¹ Measurement of W- and Z-boson production in p–Pb ² collisions at $\sqrt{s_{\text{NN}}} = 5.02$ TeV with ALICE at the LHC

Jianhui Zhu^{1,2}, on behalf of the ALICE collaboration ¹Key Laboratory of Quark and Lepton Physics (MOE) and Institute of Particle Physics,

Central China Normal University, Wuhan, China ²SUBATECH, Ecole des Mines de Nantes, Université de Nantes, CNRS-IN2P3, Nantes, France

E-mail: Jianhui.Zhu@cern.ch

Abstract. In hadronic collisions, electroweak bosons (W and Z) are produced in initial hard scattering processes and they are not affected by the strong interaction. In proton–proton collisions, they have been suggested as standard candles for luminosity monitoring and their measurement can improve the evaluation of detector performances. In nucleus-nucleus and proton–nucleus collisions, electroweak bosons provide a check at first order the validity of binary collision scaling, while small deviations allow studying the nuclear modifications of parton distribution functions. In ALICE, the production of W and Z bosons is measured via the contribution of W-boson decays to the inclusive $p_{\rm T}$ -differential muon yield and the invariant mass of unlike-sign muon pairs from Z-boson decays, respectively, at large rapidities. This paper reports on the production cross sections of muons from W muonic decay and of Z boson in p–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV, which are compared to the theoretical calculations, and on the W-boson yields normalized to the average number of binary nucleon-nucleon collisions as a function of the event activity.

21 1. Introduction

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The high collision energies available at the Large Hadron Collider (LHC) at CERN allow 22 for an abundant production of hard probes, such as heavy quarks, quarkonia, high $p_{\rm T}$ jets 23 and electroweak bosons (W^{\pm}, Z^0) . Due to large masses of electroweak bosons, they are 24 produced in initial hard parton scattering processes, with a formation time of the order of 25 $1/M \sim 0.002 - 0.003$ fm/c and, having a lifetime of 0.08-0.10 fm/c, thus decay before the 26 formation of the quark-gluon plasma (QGP), which is a deconfined phase of QCD matter that 27 is formed in high-energy heavy-ion collisions. Furthermore, their leptonic decay products do 28 not interact strongly with the hot and dense QCD medium. In proton-proton collisions, precise 29 theoretical predictions of the W- and Z-boson production make them good standard candles for 30 the luminosity measurement. Moreover, they can be used to constrain the parton distribution 31 functions (PDFs) at high momentum transfer (Q^2) . In proton-nucleus and nucleus-nucleus 32 collisions, precise measurements of W- and Z-boson production can constrain the modification 33 of the parton distribution functions in the nucleus (nPDFs) [1] and at first order they can be 34 used to test the scaling of hard particle production with the number of binary nucleon-nucleon 35 collisions [2]. 36

37 2. Experimental apparatus and data sample

The ALICE experiment is equipped with two magnets and various detectors for triggering, 38 tracking and particle identification [3, 4]. Muons are reconstructed in the muon spectrometer, 39 which covers the pseudo-rapidity range $-4 < \eta_{\rm lab} < -2.5$, consists of a thick front absorber, a 40 dipole magnet, five tracking stations, a muon filter and two trigger stations. The inner tracking 41 system consists of six layers of silicon detectors covering the range $|\eta_{\rm lab}| < 0.9$. In this analysis, 42 the interaction vertex is measured with the two innermost layers, which are equipped with 43 Silicon Pixel Detectors (SPD). The number of clusters in the outer layer of the SPD (CL1) can 44 be used to characterize the event activity. Two arrays of scintillators placed at both sides of the 45 interaction point, the VZERO detector (V0A ($2.8 < \eta_{\text{lab}} < 5.1$) and V0C ($-3.7 < \eta_{\text{lab}} < -1.7$)), 46 provide the trigger and an additional event activity estimation. A third estimation of the event 47 activity is obtained with the Zero Degree Calorimeters (ZNA and ZNC) located at both sides 48 of the detector at 112.5 m from the main interaction point along the beam line. Due to the 49 energy asymmetry of the proton and lead colliding beams at the LHC, the centre-of-mass system 50 of nucleon-nucleon collisions is shifted by $\Delta y = 0.465$ in the direction of the proton beam, 51 with respect to the laboratory frame. Experimental data have been collected with two beam 52 configurations (p-Pb and Pb-p) by inverting the orbits of the two particle species. The rapidity 53 regions covered by the muon spectrometer in the two cases are $2.03 < y_{\rm cms}^{\mu} < 3.53$ (forward, 54 p-going direction) and $-4.46 < y_{\rm cms}^{\mu} < -2.96$ (backward, Pb-going direction). 55

The data have been collected at $\sqrt{s_{\rm NN}} = 5.02$ TeV with minimum bias (MB), high- $p_{\rm T}$ single-56 muon (MSH) and low- $p_{\rm T}$ di-muon (MUL) triggers. The MB trigger is defined requiring hits in 57 both sides of the VZERO detector in coincidence with the beam counters. The MSH trigger 58 is defined by asking, in addition to the MB condition, for a high transverse momentum muon 59 with $p_{\rm T} \gtrsim 4.2 \text{ GeV/c}$ in the trigger system of the spectrometer. The MUL is a coincidence 60 of MB trigger and an unlike-sign muon pair selected with $p_{\rm T} \gtrsim 0.5 \text{ GeV/c}$ for each of them. 61 The MSH and MUL data samples are used for W- and Z-boson analysis, respectively. The 62 integrated luminosity for the forward and backward rapidity measurements are 5.03 ± 0.18 nb⁻¹ 63 and 5.81 ± 0.20 nb⁻¹ [5], respectively. The track in the muon tracking system is required to be in 64 the geometrical acceptance $(-4 < \eta_{lab} < -2.5)$, to have a polar angle measured at the end of the 65 front absorber of $170^{\circ} < \theta_{abs} < 178^{\circ}$ and match a tracklet in the trigger stations in order to reject 66 the remaining contamination from hadrons. Furthermore, the correlation between momentum 67 and distance of closest approach (DCA) to the interaction vertex was used to remove beam-gas 68 collisions and secondary particles produced in the absorber. 69

70 3. W-boson measurement

The present analysis is based on the extraction of the W-boson contribution from the transverse 71 momentum distribution of inclusive muons. At high $p_{\rm T}$, the main contributions to the yield of 72 inclusive muons come from the muonic decays of W bosons, the di-muon decays of Z^0/γ^* bosons 73 and the semi-muonic decays of beauty hadrons. The yield of muons from W-boson decays can 74 be obtained through a fit based on suitable parameterizations of the different components. The 75 distribution of muons from intermediate vector boson decays is described by Monte Carlo (MC) 76 templates obtained from POWHEG simulations [6]. The isospin dependence of the W-boson 77 differential cross-section [7] is accounted for by simulating separately p-p and p-n collisions and 78 then summing the results together according to: 79

$$\frac{1}{N_{\rm p-Pb}} \cdot \frac{\mathrm{d}N_{\rm p-Pb}}{\mathrm{d}p_{\rm T}} = \frac{Z_{\rm Pb}}{A_{\rm Pb}} \cdot \frac{1}{N_{\rm p-p}} \cdot \frac{\mathrm{d}N_{\rm p-p}}{\mathrm{d}p_{\rm T}} + \frac{A_{\rm Pb} - Z_{\rm Pb}}{A_{\rm Pb}} \cdot \frac{1}{N_{\rm p-n}} \cdot \frac{\mathrm{d}N_{\rm p-n}}{\mathrm{d}p_{\rm T}}$$
(1)

where $A_{\rm Pb} = 208$ and $Z_{\rm Pb} = 82$. The generation of muons from Z^0/γ^* decays is performed in an equivalent way. For the description of the background at low $p_{\rm T}$ (10 < $p_{\rm T}$ < 40 GeV/c), which originates from the decays of beauty hadrons, three approaches are adopted: MC templates ⁸³ based on pQCD calculations with FONLL [8], functional form, which was already successfully ⁸⁴ adopted for analogous measurements by the ATLAS experiment at the LHC [9] and another ⁸⁵ function derived from the second term of the parameterization used by ATLAS, which can be ⁸⁶ written as $f(p_{\rm T}) = a \cdot \frac{e^{b} \cdot \sqrt{p_{\rm T}}}{p_{\rm T}^c}$. The different contributions are summed together in the fit function:

$$f(p_{\rm T}) = N_{\mu \leftarrow \rm HF} \cdot f_{\mu \leftarrow \rm HF}(p_{\rm T}) + N_{\mu \leftarrow \rm W} \cdot f_{\mu \leftarrow \rm W}(p_{\rm T}) + N_{\mu \leftarrow \rm Z^0/\gamma^*} \cdot f_{\mu \leftarrow \rm Z^0/\gamma^*}(p_{\rm T})$$
(2)

where $f_{\mu \leftarrow \text{HF}}$ can be either a functional form or the MC template for muons from heavy-flavour decays, and $f_{\mu \leftarrow W}$ and $f_{\mu \leftarrow Z^0/\gamma^*}$ are the MC templates for muons from W and Z^0/γ^* boson decays, respectively. The number of muons from W decays $(N_{\mu \leftarrow W})$ is a free parameter, while the ratio $N_{\mu \leftarrow Z^0/\gamma^*}/N_{\mu \leftarrow W}$ is fixed to the value obtained with POWHEG. Figure 1 presents three examples of the combined fit for μ^+ by varying heavy-flavour background description.



Figure 1. Examples of the combined fit to the inclusive $p_{\rm T}$ -spectrum of μ^+ at forward rapidity. Heavy-flavour templates based on FONLL [8] (left), the ATLAS function [9] (middle) and the 2^{nd} term (second addend) of the ATLAS function (right). The errors on the yields represent the statistical uncertainties.

The uncertainty on the background description is determined by using either FONLL-based 92 MC templates or functional forms and by varying the $p_{\rm T}$ range in the fit. The relative 93 contribution of Z^0/γ^* is varied based on POWHEG and PYTHIA [10] predictions. The effect of 94 the detector alignment is taken into account by producing the templates using different residual 95 alignment assumptions. The signal was extracted several times by varying the above conditions 96 and the final result is chosen as the weighted average of all of the trials. The systematic 97 uncertainties are given by the RMS of the trials. It is worth noting that POWHEG does not 98 account for the nuclear modification of the PDFs. Hence, the signal extraction using PYTHIA 99 with EPS09 parameterization of the nuclear PDFs [11] was included in the calculation of the 100 systematic uncertainties. 101

After signal extraction, the yields of muons from W-boson decays are corrected for acceptance times efficiency (88% for forward, 76% for backward). The measured production cross-sections of muons from W-boson decays at forward and backward rapidity in p-Pb collisions are shown in Figure 2 and compared with theoretical predictions based on NLO pQCD calculations with CT10 PDFs [12] and modified CT10 PDFs with the EPS09 parametrization of nuclear effects. Both of the theoretical predictions with and without EPS09 agree with the measurement within uncertainties.

The yield of muons from W-boson decays can also be extracted per event activity interval. It is corrected for acceptance times efficiency and normalized to the number of equivalent MB

event. Thus, the normalized yield can be divided by the average number of binary nucleon-111 nucleon collisions $\langle N_{\rm coll} \rangle$ in each event activity interval, in order to obtain the yield per binary 112 collision. The average number of binary collisions $\langle N_{\rm coll} \rangle$ is determined from a Glauber-model 113 based analysis in the case of V0A, V0C and CL1 estimators, while for the ZN estimator it 114 is computed with a hybrid approach, assuming that the particle density at mid-rapidity is 115 proportional to the number of nucleons participating in the collision, N_{part} [2]. The measured 116 yields of muons from W-boson decays over $\langle N_{\rm coll} \rangle$ in p–Pb collisions at forward and backward 117 rapidities are shown in Figure 3 as a function of event activity. The results present a flat trend 118 as function of event activity, and are similar for the three estimators within uncertainties. 119



Figure 2. The cross section of muons from W-boson decays compared with pQCD [1] calculations with CT10 PDFs [12] (left) and CT10 PDFs including nuclear effects EPS09 [11] (right) at forward and backward rapidity. The cut of $p_{\rm T} > 10$ GeV/c is added.



Figure 3. Muon yields from W-boson decays normalized to the average number of binary collisions as a function of event activity at forward (left) and backward (right) rapidity. The green points represent the values in minimum-bias collisions.

120 4. Z-boson measurement

The signal of Z boson is seen as a peak around 90 GeV/c^2 in the invariant-mass distribution of unlike-sign muon pairs, selected according to the criterion described in section 2 and with

 $p_{\rm T} > 20 {\rm ~GeV/c}$ in order to reduce background from lower mass resonances decay and of the 123 semi-leptonic decay of charm and beauty hadrons. It was verified that loosening the requirement 124 on the minimum $p_{\rm T}$ of the muons to 10 GeV/c does not introduce any additional unlike-sign 125 muon pair with $m_{\mu\mu} > 40 \text{ GeV/c}^2$. The invariant mass distribution is shown in Figure 4. 22 (2) candidates with $m_{\mu\mu} > 60 \text{ GeV/c}^2$ were reconstructed at forward (backward) rapidity in 126 127 p-Pb collisions. At forward rapidity, the distribution is compared with expectations from the 128 POWHEG simulations with CT10 PDFs and EPS09 nPDFs. The parameters were extracted 129 from a fit using the Crystal-Ball function [13], which consists of a Gaussian core with power-130 law tails on both sides. A good agreement within the experimental uncertainties between 131 data and POWHEG is obtained. The contribution to the invariant-mass distribution from 132 the combinatorial background can be estimated using the like-sign dimuon distribution: no 133 candidates were found in the region $60 < m_{\mu\mu} < 120$ GeV/c². A 0.1% upper limit for this 134 contribution is obtained by extrapolating the like-sign dimuon distribution at low mass to the 135 region of interest. Contributions from other physics processes, like the semi-leptonic decay of $c\bar{c}$, 136 $b\bar{b}$ and $t\bar{t}$ pairs and the muonic decay of τ pairs in the process $(Z \to \tau \tau \to \mu \mu)$, is estimated using 137 MC simulations to be less than 0.7% (0.4%) at forward and backward rapidity, respectively. 138



Figure 4. Invariant-mass distribution of unlike-sign muon pairs with $p_{\rm T} > 20$ GeV/c in the pgoing (left panel) and Pb-going (right panel) direction. The blue line represents the distribution obtained using POWHEG simulation with CT10 PDFs and EPS09 nPDFs and normalized to the number of Z candidates in the data.

The measured number of candidates is corrected by the acceptance times efficiency (78%)139 for forward, 61% for backward) with a relative systematic uncertainty (1% for forward, 2% for 140 backward). The measured production cross section of muons from Z-boson decays at forward 141 and backward rapidity in p-Pb collisions is shown in Figure 5 (left) and compared with next-to-142 next-to leading order (NNLO) Fully Exclusive W and Z (FEWZ) [14] predictions with CT10nlo 143 [15], CTEQ6m [16], JR09NNLO [17] and MSTW2008NNLO [18] with and without nPDFs. Since 144 there are few candidates at backward rapidity, limiting the measurement statistical precision, 145 an upper limit of the cross section is also evaluated. The ratio of the measured cross sections 146 (obtained by ALICE and LHCb [19]) to FEWZ are shown in Figure 5 (right). The measurements 147 of ALICE are in agreement with theoretical calculations at both rapidity regions. 148

¹⁴⁹ 5. Conclusion

The production cross section of muons from W- and Z-boson decays at forward and backward rapidity has been measured in p-Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV with the ALICE muon spectrometer. Theoretical predictions based on NLO pQCD and FEWZ calculations with CT10 PDFs agree with the measurement within uncertainties for W and Z boson, respectively. Taking into account the EPS09 parametrization of nuclear effects on the PDFs further improves the



Figure 5. (Left) The cross section of muons from Z-boson decays compared to FEWZ [14] calculations with different PDFs with and without nuclear effects EPS09 [11]. (Right) The ratio of cross section to FEWZ calculation measured by the ALICE collaboration is compared with those obtained by the LHCb collaboration [19].

agreement between theoretical predictions and the measurements at forward rapidity where shadowing is expected to be important. The ALICE measurement on Z-boson agrees with the results from LHCb [19] at forward and backward rapidities, whereas CMS [20, 21] and ATLAS [22] results indicate that electroweak bosons favour the modification of the PDFs at mid-rapidity. The yield of muons from W-boson decays in different event activity scales with $\langle N_{\rm coll} \rangle$ within the experimental uncertainties.

161 Acknowledgments

This work is supported partly by the Chinese Ministry of Science and Technology 973 grant 2013CB837803, NSFC Grant 11375071, 11475068, IRG 11521064 and CSC grant No. 201306770033.

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