

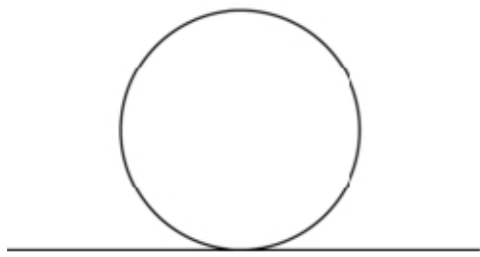
Progress toward the phase diagram of QCD from the lattice

Philippe de Forcrand
ETH Zürich & CERN

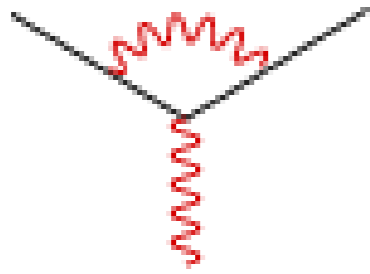
2nd Int. Workshop, Tirana, Albania, Sept. 26, 2016

ETH

Eidgenössische Technische Hochschule Zürich
Swiss Federal Institute of Technology Zurich

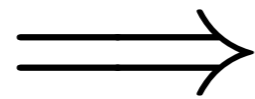


ϕ^4 : mass diverges with cutoff ?



QED: e diverges with cutoff ?

changes with gauge ?



Renormalization

The lattice is the only known gauge-invariant,
non-perturbative regulator of QFT

1974: invented by Ken Wilson

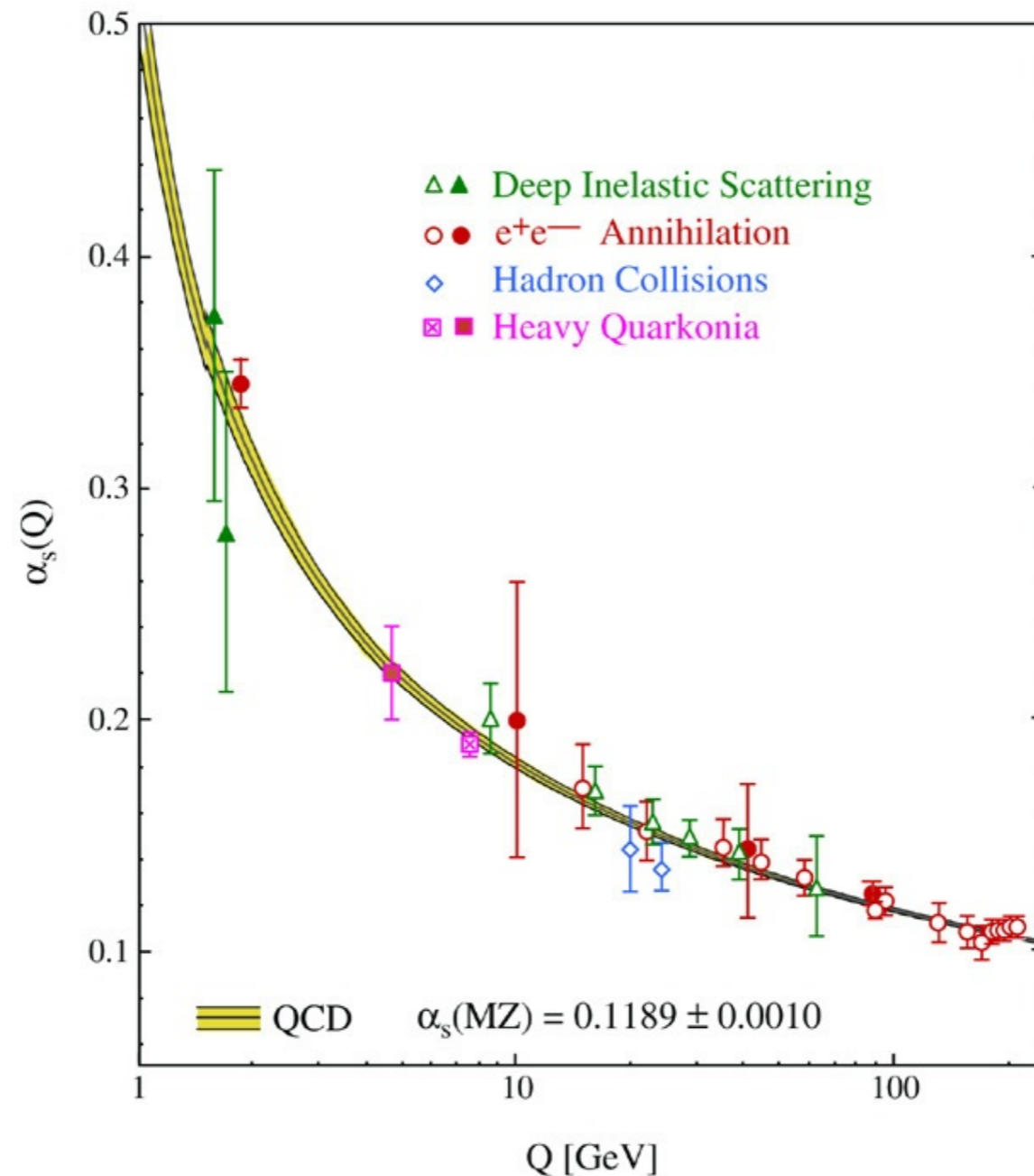


1980: first Yang-Mills simulation by Mike Creutz



Basic properties of QCD

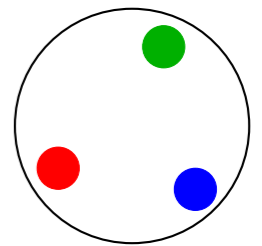
- QCD describes properties of *quarks* (cf. electrons – fermions) interacting by exchanging *gluons* (cf. photons – bosons)
- QCD is *asymptotically free*: weaker interaction at higher energy



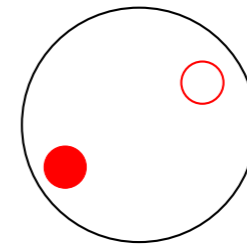
The flip side of asymptotic freedom: “infrared slavery”

- Strong coupling at low energy \rightarrow non-perturbative
- Quarks are **confined** into color-neutral (color singlet) **bound-states** (**hadrons**):

qqq **baryons**: proton & neutron (ordinary matter), ...



$q\bar{q}$ **mesons**: pion (lightest), kaon, rho, ...

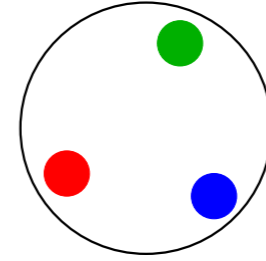


Exotics: glueballs, tetraquarks $qq\bar{q}\bar{q}$, pentaquarks $qqqq\bar{q}$, etc...

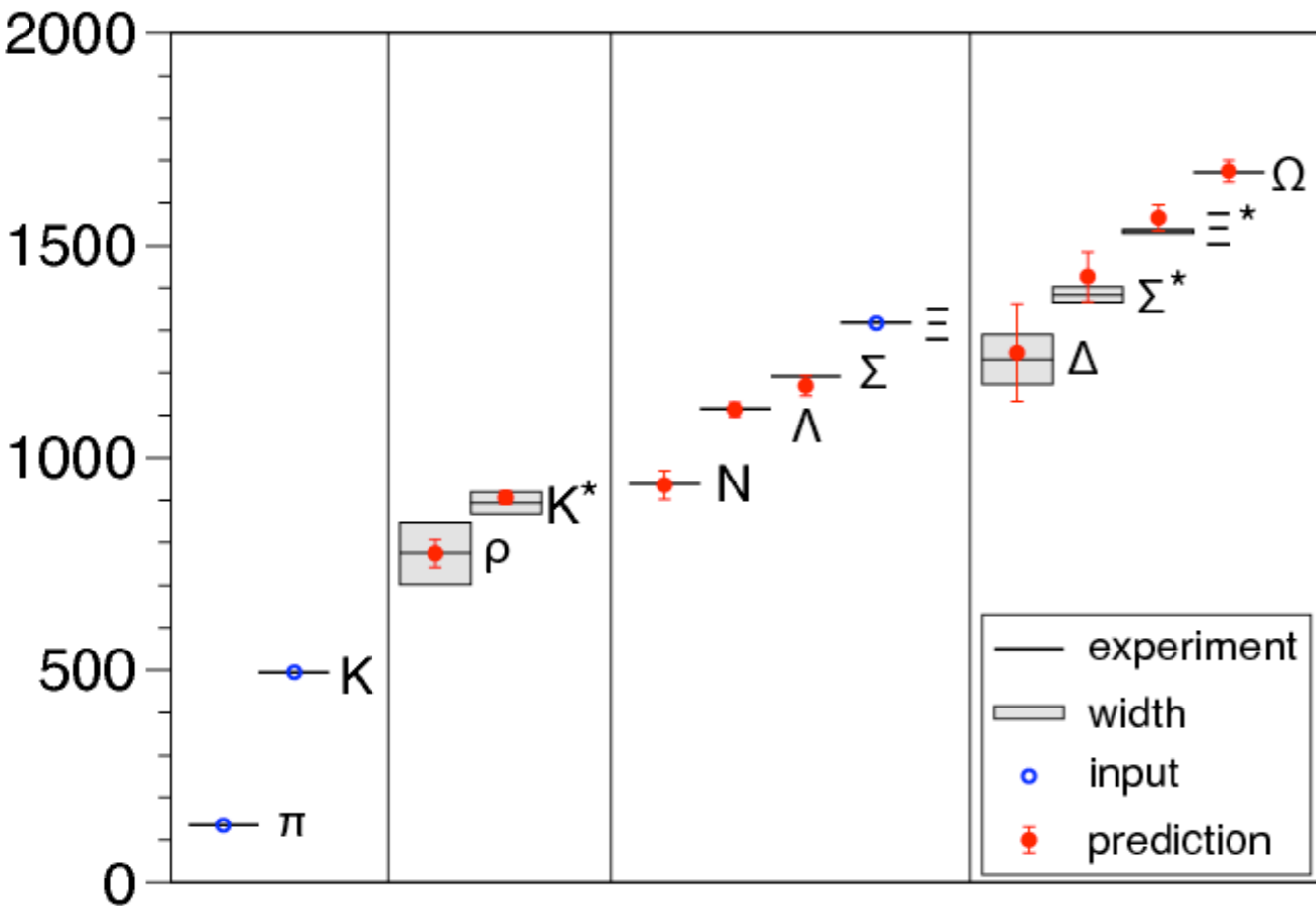
In principle, all calculable by **Lattice QCD simulations**

Scope of lattice QCD simulations: Physics of color singlets

- * “One-body” physics: confinement
hadron masses
form factors, etc..

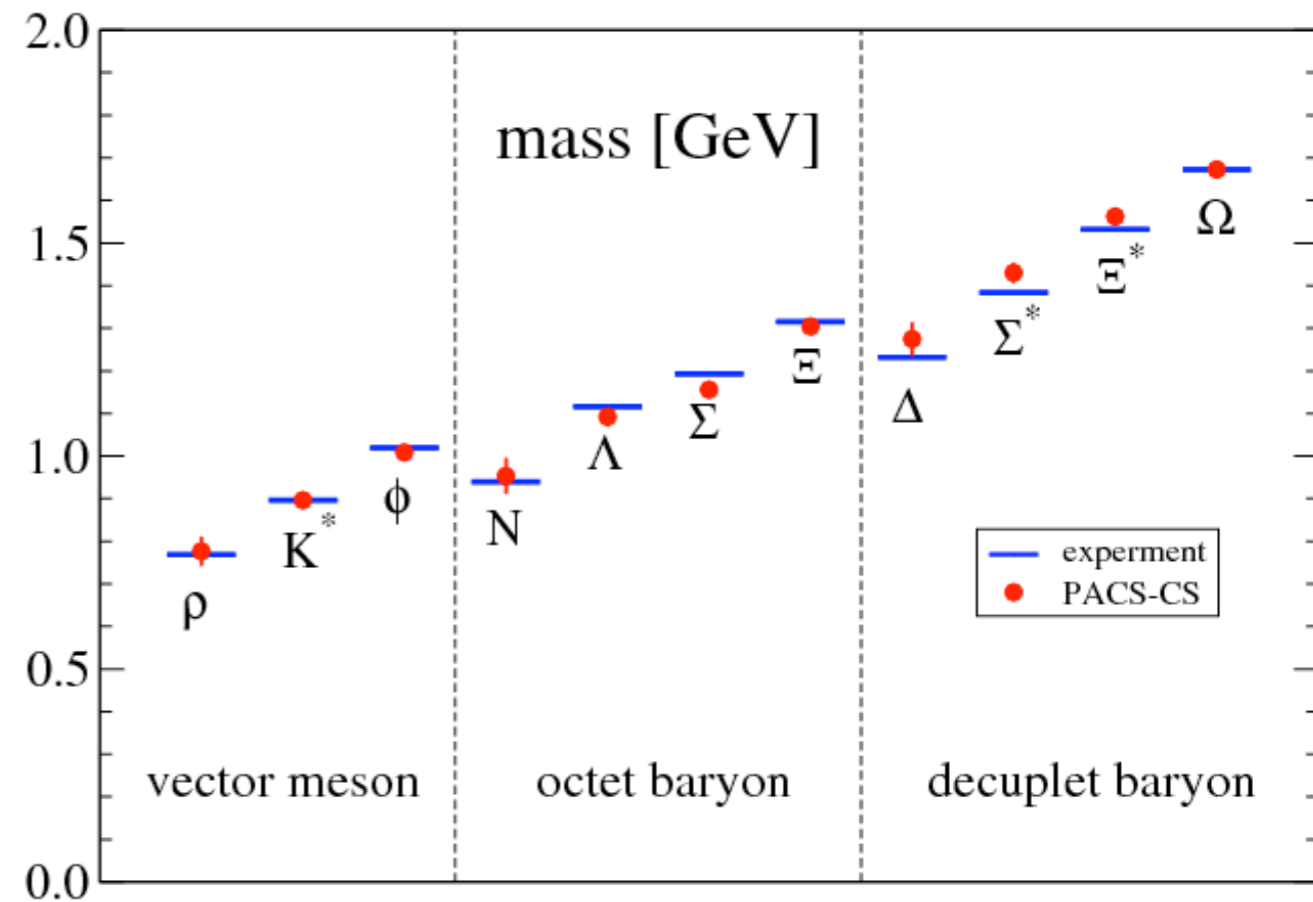


Example: hadron masses



BMW collaboration

[arXiv:0906.3599](https://arxiv.org/abs/0906.3599) \rightarrow Science



PACS-CS collaboration

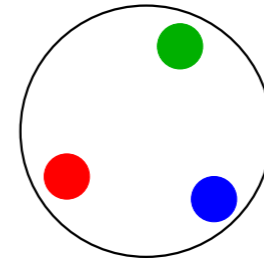
[arXiv:0807.1661](https://arxiv.org/abs/0807.1661)

Follow-up: neutron-proton mass diff.

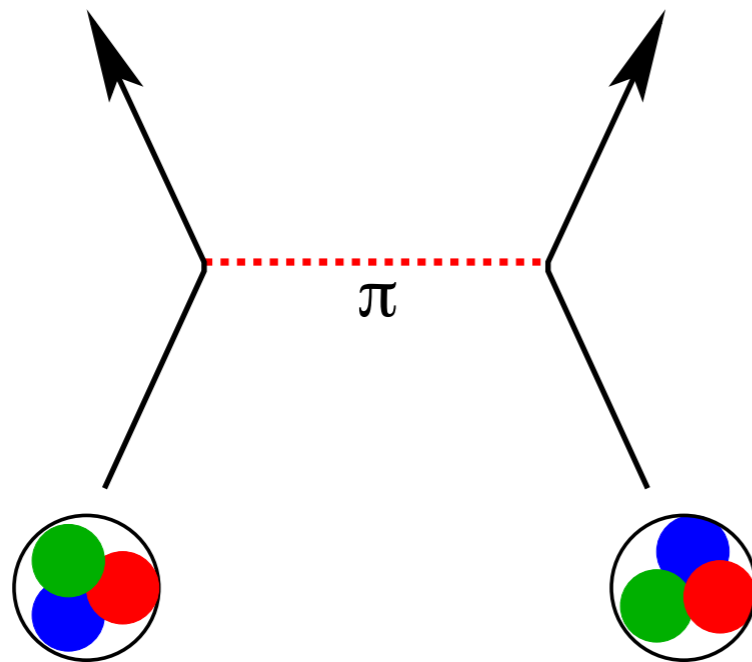
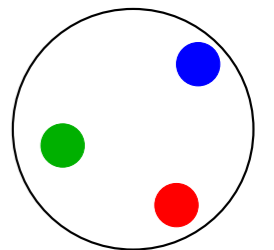
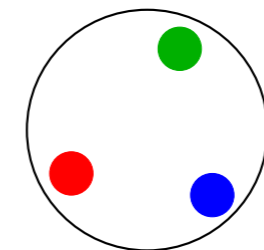
[arXiv:1406.4088](https://arxiv.org/abs/1406.4088) \rightarrow Science

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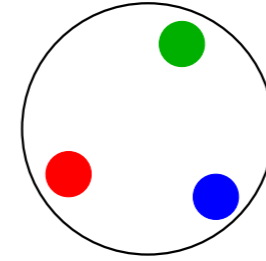
- ** “Two-body” physics: nuclear interactions
pioneers Hatsuda et al, Savage et al



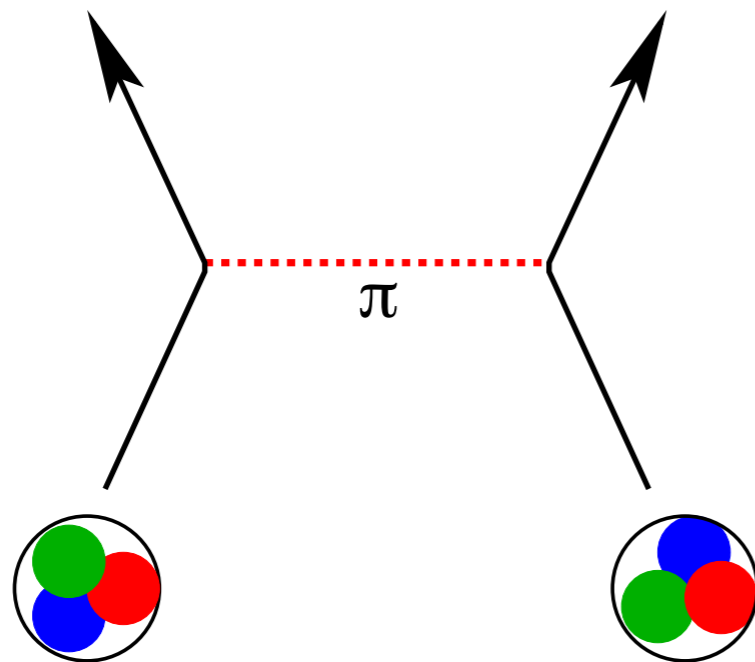
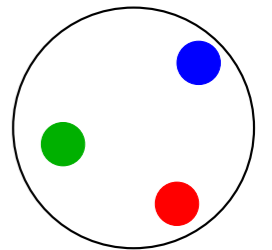
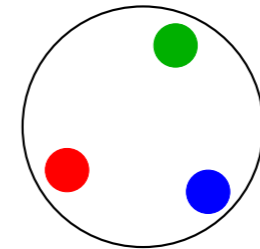
hard-core
+
pion exchange?

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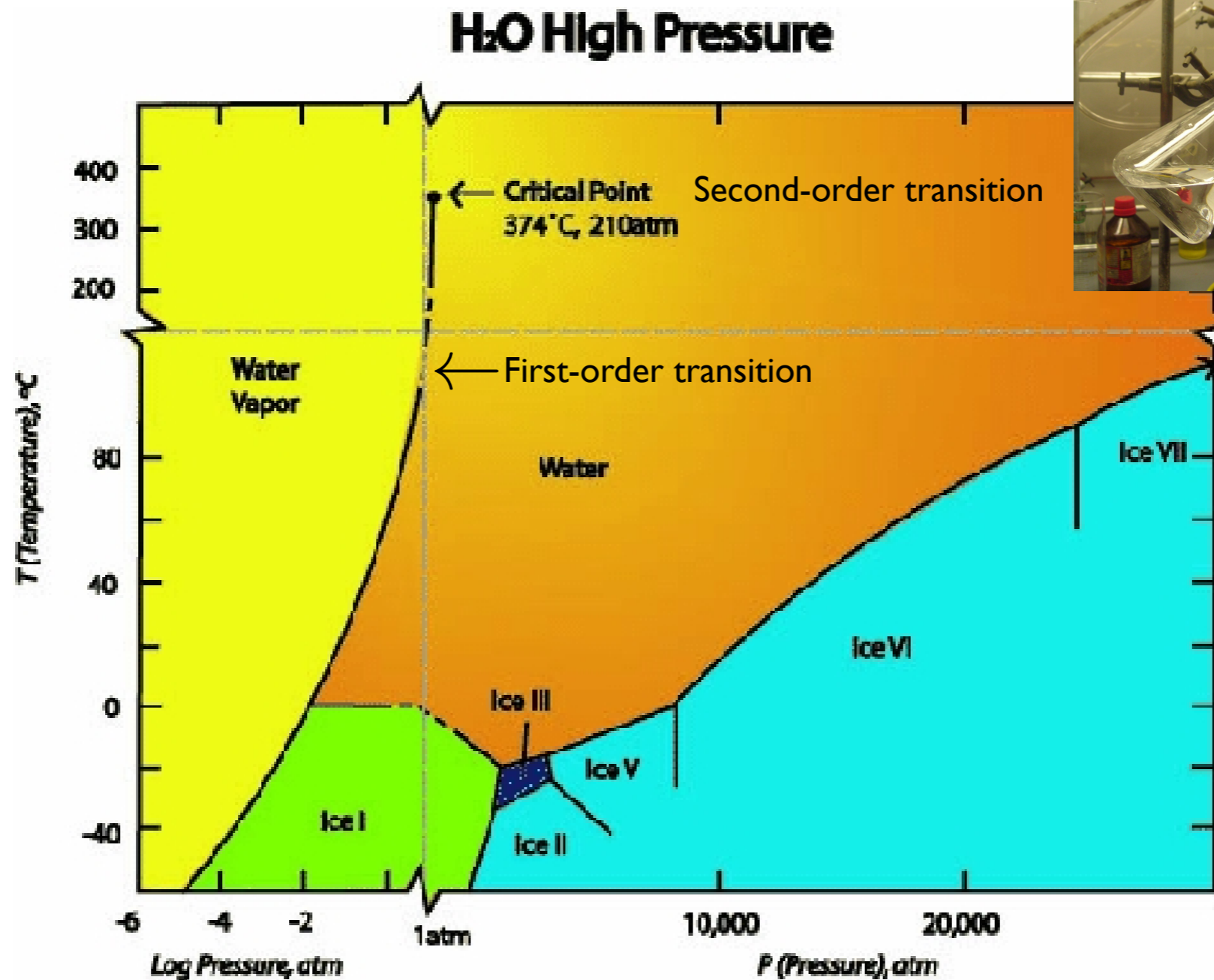
hard-core
+
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- *** Many-[composite]-body physics: nuclear matter
phase diagram vs (temperature T , density $\leftrightarrow \mu_B$)

Motivation

What happens to matter
when it is heated and/or
compressed?

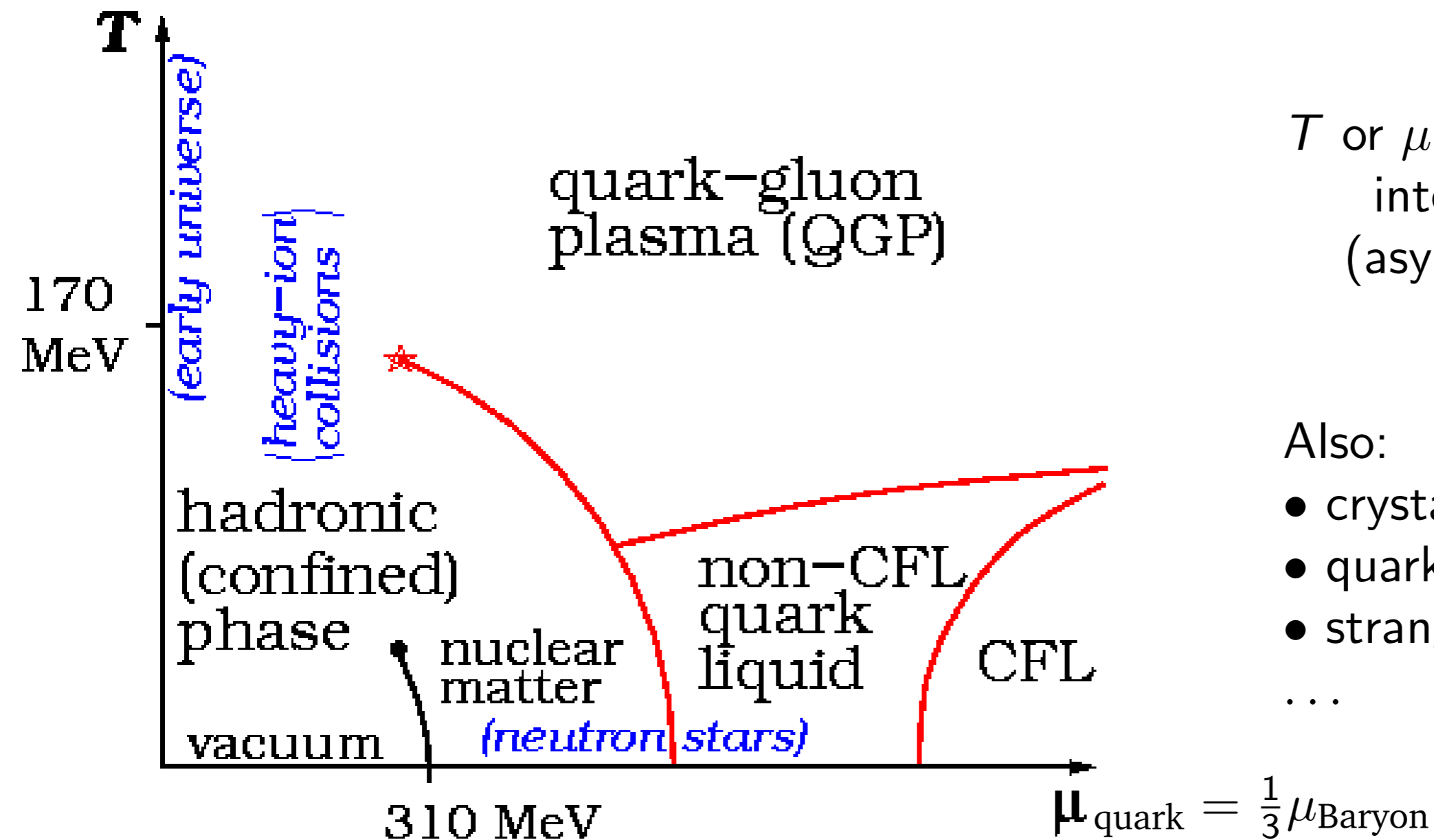
Water changes its state when heated or compressed



critical opalescence

What happens to quarks and gluons when heated or compressed?

The wonderland phase diagram of QCD from Wikipedia



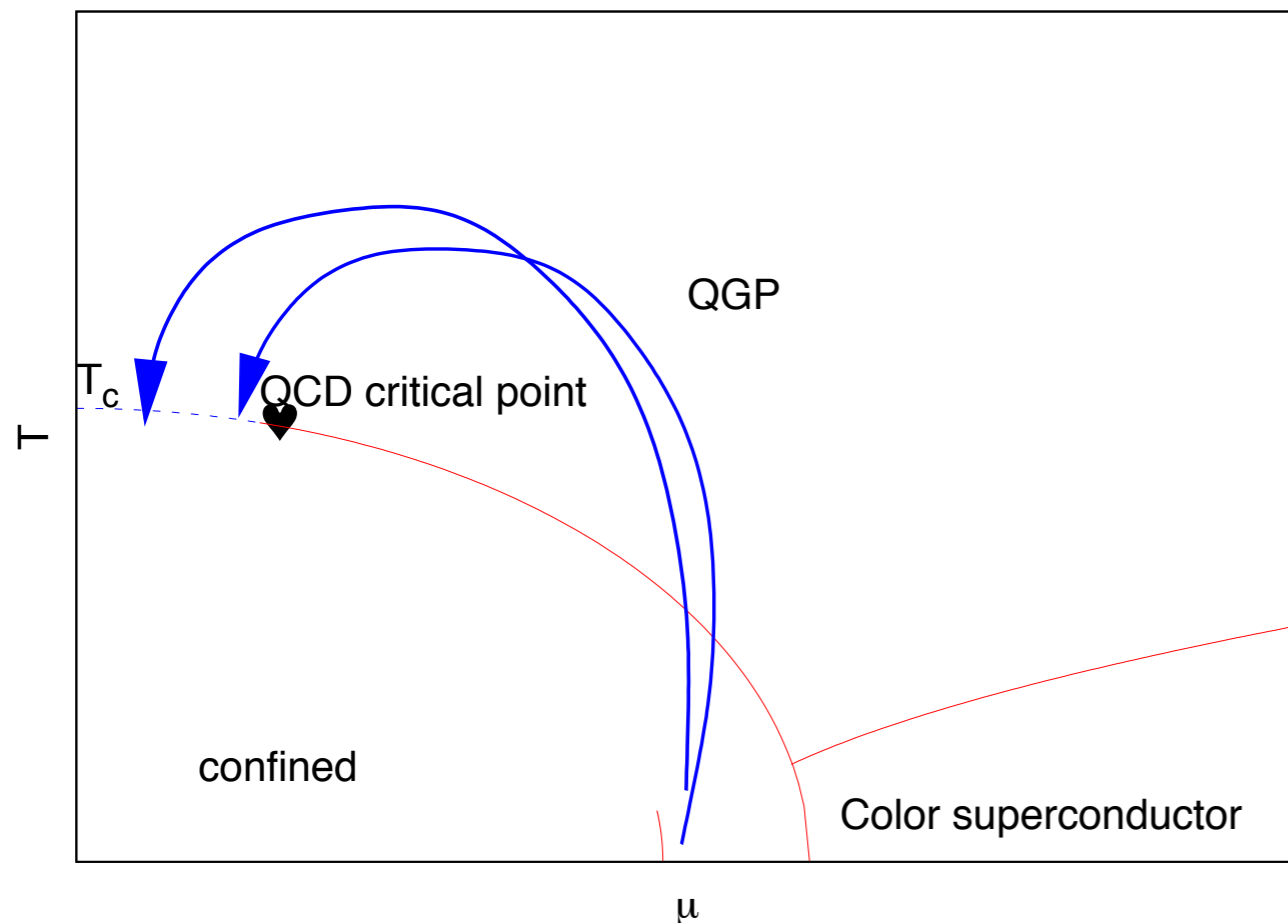
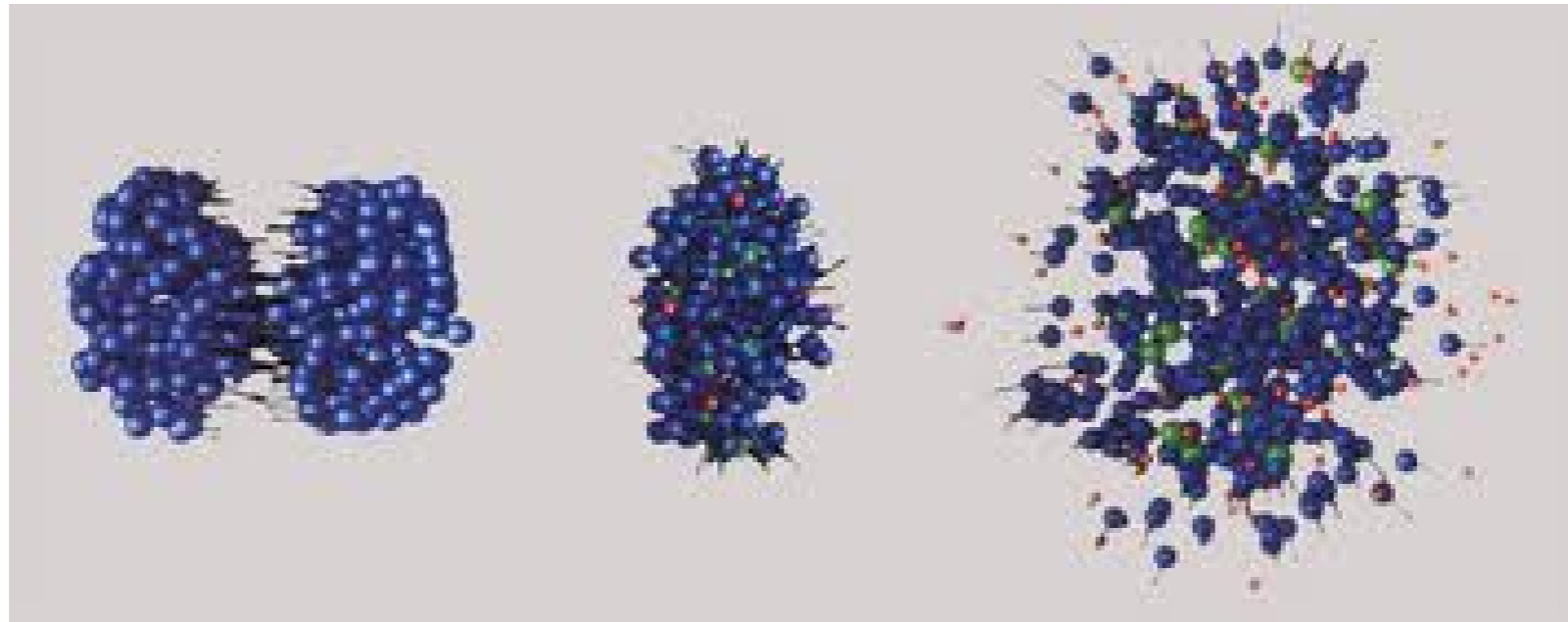
T or $\mu \rightarrow \infty$:
interaction weak
(asymptotic freedom)

Also:

- crystal phase(s)
- quarkyonic phase
- strangelets
- ...

Caveat: everything in red is a conjecture

Heavy-ion collisions



Knobs to turn:

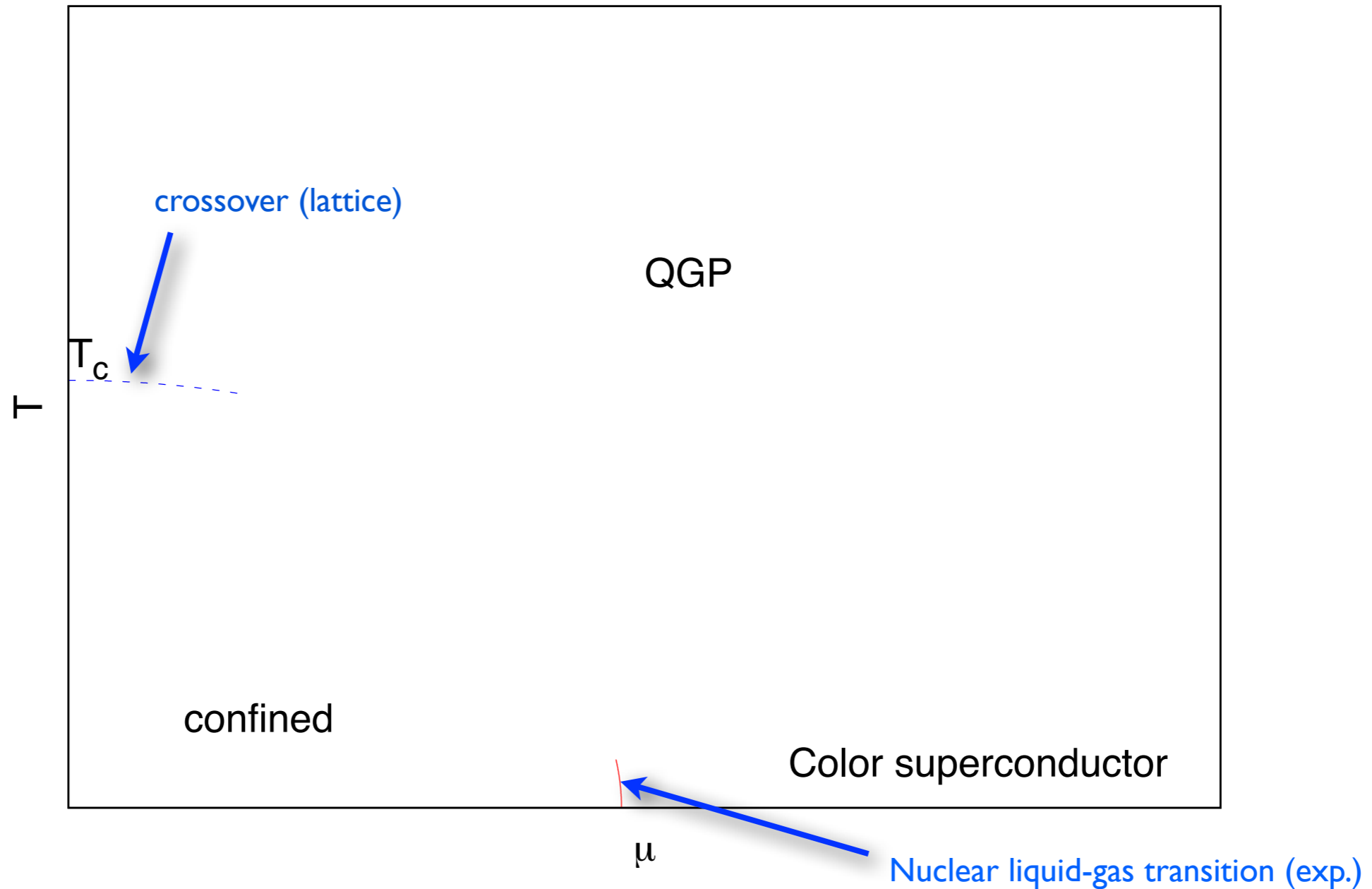
- atomic number of ions
- collision energy \sqrt{s}

So far, **no sign of QCD critical point**
(esp. RHIC beam energy scan)

“critical opalescence” ?

Finite μ : what is known?

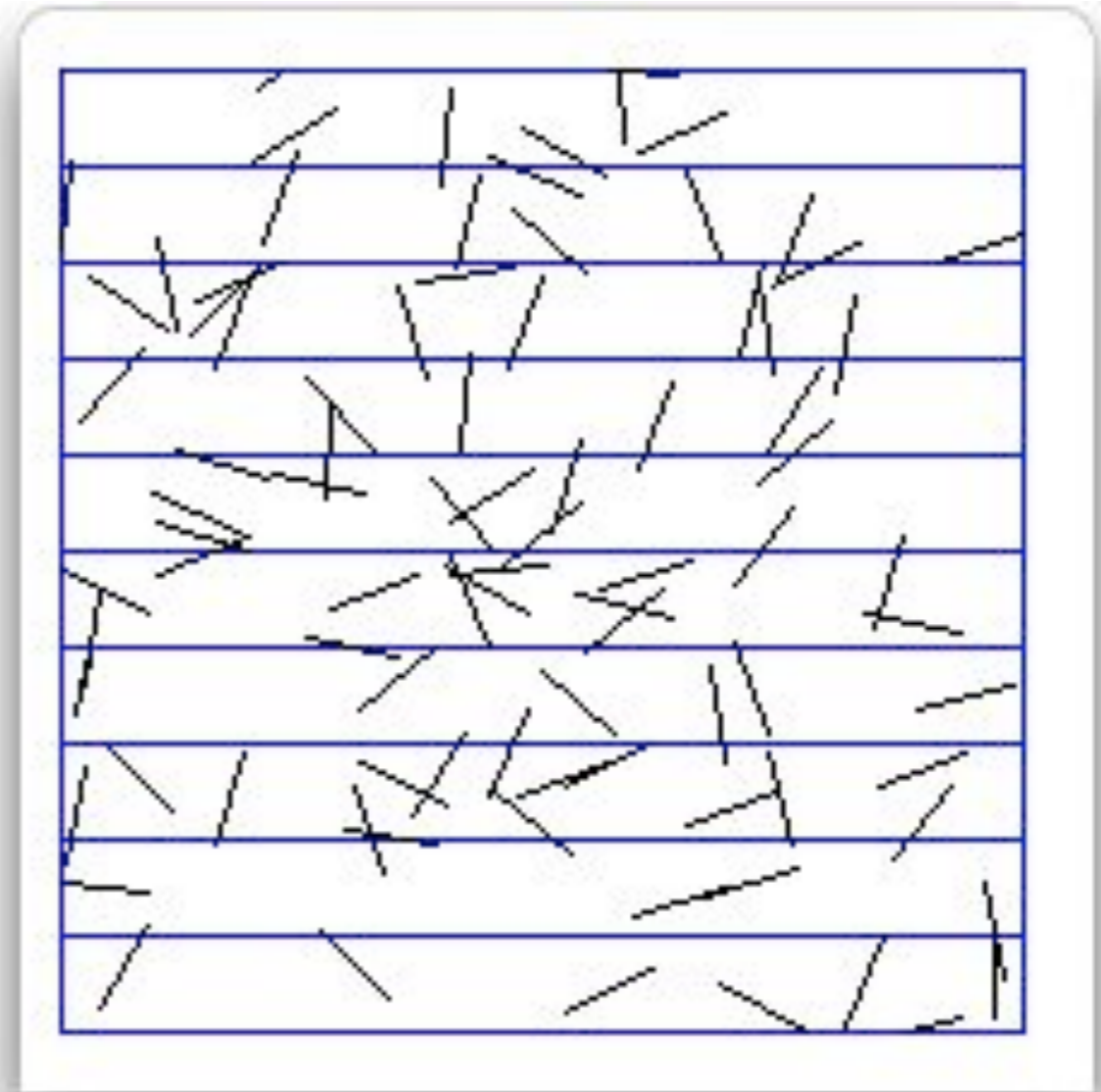
Minimal, **possible** phase diagram



Monte Carlo?

sign problem *as soon as $\mu \neq 0$*

The first Monte Carlo experiment (1777)



Probability of intersection: $\frac{2}{\pi}$

The extraordinary efficiency of Monte Carlo

Typical: $Z = \sum_{\text{states}} \exp[-S(\text{state})]$; $\langle W \rangle = \frac{1}{Z} \sum_{\text{states}} W(\text{state}) \exp[-S(\text{state})]$

Number of states $\sim \exp(\text{volume } V)$

Monte Carlo: approximate Z by random subset of n states

Law of large numbers \rightarrow error $\sim n^{-1/2} \forall V$

How to sample $Z = \sum_{\text{states}} \exp[-S(\text{state})]$?

- Random sampling: Pick states with *uniform* prob., give them weight $\exp(-S)$
- **Importance sampling**: Pick states with prob. $\exp(-S)$, give them *uniform* weight

Metropolis et al, 1953

Monte Carlo: no pain, no gain...

Monte Carlo highly efficient: *importance sampling* $\text{Prob}(\text{conf}) \propto \exp[-S(\text{conf})]$

- But all low-hanging fruits have been picked by now

- Further progress requires tackling the “sign problem”:

$$\exists \text{ conf s.t. "Boltzmann weight" } \exp[-S(\text{conf})] \notin \mathbb{R}_{\geq 0}$$

No probabilistic interpretation — Monte Carlo impossible??

- Examples:

- real-time quantum evolution:

weight in path integral $\propto \exp(-\frac{i}{\hbar} Ht)$ \longrightarrow phase cancellations

- Hubbard model:

repulsion $Un_{\uparrow}n_{\downarrow}$ $\xrightarrow{\text{Hubbard-Stratonovich}}$ $\det_{\uparrow} \det_{\downarrow}$

complex except at half-filling (additional symmetry)

- QCD at non-zero density / chemical potential:

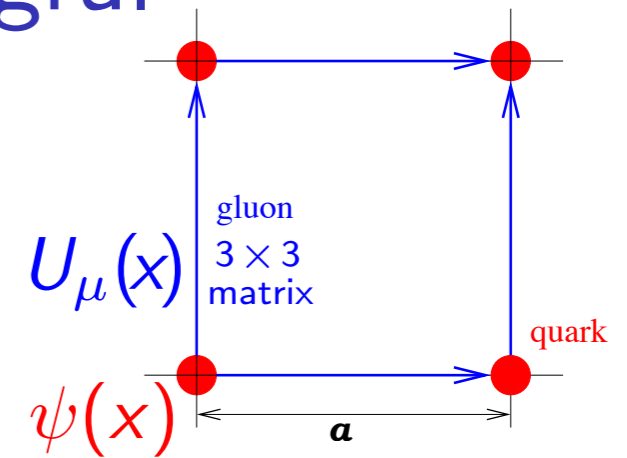
integrate out the fermions $\det(\not{D} + \mu\gamma_0)^2$ ($N_f = 2$)

complex unless $\mu = 0$ or pure imaginary (additional symmetry)

Lattice QCD: Euclidean path integral

space + imag. time \rightarrow 4d hypercubic grid:

$$Z = \int \mathcal{D}U \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{-S_E[\{U, \bar{\psi}, \psi\}]}$$



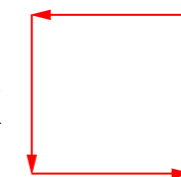
- Discretized action S_E :

- $\rightarrow \bar{\psi}(x) U_\mu(x) \psi(x + \hat{\mu}) + h.c.,$

Dirac operator
 $\bar{\psi} \mathcal{D} \psi$

- $\rightarrow \beta \text{ReTr} U_P, U_P$ plaquette matrix

$$a \rightarrow 0 \Leftrightarrow \beta = \frac{6}{g_0^2} \rightarrow \infty$$



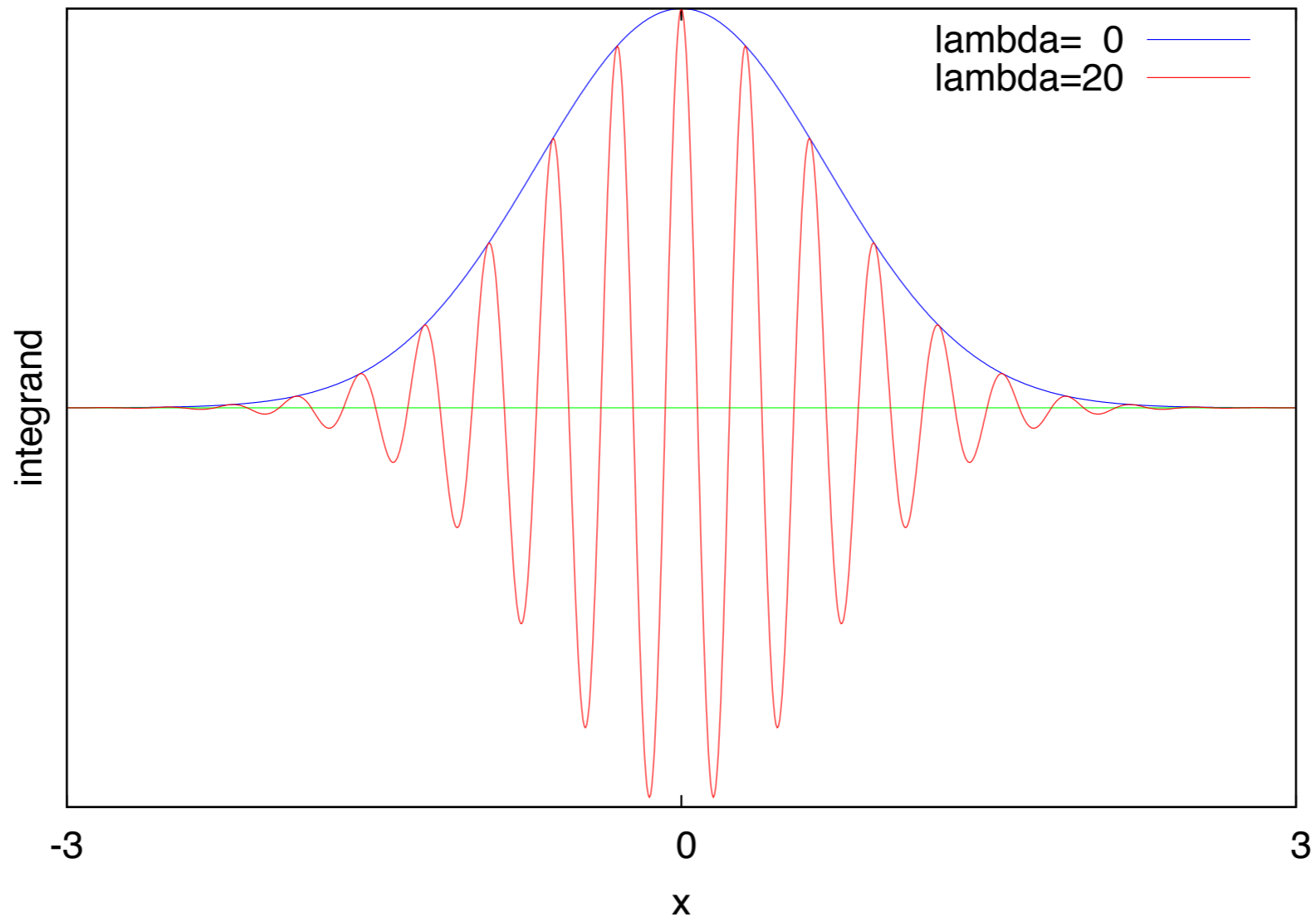
Yang-Mills action
 $\frac{1}{4} F_{\mu\nu} F_{\mu\nu}$

- **Monte Carlo:** with Grassmann variables $\psi(x)\psi(y) = -\psi(y)\psi(x)$??
Integrate out analytically (Gaussian) \rightarrow determinant *non-local*

$$\text{Prob}(\text{config}\{U\}) \propto \det^2 \mathcal{D}(\{U\}) e^{+\beta \sum_P \text{ReTr} U_P} \text{ real non-negative when } \mu = 0$$

Sampling oscillatory integrands

- Example: $Z(\lambda) = \int dx \exp(-x^2 + i\lambda x) = \int dx \exp(-x^2) \cos(\lambda x)$



- $Z(\lambda)/Z(0) = \exp(-\lambda^2/4)$: exponential cancellations
→ truncating deep in the tail **at $x \sim \lambda$** gives $\mathcal{O}(100\%)$ error
“Every x is important” \leftrightarrow **How to sample?**

Computational complexity of the sign pb

- How to study: $Z_\rho \equiv \int dx \rho(x)$, $\rho(x) \in \mathbf{R}$, with $\rho(x)$ sometimes negative ?

Reweighting: sample with $|\rho(x)|$, and “*put the sign in the observable*”:

$$\langle W \rangle \equiv \frac{\int dx W(x)\rho(x)}{\int dx \rho(x)} = \frac{\int dx [W(x)\text{sign}(\rho(x))] |\rho(x)|}{\int dx \text{sign}(\rho(x)) |\rho(x)|} = \boxed{\frac{\langle W\text{sign}(\rho) \rangle_{|\rho|}}{\langle \text{sign}(\rho) \rangle_{|\rho|}}}$$

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- $\langle \text{sign}(\rho) \rangle_{|\rho|} = \frac{\int dx \text{sign}(\rho(x)) |\rho(x)|}{\int dx |\rho(x)|} = \boxed{\frac{Z_\rho}{Z_{|\rho|}}} = \exp\left(-\frac{V}{T} \underbrace{\Delta f(\mu^2, T)}_{\text{diff. free energy dens.}}\right)$, exponentially small

Each meas. of $\text{sign}(\rho)$ gives value $\pm 1 \implies$ statistical error $\approx \frac{1}{\sqrt{\# \text{ meas.}}}$

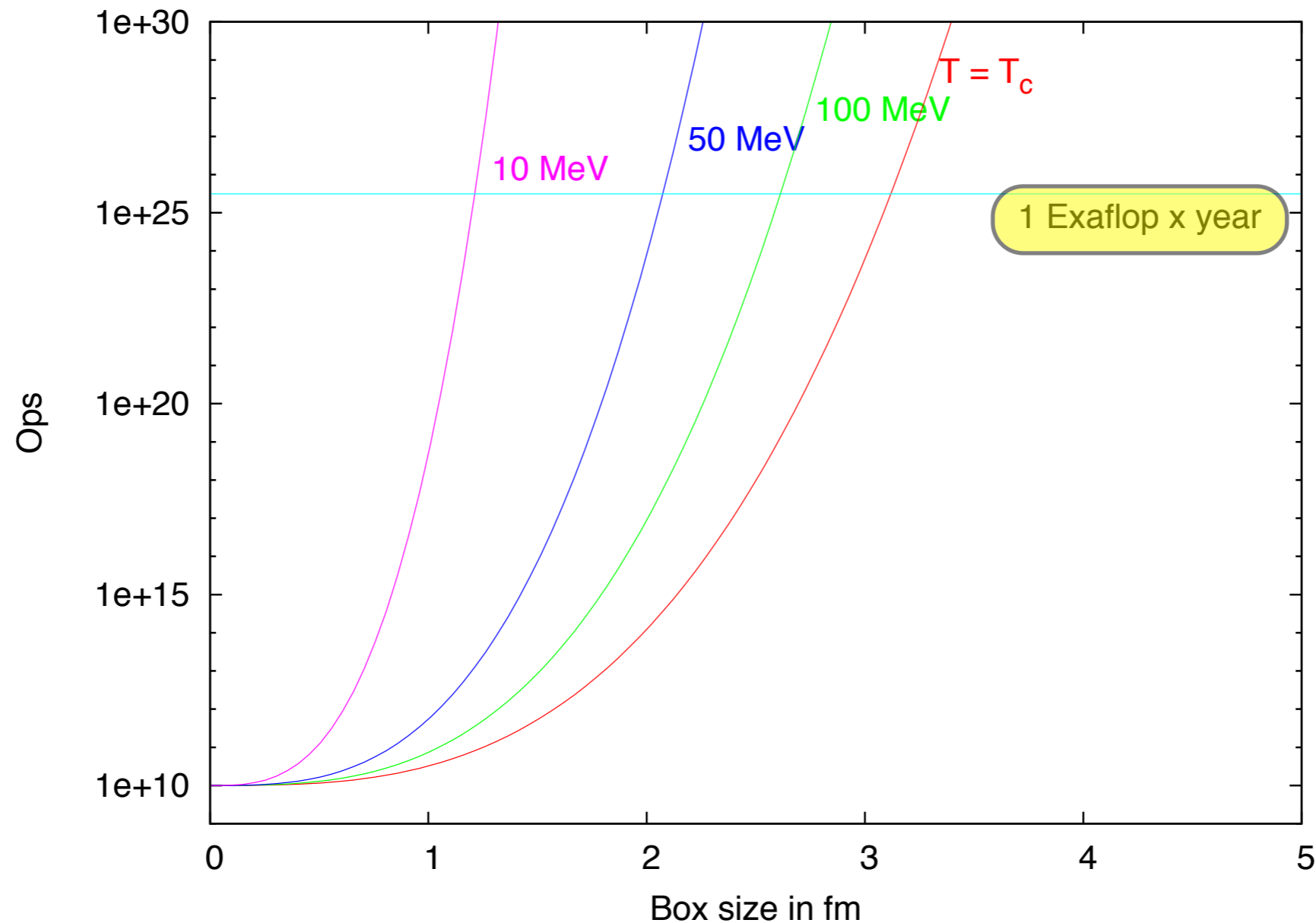
Constant relative accuracy \implies **need statistics $\propto \exp(+2\frac{V}{T} \Delta f)$**

Large V , low T **inaccessible**: signal/noise ratio degrades **exponentially**

“Figure of merit” Δf : measures severity of sign pb.

The CPU effort grows *exponentially* with L^3/T

CPU effort to study matter at nuclear density in a box of given size
Give or take a few powers of 10...



- Crudely** based on:
- 1 sec on 1GF laptop for 2^4 lattice, $a = 0.1$ fm
 - effort $\propto \exp\left(2 \frac{V}{T} \rho_{\text{nucl.}} \underbrace{(m_B - 3/2 m_\pi)}_{\Delta f}\right)$

Frogs and birds



- Frogs: *acknowledge* the sign problem
 - explore region of small $\frac{\mu}{T}$ where sign pb is mild enough
 - find tricks to enlarge this region

Taylor expansion, imaginary μ , strong coupling expansion,...



- Birds: *solve* the sign pb
 - solve QCD ?
 - find “QCD-ersatz” which can be made sign-pb free

Complex Langevin, Lefschetz thimble – fermion bags, QC_2D , isospin μ ,...

- *Think different*: build an analog QCD simulator with cold atoms

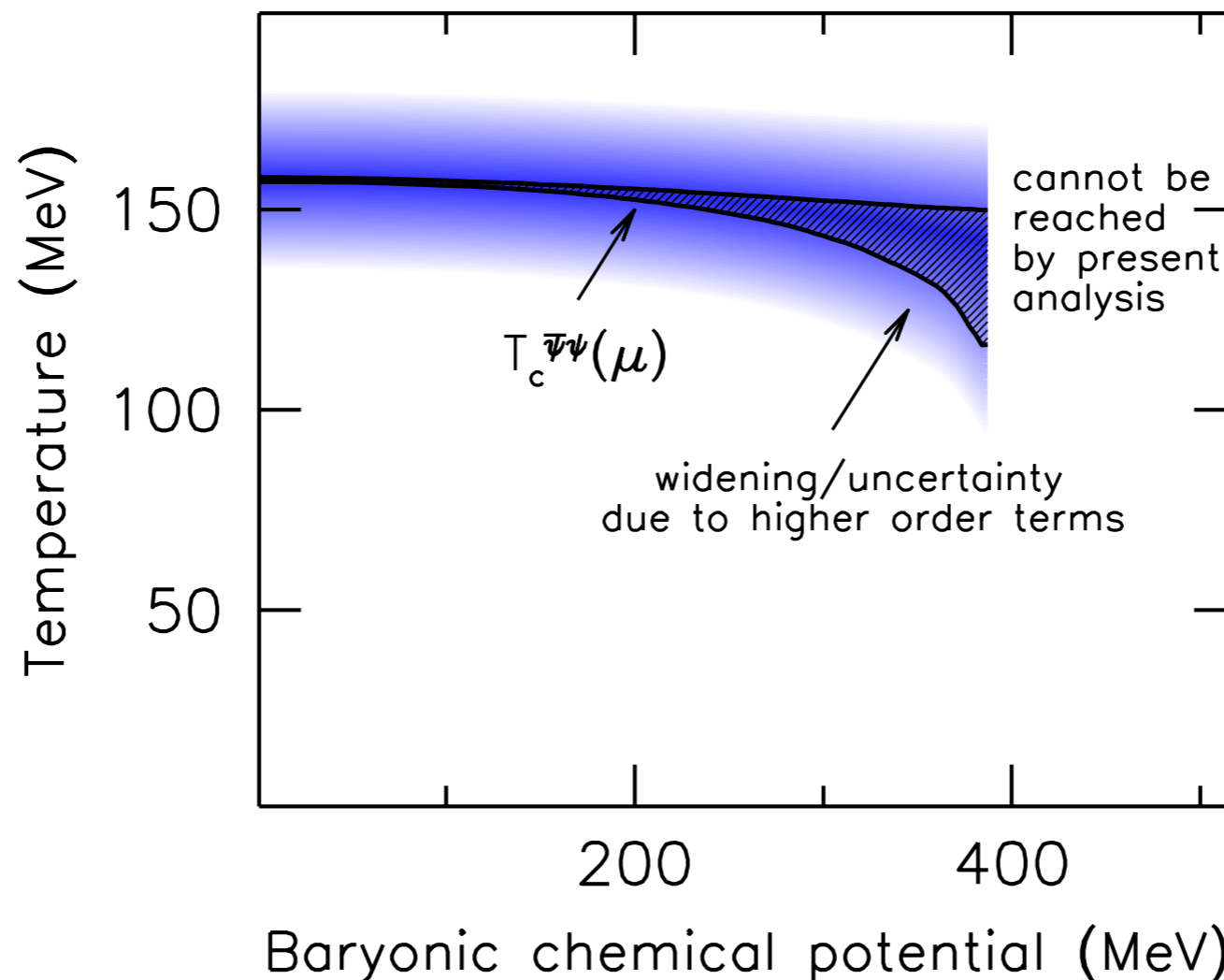
First frog steps: $\frac{\mu}{T} \lesssim 1$

Approximate $\langle W \rangle(\frac{\mu}{T})$ by truncated Taylor expansion: $\sum_{k=0}^n c_k(T) (\frac{\mu}{T})^k$

- Measure $c_k, k = 0, \dots, n$ in a **sign-pb-free $\mu = 0$ simulation**
- Cheaper variant: fit $c_k, k = 0, \dots, n$ to results of *imaginary μ* simulations

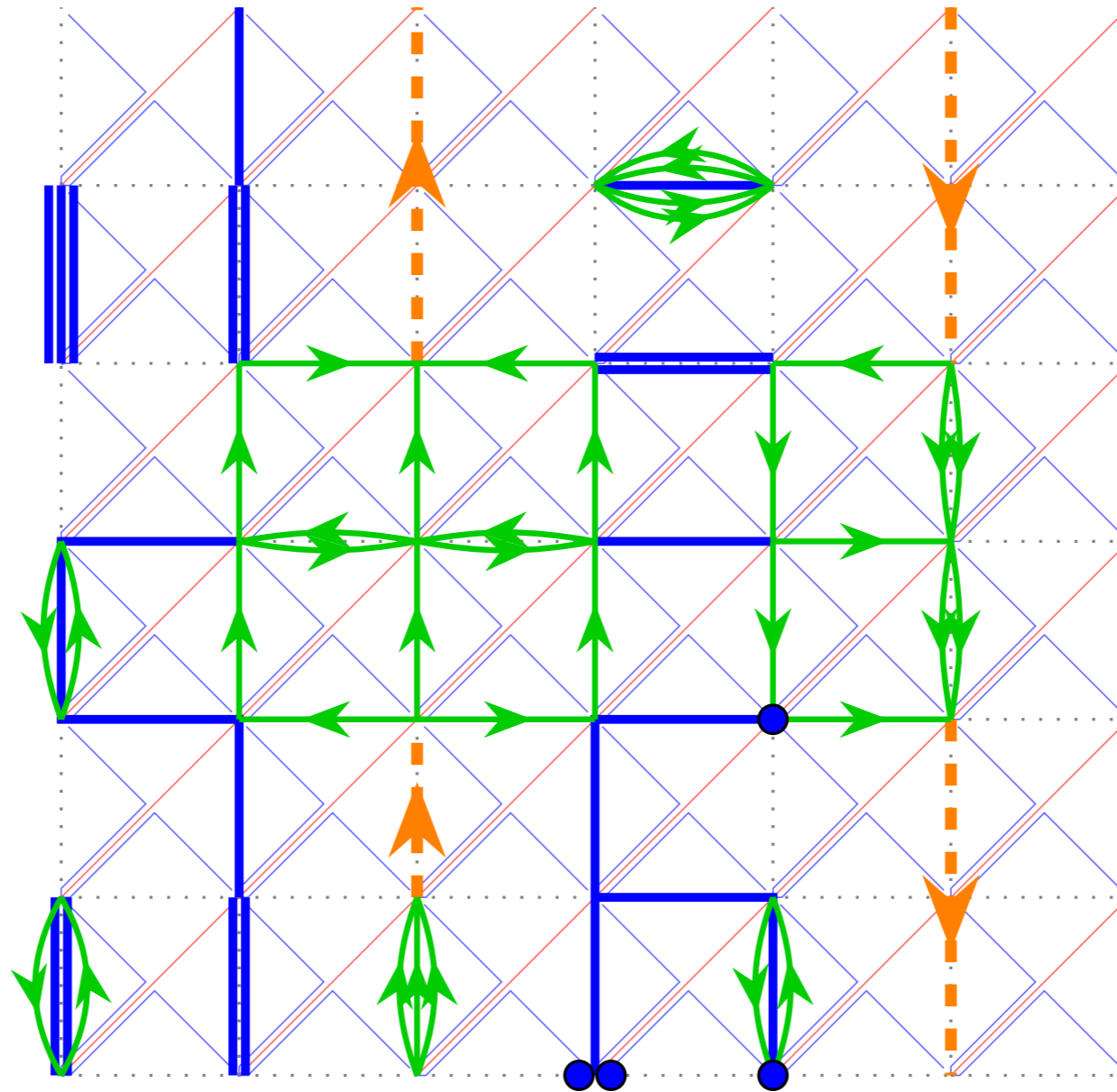
State of the art: [Fodor et al, 1507.07510](#)

Crossover temp.
versus chem. pot.



Crafty frog: “diagrammatic” Monte Carlo

QCD with graphs: why and how?



Exploit feature of QCD: *fermions* (quarks) & *bosons* (gluons), integrated sequentially

Motivation: how to make the sign problem milder?

- Severity of sign pb. is *representation dependent*:

Generically:
$$Z = \text{Tr} e^{-\beta H} = \text{Tr} \left[e^{-\frac{\beta}{N} H} \left(\sum |\psi\rangle\langle\psi| \right) e^{-\frac{\beta}{N} H} \left(\sum |\psi\rangle\langle\psi| \right) \cdots \right]$$

Any complete set $\{|\psi\rangle\}$ will do

If $\{|\psi\rangle\}$ form an **eigenbasis** of H , then $\langle\psi_k| e^{-\frac{\beta}{N} H} |\psi_l\rangle = e^{-\frac{\beta}{N} E_k} \delta_{kl} \geq 0 \rightarrow$ **no sign pb**

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QCD physical states are **color singlets** \rightarrow Monte Carlo on **colored** gluon links is **bad idea**

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Usual:

- integrate over quarks analytically $\rightarrow \det(\{U\})$
- Monte Carlo over gluon fields $\{U\}$

Reverse order:

- integrate over gluons $\{U\}$ analytically
- Monte Carlo over quark color singlets (hadrons)

- **Caveat:** must turn off **4-link coupling**  in $\beta \sum_P \text{ReTr} U_P$ by setting $\beta = 0$

$\beta = \frac{6}{g_0^2} = 0$: strong-coupling limit \longleftrightarrow continuum limit ($\beta \rightarrow \infty$)

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$$Z(\beta = 0) = \int \prod_x d\bar{\psi} d\psi \prod_{x,\nu} \left(\int dU_{x,\nu} e^{-\{\bar{\psi}_x U_{x,\nu} \psi_{x+\hat{\nu}} - h.c.\}} \right)$$

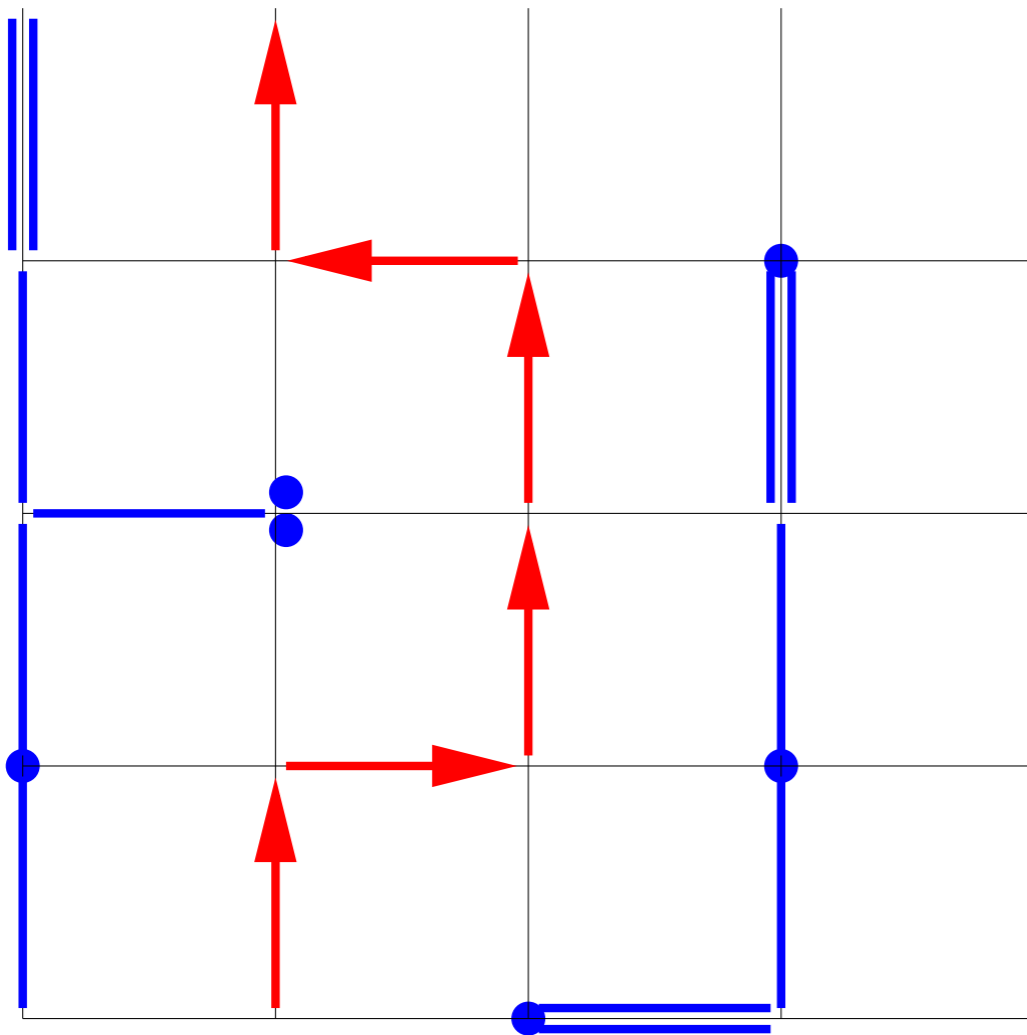
Product of 1-link integrals performed analytically

Strong coupling limit at finite density (staggered quarks)

Chandrasekharan, Wenger, PdF, Unger, Wolff, ...

- Integrate over U 's, then over quarks: *exact* rewriting of $Z(\beta = 0)$

New, discrete "*dual*" degrees of freedom: meson & baryon *worldlines*



Constraint at every site:

3 blue symbols ($\bullet \bar{\psi}\psi$, meson hop)

or a *baryon* loop

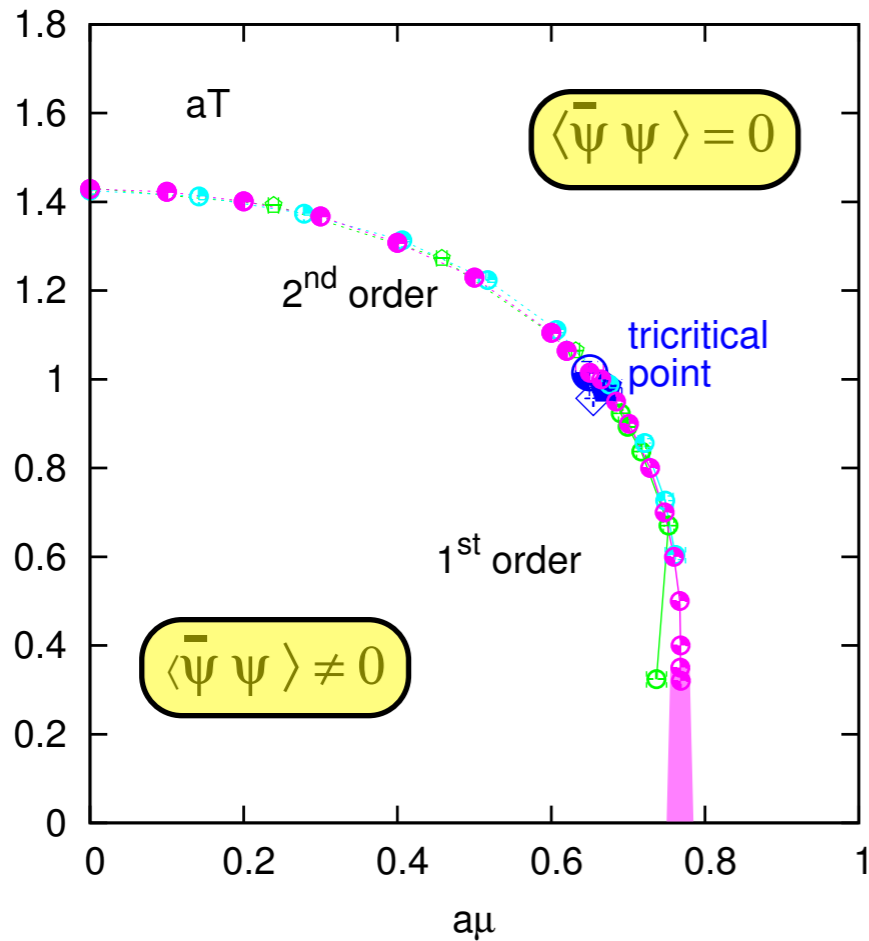
Update with *worm algorithm*: "*diagrammatic*" Monte Carlo

Results $\beta \approx 0$

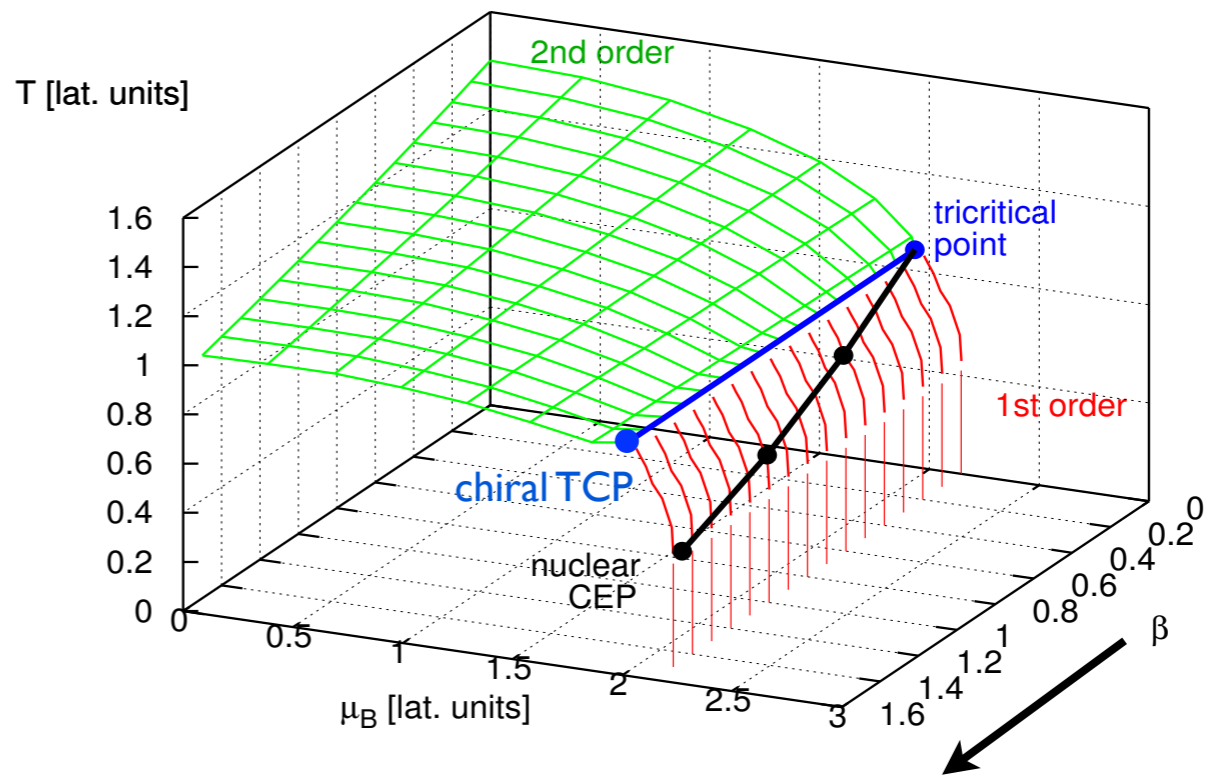
w/Unger, Langelage, Philipsen

- Sign pb almost gone: accessible volumes multiplied by 10^4
- Phase diagram ($m_q = 0$): **chiral** phase transition

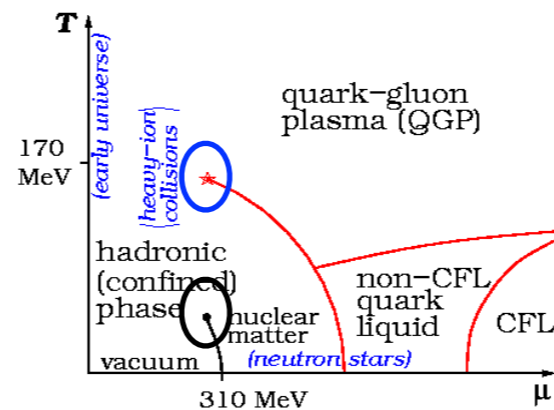
$\beta = 0$



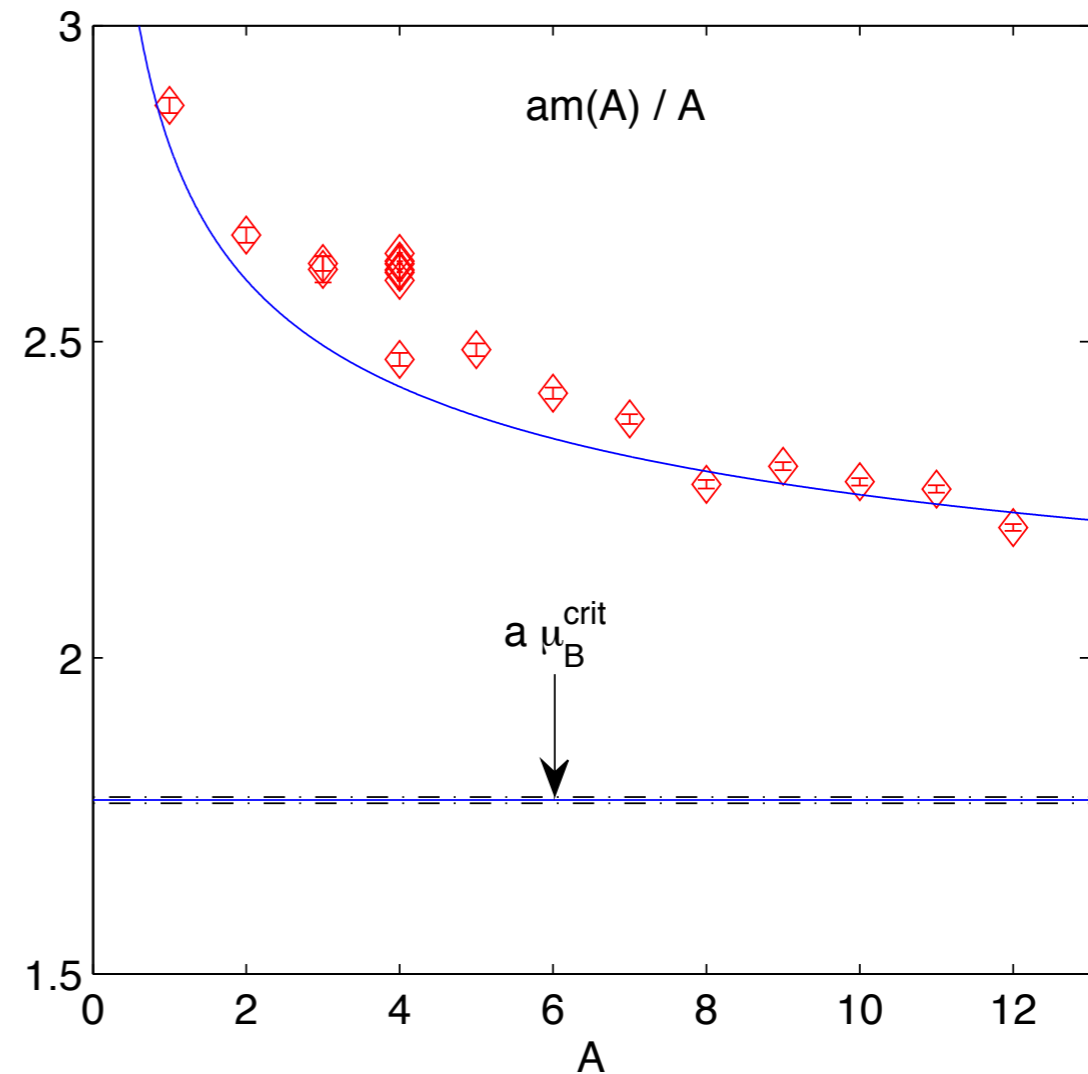
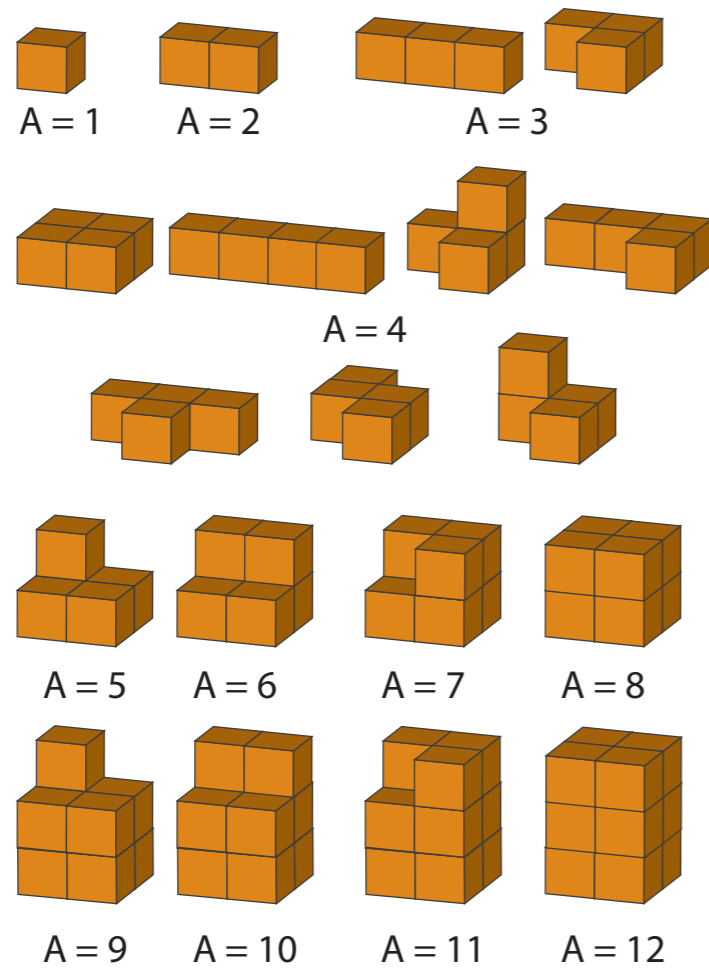
$\mathcal{O}(\beta)$ corrections



cf. Wikipedia:
($m_q \neq 0$)



Results – Crude nuclear matter: spectroscopy w/Fromm

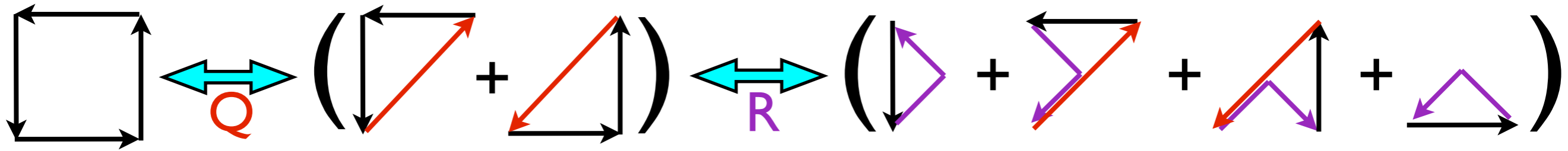


- Can compare masses of differently shaped “isotopes”
- $am(A) \sim a\mu_B^{\text{crit}} A + (36\pi)^{1/3} \sigma a^2 A^{2/3}$, ie. (bulk + surface tension)
Bethe-Weizsäcker parameter-free (μ_B^{crit} and σ measured separately)
- “Magic numbers” with increased stability: $A = 4, 8, 12$ (reduced area)

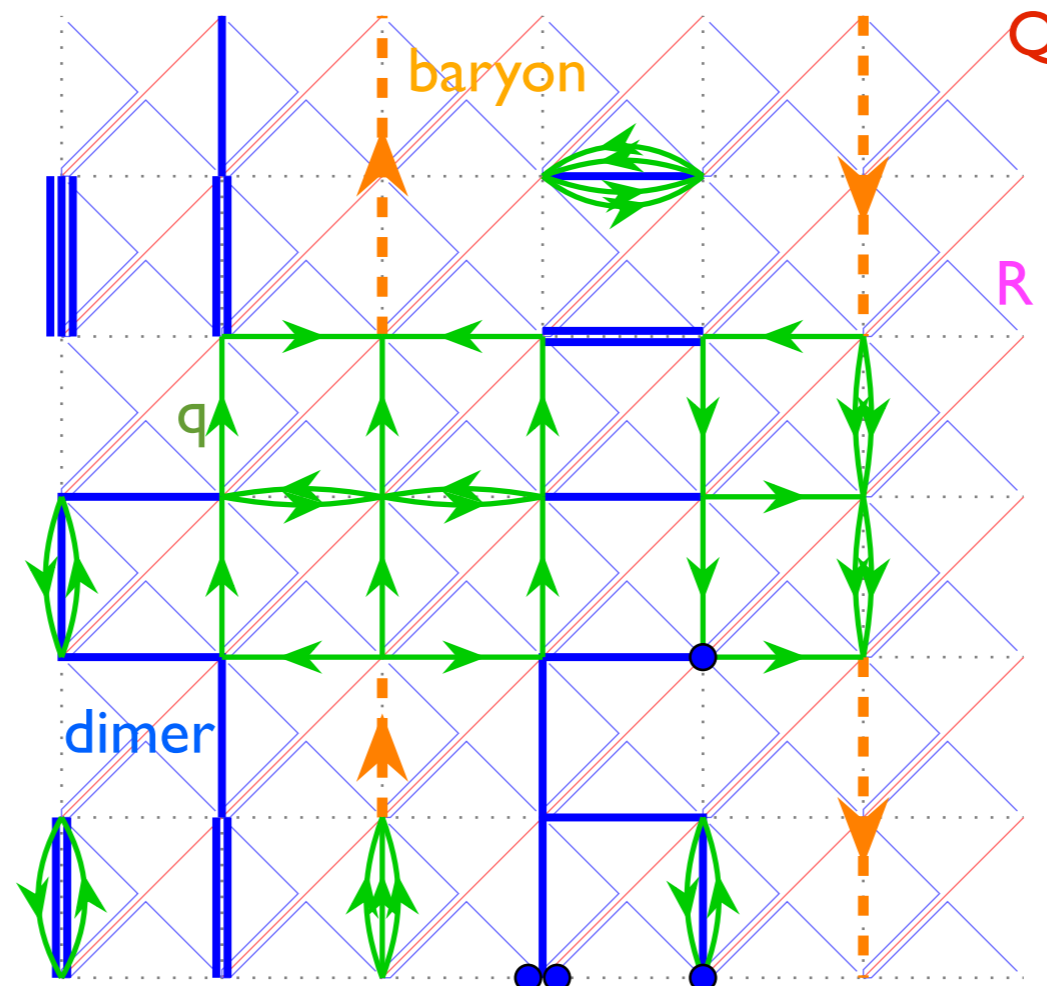
$\beta > 0$: lattice QCD with graphs

- $\beta > 0$: 4-link plaquette coupling prevents analytic link integration

decouple with Hubbard-Stratonovitch auxiliary variables Q and R

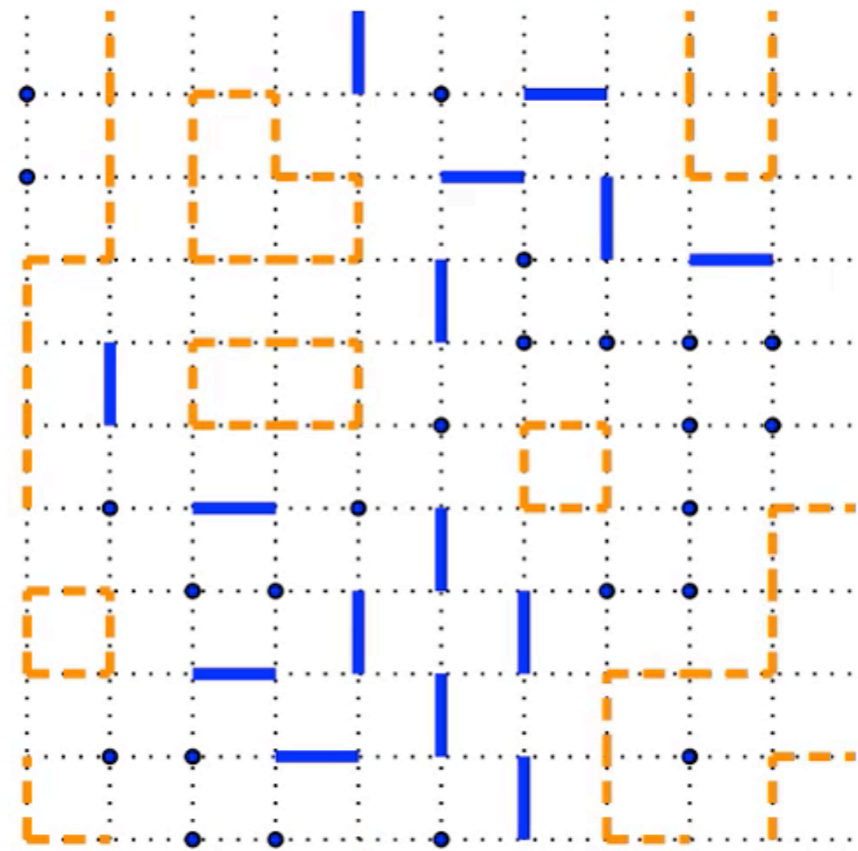
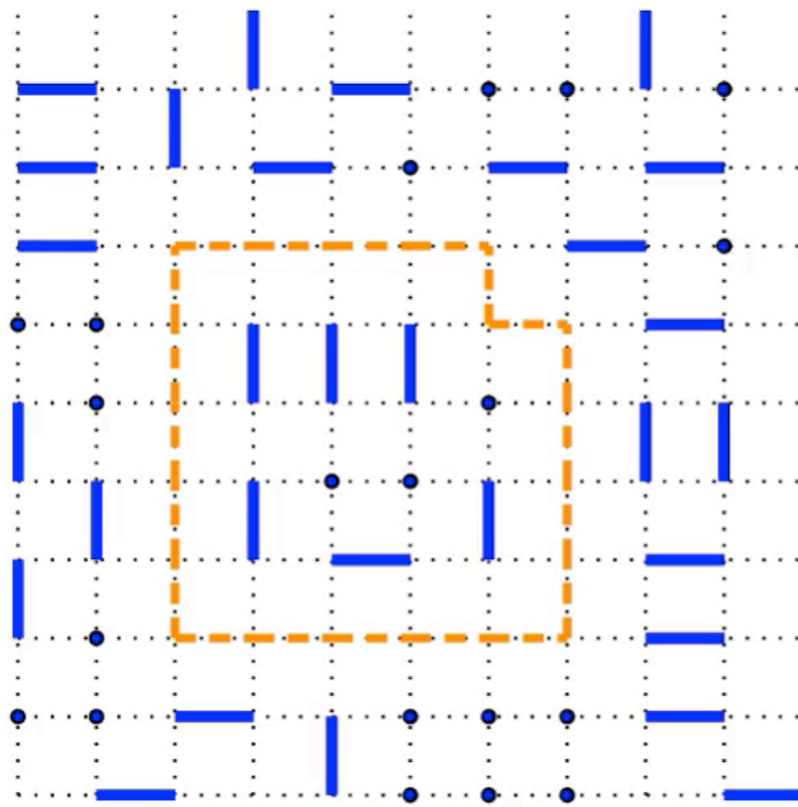


Monomers, dimers, baryons, *quarks*, all in the background of $\{Q, R\}$



Diagrammatic Monte Carlo for 2d QED

- Gaussian heatbath to update $\{Q, R\}$
- “Meson” worm to update monomers and dimers
- “Electron” worm to update electron loops and dimers
generalized from Adams & Chandrasekharan



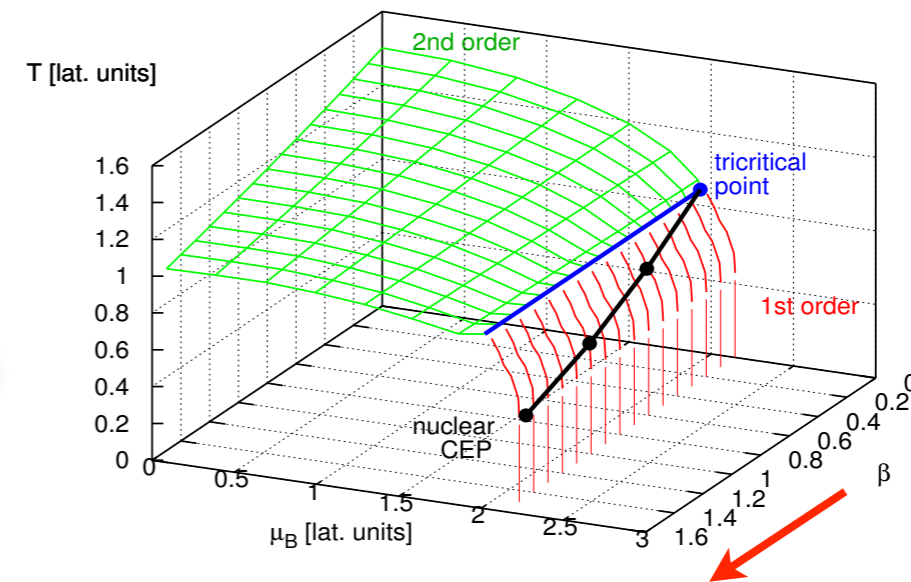
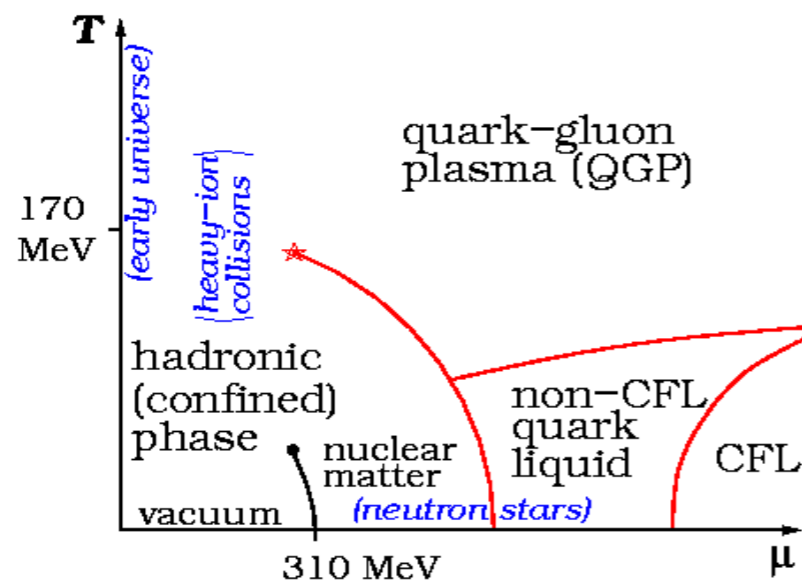
Residual sign problem?

Work in progress w/Helvio Vairinhos

The road ahead

w/Helvio Vairinhos

- Simulate the 1-link and 0-link YM gauge action **Done! 1409.8442**
- Simulate $U(1)$ gauge + fermions (no chemical potential) at $\beta > 0$
- $U(1) \rightarrow SU(3)$
- $\mu \neq 0$



Caveat: • when $\beta > 0$, the complex auxiliary fields Q & R re-introduce a sign pb

In physical terms: color neutrality is only true for distances $\gtrsim 1/\Lambda_{\text{QCD}}$

→ how large can we take β before the sign pb becomes unmanageable?

- staggered fermions $\rightarrow N_f = 4$ quark flavors

Conclusions

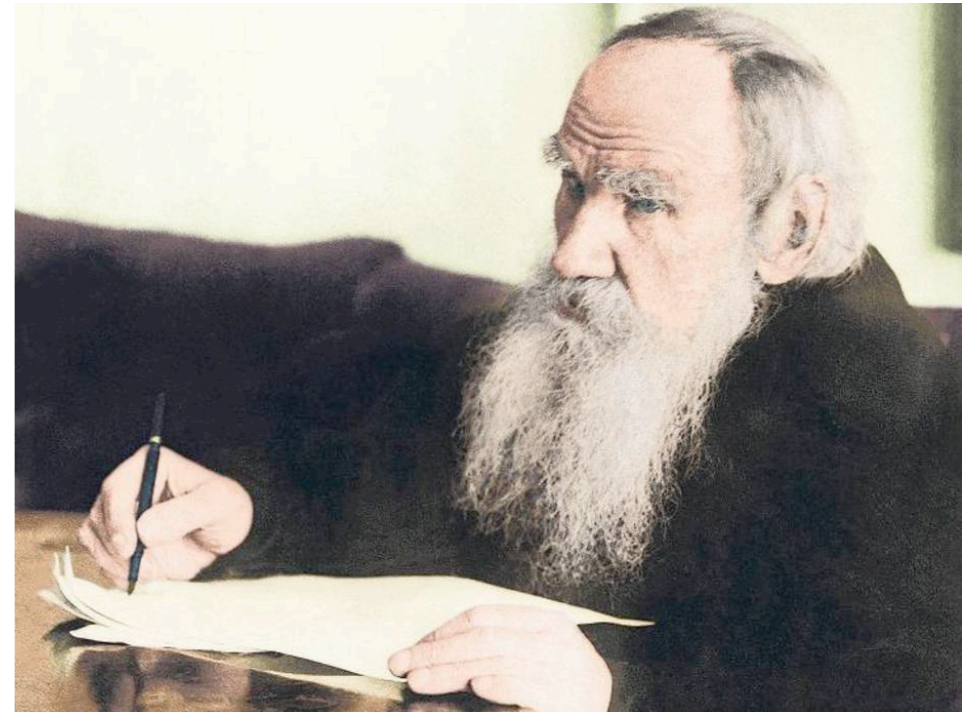
- Tolstoi:

“Happy families are all alike; each unhappy family is unhappy in its own way”

“happy” \longrightarrow sign-pb free

- Finite-density QCD: fermions **AND** bosons

still a long way to go...



Thank you for your attention

Thank you for your attention

Backup



Sign pb

Overlap pb

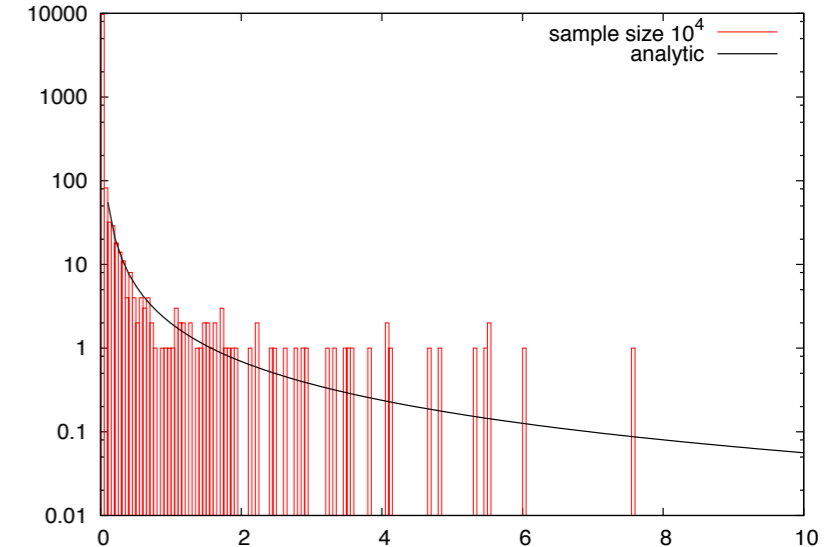
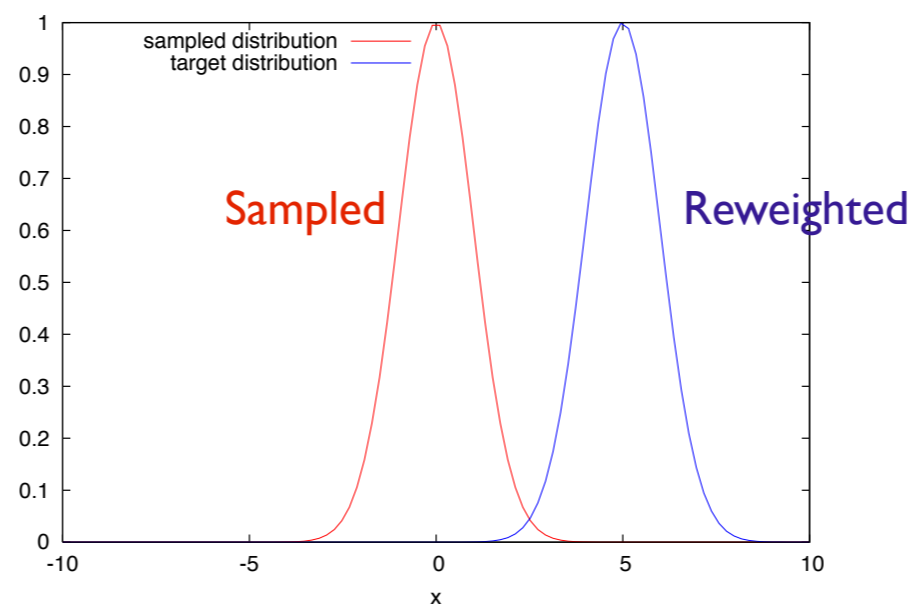
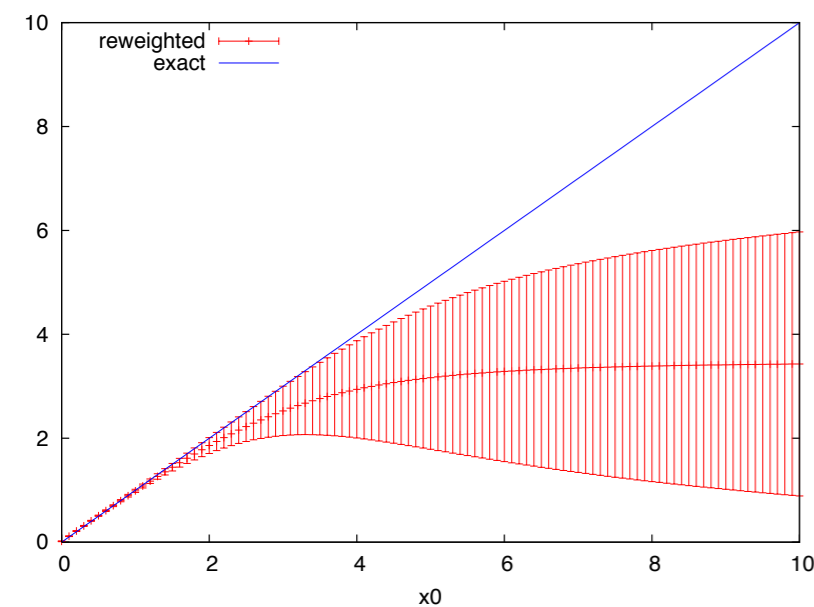
More difficulties: the overlap problem

- Further danger: **insufficient overlap** between sampled and reweighted ensembles

Very large weight carried by very rarely sampled states

→ **WRONG** estimates in reweighted ensemble for finite statistics

- Example: sample $\exp(-\frac{x^2}{2})$, reweight to $\exp(-\frac{(x-x_0)^2}{2}) \rightarrow \langle x \rangle = x_0$?



- Estimated $\langle x \rangle$ saturates at largest sampled x -value
- Error estimate too small

Insufficient overlap ($x_0 = 5$)

Very non-Gaussian distribution of reweighting factor
Log-normal Kaplan et al.

Solution: Need stats $\propto \exp(\Delta S)$

From QED to QCD: essential facts

	QED	QCD
Bosons:	photon	8 gluons
Fermions:	electron	quarks (up, down, strange, ..)
	Electric charge	Color charge

Confinement: quarks are bound in color-neutral hadrons: qqq baryons & $q\bar{q}$ mesons

- Baryons qqq : protons, neutrons, i.e. ordinary matter
- Mesons $q\bar{q}$: pions (lightest) and others

Nuclear interactions: residual interactions between color-neutral protons/neutrons
→ Nuclear physics from first principles

Old birds: complex Langevin revival Seiler, Stamatescu, Aarts, Sexty,..

- Real action S : Langevin evolution in Monte-Carlo time τ Parisi-Wu 80's

$$\frac{\partial \phi}{\partial \tau} = -\frac{\delta S[\phi]}{\delta \phi} + \eta, \text{ ie. drift force} + \text{noise}$$

Can prove:

$$\langle W[\phi] \rangle_\tau = \frac{1}{Z} \int \mathcal{D}\phi \exp(-S[\phi]) W[\phi]$$

- Complex action S ? Parisi, Klauder, Karsch, Ambjorn,..

Drift force complex \rightarrow complexify field $(\phi^R + i\phi^I)$ and simulate as before

With luck:

$$\langle W[\phi^R + i\phi^I] \rangle_\tau = \frac{1}{Z} \int \mathcal{D}\phi \exp(-S[\phi]) W[\phi]$$

Idea: trade oscillatory weight on real axis for positive weight in complex plane

- Gaussian example:

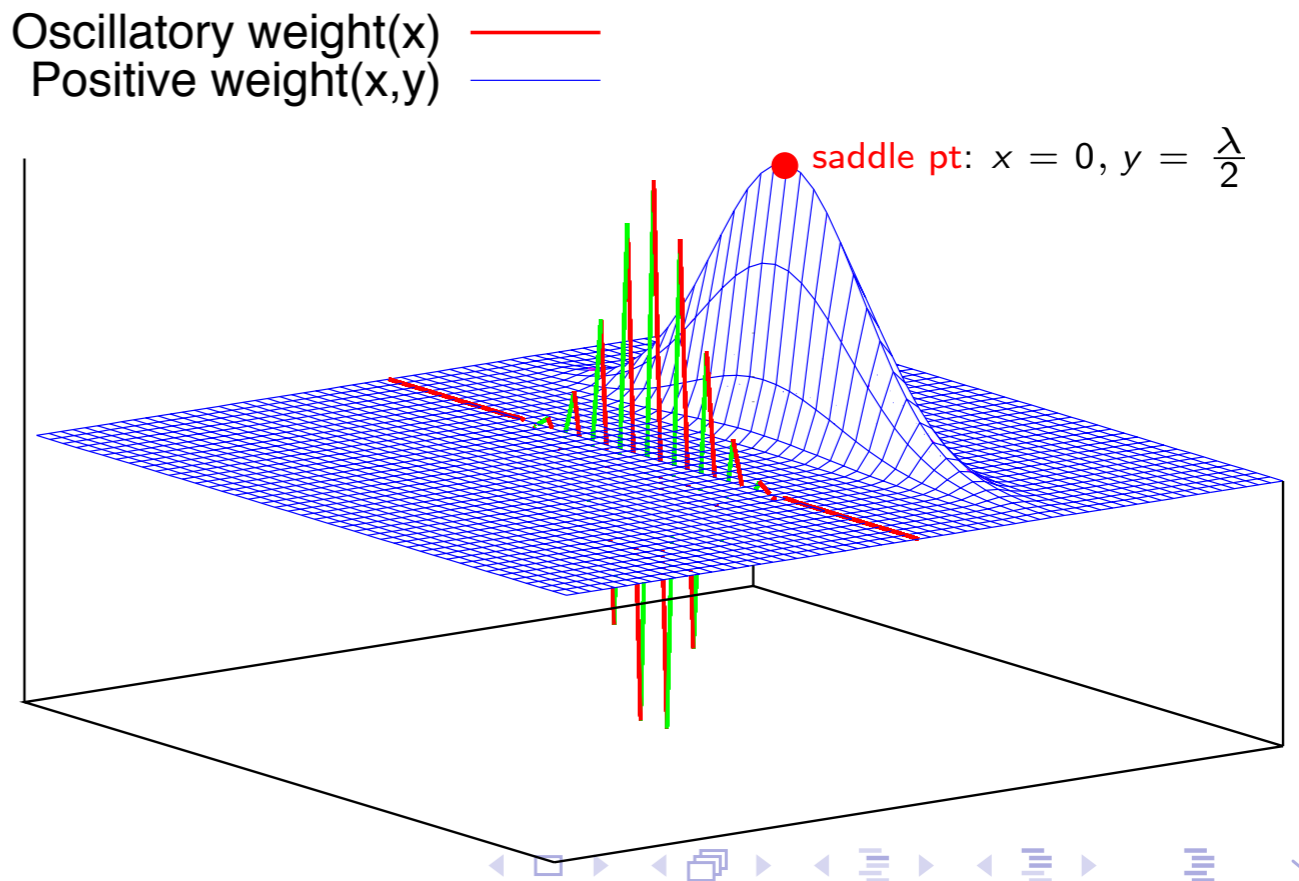
$$Z(\lambda) = \int dx \exp(-x^2 + i\lambda x)$$

Complexify:

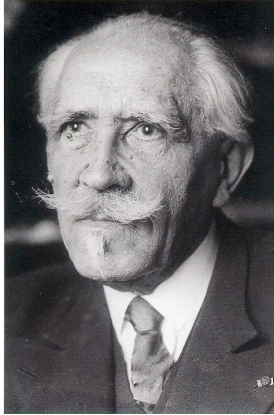
$$\frac{d}{d\tau}(x + iy) = -2(x + iy) + i\lambda + \eta$$

For any observable W ,

$$\langle W(x + iy) \rangle_\tau = \langle W(x) \rangle_Z$$



Difficulties with complex Langevin



- Infinite set of necessary conditions to prove correctness
- Simplified: need bounded or exponentially decreasing distribution of $\text{Im}(\phi)$
- Gauge invariance \implies flat directions to $\pm i\infty$ \leftarrow “gauge cooling”?
- Convergence lost when noise is made complex
- Action is analytically continued: $S = S_{YM} + \log \det \not{D}$
how to deal with **cut in log** $\det \not{D}$? with **log singularity** when $\det \not{D} = 0$??

Caveat:

Complex Langevin gives **wrong** answer when system is too disordered, **also when there is no sign pb!** *3d XY model, Aarts & James, 1005.3468*

Robustness?

Importance of classical stationary points + fluctuations

Guralnik & Pehlevan

New bird: Lefschetz thimble



- Same starting point as complex Langevin:
analytic continuation in complexified space
- Follow steepest ascent from action minima \rightarrow constant $\text{Im}(S)$

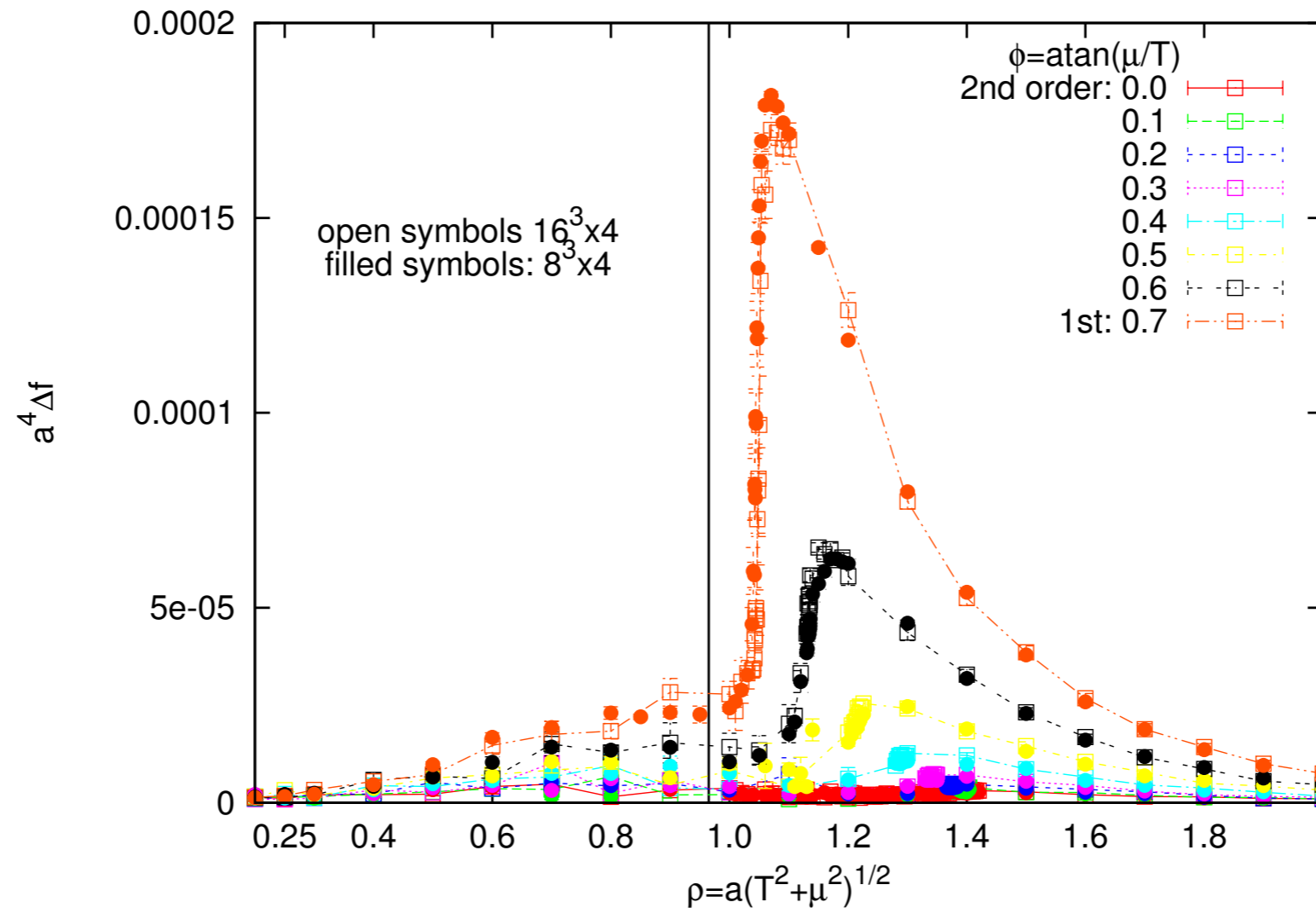
The weights of all configurations along a thimble have [almost] the **same phase**

- Problems:
 - find the many (?) thimbles
 - control their phase cancellations
 - deal with non-analyticities of S



Under construction

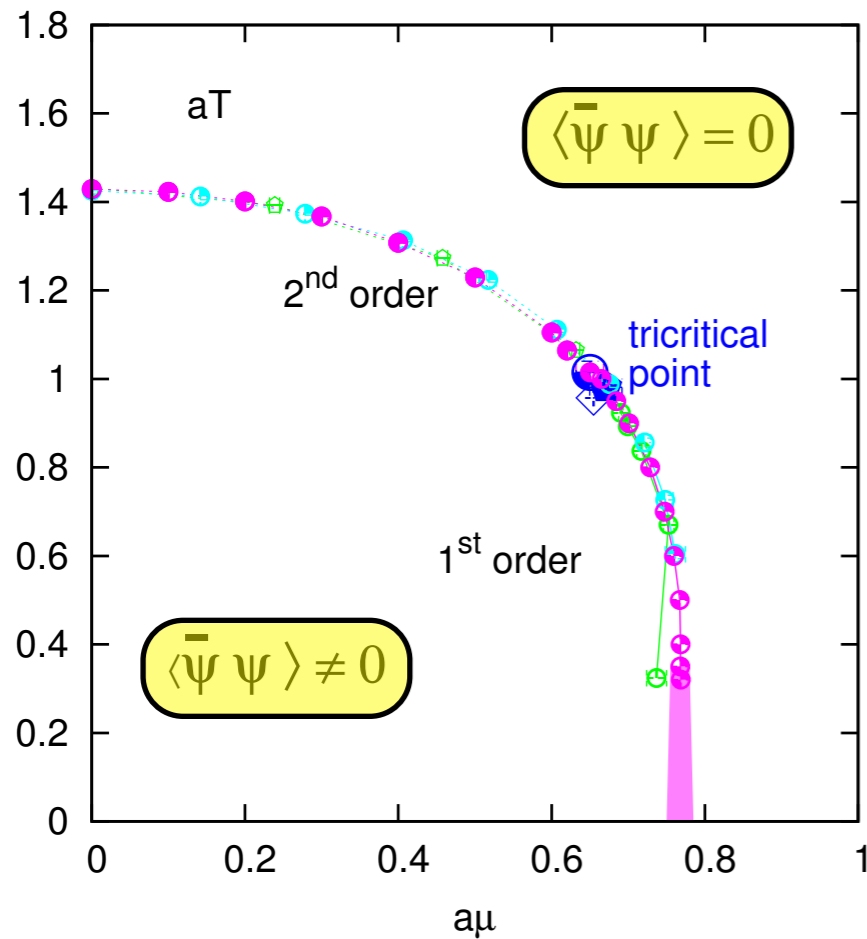
Severity of sign problem? Monitor $\Delta f = -\frac{1}{V} \log \langle \text{sign} \rangle$



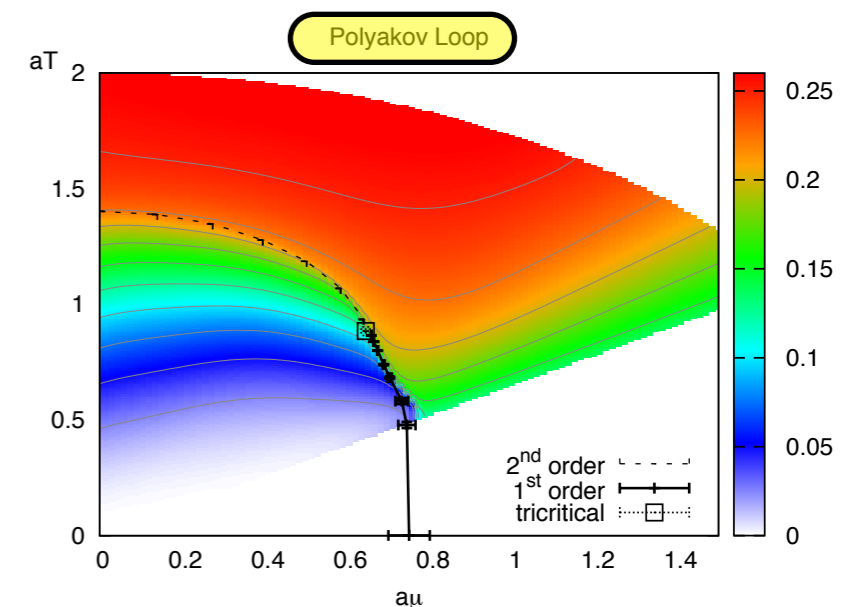
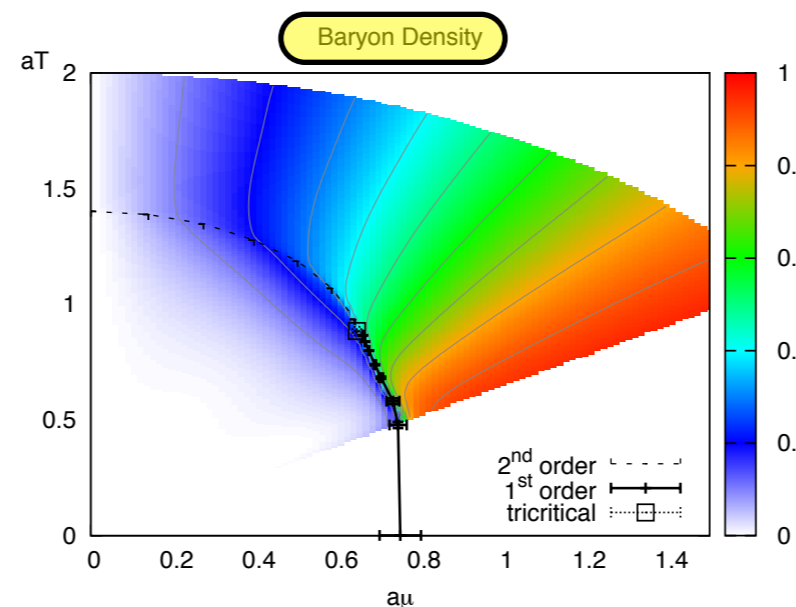
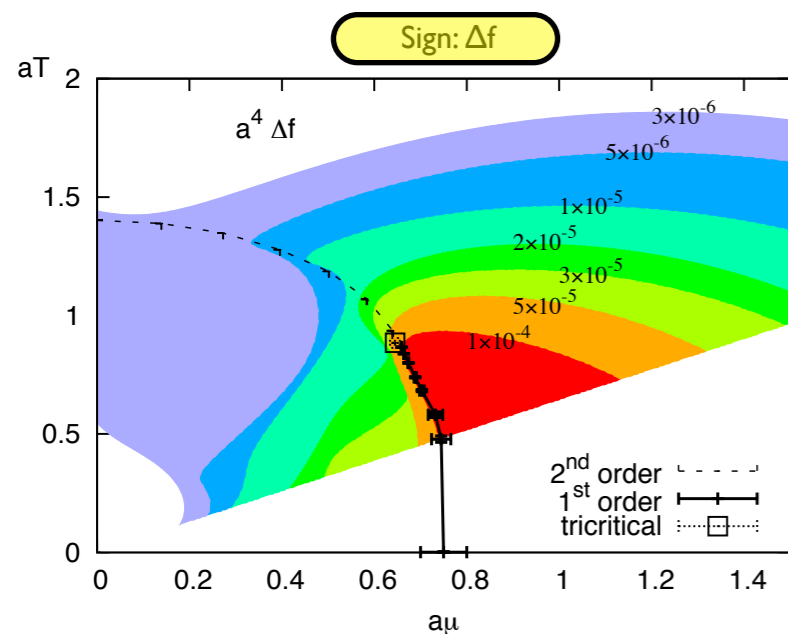
- $\langle \text{sign} \rangle = \frac{Z}{Z_{||}} \sim \exp(-\frac{V}{T} \Delta f(\mu^2))$ as expected
- Determinant method $\rightarrow \Delta f \sim \mathcal{O}(1)$. Here, **Gain $\mathcal{O}(10^4)$ in the exponent!**
 - heuristic argument correct: color singlets closer to eigenbasis
 - negative sign? product of *local* neg. signs caused by spatial baryon hopping:
 - no baryon \rightarrow no sign pb (no silver blaze pb.)
 - saturated with baryons \rightarrow no sign pb

Results – Phase diagram and Polyakov loop ($m_q = 0$)

w/ Unger, Langelage, Philipsen



- Chiral phase transition ($m_q = 0$):
2nd \rightarrow 1st order as μ increases: *tricritical* point
- Finite- N_t corrections \rightarrow continuous-time
- Baryon density jumps at 1st-order transition
- Polyakov loop changes at chiral transition



Moving from $\beta = 0$ toward the continuum limit $\beta \rightarrow +\infty$

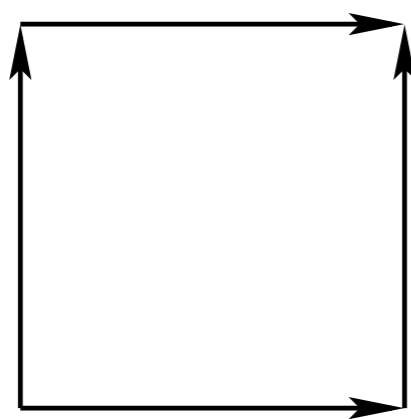
- $\beta = 0$: gauge links U are not directly coupled to each other:

$$Z(\beta = 0) = \int \prod_x d\bar{\psi} d\psi \prod_{x,\nu} \left(\int dU_{x,\nu} e^{-\{\bar{\psi}_x U_{x,\nu} \psi_{x+\hat{\nu}} - h.c.\}} \right)$$

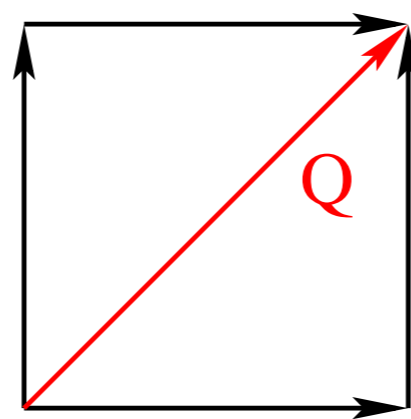
Product of 1-link integrals performed analytically

- $\beta \neq 0$: Plaquette 4-link coupling prevents analytic integration of gauge links

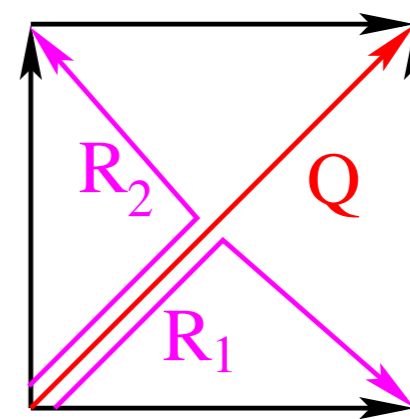
Decouple gauge links by **Hubbard-Stratonovich** transformations



4 links coupled



2 links coupled



links decoupled

Moving from $\beta = 0$ toward the continuum limit $\beta \rightarrow +\infty$

Simple: $\mathcal{O}(\beta)$ approximation

- Introduce auxiliary plaquette variables $q_P = \{0, 1\}$:

$$\exp\left(\frac{\beta}{N_c} \text{ReTr } U_P\right) = \sum_{q_P=\{0,1\}} \left(\delta_{q_P,0} + \delta_{q_P,1} \frac{\beta}{N_c} \text{ReTr } U_P \right) + \mathcal{O}(\beta^2)$$

- Sample $\{q_P\} \rightarrow$ exact at $\mathcal{O}(\beta)$ 1406.4397 \rightarrow PRL

More ambitious: arbitrary β

- $\beta = 0$: gauge links U are not directly coupled to each other:

$$Z(\beta = 0) = \int \prod_x d\bar{\psi} d\psi \prod_{x,\nu} \left(\int dU_{x,\nu} e^{-\{\bar{\psi}_x U_{x,\nu} \psi_{x+\hat{\nu}} - h.c.\}} \right)$$

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Decouple gauge links by Hubbard-Stratonovich transformations

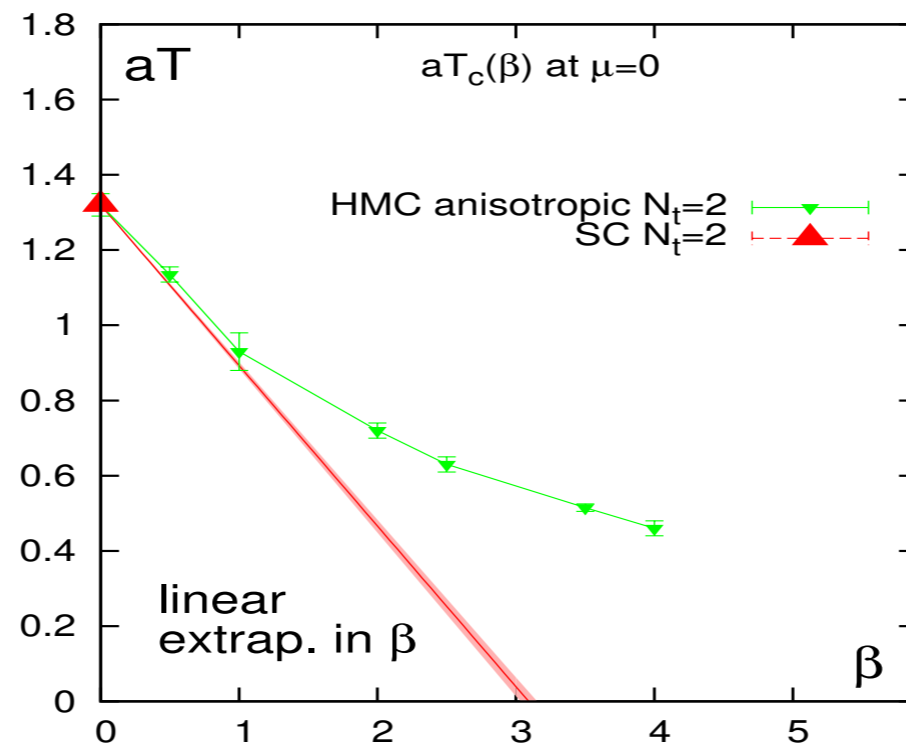
Toward the continuum limit at $\mathcal{O}(\beta)$ 1406.4397 \rightarrow PRL

- Introduce auxiliary plaquette variables $q_P = \{0, 1\}$:

$$\exp\left(\frac{\beta}{N_c} \text{ReTr } U_P\right) = \sum_{q_P=\{0,1\}} \left(\delta_{q_P,0} + \delta_{q_P,1} \frac{\beta}{N_c} \text{ReTr } U_P \right) + \mathcal{O}(\beta^2)$$

- Sample $\{q_P\} \rightarrow$ exact at $\mathcal{O}(\beta)$

- $q_P = 1 \rightarrow$ new color-singlet hopping terms $qqg, \bar{q}g$, from $\int dU U e^{-(\bar{\psi} U \psi - h.c.)}$:
 - hadrons acquire *structure*
 - hadron interaction by *gluon exchange*



- $\mu=0$: crosscheck with HMC ok; linear (aT_c) extrapolation good up to $\beta \sim 1$

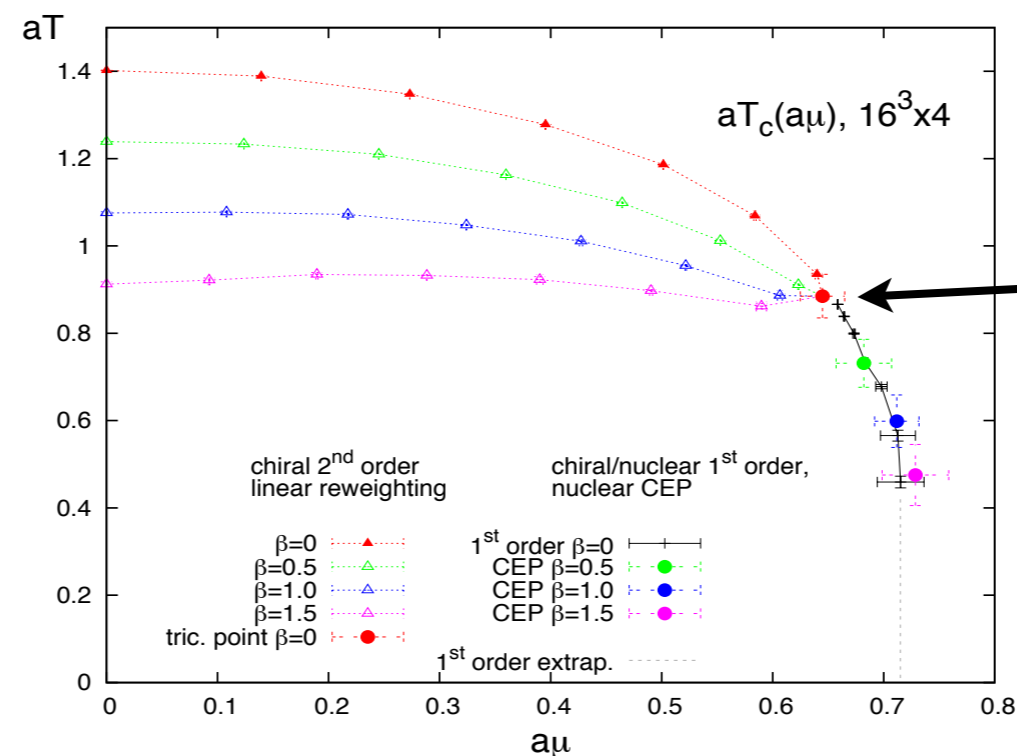
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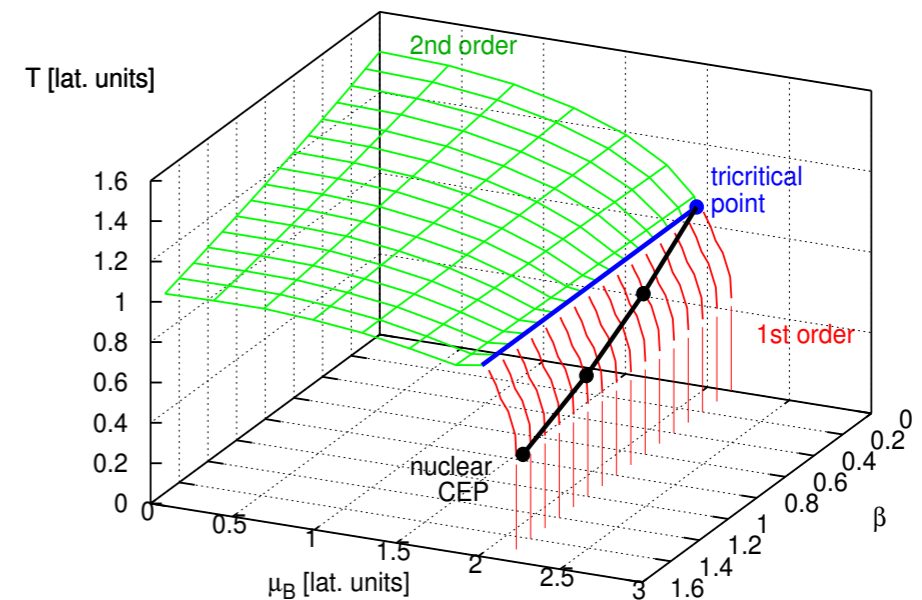
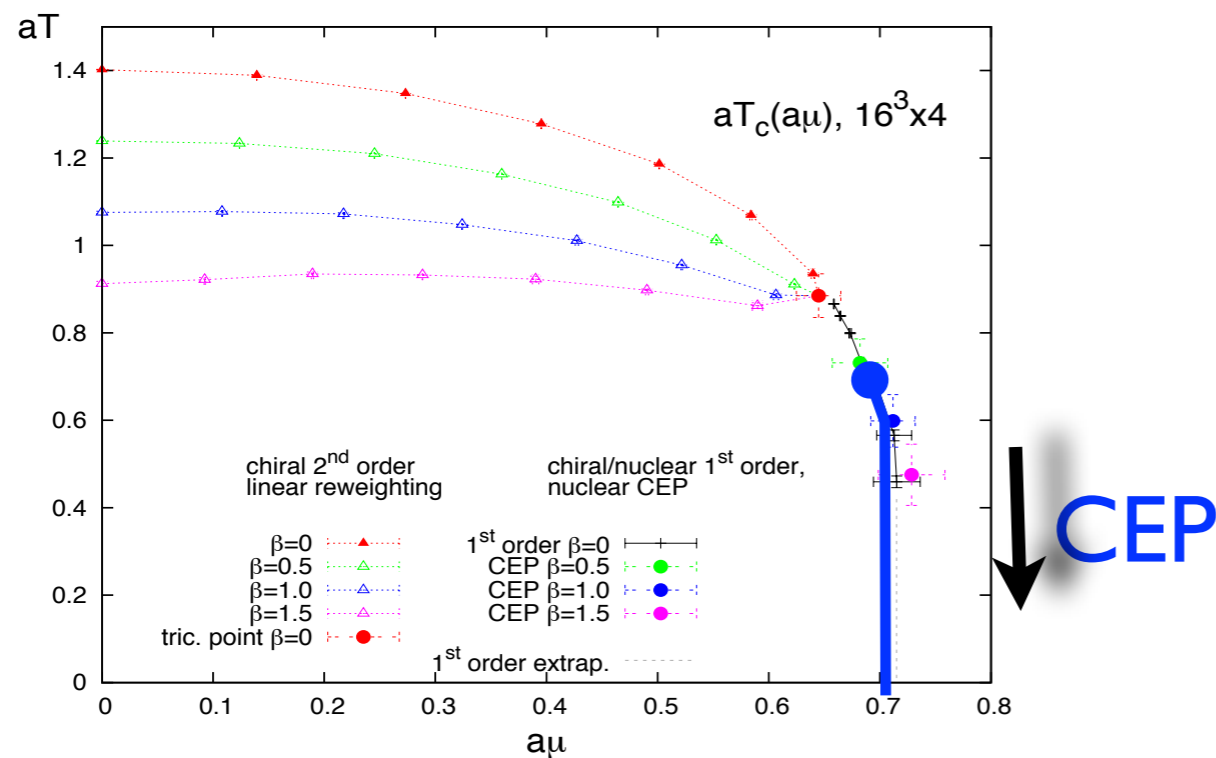
- $\mu = 0$: crosscheck with HMC ok; linear (aT_c) extrapolation good up to $\beta \sim 1$
- $\mu \neq 0$: - phase boundary more “**rectangular**” with **TCP** at corner

Toward the continuum limit at $\mathcal{O}(\beta)$ 1406.4397 \rightarrow PRL

- Introduce auxiliary plaquette variables $q_P = \{0, 1\}$:

$$\exp\left(\frac{\beta}{N_c} \text{ReTr } U_P\right) = \sum_{q_P=\{0,1\}} \left(\delta_{q_P,0} + \delta_{q_P,1} \frac{\beta}{N_c} \text{ReTr } U_P \right) + \mathcal{O}(\beta^2)$$

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 - hadrons acquire *structure*
 - hadron interaction by *gluon exchange*



- $\mu = 0$: crosscheck with HMC ok; linear (aT_c) extrapolation good up to $\beta \sim 1$
- $\mu \neq 0$: - phase boundary more "rectangular" with TCP at corner
- liquid-gas CEP splits and moves down ?

Going beyond $\mathcal{O}(\beta)$

Vairinhos & PdF, 1409.8442

- $\beta = 0$: gauge links U are not directly coupled to each other:

$$Z(\beta = 0) = \int \prod_x d\bar{\psi} d\psi \prod_{x,\nu} \left(\int dU_{x,\nu} e^{-\{\bar{\psi}_x U_{x,\nu} \psi_{x+\hat{\nu}} - h.c.\}} \right)$$

Product of 1-link integrals performed analytically

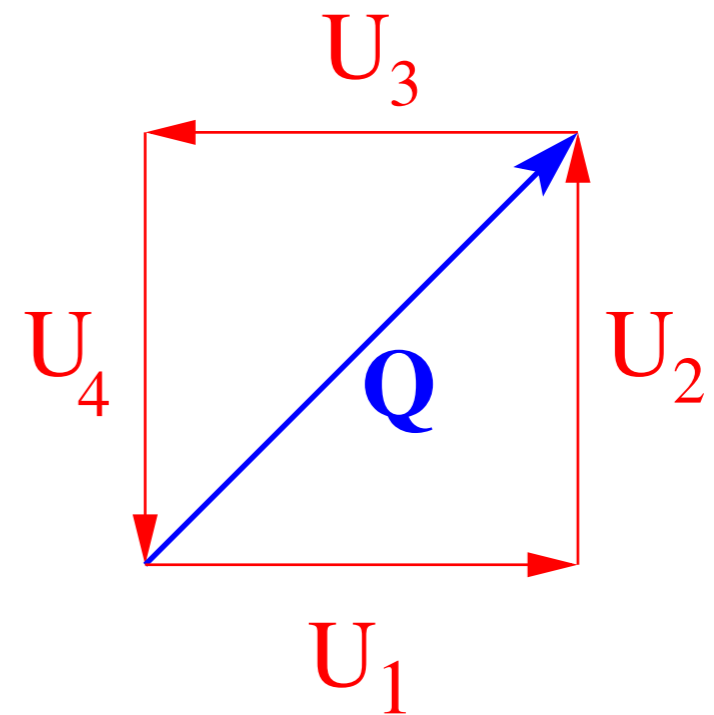
- $\beta \neq 0$: Plaquette 4-link coupling prevents analytic integration of gauge links

Decouple gauge links by Hubbard-Stratonovich transformation:

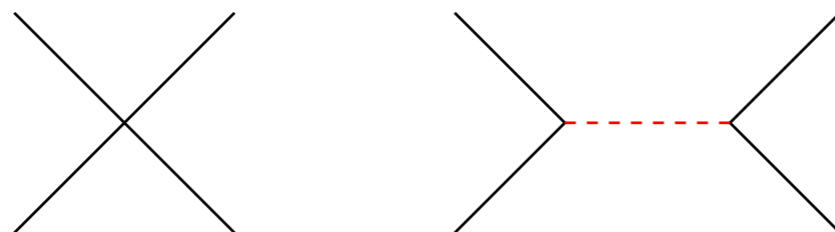
Hubbard-Stratonovich variant:

$$\beta \operatorname{ReTr} U_P \quad \longleftrightarrow \quad -\beta \operatorname{ReTr} (|Q|^2 - Q^\dagger U_1 U_2 - U_3 U_4 Q)$$

ie. “2-link” action (Fabricius & Haan, 1984)



Cf. 4-fermi



Further decoupling to “1-link” action \rightarrow link integration possible $\forall \beta$

2-link action \rightarrow 1-link \rightarrow 0-link

Vairinhos & PdF, 1409.8442

- Hubbard-Stratonovich: $\forall Y \in \mathbf{C}^{N \times N}$, $e^{\text{Tr} Y^\dagger Y} = \mathcal{N} \int dX e^{\text{Tr}(X^\dagger Y + XY^\dagger)}$
where $X \in \mathbf{C}^{N \times N}$ with Gaussian measure $dX \propto \prod_{ij} dx_{ij} dx_{ij}^* e^{-|x_{ij}|^2}$

2-link action \rightarrow 1-link \rightarrow 0-link

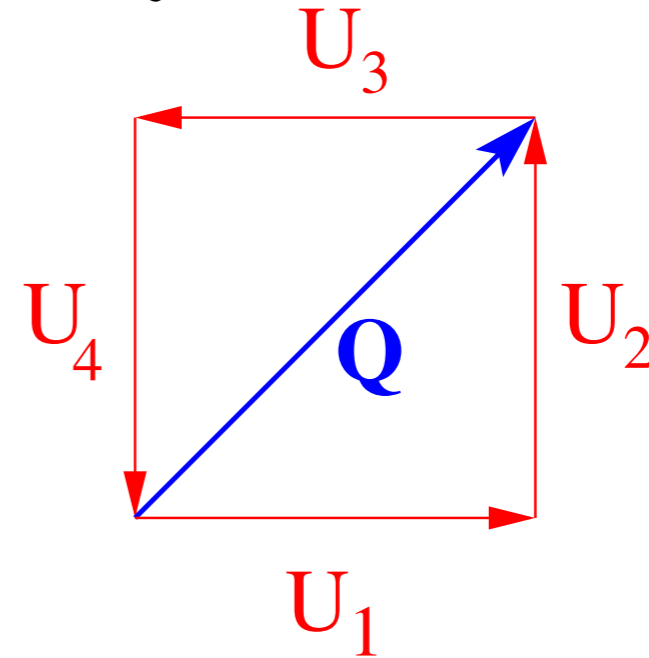
Vairinhos & PdF, 1409.8442

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where $X \in \mathbf{C}^{N \times N}$ with Gaussian measure $dX \propto \prod_{ij} dx_{ij} dx_{ij}^* e^{-|x_{ij}|^2}$

- $4 \rightarrow 2$ -link action:

$$Y = (U_1 U_2 + U_4^\dagger U_3^\dagger), \quad X = Q$$

$$S_{2\text{-link}} = \text{ReTr} Q^\dagger (U_1 U_2 + U_4^\dagger U_3^\dagger)$$



2-link action \rightarrow 1-link \rightarrow 0-link

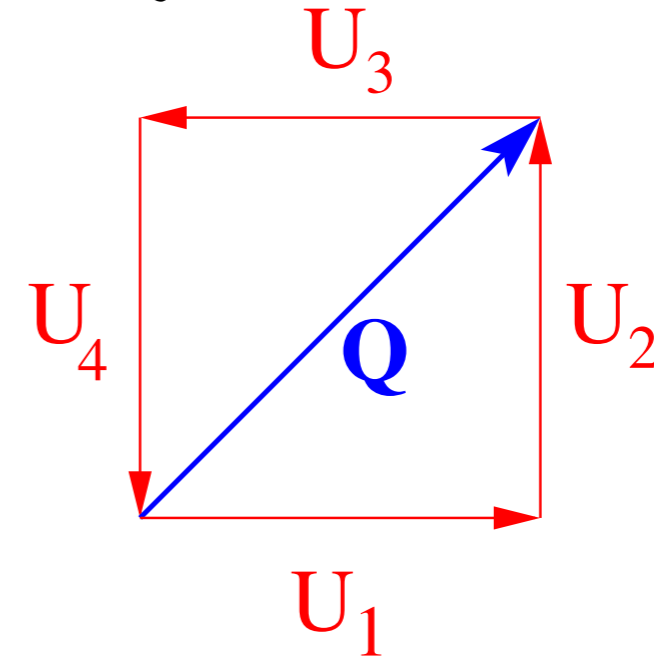
Vairinhos & PdF, 1409.8442

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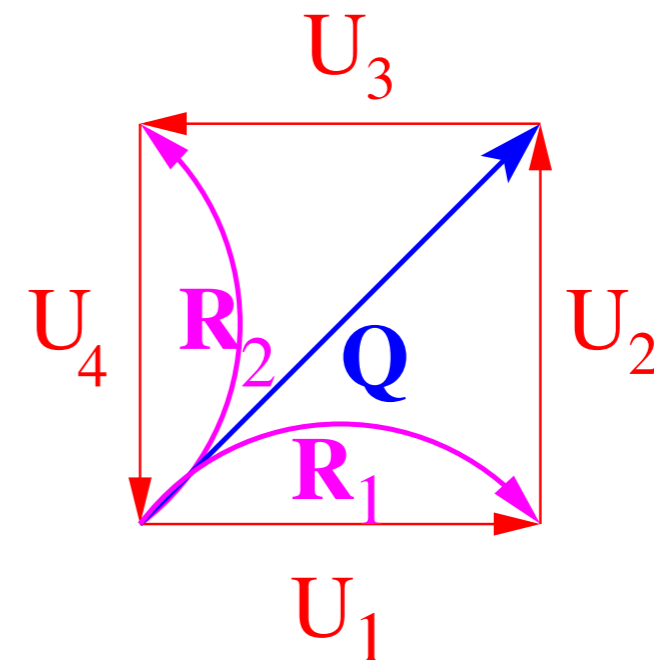
$$S_{2\text{-link}} = \text{ReTr} Q^\dagger (U_1 U_2 + U_4^\dagger U_3^\dagger)$$



- $2 \rightarrow 1$ -link action:

$$Y = (U_1 + QU_2^\dagger), \quad X = R_1$$

$$S_{1\text{-link}} = \text{ReTr} \left[\xrightarrow{U} \Sigma \left(\overset{R_1}{\curvearrowright} + \overset{R_2}{\curvearrowright} \right)^\dagger \right]$$



2-link action \rightarrow 1-link \rightarrow 0-link

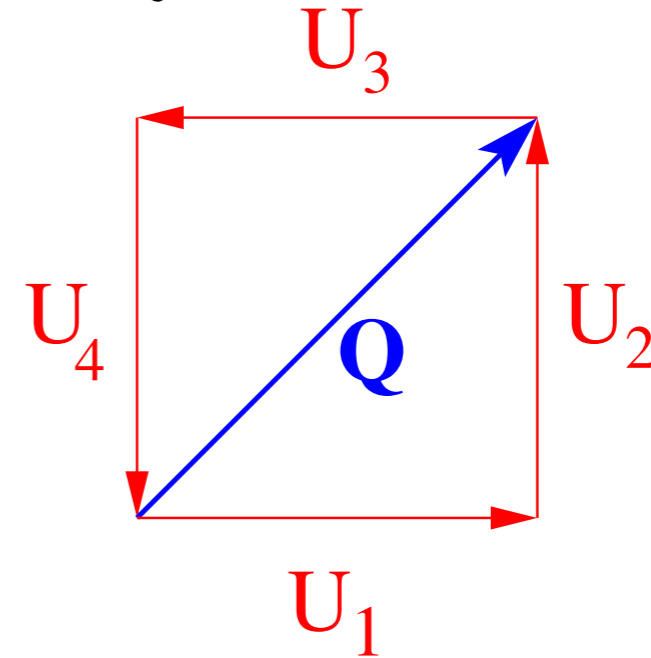
Vairinhos & PdF, 1409.8442

- Hubbard-Stratonovich: $\forall Y \in \mathbf{C}^{N \times N}$, $e^{\text{Tr} Y^\dagger Y} = \mathcal{N} \int dX e^{\text{Tr}(X^\dagger Y + XY^\dagger)}$
 where $X \in \mathbf{C}^{N \times N}$ with Gaussian measure $dX \propto \prod_{ij} dx_{ij} dx_{ij}^* e^{-|x_{ij}|^2}$

- 4 \rightarrow 2-link action:

$$Y = (U_1 U_2 + U_4^\dagger U_3^\dagger), \quad X = Q$$

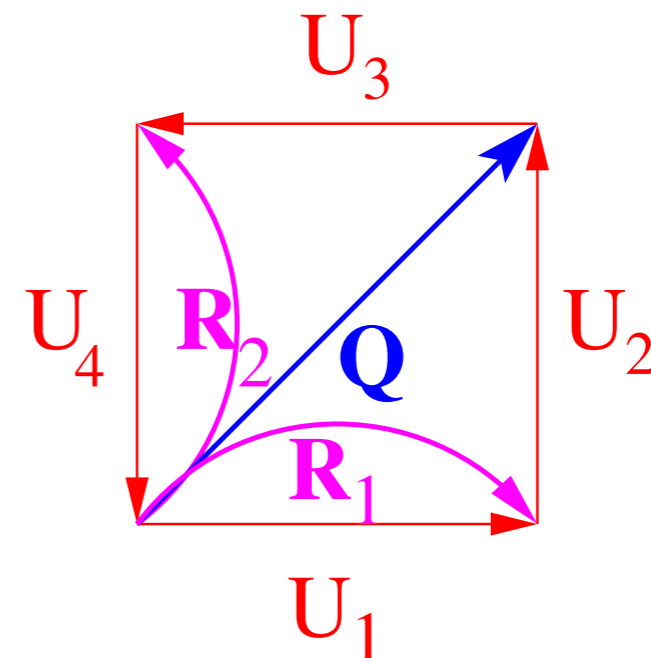
$$S_{2\text{-link}} = \text{ReTr} Q^\dagger (U_1 U_2 + U_4^\dagger U_3^\dagger)$$



- 2 \rightarrow 1-link action:

$$Y = (U_1 + Q U_2^\dagger), \quad X = R_1$$

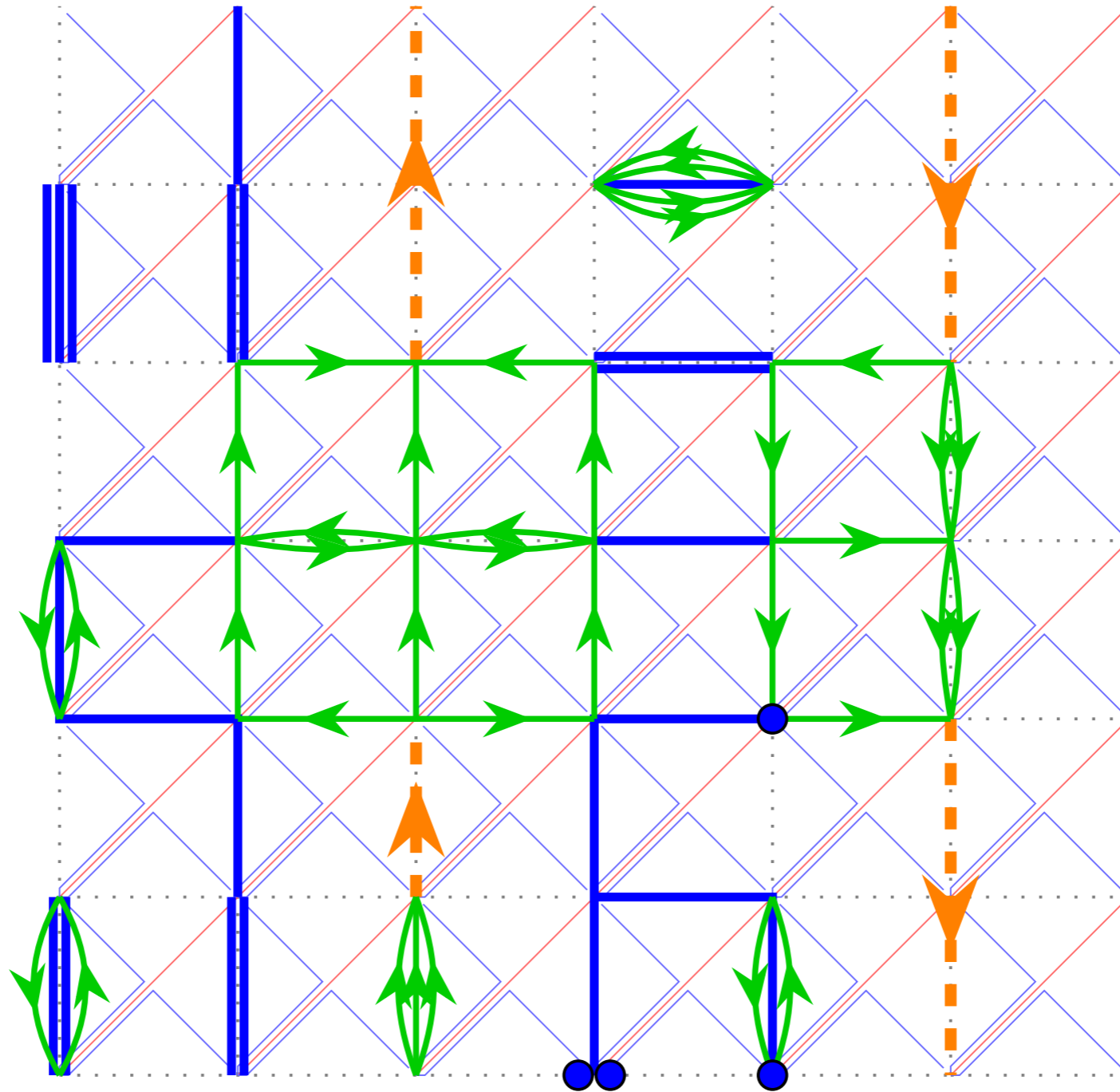
$$S_{1\text{-link}} = \text{ReTr} \xrightarrow{U} \Sigma \left(\overset{R_1}{\curvearrowright} + \overset{R_2}{\curvearrowright} \right)^\dagger$$



- 1 \rightarrow 0-link action: integrate out U analytically – *also with fermion sources*

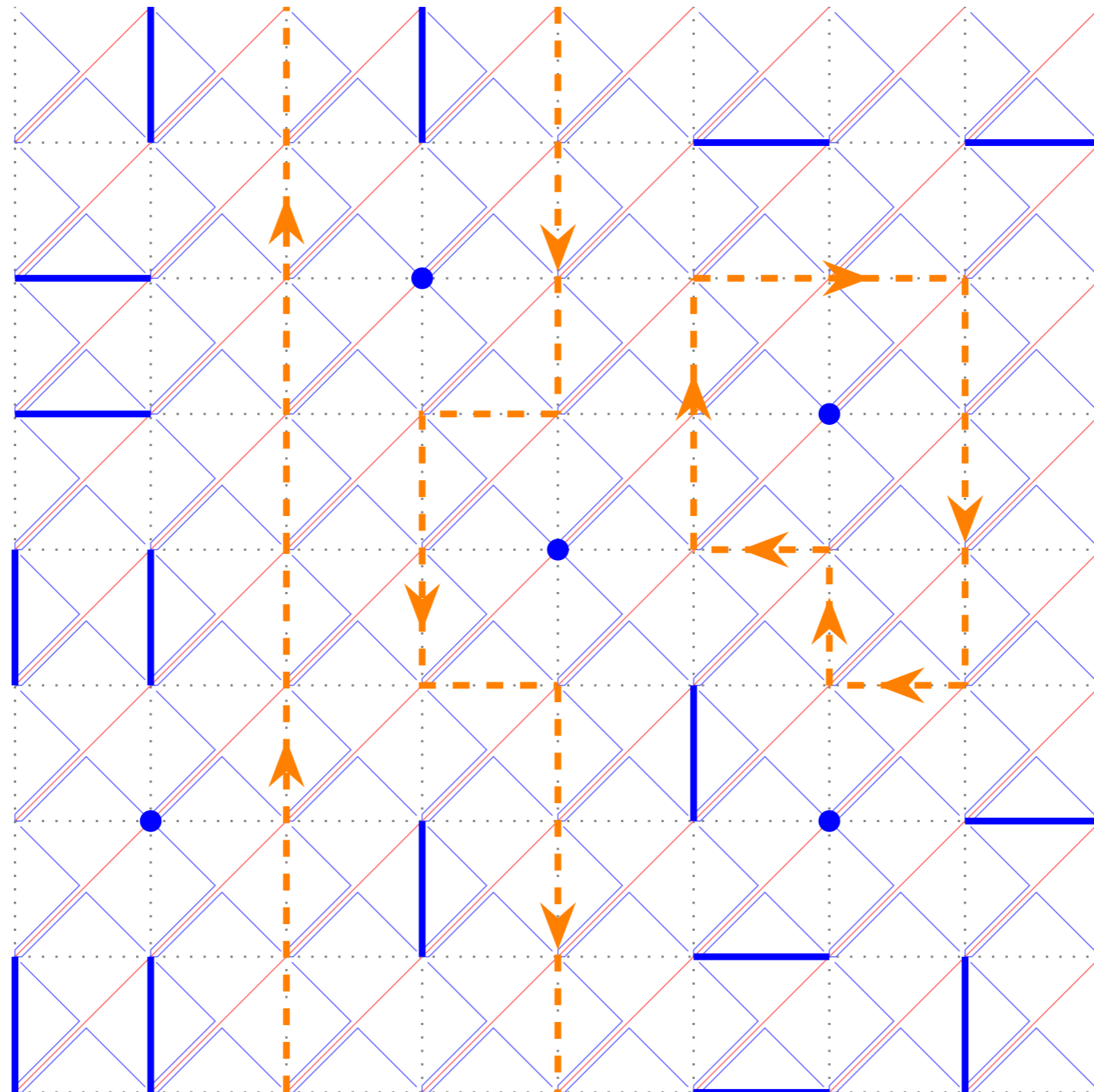
QCD with graphs

$\beta > 0 \rightarrow$ Monomers, dimers, baryons, *quarks*, all in the background of $\{Q, R\}$



Start with a simpler case: $2d$ QED

$\beta > 0 \rightarrow$ Monomers, dimers, electron loops, in the background of $\{Q, R\}$



Start with a simpler case: 2d QED

- Extend 0-link representation of 2d $U(1)$ with staggered fermions:

$$Z(\beta, m) = \int \left[\prod_x d\chi_x d\bar{\chi}_x e^{2am\bar{\psi}_x \psi_x} \right] \int \mathcal{G}_\beta[Q, R] \prod_{x,\mu} \int dU e^{\text{Re}((\beta J_{x\mu}^\dagger + 2\eta_{x\mu} \psi_x \psi_{x+\hat{\mu}})^\dagger U)}$$

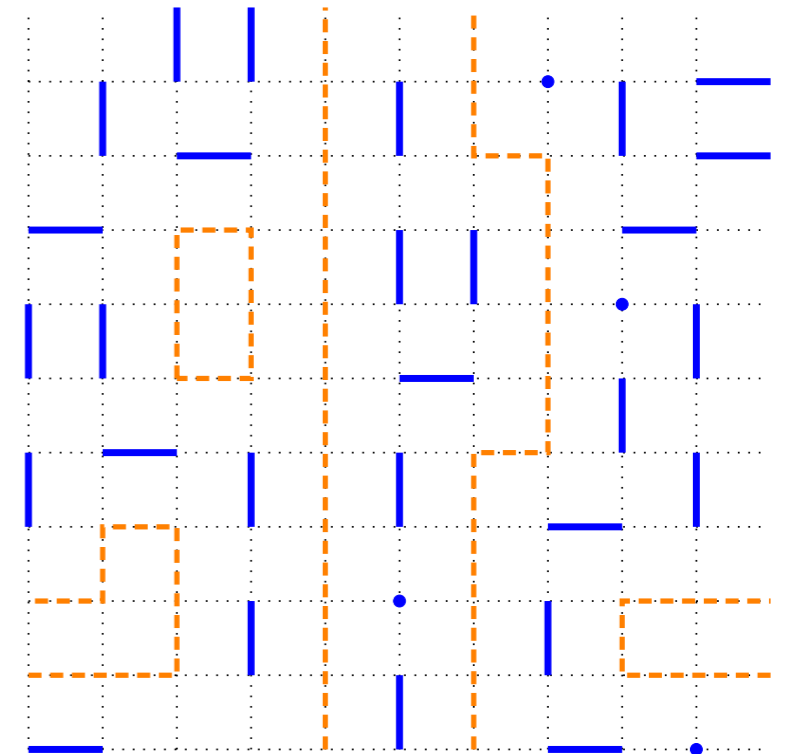
$$= \int \mathcal{G}_\beta[Q, R] \prod_{x,\mu} I_0(\beta |J_{x\mu}|) \sum_{\{n,k,C\}} \left(\prod_x (2am)^{n_x} \right) \left(\sigma_F(C) \prod_{i=1}^{\#C} 2 \text{Re}(W(C)) \right)$$

i.e. monomers, dimers and electron loops

- weight of electron loop is *global* and can be *negative*

$$W(C) = \prod_{(x,\mu) \in C} \tilde{U}_{x\mu}$$

$$\tilde{U}_{x\mu} = \frac{I_1(\beta |J_{x\mu}|)}{I_0(\beta |J_{x\mu}|)} \frac{J_{x\mu}}{|J_{x\mu}|}$$



Start with a simpler case: 2d QED

- Diagrammatic (0-link) representation of 2d $U(1)$ with staggered fermions:

$$Z(\beta, m) = \int \mathcal{G}_\beta[Q, R] \prod_{x, \mu} I_0(\beta |J_{x\mu}|) \sum_{\{n, k, C\}} \left(\prod_x (2am)^{n_x} \right) \left(\sigma_F(C) \prod_{i=1}^{\#C} 2 \operatorname{Re}(W(C)) \right)$$

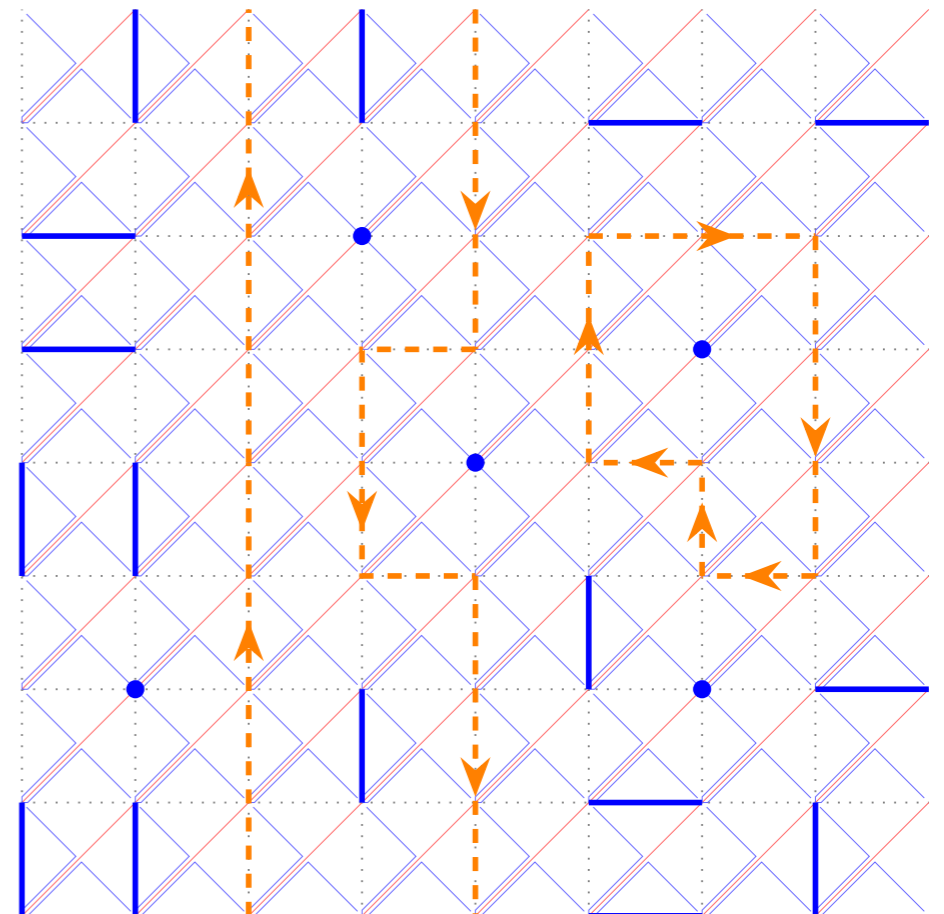
i.e. monomers, dimers (weight 1) and electron loops

- Careful: weight of electron loop is *global* and can be *negative*

$$\sigma_F(C) = \pm 1 \quad \text{depends on loop geometry}$$

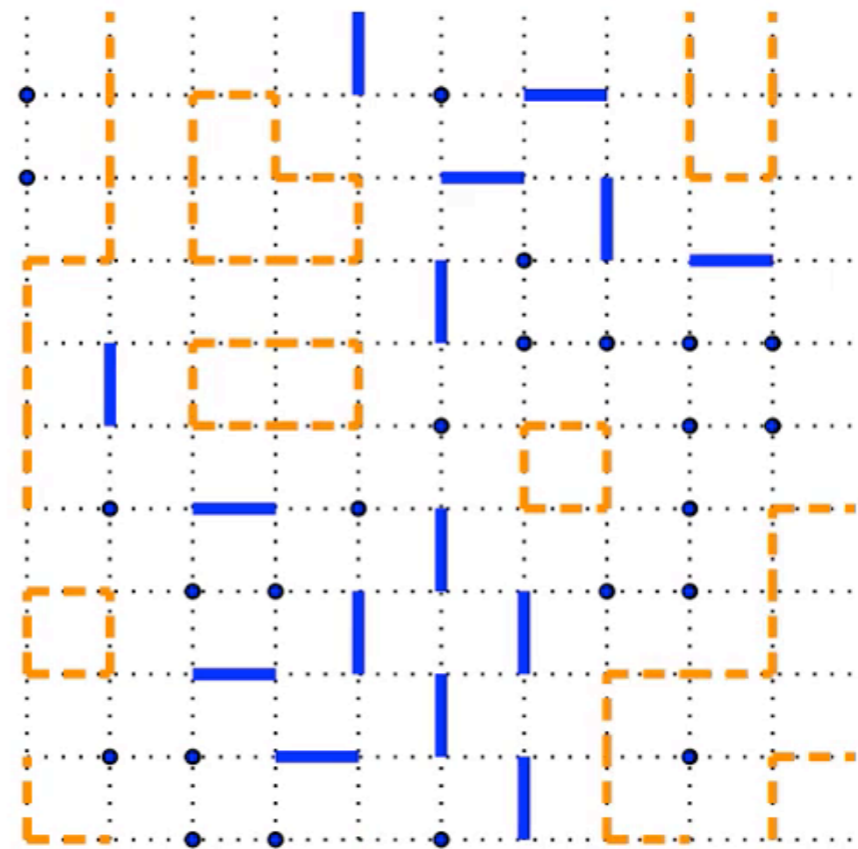
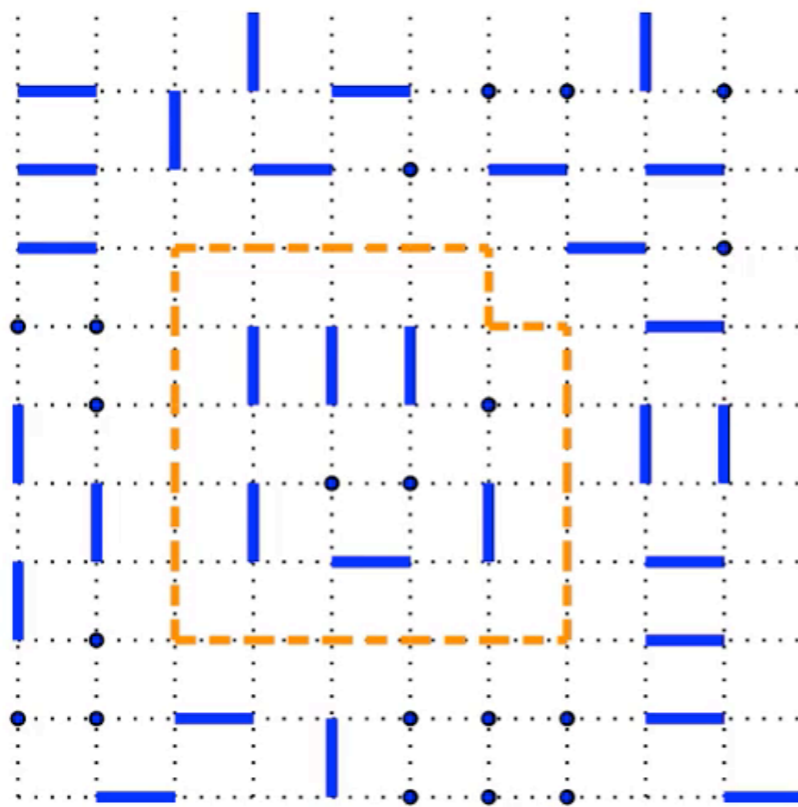
$$W(C) = \prod_{(x, \mu) \in C} \tilde{U}_{x\mu}$$

$$\tilde{U}_{x\mu} = \frac{I_1(\beta |J_{x\mu}|)}{I_0(\beta |J_{x\mu}|)} \left(\frac{J_{x\mu}}{|J_{x\mu}|} \right) \text{ phase factor}$$



Monte Carlo

- Gaussian heatbath to update $\{Q, R\}$
- “Meson” worm to update monomers and dimers
- “Electron” worm to update electron loops and dimers
generalized from Adams & Chandrasekharan



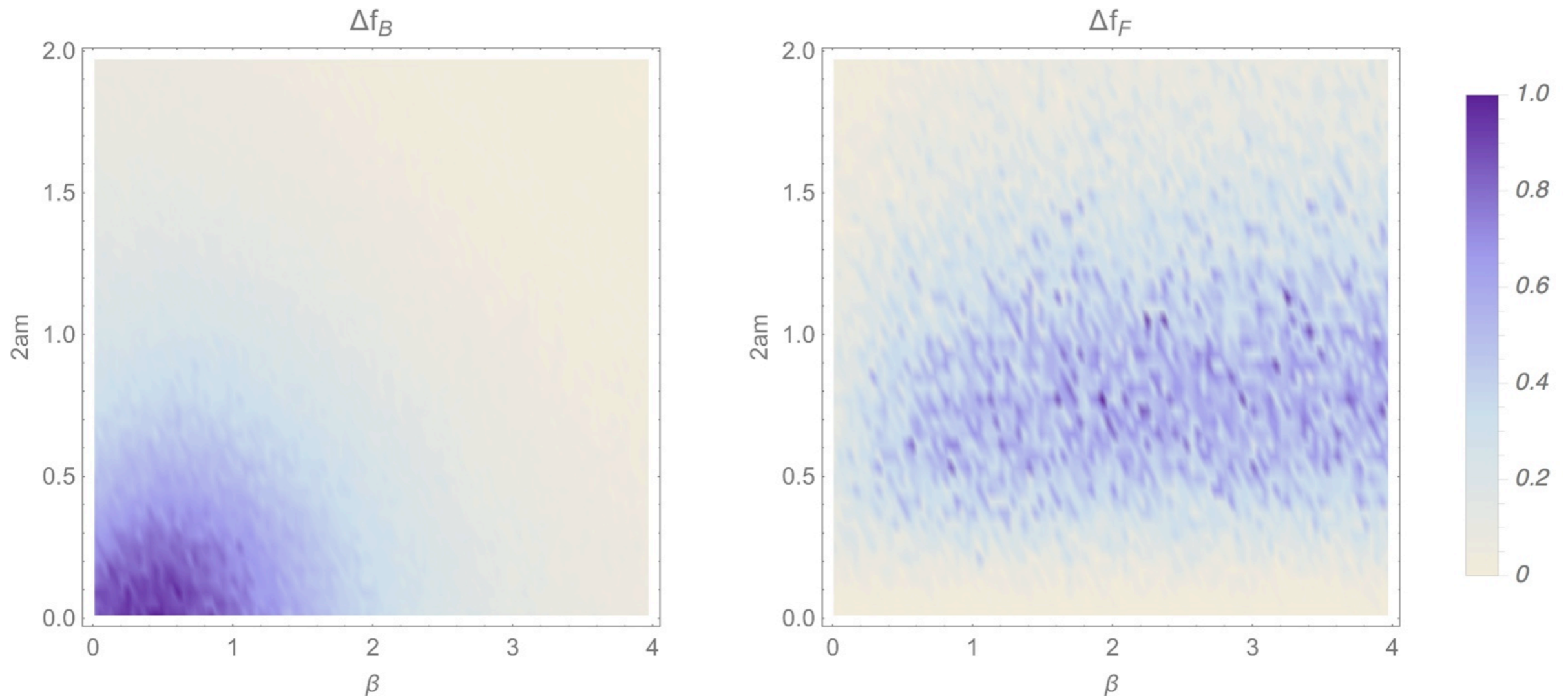
Residual sign problem?

Work in progress w/Helvio Vairinhos

Sign problems

- ▶ The **sign** $\sigma(C)$ has a **bosonic** $\sigma_B(C)$ and a **fermionic** $\sigma_F(C)$ contribution:

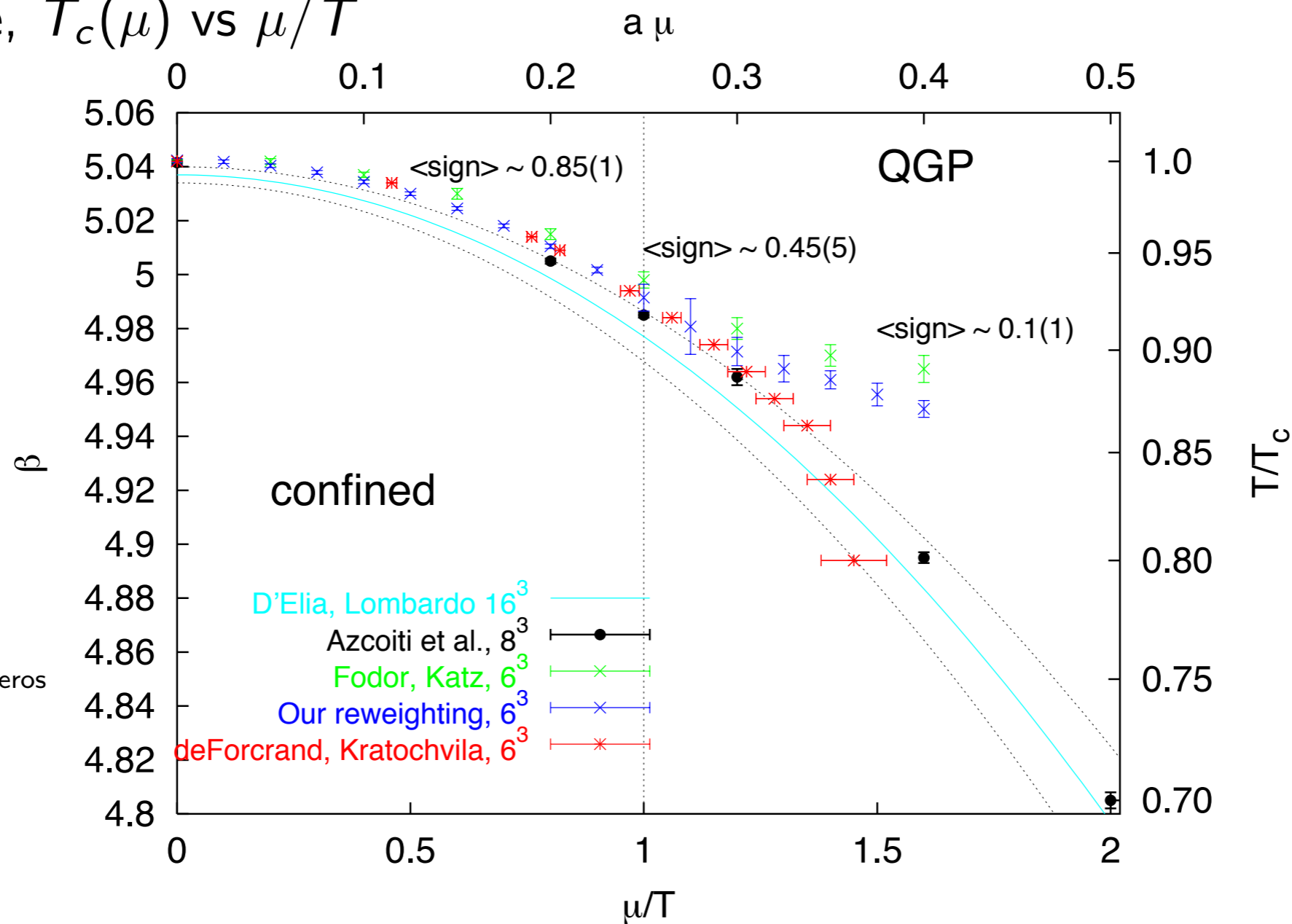
$$\sigma(C) = \underbrace{\text{sign} \left(\prod_{i=1}^{\#C} 2 \text{Re}(W(C_i)) \right)}_{\sigma_B(C)} \times \sigma_F(C)$$



Valuable crosschecks

All methods agree for $\mu/T \lesssim \mathcal{O}(1)$ on small lattices

Here, $T_c(\mu)$ vs μ/T



$N_f = 4$ staggered,
 $am_q = 0.05$, $N_t = 4$
 PdF & Kratochvila
 LAT05

imaginary μ
 2 param. imag. μ
 dble reweighting, LY zeros
 Same, susceptibilities
 canonical

- Main results:** - curvature of pseudocritical line $\left. \frac{d^2 T_c}{d\mu^2} \right|_{\mu=0}$
 - absence of critical point for $\frac{\mu}{T} \lesssim 1$

Alternative at $T \approx 0$: $\mu = 0$ + baryonic sources/sinks

Signal-to-noise ratio of N -baryon correlator $\propto \exp(-N(m_B - \frac{3}{2}m_\pi)t)$

Lepage 1989

$$C_B(t) = \text{Diagram} \sim e^{-m_B t}$$

$$|C_B(t)|^2 = \text{Diagram 1} \times \text{Diagram 2} \sim \text{Diagram 3} \sim e^{-3m_\pi t}$$

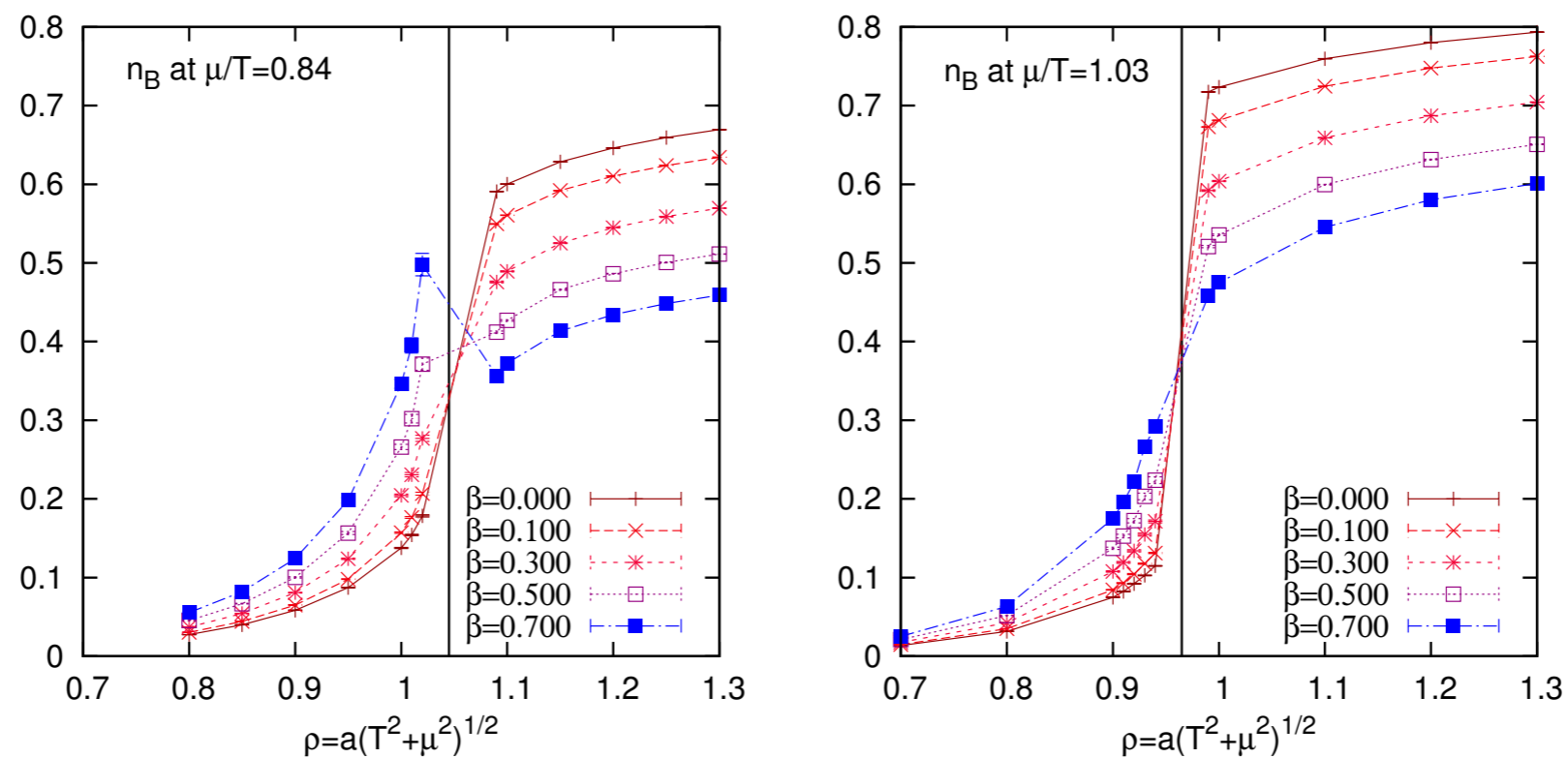
- Mitigated with variational baryon ops. $\rightarrow m_{\text{eff}}$ plateau for 3 or 4 baryons ?

Savage et al., 1004.2935

At least 2 baryons \rightarrow nuclear potential Aoki, Hatsuda et al., eg. 1007.3559

- Beautiful results with up to 12 \rightarrow 72 *pions or kaons* Detmold et al., eg. 0803.2728
(cf. isospin- μ : no sign pb.)

Liquid-gas endpoint moves to lower temperatures as β increases



Jump at $\beta = 0$ becomes crossover as β grows

Monte Carlo algorithms

► Bosonic updates:

1. **Gaussian heatbath** for the auxiliary fields (Q, R) + HS transformations (with the help of an auxiliary $U(1)$ field)
2. Metropolis update to correct for electron loop weights

$$\underbrace{\mathcal{G}_\beta[Q, R] \prod_{x, \mu} I_0(\beta |J_{x\mu}|)}_{\text{Heatbath (local)}} \underbrace{\prod_{i=1}^{\#C} 2 \operatorname{Re}(W(C_i))}_{\text{Metropolis (global)}}$$

► Fermionic updates:

1. **“Meson” worm algorithm:** Updates the monomer-dimer cover, with target distribution:

$$w_m = \prod_x (2am)^{n_x} \prod_{x, \mu} 1$$

2. **Electron worm algorithm:** Transforms electron loops into dimers and vice versa, with target distribution:

$$w_e = \prod_{x, \mu} 1 \prod_{i=1}^{\#C} |2 \operatorname{Re}(W(C_i))| = \underbrace{\prod_{x, \mu} 1 \left(\frac{I_1(\beta |J_{x\mu}|)}{I_0(\beta |J_{x\mu}|)} \right)^{b_{x\mu}}}_{\text{Worm (local)}} \underbrace{\prod_{i=1}^{\#C} |2 \cos(\varphi(C_i))|}_{\text{Metropolis (global)}}$$

Adams & Chandrasekharan (2003)

Chandrasekharan & Jiang (2006)

Why are we stuck at $\mu = 0$? The “sign problem”

- quarks anti-commute \rightarrow integrate analytically: $\det(\not{D}(U) + m + \mu\gamma_0)$
 $\gamma_5(i\not{p} + m + \mu\gamma_0)\gamma_5 = (-i\not{p} + m - \mu\gamma_0) = (i\not{p} + m - \mu^*\gamma_0)^\dagger$

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det **real** only if $\mu = 0$ (or $i\mu_i$), otherwise can/will be **complex**

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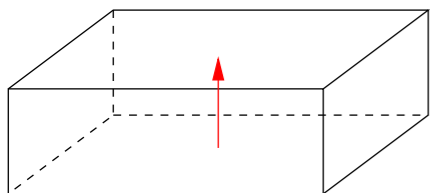
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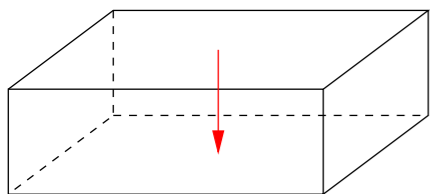
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$$\langle \text{Tr Polyakov} \rangle = \exp(-\frac{1}{T} F_{\mathbf{q}}) = \int \text{Re Pol} \times \text{Re } d\varpi - \text{Im Pol} \times \text{Im } d\varpi$$



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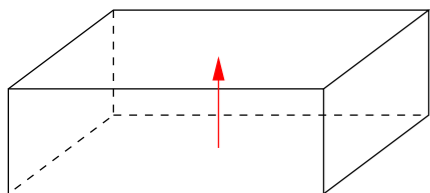
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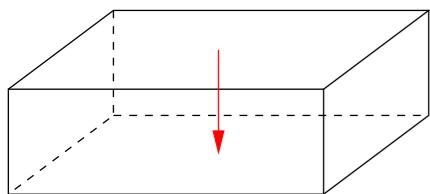
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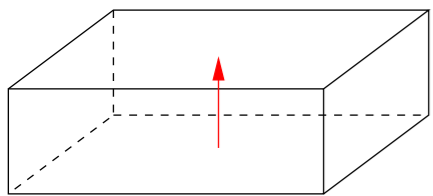
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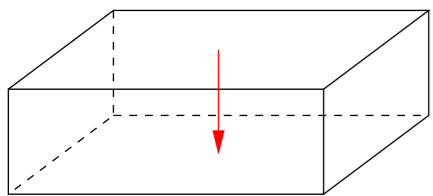
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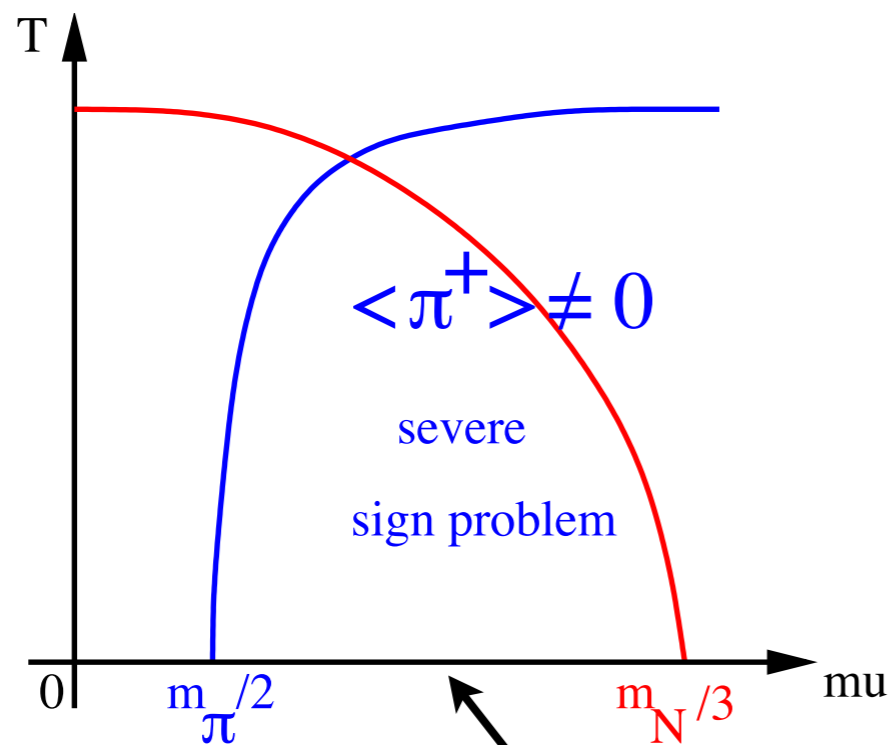
Complex determinant \implies no probabilistic interpretation \longrightarrow Monte Carlo ??

Sampling for QCD at finite μ

- **QCD**: sample with $|\text{Re}(\det(\mu)^{N_f})|$ optimal, but not equiv. to Gaussian integral
Can choose instead: $|\det(\mu)|^{N_f}$, i.e. “**phase quenched**”
 $|\det(\mu)|^{N_f} = \det(+\mu)^{\frac{N_f}{2}} \det(-\mu)^{\frac{N_f}{2}}$, i.e. **isospin** chemical potential $\mu_u = -\mu_d$
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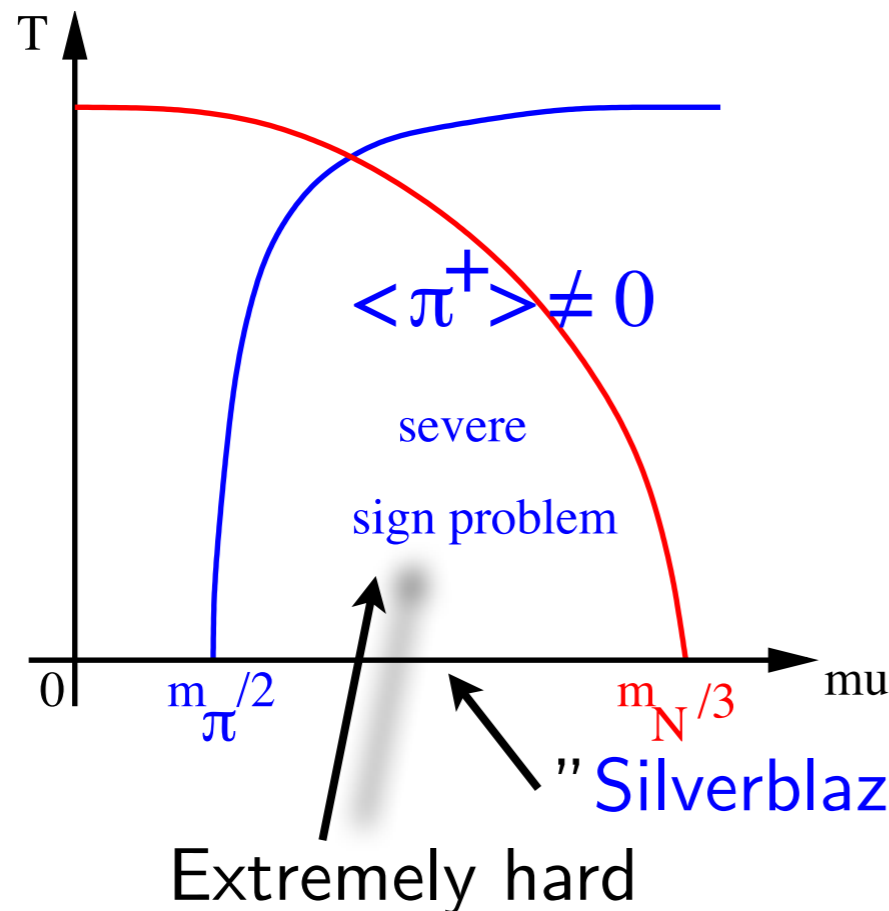


$\Delta f(\mu^2, T)$ large in the Bose phase
 \rightarrow “severe” sign pb.

“Silverblaze pb”: phase of det changes groundstate

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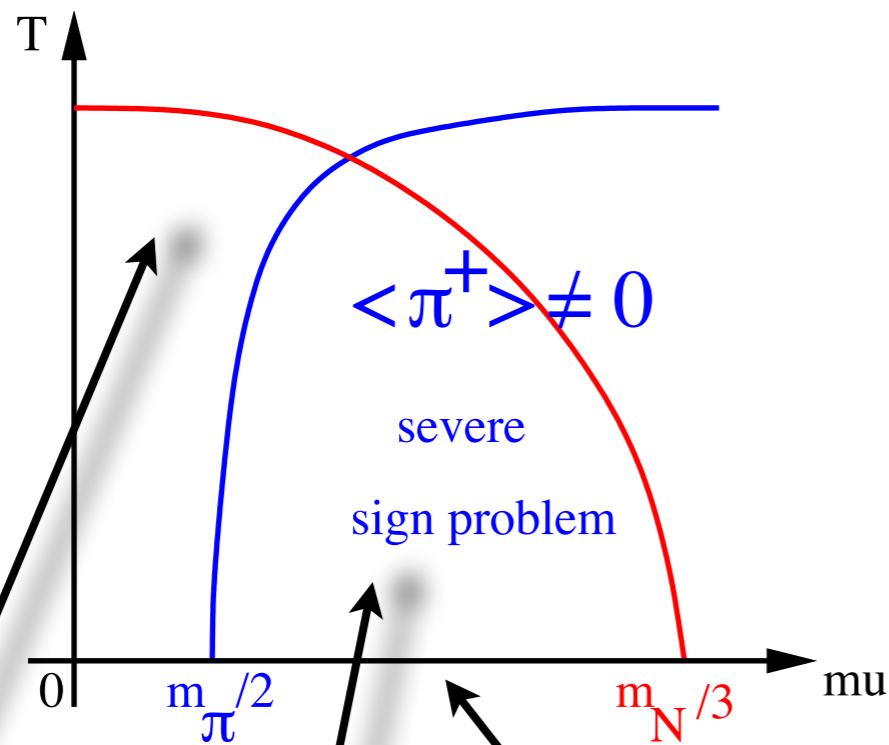
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Extremely hard

Not as hard

$$\frac{\mu}{T} \lesssim 1$$