

NEAR DETECTOR CHALLENGES FOR T2K AND HYPER-K

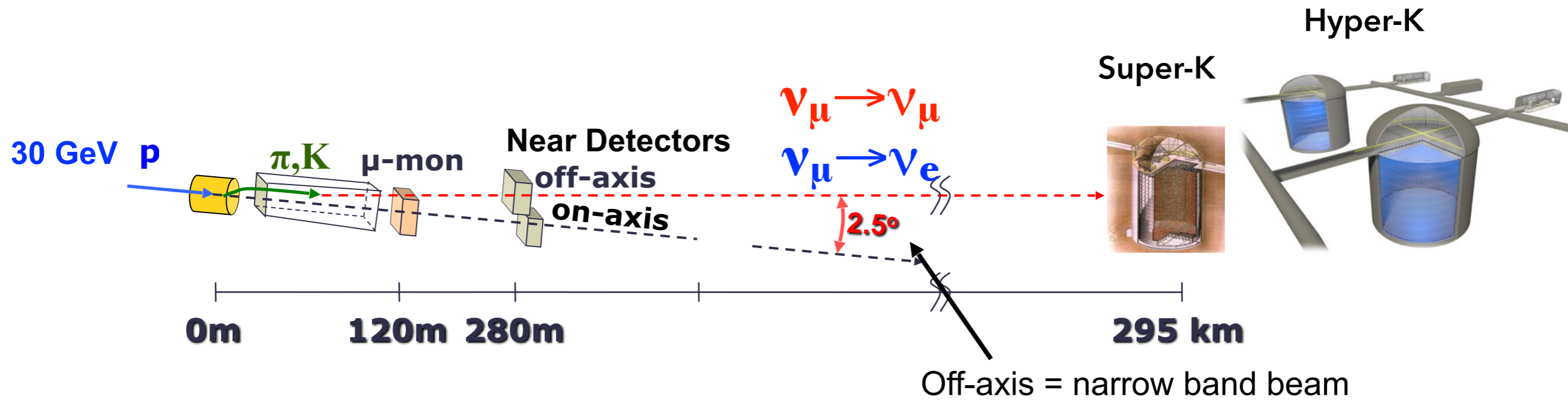
MARK HARTZ

KAVLI IPMU, UNIVERSITY OF TOKYO/TRIUMF

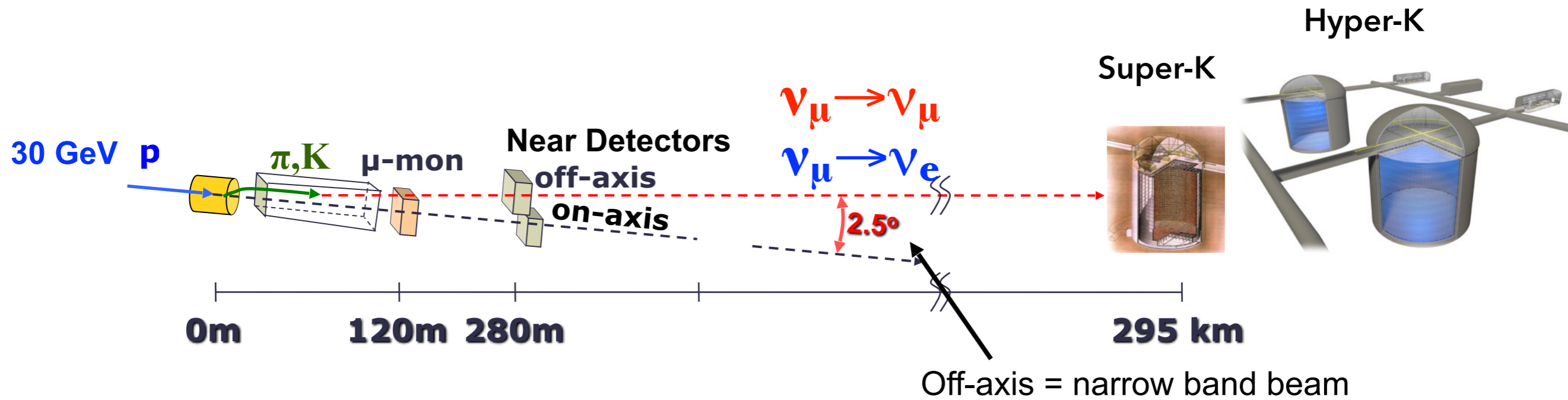
Workshop on Neutrino Near Detectors based on gas TPCs

CERN, Nov. 8-9, 2016

T2K/HYPER-K OVERVIEW

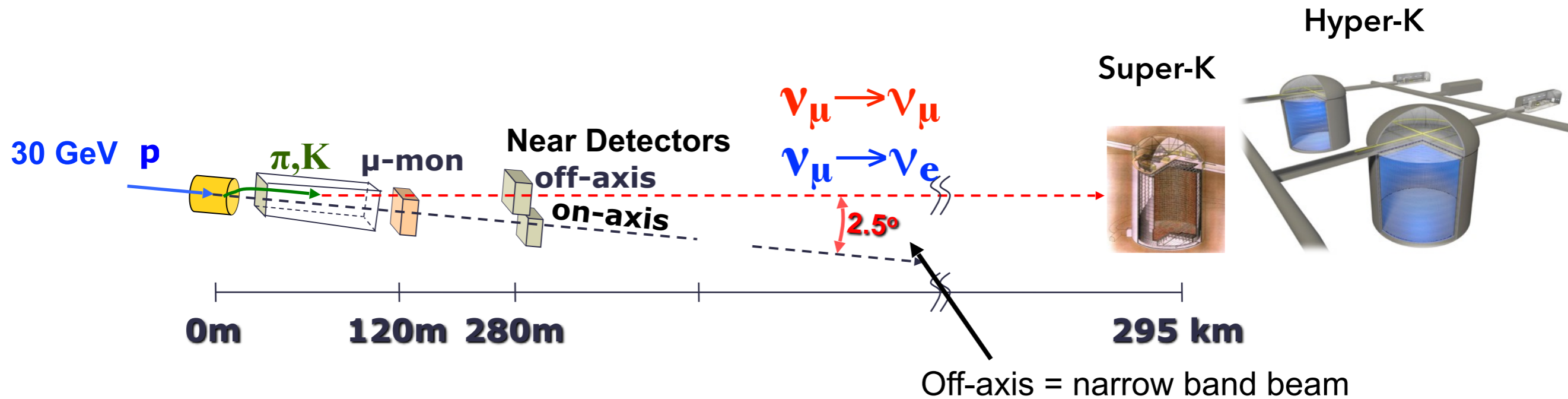


Key Features:



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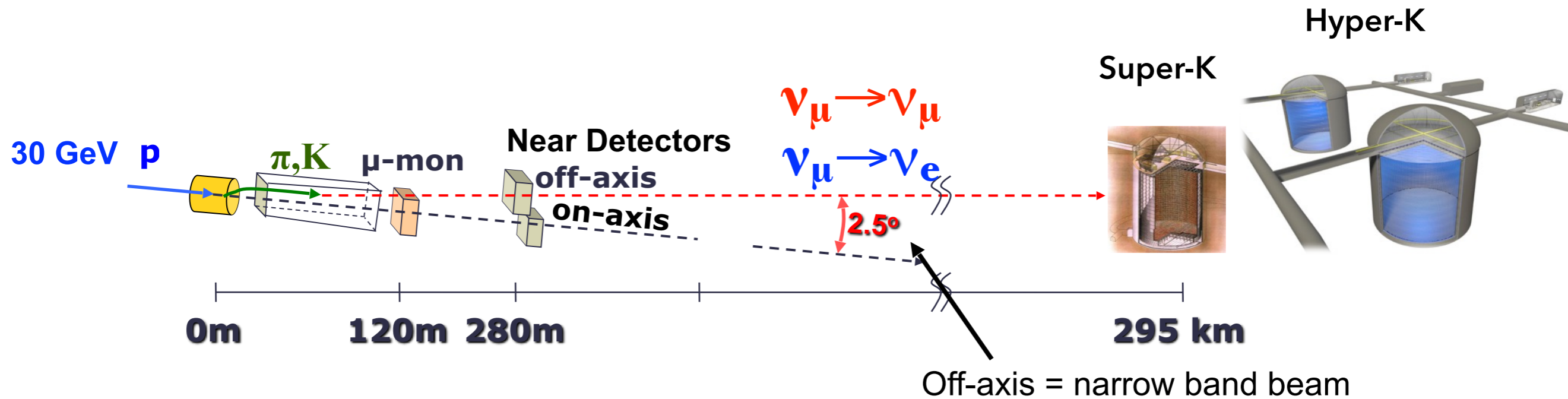
Predominantly muon (anti)neutrino beam (~99%) with neutrino/antineutrino selected by horn polarity



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Off-axis narrow beam with a peak energy at the first oscillation maximum (600 MeV) at 295 km

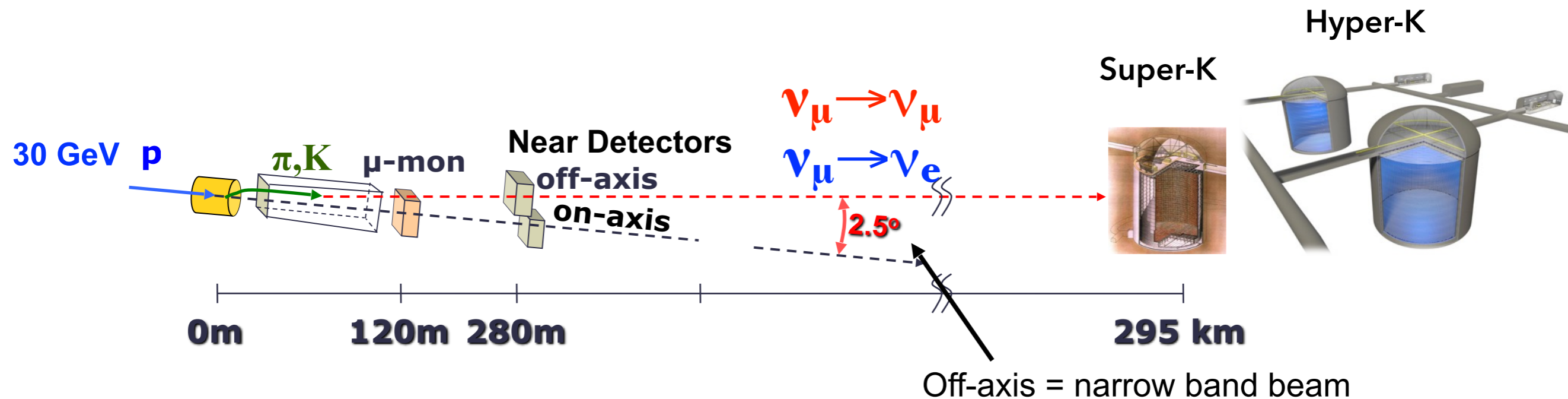


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Near detectors to measure neutrino event rates before oscillations



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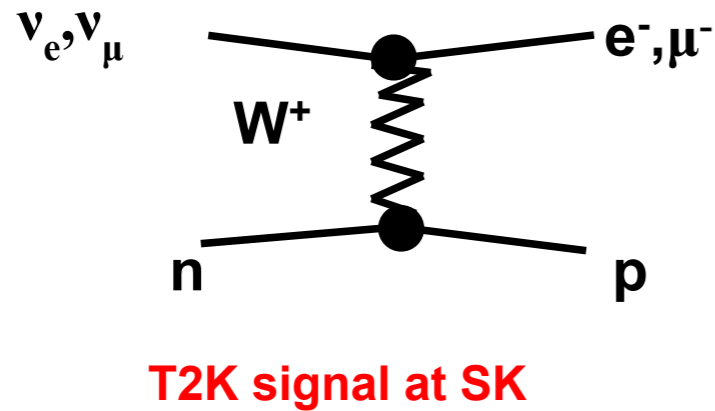
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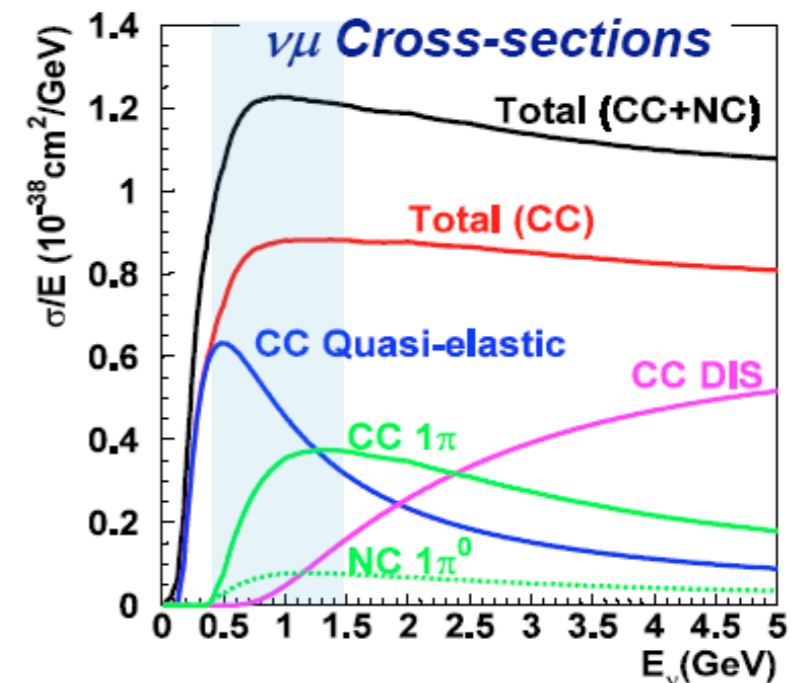
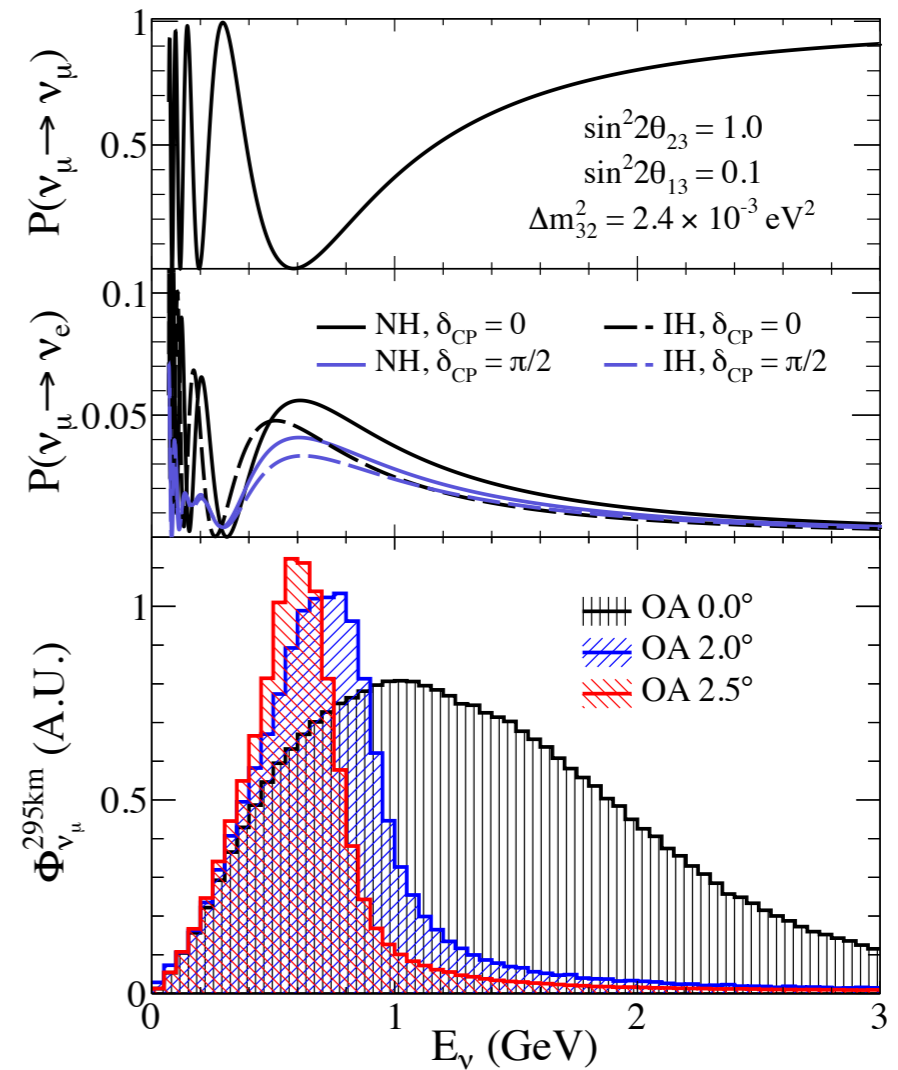
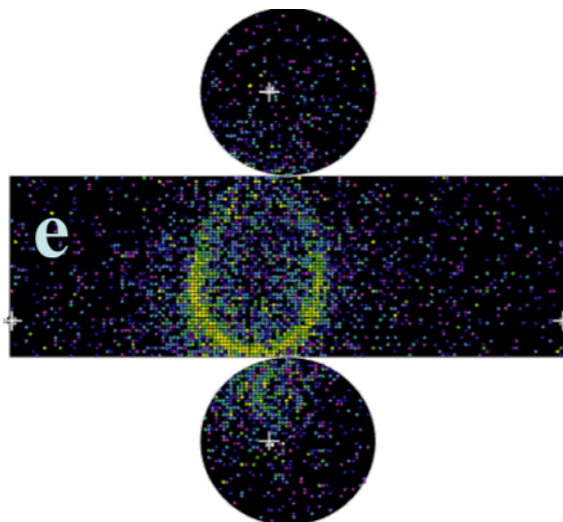
Near detectors to measure neutrino event rates before oscillations

Water Cherenkov far detectors Super-K (T2K) and Hyper-K

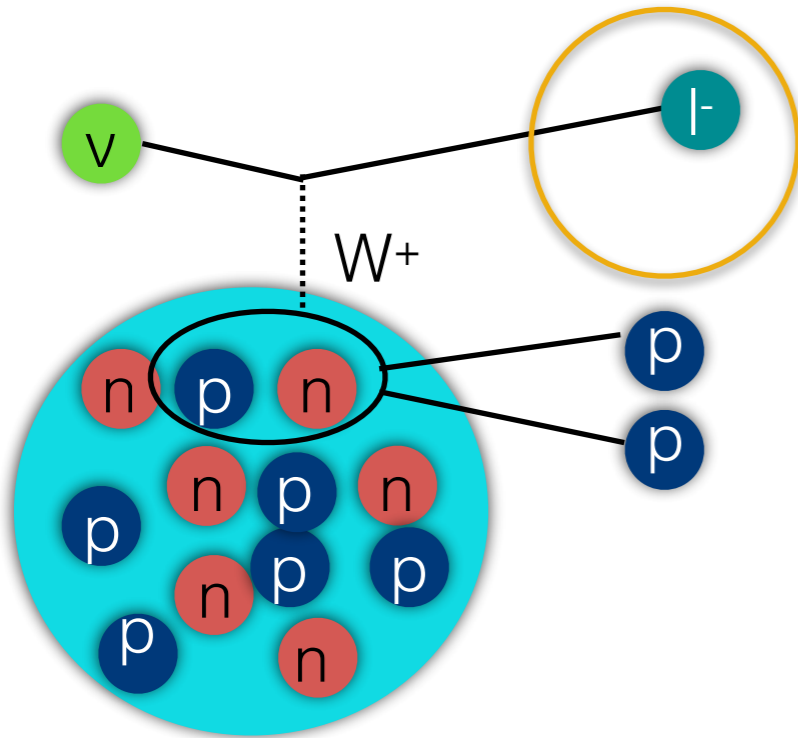
- Off-axis beam = narrow band spectrum peaked at first oscillation maximum
- Predominantly CCQE interactions at the peak energy:



- Signal candidates in water Cherenkov detector are single ring lepton candidates



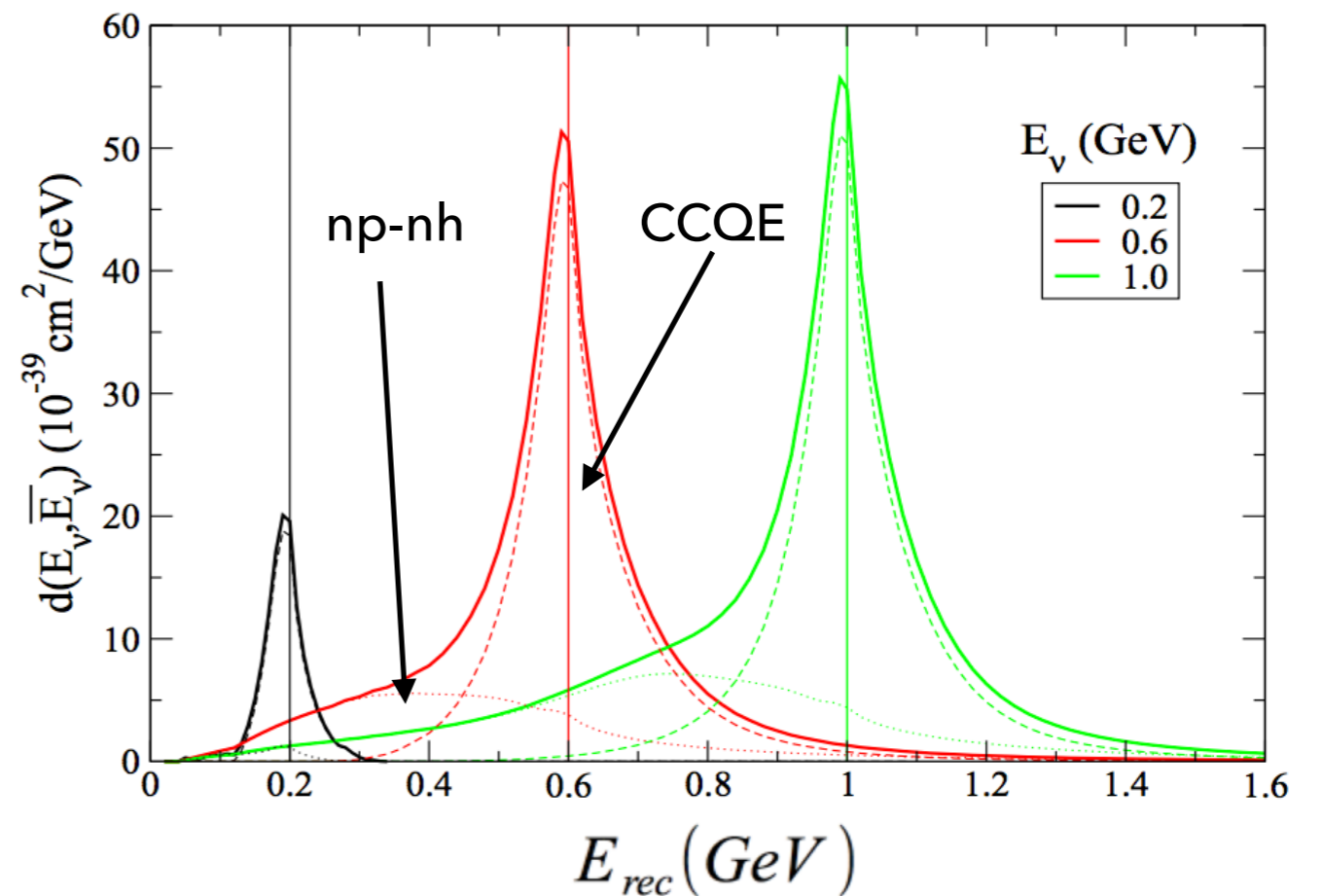
Can have CC interactions where only a lepton candidate is visible, but interaction was not CCQE



Example is np-nh (multi-nucleon) scattering processes

Can also happen when pion is produced and absorbed

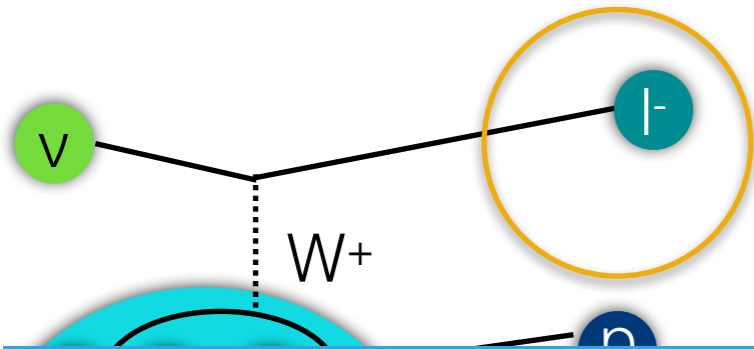
These events have different final state lepton kinematics. If we infer the neutrino energy with CCQE assumption, bias is observed



Martini et. al.
Phys.Rev. D87 (2013) 013009

$$E_{\nu}^{\text{rec}} = \frac{2(m_n - V)E_{\ell} + m_p^2 - (m_n - V)^2 - m_{\ell}^2}{2(m_n - V - E_{\ell} + p_{\ell} \cos \theta_{\ell})}$$

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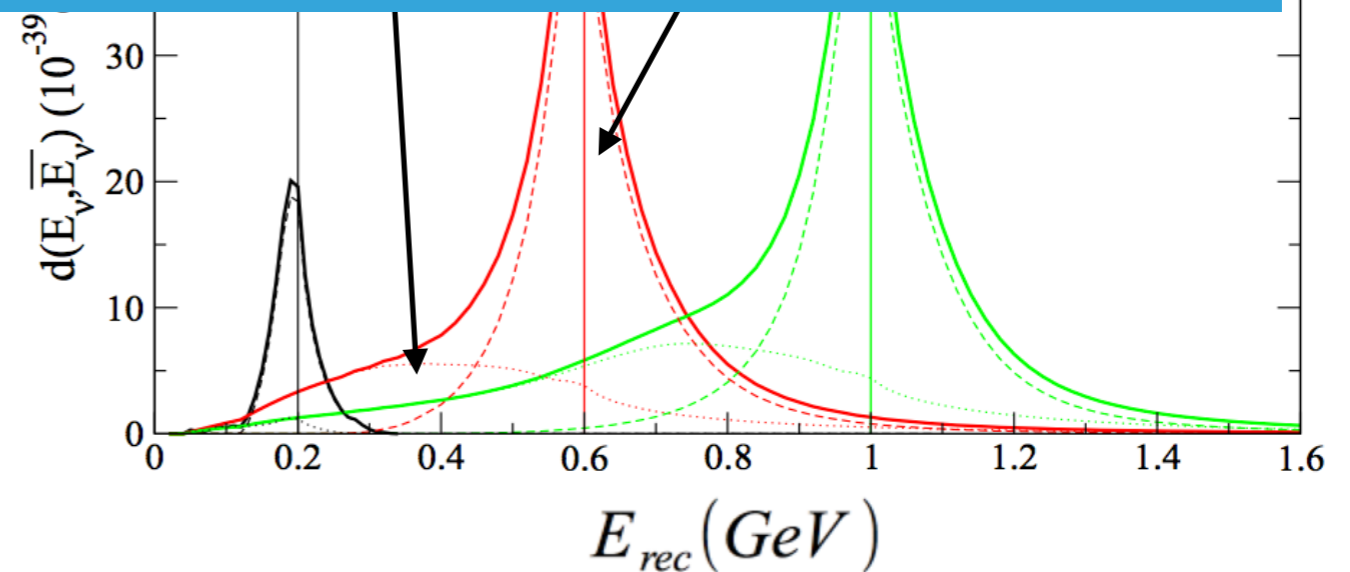


Example is np-nh (multi-nucleon) scattering processes

Can also happen when pion is produced and

Direct measurements or measurements that improve the modeling of non-CCQE interactions are a critical feature of future near detectors

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T2K has received Stage-1 approval from the J-PARC PAC for program to extend operation to 2026: collect 20×10^{21} POT (~ 3 times original T2K proposal)

Expect beam power to reach 1.3 MV

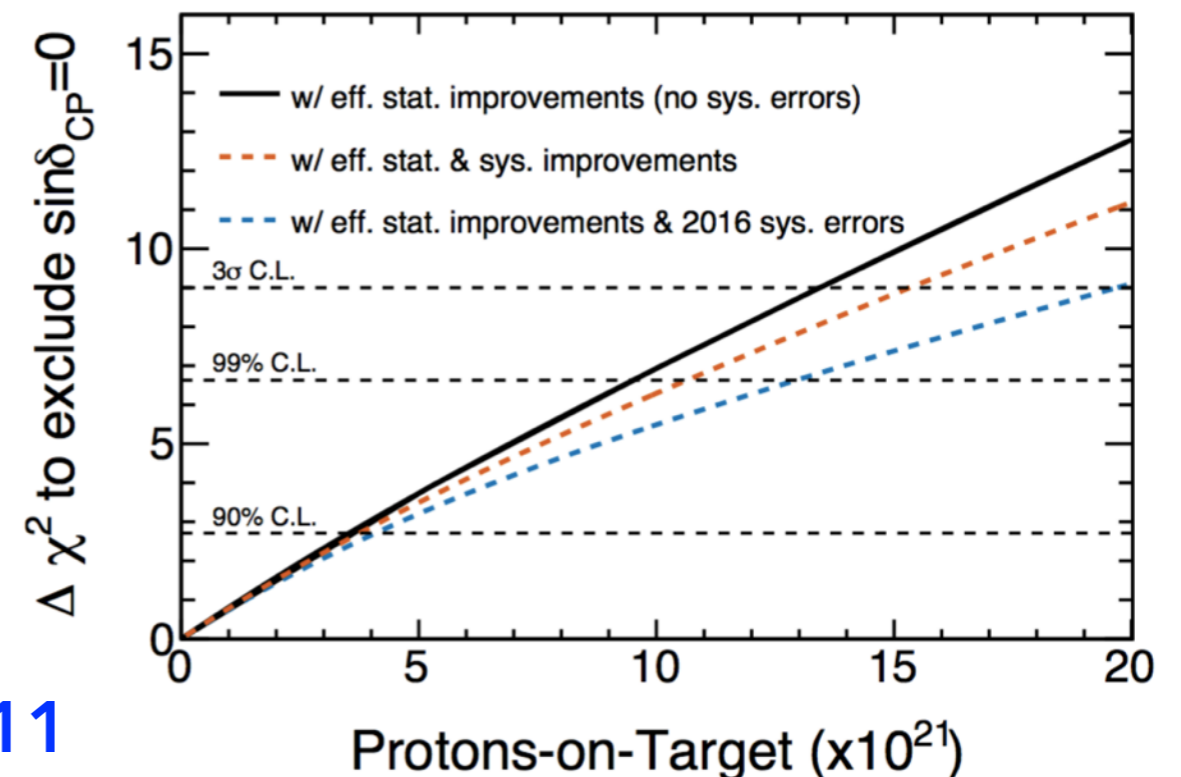
	True δ_{CP}	Total	Signal $\nu_\mu \rightarrow \nu_e$	Signal $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	Beam CC $\nu_e + \bar{\nu}_e$	Beam CC $\nu_\mu + \bar{\nu}_\mu$	NC
ν -mode	0	467.6	356.3	4.0	73.3	1.8	32.3
ν_e sample	$-\pi/2$	558.7	448.6	2.8	73.3	1.8	32.3
$\bar{\nu}$ -mode	0	133.9	16.7	73.6	29.2	0.4	14.1
$\bar{\nu}_e$ sample	$-\pi/2$	115.8	19.8	52.3	29.2	0.4	14.1

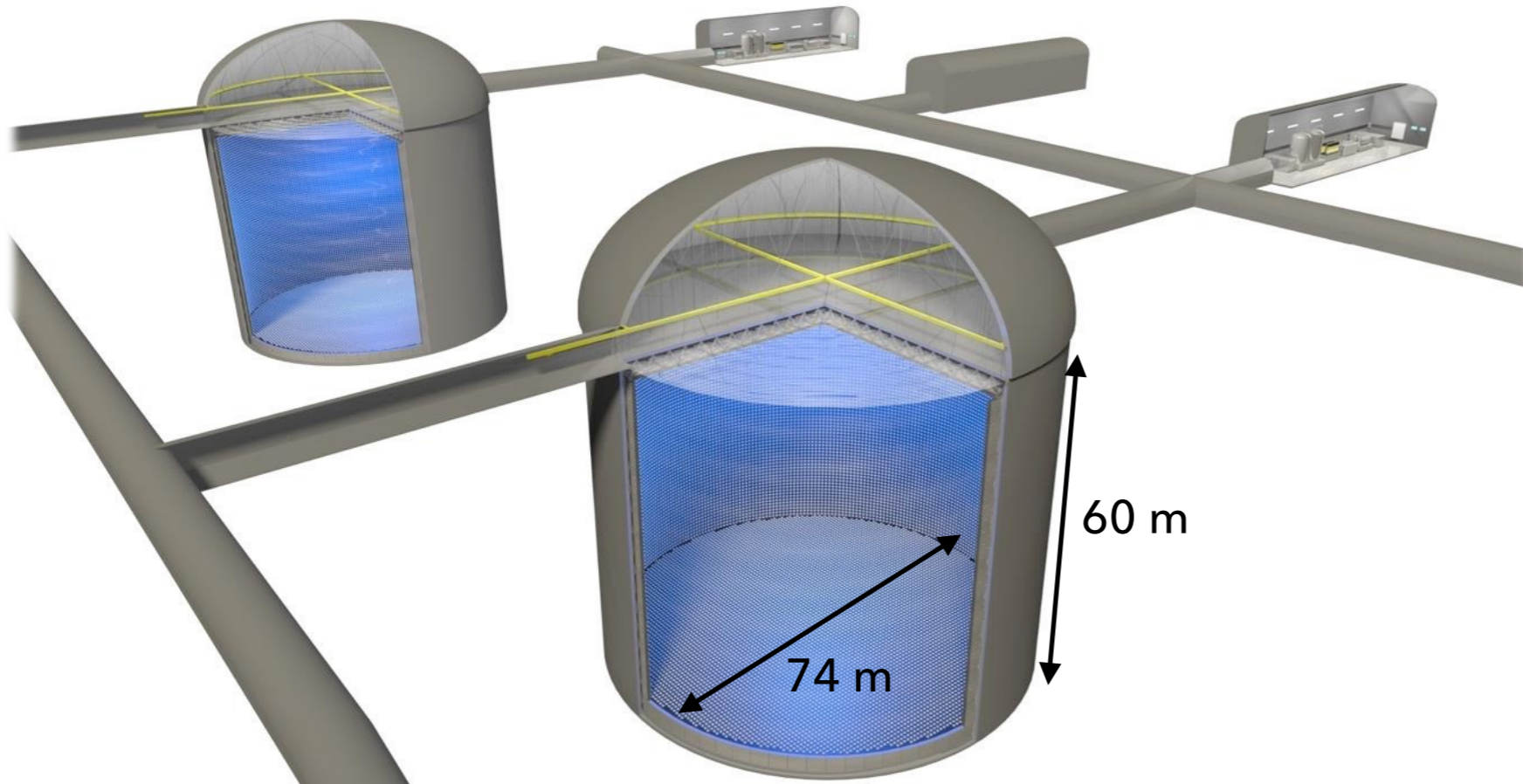
Will collect ~ 500 electron neutrino and ~ 125 electron antineutrino candidates

3 sigma CP violation discovery potential for favorable value of $\delta_{cp} = -\pi/2$

Reduction of systematic errors beyond current T2K errors can improve the experimental sensitivity

[arXiv:1609.04111](https://arxiv.org/abs/1609.04111)





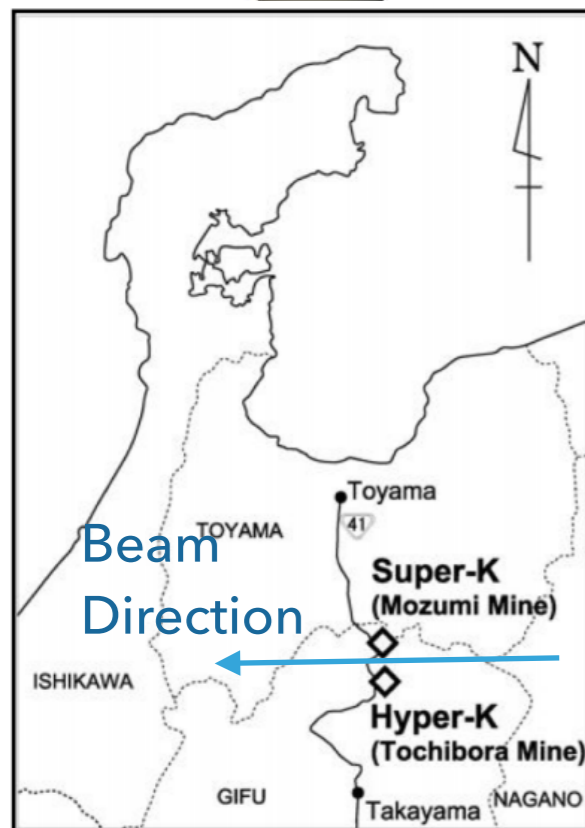
Hyper-K Detector(s):

60 m tall x 74 m diameter

40,000 50cm ϕ PMTs \rightarrow
40% photo-coverage

260 kton mass (187 kton
fiducial volume is $\sim 10x$
larger than Super-K)

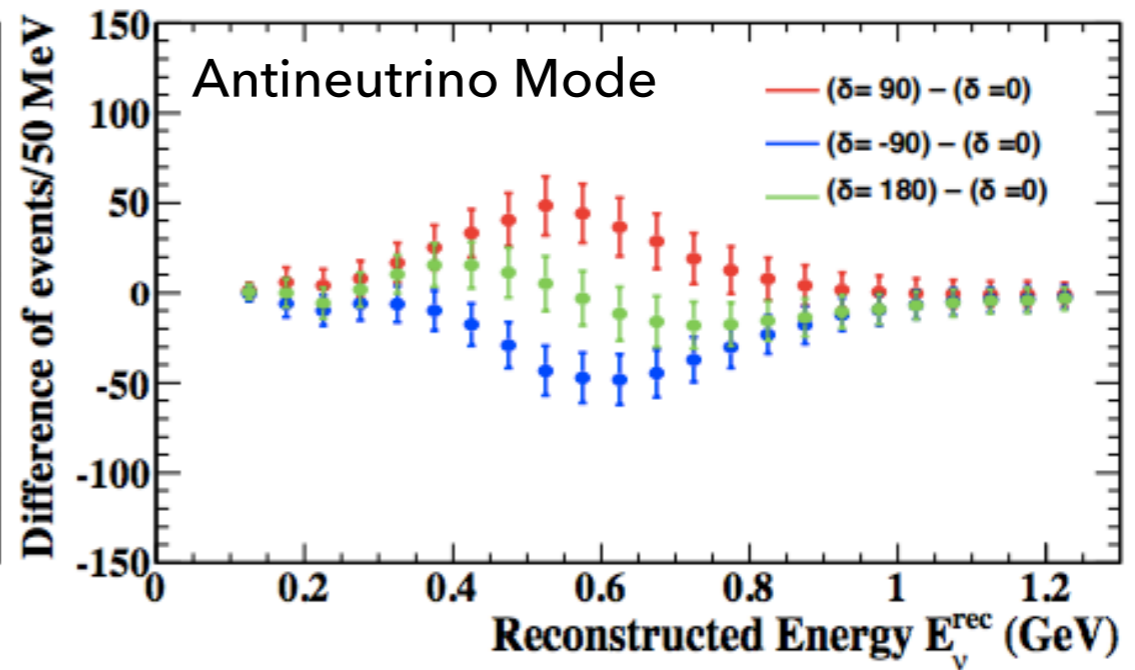
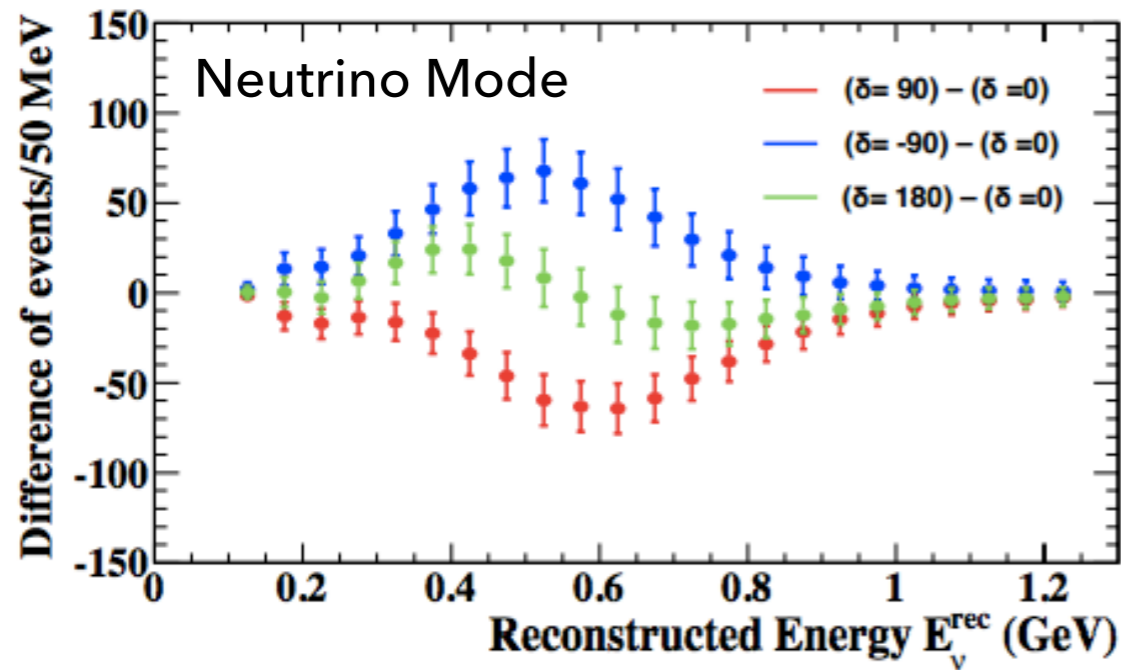
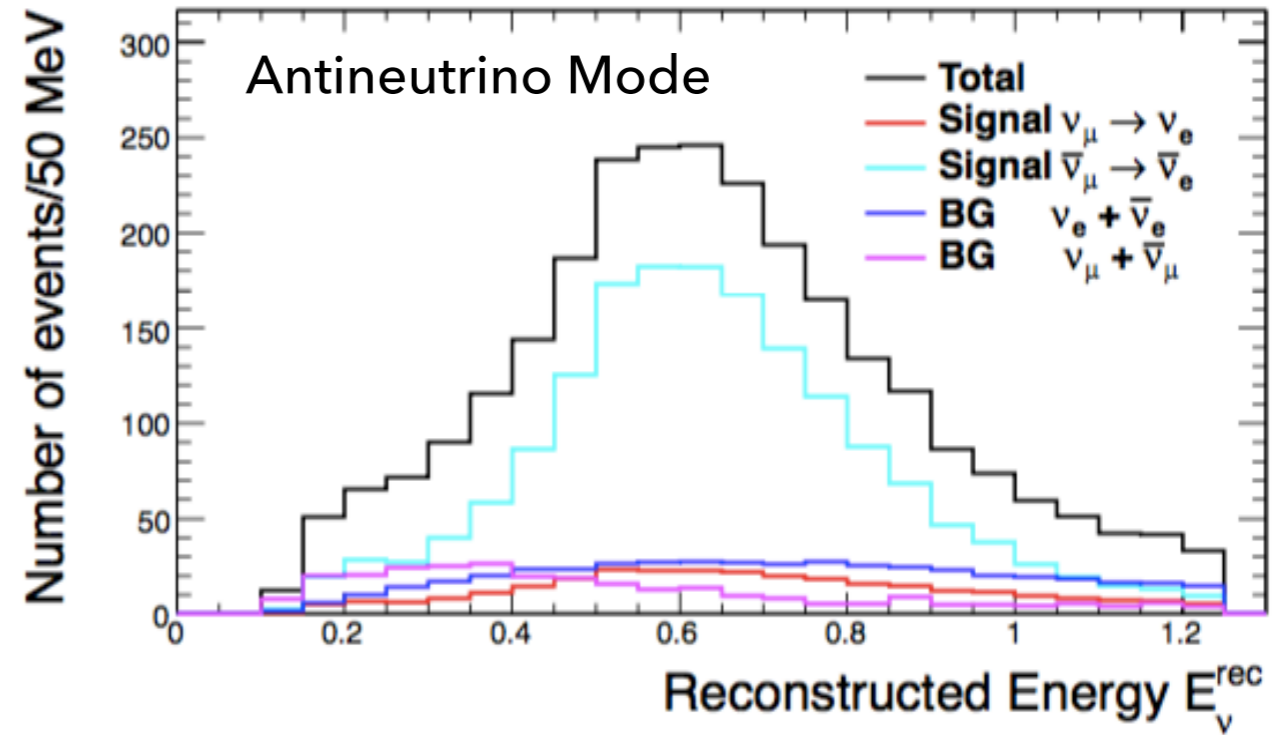
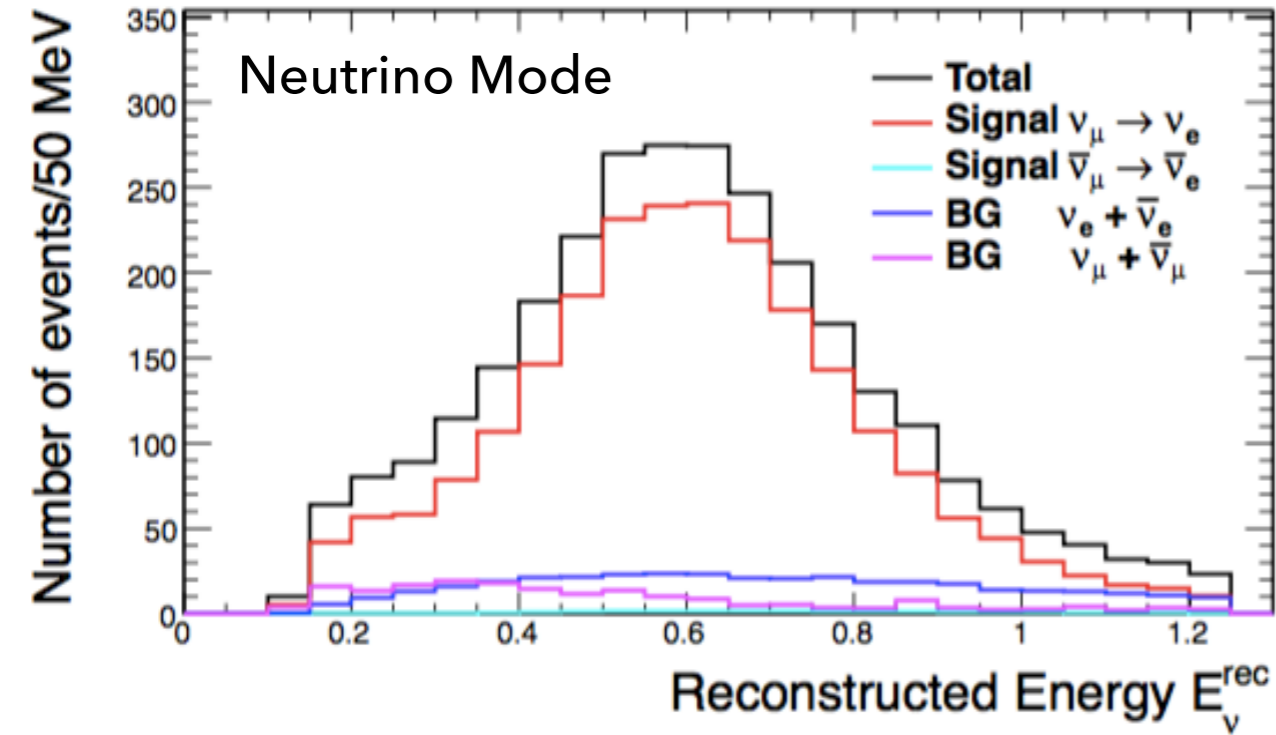
Staged construction of the
tanks with the second tank
6 years after first tank



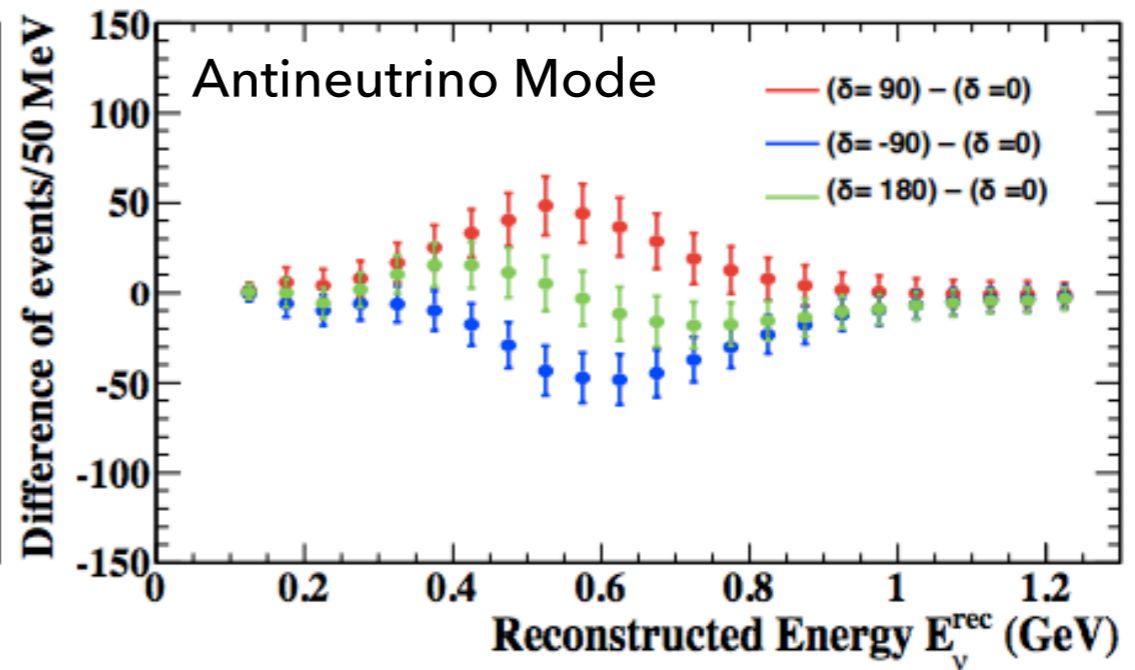
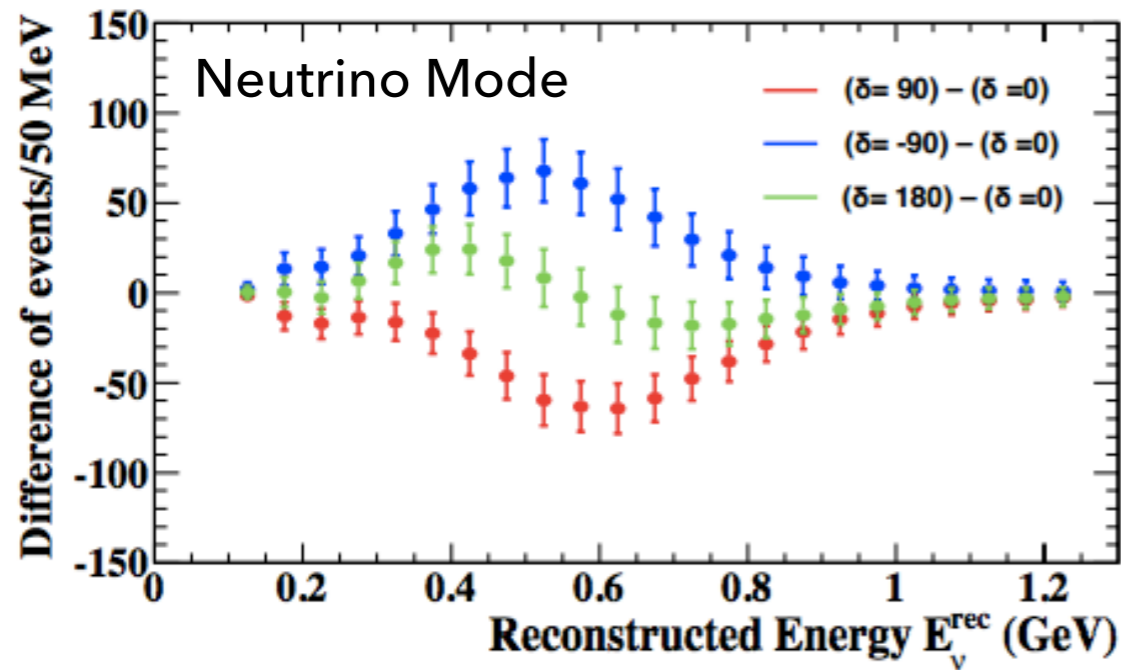
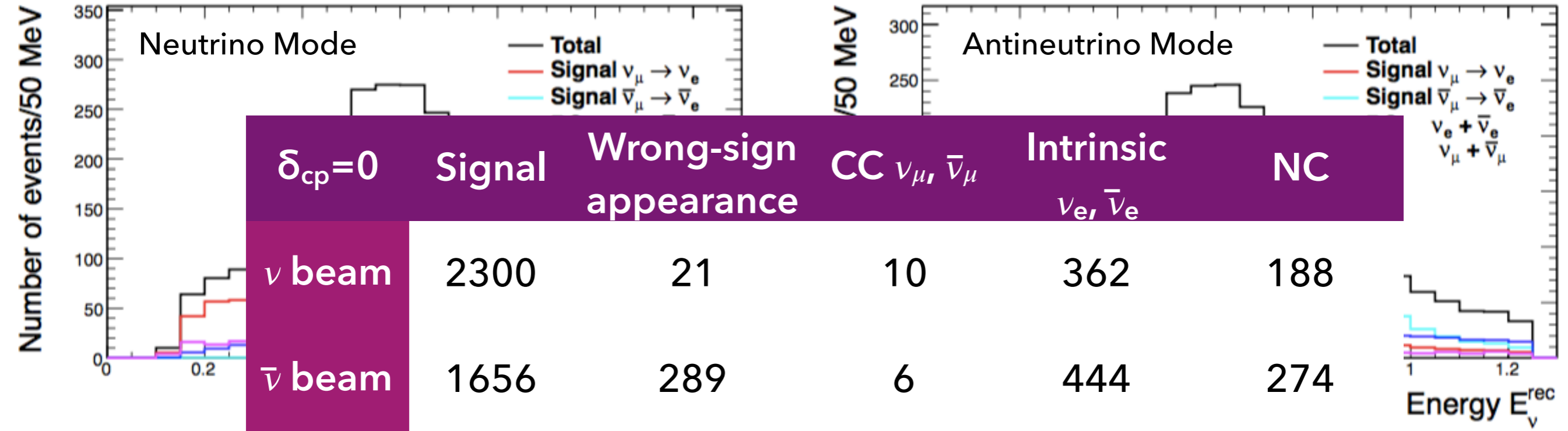
[KEK Preprint 2016-21](#)

Located south of the J-PARC beam direction. Same off-axis
angle as Super-K

Results shown here are for 10 year operation of J-
PARC beam with 1.3 MW beam power

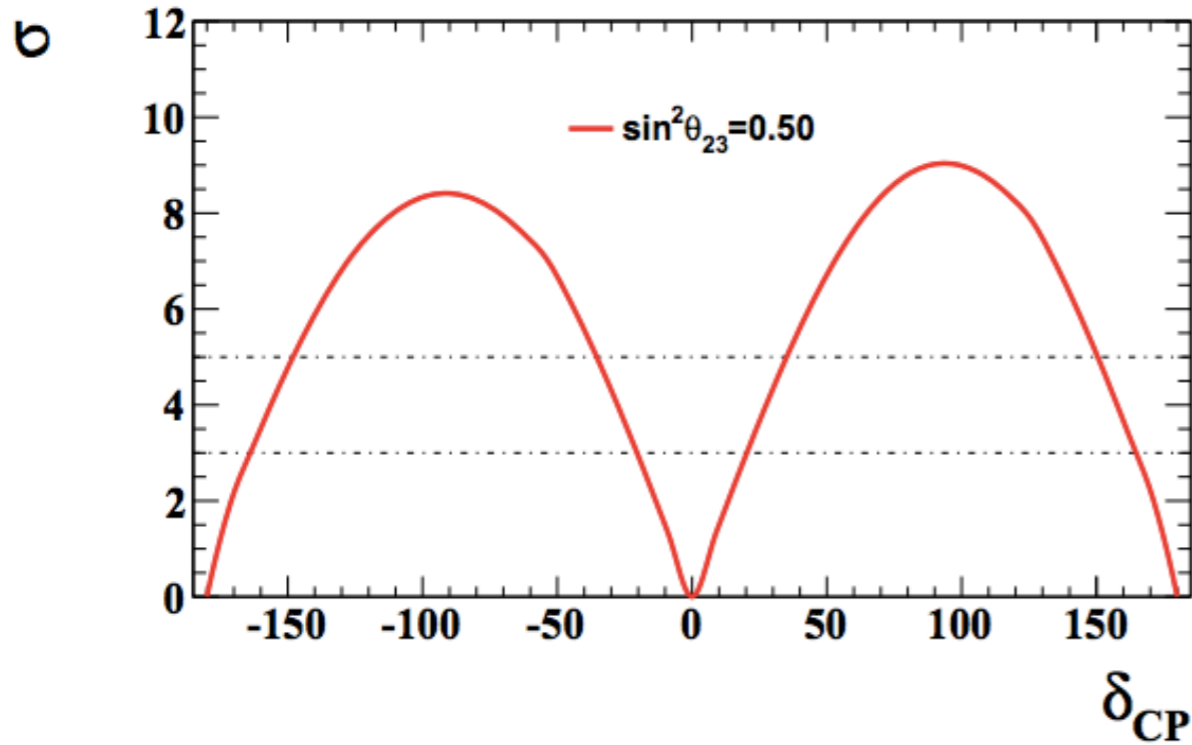


For 187 kton tank, 6 years with 1 tank, 4 years with 2 tanks, 1.3 MW beam power

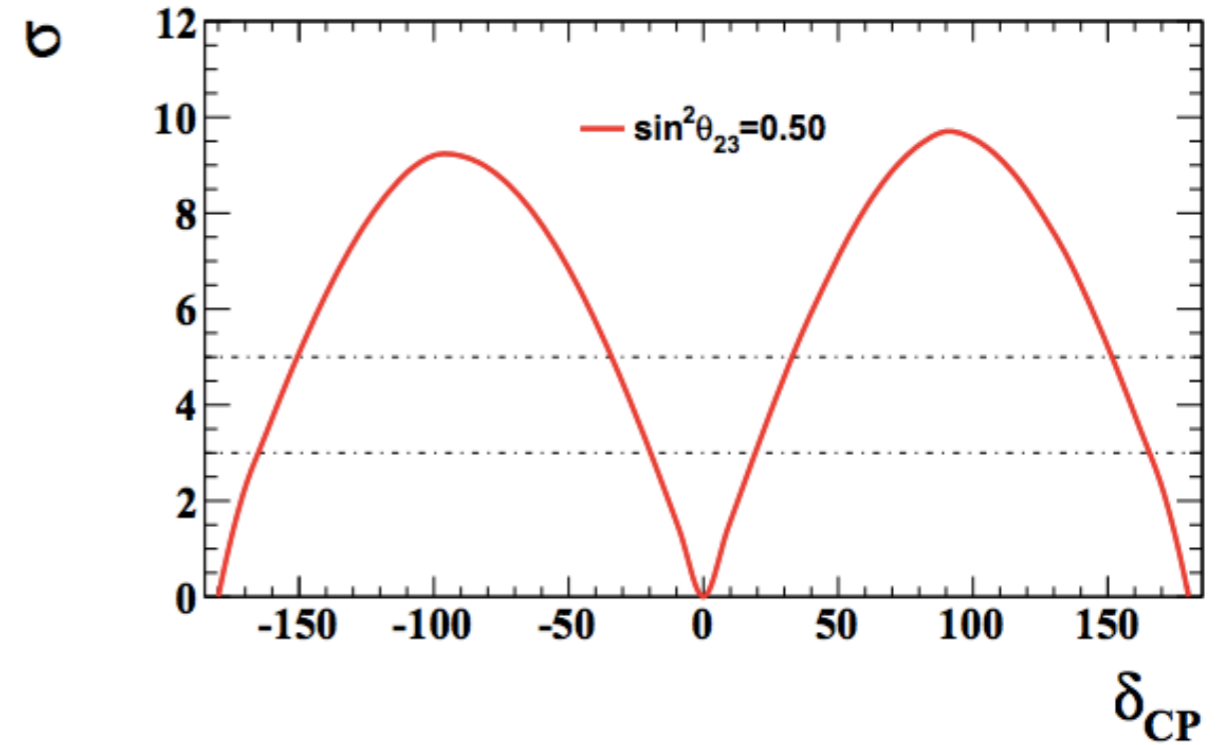


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Normal mass hierarchy



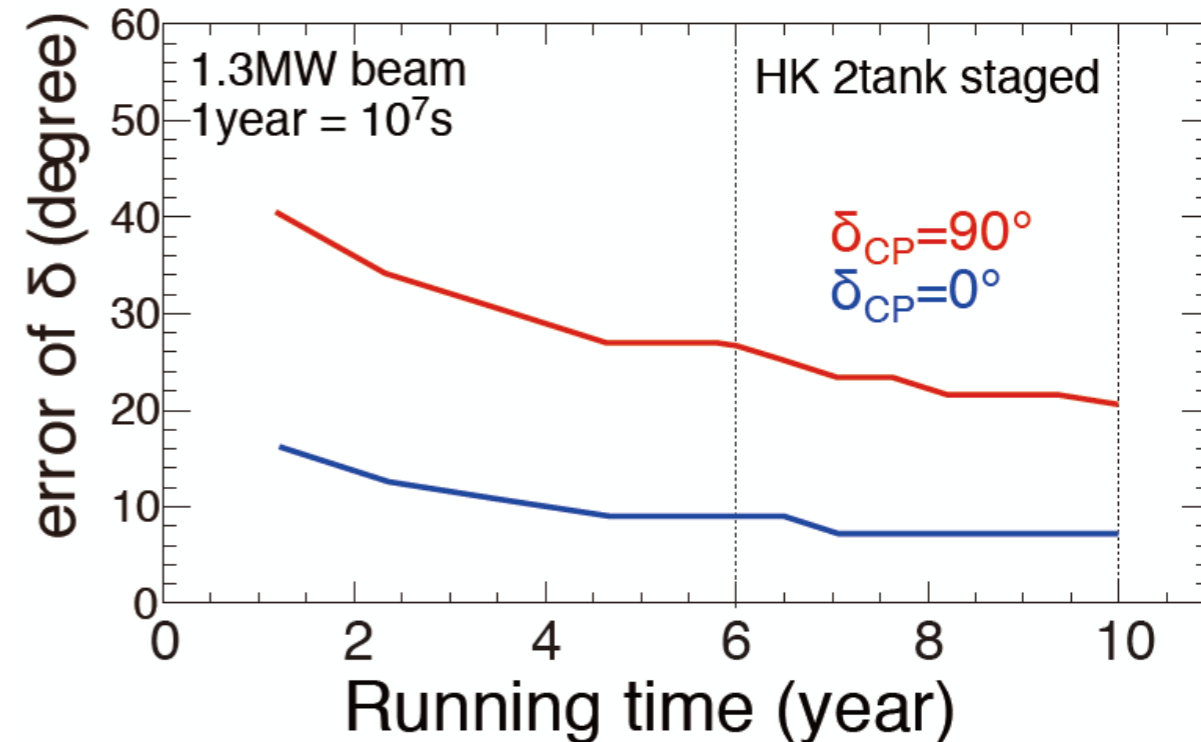
Inverted mass hierarchy



Exclusion of $\sin(\delta_{cp})=0$ at 3σ for 78% of δ_{cp} values at 5σ for 62%

21° precision at $\delta_{cp}=90^\circ$

7° precision at $\delta_{cp}=0^\circ$



Event rate dependence at the far detector:

$$N_k(p_k, \theta_k) \propto \sum_i^{E^{true} \text{ bins}} \sum_j^{\text{flavors}} \Phi_j^{far}(E_i^{true}) P_{\nu_j \rightarrow \nu_k}(E_i^{true}) \sigma_k^A(E_i^{true}, p_k, \theta_k \dots) \epsilon(p_k, \theta_k \dots) M_{det}$$

Event rate dependence at the near detector:

$$N_j(p_j, \theta_j) \propto \sum_i^{E^{true} \text{ bins}} \Phi_j^{near}(E_i^{true}) \sigma_j^A(E_i^{true}, p_j, \theta_j \dots) \epsilon(p_j, \theta_j \dots) M_{det}$$

Model uncertainties enter extrapolation due to differences in near and far detector rates:

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Different energy dependence of neutrino flux

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Different flavor of neutrino flux

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Different energy dependence of neutrino flux

Different flavor of neutrino flux

Different nuclear target

Different detection efficiency (4π acceptance?)

Event rate dependence at the far detector:

$N_k(L)$

Goals for near detectors:

Event

Minimize the differences between near and far detectors
→ Minimize the model dependence in extrapolation

$N_j(L)$

Since differences at near and far detectors will remain, build capable detectors that can make measurements to improve neutrino flux and interaction modeling

Model

tes:

Dif

Dif

Dif

Dif

Different detection efficiency (or acceptance?)

arXiv:1609.04111

Error Type	$\delta_{N_{SK}}/N_{SK}$ (%)				
	1-Ring μ		1-Ring e		
	ν mode	$\bar{\nu}$ mode	ν mode	$\bar{\nu}$ mode	$\nu/\bar{\nu}$
SK Detector	3.9	3.3	2.5	3.1	1.6
SK Final State & Secondary Interactions	1.5	2.1	2.5	2.5	3.5
ND280 Constrained Flux & Cross-section	2.8	3.3	3.0	3.3	2.2
$\sigma_{\nu_e}/\sigma_{\nu_\mu}, \sigma_{\bar{\nu}_e}/\sigma_{\bar{\nu}_\mu}$	0.0	0.0	2.6	1.5	3.1
NC 1γ Cross-section	0.0	0.0	1.5	3.0	1.5
NC Other Cross-section	0.8	0.8	0.2	0.3	0.2
Total Systematic Error	5.1	5.2	5.5	6.8	5.9
External Constraint on $\theta_{12}, \theta_{13}, \Delta m_{21}^2$	0.0	0.0	4.1	4.0	0.8

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Events with pion production where the pion is absorbed inside the target nucleus or detector medium

Can bias event rates and energy inference

arXiv:1609.04111

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Uncertainty in extrapolation from near detector

Benefit from improved near/far matching as discussed on previous slide

arXiv:1609.04111

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Uncertainty on electron (anti)neutrino cross sections

Need to make measurements with <3% precision

arXiv:1609.04111

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Intrinsic background (also intrinsic electron (anti)neutrinos and other NC modes)

Flux is similar at near and far detector, need similar detection efficiency

Baseline strategy is to build on the success of the T2K near detector program

Upgraded(?) INGRID:

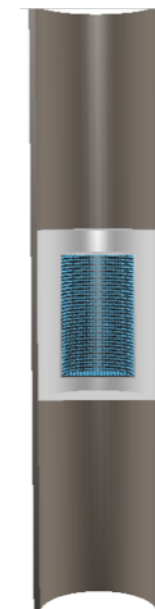
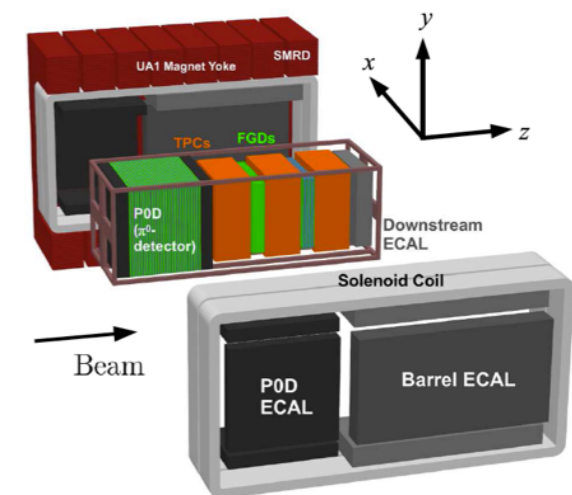
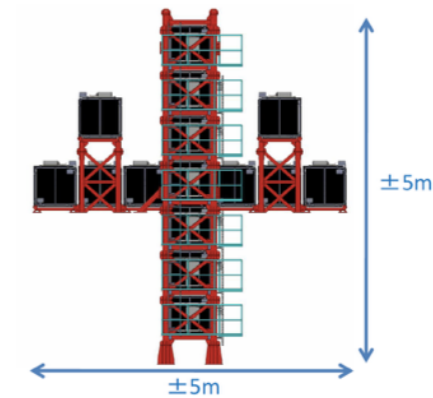
- Neutrino event rate monitoring with high statistics
- Precision beam direction measurement

Upgraded ND280:

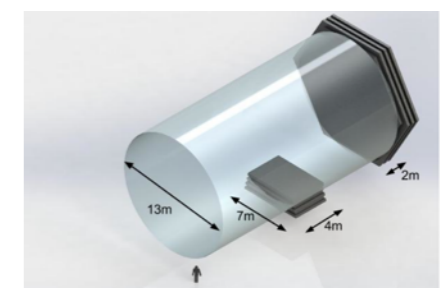
- Measurement of exclusive hadronic final states+leptonic final states for model building
- Magnetized detector for right-sign/wrong-sign separation

Intermediate Water Cherenkov Detector

- Loaded with Gd for final state neutron detection
- Off-axis spanning to measure energy dependence of interaction rates/final state particle kinematics
- 1-2 km baseline
- Naturally minimizes differences: nuclear target, efficiency and acceptance, unoscillated neutrino spectrum



Merging of NuPRISM and TITUS efforts



The evaluation of the systematic error requirements for Hyper-K is ongoing

Studies for near detector requirements (R. Shah):

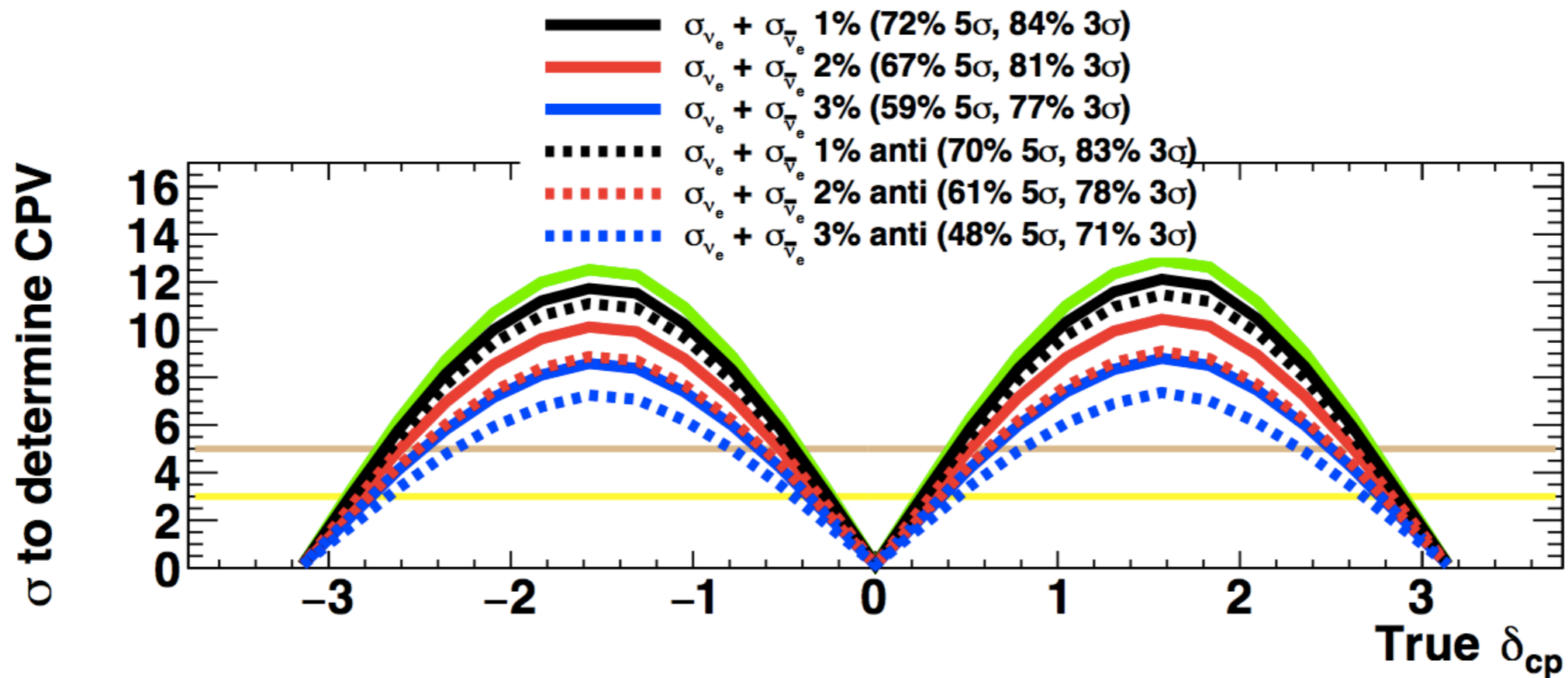
- Identify potential sources of systematic errors that may be constrained by near detector measurements
- Evaluate the impact on the CP violation sensitivity for Hyper-K
- Set near detector requirements based on sensitivity impact studies

Some additional investigation into spectrum shape uncertainties will be shown here (work-in-progress)

We can't show a complete picture of the requirements yet, but these studies show some of the important measurements that are needed

Sensitive to uncertainties on the difference between the muon and electron (anti)neutrino interaction cross sections

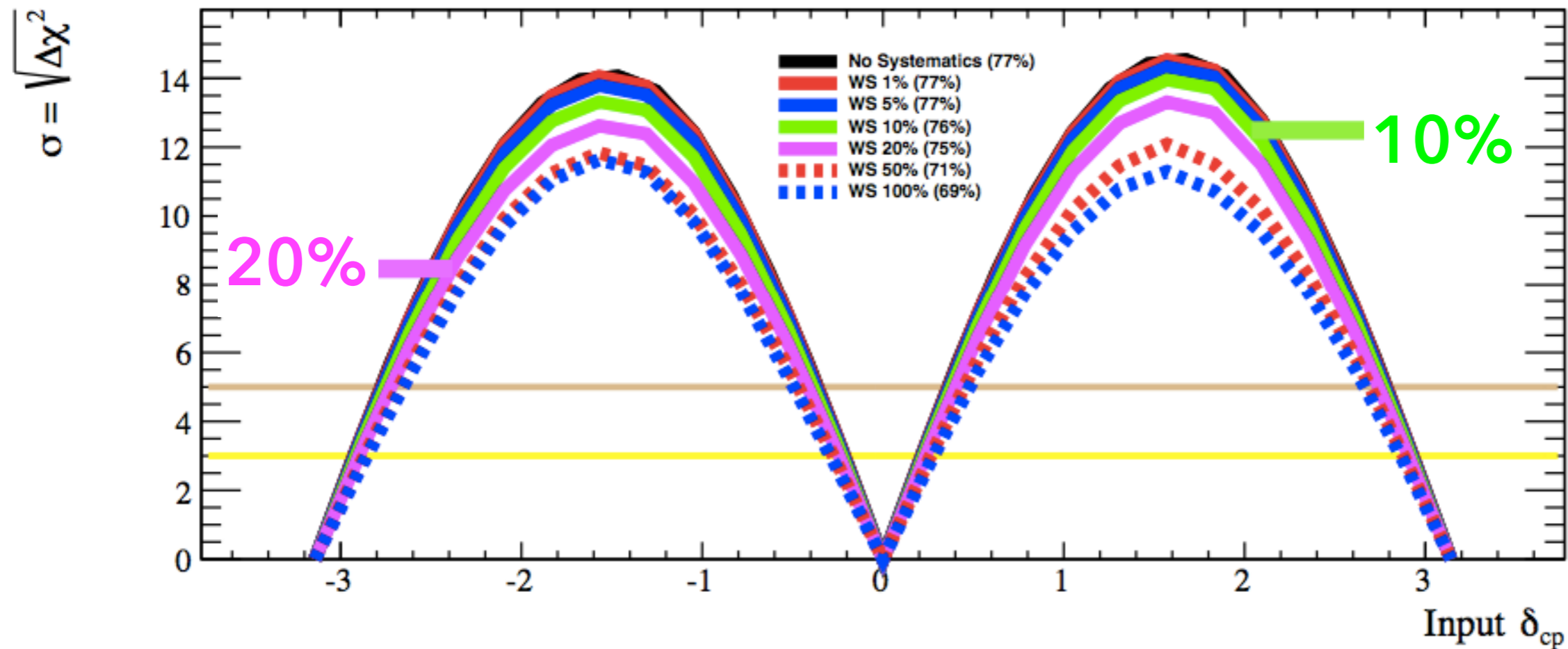
Day and McFarland (Phys.Rev. D86 (2012) 053003) made preliminary uncertainty estimates for the single nucleon cross section of $\sim 3\%$ (used by T2K)



Reduction of this uncertainty from 3% to 2% can have a significant impact on the CP violation discovery sensitivity

2-3% measurements are a real challenge in near detectors since we only have $\sim 0.5\%$ electron neutrinos near the flux peak

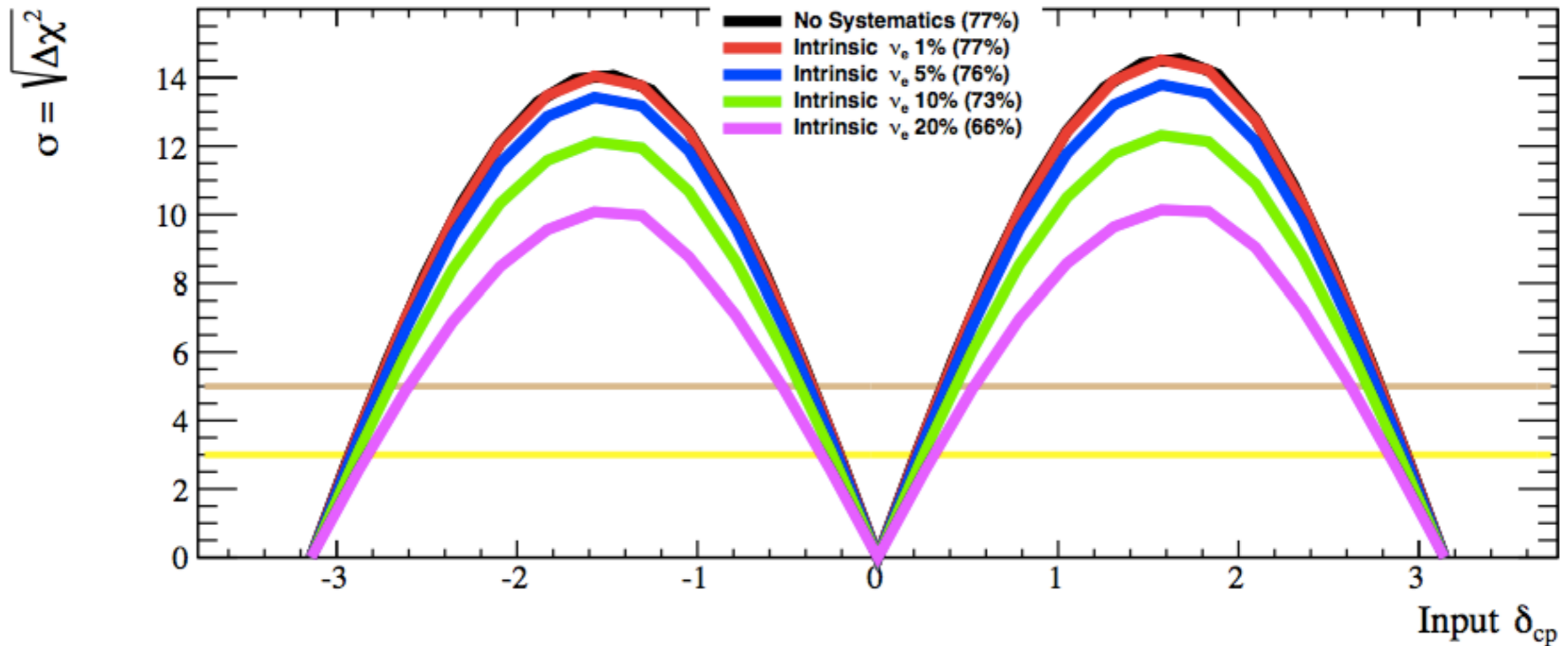
Study the impact of uncertainties on the wrong-sign background (neutrino interactions in antineutrino beam operation mode) to evaluate the importance of a magnetized detector



Measuring the wrong-sign background with 5% precision ensures that it does not significantly impact the CP violation discovery sensitivity

Can be done with the upgraded ND280

The intrinsic electron (anti)neutrino and neutral current backgrounds have a similar parent neutrino flux at the near and far detectors → Can be directly measured



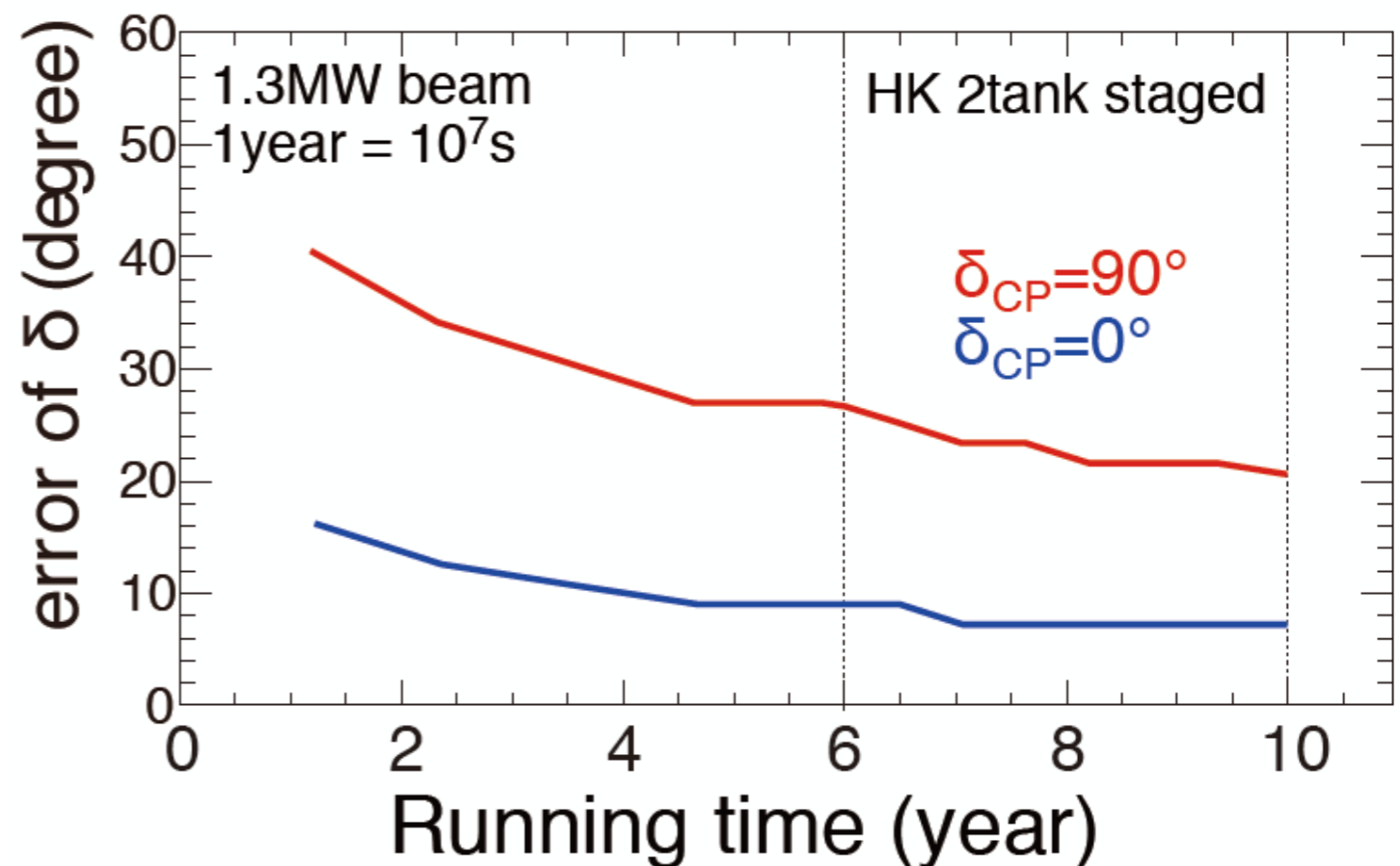
A measurement with 5% precision ensures a minimal impact on the CP violation sensitivity

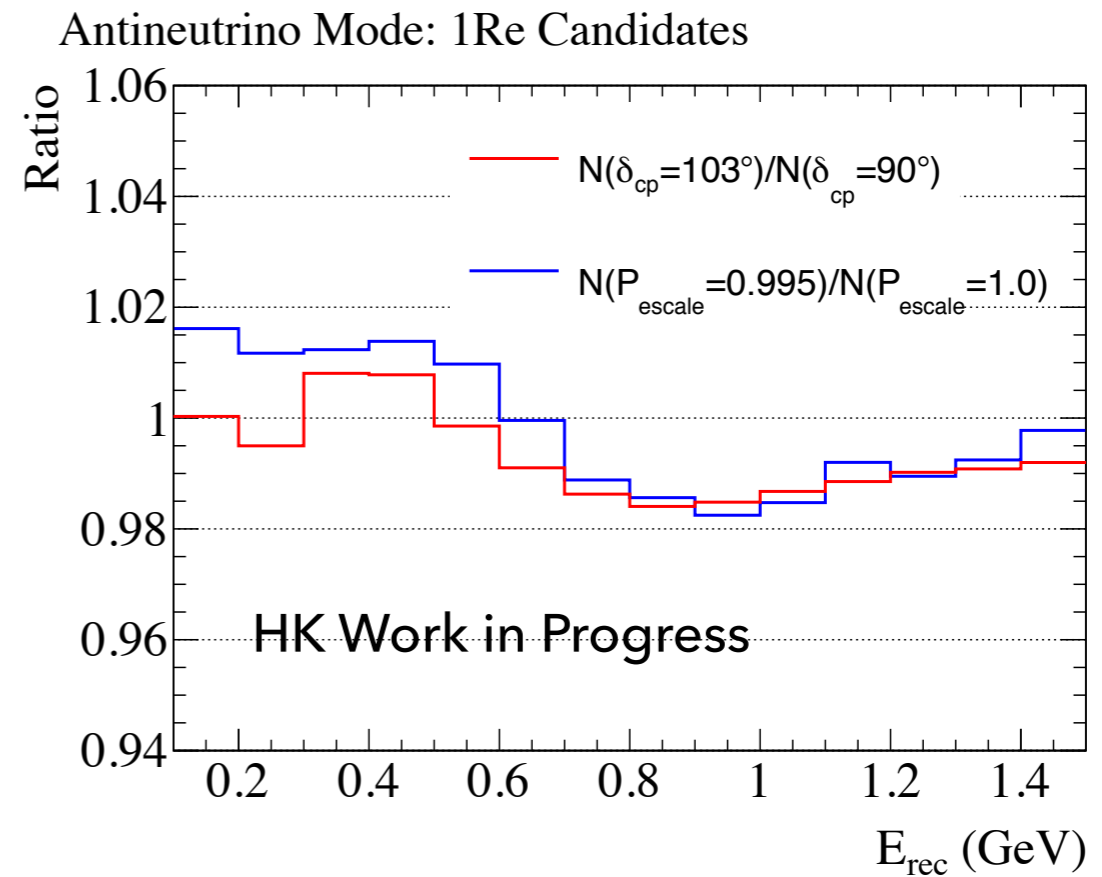
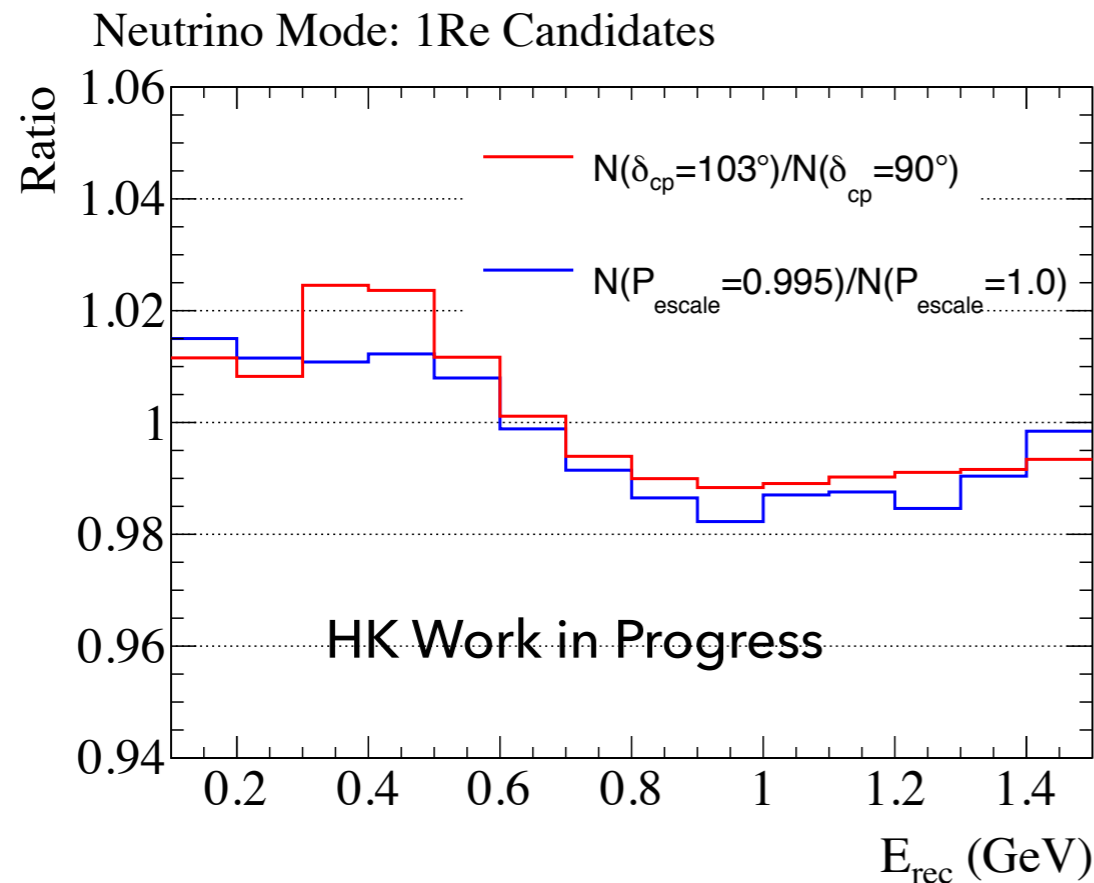
Can be done with an intermediate water Cherenkov detector

Spectrum shape uncertainties can be important for $|\Delta m^2_{32}|$, $\sin^2\theta_{23}$ and δ_{cp} measurements

I will focus on δ_{cp} here (the impact for the atmospheric parameters is well documented in the literature: Phys.Rev. D92 (073014), Phys.Rev. D92 (091301),)

The precision for the δ_{cp} measurement is worse near maximal CP violation because it relies on the $\cos\delta_{cp}$ interference term





A 13 degree shift in δ_{cp} near maximal CP violation is roughly equivalent to a 0.5% change in the energy scale

Systematic sources that can shift the peak reconstructed energy:

- Beam direction
- Effective binding energy in the nuclear model
- Modeling of non-CCQE interactions
- Modeling of far detector

Should be addressed with near detector measurements

Beam direction measured by INGRID

- Current uncertainty in neutrino mode is 0.12 mrad
- Spectrum distortion is smaller at low energy, but similar at high energy

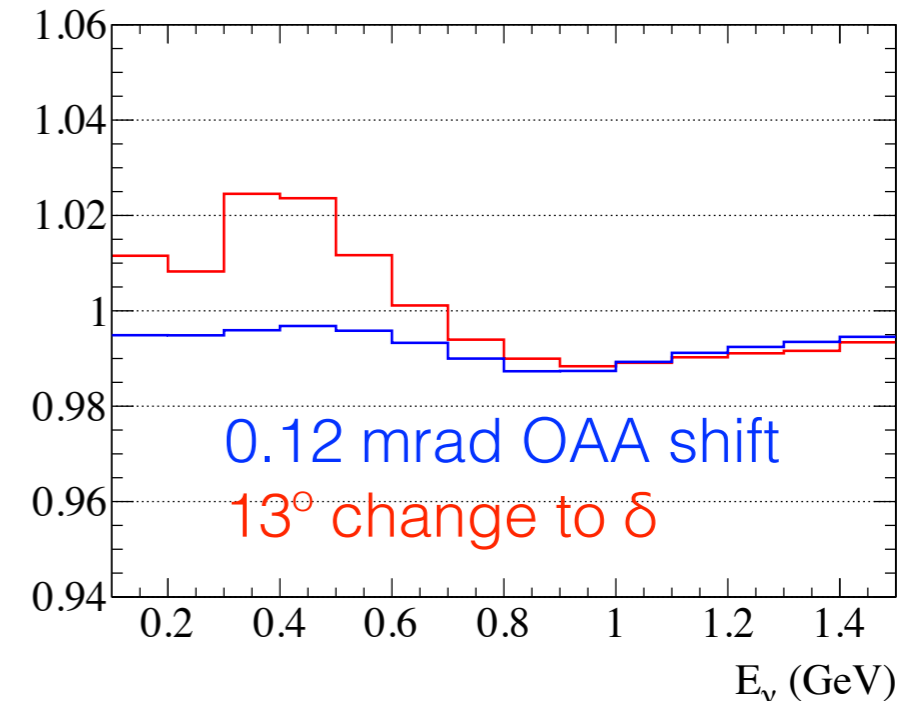
Need studies of the near detectors to constraint the peak energy (combined constraint on beam direction and effective binding energy)

The feed-down effect from non-CCQE interactions may be directly measured with the off-axis spanning intermediate water Cherenkov detector

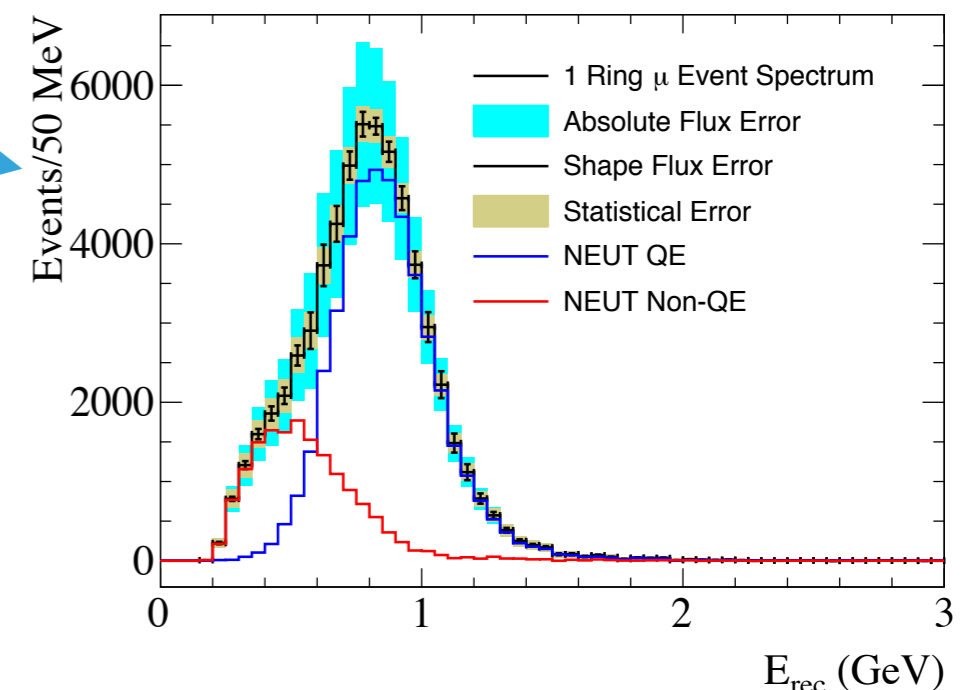
- Sufficient energy scale precision?

Can also be constrained by hadronic final state measurements in upgraded ND

- Build better models
- Calorimetric energy reconstruction



Linear Combination, 0.9 GeV Mean



1. Develop a unified framework for generating Monte Carlo and applying systematic variations for detectors being considered for T2K ND upgrades and Hyper-K
2. Develop a consistent framework for evaluating the ability of detectors to:
 - a. Constrain model parameters
 - b. Reject or choose models
 - c. Directly predict expected observables at the far detector
3. Building on studies presented here, identify the critical model uncertainties and evaluate the proposed detector technologies to address these uncertainties
4. Update the near/intermediate detector requirements and refine designs or explore new detectors where necessary

THANK YOU

Muon (anti)neutrino survival: $P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2 2\theta_{23} \sin^2 \frac{\Delta m_{32}^2 L}{4E_\nu}$

Electron (anti)neutrino appearance:

$$\begin{aligned}
 P(\nu_\mu(\bar{\nu}_\mu) \rightarrow \nu_e(\bar{\nu}_e)) \approx & \sin^2 \theta_{23} \sin^2 2\theta_{13} \frac{\sin^2(\Delta_{31} - (+)aL)}{(\Delta_{31} - (+)aL)^2} \Delta_{31}^2 \\
 & + \sin 2\theta_{23} \sin 2\theta_{13} \sin 2\theta_{12} \cos \theta_{13} \frac{\sin(\Delta_{31} - (+)aL)}{(\Delta_{31} - (+)aL)} \Delta_{31} \frac{\sin(aL)}{aL} \Delta_{21} \cos(\Delta_{32}) \cos \delta \\
 & - (+) \sin 2\theta_{23} \sin 2\theta_{13} \sin 2\theta_{12} \cos \theta_{13} \frac{\sin(\Delta_{31} - (+)aL)}{(\Delta_{31} - (+)aL)} \Delta_{31} \frac{\sin(aL)}{aL} \Delta_{21} \sin(\Delta_{32}) \sin \delta \\
 & + \cos^2 \theta_{13} \cos^2 \theta_{23} \sin^2 2\theta_{12} \frac{\sin^2(aL)}{(aL)^2} \Delta_{21}^2.
 \end{aligned}$$

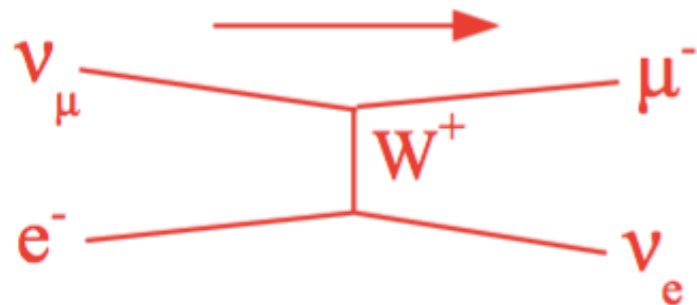
$$\Delta_{31} = \frac{\Delta m_{31}^2 L}{4E} \quad \Delta_{21} = \frac{\Delta m_{21}^2 L}{4E} \quad a = G_F N_e / \sqrt{2}$$

Sensitivity to:

- Leading term: $\sin^2 \theta_{23}, \sin^2 2\theta_{13}, |\Delta m_{32}^2|$
- Interference terms: $\cos \delta$ (shape distortion) and $\sin \delta$ (neutrino/antineutrino asymmetry)
- Matter effect: sign of Δm_{32}^2

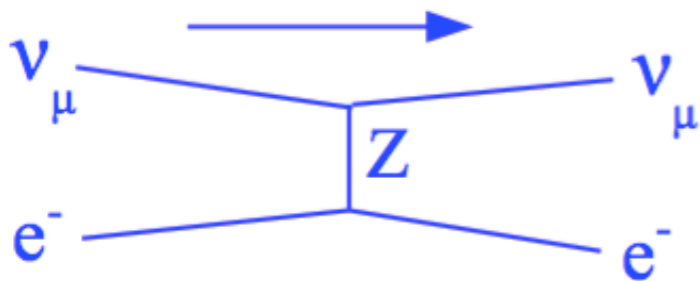
Neutrino-nucleus uncertainties are as large as neutrino flux uncertainties
→ Cannot use neutrino-nucleus interaction measurements to constrain the flux

Need processes with little to no uncertainties on interactions:



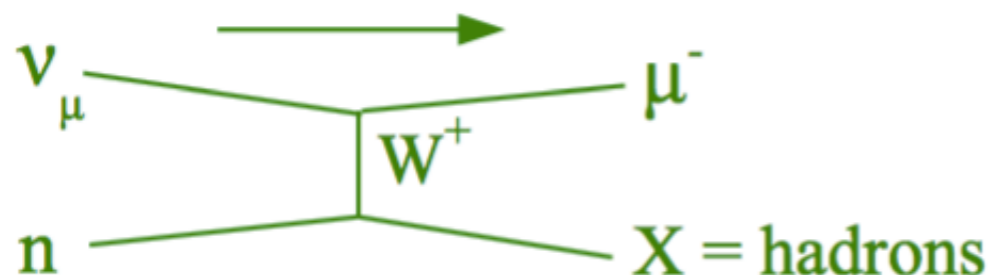
Inverse muon decay:

Threshold is $E_\nu > 12$ GeV



Neutrino-electron scattering:

Cross section is too small for < 1 GeV J-PARC beam to collect sufficient statistics



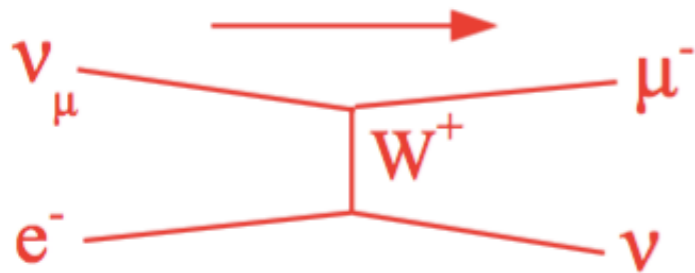
Low ν method: cross section is constant with neutrino energy when recoil energy goes to 0

Can measure the neutrino spectrum shape

Works best at energies > 1 GeV

Neutrino-nucleus uncertainties are as large as neutrino flux uncertainties
→ Cannot use neutrino-nucleus interaction measurements to constrain the flux

Need processes with little to no uncertainties on interactions:

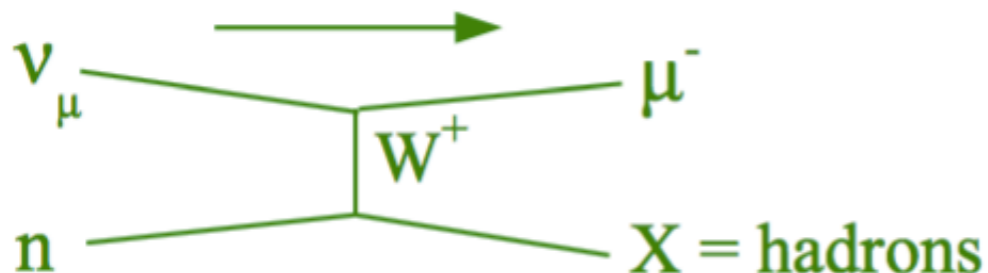


Inverse muon decay:

Threshold is $E_\nu > 12 \text{ GeV}$

NONE OF THESE ARE APPLICABLE TO THE J-PARC NEUTRINO BEAM

ANY NOVEL IDEAS?

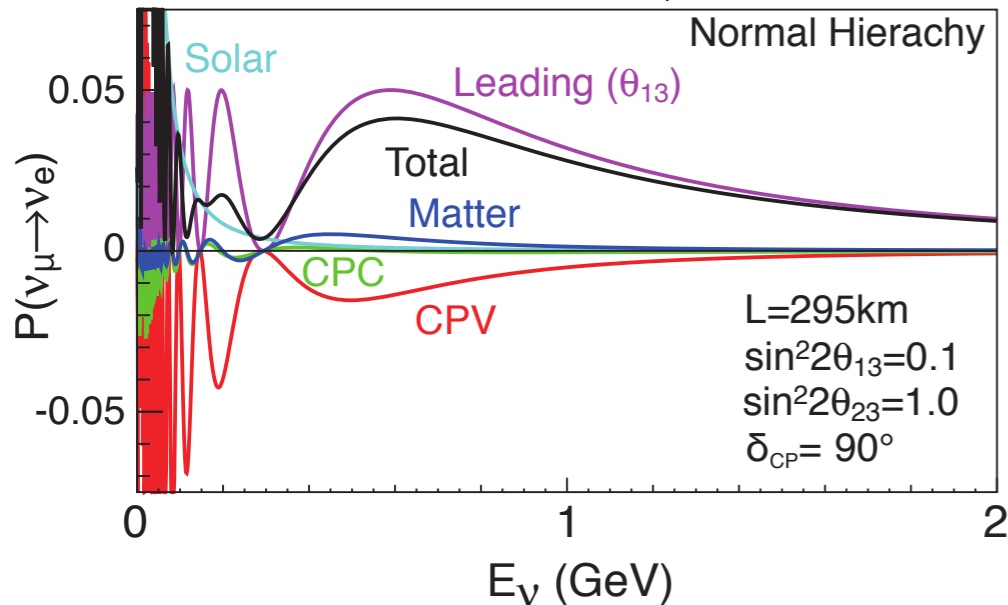
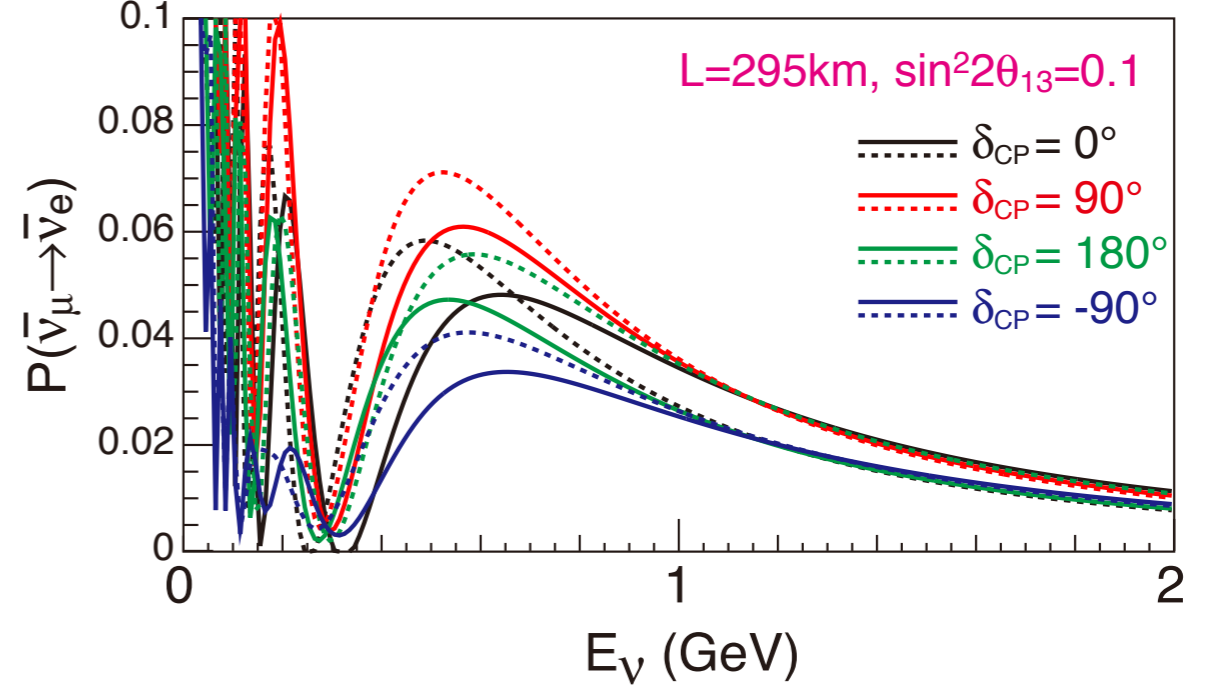
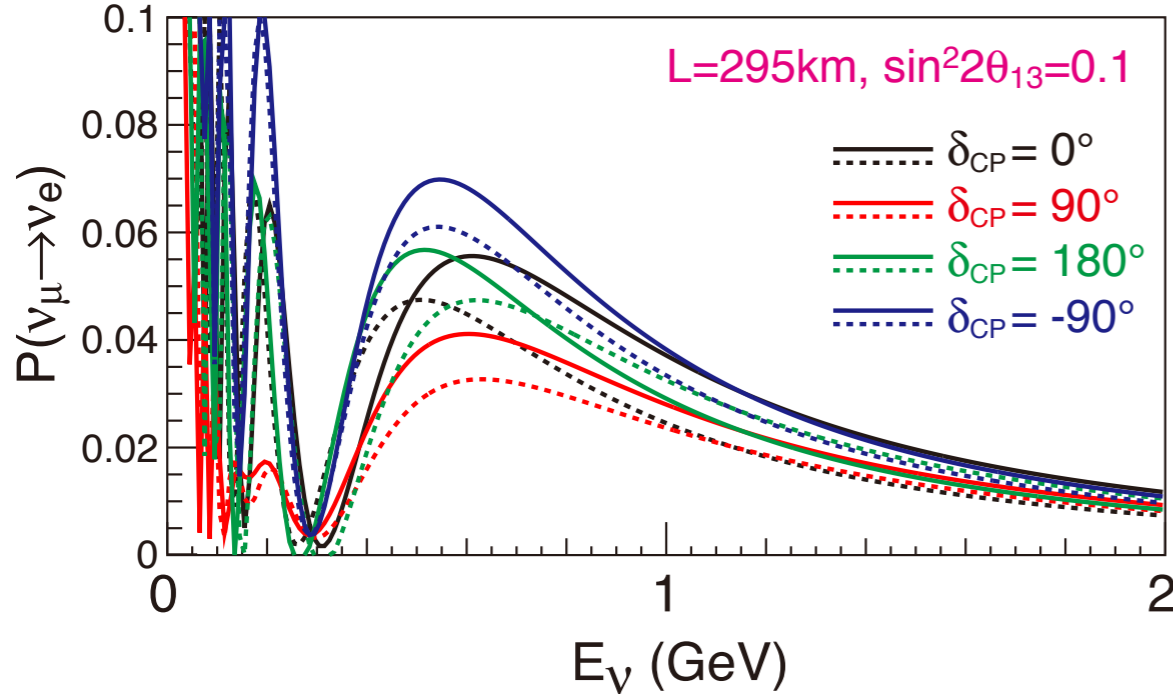


Low ν method: cross section is constant with neutrino energy when recoil energy goes to 0

Can measure the neutrino spectrum shape

Works best at energies $> 1 \text{ GeV}$

Primary Goal: Detection of CP violation in the $\nu_\mu \rightarrow \nu_e, \bar{\nu}_\mu \rightarrow \bar{\nu}_e$ channels



At 295 km baseline, the CPV effect dominates over the matter effect

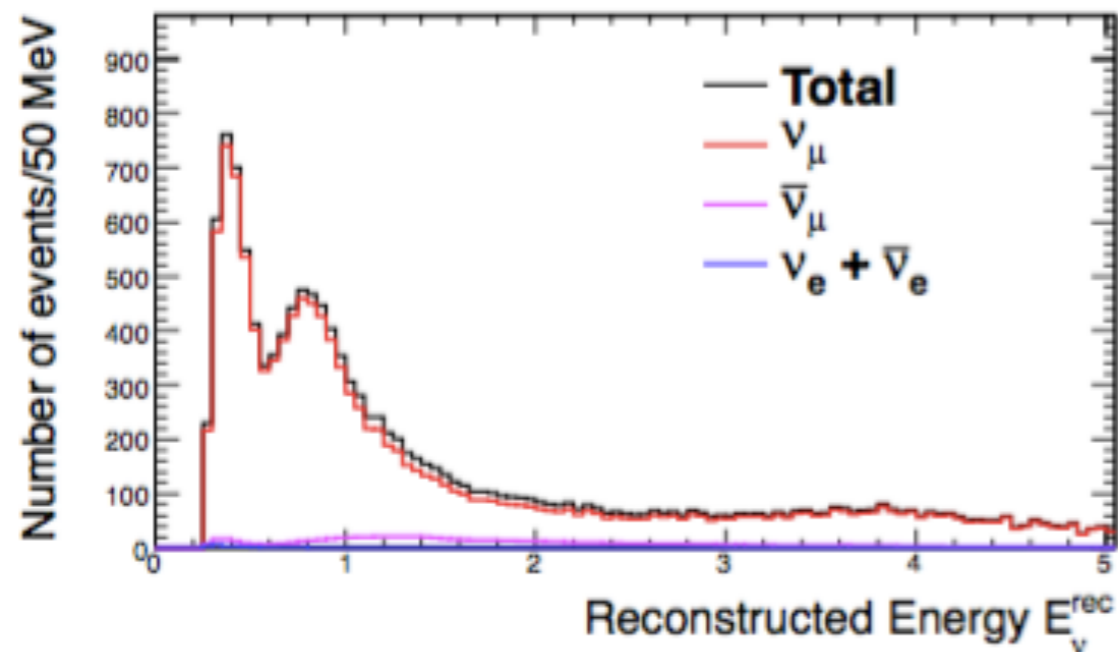
Precision measurement of the $\theta_{23}, \Delta m^2_{32}, \delta_{cp}$ and θ_{13} parameters

10 years operation with 1.3 MW beam from J-PARC, 3:1 $\bar{\nu}$ to ν ratio

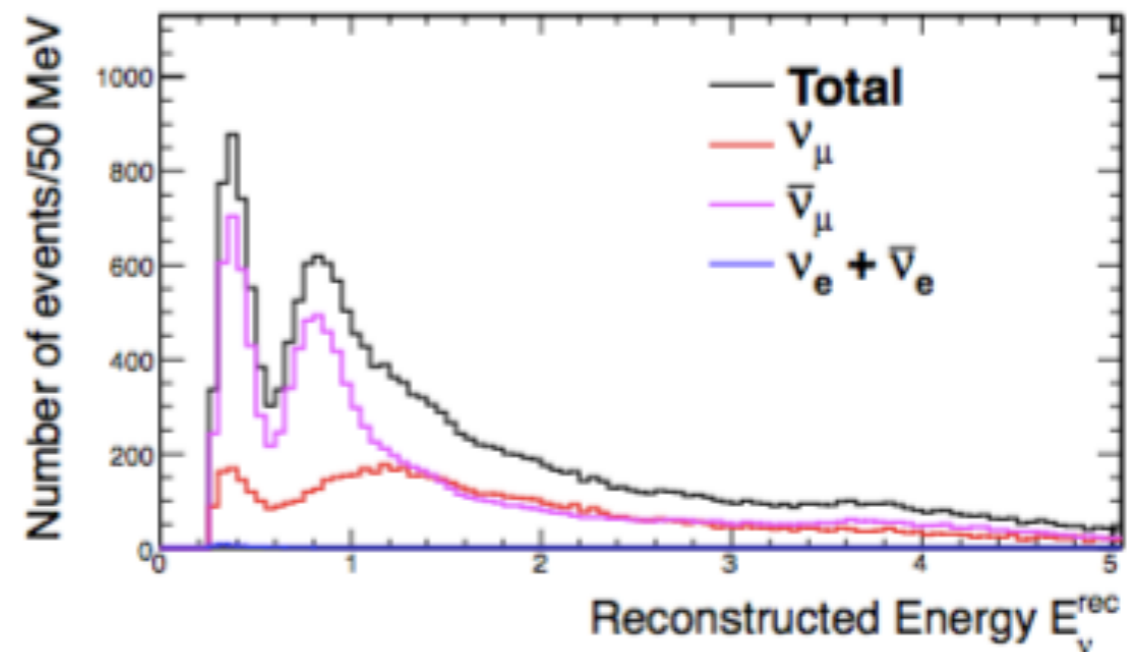
Use kinematic energy reconstruction

$$E_\nu^{\text{rec}} = \frac{2(m_n - V)E_\ell + m_p^2 - (m_n - V)^2 - m_\ell^2}{2(m_n - V - E_\ell + p_\ell \cos \theta_\ell)}$$

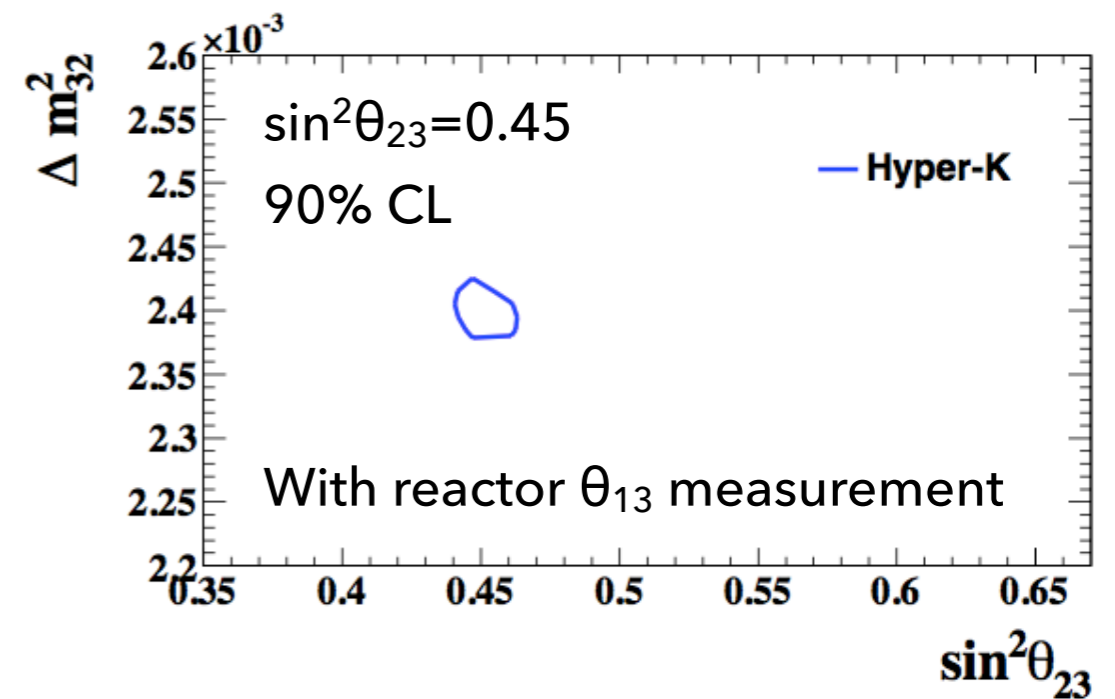
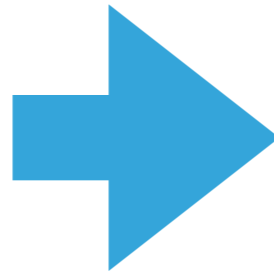
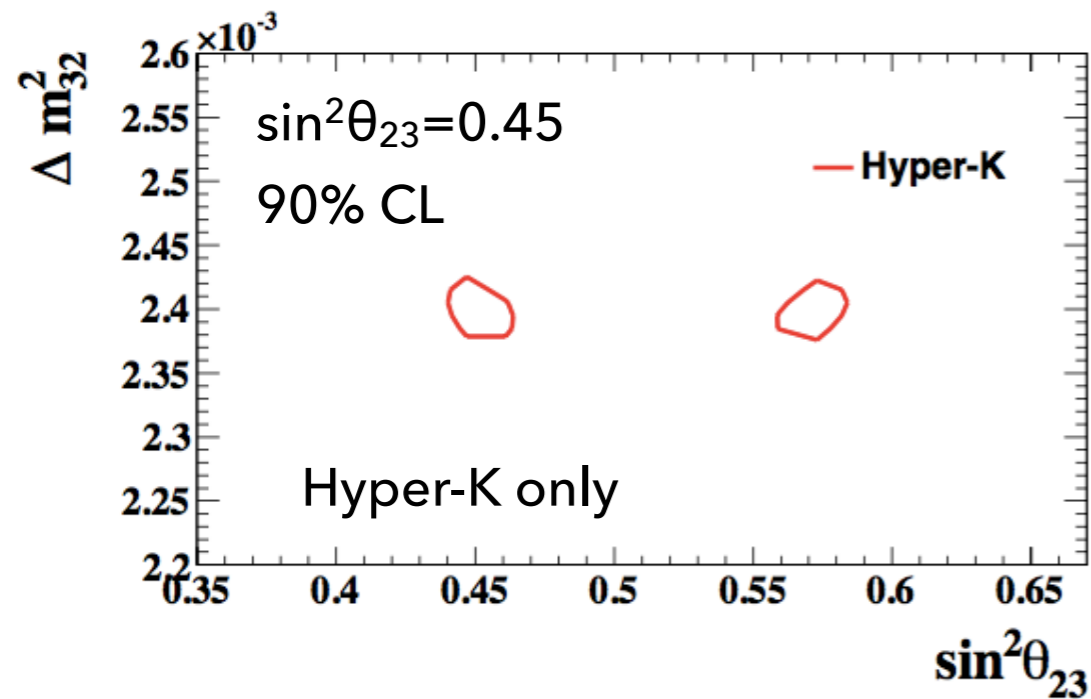
Disappearance ν mode



Disappearance $\bar{\nu}$ mode



	CCQE	CC non-QE	NC	Total
ν beam	8947	4444	672	14110
$\bar{\nu}$ beam	12317	6040	844	19214

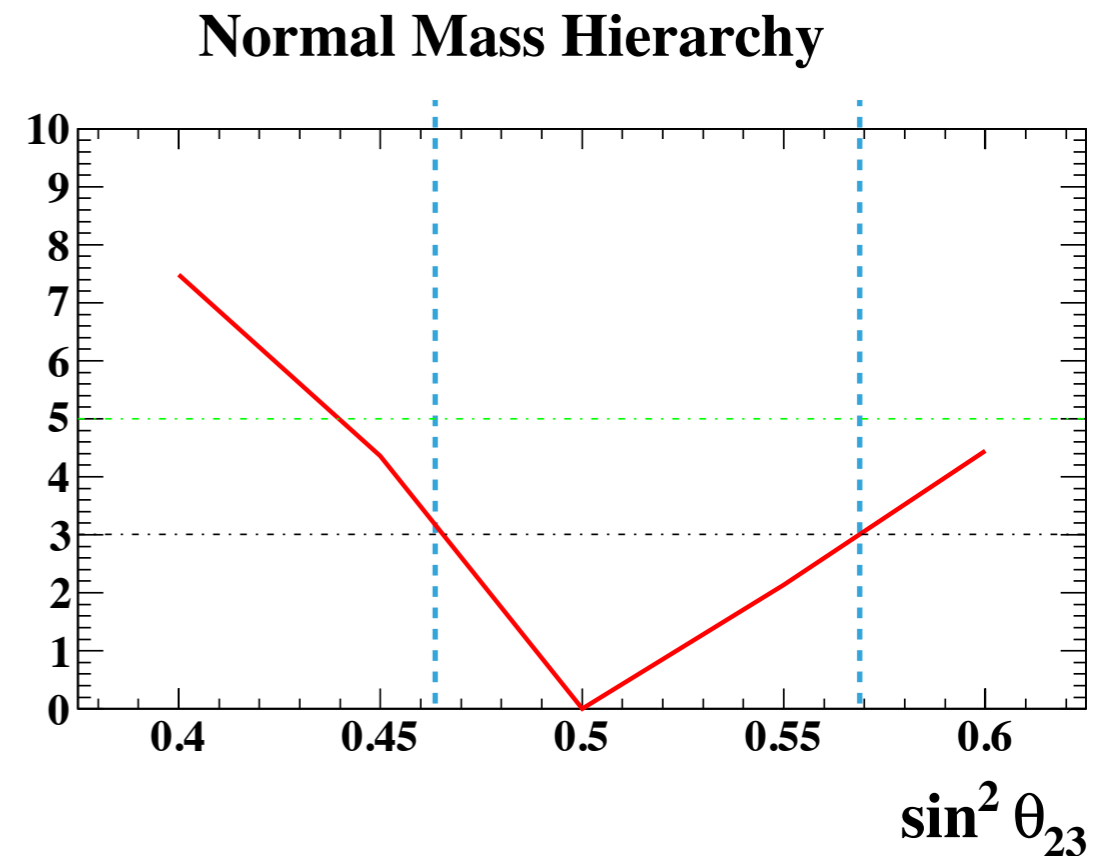


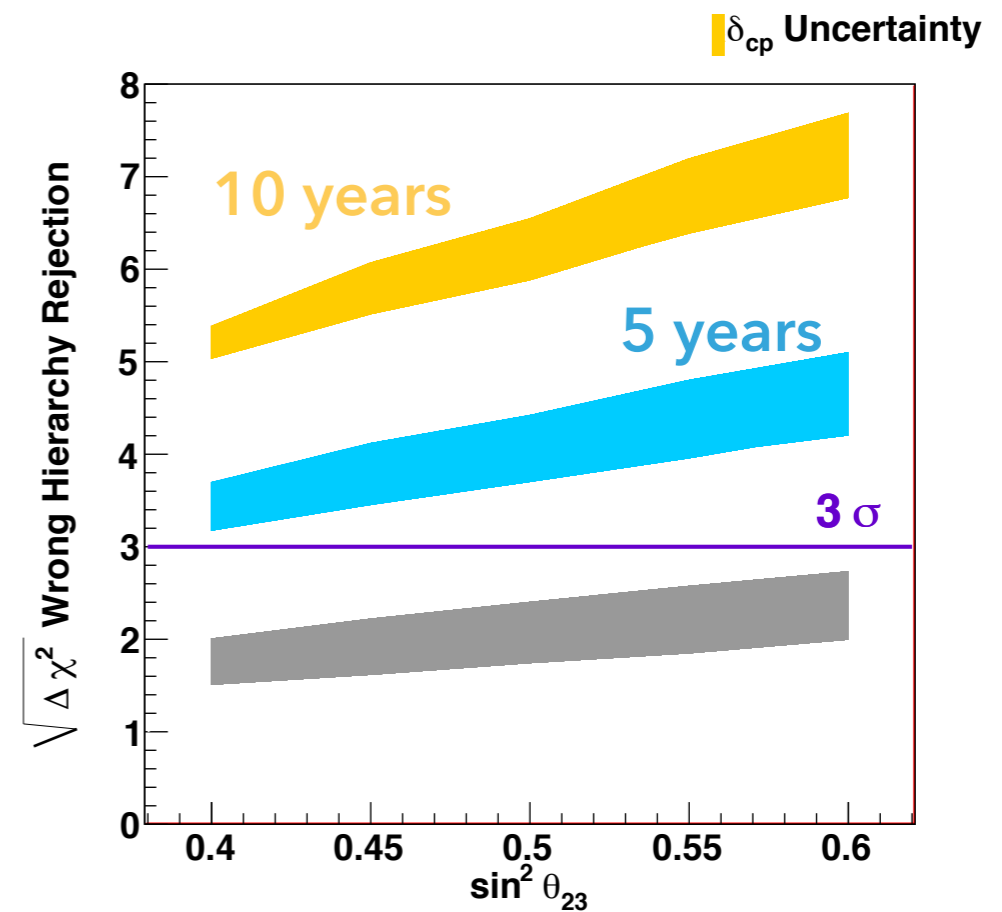
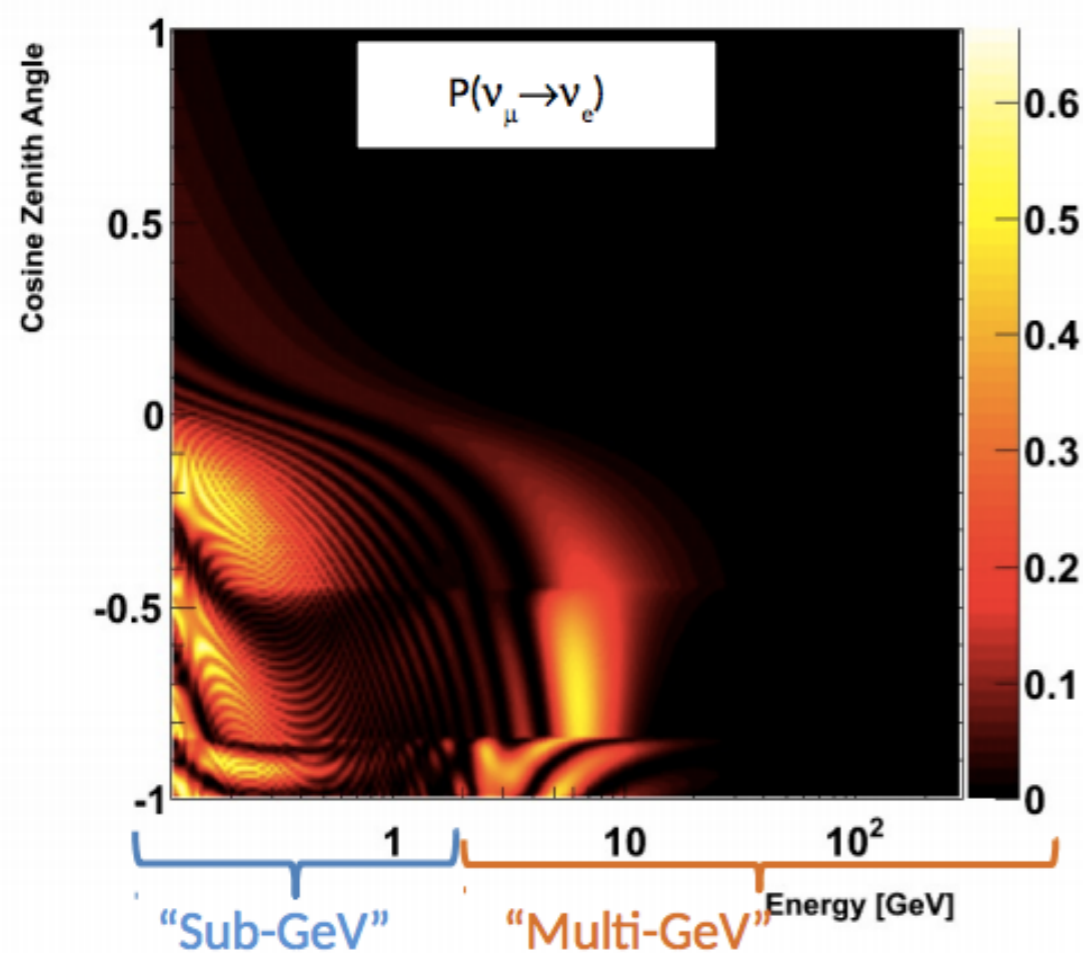
0.6% uncertainty on Δm^2_{32}

Error on $\sin^2\theta_{23}$ of 0.015 (at 0.5),
0.006 (at 0.45)

Rejection of the wrong octant for non-maximal mixing values of θ_{23}

σ wrong octant rejection

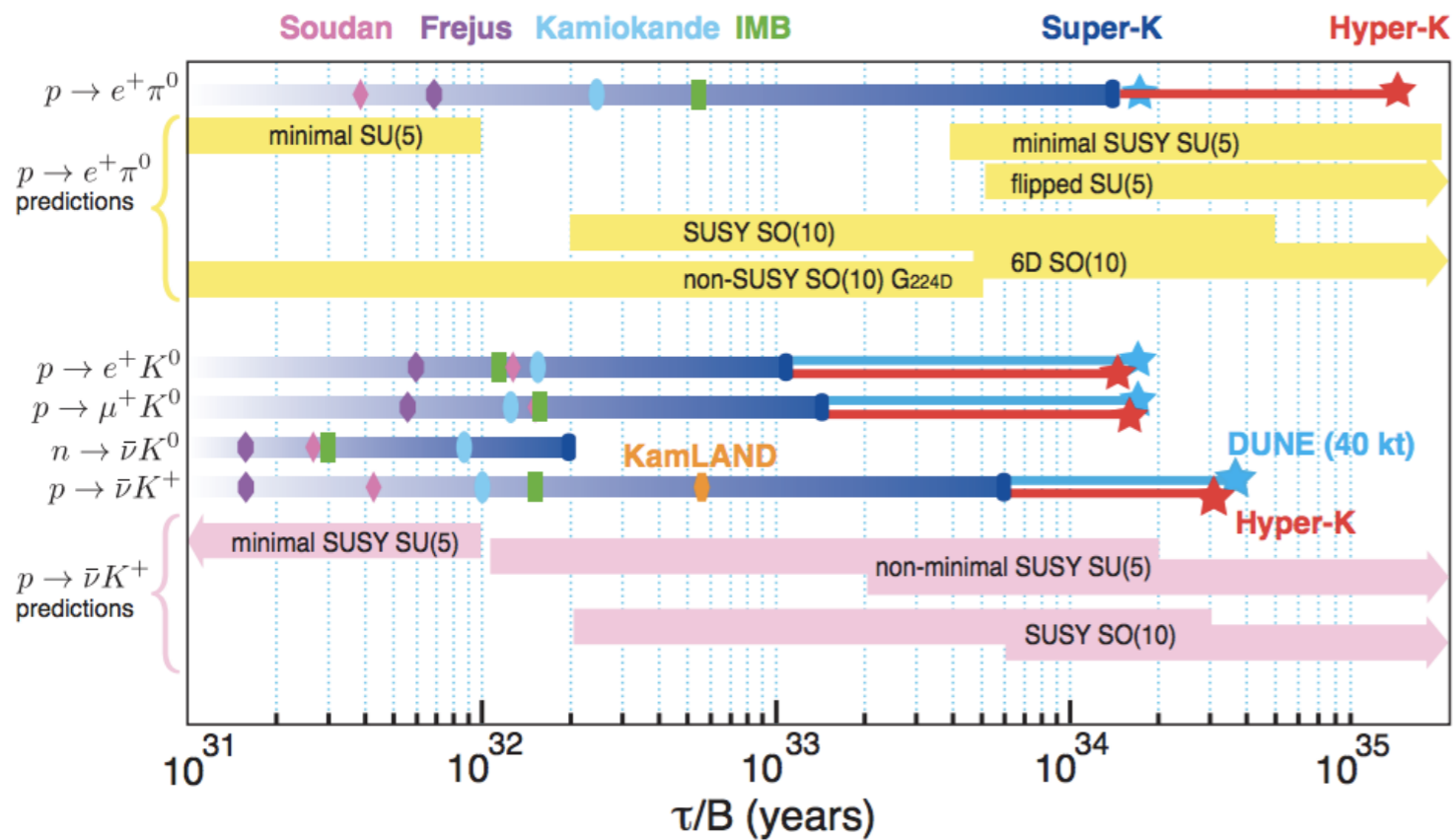




Hyper-K has sensitivity to the mass hierarchy through the atmospheric neutrinos (parametric resonance in the multi-GeV region)

Sensitivity is further improved in combination of accelerator and atmospheric neutrinos

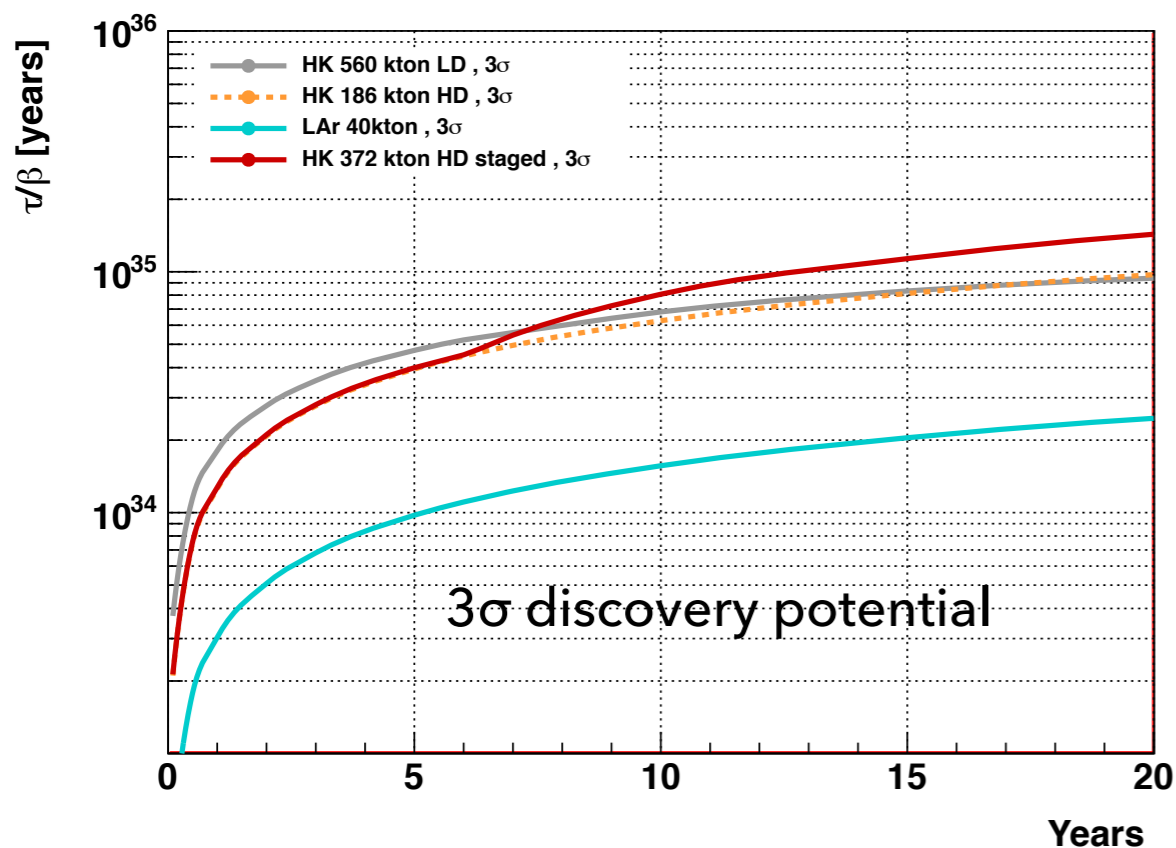
Can determine the hierarchy at $>3\sigma$ after 5 years, $>5\sigma$ after 10 years



1 order of magnitude sensitivity improvements

Leading measurement in $e\pi^0$ mode

Competitive with DUNE in the kaon modes



With smaller tank/high photo-detector density, can achieve same performance as larger tank

Detection of neutron capture on H to reject atmospheric backgrounds

Possible due to PMT efficiency improvements

T2K is approved to collect 7.8×10^{21} POT, about 5 times the current data set

T2K has submitted a proposal to extend operation to 2026 and collect 20×10^{21} POT

Main ring power supply upgrade in 2018 will allow for ~1 MW beam

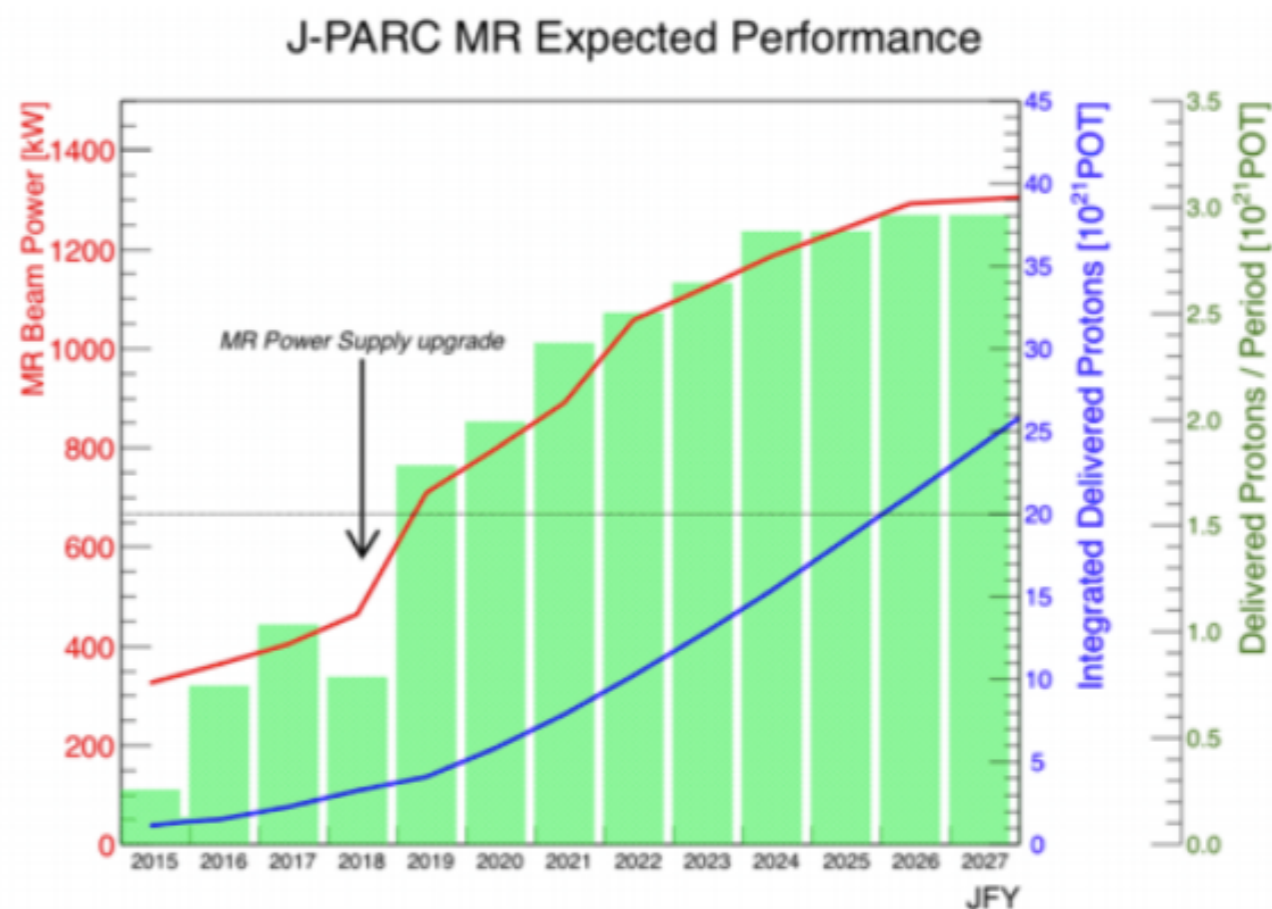
T2K will improve its experimental sensitivity:

Operate horns at higher current = more neutrinos

New multi-ring samples at Super-K

Expanding the fiducial volume at Super-K

Reduction of systematic errors



Beam ν_e fraction increases with off-axis angle

Off-axis angle ($^\circ$)	ν_e Flux 0.3-0.9 GeV	ν_μ Flux 0.3-5.0 GeV	Ratio ν_e/ν_μ
2.5	1.24E+15	2.46E+17	0.507%
3.0	1.14E+15	1.90E+17	0.600%
3.5	1.00E+15	1.47E+17	0.679%
4.0	8.65E+14	1.14E+17	0.760%

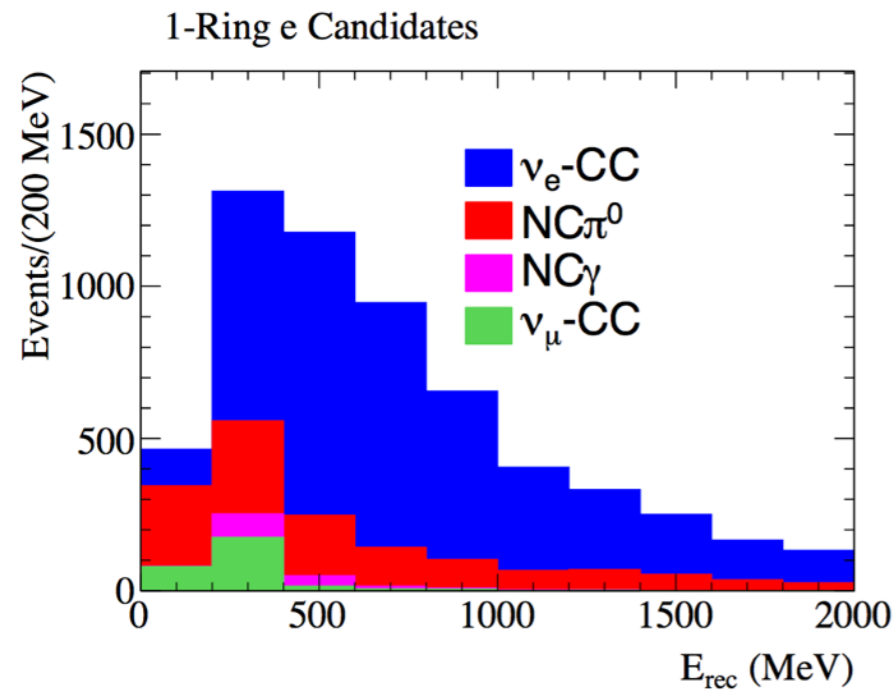


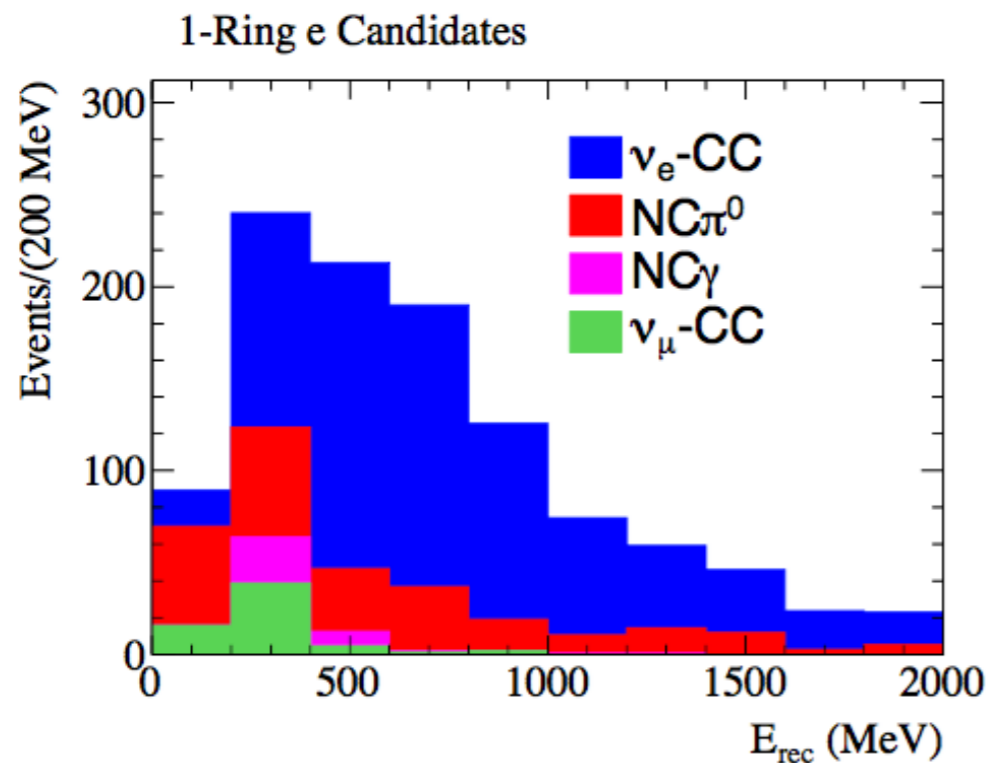
FIG. 24. Selected 1Re candidates in the 2.5-4.0 $^\circ$ off-axis range for NuPRISM.

1.5e21 POT exposure at each off-axis position

3500 candidate events with 71% signal purity

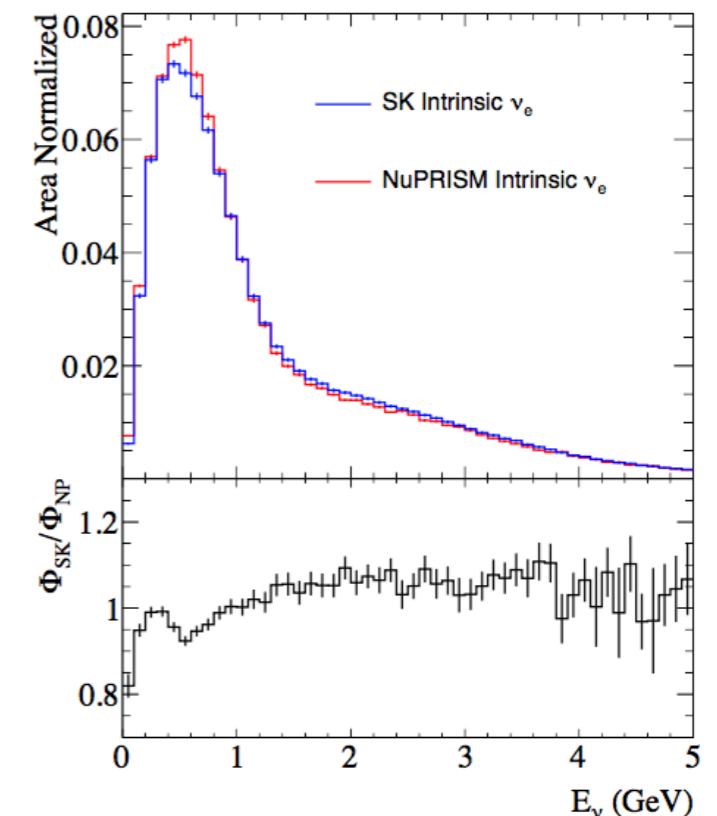
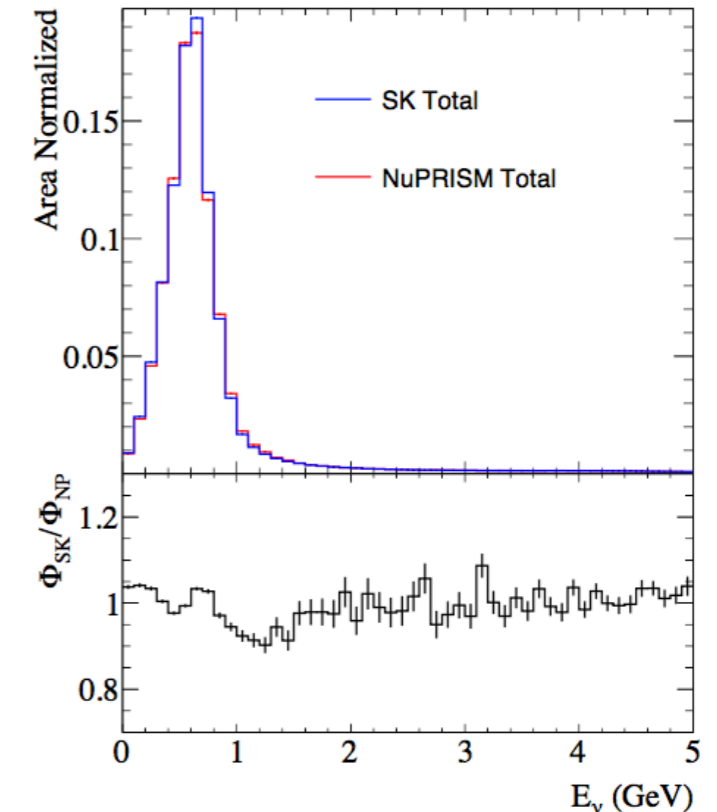
Aiming for $\sim 3\%$ precision on ν_e/ν_μ cross section ratio

- Total neutrino and intrinsic ν_e and fluxes are nearly identical in the intermediate and far detectors
- Can measure the intrinsic+NC background directly in the intermediate detector

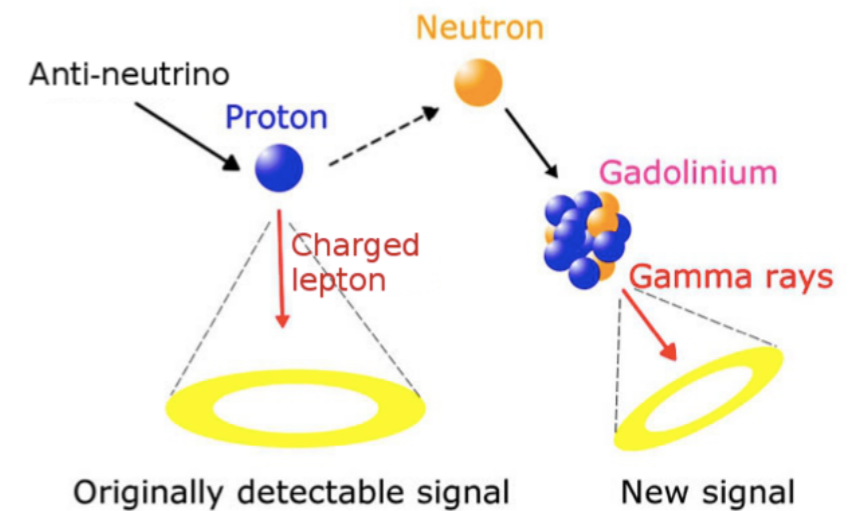


300 ton ID x
1.5e21 POT

3% statistical
precision can
be achieved



- Super-K will be loaded with $\text{Gd}_2(\text{SO}_4)_3$ to increase neutron detection efficiency to $\sim 90\%$
- Potential benefits to high energy physics program:
 - Rejection of atmospheric backgrounds to proton decay
 - Statistical separation of neutrinos and antineutrinos in atmospheric and accelerator samples
 - Another probe of the hadronic final states in neutrino-nucleus interactions



To use the additional information from the neutron detection, measurements of the neutron production in a intermediate/near detector are important

