

# *Time-like splitting functions at NNLO*

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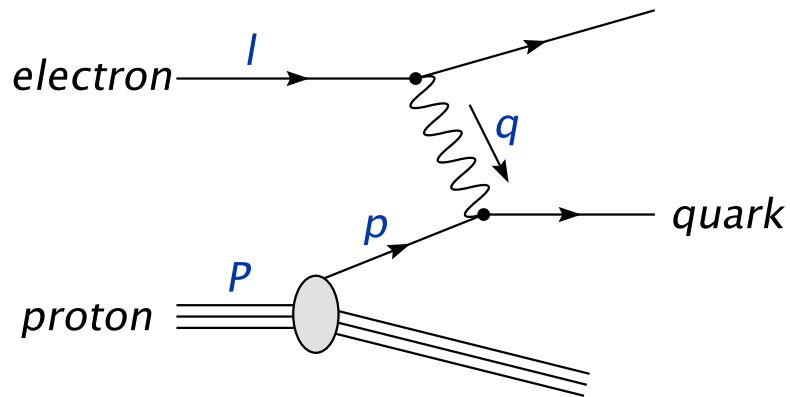
CERN workshop *Parton Radiation and Fragmentation from LHC to FCC-ee*, Geneva, Nov 21, 2016

## Based on work done in collaboration with:

- *Towards three-loop QCD corrections to the time-like splitting functions*  
O. Gituliar and S. M. [arXiv:1505.02901](#)
- *Progress on double-logarithmic large- $x$  and small- $x$  resummations for (semi-)inclusive hard processes*  
A. Vogt, C.H. Kom N.A. Lo Presti, G. Soar, A.A. Almasy, S. M. J.A.M. Vermaseren and K. Yeats [arXiv:1212.2932](#)
- *On the Next-to-Next-to-Leading Order Evolution of Flavour-Singlet Fragmentation Functions*  
A.A. Almasy, S. M. and A. Vogt [arXiv:1107.2263](#)
- *Higher-order threshold resummation for semi-inclusive  $e^+e^-$  annihilation*  
S. M. and A. Vogt [arXiv:0908.2746](#)
- *On third-order timelike splitting functions and top-mediated Higgs decay into hadrons*  
S. M. and A. Vogt [arXiv:0709.3899](#)
- *Next-to-Next-to-Leading Order Evolution of Non-Singlet Fragmentation Functions*  
A. Mitov, S. M. and A. Vogt [hep-ph/0604053](#)

# Setting the stage

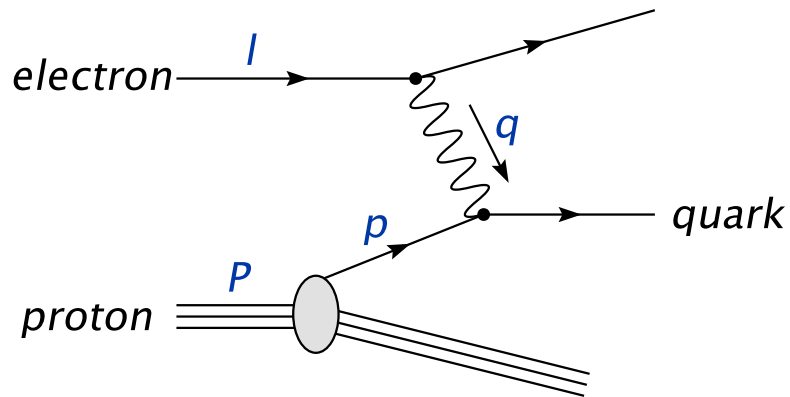
## Deep-inelastic scattering



- Kinematic variables
  - momentum transfer  $Q^2 = -q^2$  (space-like)
  - Bjorken variable  $x = Q^2 / (2p \cdot q)$
- Parton distributions  $PDF$ 
  - scale evolution governed by splitting functions  $P_{ij}$

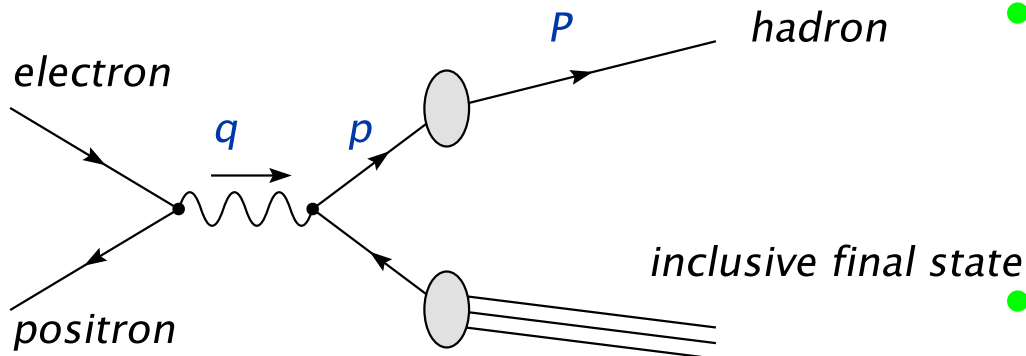
# Setting the stage

## Deep-inelastic scattering



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- Parton distributions *PDF*
  - scale evolution governed by splitting functions  $P_{ij}$

## $e^+e^-$ annihilation



- Kinematic variables
  - momentum transfer  $Q^2 = +q^2$  (time-like)
  - scaling variable  $x = (2p \cdot q) / Q^2$
- Fragmentation functions *D*
  - scale evolution governed by (time-like) splitting functions  $P_{ij}$

# Relating space- and time-like kinematics

## Crewther relation

- From conformal and chiral invariance of leading singularity of short distance OPE simple relation between Crewther '72
  - amplitude  $\pi^0 \rightarrow \gamma\gamma$
  - polarized Bjorken sum rule  $\int_0^1 dx g_1^{ep-en}(x, Q^2)$
  - Adler function  $D_V$  (derivative of correlator  $Q^2 \frac{\partial}{\partial Q^2} \Pi_V$ )

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- Higher order radiative QCD corrections exhibit relations between
  - polarized Gross-Llewellyn Smith sum-rule  $C_{GLS}$  at  $\mathcal{O}(\alpha_s^4)$  (first Mellin moment of  $F_3^{\bar{\nu}p+\nu p}$ ) Baikov, Chetyrkin, Kühn '10
  - Adler function  $D_V$  at  $\mathcal{O}(\alpha_s^4)$  Baikov, Chetyrkin, Kühn '10
- $C_{GLS}$  and  $D_V$  related by running coupling ( $\beta$ -function) through  $\mathcal{O}(\alpha_s^4)$  Broadhurst, Kataev '96; Maxwell, Broadhurst, Kataev '06; Baikov, Chetyrkin, Kühn '10; Baikov, Chetyrkin, Kühn, Rittinger '12

$$C_{GLS}(\alpha_s) D_V(\alpha_s) = d_R \left( 1 + \frac{\beta(\alpha_s)}{\alpha_s} K(\alpha_s) \right)$$

## Drell-Yan-Levy relation

- Analytic continuation in energy  $-q^2 \rightarrow +q^2$  (exploit analyticity properties)  
Curci, Furmanski, Petronzio '80; Floratos, Kounnas, Lacaze '81; Stratmann, Vogelsang '96;  
Blümlein, Ravindran, van Neerven '00; ...

- Relation between DIS structure function  $F_1^{\text{s-like}}$  and fragmentation function  $F_T^{\text{t-like}}$

$$F_T^{\text{t-like}}(x) = -x F_1^{\text{s-like}}\left(\frac{1}{x}\right)$$

- Leading order splitting function  $P_{qq}^{(0)}$ 
  - respects “naive” Drell-Yan-Levy relation (with  $\delta(1-x) \rightarrow \delta(1-x)$ )

$$P_{qq}^{(0)}(x) = 2C_F \left( \frac{2}{1-x} - 1 - x \right) + 3C_F \delta(1-x)$$

- Beyond leading order naive version of Drell-Yan-Levy relation not valid

## Gribov-Lipatov reciprocity

- Leading order diagonal splitting functions identical for space- and time-like kinematics  $P^{\text{t-like}}(x) = P^{\text{s-like}}(x) = -x P^{\text{s-like}}\left(\frac{1}{x}\right)$
- reciprocity relation implied (realized in  $N = 4$  SYM theory)

# Mapping DIS to $e^+e^-$ annihilation

- Use physical cross sections in dimensional regularization ( $D = 4 - 2\epsilon$ )

## Real and virtual contributions

- Partonic forward Compton amplitude  $\mathcal{T}_n$  combines
  - virtual corrections  $\mathcal{F}_n$  (QCD form factor,  $\mathcal{F}_n \propto \delta(1-x)$ )
  - real-emission contributions  $\mathcal{R}_n(x)$   
(depend on harmonic polylogarithms in  $x$ )

- $D$ -dimensional +-distributions in  $\mathcal{R}_n$  for soft/collinear region

$$[(1-x)^{-1-k\epsilon}]_+ = -\frac{1}{\epsilon k} \delta(1-x) + \sum_{i=0} \frac{(-k\epsilon)^i}{i!} \left( \frac{\ln^i(1-x)}{1-x} \right)_+$$

## Kinoshita – Lee-Nauenberg theorem

- Laurent-series for  $\mathcal{T}_n$  in  $\epsilon$  at  $n^{\text{th}}$ -order
  - soft and collinear singularities in  $\mathcal{F}_n$  and  $\mathcal{R}_n$  behave as  $1/\epsilon^{2n}$
  - mass-factorization predicts  $1/\epsilon^n$
- Infrared safety ( $\rightarrow$  KLN Kinoshita '62; Lee, Nauenberg '64)
  - constructive approach to  $\mathcal{F}_n$  and  $\mathcal{R}_n$



## Mass factorization

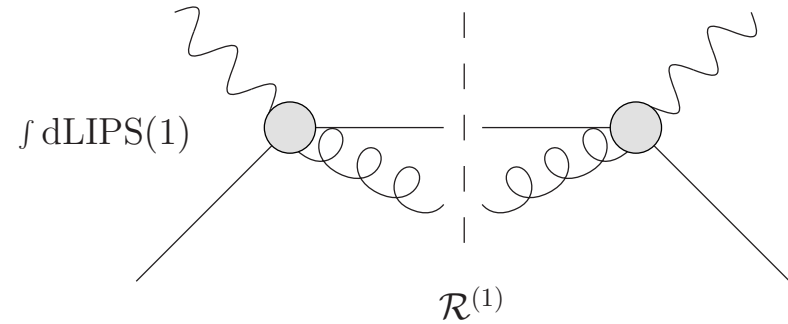
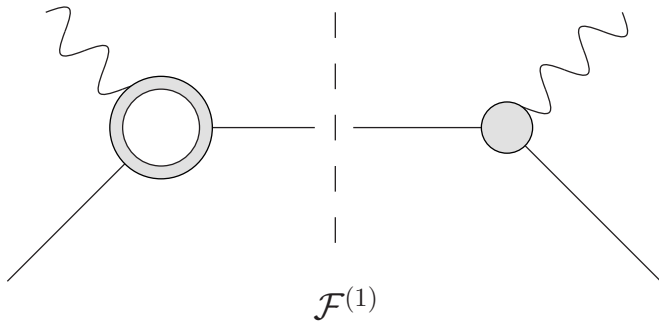
- Universal structure of bare quantity after cancellation of poles between  $\mathcal{F}_n$  and  $\mathcal{R}_n$ 
  - structure function  $F_1$  (space-like)
  - transverse fragmentation function  $F_T$  (time-like)
- Laurent-series at  $n^{\text{th}}$ -order behaves as  $\frac{1}{\epsilon^n}$

$$F^{(1)} = -\frac{1}{\epsilon} P^{(0)} + c^{(1)} + \epsilon a^{(1)} + \epsilon^2 b^{(1)} + \epsilon^3 d^{(1)} + \dots$$

$$F^{(2)} = \frac{1}{2\epsilon^2} P^{(0)}(P^{(0)} + \beta_0) - \frac{1}{2\epsilon} \left[ P^{(1)} + 2P^{(0)}c^{(1)} \right] + c^{(2)} - P^{(0)}a^{(1)} \\ + \epsilon \left[ a^{(2)} - P^{(0)}b^{(1)} \right] + \dots$$

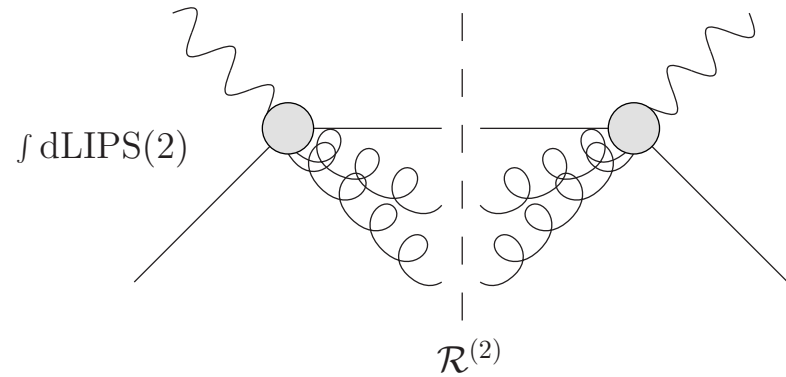
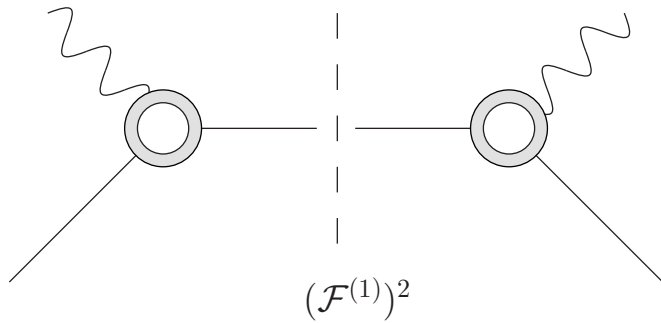
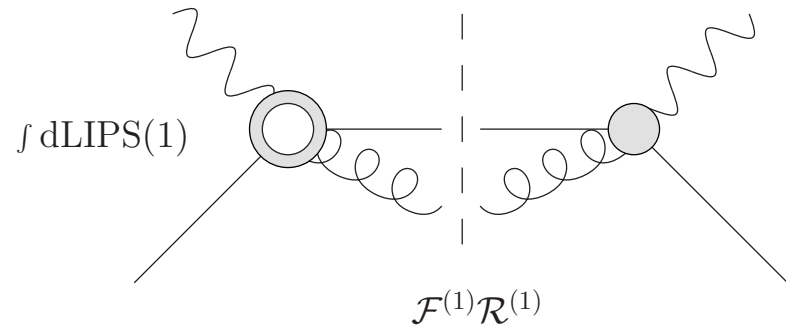
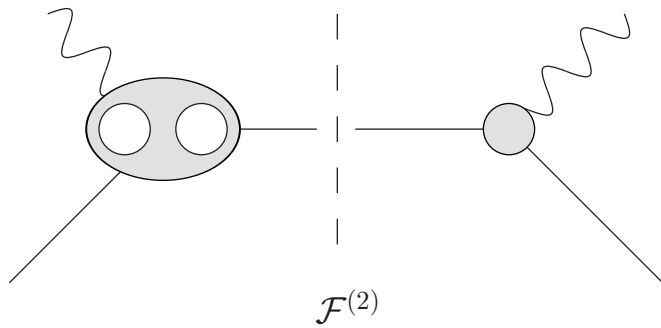
$$F^{(3)} = -\frac{1}{6\epsilon^3} P^{(0)}(P^{(0)} + \beta_0)(P^{(0)} + 2\beta_0) \\ + \frac{1}{6\epsilon^2} \left[ P^{(1)}(3P^{(0)} + 2\beta_0) + P^{(0)}(3P^{(0)}c^{(1)} + 3\beta_0c^{(1)} + 2\beta_1) \right] \\ - \frac{1}{6\epsilon} \left[ 2P^{(2)} + 3P^{(1)}c^{(1)} + P^{(0)}(6c^{(2)} - 3P^{(0)}a^{(1)} - 3\beta_0a^{(1)}) \right] + \dots$$

# Anatomy of DIS result (1 loop)



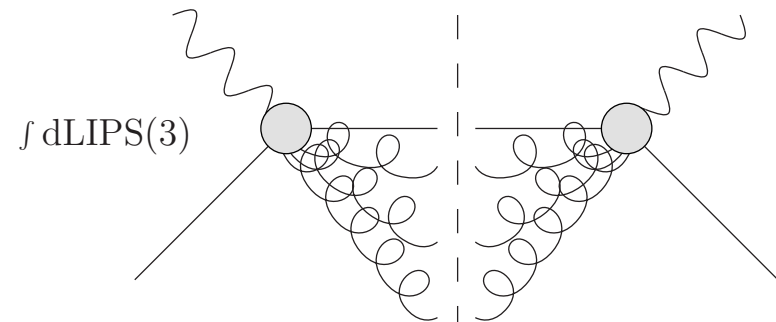
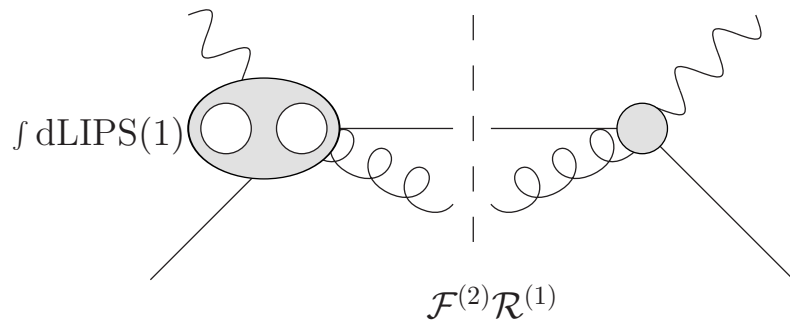
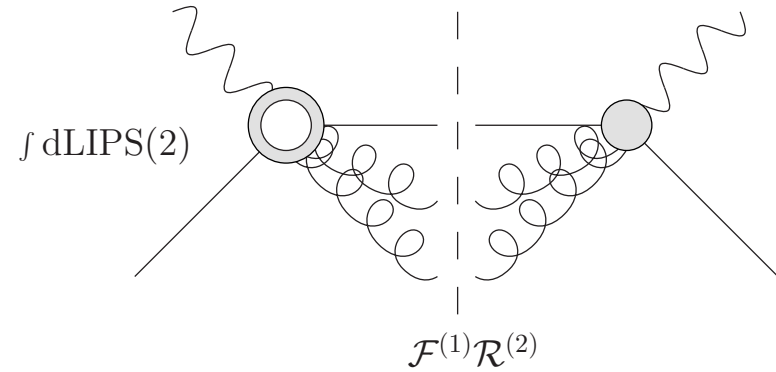
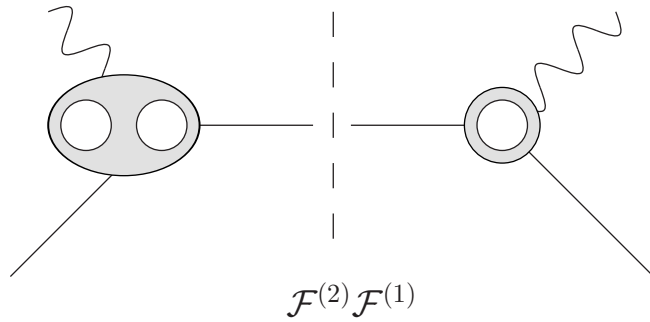
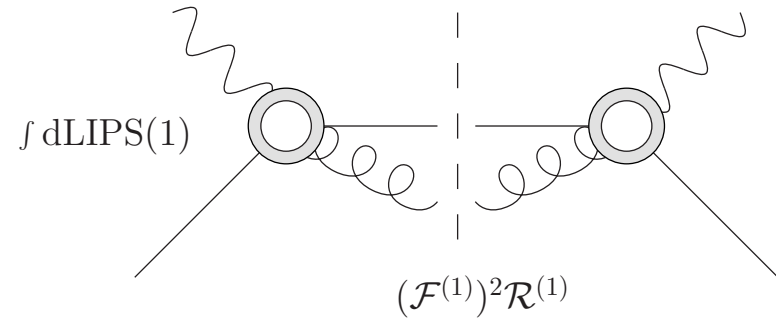
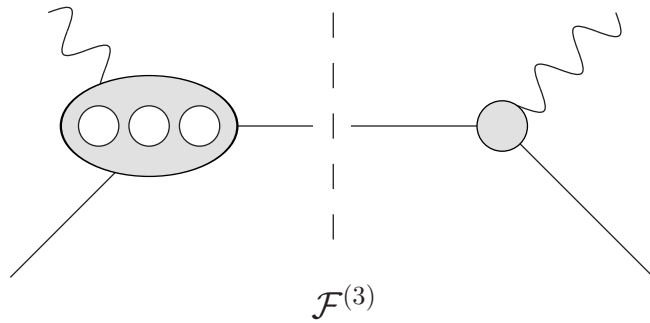
$$\mathcal{T}_1^b = 2 \text{Re } \mathcal{F}_1 \delta(1-x) + \mathcal{R}_1$$

# Anatomy of DIS result (2 loops)



$$\mathcal{T}_2^b = (2 \text{Re } \mathcal{F}_2 + |\mathcal{F}_1|^2)\delta(1-x) + 2 \text{Re } \mathcal{F}_1\mathcal{R}_1 + \mathcal{R}_2$$

# Anatomy of DIS result (3 loops)

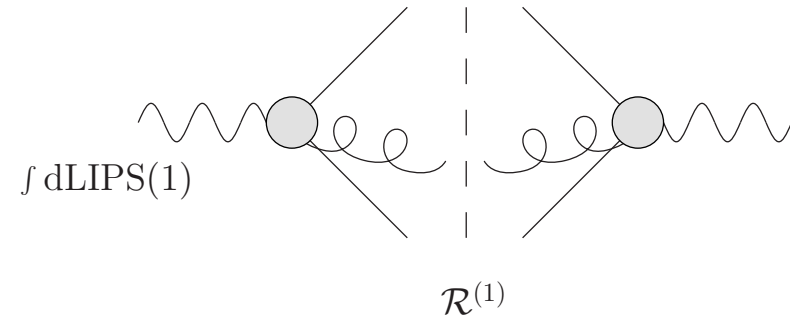
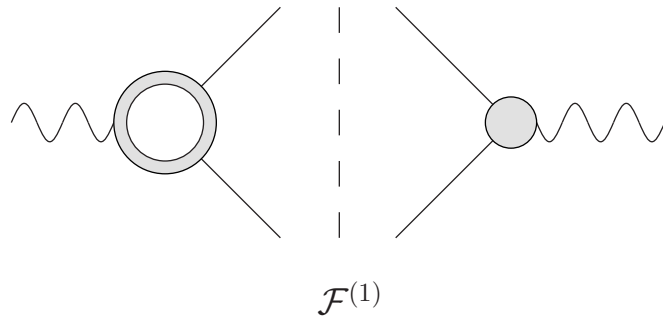


$$\mathcal{T}_3^b = (2 \operatorname{Re} \mathcal{F}_3 + 2 |\mathcal{F}_1 \mathcal{F}_2|) \delta(1-x) + (2 \operatorname{Re} \mathcal{F}_2 + |\mathcal{F}_1|^2) \mathcal{R}_1 + 2 \operatorname{Re} \mathcal{F}_1 \mathcal{R}_2 + \mathcal{R}_3$$

# Analytic continuation

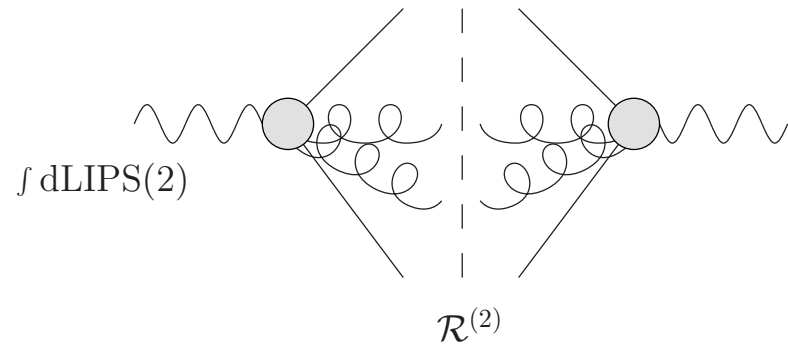
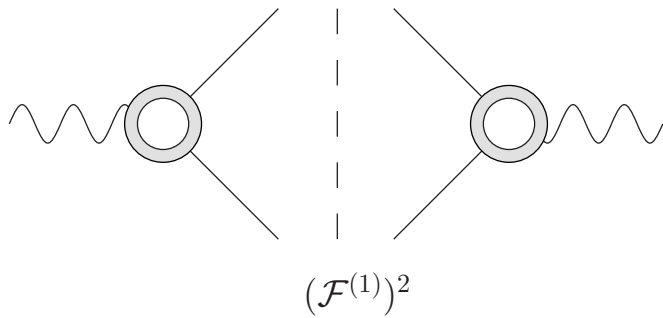
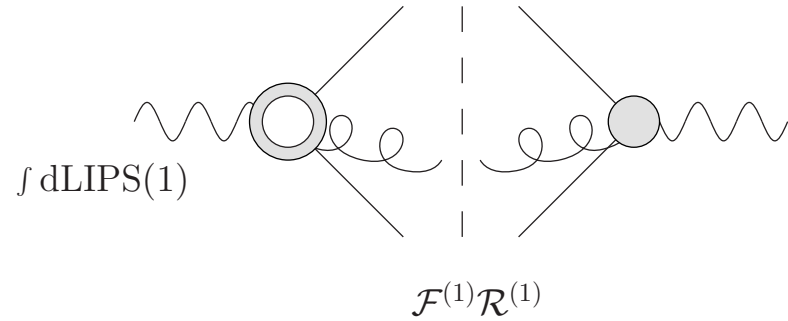
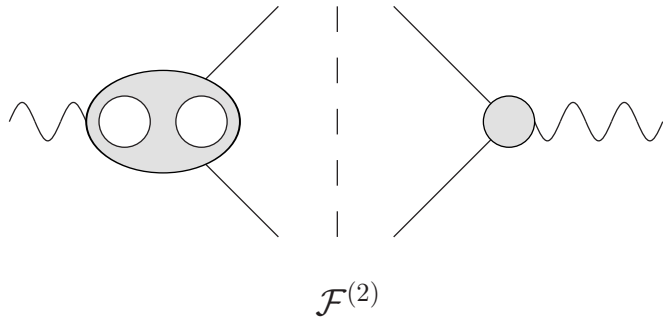
- $\mathcal{R}_n$  is Laurent series in  $\epsilon$  with coefficients being harmonic polylogarithms  $H_{m_1, \dots, m_k}(x)$  or polynomials in  $x, (1-x), (1+x)$
- Analytic continuation from space-like to time-like kinematics requires Curci, Furmanski, Petronzio '80; Stratmann, Vogelsang '96; ...
  - mapping  $-q^2 \rightarrow +q^2$  and  $x \rightarrow \frac{1}{x}$
- Some subtleties
  - harmonic polylogarithms with basic functions of lowest weight  $H_0(x) = \ln x$ ,  $H_1(x) = -\ln(1-x)$ ,  $H_{-1}(x) = \ln(1+x)$   
Goncharov '98; Borwein, Bradley, Broadhurst, Lisonek '99; Remiddi, Vermaseren '99
  - all branch cuts from analytic continuation  $\frac{1}{x} - i\delta$  to  $x > 0$  uniquely defined through  $H_1(1/x - i\delta) = H_1(x) + H_0(x) - i\pi$
  - phase space of detected parton in  $e^+e^-$ -annihilation in  $D$ -dimensions (take phase space factor  $x^{1-2\epsilon}$ )
- Constructive approach to  $\mathcal{R}_n^{\text{t-like}}$  (given we know  $\mathcal{R}_n^{\text{s-like}}$ )

# Assembly of $e^+e^-$ (1 loop)



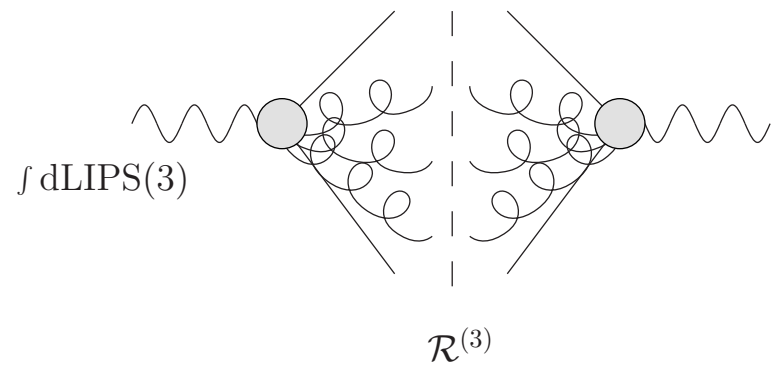
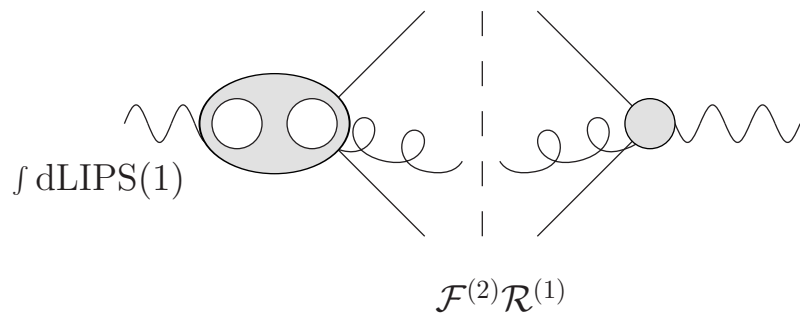
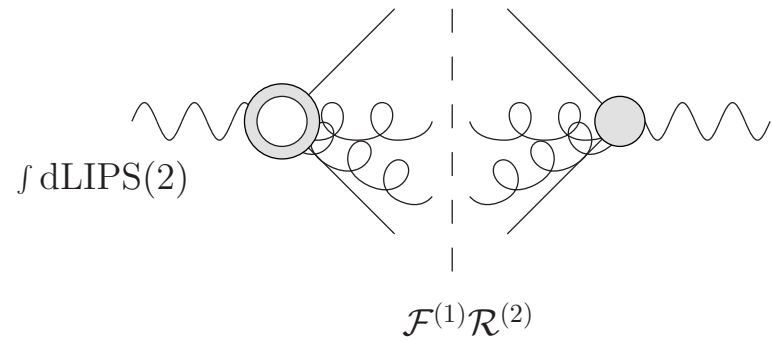
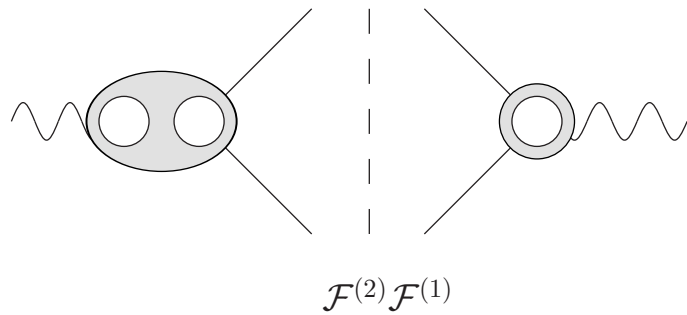
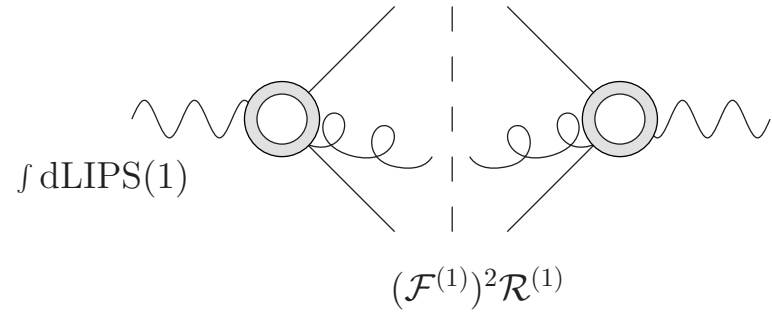
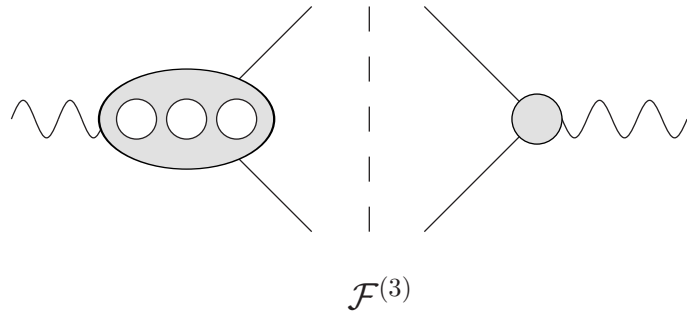
$$\mathcal{T}_1^b = 2 \text{Re} \mathcal{F}_1 \delta(1-x) + \mathcal{R}_1$$

# Assembly of $e^+e^-$ (2 loop)



$$\mathcal{T}_2^b = (2 \text{Re } \mathcal{F}_2 + |\mathcal{F}_1|^2)\delta(1-x) + 2 \text{Re } \mathcal{F}_1\mathcal{R}_1 + \mathcal{R}_2$$

# Assembly of $e^+e^-$ (3 loop)



$$\mathcal{T}_3^b = (2 \text{Re } \mathcal{F}_3 + 2 |\mathcal{F}_1 \mathcal{F}_2|) \delta(1-x) + (2 \text{Re } \mathcal{F}_2 + |\mathcal{F}_1|^2) \mathcal{R}_1 + 2 \text{Re } \mathcal{F}_1 \mathcal{R}_2 + \mathcal{R}_3$$



## Time-like results

- Read off results in time-like kinematics from mass factorization of bare transverse fragmentation function  $F_T$

$$F^{(1)} = -\frac{1}{\epsilon} P^{(0)} + c^{(1)} + \epsilon a^{(1)} + \epsilon^2 b^{(1)} + \epsilon^3 d^{(1)} + \dots$$

$$F^{(2)} = \frac{1}{2\epsilon^2} P^{(0)} (P^{(0)} + \beta_0) - \frac{1}{2\epsilon} \left[ P^{(1)} + 2P^{(0)} c^{(1)} \right] + c^{(2)} - P^{(0)} a^{(1)} \\ + \epsilon \left[ a^{(2)} - P^{(0)} b^{(1)} \right] + \dots$$

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- NNLO time-like splitting function  $P^{(2)}$

## Diagonal splitting functions (I)

- Results for mapping space-like to time-like processes ( $F_1^{\text{s-like}} \leftrightarrow F_T^{\text{t-like}}$ ), ( $F_3^{\text{s-like}} \leftrightarrow F_A^{\text{t-like}}$ ) and ( $F_\phi^{\text{s-like}} \leftrightarrow F_\phi^{\text{t-like}}$ )

- read off three-loop splitting function  $P_{\text{ns}}^{(2)T}$ ,  $P_{\text{ps}}^{(2)T}$  and  $P_{\text{gg}}^{(2)T}$

- Checks

- one- and two-loops (even order  $\epsilon$  with loop technology) [Mitov, S.M. '06](#)
  - three loops through order  $1/\epsilon$  (soft/collinear limit)

- Sum rules

$$\int_0^1 dx P_{\text{ns}}^{(2)T}(x) = 0 \quad \text{and} \quad \int_0^1 dx \left( P_{\text{qg}}^{(2)T}(x) + P_{\text{gg}}^{(2)T}(x) \right) = 0$$

- problems in sum rule check:

coefficient  $\frac{1}{\epsilon} C_{F/A}^3 P_{\text{qq/gg}}^{(0)} \zeta_2 \ln^2 x$  incorrect in  $P_{\text{ns/gg}}^{(2)T}(x)$

- Alternative approach based on universal splitting function (kinematics independent) [Dokshitzer, Marchesini, Salam '05](#)

- anomalous dimension (Mellin transform)

$$\gamma^{T/S}(N) = f(N \mp \gamma^{T/S}(N)/2 - \beta(\alpha_s)/2)$$

- reciprocity respecting function  $f(N)$  (exact in  $N = 4$  SYM theory)

## Diagonal splitting functions (II)

- Result for  $P_{ns/gg}^{(2)T}(x)$ 
    - analytic continuation with correct sum rule  
Mitov, S.M., Vogt '06; S.M. Vogt '07
    - agreement with approach based on universal splitting functions  
Dokshitzer, Marchesini, Salam '05
  - Additional check
    - compute three-loop coefficient functions for one-particle inclusive Higgs decay
    - second-moment combination enters the Higgs ( $\phi$ ) decay rate
- $$(C_{\phi,q}^T + C_{\phi,g}^T)(N=2) = 1 + \alpha_s c_\phi^{(1)} + \alpha_s^2 c_\phi^{(2)} + \alpha_s^3 c_\phi^{(3)} + \dots ,$$
- agreement
    - NNLO with Chetyrkin, Kniehl, Steinhauser '97; Schreck, Steinhauser '07
    - N<sup>3</sup>LO with Baikov, Chetyrkin '06 (up to  $\zeta_2$ -terms)

## Off-diagonal splitting functions

- Alternative factorization in terms of physical kernels

$$\frac{d}{d \ln Q^2} F^T = K^T \otimes F^T = \underbrace{\left\{ \left( \beta \frac{dC^T}{d\alpha_s} + C^T \otimes P^T \right) \otimes (C^T)^{-1} \right\}}_{\text{scheme invariant}} \otimes F^T$$

**scheme invariant**

- Use photon  $\gamma$  and Higgs  $\phi$  probes

$$F^T = \begin{pmatrix} F_T \\ F_\phi^T \end{pmatrix}, \quad K^T = \sum_{n=0} \alpha_s^{n+1} \begin{pmatrix} K_{TT}^{(n)} & K_{T\phi}^{(n)} \\ K_{\phi T}^{(n)} & K_{\phi\phi}^{(n)T} \end{pmatrix}, \quad C^T = \sum_{n=0} \alpha_s^n \begin{pmatrix} C_{T,q}^{(n)} & C_{\phi,q}^{(n)T} \\ C_{T,g}^{(n)} & C_{\phi,g}^{(n)T} \end{pmatrix}$$

- Analytic continuation *AC* of physical kernel elements provides off-diagonal splitting functions  $AC [K^{(n)S}(x)] = K^{(n)T}(x)$  *Almasy, S.M., Vogt '11*

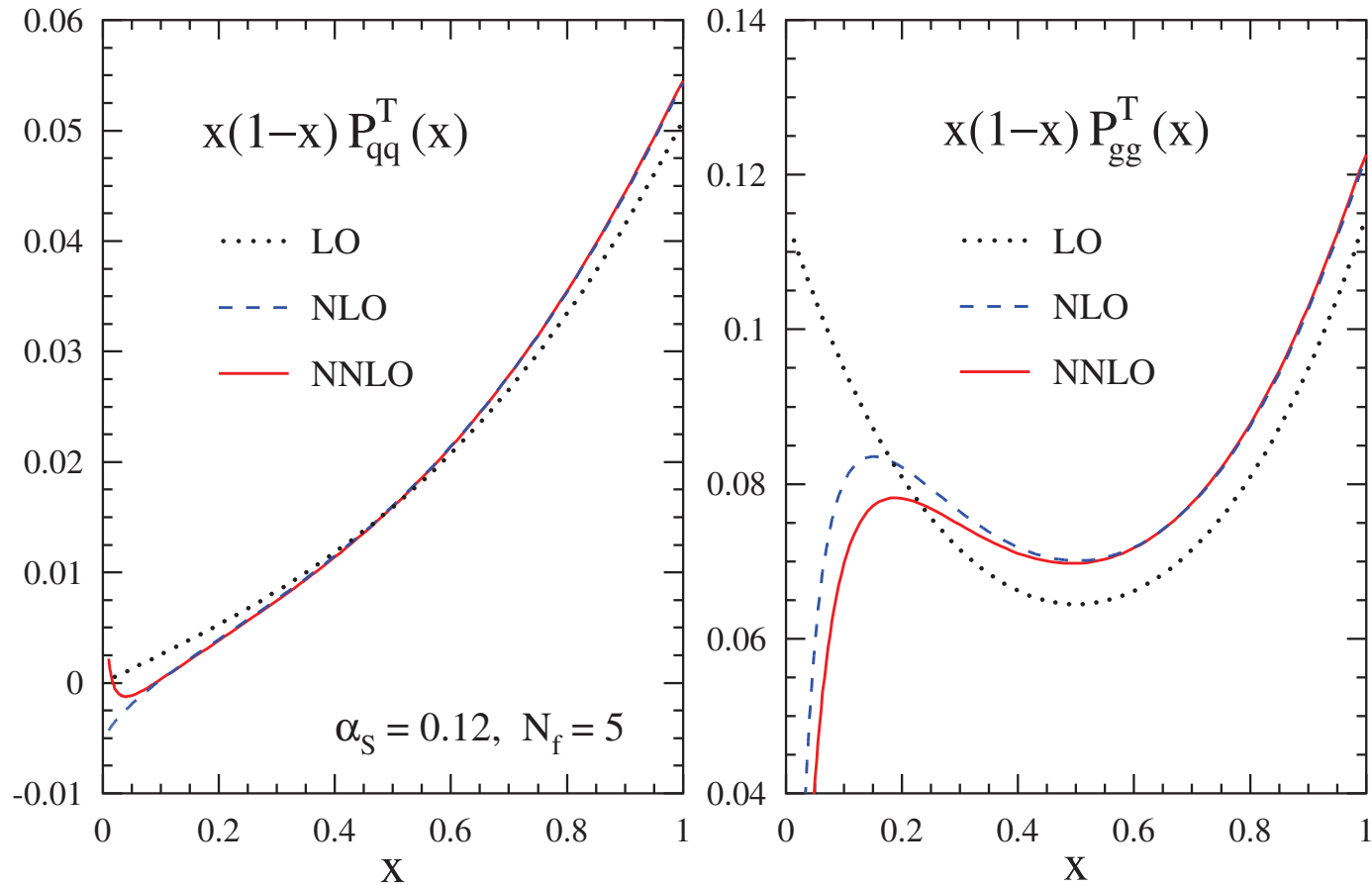
- Problem

- physical kernel insufficient to fix unambiguously  $P_{qg}^{(2)T}$
- residual uncertainty proportional to color factor  $\beta_0(C_A - C_F)$

$$\delta P_{qg}^{(2)T} = \pm 2 \zeta_2 \beta_0 (C_A - C_F) (11 + 24 \ln x) P_{qg}^{(0)T}(x)$$

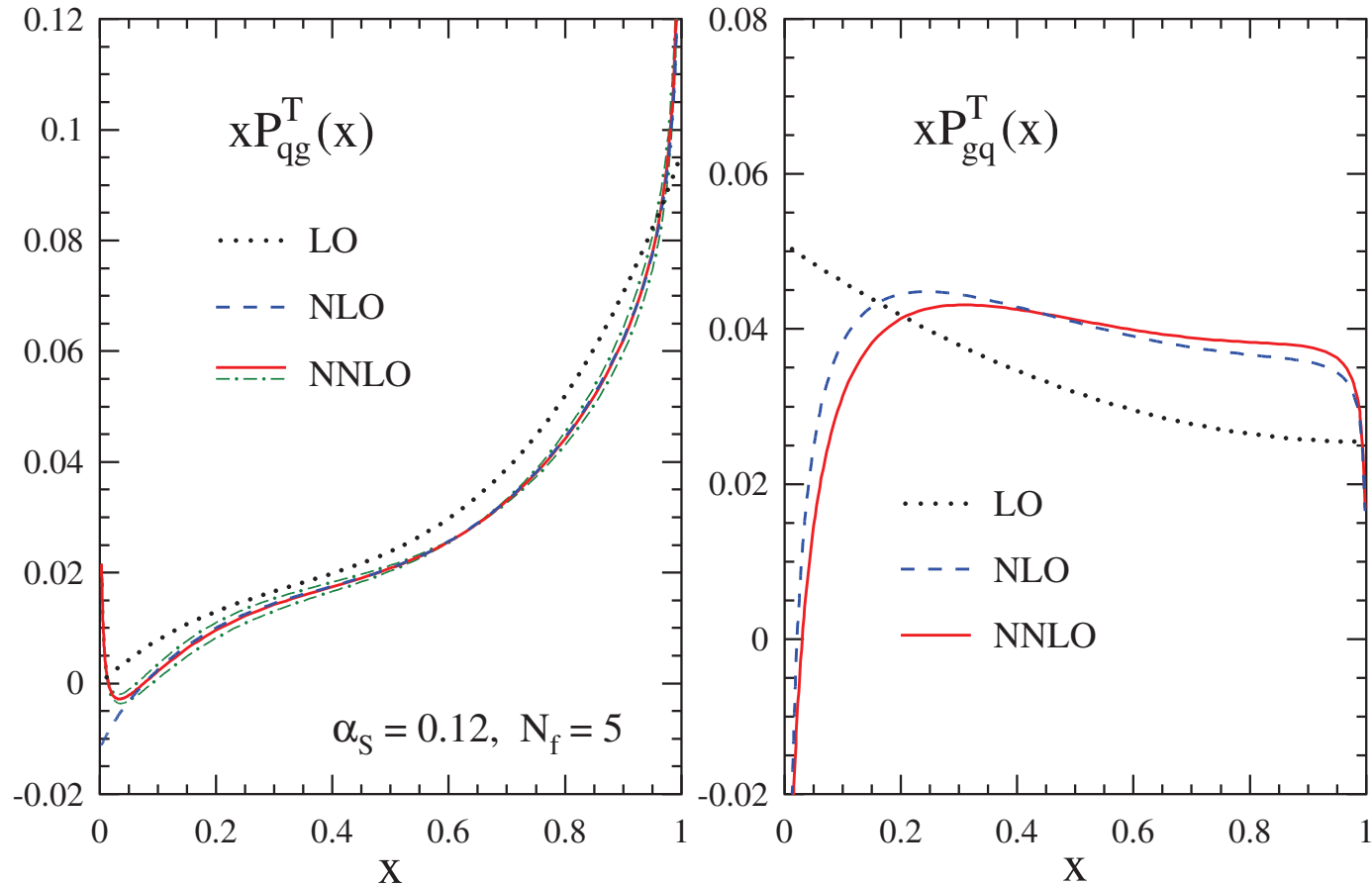
- to be resolved by direct computation *Gituliar, S.M. in progress*

# Diagonal time-like splitting functions



- Perturbative expansion of  $P_{qq}^T$  and  $P_{gg}^T$  (multiplied by  $x(1-x)$ )  
S.M., Vogt '07

# Off-diagonal time-like splitting functions



- Perturbative expansion of  $P_{qg}^T$  and  $P_{gq}^T$  (multiplied by  $x$ )

Almasy, S.M., Vogt '11

- $P_{qg}^{(2)T}$  contains residual uncertainty  $\delta P_{qg}^{(2)T}$

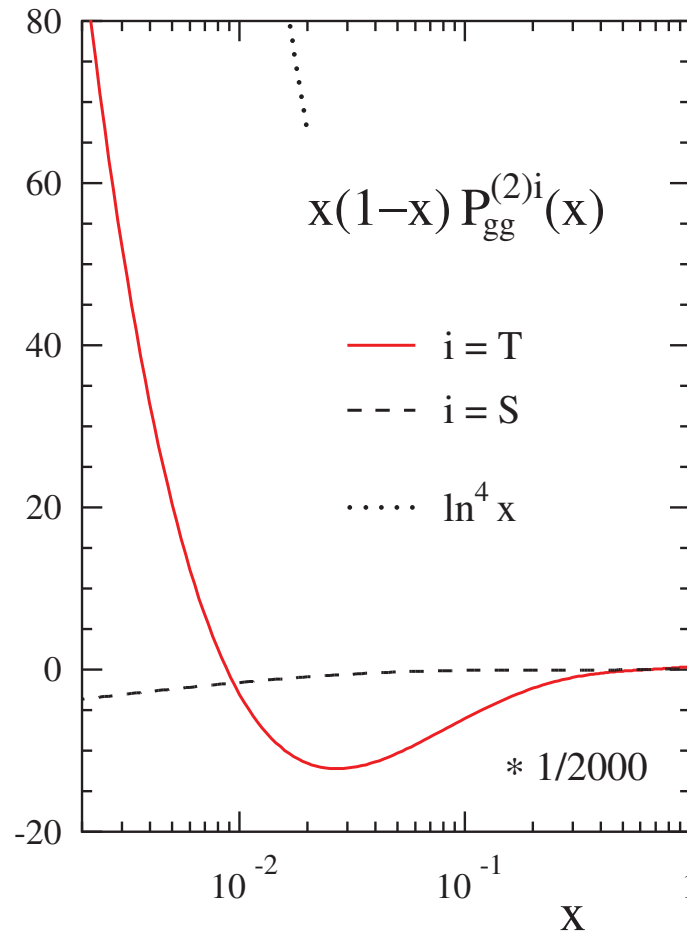
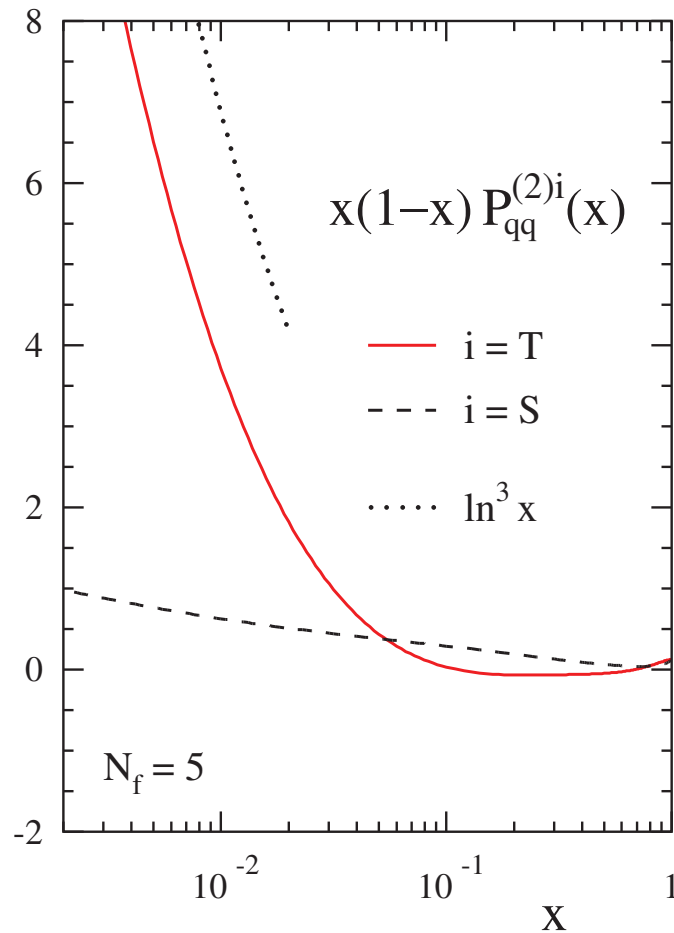
## The small $x$ -limit: $x \rightarrow 0$

- Structure of (diagonal) splitting functions at small  $x$ 
  - double-logarithmic contributions with very large coefficients
  - huge enhancement already at  $x \gtrsim 10^{-3}$

$$xP_{\text{qq}}^{(2)T}(x) = -\frac{32}{9} C_A C_F n_f (2 \ln^3 x + \ln^2 x) + \frac{8}{27} (155 + 72 \zeta_2) C_A C_F n_f \ln x + \mathcal{O}(1)$$

$$xP_{\text{gg}}^{(2)T}(x) = \frac{64}{3} C_A^3 \ln^4 x + \frac{32}{9} (33 C_A t + 6 C_A^2 n_f - 10 C_A C_F n_f) \ln^3 x + \frac{8}{9} \left[ (389 - 144 \zeta_2) C_A^3 + 136 C_A^2 n_f - 232 C_A C_F n_f + 4 n_f^2 (C_A - 2 C_F) \right] \ln^2 x + \frac{8}{27} \left[ (4076 - 990 \zeta_2 - 972 \zeta_3) C_A^3 + (739 - 36 \zeta_2) C_A^2 n_f - (1819 - 144 \zeta_2) C_A C_F n_f + 108 C_F^2 n_f + 46 n_f^2 (C_A - 2 C_F) \right] \ln x + \mathcal{O}(1)$$

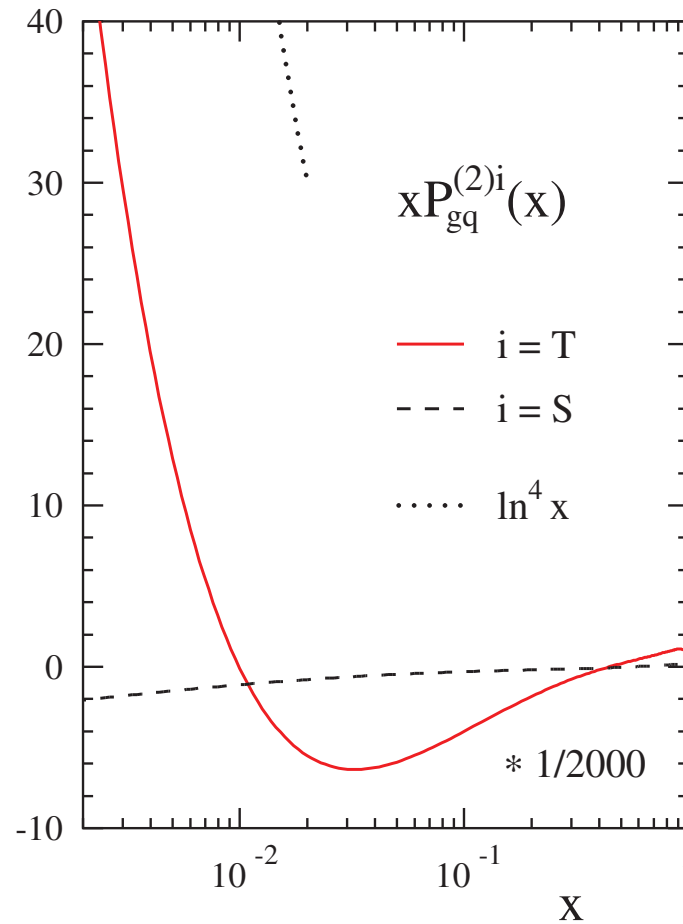
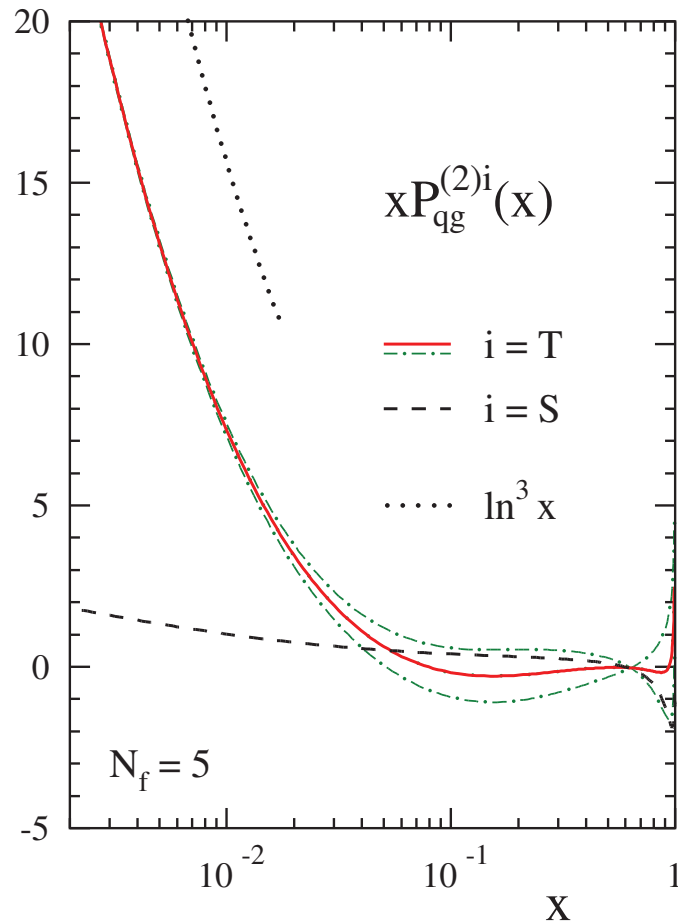
# $P_{qq}^{(2)T}$ and $P_{gg}^{(2)T}$ for $x \rightarrow 0$



- Splitting function  $P_{qq}^{(2)}$  (left) and  $P_{gg}^{(2)}$  (right) S.M., Vogt '07
  - five flavours, multiplied by  $x(1-x)$ , divided by  $2000 \simeq (4\pi)^3$
  - comparison with space-like splitting functions

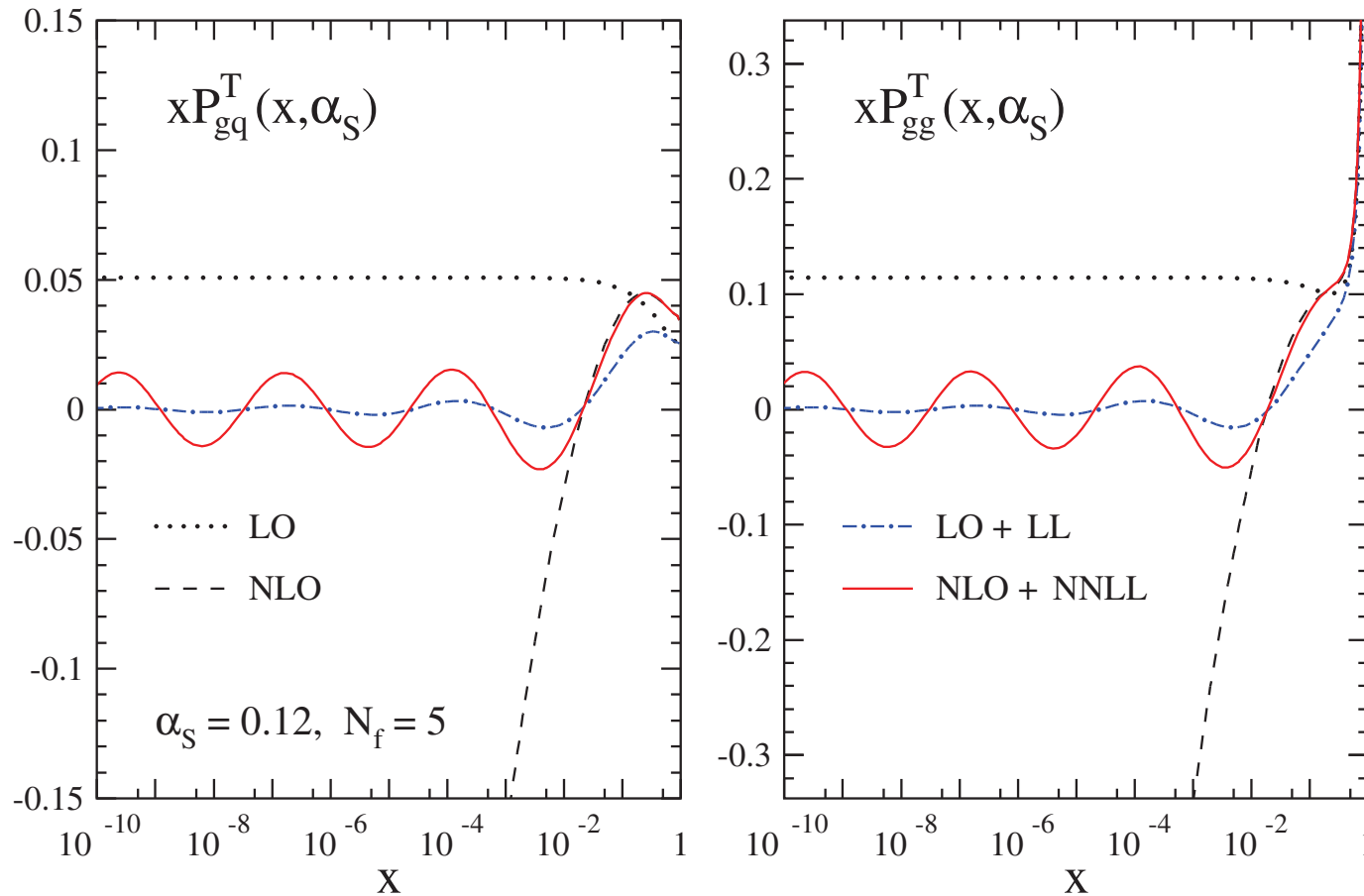


$P_{gq}^{(2)T}$  and  $P_{gq}^{(2)T}$  for  $x \rightarrow 0$



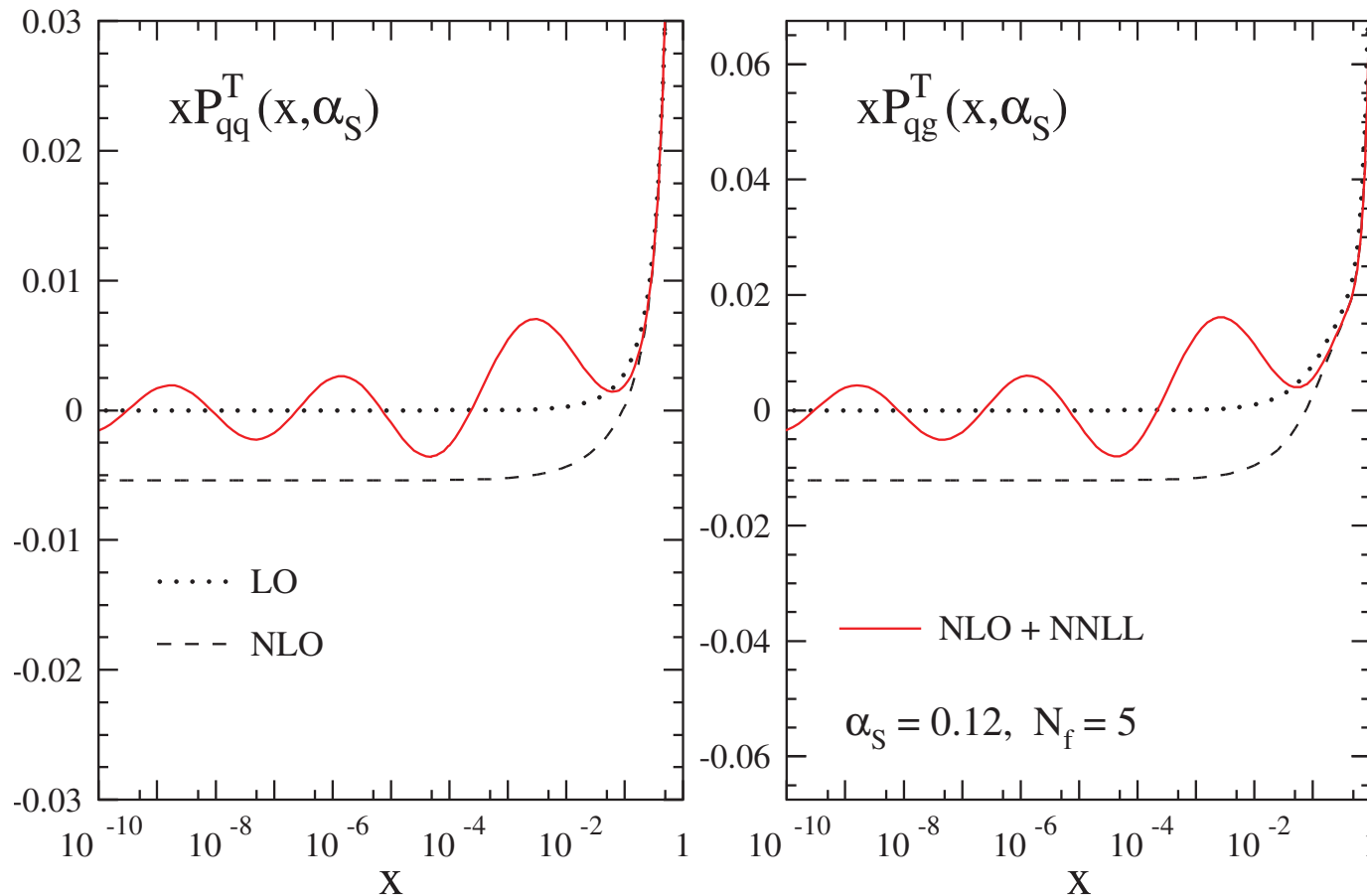
- Splitting function  $P_{qg}^{(2)}$  (left) and  $P_{gq}^{(2)}$  (right) Almsy, S.M., Vogt '11
  - five flavours, multiplied by  $x$ , divided by  $2000 \simeq (4\pi)^3$
  - comparison with space-like splitting functions

## Small- $x$ resummation (I)



- Splitting function  $P_{gq}^{(2)}$  (left) and  $P_{gg}^{(2)}$  (right) Kom, Vogt, Yeates '12
  - all- $x$  (minimal  $N=1$  finite) 'LO + resummed' and 'NLO + resummed' approximations
  - comparison with LO and NLO results (valid only at large  $x \gtrsim 10^{-2}$ )

## Small- $x$ resummation (II)



- Splitting function  $P_{qq}^{(2)}$  (left) and  $P_{qg}^{(2)}$  (right) Kom, Vogt, Yeates '12
  - all- $x$  (minimal  $N=1$  finite) 'NLO + resummed' approximations
  - LO contributions does not include  $1/x$  terms; resummation starts at NLL level
  - comparison with LO and NLO results (valid only at large  $x \gtrsim 10^{-2}$ )

# Summary

## Mapping DIS to $e^+e^-$ annihilation

- Theory results transferred to time-like evolution
  - successful recycling of DIS
  - use factorization, infrared safety (KLN) of observables and scheme invariance of physical kernels
- Theory predictions for time-like singlet splitting functions at NNLO
  - complete:  $P_{qq}^{(2)T}$ ,  $P_{gg}^{(2)T}$ ,  $P_{gq}^{(2)T}$
  - almost complete:  $P_{qg}^{(2)T}$

## Outlook

- Complete derivation of  $P_{qg}^{(2)T}$
- Improvement of small- $x$  behaviour through resummation