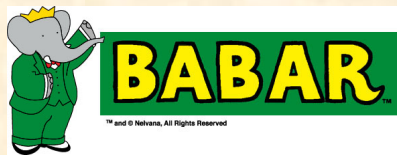


# Studies of fragmentation functions with the *BABAR* detector



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*on behalf of the BABAR Collaboration*

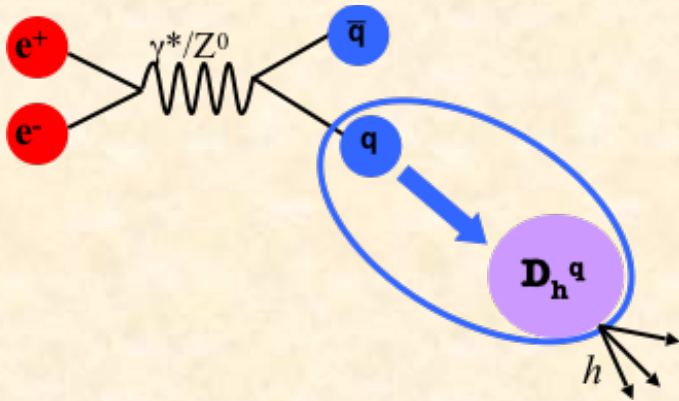


Parton Radiation and Fragmentation  
from LHC to FCC-ee,  
CERN, November 21-22, 2016

# Outline:

- Fragmentation function definition
- Inclusive production of light hadrons at  $\sim 10$  GeV
  - $\pi$ , K,  $p/\bar{p}$  production
- Inclusive studies on charmed baryons at  $\sim 10$  GeV
  - Inclusive  $\Lambda_c$  and  $\Xi_c$  spectra
  - $\Lambda_c^+\bar{\Lambda}_c^-$  correlated production and popcorn mesons
- Measurement of Collins asymmetries:
  - $e^+e^- \rightarrow \pi\pi +X$ ,  $\pi K +X$ , and  $KK +X$
- Conclusions

# Fragmentation functions definition



- Fragmentation functions (FFs) describe the process of hadronization of a parton
- Non-perturbative** objects, but **universal** functions
- Depend on the scaled energy of the hadron  $h$ :

$$x = 2E_h/\sqrt{s}$$

**Total Fragmentation Function**

**Parton Fragmentation Function**

$$\frac{1}{\sigma_0} \frac{d\sigma^{e^+e^- \rightarrow hX}}{dx} = F^h(x, s) = \sum_{i=q, \bar{q}} \int_x^1 \frac{dz}{z} C_i \left( z, \alpha_s(\mu), \frac{s}{\mu^2} \right) D_i^h \left( \frac{x}{z}, \mu^2 \right) + \mathcal{O} \left( \frac{1}{\sqrt{s}} \right)$$

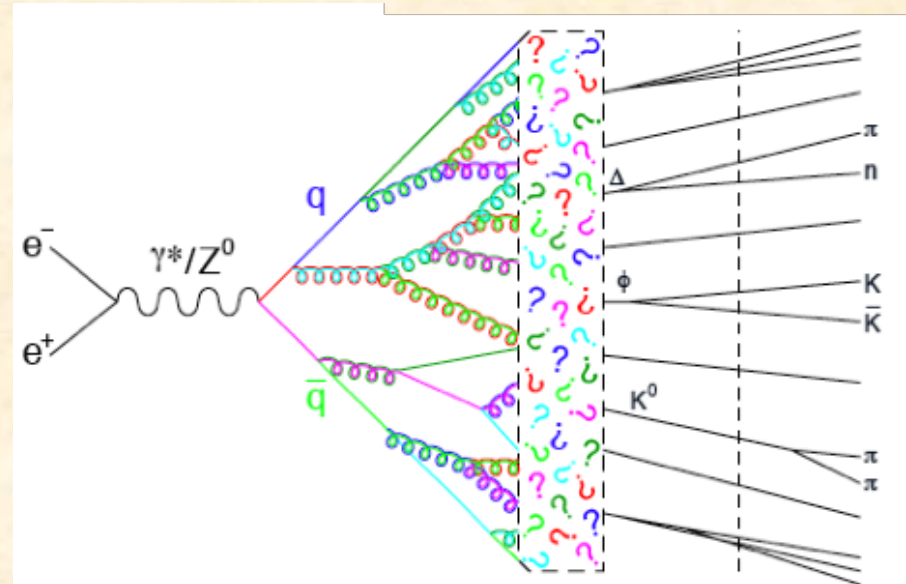
$$\sigma_0 = \sum_q \frac{4\pi\alpha^2}{s} \left( 1 + \frac{\alpha_s}{\pi} + \dots \right)$$

process dependent short distance interaction
non-perturbative part

- $D_I^h(z, \mu^2)$  describes the probability that a parton  $i$  fragments into a hadron  $h$  carrying a fraction  $z$  of the parton momentum
- $e^+e^-$  annihilation is the cleanest environment to study the fragmentation functions
  - but low sensitivity to gluon FF

# Inclusive Hadronic Particle Spectra

- Perturbative QCD corrections lead to logarithmic scaling violations via the evolution equation (DGLAP)
- Precise measurements of IPS at different energies needed to:
  - better comprehend fragmentation processes
  - check consistency with a number of fragmentation models
  - test scaling violation
  - test QCD predictions
- Most of data collected at LEP energies
- Measurement of both quark and antiquark fragmentation
- **Limited precision measurements at low-energy before *B*-factories**



- *BABAR* have measured Inclusive Spectra of:
  - 3 light mesons ( $\pi^\pm$ ,  $K^\pm$ ,  $\eta$ )
  - 1 light baryon ( $\bar{p}/p$ )
  - 4 Heavy baryons ( $\Lambda_c$ ,  $\Xi_c$ ,  $\Xi'_c$ ,  $\Omega_c$ )
- measurements performed both at  $\sqrt{s}=10.54$  GeV and at  $\Upsilon(4S)$  mass peak

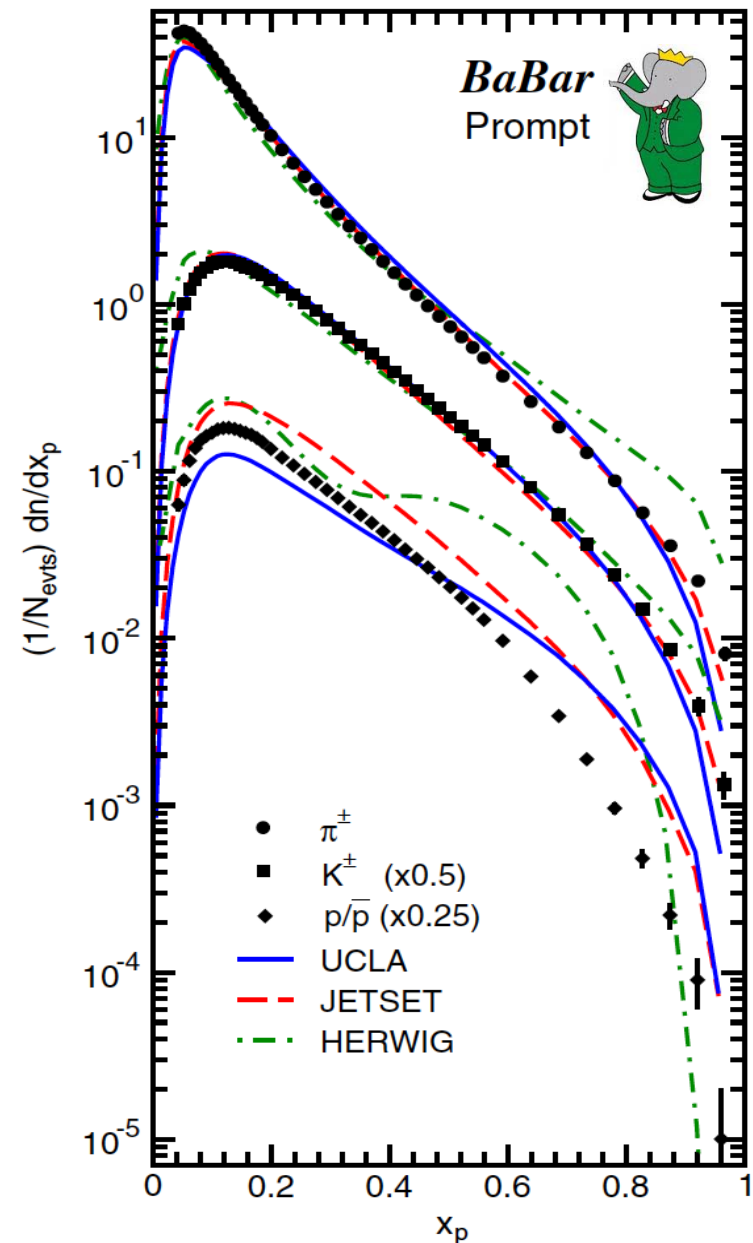
# Inclusive production of light hadrons

Phys. Rev D88, 032011 (2013)

- It uses a data sample of  $0.9 \text{ fb}^{-1}$  @Y(4S) and  $3.6 \text{ fb}^{-1}$  at 10.54 GeV.
- Measured both *conventional* and *prompt* hadrons cross sections:
  - **prompt**: primary hadrons or products of a decay chain where all particles have a lifetime shorter than  $10^{-11}\text{s}$
  - **conventional**: includes weak decay products of  $K_S$  and strange baryons

# Test of hadronization models

- Scaled momentum distribution:  $x_p = 2p^*/E_{cm}$ 
  - Coverage:  $0.2 < p^* < 5.27 \text{ GeV}/c$
  - Syst. uncertainties from  $\sim 2\%$  to  $\sim 10\%$  in the highest momentum bins, dominate the full error
- Data consistent and much more precise than previous ARGUS data at similar energies
- Consistent also with Belle data, with some deviation at the highest momenta
- Data are compared to predictions of three hadronization models
  - Parameters tuned on previous data from ARGUS and from higher energy experiments
- Consistency for pions and kaons within  $\sim 10\%$ 
  - but significant differences in shape
- Poor description of proton data



# Scaling properties

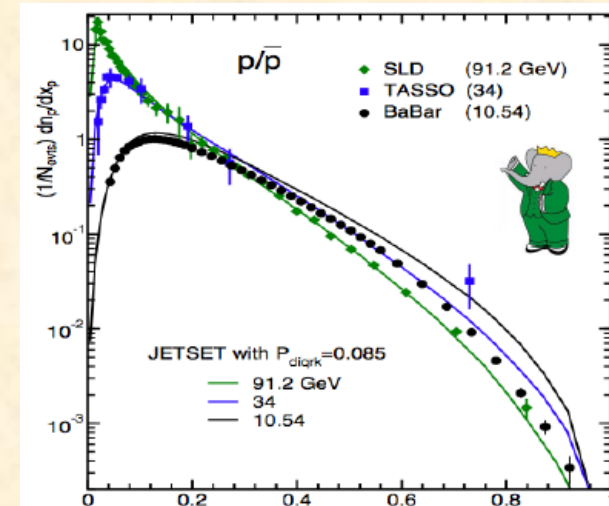
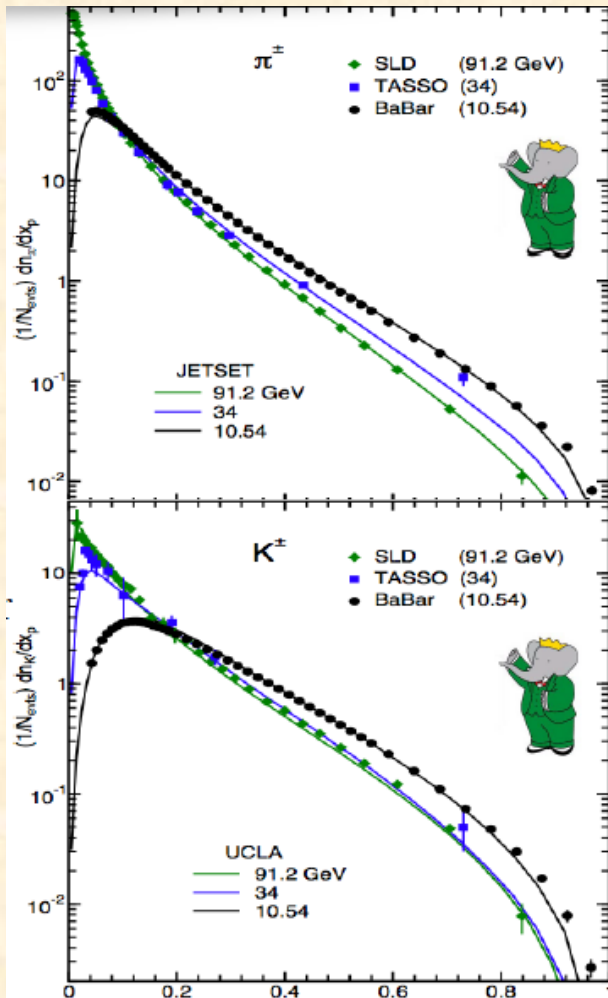
- Hadronization should be **scale invariant** except for “small” effects of hadron masses, running of  $\alpha_S, \dots$

- Scaling violations at low  $x_p$ , due to masses are well known and modeled adequately (here JETSET is shown for comparison)

- Expect substantial scaling violations at high  $x_p$ :

- Seen clearly in  $\pi$  and  $K$  data; reproduced by models (within a few % for  $\pi$ , and 15% for  $K$ )

- Much smaller scaling violation in proton data than models predict



# Test of MLLA+LHPD QCD predictions

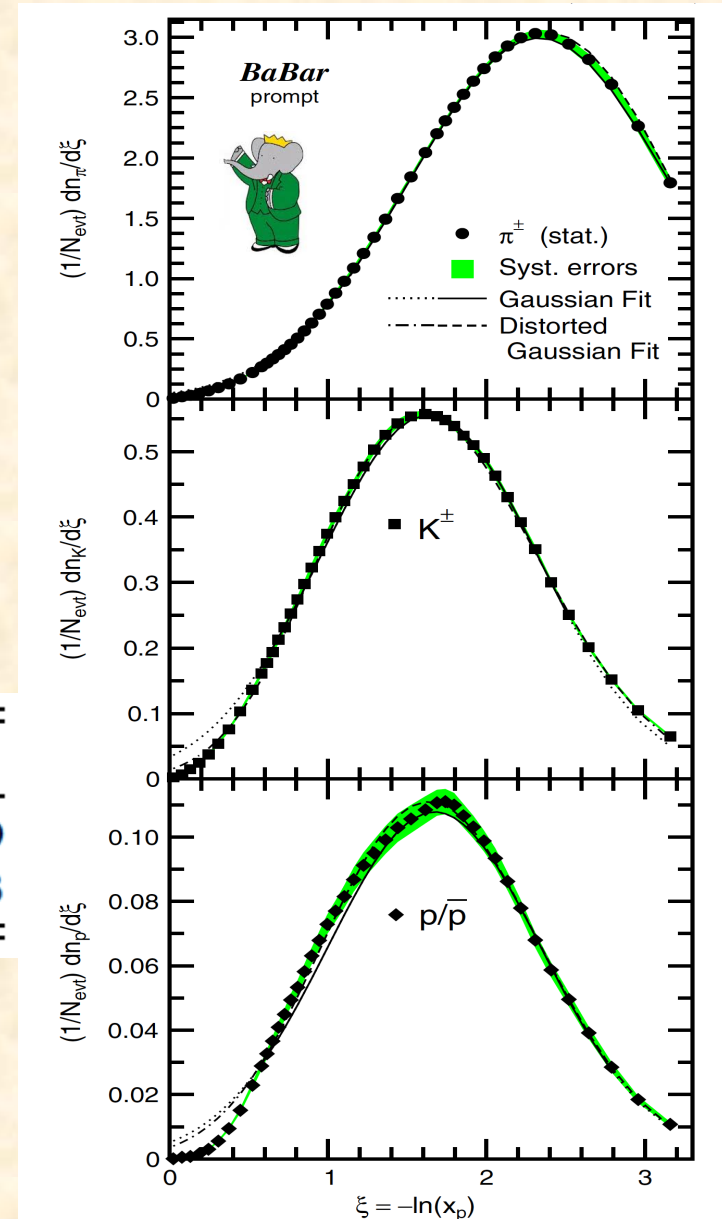
In the **Modified Leading Logarithmic Approximation (MLLA)** with **Local Parton Hadron Duality (LHPD)** ansatz [Azimov, Z.Phys.C27,65 (1985)]:

- the multiplicity distributions versus  $\xi = -\ln(x_p)$  should be Gaussian near the peak;
- The peak position  $\xi^*$  should decrease exponentially with increasing hadron mass at a given  $E_{\text{cm}}$
- $\xi^*$  should increase logarithmically with  $E_{\text{cm}}$  for a given hadron type

Peak position  $\xi^*$  from symmetric gaussian fits

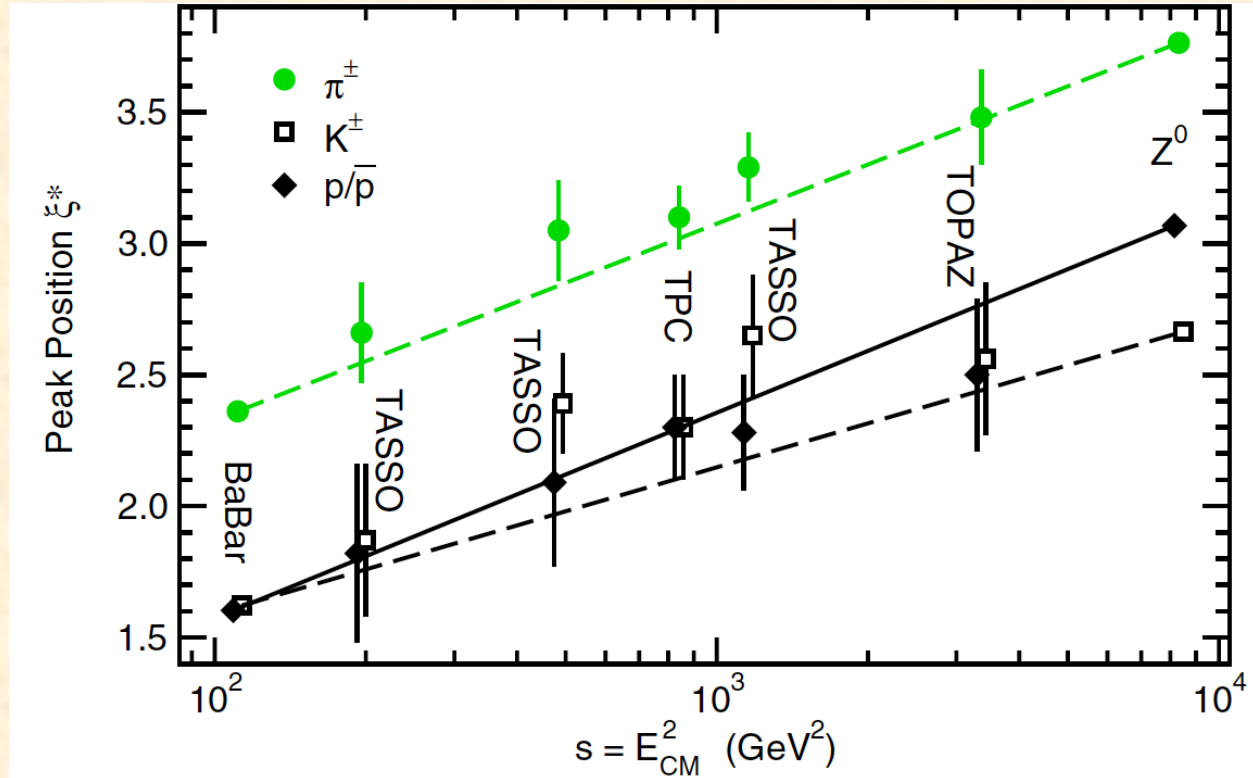
	$\pi^\pm$	$K^\pm$	$p/\bar{p}$
Prompt	$2.337 \pm 0.009$	$1.622 \pm 0.006$	$1.647 \pm 0.019$
Conventional	$2.353 \pm 0.009$	$1.622 \pm 0.006$	$1.604 \pm 0.013$

it is observed  $\xi^*_{p/\bar{p}} \approx \xi^*_K$





# Test of MLLA+LHPD QCD predictions



- *BABAR* and  $Z^0$  data provide precise determination of the slopes
- All data are consistent with the expected **logarithm dependence with the center-of-mass energy**
- Similar slopes for pions and protons, different for kaons
  - possibly due to flavor composition changing with  $E_{cm}$

# Inclusive production of charmed hadrons

- [Phys.Rev. D75, 012003 \(2007\)](#) : Inclusive  $\Lambda_c$  production
- [Phys.Rev.Lett. 95, 142003 \(2005\)](#) : Production and decay of  $\Xi_c$
- [Phys.Rev.Lett. 99, 062001 \(2007\)](#) : Production and decay of  $\Omega_c$
- [hep-ex/0607086](#) :  $\Xi_c'$  production

# Charm production at *BABAR*

- Heavy hadrons produced in  $e^+e^-$  annihilations provide a laboratory for the study of heavy-quark jet fragmentation
- Relevant quantities are
  - Relative production rates for different spin, parity, ...
  - Associated momentum spectra
  - Differences among mesons and baryons
- Measurements at 10.54 GeV, below  $B\bar{B}$  production threshold, are the ideal place to study  $e^+e^- \rightarrow c\bar{c}$  reactions, and test charm fragmentation functions, the charmed hadrons being made of one of the leading quarks
- Large amount of data to study  $b \rightarrow c$  decays from inclusive measurements at the Y(4S):
  - *B-mesons*  $\rightarrow$  *charmed mesons/baryons*

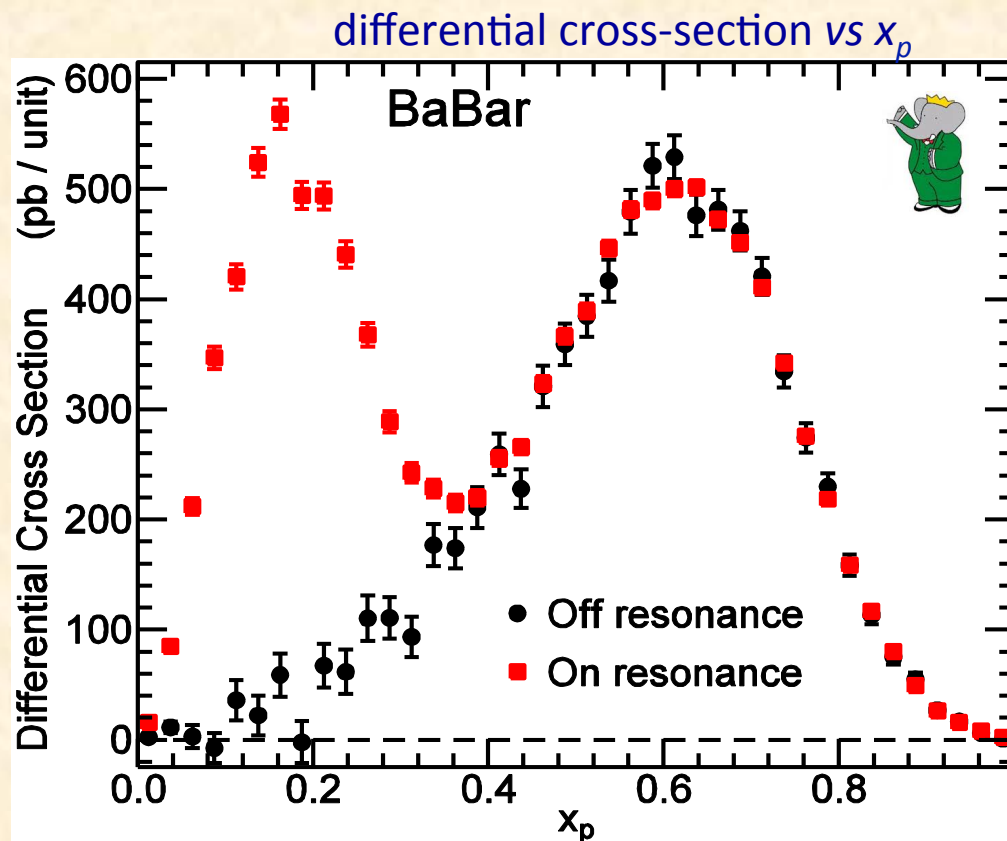
# Inclusive $\Lambda_c$ spectrum measurement

PRD 75, 012003 (2007)

9.5 fb<sup>-1</sup> off-resonance

81 fb<sup>-1</sup> on-resonance

- reconstruct  $\Lambda_c^+ \rightarrow pK^-\pi^+$  from tracks consistent from originating from interaction point
- evaluate track efficiencies from data in two-dimensional  $(p, \theta)$  bins
- weight events according to inverse efficiency matrix
- fit mass peak in each  $x_p$  bin



- Determine  $e^+e^- \rightarrow c\bar{c}$  events from off-resonance data ( $E_{cm}=10.54$  GeV)
- Determine  $e^+e^- \rightarrow B\bar{B}$  events from on-resonance data subtracting the off-resonance cross section scaled by the different c.m. energy

# Inclusive $\Lambda_c$ spectrum measurement

PRD 75, 012003 (2007)

- We measure (at  $E_{cm} = 10.54$  GeV):

- $\langle x_p \rangle = 0.574 \pm 0.009$

- Total rate per event:

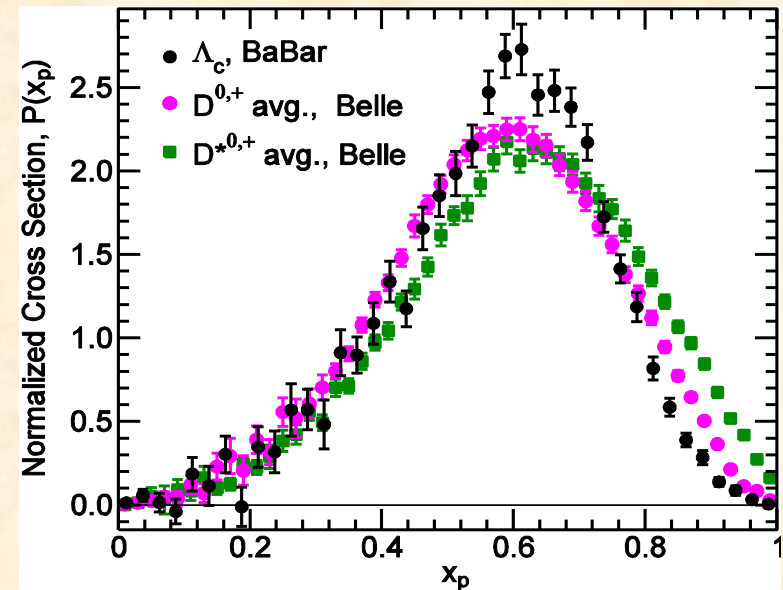
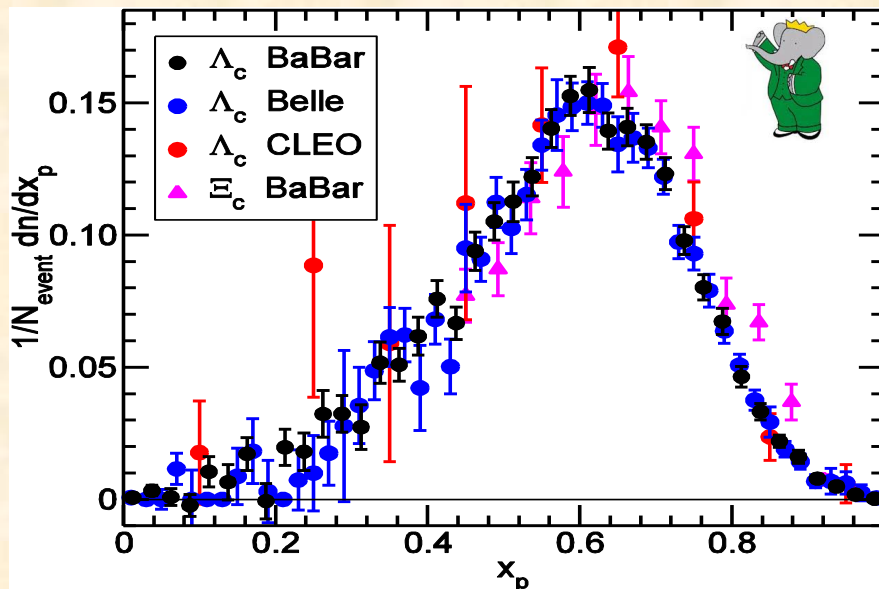
$$N_{\Lambda_c}^{q\bar{q}} = 0.057 \pm 0.002(\text{exp}) \pm 0.015(\Lambda_c BF)$$

- assuming  $\Lambda_c^+$  from  $e^+e^- \rightarrow cc$ , we get a production rate per c-jet of:

$$N_{\Lambda_c}^{c-jet} = 0.071 \pm 0.003(\text{exp}) \pm 0.018(\Lambda_c BF)$$

- Result consistent with previous CLEO and Belle measurements

- Compare to other baryons or mesons
- $\Lambda_c$  peak slightly lower w.r.t.  $\Xi_c$
- $D$  mesons (both PS and V state), show broader peaks and differ significantly for  $x_p \sim 1$

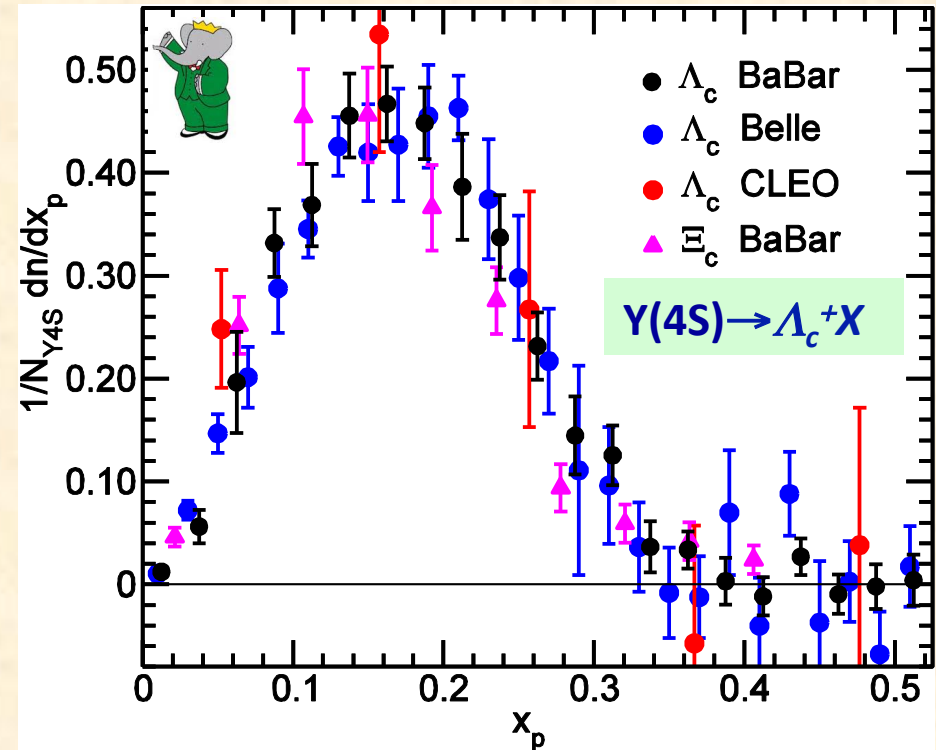


# Inclusive $\Lambda_c$ spectrum at the Y(4S)

- Spectrum for Y(4S) decays obtained subtracting the much harder  $e^+e^- \rightarrow cc$  spectrum
- Kinematic limit  $x_p = 0.47$
- Shape consistent with previous results
- We measure

$$N_{\Lambda_c}^Y = 0.091 \pm 0.006(\text{exp}) \pm 0.024(\Lambda_c BF)$$

- *i.e.*  $(4.5 \pm 1.2)\%$  of  $B_{u,d}$  decays include a  $\Lambda_c$

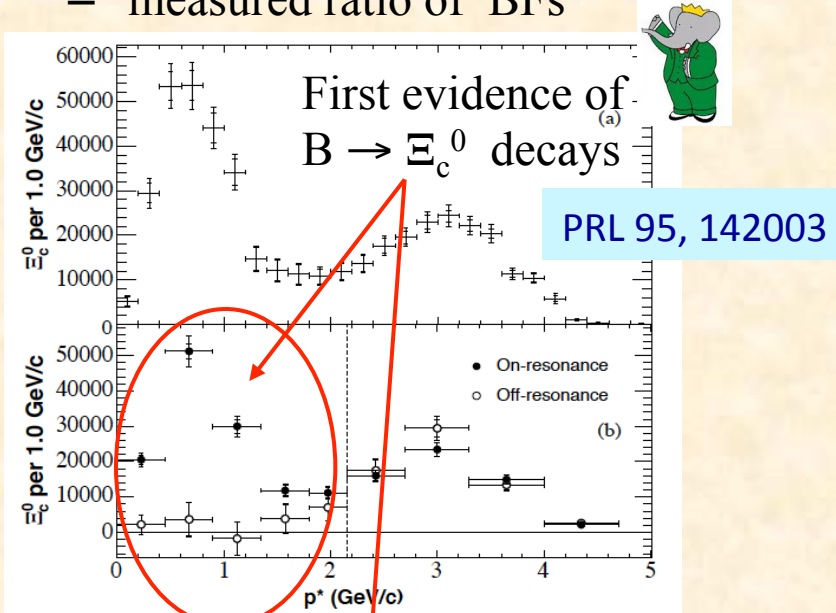


➤ Data suggest a dominance of quasi-two-body decays like:

- $B \rightarrow (\Lambda_c^+ \bar{p}, \Lambda_c^+ n, \Lambda_c^+ \Delta, \Sigma_c^+ \bar{p}) + m\pi$
- comparing with MC simulations the favorite range for the number of pions is  $3 < m < 5+$
- also  $B$  decays into 2 charmed baryons seem to contribute significantly

# More $c$ -baryons inclusive spectra: $\Xi_c^0$ and $\Omega_c^0$

- Measurements based on a data set of 230 fb<sup>-1</sup>
- $\Xi_c^0$  reconstructed in two decay modes
  - measured ratio of BF's



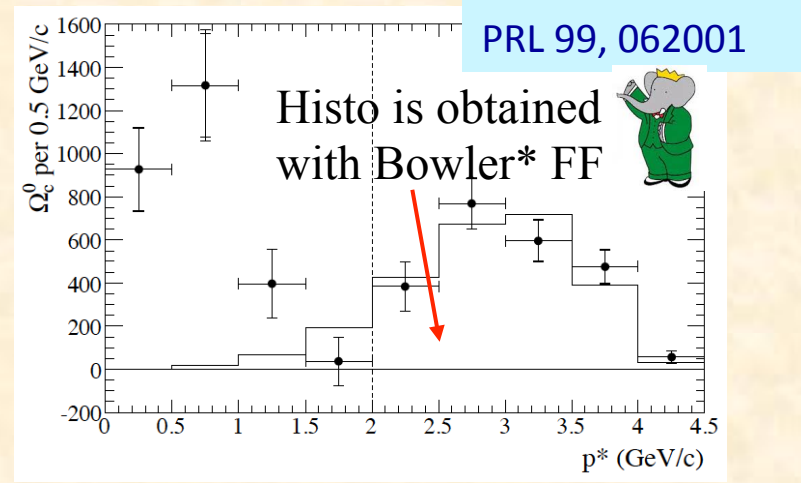
B meson Branching Fraction

$$B(B \rightarrow \Xi_c^0 X) \times B(\Xi_c^0 \rightarrow \Xi^- \pi^+) = (2.11 \pm 0.19 \pm 0.25) \times 10^{-4}$$

Integrated cross section from cc

$$\sigma(e^+e^- \rightarrow \Xi_c^0 X) \times BF(\Xi_c^0 \rightarrow \Xi^- \pi^+) = (388 \pm 39 \pm 41) \text{ fb}$$

- $\Omega_c^0$  reconstructed in 4 decay modes
  - measured ratio of BF's



B meson Branching Fraction

$$BF(B \rightarrow \Omega_c^0 X) \times BF(\Omega_c^0 \rightarrow \Omega^- \pi^+) = [5.2 \pm 0.9(\text{exp}) \pm 0.5(\text{model})] \times 10^{-6}$$

Integrated cross section for continuum production

$$\sigma(e^+e^- \rightarrow \Omega_c^0 X) \times BF(\Omega_c^0 \rightarrow \Omega^- \pi^+) = 11.2 \pm 1.3(\text{exp}) \pm 1.0(\text{model}) \text{ fb}$$

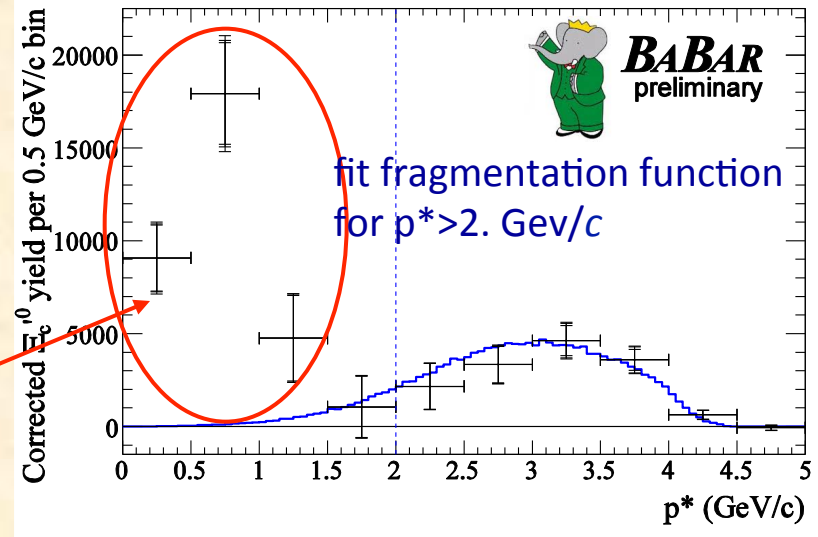
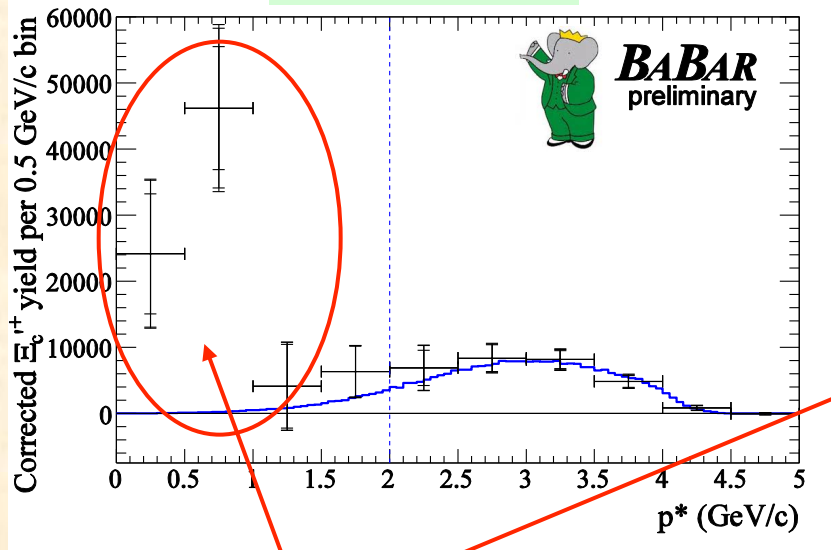
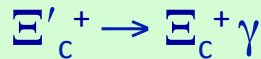
\* M.G. Bowler, Z. Phys. C11, 169 (1981).

# More $c$ -baryons inclusive spectra: $\Xi'_c$

hep-ex/0607086

State	Mass (MeV/c <sup>2</sup> )	J <sup>P</sup>
$\Xi_c$	2470	1/2 <sup>+</sup>
$\Xi'_c$	2575	1/2 <sup>+</sup>
$\Xi_c^*$	2645	3/2 <sup>+</sup>

- $\Xi'_c$  first observed by CLEO in 1999
- $\Delta m = m(\Xi'_c) - m(\Xi_c) = 107 \text{ MeV}/c^2$ 
  - electromagnetic decay  $\Xi'_c \rightarrow \Xi_c \gamma$



➤ first evidence of  $B \rightarrow \Xi'_c$  decays

$$\mathcal{B}(B \rightarrow \Xi'_c{}^+ X) \times \mathcal{B}(\Xi_c{}^+ \rightarrow \Xi^- \pi^+ \pi^+) = (1.69 \pm 0.17(\text{exp.}) \pm 0.10(\text{model})) \times 10^{-4}$$

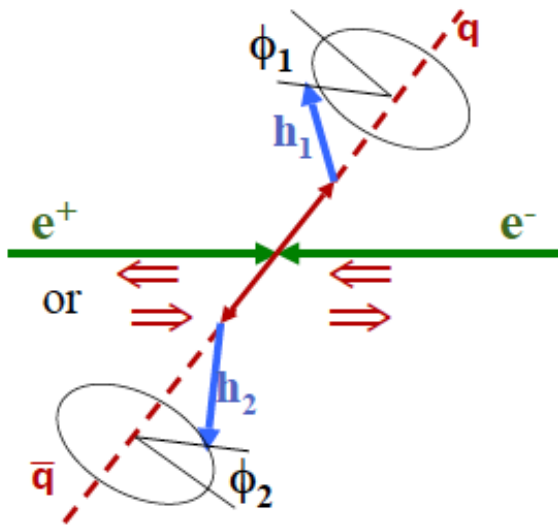
$$\mathcal{B}(B \rightarrow \Xi'_c{}^0 X) \times \mathcal{B}(\Xi_c{}^0 \rightarrow \Xi^- \pi^+) = (0.67 \pm 0.07(\text{exp.}) \pm 0.03(\text{model})) \times 10^{-4}$$



# Measurement of Collins asymmetries for charged pions and kaons

- [Phys.Rev. D90, 052003 \(2014\)](#) : Collins asymmetries for pion pairs
- [Phys.Rev. D92, 111101\(R\) \(2015\)](#) : Collins asymmetries for  $\pi\pi/\pi K/KK$  pairs

# The Collins Fragmentation Function



Polarized FF (Collins FF): dependence on  $z=2E_h/\sqrt{s}$ ,  $P_\perp$ , and  $s_q$

“Standard” unpolarized FF

$$D_1^{q\uparrow}(z, \mathbf{P}_\perp; s_q) = D_1^q(z, P_\perp) + \frac{P_\perp}{zM_h} \boxed{H_1^{\perp q}(z, P_\perp) \mathbf{s}_q \cdot (\mathbf{k}_q \times \mathbf{P}_\perp)}$$

- $\mathbf{H}_1^\perp$  is the **polarized** fragmentation function or **Collins FF**
- **Chiral-odd** function
- could arise from a **spin-orbit** coupling
- leads to an asymmetry in the angular distribution of final state particles (**Collins effect**) NPB 396,161(1993)
- first non-zero Collins effect observed in SIDIS PRL 94,012002(2005)  
NPB 765, 31(2007)

In  **$e^+e^-$  annihilation**,  $\gamma^*$  (spin-1)  $\rightarrow$  spin-1/2  $q$  and  $\bar{q}$

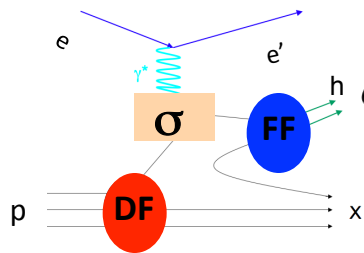
- in a given event, the spin directions are unknown, but they must be parallel
- they have a polarization component transverse to the  $q$  direction  $\sim \sin^2\theta$  ( $\theta$  wrt the  $e^+e^-$ )

- exploit this correlation by using hadrons in opposite jets

$$e^+e^- \rightarrow q\bar{q} \rightarrow \pi_1\pi_2 X \quad (q=u, d, s) \implies \sigma \propto \cos(\phi_i) \mathbf{H}_1^\perp(z_1) \otimes \mathbf{H}_1^\perp(z_2),$$

# Use Collins FF to extract Transversity

## SIDIS: Semi Inclusive Deep Inelastic Scattering



Factorization theorem:

$$\sigma^{ep \rightarrow ehX} = \sum_q DF \times \sigma(eq \rightarrow eq) \times FF$$

$$\sigma \propto \sin(\phi_h + \phi_s) h_1(x_B) \otimes H_1^\perp(z_1)$$

Transversity function

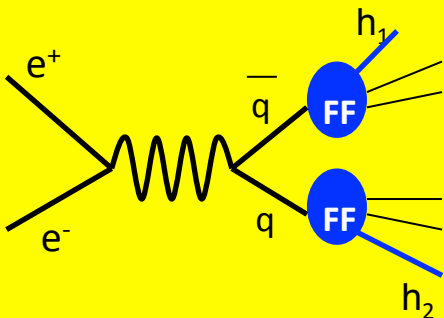
## SIDIS

- Unpolarized lepton beam off transversely polarized nucleon target
  - non-zero Collins effects
  - spin direction known
  - two chiral-odd functions

**Transversity PDF & Collins FF**

Global analysis of **SIDIS (HERMES & COMPASS)** and **e+e- (BELLE, BABAR, BESIII)** data

==> simultaneous determination of Transversity ( $h_1$ ) and Collins functions (CFF).



$$e^+e^- \rightarrow q\bar{q} \rightarrow h_1 h_2 X \quad (q = u, d, s)$$

$$\sigma \propto \cos(\phi_i) H_1^\perp(z_1) \otimes H_1^\perp(z_2)$$

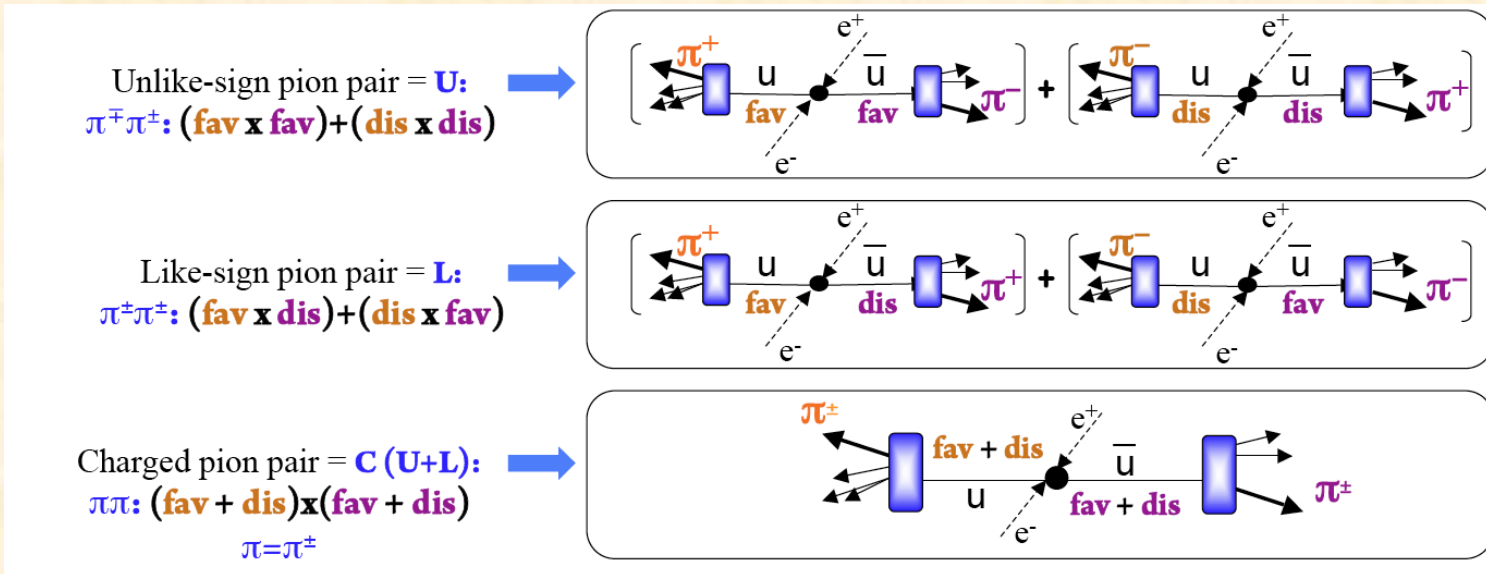
## e<sup>+</sup>e<sup>-</sup> annihilation

- $\gamma^*$  (spin-1) goes to spin-1/2  $q$  and  $\bar{q}$ 
  - **Two Collins functions contribute to the cross section**

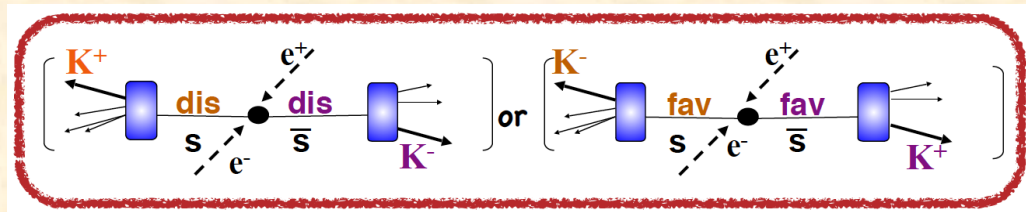
# Collins effect in di-hadron correlation

Detection of hadron pairs with same or opposite charge sensitive to different combination of **favored** and **disfavored** FFs

- **favored FF:** one of the parent quarks matches a valence quark in the hadron,
  - i.e.:  $u \rightarrow \pi^+$ ,  $d \rightarrow \pi^-$ ,  $s \rightarrow K^-$ , ...
- **disfavored FF:** no such match, i.e.  $d \rightarrow \pi^+$ ,  $u \rightarrow \pi^-$ ,  $s \rightarrow K^-$ ,  $s \rightarrow \pi^+$ , ...



Similarly for Unlike-sign  
Kaon pairs:



# Analysis reference frames

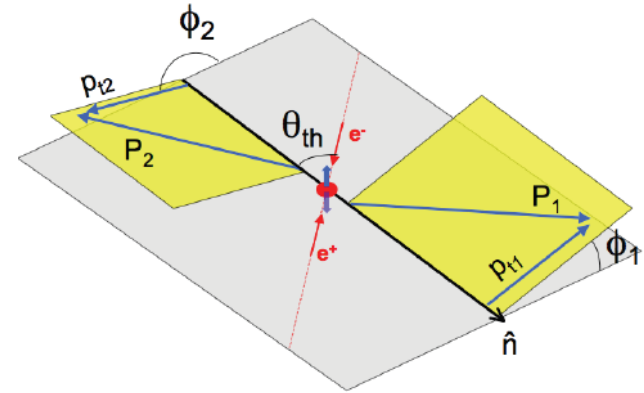
[See NPB 806, 23 (2009)]

## RF12 or Thrust RF

- **Thrust axis** to estimate the  $q\bar{q}$  direction
- $\phi_{1,2}$  defined using thrust-beam plane
- Modulation diluted by gluon radiation, detector acceptance,...

$$\sigma \sim 1 + \frac{\sin^2 \theta_{th}}{1 + \cos^2 \theta_{th}} \cos(\phi_1 + \phi_2) \frac{H_1^\perp(z_1) \bar{H}_1^\perp(z_2)}{D_1(z_1) \bar{D}_1(z_2)}$$

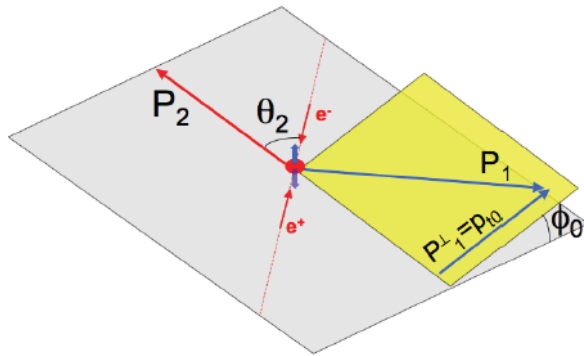
All quantities in  $e^+e^-$  center of mass



## RF0 or Second hadron momentum RF

- Alternatively, just use **one track** in a pair
- Very clean experimentally (no thrust axis), less so theoretically
- Gives quark direction for higher pion momentum

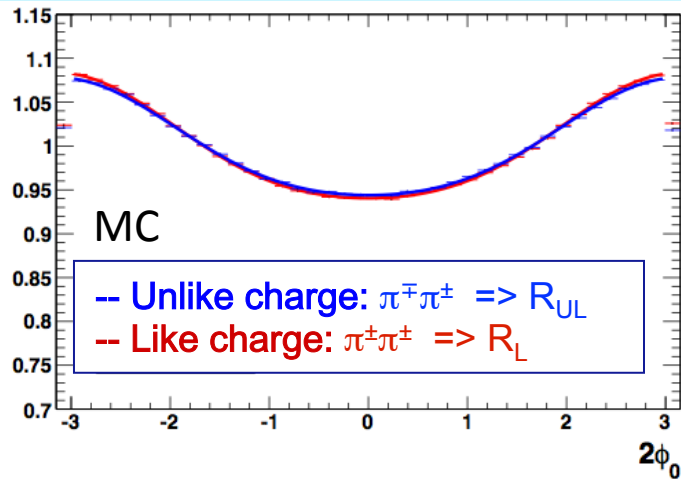
$$\sigma \sim 1 + \frac{\sin^2 \theta_2}{1 + \cos^2 \theta_2} \cos(2\phi_0) \mathcal{F} \left[ \frac{H_1^\perp(z_1) \bar{H}_1^\perp(z_2)}{D_1(z_1) \bar{D}_1(z_2)} \right]$$



Collins effect is measured as a function of the pions fractional energy ( $z_{1,2}=2E_\pi/\sqrt{s}$ ), pions transverse momentum ( $p_{t1}, p_{t2}, p_{t0}$ ), and as a function of the polar angle of the reference axis ( $\theta_{th}, \theta_2$ )

# Extraction of asymmetry parameters from data

$N^{(U,L)}(\phi)/\langle N^{(U,L)}(\phi) \rangle$  in MC sample



## • Collins Asymmetries

- extracted from fit to the **normalized azimuthal** distribution

$$R_{\alpha} = \frac{N(\phi_{\alpha})}{\langle N_{\alpha} \rangle} = a + b \cdot \cos(\phi_{\alpha})$$

- unpolarized contribution is flat
- Collins FF contained in the cosine moment **b**

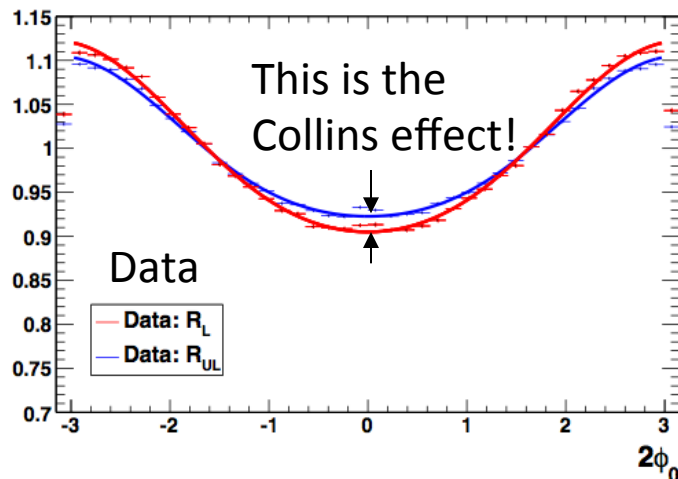
## • The MC generator does not include polarized FF as the Collins FF

- observed modulation in MC sample produced by detector acceptance
- correction of these effects with MC would bring to too large systematic uncertainties

## • Collins effect not sensitive to electric charge

- U and L distribution coincident in MC
- slightly different in data due different contribution of favored and unfavored FF

$N^{(U,L)}(\phi)/\langle N^{(U,L)}(\phi) \rangle$  in data sample

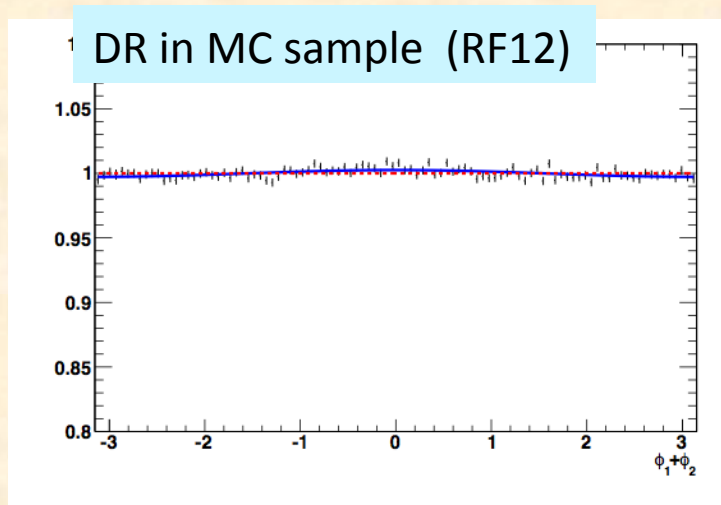


# Double Ratios

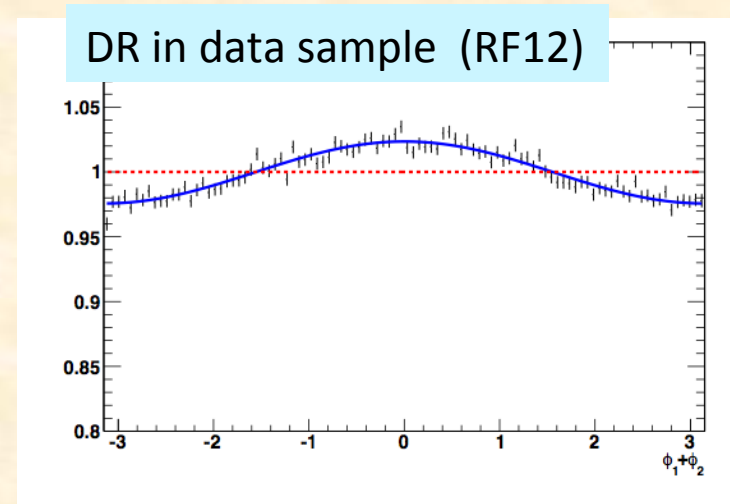
- Double Ratio (DR) of Unlike-sign over Like-sign pion pairs:
  - ➔ **eliminate the acceptance effects and the first order radiative effects**
    - acceptances and radiative contributions do not depend on the charge combination of the pion pair;
    - approximation holds for small asymmetries.

$$\frac{R_{\alpha}^U}{R_{\alpha}^L} = \frac{N^U(\phi_{\alpha}) / \langle N^U(\phi_{\alpha}) \rangle}{N^L(\phi_{\alpha}) / \langle N^L(\phi_{\alpha}) \rangle} \rightarrow P_0 + P_1 \cdot \cos(\phi_{\alpha})$$

Contains only the Collins effects and higher order radiative effects



MC: small deviation from zero  
 ==> assigned as a systematic error



Uncorrected Asymmetry

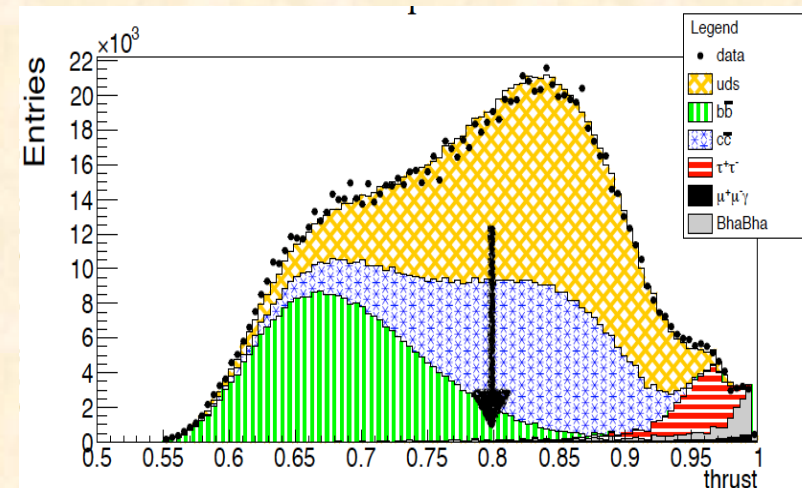
# Analysis strategy

- Two analyses performed at BABAR:
  1.  $e^+e^- \rightarrow X + \pi\pi$  PRD 90, 052003
    - asymmetries as a function of pions  $z$  and  $p_T$
  2.  $e^+e^- \rightarrow X + \pi\pi/\pi K/KK$  PRD 92, 111101(R)
    - simultaneous extraction of asymmetries for  $\pi\pi$ ,  $\pi K$ , and  $KK$  pairs

## Analysis strategy:

- Perform event and particle selections
- Separate into  $\pi\pi$ ,  $KK$  and  $K\pi$  candidate sets and subdivide into Like and Unlike charge
  - Charged data set is the combination of U and L.
- Measure azimuthal angle distributions for each set in both reference frames
  - Take the ratios of Unlike to Like and Unlike to Charged normalized distributions
  - Subtract background contributions and correct for particle misidentification
- Extract Collins Asymmetry from each set, as a function of kinematic variables

Selection of two jets topology:  $\text{thrust} > 0.8$

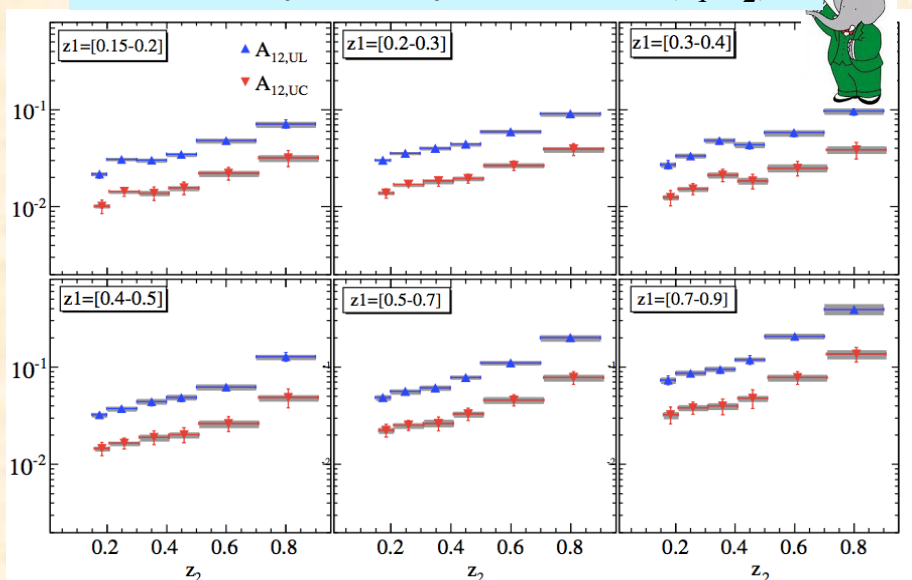




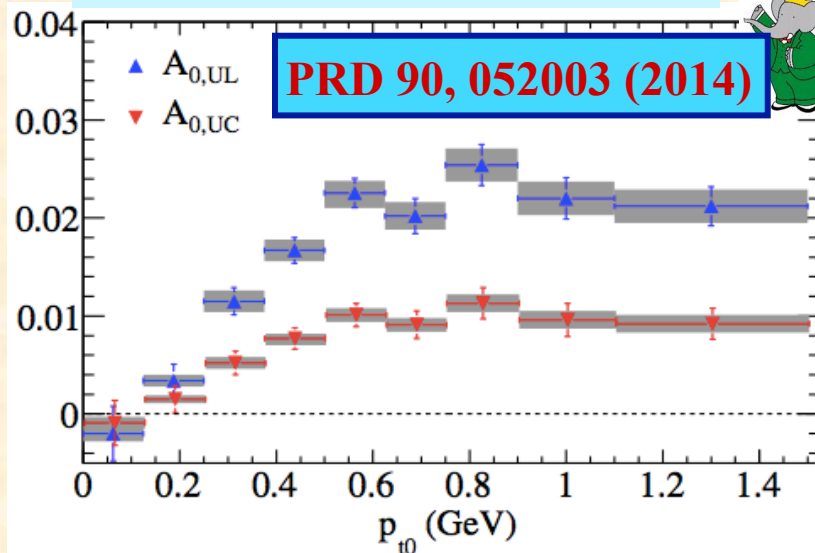
# Collins asymmetries in pion pair production

Measurement of Collins asymmetries from double ratios in  $e^+e^- \rightarrow \pi\pi X$  have been performed by **Belle** (PRD78,032011, Erratum PRD 86, 039905) and **BABAR** at  $Q^2 \sim 110 \text{ GeV}^2$ , and by **BESIII** (PRL 116, 042001) at  $Q^2 \sim 13 \text{ GeV}^2$

RF12: Asymmetry in bins of  $(z_1, z_2)$



RF0: Asymmetry in bins of  $p_T$



Collins asymmetry measured by **BABAR** as function of:

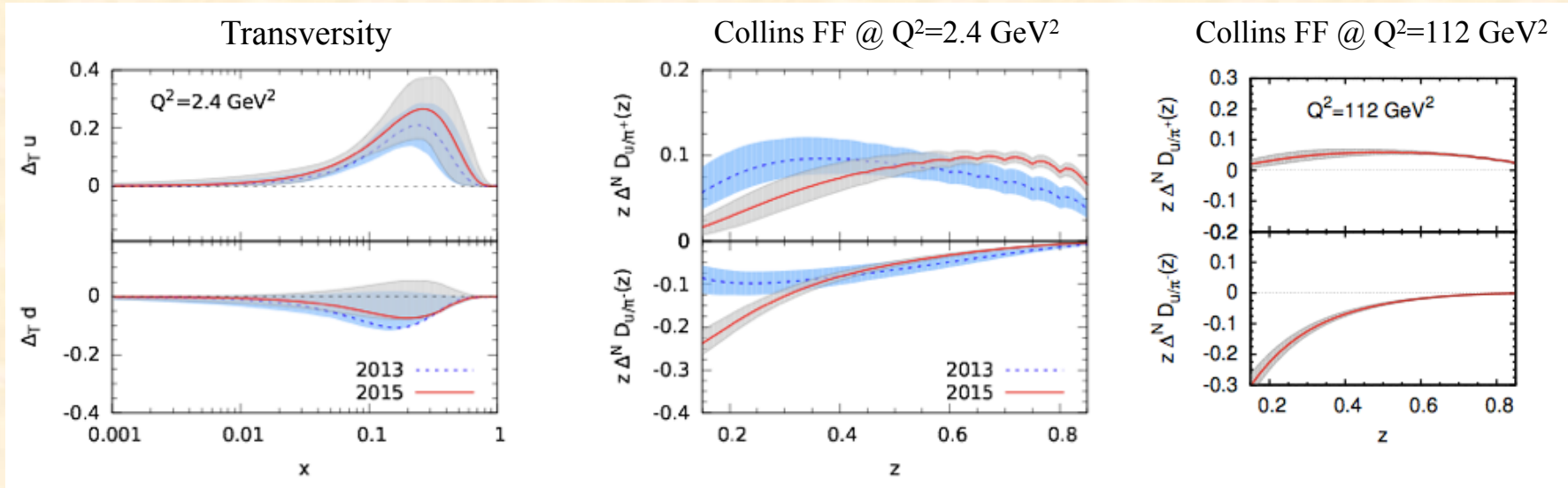
- $6 \times 6$  bins of pion fractional energy in both RF12 and RF0
- $4 \times 4$  bins of  $(p_{t1}, p_{t2})$  in RF12
- 9 bins of  $p_{t0}$  in RF0
- asymmetry measured also vs.  $\sin^2\theta_{th}/(1+\cos^2\theta_{th})$  and  $\sin^2\theta_2/(1+\cos^2\theta_2)$

$A^{UL}$  and  $A^{UC}$  asymmetries strongly correlated as they are obtained from the same data sample

# BABAR $\pi\pi$ results and global fits

Extraction of the Transversity PDF and Collins FF combining SIDIS and  $e^+e^-$  data

**Anselmino et al: arXiv:1510.05389**



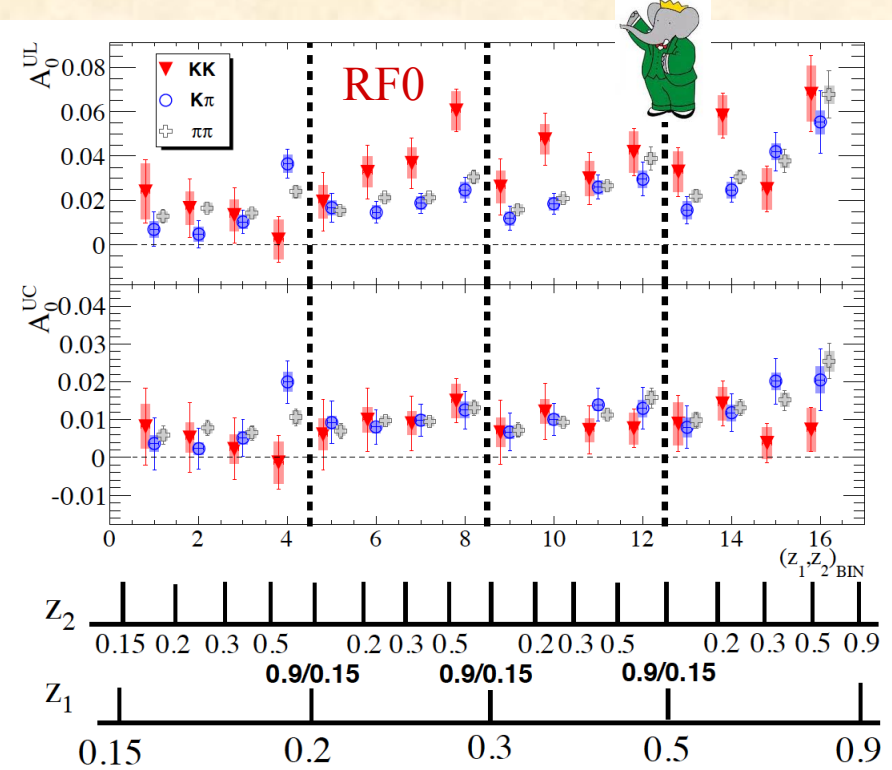
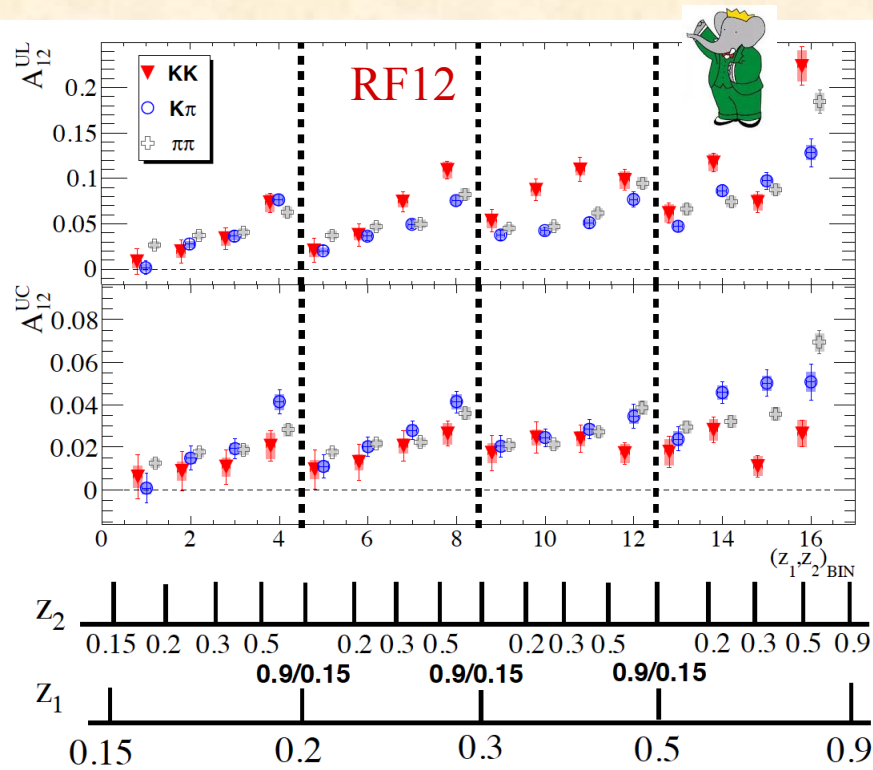
Comparison between old fit (SIDIS + Belle data) with new fit (*BABAR*  $\pi\pi$  data added)

- Fit uncertainties significantly reduced in the new analysis
- Good consistency for the transversity function
- The differences seen for the Collins FF are mainly due to the different parametrization used:
  - old fit: *fav.* and *dis.* FFs have the same dependency on  $z$ , and could differ only for a renormalization constant
  - new fit: the *fav.* and *dis.* FFs are left uncorrelated

# Collins asymmetries for $\pi\pi$ , $K\pi$ , $KK$ pairs

Measured Collins asymmetries reported in  $(z_1, z_2)$  bins

PRD 92, 111101(R) (2015)

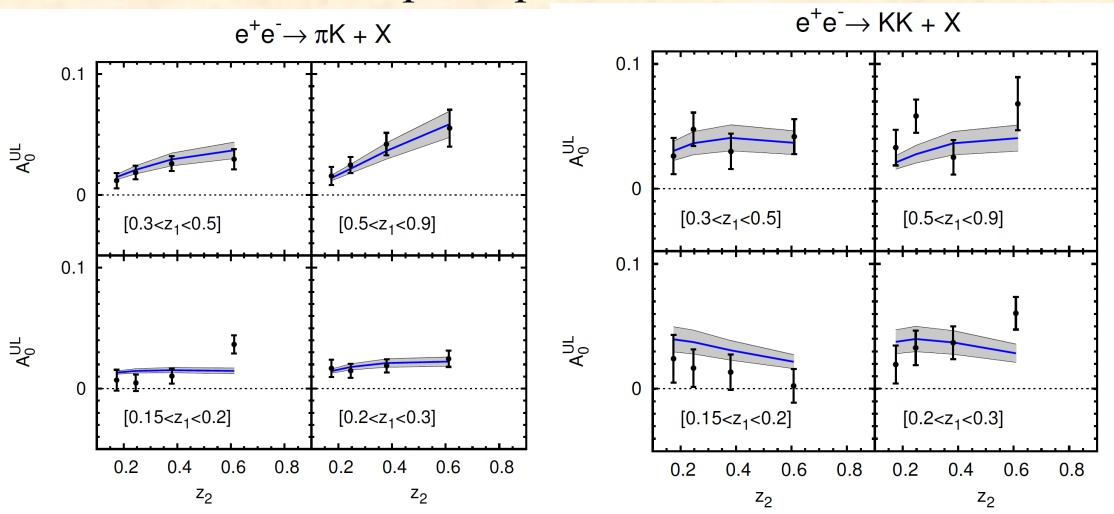


- Asymmetries rise as a function of  $z$ : more pronounced for U/L
- $A^{UL}$   $KK$  asymmetry slightly higher than pion asymmetry for high  $z$
- $KK$  asymmetry consistent with zero at lower  $z$
- $\pi\pi$  results consistent with previous BABAR analysis

# Extraction of the Collins FF from *BABAR* kaon data

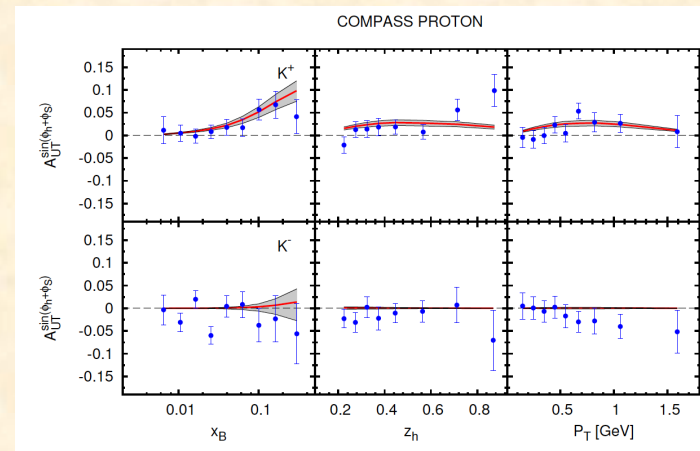
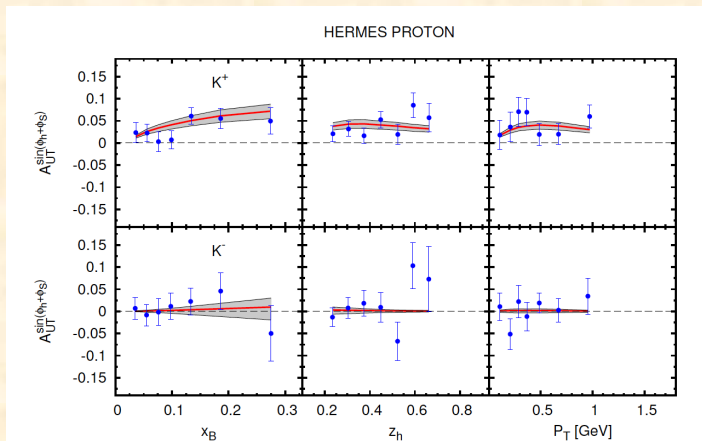
Anselmino *et al.*, arXiv:1512.02252

Fitted function superimposed to BABAR data



- It uses the pion fav. and disfav. Collins FF extracted in **arXiv:1510.05389**,
- It assumes a simplified parametrization for the corresponding kaon Collins FFs.

Test universality of Collins FF: Calculate SIDIS single spin asymmetries from the fitted function and compare with data → good agreement observed



# Summary

- *BABAR* is continuing the program of studying fragmentation processes, making use of the  $\sim 500 \text{ fb}^{-1}$  of  $e^+e^-$  collisions at  $\sim 10.6 \text{ GeV}$
- **Inclusive spectra** have been measured for light hadrons ( $\pi, K, p$ ) and for the lightest charmed baryon with great precision, and the data compared to several model predictions
  - Large discrepancies seen between models and proton data
- Collins asymmetries measured for charged hadron pairs in two-jet events.
  - **Precise measurement of pion-pair asymmetries** in fine bins of fractional energies and transverse momenta  $\Rightarrow$  **PRD 90, 052003 (2014)**
  - **Simultaneous measurement of asymmetries for  $\pi\pi$ ,  $\pi K$ , and  $KK$  pairs** as a function of fractional energies  $\Rightarrow$  **PRD 92, 111101(R) (2015)**
    - First information on kaon Collins FF in  $e^+e^-$  data
    - **Results consistent with theoretical predictions (e.g. PL B 659, 234 (2009))**
  - Global analyses of  $e^+e^-$  (*BABAR*+*Belle*) and SIDIS asymmetries for pions allow extraction of the transversity PDF and the pion Collins FFs.
  - $\pi K$  and  $KK$  pairs results used to extract kaon Collins FF
    - Consistency with HERMES and COMPASS data on kaons indicates the validity of universality of the Collins FF

# BACKUP SLIDES

# PEP-II and the *BABAR* detector

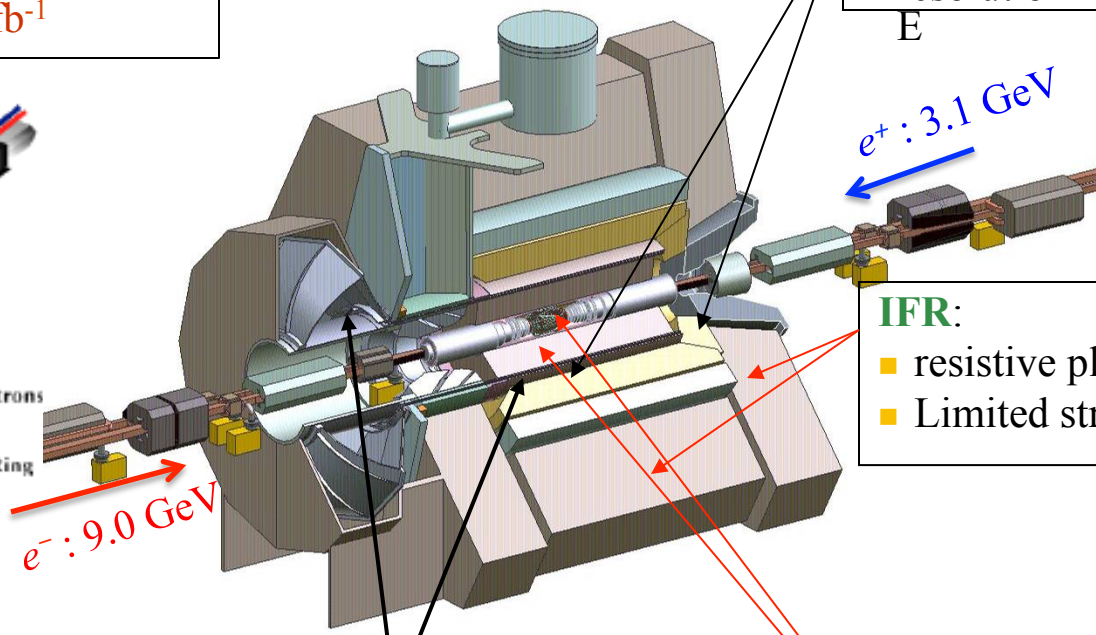
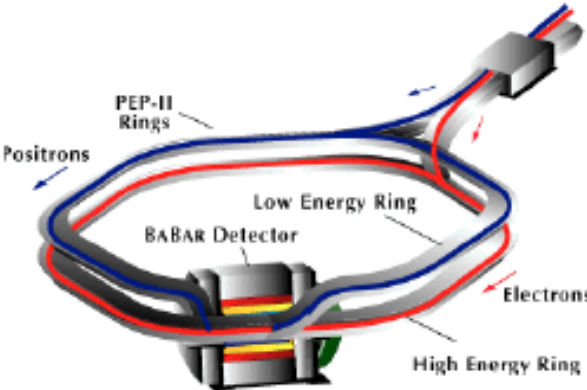
- ❖ **PEP-II** is an asymmetric  $e^+e^-$  collider operating at CM energy of  $\Upsilon(4S)$ .
- ❖ Integrated luminosity =  $531 \text{ fb}^{-1}$

- EMC:**
- 6580 CsI(Tl) crystals, resolution  $\sim 1-2\%$  high E

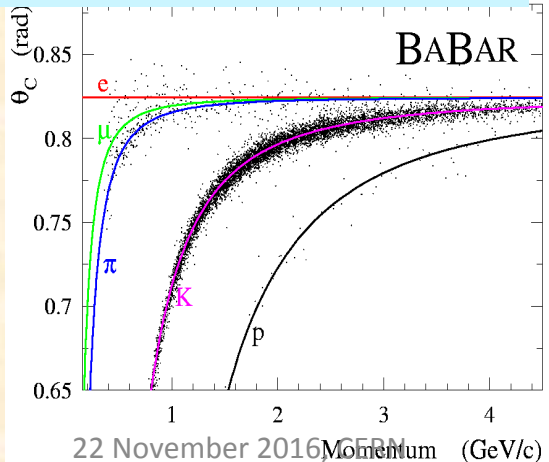
- IFR:**
- resistive plate chambers
  - Limited streamer tubes

- BaBar DIRC**
- particle ID up to 4-5 GeV/c

- BaBar SVT and DCH**
- precision vertexing and tracking



Cherenkov angle vs p

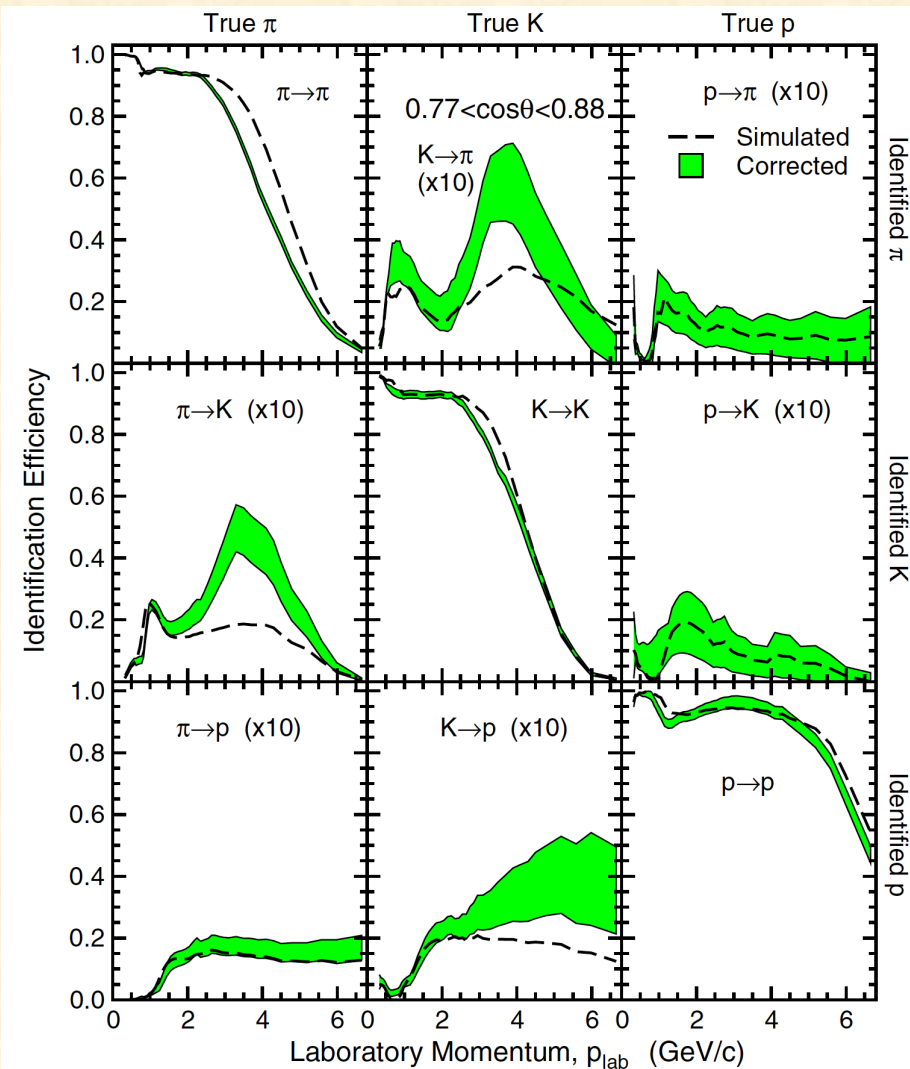


# Charged hadrons identification

- **Excellent** identification of  $\pi^\pm$ ,  $K^\pm$ , and  $p/\bar{p}$   
 $\Rightarrow$  Cherenkov light plus  $dE/dx$

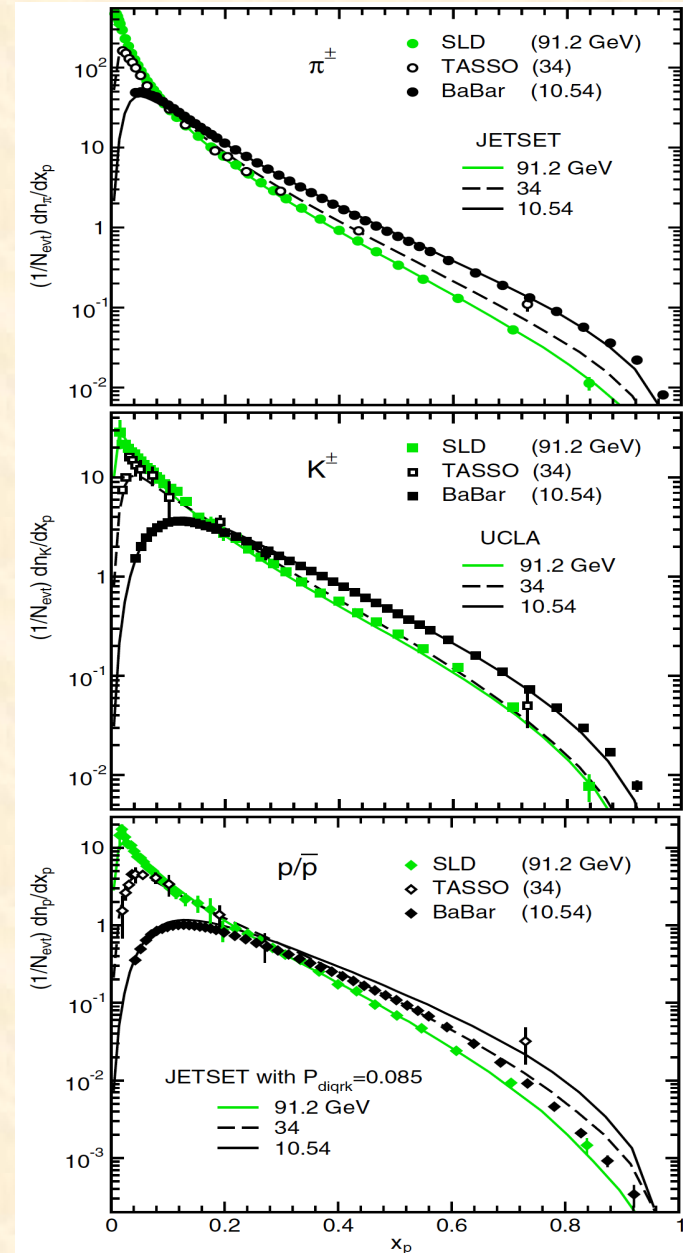
- **Efficiency matrix**  $E_{ij}$ : performance of our hadron identification procedure as a function of  $p_{lab}$

- very high at low  $p_{lab}$  (good  $dE/dx$ )
- plateau for  $p_{lab}$  where DIRC provides good separation
- fall off at highest  $p_{lab}$ , where the Cherenkov angles for different particles converge
- calibrated using data control samples  
 $\rightarrow$  we derive corrections to the simulated efficiency matrix (green band)
- large efficiency over much of the momentum range
- few-% mis-identification

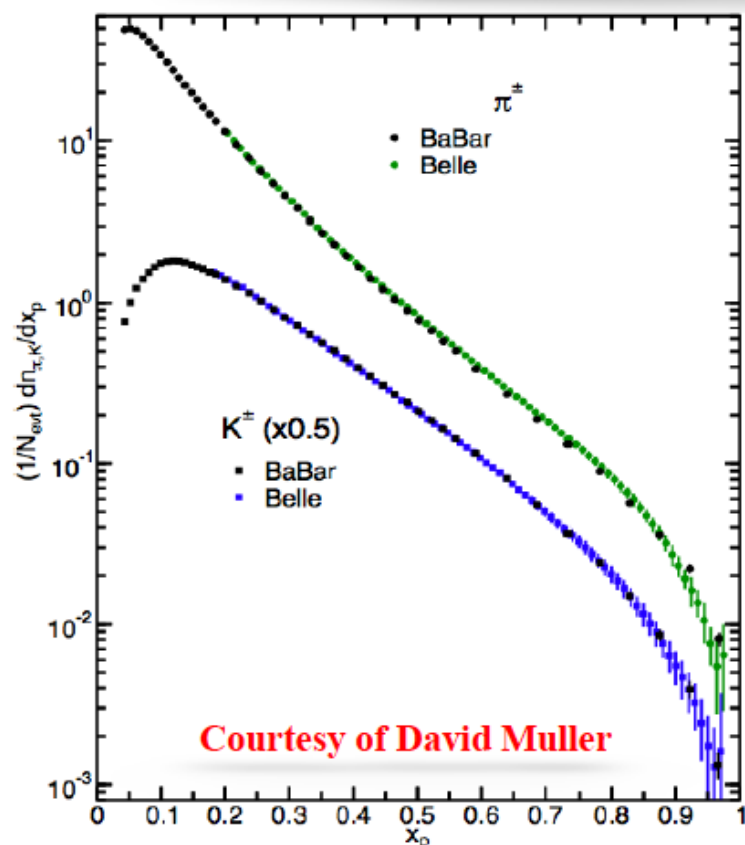




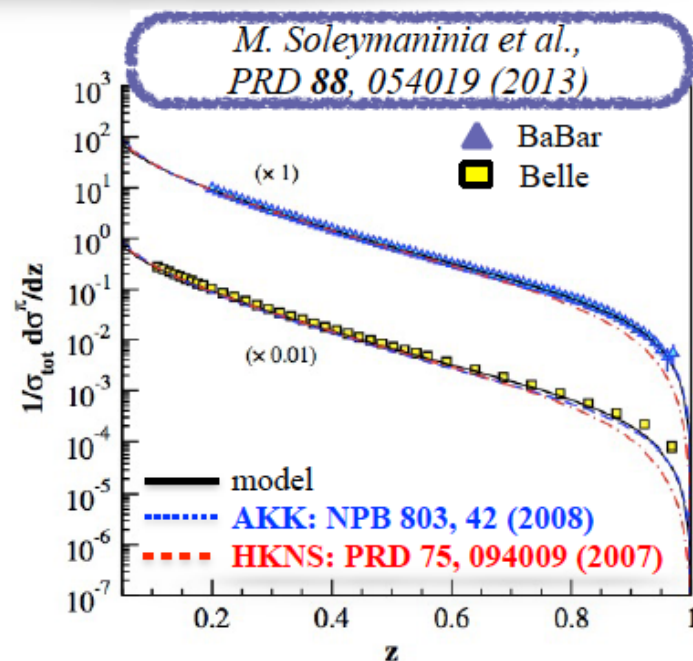
# Scaling properties



# BaBar/Belle comparison



- Belle have measured differential cross section  $d\sigma/dz$  [PRL 111, 062002 (2013)]
- we normalize arbitrarily to compare the shapes

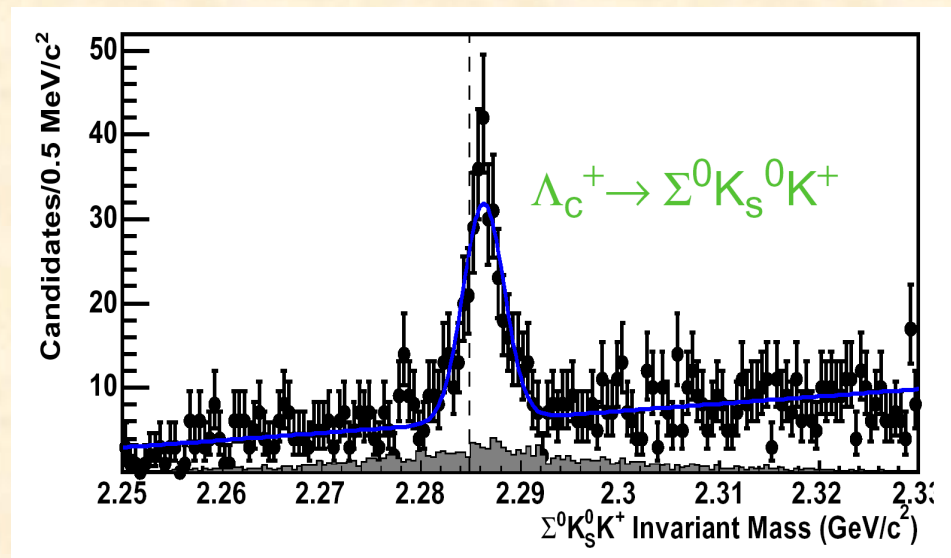
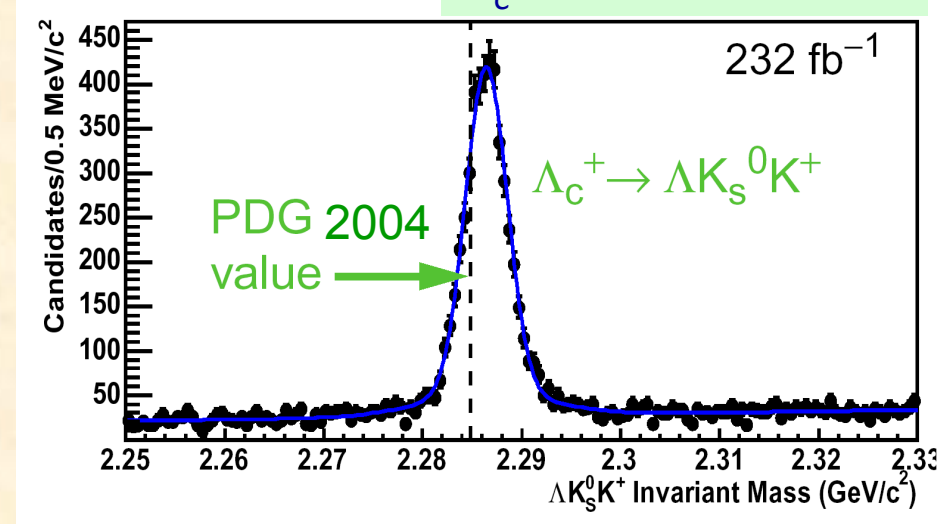


- FFs for  $\pi$  and K from a global analysis of SIDIS and  $e^+e^-$  data:
  - BaBar, Belle, TPC, TASSO, TOPAZ, ALEPH, OPAL, SLD, DELPHI + HERMES, COMPASS
  - quarks treated as massless particles
  - improvement of the accuracy of the global fit
- More details in PRD 88, 054019 (2013)

# Inclusive $\Lambda_c$ studies

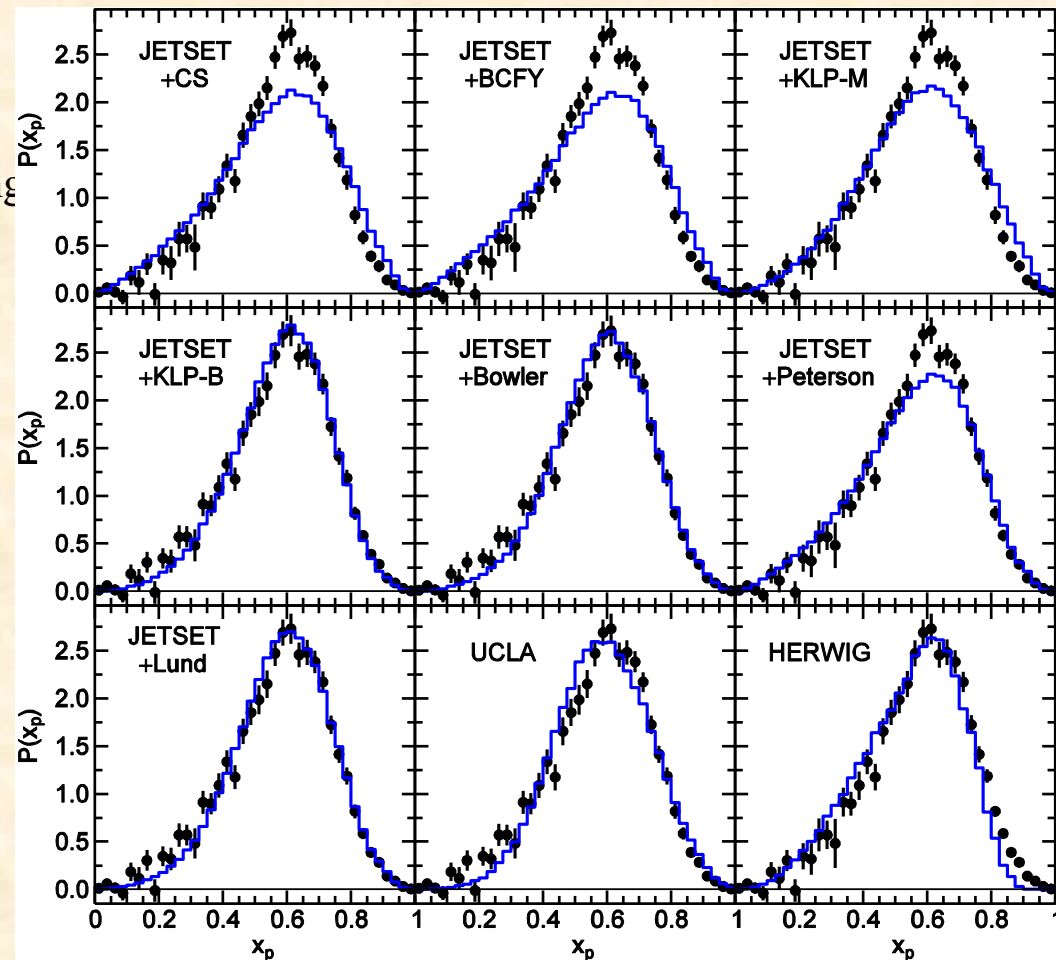
- The  $\Lambda_c^+$  ( $cud$ ) is the lightest c-baryon
- We precisely measured its mass reconstructing two low-Q decays, to minimize systematic uncertainties
- We find (PRD 72, (2005) 052006)
  - $m(\Lambda_c^+) = 2286.46 \pm 0.14 \text{ MeV}/c^2$
- More precise and  $2.5\sigma$  higher than the previous PDG value:
  - $m_{\text{PDG}}(\Lambda_c^+) = 2284.9 \pm 0.6 \text{ MeV}/c^2$

$\Lambda_c^+$  mass measurement



# Inclusive $\Lambda_c$ spectrum measurement

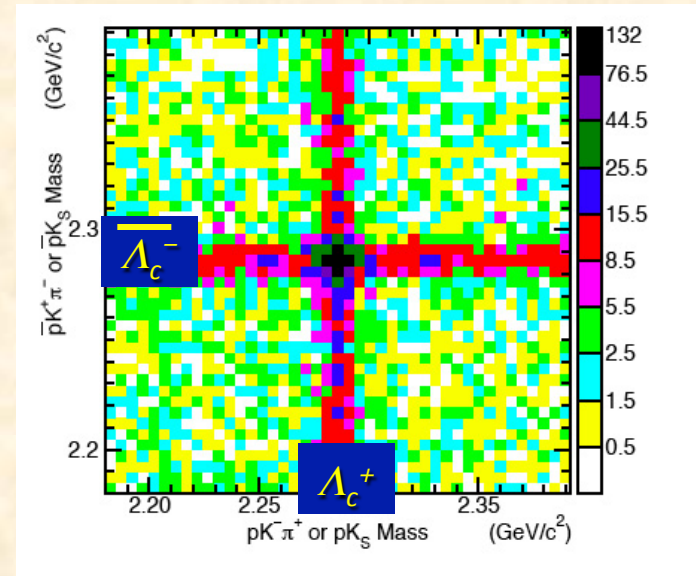
- Several fragmentation functions implemented in JETSET generator
  - distributions affected by JETSET simulation of gluon radiation
  - test each models against our data using a binned  $\chi^2$
- No model seems to correctly reproduce the data, but
- The fitted values of the free parameters are quite different from those used for light hadrons and charmed mesons
- These results indicate the needs of different functions for baryons and mesons (like in DIS, where there is a dependency on the number of spectator quarks)



# Correlated $\Lambda_c^+ \bar{\Lambda}_c^-$ production

- What about baryon number conservation?
  - Measurements at high energies shows small rapidity differences between Baryon-antiBaryon couples  $\implies$  “local baryon correlation”
  - if “local” correlation and two charmed baryons produced from leading  $c$ -quarks, we expect to see two more baryons  $\implies$  kinematically suppressed @  $E_{cm} \sim 10$  Gev
  - CLEO measured  $\frac{P(\Lambda_c \bar{\Lambda}_c X)}{P(\Lambda_c \bar{D}^{(*)} Y)} \approx 3.5$  PRD 63, 112003 (2001)

- BABAR looks for  $e^+e^- \rightarrow \Lambda_c^+ \Lambda_c^- X$  events
- Observe  $649 \pm 31$  events vs  $\sim 150$  expected  $\implies$  ratio of  $\sim 4.2$  consistent with CLEO result
- very few additional baryons observed
- most of additional tracks are pions produced at the  $e^+e^-$  vertex  $\implies$  we measure  $2.6 \pm 0.3 \pi^\pm/\text{event}$
- there is room for additional  $\sim 1.3$  popcorn  $\pi^0/\text{event}$
- 2.2 units of rapidity differences observed on average



All indicate these are “jetty” events with long-range baryon number conservation !

# The Collins Fragmentation Function

J.C. Collins  
Nucl.Phys.B396,161 (1993)

- Spin-dependent chiral-odd Fragmentation Function (FF)
- It is related to the probability that a transversely polarized quark will fragment into a spinless hadron

number density function:

$$D_{hq\uparrow} = D_1^q(z, P_{h\perp}^2) + H_1^{\perp q}(z, P_{h\perp}^2) \frac{\hat{k} \times \vec{P}_{h\perp} \cdot \vec{S}_q}{z M_h}$$

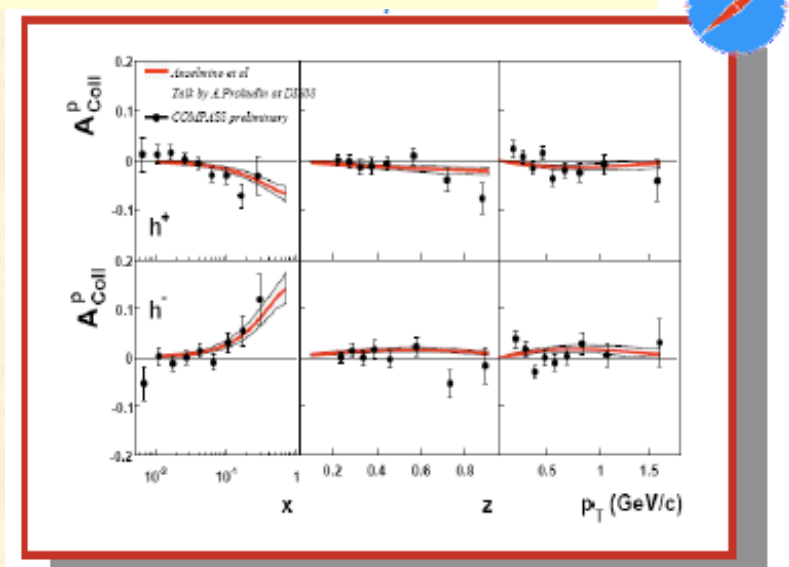
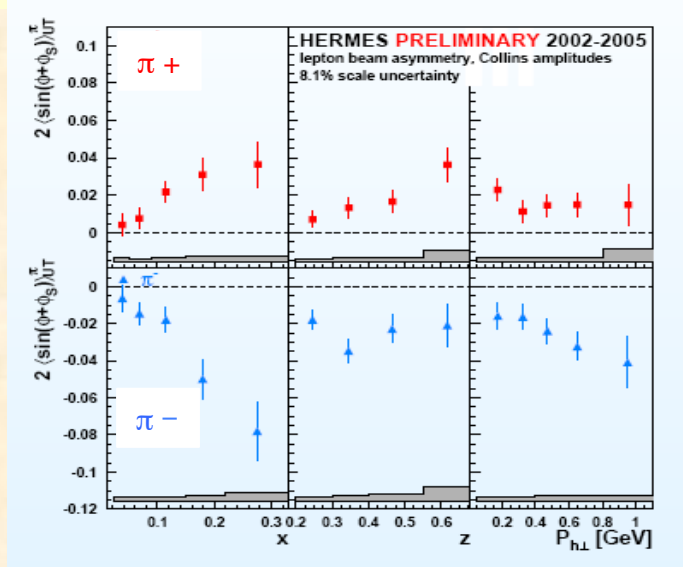
↑  
unpolarized FF

↑  
CollinsFF

First experimental evidence of non zero Collins FF for pions came from SIDIS experiments:

HERMES (PRL94,012002(2005))

COMPASS (PRL94,202002(2005))



## $e^+e^-$ annihilations :

- not conclusive studies at LEP : DELPHI (Nucl.Phys.B79,554-556 (1999))
- **direct evidence of non-zero Collins FF** at KEKB: Belle (PRL96,232002(2006), PRD78,032011(2008))

# BABAR $\pi\pi$ results compared to Belle and BESIII

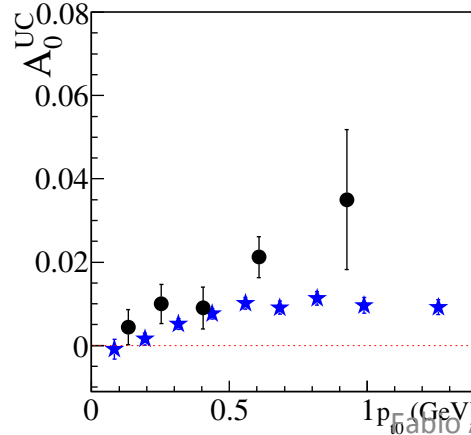
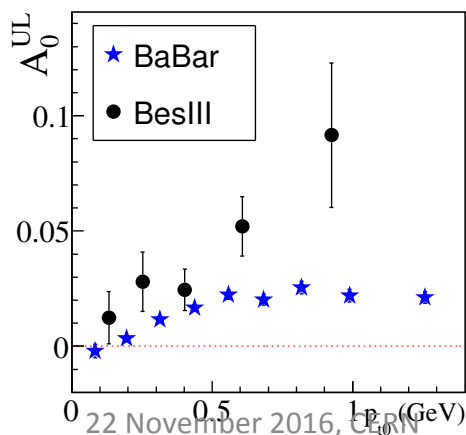
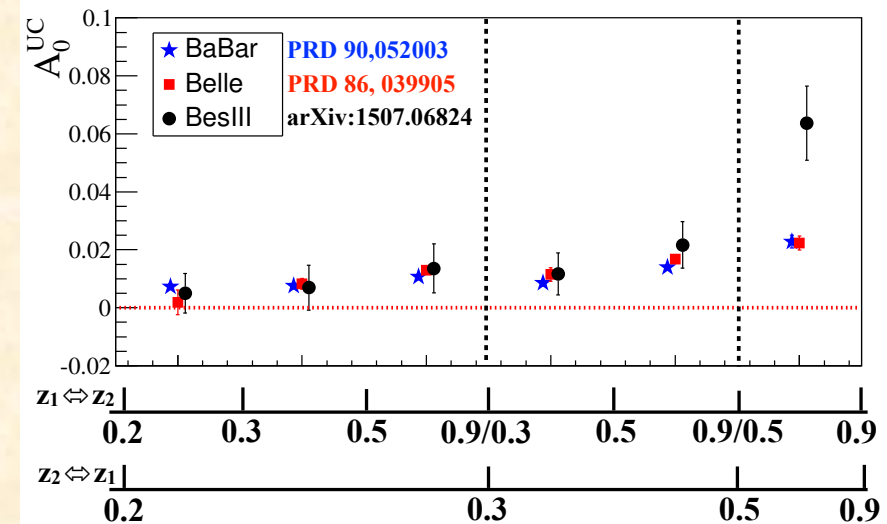
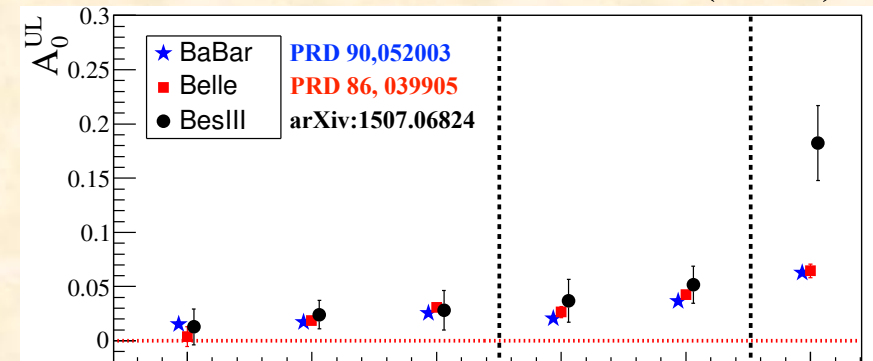
Extraction of the Transversity PDF and Collins FF combining SIDIS and  $e^+e^-$  data

Comparison between different results obtained at different  $Q^2$ :

- **BaBar and Belle @  $Q^2 \sim 110 \text{ GeV}^2$**
- **BESIII @  $Q^2 \sim 13 \text{ GeV}^2$**
- BaBar and Belle results that fall in the larger BESIII z-bins are averaged taking into account the statistical and systematic uncertainties
- Good agreement between different data sets for low z
- BESIII larger asymmetries in the last z-bins: consistent with the prediction reported in [arXiv:1505.05589](https://arxiv.org/abs/1505.05589)
- Some tensions between BaBar and Belle for high z in the thrust frame (no BESIII data available)

Belle: PRD78, 032011 (2008)  
(Erratum: PRD 86, 039905)

BESIII: PRL 116, 042001 (2016)



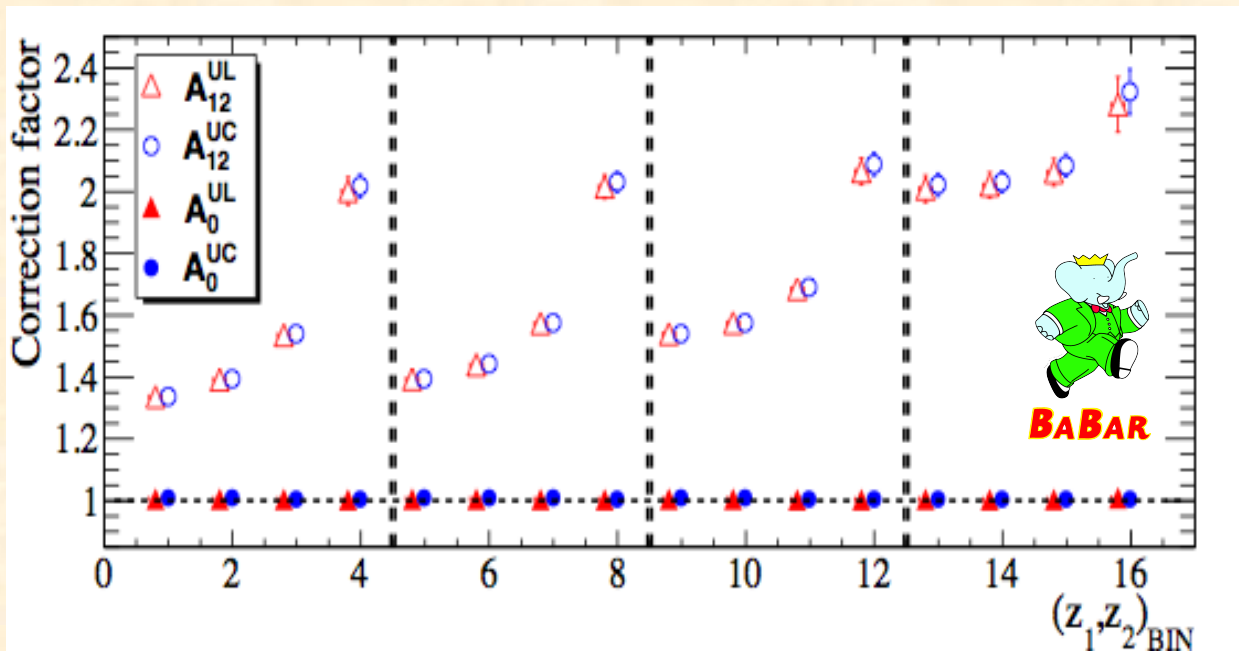
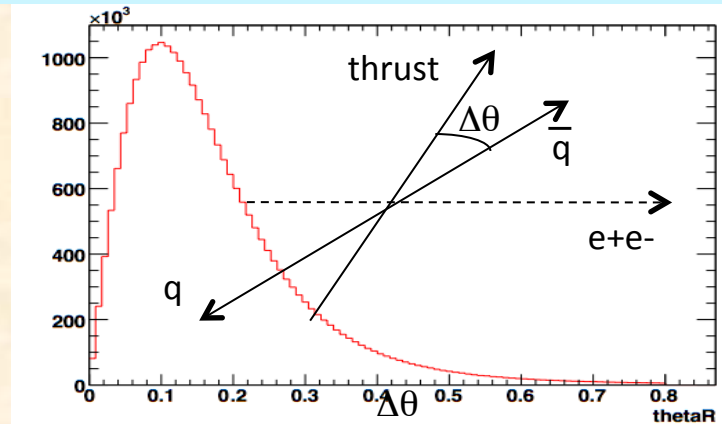
# Extraction of the asymmetries

- The experimental method assumes the thrust axis as  $q\bar{q}$  direction
- This is only a rough approximation**

Introduces dilution of asymmetry in RF12.  
Correct through MC study

No dilution effect in RF0

Opening angle between thrust axis and  $q\bar{q}$  axis





# Extraction of the asymmetries

- Simultaneous extraction of the asymmetries corrected for background (mainly charmed hadron decays, but also BB and  $\tau^+\tau^-$ ) and K/ $\pi$  misidentification in each fractional energy interval
- Fit independently the double ratio distributions of the three selected samples KK, K $\pi$ ,  $\pi\pi$

$$A_{KK}^{\text{meas}} = F_{uds} \cdot A_{KK}^{\text{Collins}} + \sum_i F_i^{KK} \cdot A_{KK}^i$$

## 1. Background sources:

- mainly from  $e^+e^- \rightarrow c\bar{c}$  events (more than 30%); smaller contribution from  $B\bar{B}$ ,  $\tau^+\tau^-$  ( $A_{BB} \sim A_{\tau\tau} \sim 0$ )
- construct a  $D^*$ -enhanced MC and data control samples to estimate the charm contribution

$$D^{*\pm} \rightarrow D^0\pi^\pm, D^0 \rightarrow K\pi, D^0 \rightarrow K3\pi, D^0 \rightarrow K\pi\pi^0, D^0 \rightarrow K_S\pi\pi$$

- The fractions ( $F(f)_{\text{sig/bkg}}^{hh}$ ) of hadron pairs coming from signal (uds) and background events ( $c\bar{c}$ ,  $B\bar{B}$ ,  $\tau^+\tau^-$ ) are obtained from MC simulation

$$\left\{ \begin{array}{l} A_{KK}^{\text{meas}} = F_{uds}^{KK} \cdot A_{KK}^{\text{Collins}} + F_{c\bar{c}}^{KK} \cdot A_{KK}^{\text{charm}} \\ A_{KK}^{D^*} = f_{uds}^{KK} \cdot A_{KK}^{\text{Collins}} + f_{c\bar{c}}^{KK} \cdot A_{KK}^{\text{charm}} \end{array} \right.$$

# Extraction of the asymmetries

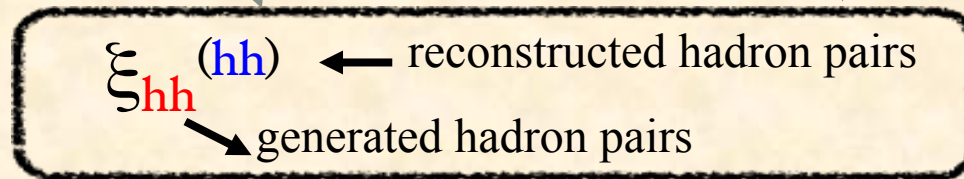
- Simultaneous extraction of the asymmetries corrected for background (mainly charmed hadron decays, but also BB and  $\tau+\tau^-$ ) and K/ $\pi$  misidentification in each fractional energy interval
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$$A_{KK}^{\text{meas}} = F_{uds} \cdot A_{KK}^{\text{Collins}} + \sum_i F_i^{KK} \cdot A_{KK}^i$$

## 2. K/ $\pi$ misidentification:

- Evaluate from MC the fraction ( $\xi_{hh}^{(hh)}$ ) that a given hadron pair is reconstructed as KK, K $\pi$ , or  $\pi\pi$  pair

$$A_{KK}^{\text{meas}} = F_{uds} \cdot \left( \sum_{nm} \xi_{nm}^{(KK)} \cdot A_{nm}^{\text{Collins}} \right) + F_{c\bar{c}}^{KK} \cdot \left( \sum_{nm} \xi_{nm}^{(KK)} \cdot A_{nm}^{\text{charm}} \right)$$



## 3. Solve the system of equations to extract all asymmetry parameters

# Extraction of the asymmetries

$$A_{KK}^{meas} = F_{uds}^{KK} \cdot (\xi_{KK}^{(KK)} A_{KK} + \xi_{K\pi}^{(KK)} A_{K\pi} - \xi_{\pi\pi}^{(KK)} A_{\pi\pi}) - F_{c\bar{c}}^{KK} \cdot (\xi_{KK}^{(KK)c\bar{c}} A_{KK}^{ch} + \xi_{K\pi}^{(KK)c\bar{c}} A_{K\pi}^{ch} + \xi_{\pi\pi}^{(KK)c\bar{c}} A_{\pi\pi}^{ch})$$


$$A_{K\pi}^{meas} = F_{uds}^{K\pi} \cdot (\xi_{KK}^{(K\pi)} A_{KK} + \xi_{K\pi}^{(K\pi)} A_{K\pi} + \xi_{\pi\pi}^{(K\pi)} A_{\pi\pi}) + F_{c\bar{c}}^{K\pi} \cdot (\xi_{KK}^{(K\pi)c\bar{c}} A_{KK}^{ch} + \xi_{K\pi}^{(K\pi)c\bar{c}} A_{K\pi}^{ch} + \xi_{\pi\pi}^{(K\pi)c\bar{c}} A_{\pi\pi}^{ch})$$

$$A_{\pi\pi}^{meas} = F_{uds}^{\pi\pi} \cdot (\xi_{KK}^{(\pi\pi)} A_{KK} + \xi_{K\pi}^{(\pi\pi)} A_{K\pi} + \xi_{\pi\pi}^{(\pi\pi)} A_{\pi\pi}) + F_{c\bar{c}}^{\pi\pi} \cdot (\xi_{KK}^{(\pi\pi)c\bar{c}} A_{KK}^{ch} + \xi_{K\pi}^{(\pi\pi)c\bar{c}} A_{K\pi}^{ch} + \xi_{\pi\pi}^{(\pi\pi)c\bar{c}} A_{\pi\pi}^{ch})$$

$$A_{KK}^{D^*} = f_{uds}^{KK} \cdot (\xi_{KK}^{(KK)D^*} A_{KK} + \xi_{K\pi}^{(KK)D^*} A_{K\pi} + \xi_{\pi\pi}^{(KK)D^*} A_{\pi\pi}) + f_{c\bar{c}}^{KK} \cdot (\xi_{KK}^{(KK)c\bar{c}-D^*} A_{KK}^{ch} + \xi_{K\pi}^{(KK)c\bar{c}-D^*} A_{K\pi}^{ch} + \xi_{\pi\pi}^{(KK)c\bar{c}-D^*} A_{\pi\pi}^{ch})$$

$$A_{K\pi}^{D^*} = f_{uds}^{K\pi} \cdot (\xi_{KK}^{(K\pi)D^*} A_{KK} + \xi_{K\pi}^{(K\pi)D^*} A_{K\pi} + \xi_{\pi\pi}^{(K\pi)D^*} A_{\pi\pi}) + f_{c\bar{c}}^{K\pi} \cdot (\xi_{KK}^{(K\pi)c\bar{c}-D^*} A_{KK}^{ch} + \xi_{K\pi}^{(K\pi)c\bar{c}-D^*} A_{K\pi}^{ch} + \chi_{\pi\pi}^{(K\pi)c\bar{c}-D^*} A_{\pi\pi}^{ch})$$

$$A_{\pi\pi}^{D^*} = f_{uds}^{\pi\pi} \cdot (\xi_{KK}^{(\pi\pi)D^*} A_{KK} + \xi_{K\pi}^{(\pi\pi)D^*} A_{K\pi} + \xi_{\pi\pi}^{(\pi\pi)D^*} A_{\pi\pi}) + f_{c\bar{c}}^{\pi\pi} \cdot (\xi_{KK}^{(\pi\pi)c\bar{c}-D^*} A_{KK}^{ch} + \xi_{K\pi}^{(\pi\pi)c\bar{c}-D^*} A_{K\pi}^{ch} + \xi_{\pi\pi}^{(\pi\pi)c\bar{c}-D^*} A_{\pi\pi}^{ch})$$

 = Collins asymmetries for light hadrons

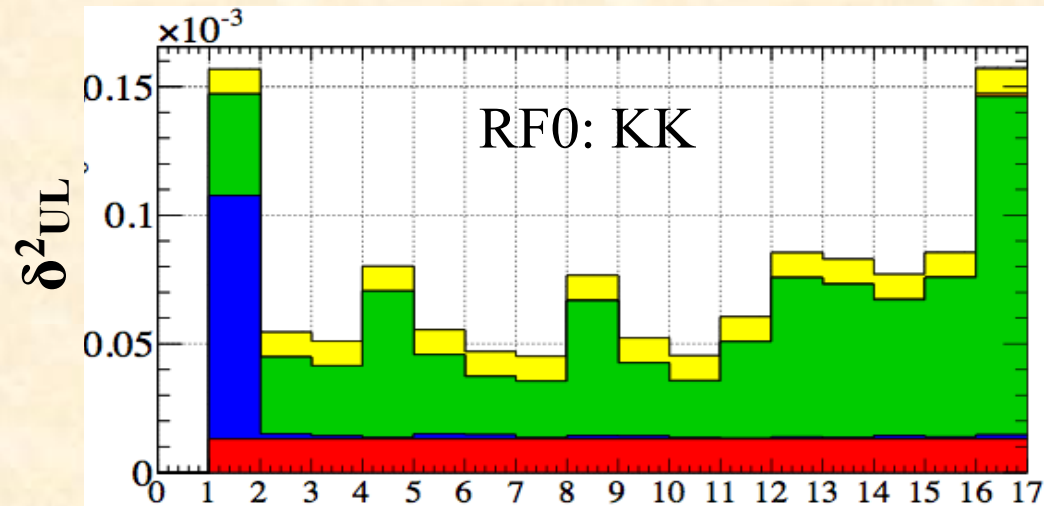
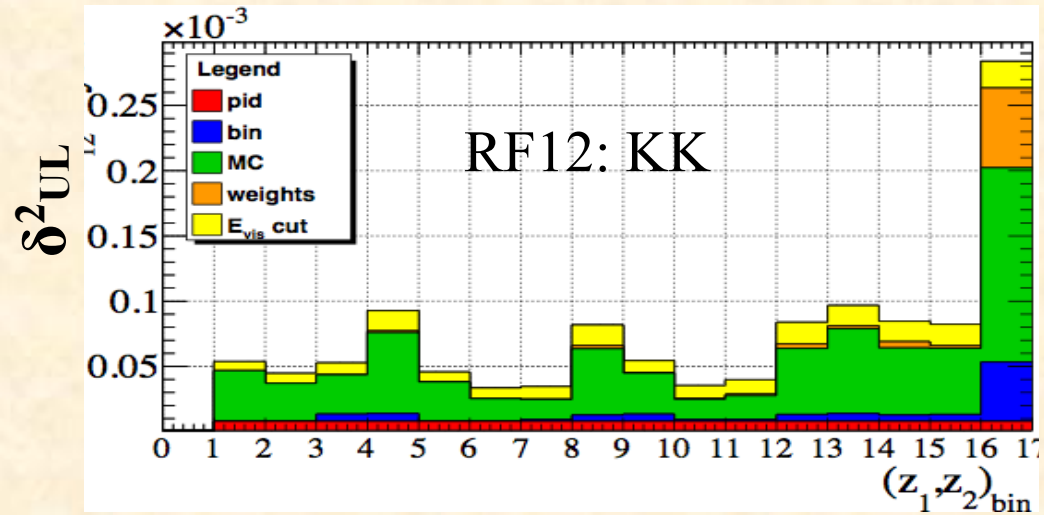
# Systematic uncertainties

A large number of systematic checks were done. The main contributions come from:

- MC uncertainties
- Particle identification (PID)
- Fit procedure
- Dilution method
- $E_{\text{vis}}$  cut

Additional checks show negligible effects, such as:

- Beam polarization studies
- Asymmetry consistency between different data taking period
- Possible coupling between Collins and detector effect



Sum in quadrature of systematic uncertainties  
(absolute values)