

Bose-Einstein Correlations in e^+e^- annihilation (a review)

W.J. Metzger

Radboud University Nijmegen

Workshop on Parton Radiation and Fragmentation from LHC to FCC-ee
CERN
21 Nov. 2016

Introduction - BEC

$$R_2 = \frac{\rho_2(p_1, p_2)}{\rho_1(p_1)\rho_1(p_2)} \implies \frac{\rho_2(\vec{Q})}{\rho_0(\vec{Q})} \text{ or } \frac{\rho_2(Q)}{\rho_0(Q)} \quad \rho_0 = 2\text{-particle density of 'reference sample'}$$
$$\vec{Q} = \vec{p}_1 - \vec{p}_2 \quad Q = \sqrt{-(p_1 - p_2)^2}$$

Assuming particles produced incoherently
with spatial source density of emission points $S(x)$,

$$R_2(Q) = 1 + \lambda |\tilde{S}(Q)|^2$$

where $\tilde{S}(Q) = \int dx e^{iQx} S(x)$

– Fourier transform of $S(x)$

$\lambda = 1$

—

$\lambda = 0$ if production completely coherent

Assuming $S(x)$ is a spherically symmetric Gaussian distribution
with radius r , \implies

$$R_2(Q) = 1 + \lambda e^{-(Qr)^2}$$

Problems with this approach

Assumes

- ▶ incoherent average over source
 λ tries to account for
 - ▶ partial coherence
 - ▶ multiple (distinguishable) sources, long-lived resonances
 - ▶ pion purity
- ▶ spherical (radius r) Gaussian distribution of particle emitters
seems unlikely in e^+e^- annihilation — jets
- ▶ static source, *i.e.*, no t -dependence
certainly wrong

Final-State Interactions

1. Coulomb
 - form not certain
(usually use Gamow factor)
overcorrects!
 - for R_2 , a few % in lowest Q bin
 - double if $+$, $-$ ref. sample
 - often neglected for R_2
 - but not negligible for R_3
2. Strong interaction - s-wave $\pi\pi$
phase shifts can be incorporated together with Coulomb into the formula for R_2

Osada, Sano, Biyajima, Z.Phys. C72(1996)285)

tends to increase λ , decrease r -
Not used by LEP experiments

Reference Sample

Common choices:

1. +, - pairs
But different resonances than +, +
2. Mixed events – pair particles from different events
But destroys all correlations, not just BEC

correct by MC (no BEC):

$$\rho_0 \implies \rho_0 \frac{\rho_2^{\text{MC}}}{\rho_0^{\text{MC}}}$$

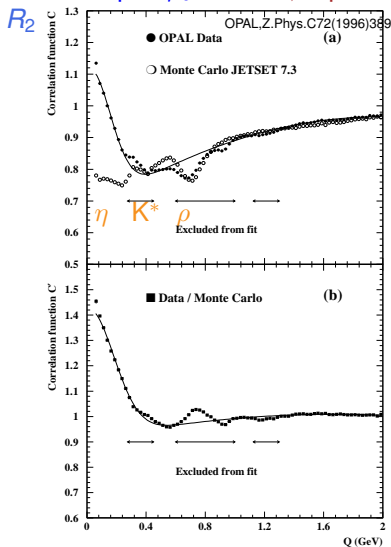
$$R_2 = \frac{\rho_2}{\rho_0} \implies \frac{\rho_2}{\rho_0} / \frac{\rho_2^{\text{MC}}}{\rho_0^{\text{MC}}} \quad \text{'double ratio'}$$

– But is the MC correct?

Long-range correlations inadequately treated in ref. sample:

$$R_2(Q) \propto (1 + \lambda e^{-Q^2 r^2}) \cdot (1 + \delta Q) \quad \text{or even} \quad \cdot (1 + \delta Q + \epsilon Q^2)$$

ref. sample, ρ_0 , from +, - pairs



What have we learned from LEP?

The simple picture is inadequate

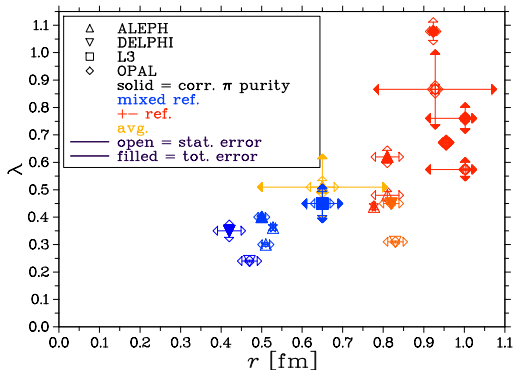
1. R_2 is not Gaussian
2. $R_2 \neq 1 + \lambda |\tilde{S}(Q)|$
i.e. $\neq 1 +$ positive-definite term
 \exists also a region where $R_2 < 1$,
i.e., anticorrelation
3. $R_2 \neq R_2(Q)$, but $R_2(\vec{Q})$
4. R_2 depends on jet structure

Other aspects of BEC:

1. \sqrt{s} dependence
2. comparison of 2- π and 3- π BEC
suggests complete incoherence,
but large errors
3. $r_{\pi^0\pi^0} \approx$ or $< r_{\pi^\pm\pi^\pm}$?
LEP inconclusive
4. cross talk?, i.e. is there BEC if π 's
from different jets?
suggests no,
but very large uncertainties

Results from R_2 , $\sqrt{s} = M_Z$

(Gaussian parametrization)

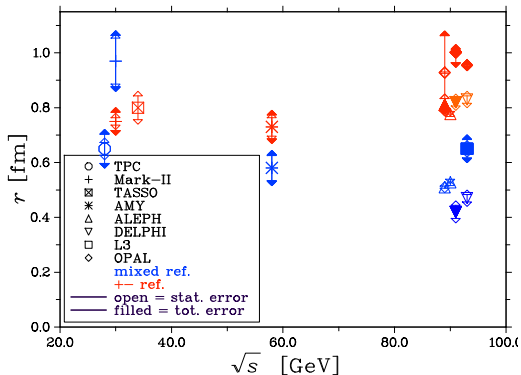


- correction for π purity increases λ

- mixed ref. gives smaller λ , r than +- ref.

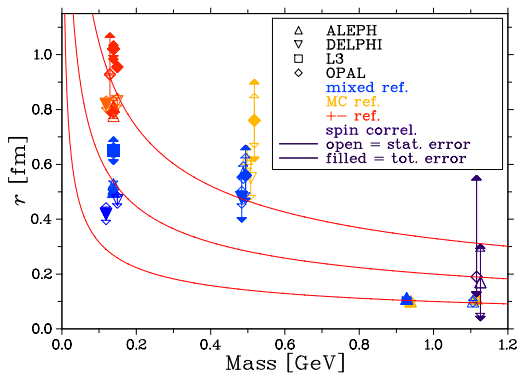
- Average means little

\sqrt{s} dependence of r



No evidence for \sqrt{s} dependence
But **uncertainties large**

Mass dependence of r — BEC and FDC



No evidence for $r \sim 1/\sqrt{m}$

$r(\text{mesons}) > r(\text{baryons})$

$r_{\pi-\pi} \approx r_{K-K}$

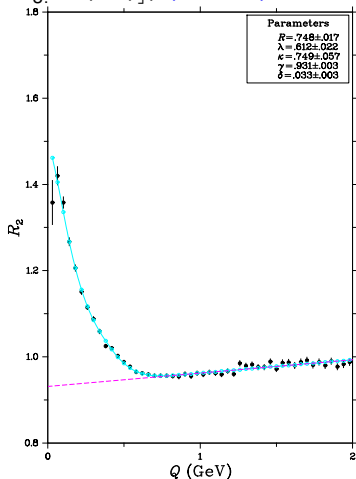
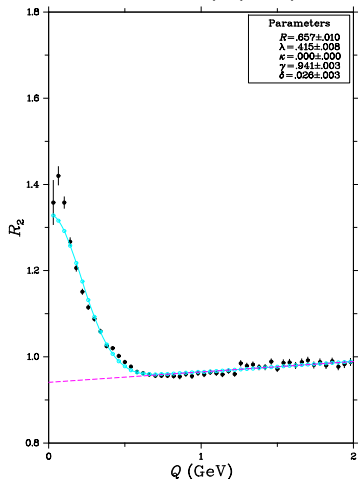
$r(\text{baryons})$ is very small — $r_p = 0.1$ fm while size of p is 1 fm ???

Disclaimer

- ▶ There are many BEC measurements with pions.
- ▶ There are also BEC measurements with kaons, and FDC measurements with protons, lambdas, but fewer.
- ▶ From here on I will concentrate on pion results.

not Gaussian — try Edgeworth expansion

$$R_2(Q) = (1 + \lambda e^{-Q^2 r^2} \cdot [1 + \frac{\kappa}{3!} H_3(rQ)]) \cdot (1 + \delta Q)$$



Gaussian ($\kappa = 0$) CL = 10^{-14}

Edgeworth expansion CL = 18%

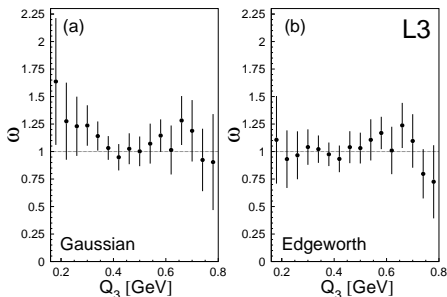
But note large δ — see later

3 π BEC

with $R_3^{\text{genuine}}(Q_3) = R_3(Q_3)$ – contribution from 2- $\pi \implies \omega = \frac{R_3^{\text{genuine}}(Q_3) - 1}{2\sqrt{R_2(Q_3) - 1}}$

Using R_3^{genuine} from data, R_2 from fit

L3, PLB540 (2002) 185



Conclusion: Data consistent with $\omega = 1$,
i.e., with **completely incoherent** pion production
Possibly a problem for string models!

But large uncertainties

2-particle BEC $\pi^0\pi^0$ and $\pi^\pm\pi^\pm$

- ▶ Naively expect same BEC for $\pi^0\pi^0$ and $\pi^\pm\pi^\pm$
- ▶ Hadronization with local charge conservation, e.g., string,
 $\implies r_{00} < r_{\pm\pm}$
But most π 's from resonances — dilutes this effect.

- ▶ Many measurements of BEC with charged π 's
- ▶ but few with π^0 's

in e^+e^- : L3, P.L. B524 (2002) 55
OPAL, P.L. B559 (2003) 131

Selection:

OPAL	L3
$p_{\pi^0} > 1.0 \text{ GeV}$ 2-jet, $T > 0.9$	$E(\pi^0) < 6.0 \text{ GeV}$ all events

2-particle BEC $\pi^0\pi^0$ and $\pi^\pm\pi^\pm$

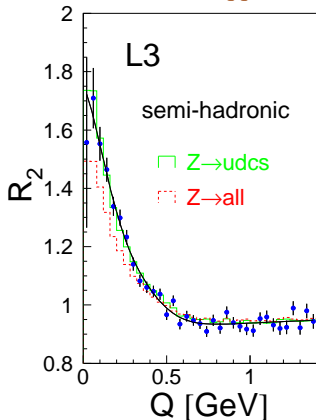
	Expt.	ρ_0	r (fm)	λ
BEC from Z decays Gaussian parametrization	00 L3 $E_\pi < 6$ GeV	MC	0.31 ± 0.10	0.16 ± 0.09
	OPAL $E_\pi > 1, 2$ -jet	mix	0.59 ± 0.09	0.55 ± 0.14
	$\pm\pm$ L3	mix	0.65 ± 0.04	0.45 ± 0.07
	L3 $3\text{-}\pi$	mix	0.65 ± 0.07	0.47 ± 0.08
	L3 $E_\pi < 6$ GeV	MC	0.46 ± 0.01	0.29 ± 0.03
	OPAL	$+-$	$1.00^{+0.03}_{-0.10}$	0.76 ± 0.06

- ▶ L3: $r_{00} < r_{\pm\pm}$ and $\lambda_{00} < \lambda_{\pm\pm}$, both 1.5σ
- ▶ ALEPH, DELPHI find $r_{\pm\pm}(\text{mix})/r_{\pm\pm}(+-) \approx 0.68, 0.51$
Applying this to OPAL $r_{\pm\pm} \approx 0.6 \pm 0.1$ – So, $r_{00} \approx r_{\pm\pm}$
- ▶ L3 and OPAL $\pi^0\pi^0$ results disagree by 2σ
- ▶ Is the L3-OPAL $\pi^0\pi^0$ difference due to E_π and/or 2-jet selection ???

Statistics and Systematics make any conclusions tenuous

Another source of $q\bar{q}$: W

$$e^+e^- \rightarrow W^+W^- \rightarrow q\bar{q}l\nu$$



$$BE(W) = BE(Z \rightarrow \text{light quarks})$$

$$e^+e^- \rightarrow W^+W^- \rightarrow q\bar{q}q\bar{q} \quad \sqrt{s} \approx 190\text{--}200 \text{ GeV}$$

If independent decay of W^+W^- ,
i.e., no BEC between pions from different W 's

$$\begin{aligned} \rho_{4q}(p_1, p_2) = & \rho^+(p_1, p_2) && 1, 2 \text{ from } W^+ \\ & + \rho^-(p_1, p_2) && 1, 2 \text{ from } W^- \\ & + \rho^+(p_1)\rho^-(p_2) && 1 \text{ from } W^+, 2 \text{ from } W^- \\ & + \rho^+(p_2)\rho^-(p_1) && 1 \text{ from } W^-, 2 \text{ from } W^+ \end{aligned}$$

Assuming $\rho^+ = \rho^- = \rho_{2q}$, W separation ~ 0.1 fm

$$\rho_{4q}(p_1, p_2) = 2\rho_{2q}(p_1, p_2) + 2\rho_{2q}(p_1)\rho_{2q}(p_2)$$

Inter- W BEC $\implies W$ decays *not* independent
 \implies *this relation does not hold.*

Measure

- $\rho_{4q}(p_1, p_2)$ from $e^+e^- \rightarrow W^+W^- \rightarrow q\bar{q}q\bar{q}$
- $\rho_{2q}(p_1, p_2)$ from $e^+e^- \rightarrow W^+W^- \rightarrow q\bar{q}l\nu$
- $\rho_{2q}(p_1)\rho_{2q}(p_2)$ from $\rho_{\text{mix}}(p_1, p_2)$ obtained by mixing $l^+\nu q\bar{q}$ and $q\bar{q}l^-\nu$ events

$$W^+W^- \rightarrow q\bar{q}q\bar{q}$$

Measure violation of

$$\rho_{4q}(Q) = 2\rho_{2q}(Q) + 2\rho_{\text{mix}}(Q)$$

by

$$\Delta\rho(Q) = \rho_{4q}(Q) - [2\rho_{2q}(p_1, p_2) + 2\rho_{\text{mix}}(p_1, p_2)]$$

$$D(Q) = \frac{\rho_{4q}(Q)}{2\rho_{2q}(Q) + 2\rho_{\text{mix}}(Q)}$$

$$\delta_I(Q) = \frac{\Delta\rho(Q)}{2\rho_{\text{mix}}(Q)}$$

$\delta_I(Q)$ measures genuine inter-W BEC

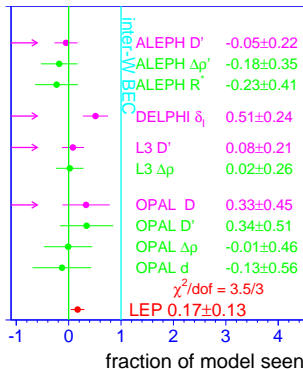
Conclusion: BEC (mostly) between π 's from same string

But errors are large

and event selection (4 well separated jets)

suppresses small Q for π pairs from different strings

Compare to expectation of BE₃₂ model in PYTHIA



DELPHI: $0.51 \pm 0.24 \sim 2\sigma$
 average: $0.17 \pm 0.13 \sim 1\sigma$

Results – ‘Classic’ Parametrizations

$$R_2 = \gamma \cdot [1 + \lambda G] \cdot (1 + \epsilon Q)$$

► Gaussian

$$G = \exp(-(rQ)^2)$$

► Edgeworth expansion

$$G = \exp(-(rQ)^2) \cdot \left[1 + \frac{\kappa}{3!} H_3(rQ)\right]$$

Gaussian if $\kappa = 0$

Fit: $\kappa = 0.71 \pm 0.06$

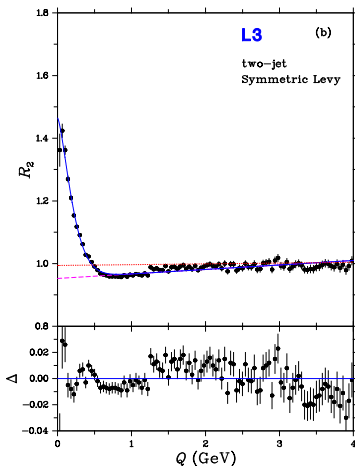
► symmetric Lévy

$$G = \exp(-|rQ|^\alpha)$$

$$0 < \alpha \leq 2$$

Gaussian if $\alpha = 2$

Fit: $\alpha = 1.34 \pm 0.04$



	Gauss	Edgew	Lévy
CL:	10^{-15}	10^{-5}	10^{-8}

Poor χ^2 . Edgeworth and Lévy better than Gaussian, but poor.

Problem is the dip of R_2 in the region $0.6 < Q < 1.5$ GeV Anti-Correlation!

The τ -model

- ▶ **Assume** avg. production point highly correlated with momentum of produced particle:

$$\bar{x}^\mu(p^\mu) = a \tau p^\mu$$

where for 2-jet events, $a = 1/m_t$

$\tau = \sqrt{\tilde{t}^2 - \tilde{r}_z^2}$ is the “longitudinal” proper time

and $m_t = \sqrt{E^2 - p_z^2}$ is the “transverse” mass

and dist. of prod. points about their mean is very narrow (δ -function)

- ▶ Then, with $H(\tau)$ the distribution of proper time

$$R_2(p_1, p_2) = 1 + \lambda \operatorname{Re} \tilde{H} \left(\frac{a_1 Q^2}{2} \right) \tilde{H} \left(\frac{a_2 Q^2}{2} \right), \quad \tilde{H}(\omega) = \int d\tau H(\tau) \exp(i\omega\tau)$$

- ▶ **Assume** a one-sided Lévy distribution for $H(\tau)$

3 parameters:

- ▶ α is the index of stability;
 - ▶ τ_0 is the proper time of the onset of particle production;
 - ▶ $\Delta\tau$ is a measure of the width of the distribution.
- ▶ Then, R_2 depends on Q , a_1 , a_2

BEC in the τ -model

$$R_2(Q, a_1, a_2) = \gamma \left\{ 1 + \lambda \cos \left[\frac{\tau_0 Q^2 (a_1 + a_2)}{2} + \tan \left(\frac{\alpha \pi}{2} \right) \left(\frac{\Delta \tau Q^2}{2} \right)^\alpha \frac{a_1^\alpha + a_2^\alpha}{2} \right] \cdot \exp \left[- \left(\frac{\Delta \tau Q^2}{2} \right)^\alpha \frac{a_1^\alpha + a_2^\alpha}{2} \right] \right\} \cdot (1 + \epsilon Q)$$

Simplification:

- ▶ effective radius, R , defined by $R^{2\alpha} = \left(\frac{\Delta \tau}{2} \right)^\alpha \frac{a_1^\alpha + a_2^\alpha}{2}$
- ▶ Particle production begins immediately, $\tau_0 = 0$
- ▶ Then

$$R_2(Q) = \gamma \left[1 + \lambda \cos \left((R_a Q)^{2\alpha} \right) \exp \left(- (RQ)^{2\alpha} \right) \right] \cdot (1 + \epsilon Q)$$

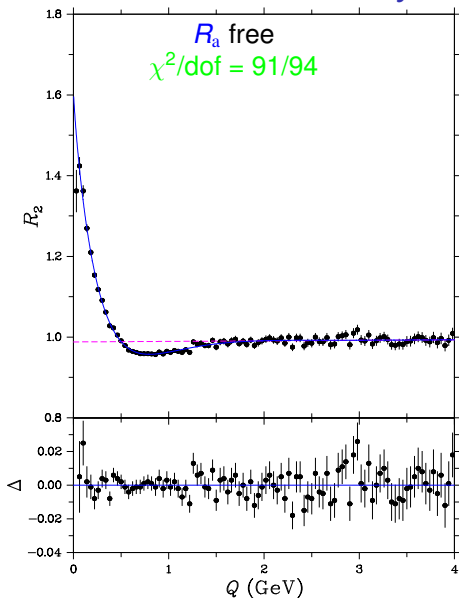
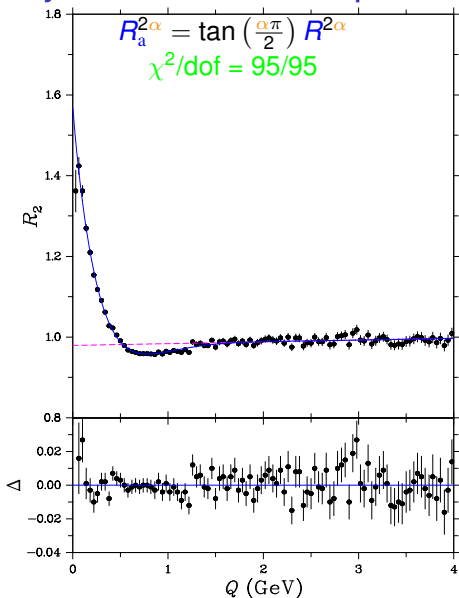
where $R_a^{2\alpha} = \tan \left(\frac{\alpha \pi}{2} \right) R^{2\alpha}$

Compare to sym. Lévy parametrization:

$$R_2(Q) = \gamma \left[1 + \lambda \exp \left[- |rQ|^\alpha \right] \right] (1 + \epsilon Q)$$

- ▶ R describes the BEC peak
- ▶ R_a describes the anticorrelation dip
- ▶ τ -model: both anticorrelation and BEC are related to 'width' $\Delta \tau$ of $H(\tau)$

2-jet Results on Simplified τ -model from L_3 Z decay



Elongation?

- ▶ Previous results using fits of Gaussian or Edgeworth found (in LCMS)
 $r_{\text{side}}/r_{\text{L}} \approx 0.8$ for all events
- ▶ But we find that Gaussian and Edgeworth fit $R_2(Q)$ poorly
- ▶ τ -model predicts no elongation and fits the data well
- ▶ Could the elongation results be an artifact of an incorrect fit function?
or is the τ -model in need of modification?
- ▶ So, we modify *ad hoc* the τ -model description to allow elongation

Elongation in the Simplified τ -model?

$$\begin{aligned} \text{LCMS: } Q^2 &= Q_L^2 + Q_{\text{side}}^2 + Q_{\text{out}}^2 - (\Delta E)^2 \\ &= Q_L^2 + Q_{\text{side}}^2 + Q_{\text{out}}^2 (1 - \beta^2), \quad \beta = \frac{p_{1\text{out}} + p_{2\text{out}}}{E_1 + E_2} \end{aligned}$$

$$\text{Replace } R^2 Q^2 \implies A^2 = R_L^2 Q_L^2 + R_{\text{side}}^2 Q_{\text{side}}^2 + \rho_{\text{out}}^2 Q_{\text{out}}^2$$

Then in τ -model,

$$R_2(Q_L, Q_{\text{side}}, Q_{\text{out}}) = \gamma \left[1 + \lambda \cos \left(\tan \left(\frac{\alpha\pi}{2} \right) A^{2\alpha} \right) \exp(-A^{2\alpha}) \right] \cdot (1 + \epsilon_L Q_L + \epsilon_{\text{side}} Q_{\text{side}} + \epsilon_{\text{out}} Q_{\text{out}})$$

			χ^2/dof	CL
for 2-jet events:	τ -model	$R_{\text{side}}/R_L = 0.61 \pm 0.02$	14847/14921	66%
	Edgeworth	$r_{\text{side}}/r_L = 0.64 \pm 0.02$	14891/14919	56%
		consistent		

Elongation is real

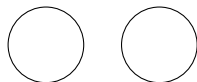
But τ -model must be modified: Q -dependence $\implies \vec{Q}$ -dependence

Another way to get Anti-correlation

Bialas and Zalewski, Phys. Lett. B727(2013)182

Bialas, Florkowski and Zalewski, Phys. Lett. B748(2015)9

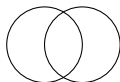
Pions are not point particles.



pions far apart – BEC



pions close together



pions overlapping – no longer pions – So, no BEC

This excluded volume leads to anti-correlation dip.
at approx. the right place – different for Long, side, out

Another parametrization: Levy Polynomial Expansion

De Kock, Eggers, Csögö, ArXiv:1206.1680

Expansion of the Symmetric Lévy distribution in terms of Lévy polynomials:

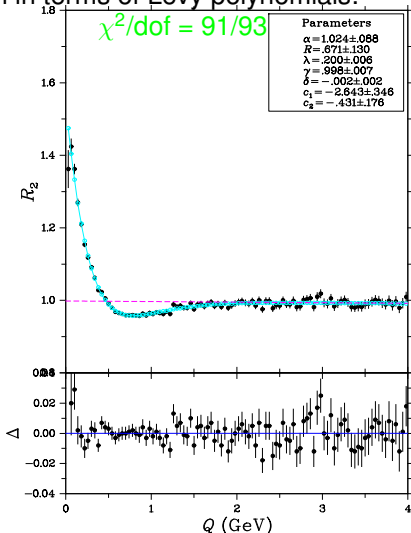
$$R_2 = \gamma \cdot [1 + \lambda G] \cdot (1 + \epsilon Q)$$

$$G = \exp(-|rQ|^\alpha) \left[1 + \sum_{n=1}^{\infty} c_n L_n(rQ | \alpha) \right]$$

Fits L3 data as well as simplified τ -model

Advantage of Levy exp:
model independent

Advantage of simplified τ -model:
anticorrelation region is
simply related to one parameter, R_a



Multiplicity/Jet/rapidity dependence in τ -model

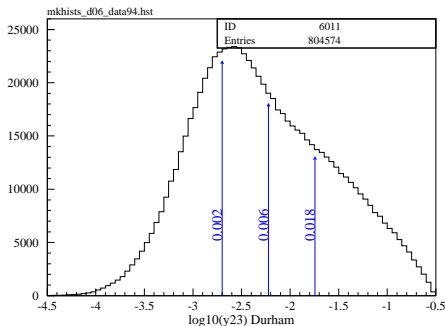
Use simplified τ -model, $\tau_0 = 0$
to investigate multiplicity and jet dependence

To stabilize fits against **large correlation of parameters α and R** , fix $\alpha = 0.44$

Jets

Jets — JADE and Durham algorithms

- ▶ force event to have 3 jets:
 - ▶ normally stop combining when all 'distances' between jets are $> y_{\text{cut}}$
 - ▶ instead, stop combining when there are 3 jets left
 - ▶ y_{23} is the smallest 'distance' between any 2 of the 3 jets
- ▶ y_{23} is value of y_{cut} where number of jets changes from 3 to 2



define regions of y_{23}^D (Durham):

$y_{23}^D < 0.002$ narrow two-jet

$0.002 < y_{23}^D < 0.006$ less narrow two-jet

$0.006 < y_{23}^D < 0.018$ narrow three-jet

$0.018 < y_{23}^D$ wide three-jet

or

$y_{23}^D < 0.006$ two-jet

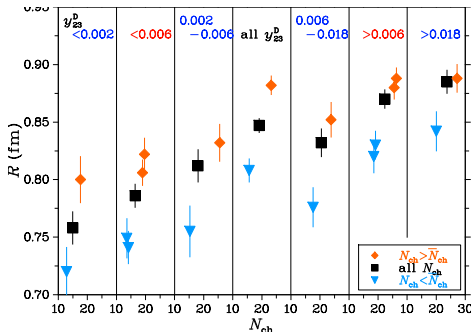
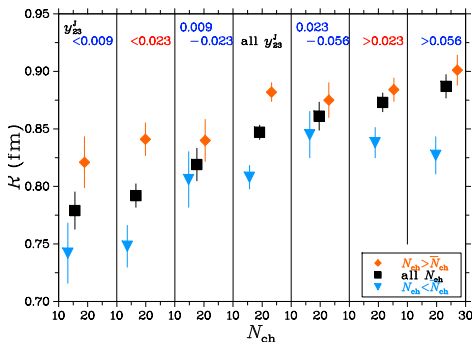
$0.006 < y_{23}^D$ three-jet

and similarly for y_{23}^J (JADE): 0.009, 0.023, 0.056

Multiplicity dependence in τ -model

L3 PRELIMINARY

Using simplified τ -model, $\alpha = 0.44$, $\tau_0 = 0$



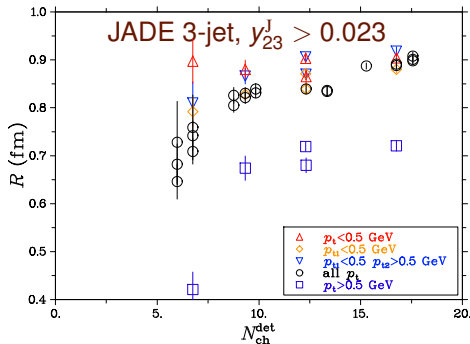
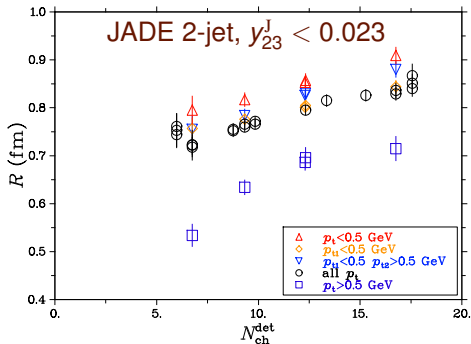
- ▶ R increases with y_{23} , *i.e.*, going from narrow 2-jet to wide 3-jet
- ▶ R increases with multiplicity at all y_{23}

m_t dependence in τ -model

Using simplified τ -model, $\alpha = 0.44$, $\tau_0 = 0$

L3 PRELIMINARY

and cutting on $p_t = 0.5$ GeV ($m_t = 0.52$ GeV)



- ▶ for both 2-jet and 3-jet events, R decreases with m_t for all N_{ch}
smallest when both particles at high m_t

Jets and Rapidity

order jets by energy: $E_1 > E_2 > E_3$

Note: thrust only defines axis $|\vec{n}_T|$, not its direction.

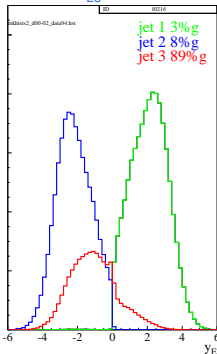
Choose **positive thrust direction** such that **jet 1** is in positive thrust hemisphere

rapidity, y_E , of particles from

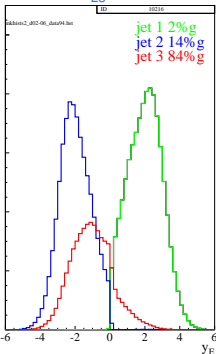
jet 1, jet 2, jet 3:



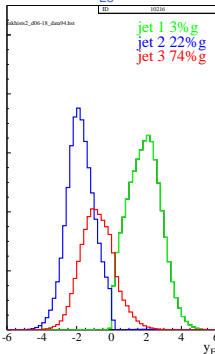
$$y_{23}^D < 0.002$$



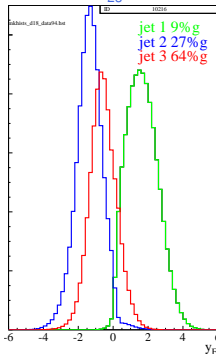
$$0.002 < y_{23}^D < 0.006$$



$$0.006 < \bar{q} y_{23}^D < 0.018$$



$$0.018 < y_{23}^D$$



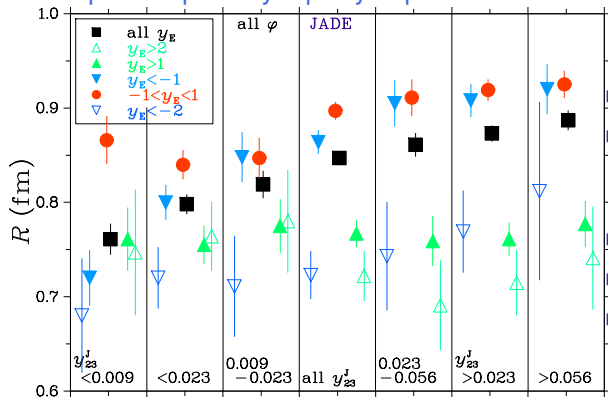
- ▶ $y_E > 1$ almost all jet 1
- ▶ $y_E < -1$ mostly jet 2, some jet 3
- ▶ $-1 < y_E < 1$ jet-3 enriched

almost all quark
mostly quark
largely gluon

Jets and Rapidity – simplified τ -model – L3 preliminary

To stabilize fits against large correlation of α , R , fix $\alpha = 0.44$

Select particle pairs by rapidity of pair



With y_{23}^J ,

- ▶ all y : R increases
- ▶ 'pure' q jet, $y_E > 1$, or $y_E < -1$ & y_{23}^J small, or $y_E < -2$: R const.
- ▶ $R_{-1 < y_E < 1} > R_{\text{'pure' q}}$
- ▶ $R_{y_E < -1}$ increases
- ▶ at large y_{23}^J
- ▶ $R_{-1 < y_E < 1} = R_{y_E < -1}$

Conclusion (Durham agrees):

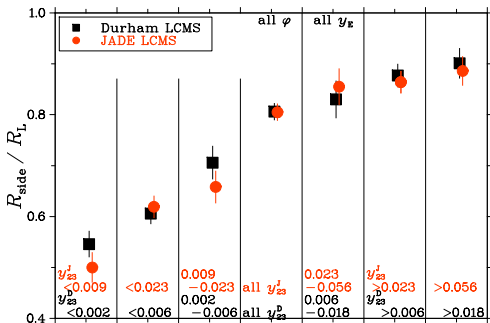
Increase in R with y_{23}^J is due to appearance of gluon jet

τ -model elongation – L3 preliminary

ad hoc extension of τ -model: in LCMS

$$R_2(Q) \implies R_2(\vec{Q}), \quad \vec{Q} = \{Q_L, Q_{\text{side}}, Q_{\text{out}}\}$$

$$R^2 Q^2 \implies R_L^2 Q_L^2 + R_{\text{side}}^2 Q_{\text{side}}^2 + R_{\text{out}}^2 Q_{\text{out}}^2$$



- ▶ Durham, JADE agree
- ▶ Elongation decreases with y_{23} , $R_{\text{side}} \approx 0.5-0.9 R_{\text{long}}$
- ▶ agrees with Gaussian/Edgeworth fits (all events)

Gaussian: $r_{\text{side}}/r_L = 0.80 \pm 0.02 \pm_{0.18}^{0.03}$

Edgeworth: $r_{\text{side}}/r_L = 0.81 \pm 0.02 \pm_{0.19}^{0.03}$

Conclusions

1. LEP has made a good start in investigating fragmentation with BEC
But, statistics limited to
 - ▶ 1-D parametrizations
 - ▶ or very global 3-D parametrizations
2. Anticorrelation region
 - ▶ On what does it depend?
 - ▶ Is strong $x-p$ correlation (as in τ -model) the correct explanation?
 - ▶ pion size?
 - ▶ something else?
 - ▶ 3-D fits needed for different regions, e.g., y_{23} , y , m_t
3. Parametrization
 - ▶ model independent, e.g., Lévy polynomial expansion
 - ▶ τ -model
 - ▶ Known to be inadequate: elongation
 - ▶ Particularly suspect: assumption of strong $x-p$ correlation in transverse plane
 - ▶ relaxing this correlation requires additional parameters, dimensions
 - ▶ other model?
4. Does r depend on mass, charge? π -K-p, π^0 - π^\pm

Desiderata

1. $\pi/K/p$ identification
2. good track efficiency (enters as the square for pairs)
3. good two-track resolution
4. good π^0 measurement
5. good K^0, Λ measurement
6. good b-tag efficiency
7. much higher statistics than LEP
 - ▶ enable narrower bins to better determine BEC parametrization
 - ▶ enable more differential look at event structure, e.g., $R_{\text{in plane}} = R_{\text{out of plane}}$?
 - ▶ L3 analyses I showed used 10^6 events
 - ▶ an example: is R the same for quark, gluon?
need pure gluon jets: double b-tag qqg event with large E_g
 \implies about 1/1000 of the events
So 10^9 events needed to do for gluon what we now do for quarks
 - ▶ 1-D to 3-D requires N_{bins}^3 as many events
For 100 bins 10^6 events $\implies 10^{12}$ events
 - ▶ expected 10^{12} Z events per year per expt looks pretty good