Defining & enforcing a radiation tolerance policy
The ATLAS case

Philippe Farthouat, PH-ESE
Outline

- Radiation constraints in ATLAS
- Why defining a “strict” policy?
- Main points of the policy
- How to enforce the policy
- Experience
- Conclusion
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Radiation constraints in ATLAS

- **TID (10 years)**
  - 1 MGy (Pixels)
  - 7 Gy (Cavern)

- **NIEL (10 years)**
  - $2 \times 10^{15}$ n.cm$^{-2}$ (Pixels)
  - $2 \times 10^{10}$ n.cm$^{-2}$ (Cavern)

- **SEE (10 years)**
  - $h > 20$ MeV
  - $2 \times 10^{14}$ h.cm$^{-2}$ (Pixels)
  - $2 \times 10^{9}$ h.cm$^{-2}$ (Cavern)

- **Simulated levels**
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A bit of history

- Radiations taken into account very early on for the inner tracker
  - Very few available technologies during the early R&D phase (1997 – 1998)
  - Full custom electronics

- As of 1996, warnings were sent to those designing electronics for calorimeters and muon chambers and a very crude policy was defined
  - See next slide

- RD49 launched

- However this proved to be insufficient
  - “At our location radiations are very low, we should not care”
  - Clear misunderstandings appeared during design reviews
    - “We made neutron irradiation up to 10krad”

- → Wish to define a clear policy with clear rules and no way for people to escape
Policy on Radiation Tolerant Electronics in 1996

- Essential to establish policy
  - Some IC’s die at doses of a few kRads
  - Voltage Regulators, Power IC’s sensitive to neutrons
  - Single Event Effects (SEE) can cause chip burnout
  - Challenges in cavern are similar to those in Space

- Emerging policy for comment (note being written)
  - Minimize electronics in radiation environment
  - Use radhard or radtol technology where possible
  - Tests are mandatory for “components off the shelf” (COTS)
  - Problematic because:
    - variations lot-to-lot
    - lack of traceability
  - Focus attention on power supplies in short term

- Participation of Muon, Calorimeter Community Essential
  - Formulation of policy
  - Participation in RADTOL collaboration

- Development of a Data Base desired
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ATLAS policy on radiation tolerant electronics

- Goal: reliability of the experiment with respect to radiation
  - Estimated lifetime of components must cover foreseen lifetime of LHC experiments, or at least a large fraction of it
  - Rates of transient or destructive SEE must be acceptable
  - Safety systems must remain always functional

- Mandatory for each sub-system of the experiment
  - Particular attention was paid to the identification of critical elements and to their possible failure modes

- Coherent approach
  - Same rules for every sub-system

- Based on recognized test methods
  - E.g. US-DOD MIL-STD-883E; ESA SCC basic spec. No 22900 and 25100
Main procedure

- Strategy for electronics procurement (ASICs, COTS)
- Radiation Tolerance Criteria
- Radiation Test Methods
- Lists of radiation facilities
- Standard test report form

Most important message:

In God we trust…

…all the rest we test
Design/Procurement strategy

Whenever possible:
- Limit electronics in radiation environment

Radiation tolerant COTS:
- Determine the Radiation Tolerance Criteria (using safety factors when needed)
- Pre-select generic components (radiation tests)
  - Easier to start the design with components which have a chance to be OK or to adapt the design to defects which will appear → video next slide
  - It has always been difficult to force people to redo designs
- Purchase batches of pre-selected generic components
- Qualify batches of components (radiation tests)
  - Radiation tests can be made on individual components or on boards
  - Special agreements with vendors may allow purchasing qualified batches only
    - Was done for instance for ADCs from Analog Devices used in the LAr calorimeter
Adapting design to defects

- Radiation hard technology for the TRT amplifier-shaper – discriminator
  - Bipolar design
  - Dramatic drop of the transistor gain to be taken into account during the design
  - Possibility to recover by increasing the supply voltages when radiation damages are too high

- Evolution of the gain during neutron irradiation (a few $10^{14}$ n.cm$^{-2}$)

*Courtesy of Ole Rohne*
Tests procedures defined for TID, NIEL and SEE

The aim was to have normalised radiation tests so that comparisons can easily be done and so that results can be shared.

Some testing procedures which could be painful or difficult to do (e.g. high temperature annealing) could be replaced by some safety factors (largely arbitrary...).
Tests procedures. Example

TID test method for qualification of batches of CMOS components

11 devices (10 for tests, 1 for reference)

Electrical measurements

Irradiation 10 test devices up to RTC (a)

Electrical measurements

Annealing 24h@20°C
Electrical measurements @24h

Accelerated ageing 168h 100°C (b)

Electrical measurements

Y

All devices pass RTC?

Accept batch of components

N

Reject

(a) RCT = Radiation Tolerance Criteria
(b) Alternatively, use appropriate safety factor and skip this step
Radiation facilities

- Mandatory to use radiation facilities with good dosimetry
  - If we don’t know with what we irradiate we cannot get reliable results

<table>
<thead>
<tr>
<th>Test</th>
<th>Source</th>
<th>Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>TID</td>
<td>Gamma (\text{(^{60}\text{Co})})</td>
<td>Gray</td>
</tr>
<tr>
<td>NIEL</td>
<td>Neutrons</td>
<td>1 MeV equivalent neutron/cm(^2)</td>
</tr>
<tr>
<td>SEE</td>
<td>Protons (&gt;60 MeV)</td>
<td>Protons/cm(^2).s</td>
</tr>
</tbody>
</table>
Definition of the Radiation Tolerance Criteria (1)

Simulation of the radiation levels in ATLAS

- Two softwares used Fluka and Gcalor
- A lot of uncertainties, especially after the calorimeters
  - Modelisation of the detector not perfect
  - Homogeneous layers
- Safety factor to be applied on the results at the request of those making the simulation
  - Started with a uniform factor 6
  - After some time and improvement different safety factors to be applied depending on the type of radiation

<table>
<thead>
<tr>
<th></th>
<th>Safety factor on the simulated level</th>
</tr>
</thead>
<tbody>
<tr>
<td>TID</td>
<td>3.5 (1.5 in the tracker)</td>
</tr>
<tr>
<td>NIEL</td>
<td>5</td>
</tr>
<tr>
<td>SEE</td>
<td>5</td>
</tr>
</tbody>
</table>
In the case the annealing after radiation tests cannot be done, additional safety factor added to take into account low dose rate.

In the case is not to possible to buy components from a single lot, another safety factor is added to “anticipate” lot to lot variations.

These safety factors are largely arbitrary and there were some complains about them however:
- Making the tests properly would avoid them
- The largest uncertainty is with the simulation
Single event effects

- No time to measure linear energy transfer (LET) of all devices

- Took benefit of the work done by F. Faccio and M. Huhtinen saying that in our environment one can consider only hadrons above 20MeV and do the test with proton of more than 60MeV

- Tests only give limits on upsets
  - 1 device, 0 upsets after $10^{11}$ p.cm$^{-2}$ would tell us that in a system with 1000 devices receiving $10^4$ p.cm$^{-2}$.s$^{-1}$ we can expect up to $10^{-4}$ error every second i.e. up to 1 error every 3 hours... which might be not negligible
  - The system has to support this error rate
    - In ATLAS it translates in % of data loss

- Agreed to reject any component “burning” during SEE tests
  - Again it does not mean it will not happen with those accepted components
Acceptance

- Specific follow-up for radiation tests and results

- Scrutinised at the time of final design reviews and production readiness reviews

- Only those designs having passed successfully the tests with the RTC for TID and NIEL were accepted

- SEE tests only give some limits on errors
  - Effects of errors and of possible counter actions must be understood
  - Based on this understanding the components would be accepted or not
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How to enforce a painful policy

- The policy was very strict and generated a substantial amount of work
  - Complains were received

- Necessary steps to enforce the policy
  - One dedicated person to the subject
    - Reference point for the designers
    - “Policeman”
  - The support of the ATLAS management was mandatory
  - Radiation hardness important part of the reviews
    - No serious tests done, no positive outcome
  - A lot was done to make people aware of the problems
    - Tutorial sessions (ATLAS and also with RD49)
  - Tools to make sure that the RTC were properly computed
  - Organisation of common irradiation campaigns (also with RD49)
  - Data base put in place
Support of the management and design reviews

- The policy was discussed and approved by the ATLAS executive board. The person in charge of it participated in all the design reviews, bothering people to make sure that tests were properly done. He also followed the work outside the reviews.

- In case of problems we were able to ask for additional tests and to block production if necessary (this happened once).
  - Additional tests have very often (not to say always) lead to design changes.
Radiation constraints

- Tool put in place to get all needed values in all places

- Working with average level is not optimum
Radiation level extraction tool

Step 1 to 6 below: Extraction of worse RTCtid in a domain.

**STEP 1:** Please enter the limits of the macro-domain containing your electronics, and specify if your boards do not cross at all these limits, or not. The limits of your Domain:

<table>
<thead>
<tr>
<th>Limits of your macro-domain (cm)</th>
<th>Zmin</th>
<th>Zmax</th>
<th>Rmin</th>
<th>Rmax</th>
</tr>
</thead>
<tbody>
<tr>
<td>For each column, is the above limit the ABSOLUTE limit of your macro-domain - i.e. no board cross these limits - (Y/N)?</td>
<td>Y</td>
<td>Y</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>Limits of the Domain of Interest (cm)</td>
<td>100</td>
<td>200</td>
<td>100</td>
<td>200</td>
</tr>
</tbody>
</table>

**STEP 2:** In order to determine the safety factors which must be used to calculate RTCtid, please answer the above questions (Y/N).

- The electronic components you want to test are for Pixel, SCT or TRT detector: Y
- The electronic components you want to test are CMOS circuits and you will perform post-irradiation annealing at 100 degrees to estimate their sensitivity to low dose rate effects: Y
- The electronic components you want to test are ASICs designed in a radiation-hard technology or designed in a radiation-qualified deep-sub-micron CMOS technology using radiation tolerant layout techniques: Y
- The purpose of the TID test is to pre-select your electronic components: N
- Production components are or will be from KNOWN batch(es): Y

**STEP 3:** Below are the safety factors which must be used to calculate RTCtid. Please check them and correct in STEP 2 if necessary.

<table>
<thead>
<tr>
<th>SFsm</th>
<th>SFIdr</th>
<th>SPloT</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.5</td>
<td>1</td>
<td>1</td>
</tr>
</tbody>
</table>

**STEP 4:** Please check the information below and correct in STEP 2 if necessary.

- The component is dedicated to the inner detector.
- The component is a pure CMOS device.
- The component is a rad-hard ASIC designed in a rad-hard process or in a radiation-qualified deep-sub-micron CMOS process with rad-tol layout techniques.
- After irradiation, an aging at 100 degrees will be performed during 168 hours in order to estimate the sensitivity of the devices to low dose rate effects.
- The purpose of the test is to qualify production batch(es) with respect to TID.
- The production will be made with components from known diffusion lots.

**STEP 5:** Press EXTRATION to launch the automatic extraction of the worst location and corresponding SRLtid and RTCtid in your Domain of Interest. Results for the 10 worst locations will appear in the table below (Absolute worst location in RED) Press CLEAR to clear the table.

<table>
<thead>
<tr>
<th>Zmin cm</th>
<th>Zmax cm</th>
<th>Rmin cm</th>
<th>Rmax cm</th>
<th>SRLtid (Gray in 10 years)</th>
<th>RTCtid (Gray in 10 years)</th>
</tr>
</thead>
<tbody>
<tr>
<td>190</td>
<td>200</td>
<td>100</td>
<td>110</td>
<td>8.56E+03</td>
<td>1.28E+04</td>
</tr>
<tr>
<td>180</td>
<td>190</td>
<td>100</td>
<td>110</td>
<td>8.46E+03</td>
<td>1.27E+04</td>
</tr>
<tr>
<td>170</td>
<td>180</td>
<td>100</td>
<td>110</td>
<td>8.46E+03</td>
<td>1.27E+04</td>
</tr>
<tr>
<td>160</td>
<td>170</td>
<td>100</td>
<td>110</td>
<td>8.27E+03</td>
<td>1.24E+04</td>
</tr>
<tr>
<td>150</td>
<td>160</td>
<td>100</td>
<td>110</td>
<td>8.18E+03</td>
<td>1.23E+04</td>
</tr>
<tr>
<td>140</td>
<td>150</td>
<td>100</td>
<td>110</td>
<td>8.00E+03</td>
<td>1.20E+04</td>
</tr>
<tr>
<td>130</td>
<td>140</td>
<td>100</td>
<td>110</td>
<td>7.87E+03</td>
<td>1.18E+04</td>
</tr>
<tr>
<td>120</td>
<td>130</td>
<td>100</td>
<td>110</td>
<td>7.79E+03</td>
<td>1.17E+04</td>
</tr>
<tr>
<td>110</td>
<td>120</td>
<td>100</td>
<td>110</td>
<td>7.63E+03</td>
<td>1.15E+04</td>
</tr>
<tr>
<td>100</td>
<td>110</td>
<td>100</td>
<td>110</td>
<td>7.45E+03</td>
<td>1.12E+04</td>
</tr>
</tbody>
</table>

**STEP 6:** Enter aditional information below, then press PRINT to print your results or check them in the PREVIEW worksheet.

<table>
<thead>
<tr>
<th>System / board / Component</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

http://atlas.web.cern.ch/Atlas/GROUPS/FRONTEND/radhard.htm#Radiation%20Constraints

Components data base

- A data base was put in place to collect the results of the tests done on different components
  - Note that this can be useful only when the tests are done in standardised way

- Initially developed for ATLAS by Chris Parkman it was then also used by RD49

- However it was not a great success
  - And today the link to it does not work anymore...
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Examples

- LAr front-end electronics
  - A lot of components
  - Relatively high level of radiation

- ELMB
  - Radiation tests done late with respect to the design time
Liquid Argon Electronics

- Radiation Tolerance Criteria for LAr
  - TID = 525–3500 Gy/10yr
  - NIEL = 1.6–3.2 $10^{13}$ N/cm²/10yr
  - SEE = 7.7–15 $10^{12}$ h/cm²/10yr

Electronics in crates around the detector
Liquid Argon Electronics

- 1 responsible per board
  - FEB (1600 boards)
  - Calib (120 boards)
  - Controller (120 boards)
  - Tower builder (120 boards)
  - Tower driver board (23 boards)
  - LV distrib

- 1 responsible for power supplies

- 1 responsible for optical links
First tests made with COTS were very disappointing...

Decision to avoid them as much as possible

→ A lot of extra design work
Liquid Argon Electronics: FEB

- 10 different custom rad-tol ASICs, relatively few COTs
## Liquid Argon Electronics: ASICs

<table>
<thead>
<tr>
<th>LARG</th>
<th>Chip</th>
<th>Techno</th>
</tr>
</thead>
<tbody>
<tr>
<td>HAMAC-SCA</td>
<td>DMILL</td>
<td></td>
</tr>
<tr>
<td>SCA Controller</td>
<td>DSM</td>
<td></td>
</tr>
<tr>
<td>Gain Selector</td>
<td>DSM</td>
<td></td>
</tr>
<tr>
<td>BiMUX</td>
<td>DMILL</td>
<td></td>
</tr>
<tr>
<td>Clock FO</td>
<td>DSM</td>
<td></td>
</tr>
<tr>
<td>DAC</td>
<td>DMILL</td>
<td></td>
</tr>
<tr>
<td>SPAC slave</td>
<td>DMILL</td>
<td></td>
</tr>
<tr>
<td>OpAmp</td>
<td>DMILL</td>
<td></td>
</tr>
<tr>
<td>Config. Controller</td>
<td>DMILL</td>
<td></td>
</tr>
<tr>
<td>MUX</td>
<td>DMILL</td>
<td></td>
</tr>
<tr>
<td>Calibration Logic</td>
<td>DMILL</td>
<td></td>
</tr>
</tbody>
</table>
Liquid Argon Electronics: COTS

- One important element was the Analog Design ADC
  - 16 per FEB
  - 25600 total

- Initially selected by CMS for their calorimeter
  - 100000 pieces needed

- Agreement with Analog Design to order per lot and to qualify each lot
  - Only if radiation tests OK we keep the batch and pay for it
  - No batch was refused
  - This kind of agreement is not easy to get
Embedded Local Monitor Box (ELMB)

- Basic element for the slow control of the ATLAS muon chambers (but used everywhere)

- Radiation constraints (including ALL safety factors)
  - TID : 140 Gy in 10 years;
  - NIEL: $\sim 10^{12}$ n/cm$^2$ (1 MeV eq.) in 10 years;
  - SEE: $\sim 10^{11}$ h/cm$^2$ (>20 MeV) in 10 years.
First tests on version -1 have shown some problems at low level

- Board still working but current increased
- Mainly due to the controller

**Comparison GIF**

- **ELMB5**
  - Reprof
  - 37% duty cycle
  - ~0.17 Gy/h continuously

- **GIF ELMB3**
  - 0.48 Gy/h continuously

- **GIF ELMB6**
  - 0.09 Gy/h continuously

![Graph showing comparison of ELMB versions with TID (Gy) on the x-axis and Change in current (%) on the y-axis.](image)
Harsh discussions followed...

Final version of the ELMB using another controller

Decision to order components from the same batches (to avoid some safety factors) and to redo the tests with boards from the preseries

ELMB are low cost components in accessible places. Total dose effects can hence be accepted

Luckily enough, these tests were positive up to 3 times the required dose...

A lot of SEE were observed. None dramatic but it required special care in the software development

→ see Henk Boterenbrood’s presentation tomorrow
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Conclusion (1)

- ATLAS introduced a formal policy on radiation tolerant electronics
  - Defined tests procedures
  - Defined procurement procedures

- To enforce it
  - One person in charge with some executive power
  - Strong support from the management
  - Tutorial on radiation effects (also with RD49)
  - Clear definition of the radiation tolerance criteria's
  - Help for testing organisation (often with RD49)
  - Specifically addressed during design reviews
  - Data base of tested components: not a big success and proven to be difficult to maintain
Conclusion (2)

Are we safe?

- How accurate is the simulation?

- SEE effects
  - The effects were measured and we know more or less how often we’ll have problems in some places
  - Statement made that we only lose a small fraction of the detector when it occurs has still to be proven

- We could have unforeseen fancy effects
  - Thermal neutrons
    - We discovered by chance that they are very damaging for some bipolar technologies (tracker mainly concerned)
    - People have seen SEU in RAM with thermal neutrons
  - SEE evaluation using >20MeV hadron fluence
    - SEU observed during neutron tests in facilities delivering low energy neutrons

Not so sure…