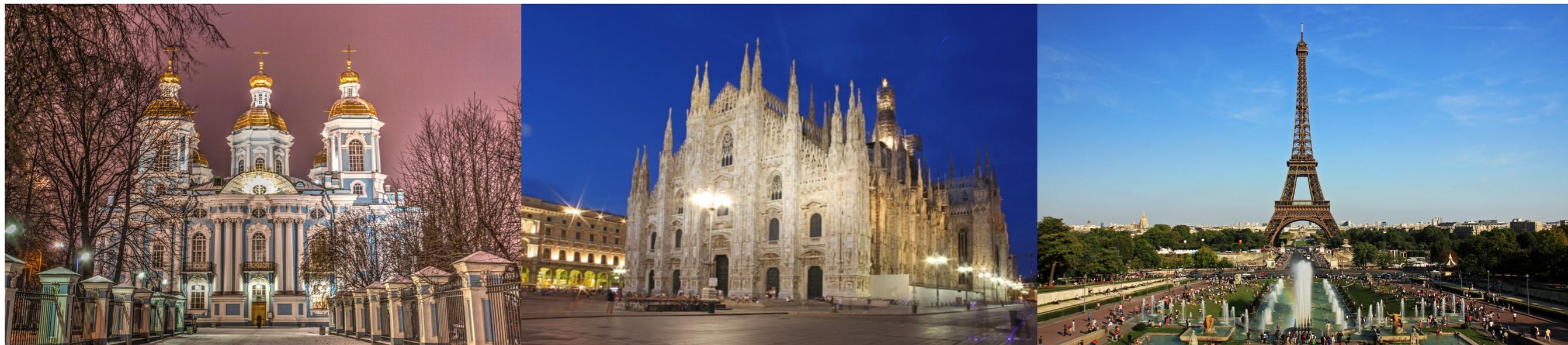
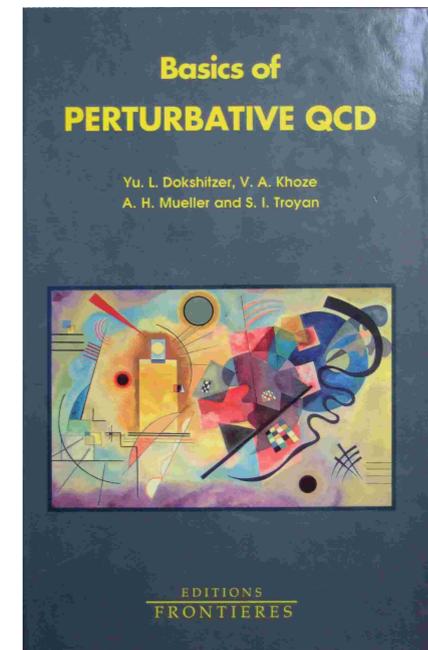


# From event shapes to jets



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CERN, Oxford, ERC



**Yuri's Fest, 18<sup>th</sup> October 2016, Paris**

# Event shapes and jet rates

Collinear and Infrared Safe (CIS) observables describing energy & momentum flow of particles in hard scatterings

$$\left. \begin{array}{l} e^+e^- \\ p\bar{p} \\ \gamma p \end{array} \right\} \rightarrow \text{(hadronic) jets}$$

- ✘ CIS  $\Rightarrow$  computed with high accuracy in QCD  $\Rightarrow$  good measurement of  $\alpha_s$
- ✘ infrared sensitive  $\Rightarrow$  way to study NP physics

**$\rightarrow$  way to test *our* understanding of strong interactions**

## Most known examples

**X Thrust:** longitudinal particle alignment

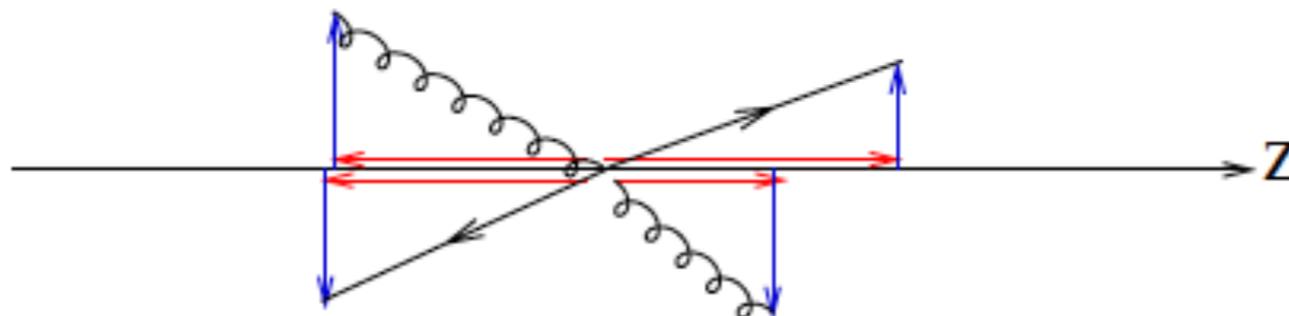
$$\Rightarrow T \equiv \frac{1}{Q} \max_{\vec{n}_T} \sum_i |\vec{p}_i \cdot \vec{n}_T| = \frac{1}{Q} \sum_i |p_{iz}|$$

**X Broadening:** transverse size of jets

$$\Rightarrow B \equiv \frac{1}{2Q} \sum_i |\vec{p}_i \times \vec{n}_T| = \frac{1}{2Q} \sum_i |\vec{p}_{it}|$$

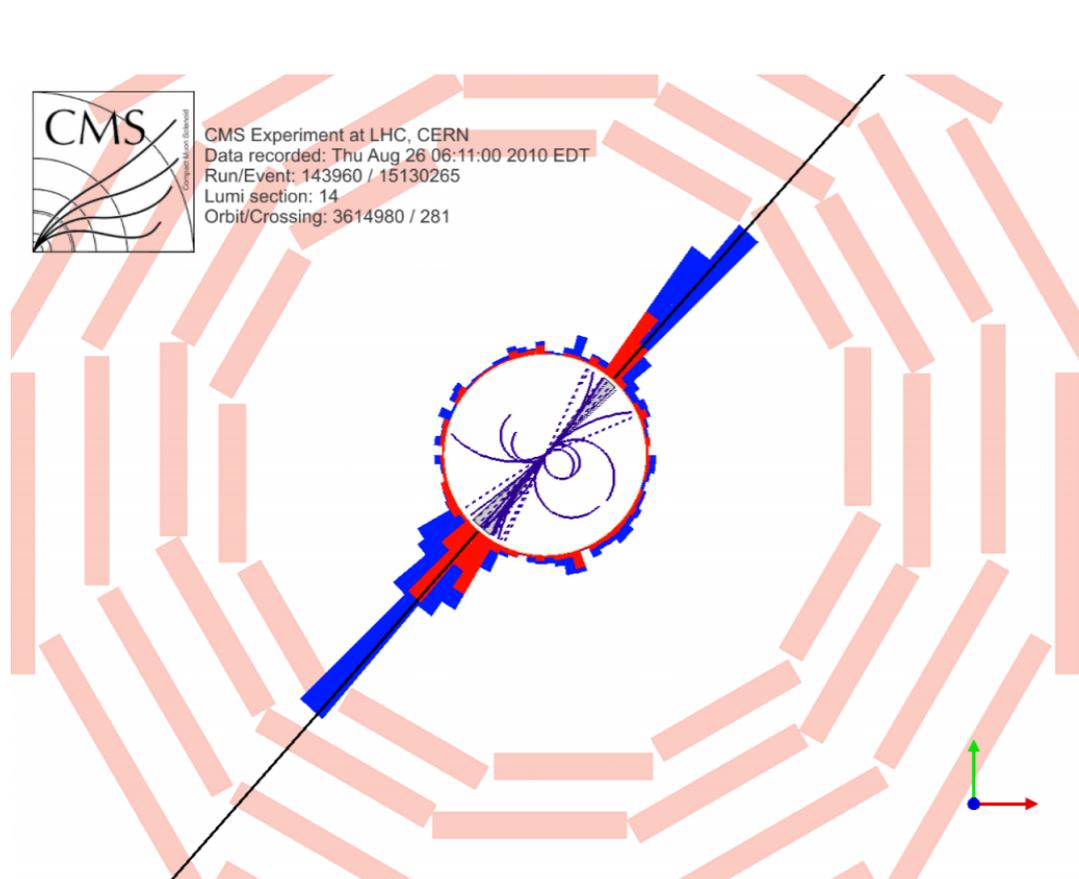
$p_z$  : Thrust

$p_t$  : Broadening

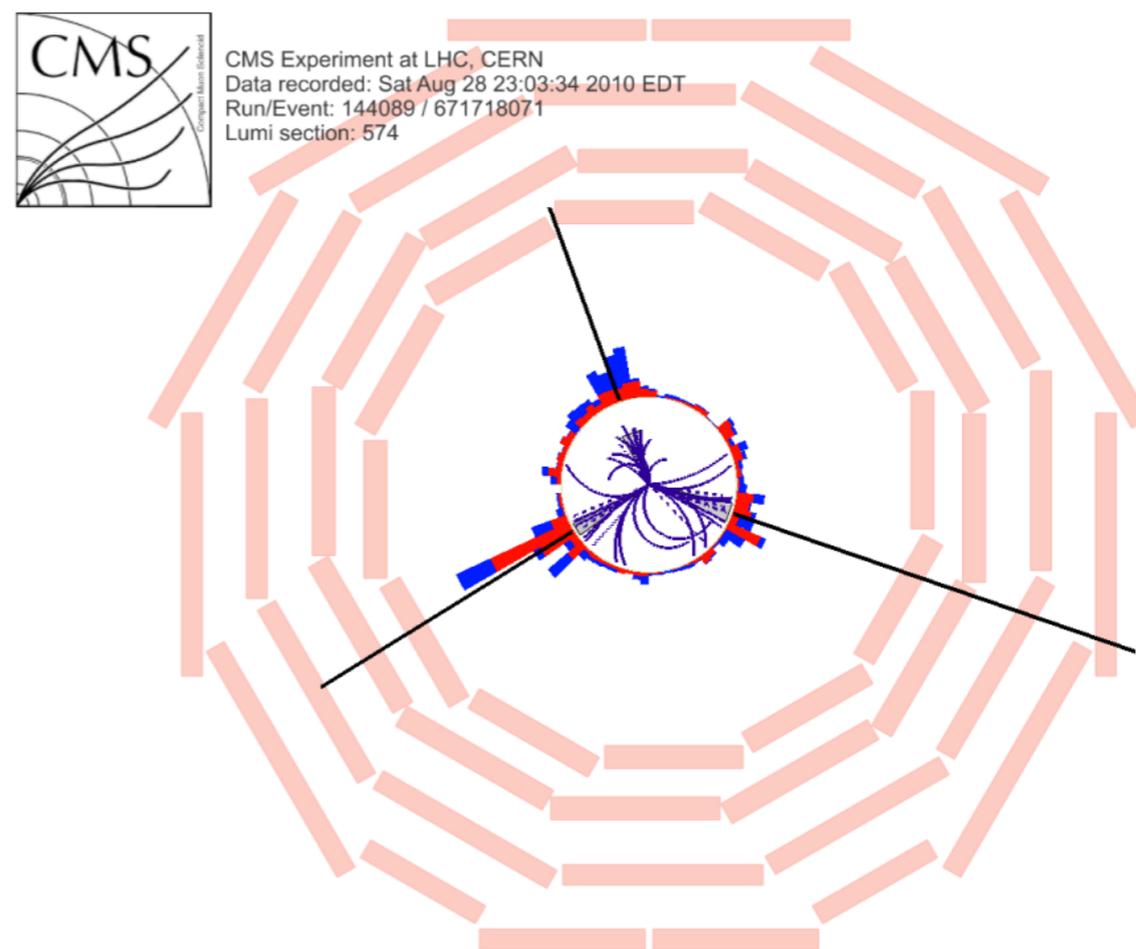


# Event shapes measure shapes of events

Example: thrust<sup>(\*)</sup>, standard to define  $\tau \equiv 1 - T$



$$\tau \ll 1$$



$$\tau \sim 1$$

(\*) images refer to transverse thrust as measured today at the LHC

**X**  $\tau \sim \mathcal{O}(1)$  inclusive region

observable sensitive to the total energy & momentum flow, not to the destiny of single partons

**X**  $\tau \rightarrow 0$ : less inclusive region

the mismatch between R-V emphasised, IR divergences cancel, but **large *log-enhanced*** contributions appear

$\Rightarrow$  the expansion parameter is not  $\alpha_s \ll 1$ , but  $\alpha_s \ln \tau \sim 1$

$\Rightarrow$  one must resum all terms  $(\alpha_s \ln \tau)^n$  at any order

**☞** In spite of  $\alpha_s \ll 1$  quarks and gluons willingly multiply giving rise to a bulk of soft and collinear emissions!

Resummation achieved via factorization of

✗ Matrix element and phase space  $\Rightarrow$  Independent emission  
(OK for global CIS quantities only!)

NB: use of an independent emission approximation at single-logarithmic order not trivial

$$dw_n = \frac{1}{n!} \prod_i [dk_i] w(k_i) \quad w(k) = \frac{\alpha_s(k_t^2)}{\pi k_t^2} \text{ (2-loop)}$$

✗ Observable  $\Rightarrow$  Mellin transform  $(\theta(x) = \int \frac{d\nu}{2\pi i\nu} e^{\nu x})$

$$\theta(V - f_v) = \int \frac{d\nu}{2\pi i\nu} e^{\nu V} \prod_i \underbrace{e^{-\nu v(k_i)}}_{u(k_i)}$$

source function  
(obs. dependent)

Event shapes **exponentiate**  $\Leftrightarrow \ln \Sigma$  is free of  $\alpha_s^n L^m$  with  $n + 1 < m \leq 2n$  (while they appear in  $\Sigma$ )

$$\Sigma(V) = e^{Lg_1(\alpha_s L) + g_2(\alpha_s L) + \alpha_s g_3(\alpha_s L) + \dots} \quad L = \ln \frac{1}{V}$$

**X LL approximation:**  $g_1 \Leftrightarrow \alpha_s^n L^{n+1}$  in  $\ln \Sigma$ .

✌ soft and collinear emissions

✌ leading running of the coupling effects ( $\beta_0$ )

**X NLL approximation:** Includes  $g_2 \Leftrightarrow \alpha_s^n L^n$  in  $\ln \Sigma$ )

✌ soft, large angle emissions

✌ hard, collinear radiation

✌ subleading running of the coupling effects ( $\beta_1$ )

✌ multiple emission effects (recoil, Mellin transform)

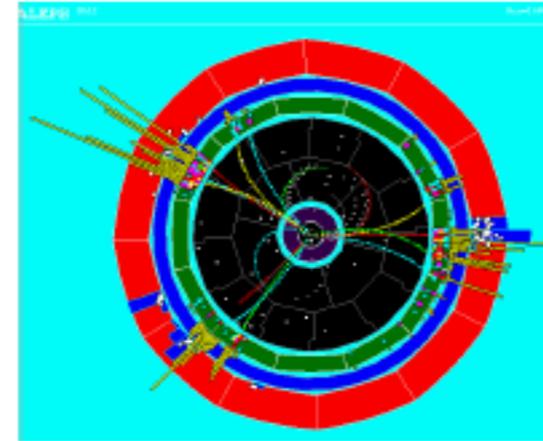
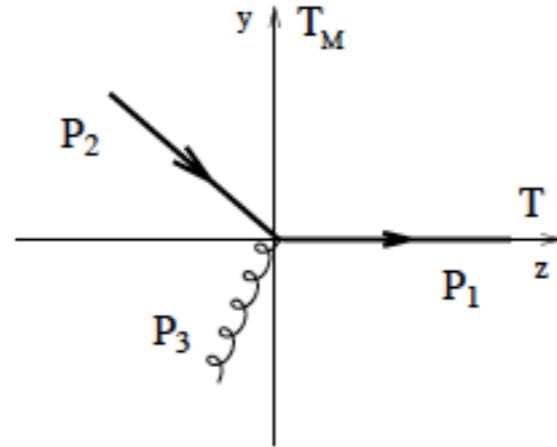
- ✗ till recently this accuracy reached only in **2-jet** event shapes, since they constitute the **bulk of events** ( $\Rightarrow$  rich phenomenology!)
- ✗ but in **multi-jet events** the real essence of QCD is probed more deeply  $\Leftrightarrow$  **coherence effects** become crucial

## Yuri's vision of the importance of multi jet events

AIM: Extend the state-of-the-art to 3-jet event shapes

- ✗ 3-jet in  $e^+e^-$ : thrust-minor and D-parameter ✓
- ✗ 3-jet events in  $pp$  and DIS: out-of-plane radiation ✓

$$e^+e^- \rightarrow q\bar{q}g$$



$$e^+e^- \rightarrow \underbrace{q\bar{q}g}_{\text{partons}} \underbrace{k_1 \dots k_n}_{\text{hadrons}} \quad (\Rightarrow \text{hadrons})$$

✗ **D-parameter:** determinant of the momentum tensor  $\theta_{\alpha\beta}$

$$D \equiv 27 \det \theta \simeq 27 \lambda_1 \lambda_2 \sum_i \frac{k_{ix}^2}{\omega_i Q} \quad \text{damped in rapidity}$$

Banfi, Dokshitzer Marchesini, GZ, hep-ph/0104162

✗ **Thrust-minor:** out-of-event-plane momenta

$$T_m Q \equiv \sum_h |p_{hx}| \equiv K_{\text{out}} \quad \text{uniform in rapidity}$$

BDMZ, hep-ph/0004027, hep-ph/0010267, hep-ph/0101205

## *PT results for the thrust-minor*

$$\Sigma(K_{\text{out}}) = \underbrace{e^{-R(K_{\text{out}})}}_{DL} \cdot \underbrace{\mathcal{F}(K_{\text{out}})}_{SL}$$

**X** DL-contributions:  $R(K_{\text{out}}) = \sum_{a=1}^3 C_a r(K_{\text{out}}, Q_a)$

$$r(K_{\text{out}}) = \int_{K_{\text{out}}}^{Q_a} \frac{dk_x}{k_x} \frac{\alpha_s(2k_x)}{\pi} \ln \frac{Q_a^2}{k_x^2} \quad Q_a^2 = \frac{p_{ta}^2 e^{-g_a}}{4}$$

$C_a = C_F, C_A$ : colour charge of parton # $a$

➔ contribution from each parton singled out

# *PT results for the thrust-minor*

**X** SL-corrections:

- ❖ argument of the coupling  $\alpha_s(2k_x)$
- ❖ hard part of the splitting functions  $g_a = -3/2, -\beta_0/2N_C$
- ❖ geometry dependence in hard momentum scales  $p_{ta}$
- ❖ hard parton recoil in SL-function  $\mathcal{F}$

$\mathcal{F}$  to first order in  $\alpha_s$  (one gluon emission):

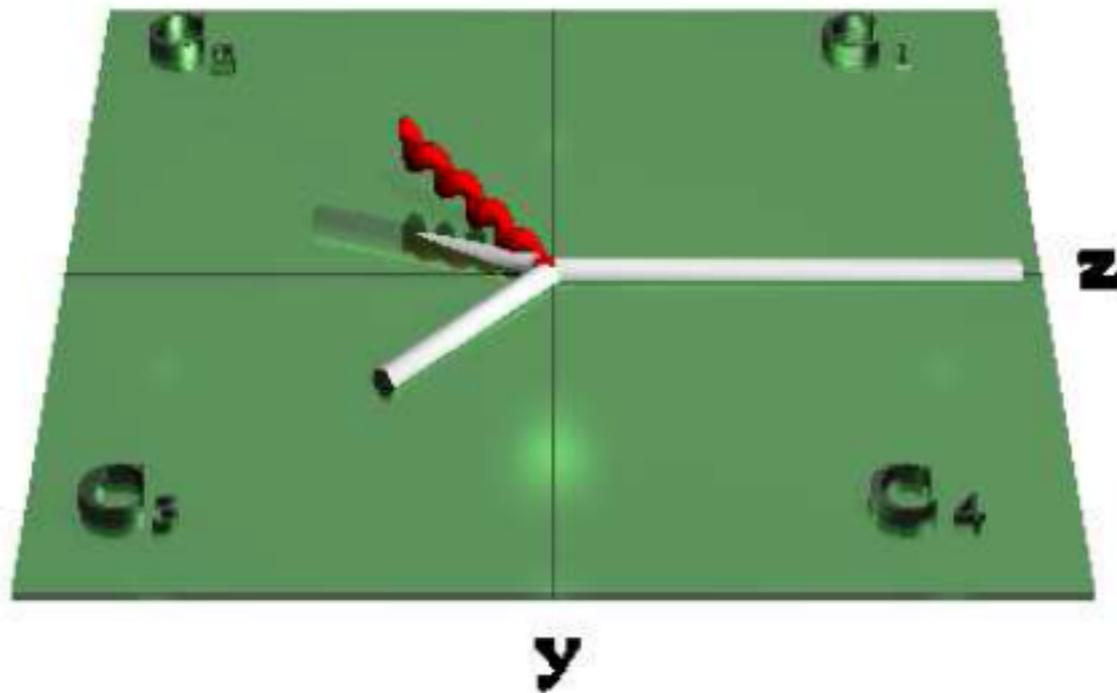
$$\mathcal{F}(K_{\text{out}}) = 1 - \frac{2\alpha_s}{\pi} \ln \frac{Q}{K_{\text{out}}} (2C_1 + C_2 + C_3) \ln 2$$

➔ contribution from the parton #1 along  $\vec{n}_T$  is **doubled**.

**Why???**

$\mathcal{F}$  depends crucially on the kinematics

# Event plane kinematics & constraints



Emission from parton #2:  
(Similar for parton #3)

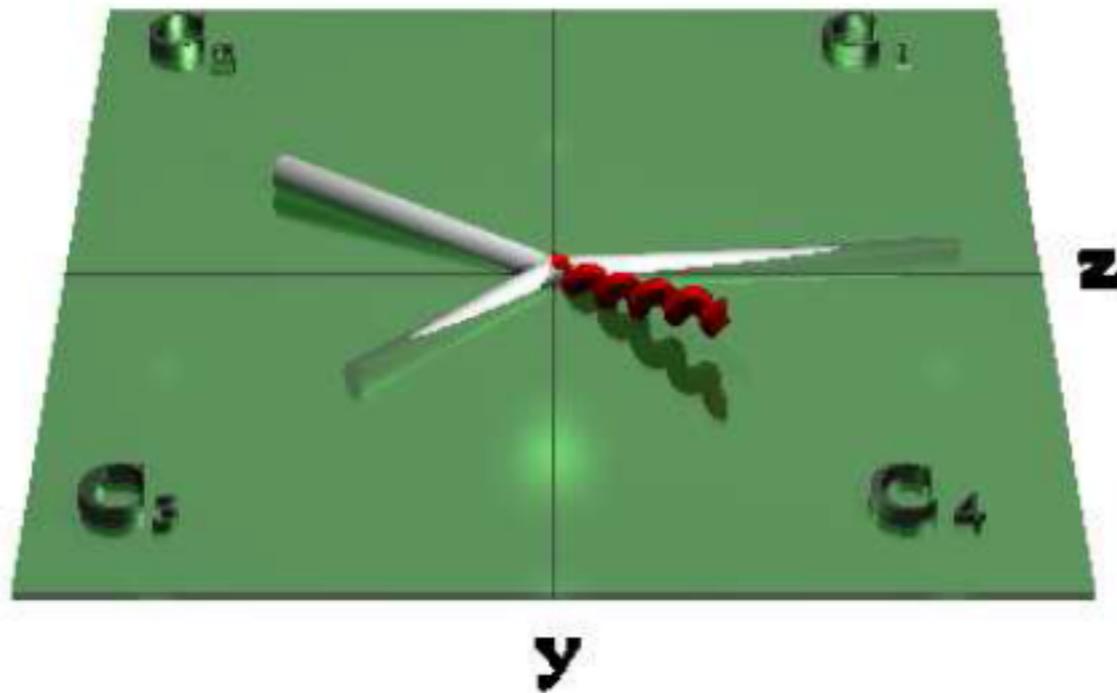
$$|q_{2x}| = |k_x|$$

$$|q_{1x}| = |q_{3x}| = 0$$

$$K_{\text{out}} = 2 \cdot |k_x|$$

$$\rightarrow \frac{d\sigma}{\sigma d \ln K_{\text{out}}} \sim C_2 \frac{\alpha_s}{\pi} \ln \frac{Q}{|k_x|} \sim C_2 \frac{\alpha_s}{\pi} \ln \frac{2Q}{K_{\text{out}}}$$

# Event plane kinematics & constraints



Emission from parton #1:

$$|q_{1x}| = |q_{2x}| = |q_{3x}| = |k_x|$$

$$K_{\text{out}} = 4 \cdot |k_x|$$

$$\rightarrow \frac{d\sigma}{\sigma d \ln K_{\text{out}}} \sim C_1 \frac{\alpha_s}{\pi} \ln \frac{Q}{|k_x|} \sim C_1 \frac{\alpha_s}{\pi} \ln \frac{4Q}{K_{\text{out}}}$$

# Follow-up of work with Yuri

Understanding the structure of single logarithmic corrections in a generic way allowed us to formulate a semi-numerical method to resum generic event-shapes and jet-rates at NLL



**IDEA:**

Banfi, Salam, GZ *JHEP* 01 018 (2002)

relate SL effects due to multiple emissions to the given observable  $V$  the resummation of a 'simple' reference observable  $V_s$  which

✗ has the same DL structure

✗ factorizes trivially

Natural choice:

$$V = \sum_i V(k_i) \quad \Rightarrow \quad V_s = \max[V(k_1), \dots, V(k_n)]$$

# Follow-up of work with Yuri

$$\Sigma(v) = \Sigma_s(v)\mathcal{F}(R')$$

All non trivial multiple effects embodied in  $\mathcal{F}$ !

Since  $\mathcal{F}$  depends on the kinematics, exploit the fact that doing the kinematics numerically is much easier than analytically

---

Extension to full numerical resummation.

**CAESER** (Computer Automated Expert Semi-Numerical Resummer)

- determines basic properties of the observable, in particular whether it can be resummed
- determines the input to the master resummation formula
- evaluation of formula

# Follow-up of work with Yuri

For the observable to be resummed automatically it should

- ✗ be infrared and collinear safe
- ✗ vanish in the Born limit
- ✗ exponentiate (JADE excluded)
- ✗ be continuously global ( $a_\ell = a \ \forall$  hard legs  $\ell$ )
- ✗ behave as  $V(k) \simeq d_\ell \left(\frac{k_t}{Q}\right)^{a_\ell} e^{-b_\ell \eta} g_\ell(\phi)$  for 1 SC gluon along leg  $\ell$
- ✗ satisfy minor (technical) requirements

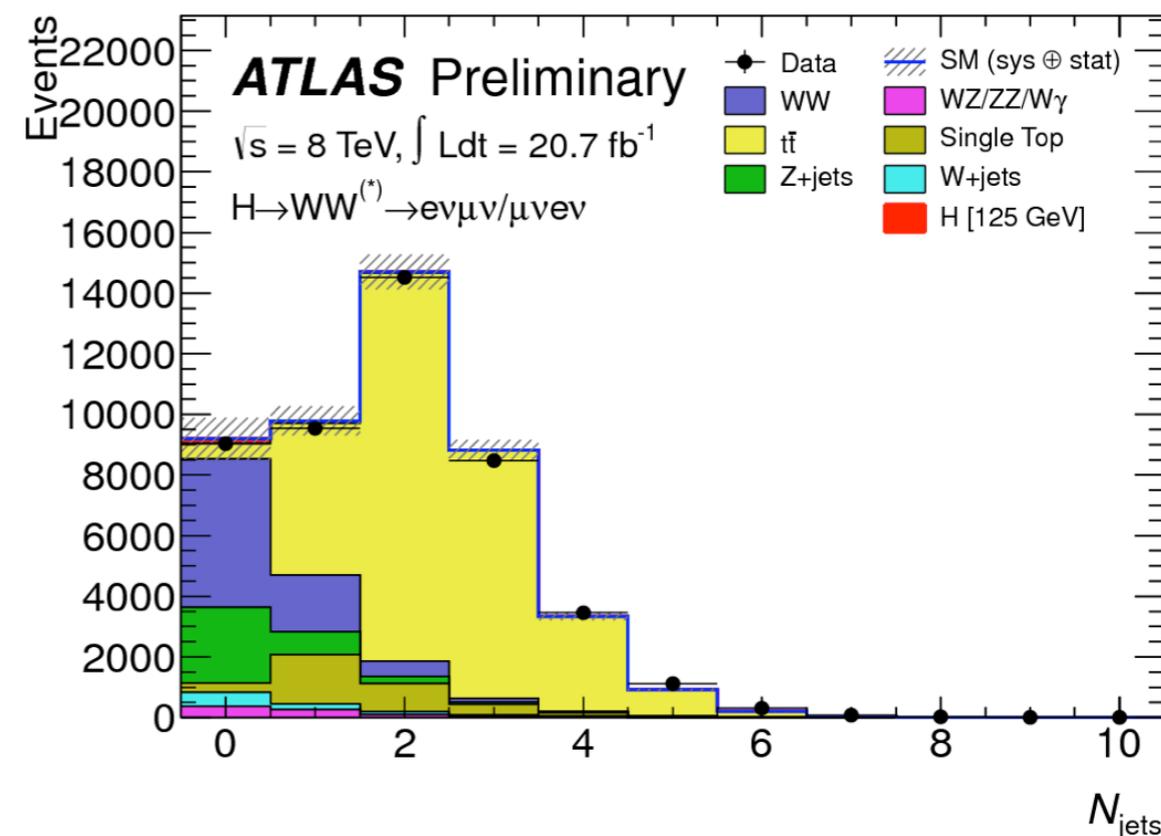
While this might seem a long list

- ☛ practically the **limiting condition** is the requirement of **globalness**  
(all other conditions are satisfied by all observables resummed so far)
- ☛ an essential feature of the program is the **ability to perform all checks automatically**  
and to resum the observable only if **correctness of the result is guaranteed at NLL**
- ➡ **Observables beyond the scope of the program are outwith our current understanding!**

# Resummation for the jet-veto

Maybe most important application of CAESAR framework today:  
**resummation for jet-veto in Higgs production**

NB: jet-veto critical in Higgs production to remove large background from top production that gives rise to many jets



- The NLL difference between  $V$  and  $V_s$  comes from the region where emissions are well-separated in rapidity (angular ordering)
- In this limit, each emission leads to a jet, so, for the jet-veto,  $V = V_s$
- This implies that there are no multiple emission effects, i.e. that the resummation for the jet veto at NLL is a pure Sudakov form factor

# Resummation for the jet-veto

The NLL resummed result takes the very simple form

$$\Sigma_{\text{NLL}} = \mathcal{L}_{\text{pp}}(p_{\text{t,veto}}) |M_{\text{Born}}|^2 e^{-R(p_{\text{t,veto}})}$$

Drell Yan:

$$\mathcal{R}_Z \propto C_F \alpha_s \ln^2 \frac{M_Z}{p_{\text{t,veto}}}$$

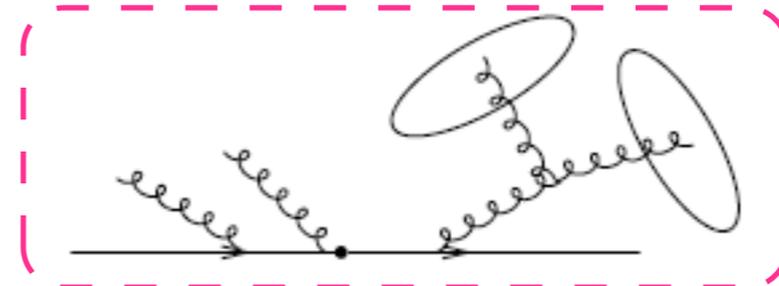
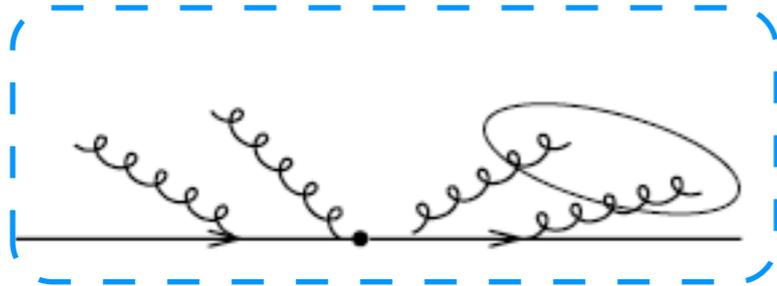
Higgs production

$$\mathcal{R}_Z \propto C_A \alpha_s \ln^2 \frac{M_H}{p_{\text{t,veto}}}$$

Since the NLL result is so simple, natural to wonder about NNLL

# Resummation for the jet-veto

NNLL dependence on jet-radius has only two sources: clustering of **independent emissions** that are clustered together or **correlated emissions** that end up in different jets



$$\Sigma^{(J)}(p_{t,\text{veto}}) = \mathcal{L}_{gg}(p_{t,\text{veto}}) |\mathcal{M}_B|^2 e^{-R(p_{t,\text{veto}})} \delta\mathcal{F}$$

Sudakov form-factor  
and parton luminosities:  
identical to boson  $p_t$   
resummation

Multiple soft-collinear  
real emissions: includes  
the dependence on jet-  
radius

# JetVeto: latest results

Jet-veto predictions including

✓  $N^3$ LO corrections to inclusive cross-section

Anastasiou et al '15

✓ NNLO corrections to  $H + 1$  jet

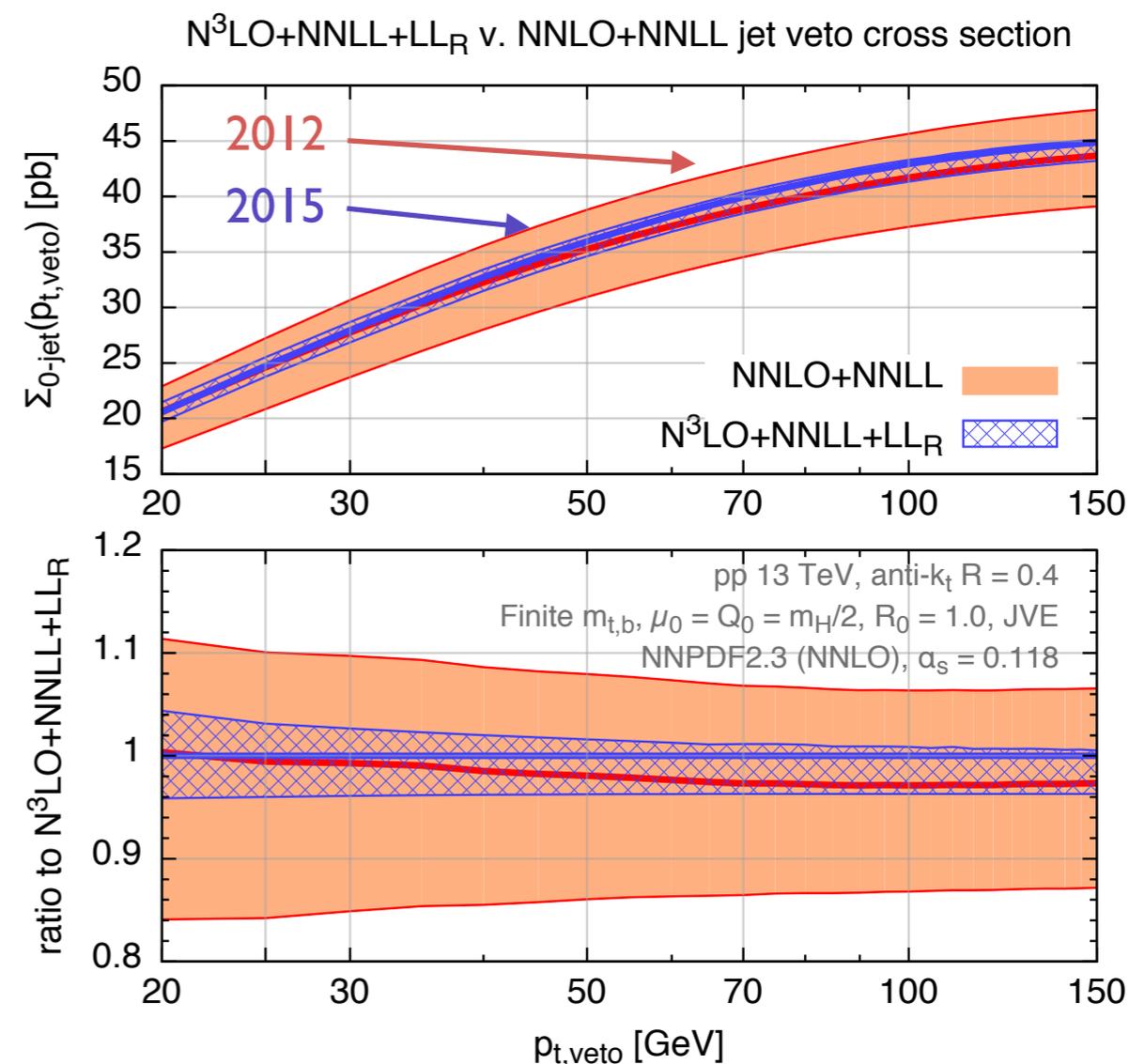
Caola et al '15

✓ mass corrections

Banfi, Monni, GZ et al '13

✓ resummation of logarithms of (small) jet-radius

Dreyer et al '14



Few percent theory error (considerable reduction in the last years)

Banfi, Caola, Dreyer, Monni, Salam, GZ, Dulat '15

# Generic NNLL resummation?

Understanding that one can “correct” a NLL result (for the jet-veto) to include NNLL corrections lead us to think if one can compute generic NNLL resummations following the same spirit

**ARES** (Automated Resummation): a NNLL resummation for e+e- event shapes can be computed from a NLL one (from CAESAR) by including the following effects

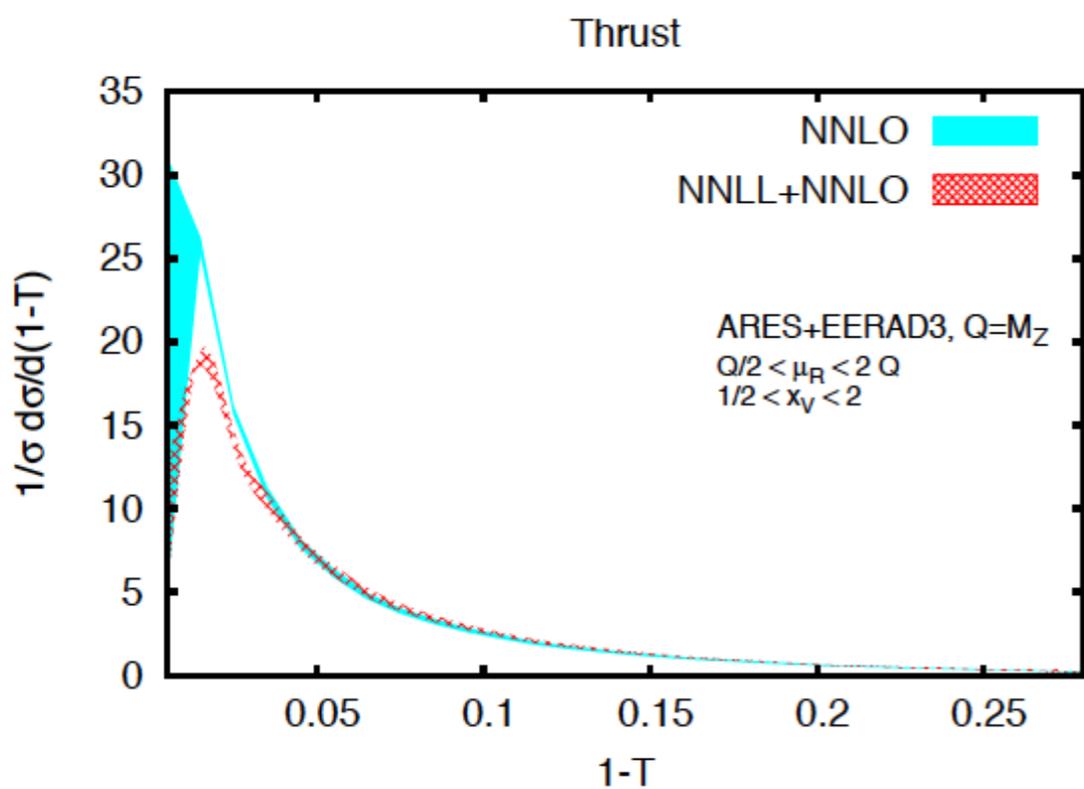
- soft-collinear correction
- hard-collinear correction
- recoil correction
- soft wide-angle correction
- soft correlated correction

Banfi, McAslan, Monni, GZ '14

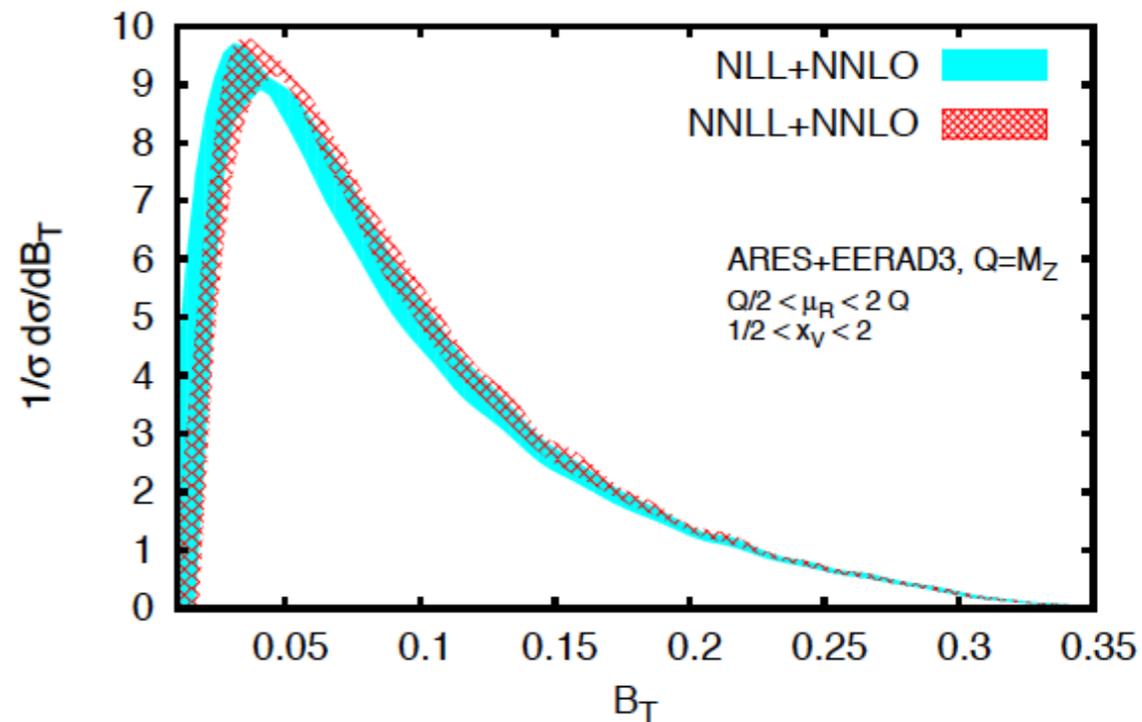
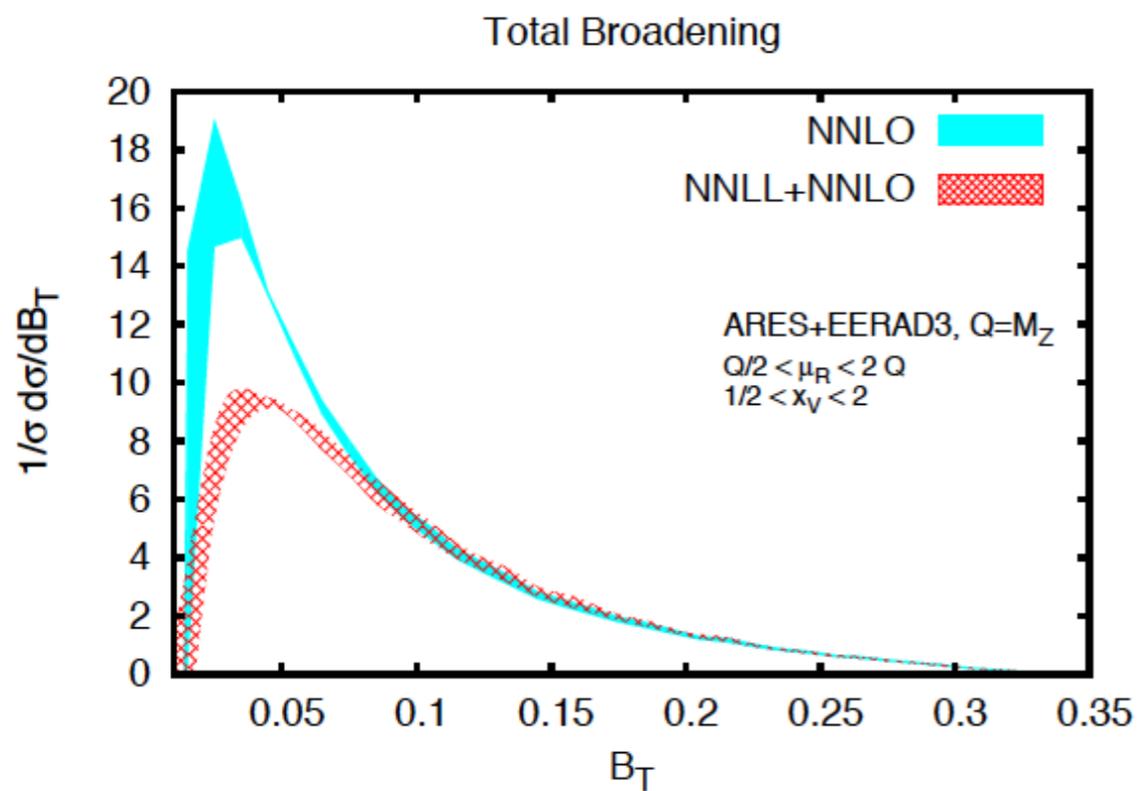
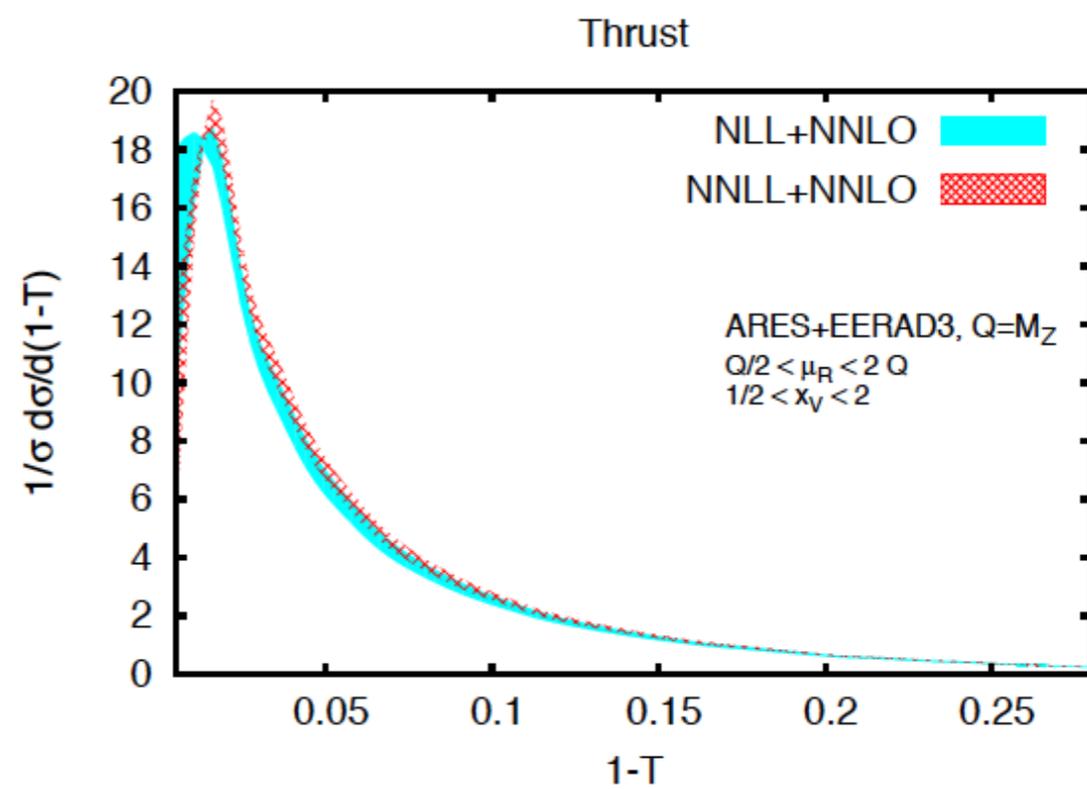
Each “corrections” lifts an approximation done at NLL, and is computed as a difference between the expression without approximation and the NLL approximated result, hence it is finite

# Sample results

## Comparison to NNLO



## Comparison to NLL+NNLO



# NNLL predictions for $y_3$

Two-jet rate defined through a clustering based on an angular distance  $v_{ij}$  and a test variable  $y_{ij}$ . For the **Durham** algorithm the two coincide

$$y_{ij}^{(D)} = v_{ij}^{(D)} = 2 \frac{\min\{E_i, E_j\}^2}{Q^2} (1 - \cos \theta_{ij})$$

Catani, Dokshitzer, Olsson, Turnock, Webber '91

In the **Cambridge** algorithm

$$y_{ij}^{(C)} = y_{ij}^{(D)}, \quad v_{ij}^{(C)} = 2 (1 - \cos \theta_{ij})$$

Select the pair with the smallest  $v_{ij}$ . If  $y_{ij} < y_{\text{cut}}$ , then the two particles are recombined, otherwise the softer becomes a jet (**soft freezing mechanism**)

Dokshitzer, Leder, Moretti, Webber '97

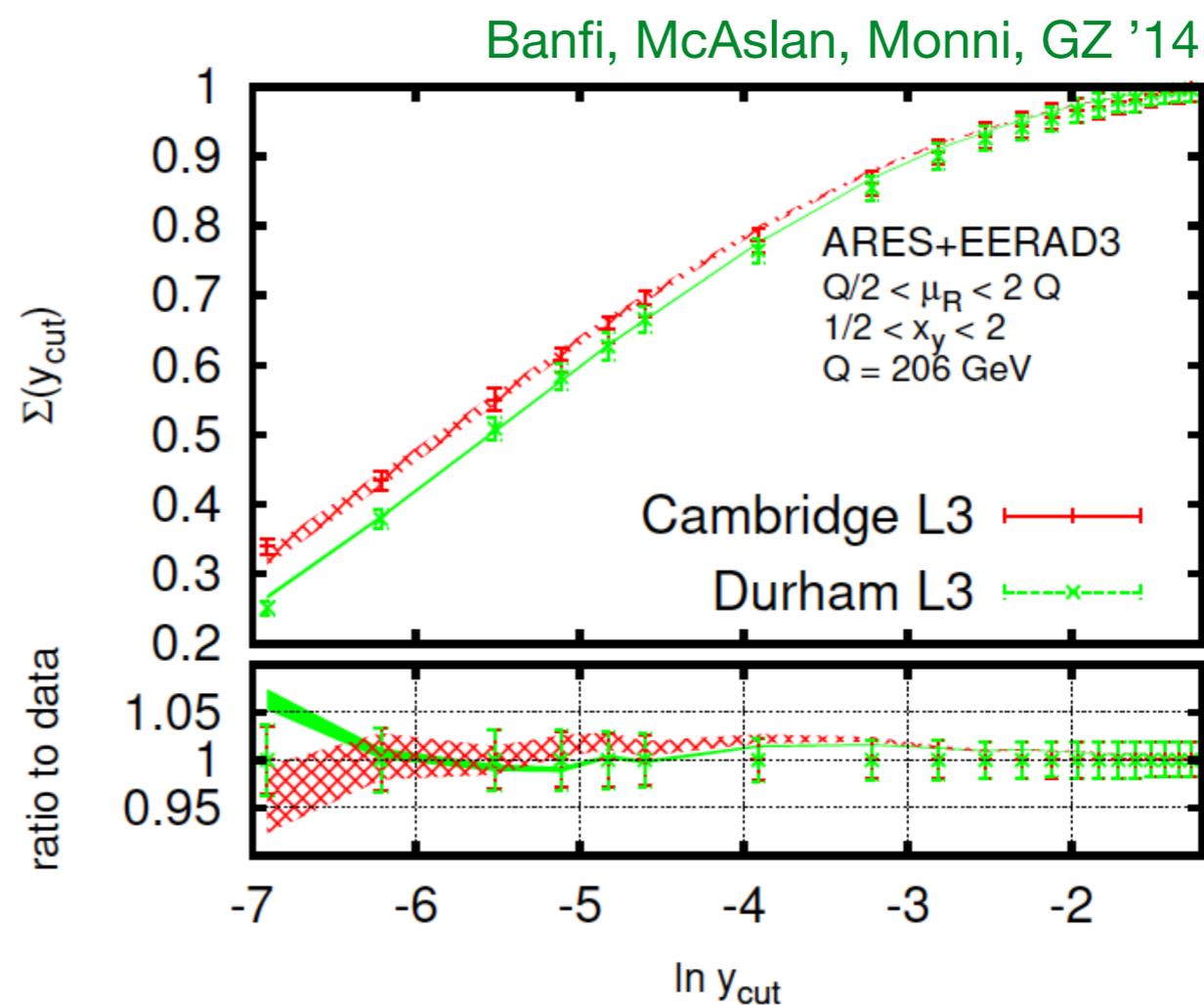
# NNLL predictions for $y_3$

Jet-rates notably more difficult than even shapes: observable depends on whether emissions are with respect to each other

For jet rates a new element arises: two independent emissions can cluster into the same jet (new clustering corrections appear)

## Comparison to LEP data

Next: new global fit of  $\alpha_s$  based on NNLL+NNLO predictions from event shapes and jet rates



# Conclusion

**Work with Yuri left a profound imprint on my research. Many of Yuri's visionaries ideas affect my research today**

- independent emission picture
- new jet-algorithm for which accurate predictions are possible
- idea to exploit multi-jet events to explore region in between jets and to understand general patterns of QCD radiation in complicated environments

I sometimes think back of the years during my master/PhD when Pino, Yuri, Gavin and Andrea were in Milano. As a student, I entered a new world, and I assumed that that was a normal work environment. Only later I realised how lucky and privileged I was to come across such a remarkable combination of physicists

We are here to celebrate Yuri, Pino is here with us too, in our hearts

# True synergy

## 1. Monte Carlo and large angle gluon radiation

Yu.L. Dokshitzer (Paris, LPTHE & CERN), G. Marchesini (Milan Bicocca U. & INFN, Milan Bicocca U.)  
Published in *JHEP* **0903 (2009) 117**  
DOI: [10.1088/1126-6708/2009/03/117](https://doi.org/10.1088/1126-6708/2009/03/117)  
e-Print: [arXiv:0809.1749 \[hep-ph\]](https://arxiv.org/abs/0809.1749) | [PDF](#)  
[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)  
[CERN Document Server](#); [ADS Abstract Service](#)  
[Detailed record](#) - Cited by 17 records

## 2. Twist 3 of the $sl(2)$ sector of $N=4$ SYM and reciprocity respecting evolution

M. Beccaria (Lecce U. & INFN, Lecce), Yu.L. Dokshitzer (Paris, LPTHE & Ecole Normale Supérieure)  
Published in *JHEP* **0707 (2007) 016**  
DOI: [10.1088/1126-6708/2007/07/016](https://doi.org/10.1088/1126-6708/2007/07/016)  
e-Print: [hep-th/0611149](https://arxiv.org/abs/hep-th/0611149) | [PDF](#)



## 6. Hadron collisions and the fifth form-factor

Yu.L. Dokshitzer (Paris, LPTHE), G. Marchesini (Paris, LPTHE & Milan Bicocca U. & INFN, Milan Bicocca U.)  
Published in *Phys.Lett.* **B631 (2005) 118-125**  
BICOCCA-FT-05-20, LPTHE-05-21  
DOI: [10.1016/j.physletb.2005.10.009](https://doi.org/10.1016/j.physletb.2005.10.009)  
e-Print: [hep-ph/0508130](https://arxiv.org/abs/hep-ph/0508130) | [PDF](#)  
[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)  
[ADS Abstract Service](#)  
[Detailed record](#) - Cited by 24 records

## 7. On large angle multiple gluon radiation

Yu.L. Dokshitzer (Paris, LPTHE), G. Marchesini (Paris, LPTHE & Milan Bicocca U. & INFN, Milan Bicocca U.)  
Published in *JHEP* **0303 (2003) 040**  
BICOCCA-FT-03-6, LPTHE-03-10  
DOI: [10.1088/1126-6708/2003/03/040](https://doi.org/10.1088/1126-6708/2003/03/040)  
e-Print: [hep-ph/0303101](https://arxiv.org/abs/hep-ph/0303101) | [PDF](#)  
[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)  
[ADS Abstract Service](#)  
[Detailed record](#) - Cited by 31 records

## 8. A Full acceptance detector at the LHC (FELIX)

A. Ageev *et al.*, Nov 2001.  
Published in *J.Phys.* **G28 (2002) R117-R215**  
DOI: [10.1088/0954-3899/28/6/201](https://doi.org/10.1088/0954-3899/28/6/201)  
[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)  
[CERN Document Server](#)  
[Detailed record](#) - Cited by 32 records

## 9. QCD analysis of D parameter in near to planar three jet events

A. Banfi (Milan Bicocca U. & INFN, Milan), Yuri L. Dokshitzer (Orsay, LPT), G. Marchesini (Milan Bicocca U. & INFN, Milan)  
Published in *JHEP* **0105 (2001) 040**  
BICOCCA-FT-01-10, LPT-ORSAY-01-37, PAVIA-FNT-T-01-10  
DOI: [10.1088/1126-6708/2001/05/040](https://doi.org/10.1088/1126-6708/2001/05/040)  
e-Print: [hep-ph/0104162](https://arxiv.org/abs/hep-ph/0104162) | [PDF](#)  
[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)  
[ADS Abstract Service](#)  
[Detailed record](#) - Cited by 36 records

## 10. Nonperturbative QCD analysis of near - to - planar three jet events

A. Banfi (Milan Bicocca U. & INFN, Milan), Yuri L. Dokshitzer (Orsay, LPT), G. Marchesini (Milan Bicocca U. & INFN, Milan)  
Published in *JHEP* **0103 (2001) 007**  
BICOCCA-FT-00-17, LPT-ORSAY-01-04, PAVIA-FNT-T-00-19  
DOI: [10.1088/1126-6708/2001/03/007](https://doi.org/10.1088/1126-6708/2001/03/007)  
e-Print: [hep-ph/0101205](https://arxiv.org/abs/hep-ph/0101205) | [PDF](#)  
[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)  
[ADS Abstract Service](#)  
[Detailed record](#) - Cited by 27 records

## 11. Near-to-planar three jet events in and beyond QCD perturbation theory

A. Banfi (Milan Bicocca U. & INFN, Milan), Yuri L. Dokshitzer (Orsay, LPT), G. Marchesini (Milan Bicocca U. & INFN, Milan)  
Published in *Phys.Lett.* **B508 (2001) 269-278**  
BICOCCA-FT-00-16, LPT-ORSAY-00-88, PAVIA-FNT-T-00-18  
DOI: [10.1016/S0370-2693\(01\)00310-0](https://doi.org/10.1016/S0370-2693(01)00310-0)  
e-Print: [hep-ph/0010267](https://arxiv.org/abs/hep-ph/0010267) | [PDF](#)  
[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)  
[ADS Abstract Service](#)  
[Detailed record](#) - Cited by 29 records

## 12. QCD analysis of near-to-planar three jet events

A. Banfi, G. Marchesini (Milan U. & INFN, Milan), Yuri L. Dokshitzer (Orsay, LPT), G. Zancan  
Published in *JHEP* **0007 (2000) 002**  
BICOCCA-FT-00-02A, LPT-ORSAY-00-39, FTN-T-2000-06  
DOI: [10.1088/1126-6708/2000/07/002](https://doi.org/10.1088/1126-6708/2000/07/002)  
e-Print: [hep-ph/0004027](https://arxiv.org/abs/hep-ph/0004027) | [PDF](#)  
[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)  
[ADS Abstract Service](#)  
[Detailed record](#) - Cited by 54 records 50+

## 13. Nonperturbative effects in the energy energy correlation

Yuri L. Dokshitzer, G. Marchesini (Milan U. & INFN, Milan), B.R. Webber (Cambridge U.)  
Published in *JHEP* **9907 (1999) 012**  
BICOCCA-FT-99-01, CAVENDISH-HEP-99-01  
DOI: [10.1088/1126-6708/1999/07/012](https://doi.org/10.1088/1126-6708/1999/07/012)  
e-Print: [hep-ph/9905339](https://arxiv.org/abs/hep-ph/9905339) | [PDF](#)  
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## 15. On the universality of the Milan factor for $1/Q$ power corrections to jet shapes

Yuri L. Dokshitzer, A. Lucenti, G. Marchesini, G.P. Salam (Milan U. & INFN, Milan)  
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Yuri L. Dokshitzer (LPTHE, Orsay)  
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Yuri L. Dokshitzer (St. Petersburg, INP), Valery A. Khoze (CERN & St. Petersburg, INP), G. Marchesini (Parma U. & INFN, Parma)  
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