



UNIVERSITY OF  
CALGARY



# DROPLET DEP AND BIODEVICES

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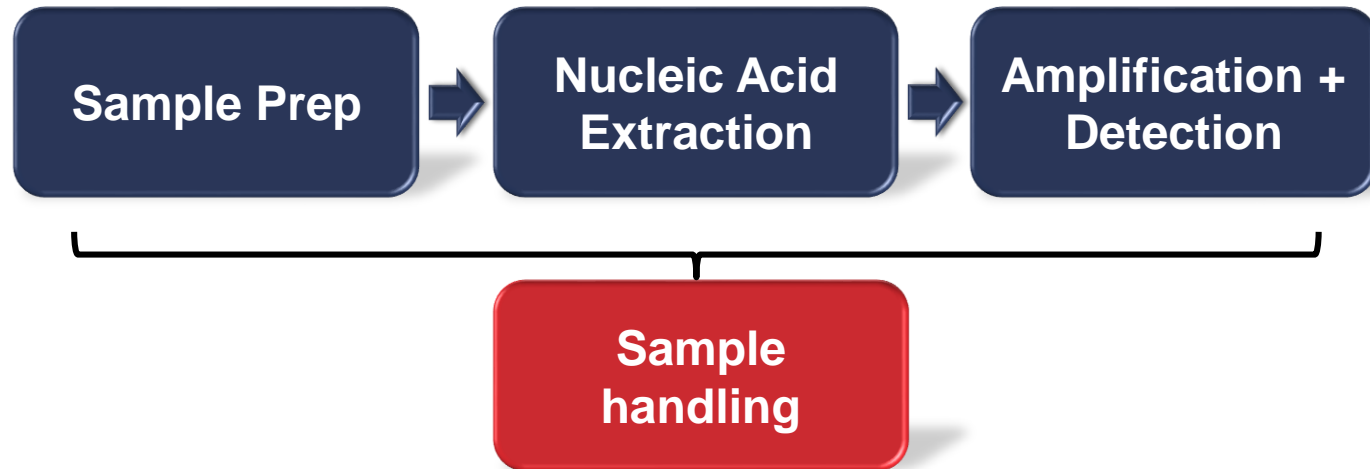
Workshop: Ions for cancer therapy, space research and material science  
Chania, August 28<sup>th</sup>-29<sup>th</sup>, 2017

# Presentation Outline

- **Droplet microfluidic nucleic acid diagnostic system requirements**
  - Sample preparation; Nucleic acid extraction; Sample/reagent handling; Nucleic acid amplification and detection
  - Droplet microfluidics (DMF) and different schemes for electro-actuation of droplets;
  - Dielectrophoresis (DEP) for sample dispensing and handling;
- **Anatomy of Micro/Nano fabricated DMF devices**
- **DMF measurement system**
- **Validation of DMF based nucleic acid diagnostic platform**
  - Illustration of DEP based sample preparation using yeast cell samples, functionalized beads
  - qRT-PCR detection of Influenza A virus in a clinical blind panel
  - Detection of multiple respiratory panel viruses (Influenza A, Influenza B) in a clinical blind panel
  - On-chip extraction and qRT-PCR amplification of different MS2 bacteriophage samples
- **Summary of current capabilities and future goals**

# Sample-to-Detection In Nucleic Acid Based Diagnostics

- The four components of nucleic acid based point-of-care diagnostic system;
- Droplet Microfluidic approach towards miniaturized sample handling and integration of the different components on a single microfluidic device.



- Sample and reagent dispensing;
- Mixing and separation of different samples/reagents;
- DEP based handling of liquid samples, multiphase media and particle samples for biological applications

# Droplet Microfluidics (DMF) for Sample Handling

## **Droplet based Microfluidics:**

- Droplet based open channel/surface microfluidic technology (consist of patterned micro-electrodes/insulated top surfaces)
- Controlled dispensing of aqueous sample/reagent droplets ( $\mu\text{L}$ - $\text{pL}$  volume)
- Aqueous sample dispensing, manipulation (transportation, mixing/splitting, thermal cycling) achievable in parallel, automated fashion
- Suitable for low-volume, parallel and multiplexed bio-diagnostic assays

## **Salient features of DMF:**

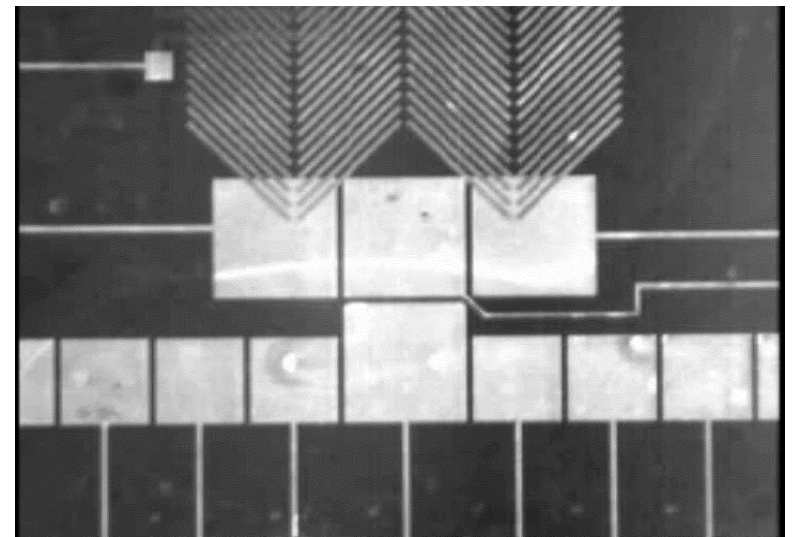
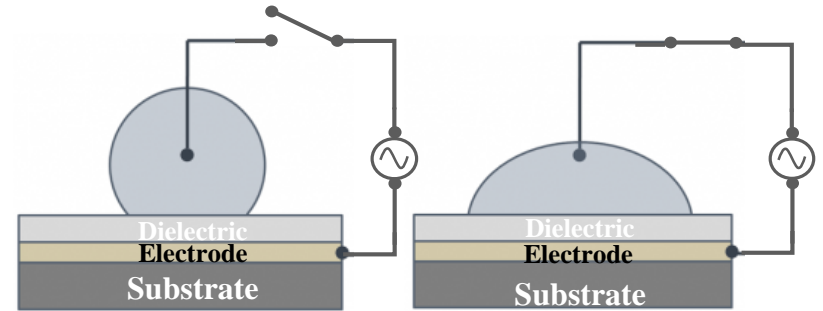
- Reduced volume of bio- samples/reagents
- Rapid testing and high throughput screening
- Custom micro-fabrication of devices tailored for target application
- Ease of integration of sensor technology (waveguides, CMOS/CCD sensors)

# Electro-actuation Of Droplets

- Utilizes suitably energized electrode architectures to facilitate one or more microfluidic applications (sample dispensing, transport, mixing/splitting etc...)

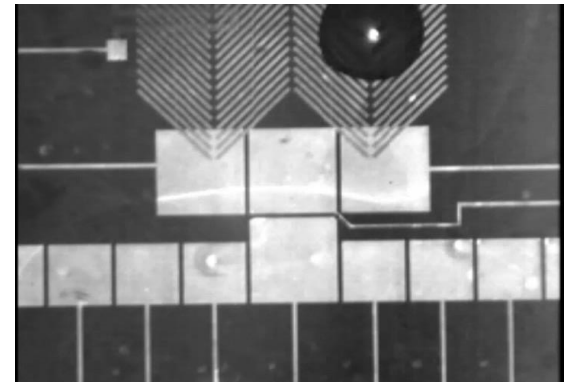
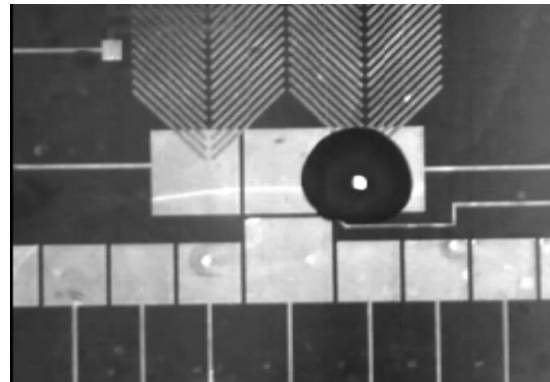
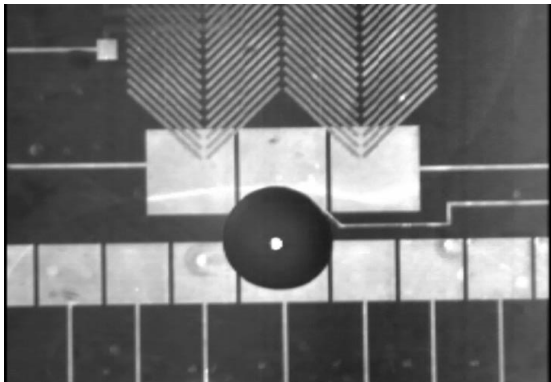
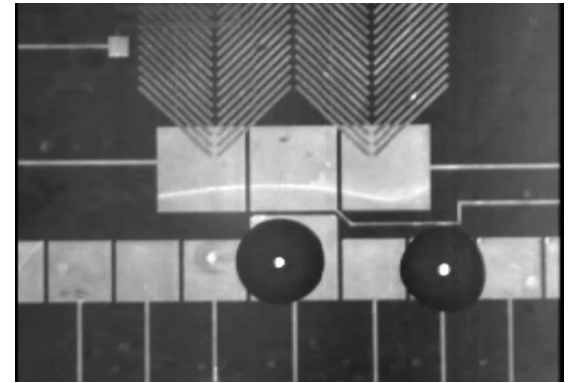
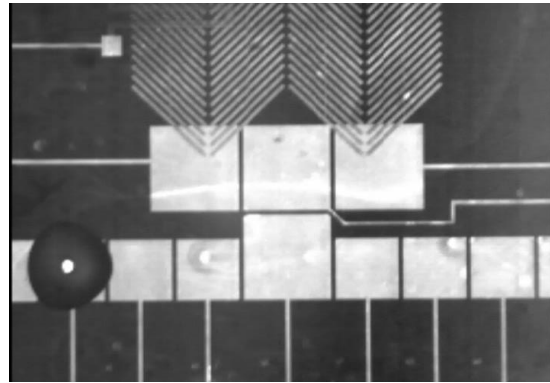
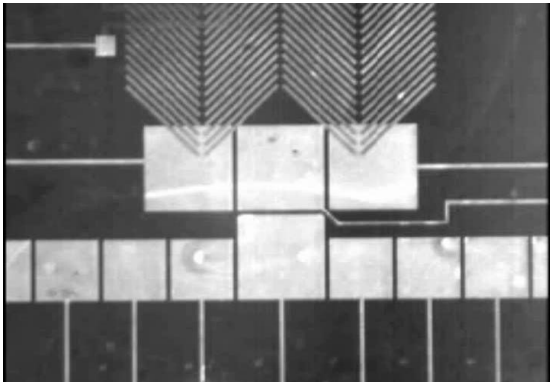
## Droplet Manipulation: EW and DEP

- Electrowetting (EW) modulates the liquid contact angle using an applied voltage
- Single surface EW can be achieved using a patterned, dielectrically passivated electrode arrays and superhydrophobic surfaces
- EW droplet transport is produced as a result of active electrode switching



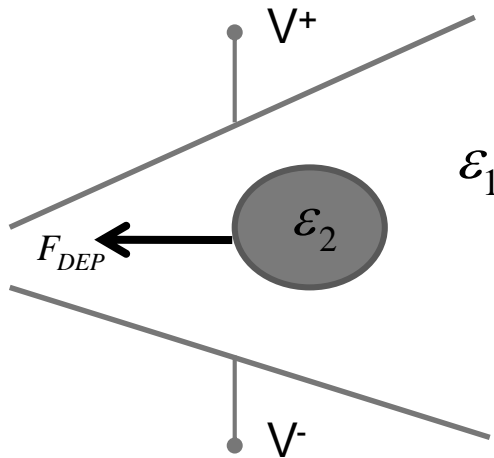
A single surface Electrowetting DMF device

# SNAPSHOTS OF EW AND DEP DROPLET ACTUATION



# DIELECTROPHORESIS (DEP)

An electromechanical effect that results from the interaction of a nonuniform electric field with polarizable matter (dielectric particles, biological cells, fluid media, etc...)



Positive DEP ( $\epsilon_2 > \epsilon_1$ )

Body force impels it into a region of field intensity maxima.

Negative DEP ( $\epsilon_2 < \epsilon_1$ )

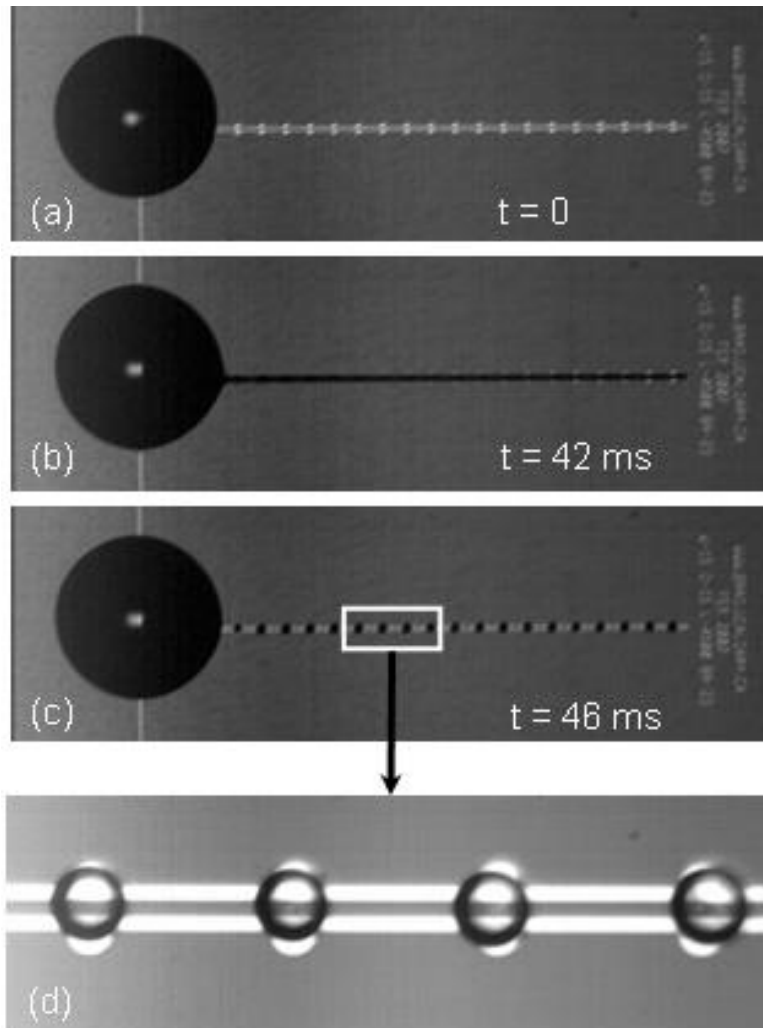
Body force impels it into a region of field intensity minima

$$\vec{F}_{DEP} = \vec{P} \bullet \nabla \vec{E}$$

$$\vec{F}_{DEP} \propto \nabla E^2$$

# DEP For Sample Dispensing And Handling

- **Liquid DEP (LDEP):** Manipulation of dielectric fluids using spatially non-uniform electric field



(a-c) A liquid rivulet originating from the parent droplet (DI water), conveyed along the full electrode length (average actuation speed  $\sim 6 \text{ cm/sec}$ )

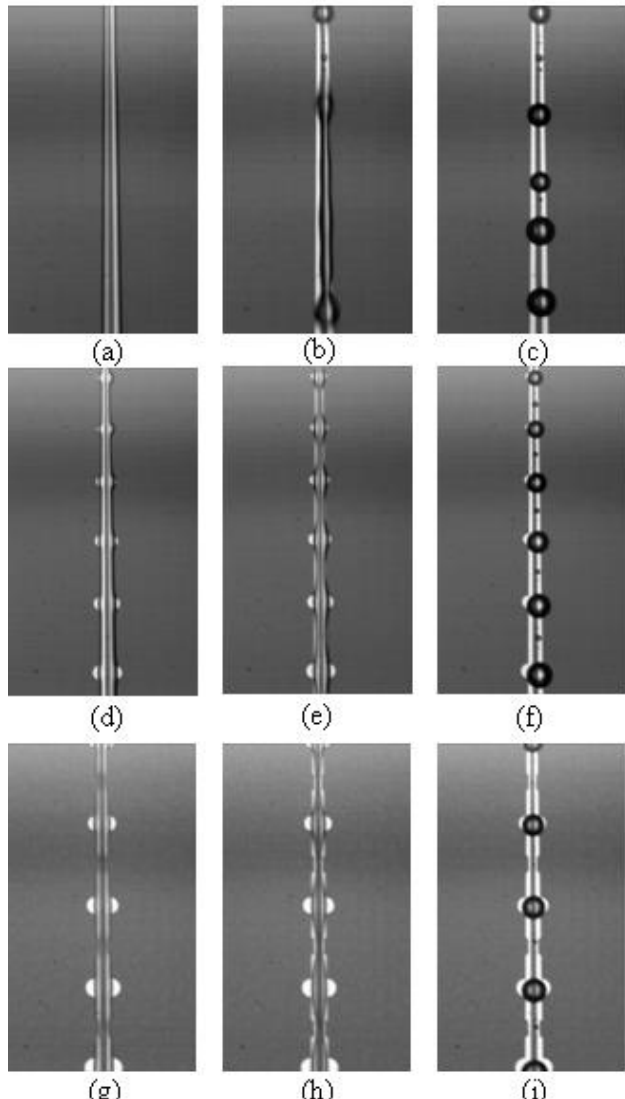
liquid rivulet breakup into individual droplets when the voltage is removed (electrode width ( $w$ ) =  $15 \mu\text{m}$ , electrode spacing ( $s$ ) =  $15 \mu\text{m}$ , actuation voltage =  $210 \text{ V}_{\text{RMS}}$  at  $100 \text{ kHz}$ );

liquid rivulet breakup into individual droplets when the voltage is removed (electrode width ( $w$ ) =  $15 \mu\text{m}$ , electrode spacing ( $s$ ) =  $15 \mu\text{m}$ , actuation voltage =  $281 \text{ V}_{\text{RMS}}$  at  $100 \text{ kHz}$ );

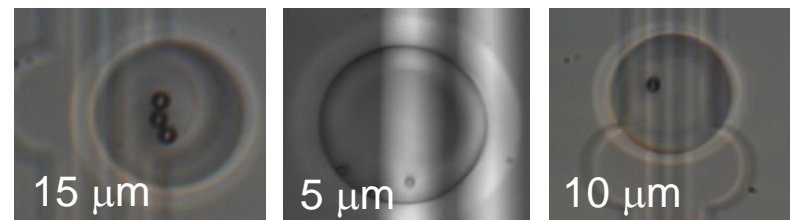
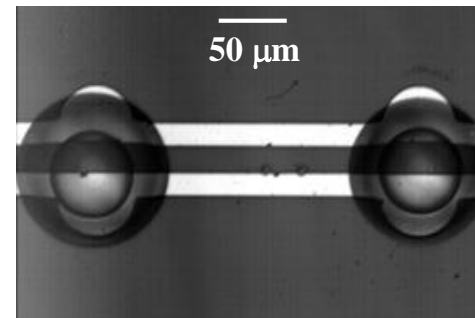


# DEP FLUIDIC SAMPLE DISPENSING EXAMPLES

## Variable volume dispensing schemes



## Multiphase vesicle dispensing



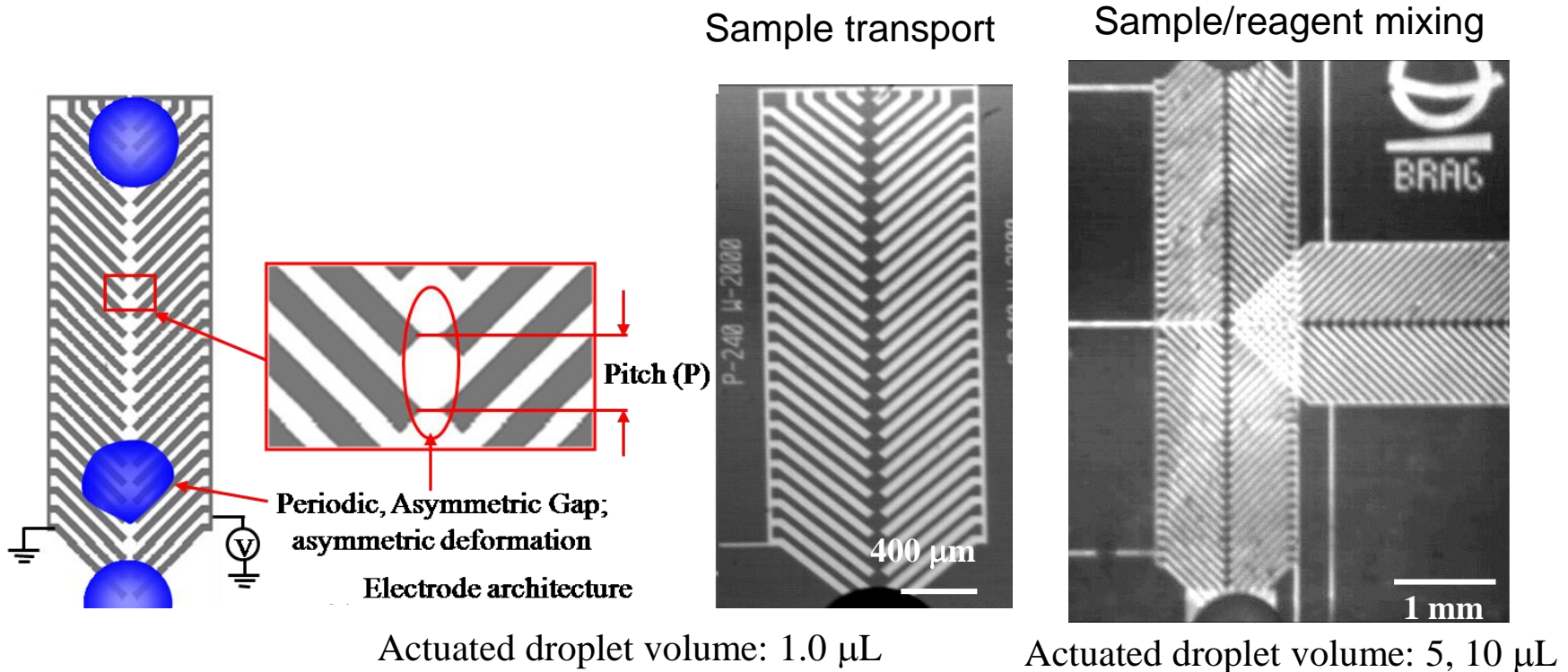
Functionalized microbeads of various sizes dispensed in ultra-low conc.

# DEP For droplet Handling

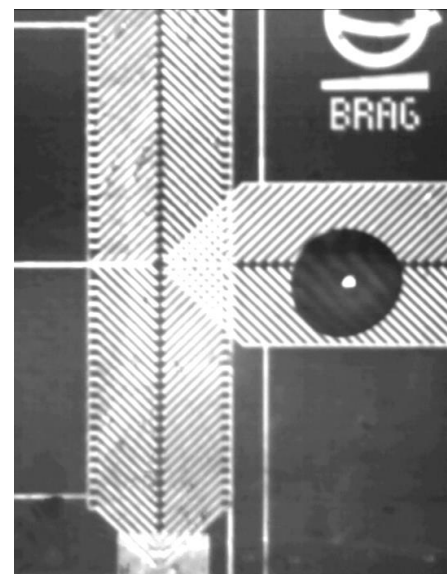
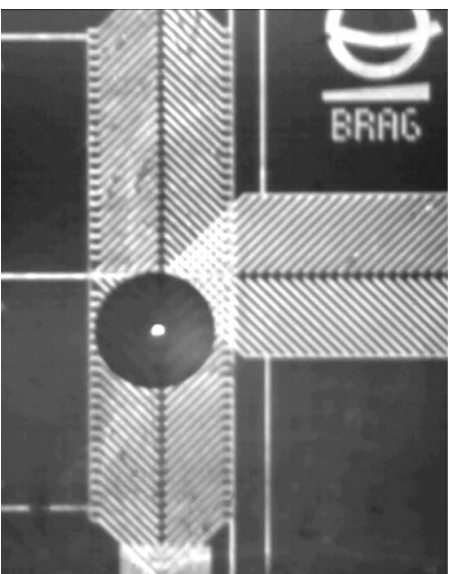
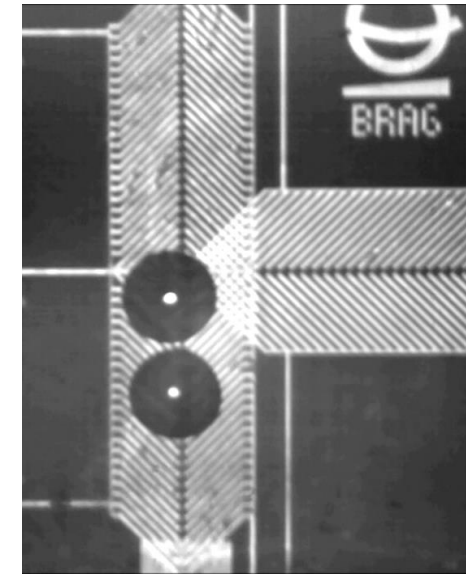
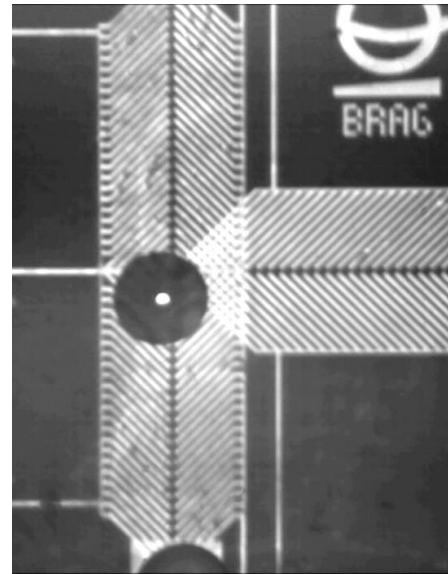
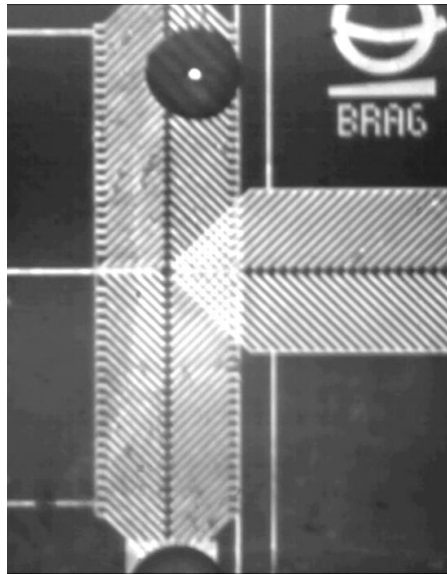
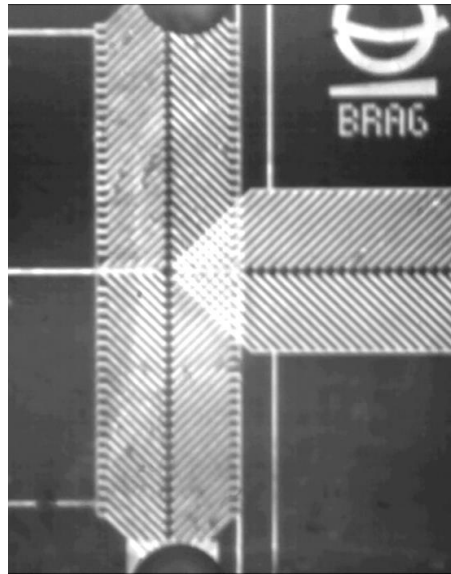
## DEP based droplet transport and mixing

### Electrostatic droplet actuation (or, D-DEP):

- Utilizes herringbone shaped electrode to transport and mix sample droplets;
- Low voltage (<100 Vpp), low frequency (<90 Hz) electrostatic actuation (low electrical power requirement)
- Suitable for droplet transport, mixing and thermal cycling



# DEP For Sample Dispensing and Handling Snapshots



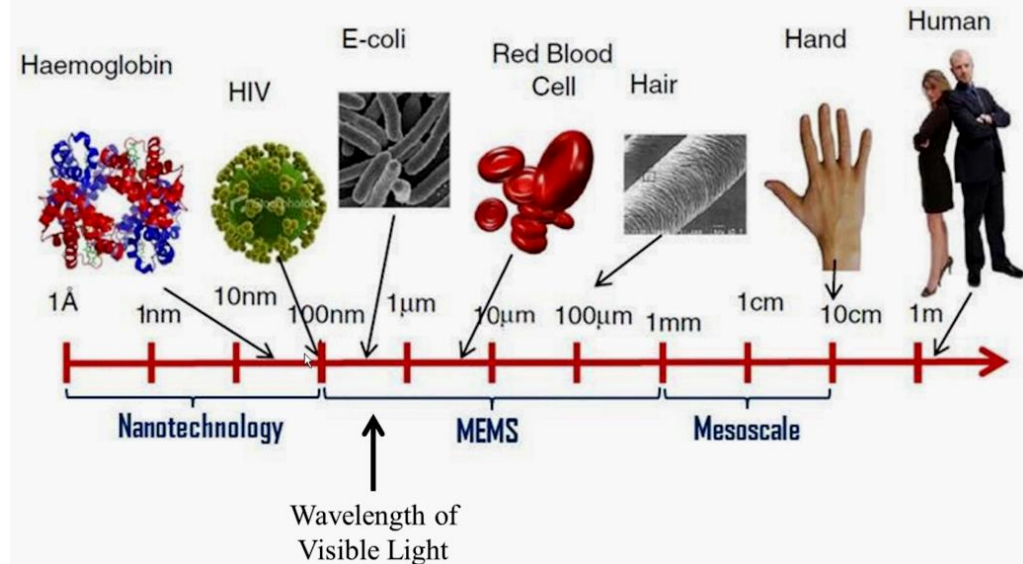
# Chip Based Sample-to-Detection For Nucleic Acid Based Diagnostics

- Road-map for the development of a nucleic acid based diagnostic microsystem;
- Integration of the existing and newly developed droplet technologies on a microsystem platform



- Sample preservation in suitable transport medium (UTM);
- Chemical/electro-chemical dissolution of fiber and complex protein matrix;
- DEP based cell sorting/separation as a method for pre-concentration in the raw clinical samples

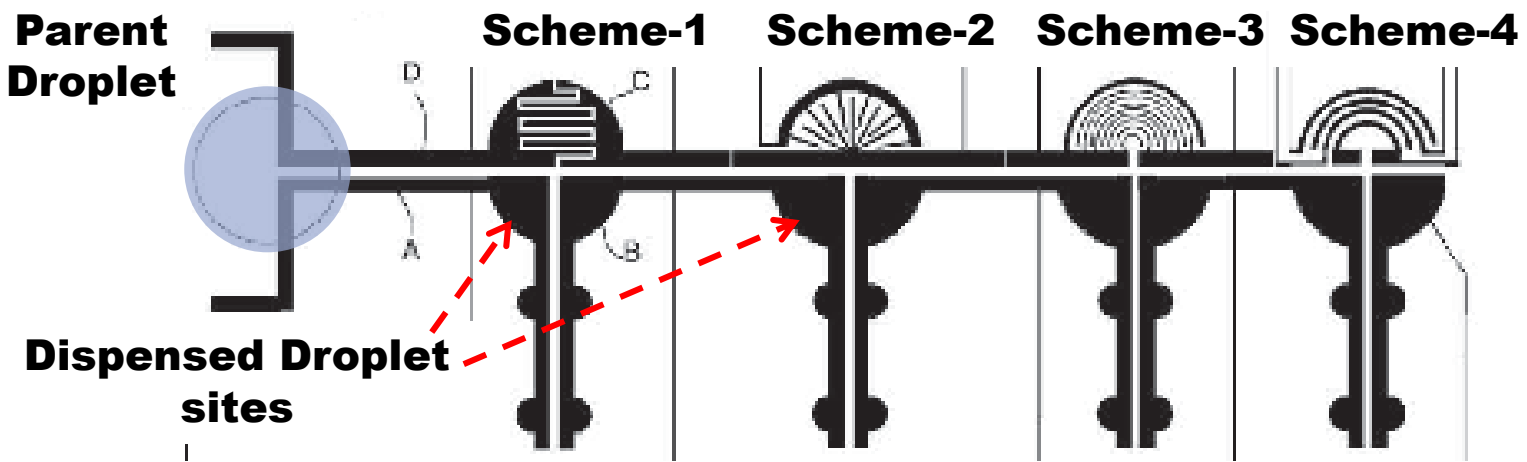
## Characteristic Length Scales



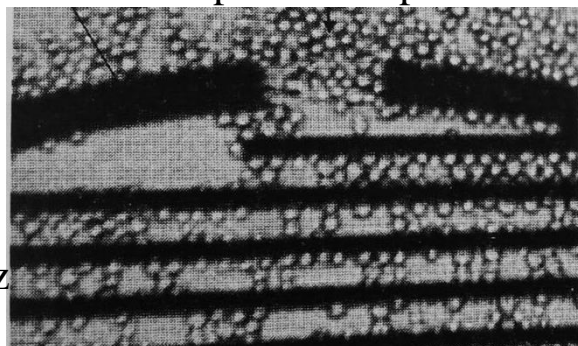


# DEP Based Sample Preparation: Cell And Micro-Particles

- Multi-frequency, travelling wave DEP (TW-DEP) electrode schemes can be used for particle/cell sorting during sample preparation
- Schemes 1-4 utilize interdigitated DEP electrodes for sorting/separation of target cells in dispensed biological sample droplets

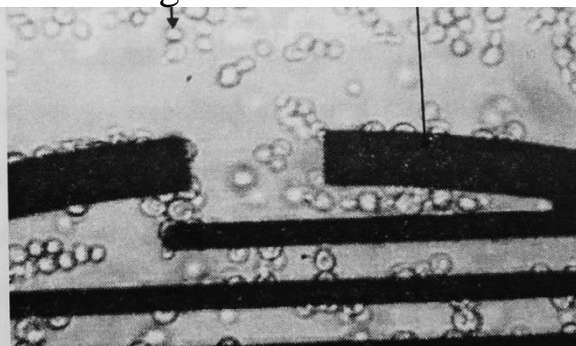


Positive-DEP capture of yeast cells in dispensed droplets



+ve DEP capture:  
2.5 Vrms at 600 kHz

Yeast cells in dispensed droplets under negative-DEP effect



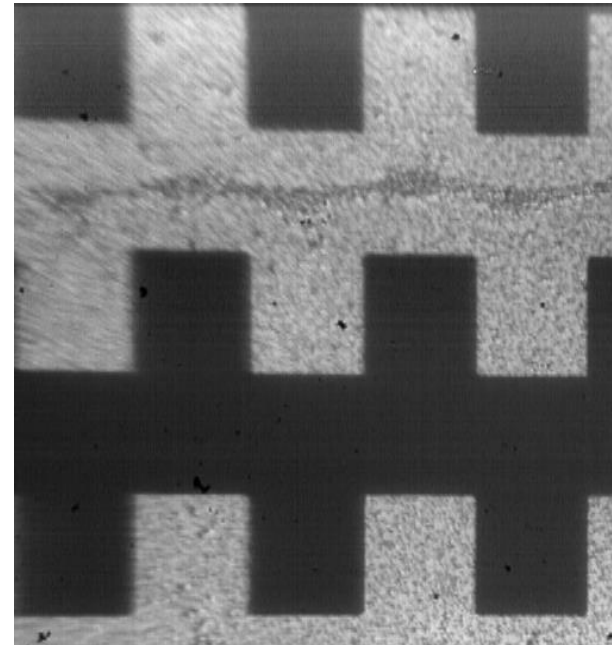
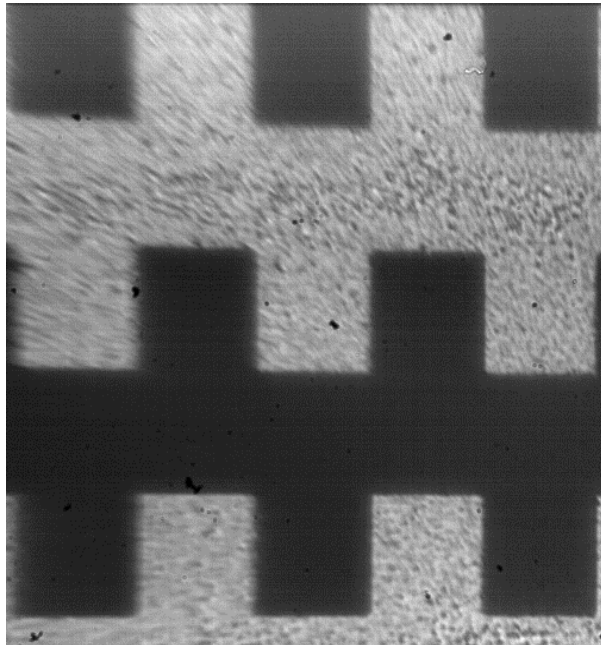
Interdigitated DEP electrode scheme-1

-ve DEP capture:  
2.5 Vrms at 10 MHz

\*Electrowetting 2006

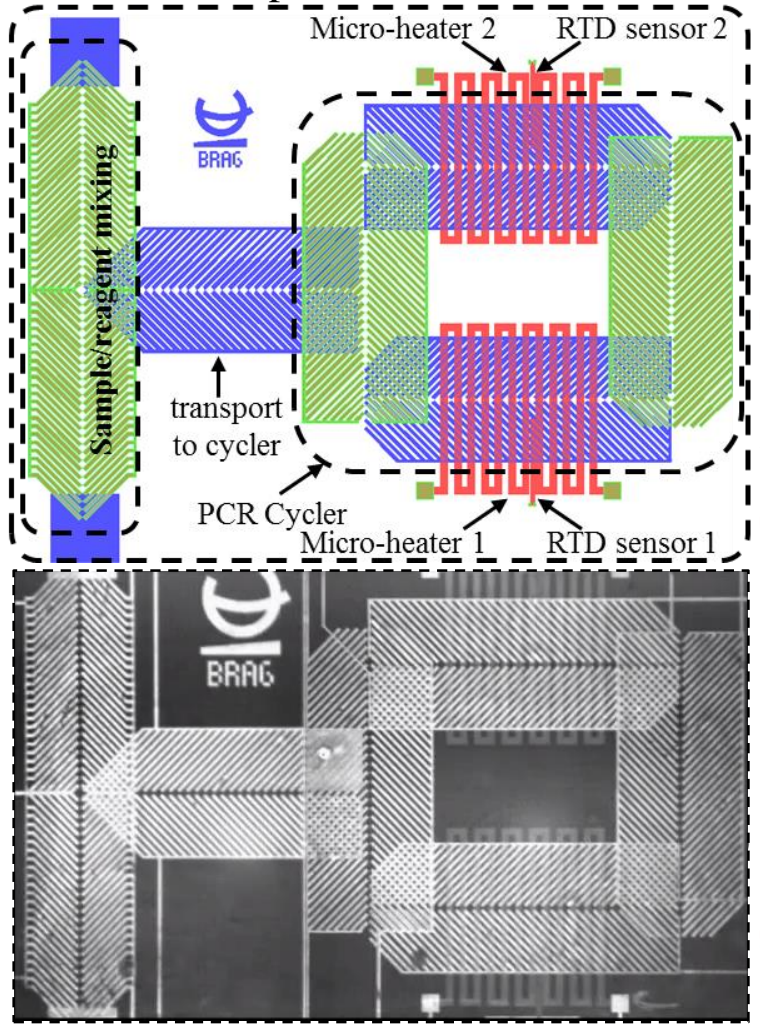
# DEP Based Sample Preparation: Illustrations for RNA-binding Bead Capture

- Example of bead capture on other DEP electrode structure ( $w = g = 50 \mu\text{m}$ )
- Higher actuation voltage requirement ( $V_{pp} = 120 \text{ V}$ )
- Bead re-collection in field minima (null regions) using negative-DEP
  - Capture freq.:  $\sim 550 \text{ kHz}$  for capture over electrode; along electrode length

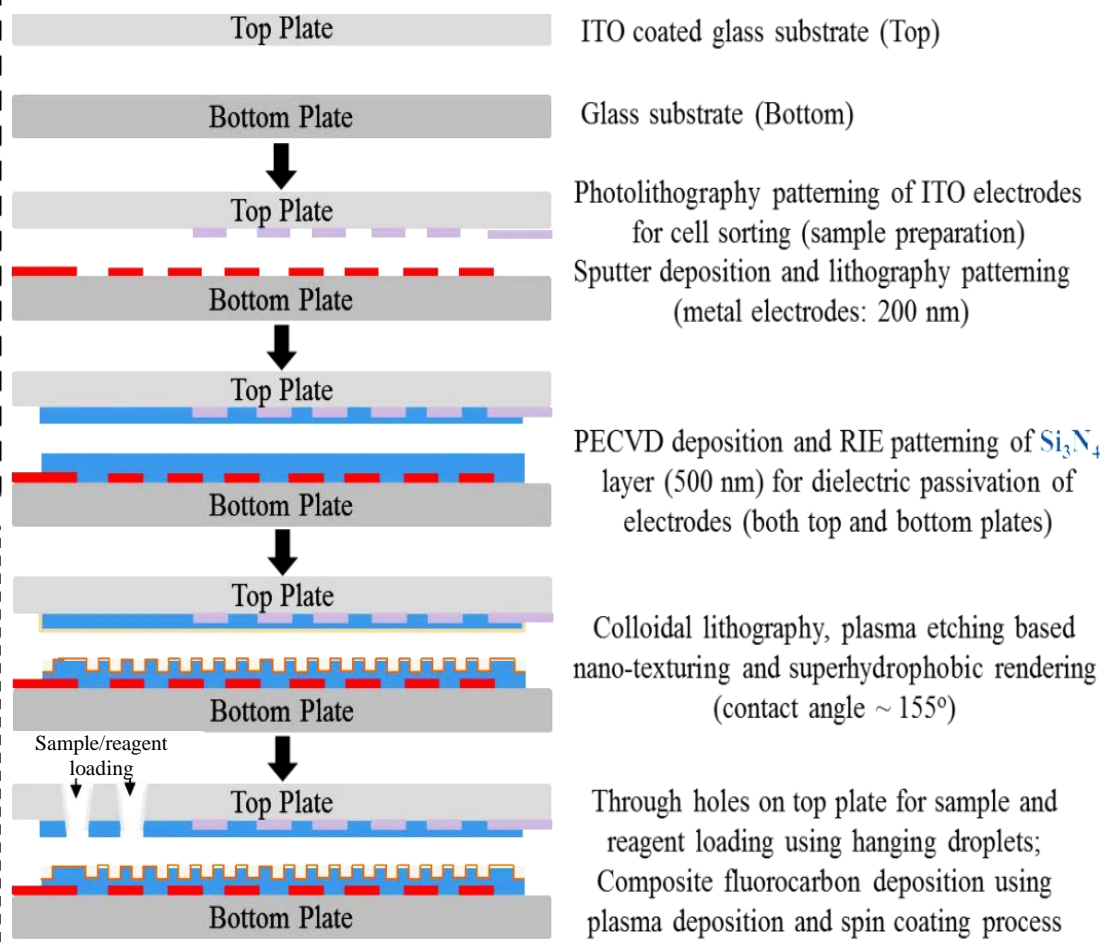


# Anatomy Of Micro/nano Fabricated DMF Devices\*

Schematic and video illustration of a single PCR micro-chip



Process flow diagram for a typical DMF micro-chip fabrication

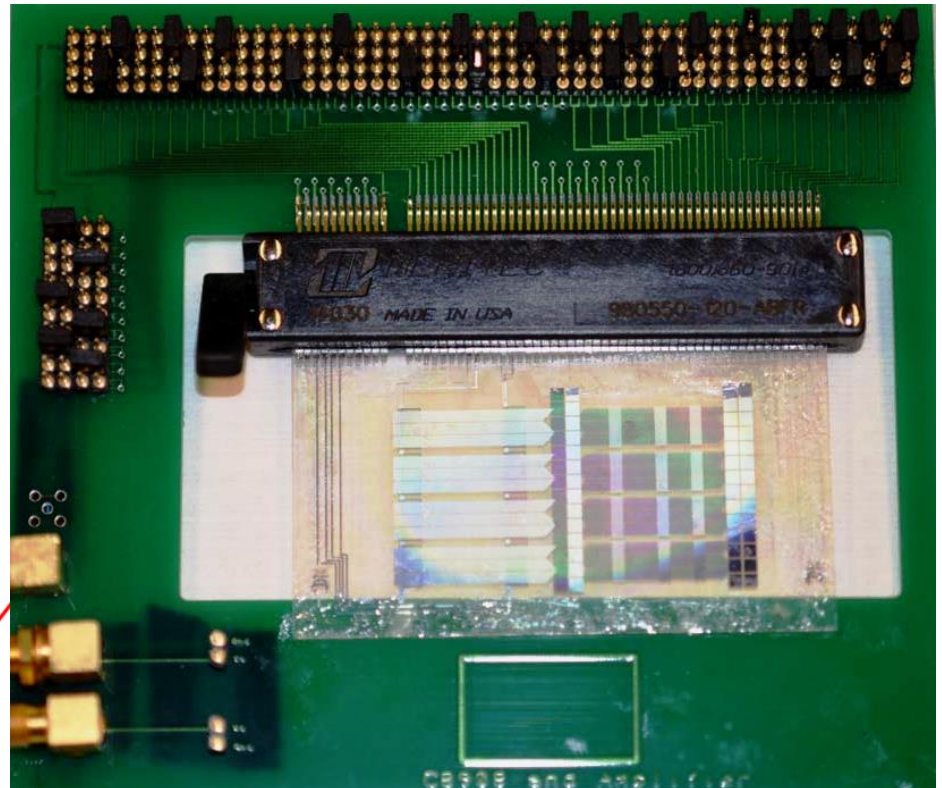
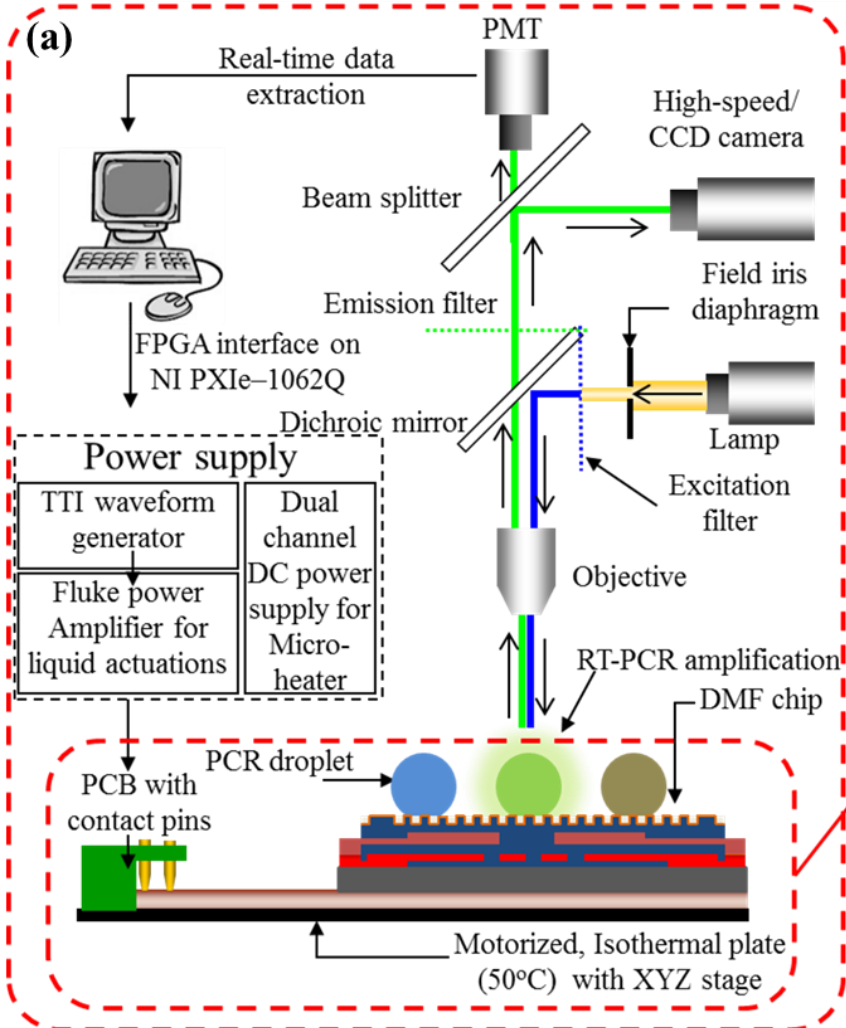


\*J. Elec. Soc. 2014



# DMF Measurement System

Schematic of the microscope based measurement system (for fluorescent based quantitative analysis)

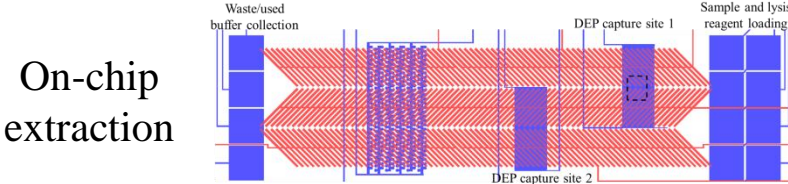




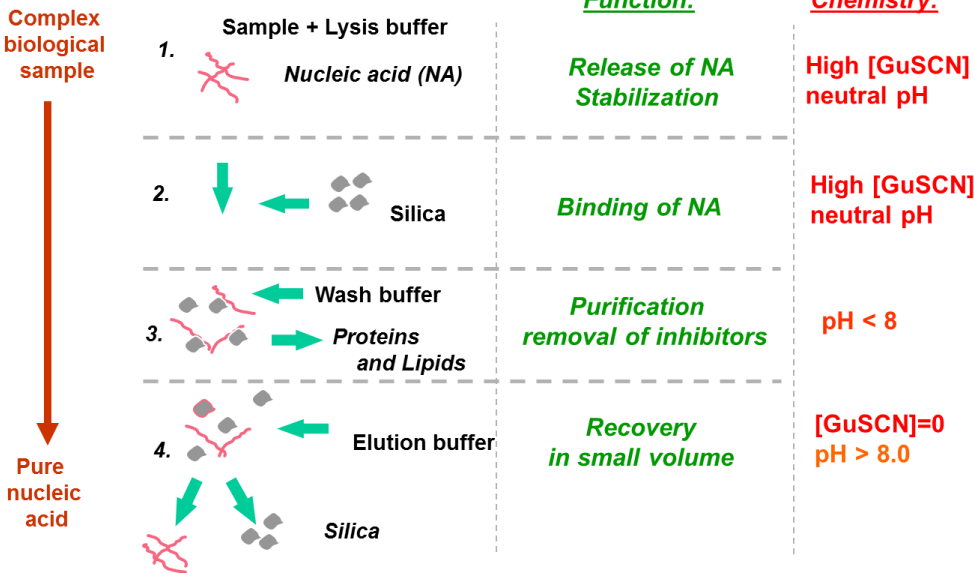
# Sample-to-Detection In Nucleic Acid Based Diagnostics



- Chemical and electro-chemical disruption of cells and virions;
- DEP based washing, capture and purification of the extracted nucleic acids; (next slide)
- Low reagent volume requirements and multiplexed sample extraction capabilities;



## Boom™ Chemical Extraction Method



### Components of a conventional extraction set-up

1. NucliSens mini MAG
2. Thermo shaker (200 – 2000 rpm; Eppendorf)
3. Vortex

# DEP Electrodes For Chip Based Extraction

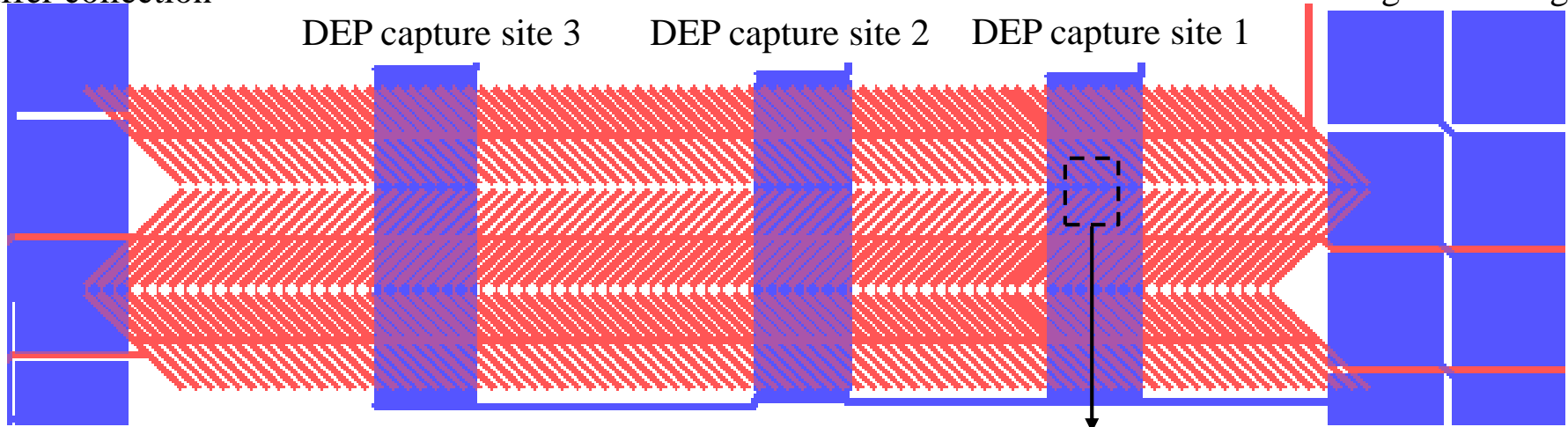
Waste/used  
buffer collection

Sample and lysis  
reagent loading

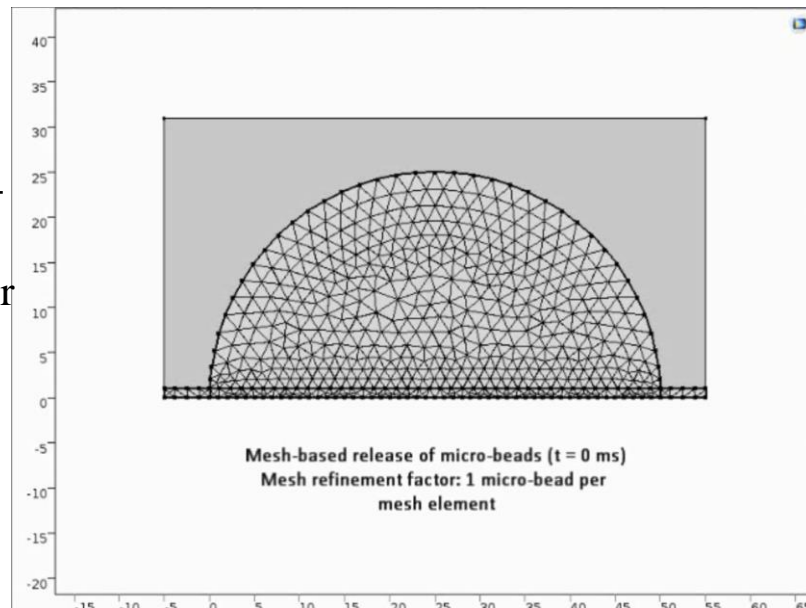
DEP capture site 3

DEP capture site 2

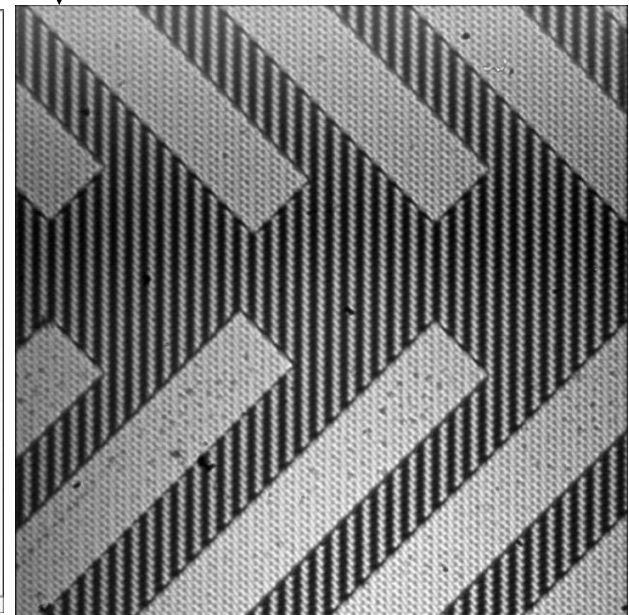
DEP capture site 1



**Video:** Capture of RNA-binding beads from a 20  $\mu\text{L}$  sample-in-lysis buffer  
Capture time: 90 sec  
Capture freq.: 800 kHz  
AC voltage ( $V_{pp}$ ): 80 V



COMSOL simulation showing negative-DEP capture of RNA-binding micro-beads

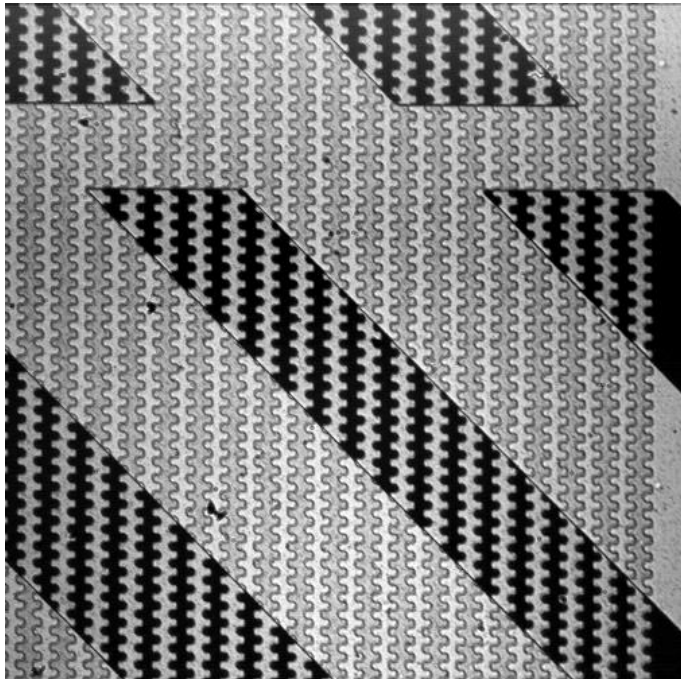


Schematic of the DEP electrode structure ( $w = g = 5 \mu\text{m}$ )

# Video Illustrations Of DEP Based Micro-bead Capture and Re-collection

- Bi-directional droplet actuation using D-DEP (10-20  $\mu\text{L}$ )
- Mag-bind® bead ( 2.0  $\mu\text{m}$ ) capture from lysis-sample mixture (20  $\mu\text{L}$ ) (Step 1)
- Bead re-collection during VHB and SPR buffer wash step (20  $\mu\text{L}$ )
- Elution of purified nucleic acid into RNase free PCR water ( $\sim 12 \mu\text{L}$ )

## Micro-bead capture (Step 1)

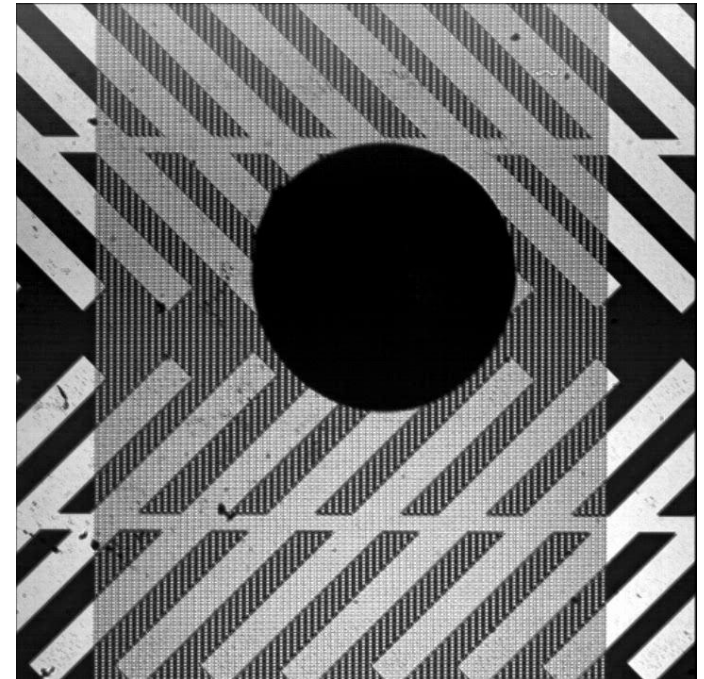


Capture time: 90 sec

AC frequency:  $\sim 800$  kHz, voltage: 60Vpp for negative DEP capture;

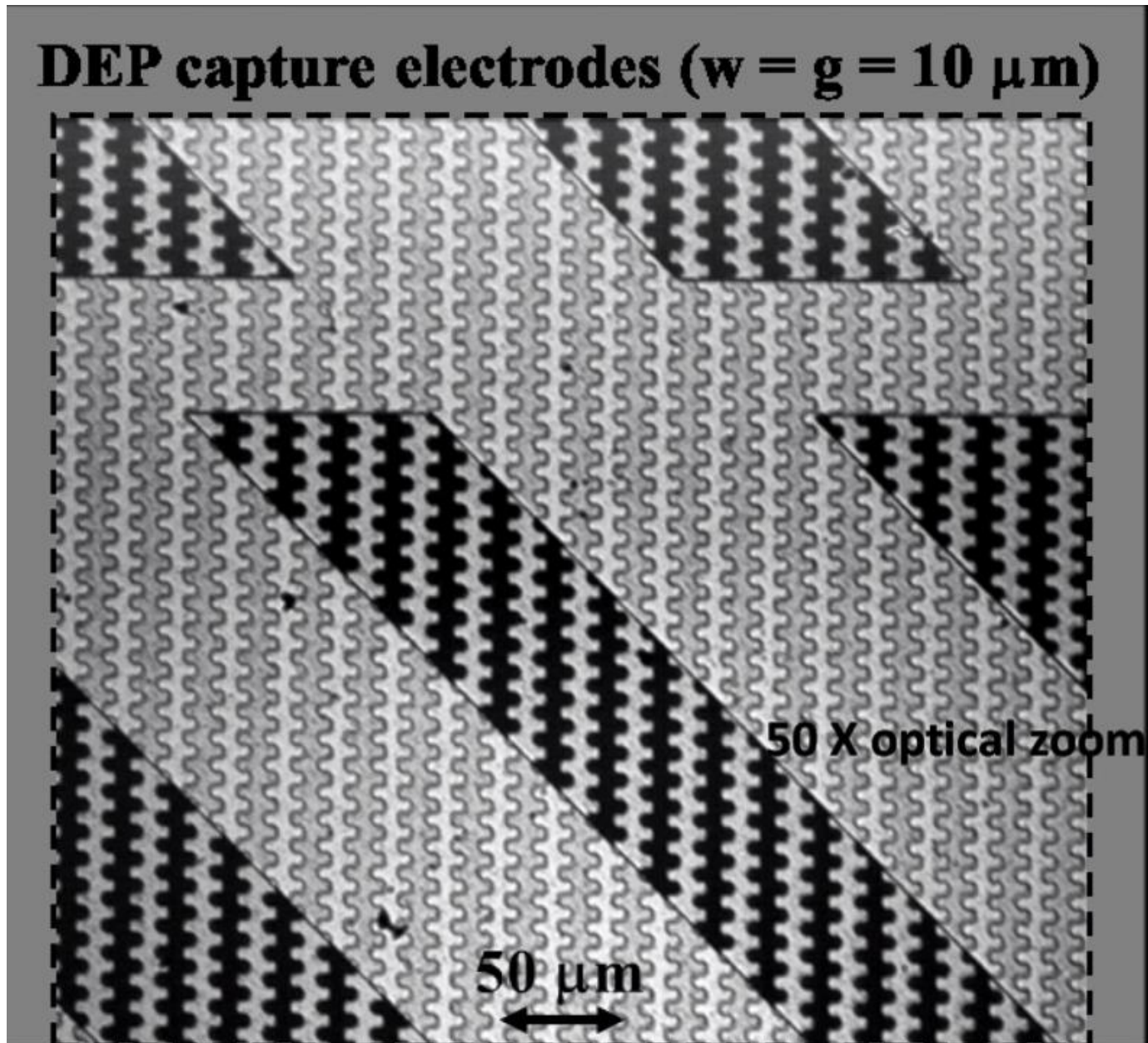
Re-collection/  
washing time: 90 sec

## Micro-bead washing (Step 2)





# DEP Based Nucleic Acid Extraction (Video)



# Clinical Validation Of the Chip Based Nucleic Acid Extraction and Purification (Panel 1)

- Blind panel of Nasal Swab samples (**Clinical**)
- Four sample anonymized clinical panel subjected to the on-chip Nucleic acid extraction and purification assay; samples run in triplets to test reproducibility of the chip based extraction assay;
- MS2 (conc.: $10^{-3}$ ) was used as internal control in all the on-chip and easyMag™ extraction experiments.
- MS2 is a bacteriophage with an RNA genome, suitable to characterize viral extractions

Sample Number	Chip C <sub>t</sub> (Flu A)	ProvLab C <sub>t</sub> (Flu A)	Chip C <sub>t</sub> (MS2)	ProvLab C <sub>t</sub> (MS2)
1a	Neg.	Neg.	26.95	27.5
1b	Neg.			
1c	Neg.			
2a	21.09	20.50/21.20	26.87	27.5
2b	20.91			
2c	20.88			
3a	30.43	29.80/29.80	27.35	27.50
3b	29.40			
3c	29.55			
4a	Neg.	Neg.	26.40	27.50
4b	Neg.			
4c	Neg.			

**Repeatability** of the on-chip nucleic acid extraction and purification

**RT-PCR efficiency** of chip extracted nucleic acids: ~ 94-95 %

# Clinical Validation Of the Chip Based Nucleic Acid Extraction and Purification(panel 2)

- Blind panel of **co-infected** nasal swab samples (Clinical)
- Eight sample anonymized panel (clinical samples), reflecting co-infections (three respiratory viruses, FluA, FluB and RSV with different viral loads categorized as Hi and Lo) to the on-chip extraction and assay; samples run in triplets to test reproducibility of the chip based extraction;
- Each panel sample was extracted in duplex to yield a combined extracted volume of 25  $\mu$ L, suitable for a four-panel RT-PCR analysis for the three targets and the internal control (MS2).
- Successful extractions from wide ranged co-infection samples illustrate the robustness of the sample preparation chip.

Sample Name	Targets	ProvLab	CHIP	ProvLab	CHIP	ProvLab	CHIP
		Flu A	Flu A	Flu B	Flu B	RSV	RSV
3.1	FluA-Hi, FluB-Lo, RSV-Hi	17.44	18.79	23.60	21.75	21.45	22.01
3.2	FluA-Hi, FluB-Hi, RSV-Lo	17.44	18.83	18.59	20.09	31.64	30.04
3.3	FluA-Lo, FluB-Lo, RSV-Hi	27.54	27.82	23.65	21.05	21.45	20.54
3.4	FluA-Lo, FluB-Hi, RSV-Lo	27.54	28.01	18.59	19.62	31.64	29.85
3.5	FluA-Hi, FluB-Hi, RSV-Hi	17.44	18.71	18.59	19.69	21.45	20.92
3.6	FluA-Lo, FluB-Lo, RSV-Lo	27.54	28.25	23.65	20.96	31.64	29.25
3.7	FluA-Hi, FluB-Lo, RSV-Lo	17.54	18.50	23.65	20.96	31.64	29.76
3.8	FluA-Lo, FluB-Hi, RSV-Hi	27.54	27.08	18.59	18.75	21.45	20.35

**RT-PCR efficiency** of all chip extracted nucleic acid samples lie within ~ 94-95 %  
(bench-top RT-PCR efficiency ~ 97-98 %)

# Clinical Validation Of the Chip Based Nucleic Acid Extraction and Purification (Panel 3)

- Blind panel of **Blood plasma** samples (Clinical)
- Two anonymized clinical samples (plasma samples) subjected to the on-chip Nucleic acid extraction and purification assay; samples run in duplex to test reproducibility of the chip based extraction assay;
- MS2 (conc.: $10^{-3}$ ) used as internal control in all the on-chip and EasyMag™ extraction experiments.

Sample ID	Sample type	ProvLab Ct	Chip Ct	Chip Ct for MS2 (Internal Control)
1 (a, b)	Plasma (Echo18)	33.50	34.08	25.80
2 (a, b)	Plasma (CoxA6)	27.68	28.96	26.10
3	Neg. (MS2)	26.50	25.44	25.44

- Overall proficiency table for the performance of the DEP nucleic acid extraction and purification chip

	Chip Based Extraction			
Gold Standard (EasyMag™)	No. of Tests	Positive	Negative	No loss of sensitivity
	Positive	40	0	
	Negative	0	10	
		No loss of Specificity		

# Sample-to-Detection In Nucleic Acid Based Diagnostics

- Optical and/or electro-chemical read-out during PCR amplification and detection;
- Real-time PCR or, end-point PCR read-out.

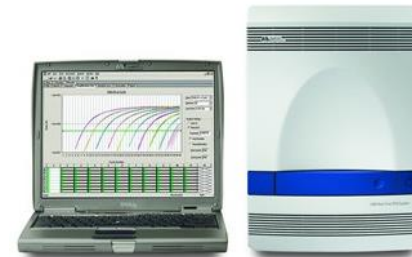


- Real-time PCR curves obtained using a scanning Photomultiplier tube;
- qPCR and standard quantification of target DNA template;
- qRT-PCR assays for 1-step amplification and quantification of RNA samples (includes a reverse transcription stage to convert RNA to  $\lambda$ -DNA);
- Spatially and spectrally multiplexed PCR reactions for panel PCR assays.



# PCR And The Current State Of Technology

- qPCR: A real-time, quantitative PCR assay where detection and quantification of the amplified template occurs in real-time, during the PCR thermal cycling.
- Existing bench-top set-ups can achieve up to six parallel real-time PCR assays in ~ 30-40 minutes
- Existing commercial equipment range from \$40,000 - \$100,000 USD



ABI 7500 Fast  
(Applied Biosystems)



QuantStudio™ Flex rt-PCR  
System (Applied Biosystems)



Rotor-Gene 6000 (Corbett)



Mx3000P (Agilent)

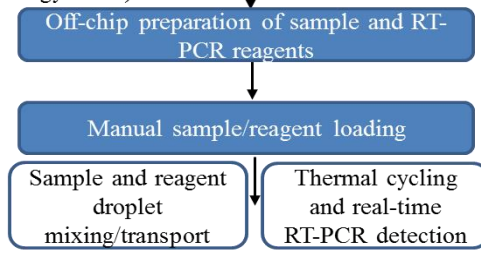
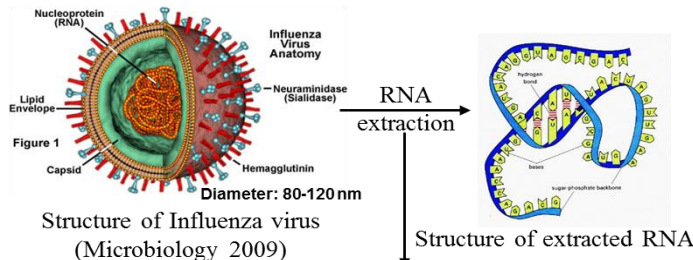


QX200™ Droplet Digital  
PCR System (Bio-Rad)

## Micro-systems and Miniaturization of qPCR and real-time PCR Technology

- Recent attempts to minimize the sample volume requirements by incorporating microfluidic technology
- E.g.: The Droplet Digital PCR System (Bio-Rad) and Quantstudio Flex real-time/digital PCR system (Applied Biosystems) illustrate application of a microfluidic system for controlled sample preparation and dispensing of multitude of PCR droplet arrays.

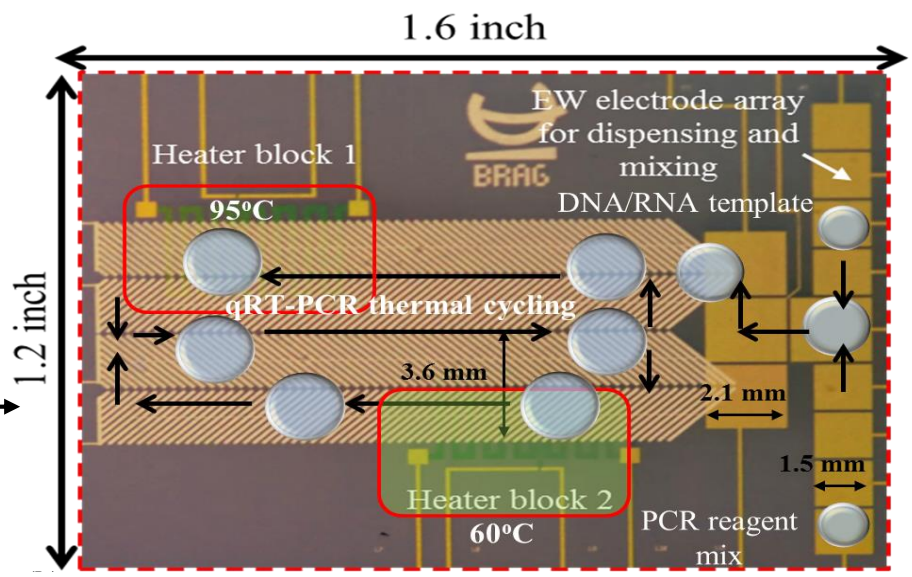
# qRT-PCR Detection Of Influenza Viruses



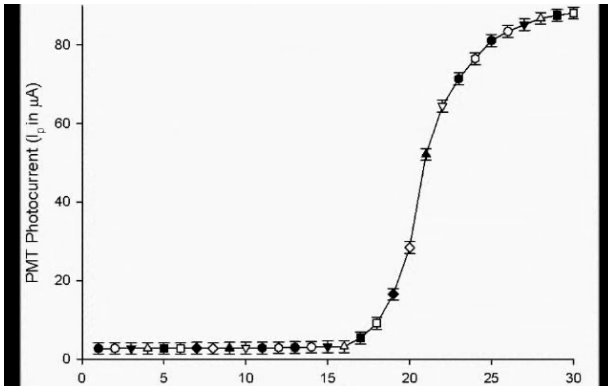
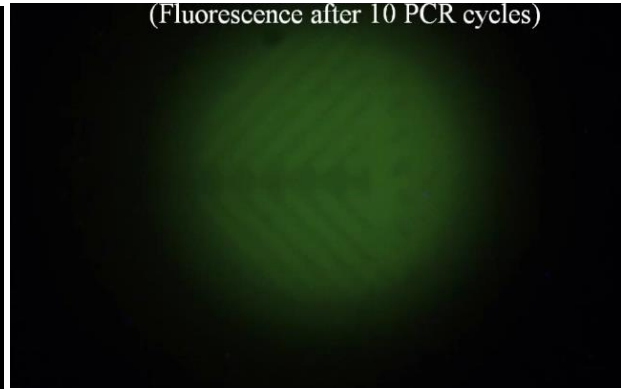
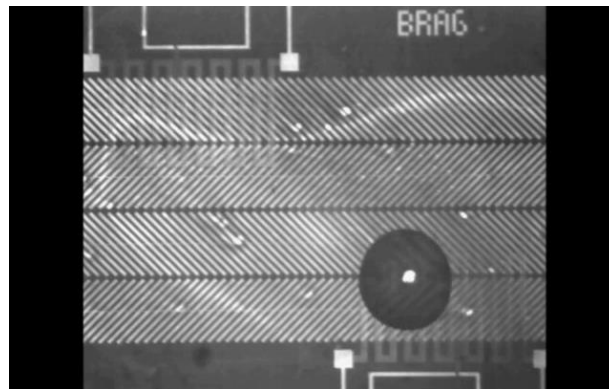
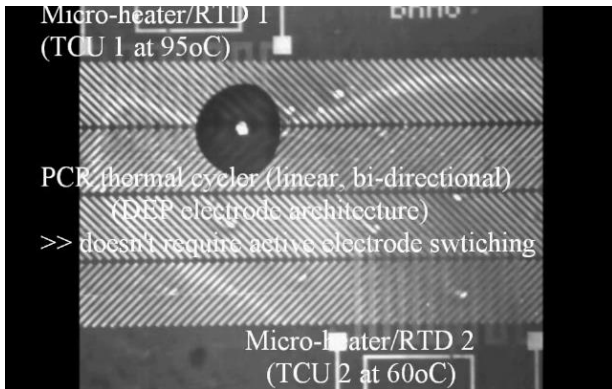
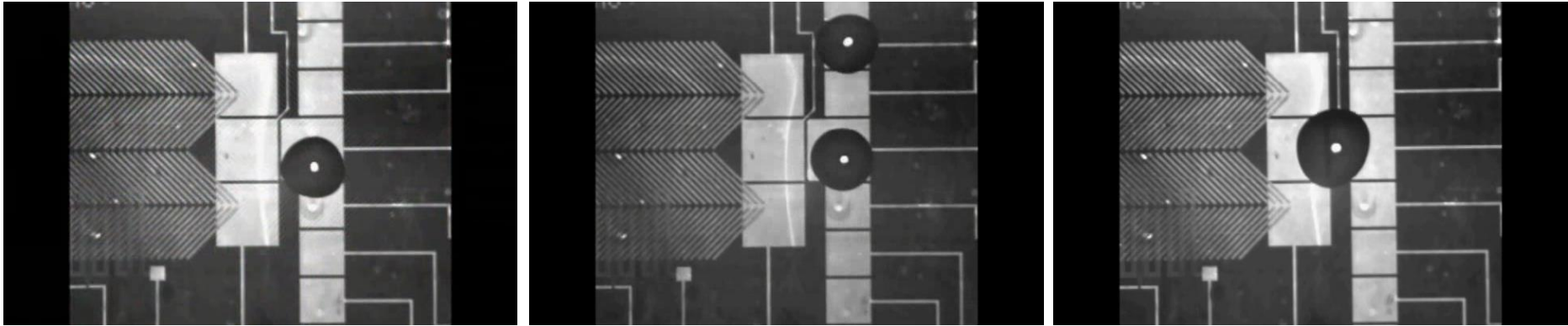
- RNA to cDNA (RT reaction; 50°C)
- Enzyme activation (95°C)
- RT-PCR Amplification cycles
  - Denaturation (95°C)
  - Annealing and read-out (60°C)

Off-chip sample preparation  
(On-chip extraction has now been validated)

On-chip qRT-PCR



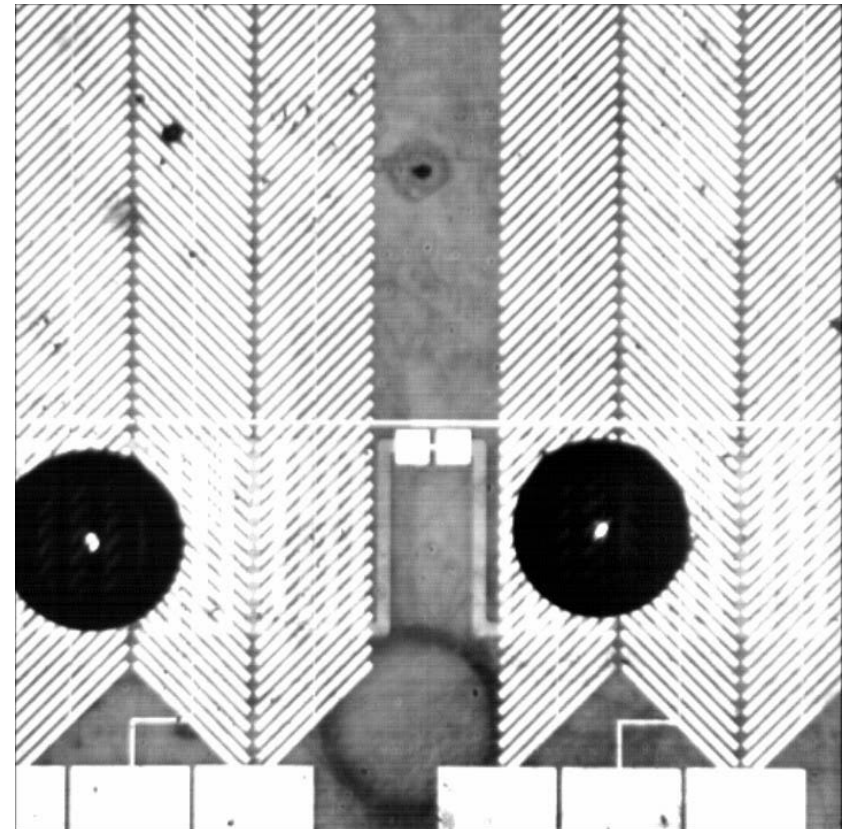
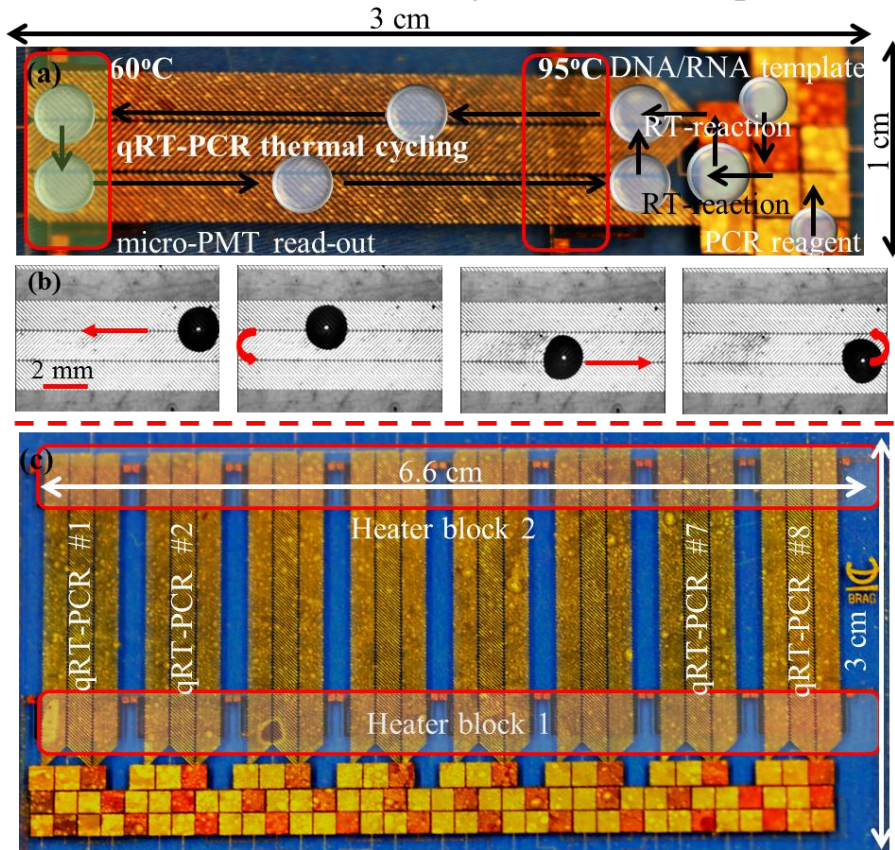
# qRT-PCR Detection on DMF device





# Multiplexed qRT-PCR Detection Of Influenza Viruses\*

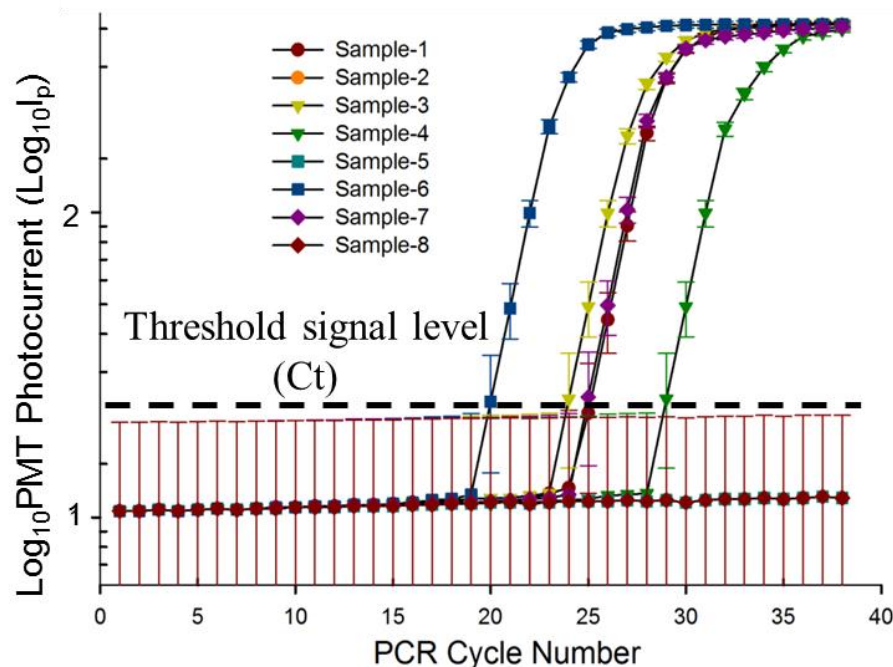
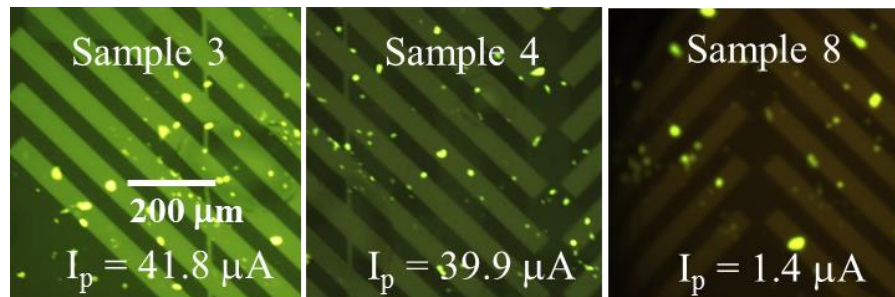
- PCR reaction volume: 10  $\mu$ L
- Integration of droplet electro-actuation and resistive heater blocks for up to eight parallel, automated qPCR assays
- Reaction time: ~ 40 minutes for 36 cycles
- PMT scanning set-up for extraction of quantitative curve during the multiplexed qPCR reactions
- Video illustrates two adjacent PCR droplets during a multiplexed qRT-PCR assay



# Multiplexed qRT-PCR Detection Of Influenza A Blind Panel\*

- Six sample Influenza A blind panel

Sample No.	Target	CDC-M Ct	pdm09 Ct	Chip Ct
1	FluA; pdm09	29.53	26.82	25
2	Resp neg	Neg	Neg	Neg
3	FluA; pdm09	29.85	25.71	24
4	FluA; pdm09	31.75	32.89	30
5	Resp neg	Neg	Neg	Neg
6	FluA; pdm09	24.12	21.02	20
(+ve control)	FluA; H3	~29	n/a	26
(-ve control)	-----	Neg	Neg	Neg

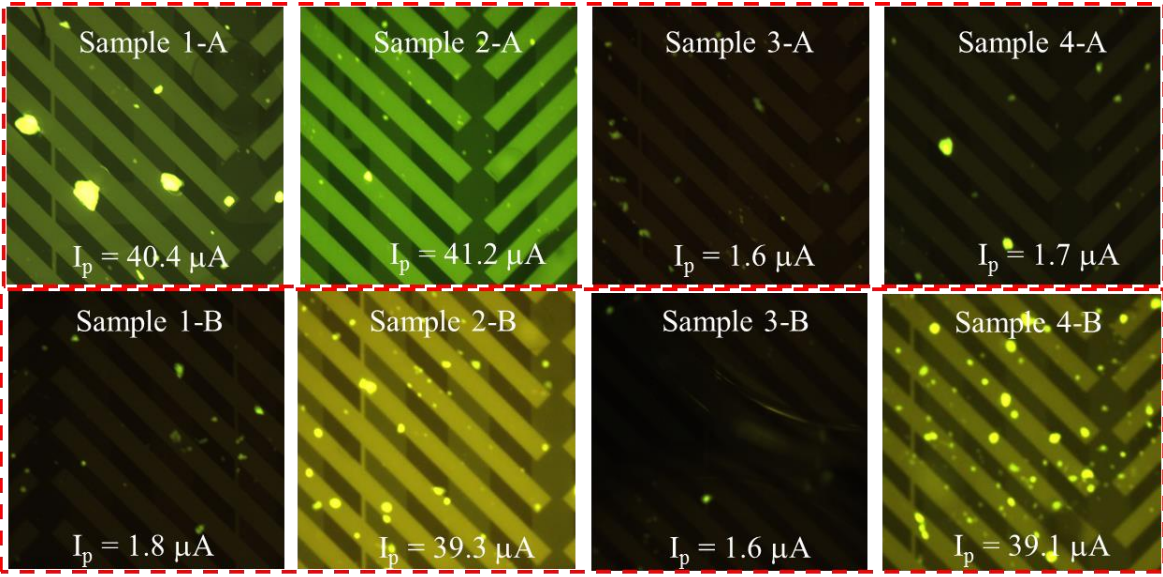


- Spatially multiplexed qRT-PCR micro-device was used to conduct the Influenza A blind panel test
- Real-time, continuous-mode PMT read-out for five Influenza A +ve samples and the -ve control sample.



# Multiplexed qRT-PCR Detection Of Influenza A, Influenza B Mixed Blind Panel\*

- Four sample Influenza A, Influenza B blind panel



**FAM<sup>TM</sup>**  
as Fluorophore  
for Influenza A

**VIC<sup>TM</sup>**  
as Fluorophore  
for Influenza B

Panel Sample No.	Sample Type	Target	CDC Ct	Chip Ct
1-A	Nasopharyngeal Swab	FluA	29.18	27
1-B	Nasopharyngeal Swab	FluB	Neg	Neg
2-A	Nasopharyngeal Swab	FluA	27.29	24
2-B	Nasopharyngeal Swab	FluB	27.6	25
3-A	Nasopharyngeal Swab	FluA	Neg	Neg
3-B	Nasopharyngeal Swab	FluB	Neg	Neg
4-A	Nasopharyngeal Swab	FluA	Neg	Neg
4-B	Nasopharyngeal Swab	FluB	30.42	28

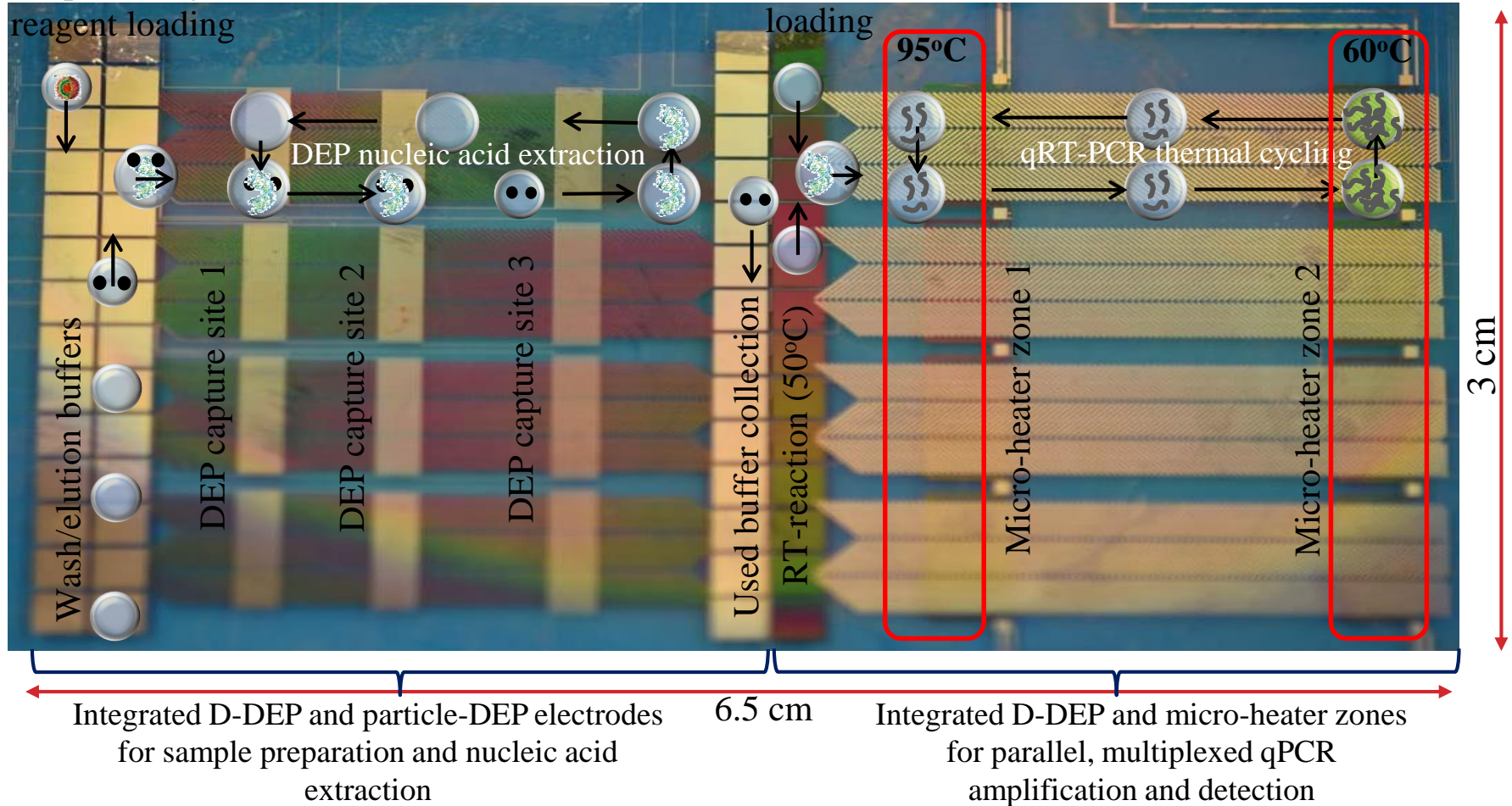
\*J. micromachines 2015

# Integrated Sample-to-detection Droplet Microfluidic Chip

Sample and lysis reagent loading

PCR reagent loading

$\mu$ PMT read-out



- Panel of clinical samples (up to four samples) can be prepared;
- Whole nucleic acid from the samples extracted and purified;
- Parallel, RT-PCR amplification and quantification of pathogenic RNA/DNA;
- Sample-to-detection achieved in up to four hours; in four clinical samples

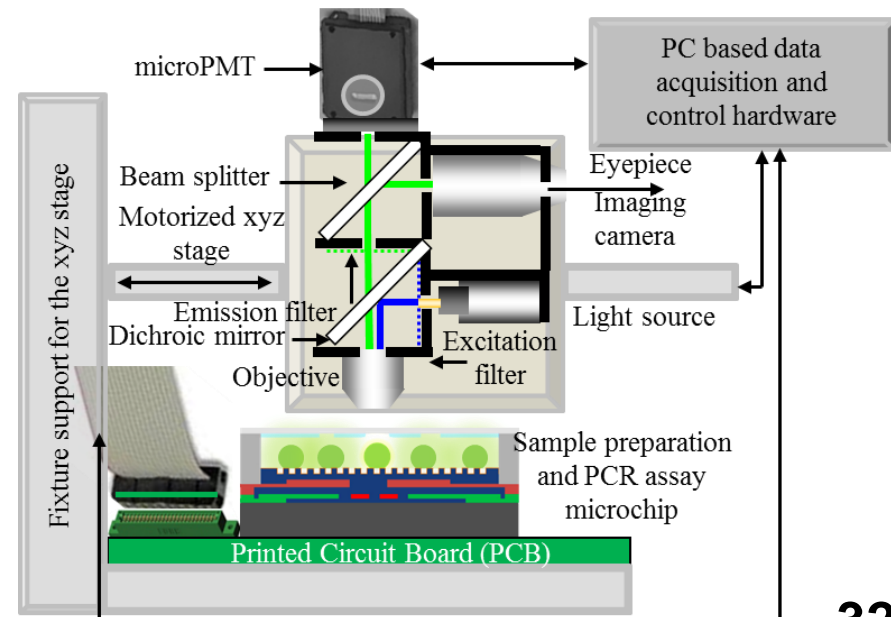
# Current Capabilities and **Future developments**

- Nano-textured micro-devices for parallel, multiplexed RT-PCR assays
- Nano-textured micro-devices for electro-chemical disruption/lysis of viral and bacterial pathogens in clinical samples
- Integrated micro-device for rapid, parallel sample preparation (nucleic acid extraction, purification) and multiplexed RT-PCR amplification
- A miniaturized fluidic microsystem platform for sample-to-detection assay
- Polymer MFD for disposable micro-devices (lower cost platform)
- Disposable MFD for other pathogenic targets (Dengue, Zika, West Nile )
- **Extending the microsystem towards post-amplification applications (sub-typing, sequencing, deep sequencing)**

## **Other Capabilities:**

- Vesicles for drug delivery, cell-on-chip;
- Multiplexed combinatorial bio-assays;
- Molecular beacon™ based DNA quantification and detection assay;

Schematic of the proposed integrated microsystem platform for point-of-care diagnostic application





# Research Team

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Thank  
you