

#### Materials & Properties II: Thermal & Electrical Characteristics

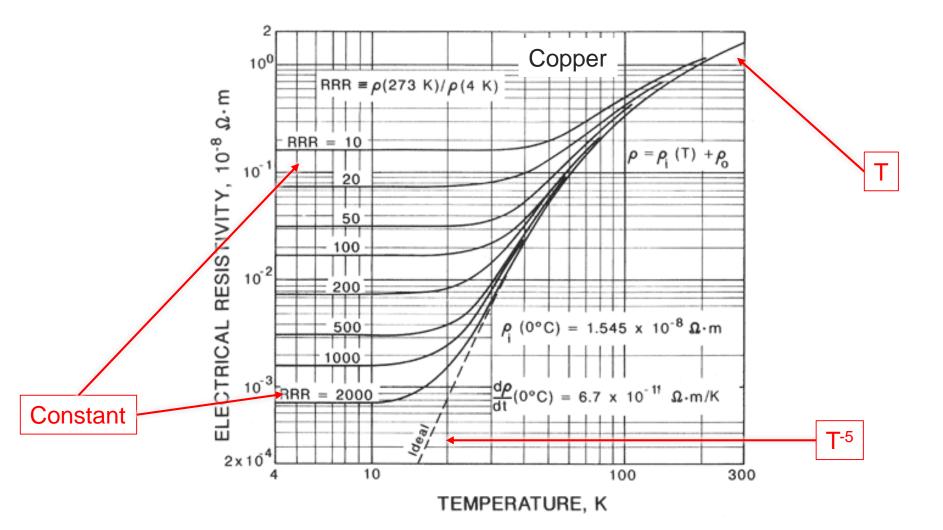
Sergio Calatroni - CERN

# Outline (we will discuss mostly metals)

- Electrical properties
  - Electrical conductivity
    - Temperature dependence
    - Limiting factors
  - Surface resistance
    - Relevance for accelerators
    - Heat exchange by radiation (emissivity)
- Thermal properties
  - Thermal conductivity
    - Temperature dependence, electron & phonons
    - Limiting factors

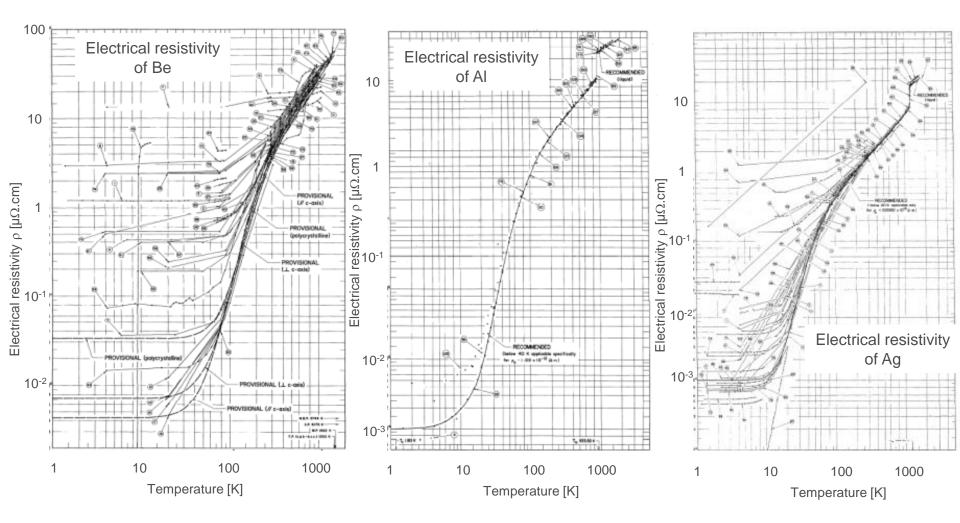


#### The electrical resistivity of metals changes with temperature



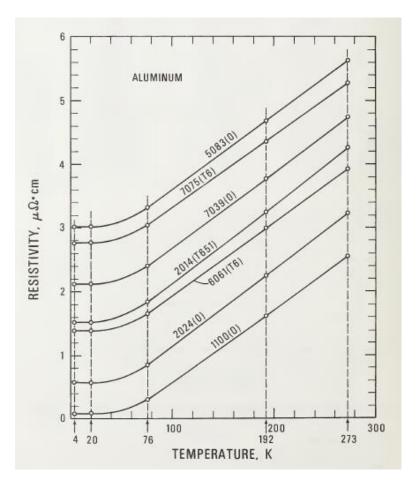


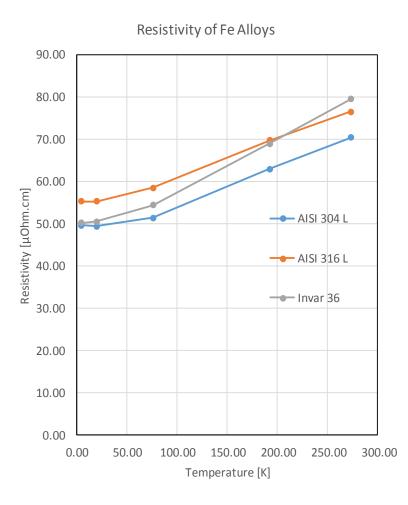
#### All pure metals...





Alloys?







Properties II: Thermal & Electrical

CAS Vacuum 2017 - S.C

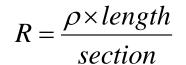
#### Some resistivity values (in $\mu\Omega.cm$ ) (pure metals)

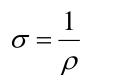
ELEMENT	77 K	273 K		
Li	1.04	8.55		
Na	0.8	4.2		
K	1.38	6.1		
Rb	2.2	11.0		
Cs	4.5	18.8		
Cu	0.2	1.56		
Ag	0.3	1.51		
Au	0.5	2.04		
Be		2.8		
Mg	0.62	3.9		
Ca		3.43		
Sr	7	23		
Ba	17	60		Variation of a factor ~70
Nb	3.0	15.2		· · · · ·
Fe	0.66	8.9		for pure metals at room
Zn	1.1	5.5		•
Cd	1.6	6.8		temperature
Hg	5.8	Melted		
Al	0.3	2.45		
Ga	2.75	13.6		
In	1.8	8.0		
T1	3.7	15		
Sn	2.1	10.6		
Pb	4.7	19.0		
Bi	35	107		
Sb	8	39	$\checkmark$	

Even alloys have seldom more than a few 100s of  $\mu\Omega$ cm We will not discuss semiconductors (or in general effects not due to electron transport)



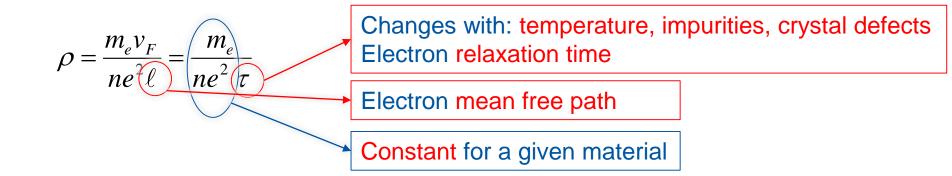
#### Definition of electrical resistivity $\rho$





The electrical resistance of a real object (for example, a cable)

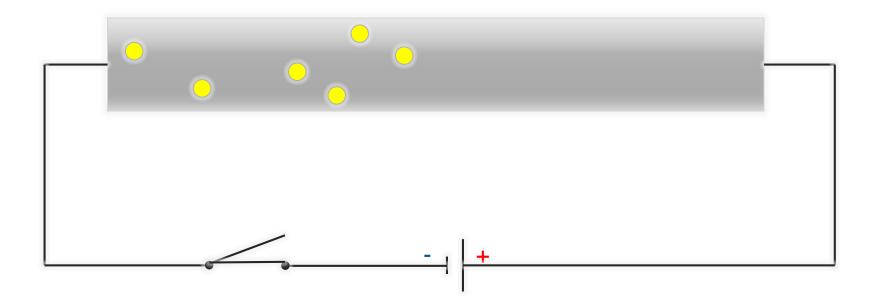
The electrical resistivity is measured in Ohm.m Its inverse is the conductivity measured in S/m





Basics (simplified free electron Drude model)

Electrical current = movement of conduction electrons

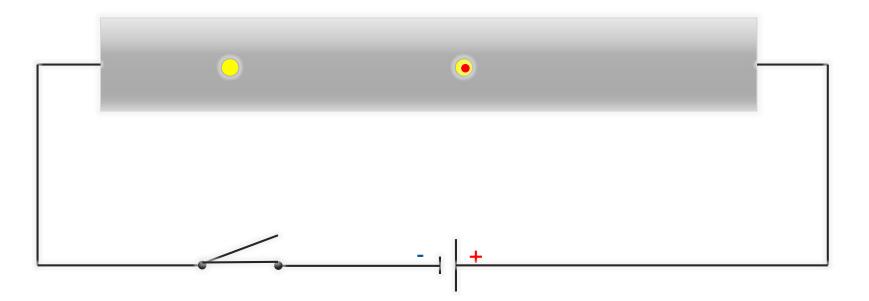




#### Defects

Defects in metals result in electron-defect collisions They lead to a reduction in mean free path  $\ell$ , or equivalently in a reduced relaxation time  $\tau$ .

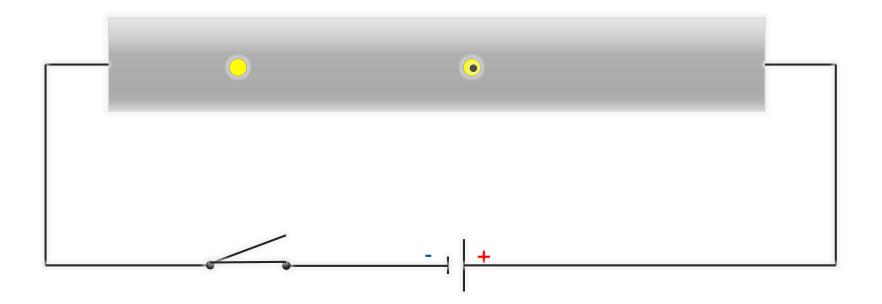
They are at the origin of electrical resistivity  $\rho$ 





#### Possible defects: phonons

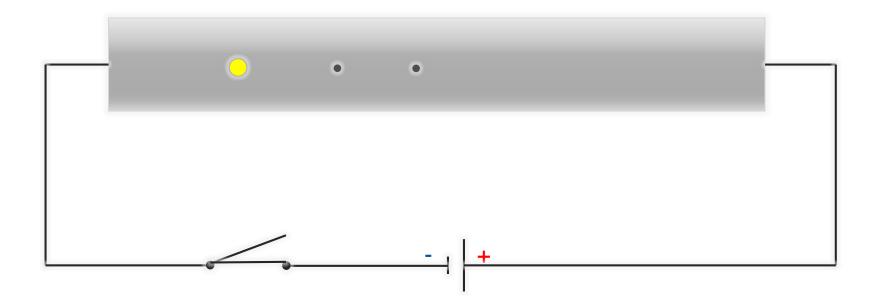
Crystal lattice vibrations: phonons Temperature dependent





#### Possible defects: phonons

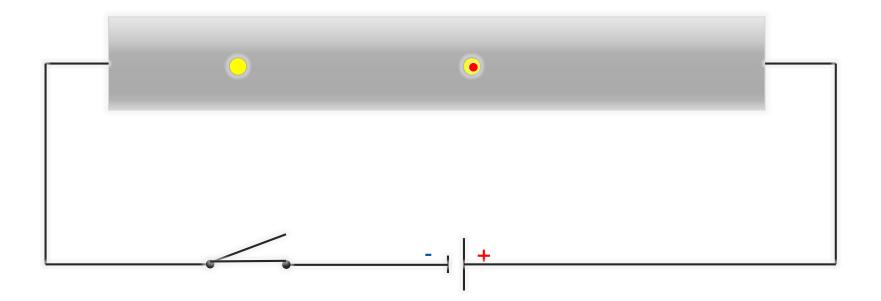
Crystal lattice vibrations: phonons Temperature dependent





#### Possible defects: impurities

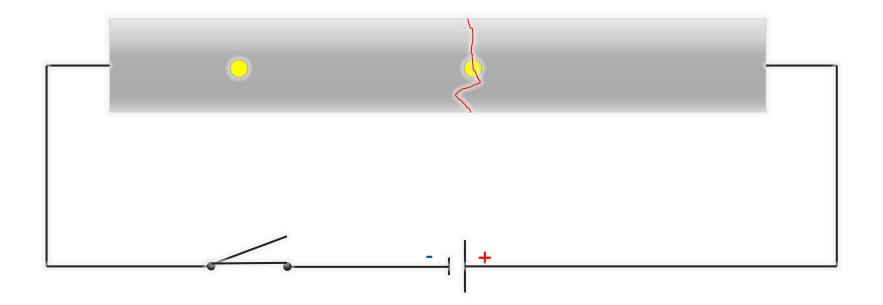
Can be inclusions of foreign atoms, lattice defects, dislocations Not dependent on temperature





#### Possible defects: grain boundaries

Grain boundaries, internal or external surfaces Not dependent on temperature



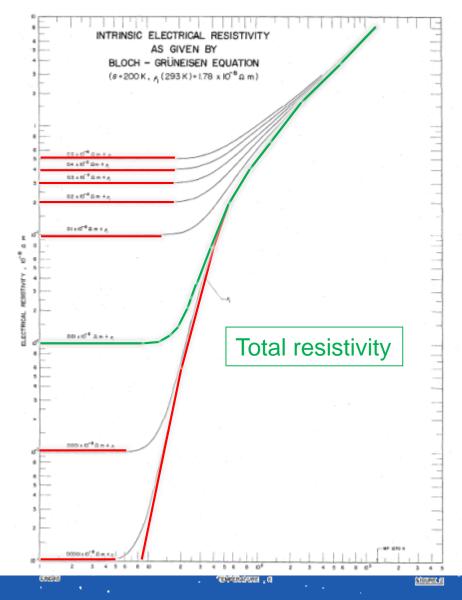


#### The two components of electrical resistivity

Proportional to:

- Impurity content
- Crystal defects
- Grain boundaries

Does not depend on temperature



Temperature dependent part

It is characteristic of each metal, and can be calculated

Varies of several orders of magnitude between room temperature and "low" temperature



#### Temperature dependence: Bloch-Grüneisen function

$$\rho_{ph}(T) = \left(\frac{\Theta_d}{T}\right)^{-5} \int_0^{\frac{\Theta_d}{T}} \frac{x^5}{(e^x - 1)(1 - e^{-x})} dx$$

Debug temperatura

$$\Theta_{d} = \frac{hv_{s}}{2\pi k_{B}} \left( 6\pi^{2} \frac{N}{V} \right)^{1/3} \qquad \begin{array}{l} \text{Debye temperature.} \\ \sim \text{ maximum frequency of} \\ \text{crystal lattice vibrations} \\ \text{(phonons)} \end{array} \qquad \begin{array}{l} \text{o}_{1} & \text{o}_{1} & \text{o}_{2} & \text{o}_{1} & \text{o}_{2} & \text{o}_$$



0,3

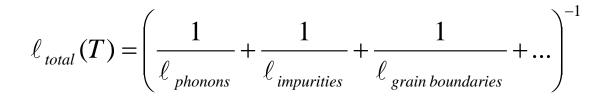
0,4

0,

#### Low-temperature limits: Matthiessen's rule

$$\rho_{total}(T) = \rho_{phonons}(T) + \rho_{impurities} + \rho_{grain \ boundaries} + \dots$$

#### Or in other terms



Every contribution is additive.

Physically, it means that the different sources of scattering for the electrons are independent



## Effect of added impurities (copper)

	Kupfer gelöste Bein Löslichkeit in	$\Delta \varrho   a$	beobachteter	
Beimengung	Kupfer in Gew% bei Raumtemp.	$(\mu\Omega \cdot \text{cm})$ je Atom-%	Streubereich von $\Delta \varrho   a$	ρ <sub>(Cu)</sub> (300K)=1.65 μΩ.cm
Ag	0,1	0,6	0,10,6	
$\begin{array}{c} \operatorname{Ag} \\ \operatorname{Al} \end{array}$	9,4	0,95	0,81,1	
As	6,5	6,7	6.66.8	
Au	100	0,55	0,50,6	
В	0,06	1,4	1,42,0	
Be	0,2	0,65	0,60,7	
Ca	<0,01	(0,3)*)	0,0110,7	
Čd	<0,5		0,210,31	
Co	0,2	0,3 6,9	6,07,0	
Čř	<0,03	4,0	3,84,2	
Fe	0,10	8,5		•
Ĝa	20	1,4	8,58,6	
Ge	11	3,7		
Hg		(1,0)*)	3,6…3,75	
În	3,0	1,1	1.0.1.2	
Îr	1,5	(6,1)*)	1,011,2	
Ĺi	<0,01	$(0,7)^*)$		
Mg	1,0	$(0,7)^{(0)}$		
Mn	24		2820	
Ni	100	2,9 1,1	2,83,0	
Õ	ca. 0,0002	5,3	$1, 1 \cdots 1, 15$ $4, 8 \cdots 5, 8$	
P	0,5			
Pb	0,02	6,7	6,76,8	
Pd	40	3,3	3,04,0	
Pt	100	0,95	0,9…1,0	
Rh	20	2,0	1,9…2,1	
S	ca. 0,0003	(4,4)*)	97.07	
Sb	2 ca. 0,0005	9,2	8,79,7	
Se	ca. 0,0004	5,5	5,45,6	
Si	2	10,5	10,210,8	Note: alloys behave as
Sn	1,2	3,1	3,03,2	Note: alloys behave as
Te	ca. 0,0005	3,1 8,4	$2,8\cdots 3,5$ $8,4\cdots 8,5$	having a vary large am
Ti	0,4	(16)*)	0,40,5	having a very large am
Ū	ca. 0,1			• •
w	ca. 0,1	$(10)^{*})$		of impurities embedded
Zn	30	(3,8)*)	0,280,32	
211	20	0,3	0,280,32	the material

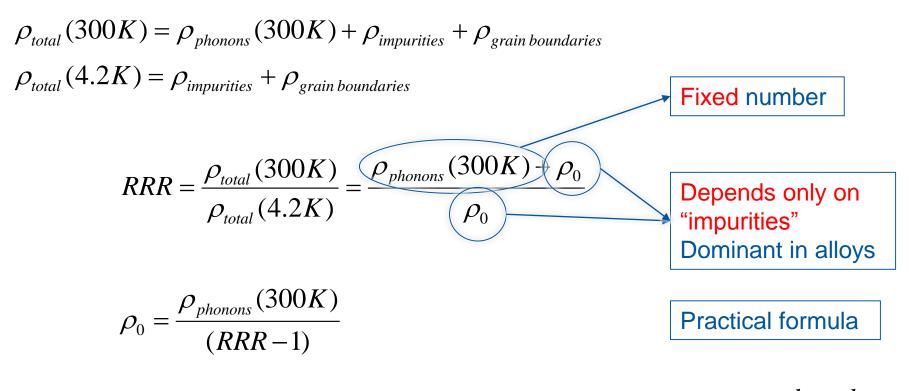
Tabelle 40. Atomare Widerstandserhöhung  $\Delta q/a$  von Kupfer durch lösliche Beimengungen [90]. (a = im

\*) Geschätzte Werte.

S nount d in the material



## An useful quantity: RRR



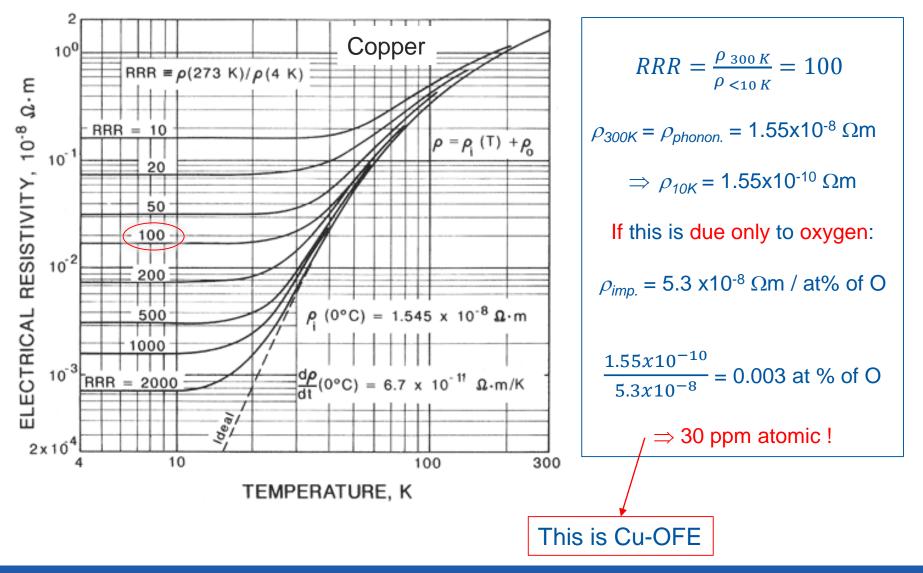
Experimentally, we have a very neat feature remembering that  $R = \frac{\rho \times length}{section}$ 

$$RRR = \frac{R(300K)}{R(4.2K)} = \frac{\rho_{total}(300K)}{\rho_{total}(4.2K)}$$
 Inde

Independent of the geometry of the sample.



#### Final example: copper RRR 100





#### Estimates of mean free path



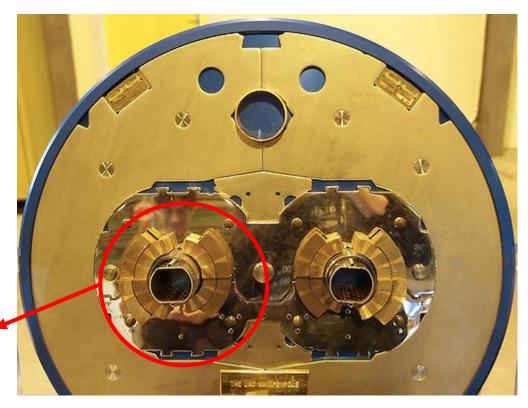
- Let's assume one conduction electron per atom.
- $\rho = 1.55 \text{ x} 10^{-8} \Omega \text{m}.$
- density = 89400 kg/m<sup>3</sup>
- $m = 9.11 \times 10^{-31} \text{ kg}, e = 1.6 \times 10^{-19} \text{ C}, A = 63.5, N_A = 6.022 \times 10^{23}$

Exercise ! Solution:

- $\tau \approx 2.5 \times 10^{-14}$  s. Knowing that  $v_F = 1.6 \times 10^6$  m/s we have
- $\ell \approx 4 \times 10^{-8}$  m at room temperature. It can be x100 ÷ x1000 larger at low temperature



#### Interlude: LHC

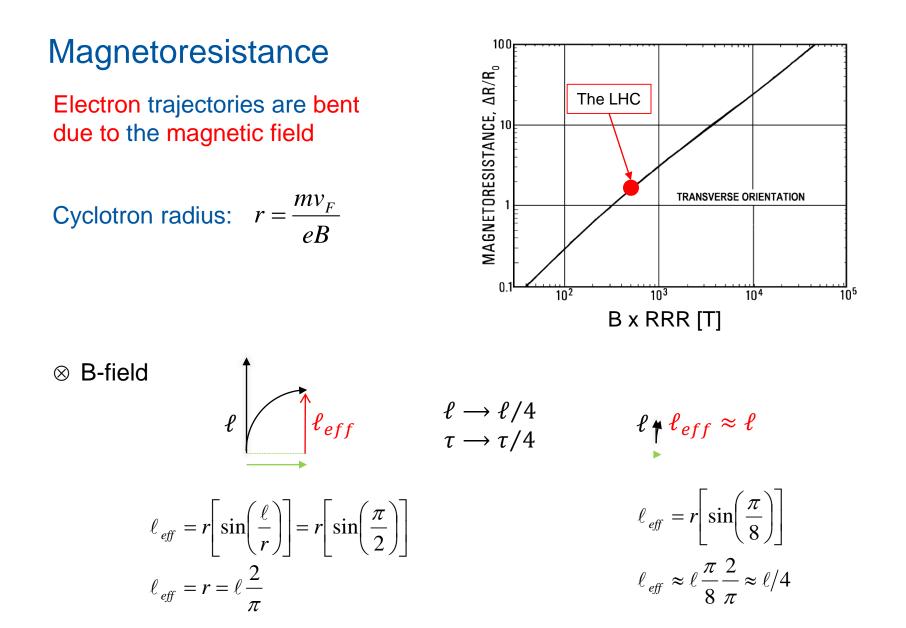




8.33 T dipoles (nominal field) @ 1.9 K

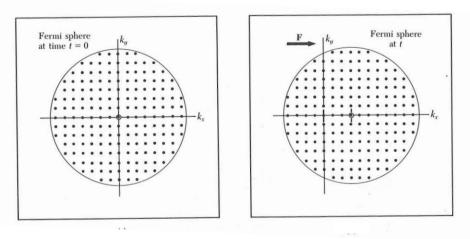
Beam screen operating from 4 K to 20 K SS + Cu colaminated, RRR ≈ 60







## Fermi sphere



- The real picture: the whole Fermi sphere is displaced from equilibrium under the electric field *E*, the force *F* acting on each electron being –*eE*
- This displacement in steady state results in a net momentum per electron  $\delta \mathbf{k} = F\tau/\hbar$  thus a net speed increment  $\delta \mathbf{v} = F\tau/m = -eE\tau/m$
- $j = ne\delta v = ne^2 E\tau/m$  and from the definition of Ohm's law  $j = \sigma E$ we have  $\sigma = \frac{ne^2\tau}{m}$



#### The speed of conduction electrons

• Fermi velocity  $v_F = 1.6 \times 10^6$  m/s

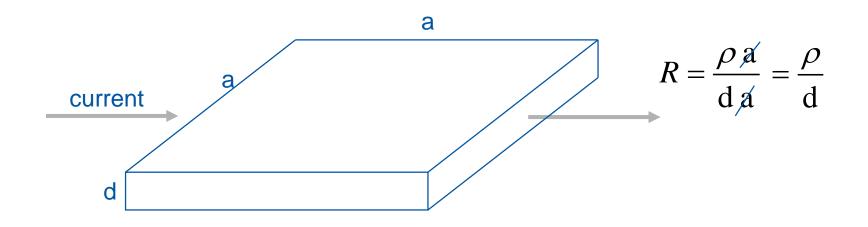
• 
$$\delta \boldsymbol{v} = \boldsymbol{j}/ne$$
 thus  $\delta \boldsymbol{v} = \frac{\sigma E}{ne} = \frac{e\tau E}{m}$ 

- As an order-of-magnitude, in a common conductor, we may have a potential drop of ~1V over ~1m
- $E = \frac{v}{d} \approx 1$  V/m and as a consequence  $\delta v \approx 4$  x 10<sup>-3</sup> m/s
- The drift velocity of the conduction electrons is orders of magnitude smaller than the Fermi velocity
- (Repeat the same exercise with 1 A of current, in a copper conductor of 1 cm<sup>2</sup> cross section)



#### Square resistance and surface resistance

Consider a square sheet of metal and calculate its resistance to a transverse current flow:

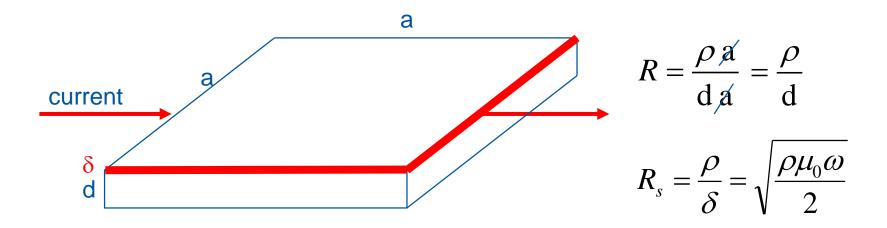


This is the so-called square resistance often indicated as  $R_{\blacksquare}$ 



#### Square resistance and surface resistance

And now imagine that instead of DC we have RF, and the RF current is confined in a skin depth:  $\delta = \sqrt{\frac{2\rho}{\mu_0 \rho}}$ 



This is a (simplified) definition of surface resistance  $R_s$ (We will discuss this in more details at the tutorials)



#### Surface impedance in normal metals

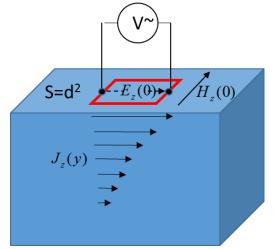
- The Surface Impedance  $Z_s$  is a complex number defined at the interface between two media.
- The real part  $R_s$  contains all information about power losses (per unit surface)

$$\overline{P} = \frac{\frac{1}{2}R_sI^2}{d^2} = \frac{1}{2}R_sH_0^2$$

• The imaginary part  $X_s$  contains all information about the field penetration in the material

$$\delta = \frac{2}{\mu_0 \omega} X_s$$

- For copper ( $\rho = 1.75 \times 10^{-8} \ \mu\Omega$ .cm) at 350 MHz:
- $R_s = X_s = 5 \text{ m}\Omega$  and  $\delta = 3.5 \text{ }\mu\text{m}$





Why the surface resistance (impedance)?

It is used for all interactions between E.M. fields and materials

• In RF cavities: quality factor 
$$Q_0 = \frac{\Gamma}{R_0}$$

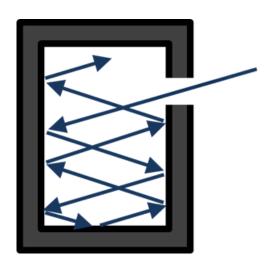
- In beam dynamics (more at the tutorials):
  - Longitudinal impedance and power dissipation from wakes is  $P_{loss} = MI_b^2 \operatorname{Re} |Z_s^{eff}|$  where  $Z_s^{eff}$  is a summation of  $(2\pi R/2\pi b)Z_s$  over the bunch frequency spectrum

- Transverse impedance: 
$$Z_T = \frac{2\pi R c}{\pi b^3 \omega} Z_s$$

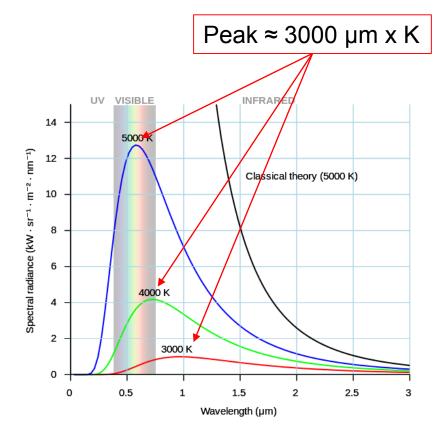


#### From RF to infrared: the blackbody

Thermal exchanges by radiation are mediated by EM waves in the infrared regime.



Schematization of a blackbody





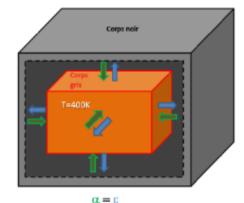
#### **Properties II: Thermal & Electrical**

### **Blackbody radiation**

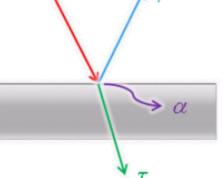
- A blackbody is an idealized perfectly emitting and absorbing body ٠ (a cavity with a tiny hole)
- Stefan-Boltzmann law of radiated power density:

$$\frac{P}{A} = \sigma T^4$$
  $\sigma \approx 5.67 \times 10^{-8} \,\text{W/(m^2K^4)}$ 

- At thermal equilibrium:  $\mathcal{E} = \alpha$
- ε is the emissivity (blackbody=1)
- A "grey" body will obey:  $1 = r + \alpha (+t)$
- Thus for a grey body:  $\frac{P}{A} = \varepsilon \sigma T^4$







## From RF to infrared in metals

- Thermal exchanges by radiation are mediated by EM waves in the infrared regime.
- At 300 K,  $\lambda_{\text{peak}} \approx 10 \ \mu\text{m}$  of wavelength ->  $\approx 10^{13} \text{ Hz}$  or  $\tau_{\text{RF}} \approx 10^{-13} \text{ s}$
- The theory of normal skin effect is usually applied for:  $\omega_{RF} \tau < 1$
- But it can be applied also for:  $\omega_{RF}\tau > 1$
- In the latter case it means:  $\tau > \tau_{RF}$
- For metals at moderate T we can then use the standard skin effect theory to calculate emissivity



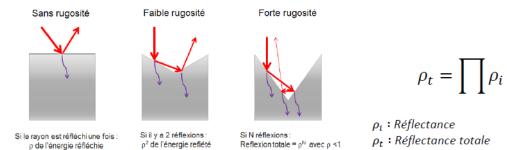
#### **Emissivity of metals**

- From:  $1 = \rho + \alpha \implies \varepsilon = 1 r$
- Thus we can calculate emissivity from reflectivity:

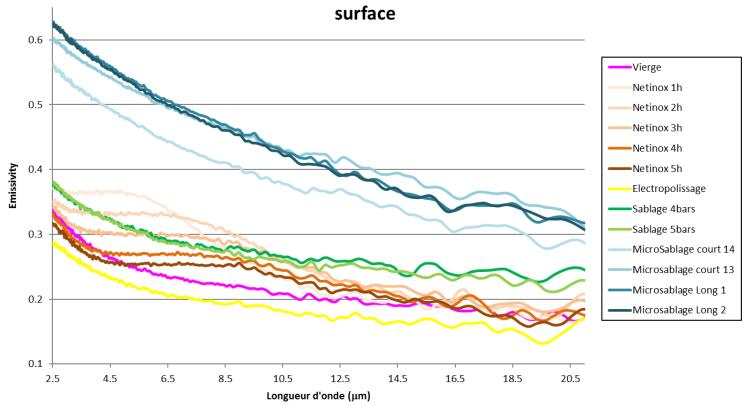
- The emissivity of metals is small
- The emissivity of metals depends on resistivity
- Thus, the emissivity of metals depends on temperature and on frequency



#### Practical case: 316 LN

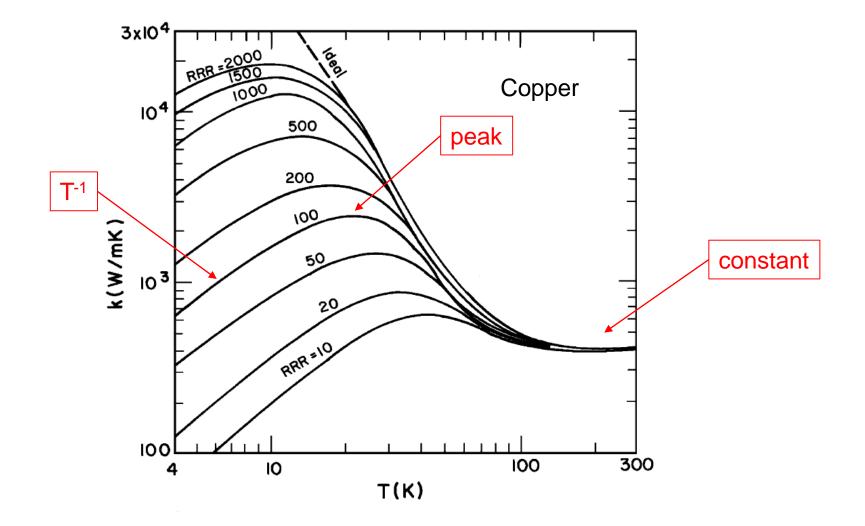


#### Emissivité hémisphérique absolue après différents traitements de





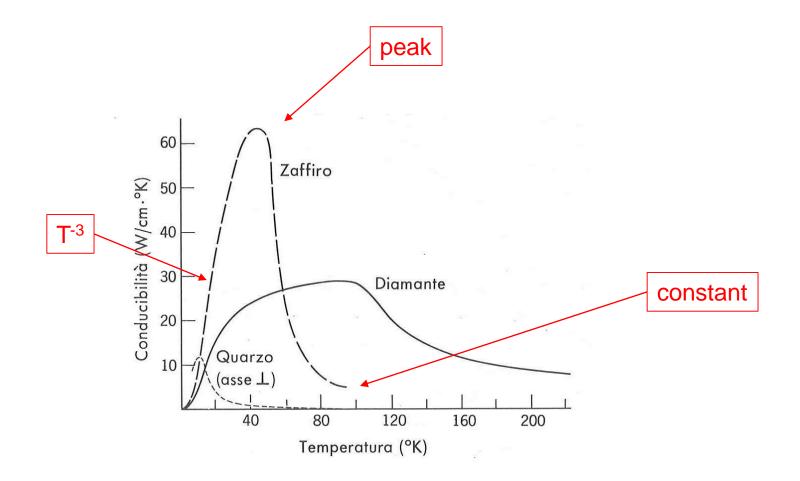
#### Thermal conductivity of metals





#### Thermal conductivity: insulators

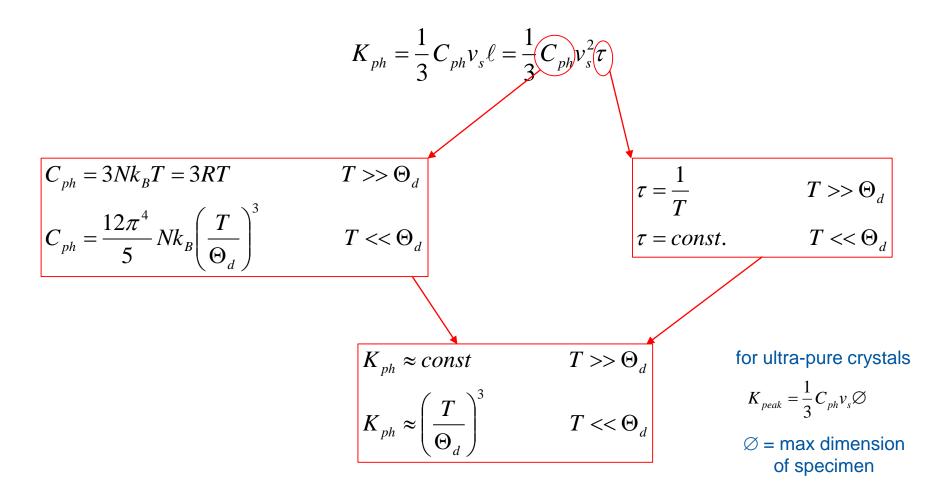
Determined by phonons (lattice vibrations). Phonons behave like a "gas"





### Thermal conductivity: insulators

Thermal conductivity  $K_{ph}$  from heat capacity  $C_{ph}$  (as in thermodynamics of gases)

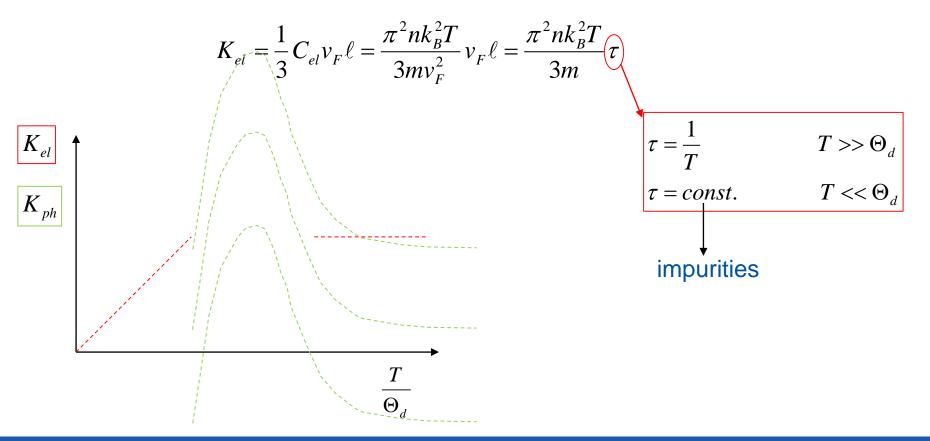




# Thermal conductivity: metals

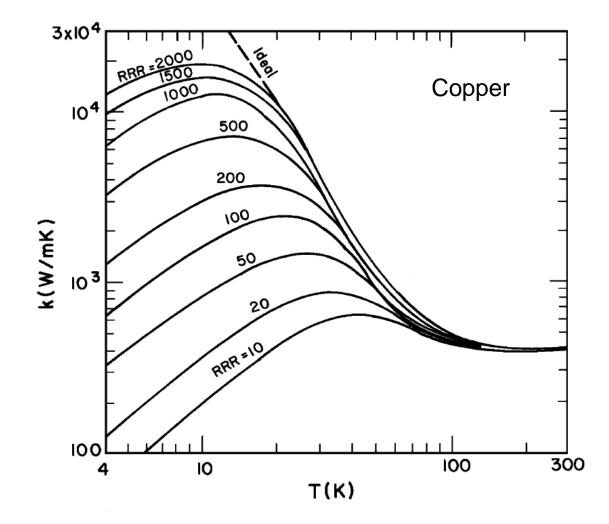
Determined by both electrons and phonons.

Thermal conductivity  $K_{el}$  from heat capacity  $C_{el}$ 





#### Thermal conductivity of metals: total





### Wiedemann-Franz

Proportionality between thermal conductivity and electrical conductivity

$$\frac{K_{el}}{\sigma} = \frac{\pi^2}{3} \left(\frac{k_B}{e}\right)^2 T = LT \qquad T > \Theta_d$$

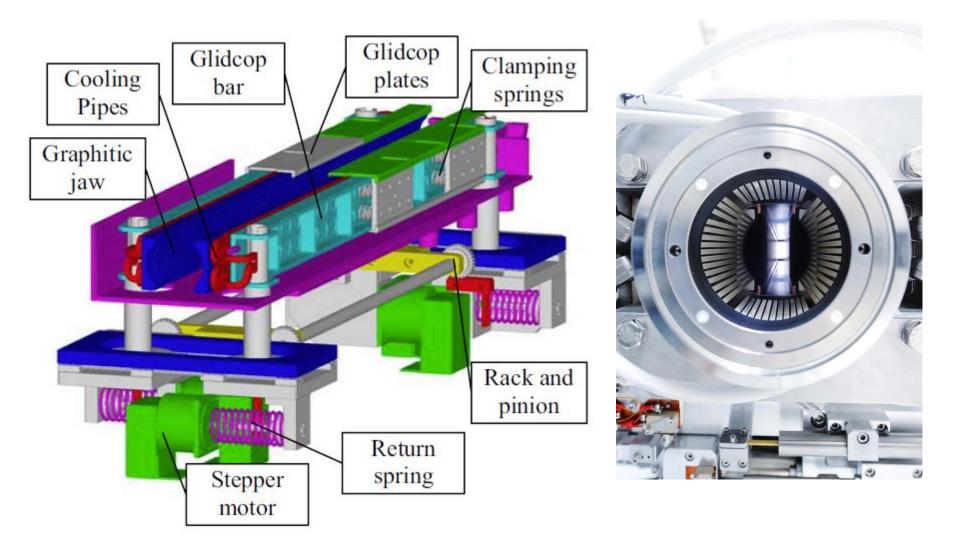
 $L = 2.45 \times 10^{-8} \text{ W}\Omega\text{K}^{-2}$ (Lorentz number)

Useful for simple estimations, if one or the other quantity are known

Useful also (very very approximately) to estimate contact resistances



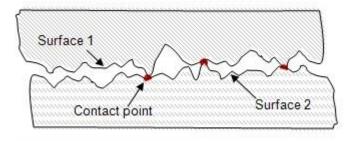
# The LHC collimator





# Contact resistance (both electrical and thermal)

• Complicated... and no time left 🐵



Contact area:  $A \sim P^n$   $n \approx O(1)$ 

*n* depends on:

Plastic deformation Elastic deformation

Roughness "height" and "shape"

• Contacts depend also on oxidation, material(s) properties, temperature...

Example for electric contacts:

- Theoretically:
  - R∝P<sup>-1/3</sup> in elastic regime
  - $R \propto P^{-1/2}$  in plastic regime
- Experimentally:
  - $R \propto P^{-1+-1/2}$  (same as for thermal contacts)



# References

- Charles Kittel, "Introduction to solid state physics"
- Ashcroft & Mermin, "Solid State Physics"
- S. W. Van Sciver, "Helium Cryogenics"
- M. Hein, "HTS thin films at µ-wave frequencies"
- J.A. Stratton, "Electromagnetic Theory"
- Touloukian & DeWitt, "Thermophysical Properties of Matter"



The end. Questions?

#### Plane waves in vacuum

Plane wave solution of Maxwell's equations in vacuum:

$$E = E_0 e^{i(kz - \omega t)} \qquad H = H_0 e^{i(kz - \omega t)} \qquad H = E_0 \frac{k}{\omega \mu_0} e^{i(kz - \omega t)}$$

Where (in vacuum): 
$$k = \frac{2\pi}{\lambda} = \frac{\omega}{c} = \omega \sqrt{\varepsilon_0 \mu_0} = \sqrt{\frac{\varepsilon_0}{\mu_0}}$$

So that: 
$$E = E_0 e^{i(kz - \omega t)}$$
  $H = E_0 \sqrt{\frac{\varepsilon_0}{\mu_0}} e^{i(kz - \omega t)}$ 

The ratio  $Z = \frac{|\mathbf{E}|}{|\mathbf{H}|} = \sqrt{\frac{\mu_0}{\varepsilon_0}} = 376.7\Omega$  is often called impedance of the free

space and the above equations are valid in a continuous medium



# Plane waves in normal metals

More generally, in metals:

$$k^{2} = \omega^{2} \varepsilon \mu + i \omega \sigma \mu$$
  $Z = \frac{|\mathbf{E}|}{|\mathbf{H}|} = \sqrt{\frac{\mu}{\varepsilon}} = \frac{\omega \mu}{k}$ 

This results from taking the full Maxwell's equations, plus a supplementary equation which relates locally current density and field:

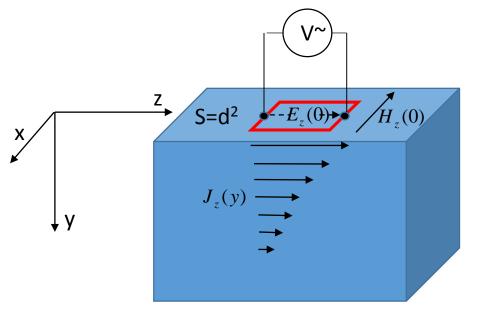
$$\mathbf{J}(\vec{x},t) = \boldsymbol{\sigma} \mathbf{E}(\vec{x},t) \qquad \boldsymbol{\sigma}_0 = \frac{ne^2\ell}{m_e v_F} = \frac{ne^2\tau}{m_e}$$

In metals  $\omega \sigma \mu \gg \omega^2 \varepsilon \mu \Rightarrow k^2 = i \omega \sigma \mu$   $k = \sqrt{i \omega \sigma \mu} = \alpha + i \beta$ and the wave equations become:

$$E = E_0 e^{i(kz - \omega t)} = E_0 e^{i(\alpha z - \omega t)} e^{-\beta z}$$

With  $\delta = \frac{1}{\beta} = \sqrt{\frac{\sigma \omega \mu}{2}}$  is the damping coefficient of the wave inside a metal, and  $\delta$  is also called the field penetration depth.





Surface impedance

$$Z_{s} = \frac{V}{I}$$

$$V = dE_{z}(0) ; I = d \int_{0}^{+\infty} J_{z}(y) dy$$

$$\delta = \frac{1}{J_{z}(0)} \int_{0}^{+\infty} J_{z}(y) dy$$

$$Z_{s} = R_{s} + iX_{s} = \frac{E_{z}(0)}{\int_{0}^{+\infty} J_{z}(y)dy} = \frac{E_{z}(0)}{\delta J_{z}(0)} = \frac{E_{z}(0)}{H_{x}(0)}$$
$$= \frac{1}{2}R_{s}I^{2} = \frac{1}{2}R_{s}d^{2}H_{x}^{2} \quad \overline{P} / S = P_{rf} = \frac{1}{2}R_{s}H_{rf}^{2} = \frac{1}{2}R_{s}\left(\frac{B_{rf}}{\mu_{0}}\right)^{2}$$

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#### Normal metals in the local limit

$$J_{z}(y) = J_{z}(0)e^{-\frac{y}{\delta}} \qquad \delta = \sqrt{\frac{2}{\omega\mu_{o}\sigma_{n}}} \qquad \left(\vec{J}(t) = \vec{J}(0)e^{i\omega t}\right)$$

$$Z_n = R_n + iX_n = \frac{E_z(0)}{\delta J_z(0)} = \frac{1}{\delta \sigma_n} (1+i) = \sqrt{\frac{\mu_o \omega}{2\sigma_n}} (1+i)$$

$$R_n = X_n = \frac{1}{\delta\sigma_n} = \frac{\rho_n}{\delta} = \sqrt{\frac{\mu_o\omega}{2\sigma_n}} = \sqrt{\frac{\mu_o\omega}{2}\rho_n} \qquad (R_n \propto \sqrt{\omega})$$



# Limits for conductivity and skin effect

$$\delta = \sqrt{\frac{2\rho}{\omega\mu_0}} \qquad \qquad \ell \sim \frac{1}{\rho}$$

1. Normal skin effect if:  $\ell \ll \delta$  e.g.: high temperature, low frequency

2. Anomalous skin effect if:  $\ell >> \delta$  e.g.: low temperature, high frequency

Note: 1 & 2 valid under the implicit assumption  $\omega \tau << 1$ 

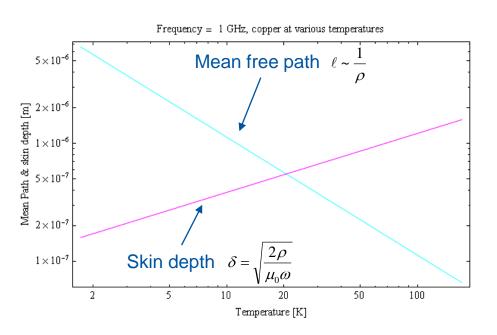
1 & 2 can also be rewritten (in advanced theory) as:

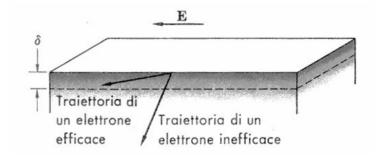
$$\frac{\ell}{\delta} << \left(1 + \omega^2 \tau^2\right)^{3/4}$$

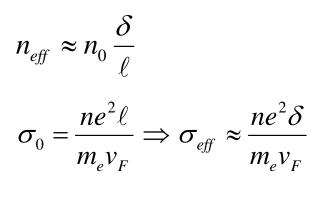
It derives that 1 can be true for  $\omega \tau << 1$  and also for  $\omega \tau >> 1$ 



# Mean free path and skin depth



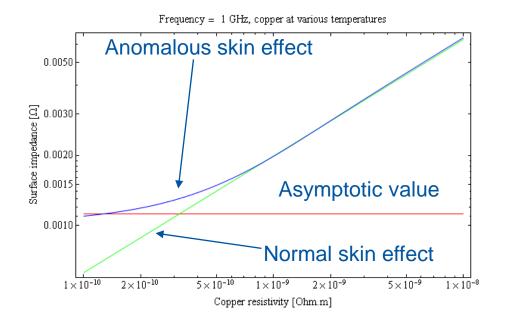




 $\delta = \sqrt{\frac{2}{\sigma \omega \mu_0}} \Rightarrow \delta \xrightarrow[\tau \to \infty]{\tau \to \infty} const.$ 



# Anomalous skin effect



Understood by Pippard, Proc. Roy. Soc. A191 (1947) 370 Exact calculations Reuter, Sondheimer, Proc. Roy. Soc. A195 (1948) 336

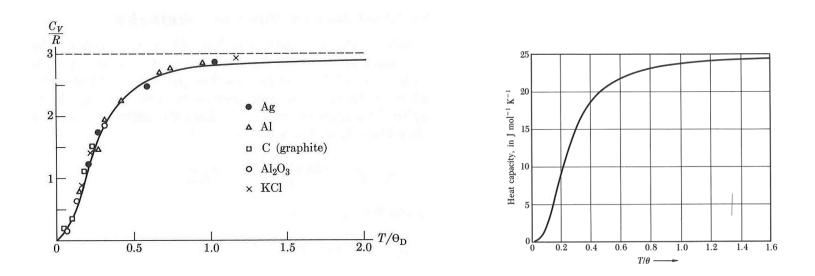


### Debye temperatures

Element	$\Theta_D(\mathbf{K})$
Al	428
Au	165
Cd	209
Cr	630
Cu	343
Fe	470
Ga	320
Hf	252
Hg	71.9
In	108
Nb	275
Ni	450
Pb	105
Sn	200
Ti	420
V	380
Zn	327



### Heat capacity of solids: Dulong-Petit law





#### Low-temperature heat capacity of phonon gas

#### (simplified plot in 2D)

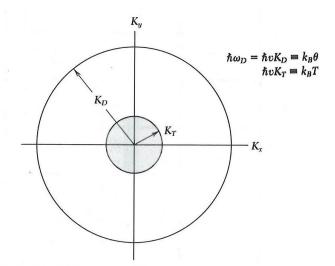
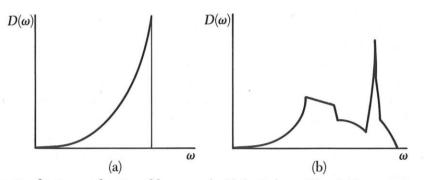


Figure 10 To obtain a qualitative explanation of the Debye  $T^3$  law, we suppose that all phonon modes of wavevector less than  $K_T$  have the classical thermal energy  $k_BT$  and that modes between  $K_T$ and the Debye cutoff  $K_D$  are not excited at all. Of the 3N possible modes, the fraction excited is  $(K_T/K_D)^3 = (T/\theta)^3$ , because this is the ratio of the volume of the inner sphere to the outer sphere. The energy is  $U \approx k_B T \cdot 3N(T/\theta)^3$ , and the heat capacity is  $C_V = \partial U/\partial T \approx 12Nk_B(T/\theta)^3$ .



#### Phonon spectrum and Debye temperature



**Figure 14** Density of states as a function of frequency for (a) the Debye solid and (b) an actual crystal structure. The spectrum for the crystal starts as  $\omega^2$  for small  $\omega$ , but discontinuities develop at singular points.

Density of states  $D(\omega)$ : How many elemental oscillators of frequency  $\omega$ 

Assuming constant speed of sound

