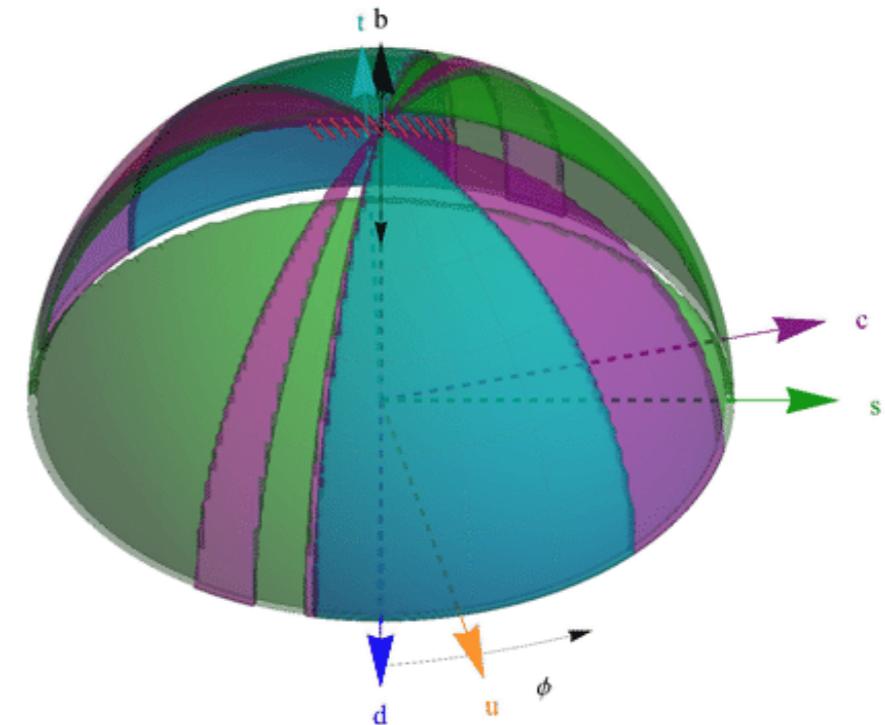


Rank-One Flavor Violation and B-anomalies

David Marzocca



Valerio Gherardi, D.M., Marco Nardecchia, Andrea Romanino
[1903.10954]



NPKI 2019, 13/05/2019

Neutral-Current B-anomalies

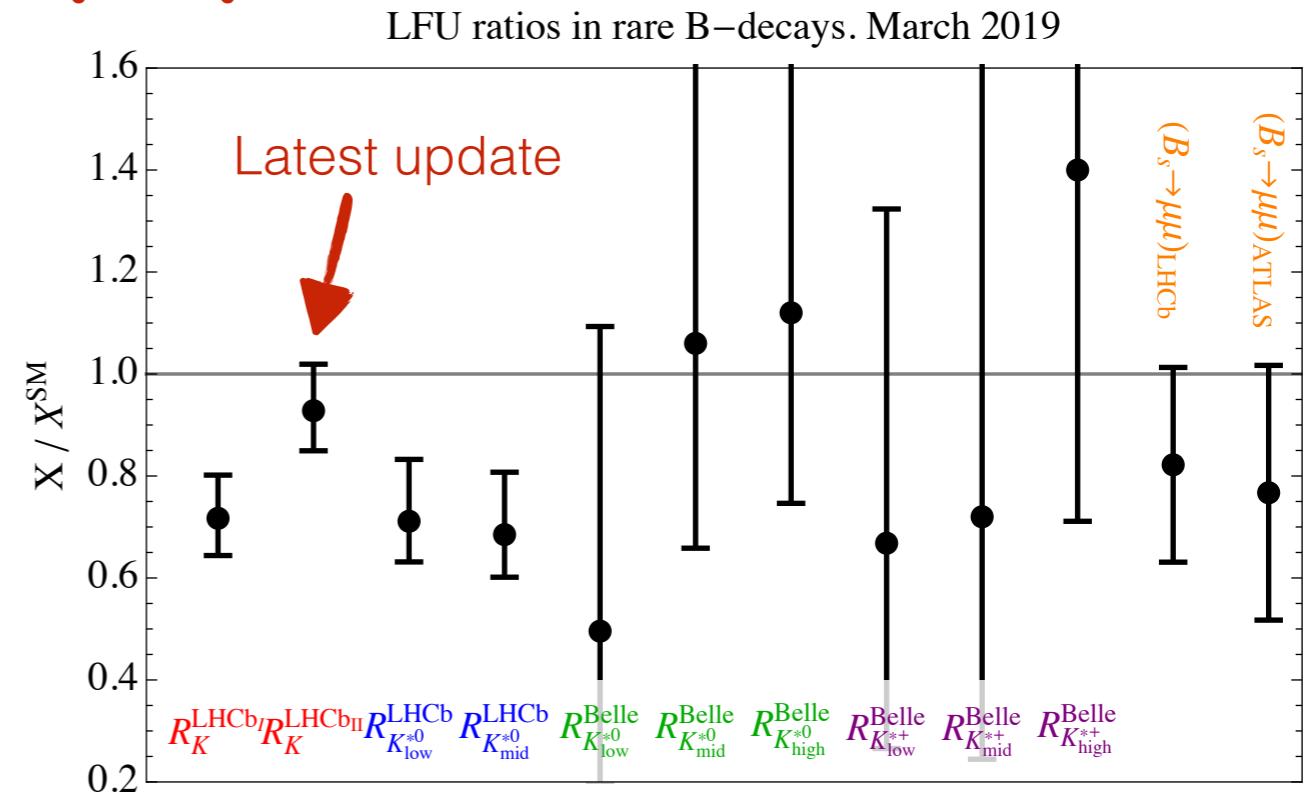
$$b \rightarrow s \mu^+ \mu^-$$

Lepton Flavor Universality ratios

$$R(K^{(*)}) = \frac{\mathcal{B}(B \rightarrow K^{(*)} \mu^+ \mu^-)}{\mathcal{B}(B \rightarrow K^{(*)} e^+ e^-)}$$

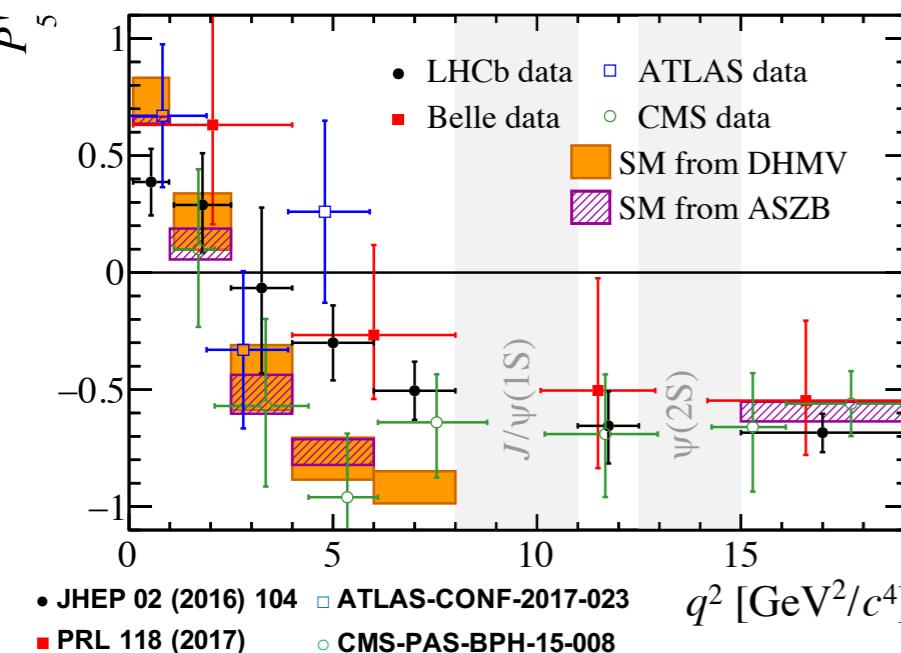
Clean SM prediction: $1 \pm O(1\%)$

Bordone, Isidori, Pattori 2016

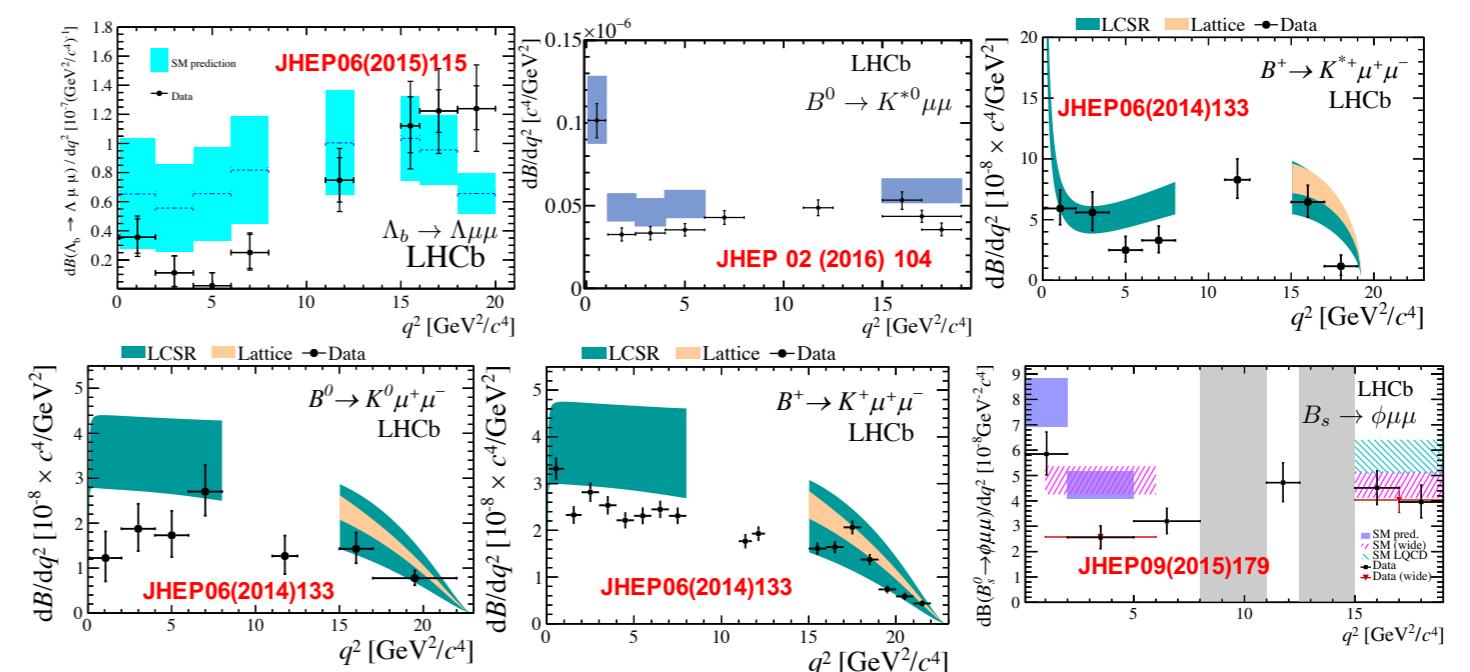


Angular distributions

$$B \rightarrow K^*(\rightarrow K\pi) \mu^+ \mu^-$$



Differential branching fractions in $q\mu\mu^2$ in several channels.



Neutral-Current B-anomalies

$$b \rightarrow s \mu^+ \mu^-$$

If NP, then a contribution to this LH operator is necessary

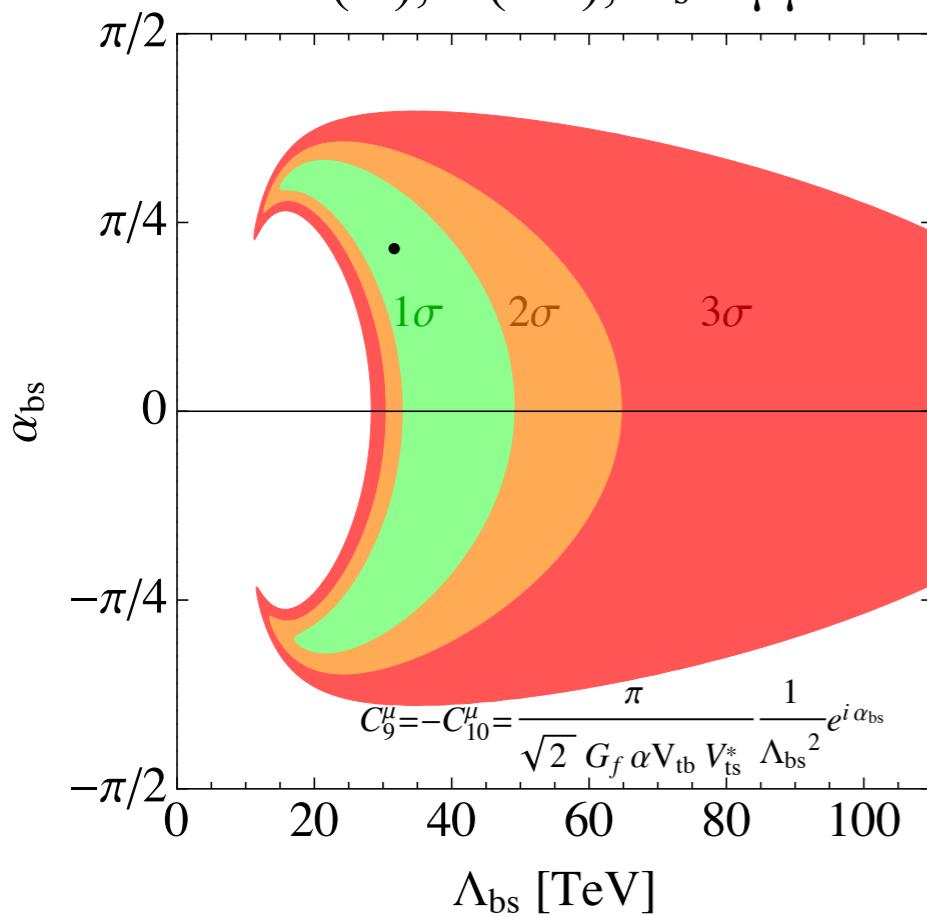
$$\mathcal{L}_{\text{eff}} \supset \frac{e^{i\alpha_{bs}}}{\Lambda_{bs}^2} (\bar{s}_L \gamma^\mu b_L) (\bar{\mu}_L \gamma_\mu \mu_L) + h.c.$$

$$\frac{e^{i\alpha_{bs}}}{\Lambda_{bs}^2} = \frac{G_F \alpha}{\sqrt{2}\pi} V_{tb} V_{ts}^* (\Delta C_9^\mu - \Delta C_{10}^\mu)$$

$$\Lambda_{bs}^{\text{SM}} \approx 12 \text{ TeV}$$

simplified fit of *clean observables*

$R(K)$, $R(K^*)$, $B_s \rightarrow \mu\mu$



$$(\Lambda_{bs})^{\text{best-fit}} \ (\alpha_{bs}=0) \approx 38 \text{ TeV}$$

Adding also *angular distributions* and *branching ratios*:

$$(\Lambda_{bs})^{\text{best-fit}} \ (\alpha_{bs}=0) \approx 34 \text{ TeV}$$

D'Amico et al. 1704.05438, Algueró et al. 1903.09578, Alok et al. 1903.09617, Ciuchini et al. 1903.09632, Aebischer et al 1903.10434

A **non-zero phase** is compatible with data.

It implies a **lower NP scale**, the upper limit is due to a not large enough (destructive) interference with SM.

A new flavour structure

The operator(s) responsible for the anomalies are part of an EFT involving all three families

$$\mathcal{L}_{\text{NP}}^{\text{EFT}} = C_{ij} (\bar{d}_L^i \gamma_\mu d_L^j)(\bar{\mu}_L \gamma^\mu \mu_L)$$



$$C = \begin{pmatrix} C_{dd} & C_{ds} & C_{db} \\ C_{ds}^* & C_{ss} & \color{red}{C_{sb}} \\ C_{db}^* & \color{red}{C_{sb}^*} & C_{bb} \end{pmatrix}$$

We are learning about C_{sb} . **What about the rest?**

What is the $SU(3)_q$ structure of this new flavor breaking term?

To answer, we need to find and study correlations with other flavor-violating transitions.

Directions in $SU(3)_q$ space

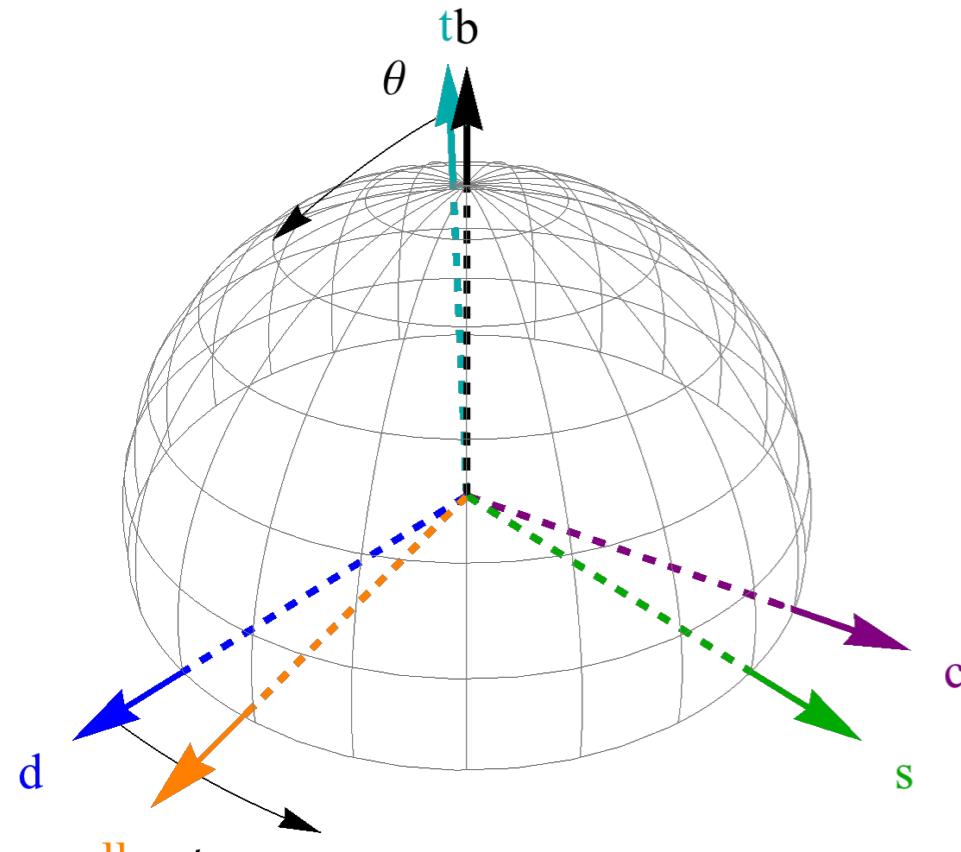
We can parametrise directions in $SU(3)_q$ as:

Via a $U(1)_B$ phase redefinition we can always set $\hat{n}_3 > 0$

$$\theta \in \left[0, \frac{\pi}{2}\right], \quad \phi \in [0, 2\pi), \quad \alpha_{bd} \in \left[-\frac{\pi}{2}, \frac{\pi}{2}\right], \quad \alpha_{bs} \in \left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$$

$$\hat{n} = \begin{pmatrix} \sin \theta \cos \phi e^{i\alpha_{bd}} \\ \sin \theta \sin \phi e^{i\alpha_{bs}} \\ \cos \theta \end{pmatrix}$$

In the mass eigenstate basis of down-quarks:

$$q_L^i = \begin{pmatrix} V_{ji}^* u_L^i \\ d_L^i \end{pmatrix}$$


quark	\hat{n}	ϕ	θ	α_{bd}	α_{bs}
down	(1, 0, 0)	0	$\pi/2$	0	0
strange	(0, 1, 0)	$\pi/2$	$\pi/2$	0	0
bottom	(0, 0, 1)	0	0	0	0
up	$e^{i \arg(V_{ub})} (V_{ud}^*, V_{us}^*, V_{ub}^*)$	0.23	1.57	-1.17	-1.17
charm	$e^{i \arg(V_{cb})} (V_{cd}^*, V_{cs}^*, V_{cb}^*)$	1.80	1.53	-6.2×10^{-4}	-3.3×10^{-5}
top	$e^{i \arg(V_{tb})} (V_{td}^*, V_{ts}^*, V_{tb}^*)$	4.92	0.042	-0.018	0.39

The misalignment between down- and up-quarks is described by the CKM matrix.

Rank-One Flavor Violation

Valerio Gherardi, D.M., Marco Nardecchia, Andrea Romanino [1903.10954]

$$\mathcal{L}_{\text{NP}}^{\text{EFT}} = C_{ij} (\bar{d}_L^i \gamma_\mu d_L^i) (\bar{\mu}_L \gamma^\mu \mu_L)$$

We assume that the **flavor matrix** of the semi-leptonic couplings **to muons** is of **rank-one**:

$$C_{ij} = C \hat{n}_i \hat{n}_j^*$$

\hat{n} is some (unknown) unitary vector in flavour space $SU(3)_q$.

It selects a direction in that space.

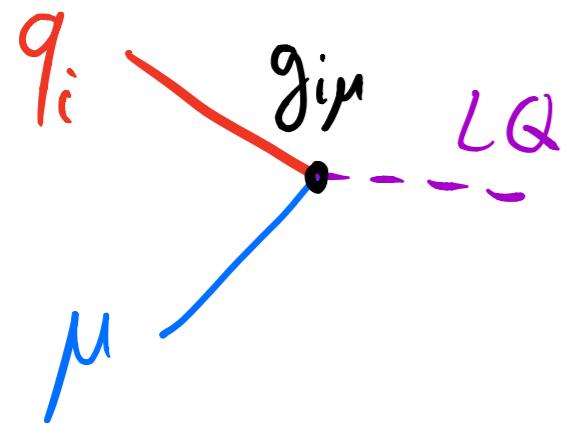
We aim to answer the following question

**Assuming B-anomalies are reproduced,
what are the experimentally allowed directions for \hat{n} ?**

Comment on UV realisations

This rank-1 condition is automatically realised
in many UV scenarios

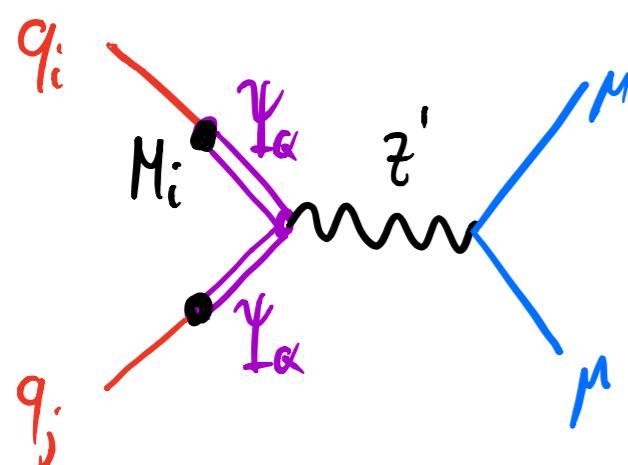
$$\mathcal{L} = \lambda_i \bar{q}_L^i \mathcal{O}_{\text{NP}} + \text{h.c.}$$



Single leptoquark models

$$\mathcal{L} \supset g_{i\mu} \bar{q}_L^i \gamma_\mu \ell_L^2 U_1^\mu + \text{h.c.}$$

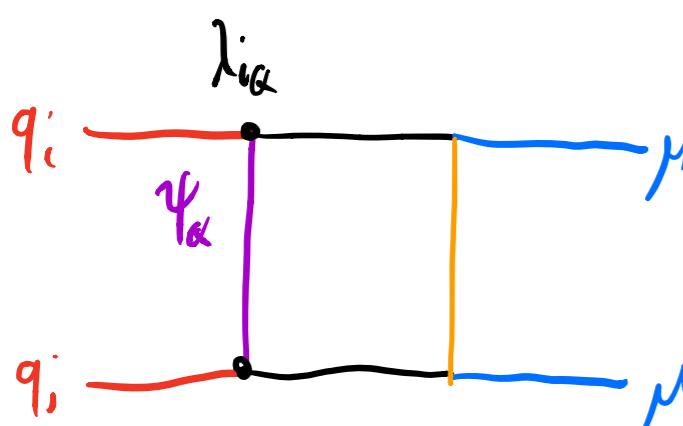
$$\hat{n}_i \propto g_{i\mu}$$



Single vector-like quark mixing

$$\mathcal{L} \supset M_i \bar{q}_L^i \Psi_Q$$

$$\hat{n}_i \propto M_i$$



Loop models with 1 set of mediators

See e.g. talk by M. Fedele
and references therein

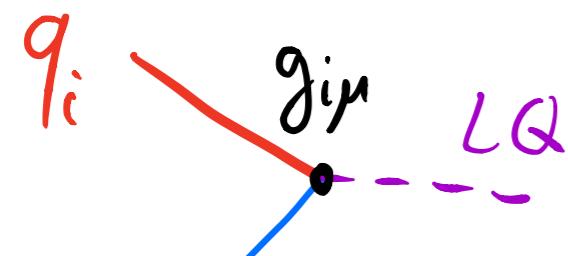
$$\mathcal{L} \supset \lambda_{iQ} \bar{q}_L^i \Psi_Q \Phi + \text{h.c.}$$

$$\hat{n}_i \propto \lambda_{iQ}$$

Comment on UV realisations

This rank-1 condition is automatically realised
in many UV scenarios

$$\mathcal{L} = \lambda_i \bar{q}_L^i \mathcal{O}_{\text{NP}} + \text{h.c.}$$



Single leptoquark models

$$\mathcal{L} \supset g_{i\mu} \bar{q}_L^i \gamma_\mu \ell_L^2 U_1^\mu + \text{h.c.}$$

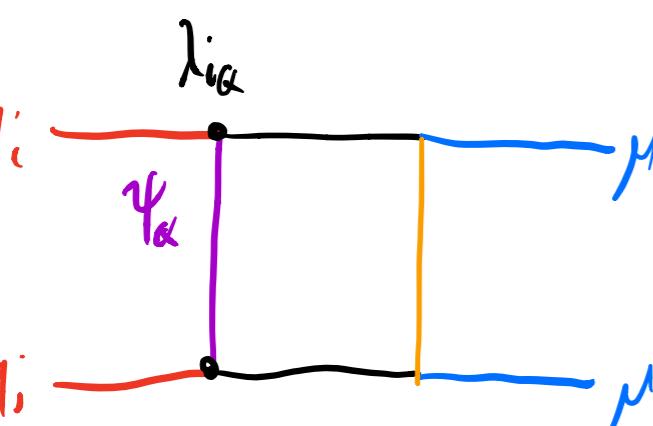
$$\hat{n}_i \propto g_{i\mu}$$

$$C_{ij} = C \hat{n}_i \hat{n}_j^*$$

Single vector-like quark mixing

$$\mathcal{L} \supset M_i \bar{q}_L^i \Psi_Q$$

$$\hat{n}_i \propto M_i$$



Loop models with 1 set of mediators

See e.g. talk by M. Fedele
and references therein

$$\mathcal{L} \supset \lambda_{iQ} \bar{q}_L^i \Psi_Q \Phi + \text{h.c.}$$

$$\hat{n}_i \propto \lambda_{iQ}$$

**Assuming B-anomalies are reproduced,
what are the experimentally allowed directions for \hat{n} ?**

**Working in the LEFT
(WEFT, WET,...)**

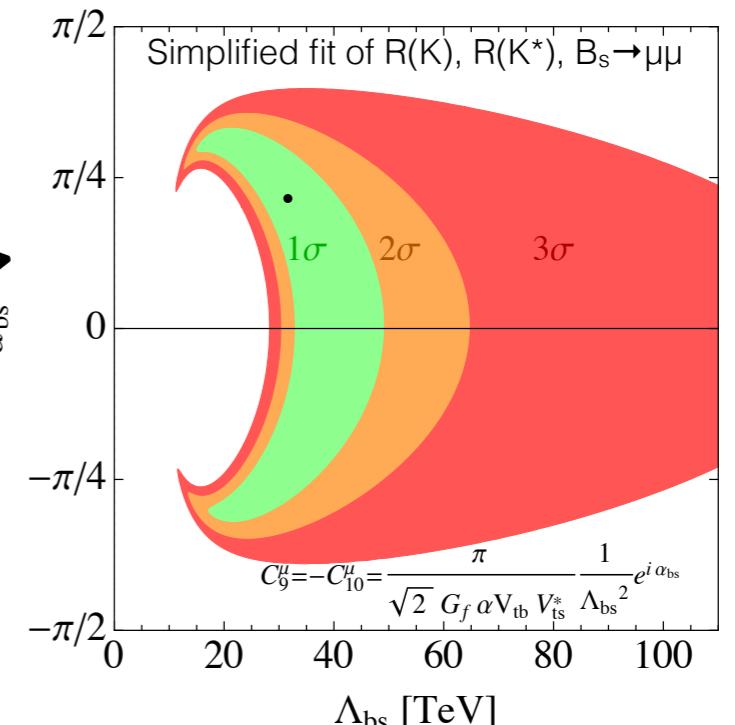
ROFV

$$\mathcal{L}_{\text{NP}}^{\text{EFT}} = C \hat{n}_i \hat{n}_j^* (\bar{d}_L^i \gamma_\mu d_L^i) (\bar{\mu}_L \gamma^\mu \mu_L) \quad \hat{n} = \begin{pmatrix} \sin \theta \cos \phi e^{i\alpha_{bd}} \\ \sin \theta \sin \phi e^{i\alpha_{bs}} \\ \cos \theta \end{pmatrix}$$

The b-s element is fixed by the anomalies.

For any given $\hat{n}(\theta, \phi, \alpha_{bs}, \alpha_{bd})$,
we obtain the overall scale C by fitting the anomalies.

$$C_{sb} = C \sin \theta \cos \theta \sin \phi e^{i\alpha_{bs}} = \frac{e^{i\alpha_{bs}}}{\Lambda_{bs}^2} = (\text{from fit})$$



**Assuming B-anomalies are reproduced,
what are the experimentally allowed directions for \hat{n} ?**

**Working in the LEFT
(WEFT, WET,...)**

ROFV

$$\mathcal{L}_{\text{NP}}^{\text{EFT}} = C \hat{n}_i \hat{n}_j^* (\bar{d}_L^i \gamma_\mu d_L^i) (\bar{\mu}_L \gamma^\mu \mu_L) \quad \hat{n} = \begin{pmatrix} \sin \theta \cos \phi e^{i\alpha_{bd}} \\ \sin \theta \sin \phi e^{i\alpha_{bs}} \\ \cos \theta \end{pmatrix}$$

The b-s element is fixed by the anomalies.

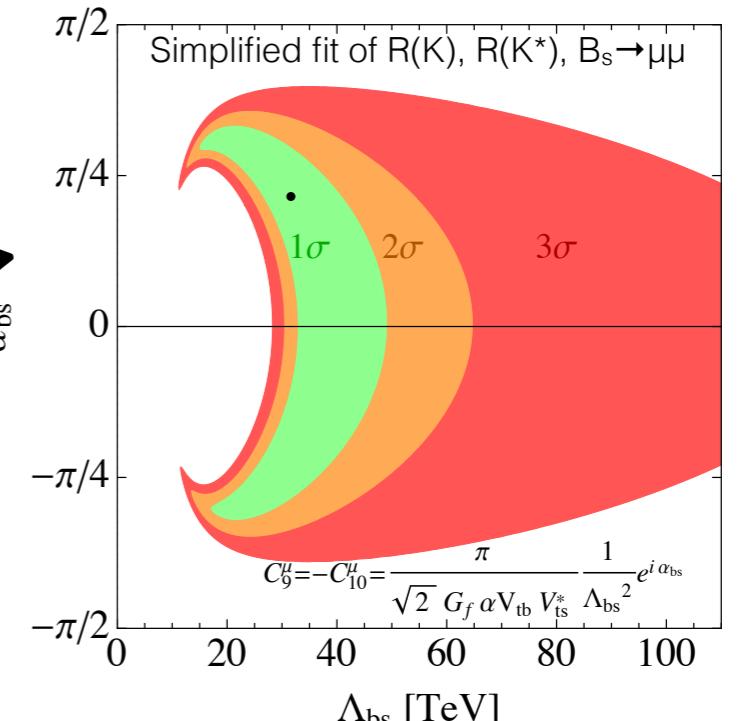
For any given $\hat{n}(\theta, \phi, \alpha_{bs}, \alpha_{bd})$,
we obtain the overall scale C by fitting the anomalies.

$$C_{sb} = C \sin \theta \cos \theta \sin \phi e^{i\alpha_{bs}} = \frac{e^{i\alpha_{bs}}}{\Lambda_{bs}^2} = (\text{from fit})$$

Once C is fixed (as a function of \hat{n}) we predict all other flavor transitions:

$$C_{db} = C \sin \theta \cos \theta \cos \phi e^{i\alpha_{bd}}$$

$$C_{ds} = C \sin^2 \theta \sin \phi \cos \phi e^{i(\alpha_{bd} - \alpha_{bs})}$$



We can check if the specific direction in $SU(3)_q$ space \hat{n} is experimentally **allowed** or **excluded** by observables testing these transitions.

General correlations (LH)

Direct correlations with other $d_i d_j \mu \mu$ observables

$$\mathcal{L}_{\text{NP}}^{\text{EFT}} = C \hat{n}_i \hat{n}_j^* (\bar{d}_L^i \gamma_\mu d_L^i) (\bar{\mu}_L \gamma^\mu \mu_L)$$

	Observable	Experimental value/bound	SM prediction	$\hat{n} = \begin{pmatrix} \sin \theta \cos \phi e^{i\alpha_{bd}} \\ \sin \theta \sin \phi e^{i\alpha_{bs}} \\ \cos \theta \end{pmatrix}$
C_{db}	$\text{Br}(B_d^0 \rightarrow \mu^+ \mu^-)$	$< 2.1 \times 10^{-10}$ (95% CL)	$(1.06 \pm 0.09) \times 10^{-10}$	
	$\text{Br}(B^+ \rightarrow \pi^+ \mu^+ \mu^-)_{[1,6]}$	$(4.55^{+1.05}_{-1.00} \pm 0.15) \times 10^{-9}$	$(6.55 \pm 1.25) \times 10^{-9}$	
$\text{Im}(C_{ds})$	$\text{Br}(K_S \rightarrow \mu^+ \mu^-)$	$< 11 \times 10^{-9}$ (95% CL)	$(5.0 \pm 1.5) \times 10^{-12}$	
$\text{Re}(C_{ds})$	$\text{Br}(K_L \rightarrow \mu^+ \mu^-)_{\text{SD}}$	$< 2.5 \times 10^{-9}$	$\approx 0.9 \times 10^{-9}$	
$\text{Im}(C_{ds})$	$\text{Br}(K_L \rightarrow \pi^0 \mu^+ \mu^-)$	$< 3.8 \times 10^{-10}$ (90% CL)	$1.41^{+0.28}_{-0.26} (0.95^{+0.22}_{-0.21}) \times 10^{-11}$	

Fix the phases and plot on the angles φ, θ (it's a semi-sphere in $SU(3)_q$)

General correlations (LH)

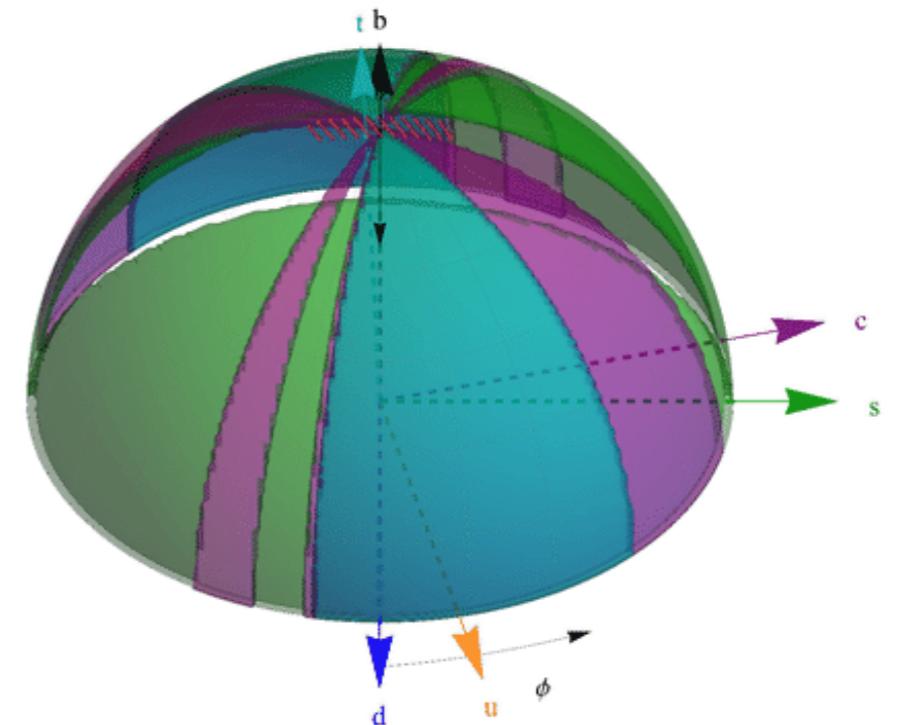
Direct correlations with other $d_i d_j \mu \mu$ observables

$$\mathcal{L}_{\text{NP}}^{\text{EFT}} = C \hat{n}_i \hat{n}_j^* (\bar{d}_L^i \gamma_\mu d_L^i) (\bar{\mu}_L \gamma^\mu \mu_L)$$

	Observable	Experimental value/bound	SM prediction	$\hat{n} = \begin{pmatrix} \sin \theta \cos \phi e^{i\alpha_{bd}} \\ \sin \theta \sin \phi e^{i\alpha_{bs}} \\ \cos \theta \end{pmatrix}$
C_{db}	$\text{Br}(B_d^0 \rightarrow \mu^+ \mu^-)$	$< 2.1 \times 10^{-10}$ (95% CL)	$(1.06 \pm 0.09) \times 10^{-10}$	
	$\text{Br}(B^+ \rightarrow \pi^+ \mu^+ \mu^-)_{[1,6]}$	$(4.55^{+1.05}_{-1.00} \pm 0.15) \times 10^{-9}$	$(6.55 \pm 1.25) \times 10^{-9}$	
$\text{Im}(C_{ds})$	$\text{Br}(K_S \rightarrow \mu^+ \mu^-)$	$< 11 \times 10^{-9}$ (95% CL)	$(5.0 \pm 1.5) \times 10^{-12}$	
$\text{Re}(C_{ds})$	$\text{Br}(K_L \rightarrow \mu^+ \mu^-)_{\text{SD}}$	$< 2.5 \times 10^{-9}$	$\approx 0.9 \times 10^{-9}$	
$\text{Im}(C_{ds})$	$\text{Br}(K_L \rightarrow \pi^0 \mu^+ \mu^-)$	$< 3.8 \times 10^{-10}$ (90% CL)	$1.41^{+0.28}_{-0.26}(0.95^{+0.22}_{-0.21}) \times 10^{-11}$	

Fix the phases and plot on the angles φ, θ (it's a semi-sphere in $SU(3)_q$)

$(\alpha_{bs}=0, \alpha_{bd}=0)$



Each colored region is excluded by the respective observable

General correlations (LH)

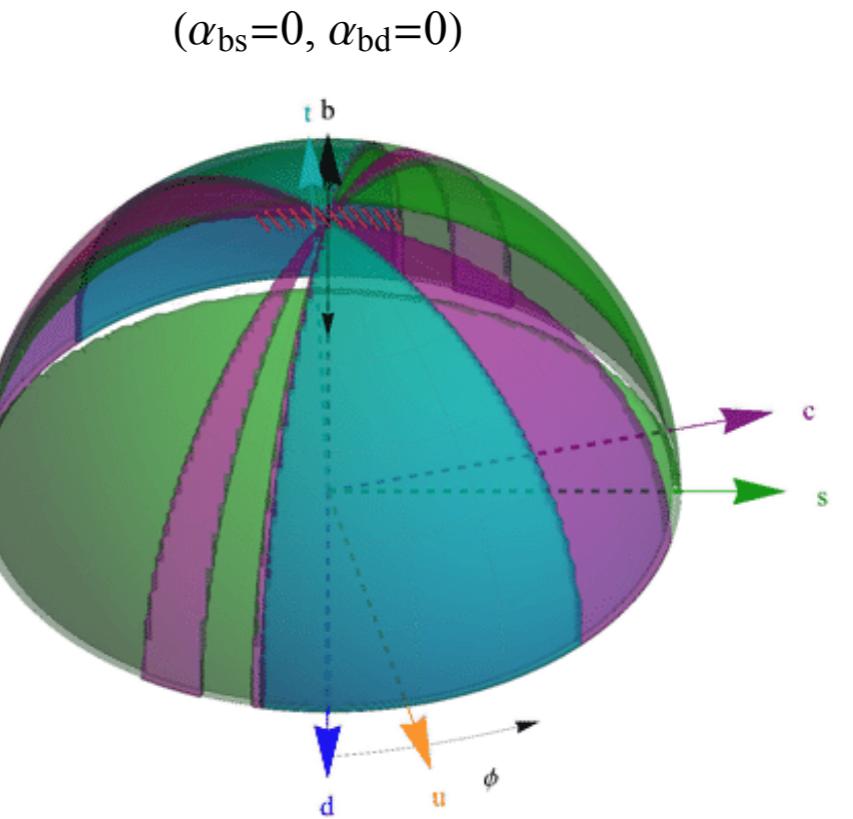
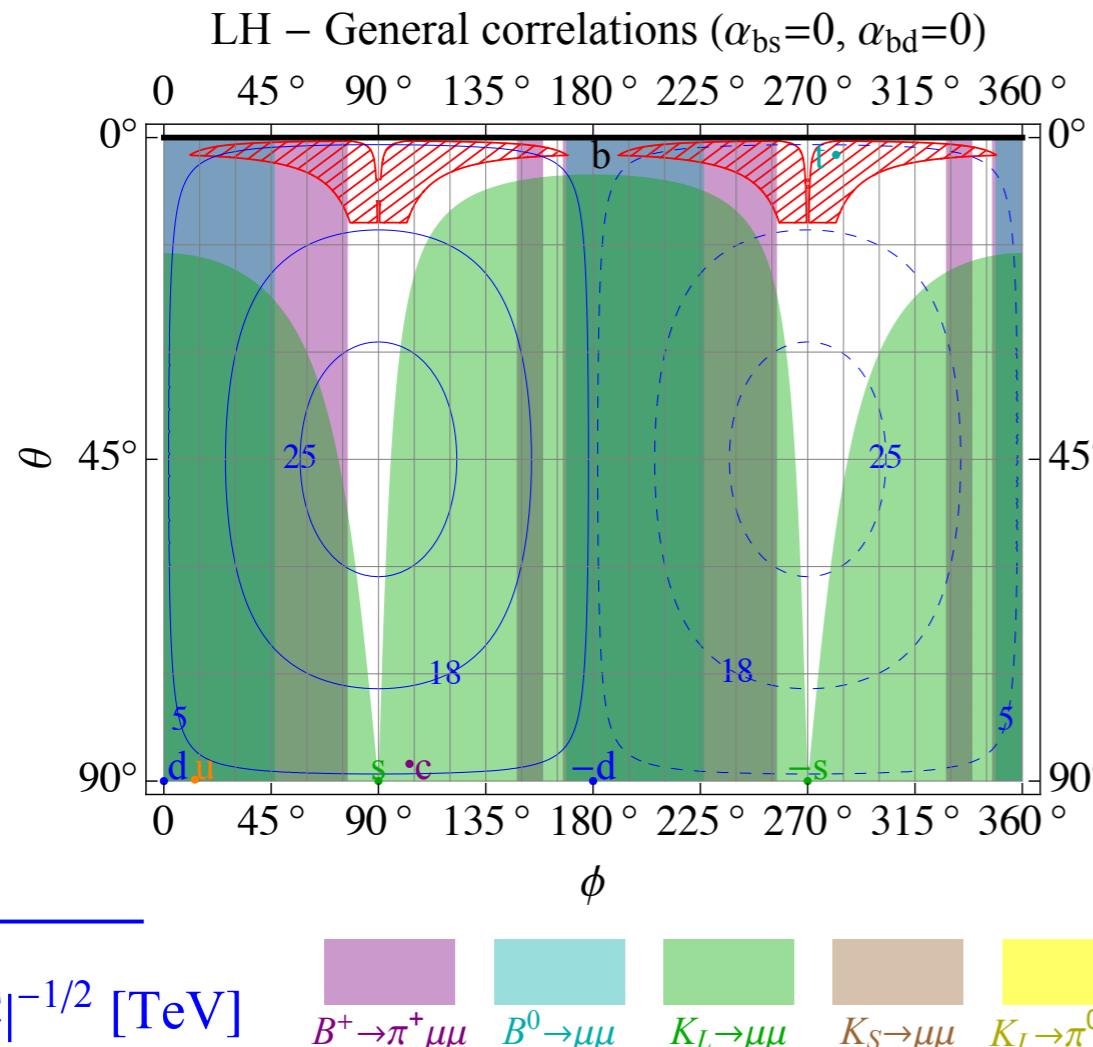
Direct correlations with other $d_i d_j \mu \mu$ observables

$$\mathcal{L}_{\text{NP}}^{\text{EFT}} = C \hat{n}_i \hat{n}_j^* (\bar{d}_L^i \gamma_\mu d_L^i) (\bar{\mu}_L \gamma^\mu \mu_L)$$

	Observable	Experimental value/bound	SM prediction
C_{db}	$\text{Br}(B_d^0 \rightarrow \mu^+ \mu^-)$	$< 2.1 \times 10^{-10}$ (95% CL)	$(1.06 \pm 0.09) \times 10^{-10}$
	$\text{Br}(B^+ \rightarrow \pi^+ \mu^+ \mu^-)_{[1,6]}$	$(4.55^{+1.05}_{-1.00} \pm 0.15) \times 10^{-9}$	$(6.55 \pm 1.25) \times 10^{-9}$
$\text{Im}(C_{ds})$	$\text{Br}(K_S \rightarrow \mu^+ \mu^-)$	$< 11 \times 10^{-9}$ (95% CL)	$(5.0 \pm 1.5) \times 10^{-12}$
	$\text{Br}(K_L \rightarrow \mu^+ \mu^-)_{\text{SD}}$	$< 2.5 \times 10^{-9}$	$\approx 0.9 \times 10^{-9}$
$\text{Re}(C_{ds})$	$\text{Br}(K_L \rightarrow \pi^0 \mu^+ \mu^-)$	$< 3.8 \times 10^{-10}$ (90% CL)	$1.41^{+0.28}_{-0.26}(0.95^{+0.22}_{-0.21}) \times 10^{-11}$

$$\hat{n} = \begin{pmatrix} \sin \theta \cos \phi e^{i\alpha_{bd}} \\ \sin \theta \sin \phi e^{i\alpha_{bs}} \\ \cos \theta \end{pmatrix}$$

Fix the phases and plot on the angles φ, θ (it's a semi-sphere in $SU(3)_q$)



Each colored region is excluded by the respective observable

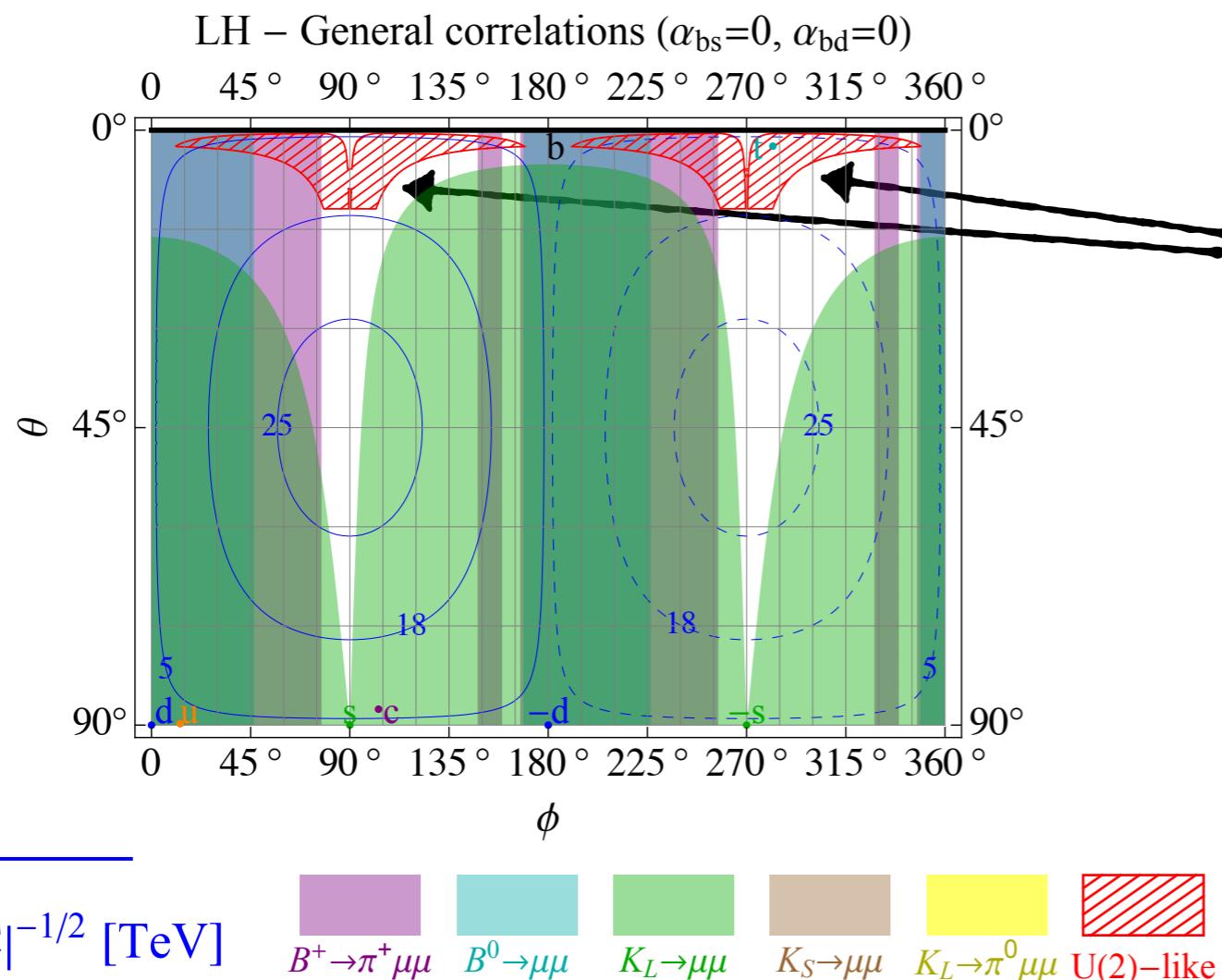
General correlations (LH)

Direct correlations with other $d_i d_j \mu \mu$ observables

$$\mathcal{L}_{\text{NP}}^{\text{EFT}} = C \hat{n}_i \hat{n}_j^* (\bar{d}_L^i \gamma_\mu d_L^i) (\bar{\mu}_L \gamma^\mu \mu_L)$$

	Observable	Experimental value/bound	SM prediction
C_{db}	$\text{Br}(B_d^0 \rightarrow \mu^+ \mu^-)$	$< 2.1 \times 10^{-10}$ (95% CL)	$(1.06 \pm 0.09) \times 10^{-10}$
$\text{Im}(C_{ds})$	$\text{Br}(B^+ \rightarrow \pi^+ \mu^+ \mu^-)_{[1,6]}$	$(4.55^{+1.05}_{-1.00} \pm 0.15) \times 10^{-9}$	$(6.55 \pm 1.25) \times 10^{-9}$
$\text{Re}(C_{ds})$	$\text{Br}(K_S \rightarrow \mu^+ \mu^-)$	$< 11 \times 10^{-9}$ (95% CL)	$(5.0 \pm 1.5) \times 10^{-12}$
$\text{Im}(C_{ds})$	$\text{Br}(K_L \rightarrow \mu^+ \mu^-)_{\text{SD}}$	$< 2.5 \times 10^{-9}$	$\approx 0.9 \times 10^{-9}$
	$\text{Br}(K_L \rightarrow \pi^0 \mu^+ \mu^-)$	$< 3.8 \times 10^{-10}$ (90% CL)	$1.41^{+0.28}_{-0.26}(0.95^{+0.22}_{-0.21}) \times 10^{-11}$

$$\hat{n} = \begin{pmatrix} \sin \theta \cos \phi e^{i\alpha_{bd}} \\ \sin \theta \sin \phi e^{i\alpha_{bs}} \\ \cos \theta \end{pmatrix}$$



Region suggested by
U(2) flavour symmetry or
partial compositeness
(close to third generation).

$$\hat{n} = (\mathcal{O}(V_{td}), \mathcal{O}(V_{ts}), \mathcal{O}(1))$$

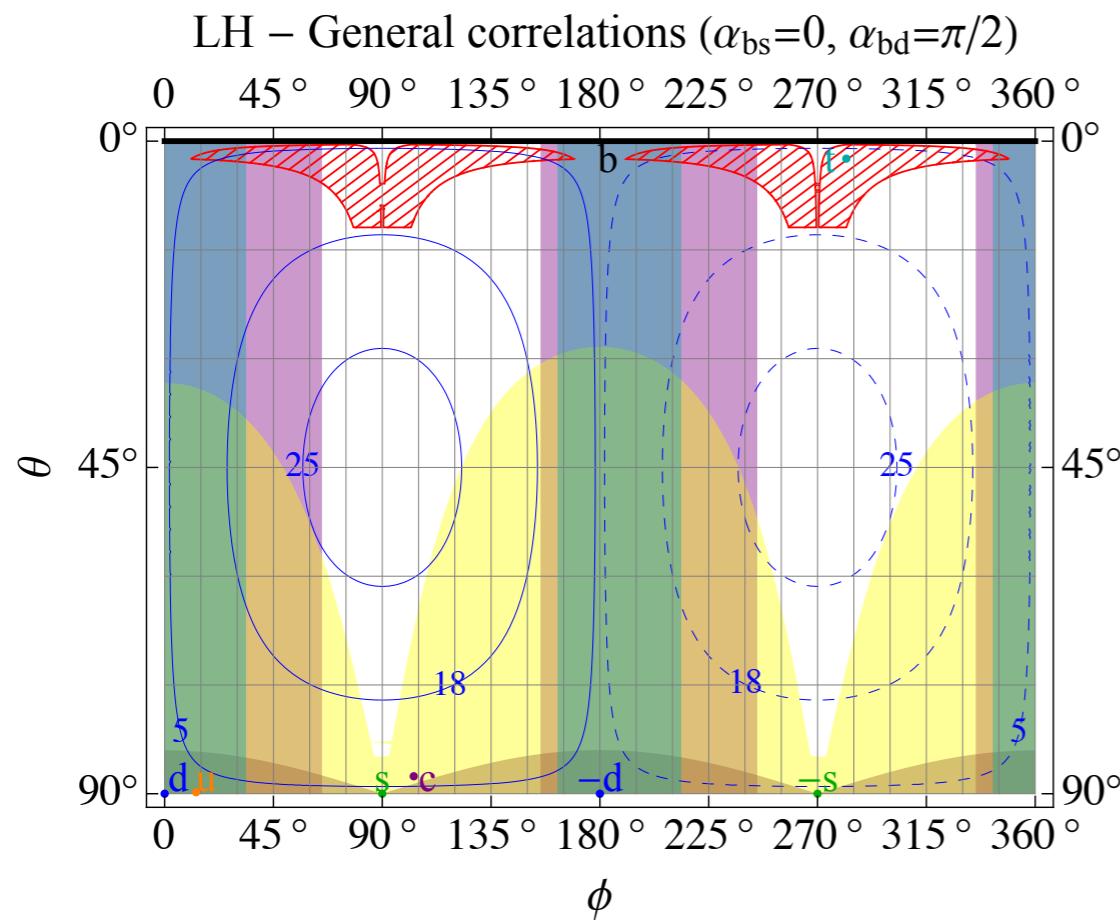
General correlations (LH)

Direct correlations with other $d_i d_j \mu\mu$ observables

$$\mathcal{L}_{\text{NP}}^{\text{EFT}} = C \hat{n}_i \hat{n}_j^* (\bar{d}_L^i \gamma_\mu d_L^i) (\bar{\mu}_L \gamma^\mu \mu_L)$$

	Observable	Experimental value/bound	SM prediction
C_{db}	$\text{Br}(B_d^0 \rightarrow \mu^+ \mu^-)$	$< 2.1 \times 10^{-10}$ (95% CL)	$(1.06 \pm 0.09) \times 10^{-10}$
$\text{Im}(C_{ds})$	$\text{Br}(B^+ \rightarrow \pi^+ \mu^+ \mu^-)_{[1,6]}$	$(4.55^{+1.05}_{-1.00} \pm 0.15) \times 10^{-9}$	$(6.55 \pm 1.25) \times 10^{-9}$
$\text{Re}(C_{ds})$	$\text{Br}(K_S \rightarrow \mu^+ \mu^-)$	$< 11 \times 10^{-9}$ (95% CL)	$(5.0 \pm 1.5) \times 10^{-12}$
$\text{Im}(C_{ds})$	$\text{Br}(K_L \rightarrow \mu^+ \mu^-)_{\text{SD}}$	$< 2.5 \times 10^{-9}$	$\approx 0.9 \times 10^{-9}$
	$\text{Br}(K_L \rightarrow \pi^0 \mu^+ \mu^-)$	$< 3.8 \times 10^{-10}$ (90% CL)	$1.41^{+0.28}_{-0.26}(0.95^{+0.22}_{-0.21}) \times 10^{-11}$

$$\hat{n} = \begin{pmatrix} \sin \theta \cos \phi e^{i\alpha_{bd}} \\ \sin \theta \sin \phi e^{i\alpha_{bs}} \\ \cos \theta \end{pmatrix}$$



For complex coefficients,
 $K_L \rightarrow \pi^0 \mu\mu$ and $K_S \rightarrow \mu\mu$
become important

$|C|^{-1/2} [\text{TeV}]$

$B^+ \rightarrow \pi^+ \mu\mu$ $B^0 \rightarrow \mu\mu$ $K_L \rightarrow \mu\mu$ $K_S \rightarrow \mu\mu$ $K_L \rightarrow \pi^0 \mu\mu$ U(2)-like

SMEFT case & mediators

$$q_L^i = (V_{ji}^* u_L^j, d_L^i)^t$$

$$\mathcal{L}_{\text{NP}}^{\text{SMEFT}} = C_S^{ij} (\bar{q}_L^i \gamma_\mu q_L^j) (\bar{\ell}_L^2 \gamma^\mu \ell_L^2) + C_T^{ij} (\bar{q}_L^i \gamma_\mu \sigma^a q_L^j) (\bar{\ell}_L^2 \gamma^\mu \sigma^a \ell_L^2) + C_R^{ij} (\bar{q}_L^i \gamma_\mu q_L^j) (\mu_R \gamma^\mu \mu_R)$$

The ROFV assumption is

$$C_{S,T,R}^{ij} = C_{S,T,R} \hat{n}_i \hat{n}_j^*$$

Three overall coefficients

Channel	Coefficient dependencies
$d_i \rightarrow d_j \mu^+ \mu^-$	$C_S + C_T, C_R$
$u_i \rightarrow u_j \bar{\nu}_\mu \nu_\mu$	$C_S + C_T$
$u_i \rightarrow u_j \mu^+ \mu^-$	$C_S - C_T, C_R$
$d_i \rightarrow d_j \bar{\nu}_\mu \nu_\mu$	$C_S - C_T$
$u_i \rightarrow d_j \mu^+ \nu_\mu$	C_T

Different processes depend on different combinations of the three overall coefficients

SMEFT case & mediators

$$q_L^i = (V_{ji}^* u_L^j, d_L^i)^t$$

$$\mathcal{L}_{\text{NP}}^{\text{SMEFT}} = C_S^{ij} (\bar{q}_L^i \gamma_\mu q_L^j) (\bar{\ell}_L^2 \gamma^\mu \ell_L^2) + C_T^{ij} (\bar{q}_L^i \gamma_\mu \sigma^a q_L^j) (\bar{\ell}_L^2 \gamma^\mu \sigma^a \ell_L^2) + C_R^{ij} (\bar{q}_L^i \gamma_\mu q_L^j) (\mu_R \gamma^\mu \mu_R)$$

The ROFV assumption is

$$C_{S,T,R}^{ij} = C_{S,T,R} \hat{n}_i \hat{n}_j^*$$

Three overall coefficients

Channel	Coefficient dependencies
$d_i \rightarrow d_j \mu^+ \mu^-$	$C_S + C_T, C_R$
$u_i \rightarrow u_j \bar{\nu}_\mu \nu_\mu$	$C_S + C_T$
$u_i \rightarrow u_j \mu^+ \mu^-$	$C_S - C_T, C_R$
$d_i \rightarrow d_j \bar{\nu}_\mu \nu_\mu$	$C_S - C_T$
$u_i \rightarrow d_j \mu^+ \nu_\mu$	C_T

Different processes depend on different combinations of the three overall coefficients

Even assuming a LH solution, the relative size of C_S and C_T is a free parameter.

However, $\mathbf{d}i\mathbf{d}j\boldsymbol{\mu}\boldsymbol{\mu}$ transitions,
are **directly correlated** with $\mathbf{b}s\boldsymbol{\mu}\boldsymbol{\mu}$
(depend on the same combination of C_S and C_T)

$$C_L = C_S + C_T \equiv C_+$$

Also $\mathbf{u}i\mathbf{u}j\boldsymbol{\nu}\boldsymbol{\mu}\boldsymbol{\nu}\boldsymbol{\mu}$ transitions,
are **directly correlated** with $\mathbf{b}s\boldsymbol{\mu}\boldsymbol{\mu}$
however no relevant bound exist
(e.g. from $\mathbf{D} \rightarrow \pi \mathbf{v}\mathbf{v}$)

SMEFT case & mediators

$$q_L^i = (V_{ji}^* u_L^j, d_L^i)^t$$

$$\mathcal{L}_{\text{NP}}^{\text{SMEFT}} = C_S^{ij} (\bar{q}_L^i \gamma_\mu q_L^j) (\bar{\ell}_L^2 \gamma^\mu \ell_L^2) + C_T^{ij} (\bar{q}_L^i \gamma_\mu \sigma^a q_L^j) (\bar{\ell}_L^2 \gamma^\mu \sigma^a \ell_L^2) + C_R^{ij} (\bar{q}_L^i \gamma_\mu q_L^j) (\mu_R \gamma^\mu \mu_R)$$

The ROFV assumption is

$$C_{S,T,R}^{ij} = C_{S,T,R} \hat{n}_i \hat{n}_j^*$$

Three overall coefficients

Channel	Coefficient dependencies
$d_i \rightarrow d_j \mu^+ \mu^-$	$C_S + C_T, C_R$
$u_i \rightarrow u_j \bar{\nu}_\mu \nu_\mu$	$C_S + C_T$
$u_i \rightarrow u_j \mu^+ \mu^-$	$C_S - C_T, C_R$
$d_i \rightarrow d_j \bar{\nu}_\mu \nu_\mu$	$C_S - C_T$
$u_i \rightarrow d_j \mu^+ \nu_\mu$	C_T

Different processes depend on different combinations of the three overall coefficients

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ is important

SMEFT case & mediators

$$q_L^i = (V_{ji}^* u_L^j, d_L^i)^t$$

$$\mathcal{L}_{\text{NP}}^{\text{SMEFT}} = C_S^{ij} (\bar{q}_L^i \gamma_\mu q_L^j) (\bar{\ell}_L^2 \gamma^\mu \ell_L^2) + C_T^{ij} (\bar{q}_L^i \gamma_\mu \sigma^a q_L^j) (\bar{\ell}_L^2 \gamma^\mu \sigma^a \ell_L^2) + C_R^{ij} (\bar{q}_L^i \gamma_\mu q_L^j) (\mu_R \gamma^\mu \mu_R)$$

The ROFV assumption is

$$C_{S,T,R}^{ij} = C_{S,T,R} \hat{n}_i \hat{n}_j^*$$

Three overall coefficients

Channel	Coefficient dependencies
$d_i \rightarrow d_j \mu^+ \mu^-$	$C_S + C_T, C_R$
$u_i \rightarrow u_j \bar{\nu}_\mu \nu_\mu$	$C_S + C_T$
$u_i \rightarrow u_j \mu^+ \mu^-$	$C_S - C_T, C_R$
$d_i \rightarrow d_j \bar{\nu}_\mu \nu_\mu$	$C_S - C_T$
$u_i \rightarrow d_j \mu^+ \nu_\mu$	C_T

Different processes depend on different combinations of the three overall coefficients

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ is important

We can ask what are the possible tree-level mediators which generate these operators.

Different ones generate different combinations of $C_{S,T,R}$.

Simplified model	Spin	SM irrep	(c_S, c_T, c_R)
S_3	0	$(\bar{3}, 3, 1/3)$	$(3/4, 1/4, 0)$
U_1	1	$(3, 1, 2/3)$	$(1/2, 1/2, 0)$
U_3	1	$(3, 3, 2/3)$	$(3/2, -1/2, 0)$
V'	1	$(1, 3, 0)$	$(0, 1, 0)$
$Z'_{(L)}$	1	$(1, 1, 0)$	$(1, 0, 0)$
$Z'_{(V)}$	1	$(1, 1, 0)$	$(1, 0, 1)$

As representative examples, we study:

\mathbf{S}_3

\mathbf{U}_1

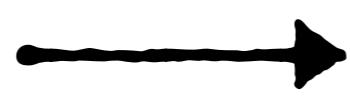
\mathbf{Z}'_V

(backup slides)

S_3 scalar leptoquark

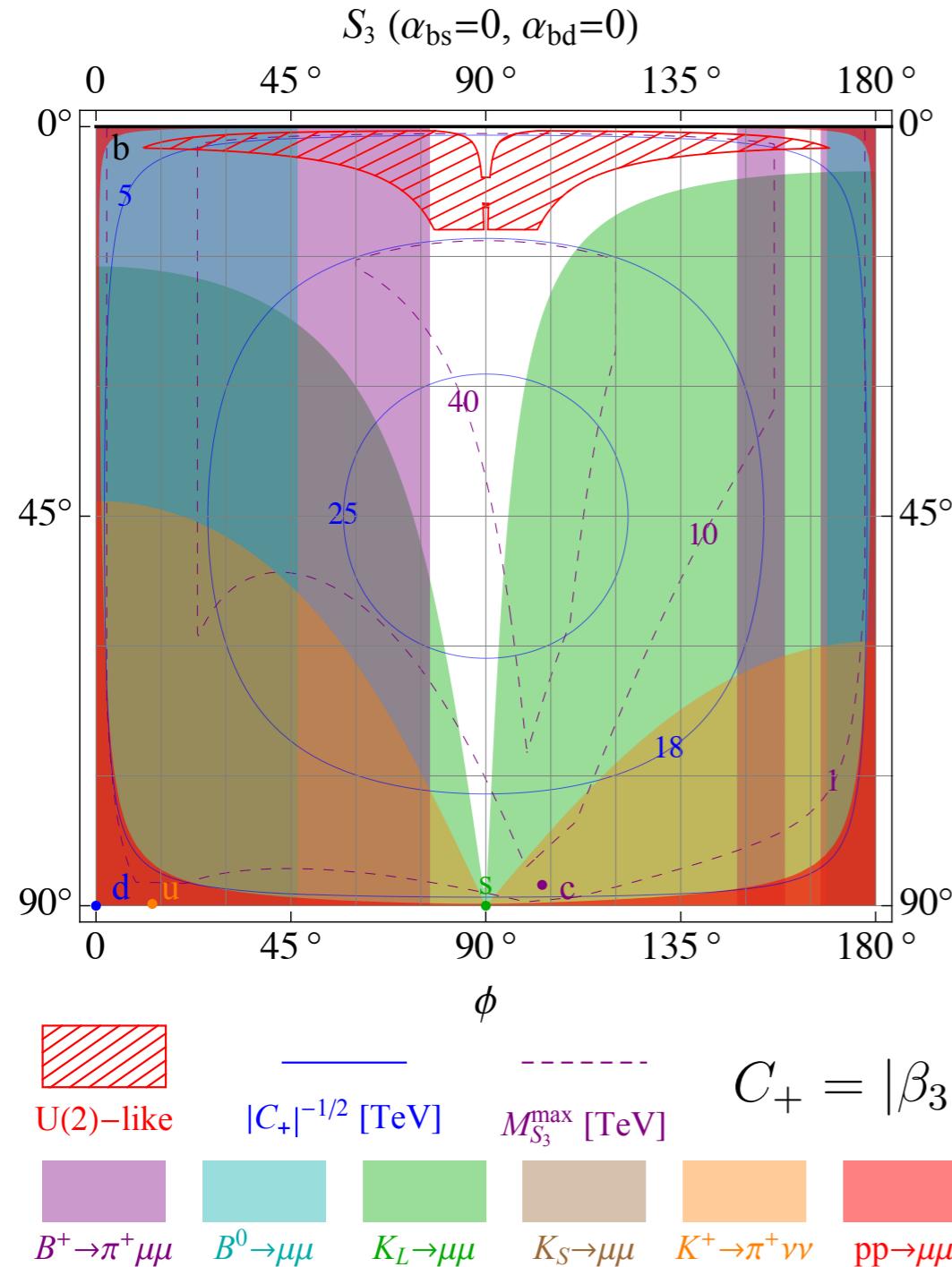
$S_3 = (\bar{\mathbf{3}}, \mathbf{3}, 1/3)$

$$\mathcal{L}_{\text{NP}} \supset \beta_{3,i\mu} (\bar{q}_L^c i \epsilon \sigma^a \ell_L^2) S_3^a + \text{h.c.}$$



$$C_S^{ij} = \frac{3}{4} \frac{\beta_{3,i\mu}^* \beta_{3,j\mu}}{M_{S_3}^2}, \quad C_T^{ij} = \frac{1}{4} \frac{\beta_{3,i\mu}^* \beta_{3,j\mu}}{M_{S_3}^2}, \quad C_R^{ij} = 0$$

$$\beta_{3,i\mu}^* \equiv \beta_3^* \hat{n}_i$$



S_3 scalar leptoquark

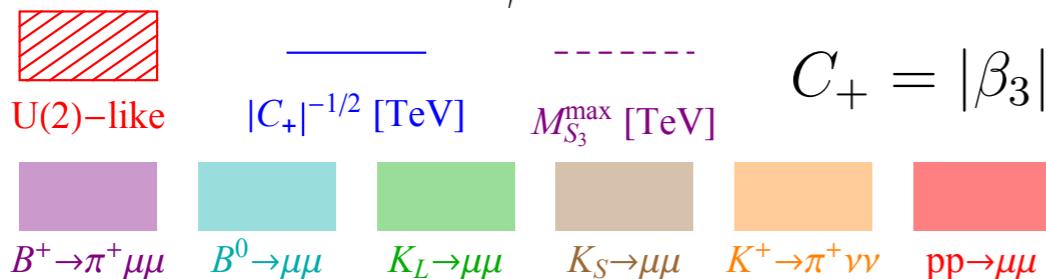
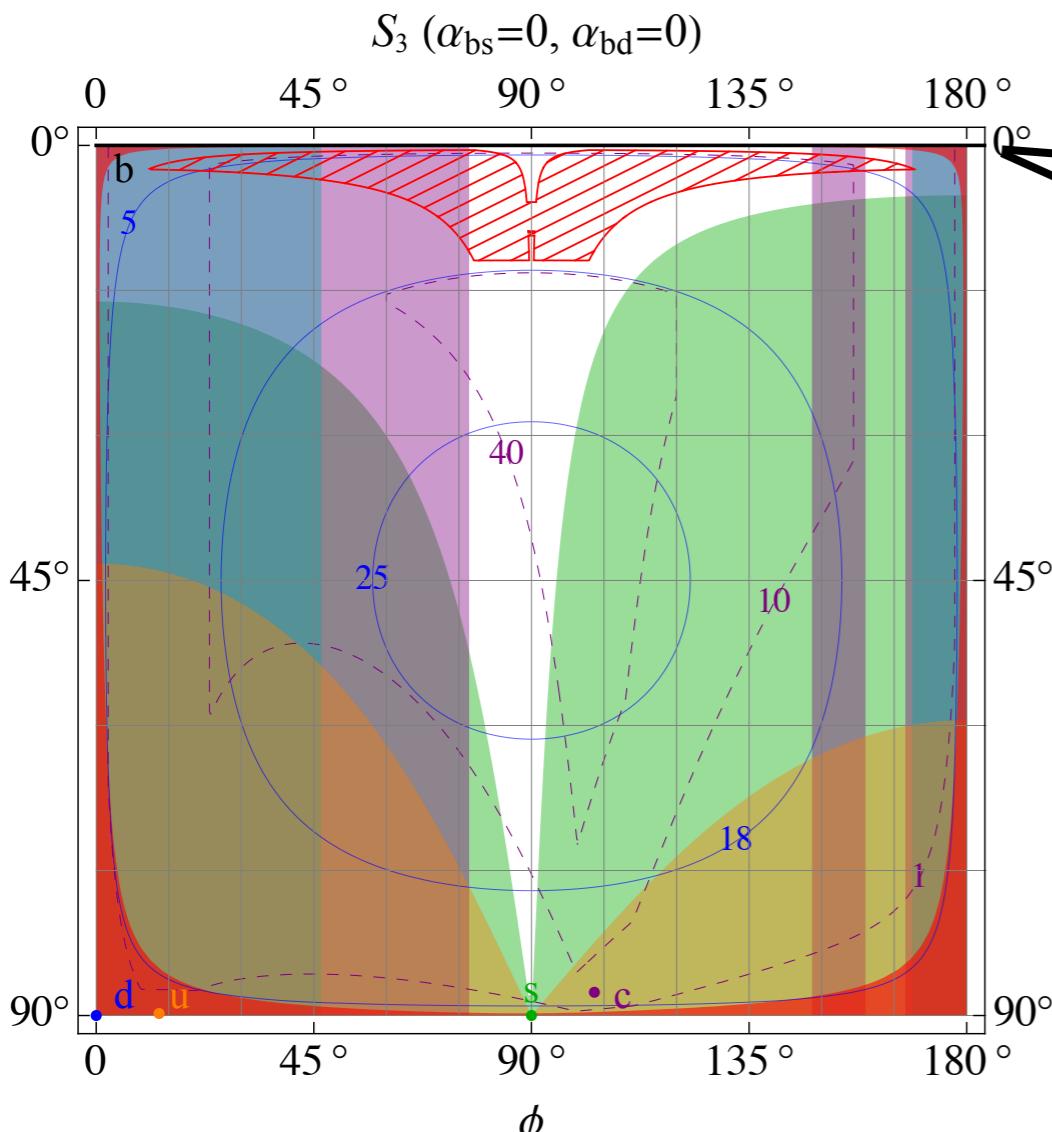
$S_3 = (\bar{\mathbf{3}}, \mathbf{3}, 1/3)$

$$\mathcal{L}_{\text{NP}} \supset \beta_{3,i\mu} (\bar{q}_L^c i \epsilon \sigma^a \ell_L^2) S_3^a + \text{h.c.}$$



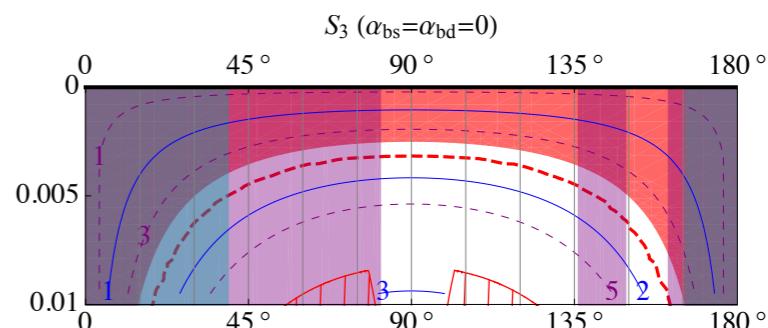
$$C_S^{ij} = \frac{3}{4} \frac{\beta_{3,i\mu}^* \beta_{3,j\mu}}{M_{S_3}^2}, \quad C_T^{ij} = \frac{1}{4} \frac{\beta_{3,i\mu}^* \beta_{3,j\mu}}{M_{S_3}^2}, \quad C_R^{ij} = 0$$

$$\beta_{3,i\mu}^* \equiv \beta_3^* \hat{n}_i$$



$$C_+ = |\beta_3|^2 / M_{S_3}^2 > 0$$

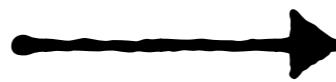
Zooming in on the small θ region



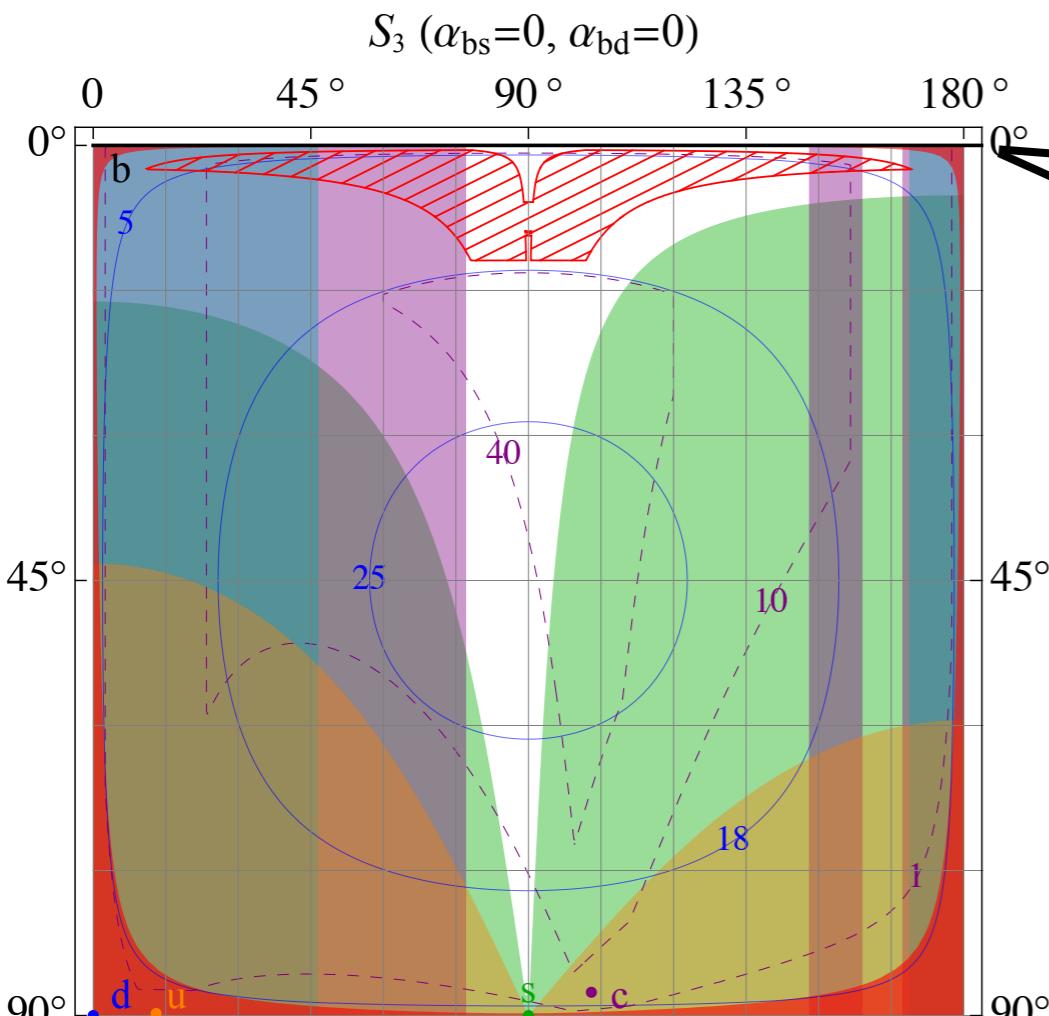
LHC dimuon searches are relevant only for *small* θ ,
i.e. very close to the 3rd generation.
Still far from testing U(2) hypothesis [Greljo, D.M. 1704.09015]

S_3 scalar leptoquark S₃ = ($\bar{\mathbf{3}}$, $\mathbf{3}$, 1/3)

$$\mathcal{L}_{\text{NP}} \supset \beta_{3,i\mu} (\bar{q}_L^c i \epsilon \sigma^a \ell_L^2) S_3^a + \text{h.c.}$$

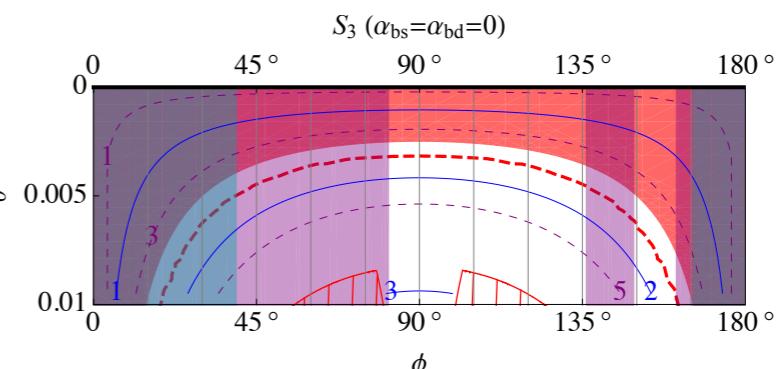


$$C_S^{ij} = \frac{3}{4} \frac{\beta_{3,i\mu}^* \beta_{3,j\mu}}{M_{S_3}^2}, \quad C_T^{ij} = \frac{1}{4} \frac{\beta_{3,i\mu}^* \beta_{3,j\mu}}{M_{S_3}^2}, \quad C_R^{ij} = 0$$

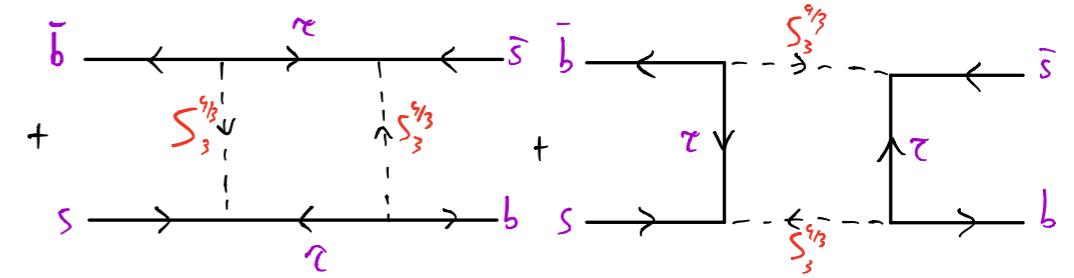


$$\beta_{3,i\mu}^* \equiv \beta_3^* \hat{n}_i$$

Zooming in on the small θ region



LHC dimuon searches are relevant only for *small* θ ,
i.e. very close to the 3rd generation.
Still far from testing U(2) hypothesis [Greljo, D.M. 1704.09015]



At 1-loop it generates $\Delta F=2$ operators

$$\Delta \mathcal{L}_{\Delta F=2} = -\frac{5|\beta_3|^4}{128\pi^2 M_{S_3}^2} [(\hat{n}_i \hat{n}_j^* \bar{d}_L^i \gamma^\alpha d_L^j)^2 + (V_{ik} \hat{n}_k \hat{n}_l^* V_{jl}^* \bar{u}_L^i \gamma^\alpha u_L^j)^2]$$

$$C_+ = |\beta_3|^2 / M_{S_3}^2 > 0$$

Limits on $D-\bar{D}$, $K-\bar{K}$, $B_d-\bar{B}_d$, $B_s-\bar{B}_s$ give an upper limit on the leptoquark mass

ROFV & U(2)³ symmetry

Global quark flavor symmetry

$$U(2)^3 = U(2)_q \times U(2)_u \times U(2)_d$$

$$\psi_i = (\psi_1^{\frac{2}{}} \psi_2^{\frac{1}{}} \psi_3^{\frac{1}{}})$$

When *minimally broken*, the **spurions** are: $V_q \sim (2, 1, 1)$, $\Delta Y_u \sim (2, \bar{2}, 1)$, $\Delta Y_d \sim (2, 1, \bar{2})$

$$y_u \sim y_t \begin{pmatrix} \Delta Y_u & V_q \\ 0 & 1 \end{pmatrix} , \quad y_d \sim y_b \begin{pmatrix} \Delta Y_d & V_q \\ 0 & 1 \end{pmatrix}$$

The doublet is given by
CKM elements up to
corrections

$$V_q = a_q \begin{pmatrix} V_{td}^* \\ V_{ts}^* \end{pmatrix}$$

$$\mathcal{O}(m_s/m_b)$$

ROFV & U(2)³ symmetry

Global quark flavor symmetry

$$U(2)^3 = U(2)_q \times U(2)_u \times U(2)_d$$

$$\psi_i = (\psi_1^{\textcolor{red}{2}} \psi_2 \psi_3^{\textcolor{blue}{1}})$$

When *minimally broken*, the **spurions** are: $V_q \sim (2, 1, 1)$, $\Delta Y_u \sim (2, \bar{2}, 1)$, $\Delta Y_d \sim (2, 1, \bar{2})$

$$y_u \sim y_t \begin{pmatrix} \Delta Y_u & V_q \\ 0 & 1 \end{pmatrix} , \quad y_d \sim y_b \begin{pmatrix} \Delta Y_d & V_q \\ 0 & 1 \end{pmatrix}$$

The doublet is given by
CKM elements up to
corrections

$$V_q = a_q \begin{pmatrix} V_{td}^* \\ V_{ts}^* \end{pmatrix}$$

$$\mathcal{O}(m_s/m_b)$$

One can **predict** (up to $\mathcal{O}(2\%)$ corrections)

$$R_K \approx R_\pi \quad \frac{\text{Br}(B_s^0 \rightarrow \mu^+ \mu^-)}{\text{Br}(B_s^0 \rightarrow \mu^+ \mu^-)^{\text{SM}}} \approx \frac{\text{Br}(B^0 \rightarrow \mu^+ \mu^-)}{\text{Br}(B^0 \rightarrow \mu^+ \mu^-)^{\text{SM}}}$$

These predictions of minimally broken U(2)³ will be tested with future data (see prospects slide).

ROFV & $U(2)^3$ symmetry

Global quark flavor symmetry

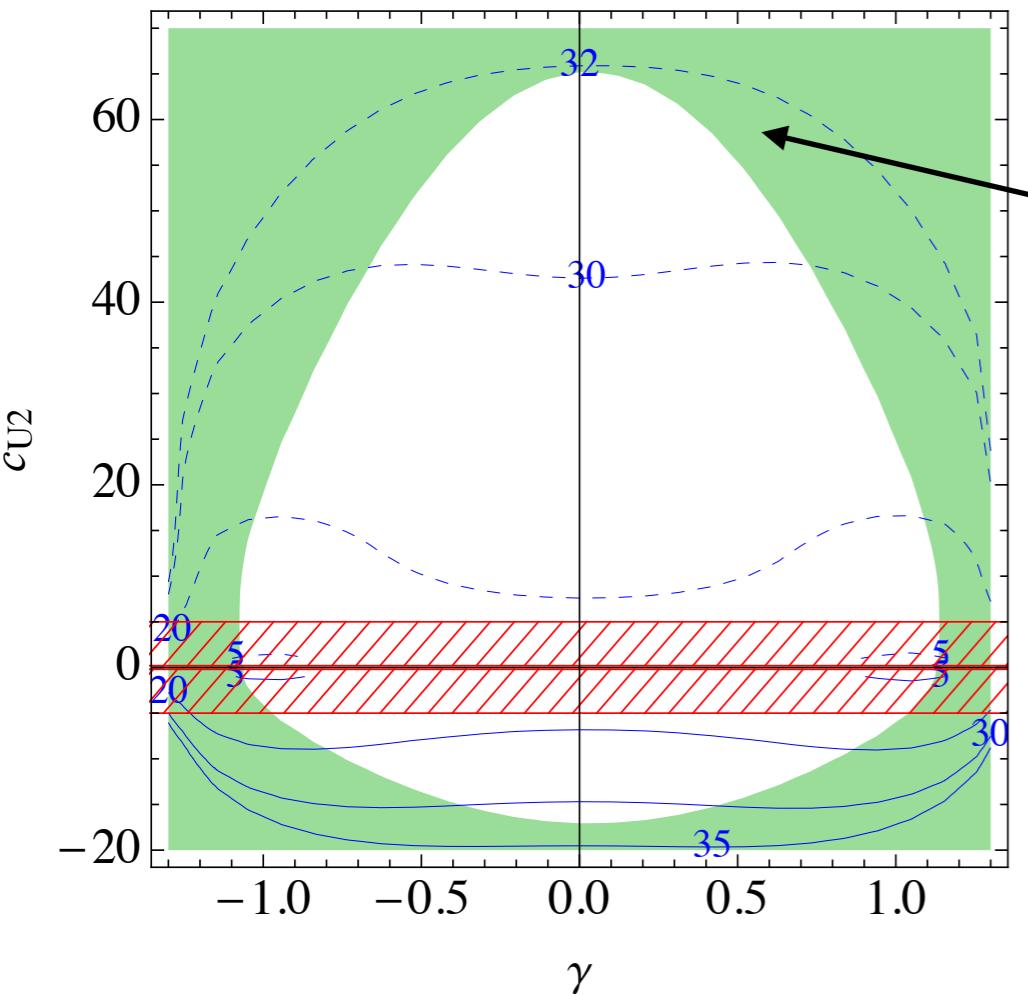
$$U(2)^3 = U(2)_q \times U(2)_u \times U(2)_d$$

$$\psi_i = (\overset{2}{\psi_1} \overset{1}{\psi_2} \overset{1}{\psi_3})$$

When *minimally broken*, the **spurions** are: $V_q \sim (2, 1, 1)$, $\Delta Y_u \sim (2, \bar{2}, 1)$, $\Delta Y_d \sim (2, 1, \bar{2})$

Imposing the ROFV structure we can also get
correlations with s-d transitions:

only 2 free parameters: c_{U2} , γ .



Main constraint from
 $K_L \rightarrow \mu^+ \mu^-$

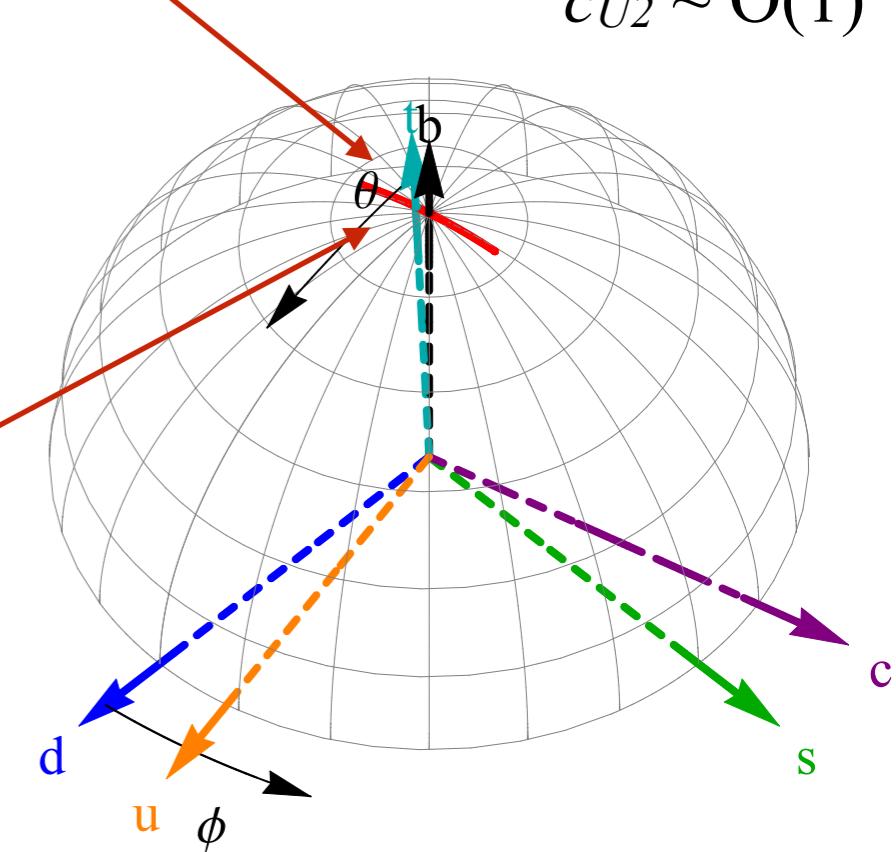
The region consistent with
minimally broken $U(2)$
symmetry is still not tested

$$C_{ij} = C \hat{n}_i \hat{n}_j^*$$

$$\hat{n} \sim \mathbf{1} + \mathbf{2}_q \sim (c_{U2} V_q^T, 1)^T$$

$$\hat{n} \propto (c_{U2} e^{i\gamma} V_{td}^*, c_{U2} e^{i\gamma} V_{ts}^*, 1)$$

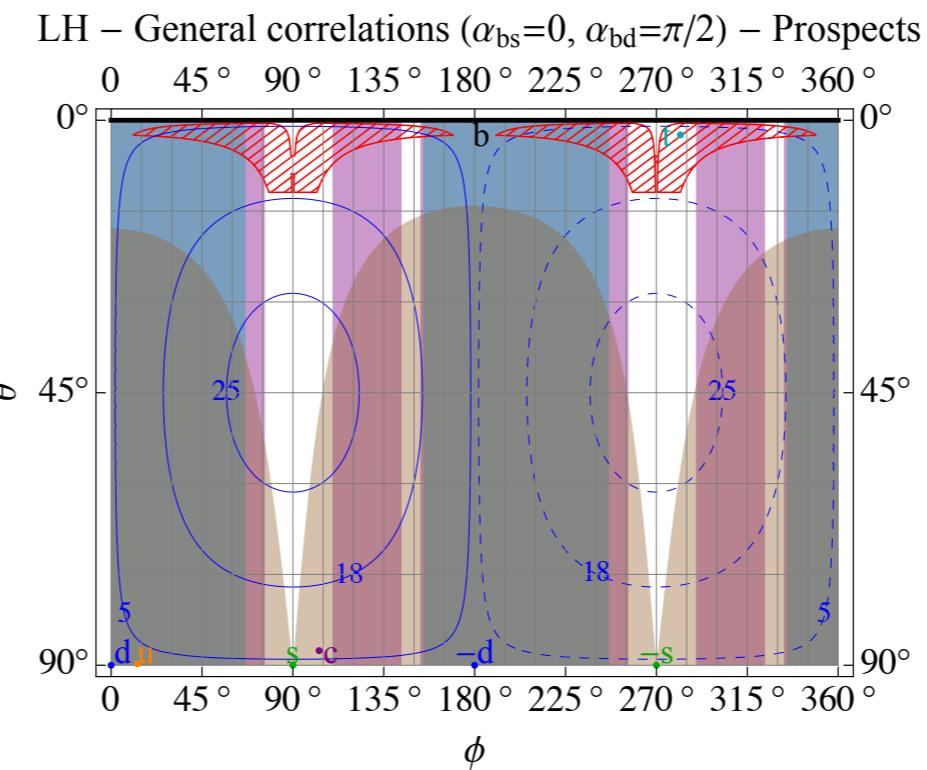
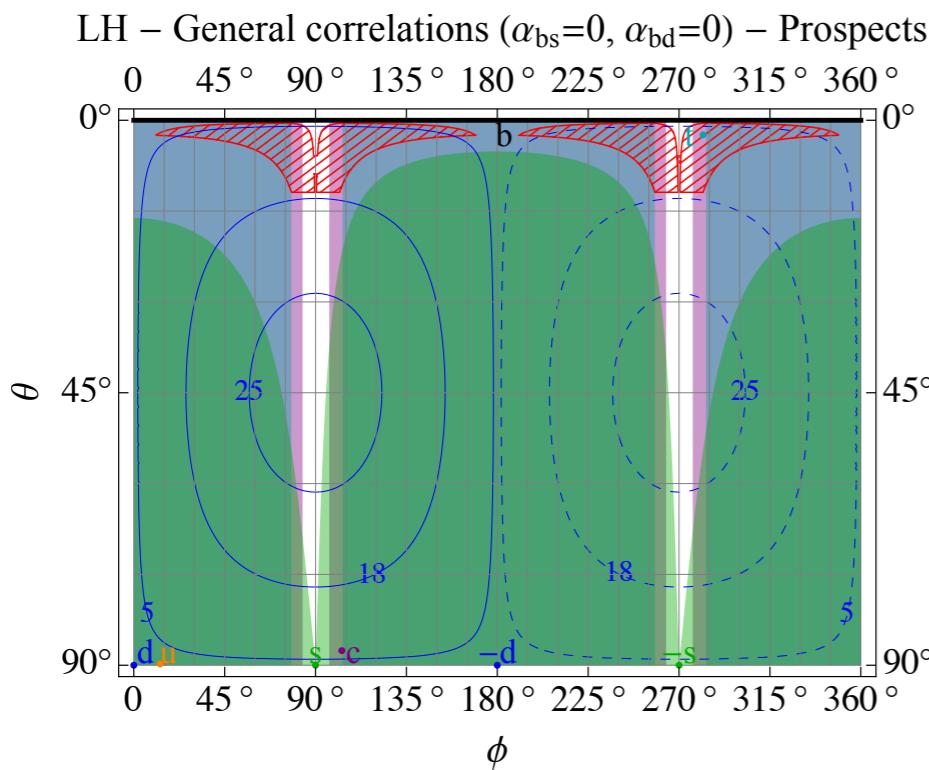
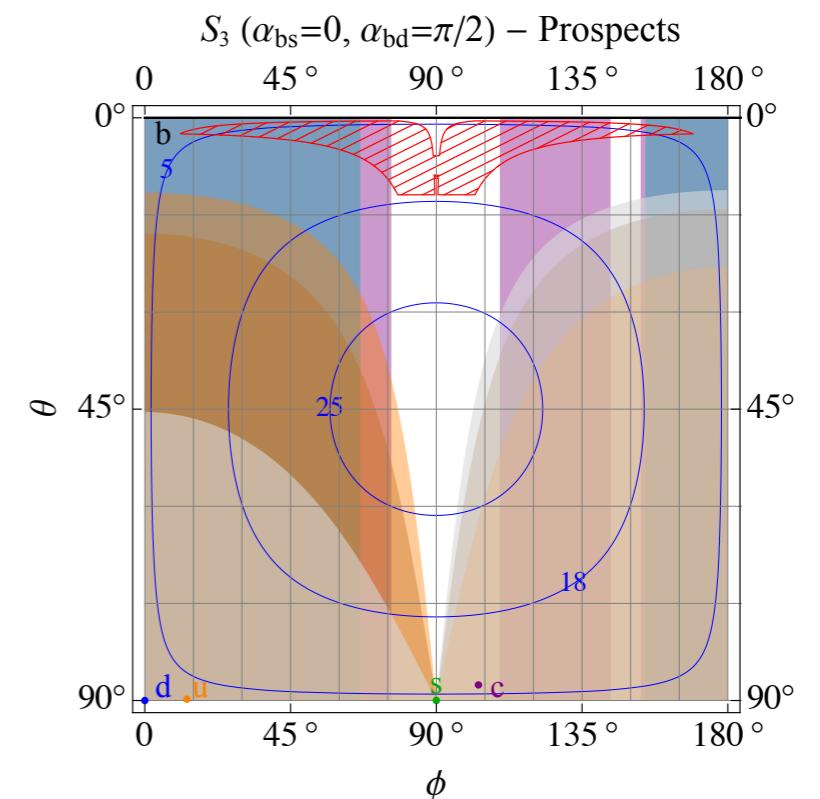
$$c_{U2} \sim O(1)$$



Prospects

Future improvements in the measurements of these observables will allow to cover the majority of the parameter space

Observable	Expected sensitivity	Experiment
R_K	0.7 (1.7)%	LHCb 300 (50) fb^{-1}
	3.6 (11)%	Belle II 50 (5) ab^{-1}
R_{K^*}	0.8 (2.0)%	LHCb 300 (50) fb^{-1}
	3.2 (10)%	Belle II 50 (5) ab^{-1}
R_π	4.7 (11.7)%	LHCb 300 (50) fb^{-1}
$\text{Br}(B_s^0 \rightarrow \mu^+ \mu^-)$	4.4 (8.2)%	LHCb 300 (23) fb^{-1}
	7 (12)%	CMS 3 (0.3) ab^{-1}
$\text{Br}(B_d^0 \rightarrow \mu^+ \mu^-)$	9.4 (33)%	LHCb 300 (23) fb^{-1}
	16 (46)%	CMS 3 (0.3) ab^{-1}
$\text{Br}(K_S \rightarrow \mu^+ \mu^-)$	$\sim 10^{-11}$	LHCb 300 fb^{-1}
$\text{Br}(K_L \rightarrow \pi^0 \nu \bar{\nu})$	$\sim 30\%$	KOTO phase-I
	20%	KLEVER
$\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu})$	10%	NA62 goal



Summary

- ◆ The **B-physics anomalies** will be thoroughly **tested in the next few years**.
If confirmed, **understanding the flavor structure** of this new breaking of the SM flavor symmetries will be crucial.
- ◆ The **Rank-One Flavor Violation** assumption is realised in several UV completions.
It allows to **correlate $b \rightarrow s\mu\mu$ processes with other flavor observables** involving muons (or muon neutrinos).
- ◆ Already now a sizeable part of parameter space is **tested** and
future measurements will cover the majority of the framework.

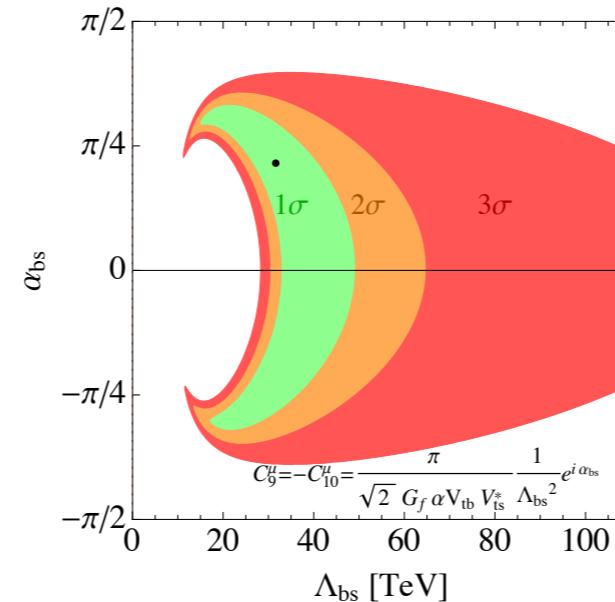
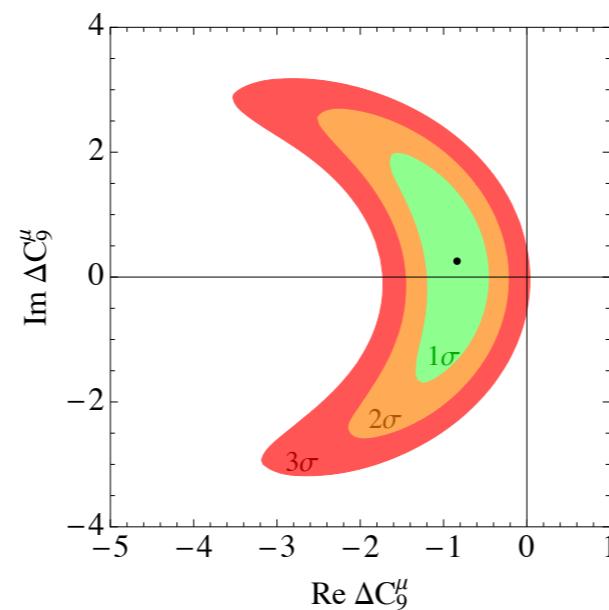
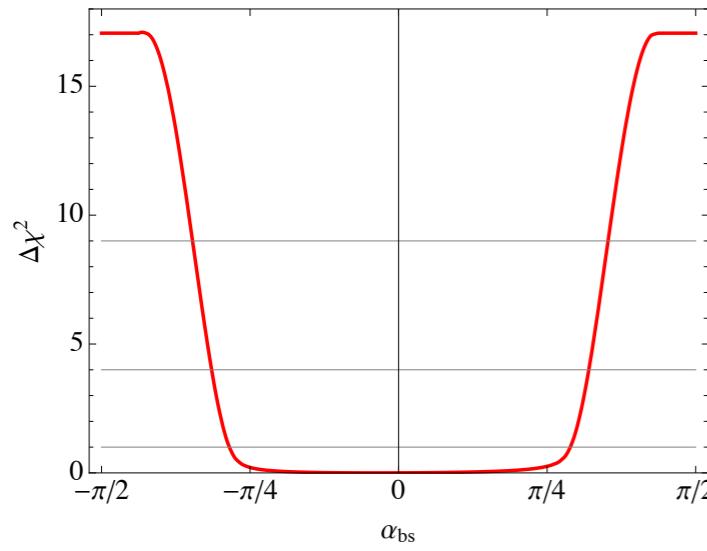
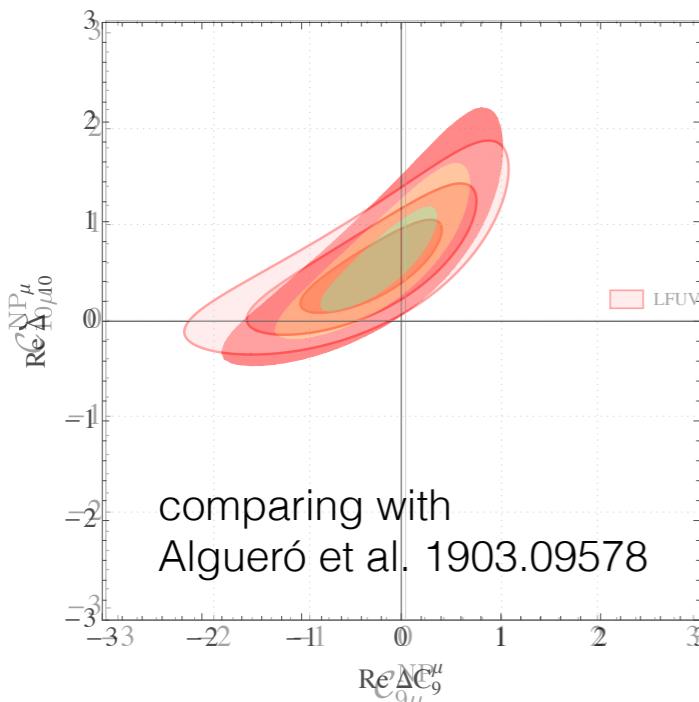
Thank you!

Backup

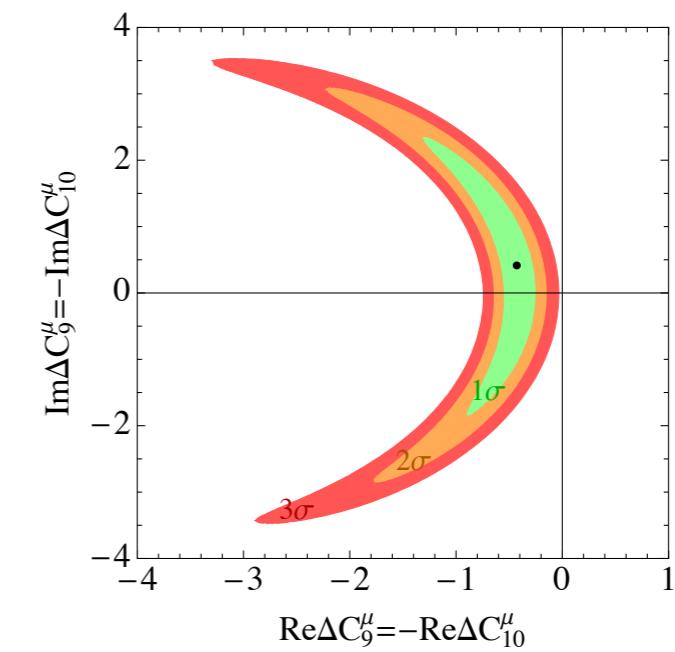
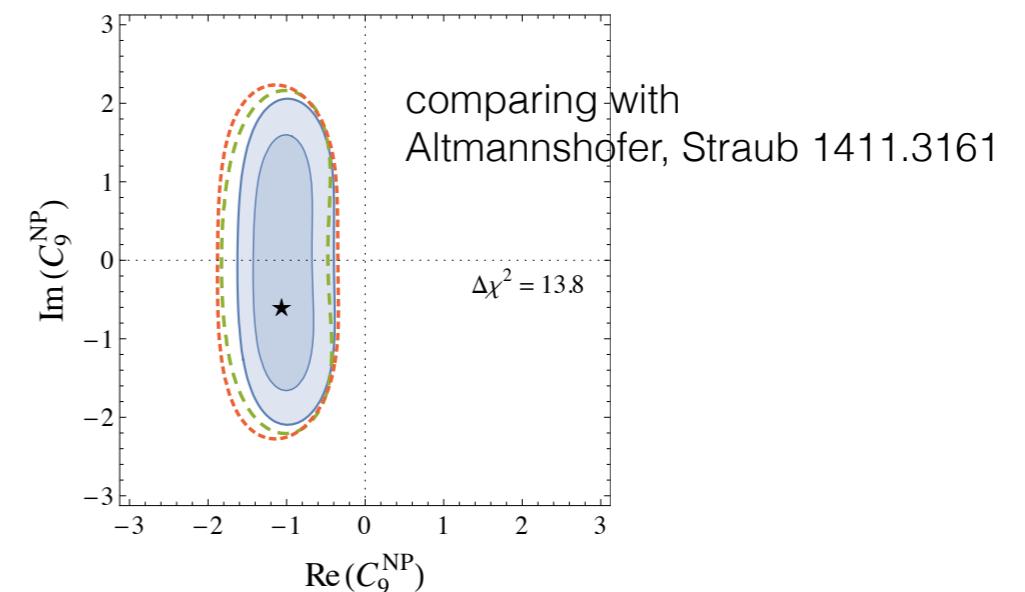
Simplified* fit of clean observables

$$\mathcal{L}_{\text{eff}}^{\text{NP}} \supset \frac{G_F \alpha}{\sqrt{2}\pi} V_{tb} V_{ts}^* [\Delta C_9^\mu (\bar{s}_L \gamma^\mu b_L) (\bar{\mu} \gamma_\mu \mu) + \Delta C_{10}^\mu (\bar{s}_L \gamma^\mu b_L) (\bar{\mu} \gamma_\mu \gamma_5 \mu)] + h.c. .$$

$$\mathcal{L}_{\text{eff}} \supset \frac{e^{i\alpha_{bs}}}{\Lambda_{bs}^2} (\bar{s}_L \gamma^\mu b_L) (\bar{\mu}_L \gamma_\mu \mu_L) + h.c.$$



R_K [1.1, 6] GeV ²	0.846 ± 0.062	LHCb [1, 2]
R_{K^*} [0.045, 1.1] GeV ²	0.66 ± 0.11 $0.52^{+0.36}_{-0.26}$	LHCb [3] Belle [4]
R_{K^*} [1.1, 6] GeV ²	0.69 ± 0.12 $0.96^{+0.45}_{-0.29}$	LHCb [3] Belle [4]
R_{K^*} [15, 19] GeV ²	$1.18^{+0.52}_{-0.32}$	Belle [4]
$\text{Br}(B_s^0 \rightarrow \mu\mu)$	$(3.0^{+0.67}_{-0.63}) \times 10^{-9}$ $(2.8^{+0.8}_{-0.7}) \times 10^{-9}$	LHCb [9] ATLAS [10]



*Simplified = no theory uncertainties considered. Agrees well "enough" with full fits.

$\Delta F = 2$ observables (and ε'/ε)

Limits on $\Delta F = 2$ coefficients [GeV $^{-2}$]
$\text{Re}C_K^1 \in [-6.8, 7.7] \times 10^{-13}$, $\text{Im}C_K^1 \in [-1.2, 2.4] \times 10^{-15}$
$\text{Re}C_D^1 \in [-2.5, 3.1] \times 10^{-13}$, $\text{Im}C_D^1 \in [-9.4, 8.9] \times 10^{-15}$
$ C_{B_d}^1 < 9.5 \times 10^{-13}$
$ C_{B_s}^1 < 1.9 \times 10^{-11}$

[UTfit 0707.0636, update by L. Silvestrini @ La Thuile '18]

For example, the Z' contribution is: $\Delta\mathcal{L}_{\Delta F=2} = -\frac{g_q^2}{2M_{Z'}^2} \left[(\hat{n}_i \hat{n}_j^* \overline{d_{iL}} \gamma^\alpha d_{jL})^2 + (V_{ik} \hat{n}_k \hat{n}_l^* V_{jl}^* \overline{u_{iL}} \gamma^\alpha u_{jL})^2 \right]$

Also ε'/ε provides a potential constrain on the coefficient of $(\bar{s}\gamma_\mu P_L d)(\bar{q}\gamma^\mu P_L q)$
 $q = u, d, s, c$

[Aebisher et al. 1807.02520, 1808.00466]

$$\left(\frac{\varepsilon'}{\varepsilon}\right)_{\text{BSM}} = \sum_i P_i(\mu_{\text{ew}}) \text{ Im} [C_i(\mu_{\text{ew}}) - C'_i(\mu_{\text{ew}})] \lesssim 10 \times 10^{-4}$$

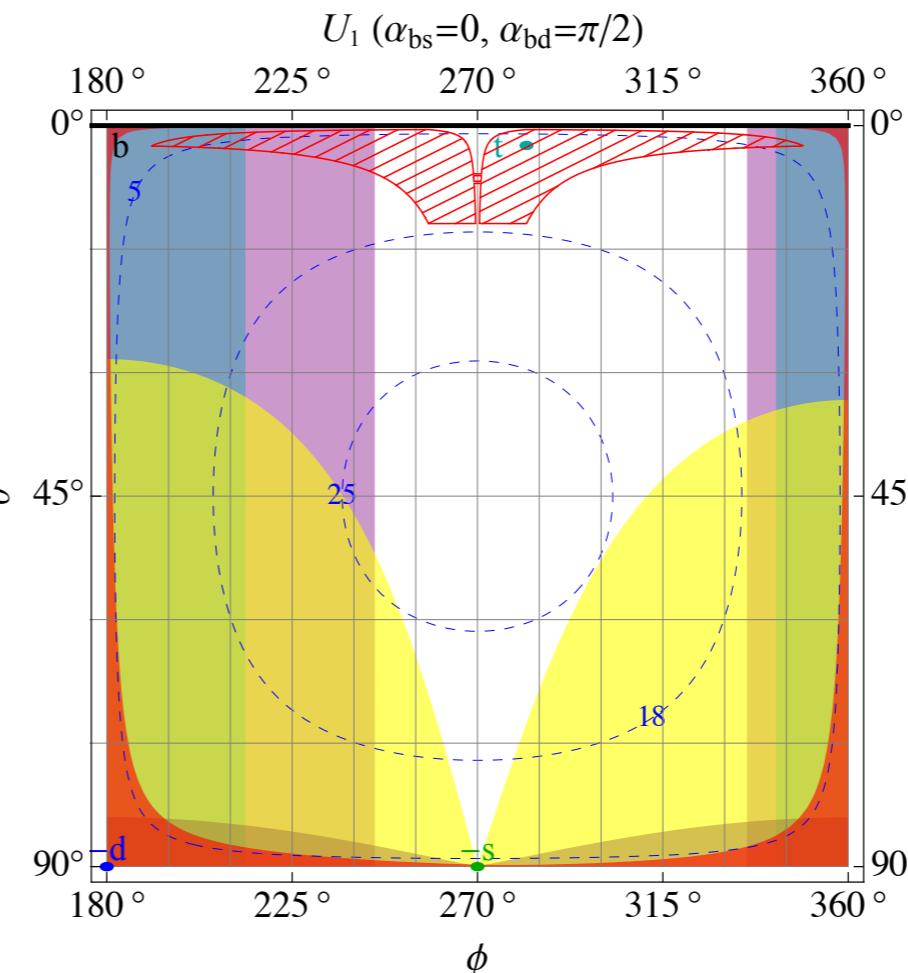
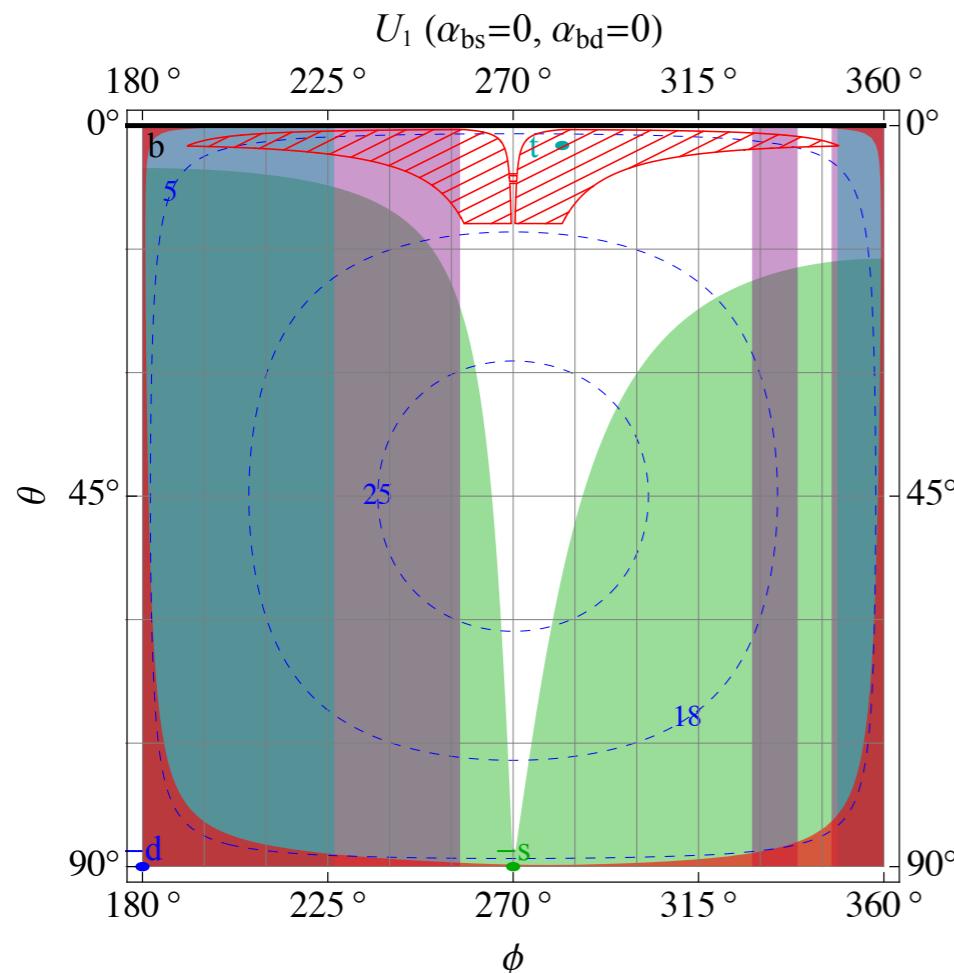
In this framework, this constraint is not competitive with $\Delta F = 2$

U_1 vector leptoquark

$$\mathcal{L}_{\text{NP}} \supset \beta_{1,i\mu} (\bar{q}_L^i \gamma_\alpha \ell_L^\alpha) U_1^\alpha + \text{h.c.}$$

$\xrightarrow{\beta_{1,i\mu} \equiv \beta_1 \hat{n}_i}$

$$C_S^{ij} = -\frac{1}{2} \frac{\beta_{1,i\mu} \beta_{1,j\mu}^*}{M_{U_1}^2}, \quad C_T^{ij} = -\frac{1}{2} \frac{\beta_{1,i\mu} \beta_{1,j\mu}^*}{M_{U_1}^2}, \quad C_R^{ij} = 0$$



$B^+ \rightarrow \pi^+ \mu\mu$	$B^0 \rightarrow \mu\mu$	$K_L \rightarrow \mu\mu$	$K_S \rightarrow \mu\mu$	$K_L \rightarrow \pi^0 \mu\mu$	$\text{pp} \rightarrow \mu\mu$	U(2)-like	$ C_+ ^{-1/2} [\text{TeV}]$
--------------------------------	--------------------------	--------------------------	--------------------------	--------------------------------	--------------------------------	-----------	-----------------------------

$$C = -|\beta_1|^2/M_{U_1}^2 < 0$$

$\Delta F=2$ loops are divergent,
need a UV completion.

Z' & vector-like couplings to μ

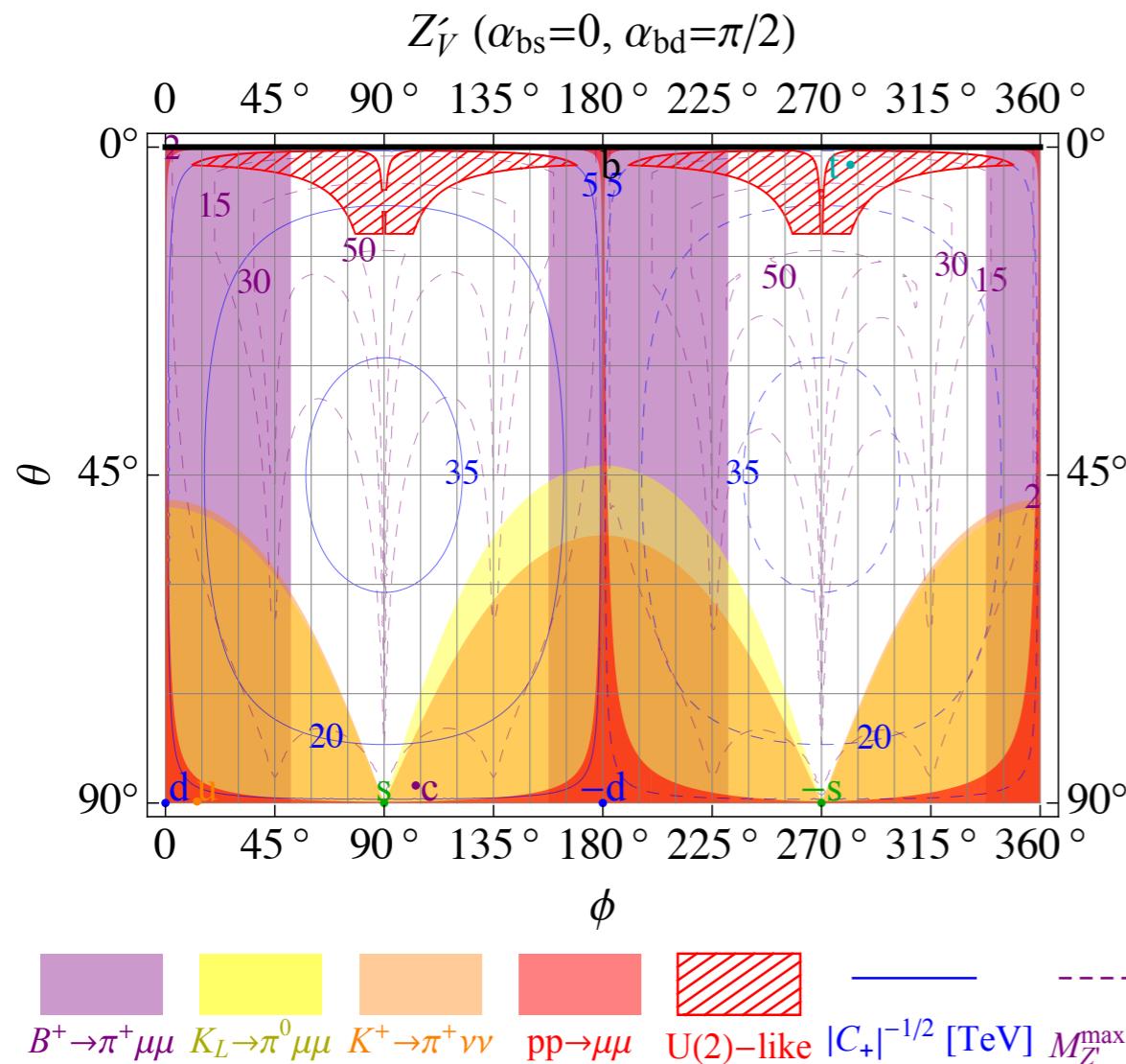
For example see the **gauged $U(1)_{L\mu-L\tau}$ model** with 1 vector-like quark.

[Altmannshofer, Gori, et al 1403.1269, 1609.04026]

$$\mathcal{L} \supset M_i \bar{q}_L^i \Psi_Q$$

$$\hat{n}_i \propto M_i$$

$$\mathcal{L}_{NP} \supset [g_q \hat{n}_i \hat{n}_j^* (\bar{q}_L^i \gamma^\alpha q_L^j) + g_\mu (\bar{\ell}_L^2 \gamma^\alpha \ell_L^2 + \bar{\mu}_R \gamma^\alpha \mu_R)] Z'_\alpha \quad \longrightarrow \quad C_S^{ij} = -\frac{g_q g_\mu}{M_{Z'}^2} \hat{n}_i \hat{n}_j^*, \quad C_T^{ij} = 0, \quad C_R^{ij} = -\frac{g_q g_\mu}{M_{Z'}^2} \hat{n}_i \hat{n}_j^*$$



$$C_+ = -g_q g_\mu / (M_{Z'}^2)$$

Z' & vector-like couplings to μ

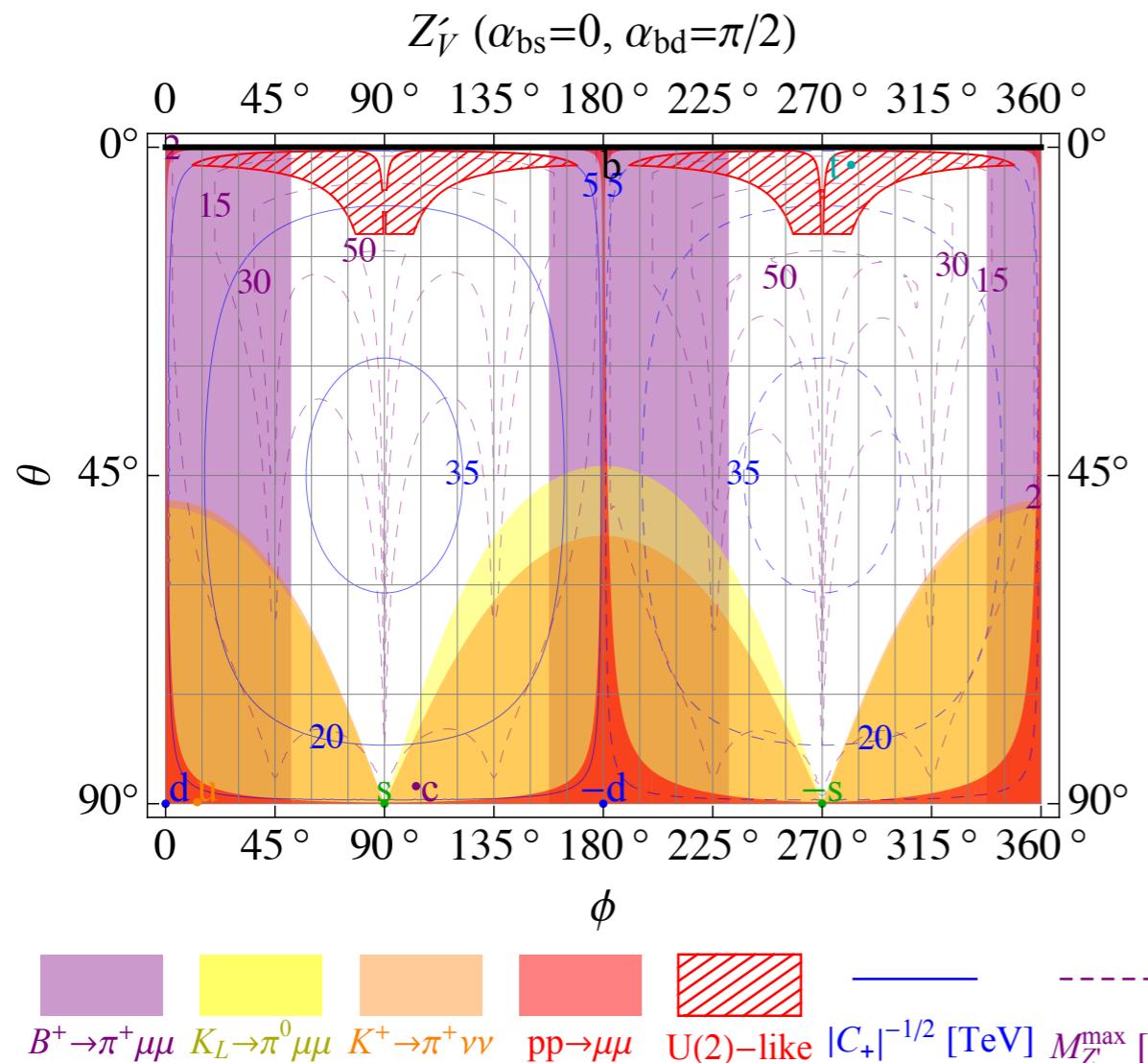
For example see the **gauged $U(1)_{L\mu-L\tau}$ model** with 1 vector-like quark.

[Altmannshofer, Gori, et al 1403.1269, 1609.04026]

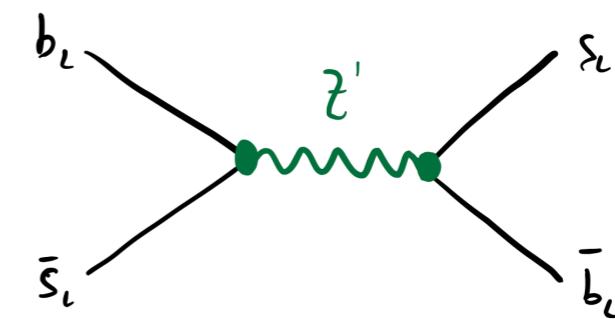
$$\mathcal{L} \supset M_i \bar{q}_L^i \Psi_Q$$

$$\hat{n}_i \propto M_i$$

$$\mathcal{L}_{NP} \supset [g_q \hat{n}_i \hat{n}_j^* (\bar{q}_L^i \gamma^\alpha q_L^j) + g_\mu (\bar{\ell}_L^2 \gamma^\alpha \ell_L^2 + \bar{\mu}_R \gamma^\alpha \mu_R)] Z'_\alpha \longrightarrow C_S^{ij} = -\frac{g_q g_\mu}{M_{Z'}^2} \hat{n}_i \hat{n}_j^*, \quad C_T^{ij} = 0, \quad C_R^{ij} = -\frac{g_q g_\mu}{M_{Z'}^2} \hat{n}_i \hat{n}_j^*$$



$$C_+ = -g_q g_\mu / (M_{Z'}^2)$$



$\Delta F=2$ operators are generated at the tree level.

$$\Delta \mathcal{L}_{\Delta F=2} = -\frac{g_q^2}{2M_{Z'}^2} [(\hat{n}_i \hat{n}_j^* \bar{d}_L^i \gamma^\alpha d_L^j)^2 + (V_{ik} \hat{n}_k \hat{n}_l^* V_{jl}^* \bar{u}_L^i \gamma^\alpha u_L^j)^2]$$

We can put upper limits on $r_{q\mu} = g_q/g_\mu$, or for a given maximum g_μ , an upper limit on the Z' mass

$$M_{Z'}^{\lim} = \sqrt{\frac{r_{q\mu}^{\lim}}{4|C|}} |g_\mu^{\max}|$$