

# Maximizing the impact of New Physics in the $b \rightarrow cTV$ anomalies

David Shih

NHETC, Rutgers University

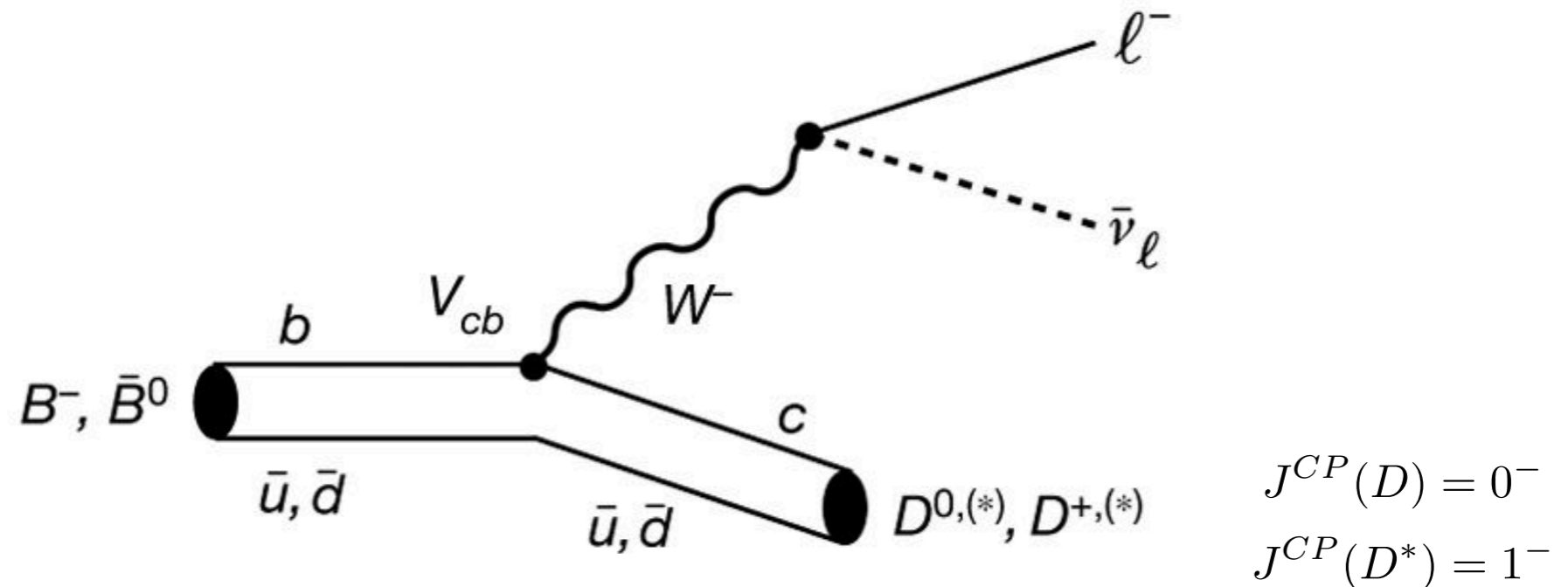
4th NPPI Workshop, Seoul

May 16, 2019

Asadi & DS 1905.03311

Asadi, Nakai & DS 1905.xxxxx

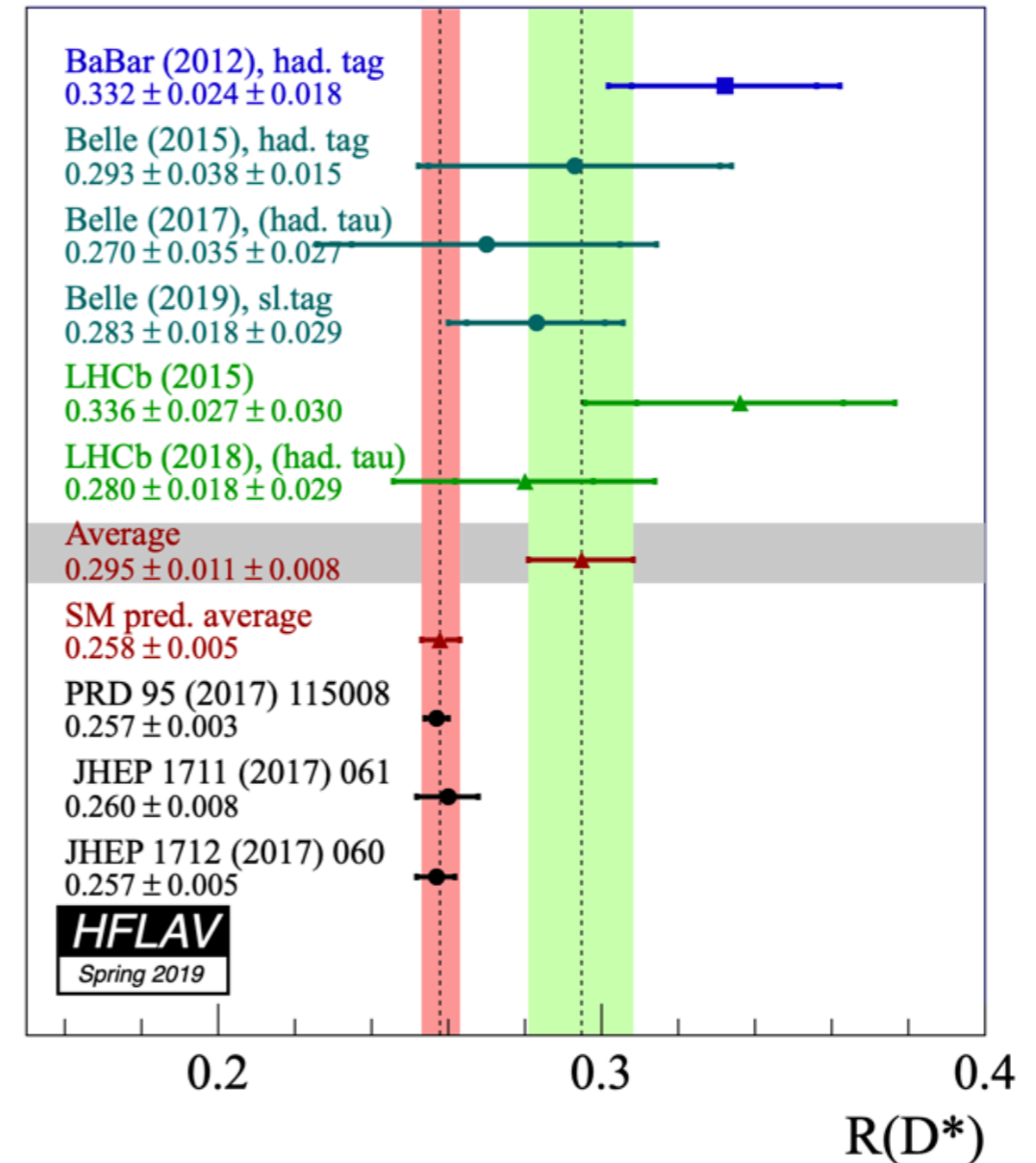
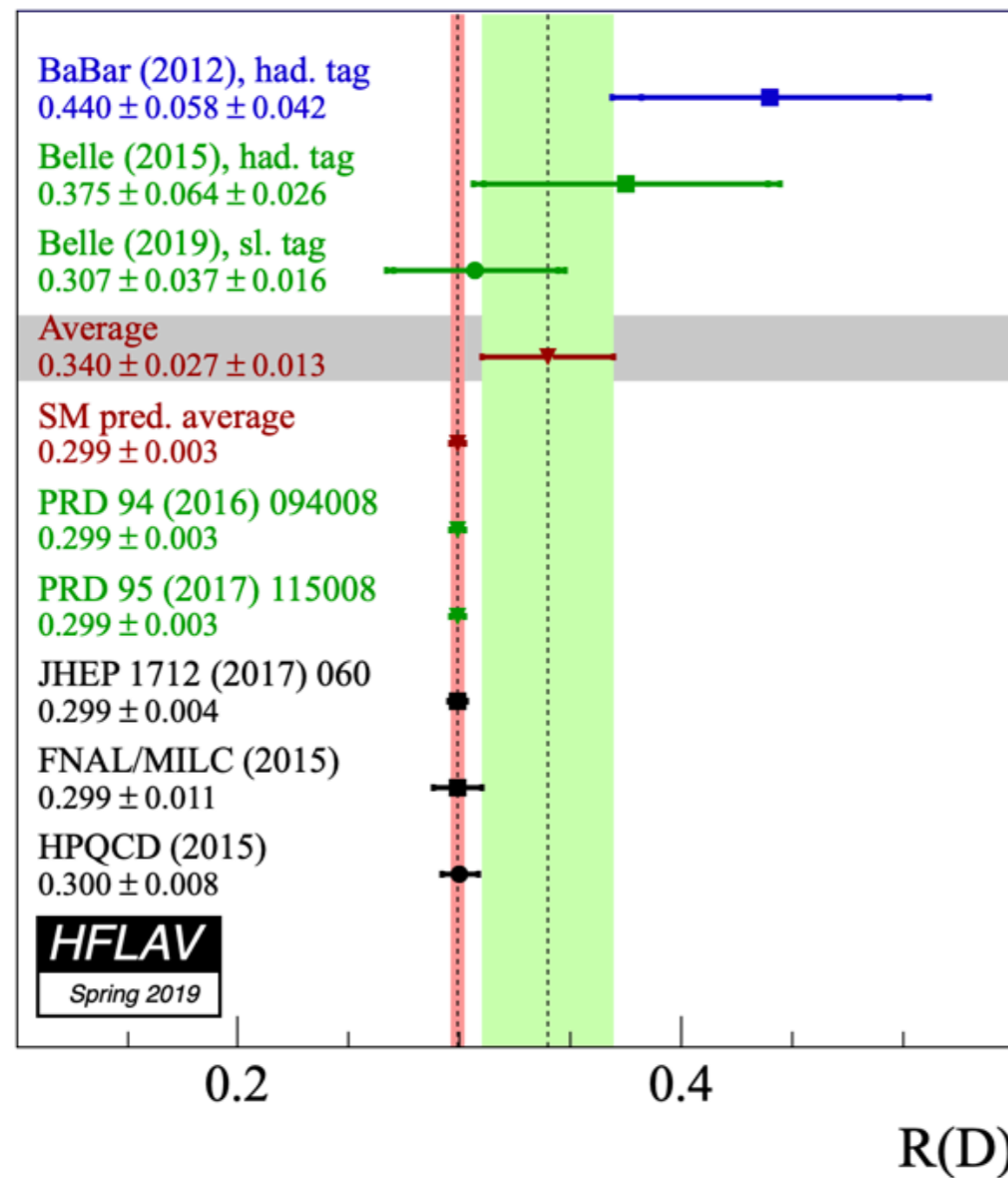
# The RD/RD\* anomalies



$$R(D^{(*)}) = \frac{\Gamma(B \rightarrow D^{(*)} \tau \bar{\nu})}{\Gamma(B \rightarrow D^{(*)} l \bar{\nu})} \quad (l = e, \mu)$$

Ratio is theoretically clean, probe of **lepton flavor universality**

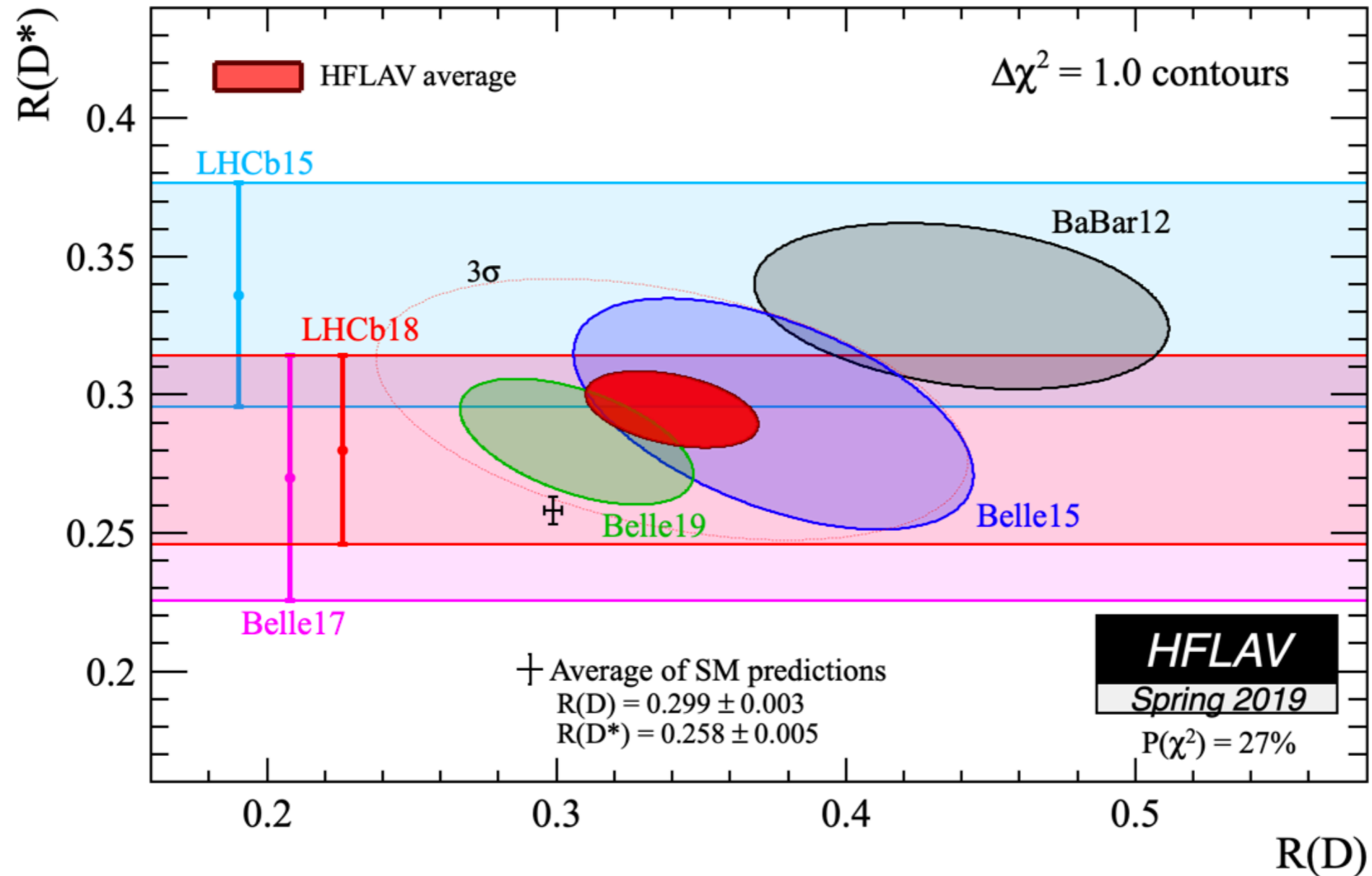
# The $R(D/RD^*)$ anomalies



Multiple channels, three experiments: consistently high

See T. Kitahara's talk on Monday for more details

# The $R(D)/R(D^*)$ anomalies



Combined significance:  $3.1\sigma$  (was  $3.8\sigma$  before Moriond '19)

See T. Kitahara's talk on Monday for more details



# Implications of the anomaly

Dim 6 effective Hamiltonian for  $b \rightarrow cTV$  transitions:

$$\mathcal{H}_{\text{eff}} = \frac{4G_F V_{cb}}{\sqrt{2}} \left( \mathcal{O}_{LL}^V + \sum_{\substack{X=S,V,T \\ M,N=L,R}} C_{MN}^X \mathcal{O}_{MN}^X \right)$$

$$\mathcal{O}_{MN}^S \equiv (\bar{c} P_M b)(\bar{\tau} P_N \nu)$$

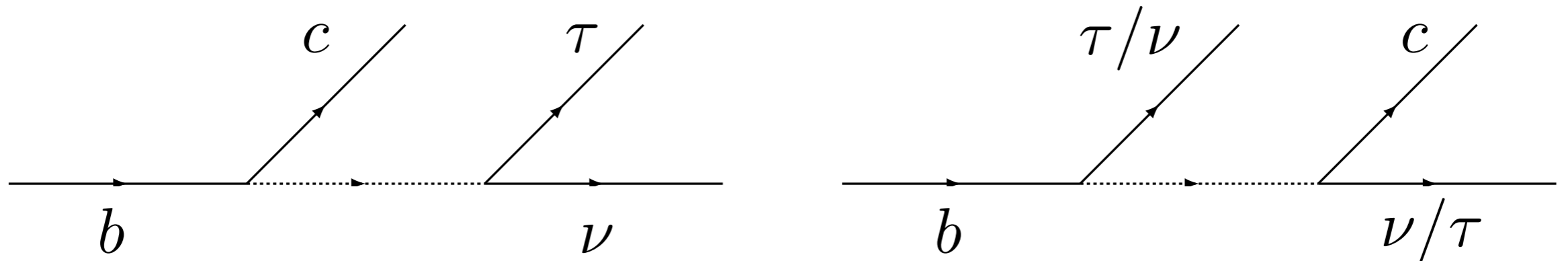
$$\mathcal{O}_{MN}^V \equiv (\bar{c} \gamma^\mu P_M b)(\bar{\tau} \gamma_\mu P_N \nu)$$

$$\mathcal{O}_{MN}^T \equiv (\bar{c} \sigma^{\mu\nu} P_M b)(\bar{\tau} \sigma_{\mu\nu} P_N \nu)$$

5 SM Wilson operators:  $\mathcal{O}_{LL}^V$ ,  $\mathcal{O}_{RL}^V$ ,  $\mathcal{O}_{LL}^S$ ,  $\mathcal{O}_{RL}^S$ ,  $\mathcal{O}_{LL}^T$

- Only LH neutrinos
- $\mathcal{O}_{RL}^T = 0$

# Implications of the anomaly



$$\frac{V_{cb}}{m_W^2} \sim \frac{1}{\Lambda_{NP}^2}$$

Need:

- Light mediator  $\Lambda_{NP} \lesssim 1 \text{ TeV}$
- Large couplings
- Tree-level

Possibilities: **charged Higgs, W'** or leptoquark



who the f\*\*\*  
ordered that?

# Implications of the anomaly

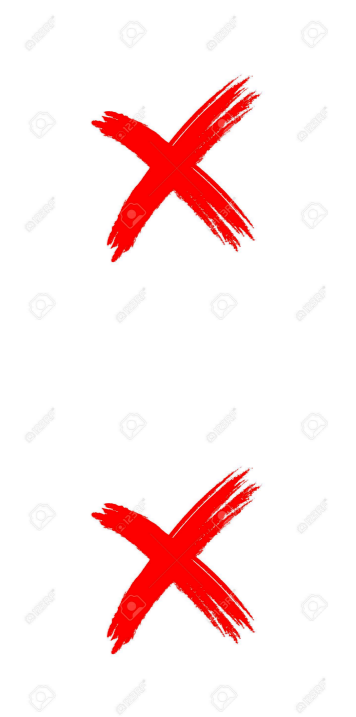
# Implications of the anomaly

- *Charged Higgs*: contributes to  $B_c \rightarrow \tau \nu$ . Indirect bounds from total width ( $\text{Br}(B_c \rightarrow \tau \nu) \lesssim 30\%$ ) and LEP search for  $B_u \rightarrow \tau \nu$  ( $\text{Br}(B_c \rightarrow \tau \nu) \lesssim 10\%$ ) **rule out these explanations of the anomaly.**  
(Alonso, Grinstein & Camalich 1611.06676; Akeroyd & Chen 1708.04072)



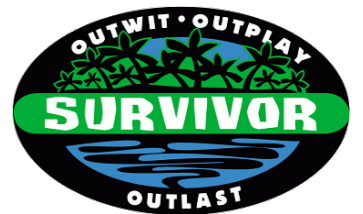
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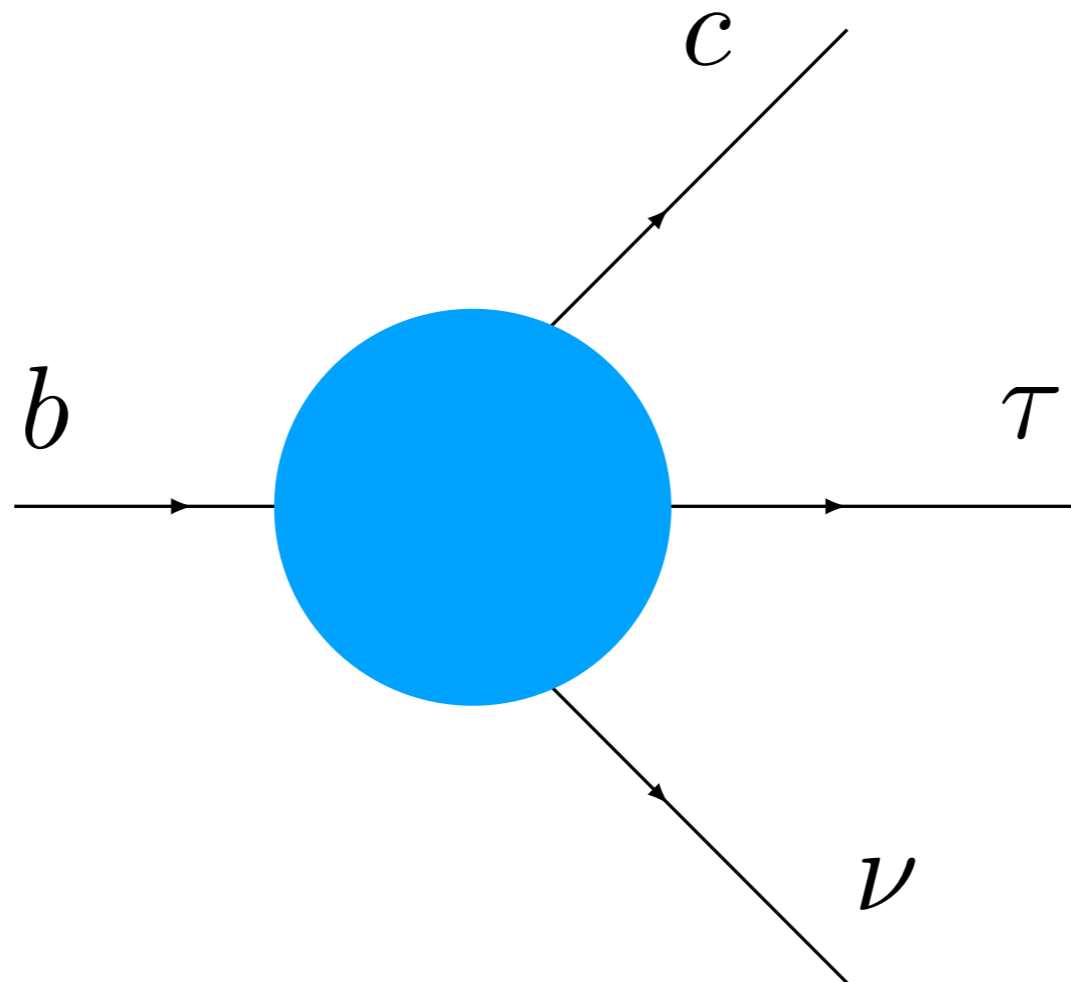
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- *W primes*: Strong constraints from  $Z' \rightarrow \tau \tau$  searches **rule out these models** (Faroughy et al 1609.07138, Crivellin et al 1703.09226)



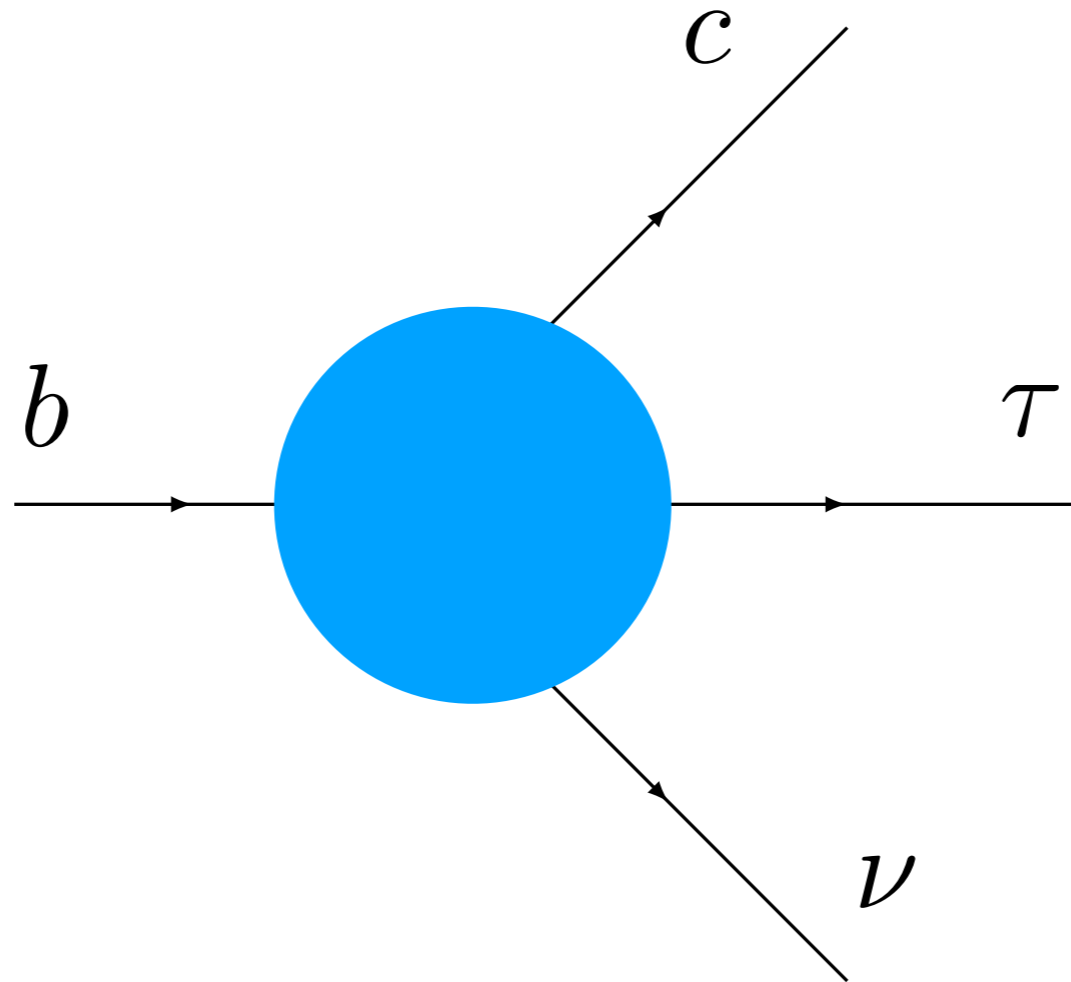
# Implications of the anomaly

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- *W primes*: Strong constraints from  $Z' \rightarrow \tau \tau$  searches **rule out these models** (Faroughy et al 1609.07138, Crivellin et al 1703.09226)
- *Leptoquarks*: Strong LHC constraints from pair production, DY, and mono-tau, but **much parameter space remains** (many people....see e.g. Schmaltz & Zhong 1810.10017; Greljo et al 1811.07920)





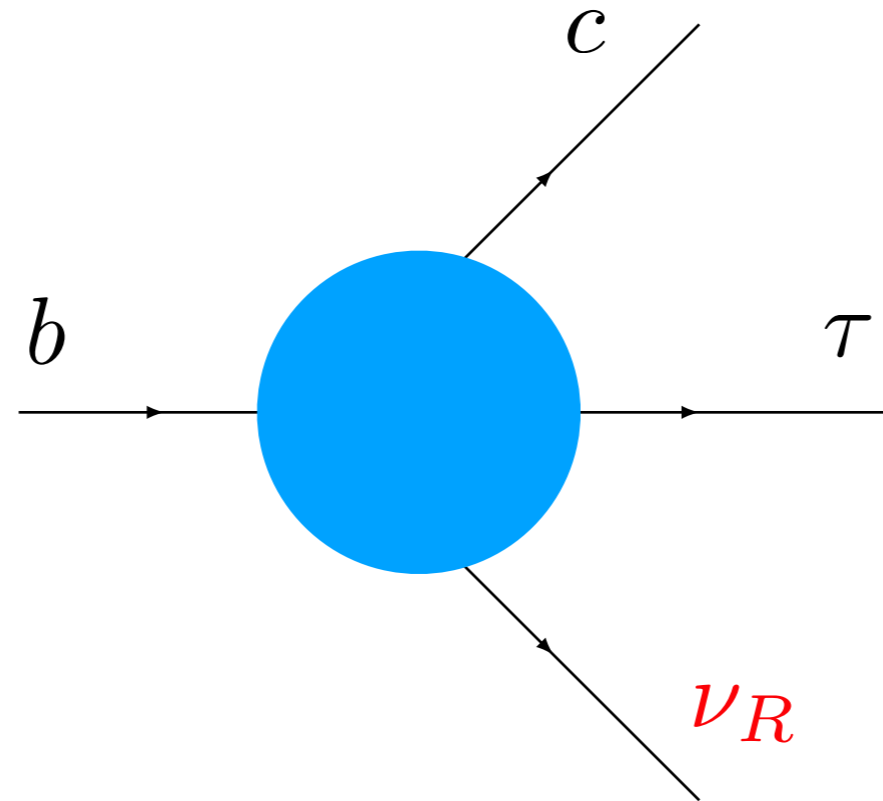
ARE WE SURE THAT THESE ARE SM NEUTRINOS?



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➡ Could be a light, weakly-interacting BSM particle instead?





Allowing for **RH neutrinos** opens up new avenues for model building and phenomenology (Asadi, Buckley & DS 1804.04135, 1810.06597)

He & Valencia 1211.0348  
 Dutta et al 1307.6653  
 Cline 1512.02210  
 Becirevic et al 1608.08501  
 Bardhan et al 1610.03038  
 Dutta & Bhol 1611.00231

Iguro & Omura 1802.01732  
 Greljo et al 1804.04642  
 Abdullah et al 1805.01869  
 Robinson et al 1807.04753

Azatov et al 1807.10745  
 Heeck et al 1808.07492  
 Carena et al 1809.01107  
 Iguro et al 1810.05843

# Summary of models

Mediator	Operator Combination	Viability
Colorless Scalars	$\mathcal{O}_{XL}^S$	$\times$ ( $Br(B_c \rightarrow \tau\nu)$ )
$W'^\mu$ (LH fermions)	$\mathcal{O}_{LL}^V$	$\times$ (collider bounds)
$S_1$ LQ ( $\bar{3}, 1, 1/3$ ) (LH fermions)	$\mathcal{O}_{LL}^S - x\mathcal{O}_{LL}^T, \mathcal{O}_{LL}^V$	$\checkmark$
$U_1^\mu$ LQ ( $3, 1, 2/3$ ) (LH fermions)	$\mathcal{O}_{RL}^S, \mathcal{O}_{LL}^V$	$\checkmark$
$R_2$ LQ ( $3, 2, 7/6$ )	$\mathcal{O}_{LL}^S + x\mathcal{O}_{LL}^T$	$\checkmark$
$S_3$ LQ ( $\bar{3}, 3, 1/3$ )	$\mathcal{O}_{LL}^V$	$\times$ ( $b \rightarrow s\nu\nu$ )
$U_3^\mu$ LQ ( $3, 3, 2/3$ )	$\mathcal{O}_{LL}^V$	$\times$ ( $b \rightarrow s\nu\nu$ )
$V_2^\mu$ LQ ( $\bar{3}, 2, 5/6$ )	$\mathcal{O}_{RL}^S$	$\times$ ( $R_{D^{(*)}}$ value)
Colorless Scalars	$\mathcal{O}_{XR}^S$	$\times$ ( $Br(B_c \rightarrow \tau\nu)$ )
$W'^\mu$ (RH fermions)	$\mathcal{O}_{RR}^V$	$\checkmark$
$\tilde{R}_2$ LQ ( $3, 2, 1/6$ )	$\mathcal{O}_{RR}^S + x\mathcal{O}_{RR}^T$	$\times$ ( $b \rightarrow s\nu\nu$ )
$S_1$ LQ ( $\bar{3}, 1, 1/3$ ) (RH fermions)	$\mathcal{O}_{RR}^V, \mathcal{O}_{RR}^S - x\mathcal{O}_{RR}^T$	$\checkmark$
$U_1^\mu$ LQ ( $3, 1, 2/3$ ) (RH fermions)	$\mathcal{O}_{LR}^S, \mathcal{O}_{RR}^V$	$\checkmark$

(from Asadi, Buckley & DS 1810.06597)

# Beyond RD/RD\*

Several more observables that are sensitive to NP in  $b \rightarrow cTV$  transitions have been measured recently.

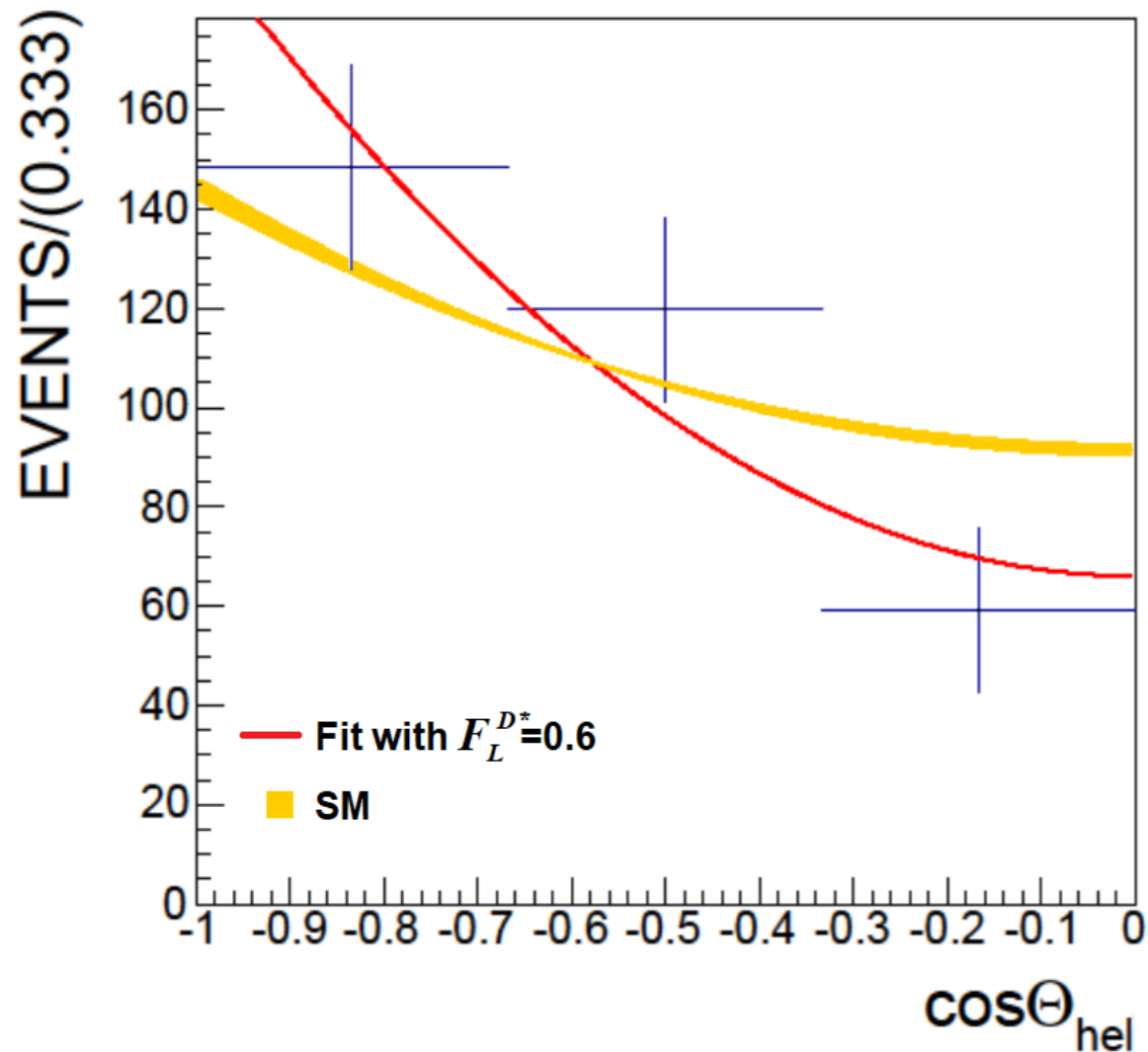
These have the potential to help distinguish between different models and motivate new model-building directions.

Today's talk:

Recent measurements of  $FLD^*$  and  $R(J/\psi)$

# Belle measurement of FLD\*

(1903.03102)



$$F_{D^*}^L = \frac{\Gamma(\bar{B} \rightarrow D_L^* \tau \nu)}{\Gamma(\bar{B} \rightarrow D_L^* \tau \nu) + \Gamma(\bar{B} \rightarrow D_T^* \tau \nu)}$$

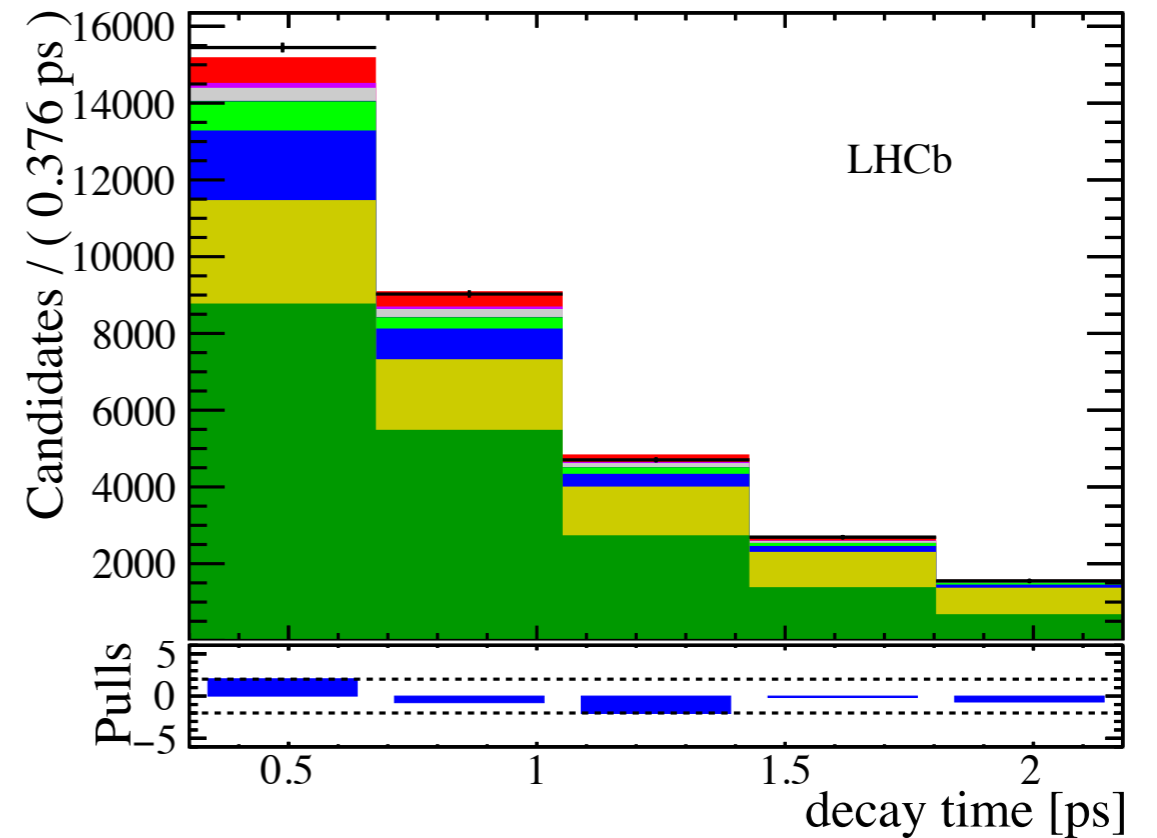
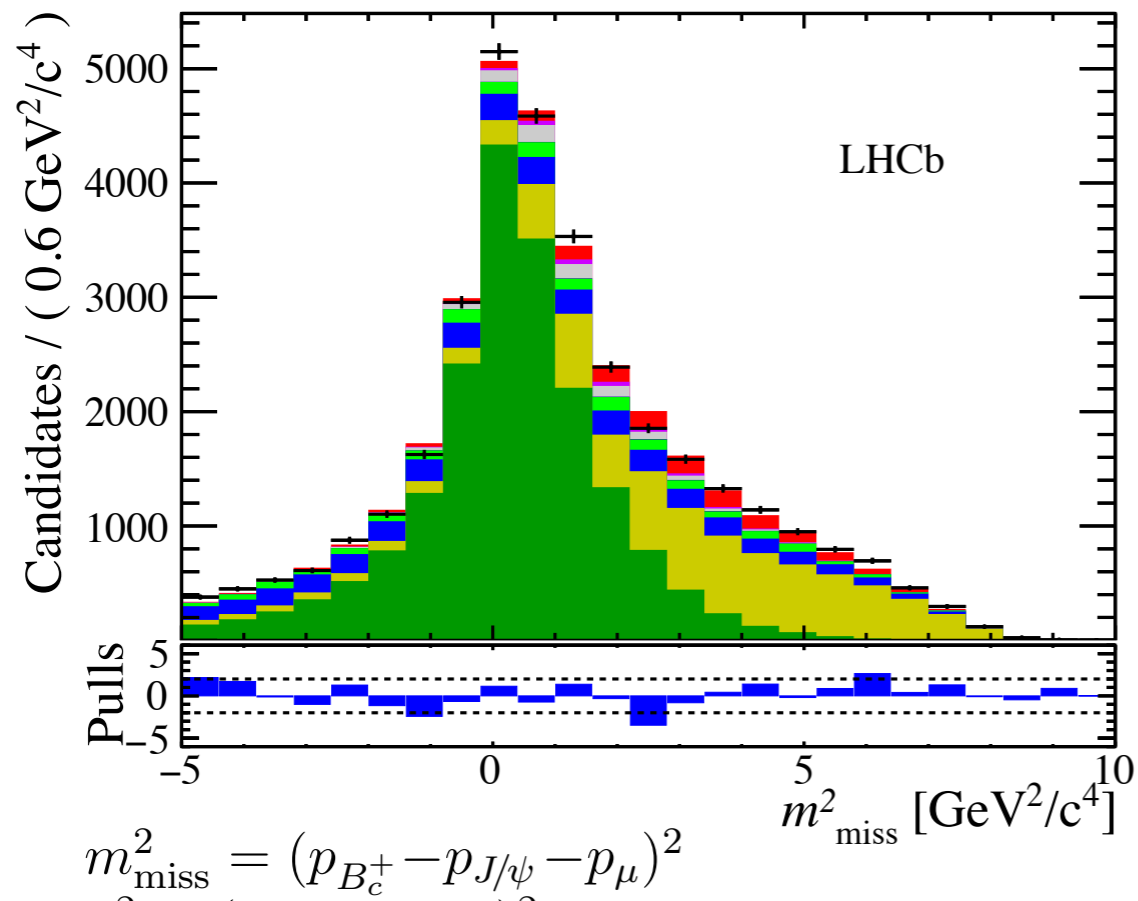
$$F_L^{D^*} = 0.60 \pm 0.08(\text{stat}) \pm 0.04(\text{syst})$$

$$(F_L^{D^*})_{\text{SM}} = 0.457 \pm 0.010$$

We report the first measurement of the  $D^*$  polarization in semitauonic decay  $B^0 \rightarrow D^{*-} \tau^+ \nu_\tau$ . The result is based on a data sample of  $772 \times 10^6$   $B\bar{B}$  pairs collected with the Belle detector. The fraction of  $D^{*-}$  longitudinal polarization, measured assuming SM dynamics, is found to be  $F_L^{D^*} = 0.60 \pm 0.08(\text{stat}) \pm 0.04(\text{syst})$ , and agrees within 1.6 (1.8) standard deviations with the SM predicted values  $(F_L^{D^*})_{\text{SM}} = 0.457 \pm 0.010$  [21] ( $0.441 \pm 0.006$  [20]).

# LHCb measurement of $R(J/\psi)$

(1711.05623)



$$\mathcal{R}(J/\psi) = \frac{\mathcal{B}(B_c^+ \rightarrow J/\psi \tau^+ \nu_\tau)}{\mathcal{B}(B_c^+ \rightarrow J/\psi \mu^+ \nu_\mu)} = 0.71 \pm 0.17 \text{ (stat)} \pm 0.18 \text{ (syst)}.$$

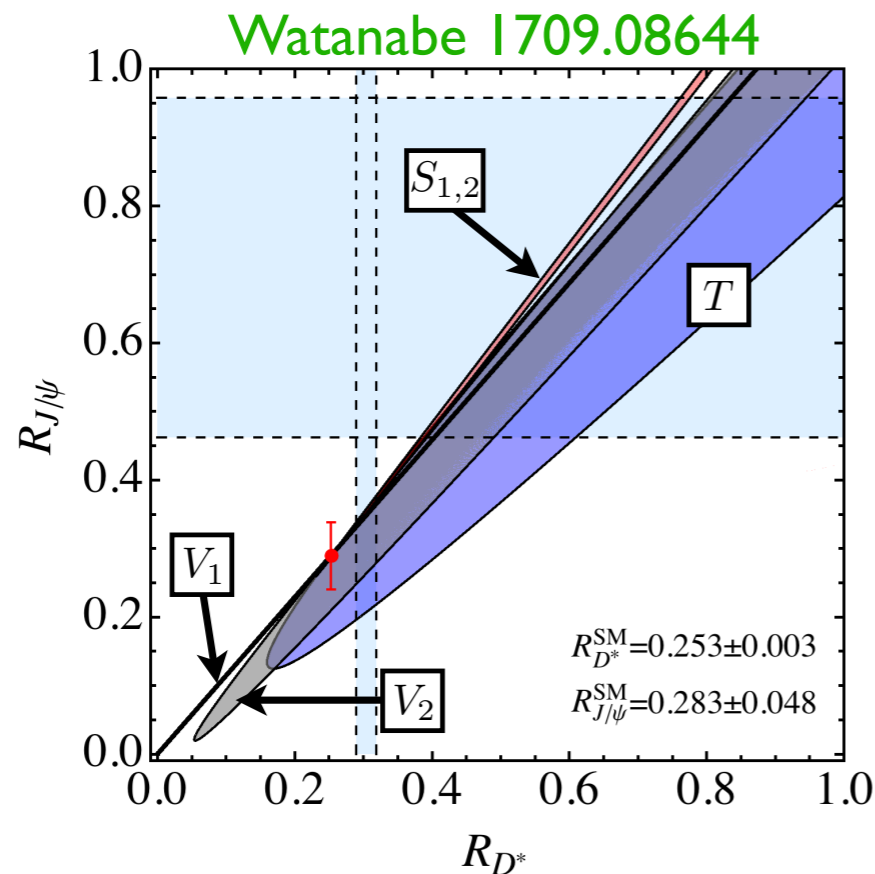
$$R_{J/\psi}^{SM} \in (0.2, 0.39)$$

# Status of models

Leljak et al 1901.08368

	SM	$V_L$	$S_L$	$S_R$	$S_L = 4T_L$	$(V_L, S_L = -4T_L)$	$(S_R, S_L)$	$(V_L, S_R)$	Re,Im[ $S_L = 4T_L$ ]
$R_{\eta_c}$	0.32	$0.39^{0.42}_{0.36}$	$0.44^{0.55}_{0.33}$	$0.49^{0.59}_{0.40}$	$0.26^{0.34}_{0.20}$	0.42	0.45	0.44	0.43
$R_{J/\psi}$	0.23	$0.29^{0.31}_{0.26}$	$0.24^{0.24}_{0.23}$	$0.23^{0.22}_{0.23}$	$0.25^{0.26}_{0.23}$	0.29	0.22	0.27	0.26

**Table 4:** The values of  $R_{\eta_c}$  and  $R_{J/\psi}$  in the presence of different NP scenarios. The subscript and the superscript are the values for the  $2\sigma$  range of the NP couplings.



Iguro et al 1811.08899

	$F_L^{D^*}$
$R_2$ LQ	[0.43, 0.44]
$S_1$ LQ	[0.42, 0.48]
$U_1$ LQ	[0.43, 0.47]
SM	0.46(4)
data	0.60(9)
Belle II	0.04

(see also Tran et al 1801.06927,  
Blanke et al 1811.09603)

# Maximizing $FLD^*$ and $R(J/\psi)$

The measured values of  $FLD^*$  and  $R(J/\psi)$  are too high even for NP models!

So far just single mediators, single and pairs of Wilson coefficients studied...

Question: fix  $R_D$ ,  $R_{D^*}$  and  $Br(B_c \rightarrow \tau \nu)$ .

How large can we make  $FLD^*$  and  $R(J/\psi)$ ?

(Asadi & DS, 1905.03311)

# Numerical formulas for the observables

$$R_{D^*} F_{D^*}^L = 0.116 (|C_{-L}^V|^2 + 0.08|C_{-L}^S|^2 + 7.02|C_{LL}^T|^2 + \text{Re} [(C_{-L}^V)(0.24(C_{-L}^S)^* - 4.37(C_{LL}^T)^*)]),$$

$$R_{J/\psi} = 0.289 (0.98|C_{-L}^V|^2 + 0.02|C_{+L}^V|^2 + 0.05|C_{-L}^S|^2 + 10.67|C_{LL}^T|^2 + \text{Re} [C_{-L}^V(0.14(C_{-L}^S)^* - 5.15(C_{LL}^T)^*)] + 0.24\text{Re} [C_{+L}^V(C_{LL}^T)^*]),$$

$$R_D = 0.299 (|C_{+L}^V|^2 + 1.02|C_{+L}^S|^2 + 0.9|C_{LL}^T|^2 + \text{Re} [(C_{+L}^V)(1.49(C_{+L}^S)^* + 1.14(C_{LL}^T)^*)]),$$

$$R_{D^*} = 0.257 (0.95|C_{-L}^V|^2 + 0.05|C_{+L}^V|^2 + 0.04|C_{-L}^S|^2 + 16.07|C_{LL}^T|^2 + \text{Re} [C_{-L}^V(+0.11(C_{-L}^S)^* - 5.89(C_{LL}^T)^*)] + 0.77\text{Re} [C_{+L}^V(C_{LL}^T)^*]),$$

$$Br(B_c \rightarrow \tau\nu) = 0.023 (|C_{-L}^V + 4.33C_{-L}^S|^2),$$

$$C_{\pm L}^S \equiv C_{RL}^S \pm C_{LL}^S \quad C_{\pm L}^V \equiv C_{LL}^V \pm C_{RL}^V$$

A nontrivial optimization problem. 10 real dimensional space.



# A maximum exists

All the observables are real, symmetric, positive-semidefinite quadratic forms

$$\mathcal{O} = z_5^\dagger M_{\mathcal{O}} z_5 = x_5^T M_{\mathcal{O}} x_5 + y_5^T M_{\mathcal{O}} y_5$$

$$z_5 = x_5 + iy_5 = (C_{-L}^V, C_{+L}^V, C_{-L}^S, C_{+L}^S, C_{LL}^T)$$

**A global maximum exists:** null vectors for RD and RD\* are orthogonal

$$C_{-L}^S, C_{-L}^V \quad C_{+L}^S$$

After imposing RD, RD\* constraints, left with compact space.

Any function on a compact space must have a maximum somewhere.

# Maximum occurs for real WCs

Idea: use method of Lagrange multipliers

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$$\tilde{\mathcal{O}} = \mathcal{O} - \lambda_1(R_D - R_D^{(0)}) - \lambda_2(R_{D^*} - R_{D^*}^{(0)}) - \lambda_3(\text{Br}(B_c \rightarrow \tau\nu) - \text{Br}(B_c \rightarrow \tau\nu)^{(0)})$$

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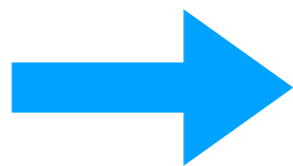
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one constraint on  $\lambda_{1,2,3}$

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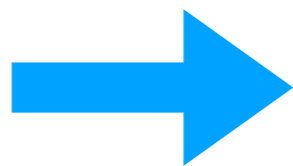
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cannot tune to get more than one null eigenvector



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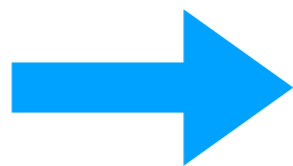
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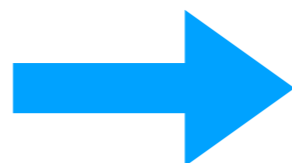
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$x_5, y_5$  must be parallel

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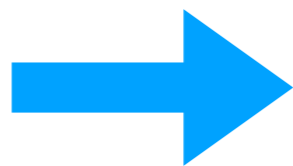
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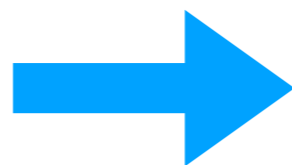
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one constraint on  $\lambda_{1,2,3}$

cannot tune to get more than one null eigenvector



$x_5, y_5$  must be parallel

can set  $y_5 = 0$  with overall rephasing

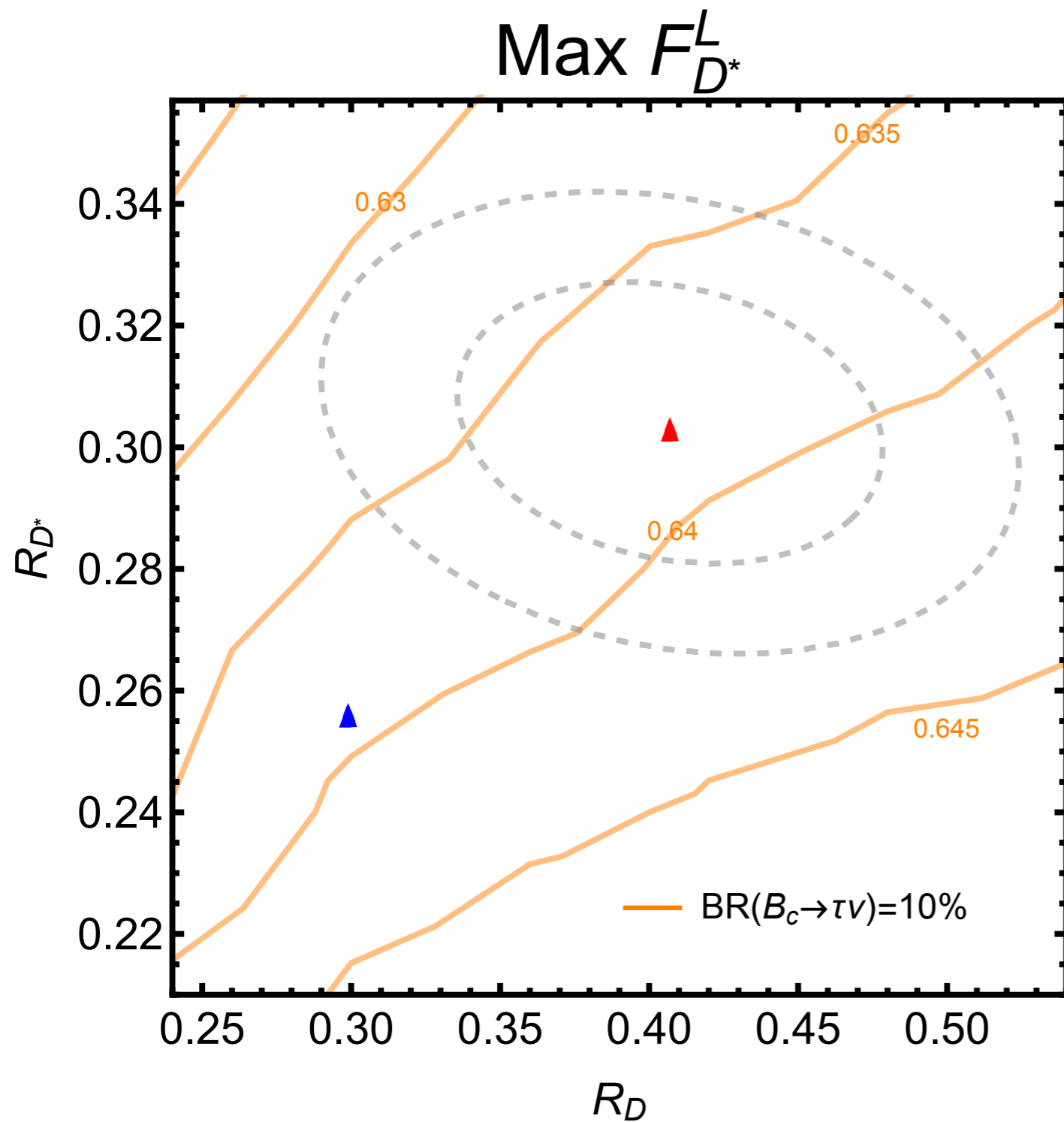
# Maximizing $FLD^*$ and $R(J/\psi)$

Can extend this argument to show that including RH neutrinos doesn't affect the global maximum.

Reduce parameter space from 10 or 20  $\rightarrow$  5. Solve three constraints: 5  $\rightarrow$  2.

Can numerically maximize and explicitly verify with a plot.

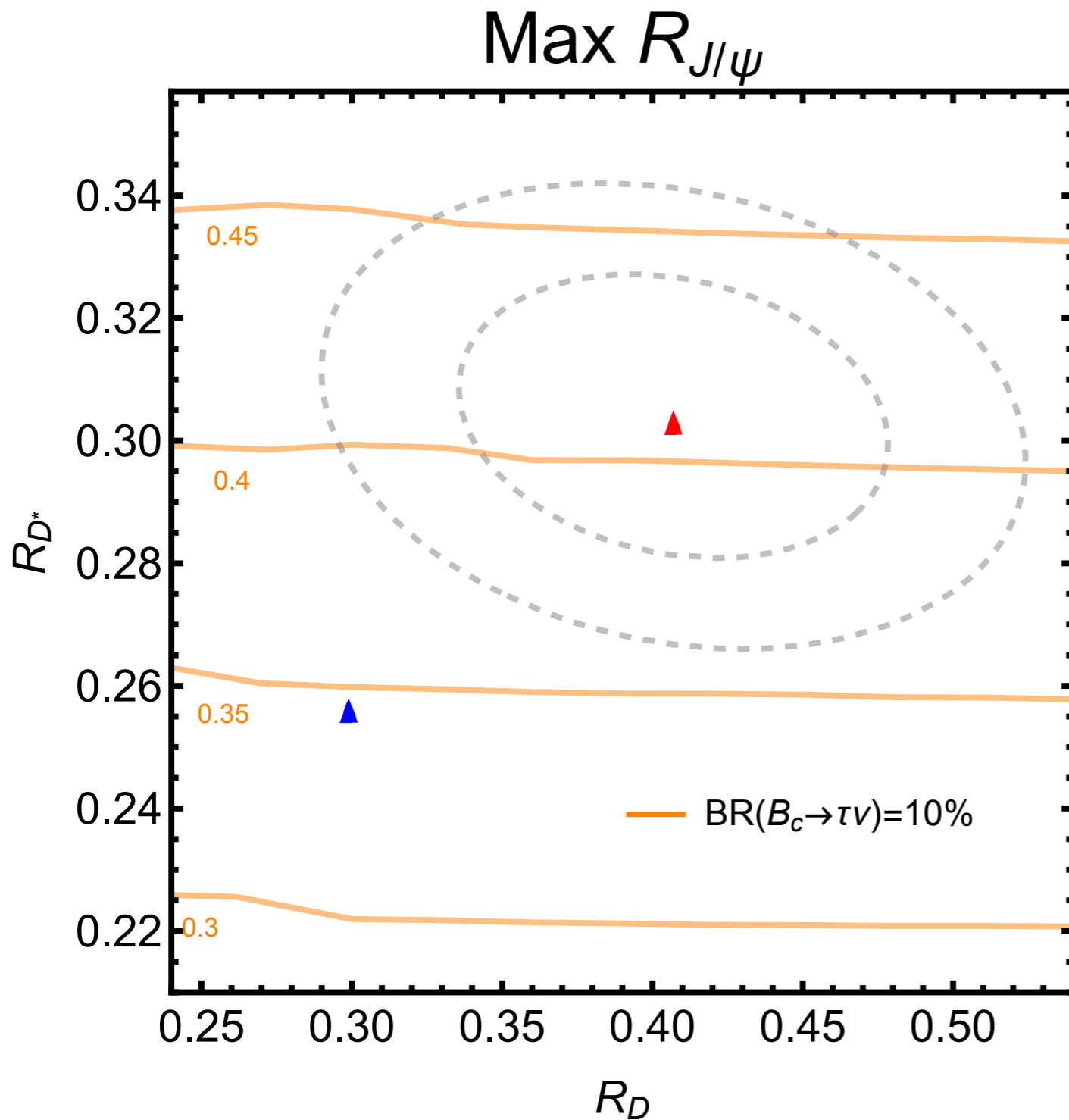
# Results



$$F_D^L = 0.60 \pm 0.08 \pm 0.035$$

Central value of  $F_D^L$  measurement **can** be attained.

# Results



$$R_{J/\psi} = 0.71 \pm 0.17 \pm 0.18.$$

However, central value of  $R(J/\psi)$  measurement **cannot** be attained

# Results

max FLD\*

$C_{RL}^S$	$C_{LL}^S$	$C_{LL}^V$	$C_{RL}^V$	$C_{LL}^T$	$R_D$	$R_{D^*}$	$F_{D^*}^L$	$R_{J/\psi}$	$Br(B_c \rightarrow \tau\nu)$
-0.669	-0.884	0.097	2.029	-0.329	0.407	0.304	0.620	0.406	0.023
-0.791	-0.739	0.118	1.977	-0.302	0.407	0.304	0.638	0.410	0.1
-0.972	-0.555	0.142	1.948	-0.298	0.407	0.304	0.662	0.412	0.3

max R(J/psi)

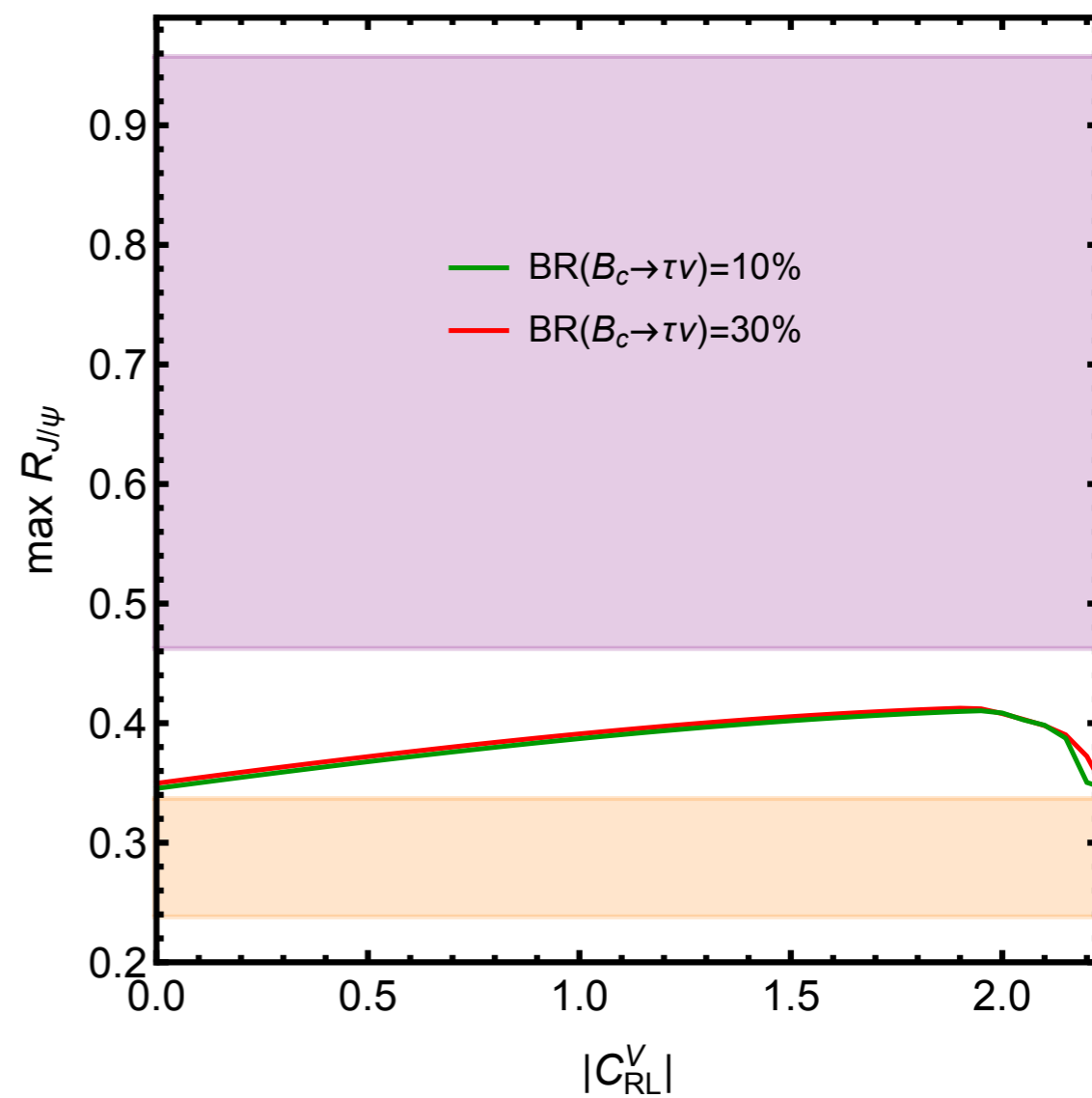
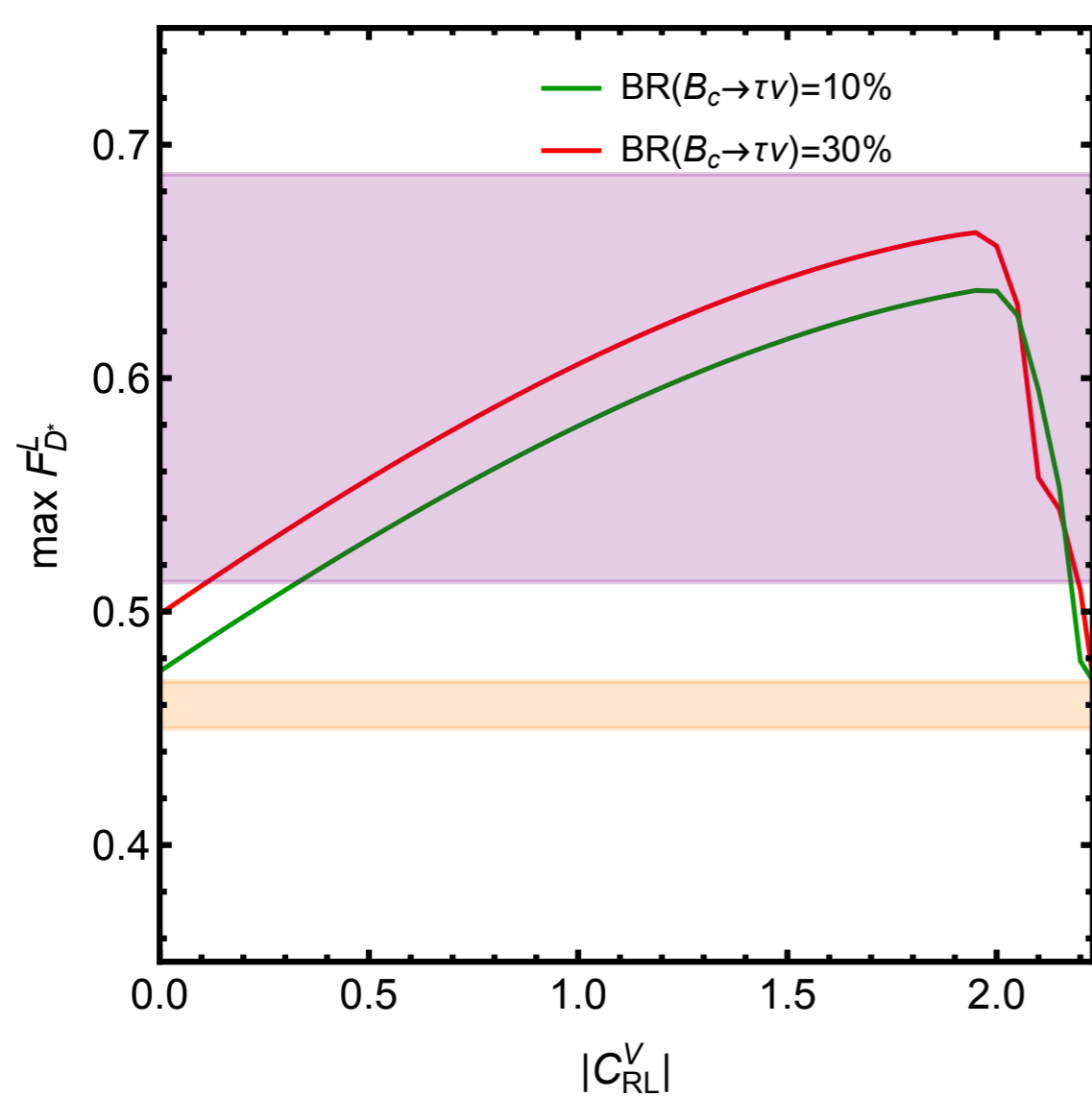
$C_{RL}^S$	$C_{LL}^S$	$C_{LL}^V$	$C_{RL}^V$	$C_{LL}^T$	$R_D$	$R_{D^*}$	$F_{D^*}^L$	$R_{J/\psi}$	$Br(B_c \rightarrow \tau\nu)$
-0.659	-0.857	0.109	1.967	-0.286	0.407	0.304	0.620	0.409	0.023
-0.787	-0.726	0.124	1.948	-0.282	0.407	0.304	0.637	0.410	0.1
-0.967	-0.542	0.147	1.919	-0.277	0.407	0.304	0.660	0.413	0.3

Points are uncannily similar...needs to be further understood...

**Both require large CVRL!**

See recent work of Murgui et al who reached similar conclusions via global fits | 904.093 |

# Results



Maximum with fixed CVRL

# Arguments against $\mathcal{O}_{RL}^V$

$$\mathcal{O}_{RL}^V = (\bar{c}\gamma^\mu P_R b)(\bar{\tau}\gamma_\mu P_L \nu)$$

forbidden by both  $SU(2)_L$  and  $U(1)_Y$

$$(\bar{c}_R\gamma^\mu b_R)(\bar{L}_3\gamma_\mu\tau^a L_i)H^A\tau^a H^B\epsilon_{AB}$$

dimension 8 operator

$$(\bar{c}_R\gamma^\mu b_R)H^A D_\mu H^B\epsilon_{AB}$$

dimension 6 operator  
but flavor universal

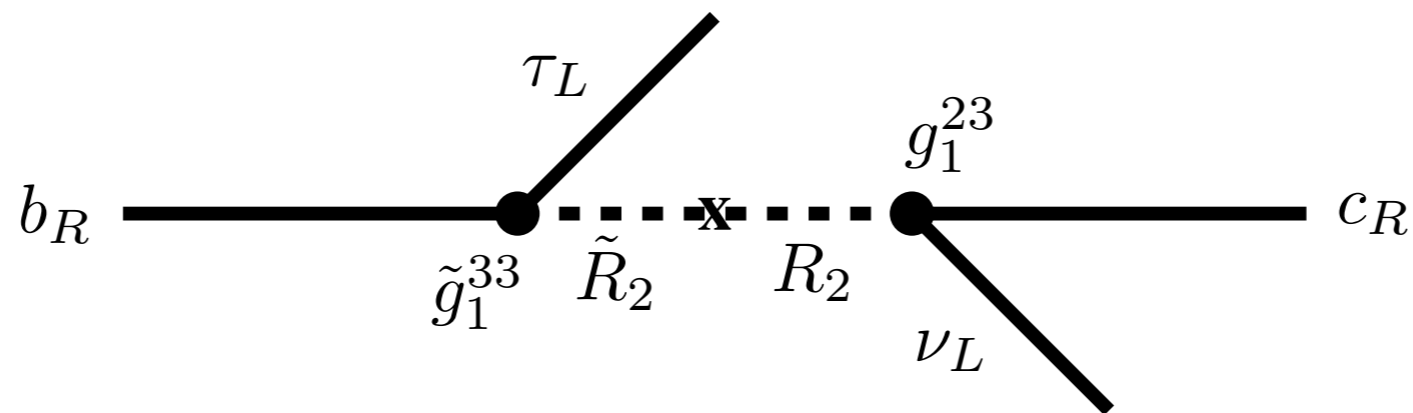
“No go theorem”



# A loophole?

Key idea: use **two leptoquarks** that mix through Higgs vev

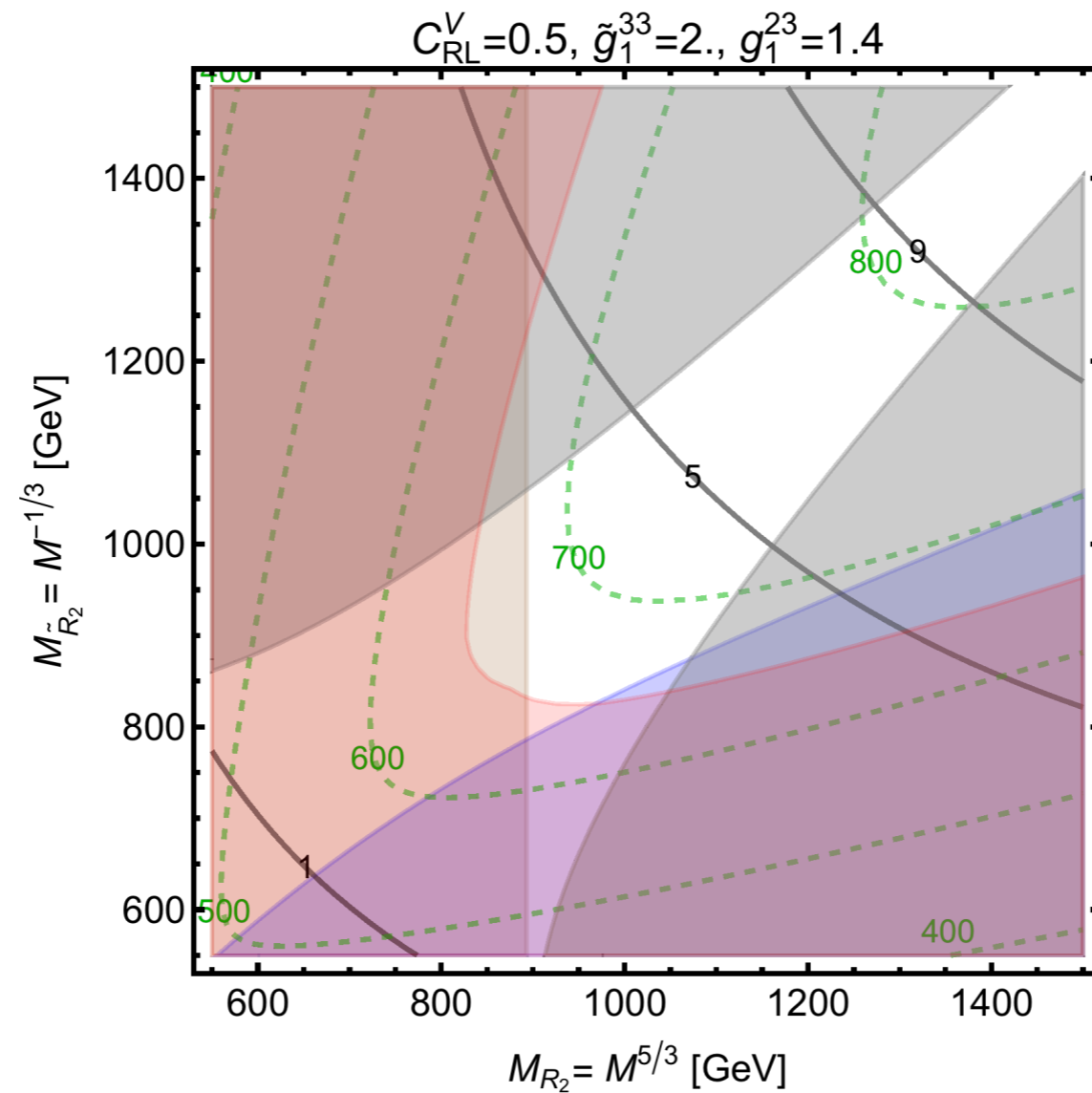
Asadi, Nakai & DS  
1905.xxxxx



$$R_2 = \begin{pmatrix} R_2^{5/3} \\ R_2^{2/3} \end{pmatrix}, \quad \tilde{R}_2 = \begin{pmatrix} \tilde{R}_2^{2/3} \\ \tilde{R}_2^{-1/3} \end{pmatrix}, \quad M_{2/3}^2 = \begin{pmatrix} M_{R_2}^2 - \lambda_R v^2 & \lambda_R v^2 \\ \lambda_R v^2 & M_{\tilde{R}_2}^2 - \lambda_R v^2 \end{pmatrix}$$

$$C_{RL}^V \sim \frac{\lambda_R v^4}{M^4}$$

Can try to overcome dim 8 suppression with light leptoquarks  
and large quartic coupling



stringent bounds from  $\tau^+ \tau^-$ , direct leptoquark searches, SUSY searches

but some viable parameter space still remains!

# Conclusions

The central measured values of  $FLD^*$  and  $R(J/\psi)$  are both higher than their SM predictions. They are also higher than the predictions from any known NP model.

We developed a semi-analytical method to maximize  $FLD^*$  and  $R(J/\psi)$  in the full space of dimension 6 WCs, subject to  $RD/RD^*$  constraints.

- Using our method, we showed that  $FLD^*$  is achievable in the space of WCs but  $R(J/\psi)$  is not.
- Requires large CVRL WC — “no go theorem” can be evaded with a novel leptoquark model
- Our method is generalizable and can be applied to essentially any  $b \rightarrow cTV$  observable.

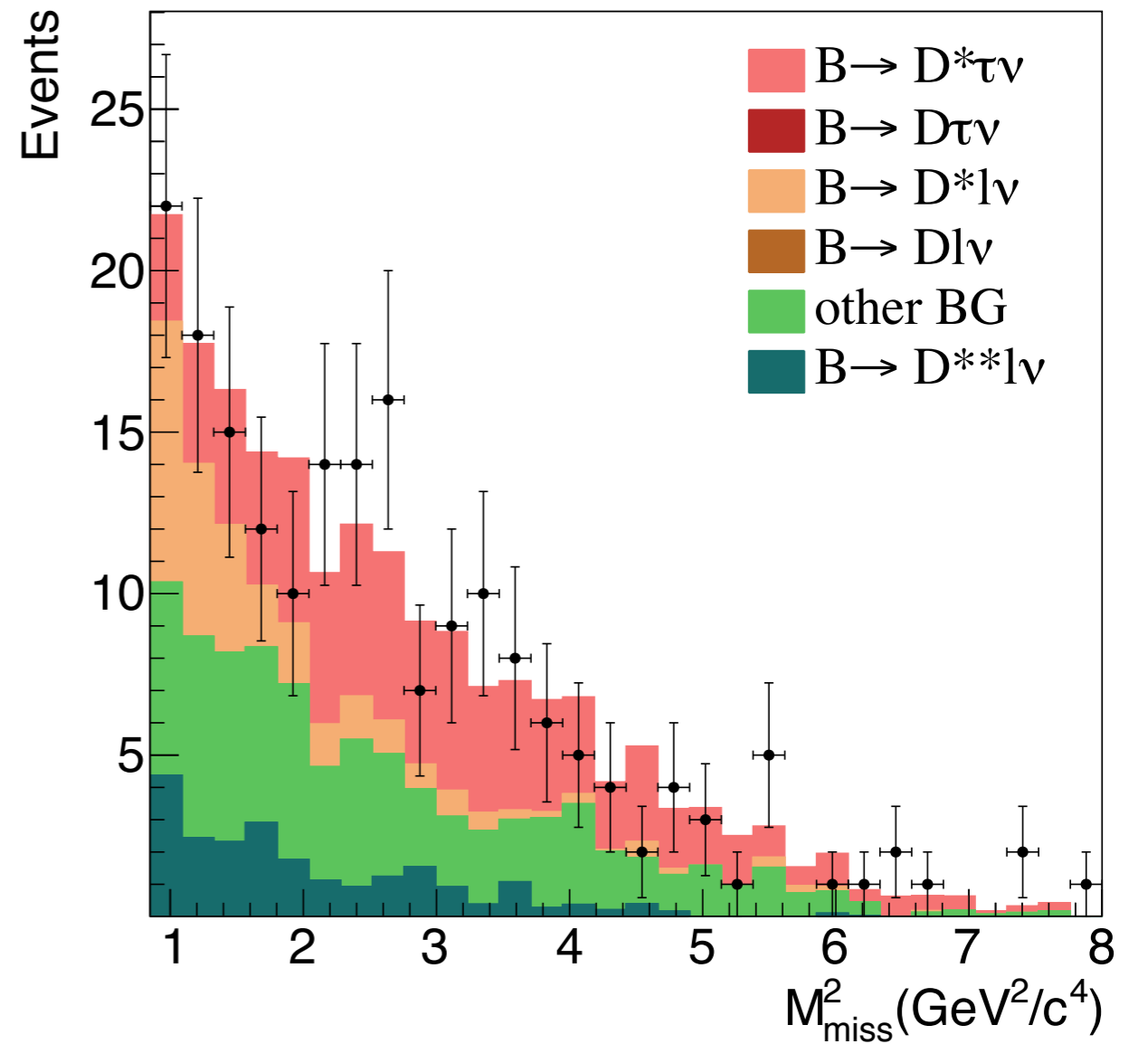
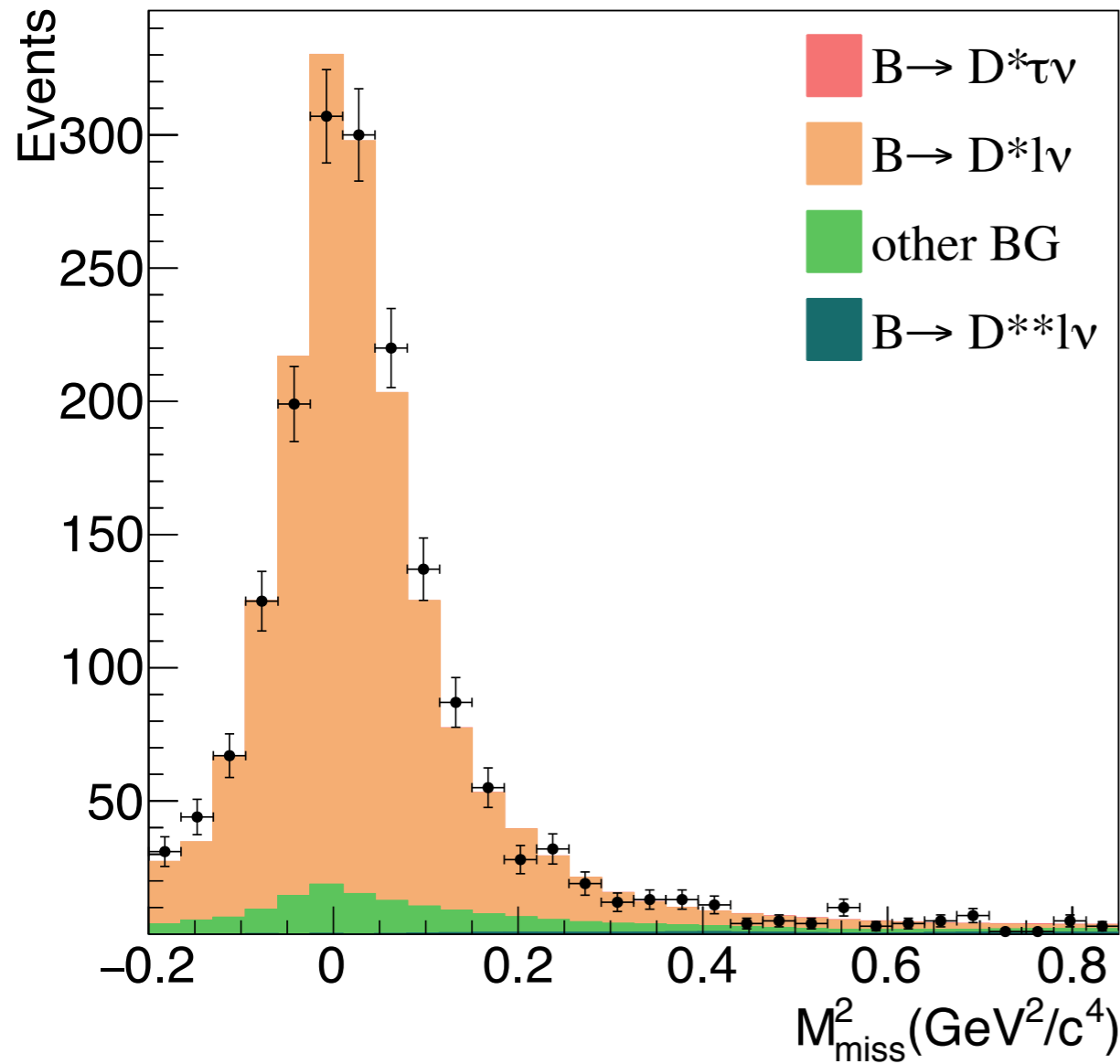
Experimental error bars are still large — very likely a fluctuation.

Upcoming measurements by LHCb and Belle II could prove to be interesting. Meanwhile we have new ideas for model building to explore.

Stay tuned!

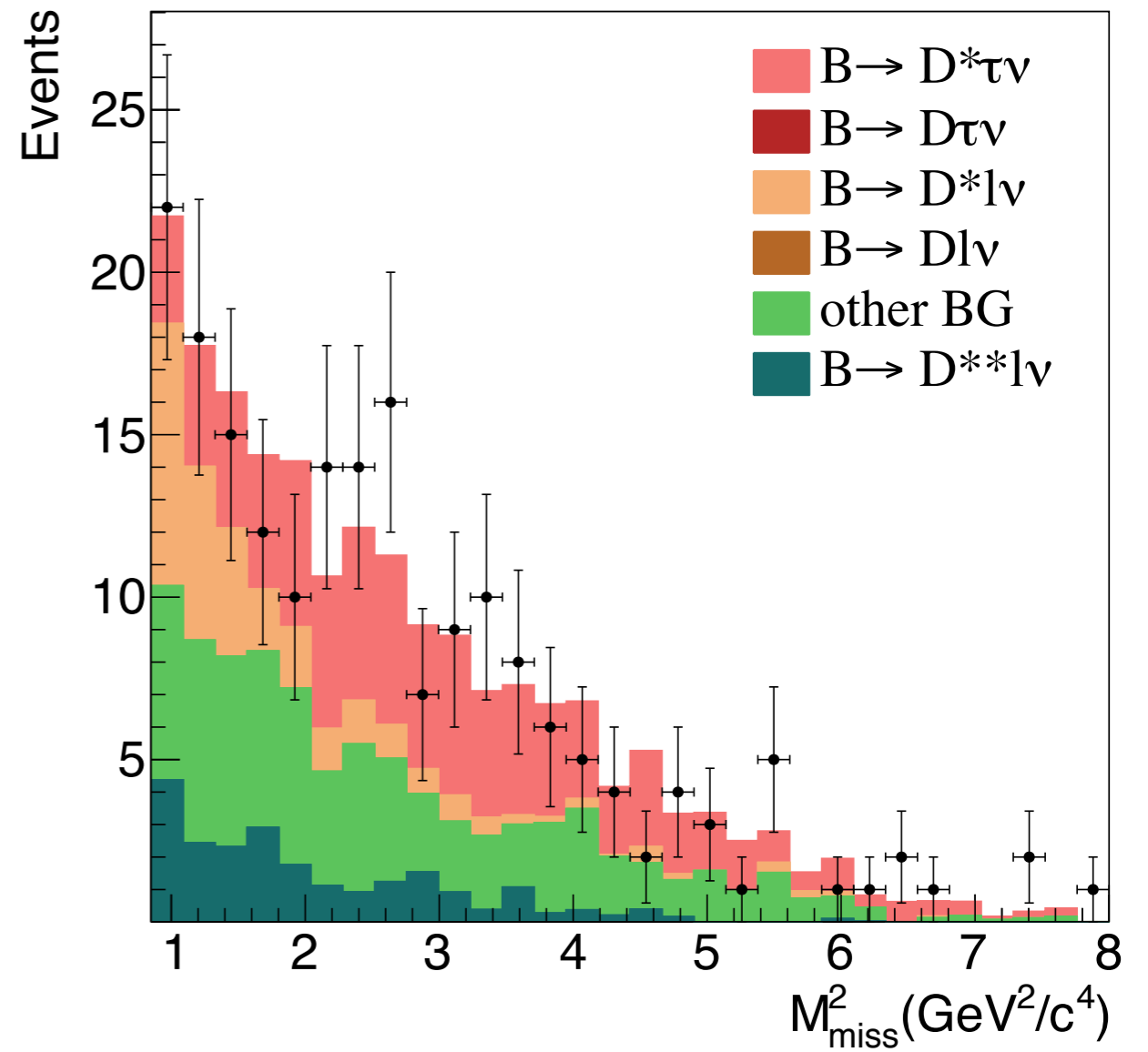
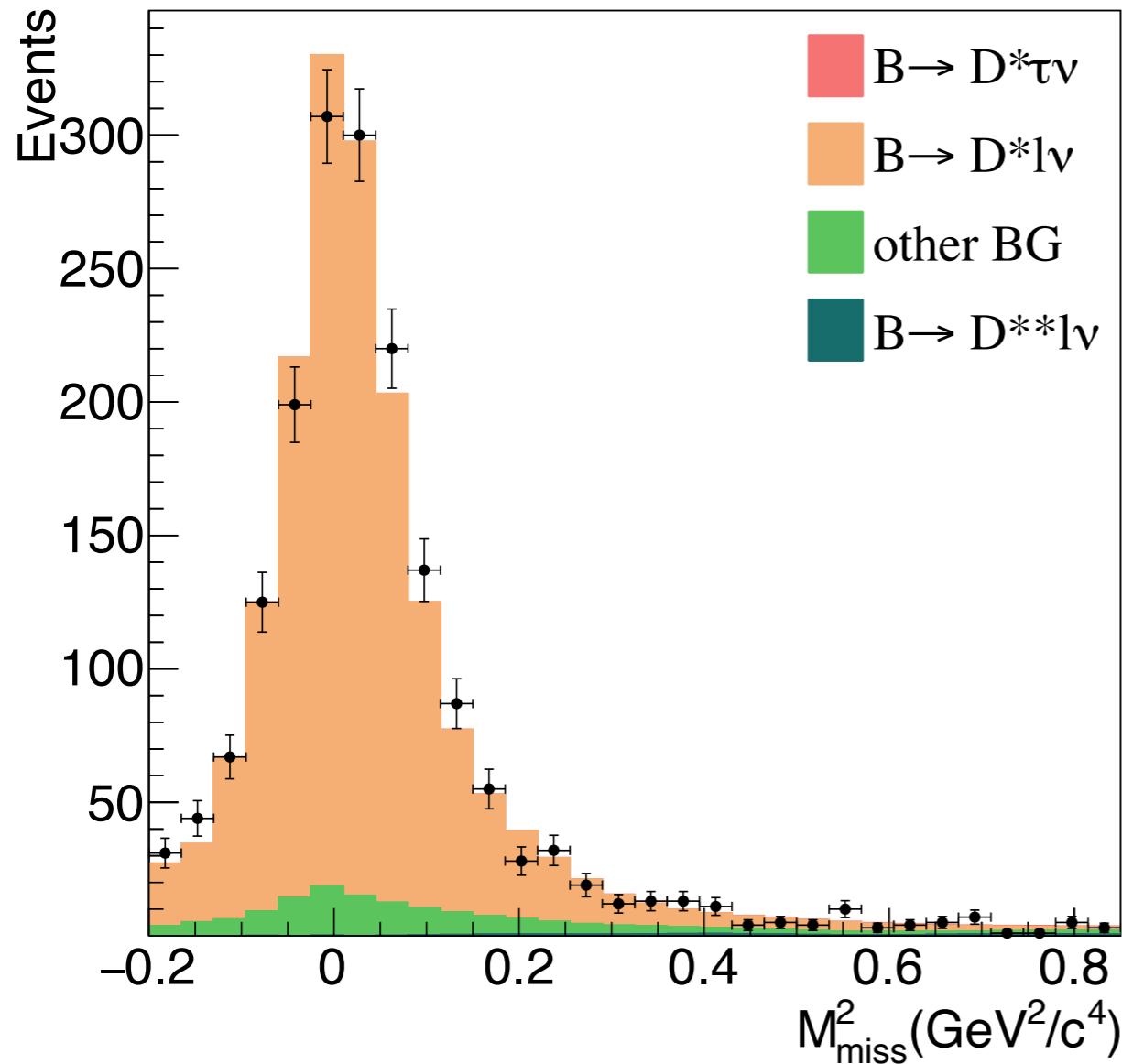
**Thanks for your  
attention!**

# Belle I507.03233



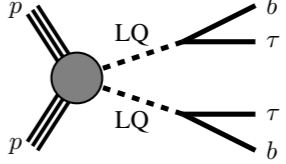
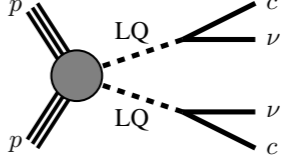
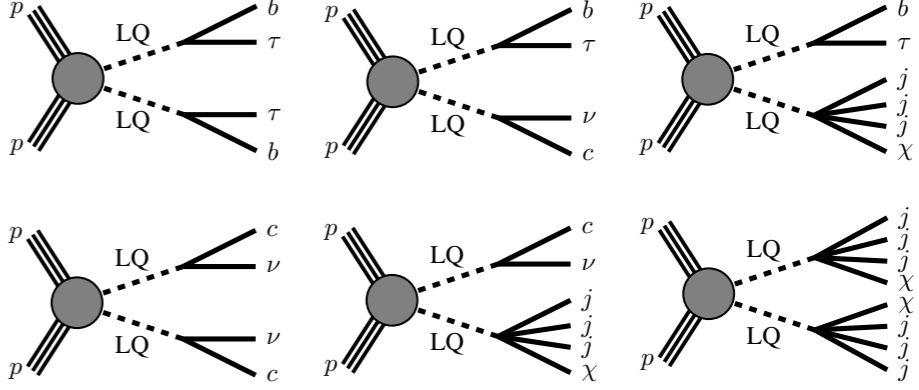
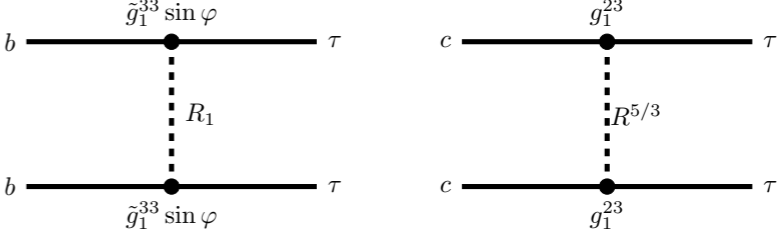
ARE WE SURE THAT THESE ARE SM NEUTRINOS?

# Belle I507.03233



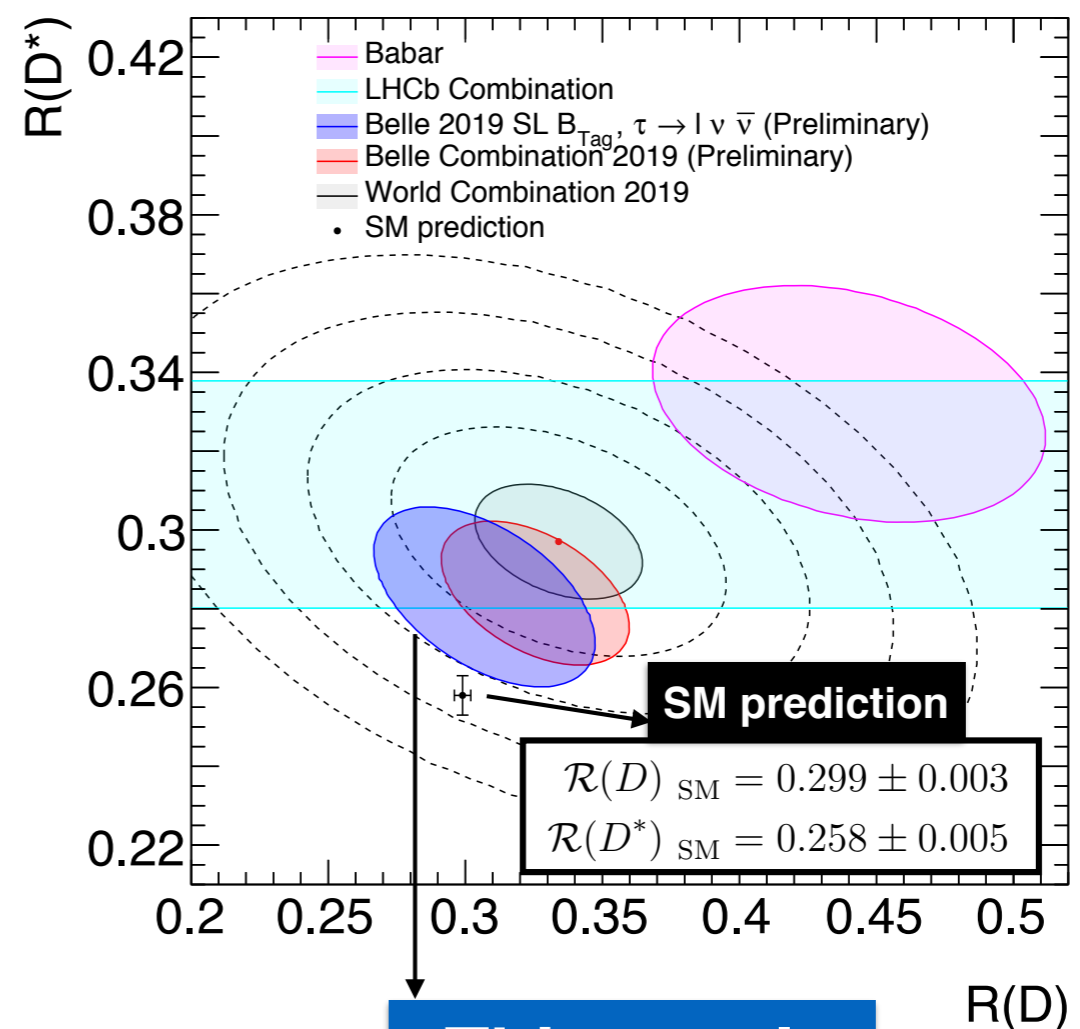
ARE WE SURE THAT THESE ARE SM NEUTRINOS?

➔ Could be a light, weakly-interacting BSM particle instead?

Description	Experiment+Luminosity	<i>reducible</i> vs. <i>irreducible</i>	Reference	Diagrams
Direct LQ search for $b\tau$ final state	CMS-35.9 fb <sup>-1</sup>	<i>reducible</i>	<a href="#">[48]</a>	
Direct LQ search for $c\nu$ final state	ATLAS-36.1 fb <sup>-1</sup>	<i>reducible</i>	<a href="#">[45]</a>	
generic SUSY search with MET	CMS-35.9 fb <sup>-1</sup>	<i>reducible</i>	<a href="#">[51–54]</a>	
Interference with the SM DY	ATLAS-36.1 fb <sup>-1</sup>	<i>irreducible</i>	<a href="#">[46]</a> (based on <a href="#">[63]</a> )	

## Conclusion / Preliminary $R(D^{(*)})$ averages

- **Most precise measurement** of  $R(D)$  and  $R(D^*)$  to date
- First  **$R(D)$**  measurement performed with a **semileptonic tag**
- Results **compatible with SM** expectation within  **$1.2\sigma$**
- **$R(D) - R(D^*)$  Belle average** is now within  **$2\sigma$**  of the SM prediction
- **$R(D) - R(D^*)$  exp. world average** tension with SM expectation **decreases from  $3.8\sigma$  to  $3.1\sigma$**

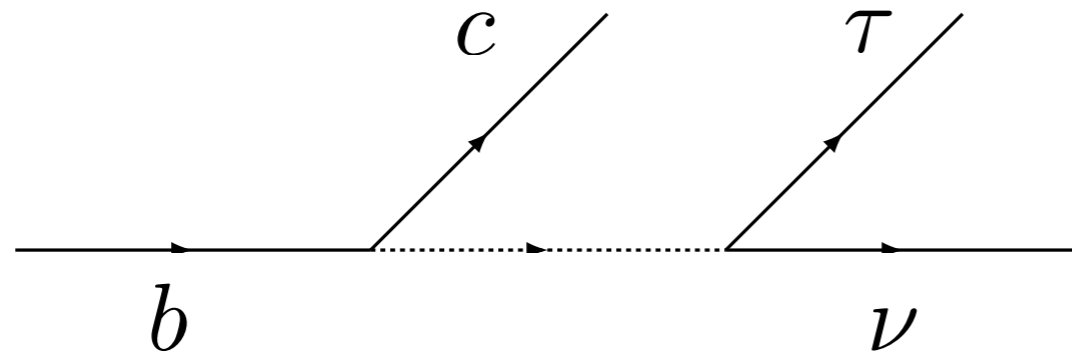


**This result**

$$\mathcal{R}(D) = 0.307 \pm 0.037 \pm 0.016$$
$$\mathcal{R}(D^*) = 0.283 \pm 0.018 \pm 0.014$$



# Problems with charged Higgs



Contributes to  $B_c \rightarrow \tau \nu$

BR currently not measured. LHCb prospects are not good...

Upper bound from SM predictions for other final states decay widths, compared to measured total width  
(Alonso, Grinstein & Camalich 1611.06676.)

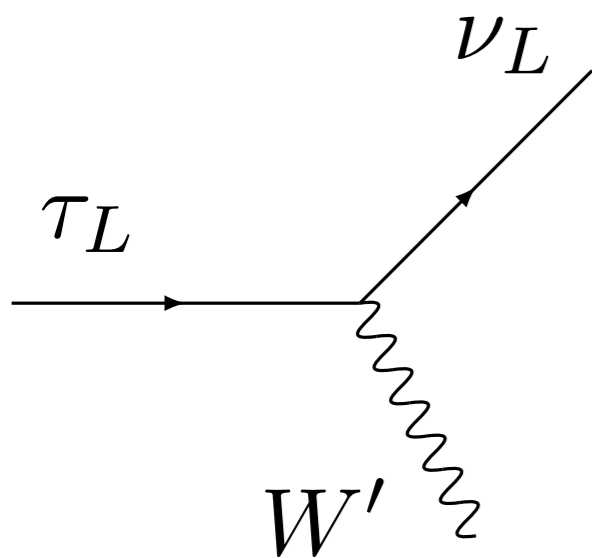
$$\text{Br}(B_c \rightarrow \tau \nu) \lesssim 30\%$$

Upper bound based on LEP search for  $B_u \rightarrow \tau \nu$   
(Akeroyd & Chen 1708.04072)

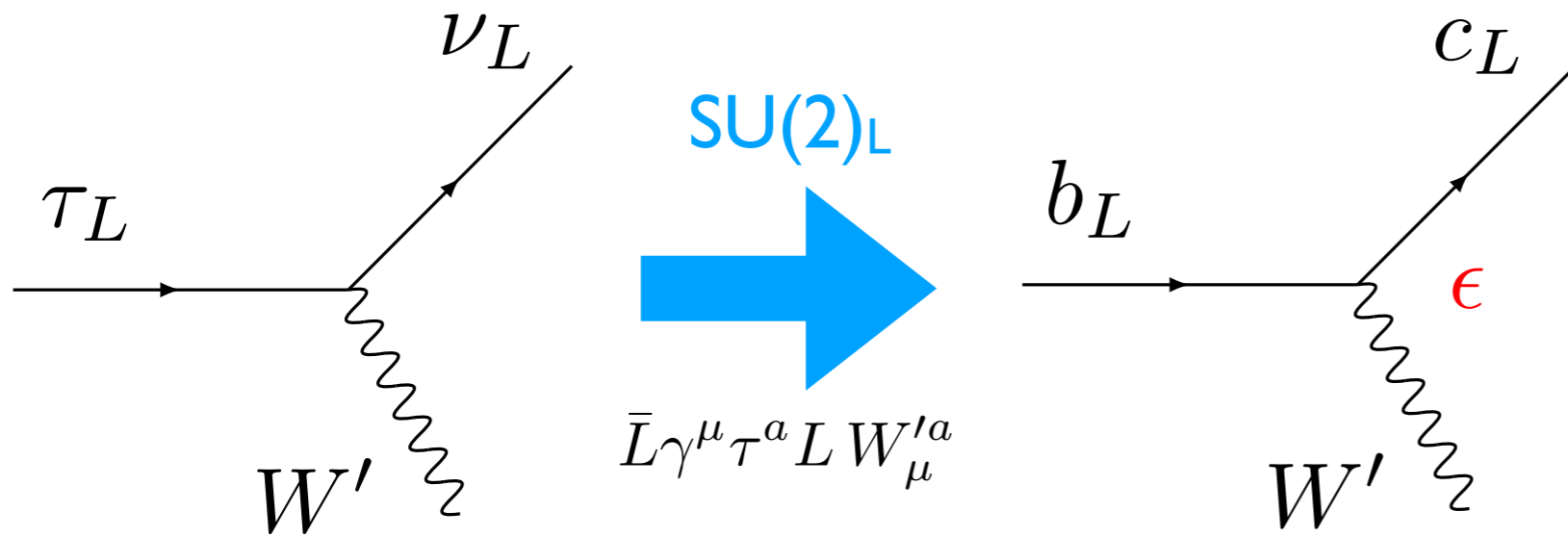
$$\text{Br}(B_c \rightarrow \tau \nu) \lesssim 10\%$$

Rules out charged Higgs explanations of RD/RD\* anomaly!

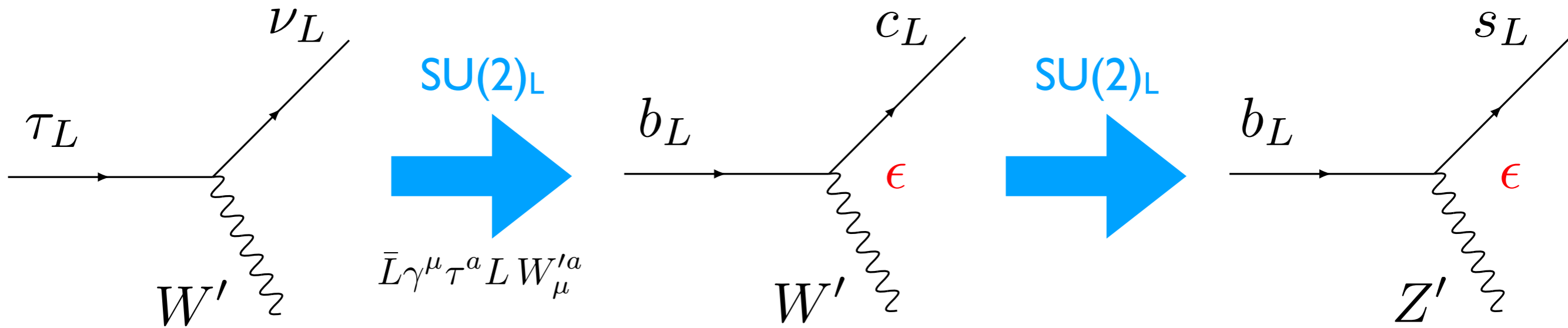
# Problems with $W'$



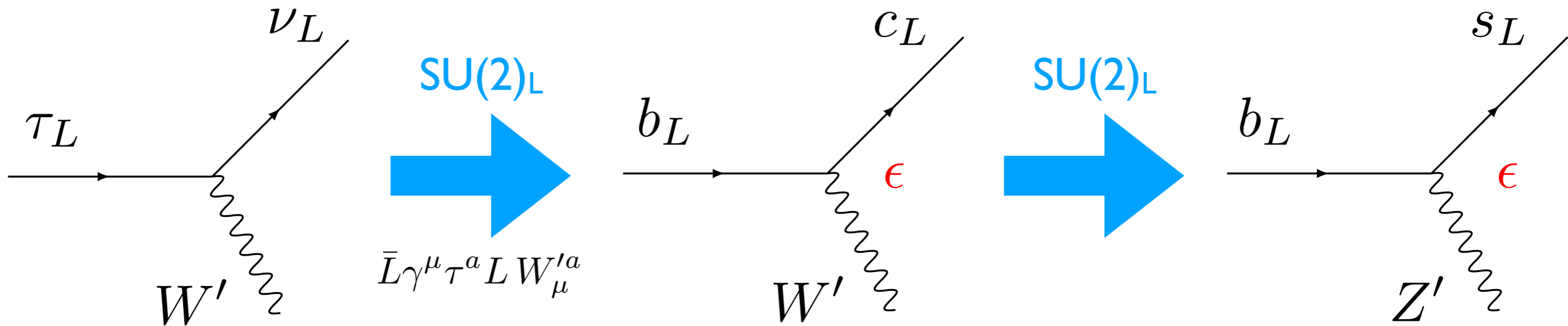
# Problems with $W'$



# Problems with $W'$

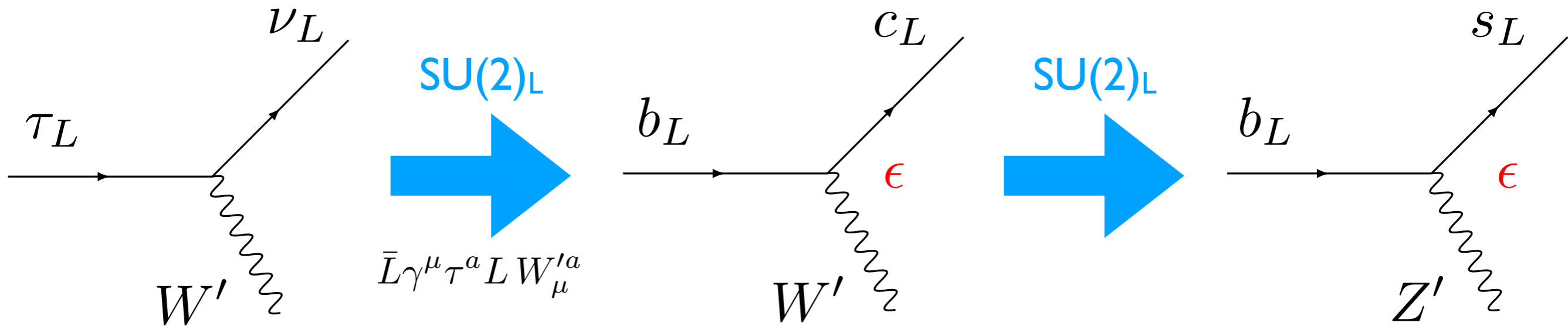


# Problems with $W'$



Tree-level FCNCs!

# Problems with $W'$

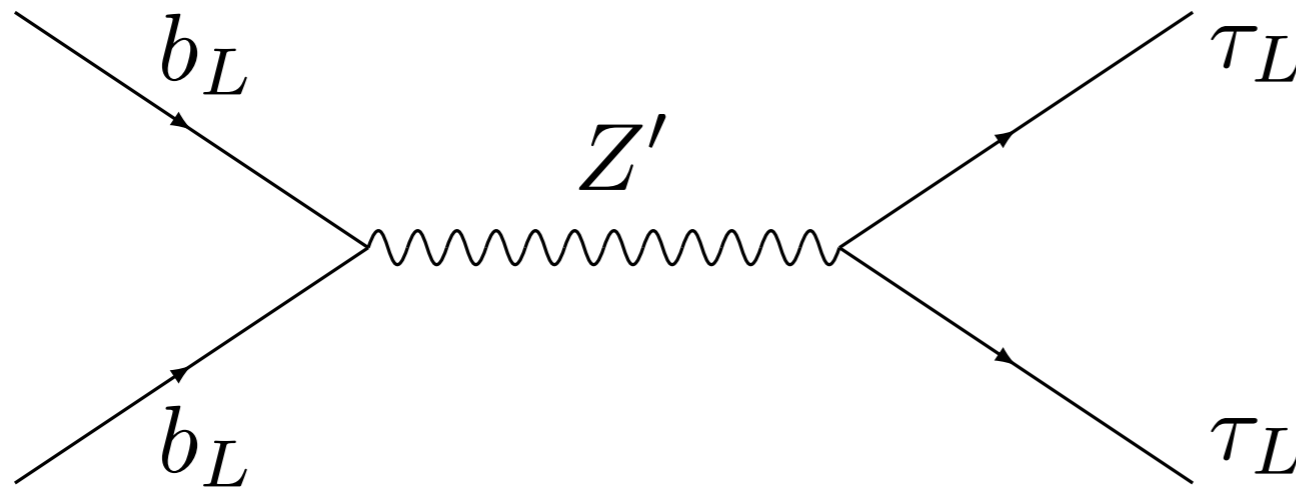
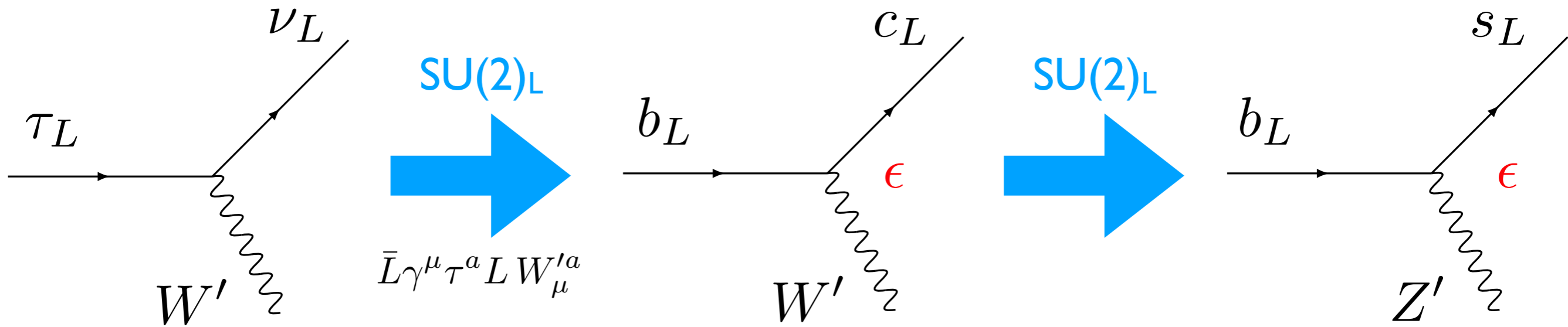


Tree-level FCNCs!

Need 3rd  
generation  
dominance

$$\epsilon \sim V_{cb}$$

# Problems with $W'$

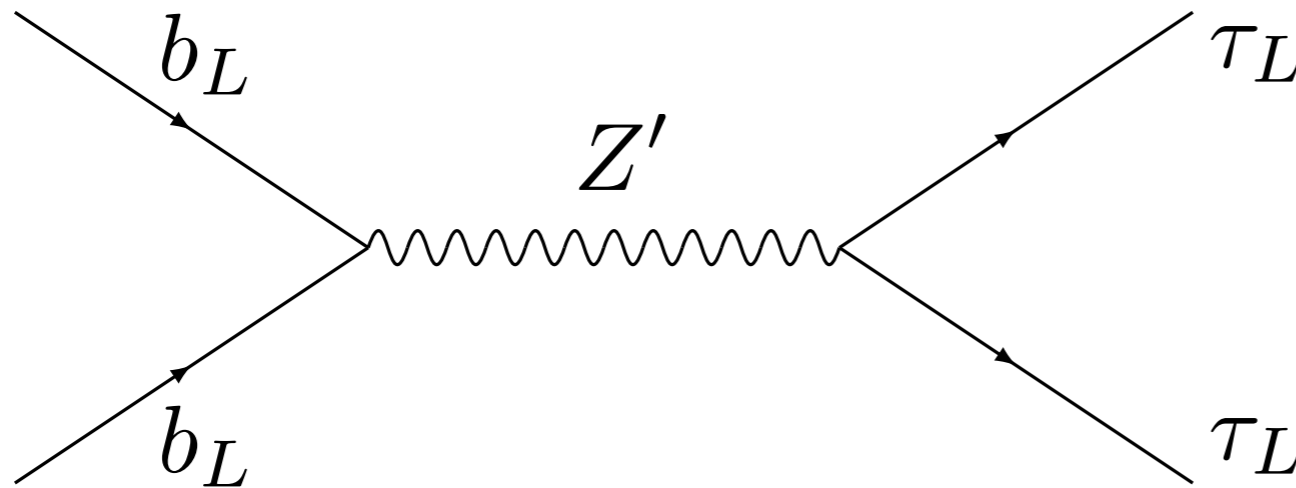
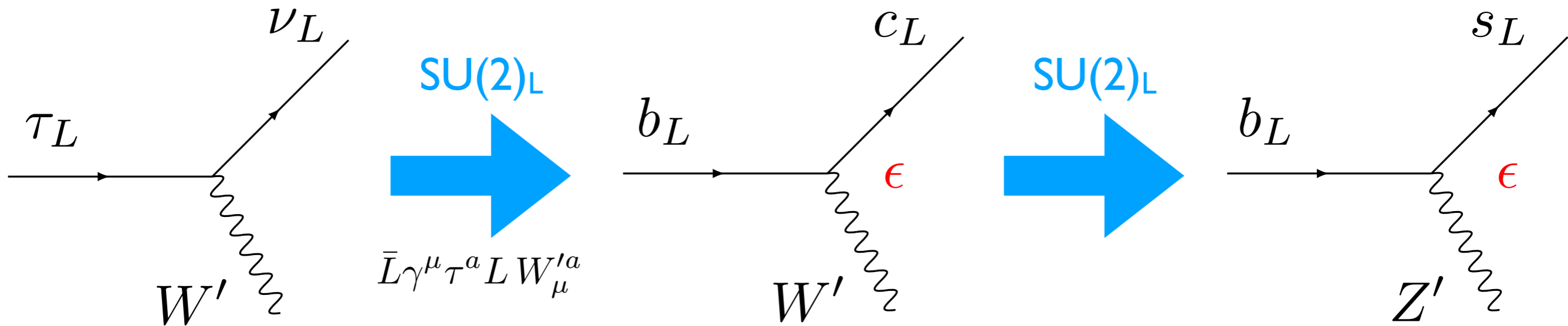


Tree-level FCNCs!

Need 3rd generation dominance

$$\epsilon \sim V_{cb}$$

# Problems with $W'$



Tree-level FCNCs!

Need 3rd generation dominance

$$\epsilon \sim V_{cb}$$

Strong constraints from  $Z' \rightarrow \tau\tau$  resonance searches rule out these models!

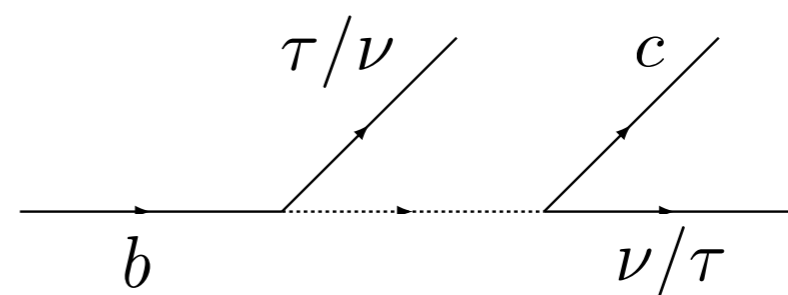
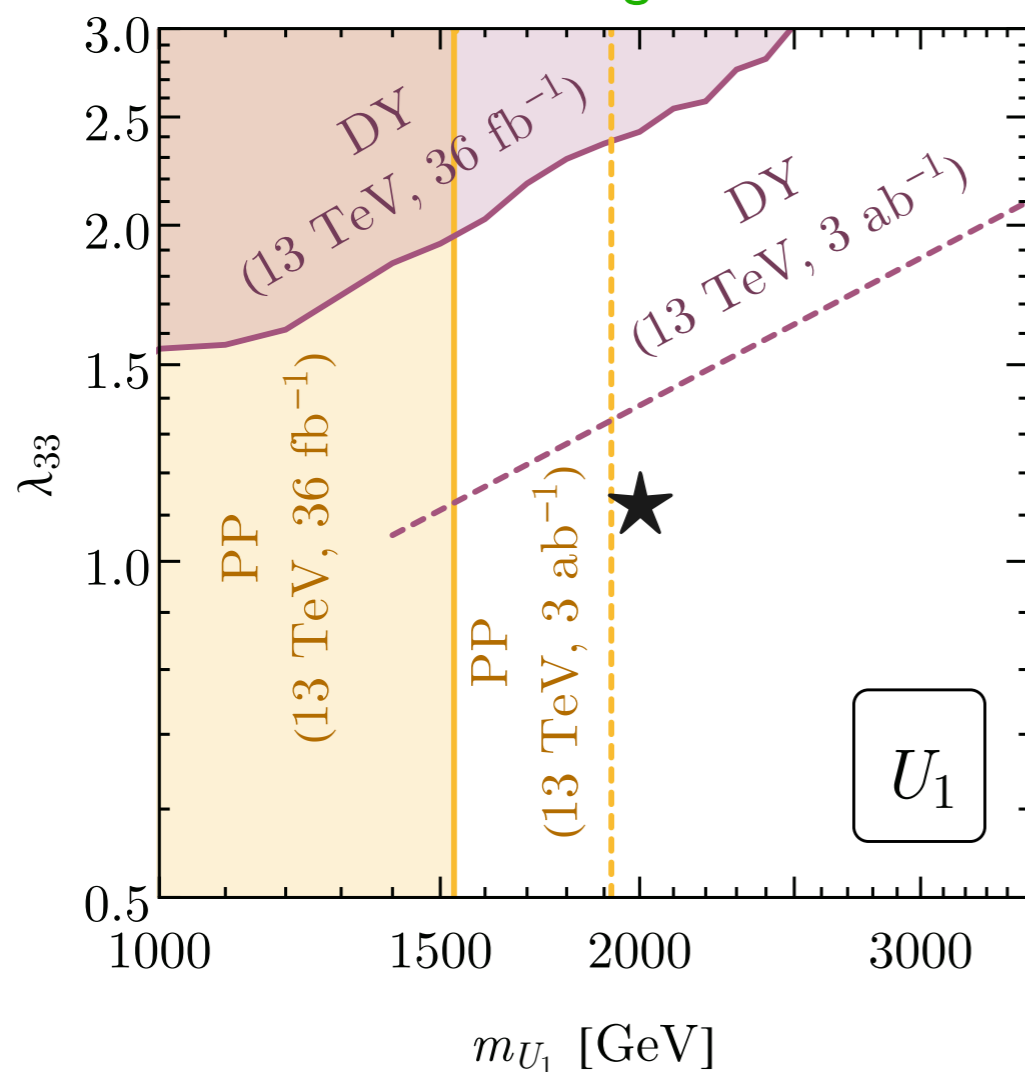
Faroughy et al 1609.07138, Crivellin et al 1703.09226



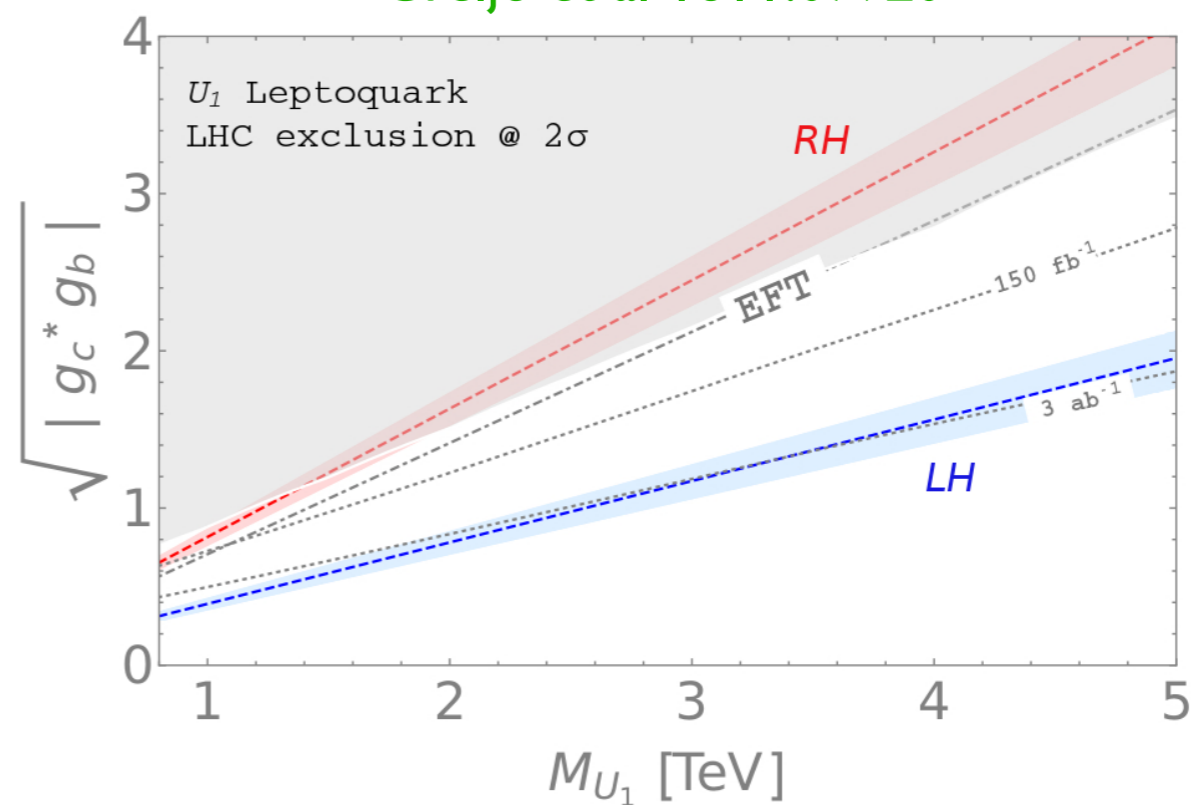


# Leptoquarks

Schmaltz & Zhong 1810.10017



Greljo et al 1811.07920



Strong LHC constraints from pair production, DY, and mono-tau, but much parameter space remains