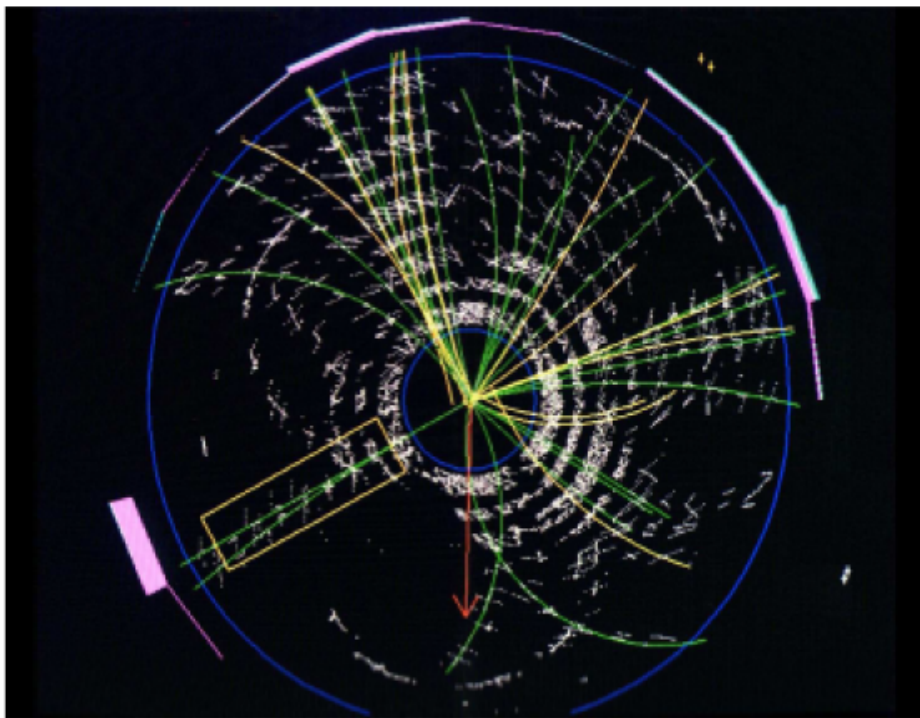


First Evidence and Discovery

CDF Run 0 (1988 – 1989):

$$M_{\text{top}} > 91 \text{ GeV}$$

Run 1: | 1994



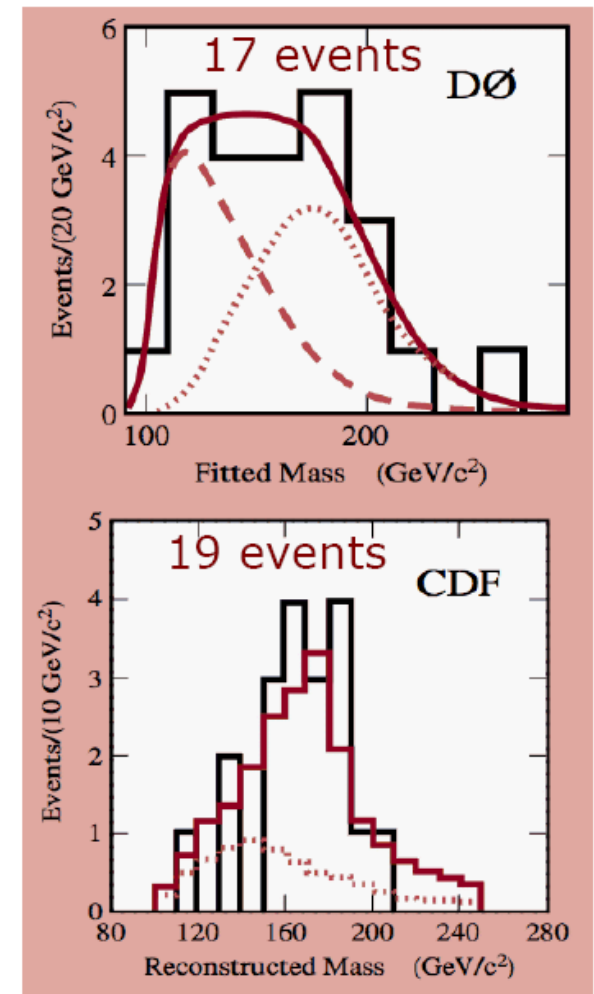
CDF $t\bar{t}$ candidate

24.09.1992



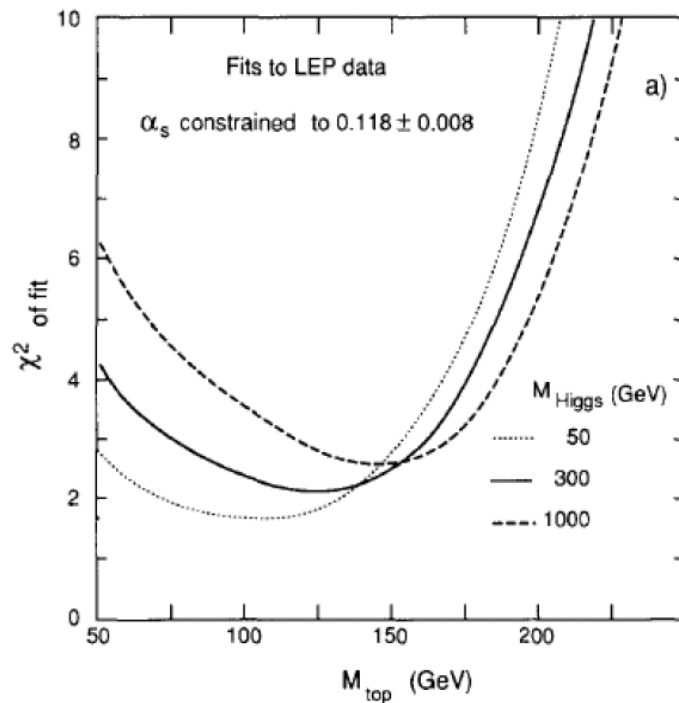
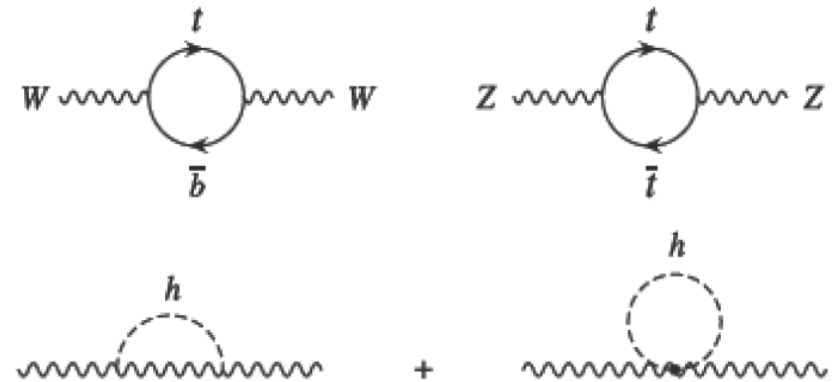
Announcement at Fermilab 1995

- **February 24th 1995**: Simultaneous submission of **Top Discovery** papers to PRL, by CDF and DØ
- 50 pb⁻¹ at DØ
 - $m_t = 199 \pm 30$ GeV
 - $\sigma_{t\bar{t}} = 6.4 \pm 2.2$ pb
 - Background-only hypothesis rejected at 4.6σ
- 67 pb⁻¹ at CDF
 - $m_t = 176 \pm 13$ GeV
 - $\sigma_{t\bar{t}} = 6.8^{+3.6}_{-2.4}$ pb
 - Background-only hypothesis rejected at 4.8σ

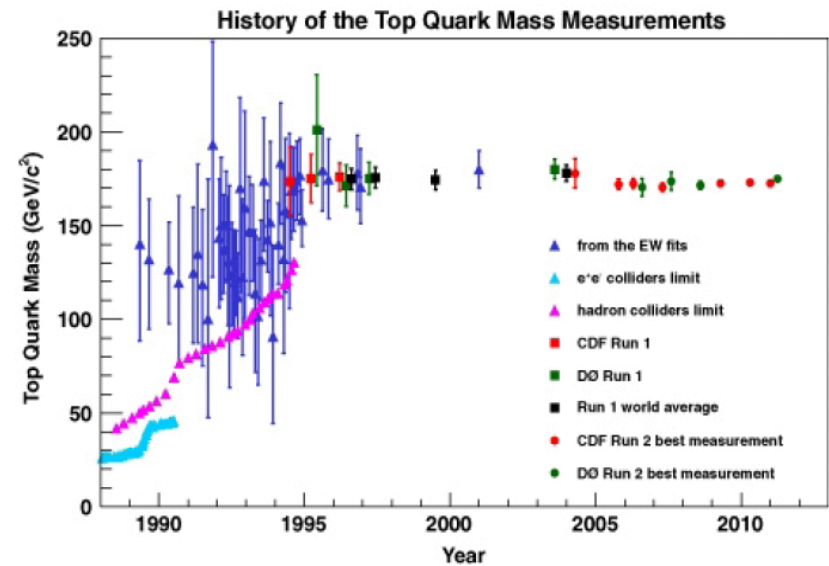


1.4 Predictions using EWk Fits

- Top quark, W boson and Higgs boson masses connected via loop corrections
- Fit of electroweak observables constrains top-quark mass



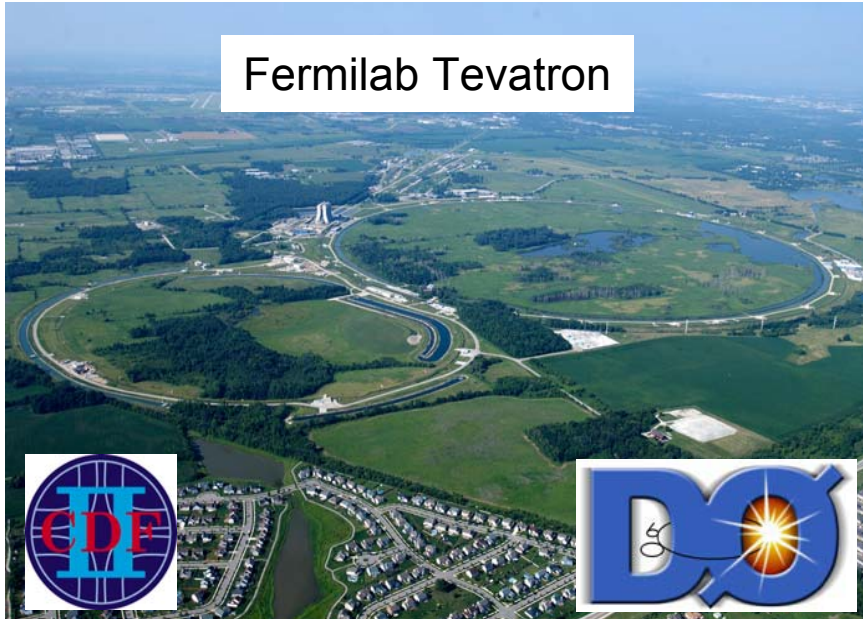
[Phys. Lett. B **276** (1992) 247]



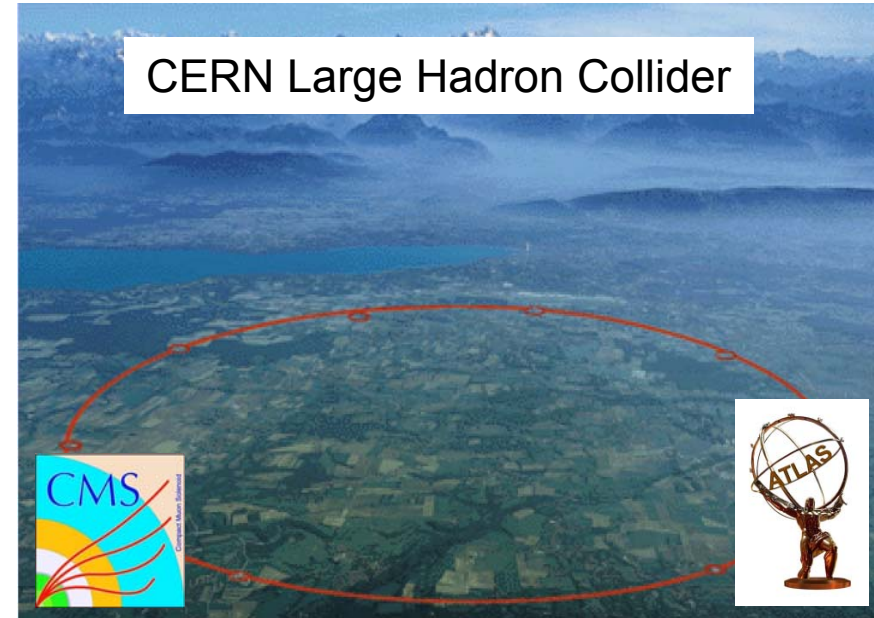
[Ann. Rev. Nucl. Part. Sci. **59** (2009) 505]

1.5 The Sources of Top-Quarks

Fermilab Tevatron



CERN Large Hadron Collider



Tevatron:

- Run 1: $\sqrt{s} = 1.8 \text{ TeV}$ (1992-1996):
Top quark discovery in 1995 with 65 pb^{-1} recorded (around 20 events each experiment)
- Run 2: $\sqrt{s} = 1.96 \text{ TeV}$ (2001-2011):
 12 fb^{-1} delivered, on tape 10 fb^{-1}
Analyses mostly completed

LHC:

- $\sqrt{s} = 7 \text{ TeV}$ (2010-2011):
 5.7 fb^{-1} delivered, on tape 5 fb^{-1}
Around 1 M-tt pairs produced per exp. (60 k reconstructed- tt events)
- $\sqrt{s} = 8 \text{ TeV}$ (2012):
Around 5 M-tt pairs produced in 20 fb^{-1}
Analysis in its final stage
- $\sqrt{s} = 13 \text{ TeV}$ (2016):
Around 10 M-tt pairs produced so far
Up to 3 fb^{-1} of data analyzed

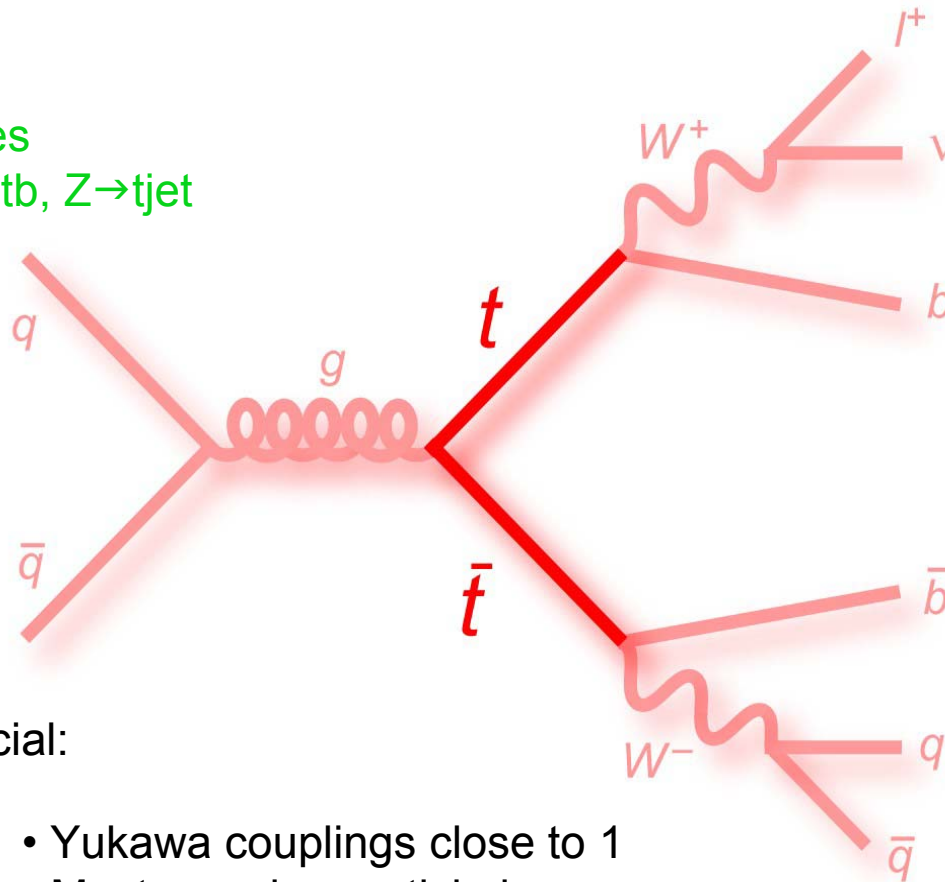
1.6 Physics all around the Top

THE PARTICLE

- Mass (matter vs. anti-matter)
- Life-time / width
- Charge
- Radiation of Bosons

TOP PRODUCTION

- Cross sections
- Top polarisation
- Spin-correlations
- Production asymmetries
- Resonances $X \rightarrow tt$, $Y \rightarrow tb$, $Z \rightarrow tjet$
- Fourth generation t'



TOP DECAY

- Charged Higgs
- W helicity
- Anomalous couplings
- CKM matrix elements

The top quark is very special:

- Yukawa couplings close to 1
- Most massive particle known
- The only „free“ quark
- Appears as signal, background and maybe decay product of new states

Quarks	u up	c charm	t top
	d down	s strange	b bottom
	ν_e e- Neutrino	ν_μ μ - Neutrino	ν_τ τ - Neutrino
Leptons	e electron	μ muon	τ tau
	I	II	III

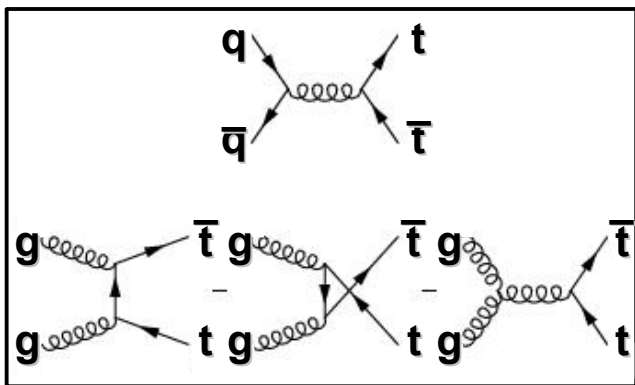
The Generations of Matter

2. PAIR PRODUCTION OF TOP QUARKS

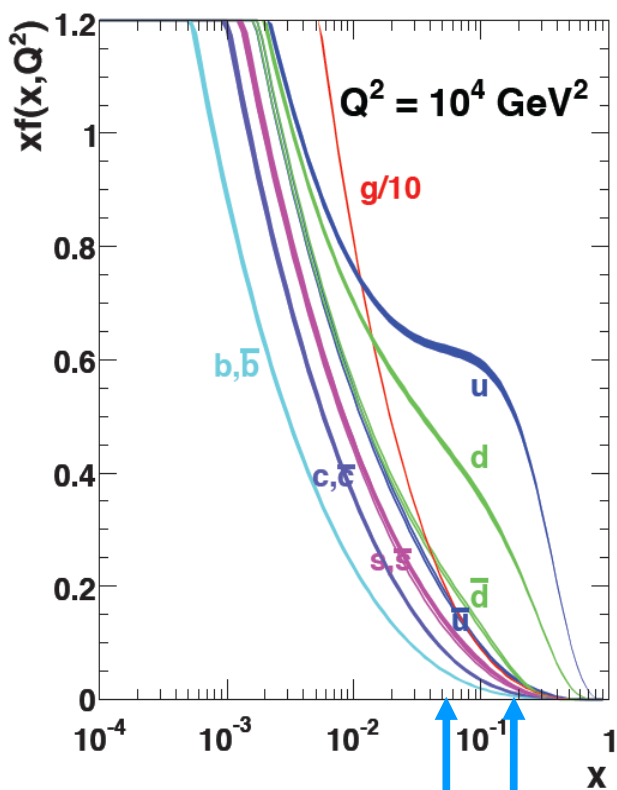


Sanauallah Khan

Processes for Pair Production

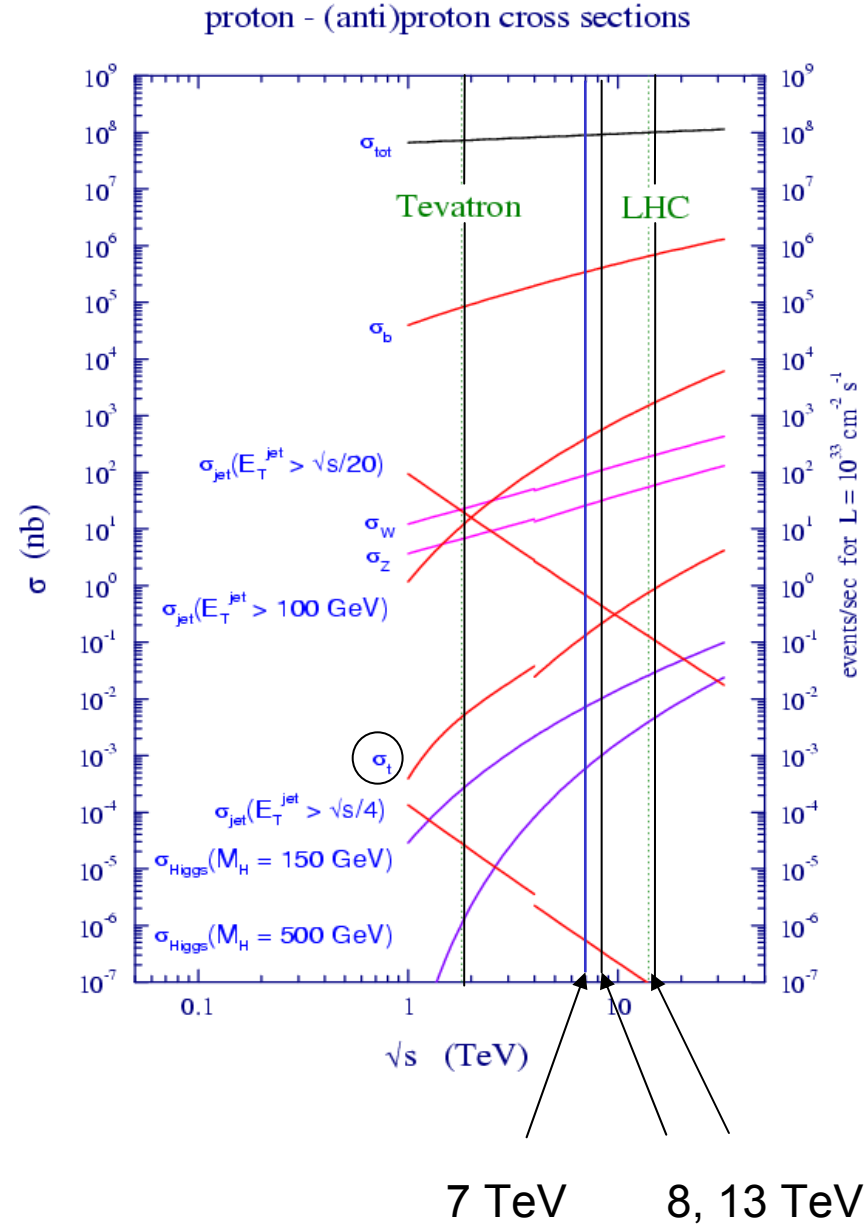


	TEV	LHC
←	~85%	~15%
←	~15%	~85%



MSTW08: Eur.Phys.J.C63:189-285

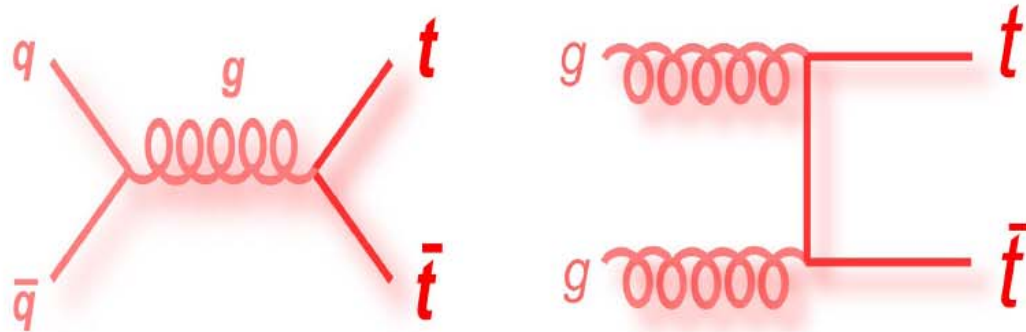
LHC Tevatron



7 TeV 8, 13 TeV

Progress in Theory

Example diagrams:



	σ_{gg}/σ_{tot}
Tevatron	$\approx 15\%$
LHC 7 TeV	$\approx 85\%$
LHC 14 TeV	$\approx 90\%$

Long standing theoretical effort on fixed order QCD calculations

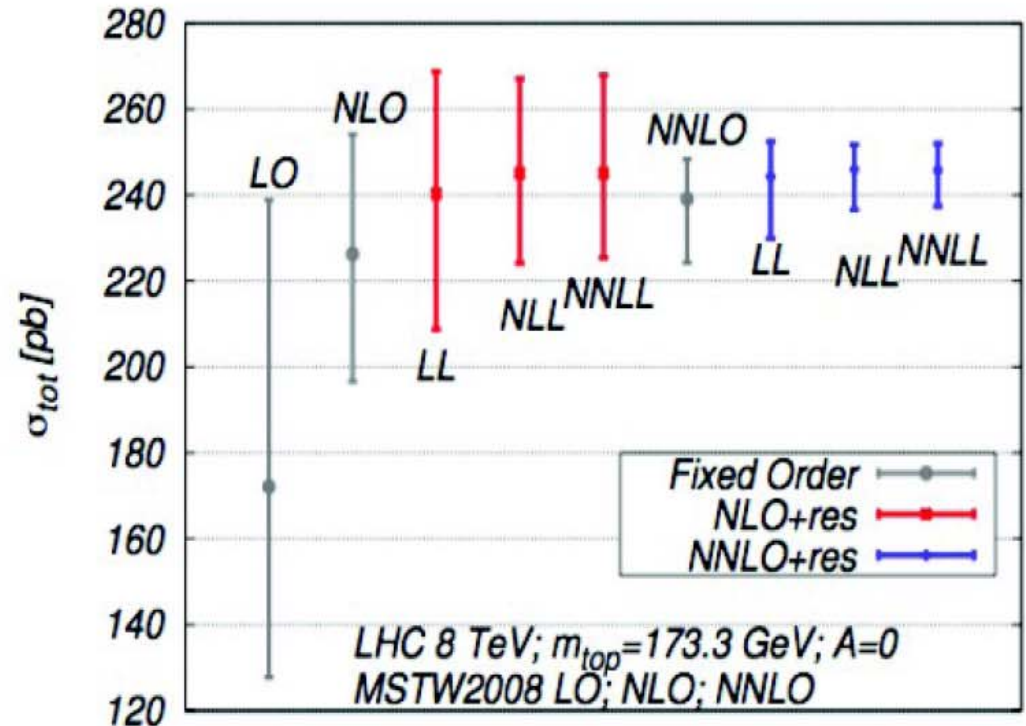
- 1989 NLO
- 1998 NLO+NLL
- 2008 NLO+NNLL
- 2013 NNLO+NNLL

Cross-Section rises by about 10%
from NLO to NNLO+NNLL QCD

Precision improves from $\sim 12\%$ to $\sim 3\%$ (scale)
 $\sim 8\%$ to 5% (PDF)

Uncertainty on parton density function dominate

Electroweak corrections also sizeable $\alpha_s^2 \sim \alpha_{ew}$



Figures and numbers from:

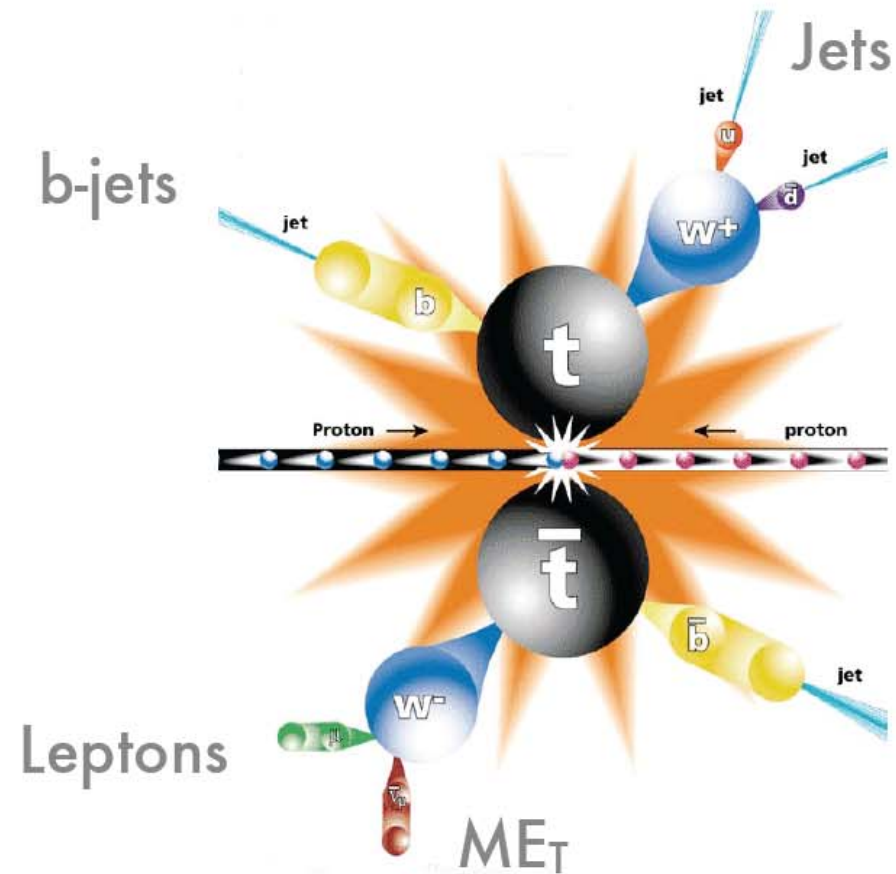
Czakon, Mitov [arXiv:1303.6254](https://arxiv.org/abs/1303.6254)

Czakon, Mangano, Mitov, Rojo: [arXiv:1303.7215](https://arxiv.org/abs/1303.7215)

NNLO QCD calculation mandatory for precision analysis

2.1 How to identify Top Quarks

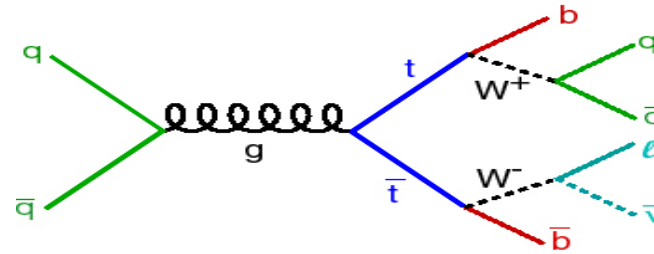
- To find and reconstruct top quarks, a fully operational and hermetic General Purpose Detector is needed
- This is why top quarks were used to confirm and check calibrations and detector performance at the start of the LHC runs at 7 and 8 TeV



Decay Channels

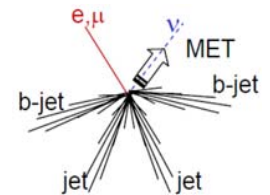
Top Pair Decay Channels

$c\bar{s}$	electron+jets			muon+jets			tau+jets			all-hadronic		
$u\bar{d}$	electron+jets			muon+jets			tau+jets			all-hadronic		
$\tau^+\tau^-$	$e\tau$	$\mu\tau$	$\tau\tau$	tau+jets			all-hadronic					
$\mu^+\mu^-$	$e\mu$	$\mu\mu$	$\tau\mu$	muon+jets			all-hadronic					
e^+e^-	$e\tau$	$e\mu$	$e\tau$	electron+jets			all-hadronic					
W decay	e^+	μ^+	τ^+	$u\bar{d}$			$c\bar{s}$					

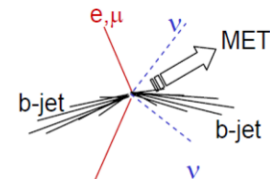


- $t \rightarrow Wb$
Events classified by W decay

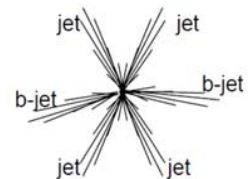
- “Lepton [e,μ] + jets” (34%)
 $tt \rightarrow bl\nu bqq'$



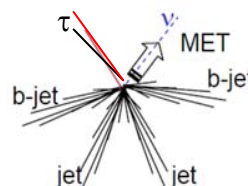
- “Dilepton [e,μ]” (6%)
 $tt \rightarrow bl\nu bl\nu$



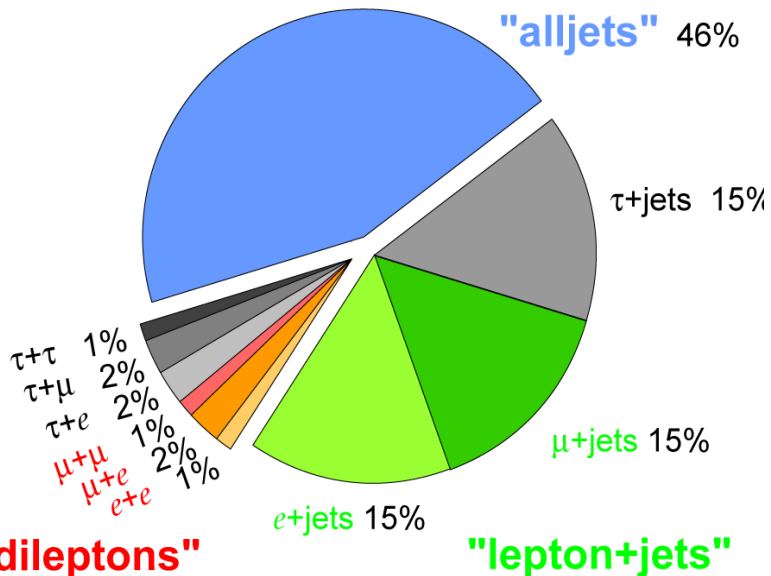
- “All jets” (46%)
 $tt \rightarrow bqq'bqq'$



- “Tau + jets” (15%)
 $tt \rightarrow b\tau\nu bqq'$



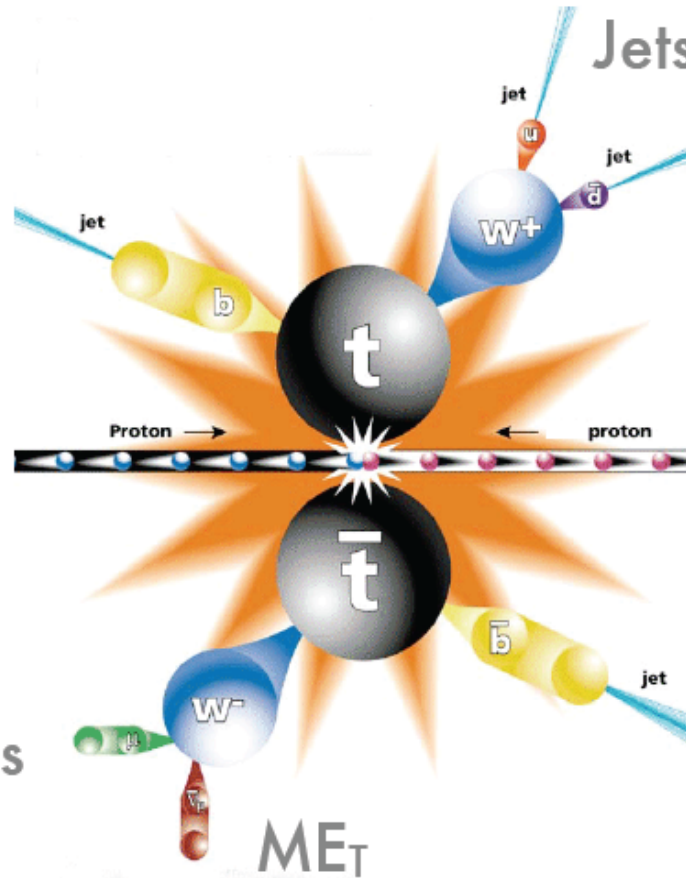
Top Pair Branching Fractions



Object Reconstruction

Background from long lived non-b jets?
Increased track multiplicity from pile-up degrades performance?
b-jets

b-jets



Good enough resolution to see W mass peak?

Pile-up affects reconstruction?
Jets where only lepton seen?
Actual fakes?

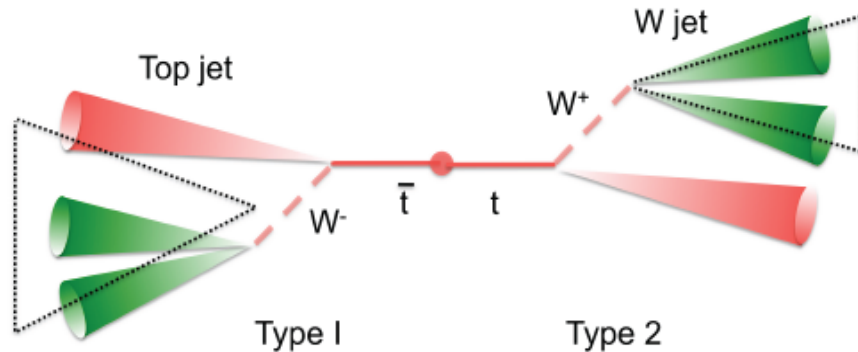
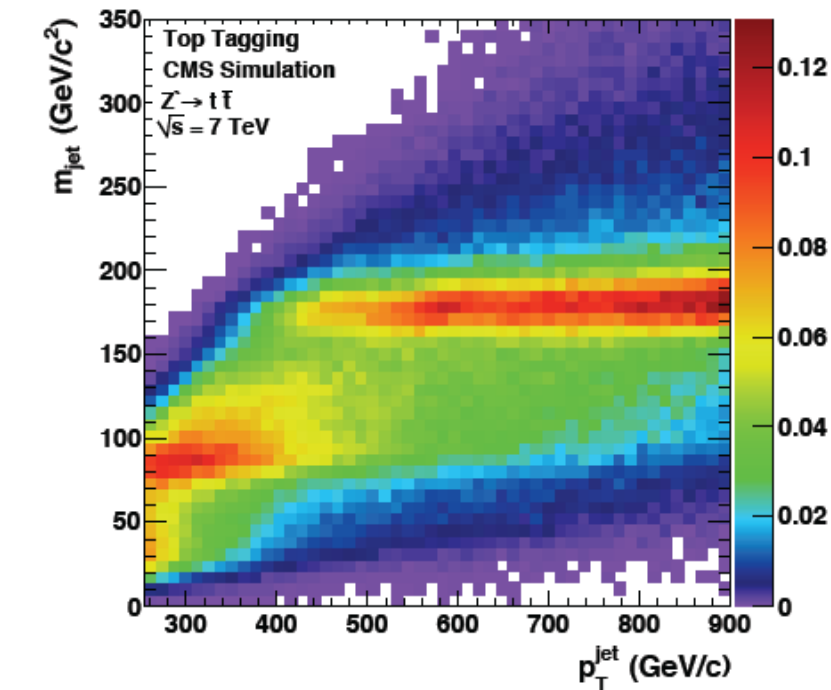
Leptons

From pile-up?
Electronics/
detector noise?

Affected by pile-up?
Electronics/detector noise?

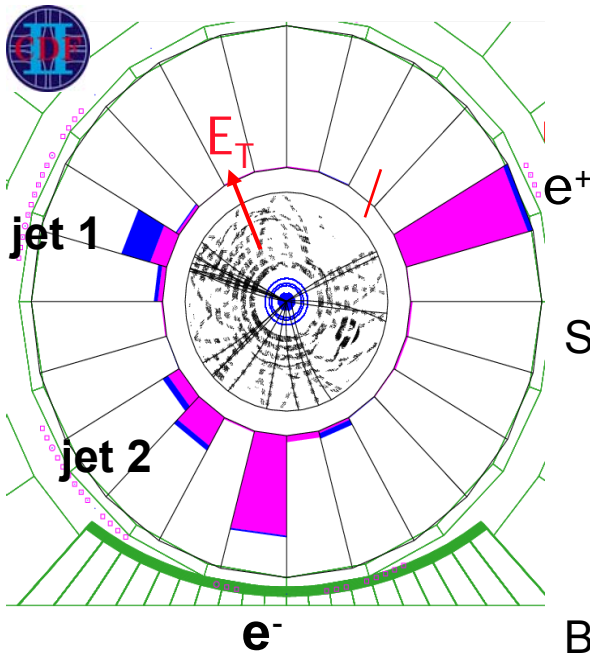
Reconstruction of Top Quarks with high Momentum

PAS JME-10-013



- Once boost of top quarks high enough
- Decay products become collimated
 - $W \rightarrow qq$ in one jet
 - Or $t \rightarrow bqq$ in one jet
- Special reconstruction algorithms needed

2.2 Cross Section Measurements



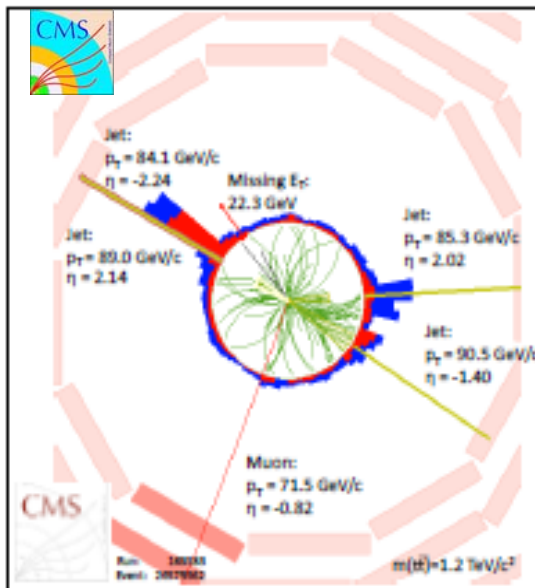
Finding the top:

Signal:

- Triggering on lepton
- High missing transverse energy (E_T^{miss})
- High E_T jets, central and spherical
- Two b-jets (displaced vertex)

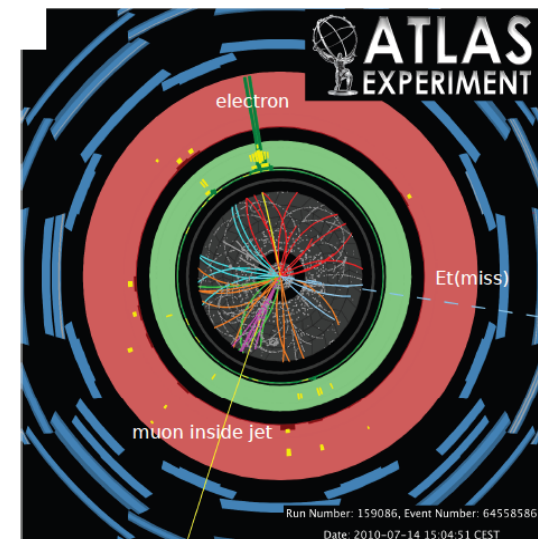
Background:

- Dilepton: Z+Jets, Single Top (tW), QCD, W+Jets
- Lepton+Jets: W+Jets, Single Top
- All Hadronic: QCD multi jet events



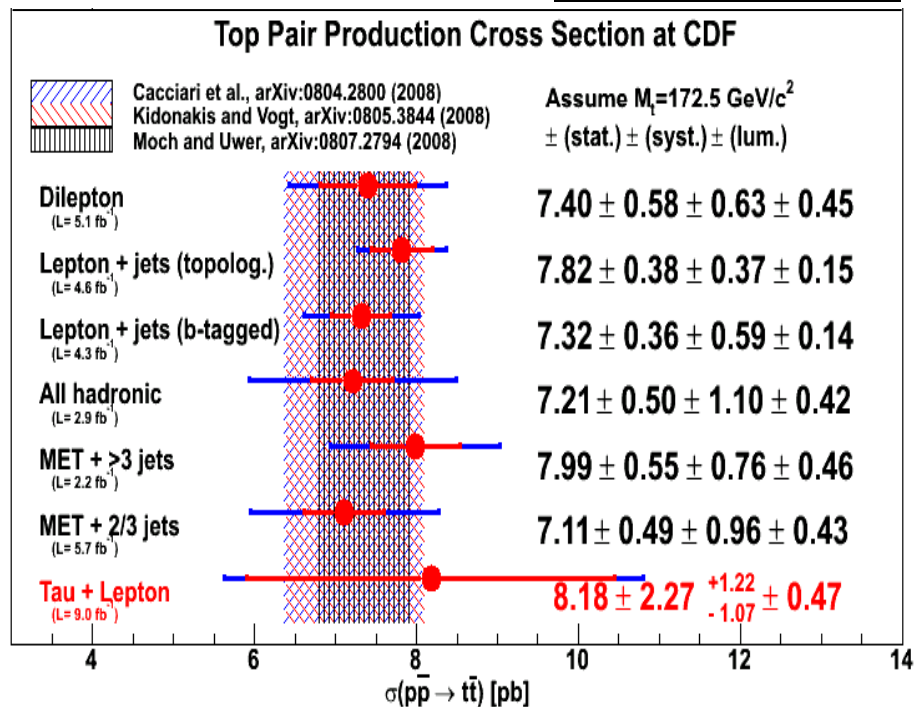
Determination of the cross section

$$\sigma_{t\bar{t}} = \frac{N_{obs} - N_{bgd}}{\epsilon_{t\bar{t}} \cdot \int L dt}$$



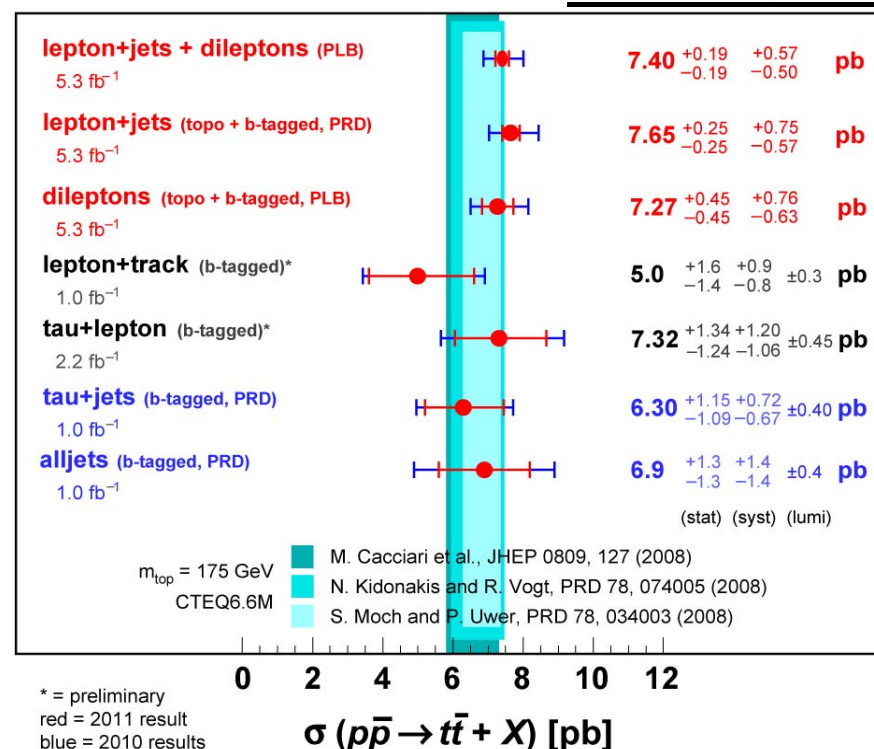
CDF Run II

Conf. Note 10878

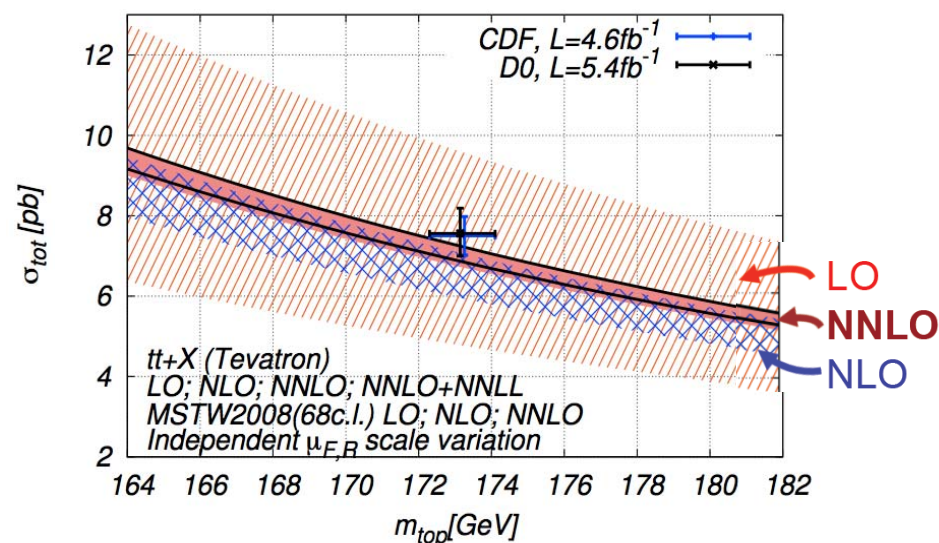


D0 Run II

arXiv:1105.5834



- Consistency amongst various channels
- Limitation from systematic uncertainties (JES, b-tab, W+jets)
- Sensitive to NNLO predictions (Bernreuter, Czakon, Mitov, arXiv:1204.5201)



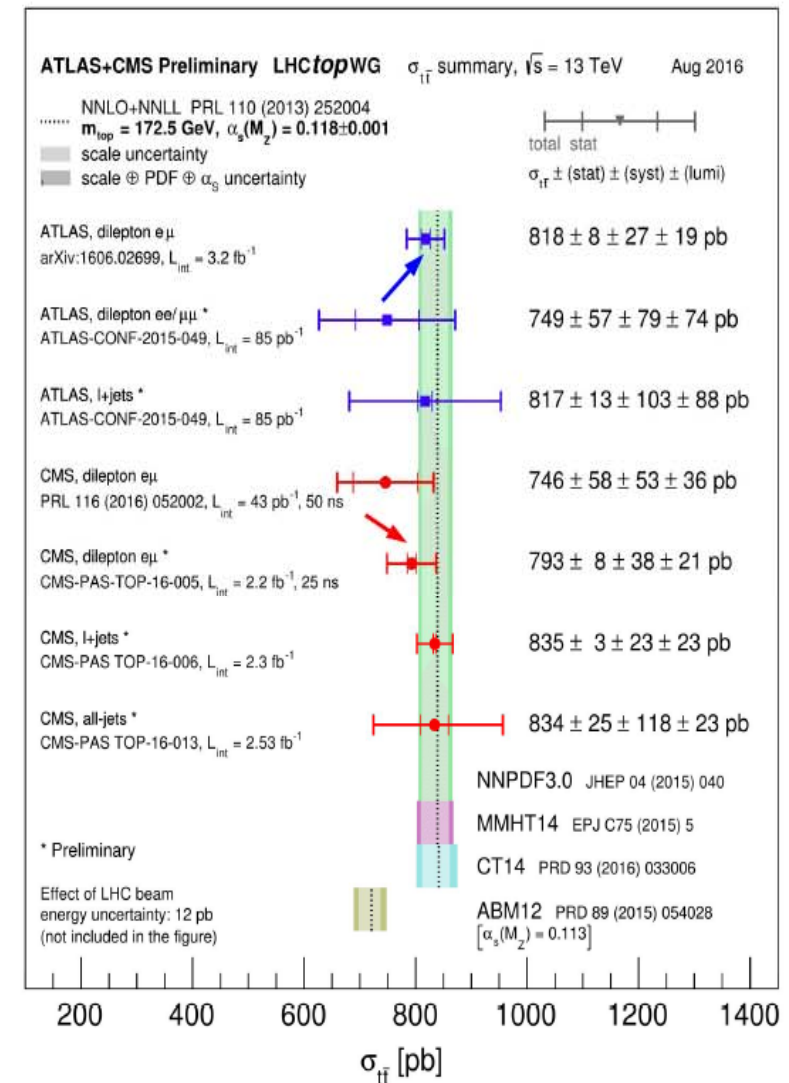
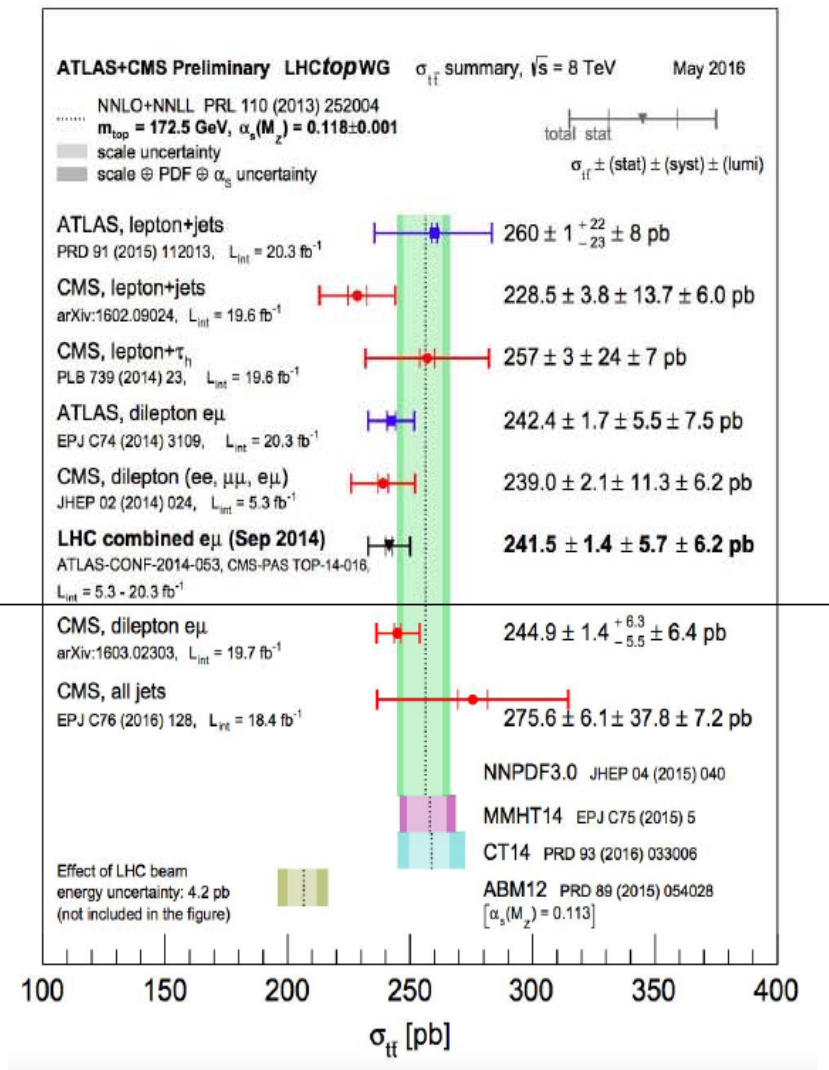


Measurements at the LHC at 7, 8 TeV



ATLAS & CMS 8TeV

ATLAS & CMS 13TeV



Precision of measurement comparable to theory precision
LHC and Tevatron results consistent and in agreement with NNLO+NNLL



Top Pair Cross Sections

Precision	Tevatron		LHC 8 TeV	
	D0	CDF	ATLAS	CMS
total	7.8%	6.5%	4.3%	5.5%
stat	2.6%	4.0%	0.7%	0.8%
syst	4.3%	4.7%	2.3%	4.7%
lumi	6.1%	2.0%	3.1%	2.6%

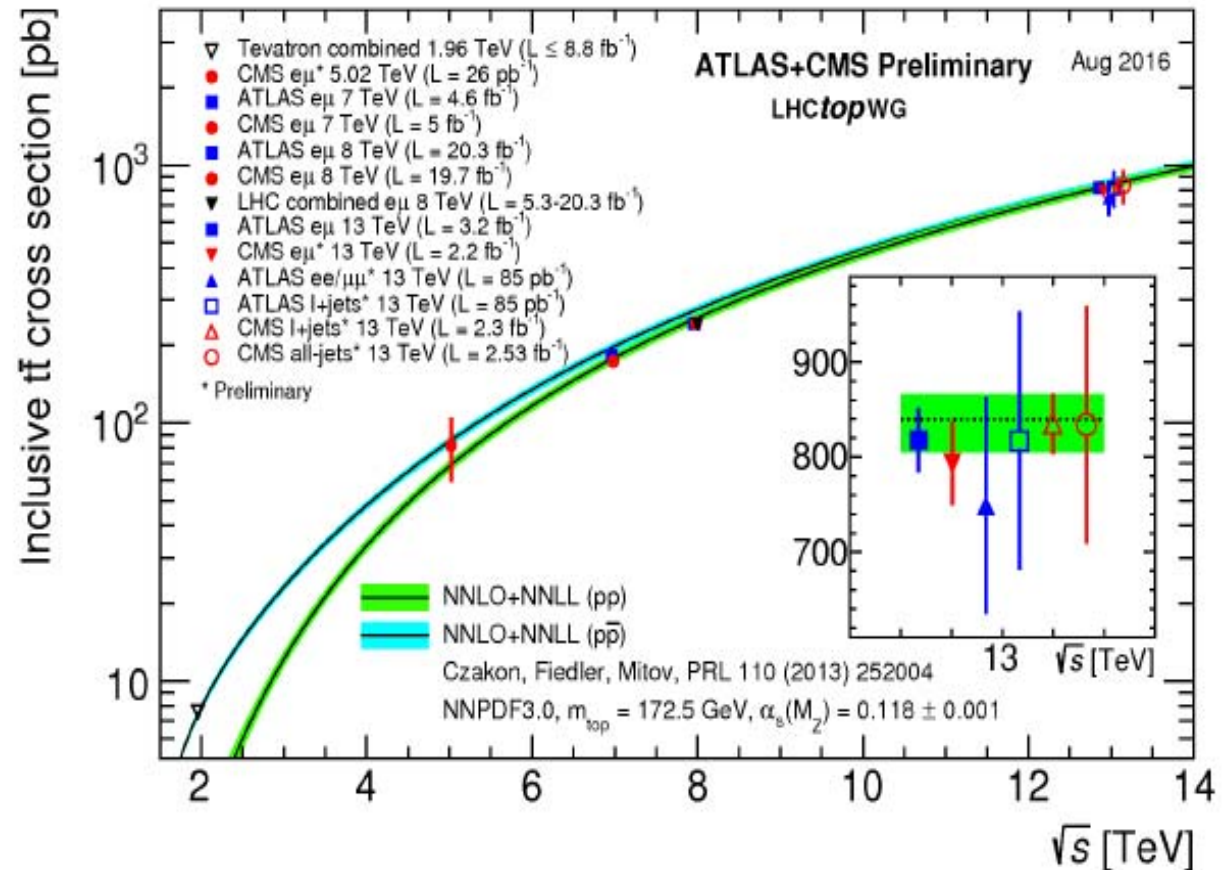
..most recent:

ATLAS, 3.2fb^{-1} , 13TeV,
Dilept., arXiv:1606.02699

CMS, 2.3fb^{-1} , 13TeV, l+jets
CMS-PAS-TOP-16-006

CMS, 2.53fb^{-1} , 13TeV, all jets
CMS-PAS-TOP-16-013

CMS, 26pb^{-1} , 5TeV, dilept.
CMS-PAS-TOP-16-015

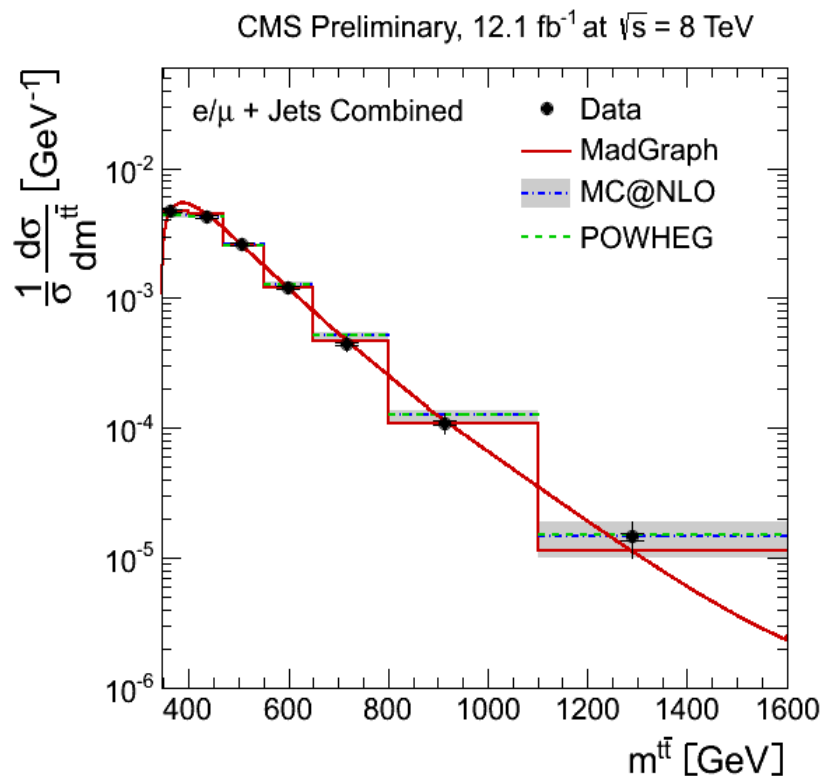
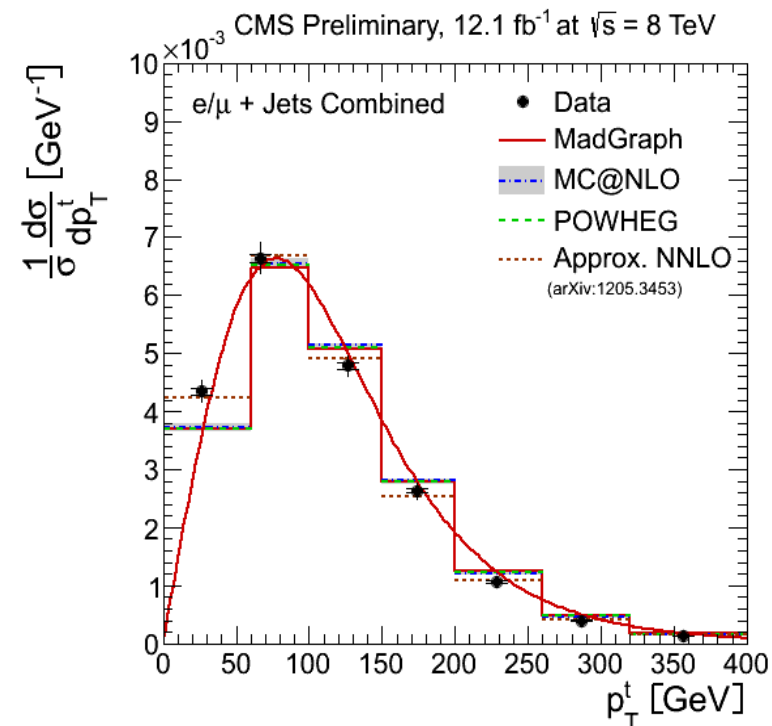


*LHC and Tevatron results consistent and in agreement with NNLO+NNLL
over a large range of centre-of-mass energies*

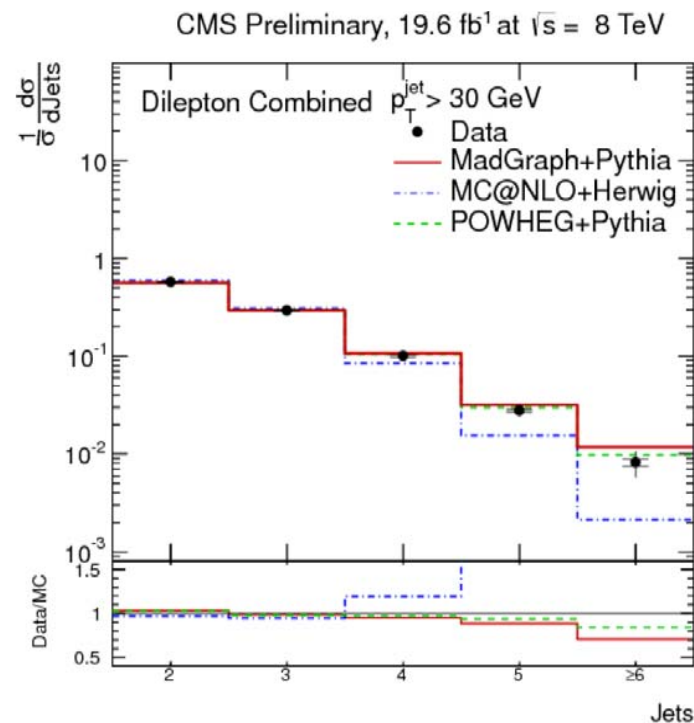
Top-Antitop Differential Cross Sections



- Measure differential cross sections
- Important test of QCD
- Event selections similar to the cross section analyses
- Bin-to-bin unfolding to parton level



CMS PAS-TOP-12-041



tt production is well described by SM calculations

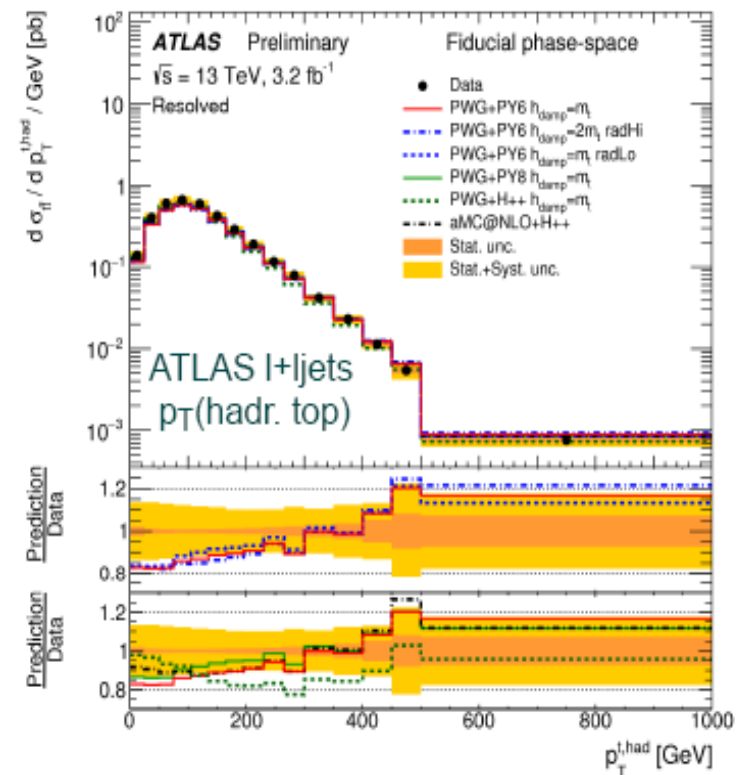
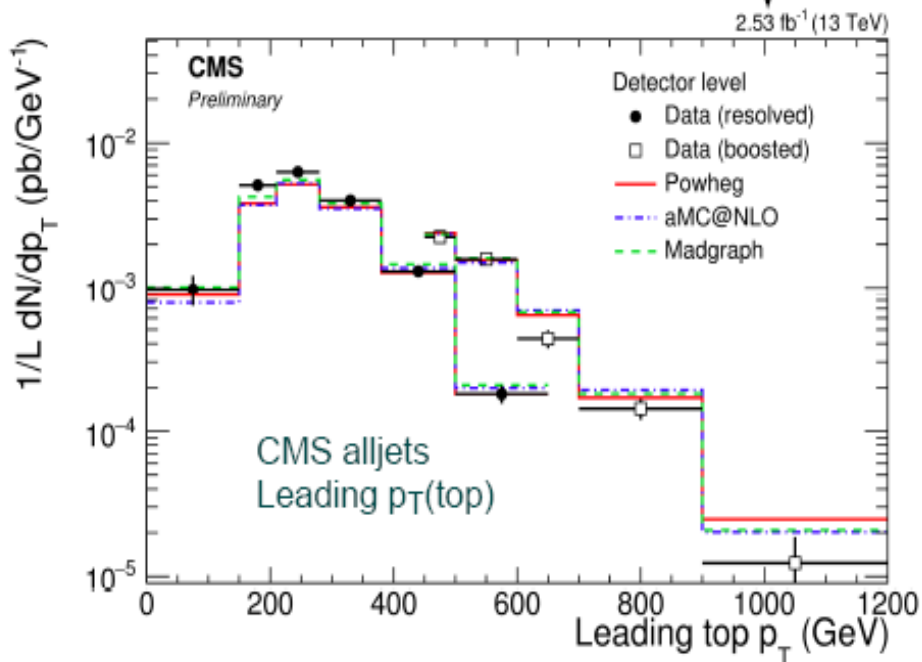
CMS, 2.5fb⁻¹, 13TeV, l+jets, differential p_T
CMS-PAS-TOP-16-008

CMS, 2.2fb⁻¹, 13TeV, dilep, differential p_T(t), y(t), y(tt), m(tt), ΔΦ(tt), CMS-PAS-TOP-16-007

CMS, 2.5fb⁻¹, 13TeV, all-jets, differential p_T
Resolved & boosted, CMS-PAS-TOP-16-013

CMS, 2.3fb⁻¹, 13TeV, dilep, ttbb, ttjj
CMS-PAS-TOP-16-010

ATLAS, 3.2fb⁻¹, 13TeV, l+jets, differential p_T
Resolved & boosted, ATLAS-CONF-2016-040



Similar trends as in 8TeV. Top p_T modelled too hard (improves with NNLO pQCD)

2.3 Top-Antitop Charge Asymmetry

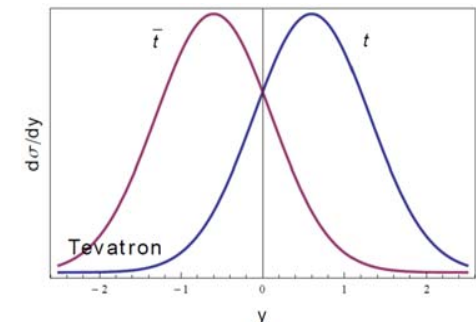
NLO QCD: interference of higher order diagrams leads to asymmetry for $t\bar{t}$ produced through $\bar{q}q$ annihilation:

- Top quark is emitted preferentially in direction of the incoming quark
- Antitop quark opposite
- Production through new processes may lead to different asymmetries



- At Tevatron: define forward-backward asymmetry

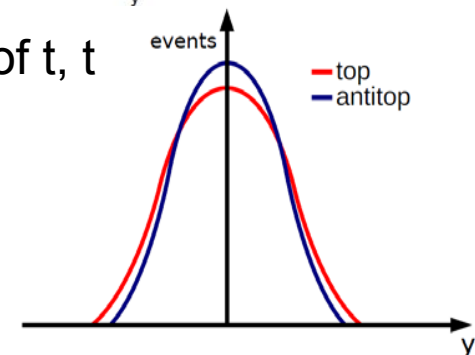
$$A^{t\bar{t}} = \frac{N(\Delta y > 0) - N(\Delta y < 0)}{N(\Delta y > 0) + N(\Delta y < 0)}$$

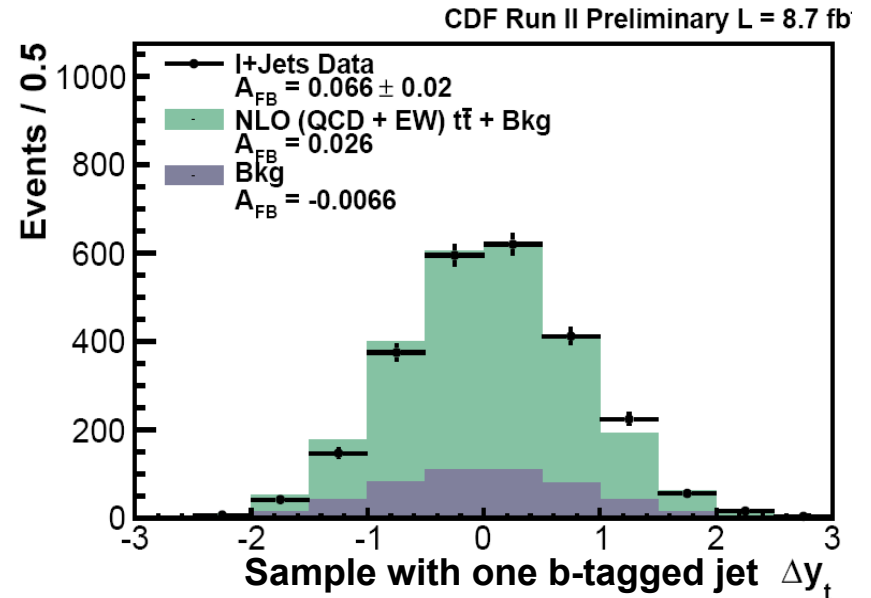
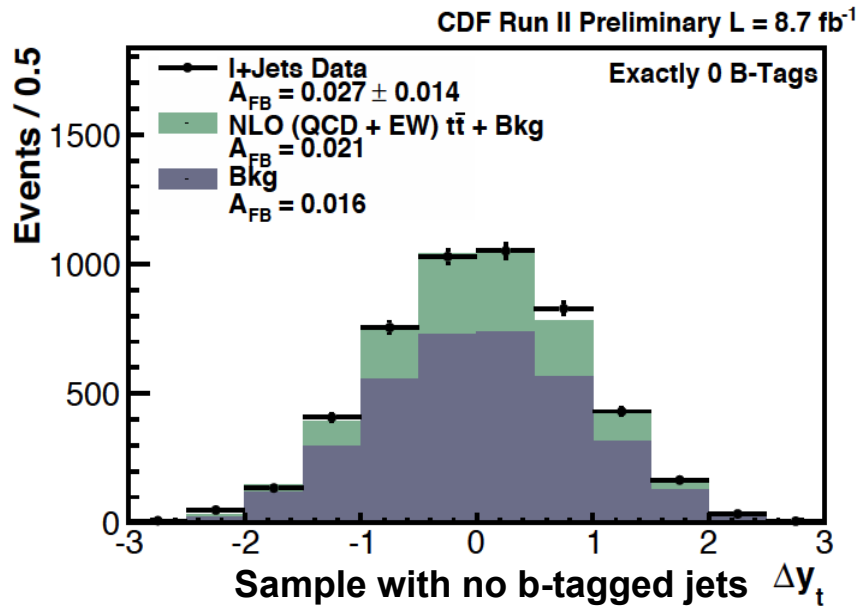


- At LHC: define asymmetry in the widths of rapidity distributions of t , \bar{t}

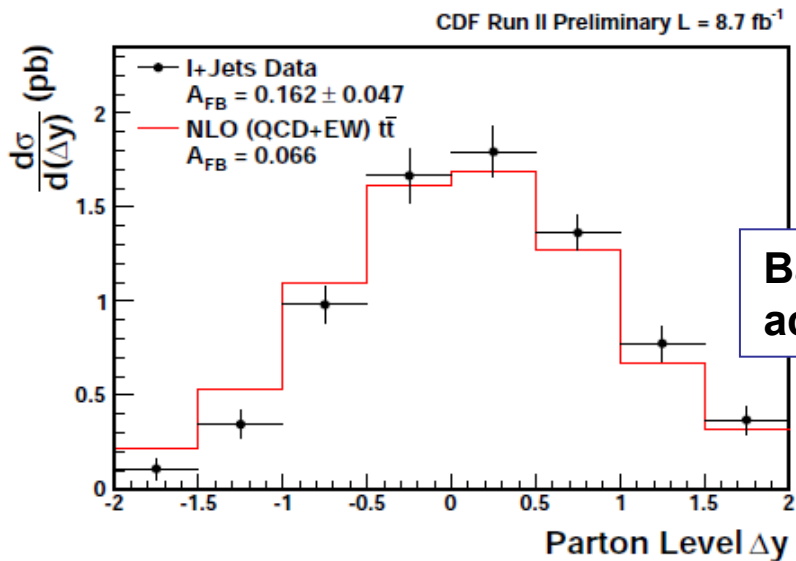
$$A_c = \frac{N(\Delta|y| > 0) - N(\Delta|y| < 0)}{N(\Delta|y| > 0) + N(\Delta|y| < 0)}$$

$$\Delta|y| = |y_t| - |y_{\bar{t}}|$$

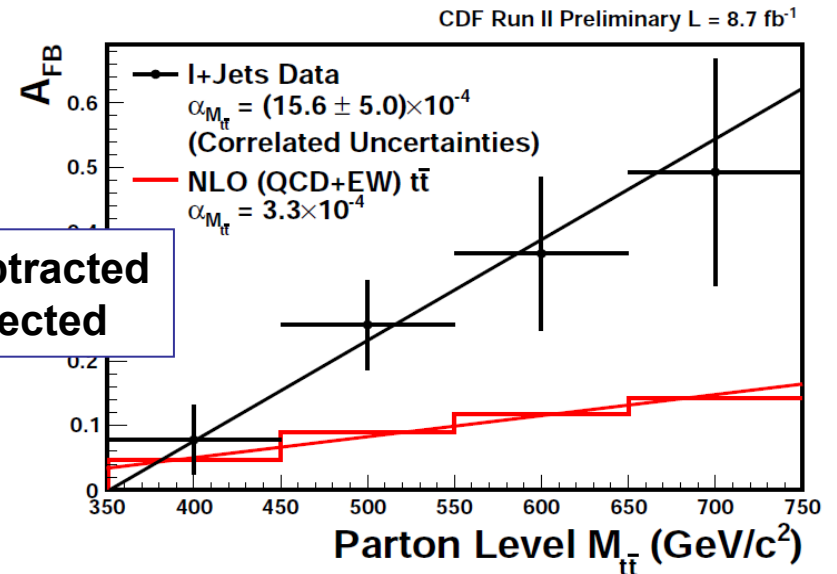




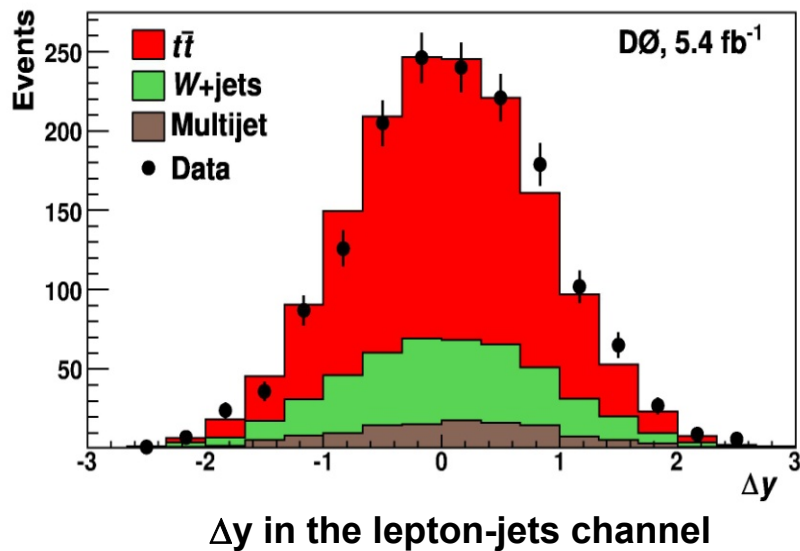
CDF-Note 10807



Background-subtracted
acceptance corrected



$$A_{FB} = 0.162 \pm 0.041(\text{stat}) \pm 0.022(\text{syst})$$



Measured asymmetry on detector level after bkg subtraction:

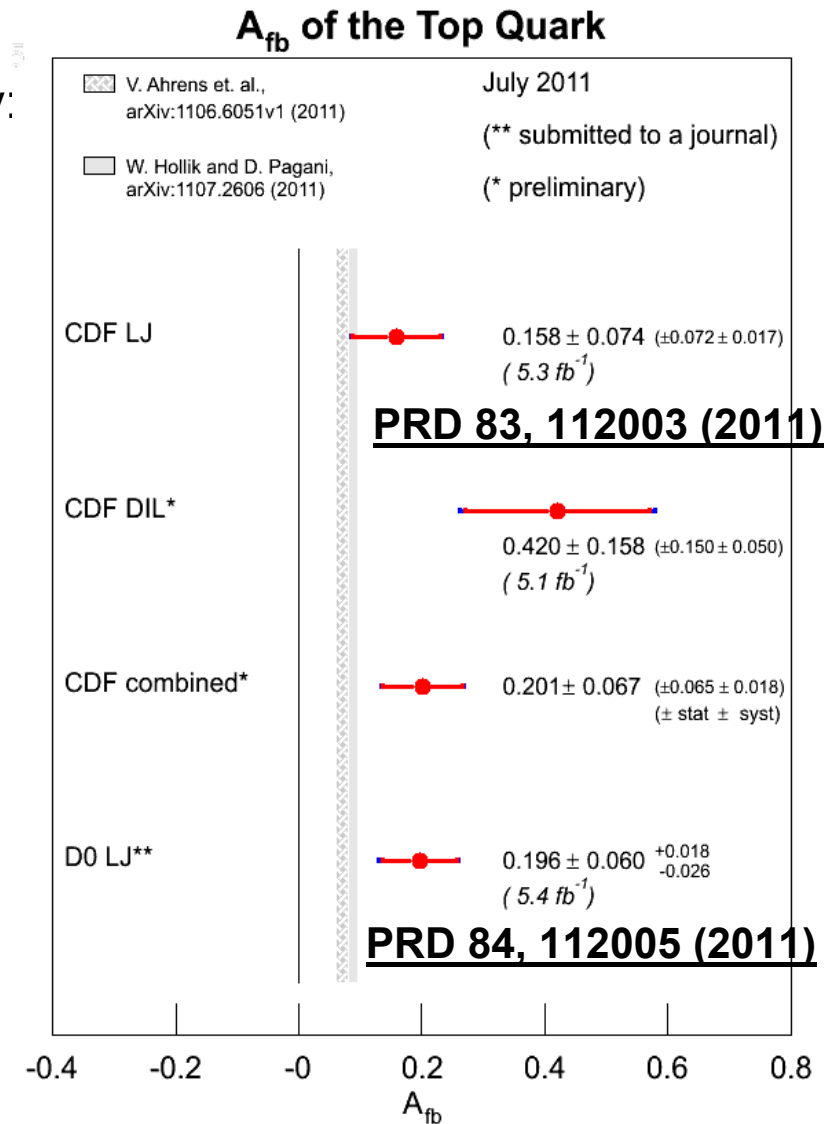
$$A_{FB}^{det} = 0.092 \pm 0.037 \text{ (stat+syst)}$$

$$\text{MC@NLO: } A_{FB}^{det} = 0.024 \pm 0.007$$

Measured asymmetry on parton level:

$$A_{FB} = 0.196 \pm 0.065 \text{ (stat+syst)}$$

Summary:



Both CDF and D0 see significant asymmetry in tt production in all channels with strong dependence on m_{tt}, in conflict with the SM

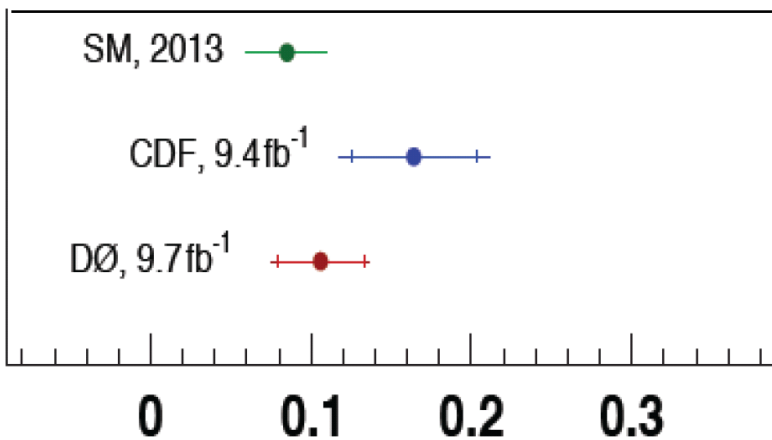


$A_{FB}(t\bar{t})$ asymmetry / Recent results



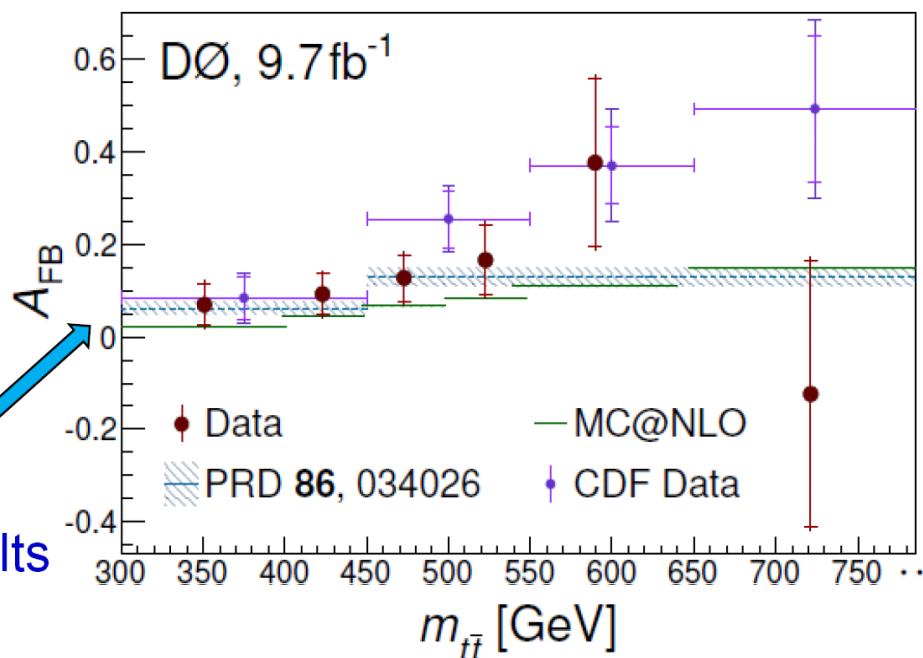
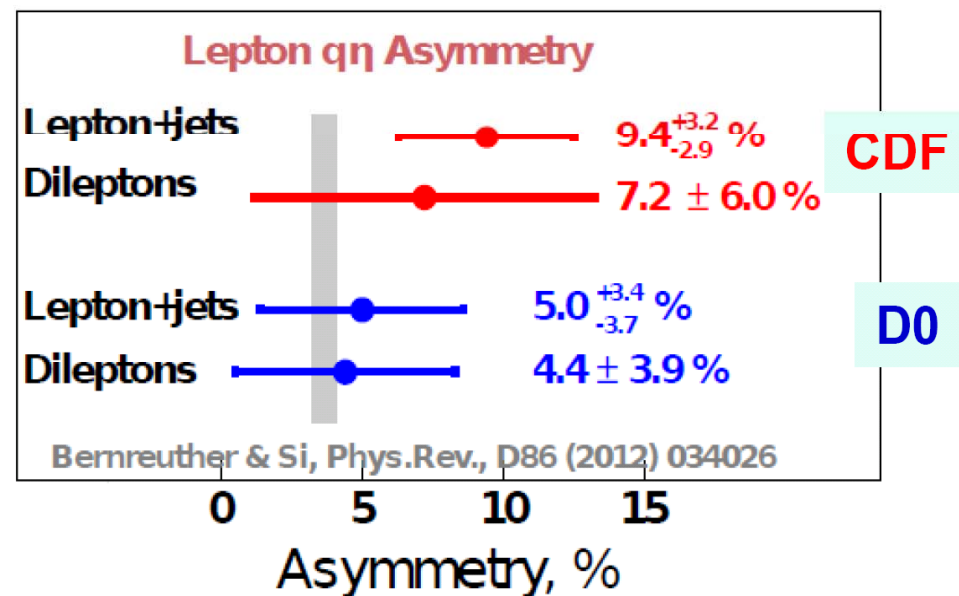
- In A_{FB} leptonic-asymmetry recent results from CDF and DZero are now more consistent with SM prediction (measured asymmetries decreased, theoretical predictions increased)

- In $t\bar{t}$ asymmetry:



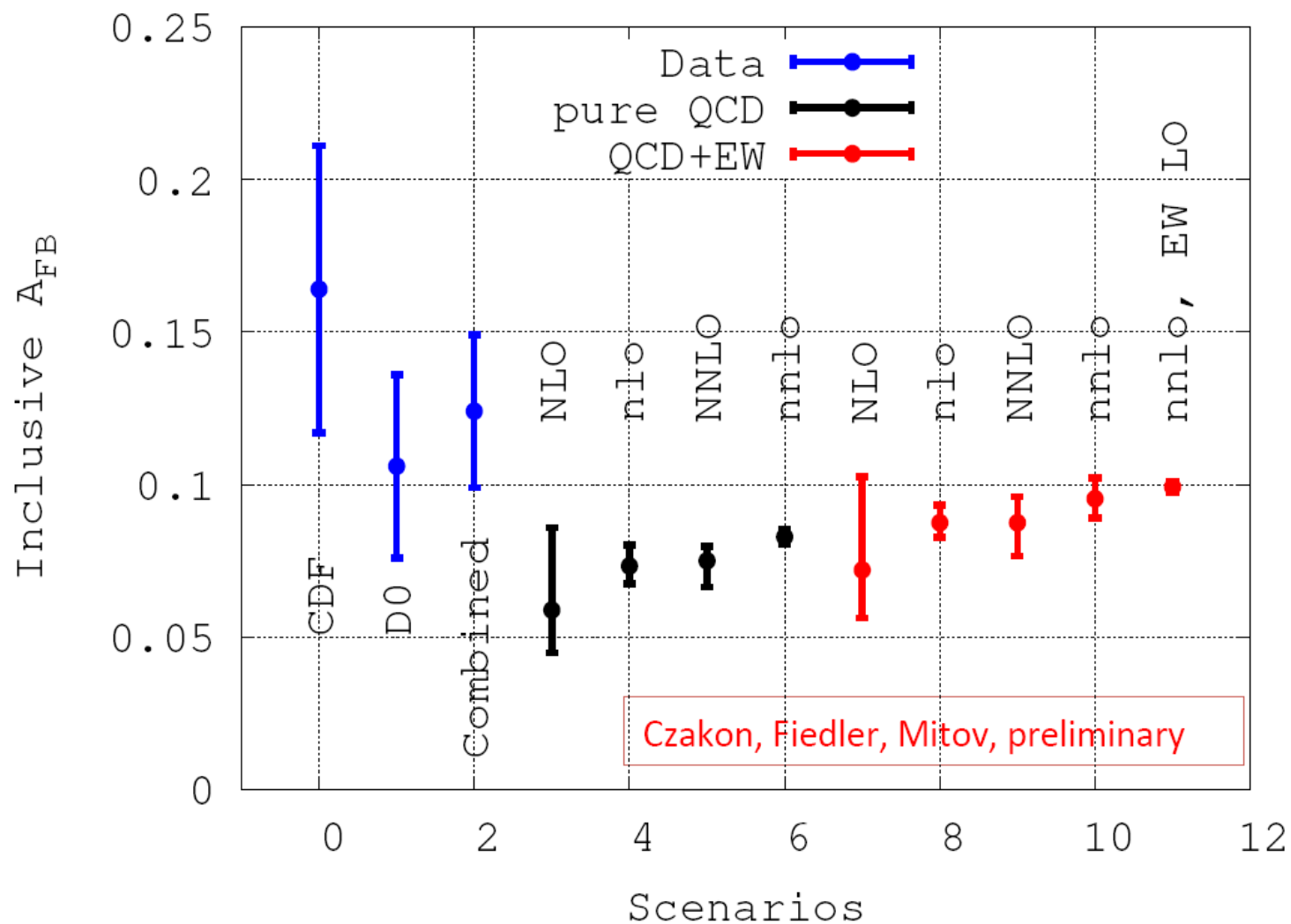
Asymmetry compatible with SM

- Kinematic dependence with $m_{t\bar{t}}$** When considering CDF and D0 recent results → reduced discrepancy with prediction



Working now on the CDF-D0 combination of these results



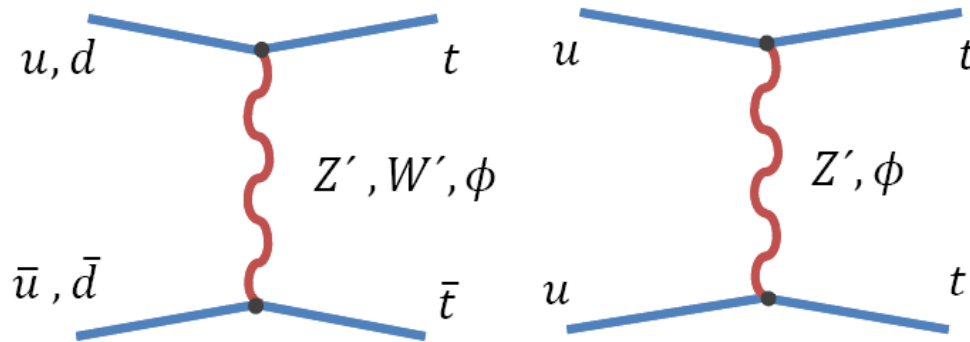


Errors due to scale variation only

New physics in the t-channel

[Jung, Murayama, Pierce, Wells / Cheung, Keung, Yuan / Cao, Heng, Wu, Yang / Barger, Keung, Yu / Cao, McKeen, Rosner, Saughnessy, Wagner / Berger, Cao, Chen, Li, Zhang / Bhattacharjee, Biswal, Ghosh/ Zhou, Wang, Zhu / Aguilar-Saavedra, Perez-Victoria/ Buckley, Hooper, Kopp, Neil / Rajaraman, Surujon, Tait/ Duraisamy, Rashed, Datta, ...]

[Shu, Tait, Wang / Cao, Heng, Wu, Yang / Dorsner, Faifer, Kamenik, Kosnik / Jung, Ko, Lee, Nam. Aguilar-Saavedra, Perez-Victoria / Patel, Sharma / Ligeti, Marques Tavares, Schmalz, ...]



- Because of color algebra a Z' (SM Z) in the s-channel do not interfere with the LO QCD amplitude

- (coloured) scalars do not generate an asymmetry in the s-channel

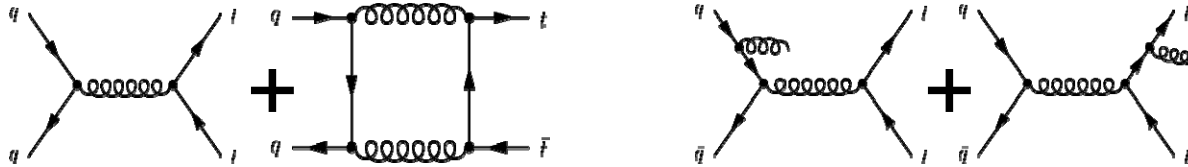
- A sizeable charge asymmetry requires **large flavour violating couplings** [Jung, Murayama, Pierce, Wells]

- Relatively light Z' and/or W' : $O(200-700 \text{ GeV})$, or $O(1 \text{ TeV})$ colored scalars

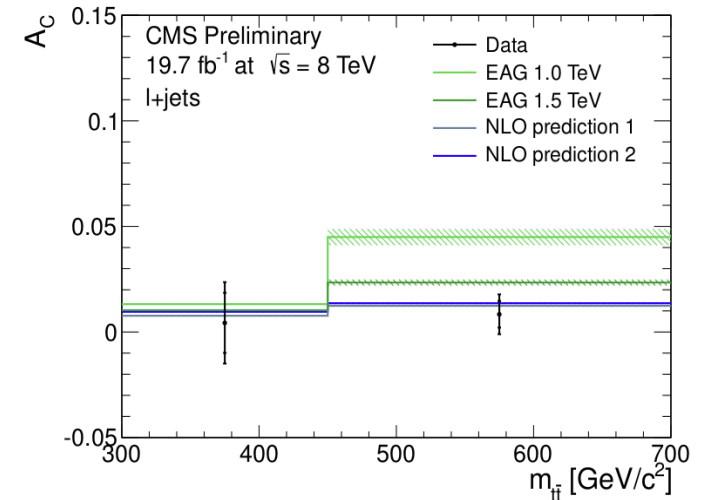
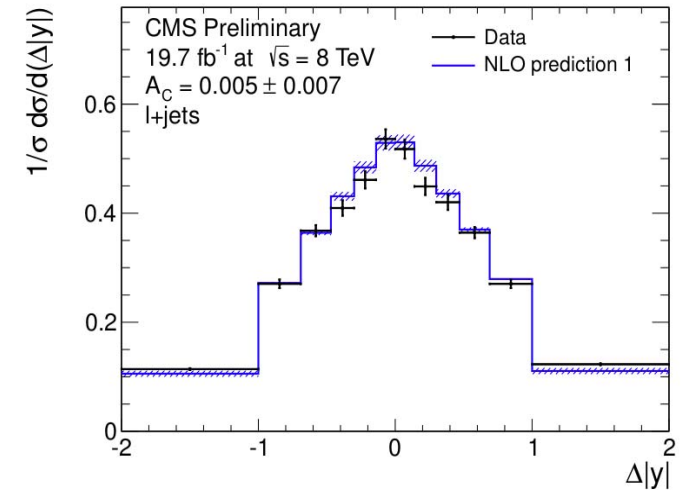
- **like sign $tt + \bar{t}\bar{t}$** , very constrained at Tevatron, and the LHC

Ac in Lepton+jets @ 8TeV

CMS PAS-TOP-12-033



- **e+jets** and **μ+jets** combined
 - Exactly 1 isolated high-pT lepton
 - At least 4 jets with pT > 30 GeV
 - At least 1 of these jets b-tagged
- BG-contamination about 20%
- Sensitive variable $\Delta|y| = y(t) - |y(\text{antitop})|$
- BG-subtraction and **regularized unfolding**
- **Inclusive and differential** (mtt, pTtt, ytt) measurements using **19.7fb-1**



Asymmetry	A_C
Reconstructed	0.003 ± 0.002 (stat.)
BG-subtracted	0.002 ± 0.002 (stat.)
Unfolded	0.005 ± 0.007 (stat.) ± 0.006 (syst.)
Theory prediction [Kühn, Rodrigo] [9, 33]	0.0102 ± 0.0005
Theory prediction [Bernreuther, Si] [34, 35]	0.0111 ± 0.0004

EAG: Effective Axialvector-coupling of the Gluon

At LHC we see no deviation from the Standard Model!



Asymmetries at the LHC

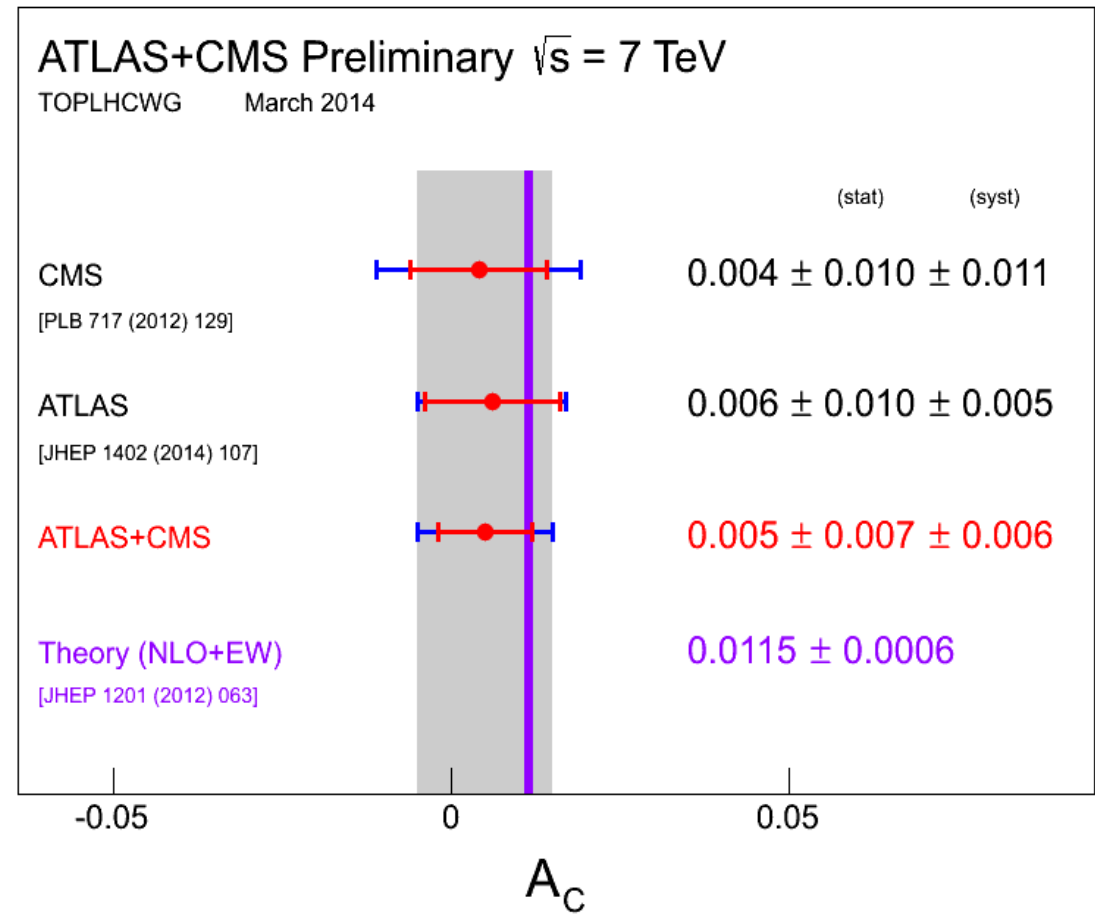


Ac in Lepton+Jets @ 7TeV PAS-TOP-14-006

CHARGE SYMMETRY CMS ATLAS COMBINATION

Combination done within the TOPLHC working group

	ATLAS	CMS	Comb.	Corr.	
A_C	0.006	0.004	0.005	0.058	
Statistical	0.010	0.010	0.007	0	
Uncertainties	Detector response model	0.004	0.007	0.004	0
	Signal model	< 0.001	0.002	0.001	1
	W+jets model	0.002	0.004	0.003	0.5
	QCD model	< 0.001	0.001	0.000	0
	Pileup+MET	0.002	< 0.001	0.001	0
	PDF	0.001	0.002	0.001	1
	MC statistics	0.002	0.002	0.001	0
	Model dependence				
	Specific physics models	< 0.001	*	0.000	0
	General simplified models	*	0.007	0.002	0
Systematic uncertainty	0.005	0.011	0.006		
Total uncertainty	0.011	0.015	0.009		

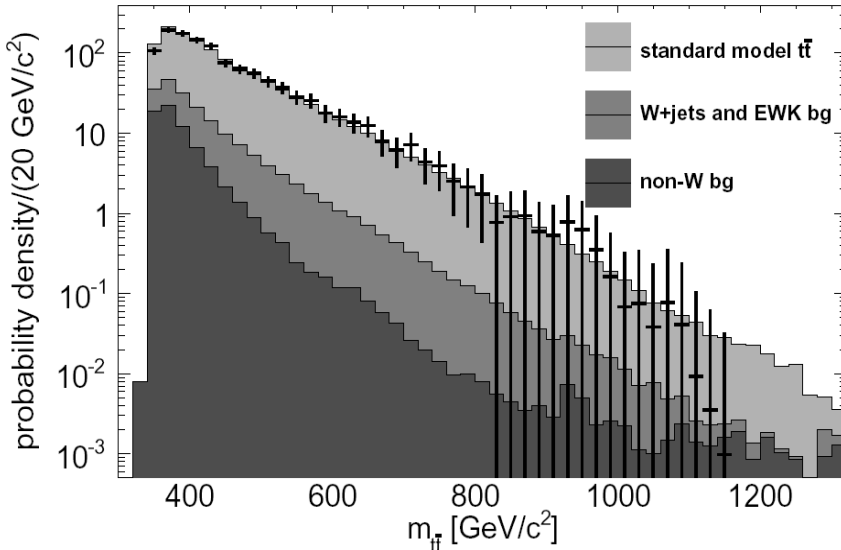


CDF:

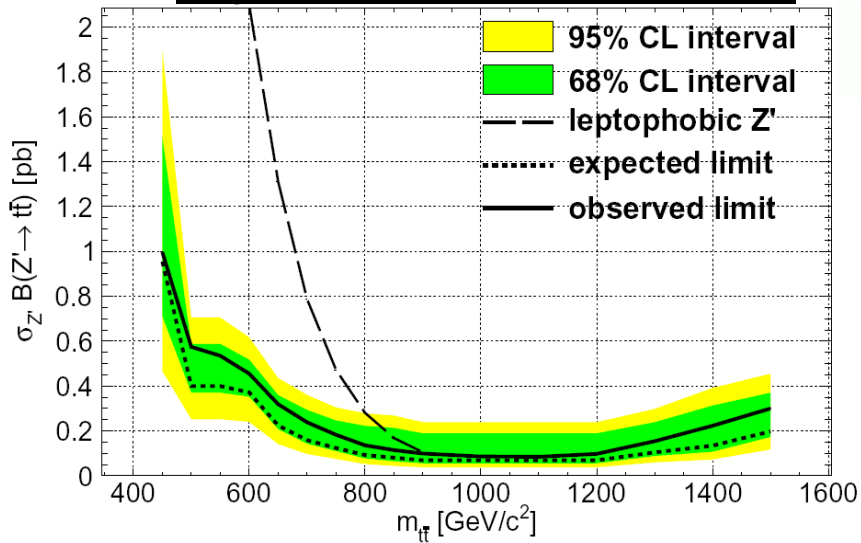
lepton+jets selection, no b-tagging requirements

DØ:

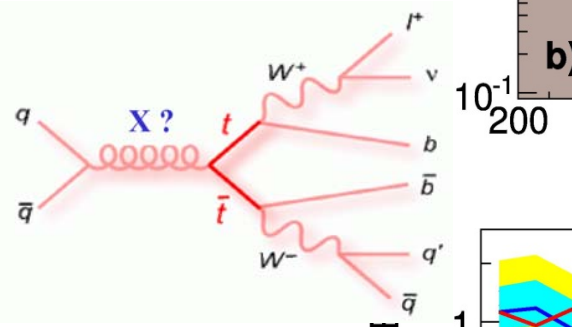
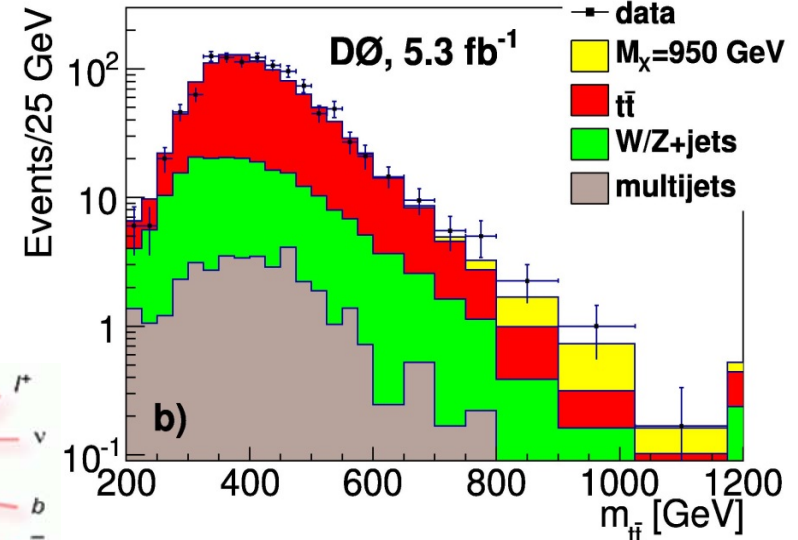
lepton+jets selection, at least one b-tagged jet



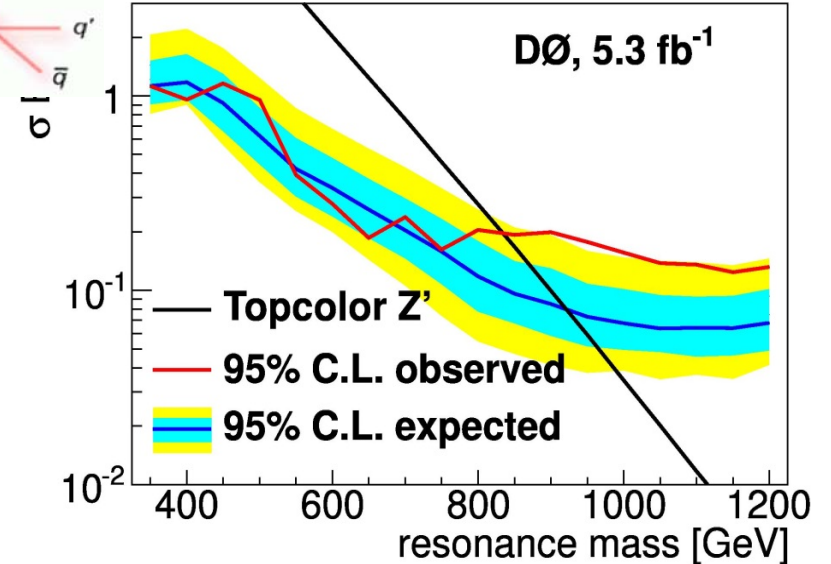
Phys. Rev. D 84, 072004 (2011)



$M_X > 900 \text{ GeV @95\% C.L.}$

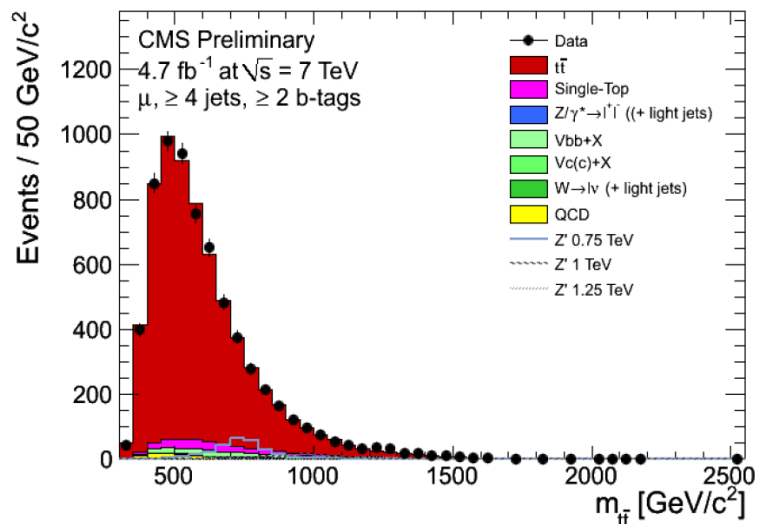


arXiv:111.1271v1

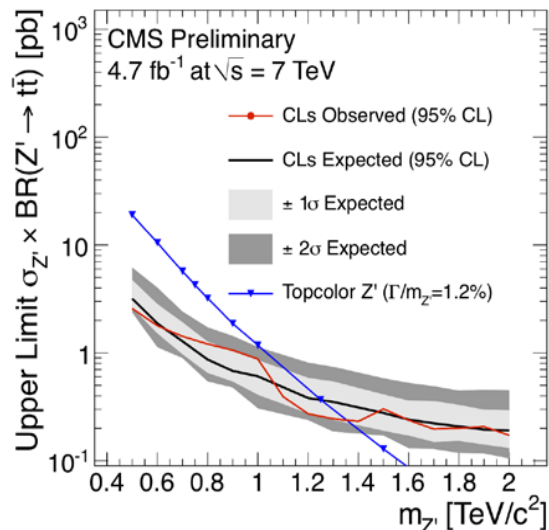


$M_X > 835 \text{ GeV @95\% C.L.}$

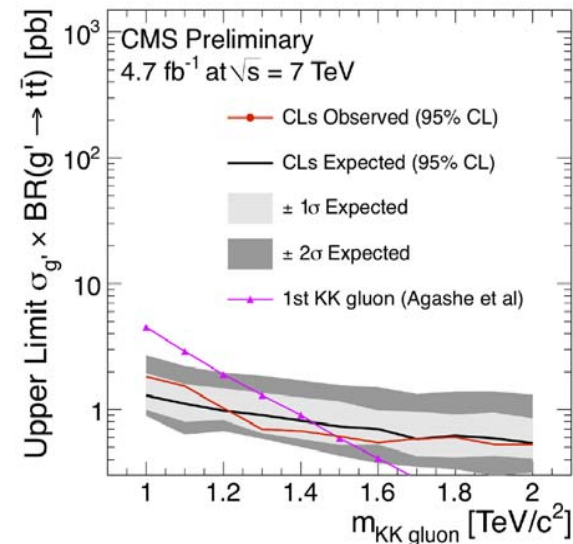
CMS TOP-11-009/010, EXO-11-006/093



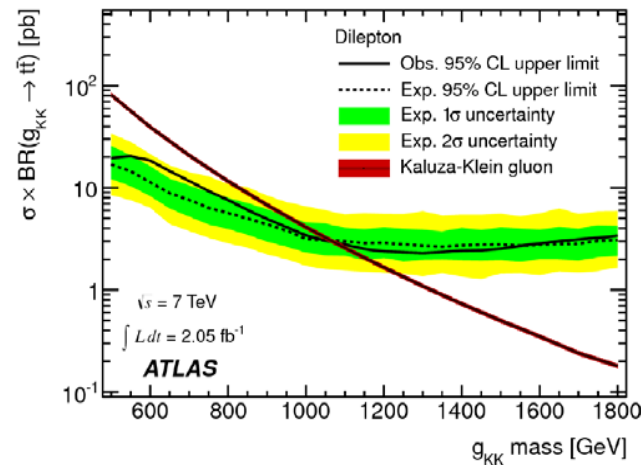
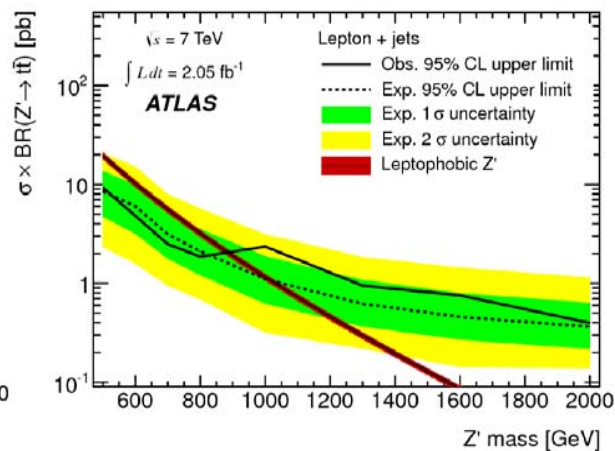
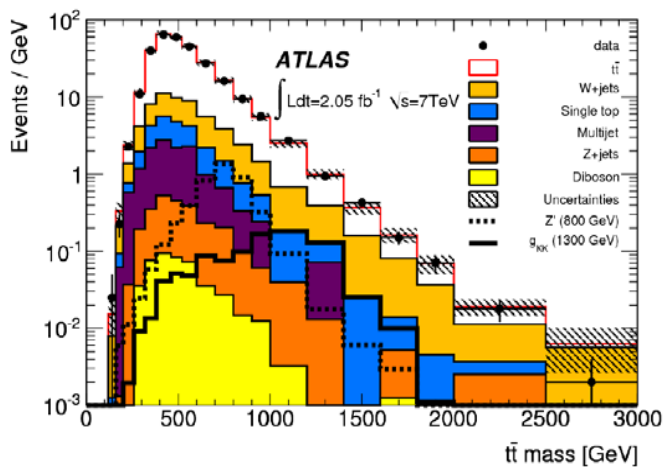
Topcolor Z'



Extra-dimensions



arXiv:1205.5371



So far data compatible with SM up to 1...1.6 TeV

Exclusion limits for gluino-gluino production

